Field Theory and Galois Theory.

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Chapter 1

Fields.

1.1 Field Extensions.

Definition. We define the **characteristic** of a field F to be the smallest positive integer p, such that $p \cdot 1 = 0$, where 1 is the identity of F. We write char F = p, and if no such p exists, then we write char F = 0.

Lemma 1.1.1. Let F be a field, then char F is either 0, or a prime integer.

Proof. Let $\Gamma F = p$. If p = 0, then we are done. Now suppose that p = mn, with $m, n \in \mathbb{Z}^+$. Then $p \cdot 1 = (mn)1 = (n \cdot 1)(m \cdot 1) = mn = 0$, which makes m and n 0 divisors. Since F is a field, and hence an integral domain, this is impossible, and hence p must be prime.

Corollary. If char
$$F = p$$
, then for all $a \in F$, $pa = \underbrace{a + \cdots + a}_{p \text{ times}}$.

Proof. We have $pa = p(a \cdot 1) = (p \cdot 1)a$.

Example 1.1. (1) Both \mathbb{Q} and \mathbb{R} have char = 0. Similarly, char $\mathbb{Z} = 0$, even though \mathbb{Z} is just an integral domain.

(2) char $\mathbb{Z}_{p\mathbb{Z}} = p$ and char $\mathbb{Z}_{p\mathbb{Z}}[x] = p$ for any prime p.

Definition. We define the **prime subfield** of a field F to be the subfield of F generated by 1.

Example 1.2. (1) The prime subfields of \mathbb{Q} and \mathbb{R} is \mathbb{Q} .

(2) Let $\mathbb{Z}_{p\mathbb{Z}}(x)$ the field of rational functions over $\mathbb{Z}_{p\mathbb{Z}}$. Then the prime subfield of $\mathbb{Z}_{p\mathbb{Z}}(x)$ is $\mathbb{Z}_{p\mathbb{Z}}$. Similarly, the prime subfield for $\mathbb{Z}_{p\mathbb{Z}}[x]$ is also $\mathbb{Z}_{p\mathbb{Z}}$.

Definition. If K is a field containing a field F, then we call K field extension over F, and write $K/_F$ (not the quotient field!) or denote it by the diagram



Lemma 1.1.2. Every field is a field extension of its prime subfield.

Lemma 1.1.3. Let K an extension over a field F. Then K is a vector space over F.

Definition. Let K_{F} a field extension. We define the **degree** of K over F, [K:F] to be the dimension of K_{F} as a vector space.

Definition. Let F be a field, and $f \in F[x]$ a polynomial. We call am element $\alpha \in R$ a **root** (or **zero**) of f if $f(\alpha) = 0$.

Lemma 1.1.4. Let $\phi: F \to L$ a field homomorphism. Then either $\phi = 0$, or ϕ is 1–1.

Lemma 1.1.5. Let F be a field, and $p \in F[x]$ an irreducible polynomial. Then there exists a field K containing an embedding of F, such that p has a root in K.

Proof. Consider $K = F[x]_{(p)}$. Since p is irreducible in a principle ideal domain, (p) is a maximal idea, and hence K is a field. Now consider the canonical map $\pi: F[x] \to K$ taking $f \to f \mod(p)$ and let $\phi = \pi|_F$. Then $\phi \neq 0$, since $\pi: 1 \to 1$. Then ϕ is 1–1. And so $\phi(F) \simeq F$.

Now, consider F as a subfield of K. Then $p(x \mod (p)) \equiv p(x) \mod (p) \equiv 0 \mod (p)$, so that $x \mod (p)$ is a root of p in K.

Corollary. There exists a field extension of F containing a root of p.

Theorem 1.1.6. Let F be a field, and let $p \in F[x]$ an irreducible polynomial of degree n, and let K = F[x]/(p), and $\theta = x \mod (p)$. Then $\{1, \theta, \dots, \theta^{n-1}\}$ forms a basis for K as a vector space over F and [K : F] = n.

Proof. Let $a \in F[x]$, since F[x] is Euclidean domain, there exist $q, r \in F[x], q \neq 0$ for which

$$a(x) = q(x)p(x) + r(x)$$
 where $\deg r < n$

Now, since $pq \in (p)$, $a(x) \equiv r(x) \mod (p)$, and every element of K is a polynomial of degree less than n. Then the elements $\{1, \theta, \dots, \theta^{n-1}\}$ span K.

Now, suppose that there are $b_0, \ldots, b_{n-1} \in F$ not all 0 for which

$$b_0 + b_1 \theta + \dots + b_{n-1} \theta^{n-1} = 0$$

Then

$$b_0 + b_1 \theta + \dots + b_{n-1} \theta^{n-1} \equiv 0 \mod (p)$$

so that $p|(b_0+b_1\theta+\cdots+b_{n-1}\theta^{n-1})$ in F. But deg p=n and p divides a polynomial of degree n-1, which is a contradiction. Therefore we are left with $b_0=\cdots=b_{n-1}=0$.

Corollary.
$$K = \{ \alpha_0 + a_1 \theta + \dots + a_{n-1} \theta^{n-1} : a_i \in F \text{ for all } 1 \le i \le n-1 \}$$

Corollary. If $a(\theta), b(\theta) \in K$, are elements of degree less than n, and the operations of polynomial addition, and polynomial multiplication mod (p) are defined, then K forms a field.

Example 1.3. (1) Consider the polynomial $x^2 + 1$ over \mathbb{R} . Then one has the field

$$\mathbb{C} = \mathbb{R}[x]/(x^2 + 1)$$

an extension of \mathbb{R} of degree $[\mathbb{C} : \mathbb{R}] = 2$. Let i be a root of $x^2 + 1$ in this field, then $i^2 = -1$, and the elements of \mathbb{C} are of the form a + ib where $a, b \in \mathbb{R}$. Then we have described the field of complex numbers, and the addition and multiplication ($\mod x^2 + 1$) of these elements are the addition and multiplication of complex numbers.

One might also construct $\mathbb C$ differently by defining the isomorphism

$$\mathbb{R}[x]/(x^2+1) \to \mathbb{C}$$
 taking $a+xb \to a+ib$

(2) Consider again $x^2 + 1$ over \mathbb{Q} . Then we get the field

$$\mathbb{Q}(i) = \mathbb{Q}[x]/(x^2 + 1)$$

of degree $[\mathbb{Q}(i):\mathbb{Q}]=2$, and where i is a root of x^2+1 , so that $i^2=-1$. Then the elements of $\mathbb{Q}(i)$ are of the form a+ib where $a,b\in\mathbb{Q}$, i.e. it is isomorphic to the set of all complex numbers with rational components.

(2) Consider $x^2 - 2$ over \mathbb{Q} . by Eisenstein's criterion for p = 2, $x^2 - 2$ is irreducible over \mathbb{Q} . Let α a root of $x^2 - 2$, so that $\alpha^2 = 2$. Then we have the field

$$\mathbb{Q}(\sqrt{2}) = \mathbb{Q}[x]/(x^2 - 2)$$

of degree $[Q(\sqrt{2}):\mathbb{Q}]=2$, and whose elements are of the form $a+b\sqrt{2}$. One can define an isomorphism between $\mathbb{Q}(i)$ and $\mathbb{Q}(\sqrt{2})$ by taking $\sqrt{2} \to i$.

(3) The polynomial $x^3 - 2$ over \mathbb{Q} gives us the field

$$\mathbb{Q}(\sqrt[3]{2}) = \mathbb{Q}[x]/(x^3 - 2)$$

of degree $[\mathbb{Q}(\sqrt[3]{2}):\mathbb{Q}]=3$ over 2. Here the elements are of the form $a+b\xi+c\xi^2$ where $\xi^3=2$.

(4) Denote \mathbb{F}_2 to be a finite field of 2 elements. Consider the polynomial $x^2 + x + 1$ over \mathbb{F}_2 which is irreducible. Then the field

$$\mathbb{F}_2(\alpha) = \mathbb{F}_2[x]/(x^2 + x + 1)$$

is a field of degree 2 over \mathbb{F}_2 , whose elements are of the form $a + b\alpha$, where $\alpha^2 = \alpha + 1$. In fact, one can generate this field using the fact that $\alpha^2 = \alpha + 1$.

(5) Let F = K(t) the field of rational functions in t over a field K. Let $p(x) = x^2 - t \in F[x]$, then by Eisenstien's criterion with the ideal (t), p is irreducible over F[x]. Let θ be a root for p, that is $\theta = \sqrt{t}$, then we get the field $K(t, \sqrt{t})$ of degree $[K(t, \sqrt{t}) : K] = 2$, whose elements are of the form $a(t) + b(t)\sqrt{t}$.

Lemma 1.1.7. Let F be a subfield of a field K, and let $\alpha \in K$. Then there exists a unique minimal subfield of K containing F and α ; more preciesly, it is the intersection of all subfields of K containing F and α .

Definition. Let K be any extension of a field F, and let $\alpha, \beta, \dots \in K$. Then we define the subfield **generated** by α, β, \dots over F to be the unique minimal subfield containing all α, β, \dots and F and we denote it $F(\alpha, \beta, \dots)$. Moreover, we call K a **simple extension** of F if $K = F(\alpha, \beta, \dots)$. If $K = (F\alpha_1, \dots, a_n)$ for $\alpha_1, \dots, \alpha_n \in K$, then it is a **finitely generated** simple extension.

Theorem 1.1.8. Let F be a field, and $p \in F[x]$ irreducible, and let K an extension of F containing a root α of p. Then

$$F(\alpha) \simeq F[x]_{(p)}$$

Proof. Consider the homomorphism $F[x] \to F(\alpha)$ taking $a(x) \to a(\alpha)$. Since $p(\alpha) = 0$, p is in the kernel of this homomorphism, and we get an induced homomorphism from $F[x]/(p) \to F(\alpha)$. Now, since p is irreducible, F[x]/(p) is a field, and since the homomorphism takes $1 \to 1$, it is 1–1. Then by the first isomorphism theorem for ring homomorphisms these two fields are isomorphic.

Corollary. If deg p = n, then $F(\alpha) = \{a_0 + a_1 \alpha + \dots a_{n-1} \alpha^{n-1} : a_i \in F \text{ for all } 1 \leq i \leq n-1\}$ and $[F(\alpha) : F] = n$.

- **Example 1.4.** (1) The polynomial $x^2 2$ over \mathbb{Q} also has the root $-\sqrt{2}$ in \mathbb{R} , so that $\mathbb{Q}(-\sqrt{2})$ is of degree 2 over \mathbb{Q} with elements of the form $a b\sqrt{2}$. Notice however that $\mathbb{Q}(-\sqrt{2}) \simeq \mathbb{Q}(\sqrt{2})$ by taking $a b\sqrt{2} \to a + b\sqrt{2}$.
 - (2) The polynomial $x^3 2$ only has the solution $\xi = \sqrt[3]{2}$ in \mathbb{R} . However, in \mathbb{Q} it has the solutions given by

$$\sqrt[3]{2}(\frac{-1 \pm i\sqrt{3}}{2})$$

So that the subfields generated by either of these three elements (over \mathbb{C}) are isomorphic.

Theorem 1.1.9. Let $\phi: F \to L$ a field isomorphism and $p \in F[x]$, $q \in L[x]$ irreducible polynomials, where q is obtained by applying ϕ to the coefficients of p. Let α a root of p, and β a root of q. Then there exists an isomorphism $F(\alpha) \to L(\beta)$ taking $\alpha \to \beta$ and extending ϕ . That is, we have the following diagram

$$F(\alpha) \longrightarrow L(\beta)$$

$$\downarrow \qquad \qquad \downarrow$$

$$F \longrightarrow_{\phi} E$$

Proof. Notice that ϕ induces a ring homomorphism between F[x] and L[x], so that (p) is maximal. Since q is obtained from p, (q) is also maximal, so that F[x]/(p) and L[x]/(q) are fields. Then we have an isomorphism

$$F[x]_{(p)} \simeq L[x]_{(q)}$$

Then, if α is a root of p, and β a root of q, we obtain the isomorphism

$$F(\alpha) \simeq L(\beta)$$

moreover, this isomorphism takes $\alpha \to \beta$.

1.2 Algebraic Extensions.

Definition. Let K_F be a field extension. We say that an element $\alpha \in K$ is algebraic over F, provided there exists a polynomial over F having α as a root. Otherwise we call α transcendental. If every $\alpha \in K$ is algebraic, we call K algebraic and K_F an algebraic extension.

Lemma 1.2.1. Let α be algebraic over a field F. Then there exist a unique monic irreducible polynomial $m \in F[x]$ having α as a root. Moreover, if $f \in F[x]$ is a polynomial, then f has α as a root if, and only if m|f.

Proof. Let m a polynomial of minimal degree having α as a root. Suppose, also that , is monic. Now, if m were reducible, then m(x) = a(x)b(x) for some $a, b \in F[x]$ polynomials both of degree less than deg m. Then we also have that $a(\alpha) = b(\alpha) = 0$, which contradicts that m is the polynomial of minimal degree satisfying that condition. Hence, m is irreducible.

Now, let $f \in F[x]$ have α as a root, then by the divison theorem, there exist $q, r \in F[x]$, with $q \neq 0$ for which

$$f(x) = q(x)m(x) + r(x)$$
 where $\deg r < \deg m$

Now, since $f(\alpha) = q(\alpha)m(\alpha) + r(\alpha) = 0$, then r(x) = 0 for all x lest we contradict the minimality of m. Hence m|f. Conversely, if m|f, then f has α as a root.

Now, let g a polynomial of minimal degree for which $g(\alpha) = 0$. Then by above, we have that deg $g = \deg m$, and that moreover, m|g and g|m. therefore g = m and uniqueness is established.

Corollary. Let $L_{/F}$ be an extension, and α algebraic over F. Let $m_{\alpha,F}$ the unique monic irreducible polynomial over F having α as root, and $m_{\alpha,L}$ the unique monic irreducible polynomial over L having α as root. Then $m_{\alpha,L}|m_{\alpha,F}$ in L[x].

Definition. Let F be a field, and α algebraic over F. We define the **minimal polynomial** $m_{\alpha,F}$, to be the polynomial over F of minimal degree having α as a root. If the field is clear, we instead write m_{α} , or even just m when the root itself is also clear. We define the **degree** of α to be deg $\alpha = \deg m_{\alpha}$.

Lemma 1.2.2. Let α algebraic over F. Then

$$F(\alpha) \simeq F[x]/(m_{\alpha,F})$$

Corollary. $[F(\alpha):F]=\deg m_{\alpha}=\deg \alpha$.

Example 1.5.

- (1) The minimal polynomial for $\sqrt{2}$ over \mathbb{Q} is $x^2 2$.
- (3) The minimal polynomial for $\sqrt[3]{2}$ over \mathbb{Q} is $x^3 2$.
- (3) Let n > 1, then by the Eisenstein-Schömann criterion, $x^n 2$ is irreducible over \mathbb{Q} . Moreover, $x^n 2$ has as root in \mathbb{R} $\sqrt[n]{2}$. Then $\mathbb{Q}(\sqrt[n]{2})$ is a field of degree $[\mathbb{Q}(\sqrt[n]{2}):\mathbb{Q}] = 2$. Moreover $x^n 2$ is the minimal polynomial of $\sqrt[n]{2}$. Notice, that over \mathbb{R} , deg [n]2 = 1, and that $m_{\sqrt[n]{2},\mathbb{R}}(x) = x \sqrt[n]{2}$.
- (4) Consider $p(x) = x^3 3x 1$ over \mathbb{Q} . Notice that p is irreducible over \mathbb{Q} and let α a root of p. Then $[\mathbb{Q}(\alpha):\mathbb{Q}]=3$.

Lemma 1.2.3. An element α is algebraic over a field F if, and only if the simple extension $F(\alpha)/_F$ is finite.

Proof. If α is algebraic over F then $[F(\alpha):F]=\deg \alpha \leq n$ if α satisfies a polynomial of degree n. Conversely, if α is an element of the finite extenson K/F, of degree n, then the set $\{1,\alpha,\ldots,\alpha^n\}$ is linearly dependent over F. Hence there exist $b_0,\ldots,b_n\in F$ not all 0 for which

$$b_0 + b_1 \alpha + \dots + a_n \alpha^n = 0$$

making α a root of a nonzero polynomial over F of degree deg $\leq n$.

Corollary. If an extension K_F is finite, then it is algebraic.

Proof. If $\alpha \in K$ is algebraic, then $K_{/F}$ implies that $F(\alpha)_{/F}$ is finite, since $F(\alpha) \subseteq K$.

Example 1.6. Let F a field of char $F \neq 2$, and let K an extension field of F of degree [K:F]=2. Let $\alpha \in K$ not in F, then α satisfies an polynomial of at most degree 2 over F. Now, since $\alpha \notin F$, this polynomial must have degree greater than 1. Hence it satisfies a polynomial of degree 2. Then the minimal polynomial of α is a quadratic

$$m_{\alpha}(x) = x^2 + bx + c$$
 with $b, c \in F$

Since $F \subseteq F(\alpha) \subseteq K$, and $F(\alpha)$ is a vector space over F of dimension 2, then we must have $K = F(\alpha)$; that is K/F is simple.

Now, the roots of m_{α} are

$$\alpha = \frac{-b \pm \sqrt{b^2 - 4c}}{2}$$

Since $\alpha \notin F$, $b^2 - 4c$ is not a square in F, and $\sqrt{b^2 - 4c}$ is a root of the equation $x^2 - (b^2 - 4c) = 0$ in K.

Conversely, $\sqrt{b^2 - 4c} = \pm (b + 2\alpha)$ which puts $\sqrt{b^2 - 4c} \in F(\alpha)$. That is $F(\sqrt{b^2 - 4c}) = \mathbb{F}(\alpha)$. Moreover, $x^2 - (b^2 - 4c)$ does not have solutions in K.

We call field extensions K_{f} of degree 2 quadratic field extension, where $K = F(\sqrt{D})$, and D is a squarefree element of F.

Theorem 1.2.4. Let $F \subseteq K \subseteq L$. Then [L:F] = [L:K][K:F].

Proof. Let [L:K] = m and [K:F] = n. Let $\{\alpha_1, \ldots, \alpha_m\}$ and $\{\beta_1, \ldots, \beta_n\}$ be bases for the extensions L_K and K_F . Now, the elements of L over K are of the form

$$a_1\alpha_1 + \cdots + a_m\alpha_m$$
 where $a_i \in K$ for all $1 \le i \le m$

Since each $a_i \in K$, which is an extension over F, they have the form

$$a_i = b_{i1}\beta_{i1} + \cdots + b_{in}\beta_{in}$$
 where $b_{ij} \in F$ for all $1 \le j \le n$

That is, every element of L, as a vector space over F are of the form

$$\sum b_{ij}\alpha_i\beta_j$$

So the set $\{\alpha_1\beta_1, \dots \alpha_m\beta_n\}$ spans L. It remains to show that this set is linearly in dependent. Suppose that

$$\sum b_{ij}\alpha_i\beta_j=0$$

for some $b_{ij} \in F$. Since $\{\alpha_1, \ldots, \alpha_m\}$ are linearly independent in L over K, we have that the coefficients $a_1 = \cdots = a_n = 0$ which makes

$$a_i = b_{i1}\beta_{i1} + \cdots + b_{in}\beta_{in} = 0$$

Now, since $\{\beta_1, \ldots, \beta_n\}$ is linearly independent in K over F, this implies that $b_{i1} = \cdots = b_{in} = 0$ which makes the collection $\{\alpha_1\beta_1, \ldots, \alpha_m\beta_n\}$ linearly independent, and hence, a basis. Moreover, notice that this basis has size mn.

Example 1.7. (1) The element $\sqrt{2} \notin \mathbb{Q}(\alpha)$, where α is the root of $x^3 - 3x - 1$; since $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$, and $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 3$.

(2) We have $[\mathbb{Q}(\sqrt[6]{2}):\mathbb{Q}] = 6$, and since $(\sqrt[6]{2})^3 = \sqrt{2}$, we observe that $\mathbb{Q}(\sqrt{2}) \subseteq \mathbb{Q}(\sqrt[6]{2})$. Moreover, notice that by theorem 1.2.4 $[\mathbb{Q}(\sqrt[6]{2}):Q(\sqrt{2})] = 3$. Then we have the following tower of fields for

$$\mathbb{Q} \subseteq \mathbb{Q}(\sqrt{2}) \subseteq \mathbb{Q}(\sqrt[6]{2})$$

$$\mathbb{Q}(\sqrt[6]{2})$$

$$\mathbb{Q}(\sqrt{2})$$

$$\mathbb{Q}(\sqrt{2})$$

Lemma 1.2.5. Let α, β be algebraic over a field F. Then $F(\alpha, \beta) = (F(\alpha))(\beta)$.

Proof. By definition, $F(\alpha, \beta)$ contains F, and α , and hence contains $F(\alpha)$. It also contains β so that $(F(\alpha))(\beta) \subseteq F(\alpha, \beta)$. By the same argument, $(F(\alpha))(\beta)$ contains F, α and β so that $F(\alpha, \beta) \subseteq (F(\alpha))(b)$.

Corollary. The elements of $F(\alpha, \beta)$ are of the form $\sum a_{ij}\alpha^i b^j$, where $1 \leq i \leq \deg \alpha$ and $1 \leq j \leq \deg \beta$.

Example 1.8. Consider $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ generated by $\sqrt{2}$ and $\sqrt{3}$. Notice that deg $\sqrt{3}=2$ over \mathbb{Q} so that $[\mathbb{Q}(\sqrt{2}, \sqrt{3}): \mathbb{Q}(\sqrt{2})] \leq 2$. Now $[\mathbb{Q}(\sqrt{2}, \sqrt{3}): \mathbb{Q}(\sqrt{2})] = 2$ if, and only if the polynomial $x^2 - 3$ is irreducible over $\mathbb{Q}(\sqrt{2})$. Then it is irreducible if, and only if $\sqrt{2} \in \mathbb{Q}(\sqrt{3})$. It can be shown that this is not the case by trying to find $a, b \in \mathbb{Q}$ for which $\sqrt{3} = a + b\sqrt{2}$. Moreover we have

$$[\mathbb{Q}(\sqrt{2},\sqrt{3}):\mathbb{Q}]=4$$

Theorem 1.2.6. An extension field $K_{/F}$ is finite if, and only if it is generated by finitely many algebraic elements over F.

Proof. Let K_{F} finite of degree n, and $\{\alpha_1, \ldots, \alpha_n\}$ a basis. Then by theorem 1.2.4, $[F(\alpha_i): F]|[K:F]$ for all $1 \leq i \leq n$. So each α_i is algebraic over F. Then K is generated by finitely many algebraic elements.

Conversely, let $K = F(\alpha_1, \ldots, \alpha_k) = (F(\alpha_1, \ldots, a_{k-1}))(\alpha_k)$ We obtain K by taking the extensions F_{i+1}/F_i iteratively, where $F_{i+1} = F_i(\alpha_{i+1})$, and obtain the sequence

$$F = F_0 \subseteq \cdots \subseteq F_k = K$$

Now, if the elements $\alpha_1, \ldots, \alpha_k$ are algebraic over F, each of $\deg \alpha_i = n_i$ for $1 \le i \le k$, then the extension F_{i+1}/F_i is a simple extension, and $[F_{i+1}:F_i] = \deg m_{\alpha_{i+1}} \le \deg \alpha_{i+1} = n_{i+1}$. Then we have

$$[K:F] = [F_k:F_{k-1}]\dots[F_1,F] \le n_1\dots n_k$$

which makes $K_{/F}$ a finite extension.

Corollary. If α, β are algebraic over F, then so are $\alpha \pm \beta$, $\alpha\beta$, and $\alpha\beta^{-1}$ (for $\beta \neq 0$).

Corollary. If $L_{/F}$ is an extension, then the collection of elements of L which are algebraic over F forms a subfield of L.

- **Example 1.9.** (1) Consider the extension $\mathbb{C}_{\mathbb{Q}}$, and let $\operatorname{cl} \mathbb{Q}$ the subfield of all elements of \mathbb{C} which are algebraic over \mathbb{Q} . Then $\sqrt[n]{2} \in \operatorname{cl} Q$ for all $n \geq 1$, so that $[\operatorname{cl} \mathbb{Q} : \mathbb{Q}] \geq n$. This makes $\operatorname{cl} \mathbb{Q}$ an infinite algebraic extension, and we call $\operatorname{cl} \mathbb{Q}$ the **field of algebraic numbers**.
 - (2) Consider $\operatorname{cl} \mathbb{Q} \cap \mathbb{R}$ as a subfield of \mathbb{R} (i.e. the subfield of all algebraic elements of \mathbb{Q}). Since \mathbb{Q} is countable, so is the field $\mathbb{Q}[x]$, and each polynomial in $\mathbb{Q}[x]$ has at most n roots in \mathbb{R} , hence the number of all algebraic elements of \mathbb{R} over \mathbb{Q} is also countable. This means that $\operatorname{cl} \mathbb{Q}$ must also be countable. Now, since \mathbb{R} is uncountable, then there exist uncountably transcendental numbers of \mathbb{R} over \mathbb{Q} . Most notably the irrational numbers π and e are transcendental.

Theorem 1.2.7. If K is algebraic over F, and L algebraic over K, then L is algebraic over F.

Proof. Let $\alpha \in L$, since L is algebraic over K, there exists a $p \in K[x]$ having α as root. Let $p(x) = a_0 + a_1x + \cdots + a_nx^n$. Consider then $F(\alpha, a_0, \ldots, a_n)$. Since K_f is algebraic, a_0, \ldots, a_n are algebraic over F, and so $F(\alpha, a_0, \ldots, a_n)$ is a finite extension over F. Then α generates an extension field of degree less than n, and we get

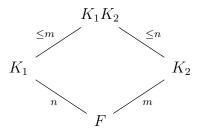
$$[F(\alpha, a_0, \dots, a_n) : F] = [F(\alpha, a_0, \dots, a_n) : F(a_0, \dots, a_n)][F(a_0, \dots, a_n) : F]$$

is finite, and $F(\alpha, a_0, \dots, a_n)$ is algebraic over F. That is, α is algebraic over F, and so L is algebraic over F.

Definition. Let K_1 and K_2 subfields of a field K. The **composite field** K_1K_2 is the smallest subfield of K containing both K_1 and K_2 .

Example 1.10. The composite field of $\mathbb{Q}(\sqrt[3]{2})$ and $\mathbb{Q}(\sqrt{2})$ is $\mathbb{Q}(\sqrt[6]{2})$.

Lemma 1.2.8. Let K_1 and K_2 be extensions of a field F contained in a field K. Then $[K_1K_2:F] \leq [K_1:F][K_2:F]$ with equality holding if, and only if a basis of F in the other field is linearly independent. Moreover if $\{\alpha_1,\ldots,\alpha_m\}$ and $\{\beta_1,\ldots,\beta_n\}$ are bases for K_1 and K_2 , then $\{\alpha_1,\beta_1,\ldots,\alpha_m\beta_n\}$ span K_1 and K_2 .



Corollary. If $[K_1 : F] = m$, and $[K_2 : F] = n$ with m and n coprime, then $[K_1K_2 : F] = [K_1 : F][K_2 : F]$.

Proof. We have that $m, n|[K_1K_2:F]$ and since $K_1, K_2 \subseteq K_1K_2$ are subfields of K_1K_2 , we get the least common multiple $[m, n]|[K_1K_2:F]$. Now, since (m, n) = 1, we get [m, n] = mn so that $mn \leq [K_1K_2:F]$.

1.3 Splitting Fields

Definition. Let K be an extension of a field F. We say a polynomial f over F splits completely over K if f factors into linear factors over K. If f splits completely over K, and in no other proper subfield, then we say K is the splitting field of f over F.

Theorem 1.3.1. If f is a polynomial over a field F, then there exists a splitting field K of f over F.

Proof. Let E an extension of F with [E:F]=n. By induction on n, for n=1, we take E=F and we are done. Now, for $n\geq 1$, suppose the irreducible factors of f are of deg = 1. Then f has all its roots in F, and hence splits completely over F. Then take E=F. On

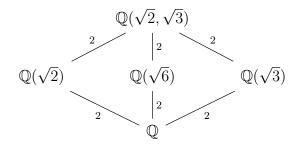
the other hand, if f has at least one irreducible factor of $\deg \geq 2$, then there is an extension E_1 of F for which f has the factor $(x - \alpha)$ for some root α . Then $f(x) = (x - \alpha)f_1(x)$ where $\deg f_1 = n - 1$. Therefore by the induction hypothesis, there is an extension E of E_1 containing all the roots of f_1 . Hence, it contains all the roots of f and f splits completely over E.

Now, let K be the intersection of all subfields of E for which f splite; i.e. all subfields containing the roots of f. Then by definition, K is the splitting field of f over F.

Definition. If K is an algebraic extension of F such that it is the splitting field for a collection of polynomials over F, then we say that K is a **normal extension** of F.

Example 1.11. (1) The splitting field of $x^2 - 2$ over \mathbb{Q} is $\mathbb{Q}(\sqrt{2})$, since $x^2 - 2 = (x + \sqrt{2})(x - \sqrt{2})$ and $\pm \sqrt{2} \in \mathbb{Q}(\sqrt{2})$ and $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$, so there is no other subfield in between.

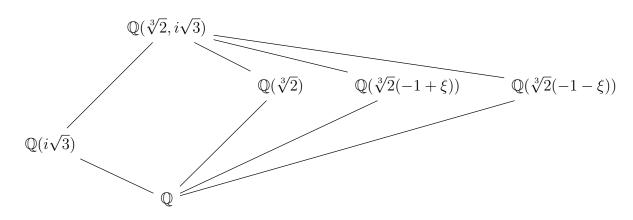
(2) The splitting field for $(x^2-2)(x^2-3)=(x+\sqrt{2})(x-\sqrt{2})(x+\sqrt{3})(x-\sqrt{3})$ is $\mathbb{Q}(\sqrt{2},\sqrt{3})$. Now, $[\mathbb{Q}(\sqrt{2},\sqrt{3}):Q]=4$ and the lattice of fields is



(3) Let $\xi = i\frac{\sqrt{3}}{2}$. Notice that $x^3 - 2$ factors into $x^3 - 2 = (x - \sqrt[3]{2})(x + \sqrt[3]{2}(-1 + \xi))(x + \sqrt[3]{2}(-1 - \xi))$. Now, $-1 + \xi, -1 - \xi \notin \mathbb{Q}(\sqrt[3]{2})$, so $\mathbb{Q}(\sqrt[3]{2})$ is not the splitting field for $x^3 - 2$. Let K be the splitting field of $x^3 - 2$. Then K conmtains $-1 \pm \xi$, so that $i\sqrt{3} \in K$. Thus

$$K = \mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$$

Moreover, $[\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) : \mathbb{Q}(\sqrt[3]{2})] \geq 2$ and since $\mathbb{Q}(\sqrt[3]{2})$ is not the splitting field, $[\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) : \mathbb{Q}(\sqrt[3]{2})] = 2$. Hence $[\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) : \mathbb{Q}] = 6$. We have the following lattice.



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(4) Notice that $x^4+4=(x^2+2x+2)(x^2-2x+2)$ over \mathbb{Q} which is irreducible by Eisenstein's criterion. Using the quadratic formula, we get ± 1 and $\pm i$ as the roots, moreover, notice that $\pm 1, \pm i \in \mathbb{Q}(i)$ and since $[\mathbb{Q}(i):\mathbb{Q}]=2$ there are no subfields between \mathbb{Q} and $\mathbb{Q}(i)$ so that $\mathbb{Q}(i)$ is the splitting field of x^4+4 over \mathbb{Q} .

Lemma 1.3.2. A splitting field of a polynomial of degree n over a field F is of degree at most n! over F.

Proof. Let $f \in F[x]$ a polynomial of deg f = n. Adjoining one root of f to F, we have an extension F_1/F of degree $[F_1 : F] = n$. Now, f over F_1 has at leas one linear factor, and so any root of f satisfies a polynomial of degree n-1. Hence proceeding inductively gives the result.

Example 1.12. Consider the polynomial $x^n - 1$ over \mathbb{Q} . Then the roots of $x^n - 1$ are of the form ξ where $\xi^n = 1$. Notice, that in \mathbb{C} , $\xi = e^{\frac{2i\pi}{n}}$, so that \mathbb{C} contains a splitting field of $x^n - 1$. Hence $\mathbb{Q}(\xi) \subseteq \mathbb{C}$ is a splitting field of $x^n - 1$ over \mathbb{Q} . Notice that the set of all roots ξ of $x^n - 1$ forms a cyclic group generated by ξ .

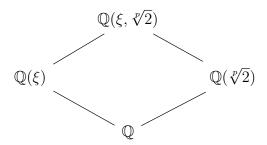
Definition. Consider a field F and the polynomial $x^n - 1$ over F. We call the roots ξ of $x^n - 1$, where $\xi^n = 1$ the **primitive** n-th roots of unity over F. We call $F(\xi)$ the cyclotomic field over F.

Example 1.13. Let p be a prime, and consider the splitting field $x^p - 2$ over \mathbb{Q} . If α is a root, then $\alpha^p = 2$ so that $(\xi \alpha)^p = 2$ where ξ is a primitive p-th root of unity over \mathbb{Q} . So the roots of $x^2 - 2$ are

$$\sqrt[p]{2}$$
 and $\xi\sqrt[p]{2}$

Notice that $\frac{\xi\sqrt[p]{2}}{\sqrt[p]{2}} = \xi$ so the splitting field contains $\mathbb{Q}(\xi, \sqrt[p]{2})$, Moreover, $\mathbb{Q}(\xi, \sqrt[p]{2})$ contains all the roots of $x^p - 2$ so that $\mathbb{Q}(\xi, \sqrt[p]{2})$ is the splitting field of $x^p - 2$ over \mathbb{Q} .

Notice, that $\mathbb{Q}(\xi) \subseteq \mathbb{Q}(\xi, \sqrt[p]{2})$ so that $[\mathbb{Q}(\xi, \sqrt[p]{2}) : \mathbb{Q}(\xi)] \leq p$. not, since $\mathbb{Q}(\sqrt[p]{2})$ is also a subfield, we get $[\mathbb{Q}(\xi, \sqrt[p]{2}) : Q] \leq p(p-1)$. Since (p, p-1) = 1 (i.e. they are coprime), we have $p(p-1)|[\mathbb{Q}(\xi, \sqrt[p]{2}) : \mathbb{Q}]$ so that $[p]2) : \mathbb{Q}] = p(p-1)$. We have the following lattice.



Theorem 1.3.3. Let $\phi: F \to F'$ a field isomorphism. Let f and f' polynomials over F and F', where f' is obtained by applying ϕ to the coefficients of f. Let E and E' be splitting fields of f and f' over F and F', respectively. Then ϕ extends to an isomorphism between E and E'; i.e. $E \simeq E'$.

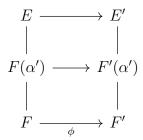
$$E \longrightarrow E'$$

$$\downarrow \qquad \qquad \downarrow$$

$$F \stackrel{\phi}{\longrightarrow} F'$$

Proof. Let deg f = n. By induction on n. If f has all its roots in F, f splits completely over F, and f' over F'. Then take E = F and E' = F' and we are done for n = 1.

Now, for $n \geq 1$, suppose the theorem is true. Let p an irreducible factor of f, and p' an irreducible factor of f'. If α and α' are roots of p and p', respectively, then extend ϕ to $F(\alpha)$ and $F'(\alpha')$. Then $f(x) = (x-\alpha)f_1(x)$ and $f'(x) = (x-\alpha')f'_1(x)$; with deg $f_1 = \deg f'_1 = n-1$. Then let E the splitting field of f_1 over $F(\alpha)$, and E' the splitting field of f'_1 over $F'(\alpha')$



The the roots of f_1 and f'_1 are in E and E', respectively, and hence so are the roots of f and f'. Then by the induction hypothesis, we can extend ϕ to E and E' so that $E \simeq E'$.

Corollary. Any two splitting fields of a given polynomial over a field are isomorphic.

Proof. Take ϕ to be the identity map.

1.4 Algebraic Closures.

Definition. We define the **algebraic closure** of a field F to be the algebraic extension, $\operatorname{cl} F$, over F for which every polynomial over F splits. We call a field K **algebraically closed** if every polynomial over K has at least one root in K.

Lemma 1.4.1. A field K is algebraically closed if, and only if every polynomial over K has all of its roots in K.

Proof. Certainly, if a polynomial f over K contains all of its roots in K, then K is algebraically closed, by definition.

Now, suppose that K is algebraically closed, and let f a polynomial over K. Then f contains at least one root in K. Hence $f(x) = (x - \alpha)f_1(x)$ for some root α of f, and where $f_1 \in K[x]$. But then by definition again, f_1 contains at least one root in K. Hence, we proceed until we exhaust all the roots of f, and obtain that every root of f lies in K.

Corollary. K is algebraically closed if, and only if cl K = K.

Lemma 1.4.2. Let F be a field, and $\operatorname{cl} F$ its algebraic closure. Then $\operatorname{cl} F$ is algebraically closed; i.e. $\operatorname{cl}(\operatorname{cl} F) = \operatorname{cl} F$.

Proof. Let $f \in \operatorname{cl} F[x]$, and α a root of f. Then α generates all of $\operatorname{cl} F(\alpha)$, making $\operatorname{cl} F$ algebraic over F. Hence α is algebraic over F, but $\alpha \in \operatorname{cl} F$, so that $\operatorname{cl} (\operatorname{cl} F) = \operatorname{cl} F$.

Lemma 1.4.3. For every field F, there exists an algebraically closed set containing F.

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Proof. Consider the polynomial ring $F[\ldots, x_n, \ldots]$ where $f(x_n)$ is a nonconstant polynomial over f. Consider the ideal (f). Then, if (f) = (1), then

$$g_1 f_1(x_1) + \dots + g_n f_n(x_n) = 1$$

where $g_i \in F[x_i]$. Then we get

$$g_1(x_1,\ldots,x_m)f_1(x_1)+\cdots+g_n(x_1,\ldots,x_m)f_n(x_n)=1$$

Now, let F' an extension of F containing a root α_i of f_i . Then we observe that 0 = 1 in the above equation which is a blatant contradiction. So (f) must be a proper ideal.

Now, by Zorn's lemma, there exists a maximal ideal M containing I. Then the quotient

$$K_1 = F[\ldots, x_n, \ldots]/M$$

is a field containing an imbedding of F. Moreover, f has a root in K_1 , so that $f(x_n) \in (f) \subseteq M$. Then K_1 is a field in which every polynomial over F has a root. Proceeding as before with K_1 , we obtain K_2 in which every polynomial over K_1 has a root. Hence, proceeding recursively, we obtain the sequence

$$F = K_0 \subseteq K_1 \subseteq K_n \subseteq \dots$$

in which everypolynomial over K_n has all its roots in K_{n+1} . Now, let

$$K = \bigcup K_n$$

Then $F \subseteq K$, and every polynomial over K has a root in K_N , for N large enough; but $K_N \subseteq K$, so that K is algebraically closed.

Lemma 1.4.4. Let K be algebraically closed, and let $F \subseteq K$. Then the collection of elements of the algebraic closure $\operatorname{cl} F$ of K that are algebraic over F is an algebraic closure of F.

Proof. By definition, ${}^{\operatorname{cl} F}/_F$ is algebraic. Then every polynomial f over F splits over K into linear factors $(x-\alpha)$, where α is a root of f. So α is algebraic over F, and hence $\alpha \in \operatorname{cl} F$. then all linear factors have a coefficient in $\operatorname{cl} F$, so that f splits completely over $\operatorname{cl} F$.

Corollary. Algebraic closures are unique up to isomorphism.

Theorem 1.4.5 (The Fundamental Theorem of Algebra). \mathbb{C} is algebraically closed.

Corollary. \mathbb{C} contains the an algebraic closuder of any of its subfields.

1.5 Seperability.

Definition. Let f be a polynomial over a field F with factorization

$$f(x) = a_n(x - \alpha_1)^{n_1} \dots (x - \alpha_k)^{n_k}$$

where $\alpha_1, \ldots, \alpha_k$ are roots of f, and a_n is the leading coefficient of f. If $n_i > 1$, we call α_i a **multiple root** of f, and if $n_i = 1$, we call α_i a **simple root**. We call n_i the **multiplicity** of α_i .

Definition. A polynomial over a field F is said to be **seperable** if it has only simple roots. Otherwise, we say it is **inseperable**.

Lemma 1.5.1. Seperable polynomials have all their roots distinct.

Definition. We say a field F is a **finite field** if it has a finite number of elements. If |F| = n, then we denote F as \mathbb{F}_n .

Lemma 1.5.2. Every finite field has finite characteristic.

Proof. Recall that the characteristic is just the additive order of the element 1 in the field.

Example 1.14. (1) $x^2 - 2 = (x + \sqrt{2})(x - \sqrt{2})$ is separable over \mathbb{Q} . However $(x^2 - 1)^n$ is inseparable.

(2) Consider $x^2 - t$ over the field $\mathbb{F}_2(t)$ of rational functions over t. $x^2 - t$ is irreducible, but inseperable. Let \sqrt{t} a root, then $(x - \sqrt{t})^2 = x^2 - t$ since char $\mathbb{F}_2 = 2$.

Definition. The **derivative** of a polynomial $f(x) = a_0 + a_1 x + \cdots + a_n x^n$ over a field F is the polynomial

$$Df(x) = a_1 + 2a_2x + \dots + na_nx^{n-1}$$

over F.

Lemma 1.5.3. For any two polynomials f and g over a field, the following are true.

- (1) D(f+g) = Df + D(g).
- (2) D(fg) = fDg + gDf.

Lemma 1.5.4. A polynomial f has a multiple root α if, and only if α is a root of Df. Moreover, the minimal polynomial of α , m_{α} divides (f, Df).

Proof. Let α a multiple root of f. Then $f(x) = (x - \alpha)^n g(x)$ for some polynomial g. Hence

$$Df(x) = n(x - \alpha)^{n-1}g(x) + (x - \alpha)^n Dg(x)$$

so that α is a root of Df.

Conversly, suppose that α is a root of both f and Df. Then $f(x) = (x - \alpha)g(x)$ for some polynomial g, and $Df(x) = g(x) + (x - \alpha)Dg(x)$. Now, since $Df(\alpha) = 0$, we get $h(\alpha) = 0$, so that h has a linear factor $(x - \alpha)$. This makes α a multiple root of f.

Corollary. f is separable if and only if (f, Df) = 1.

Corollary. Every irreducible polynomial in a field F of char F = 0 is separable. Moreover, a polynomial over such a field is irreducible if, and only if it is the product of distinct irreducible factors.

Proof. Let p an irreducible polynomial over F of $\deg p = n$. Then $\deg Dp = n - 1$. Up to constant factors, the factors of p are 1 and itself, so that (p, Dp) = 1. This makes p separable. Therefore every irreducible polynomial over F is separable, and the rest follows.

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Example 1.15. (1) Let p prime and $f(x) = x^{p^n} - x$ over the finite field \mathbb{F}_p , of char $\mathbb{F}_p = p$. Then $Df(x) = p^n x^{p^n - 1} - 1 \equiv -1 \mod p$. Then Df has no roots, which makes f seperable.

- (2) $D(x^n 1) = nx^{n-1}$ for any field of char coprime to p. Then $D(x^n 1)$ has a root 0 of multiplicity n > 1, but 0 is not a root of $x^n 1$ so that $x^n 1$ is separable. That is, $x^n 1$ has n distinct roots of unity ξ .
- (3) Let F a field of char F = p, where p|n. Then there are fewew than n distinct n-th roots of unity over F, since $n \equiv 0 \mod p$. Then $D(x^n 1) = 0$, and every root of $x^n 1$ is a multiple root.

Lemma 1.5.5. If f is a polynomial over a field F whose derivative is 0, then there exist a polynomial g for which $f(x) = g(x^p)$ where char F = p.

Proof. Let $f(x) = a_0 + a_1x + \cdots + a_nx^n$. Then $Df(x) = a_1 + \cdots + na_nx^{n-1} = 0$, so that every exponent $i \equiv 0 \mod p$. That is, $f(x) = a_0 + a_1x^p + \cdots + a_mx^{mp}$. Then let

$$g(x) = a_0 + a_1 x + \dots + a_m x^m$$

then $f(x) = q(x^p)$.

Lemma 1.5.6. Let F a field of char F = p. The for every $a, b \in F$, $(a + b)^p = a^p + b^p$ and $(ab^p) = a^p b^p$.

Proof. The binomial theorem gives

$$(a+b)^p = a^p + \binom{p}{1}a^{p-1}b + \dots + \binom{p}{p-1}ab^{p-1} + b^p$$

Now, since $\binom{p}{i} \in \mathbb{Z}$ for any $1 \le i \le p-1$, and p is prime (the charateristic of a field has to be prime), then $p|\binom{p}{i}$. Hence $\binom{p}{i} \equiv 0 \mod p$, so that the binomial exapnsion above reduces to

$$(a+b)^p \equiv a^p + b^p \mod p$$

Now, let $\phi: a \to a^p$, then ϕ is an automorphism of fields taking $(ab)^p = a^p b^p$.

Corollary. Let F be a finite field of char F = p. Then every element of F is a $p^{th}powerin F$.

Definition. Let F be a field. We call the automorphism $F \to F$ defined by $a \to a^p$ where $p \in \mathbb{Z}$ the Forbenius automorphism.

Lemma 1.5.7. Every irreducible polynomial in a finite field F is separable.

Proof. Suppose otherwise. Since F has finite characteristic, there is a polynomial q over F for which $p(x) = q(x^l)$, where p is the irreducible polynomial in question, and char F = l. Let

$$q(x) = a_0 + a_1 x + \dots + a_n x^n$$

then $a_i = b_i^p$ for some $b_i \in F$, and

$$p(x) = q(x^{l})$$

$$= a_{0} + a_{1}x^{p} + \dots + a_{n}x^{pn}$$

$$= b_{0}^{p} + b_{1}^{p}x^{p} + \dots + b_{n}^{p}x^{np}$$

$$= (b_{0} + b_{1}x + \dots + b_{n}x^{n})^{p}$$

which is a contradiction.

Definition. A field K of characteristic char K = p is called **perfect** if for every $a \in K$, there exists a $b \in K$ for which $a = b^p$, or p = 0.

Example 1.16. Let n > 0 and consider the splitting field of the polynomial $x^{p^n} - x$ over the finite field \mathbb{F}_p . Then $x^{p^n} - x$ has precisely p^n roots.

Let α, β be roots. Then $\alpha^{p^n} = \alpha$, and $\beta^{p^n} = \beta$. Then $(\alpha\beta)^{p^n} = \alpha\beta$ and $(\alpha^{-1})^{p^n} = \alpha^{-1}$. Moreover, $(\alpha + \beta)^{p^n} = \alpha + \beta$. So the set of p^n disctinct roots of $x^{p^n} - x$ is closed under addition, multiplication, and inverses in its splitting field. Let F be that splitting field. Notice that $F \subseteq \mathbb{F}_{p^n}$, moreover, $[F:F_p] = n$ so that $|F| = p^n$. We also have that $\mathcal{U}(F)$ is a cyclic group of order $p^n - 1$, so that $F_{p^n} \subseteq F$, since $\alpha^{p^n-1} = 1$. Therefore \mathbb{F}_{p^n} is the splitting field of $x^{p^n} - x$ over \mathbb{F}_p , and so contains all the roots of $x^{p^n} - x$. Hence finite fields of order p^n exist and are unique up to isomorphism.

Lemma 1.5.8. Let f an irreducible polynomial over a field F of char F = p. Then there exists a unique integer $k \ge 0$ and a unique separable polynomial s such that $f(x) = s(x^{p^k})$.

Proof. We have that since char F = p, there exists a polynomial f_1 over F for which $f(x) = f_1(x^p)$. Now, if f_1 is seperable, take k = 1 and we are done. Otherwise, there is a polynomial f_2 over F for which $f_2(x) = f_2(x^p)$, so that $f(x) = f_1(x^p) = f_2(x^{p^2})$. Then proceeding in this fashion, we obtain a seperable polynomial s for which $f(x) = s(x^{p^k})$ where $k \ge 0$.

Definition. Let f an irreducible polynomial over a field of characteristic p, a prime. Let f_s the polynomial for which $f(x) = f_s(x^{p^k})$ for some unique integer $k \ge 0$. Then we call the degree of f_s the **seperable degree** of f and write $\deg_s f = \deg f_s$. We call the integer p^k the **inseperable degree** and write $\deg_i f = p^k$. We call f_s the **seperable part** of f.

Lemma 1.5.9. A polynomial f is separable if, and only if $\deg_i f = 1$ and $\deg_s f = \deg f$. Moreover,

$$\deg f = \deg_s f \cdot \deg_i f$$

Example 1.17. (1) $x^p - t$ over $\mathbb{F}_p(t)$ is irreducible with derivative D = 0. Hence $x^p - t$ is inseperable. We call $x^p - t$ a **purely inseperable polynomial**. Notice that $x^p - t$ has seperable part (x - t).

- (2) $x^{p^n} t$ over $\mathbb{F}_p(t)$ is irreducible with separable part (x t), and $\deg_i = p^n$.
- (3) Let $f(x) = (x^{p^n} t)(x^p t)$ over $\mathbb{F}_p(t)$. Then p has two inseperable irreducible factors, and so is inseperable.

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Definition. An extension K over a field F is a called **seperable** if every $\alpha \in K$ is the root of a seperable polynomial over F. Otherwise, we call K **inseperable**.

Lemma 1.5.10. Every fnite extension of a perfect field is seperable.

Corollary. Finite extension fields of \mathbb{Q} and \mathbb{F}_p are separable.

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