

## Homework 2

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### Chapter 2: Countable and Uncountable Sets

3. Given finitely many countable sets  $A_1, \dots, A_n$ , show that  $A_1 \cup \dots \cup A_n$  and  $A_1 \times \dots \times A_n$  are countable sets.

*Proof.* Since  $A_i$  are countable, we have

$$\begin{aligned} A_1 &= \{a_{11}, a_{12}, a_{13}, \dots\} \\ A_2 &= \{a_{21}, a_{22}, a_{23}, \dots\} \\ &\vdots \\ A_n &= \{a_{n1}, a_{n2}, a_{n3}, \dots\} \end{aligned}$$

The set of all the  $a_{ij}$  (including possible duplicates) is countable by Cantor's diagonal argument, so the union  $A_1 \cup \dots \cup A_n$  is countable.

Since each of the  $A_i$  is countable, there exists bijections  $f_i : \mathbb{N} \rightarrow A_i$ . We can construct a map

$$\begin{aligned} g : \mathbb{N}^n &\rightarrow A_1 \times \dots \times A_n \\ (b_1, b_2, \dots, b_n) &\mapsto (f_1(b_1), f_2(b_2), \dots, f_n(b_n)) \end{aligned}$$

which is clearly bijective since each of the  $f_i$  is bijective. Since  $\mathbb{N}^n$  is countable by Cantor's diagonal argument, the product  $A_1 \times \dots \times A_n$  is countable.  $\square$

7. Let  $A$  be countable. If  $f : A \rightarrow B$  is onto, show that  $B$  is countable; if  $g : C \rightarrow A$  is 1-1, show that  $C$  is countable.

*Proof.* Since  $f$  is onto,  $S_b := \{a \in A : f(a) = b\}$  is nonempty for all  $b \in B$ . Since  $S_b \subset A$ , it must be countable, so its elements can be enumerated with  $\mathbb{N}$ . Now, for any  $b$ , choose the element  $a_b \in S_b$  with the smallest index, and  $b \mapsto a_b$  is injective, since the sets are disjoint. This is easy to see because  $a \in S_b \cap S_c \implies f(a) = b = c \implies S_b = S_c$ . Since  $A$  is countable it can be injected into  $\mathbb{N}$ , and thus  $B \hookrightarrow A \hookrightarrow \mathbb{N}$  implies  $B$  is countable.

Since  $g$  is injective, it is a bijection from  $C \rightarrow g(C) = \{g(c) : c \in C\}$ . Since  $g(C) \subset A$ , it is countable, so there exists a bijection  $h : g(C) \rightarrow A$ . Thus, the composition  $h \circ g : C \rightarrow g(C) \rightarrow A$  is a bijection, so  $C \sim A$  and thus  $C$  is countable.  $\square$

8. Show that  $(0, 1)$  is equivalent to  $[0, 1]$  and to  $\mathbb{R}$ .

*Proof.* Consider the function  $f : (0, 1) \rightarrow \mathbb{R}$  given by  $f(x) = \tan\left(\frac{\pi}{2}x - \frac{\pi}{2}\right)$ . This is bijective since it has a well defined inverse  $f^{-1} = \frac{2}{\pi}(\arctan x + 1)$ . Thus,  $(0, 1) \sim \mathbb{R}$ .

To show that  $[0, 1] \sim (0, 1)$ , consider a countably infinite subset  $(0, 1) \supset S = \{x_1, x_2, x_3, \dots\}$ . Let  $T = \{0, 1\}$ . Then since  $S \cup T$  is a countable union of countable sets, it is countable, and therefore  $(S \cup T) \sim S$ , so there exists a bijection  $h : (S \cup T) \rightarrow S$ . Now, define  $g : [0, 1] \rightarrow (0, 1)$  as

$$g(x) = \begin{cases} x & \text{if } x \in [1, 0] \setminus (S \cup T) \\ h(x) & \text{if } x \in (S \cup T) \end{cases}$$

Here,  $g$  is defined on  $[0, 1]$ , its image is  $[1, 0] \setminus T = (0, 1)$ , and is bijective because of how we have defined it. Thus,  $[0, 1] \sim (0, 1)$ .  $\square$

16. The algebraic numbers are those real or complex numbers that are the roots of polynomials having integer coefficients. Prove that the set of algebraic numbers is countable.

*Proof.* Consider the set of polynomials with integer coefficients,  $\mathbb{Z}[x]$ . Within  $\mathbb{Z}[x]$ , consider the set  $S_n$  of polynomials of degree  $n$ . Now, we construct a bijective map  $g : \mathbb{Z}^{n+1} \rightarrow S_n$ :

$$(a_0, a_1, \dots, a_n) \mapsto \begin{cases} a_0 + a_1x + \dots + (a_n + 1)x^n, & a_n \geq 0 \\ a_0 + a_1x + \dots + a_nx^n, & a_n < 0 \end{cases}$$

This is obviously injective, and it is surjective because if

$$g = b_0 + b_1x + \dots + b_nx^n$$

then if  $b_n > 0$ , we can recover  $(b_0, b_1, \dots, b_n - 1)$  and if  $b_n < 0$ , we can recover  $(b_0, b_1, \dots, b_n)$ . The case where  $b_n = 0$  is impossible since the polynomial has degree  $n$ . Thus,  $S_n$  is countable since  $\mathbb{Z}^{n+1}$  is a countable union of countable sets  $\mathbb{Z}$ . Since  $S_n$  is countable, we can enumerate the polynomials  $f_i \in S_n$  with  $\mathbb{N}$  and their corresponding set of  $n$  (possibly repeated) roots  $R_i$ :

$$\begin{aligned} R_1 &= \{r_{11}, r_{12}, r_{13}, \dots, r_{1n}\} \\ R_2 &= \{r_{21}, r_{22}, r_{23}, \dots, r_{2n}\} \\ R_3 &= \{r_{31}, r_{32}, r_{33}, \dots, r_{3n}\} \\ &\vdots \end{aligned}$$

The set of all roots is countable by Cantor's diagonal argument, and denote this set by  $T_n$  for  $S_n$ . Now, the set of all algebraic numbers is

$$\bigcup_{i=1}^{\infty} T_i$$

which is a countable union of countable sets  $T_i$ , and therefore countable, as desired.  $\square$

17. If  $A$  is uncountable and  $B$  is countable, show that  $A$  and  $A \setminus B$  are equivalent. In particular, conclude that  $A \setminus B$  is uncountable.

*Proof.* Let  $S \subset A \setminus B$  be a countably infinite set. Then  $B \cup S$  is a countable union of countable sets, and therefore countable, so there exists a bijection  $g : (B \cup S) \rightarrow S$ . Now, define the mapping

$$\begin{aligned} f : A &\rightarrow A \setminus B \\ a &\mapsto \begin{cases} a & \text{if } a \in A \setminus (B \cup S) \\ g(a) & \text{if } a \in (B \cup S) \end{cases} \end{aligned}$$

This function is bijective as defined, so  $A \sim A \setminus B$ , as desired. Equivalent sets have the same cardinality, so it follows that  $A \setminus B$  is also uncountable.  $\square$

18. Show that the set of all real numbers in the interval  $(0, 1)$  whose base 10 decimal expansion contains no 3s or 7s is uncountable.

*Proof.* Suppose the set is countable. Then elements can be indexed with  $\mathbb{N}$ , so suppose the list

$$x_1 = 0.a_{11}a_{12}a_{13} \cdots$$

$$x_2 = 0.a_{21}a_{22}a_{23} \cdots$$

$$x_3 = 0.a_{31}a_{32}a_{33} \cdots$$

$$\vdots$$

is exhaustive, where  $a_{ij} \in \{0, 1, 2, 4, 5, 6, 8, 9\}$ . Now, construct a new number

$$y = 0.b_1b_2b_3 \cdots, \quad b_i = \begin{cases} 4, & a_{ii} = 5 \\ 5, & a_{ii} \neq 5 \end{cases}$$

This element is not equal to any of the  $x_i$ , but it still fits the criteria of the set. Contradiction, so such a set must be uncountable.  $\square$