

# Homework 1

ALECK ZHAO

September 16, 2016

## Section 0.1: Proofs

2. (a) Prove by cases or provide a counterexample: If  $n$  is any integer, then  $n^2 = 4k + 1$  for some integer  $k$ .

*Proof.* This is a false statement. Let  $n = 2m$  for  $m \in \mathbb{Z}$ . Then  $n^2 = 4m^2 \neq 4k + 1$  for any  $k \in \mathbb{Z}$ .

□

3. (c) Prove by contradiction and prove the converse or provide a counterexample: If  $a$  and  $b$  are positive numbers and  $a \leq b$ , then  $\sqrt{a} \leq \sqrt{b}$ .

*Proof.* Assume the opposite, that  $\sqrt{a} > \sqrt{b}$ . Since square roots are non-negative, we may square both sides to get  $a > b$ , but we know  $a \leq b$ , which is a contradiction. Thus  $\sqrt{a} \leq \sqrt{b}$ , as desired.

For the converse, we aim to show that if  $\sqrt{a} \leq \sqrt{b}$ , then  $a \leq b$ . Since square roots are non-negative, square both sides to get  $a \leq b$ , as desired.

□

6. If  $p$  is a statement, let  $\sim p$  denote the statement “not  $p$ ,” called the negation of  $p$ . Thus,  $\sim p$  is true when  $p$  is false, and false when  $p$  is true. Show that if  $\sim q \implies \sim p$ , then  $p \implies q$ .

*Proof.* For two statements  $a$  and  $b$  we have  $a \implies b$  is always a true statement except in the case where  $a$  is true and  $b$  is false. If  $\sim q \implies \sim p$  is true then there are three distinct possibilities:

1.  $\sim q$  is true and  $\sim p$  is true.
2.  $\sim q$  is false and  $\sim p$  is true.
3.  $\sim q$  is false and  $\sim p$  is false.

We tackle these by cases.

Case 1: If  $\sim q$  and  $\sim p$  are both true, then  $q$  and  $p$  are both false, so  $p \implies q$  is a true statement.

Case 2: If  $\sim q$  is false and  $\sim p$  is true, then  $q$  is true and  $p$  is false, so  $p \implies q$  is a true statement.

Case 3: If  $\sim q$  and  $\sim p$  are both false, then  $q$  and  $p$  are both true, so  $p \implies q$  is a true statement.

Thus  $\sim q \implies \sim p$  implies that  $p \implies q$ , as desired.

□

## Section 0.3: Mappings

5. (a) For  $A \xrightarrow{\alpha} A$ , show that  $\alpha^2 = \alpha$  if and only if  $\alpha(x) = x$  for all  $x \in \alpha(A)$ .

*Proof.* If  $\alpha(x) = x$  for all  $x \in \alpha(A)$ , then  $(\alpha \circ \alpha)(x) = x = \alpha(x)$ , so  $\alpha^2 = \alpha$ , as desired.

For the other direction, if  $\alpha^2 = \alpha$ , then  $(\alpha \circ \alpha)(x) = \alpha(\alpha(x)) = \alpha(x)$ . Let  $y = \alpha(x) \in \alpha(A)$ , thus  $\alpha(y) = y$  for all  $y \in \alpha(A)$ , as desired. □

- (b) If  $A \xrightarrow{\alpha} A$  satisfies  $\alpha^2 = \alpha$ , show that  $\alpha$  is surjective if and only if  $\alpha$  is injective. Describe  $\alpha$  in this case.

*Proof.* If  $\alpha$  is surjective, then for all  $a' \in A$ , there exists  $a \in A$  such that  $\alpha(a) = a'$ . We know that  $\alpha(\alpha(a)) = \alpha(a)$  for all  $a \in A$ , so we can rewrite this as  $\alpha(a') = a'$  for all  $a' \in A$ . Then if we have  $\alpha(a') = \alpha(a'')$ , that means  $a' = a''$ , so  $\alpha$  is injective, as desired.

If  $\alpha$  is injective, then whenever  $\alpha(a') = \alpha(a'')$ , it must be true that  $a' = a''$ . Assume that  $\alpha(A)$  the image of  $A$  under  $\alpha$  is not all of  $A$ . Since not all elements are mapped to, there must exist some element  $a \in A$  that is the image of more than a single element in  $A$ . This is a contradiction since we know  $\alpha$  is injective, that distinct elements of  $A$  map to distinct elements of  $A$ . Thus  $\alpha(A)$  is in fact all of  $A$ . We know that  $\alpha(\alpha(a)) = \alpha(a)$  for all  $a \in A$ . Let  $y = \alpha(a) \in \alpha(A)$ , then we  $\alpha(y) = y$ . Since  $\alpha(A) = A$ , it follows that  $\alpha$  is surjective since for any  $y \in A$ , we know  $\alpha(y) = y$ , as desired.

In this case, we have  $\alpha(a) = a$  for all  $a \in A$ . □

- (c) Let  $A \xrightarrow{\beta} B \xrightarrow{\gamma} A$  satisfy  $\gamma\beta = 1_A$ . If  $\alpha = \beta\gamma$ , show that  $\alpha^2 = \alpha$ .

*Proof.* We have

$$\begin{aligned}\alpha^2 &= (\beta\gamma)(\beta\gamma) = \beta(\gamma\beta)\gamma \\ &= \beta \circ 1_A \circ \gamma = \beta\gamma = \alpha,\end{aligned}$$

as desired. □

8. Let  $A \xrightarrow{\alpha} B \xrightarrow{\beta} A$  satisfy  $\beta\alpha = 1_A$ . If either  $\alpha$  is surjective or  $\beta$  is injective, show that each of them is invertible and that each of them is the inverse of the other.

*Proof.* We wish to show that  $\alpha\beta = 1_B$ , which combined with the fact that  $\beta\alpha = 1_A$  will show that  $\alpha$  and  $\beta$  are inverses of each other.

If  $\alpha$  is surjective, then for any  $b \in B$  there exists  $a \in A$  such that  $\alpha(a) = b$ . Since  $\beta(\alpha(a)) = a$ , that means  $\alpha(\beta(\alpha(a))) = \alpha(a)$ ,  $\forall a \in A$  since  $\alpha$  is well-defined. Then we let  $\alpha(a) = b^* \in B$ , so that  $\alpha(\beta(b^*)) = b^*$ . Because  $\alpha$  is surjective, any value of  $b^*$  must have at least a single  $a \in A$  such that  $\alpha(a) = b^*$ , thus  $\alpha(\beta(b^*)) = b^*$  holds for all  $b^* \in B$ , so  $\alpha\beta = 1_B$ , as desired.

We know that  $\beta(\alpha(a)) = a$  for all  $a \in A$ , which means that the image  $\beta(A)$  is actually all of  $A$ , so then  $\beta$  is surjective as well, and therefore bijective if  $\beta$  is also injective. It is a theorem that a bijective mapping always has an inverse, so denote  $\beta^{-1}$  to be the inverse of  $\beta$ . Then we have  $\beta^{-1}(\beta(\alpha(a))) = (\beta^{-1} \circ \beta)(\alpha(a)) = \alpha(a) = \beta^{-1}(a)$  for all  $a \in A$ , thus  $a = \beta^{-1}$ , so  $\alpha\beta = 1_B$ , as desired. □

## Section 0.4: Equivalences

1. In each case, decide whether the relation  $\equiv$  is an equivalence on  $A$ . If it is, describe the equivalence classes.

(e)  $A = \mathbb{N}$ ;  $a \equiv b$  means that  $b = ka$  for some integer  $k$ .

*Solution.*  $\equiv$  is not an equivalence because it is not symmetric:

$$a \equiv b \implies b = ka$$

but then  $a = \frac{1}{k}b$ , so  $b \not\equiv a$ , since  $\frac{1}{k} \notin \mathbb{N}$ . □

(h)  $A = \mathbb{R} \times \mathbb{R}$ ;  $(x, y) \equiv (x_1, y_1)$  means that  $x^2 + y^2 = x_1^2 + y_1^2$ .

*Solution.*  $\equiv$  is an equivalence relation because it satisfies:

1. Reflexivity:  $(x, y) \equiv (x, y)$  since  $x^2 + y^2 = x^2 + y^2$ .
2. Symmetry:  $(x, y) \equiv (x_1, y_1)$  means  $x^2 + y^2 = x_1^2 + y_1^2$ , so then  $(x_1, y_1) \equiv (x, y)$  since  $x_1^2 + y_1^2 = x^2 + y^2$ .
3. Transitivity: If  $(x, y) \equiv (a, b)$ , and  $(a, b) \equiv (p, q)$ , then  $x^2 + y^2 = a^2 + b^2$  and  $a^2 + b^2 = p^2 + q^2$ , so then  $x^2 + y^2 = p^2 + q^2$ , so  $(x, y) \equiv (p, q)$ .

The equivalence classes are concentric circles around the origin. □

3. (d)  $A = \mathbb{R}^+ \times \mathbb{R}^+$ ;  $(x, y) \equiv (x_1, y_1)$  means that  $y/x = y_1/x_1$ ;  $B = \{x \in \mathbb{R} \mid x > 0\}$ . Show that  $\equiv$  is an equivalence on  $A$  and find a (well-defined) bijection  $\sigma : A_{\equiv} \rightarrow B$ .

*Proof.*  $\equiv$  is an equivalence relation because it satisfies:

1. Reflexivity:  $(x, y) \equiv (x, y)$  since  $y/x = y/x$ .
2. Symmetry:  $(x, y) \equiv (x_1, y_1)$  means  $y/x = y_1/x_1$ , so then  $(x_1, y_1) \equiv (x, y)$  since  $y_1/x_1 = y/x$ .
3. Transitivity: If  $(x, y) \equiv (a, b)$ , and  $(a, b) \equiv (p, q)$ , then  $y/x = b/a$  and  $b/a = q/p$ , so then  $y/x = q/p$  so  $(x, y) \equiv (p, q)$ .

The equivalence classes are the lines  $y = kx$  for  $k \in \mathbb{R}^+$ , so  $\sigma$  can map these lines to the value  $k$  of their slope. This is well-defined and bijective because each line only gets mapped to a single value corresponding to its slope, and the slope uniquely determines the line. Specifically,  $\sigma([x, y]) = y/x$  is the mapping. □

6. Let  $\equiv$  and  $\sim$  be two equivalences on the same set  $A$ .

(a) If  $a \equiv a_1$  implies that  $a \sim a_1$ , show that each  $\sim$  equivalence class is partitioned by the  $\equiv$  equivalence classes it contains.

*Proof.* Since  $\equiv$  equivalence classes already partition  $A$ , it suffices to prove that any equivalence class  $[a]$  under  $\equiv$  cannot be part of more than equivalence class under  $\sim$ . Let  $[a]_{\equiv}$  represent an equivalence class generated by  $a$  under  $\equiv$ , and similarly for  $[a]_{\sim}$ .

If  $[a]_{\equiv}$  only has a single element  $a$ , then it can only be part of a single equivalence class under  $\sim$ . Otherwise, consider two elements  $x, y \in [a]_{\equiv}$ . That means  $x \equiv a \implies x \sim a$ , and  $y \equiv a \implies y \sim a$ . That means  $x \in [a]_{\sim}$  and  $y \in [a]_{\sim}$ , so any two elements in an equivalence class under  $\equiv$  are always in the same equivalence class under  $\sim$ . Thus any  $[a]_{\equiv}$  is fully contained within  $[a]_{\sim}$ , so  $\equiv$  equivalence classes partition the  $\sim$  equivalence classes, as desired. □

(b) Define  $\cong$  on  $A$  by writing  $a \cong a_1$  if and only if both  $a \equiv a_1$  and  $a \sim a_1$ . Show that  $\cong$  is an equivalence relation and describe the  $\cong$  equivalence classes in terms of the  $\equiv$  and  $\sim$  equivalence classes.

*Proof.* We show that  $\cong$  is an equivalence relation because it satisfies:

1. Reflexivity:  $a \cong a$  because  $a \equiv a$  and  $a \sim a$  since  $\equiv$  and  $\sim$  are equivalence relations and therefore reflexive.
2. Symmetry: If  $a \cong b$ , that means  $a \equiv b$  and  $a \sim b$ , so then since  $\equiv$  and  $\sim$  are equivalence relations and therefore symmetric, we have  $b \equiv a$  and  $b \sim a$ , thus  $b \cong a$ .
3. Transitivity: If  $a \cong b$  and  $b \cong c$ , that means  $a \equiv b$ ,  $a \sim b$ , and  $b \equiv c$ ,  $b \sim c$ , so since  $\equiv$  and  $\sim$  are equivalence relations and therefore transitive, we have  $a \equiv c$ ,  $a \sim c$ , thus  $a \cong c$ .

A  $\cong$  equivalence class is the set of elements such that all elements in the set are equivalent under both  $\equiv$  and  $\sim$ .

□

7. In each case, determine whether  $\alpha : \mathbb{Q}^+ \rightarrow \mathbb{Q}$  is well defined.

(a)  $\alpha\left(\frac{n}{m}\right) = n$

*Solution.* This is not well defined since  $\alpha(1/2) = 1$  and  $\alpha(2/4) = 2$ , but  $1/2 = 2/4$ .

□

(b)  $\alpha\left(\frac{n}{m}\right) = \frac{n-m}{n+m}$

*Solution.* This is well defined. We wish to show that if  $n/m = a/b$  then  $\alpha\left(\frac{n}{m}\right) = \frac{n-m}{n+m} = \frac{a-b}{a+b} = \alpha\left(\frac{a}{b}\right)$ . Cross multiplying, this is equivalent to

$$\begin{aligned}(n-m)(a+b) &= (n+m)(a-b) \\ an - am + bn - bm &= an + am - bn - bm \\ 2bn &= 2am \\ \frac{n}{m} &= \frac{a}{b},\end{aligned}$$

which is true, as desired.

□

(c)  $\alpha\left(\frac{n}{m}\right) = m + n$

*Solution.* This is not well defined since  $\alpha(1/2) = 1 + 2 = 3$  but  $\alpha(2/4) = 2 + 4 = 6$ .

□

(d)  $\alpha\left(\frac{n}{m}\right) = \frac{5m+7n}{3n+m}$

*Solution.* This is well defined. Similarly to part (b), we wish to show that if  $n/m = a/b$ , then  $\alpha\left(\frac{n}{m}\right) = \frac{5m+7n}{3n+m} = \frac{5b+7a}{3a+b} = \alpha\left(\frac{a}{b}\right)$ . Cross multiplying, this is equivalent to

$$\begin{aligned}(5m+7n)(3a+b) &= (5b+7a)(3n+m) \\ 15am + 5bm + 21an + 7bn &= 15bn + 5bm + 21an + 7am \\ 8am &= 8bn \\ \frac{a}{b} &= \frac{n}{m}\end{aligned}$$

which is true, as desired.

□

9. For a mapping  $\alpha : A \rightarrow B$ , let  $\equiv$  denote the kernel equivalence of  $\alpha$  and let  $\varphi : A \rightarrow A_{\equiv}$  denote the natural mapping. Define  $\sigma : A_{\equiv} \rightarrow B$  by  $\sigma([a]) = \alpha(a)$  for all equivalence classes  $[a]$  in  $A_{\equiv}$ .

(a) Show that  $\sigma$  is well defined and injective, surjective if  $\alpha$  is surjective.

*Proof.*  $\sigma$  is well defined if and only if  $\sigma([a]) = \sigma([b])$  whenever  $[a] = [b]$ ; this just means an element in the pre-image only gets mapped to a single unique element in the image.

If  $[a] = [b]$ , then for any  $x \in [a]$  we have  $x \in [b]$  as well, so  $x \equiv a$  and  $x \equiv b$ , which means  $a \equiv b$ . Thus,  $\alpha(a) = \alpha(b)$  since  $\equiv$  is the kernel equivalence. Therefore  $\sigma([a]) = \alpha(a) = \alpha(b) = \sigma([b])$ , so  $\sigma$  is well defined, as desired.

$\sigma$  is injective if and only if  $[a] = [b]$  whenever  $\sigma([a]) = \sigma([b])$ . If  $\sigma([a]) = \sigma([b])$ , that means  $\sigma([a]) = \alpha(a) = \alpha(b) = \sigma([b])$ . Since  $\equiv$  is the kernel equivalence, that means  $a \equiv b$ . Given some  $x \in [a] \implies x \equiv a$ , that means  $x \equiv b$ , so  $x \in [b]$ , thus  $[a] \subset [b]$  and similarly  $[b] \subset [a]$ , so in fact  $[a] = [b]$ , thus  $\sigma$  is injective, as desired.

$\sigma$  is surjective if and only if for all  $b \in B$ , there exists an equivalence class  $[a] \in A_{\equiv}$  such that  $\sigma([a]) = b$ . We have  $\sigma([a]) = \alpha(a)$  for all  $[a] \in A_{\equiv}$ . If  $\alpha$  is surjective, then for all  $b \in B$ , there exists an  $a \in A$  such that  $\alpha(a) = b$ . Since  $\varphi$  is surjective, for any  $[a]$  there exists  $a \in A$  such that  $\varphi(a) = [a]$ , so it follows that for any  $b$ , there exists an  $a$  and therefore an  $[a]$  such that  $\sigma([a]) = b$ , thus  $\sigma$  is surjective, as desired.  $\square$

(b) Show that  $\alpha = \sigma\varphi$ , so that  $\alpha$  is the composite of a surjective mapping followed by an injective mapping.

*Proof.* We wish to show that  $\alpha(a) = \sigma(\varphi(a))$  for all  $a \in A$ . We have  $\varphi(a) = [a]$  for all  $a \in A$  by the natural mapping, then  $\sigma([a]) = \alpha(a)$  so  $\sigma(\varphi(a)) = \alpha(a)$ , thus  $\sigma\varphi = \alpha$ , as desired.  $\square$

(c) If  $\alpha(A)$  is a finite set, show that the set  $A_{\equiv}$  of equivalence classes is also finite and that  $|A_{\equiv}| = |\alpha(A)|$ .

*Proof.* Suppose the image  $\alpha(A)$  has  $k \in \mathbb{N}$  elements  $b_1, b_2, \dots, b_k$  that are all distinct. Assume there are infinitely many equivalence classes,  $[a_i]$ . Consider the equivalence class  $[a_1]$ . Then for any  $a_1 \in [a_1]$ , without loss of generality (WLOG) assume that  $\alpha(a_1) = b_1$ , where all elements in the equivalence class map to the same image by the definition of the equivalence class. Then without loss of generality assume that  $\alpha(a_i) = b_i$  for  $a_i \in [a_i]$ , with  $i = 1, 2, \dots, k$ . All of these equivalence classes are disjoint, and actually equivalence classes since  $b_i$  are all distinct.

Next consider  $a_{k+1} \in [a_{k+1}]$ . We know that  $\alpha(a_{k+1})$  must map to one of  $b_1, b_2, \dots, b_k$ , WLOG let  $\alpha(a_{k+1}) = b_1$ . But then  $a_{k+1} \in [a_1]$  from earlier, but equivalence classes are disjoint, so this is a contradiction. By induction, any  $[a_j]$  where  $j > k$  cannot exist disjoint from all  $[a_i]$ ,  $i = 1, 2, \dots, k$ , so  $A_{\equiv}$  is finite, as desired.

In fact, there are exactly as many equivalence classes as elements of  $\alpha(A)$  by the construction above, so  $|A_{\equiv}| = |\alpha(A)|$ , as desired.  $\square$

(d) In each case, find  $|A_{\equiv}|$  for the given mapping  $\alpha$ .

(i)  $A = U \times U$  with  $U = \{1, 2, 3, 4, 6, 12\}$ ,  $\alpha : A \rightarrow \mathbb{Q}$  defined by  $\alpha(n, m) = n/m$ .

*Solution.* The set  $A_{\equiv}$  is the set of distinct rational numbers we may form with any two elements in  $U$ . These are

$$\left\{ 1, 2, 3, 4, 6, 12, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{6}, \frac{1}{12}, \frac{3}{2}, \frac{4}{3}, \frac{2}{3}, \frac{3}{4} \right\}$$

so  $|A_{\equiv}| = \boxed{15}$ .

$\square$

- (ii)  $A = \{n \in \mathbb{Z} \mid 1 \leq n \leq 99\}$ ,  $\alpha : A \rightarrow \mathbb{N}$  defined by  $\alpha(n)$  = the sum of the digits of  $n$ .

*Solution.* The equivalence classes are the distinct values of  $\alpha(n)$ . The minimum value of  $\alpha(n)$  is 1, achieved at  $n = 1$  or  $n = 10$ , and the maximum is 18, achieved when  $n = 99$ . Since every value in between can be achieved (we won't show this explicitly),  $|A_{\equiv}| = \boxed{18}$ .

□

10. Let  $A = \{\alpha \mid \alpha : P \rightarrow Q \text{ is a mapping}\}$ . Given  $p \in P$ , define  $\equiv$  on  $A$  by  $\alpha \equiv \beta$  if  $\alpha(p) = \beta(p)$ .

- (a) Show that  $\equiv$  is an equivalence on  $A$ .

*Proof.*  $\equiv$  is an equivalence relation because it satisfies:

1. Reflexivity:  $\alpha \equiv \alpha$  because  $\alpha(p) = \alpha(p)$ .
2. Symmetry: If  $\alpha \equiv \beta$ , then  $\alpha(p) = \beta(p)$  so  $\beta(p) = \alpha(p)$  and thus  $\beta \equiv \alpha$ .
3. Transitivity: If  $\alpha \equiv \beta$  and  $\beta \equiv \gamma$ , then  $\alpha(p) = \beta(p)$  and  $\beta(p) = \gamma(p)$ , so then  $\alpha(p) = \gamma(p)$  and thus  $\alpha \equiv \gamma$ .

□

- (b) Find a mapping  $\lambda : A \rightarrow Q$  such that  $\equiv$  is the kernel equivalence of  $\lambda$ .

*Solution.* Fix some  $p^* \in P$ . then let  $\lambda(\alpha) = \alpha(p^*) \in Q$  for all  $\alpha \in A$ . If  $\alpha_1 \equiv \alpha_2$ , then  $\alpha_1(p) = \alpha_2(p)$  for any  $p \in P$ , so  $\lambda(\alpha_1) = \alpha_1(p^*) = \alpha_2(p^*) = \lambda(\alpha_2)$ . Thus,  $\equiv$  is the kernel equivalence of  $\lambda$  as desired.

□

- (c) If  $|Q| = n$ , how many equivalence classes does  $\equiv$  have?

*Solution.* There are  $\boxed{\text{at most } n}$  equivalence classes, one for each distinct element of  $Q$ . If  $\alpha$  is not surjective, then there are fewer than  $n$ .

□