

# Algebraic Geometry.

Alec Zabel-Mena

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# Chapter 1

## Preliminaries

We assume that all rings are commutative, and have identity.

### 1.1 Noetherian Rings

**Definition.** Let  $R$  be a ring. We call a nondecreasing sequence  $\{I_n\}_{n \in \mathbb{Z}^+}$  of ideals of  $R$  an **ascending chain of ideals**. We call  $R$  **Noetherian** if it satisfies the **ascending chain condition**; that is, if  $\{I_n\}$  is an ascending chain of ideals of  $R$ , then there exists an  $m \in \mathbb{Z}^+$  for which  $I_n = I_m$  for all  $n \geq m$ .

**Lemma 1.1.1.** *If  $I$  is an ideal of a Noetherian ring  $R$ , then the factor ring  $R/I$  is also Noetherian. In particular, the image of a Noetherian ring under any ring homomorphism is Noetherian.*

*Proof.* This follows by the isomorphism theorems for ring homomorphisms. ■

**Theorem 1.1.2.** *The following are equivalent for any ring  $R$ .*

- (1)  $R$  is Noetherian.
- (2) Every nonempty collection of ideals of  $R$  contains a maximal element under inclusion.
- (3) Every ideal of  $R$  is finitely generated.

*Proof.* Let  $R$  be Noetherian, and let  $\mathcal{I}$  be a nonempty collection of ideals of  $R$ . Choose an ideal  $I_1 \in \mathcal{I}$ . If  $I_1$  is maximal, we are done. If not, then there is an ideal  $I_2 \in \mathcal{I}$  for which  $I_1 \subsetneq I_2$ . Now, if  $I_2$  is maximal, we are done. Otherwise, proceeding inductively, if there are no maximal ideals of  $R$  in  $\mathcal{I}$ , then by the axiom of choice, construct the infinite strictly increasing chain

$$\cdots \subsetneq I_1 \subsetneq I_2 \subsetneq \cdots$$

of ideal of  $R$ . This contradicts that  $R$  is Noetherian, so  $\mathcal{I}$  must contain a maximal element.

Now, suppose that any nonempty collection of ideals of  $R$  contains a maximal element. Let  $\mathcal{I}$  be the collection of all finitely generated ideals of  $R$ , and let  $I$  be any ideal of  $R$ . By hypothesis,  $\mathcal{I}$  has a maximal element  $I'$ . Now suppose that  $I \neq I'$ , and choose an  $x \in I \setminus I'$ ,

then the ideal generated by  $I'$  and  $x$  is finitely generated, and so is in  $\mathcal{I}$ ; but that contradicts the maximality of  $I'$ . Therefore we must have  $I = I'$ .

Finally, suppose every ideal of  $R$  is finitely generated, and let  $I = (a_1, \dots, a_n)$ . Let

$$I_1 \subseteq I_2 \subseteq \dots$$

an ascending chain of ideals of  $R$  for which

$$I = \bigcup_{n \in \mathbb{Z}^+} I_n$$

Since  $a_i \in I$  for each  $1 \leq i \leq n$ , we have that  $a_i \in I_{i_j}$  and  $i \in \mathbb{Z}^+$ . Now, let  $m = \max \{j_1, \dots, j_n\}$  and consider the ideal  $I_m$ . Then  $a_i \in I_m$  for each  $i$ , which makes  $I \subseteq I_m$ . That is,  $I_n = I_m$  for some  $n \geq m$ ; which makes  $R$  Noetherian. ■

**Example 1.1.** (1) Every principle ideal domain (PID) is Noetherian, since any collection of ideals has a maximal element.

(2) The rings  $\mathbb{Z}$ ,  $\mathbb{Z}[i]$ , and  $k[x]$  (where  $k$  is a field) are Noetherian.

(3) The multivariate polynomial ring  $\mathbb{Z}[x_1, x_2, \dots]$  is not Noetherian, since the ideal  $(x_1, x_2, \dots)$  is not finitely generated.

**Theorem 1.1.3** (Hilbert's Basis Theorem). *If  $R$  is a Noetherian ring, then so is the polynomial ring  $R[x]$ .*

*Proof.* Let  $I$  be an ideal of  $R[x]$ , and let  $L$  be the set of all leading coefficients of polynomials in  $I$ . Notice that since  $0 \in I$ , then  $0 \in L$ , so that  $L$  is nonempty. Moreover, let  $f(x) = ax^d + \dots$  and  $g(x) = bx^e + \dots$  polynomials in  $I$  of degree  $\deg f = d$  and  $\deg g = e$ , with leading coefficients  $a, b \in R$ . Then for any  $r \in R$ , we have the coefficient  $ra - b = 0$ , or  $ra - b$  is the leading coefficient of the polynomial  $rx^e f - x^d g \in I$ . In either case, we get  $ra - b \in L$ . This makes  $L$  an ideal of  $R$ . Now, since  $R$  is Noetherian  $L$  is finitely generated; let  $L = (a_1, \dots, a_n)$ . Then for every  $1 \leq i \leq n$ , let  $f_i \in I$  the polynomial of degree  $\deg f_i = e_i$  whose leading coefficient is  $a_i$ . Take, then  $N = \max \{e_1, \dots, e_n\}$ . Then for any  $d \in \mathbb{Z}/N\mathbb{Z}$ , let  $L_d$  be the set of all leading coefficients of polynomials in  $I$ , of degree  $d$ , together with 0. Let  $f_{di} \in I$  a polynomial of degree  $\deg f_{di} = d$  with leading coefficient  $b_{di}$ . We wish to show that

$$I = (f_1, \dots, f_n) \cup (f_{d1}, \dots, f_{nd})$$

Let  $I' = (f_1, \dots, f_n) \cup (f_{d1}, \dots, f_{nd})$ . By construction, since the generators were chosen from  $I$ ,  $I' \subseteq I$ . Now, if  $I \neq I'$ . Then there is a nonzero polynomial  $f \in I$  of minimum degree not contained in  $I'$  (i.e.  $f \notin I'$ ). Let  $\deg f = d$ , and let  $a$  be the leading coefficient of  $f$ . Suppose that  $d \geq N$ . Since  $a \in L$ ,  $a$  is an  $R$ -linear combination of the generators of  $L$ ; i.e.

$$a = r_1 a_1 + \dots + r_n a_n$$

where  $r_1, \dots, r_n \in R$ . Let

$$g = r_1 x^{d-e_1} f_1 + \dots + r_n x^{d-e_n} f_n$$

then  $g \in I'$  and has degree  $\deg g = d$  and leading coefficient  $a$ . Hence  $f - g \in I'$  is of smaller degree, and by the minimality of  $f$ ,  $f - g = 0$ , which makes  $f = g \in I'$ ; a contradiction. Therefore  $I = I'$

Now, if  $d < N$ , then  $a \in L_d$ , and so is an  $R$ -linear combination of generators of  $L_d$ ; that is

$$a = r_1 b_{d1} + \cdots + r_n b_{dn}$$

where  $r_1, \dots, r_n \in R$ . Then let

$$g = r_1 f_{d1} + \cdots + r_n f_{dn}$$

then  $g \in I'$  is a polynomial of degree  $\deg g = d$  and leading coefficient  $a$ ; which gives us the above contradiction.

Therefore,  $I = I'$ , and since  $I'$  is finitely generated,  $R[x]$  is Noetherian. ■

**Corollary.** *Let  $k$  be a field. Then the polynomial ring in  $n$  variables  $k[x_1, \dots, x_n]$  is Noetherian.*

**Definition.** Let  $k$  be a field. We call a ring  $R$  a  **$k$ -algebra** if  $k$  is contained in the center of  $R$  (i.e.  $k \subseteq Z(R)$ ), and  $1_k = 1_R$ . We call  $R$  a **finitely generated  $k$ -algebra** if  $R$  is generated by  $k$  together with a finite set  $\{r_1, \dots, r_n\}$  of elements of  $R$ .

**Definition.** Let  $k$  be a field and  $R$  and  $S$   $k$ -algebras. We call a map  $\phi : R \rightarrow S$  a  **$k$ -algebra homomorphism** if  $\phi$  is a ring homomorphism, and  $\phi$  is the identity on  $k$ .

**Lemma 1.1.4.** *Let  $k$  be a field. Then a ring  $R$  is a finitely generated  $k$ -algebra if, and only if there exists a  $k$ -algebra homomorphism  $\phi : k[x_1, \dots, x_n] \rightarrow R$  taking  $k[x_1, \dots, x_n]$  onto  $R$ .*

*Proof.* If  $R$  is generated by elements  $r_1, \dots, r_n$  as a  $k$ -algebra, then define the map  $\phi : k[x_1, \dots, x_n] \rightarrow R$  by taking  $x_i \rightarrow r_i$ , for all  $1 \leq i \leq n$ , and  $\phi(a) = a$  for all  $a \in k$ . Then  $\phi$  extends to a ring homomorphism of  $k[x_1, \dots, x_n]$  onto  $R$ .

Conversly, let  $\phi$  be a  $k$ -algebra homomorphism of  $k[x_1, \dots, x_n]$  onto  $R$ , such that the images  $\phi(x_1), \dots, \phi(x_n)$  generate  $R$  as a  $k$ -algebra. Then  $R$  is finitely generated, and since  $k[x_1, \dots, x_n]$  is Noetherian by the corollary to Hilbert's basis theorem,  $R$  is a quotient of a Noetherian ring, and hence  $R$  is Noetherian. This makes  $R$  a finitely generated  $k$ -algebra. ■

**Example 1.2.** Let  $R$  be a  $k$ -algebra, for some field  $k$ , viewed as a finite dimensional vector space over  $k$ . In particular, let  $R = k[x]/(f(x))$ , where  $f(x)$  is a nonzero polynomial over  $k$ . Then  $R$  is a finitely generated  $k$ -algebra, since it has a finite basis, and that basis serves as a generator for  $R$  as a  $k$ -algebra. Thus, we have the ideals of  $R$  are  $k$ -subspaces. Moreover, any ascending chain of ideals of  $R$  has at most  $\dim_k R - 1$  distinct terms, which means that  $R$  satisfies the ascending chain condition.

## 1.2 Multivariate Polynomial Rings





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