

Topology

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Chapter 1

Topological Spaces and Continuous Functions.

1.1 Topological Spaces.

Definition. A **topology** on a set X is a collection \mathcal{T} of subsets of X such that:

- (1) $\emptyset \in \mathcal{T}$ and $X \in \mathcal{T}$
- (2) For any collection $\{U_\alpha\}$ of subsets of X , $\bigcup_\alpha U_\alpha \in \mathcal{T}$.
- (3) For any finite collection $\{U_i\}_{i=1}^n$ of subsets of X , $\bigcap_{i=1}^n U_i \in \mathcal{T}$.

We call the pair (X, \mathcal{T}) a **topological space**, and we call the elements of \mathcal{T} **open sets**.

Example 1.1. (1) Let X be any set, the collection of all subsets of X , 2^X is a topology on X , which we call the **discrete topology**. We call the topology $\mathcal{T} = \{\emptyset, X\}$ the **indiscrete topology**.

- (2) The set of three points $\{a, b, c\}$ has the 9 following topologies in figure 1.1.

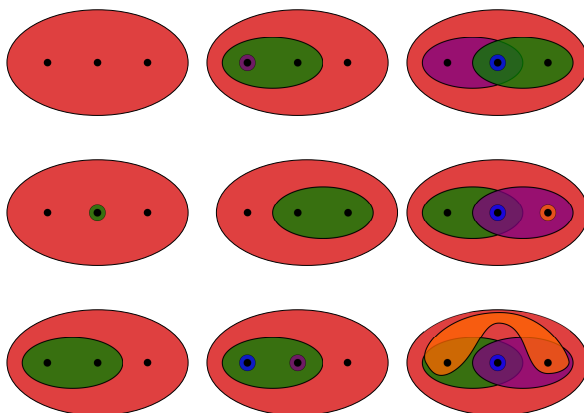


Figure 1.1: The Topologies on $\{a, b, c\}$.

- (3) Let X be any set, and let $\mathcal{T}_f = \{U \subseteq X : X \setminus U \text{ is finite, or } X \setminus U = X\}$. Then \mathcal{T}_f is a topology and called the **finite complement topology**.
- (4) Let X be any set, and let $\mathcal{T}_c = \{U \subseteq X : X \setminus U \text{ is countable, or } X \setminus U = X\}$. Then \mathcal{T}_c is a topology on X called the **countable complement topology**.
- (5) Let X be any set and consider the collection $\mathcal{T}_\infty = \{U \subseteq X : X \setminus U = \emptyset, X \setminus U = X, \text{ or } X \setminus U \text{ is infinite}\}$. Certainly, we have that $\emptyset, X \in \mathcal{T}_\infty$ as $X \setminus X = \emptyset$ and $X \setminus \emptyset = X$. However, if $X = \mathbb{R}$, and we have the sets $(0, 1)$, and $(-\infty, 0) \cup (1, \infty)$, then $\mathbb{R} \setminus (0, 1) = (-\infty, 0] \cup [1, \infty)$, and $\mathbb{R} \setminus ((-\infty, 0) \cup (1, \infty)) = [0, 1]$, both of which are infinite, but, $[0, 1] \cap ((-\infty, 0] \cup [1, \infty)) = \{0, 1\}$, which is finite. So it is not true in general that the collection \mathcal{T}_∞ is a topology.

Lemma 1.1.1. *Let X be a topological space. If $A \subseteq X$ is such that for each $x \in A$, there exists an open set U with $x \in U \subseteq A$, then A is also open in X .*

Proof. We have that for each $x \in A$, there is an open set U_x such that $x \in U_x \subseteq A$. Now, let $U = \bigcup_{x \in A} U_x$, which is open in X by definition. Then, we have $U \subseteq A$ by hypothesis; moreover, since $x \in A$ implies $x \in U_x$, then $x \in U$. This makes $A \subseteq U$, so $A = U$. ■

Definition. Let X be a set, and let \mathcal{T} and \mathcal{T}' be topologies on X . We say that \mathcal{T} is **coarser** than \mathcal{T}' , and \mathcal{T}' **finer** than \mathcal{T} if $\mathcal{T} \subseteq \mathcal{T}'$. If two topologies are either coarser, or finer than each other, we call them **comparable**.

Example 1.2. The topologies \mathcal{T}_f and \mathcal{T}_c are comparable, and we see that $\mathcal{T}_f \subseteq \mathcal{T}_c$, so \mathcal{T}_f is coarser than \mathcal{T}_c , and \mathcal{T}_c is finer than \mathcal{T}_f .

Lemma 1.1.2. *If $\{\mathcal{T}_\alpha\}$ is a collection of topologies on a set X , then the intersection of all \mathcal{T}_α , $\bigcap \mathcal{T}_\alpha$ is also a topology on X .*

Proof. Let $\mathcal{T} = \bigcap \mathcal{T}_\alpha$. We have that $\emptyset, X \in \mathcal{T}_\alpha$ for each α , so that $\emptyset, X \in \mathcal{T}$.

Now let $\{U_\alpha\}$ be a collection of open sets such that $U_\alpha \in \mathcal{T}_\alpha$ for each α . Then $U_\alpha \in \mathcal{T}$, for each α , so that $\bigcup U_\alpha \in \mathcal{T}$. Lastly, take a finite subcollection $\{U_i\}_{i=1}^n$ of $\{U_\alpha\}$, then $\bigcap_{i=1}^n U_i \in \mathcal{T}$ by similar reasoning. ■

Example 1.3. If X is any set, and $\{\mathcal{T}_\alpha\}$ is a collection of topologies in X , it is not in general true that $\bigcup \mathcal{T}_\alpha$ is also a topology on X . Consider the 9 topologies on the set $X = \{a, b, c\}$ in the preceding examples. Let $\mathcal{T}_1 = \{\emptyset, \{a\}, \{a, b\}, X\}$, $\mathcal{T}_2 = \{\emptyset, \{a, b\}, \{b\}, \{b, c\}, \{c\}, X\}$, and let $\mathcal{T}_3 = \mathcal{T}_1 \cup \mathcal{T}_2$. The sets $\{a\}$ and $\{c\}$ have union $\{a, c\}$, however, $\{a, c\} \notin \mathcal{T}_3$.

1.2 The Basis and Subbasis for a Topology.

Definition. If X is a set, the **basis** for a topology on X is a collection \mathcal{B} of subsets of X , called **basis elements**, such that:

- (1) For every $x \in X$, there is a $B \in \mathcal{B}$ such that $x \in B$.
- (2) For $B_1, B_2 \in \mathcal{B}$, if $x \in B_1 \cap B_2$, then there is a $B_3 \in \mathcal{B}$ such that $x \in B_3 \subseteq B_1 \cap B_2$

We define the topology \mathcal{T} **generated** by \mathcal{B} to be collection of open sets: $\mathcal{T} = \{U \subseteq X : \text{for all } x \in U, \text{ there exists a } B \in \mathcal{B} \text{ such that } x \in B\}$.

Theorem 1.2.1. *Let X be a set, and \mathcal{B} a basis of X , then the collection of subsets of X , $\mathcal{T} = \{U \subseteq X : \text{for all } x \in U, \text{ there exists a } B \in \mathcal{B} \text{ such that } x \in B\}$ is a topology on X .*

Proof. Let \mathcal{B} be a basis for a topology in X , and consider \mathcal{T} as defined above. Clearly, $\emptyset \in \mathcal{T}$ and so is X .

Now let $\{U_\alpha\}$ be a collection of subsets of X , and let $U = \bigcup U_\alpha$. Then if $x \in U$ for some α , there is a B_α such that $x \in B_\alpha \subseteq U_\alpha$, thus $x \in B_\alpha \subseteq U$.

Now let $x \in U_1 \cap U_2$, and choose $B_1, B_2 \in \mathcal{B}$ such that $x \in B_1 \subseteq U_1$ and $x \in B_2 \subseteq U_2$. Then by definition, there is a B_3 for which $x \in B_3 \subseteq B_1 \cap B_2$. Now suppose for arbitrary n , that $U = \bigcap_{i=1}^n U_i \in \mathcal{T}$, for some finite collection $\{U_i\}$ of subsets of X . Then by let $B_n, B_{n+1} \in \mathcal{B}$ such that $x \in B_n \subseteq U$ and $x \in B_{n+1} \subseteq U_{n+1}$. Then by our hypothesis, there is a B for which $x \in B \subseteq B_n \cap B_{n+1}$, thus $U \cap U_{n+1} = \bigcap_{i=1}^{n+1} U_i \in \mathcal{T}$. This make \mathcal{T} a topology on X . ■

Example 1.4. (1) Let \mathcal{B} be the set of all circular regions in the plane $\mathbb{R} \times \mathbb{R}$, then \mathcal{B} satisfies the conditions needed for a basis.

(2) The collection \mathcal{B}' in $\mathbb{R} \times \mathbb{R}$ of all rectangular region also forms a basis for a topology on $\mathbb{R} \times \mathbb{R}$.

(3) For any set X , the set of all 1-point subsets of X forms a basis for a topology on X .

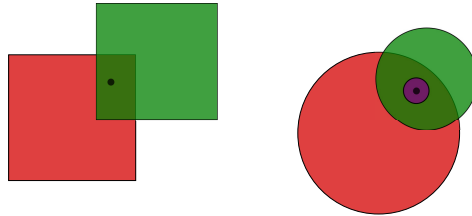


Figure 1.2: The basis for \mathcal{B} and \mathcal{B}' in $\mathbb{R} \times \mathbb{R}$ (see example (2)).

Lemma 1.2.2. *Let X be a set, and \mathcal{B} be a basis for a topology \mathcal{T} on X . Then $\mathcal{T} = \{\bigcup B : B \in \mathcal{B}\}$.*

Proof. Given a collection $\{B_i\}_{i=1}^\infty$ of basis elements in \mathcal{B} , since they are all in \mathcal{T} , their unions are also in \mathcal{T} . Conversely, given $U \in \mathcal{T}$, then for every point $x \in U$, choose a $B_x \in \mathcal{B}$ such that $x \in B_x \subseteq U$, then $U = \bigcup_{x \in U} B_x$. ■

Lemma 1.2.3. *Let (X, \mathcal{T}) be a topological space, and let $\mathcal{C} \subseteq \mathcal{T}$ be a collection of open sets of X such that for every $x \in U$, there is a $C \in \mathcal{C}$ such that $x \in C \subseteq U$. Then \mathcal{C} is the basis for a \mathcal{T} on X .*

Proof. Take any $x \in X$, then there is a $C \in \mathcal{C}$ such that $x \in C \subseteq U$, thus the first condition for a basis is satisfied. Now let $x \in C_1 \cap C_2$ for $C_1, C_2 \in \mathcal{C}$, since $C_1 \cap C_2$ is open in X , there is a $C_3 \in \mathcal{C}$ such that $x \in C_3 \subseteq C_1 \cap C_2$. Therefore \mathcal{C} is a basis for a topology on X .

Now let $\mathcal{T}_{\mathcal{C}}$ be the topology generated by \mathcal{C} , now for $U \in \mathcal{T}$, we have by the hypothesis, that $U \in \mathcal{T}_{\mathcal{C}}$; and by lemma 1.2.2, $W \in \mathcal{T}_{\mathcal{C}}$ is the union of elements of \mathcal{C} , which is a subcollection of \mathcal{T} , thus $W \in \mathcal{T}$. Therefore $\mathcal{T}_{\mathcal{C}} = \mathcal{T}$. ■

Lemma 1.2.4. *Let \mathcal{B} and \mathcal{B}' be bases for topologies \mathcal{T} and \mathcal{T}' on X . Then the $\mathcal{T} \subseteq \mathcal{T}'$ if and only if for all $x \in X$, and all $B \in \mathcal{B}$, there is a $B' \in \mathcal{B}'$ such that $x \in B' \subseteq B$.*

Proof. Suppose first that $\mathcal{T} \subseteq \mathcal{T}'$, and let $x \in X$, and choose $B \in \mathcal{B}$ such that $x \in B$, then B is open in \mathcal{T} , thus it is open in \mathcal{T}' , thus there is a $B' \in \mathcal{B}'$ such that $x \in B' \subseteq B$. Conversely, suppose there is a $B' \in \mathcal{B}'$ for which $x \in B' \subseteq B$ for all $x \in X$, $B \in \mathcal{B}$. Take $x \in U \in \mathcal{T}$, since \mathcal{B} generates \mathcal{T} , $x \in B \subseteq U$, since $B' \subseteq B$, this implies that $U \in \mathcal{T}'$ and $\mathcal{T} \subseteq \mathcal{T}'$. ■

Definition. If \mathcal{B} is the collection of open intervals (a, b) in \mathbb{R} , we call the topology generated by \mathcal{B} the **standard topology** on \mathbb{R} , and we denote it simply by \mathbb{R} .

Definition. If \mathcal{B} is the collection of half open intervals $[a, b)$ in \mathbb{R} , we call the topology generated by \mathcal{B} the **lower limit topology** on \mathbb{R} , and we denote it simply by \mathbb{R}_l . If \mathcal{B}' is the collection of all half open intervals $(a, b]$ in \mathbb{R} , then we call the topology generated by \mathcal{B}' the **upper limit topology** on \mathbb{R} , and denote it \mathbb{R}_L .

Definition. If \mathcal{B} is the collection of all open intervals of the form $(a, b) \setminus \frac{1}{\mathbb{Z}^+}$, where $\frac{1}{\mathbb{Z}^+} = \{\frac{1}{n} : n \in \mathbb{Z}^+\}$, we call the topology generated by \mathcal{B} the **$\frac{1}{\mathbb{Z}^+}$ -topology** on \mathbb{R} , and we denote it $\mathbb{R}_{\frac{1}{\mathbb{Z}^+}}$.

Lemma 1.2.5. *The topologies \mathbb{R}_l , \mathbb{R}_L , and $\mathbb{R}_{\frac{1}{\mathbb{Z}^+}}$ are all strictly finer than \mathbb{R} , but are not comparable with each other.*

Proof. Let (a, b) be a basis element for \mathbb{R} , and let $x \in (a, b)$, the basis element $[x, b) \in \mathbb{R}_l$ lies in (a, b) and contains x , however, there can be no interval (a, b) in $[x, b)$ as $x \leq a$, thus $\mathbb{R} \subset \mathbb{R}_l$; a similar argument holds for \mathbb{R}_L .

Similarly, for $(a, b) \in \mathbb{R}$, the basis element $(a, b) \setminus \frac{1}{\mathbb{Z}^+}$ of $\mathbb{R}_{\frac{1}{\mathbb{Z}^+}}$ lies in (a, b) , however, choose the basis $B = (-1, 1) \setminus \frac{1}{\mathbb{Z}^+}$, and choose $0 \in B$, since \mathbb{Z}^+ is dense in \mathbb{R} , there is no interval (a, b) containing 0 and lying in B , thus $\mathbb{R} \subseteq \mathbb{R}_{\frac{1}{\mathbb{Z}^+}}$.

Now choose $[0, 1)$ in \mathbb{R}_l , and choose $\frac{1}{k} \in [0, 1)$ such that $k \in \mathbb{Z}^+$. Now $(0, 1) \subseteq [0, 1)$, so we cannot say that $[0, 1)$ is a basis for \mathbb{R} , and moreover, $[0, 1) \setminus \frac{1}{\mathbb{Z}^+}$ cannot be said to be a basis in $\mathbb{R}_{\frac{1}{\mathbb{Z}^+}}$, thus \mathbb{R}_l and $\mathbb{R}_{\frac{1}{\mathbb{Z}^+}}$ are incomparable, a similar argument holds for \mathbb{R}_L .

Lastly, let (a, b) be in \mathbb{R} and choose $x \in (a, b)$. Then $(a, x]$ and $[x, b)$ are both in (a, b) , however it is clear that $(a, x]$ and $[x, b)$ cannot be contained in each other, thus \mathbb{R}_l and \mathbb{R}_L are incomparable. ■

Definition. A **subbasis**, \mathcal{S} , for a topology on X is a collection of subsets of X whose union equals X . We call the **topology generated by \mathcal{S}** to be the collection of all unions of finite intersections of elements of \mathcal{S} , that is:

$$\mathcal{T} = \left\{ \bigcup_{i=1}^n S_i : S_i \in \mathcal{S} \text{ for } 1 \leq i \leq n \right\}$$

Theorem 1.2.6. *Let \mathcal{S} be a subbasis for a topology on X . Then the collection $\mathcal{T} = \{\bigcup \bigcap_{i=1}^n S_i : S_i \in \mathcal{S} \text{ for } 1 \leq i \leq n\}$ is a topology on X .*

Proof. It is sufficient to show that the collection \mathcal{B} of all finite intersections of elements of \mathcal{S} is a basis for a topology on X . By lemma 1.2.1, for $x \in X$, it belongs to an element S of \mathcal{S} , and therefore, to an element of \mathcal{B} . Now let $B_1 = \bigcap_{i=1}^m S_i$ and $B_2 = \bigcap_{j=1}^n S'_j$ be basis elements of \mathcal{B} . The intersection $B_1 \cap B_2$ is a finite intersection of elements of \mathcal{S} , and hence also belongs in \mathcal{B} , and hence we can take another basis element B_3 such that $x \in B_3 \subseteq B_1 \cap B_2$. ■

1.3 The Order Topology.

Definition. Let X be a set with a simple order relation, and suppose that $|X| > 1$. Let \mathcal{B} be the collection of sets of the following forms:

- (1) All open intervals $(a, b) \in X$.
- (2) All half open intervals $[a_0, b)$ where a_0 is the least element (if any) of X .
- (3) All half open intervals of the form $(a, b_0]$ where b_0 is the greatest element (if any) of X .

Then \mathcal{B} forms the basis for a topology on X called the **order topology**

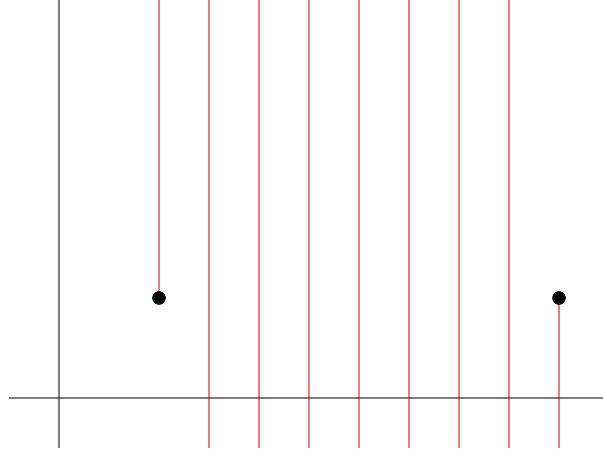
Theorem 1.3.1. *The collection \mathcal{B} forms a basis.*

Proof. Consider $x \in X$, if x is the least element of X , then it lies in all intervals of type (2), if it is the largest, then it lies in all intervals of type (3). If x is neither the least nor largest element, then $x \in (a_0, b_0)$ with a_0 and b_0 the least and largest elements (if any) of X . If no such elements exist, then $x \in (a, b)$, for some lowerbound a and upperbound b . Thus, in all three cases, there is a basis element containing x .

Now suppose $B_1, B_2 \in \mathcal{B}$ such that $x \in B_1 \cap B_2$. If B_1 and B_2 are both of type (1), then let $B_1 = (a, b)$, $B_2 = (c, d)$, then $B_1 \cap B_2$ is an open interval of type (1), now fix B_1 to be of type one. If B_2 is of type (2), then letting $B_2 = [a_0, c)$, then $x \in [a_0, d)$ for some $d \in X$. Likewise, if $B_2 = (c, b_0]$, is of type (3), we get a similar result. Moreover, the results are analogous if we fix B_2 and let B_1 range between intervals of the three types. Thus in all cases, there is a $B_3 \in \mathcal{B}$ such that $x \in B_3 \subseteq B_1 \cap B_2$. ■

Example 1.5. (1) The standard topology on \mathbb{R} is the order topology on \mathbb{R} induced by the usual order relation. We have that \mathbb{R} under this topology has no intervals of type (2), nor (3), so all bases elements in the standard topology are open intervals in \mathbb{R} .

- (2) Consider the dictionary order on $\mathbb{R} \times \mathbb{R}$. Since $\mathbb{R} \times \mathbb{R}$ has no intervals of type (2), nor (3), the bases of $\mathbb{R} \times \mathbb{R}$ under the dictionary order are the open intervals of the form $(a \times b, c \times d)$ Where $a \leq c$, and $b < d$.
- (3) The positive integers \mathbb{Z}^+ with the least element 1 form an ordered set under the usual order. Taking $n > 1$, we see the bases of \mathbb{Z}^+ under the order topology are of the form $(n-1, n+1) = \{n\}$ and $[1, n) = \{1, \dots, n-1\}$. Thus the order topology on \mathbb{Z}^+ is the discrete topology.

Figure 1.3: The order topology on $\mathbb{R} \times \mathbb{R}$.

- (4) The set $X = \{1, 2\} \times \mathbb{Z}^+$ over the dictionary order is also an ordered set, with the least element 1×1 . Denote $1 \times n$ as a_n and $2 \times n$ as b_n . Then X consist of the elements $a_1, a_2, \dots, b_1, b_2, \dots$.

Now take $\{b_1\}$, then any open set containing b_1 must have a basis about b_1 , and also contains points a_i with $i \in \mathbb{Z}^+$; thus the order topology on X is not the discrete topology.

Definition. Let X be an ordered set, and let $a \in X$. There are two subsets in X , $(a, \infty) = \{x \in X : x > a\}$ and $(-\infty, a) = \{x \in X : x < a\}$ called **open rays** of X . There are also two sets $[a, \infty) = \{x \in X : x \geq a\}$ and $(-\infty, a] = \{x \in X : x \leq a\}$ called **closed rays** of X .

Theorem 1.3.2. Let X be an ordered set. Then the collection of all open rays in X form a subbasis for the order topology on X .

Proof. Let \mathcal{S} be the collection of all open rays of X , let $a < b$ and $(a, \infty), (-\infty, b) \in \mathcal{S}$, then $(a, b) = (a, \infty) \cap (-\infty, b)$. Now take:

$$S = \bigcup_{a, b \in X} (a, b)$$

then $S \subseteq X$, likewise, since S runs through all intersections of open rays of X , it contains all open intervals in X , hence $X \subseteq S$, and so $X = S$ as required. ■

1.4 The Product Topology.

Definition. Let X and Y be topological spaces. We define the **product topology** on $X \times Y$ to be the topology having as basis the collection

$$\mathcal{B} = \{U \times V \subseteq X \times Y : U \text{ is open in } X \text{ and } V \text{ is open in } Y\}$$

Theorem 1.4.1. The collection $\mathcal{B} = \{U \times V \subseteq X \times Y : U \text{ is open in } X \text{ and } V \text{ is open in } Y\}$ forms a basis for the product topology on $X \times Y$.

Proof. Clearly, we have that $X \times Y$ is a basis element of \mathcal{B} . Now take $U_1 \times V_1$ and $U_2 \times V_2$ in \mathcal{B} . Since $U_1 \times V_1 \cap U_2 \times V_2 = U_1 \cap U_2 \times V_1 \cap V_2$, since $U_1 \cap U_2$ and $V_1 \cap V_2$ are open in X and Y respectively, then we have that $U_1 \times V_1 \cap U_2 \times V_2$ is a basis element as well. ■

Theorem 1.4.2. *If \mathcal{B} is the basis for a topology on X , and \mathcal{C} is the basis for a topology on Y , then the collection:*

$$\mathcal{D} = \{B \times C : B \in \mathcal{B} \text{ and } C \in \mathcal{C}\}$$

Is a basis for the topology on $X \times Y$.

Proof. By lemma 1.2.3, let W be an open set of $X \times Y$, and let $x \times y \in W$. Then there is a basis $U \times V$ such that $x \times y \in U \times V \subseteq W$. Since \mathcal{B} and \mathcal{C} are bases of X and Y respectively, choosing $B \in \mathcal{B}$ and $C \in \mathcal{C}$, we have that $x \in B \subseteq U$, and $y \in C \subseteq V$, thus $x \times y \in B \times C \subseteq U \times V \subseteq W$. Therefore, \mathcal{D} is the basis for a topology on $X \times Y$. ■

Example 1.6. The product of the standard topology on \mathbb{R} with itself is called the **standard topology on $\mathbb{R} \times \mathbb{R}$** , and has as basis the collection of all products of open sets in \mathbb{R} . By theorem 1.4.2, if we take the collection of all open intervals $(a, b) \times (c, d)$ in $\mathbb{R} \times \mathbb{R}$, we form a basis. Constructing this basis geometrically gives the interior of a rectangle, whose boundaries are the intervals (a, b) and (c, d) .

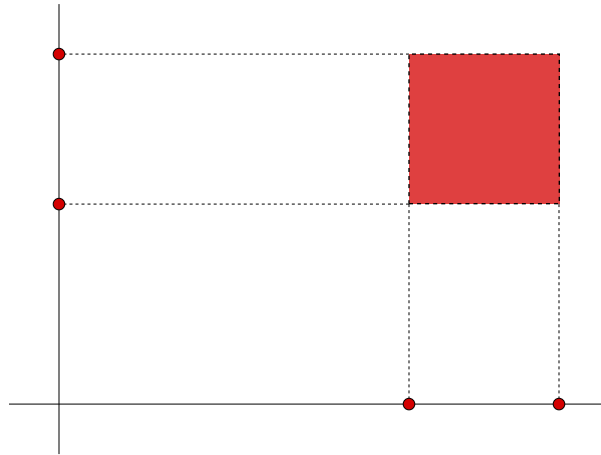


Figure 1.4: A basis element for $\mathbb{R} \times \mathbb{R}$

Definition. Let $\pi_1 : X \times Y \rightarrow X$ be defined such that $\pi_1(x, y) = x$, and define $\pi_2 : X \times Y \rightarrow Y$ such that $\pi_2(x, y) = y$. We call π_1 and π_2 **projections** of $X \times Y$ onto its first and second **factors**; that is onto X and Y , respectively.

Clearly, π_1 and π_2 are both onto. Now let U be open in X , then $\pi_1^{-1}(U) = U \times Y$ is open in $X \times Y$; similarly, $\pi_2^{-1}(V) = X \times V$ is also open in $X \times Y$, for V open in Y .

Example 1.7. The maps $\pi_1 : x \times y \rightarrow x$ and $\pi_2 : x \times y \rightarrow y$ of $X \times Y$ onto X and Y , respectively, are what we call “open maps”. Let U and V be open in X and Y respectively, then $U \times V$ is open in $X \times Y$, and for every $x \times y \in U \times V$, we have $\pi_1(x \times y) = x$ and $\pi_2(x \times y) = y$, so that $\pi_1(U \times V) = U$ and $\pi_2(U \times V) = V$ are open in X and Y respectively.

Theorem 1.4.3. *The collection $\mathcal{S} = \{\pi_1^{-1}(U) : U \text{ is open in } X\} \cup \{\pi_2^{-1}(V) : V \text{ is open in } Y\}$ is a subbasis for the product topology on $X \times Y$.*

Proof. Let \mathcal{T} be the product topology on $X \times Y$, and let \mathcal{T}' be the topology generated by \mathcal{S} . Since every element of \mathcal{S} is open in \mathcal{T} , $\mathcal{T} \subseteq \mathcal{T}'$. Conversely, consider the basis element $U \times V$ of \mathcal{T} , then $\pi_1^{-1}(U) \cap \pi_2^{-1}(V) = U \times Y \cap X \times V = U \times V$, thus $\mathcal{T} \subseteq \mathcal{T}'$. Therefore, \mathcal{S} is a subbasis for the product topology. ■

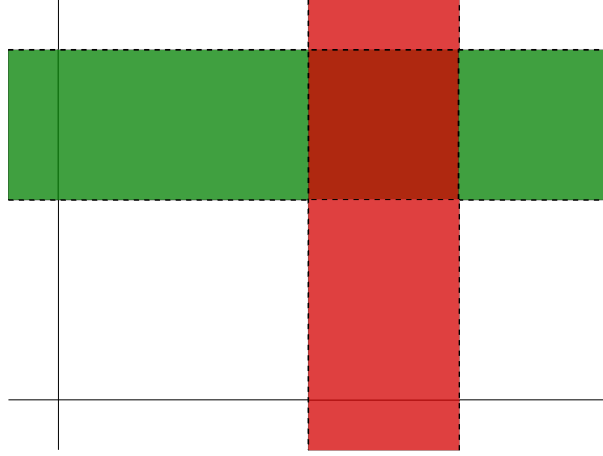


Figure 1.5: The inverse images, $\pi_1^{-1}(U)$ and $\pi_2^{-1}(V)$, of the projections π_1 and π_2 onto the $X \times Y$ plane.

1.5 The Subspace Topology.

Theorem 1.5.1. *Let X be a topological space with topology \mathcal{T} , and let $Y \subseteq X$. Then the collection:*

$$\mathcal{T}_Y = \{Y \cap U : U \in \mathcal{T}\}$$

forms a topology on Y .

Proof. Clearly, $Y \cap \emptyset = \emptyset \in \mathcal{T}_Y$ and $Y \cap X = Y \in \mathcal{T}_Y$. Now consider the collection $\{U_\alpha\}$. Then $\bigcup (Y \cap U_\alpha) = Y \cap \bigcup U_\alpha$, similarly, for $\{U_i\}_{i=1}^n$, $\bigcap (Y \cap U_i) = Y \cap \bigcap U_i$, hence \mathcal{T} is a topology on Y . ■

Definition. Let X be a topological space, and let $Y \subseteq X$. We call the \mathcal{T} defined in theorem 1.5.1 the **subspace topology** on Y . We say that $U \subseteq Y$ is **open in Y** if $U \in \mathcal{T}_Y$.

Lemma 1.5.2. *Let \mathcal{B} be the basis for a topology on X . Then the collection $\mathcal{B}_Y = \{B \cap Y : B \in \mathcal{B}\}$, where $Y \subseteq X$, is a basis for the subspace topology on Y .*

Proof. Let U be open in X , and let $y \in Y \cap U$, and choose $B \in \mathcal{B}$ such that $y \in B \subseteq U$, then $y \in B \cap Y \subseteq U \cap Y$, then by lemma 1.2.2, \mathcal{B}_Y is the basis for the subspace topology on Y . ■

Lemma 1.5.3. *Let Y be a subspace of X , If $U \subseteq Y$ is open in Y and Y is open in X , then U is open in X .*

Proof. Let $U \in \mathcal{T}_Y$, then for some $V \subseteq X$, $U = Y \cap V$. Now since Y is open in X , and so is V , then it follows that U is also open in X . ■

Remark. What this lemma says is that given a topological space X , and a subspace Y of X , then the subspace topology of Y is coarser than the topology on X , i.e. $\mathcal{T}_Y \subseteq \mathcal{T}$.

Theorem 1.5.4. *If A is a subspace of X , and B is a subspace of Y , then the product topology on $A \times B$ is the topology that $A \times B$ inherits as a subspace of $X \times Y$.*

Proof. We have that $U \times V$ is the basis element for $X \times Y$, with U open in X , and V open in Y . Thus $(U \times V) \cap (A \times B) = (U \cap A) \times (V \cap B)$ is a basis element for the subspace topology on $X \times Y$. Since $U \cap A$ and $V \cap B$ are open in the subspace topologies of A and B respectively, then $(U \cap A) \times (V \cap B)$ is a basis for the product topology on $A \times B$. ■

Example 1.8. (1) Consider $[0, 1] \subseteq \mathbb{R}$. In the subspace topology of $[0, 1]$, we have as basis elements of the form $(a, b) \cap [0, 1]$, with $(a, b) \subseteq \mathbb{R}$. If we have that $(a, b) \subseteq [0, 1]$, then $(a, b) \cap [0, 1] = (a, b)$. On the other hand, if $a \in [0, 1]$ or $b \in [0, 1]$, then we get $(a, b) \cap [0, 1] = (a, 1]$ or $(a, b) \cap [0, 1] = [0, b]$, lastly if neither a nor b are in $[0, 1]$, then we have $(a, b) \cap [0, 1] = [0, 1]$ only if $[0, 1] \subseteq (a, b)$, and $(a, b) \cap [0, 1] = \emptyset$ otherwise.

The only one of these sets open in \mathbb{R} under the standard topology is $(0, 1)$.

(2) For $[0, 1) \cup \{2\} \subseteq \mathbb{R}$, the singleton $\{2\}$ is open in the subspace topology on $[0, 1) \cup \{2\}$; for observe, that $(\frac{3}{5}, \frac{5}{2}) \cap ([0, 1) \cup \{2\}) = \{2\}$, however, in the order topology, on that same set, $\{2\}$ is not open. Any basis element on $[0, 1) \cup \{2\}$ containing 2 is of the form $(a, 2]$, where $a \in [0, 1) \cup \{2\}$.

(3) The dictionary order on $[0, 1] \times [0, 1]$ is a restriction of the dictionary order on $\mathbb{R} \times \mathbb{R}$. Now the set $\{\frac{1}{2}\} \times (\frac{1}{2}, 1]$ is open in the subspace topology on $[0, 1] \times [0, 1]$, but it is not open in the dictionary order on the same set.

Definition. We call the set $[0, 1] \times [0, 1]$ on the dictionary order the **ordered square**, and we denote it by I_0^2 .

Lemma 1.5.5. *Let Y be a subspace of a topological space X , and let $A \subseteq Y$. Then the topology of A as a subspace of Y is the same as the topology of A as a subspace of X .*

Proof. Let V be open in Y , then $V \cap A$ is open in A as a subspace of Y , however, since Y is a subspace of X , $V = U \cap Y$ for some U open in X , so $V \cap A = (U \cap Y) \cap A = U \cap (A \cap Y) = U \cap A$, making $V \cap A$ open in A as a subspace of X .

Conversely, if U is open in X , then $U \cap A$ is open in A as a subspace of X , additionally, $U \cap Y$ is open in Y as a subspace of Y , so that $(U \cap Y) \cap A = U \cap (A \cap Y) = U \cap A$, which makes $U \cap A$ open in A as a subspace of Y . ■

Example 1.9. Suppose that \mathcal{T} and \mathcal{T}' are topologies on a set X , with $\mathcal{T} \subseteq \mathcal{T}'$. Then if $Y \subseteq X$, then the topology of Y as a subspace of X under \mathcal{T}' is finer than the topology of Y as a subspace of X under \mathcal{T} ; for, if U is open in X under \mathcal{T} , then $U \cap Y$ is open in Y under \mathcal{T} . But $\mathcal{T} \subseteq \mathcal{T}'$, which implies that $U \cap Y$ is open in Y under \mathcal{T}' .

Definition. Let X be an ordered set. We say that a nonempty subset $Y \subset X$ is **convex** in X if for each pair of points $a, b \in Y$, with $a < b$, then the open interval $(a, b) \subseteq X$ is also contained in Y .

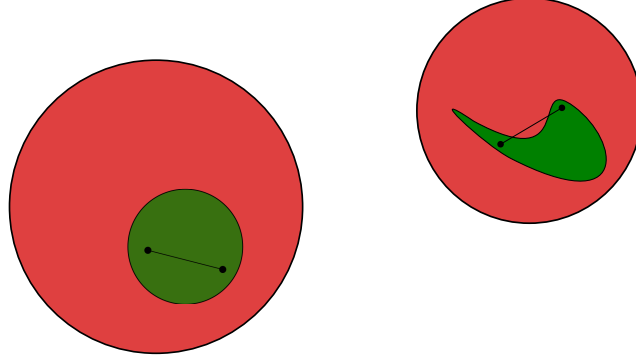


Figure 1.6: A convex set, and a nonconvex set.

Example 1.10. Let X be any ordered set. Then by definition, all open intervals and rays in X are convex in X .

Theorem 1.5.6. Let X be an ordered set on the order topology, and let $Y \subseteq X$ be convex in X . Then the order topology on Y is the same as the subspace topology on Y .

Proof. Consider $(a, \infty) \subseteq X$. If $a \in Y$, then $(a, \infty) \cap Y = \{x \in Y : x > a\}$, which is by definition an open ray on Y . Now if $a \notin Y$, then a is either a lowerbound, or an upperbound. Then $(a, \infty) \cap Y = \emptyset$ and $(-\infty, a) \cap Y = Y$ if a is an upperbound, similarly, if a is a lowerbound we get $(a, \infty) \cap Y = Y$ and $(-\infty, a) \cap Y = \emptyset$.

Since $(a, \infty) \cap Y$ and $(-\infty, a) \cap Y$ form a subbasis on the subspace topology on Y , and since they are also open in the order topology, then the order topology contains the subspace topology.

Now if (a, ∞) is an open ray in Y , then $(a, \infty) = (b, \infty) \cap Y$, with (b, ∞) some open ray in X , hence (a, ∞) is open in the subspace topology of Y , and since it also forms the subbasis for the order topology, we have that the order topology is contained within the subspace topology. Thus both topologies are equal. ■

1.6 Closed Sets and Limit Points.

Definition. A subset A of a topological space X is said to be **closed** if $X \setminus A$ is open.

Example 1.11. (1) Consider $[a, b] \subseteq \mathbb{R}$, we have that $\mathbb{R} \setminus [a, b] = (-\infty, a) \cup (b, \infty)$ which is open in \mathbb{R} . So $[a, b]$ is closed.

(2) In $\mathbb{R} \times \mathbb{R}$, the set $A = \{x \times y : x, y \geq 0\}$ (i.e the first quadrant of the plane) is closed, for $\mathbb{R} \times \mathbb{R} \setminus A = ((-\infty, 0) \times \mathbb{R}) \cup (\mathbb{R} \times (-\infty, 0))$, which is open in $\mathbb{R} \times \mathbb{R}$.

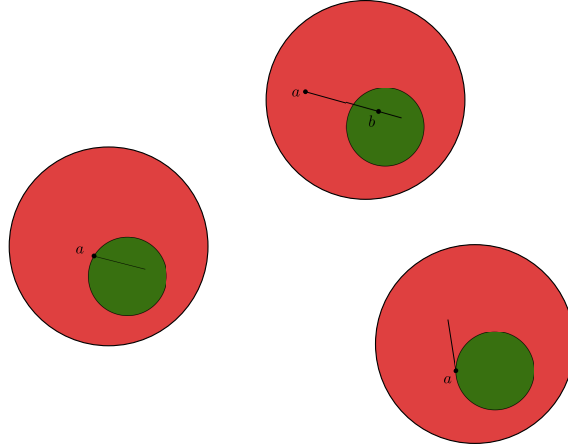


Figure 1.7: An illustration of theorem 1.5.6.

- (3) Consider the finite complement topology \mathcal{T}_f on a set X . We have that $X \setminus X = \emptyset \in \mathcal{T}_f$, so X is closed, similarly, \emptyset is also closed. Likewise, if $A \subseteq X$ is a finite set, then $X \setminus A$ is also finite, and hence A is also closed. Thus, we have that all the closed sets of \mathcal{T}_f are those finite subsets of X . As a consequence, this example also illustrates that sets can be both closed and open.
- (4) In the discrete topology 2^X , every open set is closed. This is another example where open sets are also closed sets.
- (4) Consider $[0, 1] \cup (2, 3)$ in the subspace topology on \mathbb{R} . We have that $[0, 1]$ is open in the subspace topology on \mathbb{R} ; $[0, 1] = [0, 1] \cup (2, 3) \cap (-\frac{2}{3}, \frac{3}{2})$, similarly, $(2, 3)$ is also open. Now taking $[0, 1] \cup (2, 3) \setminus (2, 3) = [0, 1]$, which is open, so $[0, 1]$ is closed in the subspace topology on \mathbb{R} , by the same reasoning, so is $(2, 3)$.

Theorem 1.6.1. *Let X be a topological space. Then:*

- (1) \emptyset and X are closed.
- (2) Arbitrary intersections of closed sets are closed.
- (3) Finite unions of closed sets are closed.

Proof. We have that $X \setminus \emptyset = X$ and $X \setminus X = \emptyset$, both of which are open in X , so they are also closed in X . Now let $\{U_\alpha\}$ be a collection of closed sets of X . We have that:

$$X \setminus \bigcap_{\alpha} U_{\alpha} = \bigcup_{\alpha} X \setminus U_{\alpha}.$$

Similarly, for $\{U_i\}_{i=1}^n$, we have

$$X \setminus \bigcup_{i=1}^n U_i = \bigcap_{i=1}^n X \setminus U_i.$$

Both of which are open in X . This completes the proof. ■

Example 1.12. For the following examples, let X and Y be topological spaces.

- (1) If Y is a subspace of X , and A is closed in Y , and Y is closed in X , then by theorem 1.6.1, $A = U \cap Y$, where U is closed in X . Then by theorem 1.6.1, we get that A is closed in X .
- (2) Suppose that A is closed in X and B is closed in Y . Then $X \setminus A$ and $Y \setminus B$ are open in X and Y , respectively, so $(X \setminus A) \times (Y \setminus B) = (X \times Y) \setminus (A \times B)$ is open in $X \times Y$. Therefore $A \times B$ is closed in $X \times Y$.

Definition. If Y is a subspace of X , we say that A is **closed in Y** if $A \subseteq Y$ and A is closed in the subspace topology on Y .

Theorem 1.6.2. Let Y be a subspace of X . Then A is closed in Y if and only if A equals the intersection of a closed set of X with Y .

Proof. Suppose that A is closed in Y , then $Y \setminus A$ is open in Y , hence we have that $Y \setminus A = U \cap Y$ for some open set U of X . Now $X \setminus U$ is closed in X , and with $A \subseteq Y$, we have that $A = Y \cap (X \setminus U)$.

Conversely, suppose that $A = C \cap Y$, with C closed in X . Then $X \setminus C$ is open in X , hence $(X \setminus C) \cap Y$ is open in Y , now since $(X \setminus C) \cap Y = Y \setminus A$, which is open, we have that A is closed in Y . ■

Theorem 1.6.3. Let Y be a subspace of X . If A is closed in Y , and Y is closed in X , then A is closed in X ; that is, closure is transitive.

Proof. By theorem 1.6.2, if A is closed in Y , then $A = C \cap Y$ with C closed in X , now since Y is closed in X , then $Y = D \cap X$ with D closed in X . Thus $A = (C \cap D) \cap X$, therefore, A is closed in X . ■

We now go over the concepts of the closure, and the interior of a set.

Definition. Let $A \subseteq X$, with X a topological space. The **interior** of A is defined to be the union of all open sets in A . The **closure** of A is defined to be the intersection of all closed sets containing A . We denote the interior and the closure of A as $\text{Int } A$ and $\text{cl } A$ respectively

We have by the very definitions that $\text{Int } A \subseteq A \subseteq \text{cl } A$

Lemma 1.6.4. $\text{Int } A = A$ only when A is open, and $\text{cl } A = A$ only when A is closed.

Proof. Now, if A is open, then it is in the union of all open sets of A , hence $A \subseteq \text{Int } A$, likewise, if A is closed, then since $\text{cl } A$ is the intersection of all closed sets containing A , we get $\text{cl } A \subseteq A$. ■

Corollary. A is closed and open if and only if $\text{Int } A = \text{cl } A$.

Theorem 1.6.5. Let Y be a subspace of X , and let $A \subseteq Y$. Then $\text{cl } A \cap Y$ is the closure of A in Y .

Proof. Let $\text{cl } A$ be the closure of A in X . Since $\text{cl } A$ is closed in X , by theorem 1.6.2, $\text{cl } A \cap Y$ is closed in Y , now we have that $A \subseteq \text{cl } A \cap Y$, and since $\text{cl } A = \bigcap U$, then $\text{cl } A \subseteq \text{cl } A \cap Y$.

Conversely, suppose that $\text{cl } A$ is closed in Y , again by theorem 1.6.2, we have that $\text{cl } A = C \cap Y$, where C is closed in X , since $A \subseteq \text{cl } A$, then $A \subseteq C$, and since C is closed, then $\text{cl } A \subseteq C$, thus $\text{cl } A \cap Y \subseteq \text{cl } A$. ■

Definition. Let X be a topological space, and let $x \in X$. We call an open set U of X a **neighborhood** of x if $x \in U$.

Theorem 1.6.6. *If $A \subseteq X$, with X a topological space, then $\text{cl } A$ is a neighborhood of $x \in X$ if and only if for every neighborhood U of x , $A \cap U \neq \emptyset$.*

Proof. We prove the contrapositive. If $x \notin \text{cl } A$, then $U = X \setminus \text{cl } A$ is an open set containing x , disjoint from A . Conversely, suppose there is a neighborhood U of x , with U disjoint from A , then $X \setminus U$ is closed, and therefore contains the closure of A , thus $x \notin \text{cl } A$. ■

Corollary. *$\text{cl } A$ is a neighborhood of x if and only if for every basis element B of X , containing x , intersects A .*

Proof. This is a direct application of theorem 1.6.6, since basis elements are open sets. ■

Example 1.13. (1) We have the closure of $(0, 1]$ in \mathbb{R} is the closed interval $[0, 1]$, since every neighborhood of 0 intersects $(0, 1]$. Now every point outside of $[0, 1]$ has a neighborhood disjoint from $[0, 1]$ (take the neighborhood $(2, 3)$ of 2).

$$(2) \text{cl } \frac{1}{\mathbb{Z}^+} = \{0\} \cup \frac{1}{\mathbb{Z}^+} \text{ and } \text{cl } \{0\} \cup (1, 2) = \{0\} \cup [1, 2].$$

$$(3) \text{cl } \mathbb{Q} = \mathbb{R}, \text{cl } \mathbb{Z}^+ = \mathbb{Z}^+, \text{cl } \mathbb{R}^+ = \mathbb{R}^+ \cup \{0\}. \text{ This first follows from the density of } \mathbb{Q} \text{ in } \mathbb{R}. \text{ Every neighborhood } n \in \mathbb{Z}^+ \text{ intersects } \mathbb{Z}^+, \text{ so } \text{cl } \mathbb{Z}^+ \subseteq \mathbb{Z}^+, \text{ and we have that the neighborhood } (0, 1) \text{ of } 0 \text{ intersects } \mathbb{R}^+, \text{ so } \text{cl } \mathbb{R}^+ \subseteq \mathbb{R}^+ \cup \{0\}.$$

Definition. If $A \subseteq X$, with X a topological space, and if $x \in X$, we say that x is a **limit point** of A if every neighborhood of x intersects A at some distinct point. That is: $x \in \text{cl } X \setminus \{x\}$.

Example 1.14. (1) Consider $(0, 1]$, we have that $0 \in [0, 1] = \text{cl } (0, 1] = \text{cl } [0, 1] \setminus \{0\}$, so 0 is a limit point of $(0, 1]$, the same can be said for any $x \in (0, 1]$.

(2) For $\frac{1}{\mathbb{Z}^+}$, 0 is once again a limit point. Let $x \in \mathbb{R}$ be nonzero, and let $[x, b)$ be the neighborhood of x in the lower limit topology. Then $[x, b) \cap \frac{1}{\mathbb{Z}^+} = \emptyset$ or $\{x\}$, hence, 0 is the only limit point of $\frac{1}{\mathbb{Z}^+}$.

(3) $\text{cl } \{0\} \cup (1, 2) = \{0\} \cup [1, 2]$ has all of its limit points in $[1, 2]$. Likewise, every point in \mathbb{R} is a limit point of \mathbb{Q} . \mathbb{Z}^+ has no limit points in \mathbb{R} , and the limit points of \mathbb{R}^+ are all the points of $\text{cl } \mathbb{R}^+$.

Theorem 1.6.7. *Let $A \subseteq X$, X a topological space, and let A' be the set of all limit points in A . Then $\text{cl } A = A \cup A'$.*

Proof. Let $x \in A'$, then every neighborhood of x intersects A at some distinct point x' , by definition, so by theorem 1.6.6, $x \in \text{cl } A$, hence $A' \subseteq \text{cl } A$, so $A \cup A' \subseteq \text{cl } A$. Now, let $x \in \text{cl } A$. If $x \in A$, we are done. Otherwise, since every neighborhood of x intersects A , we have that they intersect at distinct points, thus $x \in A'$, therefore $\text{cl } A \subseteq A \cup A'$. ■

Corollary. $A \subseteq X$ is closed if and only if $A' \subseteq A$.

Proof. If A is closed, then $\text{cl } A = A = A \cup A'$, thus $A' \subseteq A$. The converse is obvious. ■

Example 1.15. Let X and Y be topological spaces, and let $A \subseteq X$ and $B \subseteq Y$. Then $\text{cl}(A \times B) = \text{cl } A \times \text{cl } B$. It suffices to show that limit points of one closure is in the other and vice versa as $A \times B \subseteq \text{cl}(A \times B)$ and $A \times B \subseteq \text{cl } A \times \text{cl } B$.

Suppose $x' \times y' \in (A \times B)'$ is a limit point of $A \times B$, then for a neighborhood $U \times V$ of $x' \times y'$, we have $(U \times V) \cap (A \times B) = (U \cap A) \times (V \cap B) \neq \emptyset$. Therefore $U \cap A \neq \emptyset$ and $V \cap B \neq \emptyset$. This makes $x' \in A'$ and $y' \in B'$. Therefore $\text{cl}(A \times B) \subseteq \text{cl } A \times \text{cl } B$. By similar reasoning, we have the reverse inclusion so that equality is established.

Definition. Let X be a topological space. A sequence of points of X $\{x_n\}$ is said to **converge** to a point $x \in X$ if for every neighborhood U of x , there is an $N \in \mathbb{Z}^+$ such that $x_n \in U$ for all $n \geq N$.

Example 1.16. (1) Consider the following topological space on $\{a, b, c\}$ in figure ??, and

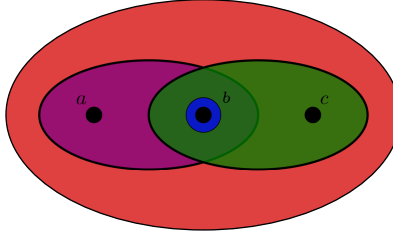


Figure 1.8: A topology on $\{a, b, c\}$, is not a Hausdorff space.

define the sequence $\{x_n\}$ by $x_n = b$ for all $n \in \mathbb{Z}^+$. The neighborhoods of a , b , and c are $U_a = \{a, b\}$, $U_b = \{b\}$, and $U_c = \{b, c\}$. Now let $N > 0$, then we see that for all $n \geq N$, that $b \in U_a$, $b \in U_b$, and $b \in U_c$ thus b converges to a and to c , and itself.

- (2) Consider the sequence of points $\{\frac{1}{n}\}_{n \in \mathbb{Z}^+}$ of \mathbb{R} in the finite complement topology. Then for any neighborhood of $x \in \mathbb{R}$, which is of the form $\mathbb{R} \setminus U$, there are finitely many $\frac{1}{n}$ not included. Thus we get that $\lim_{n \rightarrow \infty} \frac{1}{n} = x$ for all $x \in \mathbb{R}$.

Definition. A topological space X is called a **Hausdorff space** if for each pair of distinct points x, y , there are neighborhoods U and V of x and y respectively such that U and V are disjoint.

Example 1.17. The topology of the previous example in figure 1.8 is not a Hausdorff space.

Theorem 1.6.8. Every finite point set in a Hausdorff space is closed.

Proof. Let X be a Hausdorff space, and let $x_0 \in X$. We have that $\text{cl}\{x_0\} = \bigcap_{\{x_0\} \in U} U$. Now let $x \neq x_0 \in X$. Since $x \notin \{x_0\}$, and X is Hausdorff, the intersections of the neighborhoods of x and x_0 is empty, thus $x \notin \text{cl}\{x_0\}$, therefore $\text{cl}\{x_0\} = \{x_0\}$.

We can then extend this proof to finite point sets of size n by induction. ■

Now the condition that finite point sets be closed need not depend on whether or not X is a Hausdorff space. In fact, we can assume the following for some topological spaces.

Axiom 1.6.1 (The T_1 Axiom). *In any topological space, every finite point set of X is closed.*

Theorem 1.6.9. *Let X be a topological space satisfying the T_1 axiom, and let $A \subseteq X$. Then a point x is a limit point of A if and only if every neighborhood of x contains infinitely many points of A .*

Proof. Let U_x be a neighborhood of x . If U_x intersects A at infinitely many points of A , then it intersects A at a point distinct from x , thus x is a limit point of A .

Conversely suppose that x is a limit point of A , and let $U_x \cap A$ be finite, then $U_x \cap (A \setminus \{x\})$ is also finite. Now let $U_x \cap (A \setminus \{x\}) = \{x_1, \dots, x_m\}$. By the T_1 axiom, $\{x_1, \dots, x_m\}$ is closed, so $X \setminus \{x_1, \dots, x_m\}$ is open, thus $U_x \cap (X \setminus \{x_1, \dots, x_m\})$ is a neighborhood of x that does not intersect $A \setminus \{x\}$, which contradicts that x is a limit point. ■

Theorem 1.6.10. *If X is a Hausdorff space, then a sequence of points of X converges to at most one point in X .*

Proof. Let $\{x_n\}$ be a sequence of points converging to x , and let $y \neq x$ and let U_x and U_y be neighborhoods of x and y respectively. Then $U_x \cap U_y = \emptyset$. Now since $\{x_n\}$ converges to x , we have that for $N > 0$, $x_n \in U_x$ whenever $n \geq N$. Then $x_n \notin U_y$, and so $\{x_n\}$ cannot converge to y . ■

Definition. Let $\{x_n\}$ be a sequence in a Hausdorff space X . If $\{x_n\}$ converges to a point $x \in X$, we call x the **limit** of $\{x_n\}$ as n **approaches** ∞ and we write $\lim_{n \rightarrow \infty} x_n = x$ or $\{x_n\} \rightarrow x$ as $n \rightarrow \infty$.

Theorem 1.6.11. *The following are true:*

- (1) *Every simply ordered set under the order topology is Hausdorff.*
- (2) *The product of two Hausdorff spaces is Hausdorff.*
- (3) *The subspace of a Hausdorff space is Hausdorff.*

Proof. (1) Let X be an ordered set under the order topology. Take $x, y \in X$ distinct, and suppose without loss of generality that $x < y$. Then consider the neighborhoods $(-\infty, x]$ and $[y, \infty)$ of x and y respectively. Then $(-\infty, x] \cap [y, \infty) = \emptyset$.

- (2) Let X and Y be Hausdorff, and consider $X \times Y$ in the product topology. Let $x_1 \times y_1$ and $x_2 \times y_2$ be distinct points, and let $U_{x_1}, U_{x_2}, V_{y_1}$ and V_{y_2} be basis elements of x_1, x_2, y_1 , and y_2 respectively. Then they are neighborhoods of those elements respectively.

Now we have that $U_{x_1} \times V_{y_1}$ and $U_{x_2} \times V_{y_2}$ are basis elements of $x_1 \times y_1$ and $x_2 \times y_2$, respectively, and hence neighborhoods of those elements respectively. Then we have $(U_{x_1} \times V_{y_1}) \cap (U_{x_2} \times V_{y_2}) = (U_{x_1} \cap U_{x_2}) \times (V_{y_1} \cap V_{y_2}) = \emptyset \times \emptyset = \emptyset$.

- (3) Let X be Hausdorff, and let Y be a subspace of X . Let x_1 and x_2 be distinct points, and let U_{x_1} and U_{x_2} be their neighborhoods. Since Y is open in X , then so are $Y \cap U_{x_1}$ and $Y \cap U_{x_2}$, so they are also neighborhoods of x_1 and x_2 respectively. Then $(Y \cap U_{x_1}) \cap (Y \cap U_{x_2}) = Y \cap (U_{x_1} \cap U_{x_2}) = \emptyset$.

■

Definition. For any set X , we define the **diagonal** of X to be the set

$$\Delta(X) = \{x \times x : x \in X\} \quad (1.1)$$

Theorem 1.6.12. *A topological space X is Hausdorff if, and only if the diagonal $\Delta(X)$ is closed.*

Proof. Suppose that X is Hausdorff, then for any $x, y \in X$ distinct, there are neighborhoods U_x and U_y of x and y respectively with U_x and U_y disjoint. Notice then that $X \setminus U_x$ and $X \setminus U_y$ are closed in X , hence $(X \times X) \setminus (U_x \times U_y)$ is also closed. Now, since $x \neq y$ in the neighborhood of $U_x \times U_y$ we get that the intersection:

$$\bigcap_{x, y \in X} (X \times X) \setminus (U_x \times U_y) = \Delta(X)$$

So $\Delta(X)$ is closed.

Conversely, suppose that $\Delta(X)$ is closed. Then $(X \times X) \setminus \Delta(X) = \{x \times y : x \neq y\}$ is open. Now, let $U \times V$ be a neighborhood for some $x \times y \in (X \times X) \setminus \Delta(X)$ as a subspace of $X \times X$. Then U is a neighborhood for x and V is a neighborhood for y . Now, if $U \cap V \neq \emptyset$, there is some $z \in U$ and $z \in V$, so that $z \times z \in U \times V$. But $U \times V$ is a neighborhood contained in $(X \times X) \setminus \Delta(X)$, which contradicts $z \times z \in U \times V$. Therefore, U and V must be disjoint, which makes X into a Hausdorff space. ■

We conclude this section by looking at another characteristic of sets in topological spaces, closely related to the interior and closure of sets.

Definition. Let X be a topological space, and $A \subseteq X$. We define the **boundry** of A

$$\partial A = \text{cl } A \cap \text{cl } (X \setminus A) \quad (1.2)$$



Figure 1.9: The boundry ∂C of a cylinder C whose interior is empty is the disjoint union of the two circles that enclose C .

Example 1.18. The following are true about the boundry:

- (1) $\text{Int } A$ and ∂A are disjoint and $\text{cl } A = \text{Int } A \cup \partial A$.
- (2) If A is open and closed, then $\partial A = \emptyset$.
- (3) If A is open, then $\partial A = \text{cl } A \setminus A$.

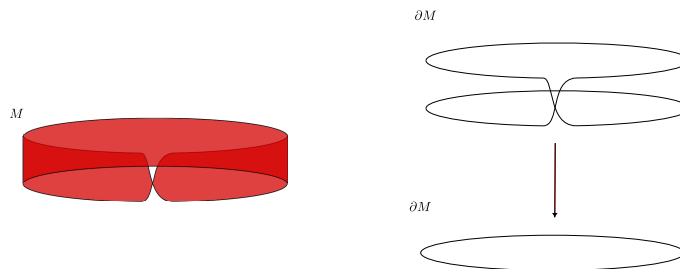


Figure 1.10: The Möbius strip is a well known example of an object with only one side. It can be recreated by taking a thin strip of tape, twisting it, and then gluing the ends together. It can be show that the boundry of a Möbius strip is homeomorphic to a circle.

1.7 Continuous Functions.

Definition. Let X and Y be topological spaces. We say that a mapping $f : X \rightarrow Y$ is **continuous** if for each open set V in Y , $f^{-1}(V)$ is open in X .

Now if $f : X \rightarrow Y$ is continuous, then for every open set V of Y , $f^{-1}(V)$ is open in X . Now suppose that \mathcal{B} is a basis of Y , then $V = B_\alpha$, hence $f^{-1}(B_\alpha)$, is open in X .

Similarly, if \mathcal{S} is a subbasis of Y , then for any basis element B of Y , $B = \bigcap_{i=1}^n S_i$, which then implies that $f^{-1}(B) = \bigcap_{i=1}^n f^{-1}(S_i)$, thus $f^{-1}(S_i)$ is also open in X for $1 \leq i \leq n$.

Example 1.19. (1) Let $f : \mathbb{R} \rightarrow \mathbb{R}$ be a continuous realvalued function. Then for each open interval $I \subseteq \mathbb{R}$, $f^{-1}(I)$ is an open interval in \mathbb{R} , so take $x_0 \in \mathbb{R}$ and $\varepsilon > 0$, and let $I = (f(x_0) - \varepsilon, f(x_0) + \varepsilon)$, then since $x_0 \in f^{-1}(I)$, there is a basis $(a, b) \subseteq f^{-1}(I)$ about x_0 . Then take $\delta = \min\{x_0 - a, x_0 - b\}$, then $x \in (a, b)$ whenever $0 < |x - x_0| < \delta$, and we get that $f(x) \in I$, that is, $|f(x) - f(x_0)| < \varepsilon$. This is the definition of continuity defined in the real analysis. We can prove that the converse holds also.

If $f : \mathbb{R} \rightarrow \mathbb{R}$ is continuous at a point x_0 , then for every $\varepsilon > 0$, there is a $\delta > 0$ such that $|f(x) - f(x_0)| < \varepsilon$ whenever $0 < |x - x_0| < \delta$. Then we notice that x and x_0 are distinct, furthermore, $x_0 - \delta < x < x_0 + \delta$, hence $x \in (x_0 - \delta, x_0 + \delta)$ implies that $f(x) \in (f(x_0) - \varepsilon, f(x_0) + \varepsilon)$. Letting $V_\delta(x_0) = (x_0 - \delta, x_0 + \delta)$ and $V_\varepsilon(f(x_0)) = (f(x_0) - \varepsilon, f(x_0) + \varepsilon)$, we have that whenever $x \in V_\delta(x_0)$, then $f(x) \in V_\varepsilon(f(x_0)) \subseteq f^{-1}(V_\delta(x_0))$. And so the topological definition of continuity is equivalent to the real analytic definition of continuity.

- (2) Let $f : \mathbb{R} \rightarrow \mathbb{R}_l$ be defined such that $f(x) = x$ for all $x \in \mathbb{R}$. Take $[a, b) \subseteq \mathbb{R}_l$, we have that $f^{-1}([a, b)) = [a, b)$, which is not open in \mathbb{R} (under the standard topology), hence f is not continuous. However, the map $g : \mathbb{R}_l \rightarrow \mathbb{R}$ defined the same way is continuous since $g^{-1}((a, b))$ is open in \mathbb{R}_l .
- (3) Consider the map $f : \mathbb{R} \rightarrow \mathbb{R}$ defined by $f(x) = x$ if $x \in \mathbb{Q}$ and $f(x) = 0$ if $x \in \mathbb{R} \setminus \mathbb{Q}$. Then f is continuous only at $x = 0$, for the limits

$$\lim_{x \rightarrow x_0^+} f(x)$$

and

$$\lim_{x \rightarrow x_0^-} f(x)$$

both fail to exist for $x_0 \in \mathbb{Q}$ and $x_0 \neq 0$.

- (4) Let $f : A \rightarrow B$ and $g : C \rightarrow D$ be continuous and define $f \times g : A \times C \rightarrow B \times D$ by $f \times g : a \times c \rightarrow f(a) \times g(c)$. Then $f \times g$ is continuous. We have that U and V open in B and D make $f^{-1}(U)$ and $g^{-1}(V)$ open in A and C , respectively. Then it follows that $U \times V$ is open in $B \times D$, and that $(f \times g)^{-1}(U \times V) = f^{-1}(U) \times g^{-1}(V)$ is also open by the definition if $f \times g$. This makes $f \times g$ continuous.

Theorem 1.7.1. *Let X and Y be topological spaces, and let $f : X \rightarrow Y$ be a mapping of X into Y . Then the following are equivalent:*

- (1) f is continuous.
- (2) For every $A \subseteq X$, $f(\text{cl } A) \subseteq \text{cl } f(A)$.
- (3) For every closed set $B \subseteq Y$, $f^{-1}(B)$ is closed in X .
- (4) For each $x \in X$ and each neighborhood V of $f(x)$, there is a neighborhood U of x such that $f(U) \subseteq V$.

Proof. Let f be continuous and let $A \subseteq X$. Consider the neighborhood V of $f(x)$, then $f^{-1}(V)$ is open in X , and intersects A at a point y . Then $V \cap f(A) = f(y)$, thus $f(x) \in \text{cl } f(A)$.

Now let B be closed in Y , and let $A = f^{-1}(B)$. Then we have that $f(A) = f(f^{-1}(B)) \subseteq B$, thus $x \in \text{cl } A$.

Now let V be open in Y , so that $B = Y \setminus V$ is closed in Y , and $f^{-1}(B) = f^{-1}(Y) \setminus f^{-1}(V) = X \setminus f^{-1}(V)$ which is closed in X , hence $f^{-1}(V)$ is open in X .

Now let $x \in X$, and let V be a neighborhood of $f(x)$. Then $U = f^{-1}(V)$ is a neighborhood of x for which $f(U) \subseteq V$. Finally let V be open in Y , and let $x \in f^{-1}(V)$, then $f(x) \in V$, so there is a neighborhood U_x of x for which $f(U_x) \subseteq V$, then $U_x \subseteq f^{-1}(V)$, then $f^{-1}(V)$ is a union of open sets, and hence open in X . ■

Example 1.20. Suppose $f : X \rightarrow Y$ is continuous, and that AX . Let $x \in A'$ be a limit point of A . Certainly, we have $x \in \text{cl } A$ so then $f(x) \in f(\text{cl } A) \subseteq \text{cl } f(A)$. It remains to see if $x \in (f(A))'$ then. Let V be open in Y such that $x \in U = f^{-1}(V)$. Then $U \cap (A \setminus x) \neq \emptyset$. So then we get $V \cap (f(A) \setminus f(x)) \neq \emptyset$. If $z \in U \cap A$, then $f(z) = f(x)$, so that $f(U \cap A) = \{f(x)\}$, which means that $x \notin (f(A))'$, in general. It is the case that $f(x)$ is a limit point of $f(A)$ whenever f is 1-1.

Definition. Let X and Y be topological spaces, and $f : X \rightarrow Y$ be a 1-1 mapping of X onto Y . We call f a **homeomorphism** if both f and f^{-1} are continuous.

Lemma 1.7.2. *Let X and Y be topological spaces and let $f : X \rightarrow Y$ be a homeomorphism. Then $f(U)$ is open if and only if U is open.*

Proof. We have that both $f : X \rightarrow Y$ and $f^{-1} : Y \rightarrow X$ are continuous 1 – 1 of X and Y onto each other (respectively). Now let U be open in X , then $U = f^{-1}(V)$, for some set V open in Y . Notice then, that $f(U) = f(f^{-1}(V)) = V$, thus $f(U)$ is open in Y . Conversely, let $V = f(U)$ be open in Y for some open set U in X , then $U = f^{-1}(V)$, so by definition of continuity, U is open in X . ■

We can also characterize now when the topology of one set is finer or coarser than the topology of another given another given a continuous mapping.

Lemma 1.7.3. *If X and X' are two sets with topologies \mathcal{T} and \mathcal{T}' , respectively, then if $f : X' \rightarrow Y$ is the identity mapping, then f is continuous if, and only if $\mathcal{T} \subseteq \mathcal{T}'$*

Proof. Suppose that f is continuous, then for every V open in X under \mathcal{T} , $f^{-1}(V)$ is open in X' under \mathcal{T}' . Since f is the identity, we get that $V = f^{-1}(V)$, so that V is open in X' .

Conversely, if $\mathcal{T} \subseteq \mathcal{T}'$, then for every V open in X , V is open in X' . Notice then $V = f^{-1}(V) \subseteq X'$. Therefore, f is continuous. ■

Corollary. *$f : X' \rightarrow X$ is a homomorphism if, and only if $\mathcal{T} = \mathcal{T}'$.*

Definition. Let X and Y be topological spaces and let $f : X \rightarrow Y$ be a continuous 1 – 1 mapping of X into Y , and consider $f(X)$ as a subspace of Y . We call $f : X \rightarrow f(X)$ a **topological imbedding** if f is a homeomorphism of X onto $f(X)$.

Example 1.21. (1) The map $f : \mathbb{R} \rightarrow \mathbb{R}$ defined by $f(x) = 3x + 1$ is a homeomorphism whose inverse is $f^{-1}(y) = \frac{1}{3}(y - 1)$, both f and f^{-1} are continuous.

(2) The map $f : (-1, 1) \rightarrow \mathbb{R}$ defined by $f(x) = \frac{x^2}{1-x^2}$ has as its inverse the map $f : \mathbb{R} \rightarrow (-1, 1)$ defined by $f^{-1}(y) = \frac{2y}{1+\sqrt{1+4y^2}}$. Both f and f^{-1} are continuous, so f is a homeomorphism.

(3) The map $g : \mathbb{R}_l \rightarrow \mathbb{R}$ defined by $g(x) = x$ is not a homeomorphism, despite being continuous, as $g^{-1}(1)$ is undefined.

(4) Let S^1 be the unit circle in \mathbb{R}^2 , which is a subspace of \mathbb{R}^2 , and define $f : [0, 1) \rightarrow S^1$ by $f(t) = (\cos(2\pi t), \sin(2\pi t))$. Clearly f is 1 – 1 onto S^1 , and continuous, however f^{-1} is not continuous as $f([0, \frac{1}{4}))$ is not open in S^1 as $f(0)$ is in no open set of \mathbb{R}^2 such that $U \cap S^1 = f([0, 1))$.

(5) Consider the mappings $g : [0, 1) \rightarrow \mathbb{R}^2$ by $g(t) = (\cos(2t\pi), \sin(2t\pi))$. Now g is 1 – 1 and continuous, and we have that $g([0, 1)) \subseteq S^1$, however since g is not a homeomorphism, g fails to be a topological embedding.

(6) Let X and Y be topological spaces, and consider the maps $f : x \rightarrow x \times y_0$ and $g : y \rightarrow y_0 \times x$ for some $x_0 \in X$ and $y_0 \in Y$. We see that f and g are both onto $f(X)$ and $g(Y)$, respectively, and that $x \times y_0 = x' \times y_0$ and $x_0 \times y = x_0 \times y'$ both imply that $x = x'$ and $y = y'$, so f and g are also 1 – 1.

Lastly, we see that if $U \times y_0$ and $x_0 \times V$ are open in $X \times Y$, then $f^{-1}(U \times y_0)$ and $g^{-1}(x_0 \times V)$ are open in X and Y , respectively. This makes f and g continuous, and

by the same argument, so are f^{-1} and g^{-1} . Thus f and g are imbeddings of X onto $f(X) = X \times y_0$ and $g(X) = x_0 \times Y$.

Theorem 1.7.4 (Constructions for continuous functions.). *Let X and Y be topological spaces, then:*

- (1) (Constant construction) *If $f : X \rightarrow Y$ maps $x \rightarrow y_0$ for all $x \in X$, then f is continuous.*
- (2) (Inclusion) *If $A \subseteq X$ is a subspace, then the inclusion mapping $\iota : A \rightarrow X$ is continuous.*
- (3) (Construction by composition) *If $f : X \rightarrow Y$ and $g : Y \rightarrow Z$ are continuous, then $f \circ g : X \rightarrow Z$ is also continuous.*
- (4) (Domain restriction) *If $f : X \rightarrow Y$ is continuous and $A \subseteq X$, then $f : A \rightarrow Y$ is continuous.*
- (5) (Range restriction) *if $f : X \rightarrow Y$, and $Z \subseteq Y$ such that $f(X) \subseteq Z$, then $f : X \rightarrow Z$ is continuous.*
- (6) (Range expansion) *If $f : X \rightarrow Y$ is continuous, and $Y \subseteq Z$ is a subspace of Z , then $f : X \rightarrow Z$ is continuous.*
- (7) (Local Formulation) *The map $f : X \rightarrow Y$ is continuous if X can be written as the union of open sets U_α such that $f : U_\alpha \rightarrow Y$ is continuous for all α .*

Proof. (1) Let $f(x) = y_0$ for all $x \in X$, and let V be open in Y , then $f^{-1}(V) = X$ or \emptyset depending on if $y_0 \in V$ or not. In either case, $f^{-1}(V)$ is open.

(2) If U is open in X , then $f^{-1}(U) = U \cap A$ which is open in the subspace topology of X .

(3) If U is open in Z , $g^{-1}(U)$ is open in Y , hence $f^{-1}g^{-1}(U)$ is open in X .

(4) Notice that $f_A = \iota \circ f = f : A \rightarrow Y$ which is continuous by (2) and (3).

(5) Let $f : A \rightarrow Y$ be continuous and let $f(X) \subseteq Z \subseteq Y$. Let B be open in Z , so $B = Z \cap U$ for some U open in Y . Now by hypothesis, we have that $f^{-1}(U) \subseteq f^{-1}(B)$, hence $f^{-1}(B)$ is open in X , thus $f : X \rightarrow Z$ is continuous.

(6) Let f be as in (5), and let $Y \subseteq Z$ be a subspace of Z . Then the mapping $h : X \rightarrow Z$ defined by $h = \iota \circ f$ is continuous.

(7) Let $X = \bigcup U_\alpha$ where U_α is open in X , and $f : U_\alpha \rightarrow Y$ is continuous for all α . Let V be open in Y , then $f^{-1}(V) \cap U_\alpha = f_{U_\alpha}^{-1}(V)$, and since f is continuous on U_α , then $f^{-1}(V) = \bigcup f_{U_\alpha}^{-1}(V)$ is open in X . ■

Theorem 1.7.5 (The pasting lemma). *Let $X = A \cup B$ with A and B closed in X , and let $f : A \rightarrow Y$ and $g : B \rightarrow Y$ be continuous. If $f(x) = g(x)$ for all $x \in A \cap B$, then we can construct a mapping $h : X \rightarrow Y$ defined by $h(x) = \begin{cases} f(x), & x \in A \\ g(x), & x \in B \end{cases}$. Then h is continuous.*

Proof. Let C be closed in Y , then $h^{-1}(C) = f^{-1}(C) \cup g^{-1}(C)$. Since f and g are continuous, then $f^{-1}(C)$ and $g^{-1}(C)$ are closed in A and B , respectively. Thus $h^{-1}(C)$ is closed in X . ■

Example 1.22. Define $h : \mathbb{R} \rightarrow \mathbb{R}$ by $h(x) = \begin{cases} x, & x \leq 0 \\ \frac{x}{2}, & x \geq 0 \end{cases}$. We have that x and $\frac{x}{2}$ are continuous on their respective domains, intersecting at 0, i.e. $x : (-\infty, 0] \rightarrow \mathbb{R}$, $\frac{x}{2} : [0, \infty \rightarrow \mathbb{R}$, and $\{0\} = (-\infty, 0] \cap [0, \infty)$. Thus h is continuous on \mathbb{R} .

However, $k, l : \mathbb{R} \rightarrow \mathbb{R}$ defined by $k(x) = \begin{cases} x - 2, & x \leq 0 \\ x + 2, & x \geq 0 \end{cases}$ and $l(x) = \begin{cases} x - 2, & x < 0 \\ x + 2, & x \geq 0 \end{cases}$ are not continuous. We have that their domains intersect at 0, but that $k(0) = \pm 2$, (so k isn't even a function). Likewise, $(-\infty, 0) \cap [0, \infty) = \emptyset$, which is open in \mathbb{R} see 1.11.

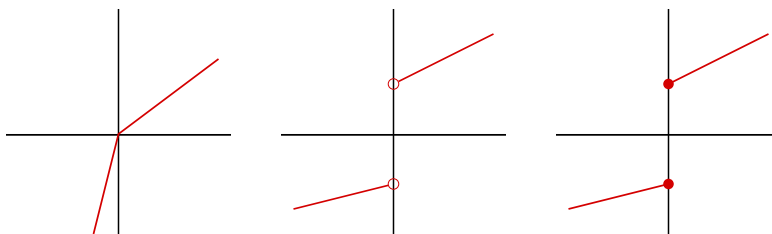


Figure 1.11: The mappings h , k , and l .

Theorem 1.7.6. Let $f : A \rightarrow X \times Y$ be defined by $f(a) = (f_1(a), f_2(a))$, where $f_1 : A \rightarrow X$ and $f_2 : A \rightarrow Y$. Then f is continuous if and only if f_1 and f_2 are continuous.

Proof. Let $\pi_1 : X \times Y \rightarrow X$ and $\pi_2 : X \times Y \rightarrow Y$ be projections onto X and Y respectively. Since $\pi_1^{-1}(U) = U \times Y$ and $\pi_2^{-1}(V) = X \times V$ are both open in $X \times Y$, π_1 and π_2 are continuous. Then notice that $f_1(a) = \pi_1 \circ f(a)$ and $f_2(a) = \pi_2 \circ f(a)$, both of which are continuous.

Now suppose that f_1 and f_2 are continuous. We have that $a \in f^{-1}(U \times V)$ if and only if $f_1(a) \in U$ and $f_2(a) \in V$, then $f_1^{-1}(U)$ and $f_2^{-1}(V)$ are open in A , hence so is $f^{-1}(U \times V) = f_1^{-1}(U) \cap f_2^{-1}(V)$. ■

We now conclude the section with some more definitions and examples.

Definition. We define the **parametrized curve** of the plane \mathbb{R}^2 to be the continuous function $f : [a, b] \rightarrow \mathbb{R}^2$ defined by $f(t) = (x(t), y(t))$. If f is in a vector field, then we define $f(t) = x(t)i + y(t)j$ where $i = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $j = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$.

Example 1.23. The function $f(t) = ((\cos(t)), \sin(t))$ is a parametrization of the curve $x^2 + y^2 = 1$, i.e. the unit circle S^1 .

Example 1.24. Suppose $\{A_\alpha\}$ is a finite collection of subsets of a topological space X , and that $X = \bigcup_\alpha A_\alpha$. Suppose $f : X \rightarrow Y$ is defined such that the maps $f_\alpha = f|_{A_\alpha} : A_\alpha \rightarrow Y$ are continuous for each α . Then f is also continuous provided that each A_α is closed. For, if each A_α is closed, then X is the finite union of closed sets. Moreover, for any $V \subseteq Y$

closed, we get $f_\alpha^{-1}(V)$ is closed in A_α as a subspace of X . So $f^{-1}(V) = \bigcup f_\alpha^{-1}(V)$ is closed in $X = \bigcup A_\alpha$, via local formulation, Making f continuous.

This fact is not true however if $\{A_\alpha\}$ is countable, but not finite. Consider the collection $\{[\frac{1}{n}, 1]\}_{n \in \mathbb{Z}^+}$, of the space $[0, 1]$. We have $[0, 1] = \bigcup_{n \in \mathbb{Z}^+} [\frac{1}{n}, 1]$, and each $[\frac{1}{n}, 1]$ is closed in $[0, 1]$ as a subspace of \mathbb{R} . However, the function $f(x) = \frac{1}{n}$ if $x \in [\frac{1}{n}, 1]$ and $f(x) = 1$ otherwise is continuous on each $[\frac{1}{n}, 1]$, but its local formulation is not continuous on $[0, 1]$.

Remark. Theorem 1.7.4 only states that the local formulation of a mapping is continuous only if its domain is the union of open sets. Thus a local formulation where the domain is a union of closed sets need not be continuous.

Definition. Let X be a topological space, and $\{A_\alpha\}$ a collection of subsets of X . We call $\{A_\alpha\}$ **locally finite** if for each $x \in X$, there is a neighborhood U of x such that $U \cap A_\alpha \neq \emptyset$ only for a finite number of α .

Lemma 1.7.7. Let X be a topological space, and $\{A_\alpha\}$ a locally finite collection of subsets of X . If $f : X \rightarrow Y$ is defined such that $f_\alpha = f|_{A_\alpha} : A_\alpha \rightarrow Y$ is continuous for each α , then f is continuous.

Proof. If $\{A_\alpha\}$ is locally finite, then for each $x \in X$, there is a neighborhood U_x of x such that $U_x \cap A_\alpha \neq \emptyset$ for only a finite number of α . Then $U_x \cap A_\alpha$ is open in A_α as a subspace of X . Then $V_x = A_\alpha \setminus (U_x \cap A_\alpha)$ is closed in A_α , and $V_x = f_\alpha^{-1}(W)$ for some W closed in Y . Thus $\bigcup_{x \in X} V_x = \bigcup_\alpha f_\alpha^{-1}(W) = f^{-1}(W)$ (which is a finite union) is closed in X . This makes f continuous. ■

Definition. Let X , Y , and Z be topological space. We say a map $F : X \times Y \rightarrow Z$ is **continuous in each variable** if the maps $F_X : X \rightarrow Z$ and $F_Y : Y \rightarrow Z$ defined by $F_X(x) = F(x \times y_0)$ and $F_Y(y) = F(x_0 \times y)$ are continuous.

Lemma 1.7.8. If X , Y , and Z are topological spaces, and $F : X \times Y \rightarrow Z$ is continuous, then F is continuous in each variable separately.

Proof. If F is continuous, then W open in Z implies that $F^{-1}(W)$ is open in $X \times Y$, and that $U \times V = F^{-1}(W)$ for U and V open in X and Y respectively. Then F is continuous at $U \times y_0$, for $y_0 \in V$; that is $F^{-1}(U \times y_0)$ is open in $X \times Y$. So, by definition, $F_X(U)$ is open in Z , and F_X is continuous. By similar reasoning, we can also conclude that F_Y is continuous, and that F is continuous in each variable. ■

Example 1.25. Define $F : \mathbb{R} \rightarrow \mathbb{R}$ by

$$F(x \times y) = \begin{cases} \frac{xy}{x^2+y^2}, & \text{if } x \times y \neq 0 \times 0 \\ 0, & \text{otherwise} \end{cases}$$

Define $F_X(x) = F(x \times y_0)$, then $F_X(x) = \frac{xy_0}{x^2+y_0^2}$ if $x \neq 0$ and $y_0 \neq 0$, and 0 otherwise. Then when $y_0 = 0$ we see that

$$\lim_{x \rightarrow 0} F(x \times y_0) = \lim_{x \rightarrow 0} F_X(x) = F_X(0) = F(0 \times 0) = 0$$

so that F_X is continuous at $x = 0$, and it is also continuous everywhere else. So F_X is continuous. Similarly, the mapping $F_Y(y) = F(x_0 \times y)$ is also continuous, and F is continuous in each variable.

F however, is not continuous in general. Define $g(x) = F(x \times x)$ so we get

$$g(x) = \begin{cases} \frac{1}{2}, & \text{if } x \neq 0 \\ 0, & \text{otherwise} \end{cases}$$

which is not continuous. So F cannot be continuous at the points $x \times x$, for it degenerates into a noncontinuous function. In fact, if we have $\frac{xy}{x^2+y^2} = \frac{1}{2}$, then we get $x^2+y^2-2xy = (x-y)^2 = 0$ and $x \times y \neq 0 \times 0$. This means $F^{-1}(\{\frac{1}{2}\}) = \{x \times x : x \neq 0\}$ is not closed.

Chapter 2

More on Topological Spaces

2.1 The Product Space and Box Topologies.

We now explore more about the product topology.

Definition. Let J be an indexed set, and let X be a set. We define a **J -tuple** of elements of X to be a map $x : J \rightarrow X$, where if $\alpha \in J$, then $x(\alpha) = x_\alpha$, and we call it the **α -th coordinate** of x . We write $(x_\alpha)_\alpha \in J$, or just simply (x_α)

Definition. Let $\{A_\alpha\}$ be an indexed family, and let $X = \bigcup_{\alpha \in J} A_\alpha$. We define the **cartesian product** of $\{A_\alpha\}$, $\prod_{\alpha \in J} A_\alpha$ to be the set of all J -tuples (x_α) of elements of X , where $x_\alpha \in A_\alpha$

Theorem 2.1.1. *Let $\{X_\alpha\}$ be a family of topological spaces, and consider the cartesian product $\prod X_\alpha$. Then the collection of all cartesian products $\prod U_\alpha$, where U_α is open in X_α , for all α , forms a basis for the topology on $\prod X_\alpha$.*

Proof. Clearly $\prod X_\alpha$ itself is a basis element by the first condition. Now consider $\prod U_\alpha$ and $\prod V_\alpha$, then $\prod U_\alpha \cap \prod V_\alpha = \prod (U_\alpha \cap V_\alpha)$, which is also a basis element. ■

Definition. Let $\{X_\alpha\}$ be a family of topological spaces, and take as basis the collection of all products $\prod U_\alpha$ where U_α is open in X_α . We call the topology generated by this basis the **box topology** on $\prod X_\alpha$.

Definition. Let $\pi_\beta : \prod X_\alpha \rightarrow X_\beta$ be defined by $\pi_\beta((x_\alpha)) = x_\beta$. We call this map the **projection mapping** of $\prod X_\alpha$ onto X_β

Theorem 2.1.2. *Let $\mathcal{S}_\beta = \{\pi_\beta^{-1}(U_\beta) : U_\beta \text{ is open in } X_\beta\}$, and let $\mathcal{S} = \bigcup \mathcal{S}_\beta$. Then \mathcal{S} forms the basis for a topology on $\prod X_\alpha$.*

Proof. Since U_β is open in X_β , $\pi_\beta^{-1}(U_\beta) \subseteq \prod X_\alpha$. Taking $\bigcup \mathcal{S}$, we get that $\bigcup \pi_\beta^{-1}(U_\beta) = \prod X_\beta$ for all β . Thus \mathcal{S} is a subbasis. ■

Definition. Let π_β be a projection mapping of $\prod X_\alpha$ onto X_β , and take as subbasis the collection of all $\pi_\beta^{-1}(U_\beta)$ where U_β is open in X_β . We call the topology generated by this subbasis the **product space topology**, or more generally the **product topology** on $\prod X_\alpha$.

Theorem 2.1.3. *The box topology on $\prod X_\alpha$ has as basis all sets of the form $\prod U_\alpha$, where U_α is open in X_α , and the product space topology has as basis all sets of the form $\prod U_\alpha$, where U_α is open in X_α , and $U_\alpha = X_\alpha$ except only for finitely many α .*

Proof. That the box topology has as a basis all sets of the form $\prod U_\alpha$ is clear. Now consider the basis \mathcal{B} that \mathcal{S} generates, and let β_1, \dots, β_n be a finite set of distinct indices and let U_{β_i} be open in X_{β_i} , and $U_\alpha = X_\alpha$ for all other α . Since $B \in \mathcal{B}$ is a finite intersection of elements of \mathcal{S} , we have that $B = \bigcap_{i=1}^n \beta_i^{-1}(U_{\beta_i})$.

Now a point $x = (x_\alpha) \in B$ if and only if the β_i -th coordinate is in U_{β_i} , for $1 \leq i \leq n$, hence membership depends only on a finite number of α , thus $B = \prod U_\alpha$ where $U_\alpha = X_\alpha$ for $\alpha \neq \beta_i$ for $1 \leq i \leq n$. ■

Corollary. *The box topology on $\prod X_\alpha$ is finer than the product topology on $\prod X_\alpha$; moreover, if $\{X_i\}_{i=1}^n$ is a finite family of topologies, then the box topology, and the product topology on $\prod_{i=1}^n X_i$ are equal.*

For convention, from now on when we consider the product $\prod X_\alpha$, we assume that it is under the product space topology.

Theorem 2.1.4. *Suppose the topology on X_α is given by a basis \mathcal{B}_α . The collection of all sets $\prod B_\alpha$ where $B_\alpha \in \mathcal{B}_\alpha$ for each α is a basis for the box topology on $\prod X_\alpha$.*

The same collection for a finite number of α , and where $B_\alpha = X_\alpha$ for all other α forms a basis for the product space topology on $\prod X_\alpha$.

Proof. Let \mathcal{B} be the collection of all $\prod B_\alpha$, where $B_\alpha \in \mathcal{B}_\alpha$. Now each X_α is already its own basis, hence so is $\prod X_\alpha$. Now let $\prod U_\alpha$ and $\prod V_\alpha$ be basis elements. Since $\prod U_\alpha \cap \prod V_\alpha = \prod U_\alpha \cap V_\alpha$, for finite alpha, and since $\prod U_\alpha \cap \prod V_\alpha = \prod X_\alpha$ for all other α (in the case of the product space topology), we get another basis element. Hence \mathcal{B} is a basis for the box topology, and, provided the necessary condition, is also a basis for the product topology. ■

Theorem 2.1.5. *Let A_α be a subspace of X_α . Then $\prod A_\alpha$ is a subspace of $\prod X_\alpha$ under both the box and product space topologies.*

Proof. Since $\prod A_\alpha \cap \prod U_\alpha = \prod (A_\alpha \cap U_\alpha)$, and $A_\alpha \cap U_\alpha$ is a basis element for X_α under the subspace topology, then it follows that $\prod (A_\alpha \cap U_\alpha)$ is a basis element for the same topology on $\prod X_\alpha$, thus $\prod A_\alpha$ is a subspace. ■

Theorem 2.1.6. *If X_α is a Hausdorff space, then so is $\prod X_\alpha$ under both the box and product space topologies.*

Proof. Since X_α is a Hausdorff space, a sequence of points of X_α , $\{x_{\alpha_n}\}$ converges to at most one point. Now construct a sequence $\{x_n\}$ where $x_i = x_{\alpha_i}$ and x_{α_i} is the i -th term of (x_α) , we see that $\{x_{\alpha_n}\}$ is a subsequence of $\{x_n\}$, by definition, and hence $\{x_n\}$ must also converge at at most one point. ■

Example 2.1. For Euclidean space \mathbb{R}^n , a basis consists of all products of the form $(a_1, b_1) \times \dots \times (a_n, b_n)$ where (a_i, b_i) is an open interval for all $1 \leq i \leq n$. Since \mathbb{R}^n is a finite product space, both the box and product topologies on \mathbb{R}^n are the same.

Theorem 2.1.7. *If $A_\alpha \subseteq X_\alpha$, then $\prod \text{cl } A_\alpha = \text{cl } \prod A_\alpha$*

Proof. Let $x = (x_\alpha) \in \prod \text{cl } A_\alpha$ and let $U = \prod U_\alpha$ be a basis element (for either topology). Choosing $y_\alpha \in U_\alpha \cap A_\alpha$, for each α , let $y = (y_\alpha)$. Then $y \in U$, and $y \in \prod A_\alpha$, hence $x \in \text{cl } \prod A_\alpha$.

Now suppose that $x \in \prod A_\alpha$ (in either topology). Let V_β be an open set of X_β containing x_β . Since $\pi_\beta^{-1}(V_\beta)$ is open in $\prod X_\alpha$ (in either topology), it contains a point $y = (y_\alpha)$ of $\prod A_\alpha$. Then $y_\beta \in V_\beta \cap A_\beta$, hence $x \in \text{cl } A_\beta$. ■

Theorem 2.1.8. *Let $f : A \rightarrow \prod X_\alpha$ be defined by $f(a) = (f_\alpha(a))$, where $f_\alpha : A \rightarrow X_\alpha$. Letting $\prod X_\alpha$ have the product space topology, f is continuous if and only if f_α is continuous for each α .*

Proof. We know that the projection mapping π_β is continuous. Now suppose that f is continuous, and notice that $f_\beta = \pi_\beta \circ f$, which makes f_β continuous for each β .

On the other hand, suppose that f_β is continuous for each β . Notice that $f_\beta^{-1} = f^{-1} \circ \pi_\beta^{-1}$, since $\pi_\beta^{-1}(U_\beta)$ is open in $\prod X_\alpha$, then so is $f^{-1} \circ \pi_\beta^{-1}(U_\beta) = f_\beta^{-1}(U_\beta)$. This makes f continuous. ■

Example 2.2. Theorem 2.1.8 holds only for the product space topology and fails in general for the box topology. Consider \mathbb{R}^ω and define the map $f : \mathbb{R} \rightarrow \mathbb{R}^\omega$ by $f(t) = (t, t, t, \dots)$. We have that $f_n(t) = t$ is continuous, which makes f continuous under the product topology. Now consider the box topology: let $B = (-1, 1) \times (-\frac{1}{2}, \frac{1}{2}) \times (-\frac{1}{3}, \frac{1}{3}) \times \dots$, and suppose that $f^{-1}(B)$ were open. Then it contains some interval $(-\delta, \delta)$, about 0, thus $\pi_\beta \circ f((-\delta, \delta)) = f_\beta((-\delta, \delta)) = (-\delta, \delta) \subseteq (-\frac{1}{n}, \frac{1}{n})$, which is absurd. Thus the only implication of the theorem that holds for the box topology is that f_α is continuous only when f is continuous.

2.2 The Metric Topology

Definition. A **metric** (or **distance function**) on a set X is a map $d : X \times X \rightarrow \mathbb{R}$ satisfying the following for all $x, y, z \in X$:

- (1) $d(x, y) \geq 0$ and $d(x, y) = 0$ if and only if $x = y$.
- (2) $d(x, y) = d(y, x)$.
- (3) $d(x, y) \leq d(x, z) + d(z, y)$ (The Triangle Inequality).

We call $d(x, y)$ the **distance** between x and y , and given $\varepsilon > 0$, we define the **ε -ball centered about x** to be the set $B_d(x, \varepsilon) = \{y \in X : d(x, y) < \varepsilon\}$.

Lemma 2.2.1. *Let d be a metric on X . For $x, y \in X$, and $B_d(x, \varepsilon)$ an ε -ball centered about x , there is a δ -ball centered about y , $B_d(y, \delta)$ such that $B_d(y, \delta) \subseteq B_d(x, \varepsilon)$.*

Proof. Let $y \in B_d(x, \varepsilon)$ and let $\delta = \varepsilon - d(x, y)$, and take $z \in B_d(y, \delta)$, then we have that $d(y, z) < \varepsilon - d(x, y)$, thus $d(x, z) \leq d(x, y) + d(y, z) < \varepsilon$ which completes the proof. ■

Theorem 2.2.2. *Let d be a metric on X . Then the collection of all ε -balls about x , for some $x \in X$ forms the basis for a topology on X .*

Proof. Clearly $x \in B_d(x, \varepsilon)$, by definition, so it remains to show that the intersection of two ε -balls contains an ε -ball. Let B_1 and B_2 be ε -balls about x , and let $y \in B_1 \cap B_2$. By lemma 2.2.1, there are $\delta_1, \delta_2 > 0$ such that $B_d(y, \delta_1) \subseteq B_1$ and $B_d(y, \delta_2) \subseteq B_2$. Now take $\delta = \min\{\delta_1, \delta_2\}$, then we see that $B_d(y, \delta) \subseteq B_1 \cap B_2$. ■

Definition. If d is a metric on X , we call the topology having as basis the collection of all ε -balls centered about x , for some $x \in X$ and $\varepsilon > 0$, the **metric topology** induced by d .

Corollary. *A set U is open in the metric topology induced by d if and only if for each $y \in U$, and $\delta > 0$, there is a δ -ball centered about y contained in U .*

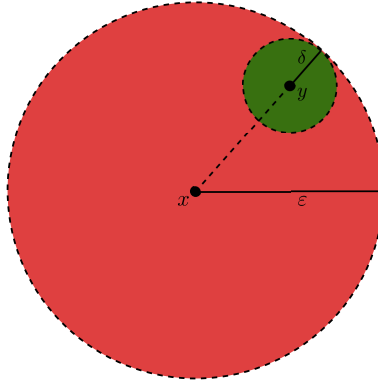


Figure 2.1: All ε -balls centered about x are open in the metric topology by lemma 2.2.1.

Example 2.3. (1) Define $d(x, y) = 1$ if $x \neq y$ and $d(x, y) = 0$ if $x = y$. Clearly d is a metric on X , and induces the discrete topology on X . The basis $B_d(x, 1) = \{x\}$

(2) The standard metric on \mathbb{R} is defined to be $d(x, y) = |x - y|$ and is a metric on \mathbb{R} (that is, the absolute $|\cdot|$ is a metric on \mathbb{R}). This metric induces the standard topology on \mathbb{R} as we see that it has basis $B_d(x, \varepsilon) = \{y \in \mathbb{R} : |x - y| < \varepsilon\} = \{y \in \mathbb{R} : y - \varepsilon < x < y + \varepsilon\} = (y - \varepsilon, y + \varepsilon)$.

(3) Define $d : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$ by

$$d(x, y) = \sum_{i=1}^n |x_i - y_i|$$

We have that d is a metric, for $d(x, y) \geq 0$ and $d(x, y) = 0$ if and only if $x = y$. Likewise, $d(x, y) = d(y, x)$, since $|x_i - y_i| = |y_i - x_i|$.

Lastly, we see for $x, y, z \in \mathbb{R}^n$, $|x_i - y_i| \leq |x_i - z_i| + |z_i - y_i|$, so that $\sum |x_i - y_i| \leq \sum |x_i - z_i| + \sum |z_i - y_i|$, so $d(x, y) \leq d(x, z) + d(z, y)$.

Now, consider the open balls under d , and let $y \in B(x, \varepsilon)$. Then $d(x, y) = \sum |x_i - y_i| < \varepsilon$, which makes $|x_i - y_i| < \varepsilon$, and hence $y_i - \varepsilon < x_i < y_i + \varepsilon$, for all $1 \leq i \leq n$, so $y_i \in (x_i - \varepsilon, x_i + \varepsilon)$. This puts $y \in (x_1 - \varepsilon, x_1 + \varepsilon) \times \cdots \times (x_n - \varepsilon, x_n + \varepsilon)$. So d induces the usual topology on \mathbb{R}^n . We call this metric the **taxicab metric**.

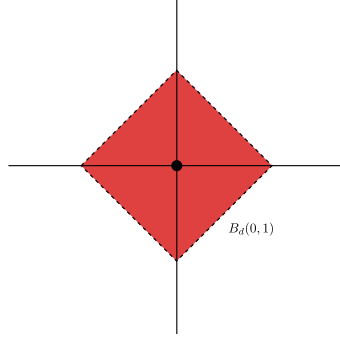


Figure 2.2: The open ball of radius 1 centered about the point 0×0 in the taxicab metric

(4) For the metric $d' : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$ defined by

$$d'(x, y) = \sqrt[p]{\sum_{i=1}^n |x_i - y_i|^p}$$

for some p also induces the usual topology on \mathbb{R}^n . For if $y \in B(x, \varepsilon)$, then $d'(x, y) = \sqrt[p]{\sum_{i=1}^n |x_i - y_i|^p} < \varepsilon^p$, which makes $|x_i - y_i|^p < \varepsilon^p$, so that $|x_i - y_i| < \varepsilon$, which is the same as the above example.

Lemma 2.2.3. *If X is a metric space, then the metric of X is a continuous function.*

Proof. Let $d : X \times X \rightarrow \mathbb{R}$ be the metric of X , and let $U \in \mathbb{R}$. Then if $x \times y \in d(U)^{-1}$, then $a = d(x, y) \in U$. We also have then in the usual metric of \mathbb{R} , that $(a - \varepsilon, a + \varepsilon) \subseteq U$, for some $\varepsilon > 0$. Now, take $x' \times y' \in B(x, \frac{\varepsilon}{2}) \times B(y, \frac{\varepsilon}{2})$. By the triangle inequality, we have that $|d(x', y') - d(x, y)| \leq d(x, x') + d(y, y') < \varepsilon$, so that $d(x', y') \in (a - \varepsilon, a + \varepsilon) \subseteq U$. This makes $B(x, \frac{\varepsilon}{2}) \times B(y, \frac{\varepsilon}{2}) \subseteq d^{-1}(U)$ open in $X \times X$, which makes $d^{-1}(U)$ open in X ■

Definition. If X is a topological space, we call X **metrizable** if there is a metric d which induces the topology on X . A **metric space** is a metrizable space X together with the metric inducing the topology of X .

Definition. Let X be a metric space with metric d . A subset $A \subseteq X$ is said to be **bounded** if there is an $M > 0$ such that $d(a_1, a_2) \leq M$ for all $a_1, a_2 \in A$. We define the **diameter** of a bounded set A to be $\text{diam } A = \sup\{d(a_1, a_2) : a_1, a_2 \in A\}$.

It is easy to see that boundedness of a set does not depend on the topology of X , but on the metric.

Theorem 2.2.4. *Let X be a metric space with metric d and define $\bar{d} : X \times X \rightarrow \mathbb{R}$ by $\bar{d} = \min\{d(x, y), 1\}$ for all $x, y \in X$. Then \bar{d} is a metric on X that induces the same topology as d .*

Proof. Clearly we have that $0 \leq \bar{d}(x, y) \leq 1$, and that $\bar{d}(x, y) = \min\{d(x, y), 1\} = \min\{d(y, x), 1\} = \bar{d}(y, x)$. It remains to show the triangle inequality.

Now if $d(x, z) \leq 1$ and $d(z, y) \leq 1$, then by the triangle inequality $d(x, y) \leq 1$ and $\bar{d}(x, y) \leq \bar{d}(x, z) + \bar{d}(z, y)$. Now if $d(x, z) < 1$ and $d(z, y) < 1$, we get the same conclusion. Thus we see that \bar{d} is a metric on X .

Now take as basis the collection of all ε -balls with $0 < \varepsilon < 1$, and any basis element of x contains such an ε -ball, thus \bar{d} induces the same topology as d . ■

Definition. Let X be a metric space with metric d . We define the **standard bounded metric** to be the metric $\bar{d} : X \times X \rightarrow \mathbb{R}$ defined by the rule $\bar{d}(x, y) = \min\{d(x, y), 1\}$ for any $x, y \in X$.

Definition. Let $x \in \mathbb{R}^n$. We define the **norm** of x , $\|x\|$, to be $\|x\| = \sqrt{x_1^2 + \cdots + x_n^2}$. We define the **square metric** ρ on \mathbb{R}^n to be $\rho(x, y) = \{|x_1 - y_1|, \dots, |x_n - y_n|\}$.

Before we show that $\|\cdot\|$ and ρ are metrics, we introduce the following:

Definition. Let $x, y \in \mathbb{R}^n$. We define the **inner product** of x and y to be:

$$\langle x, y \rangle = x_1 y_1 + \cdots + x_n y_n \quad (2.1)$$

Lemma 2.2.5. For $x, y \in \mathbb{R}^n$, $\langle x, y + z \rangle = \langle x, y \rangle + \langle x, z \rangle$ and $|\langle x, y \rangle| \leq \|x\| \|y\|$.

Proof. We have $\langle x, y + z \rangle = x_1(y_1 + z_1) + \cdots + x_n(y_n + z_n) = (x_1 y_1 + \cdots + x_n y_n) + (x_1 z_1 + \cdots + x_n z_n) = \langle x, y \rangle + \langle x, z \rangle$.

Now if $x = 0$ and $y = 0$, then $|\langle x, y \rangle| = \|x\| \|y\| = 0$, so suppose that both $x, y \neq 0$, and let $a = \frac{1}{\|x\|}$ and $b = \frac{1}{\|y\|}$. Notice that $\|ax + by\| \geq 0$ and $\|ax - by\| \geq 0$, then $\|ax + by\|^2 \|ax - by\|^2 = \|x\|^2 \|y\|^2 - |\langle x, y \rangle|^2 \geq 0$, hence $|\langle x, y \rangle| \leq \|x\| \|y\|$. ■

Remark. We call the last relation in the lemma the **Cauchy-Schwarz inequality**.

Theorem 2.2.6. Both the norm and square metrics make \mathbb{R}^n into a metric space.

Proof. We start with the norm. Now clearly, since $\sqrt{x} \geq 0$ (for real numbers), $\|x - y\| \geq 0$, and if $\|x - y\| = 0$ then $(x_1 - y_1)^2 + \cdots + (x_n - y_n)^2 = 0$ hence $x_i = y_i$ for all $1 \leq i \leq n$, and if $x_i = y_i$, then clearly $\|x - y\| = 0$. We also see that $\|x - y\| = \|y - x\|$.

Now consider $z \in \mathbb{R}^n$, notice that $\|x + y\|^2 = \langle x + y, x + y \rangle = \langle x + y, x \rangle + \langle x + y, y \rangle = \langle x, x \rangle + \langle y, y \rangle \leq \|x\|^2 + \|y\|^2$. Using this we have $\|x - z\| + \|z - y\| \geq \|x - y\|$ (square the left hand side and evaluate), so $\|\cdot\|$ is a metric on \mathbb{R}^n .

Now consider the square metric. Clearly we have that $\rho(x, y) \geq 0$ and that $\rho(x, y) = 0$ if and only if $x = y$ (since $|\cdot|$ is also a metric), we also see that $\rho(x, y) = \rho(y, x)$.

Now let $x \in \mathbb{R}^n$, and we have for all $1 \leq i \leq n$ that $|x_i - y_i| \leq |x_i - z_i| + |z_i - y_i|$, hence by definition $|x_i - y_i| \leq \rho(x, z) + \rho(z, y)$, thus $\rho(x, y) \leq \rho(x, z) + \rho(z, y)$ and ρ is a metric. ■

Lemma 2.2.7. Let d and d' be metrics on X and let \mathcal{T} and \mathcal{T}' be the topologies induced by d and d' respectively. $\mathcal{T} \subseteq \mathcal{T}'$ if and only if for each $x \in X$, and $\varepsilon > 0$, there is a $\delta > 0$ such that $B_{d'}(x, \delta) \subseteq B_d(x, \varepsilon)$.

Proof. Suppose that $\mathcal{T} \subseteq \mathcal{T}'$, and take $B_d(x, \varepsilon)$ in \mathcal{T} , then by lemma 2.2.1, there is a B' in \mathcal{T}' or which $B' \subseteq B_d(x, \varepsilon)$, hence there is a δ -ball about x for which $B_{d'}(x, \delta) \subseteq B'$.

Conversly, suppose for $x \in X$ and $\varepsilon > 0$, that there is a $\delta > 0$ for which $B_{d'}(x, \delta) \subseteq B_d(x, \varepsilon)$. Given a basis B of \mathcal{T} , there is an ε -ball about x contained in B , hence $B_d(x, \varepsilon)$ is also in B , thus we have that $\mathcal{T} \subseteq \mathcal{T}'$. ■

Theorem 2.2.8. *The norm and the square metric both induce the product topology on \mathbb{R}^n .*

Proof. Notice that $\rho(x, y) \leq \|x - y\| \leq \rho(x, y)\sqrt{n}$. This first inequality shows that $B_{\|\cdot\|}(x, \varepsilon) \subseteq B_\rho(x, \varepsilon)$, and the second shows that $B_\rho(x, \frac{\varepsilon}{\sqrt{n}}) \subseteq B_{\|\cdot\|}(x, \varepsilon)$, thus both $\|\cdot\|$ and ρ induce the same topology.

Now let $B = (a_1, b_1) \times \cdots \times (a_n, b_n)$ be a basis for the product topology on \mathbb{R}^n . Since, for each i , there is an $\varepsilon_i > 0$ such that $(x_i - \varepsilon_i, x_i + \varepsilon_i) \subseteq (a_i, b_i)$, choosing $\varepsilon = \min\{\varepsilon_1, \dots, \varepsilon_n\}$, we see that $B_\rho(x, \varepsilon) \subseteq B$. Conversely, given $y \in B_\rho(x, \varepsilon)$, notice that $B_\rho(x, \varepsilon) = (x_1 - \varepsilon, x_1 + \varepsilon) \times \cdots \times (x_n - \varepsilon, x_n + \varepsilon)$, thus $B \subseteq B_\rho(x, \varepsilon)$. Thus the topologies are the same. ■

Definition. Given an index set J and given points $x = (x_\alpha)$ and $y = (y_\alpha)$ of \mathbb{R}^J , we define the **uniform metric** $\bar{\rho}$ on \mathbb{R}^J by $\bar{\rho}(x, y) = \sup\{\bar{d}(x_\alpha, y_\alpha) : \alpha \in J\}$, where \bar{d} is the standard bounded metric on \mathbb{R}^J . We call the topology induced by $\bar{\rho}$ the **uniform topology**.

Theorem 2.2.9. *The uniform topology on \mathbb{R}^J is finer than the product topology on \mathbb{R}^J and coarser than the box topology on \mathbb{R}^J , and all three topologies are different if J is infinite.*

Proof. Let $x = (x_\alpha)$ and $\prod U_\alpha$ be a basis element for the product topology, and let $\alpha_1, \dots, \alpha_n$ be the indices for which $U_\alpha \neq \mathbb{R}$, and for each i , choose $\varepsilon_i > 0$ such that the ε_i -ball about x_{α_i} , $B_{\bar{d}}(x_{\alpha_i}, \varepsilon_i) \subseteq U_{\alpha_i}$. Let $\varepsilon = \min\{\varepsilon_1, \dots, \varepsilon_n\}$, and let $z = (z_\alpha) \in \mathbb{R}^J$ be such that $\bar{\rho}(x, z) < \varepsilon$. Then $\bar{d}(x_\alpha, z_\alpha) < \varepsilon$ for all α . Hence $B_{\bar{\rho}}(x, \varepsilon) \subseteq \prod U_\alpha$ for all α ; so the uniform topology is finer.

Likewise, consider B the ε -ball about x in the $\bar{\rho}$ metric. Then the box $U = \prod (x_\alpha - \frac{\varepsilon}{2}, x_\alpha + \frac{\varepsilon}{2})$ and $y \in U$ if $\bar{d}(x_\alpha, y_\alpha) < \frac{\varepsilon}{2}$, then $\bar{\rho}(x, y) \leq \frac{\varepsilon}{2}$, so $U \subseteq B$, and the uniform topology is coarser.

Now in the case where J is infinite, if J is uncountable, we are done, since there is no way to map the indices of J onto \mathbb{Z}^+ . So consider the case where J is countable, and map $J \rightarrow \mathbb{Z}^+$ by $\alpha_i \rightarrow i$. Let $U = \prod (x_i - \varepsilon, x_i + \varepsilon)$ and consider a base $B_{\bar{\rho}}(x, \varepsilon)$. We have that for $y \in B_{\bar{\rho}}(x, \varepsilon)$ that $\bar{d}(x_\alpha, y_\alpha) = \min\{\rho(x_i, y_i), 1\}$, we have that $\bar{d}(x_i, y_i) = \bar{\rho}(x_i, y_i)$ or 1, and if we choose $0 < \varepsilon < 1$, then $\bar{\rho}$ fails to put $B_{\bar{\rho}}(x, \varepsilon)$ inside of U . Likewise, the basis $\prod U_\alpha$ (in the product topology) fails to be contained in $B_{\bar{\rho}}(x, \varepsilon)$ by the same argument. Thus the uniform topology is not necessarily finer than the box topology, nor coarser than the product topology in \mathbb{R}^J , when J is infinite. ■

Remark. Clearly the box, product and uniform topologies on \mathbb{R}^J are the same when J is finite, as the box and product topologies are the same for finite product spaces.

Theorem 2.2.10. *Let $\bar{d}(a, b) = \min\{|a - b|, 1\}$ be the standard bounded metric on \mathbb{R} , and for $x, y \in \mathbb{R}^\omega$, define:*

$$D(x, y) = \sup_i \left\{ \frac{\bar{d}(x_i, y_i)}{i} \right\} \quad (2.2)$$

Then D is a metric that induces the product space topology on \mathbb{R}^ω

Proof. Since \bar{d} is a metric, D satisfies the conditions for a metric, it is worth looking into the case for the triangle inequality. Notice that for all i , $D(x, y) \leq \frac{\bar{d}(x_i, y_i)}{i} \leq \frac{\bar{d}(x_i, z_i)}{i} + \frac{\bar{d}(z_i, y_i)}{i} \leq D(x, z) + D(z, y)$. Therefore $D(x, y) \leq D(x, z) + D(z, y)$.

Now let U be open in the metric topology induced by D , and let $x \in U$. Choose an ε -ball $B_D(x, \varepsilon) \subseteq U$ and choose $N > 0$ arbitrarily large such that $\frac{1}{N} < \varepsilon$ and let $V = (x_1 - \varepsilon, x_1 + \varepsilon) \times \cdots \times (x_N - \varepsilon, x_N + \varepsilon) \times \mathbb{R} \times \cdots \times \mathbb{R} \dots$. Given $y \in \mathbb{R}^\omega$, we have $\frac{\bar{d}(x_i, y_i)}{i} \leq \frac{1}{N}$ for

all $i \geq N$, thus $D(x, y) \leq \max\{\frac{\bar{d}(x_1, y_1)}{1}, \dots, \frac{\bar{d}(x_N, y_N)}{N}, \frac{1}{N}\}$. Now if $y \in V$, then the expression is less than ε , so $V \subseteq B_D(x, \varepsilon)$.

Conversely let $U = \prod U_i$ where U_i is open in \mathbb{R} for $i = \alpha_1, \dots, \alpha_n$, and $U_i = \mathbb{R}$ for all other indices. Given $x \in U$, for $i = \alpha_1, \dots, \alpha_n$, and letting $\varepsilon = \min\{\frac{\varepsilon_i}{i} : i = \alpha_1, \dots, \alpha_n\}$ where $\frac{1}{N} < \varepsilon_i$ for each i . Letting $B_d(x, \varepsilon)$ be the corresponding ε -ball under the metric d , we see that $\frac{\bar{d}(x_i, y_i)}{i} \leq D(x, y) < \varepsilon$. Now if $i = \alpha_1, \dots, \alpha_n$, then $\bar{d}(x_i, y_i) < \varepsilon_i \leq 1$, hence $|x_i - y_i| < \varepsilon_i$, putting $y \in U$. ■

2.3 More on Metric Spaces

We go more in depth on metric spaces here.

Theorem 2.3.1. *If A is a subspace of a metric space X , with metric d , then d restricted to $A \times A$ makes A into a metric space.*

Proof. Clearly $d : A \times A \rightarrow \mathbb{R}$ is a metric. So consider the ε -ball about x , $B_d(x, \varepsilon)$ in X ; restricting d to $A \times A$, consider $A \cap B_d(x, \varepsilon)$. For $y \in A$, there is a δ -ball about y such that $B_d(y, \delta) \subseteq B_d(x, \varepsilon)$; then $B_d(y, \delta) \subseteq B_d(x, \varepsilon)$. This makes A as a subspace, into a metric space. ■

Corollary. *The metric topology on A is the same as the subspace topology on A .*

Theorem 2.3.2. *The Hausdorff axiom is satisfied in every metric space.*

Proof. If $x, y \in X$ are distinct, let $\varepsilon = \frac{1}{2}d(x, y)$, by the triangle inequality, we have that $B_d(x, \varepsilon)$ and $B_d(y, \varepsilon)$ are disjoint. ■

Theorem 2.3.3. *Countable products of metrizable spaces are metrizable.*

Proof. Let X be a metric space with metric d . Define $\bar{d}(x, y) = \min\{d(x, y), 1\}$ on X and define $D(x, y) = \sup\{\frac{\bar{d}(x_i, y_i)}{i}\}$ on X^ω . It is clear that both \bar{d} and D are metrics on X and X^ω respectively. We would like to show that D induces the product topology on X^ω .

Let U be open and let $x \in U$. Choose $B_D(x, \varepsilon) \subseteq U$ and choose N large enough such that $\frac{1}{N} < \varepsilon$. Now let $V = (x_1 - \varepsilon, x_1 + \varepsilon) \times \dots \times (x_N - \varepsilon, x_N + \varepsilon) \times X \times \dots$ be a basis in the product topology on X^ω . Given $y \in X^\omega$, such that $\frac{\bar{d}(x_i, y_i)}{i} \leq \frac{1}{N}$, we have by definition that $D(x, y) \leq \max\{\bar{d}(x_1, y_1), \frac{\bar{d}(x_2, y_2)}{2}, \dots, \frac{\bar{d}(x_N, y_N)}{N}, \frac{1}{N}\}$. If $y \in V$, we get that $V \subseteq B_D(x, \varepsilon)$ and we are done.

Conversely let $U = \prod U_i$ be a basis of the product topology where U_i is open in X for $i = 1, \dots, n$ and $U_i = X$ for all other indices. Now let $x \in U$ and choose an interval about x_i , $(x_i - \varepsilon_i, x_i + \varepsilon_i)$ lying in U_i with $0 < \varepsilon_i \leq 1$ for all i . Choose $\varepsilon = \min\{\varepsilon_1, \frac{\varepsilon_2}{2}, \dots, \frac{\varepsilon_n}{n}\}$. Then $x \in B_D(x, \varepsilon) \subseteq U$, for if $y \in B_D(x, \varepsilon)$, we have that $\frac{\bar{d}(x_i, y_i)}{i} \leq D(x, y) < \varepsilon$, hence $\varepsilon \leq \frac{\varepsilon_i}{i}$ and $d(x_i, y_i) < \varepsilon_i$, and so $y \in U_i$. Therefore D induces the product space topology. ■

Remark. This theorem generalizes theorem 2.2.9 for any countable product space of a metric space X . Hence we can take theorem 2.2.9 as a corollary to this theorem.

We would now like to study continuous functions in metric spaces, which brings us into the realm of analysis. We show that the “ ε - δ ” definition, and the sequence definition of continuity carry over.

Theorem 2.3.4. *Let $f : X \rightarrow Y$ with X and Y metric spaces with metric d_X and d_Y respectively. Then f is continuous if and only if for $x \in X$, and $\varepsilon > 0$, there is a $\delta > 0$ such that $d_Y(f(x), f(y)) < \varepsilon$ whenever $d_X(x, y) < \delta$.*

Proof. Suppose that f is continuous and consider $f^{-1}(B(f(x), \varepsilon))$ open in X . Then it contains a δ -ball $B(x, \delta)$ about x . If $y \in B(x, \delta)$, then $f(y) \in B(f(x), \varepsilon)$, as is required.

Now suppose that for $x \in X$ and $\varepsilon > 0$, that there is a $\delta > 0$ such that $d_Y(f(x), f(y)) < \varepsilon$ whenever $d_X(x, y) < \delta$, for $x \in X$. Let V be open in Y and let $x \in f^{-1}(V)$. Then $f(x) \in V$, hence there is an ε -ball $B(f(x), \varepsilon) \subseteq V$. By hypothesis, there is a $\delta > 0$ such that $f(B(x, \delta)) \subseteq B(f(x), \varepsilon)$, hence $B(x, \delta) \subseteq f^{-1}(V)$ which makes $f^{-1}(V)$ open. ■

Lemma 2.3.5 (The Sequence Lemma). *Let X be a topological space and let $A \subseteq X$. If there is a sequence of points of A converging to $x \in X$, then $x \in \text{cl } A$. The converse holds if X is metrizable.*

Proof. Suppose for some sequence $\{x_n\} \subseteq A$ that $x_n \rightarrow x$. By theorem 1.6.6, we have every neighborhood of x contains points of A , hence $x \in \text{cl } A$. Conversely, suppose that X is metrizable with metric d , and let $x \in \text{cl } A$. For $n \in \mathbb{Z}^+$, take $B_d(x, \frac{1}{n})$ and take $\{x_n\} = B_d(x, \frac{1}{n}) \cap A$. Then $x_n \rightarrow x$, for: any open set U of x contains an ε -ball about x , $B_d(x, \varepsilon)$, so choose N large enough so that $\frac{1}{N} < \varepsilon$, hence U contains x_i for all $i \geq N$. ■

Theorem 2.3.6 (The Sequential Criterion). *Let $f : X \rightarrow Y$ be continuous, then for every convergent sequence $\{x_n\}$ converging to $x \in X$, the sequence $\{f(x_n)\}$ converges to $f(x)$. the converse holds if X is metrizable.*

Proof. Let f be continuous and suppose that $x_n \rightarrow x$. Let V be a neighborhood of $f(x)$, then $f^{-1}(V)$ is a neighborhood of x ; hence there is an $N > 0$ such that $x_n \in f^{-1}(V)$ whenever $n \geq N$, thus $f(x_n) \in V$ whenever $n \geq N$.

Conversely suppose that for every $\{x_n\}$ converging to x , that $\{f(x_n)\}$ converges to $f(x)$, and let $A \subseteq X$. if $x \in \text{cl } A$, by the sequence lemma, there is a sequence $\{x_n\} \subseteq A$ converging to x . Now since $f(x_n) \rightarrow f(x)$. and $f(x_n) \in f(A)$, by the sequence lemma again, $f(x) \in \text{cl } f(A)$. Thus $f(\text{cl } A) \subseteq \text{cl } f(A)$ and we are done. ■

We now consider methods for constructing continuous functions on metric spaces.

Lemma 2.3.7. *The additions, subtraction, and multiplication operations are continuous from $\mathbb{R} \times \mathbb{R}$ to \mathbb{R} . The quotient operation is continuous from $\mathbb{R} \times \mathbb{R} \setminus \{0\}$ to \mathbb{R} .*

Theorem 2.3.8. *If X is a topological space and if $f, g : X \rightarrow \mathbb{R}$ are continuous, then $f + g$, $f - g$, and fg are continuous; moreover if $g(x) \neq 0$ for all $x \in X$, then $\frac{f}{g}$ is also continuous.*

Proof. The map $h : X \rightarrow \mathbb{R} \times \mathbb{R}$ defined by $h(x) = (f(x), g(x))$ is continuous. Then notice that $f + g(x) = +(f(x), g(x)) = + \circ h(x)$, so by the above lemma, we get that $f + g$ is continuous. We also have that $f - g$ is continuous for $f - g(x) = +(f(x), -g(x))$. The same argument holds for fg and $\frac{f}{g}$. ■

Definition. Let $f_n : X \rightarrow Y$ be a sequence of functions from X to the metric space Y , with metric d . We say that the sequence $\{f_n\}$ **converges uniformly** to the function $f : X \rightarrow Y$ if for $\varepsilon > 0$, there is an integer $N > 0$ such that $d(f_n(x), f(x)) < \varepsilon$ whenever $n \geq N$, for all $x \in X$.

Theorem 2.3.9. Let $f_n : X \rightarrow Y$ be a sequence of continuous functions from the topological space X to the metric space Y . If $\{f_n\}$ converges uniformly to f , then f is continuous.

Proof. Let V be open in Y and let $x_0 \in f^{-1}(V)$. Let $y_0 = f(x_0)$ and choose $\varepsilon > 0$ such that $B(y_0, \varepsilon) \subseteq V$. By uniform convergence, choosing $N > 0$ so that whenever $n \geq N$, $d(f_n(x), f(x)) < \frac{\varepsilon}{3}$ for all $x \in X$. By the continuity of f_N , choose a neighborhood U of x_0 such that $f_N(U) \subseteq B(f_N(x_0), \frac{\varepsilon}{3})$. Then if $x \in U$, we have $d(f(x), f_N(x)) < \frac{\varepsilon}{3}$, $d(f_N(x), f_N(x_0)) < \frac{\varepsilon}{3}$, and $d(f_N(x_0), f(x_0)) < \frac{\varepsilon}{3}$ by the triangle inequality we get $d(f(x), f(x_0)) < \varepsilon$ which completes the proof. ■

Example 2.4. (1) \mathbb{R}^ω is not metrizable in the box topology. Let $A = \{(x_1, x_2, \dots) \in \mathbb{R}^\omega : x_i > 0\}$ and consider $0 = (0, 0, \dots) \in \mathbb{R}^\omega$. $0 \in \text{cl } A$ if for any basis element $B = (a_1, b_1) \times (a_2, b_2) \times \dots$, $0 \in B$; then $B \cap A \neq \emptyset$ (take the point $\frac{1}{2}b \in \mathbb{R}^\omega$). Now let $\{a_n\}$ be a sequence of points of A with $a_n = (x_{1n}, x_{2n}, \dots, x_{in}, \dots)$, since $x_{in} > 0$, construct a basis element $B' = (-x_{11}, x_{11}) \times (-x_{22}, x_{22}) \times \dots$. Then $0 \in B'$, but $\{a_n\} \not\subseteq B'$ for the point $x_{nn} \notin (-x_{nn}, x_{nn})$. Thus $a_n \not\rightarrow 0$.

(2) An uncountable product of \mathbb{R} with itself is not metrizable. Let J be uncountable, and let $A = \{(x_\alpha) \in \mathbb{R}^J : x_\alpha = 1 \text{ for all but finitely many } \alpha\}$. Consider $0 \in \mathbb{R}^J$ and let U be a basis for containing 0 . Now $U_\alpha \neq \mathbb{R}$ for $\alpha_1, \dots, \alpha_n$, so let $(x_\alpha) \in A$ be defined by letting $x_\alpha = 0$ for $\alpha_1, \dots, \alpha_n$ and $x_\alpha = 1$ for all other indices. Then $(x_\alpha) \in A \cap U_\alpha$.

Now let $\{a_n\} \subseteq A$ and for $n \in \mathbb{Z}^+$ let $J_n = \{\alpha \in J : a_{\alpha n} \neq 1\}$. Then we see that $\bigcup J_n$ is a countable union of finite sets, and hence countable itself. Now since J is uncountable, there is a $\beta \in J$ for which $\beta \notin \bigcup J_n$, so $a_{\beta n} = 1$. Letting $U_\beta = (-1, 1)$ in \mathbb{R} let $U = \pi_\beta^{-1}(U_\beta)$ in \mathbb{R}^J . Then U is a neighborhood of 0 not containing any points of $\{a_n\}$, so $a_n \not\rightarrow 0$.

Lemma 2.3.10. Let X and Y be metric spaces with metrics d_X and d_Y , and suppose that $f : X \rightarrow Y$ is a function such that for all $x, y \in X$,

$$d_Y(f(x), f(y)) = d_X(x, y)$$

then f is an imbedding.

Proof. First, we have that f is 1-1, for if $f(x) = f(y)$, then $d_Y(f(x), f(y)) = d_X(x, y) = 0$, making $x = y$. So f is 1-1 of X onto $f(X)$.

We also have by theorem 2.3.4, that f is continuous if, and only if for every $\varepsilon > 0$, there is a $\delta > 0$ such that $d_Y(f(x), f(y)) < \varepsilon$ whenever $d_X(x, y) < \delta$. When $x = y$, we can take ε and δ to be any real number. Now, if $x \neq y$, just take $\varepsilon = d_X(x, y) + 1$ and $\delta = d_Y(f(x), f(y)) + 1$, which makes f continuous.

On the other hand, if $B_{d_X}(x, \varepsilon)$ is an open ball in X , then by definition of f , we get that $f(B_{d_X}(x, \varepsilon)) = B_{d_Y}(f(x), \varepsilon)$, which is an open ball in $f(X)$. Therefore, f^{-1} is continuous, and we have that f is an imbedding of X onto $f(X)$. ■

Definition. Let X and Y be metric spaces. We call a function $f : X \rightarrow Y$ an **isometric imbedding** if for every $x, y \in X$ we have

$$d_Y(f(x), f(y)) = d_X(x, y) \quad (2.3)$$

2.4 The Quotient Topology

Definition. Let X and Y be topological spaces, and let $p : X \rightarrow Y$ be onto. We say that p is a **quotient map** if a subset $U \subseteq Y$ is open if and only if $p^{-1}(U) \subseteq X$ is open. We say that a subset U is **saturated** with respect to p if $U = p^{-1}(V)$ for some $V \subseteq Y$. That is a saturated subset is the preimage of some subset of Y .

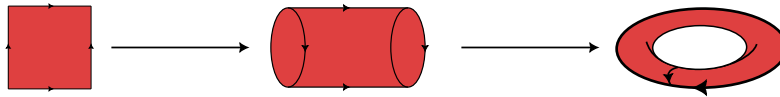


Figure 2.3: Gluing the edges of the unit square to form a cylinder, and then a torus.

Definition. Let $f : X \rightarrow Y$ be a map, with X and Y topological spaces. We say that f is an **open map** if for each open subset $U \subseteq X$, $f(U) \subseteq Y$ is also open. We say f is a **closed map** if for each closed subset $U \subseteq X$, $f(U) \subseteq Y$ is closed.

Lemma 2.4.1. *If $p : X \rightarrow Y$ is a continuous map of X onto Y , for topological spaces X and Y , that is either open or closed, then p is a quotient map.*

Lemma 2.4.2. *A continuous map $p : X \rightarrow Y$ of X onto Y is a quotient map if, and only if it takes saturated open sets to open sets, or saturated closed sets to closed sets.*

Proof. Suppose that p is a quotient map, then by definition, U is open in Y if, and only if $p^{-1}(U)$ is open in X . Now, let V be a saturated open set of X such that $V = p^{-1}(U)$, then we get that U is open since V is open. Thus p takes saturated open sets to open sets. Alternatively, if U is closed, we simply consider the open set $Y \setminus U$ and repeat the proof above.

Now, suppose that p is onto and continuous, and takes saturated open sets to open sets. That is, if $V = p^{-1}(U)$ is open in X , then U is open in Y . Certainly, if U is open in Y , then V is open in X . Conversely if V is open in X , then by hypothesis, $p(p^{-1}(U)) = U$ is open in Y and this makes p into a quotient map. Moreover, if p takes saturated closed subsets to closed subsets, then just consider the open sets $X \setminus V = p^{-1}(Y \setminus U)$ and repeat the proof above. ■

Remark. One consequence of this is that open or closed maps taking one space onto another are quotient maps.

Example 2.5. (1) A quotient map need not be open nor closed. Consider the projection π_1 on $\mathbb{R} \times \mathbb{R}$, which is a quotient map. Consider $A = \{x \times y \in \mathbb{R} \times \mathbb{R} : x \geq 0 \text{ or } y = 0\}$ of $\mathbb{R} \times \mathbb{R}$, and take $q = \pi_1|_A$. Then q is also a quotient map by inheritance, but it is not

open in \mathbb{R} . We have A is open in its subspace topology, so that $q(A) = [x, \infty)$, which is not open in \mathbb{R} . Likewise, q is not closed, for take $U = \{x \times y : 0 < x < 1 \text{ or } y \geq 0\}$, which is closed in A as a subspace, however, $q(U) = (0, 1)$, which is not closed in \mathbb{R} .

- (2) Let X be the subspace $[0, 1] \cup [2, 3]$ and Y be the subspace $[0, 2]$ in \mathbb{R} , and defined $p : X \rightarrow Y$ by $p(x) = \begin{cases} x, & x \in [0, 1] \\ x - 1, & x \in [2, 3] \end{cases}$. We have that p is continuous onto, by the pasting lemma, furthermore, p is also closed; hence p is a quotient map. p is not open however, as $p([0, 1]) = [0, 1]$ is closed in Y .

Now if A is the subspace $[0, 1) \cup [2, 3]$ of X , then $p : A \rightarrow Y$ is continuous onto, but fails to be closed. So $p|_A$ is not a quotient map, despite the fact that $[2, 3]$ is open in A and saturated with respect to $p|_A$.

- (3) Let $\pi_1 : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ be the projection map that takes $x \times y \rightarrow x$. Clearly π_1 is continuous onto, and π_1 is also open as $\pi_1(U \times V) = U$ which is open in Y ; hence π_1 is a quotient map. Now consider the closed set $C = \{x \times y : xy = 1\}$ in $\mathbb{R} \times \mathbb{R}$. $\pi_1(C) = \mathbb{R} \setminus \{0\}$ which is not closed.
- (4) Consider the map $p : \mathbb{R}^n \setminus \{0\} \rightarrow S^{n-1}$ taking punctured Euclidean space onto the unit circle via the rule $x \rightarrow \frac{x}{\|x\|}$ then the saturated open sets of $\mathbb{R}^n \setminus \{0\}$ are unions of rays originating from the origin 0. We notice that p takes saturated open sets onto open sets, which makes p a quotient map.

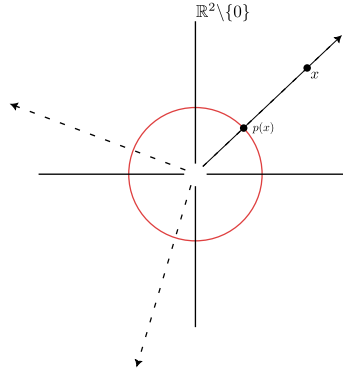


Figure 2.4: Saturated open sets of $\mathbb{R}^2 \setminus \{0\}$ onto S^1 via the map $p : x \rightarrow \frac{x}{\|x\|}$.

Lemma 2.4.3. *If $p : X \rightarrow Y$ is an open quotient map, then Y is Hausdorff if, and only if $p^{-1}(\Delta(Y)) = \{x \times y \in X \times X : p(x) = p(y)\}$ is closed.*

Proof. Suppose that Y is Hausdorff, and let $x \times y \notin p^{-1}(\Delta(Y))$. Then $p(x) \neq p(y)$ and there exist disjoint neighborhoods U of $p(x)$ and V of $p(y)$. Now, we have that $p^{-1}(U)$ and $p^{-1}(V)$ are open in X , hence $p^{-1}(U) \times p^{-1}(V)$ is open in $X \times X$ and is a neighborhood of $x \times y$. Now, if $z \times z' \in p^{-1}(\Delta(Y)) \cap (p^{-1}(U) \times p^{-1}(V))$, then $p(z) \in U$ and $p(z') \in V$, and $p(z) = p(z')$; but $U \cap V = \emptyset$ making $p(z) \neq p(z')$, a contradiction, thus $p^{-1}(\Delta(Y))$ and $p^{-1}(U) \times p^{-1}(V)$ are disjoint. We have that $X \times X \setminus p^{-1}(\Delta(Y))$ is open, making $p^{-1}(\Delta(Y))$ closed.

On the otherhand, suppose that $\Delta(Y)^{-1}$ is closed. Now, take $x, y \in Y$ distinct, then let $x', y' \in X$ such that $p(x') = x$ and $p(y') = y$, then $x' \times y' \notin p^{-1}(\Delta(Y))$. Then there exists a neighborhood $U \times V$ of $x' \times y'$ disjoint from $p^{-1}(\Delta(Y))$. Now consider $p(U)$ and $p(V)$; since p is an open map, then these sets are open. Moreover, we have that $x \in p(U)$ and $y \in p(V)$, so $p(U)$ and $p(V)$ are neighborhoods of x and y . Now, since $U \times V$ is disjoint from $p^{-1}(\Delta(Y))$, we get that $p(U)$ and $p(V)$ are disjoint. Therefore, Y must be Hausdorff. ■

Remark. This gives us a useful check for determining when spaces arising from open quotient maps are Hausdorff. A more general check is presented later.

Theorem 2.4.4. *Let X be a topological space, and A a set, and let $p : X \rightarrow Y$ be onto. Define \mathcal{T} to be the collection of subsets U of A for which $p^{-1}(U)$ is open in X . Then \mathcal{T} is a unique topology for which p is a quotient map.*

Proof. Clearly $\emptyset, A \in \mathcal{T}$, for $p^{-1}(\emptyset) = \emptyset$ and $p^{-1}(A) = X$. Now let $\{U_\alpha\}$ and $\{U_i\}_{i=1}^n$ be collections of subsets of A . Then

$$p^{-1}\left(\bigcup U_\alpha\right) = \bigcup p^{-1}(U_\alpha)$$

and

$$p^{-1}\left(\bigcap_{i=1}^n U_i\right) = \bigcap_{i=1}^n p^{-1}(U_i).$$

Thus \mathcal{T} is a topology on A . Now notice that this also makes p into a quotient map.

Now suppose that there is another topology \mathcal{T}' for which p is a quotient map. Clearly $\mathcal{T} \subseteq \mathcal{T}'$, now if p is open, then for U open in X , $p(U)$ is open in A , hence so are their preimages, and since p is continuous and onto this makes $\mathcal{T}' \subseteq \mathcal{T}$, thus \mathcal{T} is unique. Likewise, by similar reasoning with closed sets, if p is closed, \mathcal{T} is still unique. ■

Definition. If X is a topological space, and A a set, and $p : X \rightarrow A$ is onto, then there is exactly one topology \mathcal{T} on A for which p is a quotient map. We call this topology the **quotient topology** on A induced by p .

Example 2.6. Let $p : \mathbb{R} \rightarrow A$, with $A = \{a, b, c\}$ be defined by

$$p(x) = \begin{cases} a, & x > 0 \\ b, & x < 0 \\ c, & x = 0 \end{cases}$$

Then the quotient topology on A is the topology $\mathcal{T} = \{\emptyset, \{a\}, \{b\}, \{a, b\}, A\}$.

Definition. Let X be a topological space and X/p be a partition of X into disjoint subsets whose disjoint union is X . Let $p : X \rightarrow X/p$ be onto such that $p : x \rightarrow U$ if $x \in U$. We call X/p in the quotient topology induced by p the **quotient space** of X , or the **decomposition space** of x .

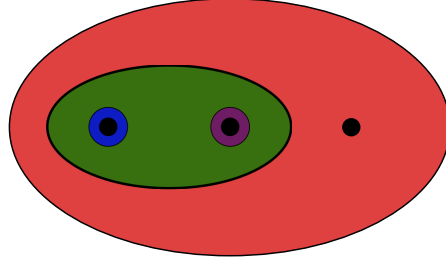


Figure 2.5: The quotient topology on $A = \{a, b, c\}$ induced by p .

We can take an equivalence relation \sim on X by taking $x \sim y$ if $x, y \in U$ for $U \in X/p$. Then the quotient space is the collection of equivalence classes of X ; that is we can think of obtaining X^* by “identifying” those pairs of equivalent points. Similarly, we can also describe the quotient space X/p by noting a subset U of equivalence classes where $p^{-1}(U) = \bigcup_{V \in U} V$ is the union of all equivalence classes in U . We will denote the quotient space by X/p or X/\sim .

We have the following lemma for determining when a map is a quotient map.

Lemma 2.4.5. *If $p : X \rightarrow Y$ is a continuous map, and there exists a continuous map $f : Y \rightarrow X$ such that $p \circ f = i$ the identity map on Y , then p is a quotient map.*

Proof. By lemma 2.4.1, p is a quotient map if p is open or closed. Let U be open in X , since f is continuous, $f^{-1}(U)$ is open in Y , and since p is continuous, $p^{-1}(f^{-1}(U))$ is open in X . Since i is the identity on Y , we have $p^{-1}(f^{-1}(U)) = U$, so that $f^{-1}(U) = p(U)$, which is open. Therefore p is open and hence a quotient map. ■

Example 2.7. (1) Let $X = \{x \times y : x^2 + y^2 \leq 1\}$ be the closed unit ball in \mathbb{R}^2 and let X/\sim be the quotient space of X by taking its equivalence classes to be all one point sets $\{x \times y\}$ for which $x^2 + y^2 < 1$, and the unit circle S^1 . Take $p : X \rightarrow X/\sim$ by the rule

$$p : x \times y \rightarrow \begin{cases} \{x \times y\}, & x^2 + y^2 < 1 \\ S^1 & x^2 + y^2 = 1 \end{cases}$$

Clearly p is onto by definition, and we have that for any one point sets and S_1 in X/\sim that $p^{-1}(\{x \times y\}) = B_{\|\cdot\|}(x, 1)$, and $p^{-1}(S^1) = S_1$, thus open sets in X are open in X/\sim (likewise closed), so p is open which makes it into a quotient map. Now let $S^2 = \{x \times y \times z : x^2 + y^2 + z^2 = 1\}$ be the **unit sphere** in \mathbb{R}^3 , then we can map $X/\sim \rightarrow S^2$ by taking $\{x \times y\} \rightarrow \{x \times y \times z : x^2 + y^2 + z^2 < 1\}$ and $S^1 \rightarrow S^1$. Then we have that X/\sim is homeomorphic to the unit sphere.

- (2) Let X be the unit square $[0, 1] \times [0, 1]$ and define the quotient space X/\sim of X to be the collection of all point sets $\{x \times y\}$ for which $x \times y \in (0, 1) \times (0, 1)$, together with the sets $\{x \times 0, x \times 1\}$, $\{0 \times y, 1 \times y\}$ with $x \times y \in (0, 1) \times (0, 1)$, and the set $\{0 \times 0, 0 \times 1, 1 \times 0, 1 \times 1\}$, then we can show that X/\sim is homeomorphic to a torus.
- (3) Consider $\mathbb{R} \times \mathbb{R}$ under the equivalence relation \sim , where $x_0 \times y_0 \sim x \times y$ if $x_0 + y_0^2 = x + y^2$, and consider $\mathbb{R} \times \mathbb{R}/\sim$ as a quotient space. Then let $g(x \times y) = x + y^2$. We have that

$y^2 \geq 0$, but $x \in \mathbb{R}$ is arbitrary, so g is onto \mathbb{R} . Likewise, $\lim g(x \times y) = g(x_0 \times y_0) = x_0 + y_0^2$ as $x \times y \rightarrow x_0 \times y_0$, which makes g continuous. Lastly, let $f(x) = x \times 0$, then $g \circ f = i$ the identity map on \mathbb{R} . This makes g into a quotient map. Then the space $\mathbb{R} \times \mathbb{R} /_g = \mathbb{R} \times \mathbb{R} /_{\sim}$ is homeomorphic to a cylindrical paraboloid in \mathbb{R}^3 . We can imagine this by taking a piece of paper (representing $\mathbb{R} \times \mathbb{R}$), and bending it into a parabola shape.

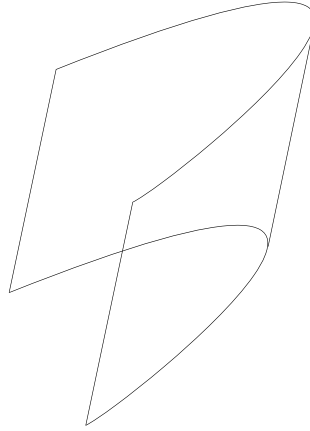


Figure 2.6: The space $\mathbb{R} \times \mathbb{R} /_g$, where $g(x \times y) = x + y^2$

- (4) Taking $\mathbb{R} \times \mathbb{R}$ under the equivalence relation \sim where $x_0 \times y_0 \sim x \times y$ if $x_0^2 + y_0^2 = x^2 + y^2$. Let $h(x \times y) = x^2 + y^2$, then h is onto \mathbb{R}^+ and is also continuous. Moreover, it is a quotient map, for let $f(x) = \sqrt{x} \times 0$, which makes $h \circ f = i$ the identity map on \mathbb{R} . Then $\mathbb{R} \times \mathbb{R} /_h = \mathbb{R} \times \mathbb{R} /_{\sim}$ is homeomorphic to an elliptic paraboloid. This can be visualized by taking a sheet of rubber and making a dimple in the middle and pushing it deeper.

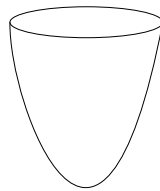


Figure 2.7: The space $\mathbb{R} \times \mathbb{R} /_h$, where $h(x \times y) = x^2 + y^2$

Theorem 2.4.6. *Let $p : X \rightarrow Y$ be a quotient map and let A be a subspace of X saturated with respect to p . Let $q : A \rightarrow p(A)$, then:*

- (1) *If A is either open or closed, then q is a quotient map.*
- (2) *If p is either open or closed, then q is a quotient map.*

Proof. We first have that $q^{-1}(V) = p^{-1}(V)$ since $V \subseteq A$ and A is saturated, well $p^{-1}(V) \subseteq A$. We also have that $p(U \cap A) = U \cap p(A)$. Now suppose that $y = p(u) = p(a)$ for $u \in U$

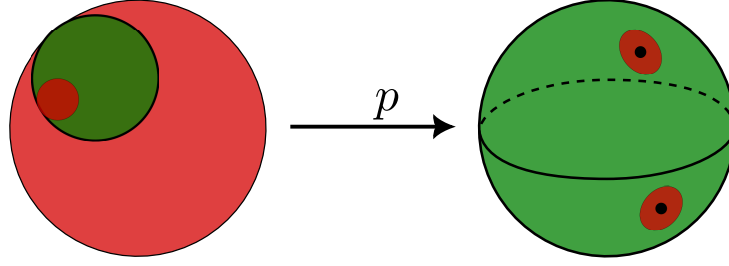


Figure 2.8: Transforming the unit disk into the unit sphere

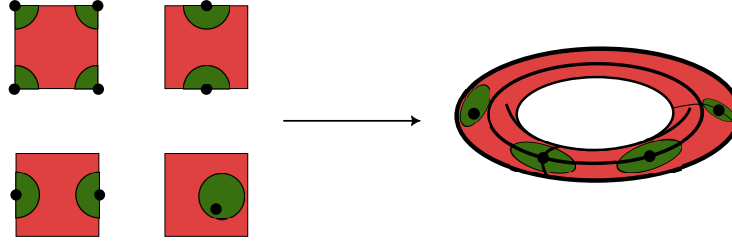


Figure 2.9: Transforming the unit square into torus

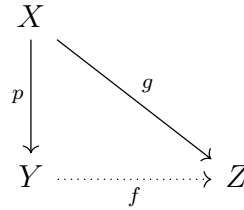
and $a \in A$. Since A is saturated, it contains $p^{-1}(p(a)) = p^{-1}(p(u))$, hence $y = p(u)$ with $u \in U \cap A$, so $P(U) \cap p(A) \subseteq P(U \cap A)$.

Now suppose that A , or that p is open. Given $V \subseteq p(A)$, suppose $q^{-1}(V) \subseteq A$ is open. If A is open in X , then $q^{-1}(V)$ is also open in X , and since $q^{-1}(V) = p^{-1}(V)$, then V is open in Y , and since p is a quotient map, then V is open in $p(A)$. Now if p is open, since $q^{-1}(V) = p^{-1}(V)$ and $q^{-1}(V)$ is open in A , then $p^{-1}(V) = U \cap A$, thus $V = p(p^{-1}(V)) = p(U \cap A) = p(U) \cap p(A)$. Now $p(U)$ is open in Y , so V is open in $p(A)$. In either case we have that q is a quotient map. ■

Lemma 2.4.7. *The composition of two quotient maps is a quotient map,*

Proof. Let $p : X \rightarrow Y$ and $q : Y \rightarrow Z$ be quotient maps and consider $q \circ p : X \rightarrow Z$. Let $V \subseteq Z$ be open, then $q^{-1}(V) = U$ is open in Y which implies that $p^{-1}(U)$ is open in X . Hence $p^{-1}(q^{-1}(V))$ is open in X . ■

Theorem 2.4.8. *Let $p : X \rightarrow Y$ be a quotient map and let Z be a topological space with $g : X \rightarrow Z$ a map constant on all $p^{-1}(\{y\})$ for each $y \in Y$. Then g induces a map $f : Y \rightarrow Z$ such that $f \circ p = g$, where f is continuous if and only if g is continuous, and where f is a quotient map if and only if g is a quotient map.*



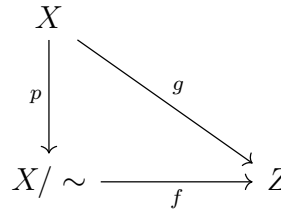
Proof. For each $y \in Y$, since g is constant on all $p^{-1}(\{y\})$, $g(p^{-1}(\{y\}))$ is a one point set in

Z ; let it be $f(y)$. Then we have a map $f : Y \rightarrow Z$ such that $f(p(x)) = g(x)$ for each x . Now if f is continuous, then so is g ; likewise if f is a quotient map.

Conversely, suppose that g is continuous, given $V \subseteq Z$ open, $g^{-1}(V)$ is open in X . Now $g^{-1}(V) = p^{-1}(f^{-1}(V))$, and since p is a quotient map, $f^{-1}(V)$ is open in Y , hence f is continuous. Now suppose that g is a quotient map, for $V \subseteq Z$, if $f^{-1}(V)$ is open in Y , then $p^{-1}(f^{-1}(V))$ is open in X by continuity of p , thus $g^{-1}(V)$ is open in X , then V is open in Z ; this makes f a quotient map. ■

Corollary. *Let $g : X \rightarrow Z$ be continuous and let $X/\sim = \{g^{-1}(\{z\}) : z \in Z\}$ be the following quotient space for X . Then*

- (1) *The map g induces a continuous 1 – 1 map $f : X/\sim \rightarrow Z$ of X/\sim onto Z which is a homeomorphism if and only if g is a quotient map.*



- (2) *If Z is Hausdorff, then so is X/\sim .*

Proof. By the theorem 2.4.6, g induces a continuous map $f : X/\sim \rightarrow Z$ which is 1 – 1 and (clearly) onto. Suppose that f is a homeomorphism. Then both f and $p : X \rightarrow X/\sim$ are quotient maps, which makes g a quotient map. On the other hand, if g is a quotient map, then so is f , which makes f 1 – 1 continuous, and hence a homeomorphism.

Now suppose that Z is Hausdorff, given points of X/\sim , their images under f are distinct, and hence they possess disjoint neighborhoods U and V . Then $f^{-1}(U) \cap f^{-1}(V) = \emptyset$, which makes X/\sim Hausdorff. ■

Corollary. *Suppose that $q : X \rightarrow Y$ and $q' : X \rightarrow Y'$ are quotient maps such that $q(x) = q(x')$ if, and only if $q'(x) = q'(x')$, then Y is homeomorphic to Y' .*

Proof. By the above theorem, there exists maps \tilde{q} and \tilde{q}' such that $\tilde{q} \circ q' = q$ and $\tilde{q}' \circ q = q'$. It then suffices to show that \tilde{q} and \tilde{q}' are inverses of each other. That is

to say, that $q \circ q' = i$, where i is the identity on Y' . Notice then that $i \circ q' = \tilde{q}' \circ q = \tilde{q}' \circ \tilde{q} \circ q'$. Now, since both q and q' are onto, they are right invertible, so taking right inverse of q' , we get $i = \tilde{q}' \circ \tilde{q}$. ■

We conclude with some examples and extra results.

Example 2.8. (1) Define the quotient space $\mathbb{R}/\mathbb{Z} = \mathbb{R}/\sim$ where $x \sim y$ if, and only if $x - y \in \mathbb{Z}$. We can visualize this space by coiling up \mathbb{R} into a helical structure, where two points lie exactly above each other if they differ by an integer distance, as shown in figure 2.10. One can collapse these points into one point and show that \mathbb{R}/\mathbb{Z} is homeomorphic to the circle S^1 .

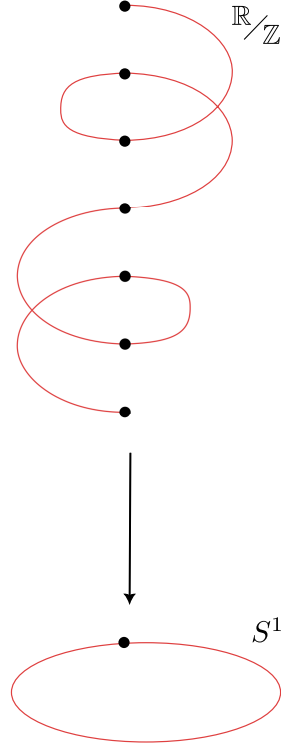


Figure 2.10:

By theorem 2.4.9, consider the map $f(x) = \sin 2\pi x$, and let q be the quotient map of \mathbb{R}/\mathbb{Z} . Then notice that $f(x) = f(x')$, since if $q(x) = q(x')$, then $x - x' \in \mathbb{Z}$, i.e. $x = x' + n$, for $n \in \mathbb{Z}$, then we get $f(x) = \sin 2\pi(x' + n) = \sin 2\pi x' + 2\pi n = \sin 2\pi x'$. So we have the following commutative diagram:

$$\begin{array}{ccc}
 \mathbb{R} & & \\
 \downarrow q & \searrow f(x) = \sin 2\pi x & \\
 \mathbb{R}/\mathbb{Z} & \xrightarrow{\bar{f}} & \mathbb{R}
 \end{array}$$

Now we can show that \mathbb{R}/\mathbb{Z} is homeomorphic to S^1 , by the second corollary to theorem 2.4.9. Consider the quotient maps $q : \mathbb{R} \rightarrow \mathbb{R}/\mathbb{Z}$ and $q' : \mathbb{R} \rightarrow S^1$. Supposing that $q(x) = q(x')$, then we get that $x - x' \in \mathbb{Z}$, hence $e^{2i\pi x} = e^{2i\pi x'}$, which implies that $q'(x) = q'(x')$. Conversely if $q'(x) = q'(x')$, then $e^{2i\pi x} = e^{2i\pi x'}$, we get that $x = x' + n$, so that $x - x' \in \mathbb{Z}$, making $q(x) = q(x')$. We then get that \mathbb{R}/\mathbb{Z} and S^1 are homeomorphic.

- (2) Let $X = \{[0, 1] \times n : n \in \mathbb{Z}^+\}$ be the subspace of \mathbb{R}^2 and let $Z = \{x \times \frac{x}{n} : x \in [0, 1] \text{ } n \in \mathbb{Z}^+\}$ be another subspace of \mathbb{R}^2 . We have that X is the union of countably

many disjoint line segments in \mathbb{R}^2 and Z is the union of countably many line segments in \mathbb{R}^2 having a common endpoint.

Now define $g : X \rightarrow Z$ by $g : x \times n \rightarrow x \times \frac{x}{n}$. We have that g is continuous onto. Now consider the quotient space X/g whose elements are $g^{-1}(\{z\})$ to be the space obtained from X by identifying the equivalence classes of $\{0\} \times \mathbb{Z}^+$ to be points. Now we have that g induces a 1 – 1 continuous map $f : X/g \rightarrow Z$ of X/g onto Z ; but f is not a homeomorphism. It is sufficient to show that g is not a quotient map consider the sequence $\{\frac{1}{n} \times n\}$ of points of X . This sequence is closed in X since it has no limit points, it is also saturated with respect to g . However $g(A)$ is not closed in Z for it consists of the sequence of points $\{\frac{1}{n} \times \frac{1}{n^2}\}$ whose limit point is the origin (see 2.11).

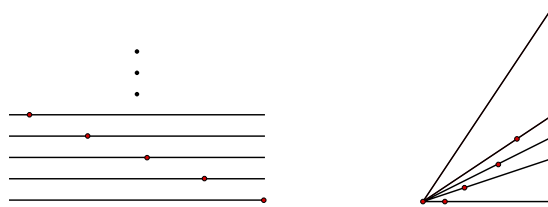


Figure 2.11:

- (3) Not it is not in general true that the product of two quotient maps is itself a quotient map. Consider \mathbb{R} and let \mathbb{R}/\sim be the quotient space obtained from \mathbb{R} by taking $\mathbb{Z}^+ \sim b$ for some point b . Now let $p : \mathbb{R} \rightarrow \mathbb{R}/\sim$ be the quotient map and consider \mathbb{Q} as a subspace of \mathbb{R} . Let $i : \mathbb{Q} \rightarrow \mathbb{Q}$ be the identity map, then $p \times i : \mathbb{R} \times \mathbb{Q} \rightarrow \mathbb{R}/\sim \times \mathbb{Q}$ is not a quotient map. For each n , take the sequence $\{\frac{\sqrt{2}}{n}\}$ and consider the straight lines of slope ± 1 in \mathbb{R}^2 through the point $n \times \frac{\sqrt{2}}{n}$. Let U_n be the set of all $\mathbb{R} \times \mathbb{Q}$ points lying above or beneath both these line, and between the lines $x = n \pm \frac{1}{4}$. We have that U_n is open in $\mathbb{R} \times \mathbb{Q}$ as it contains the set $\{n\} \times \mathbb{Q}$.

Now let $U = \bigcup U_n$, then U is open in $\mathbb{R} \times \mathbb{Q}$, and it is saturated with respect to $p \times i$, as $\mathbb{Z}^+ \times q \in \mathbb{R} \times \mathbb{Q}$ for all $q \in \mathbb{Q}$, so assume that $U' = p \times i(U)$ is open in $\mathbb{R}/\sim \times \mathbb{Q}$. Now since $\mathbb{Z}^+ \times 0 \subseteq U$, the point $b \times 0 \in U'$, so U' contains an open set of the form $W \times I_\delta$ where W is a neighborhood of b in \mathbb{R}/\sim , and $I_\delta = \{y \in \mathbb{Q} : |y| < \delta\}$. Then $p^{-1}(W) \times I_\delta \subseteq U$. Choosing n large enough so that $\frac{\sqrt{2}}{n} < \delta$, since $p^{-1}(W)$ is open in \mathbb{R} and contains \mathbb{Z}^+ , choose $0 < \varepsilon < \frac{1}{4}$ so that $(n - \varepsilon, n + \varepsilon) \subseteq p^{-1}(W)$. Then U contains the subset $(n - \varepsilon, n + \varepsilon) \times I_\delta \subseteq \mathbb{R} \times \mathbb{Q}$, however there are points that need not lie in U , which contradicts that U' is open in \mathbb{R}/\sim . So $p \times i$ cannot be a quotient map.

- (4) Likewise, the product of two quotient maps need not be a quotient map. Consider the space \mathbb{R} and the quotient space \mathbb{R}/p Where $p : \mathbb{R} \rightarrow \mathbb{R}/p$ is the quotient map taking \mathbb{Z}^+ to a point b . Consider also \mathbb{Q} as a subspace of \mathbb{R} and take the identity map $i : \mathbb{Q} \rightarrow \mathbb{Q}$. Then i is also a quotient map, but the product $p \times i$ is not a quotient map.

For each $n \in \mathbb{Z}^+$, let $c_n = \frac{\sqrt{2}}{n}$ and consider the lines in \mathbb{R} with slopes ± 1 , through the point $n \times c_n$. Now, let U_n be all the points of $\mathbb{R} \times \mathbb{Q}$ that lie above these lines, or below these lines, and between the lines $x = \frac{n \pm 1}{4}$. U_n is open in $\mathbb{R} \times \mathbb{Q}$, as it contains the set

$\{n\} \times \mathbb{Q}$. Now let

$$U = \bigcup_{n \in \mathbb{Z}^+} U_n$$

Then U is also open in $\mathbb{R} \times \mathbb{Q}$, and it is saturated with respect to $p \times i$, as it contains $\mathbb{Z}^+ \times \{q\}$ for each $q \in \mathbb{Q}$. Then suppose that $p \times i(U)$ is open in $\mathbb{R}/p \times \mathbb{Q}$.

We have that U contains the set $\mathbb{Z}^+ \times 0$, so $p \times i(U)$ contains the point $b \times 0$. So $p \times i(U)$ contains a neighborhood of the form $W \times I_\delta$, W a neighborhood of b , and $I_\delta = \{y \in \mathbb{Q} : |y| < \delta\}$. Then we have

$$p^{-1}(W) \times I_\delta \subseteq U$$

Now, choose n sufficiently large so that $c_n < \delta$. Since $p^{-1}(W)$ is open in \mathbb{R} , and contains \mathbb{Z}^+ , choose $\varepsilon < \frac{1}{4}$, so that $(n - \varepsilon, n + \varepsilon) \subseteq p^{-1}(W)$. Then U also contains a subset of the form $(n - \varepsilon, n + \varepsilon) \times I_\delta$. But figure 2.12 shows that there are points of $(n - \varepsilon, n + \varepsilon) \times I_\delta$ not lying in U , taking $x \times y = n = \frac{1}{2}\varepsilon \times y$ with $|y - c_n| < \frac{1}{2}\varepsilon$. Thus U cannot be open and we have a contradiction. Therefore $p \times i$ is not a quotient map.

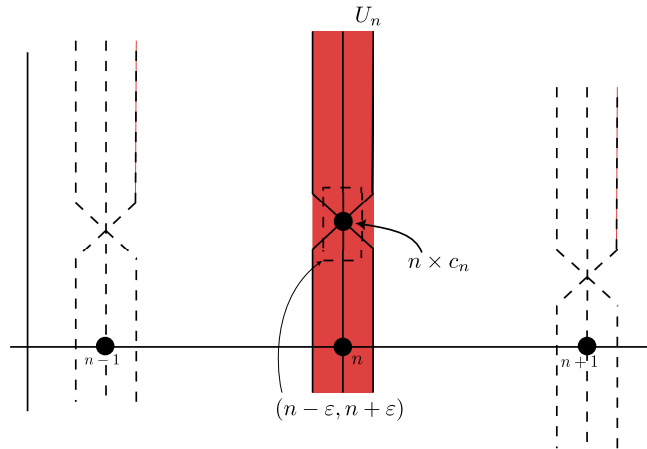


Figure 2.12: The product of two quotient maps need not be a quotient map.

Definition. Let X be a topological space, and $A \subseteq X$. We call a continuous map $r : X \rightarrow A$ a **retraction** of X onto A if $r : a \rightarrow a$ for all $a \in A$.

Lemma 2.4.9. *For any topological space X and $A \subseteq X$, a retraction of X onto A is a quotient map.*

Proof. Let r be a retraction of X onto A , and let $f : A \rightarrow X$ be such that $r \circ f = i$ the identity map in A . Let U be open in A , then $U = r^{-1}(U)$ is open in X , and since $r \circ f = i$, we get $f^{-1}(r^{-1}(U)) = f^{-1}(U)$ must be open in A . this makes f continuous. Therefore by lemma 2.4.4, r is a quotient map. ■

Bibliography

- [1] J. Munkres, *Topology*. New York, NY: Pearson, 2018.