

# Complex Analysis

Alec Zabel-Mena

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# Chapter 1

## The Complex Numbers

### 1.1 The Field of Complex Numbers

**Definition.** We define the set of **complex numbers** to be the collection of all ordered pairs of real numbers  $\mathbb{C} = \{(a, b) : a, b \in \mathbb{R}\}$  together with the binary operations  $+$  and  $\cdot$  of **complex addition**, and **complex multiplication**, respectively defined by the rules

$$\begin{aligned}(a, b) + (c, d) &= (a + c, b + d) \\ (a, b)(c, d) &= (ac - bd, bc + ad)\end{aligned}$$

**Theorem 1.1.1.** *The set of complex numbers  $\mathbb{C}$  forms a field together with complex addition and complex multiplication.*

**Corollary.**  $\mathbb{C}$  is a field extension of the real numbers  $\mathbb{R}$ .

*Proof.* The map  $a \rightarrow (a, 0)$  from  $\mathbb{R} \rightarrow \mathbb{C}$  defines an imbedding of  $\mathbb{R}$  into  $\mathbb{C}$ . ■

**Definition.** We define the element  $i = (0, 1)$  of  $\mathbb{C}$  so that  $i^2 = -1$ , and the polynomial  $z^2 + 1$  has as root  $i$ . We write  $(a, b) = a + ib$ . If  $z = a + ib$ , we call  $a$  the **real part** of  $z$ , and  $b$  the **imaginary part** of  $z$  and write  $\operatorname{Re} z = a$  and  $\operatorname{Im} z = b$ .

**Definition.** Let  $z = a + ib \in \mathbb{C}$ . We define the **norm** (or **modulus**) of  $z$  to be  $\|z\| = \sqrt{a^2 + b^2}$ . We define the complex **conjugate** of  $z$  to be  $\bar{z} = a - ib$ .

**Lemma 1.1.2.** *For every  $z \in \mathbb{C}$ ,  $\|z\|^2 = z\bar{z}$ .*

*Proof.* Let  $z = a + ib$ . Then  $\bar{z} = a - ib$ , and so  $z\bar{z} = (a + ib)(a - ib) = a^2 + b^2 = (\sqrt{a^2 + b^2})^2 = \|z\|^2$ . ■

**Corollary.** *If  $z \neq 0$ , then  $z^{-1} = \frac{1}{z} = \frac{\bar{z}}{\|z\|^2}$ .*

*Proof.* The relation follows from above, and it remains to show that it is indeed the inverse element. Notice that if  $z \in \mathbb{C}$  is nonzero, then  $z \frac{\bar{z}}{\|z\|^2} = \frac{z\bar{z}}{\|z\|^2} = \frac{\|z\|^2}{\|z\|^2} = 1$ . ■

**Example 1.1.** (1) Let  $z = a + ib$ . Then we get that  $\frac{1}{z} = \frac{\bar{z}}{\|z\|^2}$  has real part  $\operatorname{Re} \frac{1}{z} = \frac{a}{a^2 + b^2}$  and imaginary part  $\operatorname{Im} \frac{1}{z} = -\frac{b}{a^2 + b^2}$ .

- (2) Let  $z = a + ib$ , and  $c \in \mathbb{R}$ . Then  $\frac{z-c}{z+c} = \operatorname{Re} \frac{z-c}{z+c}$ , so  $\operatorname{Im} \frac{z-c}{z+c} = 0$ .
- (3) Let  $z = a + ib$ , then  $z^3 = a^3 - 3ab^2 + i(3a^2b - b^3)$ . So that  $\operatorname{Re} z^3 = a^3 - 3ab^2$  and  $\operatorname{Im} z^3 = 3a^2b - b^3$ .
- (4)  $\frac{3+i5}{1+i7} = \frac{19}{25} - i\frac{18}{25}$ .
- (5)  $(-\frac{1}{2} + i\frac{\sqrt{3}}{2})^3 = 1$ , and hence  $(-\frac{1}{2} + i\frac{\sqrt{3}}{2})^6 = 1$ .
- (6) Notice that  $i^n = 1, i, -1, -i$  whenever  $n \equiv 0 \pmod{4}$ ,  $n \equiv 1 \pmod{4}$ ,  $n \equiv 2 \pmod{4}$ , and  $n \equiv 3 \pmod{4}$  respectively.
- (7)  $\| -2 + i \| = \sqrt{5}$ , and  $\|(2+i)(4+i3)\| = \|5+i10\| = 5\sqrt{5}$ .

**Lemma 1.1.3.** *The following are true for all  $z, w \in \mathbb{C}$ .*

- (1)  $\operatorname{Re} z = \frac{1}{2}(z + \bar{z})$  and  $\operatorname{Im} z = \frac{1}{2i}(z - \bar{z})$ .
- (2)  $\overline{(z + w)} = \bar{z} + \bar{w}$  and  $\overline{zw} = \bar{z} \bar{w}$ .
- (3)  $\|\bar{z}\| = \|z\|$ .

*Proof.* Let  $z = a + ib$  and  $w = c + id$ . Then notice that

$$\frac{(a + ib) + (a - ib)}{2} = \frac{2a + (ib - ib)}{2} = \frac{2a}{2} = a = \operatorname{Re} z$$

and

$$\frac{(a + ib) - (a - ib)}{2i} = \frac{(a - a) + 2ib}{2} = \frac{2ib}{2i} = b = \operatorname{Im} z$$

Moreover

$$\overline{(a + ib) + (c + id)} = \overline{(a + c) + i(b + d)} = (a + c) - i(b + d) = (a - ib) + (c - id)$$

And

$$\overline{(a + ib)(c + id)} = \overline{(ac - bd) + i(bc + ad)} = (ac - bd) - i(bc + ad) = (a - ib)(c - id)$$

so that  $\overline{z + w} = \bar{z} + \bar{w}$  and  $\overline{zw} = \bar{z} \bar{w}$ .

Now, we have that  $\|zw\|^2 = (zw)\overline{zw} = (zw)(\bar{z} \bar{w}) = (z\bar{z})(w\bar{w}) = \|z\|^2\|w\|^2$ . Taking square roots, we get the result

$$\|zw\| = \|z\|\|w\|$$

Finally, notice that  $\|z\|^2 = z\bar{z} = \bar{\bar{z}}\bar{\bar{z}} = \|\bar{z}\|^2$ . ■

**Corollary.** *The following are also true; provided  $w \neq 0$ .*

- (1)  $\overline{\left(\frac{z}{w}\right)} = \frac{\bar{z}}{\bar{w}}$ .
- (2)  $\left\|\frac{z}{w}\right\| = \frac{\|z\|}{\|w\|}$

**Corollary.** *If  $z = z_1 + \cdots + z_n$ , and  $w = w_1 \cdots w_n$ , with  $z_i, w_i \in \mathbb{C}$  for all  $1 \leq i \leq n$ , then*

$$(1) \quad \bar{z} = \bar{z}_1 + \cdots + \bar{z}_n.$$

$$(2) \quad \|w\| = \|w_1\| \cdots \|w_n\|.$$

*Proof.* We prove both results by induction on  $n$ . For  $n = 2$ , we have already shown that  $\bar{z} = \bar{z}_1 + \bar{z}_2$  and  $\|w\| = \|w_1\|\|w_2\|$ . Now, for all  $n \geq 2$ , suppose that both

$$\begin{aligned} \bar{z} &= \bar{z}_1 + \cdots + \bar{z}_n \\ \|w\| &= \|w_1\| \cdots \|w_n\| \end{aligned}$$

Then let  $z' = z + z_{n+1}$  and  $w' = ww_{n+1}$  for  $z_{n+1}, w_{n+1} \in \mathbb{C}$ . Then we have that

$$\begin{aligned} z' &= z + z_{n+1} = z_1 + \cdots + z_n + z_{n+1} \\ w' &= ww_{n+1} = w_1 \cdots w_n w_{n+1} \end{aligned}$$

so by the induction hypothesis, we have

$$\bar{z'} = \overline{(z + z_{n+1})} = \bar{z} + \bar{z}_{n+1} = \bar{z}_1 + \cdots + \bar{z}_n + \bar{z}_{n+1}$$

and that

$$\|w'\| = \|ww_{n+1}\| = \|w\|\|w_{n+1}\| = \|w_1\| \cdots \|w_n\|\|w_{n+1}\|$$

which completes the proof. ■

**Lemma 1.1.4.** *Let  $z \in \mathbb{C}$ . Then  $z$  is a real number if, and only if  $z = \bar{z}$ .*

*Proof.* If  $z$  is real, then  $z = a + i0$ , for some  $a \in \mathbb{R}$ , and hence  $\bar{z} = a - i0 = z$ . Conversely, suppose that  $z = \bar{z}$ . Then we have

$$\operatorname{Re} z = \frac{1}{2}(z + \bar{z}) = \frac{1}{2}(z + z) = z$$

so  $z$  has only a real part, and hence must be a real number. ■

**Lemma 1.1.5.** *The following are true for all  $z, w \in \mathbb{C}$ .*

$$(1) \quad \|z + w\|^2 = \|z\|^2 + 2 \operatorname{Re} z\bar{w} + \|w\|^2.$$

$$(2) \quad \|z - w\|^2 = \|z\|^2 - 2 \operatorname{Re} z\bar{w} + \|w\|^2.$$

$$(3) \quad \|z + w\|^2 + \|z - w\|^2 = 2(\|z\|^2 + \|w\|^2).$$

*Proof.* We first notice that  $\|z + w\|^2 = (z + w)\overline{(z + w)} = (z + w)(\bar{z} + \bar{w}) = z\bar{z} + z\bar{w} + w\bar{z} + w\bar{w} = \|z\|^2 + z\bar{w} + w\bar{z} + \|w\|^2$ . Now, let  $z = a + ib$  and  $w = c + id$ . Then we have

$$\begin{aligned} (a + ib)(c - id) &= (ac + bd) - i(ad - bc) \\ (c + id)(a - ib) &= (ac + bd) + i(ad - bc) \end{aligned}$$

so that  $z\bar{w} + w\bar{z} = 2(ac + bd) = 2 \operatorname{Re} z\bar{w}$ , and we are done. To get the identity for  $\|z - w\|^2$ , we simply replace  $w$  by  $-w$ , and use the above argument.

Now, we have that  $\|z + w\|^2 = \|z\|^2 + 2 \operatorname{Re} z\bar{w} + \|w\|^2$ , and  $\|z - w\|^2 = \|z\|^2 - 2 \operatorname{Re} z\bar{w} + \|w\|^2$ , so that adding them together, the terms  $2 \operatorname{Re} z\bar{w}$  cancel out and we are left with

$$\|z + w\|^2 + \|z - w\|^2 = 2(\|z\|^2 + \|w\|^2)$$

■

**Lemma 1.1.6.** *Let  $R(z) \in \mathbb{C}(z)$  a rational function in  $z$ . Then if  $R$  has coefficients in  $\mathbb{R}$ , then  $\overline{R(z)} = R(\bar{z})$ .*

*Proof.* We first observe the polynomial  $f \in \mathbb{C}[z]$ , of finite degree  $\deg f = n$ , and of the form

$$f(z) = a_0 + a_1z + \cdots + a_nz^n$$

Then if  $f$  has all coefficients in  $\mathbb{R}$ ; i.e.  $f \in \mathbb{R}[z]$ , where  $z \in \mathbb{C}$  is treated as indeterminant, then we have that since each  $a_i \in \mathbb{R}$ , then  $\overline{a_i z^i} = \overline{a_i} z^i = a_i \bar{z}^i$ . So that

$$\overline{f(z)} = \overline{(a_0 + a_1z + \cdots + a_nz^n)} = a_0 + a_1\bar{z} + \cdots + a_n\bar{z}^n$$

which makes  $\overline{f(z)} = f(\bar{z})$ . Now, one can also extend  $f$  to a polynomial of infinite degree by taking  $n \rightarrow \infty$ , and the same holds.

Now, let  $R(z) \in \mathbb{C}(z)$  a rational function. Recall that  $R(z)$  is of the form

$$R(z) = \frac{f(z)}{g(z)} \text{ with } g \neq 0$$

for some polynomials  $f, g \in \mathbb{C}[z]$ . Then if  $R$  has all real coefficients, so do  $f$  and  $g$ , and hence we get

$$\overline{R(z)} = \frac{\overline{f(z)}}{\overline{g(z)}} = \frac{f(\bar{z})}{g(\bar{z})} = R(\bar{z})$$

which completes the proof. ■

## 1.2 The Complex Plane

**Definition.** We define the **complex plane** to be the space of points  $(x, y)$  of  $\mathbb{R}^2$  for which  $z = x + iy$ .

**Lemma 1.2.1.** *For every  $z, w \in \mathbb{C}$   $\|z + w\| \leq \|z\| + \|w\|$ .*

*Proof.* Observe that  $-\|z\| \leq \operatorname{Re} z \leq \|z\|$  for all  $z \in \mathbb{C}$ , so that  $\operatorname{Re} z\bar{w} \leq \|z\bar{w}\| = \|z\|\|w\|$ . So we get

$$\|z + w\|^2 = \|z\|^2 + \operatorname{Re} z\bar{w} + \overline{\operatorname{Re} z\bar{w}} \leq \|z\|^2 + \|z\|\|w\| + \|w\|^2 = (\|z\| + \|w\|)^2$$

Taking square roots gives us the result. ■



**Corollary.**  $\|z + w\| = \|z\| + \|w\|$  if  $z = tw$  for some  $t \geq 0$ .

**Corollary.** If  $z_1, \dots, z_n \in \mathbb{C}$ , then  $\|z_1 + \dots + z_n\| \leq \|z_1\| + \dots + \|z_n\|$ .

*Proof.* By induction on  $n$ . ■

**Corollary.** For all  $z, w \in \mathbb{C}$ ,  $|\|z\| - \|w\|| \leq \|z - w\|$ .

*Proof.* We have that  $\|z\| \leq \|z - w\| + \|w\|$ , and  $\|w\| \leq \|z - w\| + \|z\|$ . So we get  $\|z\| - \|w\| \leq \|z - w\|$  and  $-\|z - w\| \leq \|w\| - \|z\|$ , so that  $|\|z\| - \|w\|| \leq \|z - w\|$ . ■

**Definition.** We define the **polar form** of a complex number  $z \in \mathbb{C}$  to be the polar coordinates  $(r, \theta)$  where  $r = \|z\|$  and  $\theta$  is the angle between the line segment from 0 to  $z$  and the positive real axis. We call  $r$  the **modulus** of  $z$ , and  $\theta$  the **argument** of  $z$ . We write  $\theta = \arg z$ .

**Lemma 1.2.2.** Let  $z = r_1 \cos \theta_1 + r_1 i \sin \theta_1$  and  $w = r_2 \cos \theta_2 + r_2 i \sin \theta_2$ . Then

$$zw = r_1 r_2 \cos(\theta_1 + \theta_2) + r_1 r_2 i \sin(\theta_1 + \theta_2)$$

so that  $\arg zw = \arg z + \arg w$ .

*Proof.* We multiply the expanded forms of  $z$  and  $w$  together and use the trigonometric identities to get the result. ■

**Corollary.** If  $z_k = r_k \cos \theta_k + r_k i \sin \theta_k$ , then

$$z_1 \dots z_n = (r_1 \dots r_n) \cos(\theta_1 + \dots + \theta_n) + (r_1 \dots r_n) i \sin(\theta_1 + \dots + \theta_n)$$

*Proof.* By induction on  $n$ . ■

**Theorem 1.2.3** (DeMoivre's Theorem). For all integers  $n \geq 0$ , if  $z = \cos \theta + i \sin \theta$ , then

$$z^n = \cos n\theta + i \sin n\theta$$

*Proof.* We use the corollary to lemma 1.2.2 recursively on  $z^n$ . ■

**Lemma 1.2.4.** For each nonzero  $a \in \mathbb{C}$ , and integer  $n \geq 2$ , the polynomial  $z^n - a$  has roots all  $z$  of the form

$$z = \|a\|^{\frac{1}{n}} \left( \cos \frac{\alpha + 2k\pi}{n} + i \sin \frac{\alpha + 2k\pi}{n} \right) \text{ for all } 0 \leq k \leq n-1$$

where  $a = \|a\| \cos \alpha + \|a\| i \sin \alpha$

*Proof.* Let  $a = \|a\| \cos \alpha + \|a\| i \sin \alpha$ . Then we have  $z^n - a = 0$  has as solution

$$z' = \|a\|^{\frac{1}{n}} \left( \cos \frac{\alpha + 2\pi}{n} + i \sin \frac{\alpha + 2\pi}{n} \right)$$

The rest of the solutions are obtained by noting that  $(z')^n - a = 0$ . ■

**Definition.** Let  $a \in \mathbb{C}$  a nonzero complex number. We call the roots of the polynomial  $z^n - a \in \mathbb{C}[z]$  the  **$n$ -th roots** of  $a$ . We call the roots of  $z^n - 1 \in \mathbb{C}[z]$  the  **$n$ -th roots of unity**.

**Example 1.2.** The  $n$ -th roots of unity are all complex numbers of the form

$$z = \cos \frac{2k\pi}{n} + i \sin \frac{2k\pi}{n} \text{ for all } 0 \leq k \leq n-1$$

**Lemma 1.2.5.** Let  $L \subseteq \mathbb{C}$  a straight line in  $\mathbb{C}$ . Then  $L = \{z \in \mathbb{C} : \operatorname{Im} \frac{z-a}{b} = 0\}$ , where  $z = a + tb$  for some  $t \in \mathbb{R}$ .

*Proof.* Let  $a$  be any point in  $L$ , and  $b$  the direction vector of  $L$ . Then if  $z \in L$   $z = a + tb$  for some  $t \in \mathbb{R}$ . Since  $b \neq 0$ ,  $\operatorname{Im} \frac{z-a}{b} = 0$ , since  $t = \frac{z-a}{b}$ , and  $t \in \mathbb{R}$ . ■

**Corollary.** Let  $H_a = \{z \in \mathbb{C} : \operatorname{Im} \frac{z-a}{b} > 0\}$  and  $K_a = \{z \in \mathbb{C} : \operatorname{Im} \frac{z-a}{b} < 0\}$ . Then  $H_a = a + H_0$  and  $K_a = a - K_0$ .

*Proof.* Suppose that  $\|b\| = 1$ , and let  $a = 0$ , then  $H_0 = \{z \in \mathbb{C} : \operatorname{Im} \frac{z}{b} > 0\}$ . Now,  $b = \cos \beta + i \sin \beta$ . If  $z = r \cos \theta + ri \sin \theta$ , then  $\frac{z}{b} = r \cos(\theta - \beta) + ri \sin(\theta - \beta)$ . So  $z \in H_0$  if, and only if  $\sin(\theta - \beta) > 0$ ; that is  $\beta < \theta < \pi + \beta$ , which makes  $H_0$  the upper half plane about  $L$ .

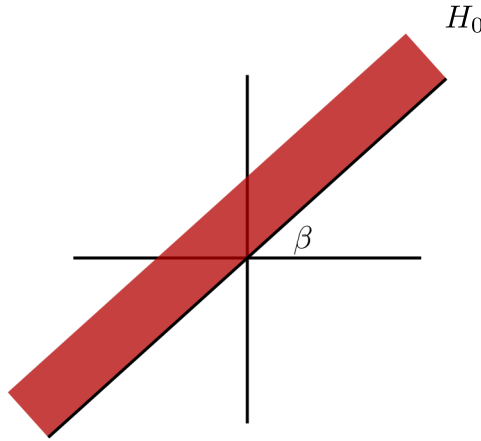


Figure 1.1:

Putting  $H_a = \{z \in \mathbb{C} : \operatorname{Im} \frac{z-a}{b} > 0\}$ , we get  $H_a = a + H_0$ . By similar reasoning, we get  $K_a = a - K_0$ , where  $K_a = \{z \in \mathbb{C} : \operatorname{Im} \frac{z-a}{b} < 0\}$ . ■

### 1.3 The Extended Complex Numbers

**Definition.** We define the **extended complex numbers** to be the set  $\mathbb{C}_\infty = \mathbb{C} \cup \{\infty\}$ .

**Lemma 1.3.1.**  $\mathbb{C}_\infty$  is homeomorphic to the unit sphere  $S^2$  of  $\mathbb{R}^3$ .

*Proof.* Identify  $\mathbb{C}$  with the plane  $\mathbb{R}^2$  as a subset of  $\mathbb{R}^3$ . Then  $\mathbb{C}$  cuts the sphere  $S^2$  along the equator. Now, let  $N = (0, 0, 1)$  be the north pole of  $S^2$ . For  $z \in \mathbb{C}$ , let  $L_z$  the line passing through  $z$  and  $N$ , and hence cuts  $S^2$  at exactly one point  $Z \neq N$ . If  $\|z\| > 1$ ,  $Z$  is in the northern hemisphere of  $S^2$ , and if  $\|z\| < 1$ , then  $Z$  is in the southern hemisphere. If  $\|z\| = 1$ , then  $Z = z$ . Then notice that as  $\|z\| \rightarrow \infty$ , then  $Z \rightarrow N$ ; and so identify  $N$  with  $\infty$  in  $\mathbb{C}_\infty$ .

Now, let  $z = x + iy$  and  $Z = (x_1, x_2, x_3)$  a point on  $S^2$ . Then  $L_z = \{tN + (1-t)z : t \in \mathbb{R}\}$ . Observe then that

$$L_z = \{((1-t)x, (1-t)y, t) : t \in \mathbb{R}\}$$

Then we get

$$1 = (1-t)^2\|z\|^2 + t^2$$

Taking  $t \neq 1$  so that  $z \neq \infty$

$$Z = \left( \frac{2x}{\|z\|^2 + 1}, \frac{2y}{\|z\|^2 + 1}, \frac{\|z\|^2 - 1}{\|z\|^2 + 1} \right)$$

additionally

$$Z = \left( \frac{z + \bar{z}}{\|z\|^2 + 1}, -i \frac{z - \bar{z}}{\|z\|^2 + 1}, \frac{\|z\|^2 - 1}{\|z\|^2 + 1} \right)$$

Taking  $Z \neq N$  and  $t = x_1$ , we also get by definition of  $L_z$ , that

$$z = \frac{x_1 + ix_2}{1 - x_3}$$

Define now, the metric  $d$  on  $\mathbb{C}_\infty$  by  $d(z, w)$  is the distance between the points  $Z = (x_1, x_2, x_3)$  and  $W = (y_1, y_2, y_3)$  on  $S^2$ . Then we get

$$d(z, w) = \sqrt{(x_1 - y_1)^2 + (x_2 - y_2)^2 + (x_3 - y_3)^2}$$

Squaring both sides we observe that

$$d(z, w)^2 = 2 - 2(x_1y_1 + x_2y_2 + x_3y_3)$$

Using the previous derivations of the components of  $Z$ , we finally obtain

$$d(z, w) = \frac{\|z - w\|}{\sqrt{(\|z\|^2 + 1)(\|w\|^2 + 1)}} \text{ for all } z, w \in \mathbb{C}$$

When  $w = \infty$ , we have

$$d(z, \infty) = \frac{1}{\sqrt{\|z\|^2 + 1}}$$

Then  $d$  is the required homeomorphism. ■

**Definition.** We call the correspondence between  $S^2$  and  $\mathbb{C}_\infty$  the **stereographic projection** of  $S^2$  onto  $\mathbb{C}_\infty$ .



Figure 1.2: The Extended Complex Numbers.

# Chapter 2

## The Topology of $\mathbb{C}$ .

### 2.1 Metric Spaces

**Definition.** A **metric space** is a set  $X$  together with a map  $d : X \times X \rightarrow \mathbb{R}$  such that for all  $x, y, z \in X$

- (1)  $d(x, y) \geq 0$  and  $d(x, y) = 0$  if, and only if  $x = y$ .
- (2)  $d(x, y) = d(y, x)$ .
- (3)  $d(x, y) \leq d(x, z) + d(z, y)$  (The Triangle Inequality).

We call  $d$  a **metric** on  $X$ . If  $x \in X$ , and  $r > 0$ , we define the **open ball** centered about  $x$  of **radius**  $r$  to be the set  $B(x, r) = \{y \in X : d(x, y) < r\}$ . We define the **closed ball** centered about  $x$  of radius  $r$  to be the set  $\bar{B}(x, r) = \{y \in X : d(x, y) \leq r\}$ .

**Example 2.1.** (1) The metric  $d(x, y) = \|x - y\|$  makes  $\mathbb{R}$  and  $\mathbb{C}$  into metric spaces. In fact,  $d$  defines the norm on  $\mathbb{C}$ , i.e.  $\|z\| = d(z, 0)$ .

- (2) If  $X$  is a metric space with metric  $d$ , and  $Y \subset X$ , then  $d$  makes  $Y$  into a metric space.
- (3) Define  $d(x + iy, a + ib) = \|x - a\| + \|y - b\|$ . Then  $(\mathbb{C}, d)$  is a metric space. We call  $d$  the **taxicab metric**.
- (4) Define  $d(x + iy, a + ib) = \max\{\|x - a\|, \|y - b\|\}$ . Then  $(\mathbb{C}, d)$  is a metric space. We call  $d$  the **square metric**.
- (5) Let  $X$  be any set, and define  $d : X \times X \rightarrow \mathbb{R}$  by

$$d(x, y) = \begin{cases} 1, & \text{if } x \neq y \\ 0, & \text{if } x = y \end{cases}$$

Then  $d$  is a metric on  $X$ . Notice also that for any  $\varepsilon > 0$ , that  $B(x, \varepsilon) = \{x\}$  if  $\varepsilon \leq 1$ , and  $B(x, \varepsilon) = X$  if  $\varepsilon > 1$ .

(6) Define  $d$  on  $\mathbb{R}^n$  by

$$d(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$

Then  $d$  is a metric on  $\mathbb{R}^n$  defining the general norm. That is  $\|x\| = d(x, 0)$ .

(7) Let  $S$  and let  $B(S)$  the set of all complex valued functions  $f : S \rightarrow \mathbb{C}$  such that  $\|f\|_\infty = \sup \{\|f(s)\| : s \in S\}$  is finite. That is,  $B(S)$  is the set of all complex valued functions whose image is contained within a disk of finite radius. Define  $d$  on  $B(S)$  by  $d(f, g) = \|f - g\|_\infty$ . Let  $f, g, h \in B(S)$ . Then

$$\|f(s) - g(s)\| = \|(f(s) - h(s)) - (h(s) - g(s))\| \leq \|f(s) - h(s)\| + \|h(s) - g(s)\|$$

taking least upper bounds, we get

$$\|f - g\|_\infty \leq \|f - h\|_\infty + \|h - g\|_\infty$$

**Definition.** Let  $X$  be a metric space together with metric  $d$ . We call a subset  $U$  of  $X$  **open** if there exists an  $\varepsilon > 0$  for which  $B(x, \varepsilon) \subseteq U$  for every  $x \in U$ .

**Example 2.2.**  $U = \{z \in \mathbb{C} : a < \operatorname{Re} z < b\}$  is open in  $\mathbb{C}$ , but  $U = \{z \in \mathbb{C} : \operatorname{Re} z < 0\}$  is not, as  $B(0, \varepsilon) \not\subseteq U$  no matter how small we make  $\varepsilon$ .

**Theorem 2.1.1.** Let  $X$  be a metric space with metric  $d$ . Then  $X$  is a topological space whose open sets are those subsets of  $X$  containing  $\varepsilon$ -balls for every element, and for  $\varepsilon > 0$ .

**Definition.** We call a subset  $V$  of a metric space  $(X, d)$  **closed** if  $X \setminus V$  is open in  $X$ .

**Lemma 2.1.2.** If  $(X, d)$  is a metric space, then it is a topology by closed sets.

**Definition.** Let  $A \subseteq X$  where  $X$  is a metric space. We define the **interior** of  $A$  to be the union of all open sets contained in  $A$ , and write  $\operatorname{int} A$ . We define the **closure** of  $A$  to be the intersection of all closed sets containing  $A$  and write  $\operatorname{cl} A$ . We define the **boundary** of  $A$  to be  $\partial A = \operatorname{cl} A \cap \operatorname{cl} (X \setminus A)$ .

**Example 2.3.** We have  $\operatorname{int} \mathbb{Q}(i) = \emptyset$  and  $\operatorname{cl} \mathbb{Q}(i) = \mathbb{C}$ .

**Lemma 2.1.3.** Let  $X$  be a metric space and  $A, B \subseteq X$ . Then the following are true

- (1)  $A$  is open if, and only if  $A = \operatorname{int} A$ .
- (2)  $A$  is closed if, and only if  $A = \operatorname{cl} A$ .
- (3)  $\operatorname{int} A = X \setminus \operatorname{cl} (X \setminus A)$ ,  $\operatorname{cl} A = X \setminus \operatorname{int} (X \setminus A)$ , and  $\partial A = \operatorname{cl} A \setminus \operatorname{int} A$ .
- (4)  $\operatorname{cl} (A \cup B) = \operatorname{cl} A \cup \operatorname{cl} B$ .
- (5)  $x_0 \in \operatorname{int} A$  if, and only if there is an  $\varepsilon > 0$  for which  $B(x_0, \varepsilon) \subseteq A$ .
- (6)  $x_0 \in \operatorname{cl} A$  if, and only if for every  $\varepsilon > 0$ ,  $B(x_0, \varepsilon) \cap A \neq \emptyset$ .

**Definition.** A subset  $A$  of a metric space  $X$  is **dense** in  $X$  if  $\operatorname{cl} A = X$ .

**Example 2.4.**  $\mathbb{Q}$  is dense in  $\mathbb{R}$ , notice that  $\operatorname{cl} \mathbb{Q} = \mathbb{R}$ . Moreover,  $\mathbb{Q}(i)$  is dense in  $\mathbb{C}$ .

## 2.2 Connectedness in $\mathbb{C}$

**Definition.** We say a metric space  $X$  is connected provided there are no disjoint nonempty open sets  $A, B \subseteq X$  for which  $X = A \cup B$ .

**Lemma 2.2.1.** *A metric space  $X$  is connected if its only closed and open sets are the empty set and itself.*

**Example 2.5.** Consider the space  $X = \{z \in \mathbb{C} : \|z\| < 1\} \cup \{z \in \mathbb{C} : \|z - 3\| < 1\}$ . Let  $A = \{z \in \mathbb{C} : \|z\| < 1\}$  and  $B = \{z \in \mathbb{C} : \|z - 3\| < 1\}$ . Then both  $A$  and  $B$  are open in  $X$ . Moreover,  $A$  is also closed in  $X$  as  $B = X \setminus A$ . So  $X$  is not connected.

**Lemma 2.2.2.** *A space  $X \subseteq \mathbb{C}$  is connected if, and only if it is an interval.*

*Proof.* Suppose that  $X = [a, b]$ , where  $a, b \in \mathbb{R}$  and  $a < b$ . Let  $A \subseteq X$  be open, with  $a \in A$  and  $b \in B$  and where  $X \neq A$ . Then there is an  $\varepsilon > 0$  for which  $[a, a + \varepsilon) \subseteq A$ . Let  $r = \sup \{\varepsilon : [a, a + \varepsilon) \subseteq A\}$ . If  $a \leq x < a + r$ , putting  $h = a + (r - x) > 0$  there is an  $\varepsilon > 0$  for which  $r - h < \varepsilon < r$  and  $[a, a + \varepsilon) \subseteq A$ . However,  $a \leq a + (r - h) < a + \varepsilon$  putting  $x \in A$ . So that  $[a, a + r) \subseteq A$ . Now, if  $a + r \in A$ , then by the openness of  $A$ , there is a  $\delta > 0$  with  $[a + r, a + r + \delta) \subseteq A$ , which puts  $[a + r, a + r + \delta) \subseteq A$ . But that contradicts that  $r$  is a least upper bound; so  $a + r \notin A$ .

Now, if  $A$  were closed, then  $a + r \in B = X \setminus A$ , which is open, so that there is a  $\delta > 0$  such that  $(a + r - \delta, a + r) \subseteq B$ , which contradicts that  $[a, a + r) \subseteq A$ . ■

*Remark.* Note that the first part of this proof lacks the proof for the other types of intervals.

**Definition.** Let  $z, w \in \mathbb{C}$ . We define the **straight line segment**  $[z, w]$  from  $z$  to  $w$  to be the set

$$[z, w] = \{tw + (1 - t)z : 0 \leq t \leq 1\}$$

A **polygon** from  $z$  to  $w$  is defined to be the set

$$P[z, w] = \bigcup_{k=1}^n [z_k, w_k]$$

where  $z_1 = z$ ,  $w_n = w$ , and  $z_{k+1} = w_k$  for all  $1 \leq k \leq n - 1$ . When the endpoints of the polygon are understood, we may simply just write  $P$ , or we enumerate the points of  $P$  as  $P = [z, z_2, \dots, z_n, w]$ .

**Theorem 2.2.3.** *An open set  $U$  of  $\mathbb{C}$  is connected if, and only if for all  $z, w \in U$ , there exists a polygon  $P[z, w]$  from  $z$  to  $w$  contained in  $U$ .*

*Proof.* Let  $P[z, w] \subseteq U$  be the given polygon. Suppose that  $U$  were not connected. Then there exist disjoint nonempty open sets  $Z$  and  $W$  of  $U$  (as a subspace of  $\mathbb{C}$ ) for which  $U = Z \cup W$ . Let  $z \in Z$  and  $w \in W$ . Consider the case for when  $P[z, w] = [z, w]$ . Define  $S = \{s \in [0, 1] : sw + (1 - s)z \in A\}$  and  $T = \{s \in [0, 1] : sw + (1 - s)z \in B\}$ . Then notice that  $S$  and  $T$  are disjoint, and that  $S \cup T = [0, 1]$ . Moreover, they are open subsets of the interval  $[0, 1] \subseteq \mathbb{R}$ ; but  $[0, 1]$  is connected in  $\mathbb{R}$ , which is a contradiction. Therefore  $U$  must be connected.

On the otherhand, let  $w \in Z$  and let  $P = [z, z_2, \dots, z_n, w] \subseteq U$ . Since  $U$  is open, there is an  $\varepsilon > 0$  such that  $B(w, \varepsilon) \subseteq U$ . Now, if  $u \in B(w, \varepsilon)$ , then  $[w, u] \subseteq B(w, \varepsilon) \subseteq U$ , so the polygon  $Q = P \cup [w, u] \subseteq U$ . Hence  $B(w, \varepsilon) \subseteq Z$ , which makes  $Z$  open. On the otherhand, consider  $u \in U \setminus Z$ , and let  $\varepsilon > 0$  such that  $B(u, \varepsilon) \subseteq U$ . Then there is a  $w \in Z \cap B(u, \varepsilon)$ . Construct, then a polygon  $P[z, u]$  so that  $B(u, \varepsilon) \cap Z$  is empty. That is,  $B(u, \varepsilon) \subseteq U \setminus Z$  making  $U \setminus Z$  open, and hence  $Z$  closed. ■

**Corollary.** *If  $U \subseteq \mathbb{C}$  is an open and connected set, then for all  $z, w \in U$ , there is a polygon  $P[z, w]$  in  $U$  made up of straight line segments parallel to either the real axis, or the imaginary axis.*

**Definition.** Let  $X$  be a metric space. We call a subset  $C \subset X$  a **connected component** if it is maximally connected in  $X$ .

**Example 2.6.** (1)  $A$  and  $B$  in example 2.5 are connected components.

(2) Let  $X = \{\frac{1}{k} : k \in \mathbb{Z}^+\} \cup \{0\}$ . Then every connected component is a point of  $x$ , and vice versa; with, the exception of 0.

**Lemma 2.2.4.** *Let  $X$  be a metric space with  $x_0 \in X$ . If  $\{D_j\}$  is a collection of connected subsets of  $X$ , such that  $x_0 \in D_j$ , then the union  $D = \bigcup D_j$  is connected.*

*Proof.* Let  $A \subseteq D$ , which is a metric space, for which  $A$  is both open and closed, and nonempty. Then  $A \cap D_j$  is open and closed for all  $j$ . Now, since  $D_j$  is connected, either  $A \cap D_j = \emptyset$ , or  $A \cap D_j = D_j$ . Since  $A$  is nonempty, we must have the latter case. Then there exists at least one index  $k$  for which  $A \cap D_k = D_k$ . Then if  $x_0 \in A$ ,  $x_0 \in A \cap D_k$  so that  $x_0 \in D_k$  making  $A \cap D_j = D_j$  for all  $j$  or  $D_j \subseteq A$ . In either case, we get  $D = A$ . ■

**Theorem 2.2.5.** *The connected components of a metric space partition the space.*

*Proof.* Let  $\mathcal{D}$  the collection of all connected subsets of  $X$  containing a point  $x_0 \in X$ . Then  $\mathcal{D}$  is nonempty by definition, and by hypothesis, we have that  $C = \bigcup D_j$  is connected, and that  $x_0 \in C$ .

Now, suppose that  $C \subseteq D$  for some connected set  $D$ . Then  $x_0 \in D$  so that  $D \in \mathcal{D}$ , and hence  $D \subseteq C$ . This makes  $C = D$ , and hence  $C$  is a connected component of  $X$ . This then implies that  $X = \bigcup C_j$  where  $\{C_j\}$  is the collection of connected components of  $X$ .

Now, consider  $\{C_j\}$ , and suppose that for distinct components  $C_1$  and  $C_2$ , that there is an  $x_0 \in C_1 \cap C_2$ . Then  $x_0 \in C_1$ , and  $x_0 \in C_2$  so that  $C_1 = C_1 \cup C_2 = C_2$ , which is a contradiction. Therefore the connected components are pairwise disjoint. ■

**Lemma 2.2.6.** *If  $X$  is a connected metric space with  $A \subseteq X$ , and  $A \subseteq B \subseteq \text{cl } A$ , then  $B$  is also connected.*

**Corollary.** *Connected components of a metric space are closed.*

**Theorem 2.2.7.** *If  $U$  is open in  $\mathbb{C}$ , then  $U$  has countably many connected components; each of which is open.*



*Proof.* Let  $C \subseteq U$  a connected component, with  $x_0 \in C$ . Since  $U$  is open, there is an  $\varepsilon > 0$  for which  $B(x_0, \varepsilon) \subseteq U$ . Then  $B(x_0, \varepsilon) \cup C$  is connected so that  $B(x_0, \varepsilon) \cup C = C$ , so that  $B(x_0, \varepsilon) \subseteq C$ . This makes each  $C$  open.

Now, let  $S = \{a + ib \in \mathbb{Q}(i) : a + ib \in U\}$ . Then  $S$  is countable by the density of  $\mathbb{Q}(i)$  in  $\mathbb{C}$ , and each connected component of  $U$  contains a point of  $S$ . This implies there are countably many such components. ■



# Bibliography

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