

# CSE221 Data Structures

## Lecture 11: Vectors and Lists

Antoine Vigneron  
`antoine@unist.ac.kr`

Ulsan National Institute of Science and Technology

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1 Introduction

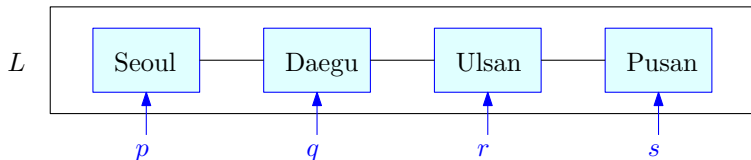
2 Vectors

3 Lists

# Introduction

- We are now using zoom for lectures. Please use a zoom name that includes your student ID number so that I can check attendance automatically.
- I updated attendance records up to the midterm week.
- Assignment 2 is due on Thursday. It will be graded by Hyeyun Yang (gm1225@unist.ac.kr).
- Please follow our academic integrity rules. I use a plagiarism checker.
- I will grade the midterm this week.
- Reference for this lecture: Textbook Section 6-6.2.3

# Vectors



- A *list* or *sequence* is a collection of elements arranged in linear order.
- The *index*  $i$  of an element  $e$  is the number of elements that are before  $e$  in  $S$ .
- Its *previous* element has index  $i - 1$ , and its *next* element has index  $i + 1$ .
- A sequence that supports access to its elements by their indices is called a *vector*.

# The Vector ADT

## Definition

A vector ADT supports the following operations:

- **at**( $i$ ): Return the element of  $V$  with index  $i$ .
- **set**( $i, e$ ): Replace the element at index  $i$  with  $e$ .
- **insert**( $i, e$ ): Insert a new element  $e$  into  $V$  to have index  $i$ .
- **erase**( $i$ ): Remove from  $V$  the element at index  $i$ .

In all of these operations, an error occurs if  $i$  is out of range.

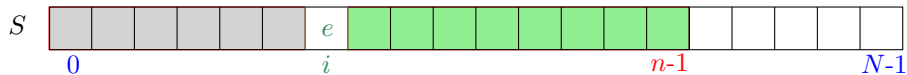
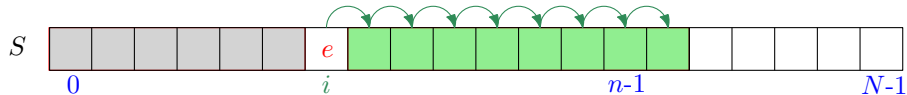
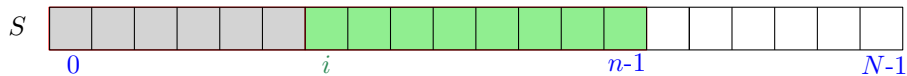
- An array is a natural implementation of a vector, but there are other possibilities.

# The Vector ADT

operation	output	V
insert(0,7)	-	(7)
insert(0,4)	-	(4,7)
at(1)	7	(4,7)
insert(2,2)	-	(4,7,2)
at(3)	"error"	(4,7,2)
erase(1)	-	(4,2)
insert(1,5)	-	(4,5,2)
insert(1,3)	-	(4,3,5,2)
insert(4,9)	-	(4,3,5,2,9)
at(2)	5	(4,3,5,2,9)
set(3,8)	-	(4,3,5,8,9)

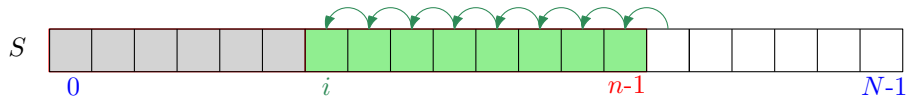
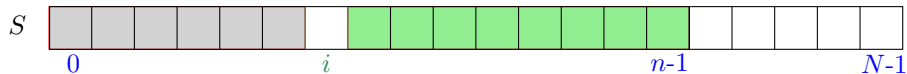
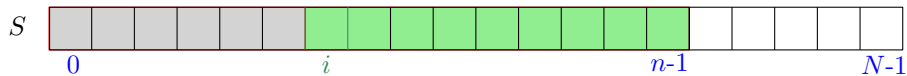
- Operations performed on an initially empty vector  $V$ .

# Simple Array-Based Implementation



- performing the operation:  $\text{insert}(i, e)$

# Simple Array-Based Implementation



- performing the operation: `erase( $i$ )`



# Simple Array-Based Implementation

## Pseudocode

```
1: procedure INSERT( $i, e$ )  
2:   for  $j \leftarrow n - 1, i$  do  
3:      $A[j + 1] \leftarrow A[j]$   
4:    $A[i] \leftarrow e$   
5:    $n \leftarrow n + 1$ 
```

```
1: procedure ERASE( $i$ )  
2:   for  $j \leftarrow i + 1, n - 1$  do  
3:      $A[j - 1] \leftarrow A[j]$   
4:    $n \leftarrow n - 1$ 
```

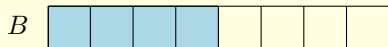
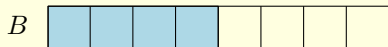
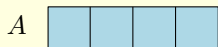
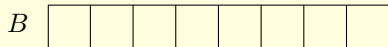
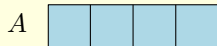
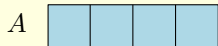
# Performance

operation	time
size()	$O(1)$
empty()	$O(1)$
at(i)	$O(1)$
set(i,e)	$O(1)$
insert(i,e)	$O(n)$
erase(i)	$O(n)$

- The space usage is  $O(N)$ .
- Inserting or deleting at the *end* of the array takes  $O(1)$  time.

# Extendable Array Implementation

- Suppose that  $n = N$  and we want to insert a new element.
- Problem: the array is full. Solution:



# Extendable Array Implementation

- When we call `insert()` and  $n = N$ , we have an *overflow*.
- As shown in previous slide, we do the following:

- 1 Allocate a new array  $B$  of capacity  $2N$ .
- 2 Copy  $A[i]$  to  $B[i]$ , for  $i = 0, \dots, N - 1$ .
- 3 Deallocate  $A$  and reassign  $A$  to point to the new array  $B$ .
- 4 Perform the insertion.

# Extendable Array Implementation

```
typedef int Elem;                                // base element type
class ArrayVector {
public:
    ArrayVector();                                // constructor
    int size() const;                             // number of elements
    bool empty() const;                           // is vector empty?
    Elem& operator[ ](int i);                     // element at index
    Elem& at(int i);                              // element at index (safe)
    void erase(int i);                             // remove element at index
    void insert(int i, const Elem& e);             // insert element
    void reserve(int N);                           // reserve at least N spots
    // ... (housekeeping functions omitted)
private:
    int capacity;                                 // current array size
    int n;                                        // number of elements in vector
    Elem* A;                                     // array storing the elements
};
```

# Extendable Array Implementation

```
ArrayVector::ArrayVector()           // constructor  
    : capacity(0), n(0), A(NULL) { }
```

```
int ArrayVector::size() const        // number of elements  
    { return n; }
```

```
bool ArrayVector::empty() const      // is vector empty?  
    { return size() == 0; }
```

# Extendable Array Implementation

```
Elem& ArrayVector::operator[ ](int i) // element at index  
{ return A[i]; }
```

```
Elem& ArrayVector::at(int i) { // element at index (safe)  
    if (i < 0 || i >= n)  
        throw runtime_error("illegal index in function at()");  
    return A[i];  
}
```

```
// remove element at index  
void ArrayVector::erase(int i) {  
    for (int j = i+1; j < n; j++)  
        A[j-1] = A[j]; // shift elements down  
    n--; // one fewer element  
}
```

# Extendable Array Implementation

```
void ArrayVector::reserve(int N) {  
    if (capacity >= N) return;           // already big enough  
    Elem* B = new Elem[N];               // allocate bigger array  
    for (int j = 0; j < n; j++)          // copy to new array  
        B[j] = A[j];  
    if (A != NULL) delete [ ] A;         // discard old array  
    A = B;                               // make B the new array  
    capacity = N;                        // set new capacity  
}
```



# Extendable Array Implementation

```
                                // insert element at index
void ArrayVector::insert(int i, const Elem& e) {
    if (n >= capacity)           // overflow?
        reserve(max(1, 2*capacity)); // double array size
    for (int j = n-1; j >= i; j--) // shift elements up
        A[j+1] = A[j];
    A[i] = e;                     // put in empty slot
    n++;                          // one more element
}
```

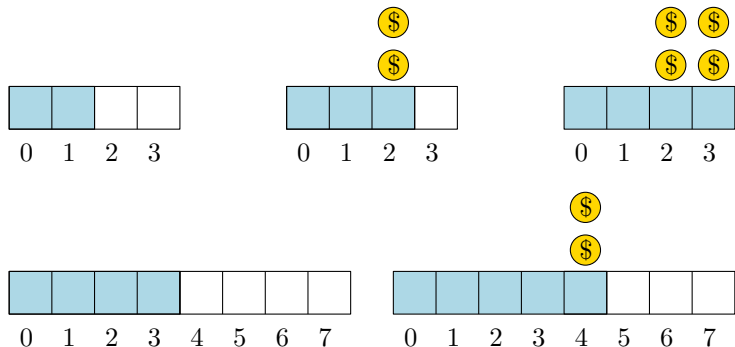
# Analysis

- Inserting or erasing an element at an arbitrary index  $i$  takes  $O(n)$  time, which is not very good.
- On the other hand, erasing the last element, i.e. `erase(n-1)` takes  $O(1)$  time.
- A *push* operation is an insertion at the end of the vector, i.e. `insert(n - 1, e)` for some element  $e$ .
- A push operation takes  $O(1)$  time if we don't need to resize, and  $O(n)$  time if we do.
- Intuitively, there are not many resizing operations.
- How can we analyze it? We use *amortization*.
- We show that a sequence of push operations takes time proportional to the number of these operations.

# Analysis

## Proposition

Let  $V$  be a vector implemented by means of an extendable array  $A$ , as described above. The total time to perform a series of  $n$  push operations in  $V$ , starting from  $V$  being empty and  $A$  having size  $N = 1$ , is  $O(n)$ .



# Analysis

- We use a *charging* argument.
- We charge 3\$ for each push operation.
- \$1 pays for a push.
- \$ $k$  pay for growing the array from size  $k$  to  $2k$ .
- Suppose an overflow occurs at  $n = 2^i$ .
- Then we saved  $\$2^i$  between push operations number  $2^{i-1}$  and  $2^i - 1$ .
- So we have enough coins to pay for growing the array to size  $2^{i+1}$ .
- In the end, the  $3n$  coins we collected are sufficient to pay for all the operations.
- So the overall running time is  $O(n)$ .

# STL Vectors

- A *container* is a data structure that stores a collection of objects.
- The STL vector is one of the most basic containers.

```
#include <vector>           // provides definition of vector
using std::vector;          // make vector accessible

vector<int> myVector(100);  // a vector with 100 integers
```

- The *base type* is the type of the elements stored in the vector.
- So in the example above, they are integers.
- Elements can be accessed with the index operator `[]`.
- For instance, `myVector[15]` is the element at index 15.
- We can also use the member function `at(i)`, which checks whether *i* is in range.

# STL Vectors

- As opposed to C++ arrays:
  - ▶ STL vectors can be dynamically resized.
  - ▶ New elements can be appended or removed from the end of the vector in  $O(1)$  time.
  - ▶ When an STL vector of class objects is destroyed, it automatically invokes the destructor for each of its elements.

## Member Functions

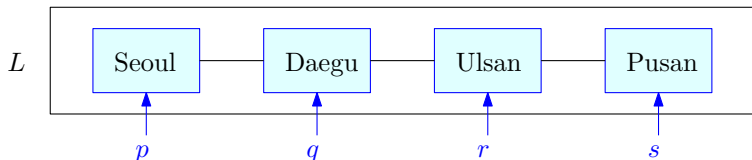
- **vector( $n$ )**: Construct a vector with space for  $n$  elements; if no argument is given, create an empty vector.
- **size()**: Return the number of elements in  $V$ .
- **empty()**: Return true if  $V$  is empty and false otherwise.
- **resize( $n$ )**: Resize  $V$ , so that it has space for  $n$  elements.
- **reserve( $n$ )**: Request that the allocated storage space be large enough to hold  $n$  elements.

# STL Vectors

## Member Functions

- **operator**[ $i$ ]: Return a reference to the  $i$ th element of  $V$ .
- **at**( $i$ ): Same as  $V[i]$ , but throw an out of range exception if  $i$  is out of bounds, that is, if  $i < 0$  or  $i \geq V.size()$ .
- **front**(): Return a reference to the first element of  $V$ .
- **back**(): Return a reference to the last element of  $V$ .
- **push\_back**( $e$ ): Append a copy of the element  $e$  to the end of  $V$ , thus increasing its size by one.
- **pop\_back**(): Remove the last element of  $V$ , thus reducing its size by one.

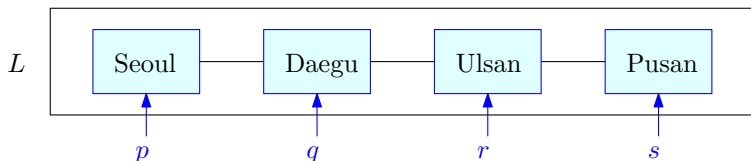
# Lists



- We refer to an individual element contained in an array or a vector using its *index*  $i$ .
- A *list* is a container that uses *nodes* to refer to its elements.

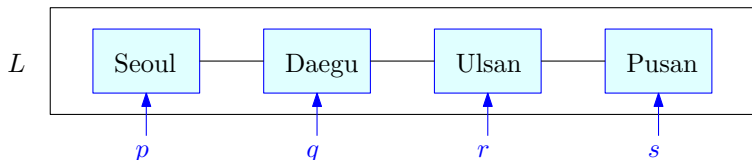


# Lists



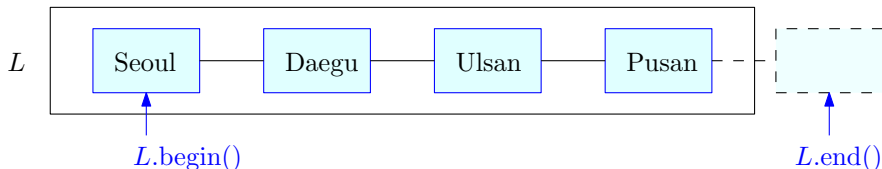
- A *position* is an abstract data type associated with a particular container, and supporting the member function **element()**, which returns a reference to the element stored at this position.
- For instance, in the figure above, **element( $r$ )** returns a reference to "Ulsan".
- Instead of writing **element( $r$ )**, we can write  $*r$  as the  $*$  has been overloaded.

# Lists



- We may want to be able to traverse the list.
- For instance, we would like the operation  $p++$  to advance  $p$  to the next position, i.e. "Daegu".
- We can also move backwards, i.e.  $r--$  moves to "Daegu".
- An *iterator* is an extension of a position that allows us to do it.

# Lists



- We also have two special iterators, `begin()` and `end()`.
- In order to enumerate all the elements of the container, we can start from  $p = L.begin()$ , and perform  $p++$  until  $p$  is equal to  $L.end()$ .
- We can access the current element using  $*p$ .

# The List ADT

## Functions

- **begin()**: Return an iterator referring to the first element of  $L$ . Same as **end()** if  $L$  is empty.
- **end()**: Return an iterator referring to an imaginary element just after the last element of  $L$ .
- **insertFront( $e$ )**: Insert a new element  $e$  into  $L$  as the first element.
- **insertBack( $e$ )**: Insert a new element  $e$  into  $L$  as the last element.
- **insert( $p, e$ )**: Insert a new element  $e$  into  $L$  before position  $p$  in  $L$ .
- **eraseFront()**: Remove the first element of  $L$ .
- **eraseBack()**: Remove the last element of  $L$ .
- **erase( $p$ )**: Remove from  $L$  the element at position  $p$ . Invalidates  $p$  as a position.

# The List ADT

operation	output	$L$
insertFront(8)	-	(8)
$p = \text{begin}()$	$p : (8)$	(8)
insertBack(5)	-	(8,5)
$q = p; ++q$	$q : (5)$	(8,5)
$p == \text{begin}()$	true	(8,5)
insert( $q, 3$ )	-	(8,3,5)
$*q = 7$	-	(8,3,7)
insertFront(9)	-	(9,8,3,7)
eraseBack()	-	(9,8,3)
erase( $p$ )	-	(9,3)
eraseFront()	-	(3)

- Operations performed on an initially empty list  $L$ .

# Doubly Linked List Implementation: Nodes

```
struct Node {                                // a node of the list
    Elem elem;                               // element value
    Node* prev;                             // previous in list
    Node* next;                             // next in list
};
```

# Doubly Linked List Implementation: Iterators

```
class Iterator {                                // an iterator for the list
public:
    Elem& operator*();                          // reference to the element
    bool operator==(const Iterator& p) const;   // compare positions
    bool operator!=(const Iterator& p) const;
    Iterator& operator++();                     // move to next position
    Iterator& operator--();                     // move to previous position
    friend class NodeList;                     // give NodeList access
private:
    Node* v;                                  // pointer to the node
    Iterator(Node* u);                         // create from node
};
```

# Doubly Linked List Implementation: Iterators

```
// constructor from Node*  
NodeList::Iterator::Iterator(Node* u)  
{ v = u; }
```

```
// reference to the element  
Elem& NodeList::Iterator::operator*()  
{ return v->elem; }
```

```
// compare positions  
bool NodeList::Iterator::operator==(const Iterator& p) const  
{ return v == p.v; }
```

```
bool NodeList::Iterator::operator!=(const Iterator& p) const  
{ return v != p.v; }
```



# Doubly Linked List Implementation: Iterators

```
// move to next position  
NodeList::Iterator& NodeList::Iterator::operator++()  
{ v = v->next; return *this; }
```

```
// move to previous position  
NodeList::Iterator& NodeList::Iterator::operator--()  
{ v = v->prev; return *this; }
```

- The increment and decrement operators not only update the position, but they also return a reference to the updated position.
- This makes it possible to use the result of the increment operation, as in “q = ++p.”

# Doubly Linked List Implementation

```
typedef int Elem;
class NodeList {                                // node-based list
private:
    // insert Node declaration here. . .
public:
    // insert Iterator declaration here. . .
public:
    NodeList();                                // default constructor
    int size() const;                          // list size
    bool empty() const;                       // is the list empty?
    Iterator begin() const;                   // beginning position
    Iterator end() const;                     // (just beyond) last position
    void insertFront(const Elem& e);           // insert at front
    void insertBack(const Elem& e);            // insert at rear
                                                // insert e before p
    void insert(const Iterator& p, const Elem& e);
```

# Doubly Linked List Implementation

```
void eraseFront();           // remove first
void eraseBack();           // remove last
void erase(const Iterator& p); // remove p
// housekeeping functions omitted. . .

private:                     // data members
    int n;                   // number of items
    Node* header;            // head-of-list sentinel
    Node* trailer;           // tail-of-list sentinel
};
```

# Doubly Linked List Implementation

```
NodeList::NodeList() {                                // constructor
    n = 0;                                             // initially empty
    header = new Node;                                // create sentinels
    trailer = new Node;
    header->next = trailer; // have them point to each other
    trailer->prev = header;
}
```

```
int NodeList::size() const    // list size
{ return n; }
}
```

```
bool NodeList::empty() const    // is the list empty?
{ return (n == 0); }
```

# Doubly Linked List Implementation

```
// begin position is first item  
NodeList::Iterator NodeList::begin() const  
{ return Iterator(header->next); }
```

```
// end position is just beyond last  
NodeList::Iterator NodeList::end() const  
{ return Iterator(trailer); }
```

```

void NodeList::insert(const NodeList::Iterator& p,
                     const Elem& e) {           // insert e before p
    Node* w = p.v;                             // p's node
    Node* u = w->prev;                          // p's predecessor
    Node* v = new Node;                        // new node to insert
    v->elem = e;
    v->next = w; w->prev = v; // link v before w
    v->prev = u; u->next = v; // link v after u
    n++;
}

```

```

void NodeList::insertFront(const Elem& e)
{ insert(begin(), e); }           // insert at front

```

```

void NodeList::insertBack(const Elem& e)
{ insert(end(), e); }           // insert at rear

```

# Doubly Linked List Implementation

```
void NodeList::erase(const Iterator& p) {           // remove p
    Node* v = p.v;                                // node to remove
    Node* w = v->next;                             // successor
    Node* u = v->prev;                             // predecessor
    u->next = w; w->prev = u;                       // unlink p
    delete v;                                     // delete this node
    n--;                                           // one fewer element
}
```

```
void NodeList::eraseFront()           // remove first
{ erase(begin()); }
```

```
void NodeList::eraseBack()            // remove last
{ erase(--end()); }
```