# Reasoning about XACML Policy Descriptions in Answer Set Programming: Preliminary Report

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## Abstract

The advent of emerging technologies such as Web services, service-oriented architecture, and cloud computing has enabled us to perform business services more efficiently and effectively. However, we still suffer from unintended security leakages by unauthorized services while providing more convenient services to Internet users through such a cutting-edge technological growth. Furthermore, designing and managing Web access control policies are often error-prone due to the lack of logical and formal foundation. In this paper, we attempt to introduce a logic-based policy management approach for Web access control policies especially focusing on XACML (eXtensible Access Control Markup Language) policies, which have become the *de facto* standard for specifying and enforcing access control policies for various applications and services in current Web-based computing technologies. Our approach adopts Answer Set Programming (ASP) to formulate XACML that allows us to leverage the features of ASP solvers in performing various logical reasoning and analysis tasks, such as policy verification, comparison and querying, and detect violation of separation of duty (SoD) constraints in role-based access control (RBAC). Our proof-of-concept implementation called XACML2ASP was evaluated against several XACML policies from real-world software systems, and showed the feasibility of ASP-based XACML policy analysis.

## Introduction

With the explosive growth of Web applications and Web services deployed on the Internet, the use of a policy-based approach has received considerable attention to accommodate the security requirements covering large, open, distributed and heterogeneous computing environments. Policy-based computing handles complex system properties by separating policies from system implementation and enabling dynamic adaptability of system behaviors by changing policy configurations without reprogramming the systems. In the era of distributed, heterogeneous and Web-oriented computing, the increasing complexity of policy-based computing demands strong support of automated reasoning techniques. Without analysis, most of the benefits of using policy-based techniques and declarative policy languages may be in vain.

XACML (eXtensible Access Control Markup Language) (OASIS 2007), which is an XML-based language standardized by the Organization for the Advancement of Structured Information Standards (OASIS), has been widely adopted to specify access control policies for various Web applications. With expressive policy languages such as XACML, assuring the correctness of policy specifications becomes a crucial and yet challenging task. Especially, identifying inconsistencies and differences between policy specifications and their expected functions is critical since the correctness of the implementation and enforcement of policies heavily relies on the policy specification. Due to its flexibility, XACML has been extended to support specialized access control models. In particular, XACML profile for role-based access control (RBAC) (Anderson 2005) provides a mapping between RBAC and XACML. In RBAC, permissions of specific actions on resources are assigned to authorized users with the notion of *roles* and such assignments are constrained with specific RBAC constrains. XACML-based RBAC policies can be written to specify such assignments and corresponding rules, yet security leakage may occur in specifying XACML-based RBAC policies without having appropriate constraints. Furthermore, designing and managing such Web access control policies are often error-prone due to the lack of logical and formal foundation.

In this paper, we propose a systematic method to represent XACML policies in Answer Set Programming (ASP). Compared with a few existing attempts (Fisler *et al.* 2005; Kolovski *et al.* 2007) for the formalization of XACML, our formal representation is more straightforward and can cover more XACML features. Furthermore, translating XACML to ASP allows us to leverage off-the-shelf ASP solvers for a variety of analysis services such as policy verification, comparison and querying. The expressivity of ASP, such as ability to handle default reasoning and represent transitive closure, helps manage XACML and RBAC constraints that cannot be handled in other logic-based approaches. We also overview our tool XACML2ASP and conduct experiments with real-world XACML policies to evaluate the effectiveness and efficiency of our solution.

The rest of this paper is organized as follows. A brief introduction to answer set programming is given in the next section, followed by an introduction to XACML and its abstraction. Next we show how XACML can be turned into ASP, and how XACML analysis can be carried out using ASP solvers. Then we show the experiments with our prototype implementation XACML2ASP and discuss related work.

## Answer Set Programming

ASP (Lifschitz 2008) is a recent form of declarative programming oriented towards difficult combinatorial search problems. The idea is to represent the search problem we are interested in as a logic program whose intended models, called "stable models (a.k.a. answer sets)," correspond to the solutions of the problem, and then find these models using an answer set solver—a system for computing answer sets. Like other declarative computing paradigms, such as SAT (Satisfiability Checking) and CP (Constraint Programming), ASP provides a common basis for formalizing and solving various problems, but is distinct from others in that it focuses on knowledge representation and reasoning: its language is an expressive nonmonotonic language based on logic programs under the stable model semantics (Gelfond and Lifschitz 1988; Ferraris *et al.* 2007), which allows elegant representation of several aspects of knowledge such as causality, defaults, and incomplete information. What distinguishes ASP from other nonmonotonic formalisms is the availability of several efficient implementations, answer set solvers, such as SMODELS[1], CMODELS[2], CLASP[3], which led to practical nonmonotonic reasoning that can be applied to industrial level applications.

Recently, the stable model semantics, a mathematical foundation of answer set programming, has been extended to the syntax of first-order formulas (Ferraris *et al.* 2007), under which logic programs are viewed as a special class of first-order sentences. Lee and Palla [2009] shows that, under some conditions, first-order formulas under the stable model semantics can be turned into logic programs, so that existing answer set solvers can be used for computing answer sets of first-order formulas. System F2LP[4] is an implementation of this translation, which allows the existing answer set solvers to be used for computing answer sets of first-order formulas.

We will turn XACML into first-order formulas instead of turning it directly into logic programs. Allowing connectives and quantifiers to be nested yields a more straightforward translation of XACML policies, close to the natural language reading.

## XACML Policy Description

XACML has become the *de facto* standard for describing access control policies and offers a large set of build-in functions, data types, combining algorithms, and standard profiles for defining application-specific features. At the root of all XACML policies is a *policy* or a *policy set*. A *policy set* is composed of a sequence of *policies* or other *policy sets* along with a *policy combining algorithm* and a *target*. A *policy* represents a single access control policy expressed through a *target*, a set of *rules* and a *rule combining algorithm*. The *target* defines a set of subjects, resources and actions the policy or policy set applies to. For applicable policy sets and policies, the corresponding targets should be evaluated to be *true*; otherwise, the policy set or policy is skipped when evaluating a request. A *rule set* is a sequence of rules. Each *rule* consists of a *target*, a *condition*, and an *effect*. The *target* of a rule decides whether a request is applicable to the rule and it has a similar structure as the target of a policy or a policy set; the *condition* is a boolean expression to specify restrictions on the attributes in the target and refines the applicability of the rule; and the *effect* is either `permit`, `deny` or `indeterminate`. If a request satisfies both the *target* and *condition* of a rule, the response is sent with the decision specified by the effect element in the applicable rule. Otherwise, the response yields `NotApplicable` which is typically considered as `deny`. Also, an XACML policy often has conflicting policies or rules, which are resolved by four different *combining algorithms* (OASIS 2007): *Deny-Overrides*, *Permit-Overrides*, *First-Applicable* and *Only-One-Applicable*.

| Combining Algorithm | Summary |
|---|---|
| Permit-Overrides | If any rule evaluates to Permit, then the decision is `Permit`. |
| Deny-Overrides | If any rule evaluates to Deny, then the decision is `Deny`. |
| First-Applicable | The decision is the effect of the first applicable rule in the listed order. |
| Only-One-Applicable | If more than one rule is applicable, return `Indeterminate`. Otherwise return the decision of the applicable rule. |

Table 1: Combining Algorithms in XACML

For example, consider a policy of a software development company, whose employees contain developers and testers. The root policy set $PS_1$ contains two policies:

- the global policy of the entire company ($P_1$) is that
  - all employees can read and change codes during working hours, from 8:00 to 17:00 ($R_1$) and
  - nobody can change code during non-working hours ($R_2$).

  It is left to each department to decide whether employees can read codes during non-working hours.
- the local policy of a development department ($P_2$) is that
  - developers can read codes during non-working hours ($R_3$),
  - testers cannot read codes during non-working hours ($R_4$), and
  - testers and developers cannot change codes during non-working hours ($R_5$).

The global policy precedes the local policy.

Figure 1 shows the tree structure of the example policy set $PS_1$ and Figure 2 shows how this example policy can be described in XACML.

### Abstracting XACML Policy Components

We consider a subset of XACML that covers more constructs than the ones considered in (Tschantz and Krishnamurthi 2006) and (Kolovski *et al.* 2007). We allow the

[1] `http://www.tcs.hut.fi/Software/smodels` .

[2] `http://www.cs.utexas.edu/users/tag/cmodels.html` .

[3] `http://potassco.sourceforge.net` .

[4] `http://reasoning.eas.asu.edu/f2lp`.

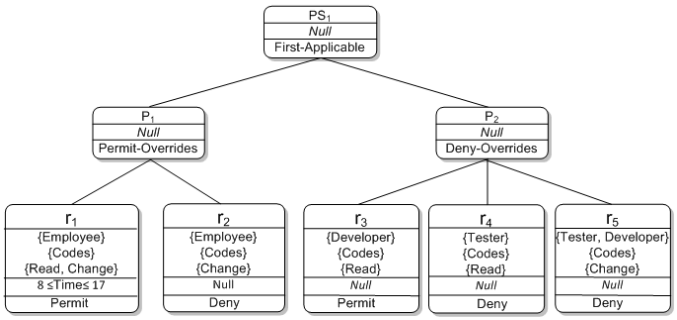


Figure 1: Tree structure of $PS_1$.

```
1<PolicySet PolicySetId="PS1" PolicyCombiningAlgId="First-Applicable">
 2   <Target/>
 3   <Policy PolicyId="P1" RuleCombiningAlgId="Permit-Overrides">
 4     <Target/>
 5     <Rule RuleId="R1" Effect="Permit">
 6       <Target>
 7         <Subjects><Subject>     Employee   </Subject></Subjects>
 8         <Resources><Resource>   Codes      </Resource></Resources>
 9         <Actions><Action>         Read     </Action>
10                  <Action>       Change     </Action></Actions>
11       </Target>
12       <Condition>              8 ≤ Time ≤ 17          </Condition>
13     </Rule>
14     <Rule RuleId="R2" Effect="Deny">
15       <Target>
16         <Subjects><Subject>     Employee   </Subject></Subjects>
17         <Resources><Resource>   Codes      </Resource></Resources>
18         <Actions><Action>       Change     </Action></Actions>
19       </Target>
20     </Rule>
21   </Policy>
22   <Policy PolicyId="P2" RuleCombiningAlgId="Deny-Overrides">
23     <Target/>
24     <Rule RuleId="R3" Effect="Permit">
25       <Target>
26         <Subjects><Subject>     Developer  </Subject></Subjects>
27         <Resources><Resource>   Codes      </Resource></Resources>
28         <Actions><Action>         Read     </Action></Actions>
29       </Target>
30     </Rule>
31     <Rule RuleId="R4" Effect="Deny">
32       <Target>
33         <Subjects><Subject>       Tester   </Subject></Subjects>
34         <Resources><Resource>   Codes      </Resource></Resources>
35         <Actions><Action>         Read     </Action></Actions>
36       </Target>
37     </Rule>
38     <Rule RuleId="R5" Effect="Deny">
39       <Target>
40         <Subjects><Subject>       Tester   </Subject>
41                   <Subject>    Developer   </Subject></Subjects>
42         <Resources><Resource>   Codes      </Resource></Resources>
43         <Actions><Action>       Change     </Action></Actions>
44       </Target>
45     </Rule>
46   </Policy>
47</PolicySet>
```

Figure 2: Representation of $PS_1$ in XACML.

most general form of *Target*, take into account *Condition* and cover all four combining algorithms.

XACML components can be abstracted as follows. *Attributes* are names of the elements used by a policy. *Attributes* are divided into three categories: *subject attributes*, *resource attributes* and *action attributes*. In the example policy above, `Developer`, `Tester` and `Employee` are subject attributes; `Read`, `Change` are action attributes; *Codes* is a *resource attribute*.

A *Subjects* is a disjunction over conjunctions of expressions of the form $\texttt{subject}(s)$ where $s$ is a subject attribute. An *Actions* is a disjunction over conjunctions of expressions of the form $\texttt{action}(a)$ where $a$ is an action attribute. A *Resources* is a disjunction over conjunctions of expressions of the form $\texttt{resource}(r)$ where $r$ is a resource attribute. A *Target* is a triple $\langle \textit{Subjects}, \textit{Resources}, \textit{Actions} \rangle$. A *Condition* is a conjunction of comparisons. *Effect* is either `permit`, `deny` or `indeterminate`.

- An XACML rule can be abstracted as

  $$\langle \textit{RuleID}, \textit{Effect}, \textit{Target}, \textit{Condition} \rangle$$

  where *RuleID* is a rule identifier. For example, rule $R_1$ in Figure 2 can be viewed as

  $$\langle \texttt{r}_1, \texttt{permit}, \langle \texttt{Employee}, \texttt{Read} \vee \texttt{Change}, \texttt{Codes} \rangle, 8 \leq \texttt{Time} \leq 17 \rangle.$$

- An XACML policy can be abstracted as

  $$\langle \textit{PolicyID}, \textit{Target}, \textit{Combining Algorithm}, \langle r_1, \ldots, r_n \rangle \rangle$$

  where *PolicyID* is a policy identifier, $r_1, \ldots, r_n$ are rule identifiers and *Combining Algorithm* is either `Permit–Overrides`, `Deny–Overrides`, `First–Applicable` or `Only–One–Applicable`. For example, policy $P_1$ in Figure 2 can be abstracted as follows:

  $$\langle \texttt{p}_1, \textit{Null}, \texttt{Permit–Overrides}, \langle \texttt{r}_1, \texttt{r}_2 \rangle \rangle.$$

- Similarly we can abstract an XACML policy set as

  $$\langle \textit{PolicySetID}, \textit{Target}, \textit{Combining Algorithm}, \langle p_1, \ldots, p_n \rangle \rangle$$

  where *PolicySetID* is a policy set identifier. For example, policy set $PS_1$ can be viewed as

  $$\langle \texttt{ps}_1, \textit{Null}, \texttt{First–Applicable}, \langle \texttt{p}_1, \texttt{p}_2 \rangle \rangle.$$

## Turning XACML into ASP

We provide a translation that turns an XACML description into formulas under the stable model semantics. This provides a formal semantics of XACML language in terms of the stable model semantics. By using F2LP and ASP solvers, several typical XACML policy analysis services, such as policy verification, comparison, and inconsistency checking can be automated. Figure 3 shows our logic-based policy reasoning approach.

We turn an XACML rule

$$\langle \textit{RuleID}, \textit{Effect}, \textit{Target}, \textit{Condition} \rangle$$

into a formula [5]

$$\textit{Target} \wedge \textit{Condition} \rightarrow \textit{decision}(\textit{RuleID}, \textit{Effect}).$$

An XACML policy

$$\langle \textit{PolicyID}, \textit{Target}, \textit{Combining Algorithm}, \langle r_1, \ldots, r_n \rangle \rangle$$

[5] We identify *Target* with the conjunction of its components.

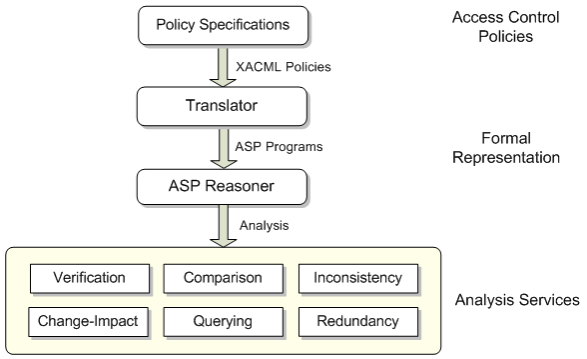


Figure 3: Logic-based policy reasoning for XACML.

is turned into formulas as follows. In the following we assume that $R$, $R'$ are variables that range over all rule ids, and $V$, $V'$ are variables that range over $\{\texttt{permit}, \texttt{deny}, \texttt{indeterminate}\}$. In order to represent the effect of each rule $r_i$ $(1 \leq i \leq n)$ on policy *PolicyID*, we write

$$\mathit{decision}(r_i, V) \rightarrow \mathit{decision\_from}(\mathit{PolicyID}, r_i, V).$$

Each policy combining algorithms is turned into formulas under the stable model semantics as follows.

- `Permit`–`Overrides` of policy $p$ is represented as

  $\mathit{Target} \wedge \mathit{decision\_from}(p, R, \texttt{permit}) \rightarrow \mathit{decision}(p, \texttt{permit}).$
  $\mathit{Target} \wedge \neg\exists R'\ \mathit{decision\_from}(p, R', \texttt{permit}) \wedge \mathit{decision\_from}(p, R, V) \rightarrow \mathit{decision}(p, V).$

- `Deny`–`Overrides` of policy $p$ is represented as

  $\mathit{Target} \wedge \mathit{decision\_from}(p, R, \texttt{deny}) \rightarrow \mathit{decision}(p, \texttt{deny}).$
  $\mathit{Target} \wedge \neg\exists R' \mathit{decision\_from}(p, R', \texttt{deny}) \wedge \mathit{decision\_from}(p, R, V) \rightarrow \mathit{decision}(p, V).$

- `First`–`Applicable` of policy $p$ is represented as $n$ formulas

  $$\mathit{Target} \wedge \bigwedge_{1 \leq k \leq i-1} \neg\exists V' \mathit{decision\_from}(p, r_k, V') \wedge \mathit{decision\_from}(p, r_i, V) \rightarrow \mathit{decision}(p, V).$$

  where $i$ ranges over $1 \ldots, n$.

- `Only`–`One`–`Applicable` of policy $p$ can be represented as

  $\mathit{Target} \wedge \neg\exists R'V'(R \neq R' \wedge \mathit{decision\_from}(p, R', V')) \wedge \mathit{decision\_from}(p, R, V) \rightarrow \mathit{decision}(p, V).$
  $\mathit{Target} \wedge R \neq R' \wedge \mathit{decision\_from}(p, R, V) \wedge \mathit{decision\_from}(p, R', V') \rightarrow \mathit{decision}(p, \texttt{indeterminate}).$

The translation of a policy set is similar to the translation of a policy except that there are minor differences when a policy returns the value `indeterminate`.

In the following we show the representation of the example policy set $PS_1$ in the language of F2LP. Symbol '`?`' denotes the existential quantifier. For instance, $\exists R\ \mathit{decision\_from}(p, R, \texttt{deny})$ is encoded as

$$?[\texttt{R}] : \texttt{decision\_from}(\texttt{p}, \texttt{R}, \texttt{deny}).$$

```
% domain variable
 value(permit;deny;indeterminate).
 rule(r1;r2;r3;r4;r5).
 policy(p1;p2).
 time(0..23).

 #domain value(V;V1).
 #domain rule(R;R1).
 #domain policy(P).
 #domain time(T).

%hierarchy
 subject(developer) -> subject(employee).
 subject(tester) -> subject(employee).

%R1
 subject(employee) & (action(read) | action(change))
 & resource(codes) & 8 <= T & T <= 17 & current_time(T)
 -> decision(r1, permit).

%R2
 subject(employee) & action(change) & resource(codes)
 -> decision(r2, deny).

%R3
 subject(developer) & action(read) & resource(codes)
 -> decision(r3, permit).

%R4
 subject(tester) & action(read) & resource(codes)
 -> decision(r4, deny).

%R5
 (subject(tester) | subject(developer)) &
 action(change) & resource(codes) -> decision(r5, deny).

%P1
 decision(r1, V) -> decision_from(p1, r1, V).
 decision(r2, V) -> decision_from(p1, r2, V).

 decision_from(p1, R, permit) -> decision(p1, permit).
 -?[RV1]: decision_from(p1, R1, permit)
 & decision_from(p1, R, V) -> decision(p1, V).

%P2
 decision(r3, V) -> decision_from(p2, r3, V).
 decision(r4, V) -> decision_from(p2, r4, V).
 decision(r5, V) -> decision_from(p2, r5, V).

 decision_from(p2, R, deny) -> decision(p2, deny).
 -?[R1]: decision_from(p2, R1, deny)
 & decision_from(p2, R, V) -> decision(p2, V).

%PS1
 decision(p1, V) -> decision_from(ps1, p1, V).
 decision(p2, V) -> decision_from(ps1, p2, V).

 decision_from(ps1, p1, V) -> decision(ps1, V).
 - ?[V1]: decision_from(ps1, p1, V1) &
 decision_from(ps1, p2, V) -> decision(ps1, V).
```

## XACML Analysis using ASP

The problem of verifying a security property $F$ against an XACML description can be cast into the problem of check-

ing checking if the program

$$\Pi \cup \{\neg F\} \cup \Pi_{config} \quad (1)$$

has no answer sets, where $\Pi$ is the program corresponding to the XACML description and $\Pi_{config}$ is the following program that generates arbitrary configurations.

```
subject_attributes(developer;tester;employee).
action_attributes(read;change).
resource_attributes(codes).

1{subject(X) : subject_attributes(X)}.
1{action(X) : action_attributes(X)}.
1{resource(X) : resource_attributes(X)}.
1{current_time(X) : time(X)}1.
```

If no answer set is found, then this implies that the property is verified. Otherwise an answer set returned by an answer set solver serves as a counterexample that indicates why the description does not entail $F$. This helps the policy designer to find the flaws in the description.

For example, consider the example policy set $PS_1$. We want to verify that a developer cannot change codes during non-working hours. The property can be represented as follows.

```
![T]:(subject(developer) & action(change)
     & resource(codes) & -(8<=T & T<=17) & current_time(T)
                  -> decision(ps1, deny)).
```

('!' denotes the universal quantifier.)

Given the corresponding ASP program of $PS_1$, the negation of the property and $\Pi_{config}$, F2LP together with GRINGO and CLASPD returns no answer set, from which we conclude that the property is verified.

As another example, consider the query if a developer is always allowed to read codes during non-working hours. The query can be represented as

```
![T]: (subject(developer) & action(read) & resource(codes)
       & -(8<=T & T<=17) & current_time(T)
                  -> decision(ps1, permit)).
```

A policy designer intended that this property would follow from the description. However, the following answer set was found, which reflects a flaw of the policy:

```
{subject(developer) action(read) action(change)
 resource(codes) decision(ps1,deny) decision(p1,deny)
 decision(p2,deny) decision(r2,deny)
 decision(r3,permit) decision(r5,deny)}
```

The decisions of some rules are missing because they are not applicable. From the answer set, the policy designer finds that $P_2$, which is supposed to return `permit`, returns `deny`. This is because $R_5$ returns `deny`, and the combining algorithm of $P_2$ is `Deny–Overrides`. That is, the developer's attempt to read the codes is denied if he attempts to change the codes at the same time.

In fact, the reason that $PS_1$ returns `deny` is due to $P_1$. Rule $R_1$ is not applicable since the *Condition* is not satisfied, and rule $R_2$ returns `deny`. Then the designer realizes the flaw in the policy, and disallows the concurrency of the two actions. However, even after adding such a constraint, an answer set is found:

```
{subject(developer) subject(tester) action(read)
 resource(codes) decision(ps1,deny)
 decision(p2,deny) decision(r3,permit)
 decision(r4,deny)}
```

That is, $PS_1$ returns `deny` because $P_1$ is not applicable and $P_2$ returns `deny`. In turn, it is because $R_4$ returns `deny`. So when someone is both `developer` and `tester`, then he cannot read codes during non-working hours since rule $R_4$ disallows it. If we add the constraint disallowing a person to be both `developer` and `tester` at the same time, then the program returns no answer set as intended. Disallowing two conflicting roles to be assigned to the same person is called separation of duty (*SoD*) in role-based access control (RBAC).

## XACML-based RBAC Policy Analysis

Due to the flexibility of XACML specification, XACML has been extended to support specialized access control models. In particular, XACML profile for role-based access control (RBAC) (Anderson 2005) provides a mapping between RBAC and XACML. In current RBAC profile, core and hierarchical RBAC can be supported. RBAC assigns permissions of specific actions on resources to authorized users called roles. In XACML policies, rules are written to specify such permissions on roles. However, security leakage may occur in specifying XACML-based RBAC policies, especially, in the case of a user with multiple roles. Thus, some typical security properties, such as separation of duty (*SoD*), should be checked to identify those security leakage. As seen in the previous section `Developer` and `Tester` are two conflicting roles and a *SoD* property can be used to check whether the same individual has been assigned to conflicting roles.

**Core and Hierarchical RBAC Representation** RBAC model defines sets of elements including a set of roles, a set of users and a set of permissions, and relationships among users, roles, and permissions. In XACML profile for RBAC, Role Assignment $\langle$*Policy*$\rangle$ or $\langle$*PolicySet*$\rangle$ defines which roles can be enabled or assigned to which users. Suppose that a user *Bob* is assigned to two roles `Tester` and `SeniorDeveloper` in a software development company. We can translate those user-to-role assignments ($ura$) to ASP as follows:

```
ura(Bob,Tester).
ura(Bob,SeniorDeveloper).
```

RBAC supports role hierarchy relations. For example, if `Developer` is a junior role of `SeniorDeveloper` in the software development company. XACML profile for RBAC can implement role inheritance by including a $\langle$*PolicySetIdReference*$\rangle$ to the Permission $\langle$*PolicySet*$\rangle$ associated with one role inside the Permission $\langle$*PolicySet*$\rangle$ associated with another role. The hierarchy relation between two roles `Developer` and `SeniorDeveloper` represented in XACML can be converted to ASP as follows:

```
junior(Developer, SeniorDeveloper).
```

In addition, we assume that relation `junior` is reflexive:

```
junior(R,R).
```

`tc_junior` is a transitive closure of `junior` relation.

```
tc_junior(R1,R2) :- junior(R1,R2).
tc_junior(R1,R3) :- tc_junior(R1,R2), tc_junior(R2,R3).
```

The following definition is required to specify a user-to-role assignment considering the role hierarchy relations. It implies if a role $r_2$ is a junior role of $r_1$ and $r_1$ is assigned to a user $u$, $r_2$ is also implicitly assigned to the user $u$.

```
ura(U,R2) :- ura(U,R1), tc_junior(R2, R1)
```

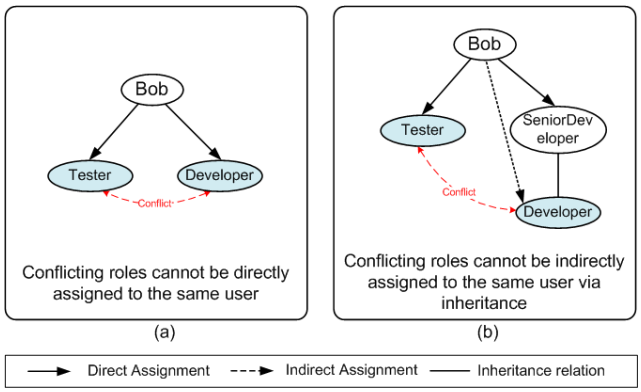


Figure 4: Violation checking for *SoD* property.

**RBAC Policy Analysis** Security properties, such as *SoD*, can be utilized to check against access control policy configurations for identifying security leakage. Figure 4 shows a typical example, which illustrates conflicting roles cannot be directly or indirectly (via inheritance) assigned to the same user. Figure 4 (a) shows that the user *Bob* is assigned to two conflicting roles `Tester` and `Developer` simultaneously. Figure 4 (b) depicts a more complex example taking role hierarchy into account. The user *Bob* acquires two conflicting roles `Tester` and `Developer` through permission inheritance. The *SoD* property supporting the role hierarchy can be specified with ASP as follows:

```
check :- tc_junior(Tester, R1), tc_junior(Developer, R2),
         ura(U, R1), ura(U, R2).
```

If an answer set that is returned by an ASP solver contains `check`, it means that a user is assigned to two conflicting roles `Tester` and `Developer` in current RBAC configuration. Thus a security leakage is identified.

## Implementation and Evaluation

We have implemented a tool called XACML2ASP in Java 1.6.3 with around 400 lines of code. XACML2ASP can automatically convert the core XACML into ASP. The generated ASP-based policy representations are then fed into an ASP reasoner to carry out analysis services. We evaluated the efficiency and effectiveness of our approach on several real-world XACML policies using GRINGO and CLASP. Our experiments were performed on Intel Core 2 Duo CPU 3.00 GHz with 3.25 GB RAM running on Windows XP SP2.

We tested ten real-world XACML policies collected from three different sources. Six of the policies, *CodeA*, *CodeB*, *CodeC*, *CodeD*, *Continue-a* and *Continue-b* are XACML policies used in (Fisler *et al.* 2005); among them, *Continue-a* and *Continue-b* are designed for a real-world web application supporting a conference management. Three of the policies, *Weirds*, *FreeCS* and *GradeSheet* are considered in (Birgisson *et al.* 2008). The *Pluto* policy is employed in ARCHON[6] system, which is a digital library that federates the collections of physics with multiple degrees of meta data richness.

Table 2: Experimental results on real-life XACML policies

| Policy | # of Rules | Converting Time(s) | Reasoning Time(s) |
|---|---|---|---|
| CodeA | 2 | 0.000 | 0.000 |
| CodeB | 3 | 0.000 | 0.000 |
| CodeC | 4 | 0.000 | 0.002 |
| CodeD | 5 | 0.000 | 0.004 |
| Weirdx | 6 | 0.005 | 0.006 |
| FreeCS | 7 | 0.005 | 0.006 |
| GradeSheet | 14 | 0.015 | 0.012 |
| Pluto | 21 | 0.016 | 0.031 |
| Continue-a | 298 | 0.120 | 0.405 |
| Continue-b | 306 | 0.125 | 0.427 |

Table 2 shows the size of policy, the conversion time from XACML to ASP, and the reasoning time using GRINGO and CLASPfor each policy domain. Note that the reasoning time was measured by enabling CLASP to discover all permitted requests for each policy. From Table 2, we observe that the conversion time from XACML to ASP in XACML2ASP is fast enough to handle a larger set of policies, such as *Continue-a* and *Continue-b*. It also indicates the reasoning process for policy analysis in ASP solver is also efficient enough for a variety of policy analysis services.

## Related Work

In (Hughes and Bultan 2004), a framework for automated verification of access control policies based on relational first-order logic was proposed. The authors demonstrated how to translate XACML policies to the Alloy language (Jackson 2002), and checked their security properties using the Alloy Analyzer. However, using the first-order constructs of Alloy to model XACML policies is expensive and still needs to examine its feasibility for larger size of policies. In (Bryans 2005), the authors formalized XACML policies using a process algebra known as Communicating Sequential Processes (CSP). This utilizes a model checker to formally verify properties of policies, and to compare access control policies with each other. Fisler et al. (Fisler *et al.* 2005) introduced an approach to represent XACML policies with Multi-Terminal Binary Decision Diagrams (MTBDDs). A policy analysis tool called Margrave was developed. Margrave can verity XACML policies against the given properties and perform change-impact analysis based

[6] `http://archon.cs.odu.edu/`.

on the semantic differences between the MTBDDs representing the policies. In (Kolovski *et al.* 2007), a description logic-based approach for analyzing XACML was presented. The authors provided a formalization of XACML that explores the space between propositional logic analysis tools and first-order logic XACML analysis tools. As a basis for the XACML formalization they use description logic, which is a family of languages that are decidable subsets of first-order logic. Compared with other work in XACML, our approach provides a more straightforward formalization with ASP and can cover more XACML features as discussed in the previous sections. Our work with ASP solvers also shows superior performance in handling XACML combining algorithms.

## Conclusion and Future Work

In this paper, we showed that XACML policies can be represented in terms of formulas under the stable model semantics. This provides a formal semantics of XACML in terms of the stable model semantics, and furthermore reasoning involving XACML descriptions can be automated using existing ASP solvers. Our translation is straightforward and shows versatility of the language of F2LP in representing declarative specification of XACML.

In this work we have provided a formal foundation of XACML in terms of ASP. Also, we further introduced a policy analysis framework for identifying constraint violations in XACML-based RBAC policies as existing XACML standard does not support constrained RBAC. In addition, we have described a tool called XACML2ASP, which can seamlessly work with existing ASP solvers for policy analysis. Our experimental results showed that the performance of our analysis approach could efficiently support larger access control policies.

For our future work, the coverage of our mapping approach needs to be further extended with more XACML features such as handling complicated conditions, obligation and other attribute functions.

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