



# Natural Language Parsing: Formal Grammars

*presented by*

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CSC421 Natural Language Processing

# Major Topics to cover

## Methodology

- Word-level processing:
  - ♦ Regular expression, Spelling correction, segmentation
  - ♦ Language models, POS tagging
- Syntax: knowledge of structural relation between words
  - ♦ Parsing
- Semantics: Meaning
- Discourse: context

## Applications:

- ♦ Information extraction, Named entity recognition
- ♦ Sentiment Analysis
- ♦ Machine translation



# Syntax

- The way words are arranged together
  - Implicit knowledge of your native language that you had mastered by the time you were 3 years old without explicit instruction
  - Not the kind of stuff you were later taught in “grammar” school
- Why should we care?
  - Syntax is the key concept for many NLP tasks
    - E.g. relation identification
  - An approximation would be still useful



# Key notions

Constituency

Grammatical relations

Subcategorization

# Constituent (1/2)

- Groups of words that behave as a single unit or phrase
  - Can all appear in similar syntactic environments
- E.g. Noun phrases (NPs) can occur before verbs
  - E.g. three parties from Brooklyn *arrive* ...  
a high-class spot such as Mindy's *attracts* ...  
the Broadway coppers *love* ...
  - Cf. \*from *arrive*  
\*the *is*  
\*spot *sat* ...



# Read Sections 12.2-3

- If you are NOT familiar with any of the following terms
  - Noun phrase (NP), verb phrase (VP), prepositional phrase (PP), adjective phrase
  - Declarative, imperative, clause
  - Determiner, nominal, quantifier
  - Auxiliaries, passive, perfect, progressive
  - Coordination, conjunction



# Constituent (2/2)

- Evidence for constituency
  - Stick together through pre-/post-posed constructions
    - On September seventeenth, I'd like to fly from Atlanta to Denver.
    - I'd like to fly on September seventeenth from Atlanta to Denver.
    - I'd like to fly from Atlanta to Denver on September seventeenth.
    - \*On, I'd like to fly from Atlanta to Denver September seventeenth.
- Non-standard constructions (or constituents)
  - E.g. I gave John a watch and Mary a doll.
  - \*I gave John a watch Mary and a doll.

# Grammatical relations

- Syntactic relations between constituents
  - Subject-verb
    - E.g. I love him.
  - Verb-object
    - E.g. I love him.
  - Verb-PrepositionalPhrase
    - E.g. I study at the library.
  - Noun-PrepositionalPhrase
    - E.g. The history of Singapore
  - Preposition-object





# Verb phrases (VPs): Sample patterns

- Verb
  - disappear
  - \*prefer
- Verb Object
  - prefer a morning flight
  - \*disappear a morning flight
- Verb IObject DObject
  - give John a watch
  - \*prefer John a watch
- Verb Object PrepositionalPhrase(PP)
  - leave Boston in the morning
- Verb PP
  - leave on Thursday



# Subcategorization

- ‘Subcategories’ of different types of verbs
  - Verb
  - Verb NP
  - Verb NP NP
  - Verb NP PP
  - Verb PP
- Subcategorization frame
  - A possible set of complements for a verb
  - E.g. ‘give’: {}, {NP}, {NP, NP}, {NP, PP<sub>to</sub>}, {PP}
  - Verb: predicate, complements: arguments

# Exercise: Subcategorization

- Find all subcategorization frames for the verb 'care' based on the dictionary definitions

verb [ no obj. ]

- [ often with negative ] feel concern or interest; attach importance to something: *they don't **care about** human life* | [ with clause ] : *I don't care what she says.*
  - feel affection or liking: *you **care** very deeply **for** him.*
  - (**care for something/care to do something**) like or be willing to do or have something: *would you care for some tea?* | *I don't care to listen to him.*
- (**care for**) look after and provide for the needs of: *he has numerous animals to care for.*



# Syntactic structure

- Why do we learn about syntax in NLP course?
  - To identify and understand the syntactic structures of sentences
- Structural representation of grammatical relations expressed in a string
  - Or, called *parse tree*
- Representations
  - Phrase structure
  - Dependency structure
  - Predicate-argument structure

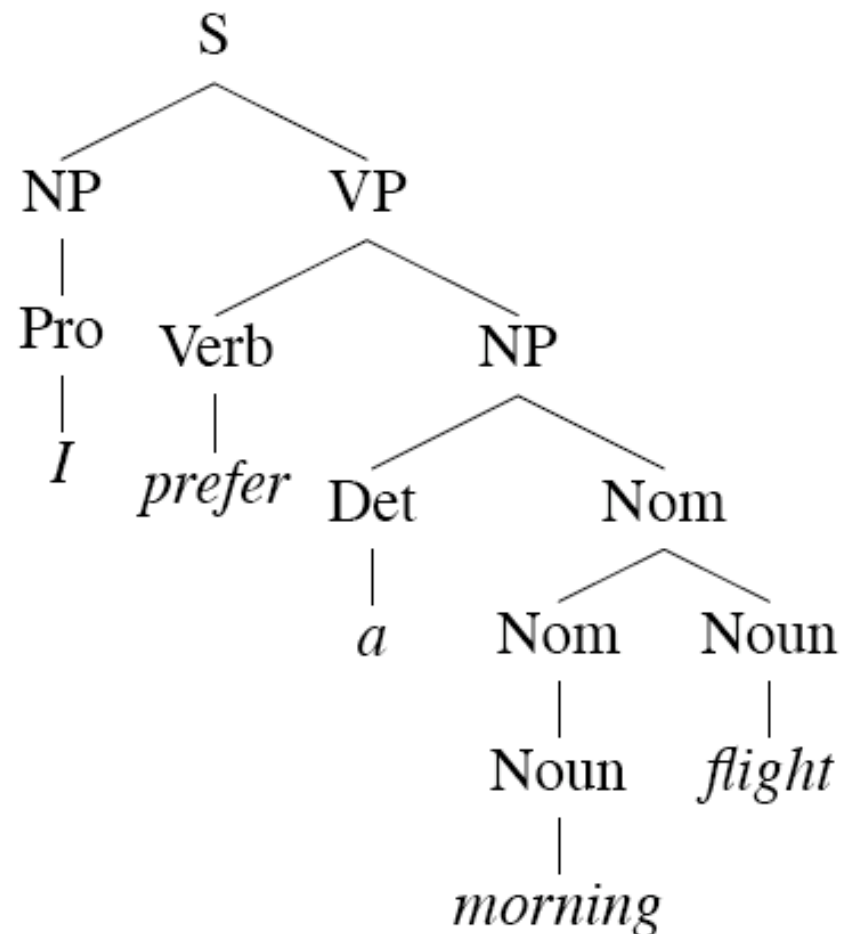


# Phrase structure

- Organizes words into nested constituents

– E.g. “I prefer a morning flight”

(S  
  (NP (Pro I))  
  (VP (Verb prefer)  
    (NP (Det a)  
      (Nom  
        (Nom (Noun morning))  
        (Noun flight))))))

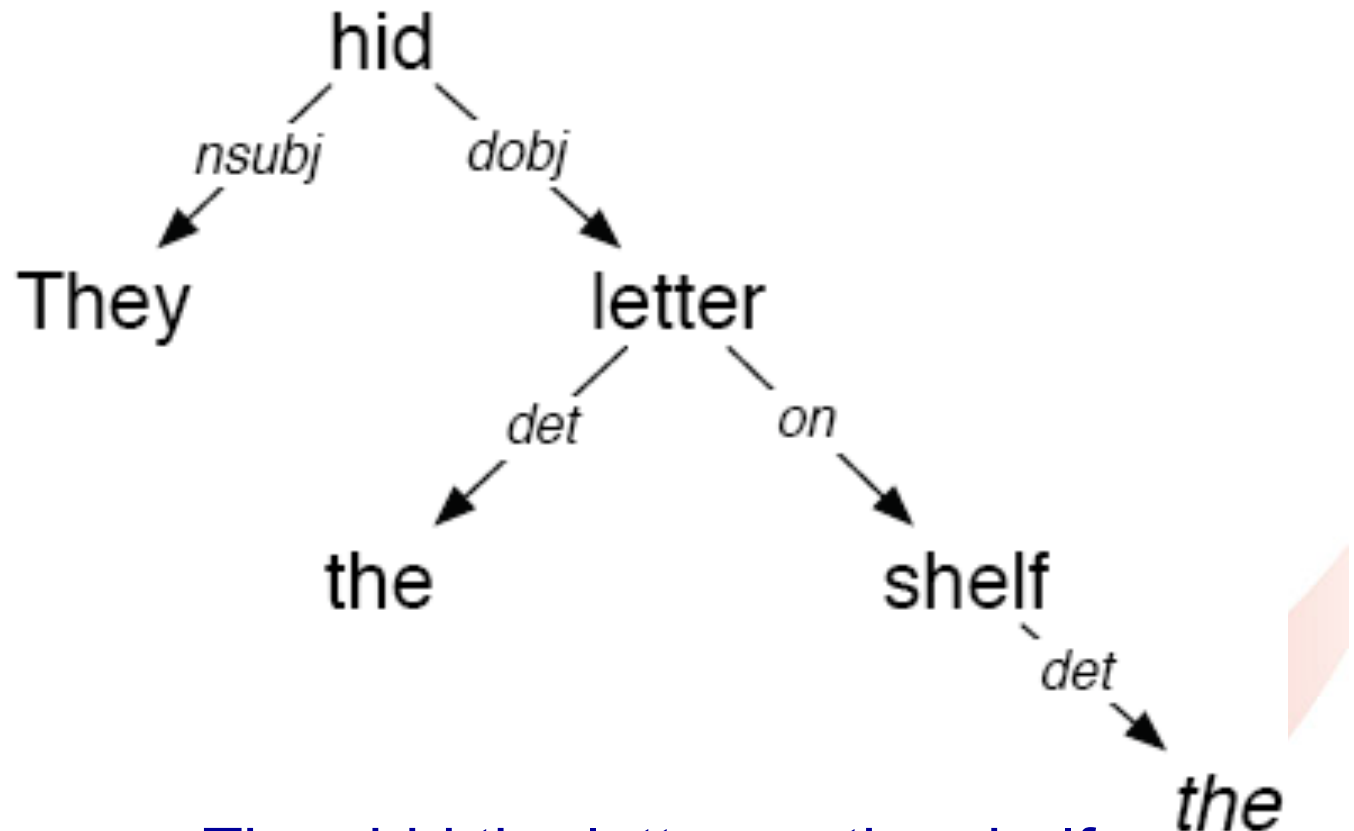


# Exercise: Phrase structure

- Give the phrase structures of the two sentences:
  - (S1) John gives a present to Mary.
  - (S2) John gives Mary a present.
- POS tags:
  - E.g. ProperNoun: John, Mary
  - E.g. Verb, Det, Noun, Prep
- Example syntactic tags
  - E.g. S, NP, VP, PP<sub>xx</sub>

# Dependency structure

- Represents grammatical (dependency) relations between pairs of words (i.e. head, dependent)
- Head: Grammatically most important word in a phrase
  - Verb of VP
  - Noun of NP
  - Prep of PP
  - Adj of AdjP
  - ...



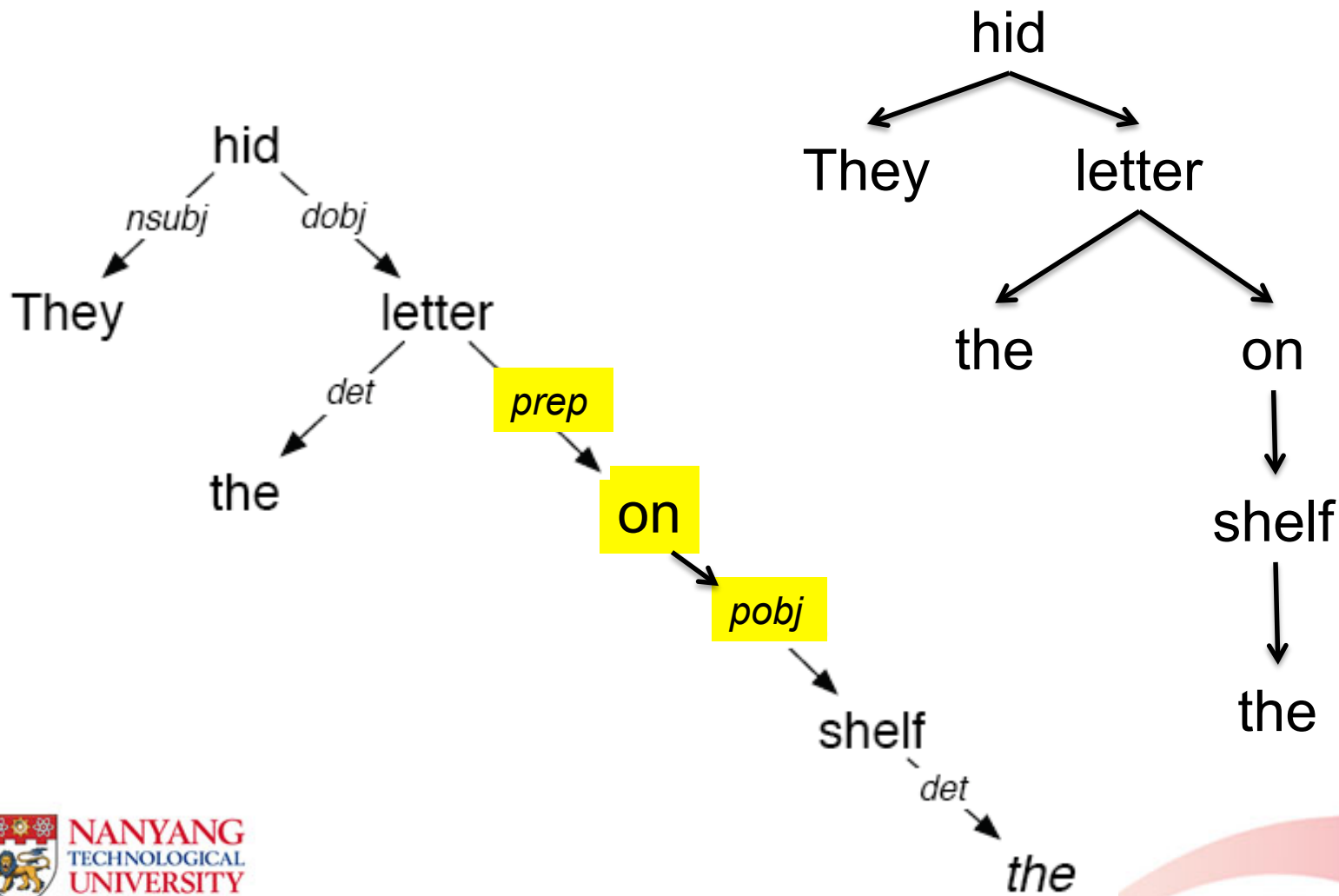
e.g. They hid the letter on the shelf

# Dependency Relations

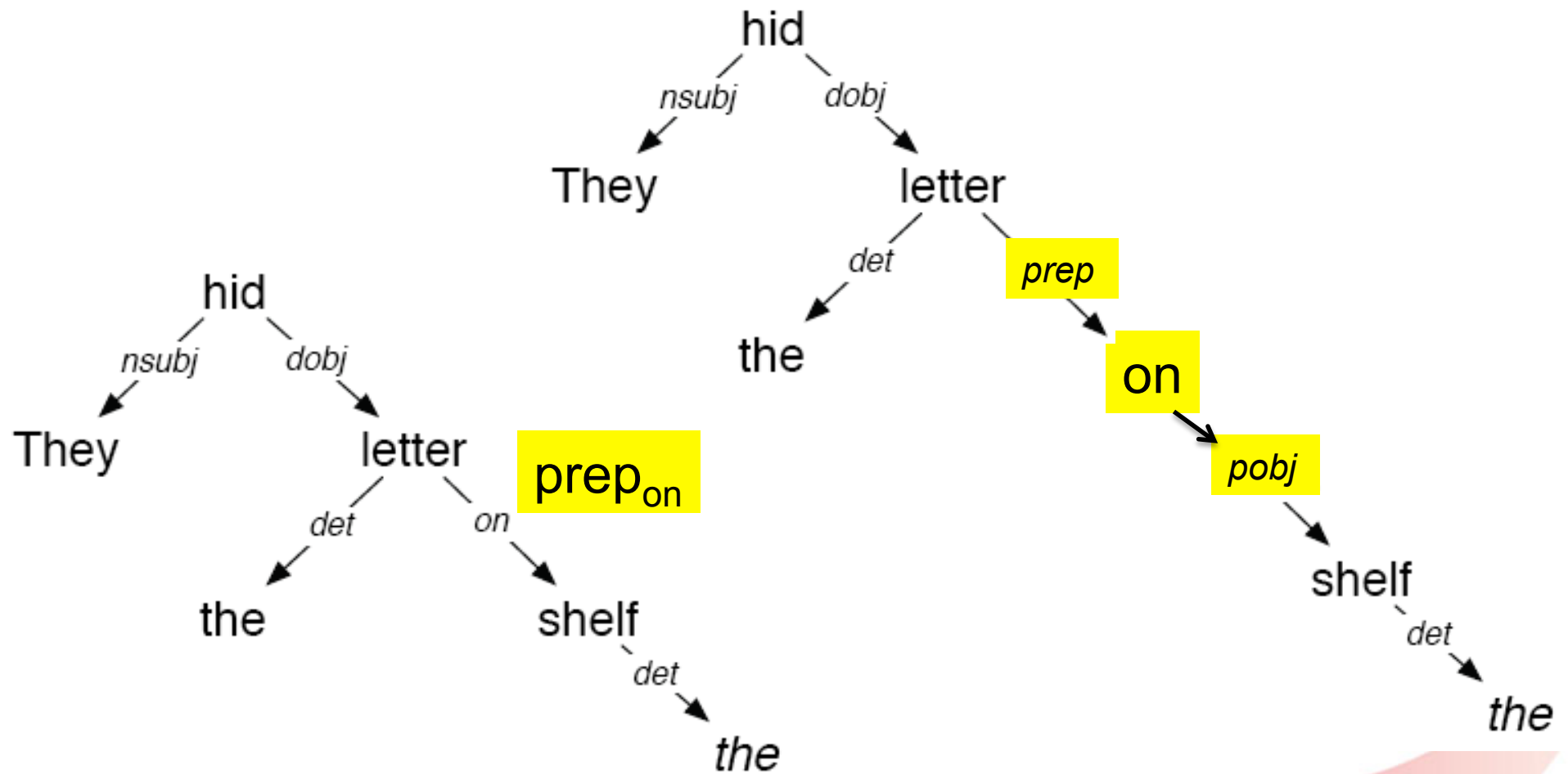
Argument Dependencies	Description
nsubj	nominal subject
csbj	clausal subject
dobj	direct object
iobj	indirect object
pobj	object of preposition
Modifier Dependencies	Description
tmod	temporal modifier
appos	appositional modifier
det	determiner
prep	prepositional modifier



# Typed dependency vs. untyped dependency



# Typed dependency vs. Collapsed typed dependency



# Exercise: Dependency structure

- Give the dependency structures of the two sentences:
  - (S1) John gives a present to Mary.
  - (S2) John gives Mary a present.
- Example dependency relations
  - E.g. nsubj, iobj, dobj, det, prep<sub>xx</sub>



# Predicate-argument structure

- Review: Verb-predicate, complements-arguments
- Generalize to all types of words that have complements
  - E.g. Preposition-predicate, object-argument
- E.g. They hid the<sub>1</sub> letter on the<sub>2</sub> shelf.

Relation type	Predicate	Argument 1	Argument 2
verb_arg12	hid	They	letter
det_arg1	the <sub>1</sub>	letter	
prep_arg12	on	letter	shelf
det_arg1	the <sub>2</sub>	shelf	

# Dependency structure vs. Predicate-argument structure

- e.g. Mary seems to like John.

Dependency  
structure

```
nsubj(seems-2, Mary-1)
root(ROOT-0, seems-2)
aux(like-4, to-3)
xcomp(seems-2, like-4)
dobj(like-4, John-5)
```

Predicate-  
argument  
structure

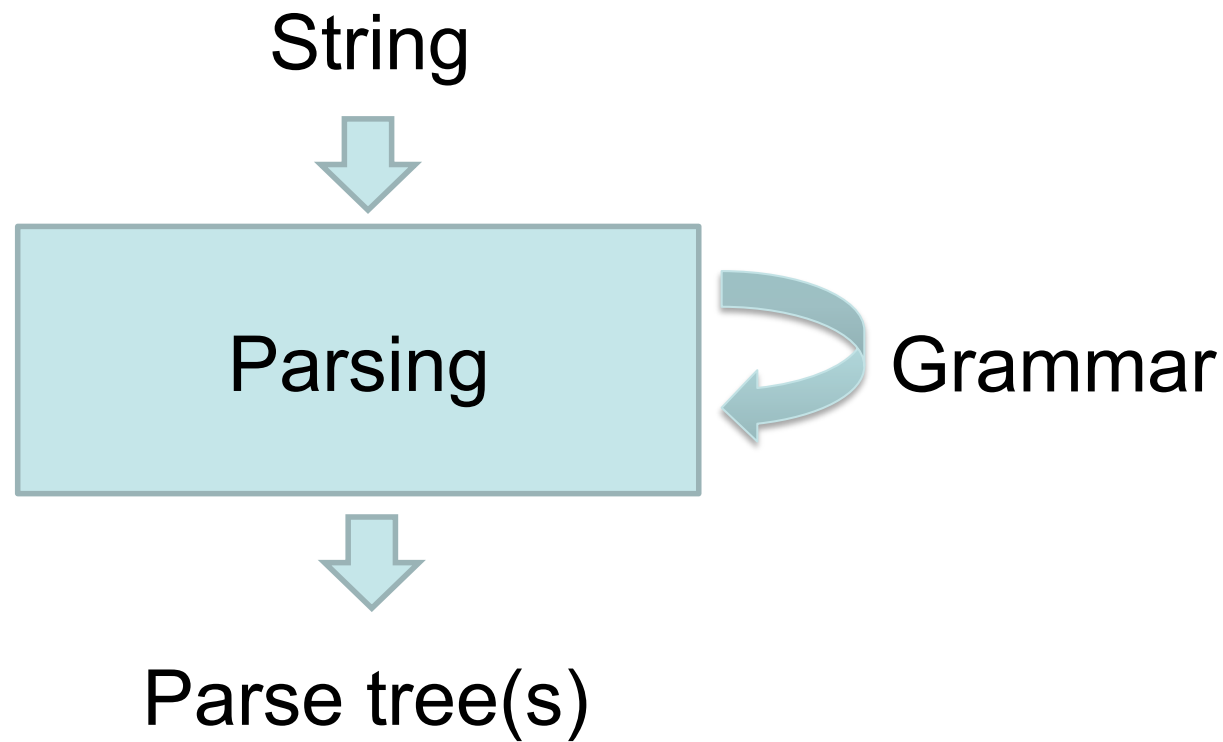
```
verb_arg12(seems-2, Mary-1, to-3)
comp_arg1(to-3, like-4)
verb_arg12(like-4, Mary-1, John-5)
```

# Exercise: Predicate-argument structure

- Give the predicate-argument structure of the following sentences
  - John gives Mary a present
  - Mary is easy to please

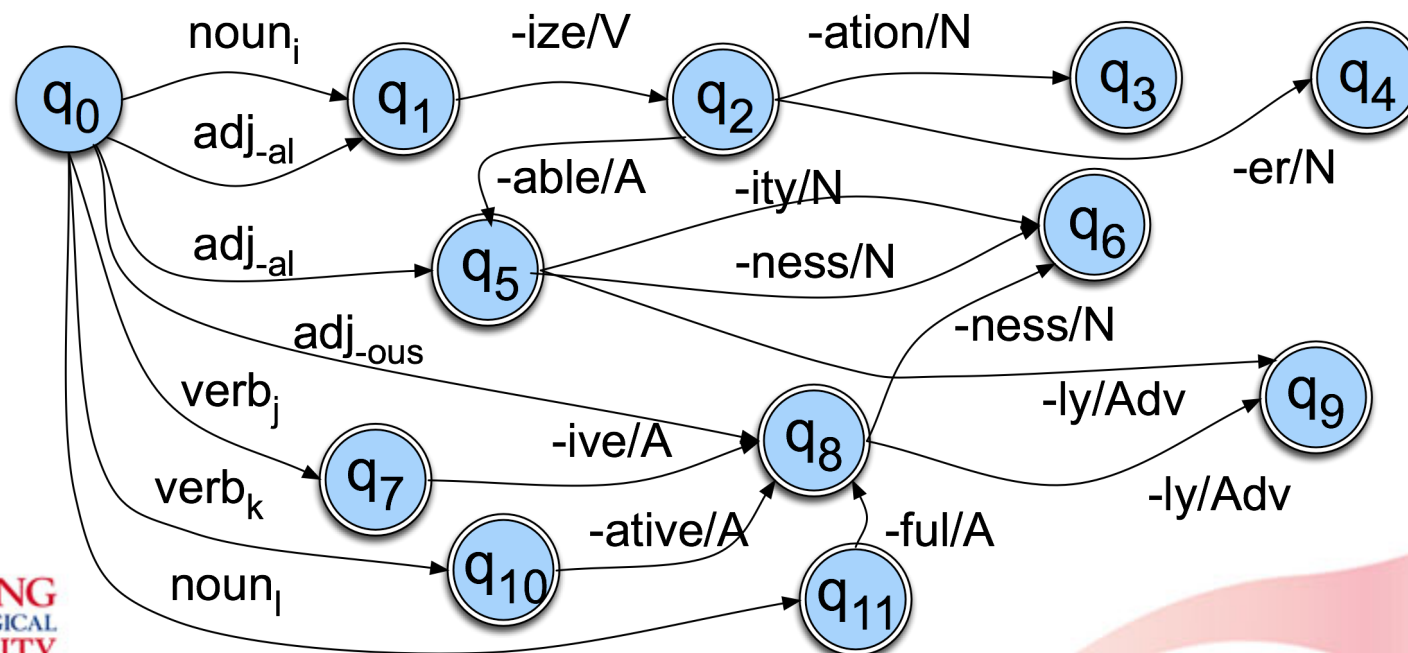


# Syntactic parsing



# What is syntactic parsing?

- The process of taking a string and a grammar and returning parse tree(s) for that string
- It is completely analogous to running a finite-state transducer with a string



Review

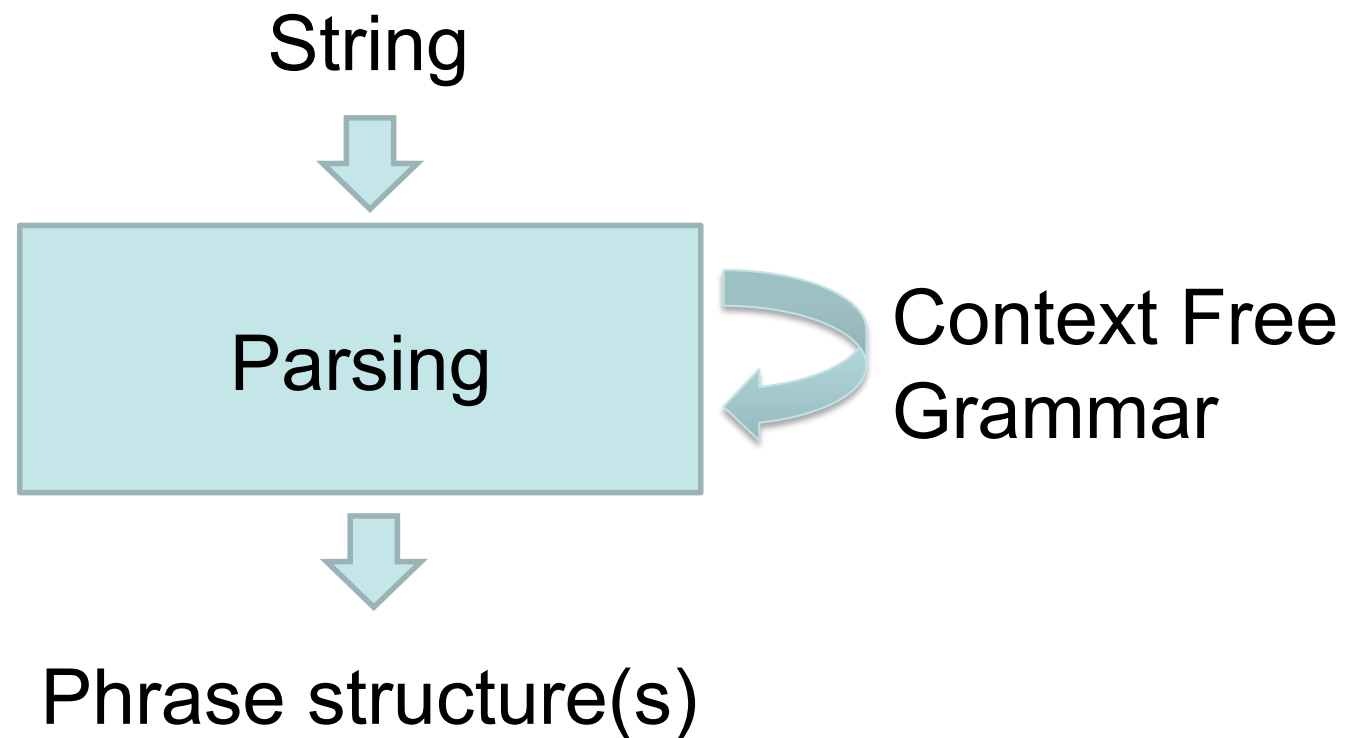


# Chomsky hierarchy

- But the grammar for parsing should be more powerful than finite-state transducer
- Chomsky hierarchy (Read Section 16.1 for details)
  - Regular Expressions (RE)
  - Context Free Grammars (CFG)
  - Context Sensitive Grammars (CSG)
  - Unrestricted Grammars (UG)



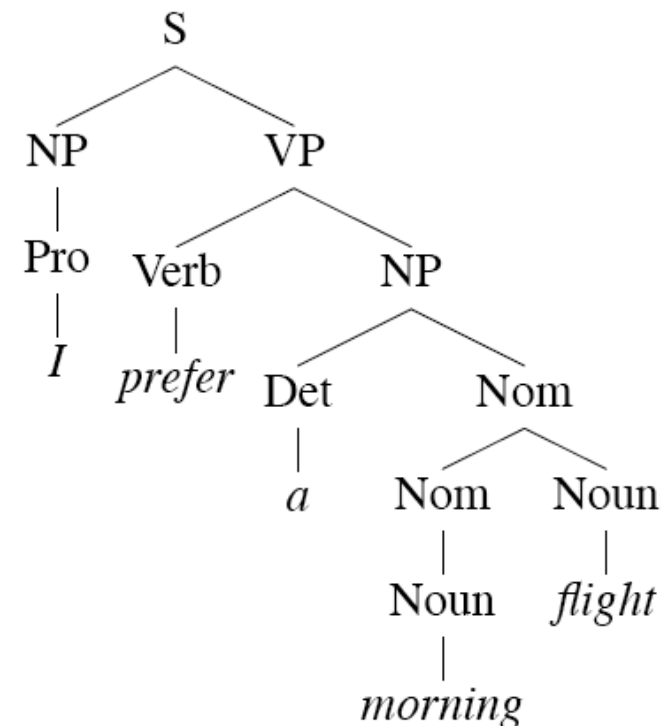
# Syntactic parsing in the course



# Context-free grammar (1/2)

- $G = (T, N, S, R)$ 
  - T: a set of terminals (e.g. 'flight')
  - N: a set of nonterminals (e.g. Noun)
  - S: the start symbol, a nonterminal
  - R: rules of the form  $X \rightarrow \gamma$ 
    - X: a nonterminal
    - $\gamma$ : a sequence of terminals and nonterminals

$NP \rightarrow Det\ Nominal$
$NP \rightarrow ProperNoun$
$Nominal \rightarrow Noun \mid Nominal\ Noun$

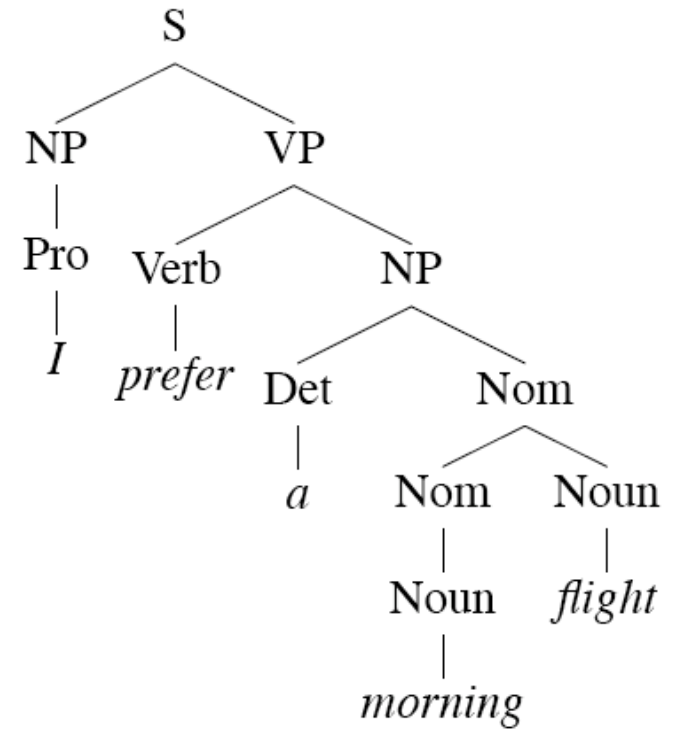


# Context-free grammar (2/2)

- $G = (T, N, S, R)$ 
  - T: words or tokens
  - N: POS tags, syntactic tags
  - S: the start symbol
  - R: rules of the form  $X \rightarrow \gamma$ 
    - X: a nonterminal
    - $\gamma$ : the sequence of X's children

$NP \rightarrow Det\ Nominal$
$NP \rightarrow ProperNoun$
$Nominal \rightarrow Noun \mid Nominal\ Noun$

- A grammar G generates a language L
  - A language is a set of sentences



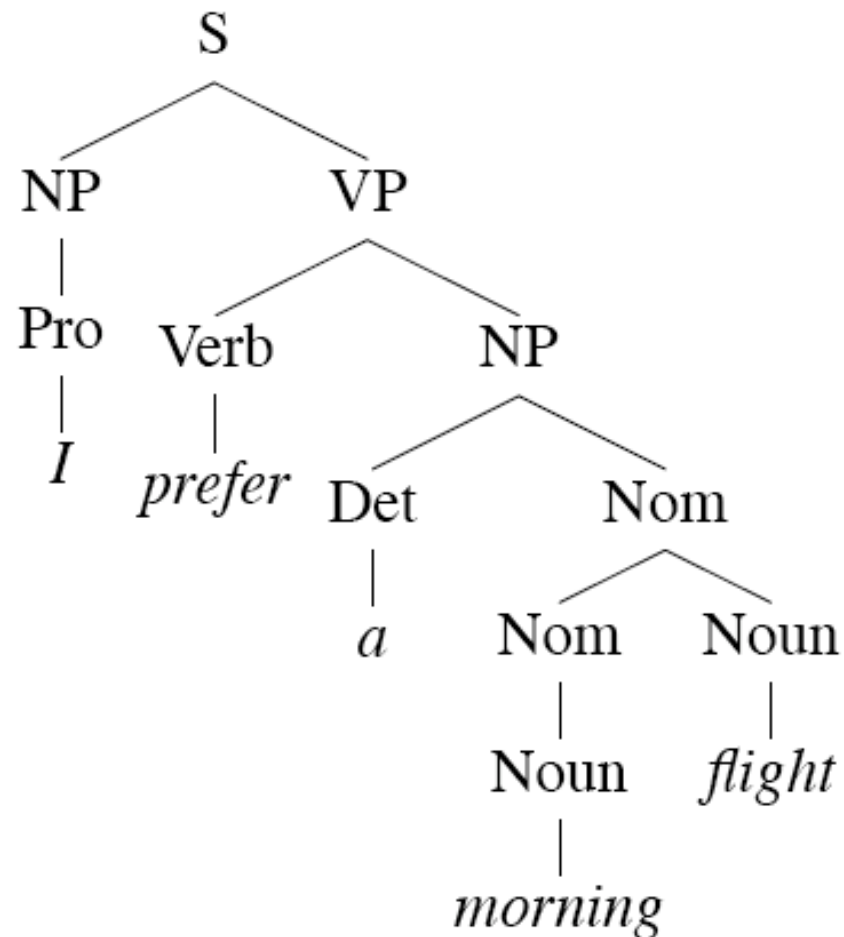
# L0 grammar

Grammar Rules	Examples
$S \rightarrow NP VP$	I + want a morning flight
$NP \rightarrow$ <ul style="list-style-type: none"><li><math>Pronoun</math></li><li><math>Proper-Noun</math></li><li><math>Det Nominal</math></li></ul>	I Los Angeles a + flight
$Nominal \rightarrow$ <ul style="list-style-type: none"><li><math>Nominal Noun</math></li><li><math>Noun</math></li></ul>	morning + flight flights
$VP \rightarrow$ <ul style="list-style-type: none"><li><math>Verb</math></li><li><math>Verb NP</math></li><li><math>Verb NP PP</math></li><li><math>Verb PP</math></li></ul>	do want + a flight leave + Boston + in the morning leaving + on Thursday
$PP \rightarrow Preposition NP$	from + Los Angeles



# Exercise: Context free grammar

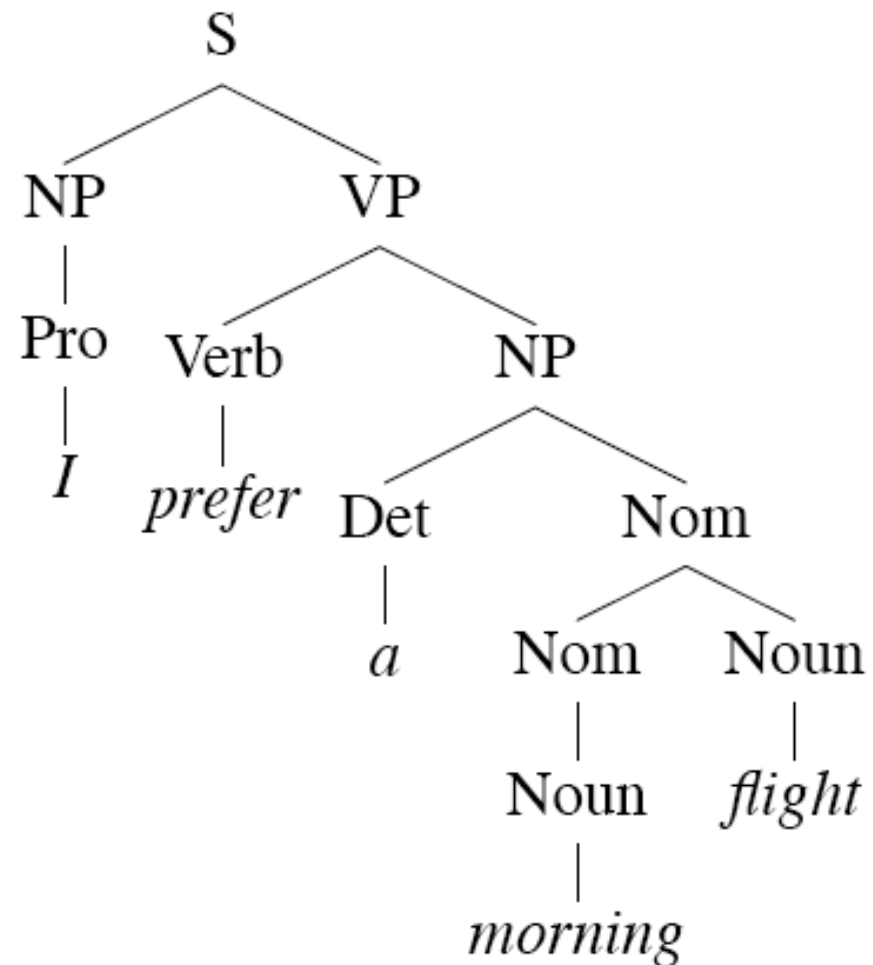
- Give a context free grammar to generate the parse tree



# Derivation

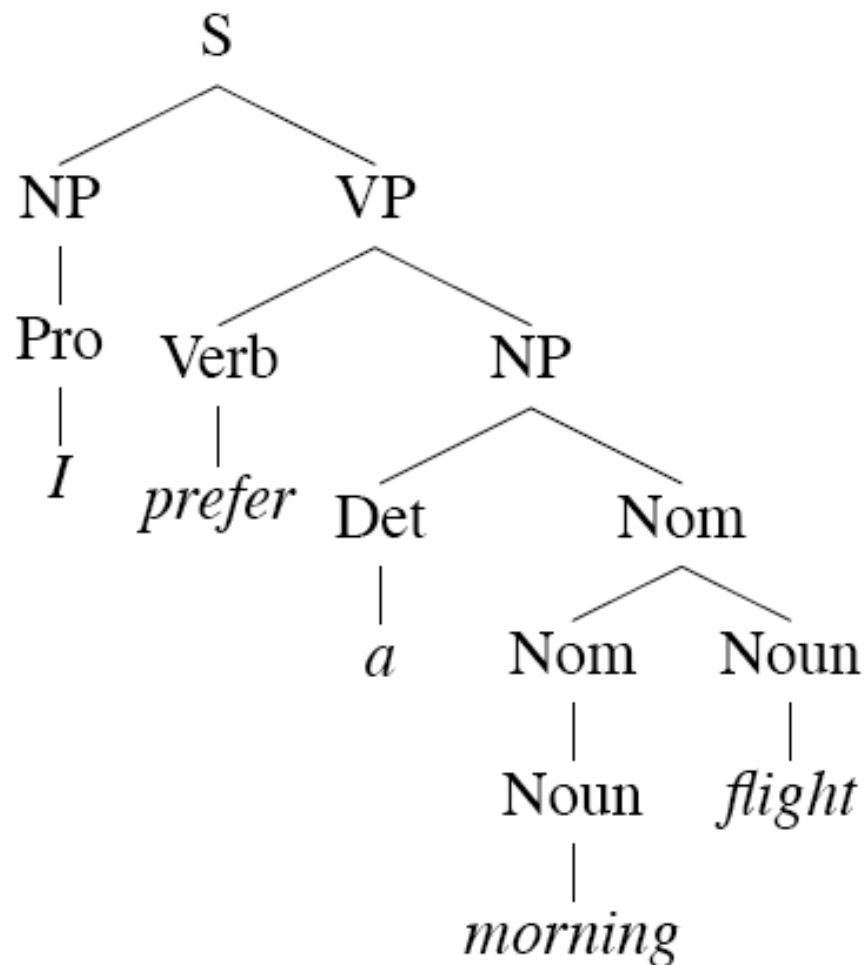
- A sequence of rules applied to a string
  - E.g. I prefer a morning flight.

$S$	$\rightarrow$	$NP VP$
$NP$	$\rightarrow$	$Pronoun$
		$Proper-Noun$
		$Det Nominal$
$Nominal$	$\rightarrow$	$Nominal Noun$
		$Noun$
$VP$	$\rightarrow$	$Verb$
		$Verb NP$
		$Verb NP PP$
		$Verb PP$
$PP$	$\rightarrow$	$Preposition NP$



# Derivation (2)

- A sequence of rules applied to a string that *accounts* for that string



$S \rightarrow NP VP$

$NP \rightarrow Pro$

$Pro \rightarrow I$

$VP \rightarrow Verb NP$

$Verb \rightarrow prefer$

$NP \rightarrow Det Nom$

$Det \rightarrow a$

$Nom \rightarrow Nom Noun$

$Nom \rightarrow Noun$

$Noun \rightarrow morning$

$Noun \rightarrow flight$





# Summary: CFG & phrase structure

- $G = (T, N, S, R)$ 
  - Terminals: words
  - Non-terminals: constituent names (e.g. NP, VP)
  - Start symbol: S (sentence) or NP (noun phrase)
  - Rules: e.g. subcategorization frames for verbs
- Derivation will generate the phrase structure of an input



# Example grammar formalisms

- Context free grammar (CFG)
- Dependency grammar (DG)
- Combinatory categorial grammar (CCG)
- HPSG: head-driven phrase structure grammar
- LFG: lexical functional grammar
- TAG: tree-adjoining grammar

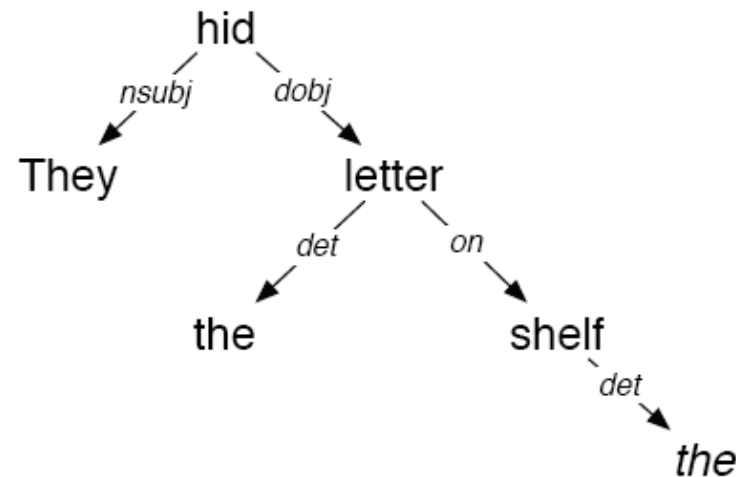
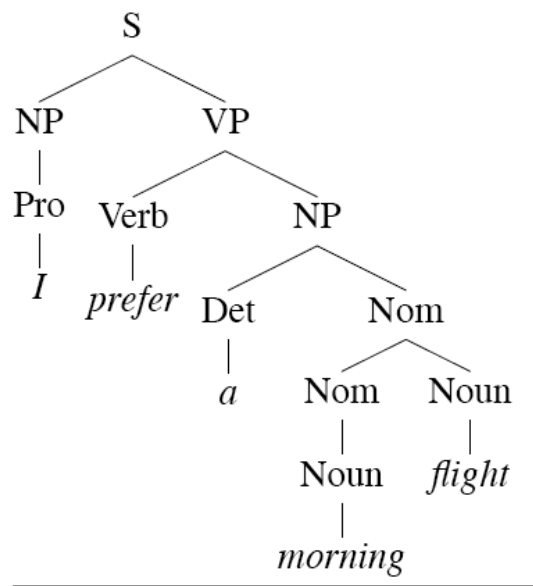


# Dependency Grammars

- In CFG-style phrase-structure grammars the main focus is on *constituents*.
- But it turns out you can get a lot done with just binary relations among the words in an utterance
  - Constituents are not required for many NLP tasks
- In a dependency grammar framework, a parse is a tree where
  - The links between the words represent dependency relations between pairs of words.
  - Relations may be typed (labeled), or not.

# Phrase vs. dependency structure

- Constituents are specified
- Grammatical relations are implied
  - Can be deduced from rules
- Grammatical relations are specified
- A subtree corresponds to a constituent
  - Syntactic tag is implied by the root of subtree



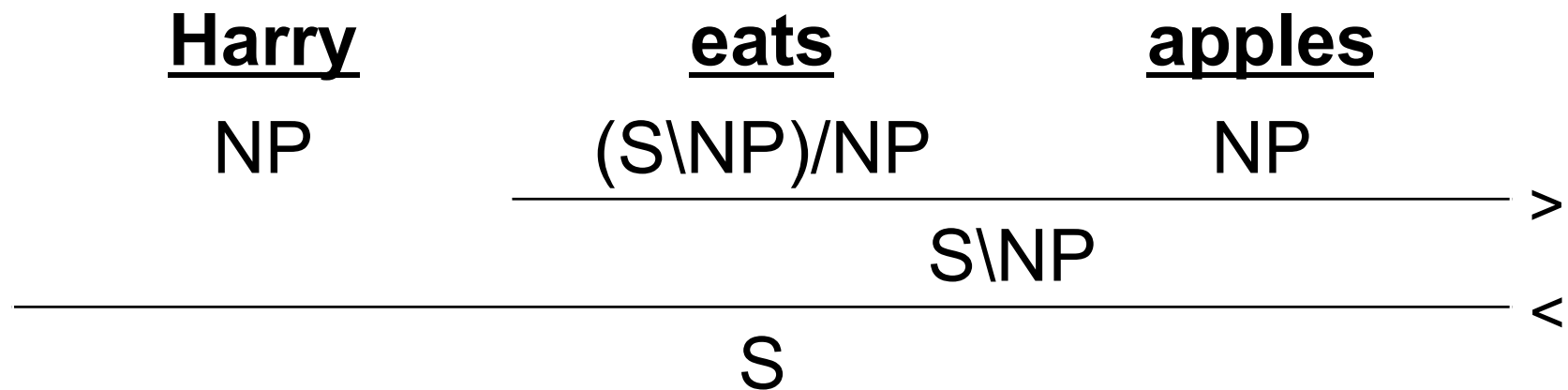
# Combinatory categorial grammar

- An extension of categorial grammar
- A lexicalized grammar model, consisting of
  - A categorial lexicon and
  - (a few) combinatory rules (or operators)
- Cf. CFG
  - POS tags for words (e.g. Noun, Verb)
  - (a lot of) rules (e.g.  $S \rightarrow NP VP$ )
- Cf. dependency grammar
  - POS tags for words (N POS tags)
  - Dependency relations for all pairs of POS tags ( $N^2$ )
- Annotated with probabilities

# Categorial lexicon

- Operators
  - $X/Y$ : a function that combines with a  $Y$  on its **right** to produce an  $X$
  - $X \backslash Y$ : a function that combines with a  $Y$  on its **left** to produce an  $X$
- Example categories
  - Determiner:  $NP/N$  (e.g. the boy)
  - Transitive verb:  $(S \backslash NP)/NP$  (e.g. Harry eats apples)

# Derivation of CCG



Application combinators

$$\frac{\alpha : X / Y \quad \beta : Y}{\alpha \beta : X} >$$

$$\frac{\beta : Y \quad \alpha : X \backslash Y}{\beta \alpha : X} <$$

# Exercise: CCG

- Give the derivation of the sentence “I prefer a morning flight”



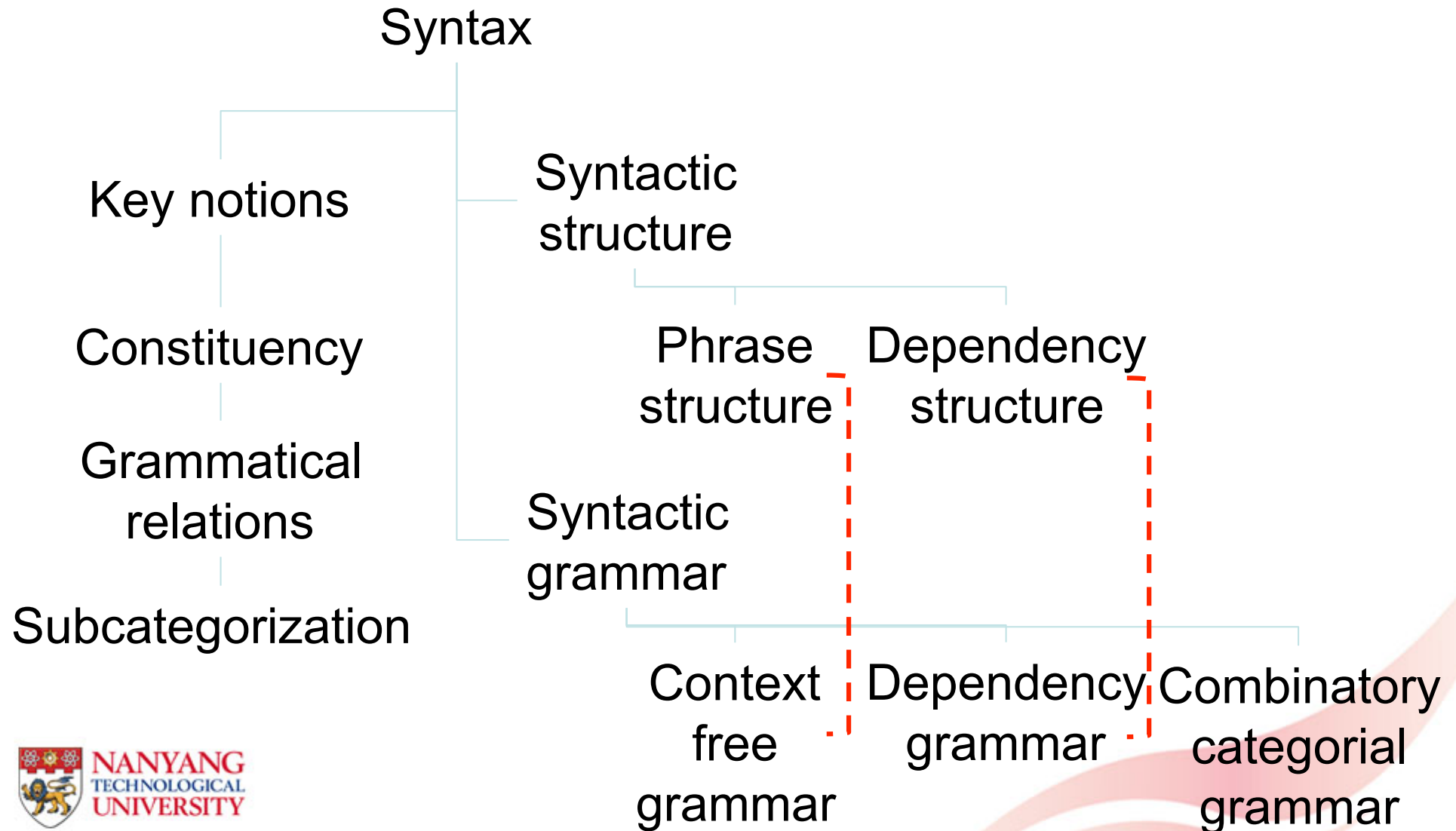


# We focus on CFG!

- Classic approach
  - Still widely used for practical parsing systems
- Theoretically well-studied
  - Equivalent to Backus-Naur Form (BNF)
  - SQL is formally defined in BNF



# Summary



# Next class

## Next class topics

- Syntactic parsing
- Strategies
  - Top-down parsing
  - Bottom-up parsing
- Algorithms
  - CKY parsing
  - Earley parsing
- Parse depth
  - Full parsing
  - Partial parsing



## Reading assignments

- Chapter 13

