

4. Scoping Rules

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1 4. Scoping Rules

1.1 Scoping Rules - Symbol Binding

1.1.1 A Diversion on Binding Values to Symbol

How does R know which value to assign to which symbol? When I type

```
lm <- function(x) {  
  x * x  
}  
  
lm
```

```
## function(x) {  
##   x * x  
## }
```

how does R know what value to assign to the symbol `lm`? Why doesn't it give it the value of `lm` that is in the `stats` package?

When R tries to bind a value to a symbol, it searches through a series of environments to find the appropriate value. When you are working on the command line and need to retrieve the value of an R object, the order is roughly 1. Search the global environment for a symbol name matching the one requested.

2. Search the namespaces of each of the packages on the search list The search list can be found by using the `search` function.

```
search()
```

```
## [1] ".GlobalEnv"      "package:stats"    "package:graphics"
## [4] "package:grDevices" "package:utils"    "package:datasets"
## [7] "package:methods"  "Autoloads"        "package:base"
```

1.1.2 Binding Values to Symbol

· The global environment or the user's workspace is always the first element of the search list and the base package is always the last. · The order of the packages on the search list matters! · User's can configure which packages get loaded on startup so you cannot assume that there will be a set list of packages available. · When a user loads a package with library the namespace of that package gets put in position 2 of the search list (by default) and everything else gets shifted down the list. · Note that R has separate namespaces for functions and non-functions so it's possible to have an object named `c` and a function named `c`.

1.1.3 Scoping Rules

The scoping rules for R are the main feature that make it different from the original S language. · The scoping rules determine how a value is associated with a free variable in a function · R uses lexical scoping or static scoping. A common alternative is dynamic scoping. · Related to the scoping rules is how R uses the search list to bind a value to a symbol · Lexical scoping turns out to be particularly useful for simplifying statistical computations

1.1.4 Lexical Scoping

Consider the following function.

```
f <- function(x, y) {
  x ^ 2 + y / z
}
```

This function has 2 formal arguments `x` and `y`. In the body of the function there is another symbol `z`. In this case `z` is called a free variable. The scoping rules of a language determine how values are assigned to free variables. Free variables are not formal arguments and are not local variables (assigned inside the function body).

Lexical scoping in R means that the values of free variables are searched for in the environment in which the function was defined. What is an environment? · An environment is a collection of (symbol, value) pairs, i.e. `x` is a symbol and 3.14 might be its value. · Every environment has a parent environment; it is possible for an environment to have multiple "children" · the only environment without a parent is the empty environment · A function + an environment = a closure or function closure.

Searching for the value for a free variable: · If the value of a symbol is not found in the environment in which a function was defined, then the search is continued in the parent environment. · The search continues down the sequence of parent environments until we hit the top-level environment; this usually the global environment (workspace) or the namespace of a package. · After the top-level environment, the search continues down the search list until we hit the empty environment. If a value for a given symbol cannot be found once the empty environment is arrived at, then an error is thrown.

1.2 Scoping Rules - R Scoping Rules

1.2.1 Lexical Scoping

Why does all this matter? · Typically, a function is defined in the global environment, so that the values of free variables are just found in the user's workspace · This behavior is logical for most people and is usually the "right thing" to do · However, in R you can have functions defined inside other functions -

Languages like C don't let you do this. Now things get interesting — In this case the environment in which a function is defined is the body of another function!

```
make.power <- function(n) {  
  pow <- function(x) {  
    x ^ n  
  }  
  pow  
}
```

This function returns another function as its value.

```
cube <- make.power(3)  
square <- make.power(2)
```

```
cube(3)
```

```
## [1] 27
```

```
square(2)
```

```
## [1] 4
```

1.2.2 Exploring a Function Closure

What's in a function's environment?

```
ls(environment(cube))
```

```
## [1] "n" "pow"
```

```
get("n", environment(cube))
```

```
## [1] 3
```

```
ls(environment(square))
```

```
## [1] "n" "pow"
```

```
get("n", environment(square))
```

```
## [1] 2
```

1.2.3 Lexical vs. Dynamic Scoping

```
y <- 10  
f <- function(x) {  
  y <- 2  
  y ^ 2 + g(x)  
}  
g <- function(x) {  
  x * y  
}
```

```
y
```

```
## [1] 10
```

```
f(3)
```

```
## [1] 34
```

- With lexical scoping the value of `y` in the function `g` is looked up in the environment in which the function was defined, in this case the global environment, so the value of `y` is 10.
- With dynamic scoping, the value of `y` is looked up in the environment from which the function was called (sometimes referred to as the calling environment).
- In R the calling environment is known as the parent frame
- So the value of `y` would be 2.

When a function is defined in the global environment and is subsequently called from the global environment, then the defining environment and the calling environment are the same. This can sometimes give the appearance of dynamic scoping.

```
g <- function(x) {
  a <- 3
  x + a + y
}
```

```
g(2)
```

```
## [1] 15
```

```
y <- 3
g(2)
```

```
## [1] 8
```

1.2.4 Other Languages

Other languages that support lexical scoping · Scheme · Perl · Python · Common Lisp (all languages converge to Lisp)

1.2.5 Consequences of Lexical Scoping

In R, all objects must be stored in memory · All functions must carry a pointer to their respective defining environments, which could be anywhere · In S-PLUS, free variables are always looked up in the global workspace, so everything can be stored on the disk because the “defining environment” of all functions is the same.

1.3 Scoping Rules - Optimization Example (OPTIONAL)

1.3.1 Application: Optimization

Why is any of this information useful? · Optimization routines in R like `optim`, `nlm`, and `optimize` require you to pass a function whose argument is a vector of parameters (e.g. a log-likelihood) · However, an object function might depend on a host of other things besides its parameters (like data) · When writing software which does optimization, it may be desirable to allow the user to hold certain parameters fixed

1.3.2 Maximizing a Normal Likelihood

Write a “constructor” function

```
make.NegLogLik <-
function(data, fixed = c(FALSE, FALSE)) {
  params <- fixed
  function(p) {
    params[!fixed] <- p
    mu <- params[1]
    sigma <- params[2]
    a <- -0.5 * length(data) * log(2 * pi * sigma ^ 2)
    b <- -0.5 * sum((data - mu) ^ 2) / (sigma ^ 2)
    - (a + b)
  }
}
```

```

    }
  }

```

Note: Optimization functions in R minimize functions, so you need to use the negative log-likelihood.

1.3.3 Maximizing a Normal Likelihood

```

set.seed(1); normals <- rnorm(100, 1, 2)
nLL <- make.NegLogLik(normals)
nLL

## function(p) {
##     params[!fixed] <- p
##     mu <- params[1]
##     sigma <- params[2]
##     a <- -0.5 * length(data) * log(2 * pi * sigma ^ 2)
##     b <- -0.5 * sum((data - mu) ^ 2) / (sigma ^ 2)
##     - (a + b)
## }
## <bytecode: 0x12bcc2df0>
## <environment: 0x10412ddb8>
ls(environment(nLL))

## [1] "data"    "fixed"   "params"

```

1.3.4 Estimating Parameters

```

optim(c(mu = 0, sigma = 1), nLL)$par

##      mu      sigma
## 1.218239 1.787343

Fixing  = 2

nLL <- make.NegLogLik(normals, c(FALSE, 2))
optimize(nLL, c(-1, 3))$minimum

## [1] 1.217775

Fixing  = 1

nLL <- make.NegLogLik(normals, c(1, FALSE))
optimize(nLL, c(1e-6, 10))$minimum

## [1] 1.800596

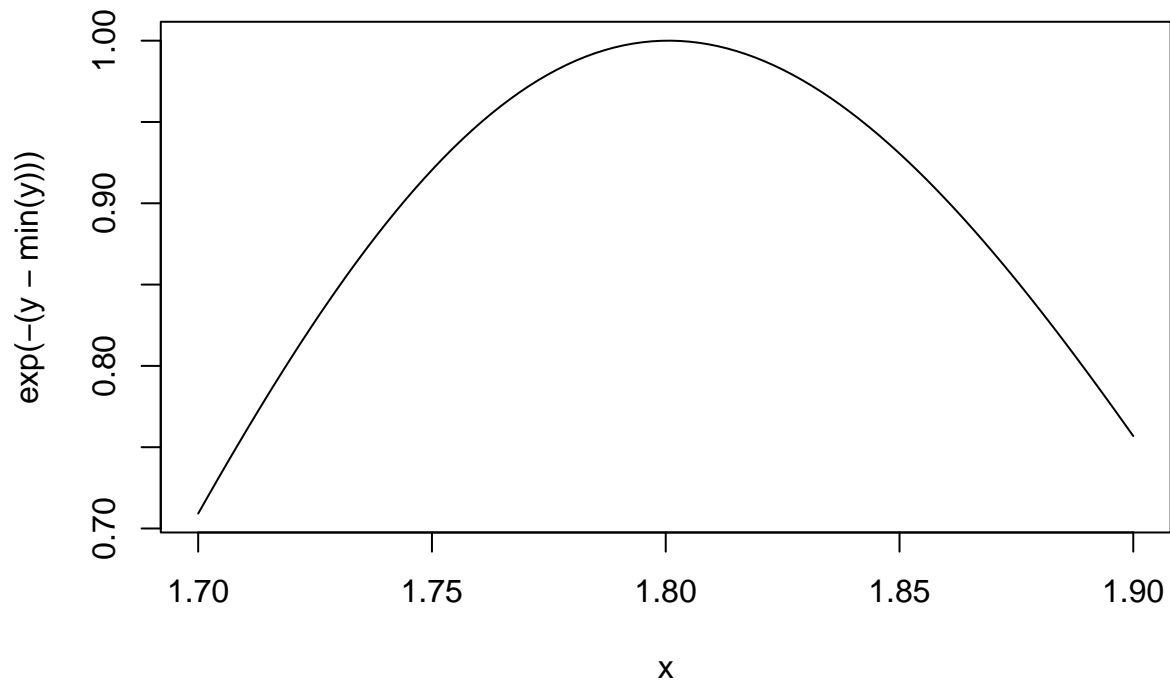
```

1.3.5 Plotting the Likelihood

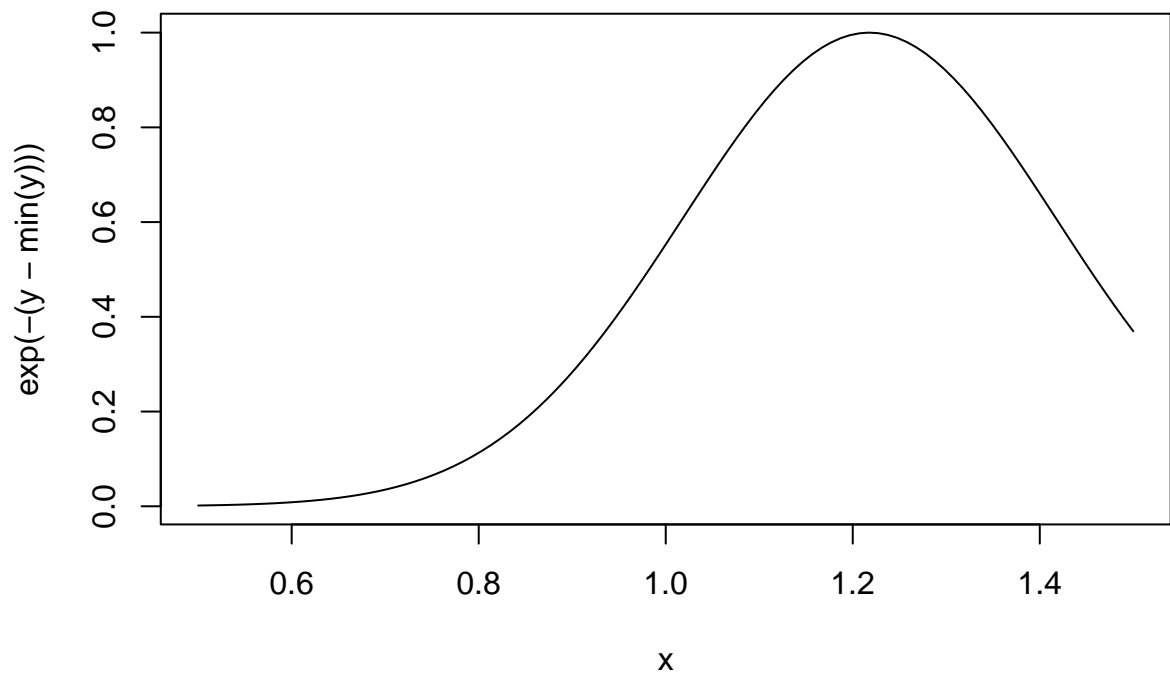
```

nLL <- make.NegLogLik(normals, c(1, FALSE))
x <- seq(1.7, 1.9, len = 100)
y <- sapply(x, nLL)
plot(x, exp(-(y - min(y))), type = "l")

```



```
nLL <- make.NegLogLik(normals, c(FALSE, 2))
x <- seq(0.5, 1.5, len = 100)
y <- sapply(x, nLL)
plot(x, exp(-(y - min(y))), type = "l")
```



1.3.6 Lexical Scoping Summary

- Objective functions can be “built” which contain all of the necessary data for evaluating the function
- No need to carry around long argument lists — useful for interactive and exploratory work.
- Code can be simplified and cleaned up
- Reference: Robert Gentleman and Ross Ihaka (2000). “Lexical Scope and Statistical Computing,” JCGS, 9, 491–508.