Homework 2 Honors Analysis I

## Homework 2

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September 15, 2017

## Chapter 2: Countable and Uncountable Sets

3. Given finitely many countable sets  $A_1, \dots, A_n$ , show that  $A_1 \cup \dots \cup A_n$  and  $A_1 \times \dots \times A_n$  are countable sets.

*Proof.* Since  $A_i$  are countable, we have

$$A_1 = \{a_{11}, a_{12}, a_{13}, \dots\}$$

$$A_2 = \{a_{21}, a_{22}, a_{23}, \dots\}$$

$$\vdots$$

$$A_n = \{a_{n1}, a_{n2}, a_{n3} \dots\}$$

The set of all the  $a_{ij}$  (including possible duplicates) is countable by Cantor's diagonal argument, so the union  $A_1 \cup \cdots \cup A_n$  is countable.

Since each of the  $A_i$  is countable, there exists bijections  $f_i: \mathbb{N} \to A_i$ . We can construct a map

$$g: \mathbb{N}^n \to A_1 \times \dots \times A_n$$
$$(b_1, b_2, \dots, b_n) \mapsto (f_1(b_1), f_2(b_2), \dots, f_n(b_n))$$

which is clearly bijective since each of the  $f_i$  is bijective. Since  $\mathbb{N}^n$  is countable by Cantor's diagonal argument, the product  $A_1 \times \cdots \times A_n$  is countable.

7. Let A be countable. If  $f:A\to B$  is onto, show that B is countable; if  $g:C\to A$  is 1-1, show that C is countable.

*Proof.* Since A is countable, suppose its elements are  $\{a_1, a_2, a_3, \dots\}$ . Then since f is onto, we must have  $B \subset \{f(a_1), f(a_2), f(a_3), \dots\}$ , which is a countable set, and therefore B itself is countable.

Since g is injective, it is a bijection from  $C \to g(C) = \{g(c) : c \in C\}$ . Since  $g(C) \subset A$ , it is countable, so there exists a bijection  $h : g(C) \to A$ . Thus, the composition  $h \circ g : C \to g(C) \to A$  is a bijection, so  $C \sim A$  and thus C is countable.

8. Show that (0,1) is equivalent to [0,1] and to  $\mathbb{R}$ .

*Proof.* Consider the function  $f:(0,1)\to\mathbb{R}$  given by  $f(x)=\tan\left(\frac{\pi}{2}x-\frac{\pi}{2}\right)$ . This is bijective since it has a well defined inverse  $f^{-1}=\frac{2}{\pi}\left(\arctan x+1\right)$ . Thus,  $(0,1)\sim\mathbb{R}$ .

To show that  $[0,1] \sim (0,1)$ , consider an infinite sequence  $(x_n)_{n\geq 2}$  of distinct elements in (0,1), and the corresponding set  $S=\{x_n:n\geq 2\}$ . Let  $x_0=0$  and  $x_1=1$ . Then construct a mapping g such that

$$g(x) = \begin{cases} x & \text{if } x \in (1,0) \setminus X \\ x_{n+2} & \text{if } x = x_n \in X, n \ge 0 \end{cases}$$

Here, g is defined on [0,1] and is bijective because of how we have defined it. Thus,  $[0,1] \sim (0,1)$ .  $\square$ 

Homework 2 Honors Analysis I

16. The algebraic numbers are those real or complex numbers that are the roots of polynomials having integer coefficients. Prove that the set of algebraic numbers is countable.

*Proof.* Consider the set of polynomials with integer coefficients,  $\mathbb{Z}[x]$ . Within  $\mathbb{Z}[x]$ , consider the set  $S_n$  of polynomials of degree n. Now, we construct a bijective map  $g: \mathbb{Z}^{n+1} \to S_n$ :

$$(a_0, a_1, \dots, a_n) \mapsto \begin{cases} a_0 + a_1 x + \dots + (a_n + 1) x^n, & a_n \ge 0 \\ a_0 + a_1 x + \dots + a_n x^n, & a_n < 0 \end{cases}$$

This is obviously injective, and it is surjective because if

$$g = b_0 + b_1 x + \dots + b_n x^n$$

then if  $b_n > 0$ , we can recover  $(b_0, b_1, \dots, b_n - 1)$  and if  $b_n < 0$ , we can recover  $(b_0, b_1, \dots, b_n)$ . The case where  $b_n = 0$  is impossible since the polynomial has degree n. Thus,  $S_n$  is countable since  $\mathbb{Z}^{n+1}$  is a countable union of countable sets  $\mathbb{Z}$ . Since  $S_n$  is countable, we can enumerate the polynomials  $f_i \in S_n$  with  $\mathbb{N}$  and their corresponding set of n (possibly repeated) roots  $R_i$ :

$$R_1 = \{r_{11}, r_{12}, r_{13}, \cdots, r_{1n}\}$$

$$R_2 = \{r_{21}, r_{22}, r_{23}, \cdots, r_{2n}\}$$

$$R_3 = \{r_{31}, r_{32}, r_{33}, \cdots, r_{3n}\}$$

$$\vdots$$

The set of all roots is countable by Cantor's diagonal argument, and denote this set by  $T_n$  for  $S_n$ . Now, the set of all algebraic integers is

$$\bigcup_{n=i}^{\infty} T_i$$

which is a countable union of countable sets  $T_i$ , and therefore countable, as desired.

17. If A is uncountable and B is countable, show that A and  $A \setminus B$  are equivalent. In particular, conclude that  $A \setminus B$  is uncountable.

*Proof.* Let  $S \subset A \setminus B$  be a countably infinite set. Then  $B \cup S$  is a countable union of countable sets, and therefore countable, so there exists a bijection  $g:(B \cup S) \to S$ . Now, define the mapping

$$f:A\to A\setminus B$$
 
$$a\mapsto \begin{cases} a & \text{if }a\in A\setminus (B\cup S)\\ g(a) & \text{if }a\in (B\cup S) \end{cases}$$

This function is bijective as defined, so  $A \sim A \setminus B$ , as desired.

If  $A \setminus B$  was countable, then  $(A \setminus B) \cup B$  is a countable union of countable sets, and therefore countable. Then  $A \subseteq (A \setminus B) \cup B$ , which is a contradiction, since A was assumed to be uncountable. Thus,  $A \setminus B$  is uncountable, as desired.

Homework 2 Honors Analysis I

18. Show that the set of all real numbers in the interval (0,1) whose base 10 decimal expansion contains no 3s or 7s is uncountable.

*Proof.* Suppose the set is countable. Then elements can be indexed with  $\mathbb{N}$ , so suppose the list

$$x_1 = 0.a_{11}a_{12}a_{13} \cdots$$
  
 $x_2 = 0.a_{21}a_{22}a_{23} \cdots$   
 $x_3 = 0.a_{31}a_{32}a_{33} \cdots$   
:

is exhaustive, where  $a_{ij} \in \{0, 1, 2, 4, 5, 6, 8, 9\}$  . Now, construct a new number

$$y = 0.b_1b_2b_3\cdots$$
,  $b_i = \begin{cases} 4, & a_{ii} = 5\\ 5, & a_{ii} \neq 5 \end{cases}$ 

This element is not equal to any of the  $x_i$ , but it still fits the criteria of the set. Contradiction, so such a set must be uncountable.