

# Field Theory and Galois Theory.

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# Chapter 1

## Fields.

### 1.1 Field Extensions.

**Definition.** We define the **characteristic** of a field  $F$  to be the smallest positive integer  $p$ , such that  $p \cdot 1 = 0$ , where  $1$  is the identity of  $F$ . We write  $\text{char } F = p$ , and if no such  $p$  exists, then we write  $\text{char } F = 0$ .

**Lemma 1.1.1.** *Let  $F$  be a field, then  $\text{char } F$  is either 0, or a prime integer.*

*Proof.* Let  $\text{char } F = p$ . If  $p = 0$ , then we are done. Now suppose that  $p = mn$ , with  $m, n \in \mathbb{Z}^+$ . Then  $p \cdot 1 = (mn)1 = (n \cdot 1)(m \cdot 1) = mn = 0$ , which makes  $m$  and  $n$  0 divisors. Since  $F$  is a field, and hence an integral domain, this is impossible, and hence  $p$  must be prime. ■

**Corollary.** *If  $\text{char } F = p$ , then for all  $a \in F$ ,  $pa = \underbrace{a + \cdots + a}_{p \text{ times}}$ .*

*Proof.* We have  $pa = p(a \cdot 1) = (p \cdot 1)a$ . ■

**Example 1.1.** (1) Both  $\mathbb{Q}$  and  $\mathbb{R}$  have  $\text{char} = 0$ . Similarly,  $\text{char } \mathbb{Z} = 0$ , even though  $\mathbb{Z}$  is just an integral domain.

(2)  $\text{char } \mathbb{Z}/p\mathbb{Z} = p$  and  $\text{char } \mathbb{Z}/p\mathbb{Z}[x] = p$  for any prime  $p$ .

**Definition.** We define the **prime subfield** of a field  $F$  to be the subfield of  $F$  generated by 1.

**Example 1.2.** (1) The prime subfields of  $\mathbb{Q}$  and  $\mathbb{R}$  is  $\mathbb{Q}$ .

(2) Let  $\mathbb{Z}/p\mathbb{Z}(x)$  the field of rational functions over  $\mathbb{Z}/p\mathbb{Z}$ . Then the prime subfield of  $\mathbb{Z}/p\mathbb{Z}(x)$  is  $\mathbb{Z}/p\mathbb{Z}$ . Similarly, the prime subfield for  $\mathbb{Z}/p\mathbb{Z}[x]$  is also  $\mathbb{Z}/p\mathbb{Z}$ .

**Definition.** If  $K$  is a field containing a field  $F$ , then we call  $K$  **field extension** over  $F$ , and write  $K/F$  (not the quotient field!) or denote it by the diagram

$$\begin{array}{c} K \\ | \\ F \end{array}$$

**Lemma 1.1.2.** *Every field is a field extension of its prime subfield.*

**Lemma 1.1.3.** *Let  $K$  an extension over a field  $F$ . Then  $K$  is a vector space over  $F$ .*

**Definition.** Let  $K/F$  a field extension. We define the **degree** of  $K$  over  $F$ ,  $[K : F]$  to be the dimension of  $K/F$  as a vector space.

**Definition.** Let  $F$  be a field, and  $f \in F[x]$  a polynomial. We call an element  $\alpha \in R$  a **root** (or **zero**) of  $f$  if  $f(\alpha) = 0$ .

**Lemma 1.1.4.** *Let  $\phi : F \rightarrow L$  a field homomorphism. Then either  $\phi = 0$ , or  $\phi$  is 1-1.*

**Lemma 1.1.5.** *Let  $F$  be a field, and  $p \in F[x]$  an irreducible polynomial. Then there exists a field  $K$  containing an embedding of  $F$ , such that  $p$  has a root in  $K$ .*

*Proof.* Consider  $K = F[x]/(p)$ . Since  $p$  is irreducible in a principle ideal domain,  $(p)$  is a maximal ideal, and hence  $K$  is a field. Now consider the canonical map  $\pi : F[x] \rightarrow K$  taking  $f \rightarrow f \bmod (p)$  and let  $\phi = \pi|_F$ . Then  $\phi \neq 0$ , since  $\pi : 1 \rightarrow 1$ . Then  $\phi$  is 1-1. And so  $\phi(F) \simeq F$ .

Now, consider  $F$  as a subfield of  $K$ . Then  $p(x \bmod (p)) \equiv p(x) \bmod (p) \equiv 0 \bmod (p)$ , so that  $x \bmod (p)$  is a root of  $p$  in  $K$ . ■

**Corollary.** *There exists a field extension of  $F$  containing a root of  $p$ .*

**Theorem 1.1.6.** *Let  $F$  be a field, and let  $p \in F[x]$  an irreducible polynomial of degree  $n$ , and let  $K = F[x]/(p)$ , and  $\theta = x \bmod (p)$ . Then  $\{1, \theta, \dots, \theta^{n-1}\}$  forms a basis for  $K$  as a vector space over  $F$  and  $[K : F] = n$ .*

*Proof.* Let  $a \in F[x]$ , since  $F[x]$  is Euclidean domain, there exist  $q, r \in F[x]$ ,  $q \neq 0$  for which

$$a(x) = q(x)p(x) + r(x) \text{ where } \deg r < n$$

Now, since  $pq \in (p)$ ,  $a(x) \equiv r(x) \bmod (p)$ , and every element of  $K$  is a polynomial of degree less than  $n$ . Then the elements  $\{1, \theta, \dots, \theta^{n-1}\}$  span  $K$ .

Now, suppose that there are  $b_0, \dots, b_{n-1} \in F$  not all 0 for which

$$b_0 + b_1\theta + \dots + b_{n-1}\theta^{n-1} = 0$$

Then

$$b_0 + b_1\theta + \dots + b_{n-1}\theta^{n-1} \equiv 0 \bmod (p)$$

so that  $p|(b_0 + b_1\theta + \dots + b_{n-1}\theta^{n-1})$  in  $F$ . But  $\deg p = n$  and  $p$  divides a polynomial of degree  $n - 1$ , which is a contradiction. Therefore we are left with  $b_0 = \dots = b_{n-1} = 0$ . ■

**Corollary.**  $K = \{\alpha_0 + a_1\theta + \dots + a_{n-1}\theta^{n-1} : a_i \in F \text{ for all } 1 \leq i \leq n - 1\}$

**Corollary.** *If  $a(\theta), b(\theta) \in K$ , are elements of degree less than  $n$ , and the operations of polynomial addition, and polynomial multiplication mod  $(p)$  are defined, then  $K$  forms a field.*

**Example 1.3.** (1) Consider the polynomial  $x^2 + 1$  over  $\mathbb{R}$ . Then one has the field

$$\mathbb{C} = \mathbb{R}[x] / (x^2 + 1)$$

an extension of  $\mathbb{R}$  of degree  $[\mathbb{C} : \mathbb{R}] = 2$ . Let  $i$  be a root of  $x^2 + 1$  in this field, then  $i^2 = -1$ , and the elements of  $\mathbb{C}$  are of the form  $a + ib$  where  $a, b \in \mathbb{R}$ . Then we have described the field of complex numbers, and the addition and multiplication (mod  $x^2 + 1$ ) of these elements are the addition and multiplication of complex numbers.

One might also construct  $\mathbb{C}$  differently by defining the isomorphism

$$\mathbb{R}[x] / (x^2 + 1) \rightarrow \mathbb{C} \text{ taking } a + xb \rightarrow a + ib$$

(2) Consider again  $x^2 + 1$  over  $\mathbb{Q}$ . Then we get the field

$$\mathbb{Q}(i) = \mathbb{Q}[x] / (x^2 + 1)$$

of degree  $[\mathbb{Q}(i) : \mathbb{Q}] = 2$ , and where  $i$  is a root of  $x^2 + 1$ , so that  $i^2 = -1$ . Then the elements of  $\mathbb{Q}(i)$  are of the form  $a + ib$  where  $a, b \in \mathbb{Q}$ , i.e. it is isomorphic to the set of all complex numbers with rational components.

(2) Consider  $x^2 - 2$  over  $\mathbb{Q}$ . by Eisenstein's criterion for  $p = 2$ ,  $x^2 - 2$  is irreducible over  $\mathbb{Q}$ . Let  $\alpha$  a root of  $x^2 - 2$ , so that  $\alpha^2 = 2$ . Then we have the field

$$\mathbb{Q}(\sqrt{2}) = \mathbb{Q}[x] / (x^2 - 2)$$

of degree  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ , and whose elements are of the form  $a + b\sqrt{2}$ . One can define an isomorphism between  $\mathbb{Q}(i)$  and  $\mathbb{Q}(\sqrt{2})$  by taking  $\sqrt{2} \rightarrow i$ .

(3) The polynomial  $x^3 - 2$  over  $\mathbb{Q}$  gives us the field

$$\mathbb{Q}(\sqrt[3]{2}) = \mathbb{Q}[x] / (x^3 - 2)$$

of degree  $[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$  over  $\mathbb{Q}$ . Here the elements are of the form  $a + b\xi + c\xi^2$  where  $\xi^3 = 2$ .

(4) Denote  $\mathbb{F}_2$  to be a finite field of 2 elements. Consider the polynomial  $x^2 + x + 1$  over  $\mathbb{F}_2$  which is irreducible. Then the field

$$\mathbb{F}_2(\alpha) = \mathbb{F}_2[x] / (x^2 + x + 1)$$

is a field of degree 2 over  $\mathbb{F}_2$ , whose elements are of the form  $a + b\alpha$ , where  $\alpha^2 = \alpha + 1$ . In fact, one can generate this field using the fact that  $\alpha^2 = \alpha + 1$ .

(5) Let  $F = K(t)$  the field of rational functions in  $t$  over a field  $K$ . Let  $p(x) = x^2 - t \in F[x]$ , then by Eisenstien's criterion with the ideal  $(t)$ ,  $p$  is irreducible over  $F[x]$ . Let  $\theta$  be a root for  $p$ , that is  $\theta = \sqrt{t}$ , then we get the field  $K(t, \sqrt{t})$  of degree  $[K(t, \sqrt{t}) : K] = 2$ , whose elements are of the form  $a(t) + b(t)\sqrt{t}$ .

**Lemma 1.1.7.** *Let  $F$  be a subfield of a field  $K$ , and let  $\alpha \in K$ . Then there exists a unique minimal subfield of  $K$  containing  $F$  and  $\alpha$ ; more precisely, it is the intersection of all subfields of  $K$  containing  $F$  and  $\alpha$ .*

**Definition.** Let  $K$  be any extension of a field  $F$ , and let  $\alpha, \beta, \dots \in K$ . Then we define the subfield **generated** by  $\alpha, \beta, \dots$  over  $F$  to be the unique minimal subfield containing all  $\alpha, \beta, \dots$  and  $F$  and we denote it  $F(\alpha, \beta, \dots)$ . Moreover, we call  $K$  a **simple extension** of  $F$  if  $K = F(\alpha, \beta, \dots)$ . If  $K = (F\alpha_1, \dots, \alpha_n)$  for  $\alpha_1, \dots, \alpha_n \in K$ , then it is a **finitely generated** simple extension.

**Theorem 1.1.8.** *Let  $F$  be a field, and  $p \in F[x]$  irreducible, and let  $K$  an extension of  $F$  containing a root  $\alpha$  of  $p$ . Then*

$$F(\alpha) \simeq F[x]_{(p)}$$

*Proof.* Consider the homomorphism  $F[x] \rightarrow F(\alpha)$  taking  $a(x) \rightarrow a(\alpha)$ . Since  $p(\alpha) = 0$ ,  $p$  is in the kernel of this homomorphism, and we get an induced homomorphism from  $F[x]_{(p)} \rightarrow F(\alpha)$ . Now, since  $p$  is irreducible,  $F[x]_{(p)}$  is a field, and since the homomorphism takes  $1 \rightarrow 1$ , it is 1–1. Then by the first isomorphism theorem for ring homomorphisms these two fields are isomorphic. ■

**Corollary.** *If  $\deg p = n$ , then  $F(\alpha) = \{a_0 + a_1\alpha + \dots + a_{n-1}\alpha^{n-1} : a_i \in F \text{ for all } 1 \leq i \leq n-1\}$  and  $[F(\alpha) : F] = n$ .*

**Example 1.4.** (1) The polynomial  $x^2 - 2$  over  $\mathbb{Q}$  also has the root  $-\sqrt{2}$  in  $\mathbb{R}$ , so that  $\mathbb{Q}(-\sqrt{2})$  is of degree 2 over  $\mathbb{Q}$  with elements of the form  $a - b\sqrt{2}$ . Notice however that  $\mathbb{Q}(-\sqrt{2}) \simeq \mathbb{Q}(\sqrt{2})$  by taking  $a - b\sqrt{2} \rightarrow a + b\sqrt{2}$ .

(2) The polynomial  $x^3 - 2$  only has the solution  $\xi = \sqrt[3]{2}$  in  $\mathbb{R}$ . However, in  $\mathbb{Q}$  it has the solutions given by

$$\sqrt[3]{2} \left( \frac{-1 \pm i\sqrt{3}}{2} \right)$$

So that the subfields generated by either of these three elements (over  $\mathbb{C}$ ) are isomorphic.

**Theorem 1.1.9.** *Let  $\phi : F \rightarrow L$  a field isomorphism and  $p \in F[x]$ ,  $q \in L[x]$  irreducible polynomials, where  $q$  is obtained by applying  $\phi$  to the coefficients of  $p$ . Let  $\alpha$  a root of  $p$ , and  $\beta$  a root of  $q$ . Then there exists an isomorphism  $F(\alpha) \rightarrow L(\beta)$  taking  $\alpha \rightarrow \beta$  and extending  $\phi$ . That is, we have the following diagram*

$$\begin{array}{ccc} F(\alpha) & \longrightarrow & L(\beta) \\ \downarrow & & \downarrow \\ F & \xrightarrow{\phi} & L \end{array}$$



*Proof.* Notice that  $\phi$  induces a ring homomorphism between  $F[x]$  and  $L[x]$ , so that  $(p)$  is maximal. Since  $q$  is obtained from  $p$ ,  $(q)$  is also maximal, so that  $F[x]_{(p)}$  and  $L[x]_{(q)}$  are fields. Then we have an isomorphism

$$F[x]_{(p)} \simeq L[x]_{(q)}$$

Then, if  $\alpha$  is a root of  $p$ , and  $\beta$  a root of  $q$ , we obtain the isomorphism

$$F(\alpha) \simeq L(\beta)$$

moreover, this isomorphism takes  $\alpha \rightarrow \beta$ . ■

## 1.2 Algebraic Extensions.



# Bibliography

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