# Causal Laws and Multi-Valued Fluents

Enrico Giunchiglia, DIST — Università di Genova Joohyung Lee and Vladimir Lifschitz, University of Texas at Austin Hudson Turner, University of Minnesota at Duluth

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#### Abstract

This paper continues the line of work on representing properties of actions in nonmonotonic formalisms that stresses the distinction between being true and being caused, as in the system of causal logic introduced by McCain and Turner and in the action language  $\mathcal C$  proposed by Giunchiglia and Lifschitz. The only fluents directly representable in language  $\mathcal C$  are truth-valued fluents, which is often inconvenient. We show that both causal logic and language  $\mathcal C$  can be extended to allow values from arbitrary nonempty sets. Our extension of language  $\mathcal C$ , called  $\mathcal C+$ , also makes it possible to describe actions in terms of their attributes, which is important from the perspective of elaboration tolerance. We describe an embedding of  $\mathcal C+$  in causal theories with multi-valued constants, relate  $\mathcal C+$  to Pednault's action language ADL, and show how multi-valued constants can be eliminated in favor of Boolean constants.

# 1 Introduction

This paper continues the line of work on representing properties of actions in nonmonotonic formalisms that stresses the distinction between being true and being caused. Fangzhen Lin [1995] extended the situation calculus by atomic formulas Caused(p,v,s) (fluent p is caused to have value v in situation s). Causal theories in the sense of McCain and Turner [1997] have postulates of the form  $F \Rightarrow G$  (if F is true then G is caused). The semantics of the action language C [Giunchiglia and Lifschitz, 1998] is based on the concept of a "causally explained" transition. Solutions to the frame problem expressed in these formalisms are applicable to domains in which actions may have indirectly specified effects and qualifications. This work

has led to the development of the Causal Calculator<sup>1</sup>—an automated system for planning and reasoning about actions in such domains.

The work described above is limited, however, to propositional (that is, truth-valued) fluents. Lin's predicate Caused expects a propositional fluent as the first argument and a truth value as the second. Formulas F, G in the causal logic of McCain and Turner are propositional formulas. Fluent symbols in language C can represent propositional fluents only. In applications to representing specific domains, this is often inconvenient. If we want to express, for instance, that the current location of box  $B_1$  is  $L_2$ , we have to write  $Loc(B_1, L_2)$  rather than  $Loc(B_1) = L_2$ ; then the fact that a box, when moved to another location, "disappears" from its previous location can be treated as an indirect effect of the move action, provided that appropriate causal laws are postulated. In the notation of C, these laws are

caused 
$$\neg Loc(b, l)$$
 if  $Loc(b, l')$   $(l \neq l')$ . (1)

Similar causal laws are needed in many other cases.

In this paper we show how the system of causal logic from [McCain and Turner, 1997] and language  $\mathcal{C}$  can be extended to allow values from arbitrary nonempty sets, besides the set of Boolean truth values. Our extension of  $\mathcal{C}$ , denoted by  $\mathcal{C}+$ , makes it possible to represent many action domains more concisely. Language  $\mathcal{C}+$  allows us also to describe actions in terms of their attributes. For instance, in  $\mathcal{C}+$  we can express that robot r moves box b to location l by conjoining Move(b) with formulas Mover(b)=r and Destination(b)=l, instead of using action names with many arguments, such as Move(r,b,l). As argued in [Lifschitz, 2000], the use of action attributes can help make a representation more elaboration tolerant in the sense of [McCarthy, 1999].

As a preliminary step, in Section 2 we define the syntax and semantics of propositional combinations of equalities; every equality contains a nonlogical constant whose value may come from a certain nonempty domain. Classical propositional logic corresponds precisely to the case when all constants are Boolean (that is, have domain  $\{f,t\}$ ). On the basis of that language, Section 3 defines causal theories with multi-valued constants. A simple characterization of the meaning of "definite" causal theories is provided by the concept of multi-valued completion. Section 4 introduces the action language  $\mathcal{C}+$ , shows an example of its use, and defines a simple embedding of  $\mathcal{C}+$  into causal logic with multi-valued constants. Section 5 relates  $\mathcal{C}+$  to the language ADL from [Pednault, 1994]. Finally, Section 6 describes methods of eliminating multi-valued constants with finite domains in favor of Boolean constants. The results of that section show that, in the finite

<sup>1</sup> http://www.cs.utexas.edu/users/tag/cc .

case, the extensions of causal logic and language  $\mathcal{C}$  proposed in this paper can be reduced to the original versions of these systems.

# 2 Propositional Logic of Multi-Valued Constants

A (multi-valued propositional) signature is a set of symbols called constants, along with a nonempty set Dom(c) of symbols assigned to each constant c. We call Dom(c) the domain of c. An atom of a signature  $\sigma$  is an expression of the form c=v ("the value of c is v") where  $c \in \sigma$  and  $v \in Dom(c)$ . A formula of  $\sigma$  is a propositional combination of atoms. An interpretation of  $\sigma$  is a function that maps every element of  $\sigma$  to an element of its domain. An interpretation I satisfies an atom c=v (symbolically,  $I \models c=v$ ) if I(c)=v. The satisfaction relation is extended from atoms to arbitrary formulas according to the usual truth tables for the propositional connectives. A model of a set X of formulas is an interpretation that satisfies all formulas in X. If every model of X satisfies a formula F then we say that X entails F and write  $X \models F$ .

A Boolean constant is one whose domain is  $\{f, t\}$ . When all constants are Boolean, these definitions correspond to the usual syntax and semantics of propositional formulas, if we agree to use only atoms of the form c=t and to use c as shorthand for c=t.

We will often identify an interpretation I of a multi-valued propositional signature with the set of atoms true in I.

# 3 Causal Theories with Multi-Valued Constants

Causal theories are defined here in precisely the same manner as in [McCain and Turner, 1997], except that formulas in the sense of the previous section are allowed in place of propositional formulas.

## 3.1 Syntax

Begin with a multi-valued propositional signature  $\sigma$ . By a causal law we mean an expression of the form

$$F \Rightarrow G \tag{2}$$

where F and G are formulas of  $\sigma$ . By the antecedent and consequent of (2), we mean the formulas F and G, respectively.

Note that (2) is not the material conditional  $F \supset G$ . The intended reading of (2) is: Necessarily, if F then G is caused.

A causal theory is a set of causal laws.

## 3.2 Semantics

For any causal theory T and interpretation I, let

$$T^{I} = \{ G : \text{for some } F, F \Rightarrow G \in T \text{ and } I \models F \}.$$

So  $T^I$  is the set of consequents of causal laws in T whose antecedents are true in I. Intuitively then,  $T^I$  entails exactly the formulas that are caused to be true in I according to T.

An interpretation I is causally explained according to a causal theory T if I is the unique model of  $T^{I}$ .

It follows that an interpretation I is causally explained according to T if and only if, for every formula F,

$$I \models F \quad \text{iff} \quad T^I \models F \ .$$

That is, I is causally explained according to T if and only if the formulas that are true in I are exactly the formulas caused to be true in I according to T. For further discussion of the so-called "principle of universal causation" that motivates this definition, see [McCain and Turner, 1997, Turner, 1999].

As an example, let  $\sigma = \{c\}$  and  $Dom(c) = \{1, 2, \ldots\}$ , and let the only causal law in T be

$$c = 1 \Rightarrow c = 1. \tag{3}$$

The interpretation I defined by I(c) = 1 is causally explained according to T. Indeed,  $T^I = \{c=1\}$ , so I is the only model of  $T^I$ . Furthermore, T has no other causally explained interpretations. Indeed, for any interpretation J such that  $J(c) \neq 1$ ,  $T^J$  is empty and so has models different from J.

#### 3.3 Multi-Valued Completion

A causal theory T is definite if

- no constant in the signature of T has a singleton domain,
- the consequent of every causal law of T is an atom or  $\perp$ , and
- no atom is the consequent of infinitely many causal laws of T.

Due to the first two conditions, an interpretation I is causally explained according to a definite causal theory T if and only if  $I = T^{I}$ .

Definite causal theories have a concise translation into sets of formulas, as follows. For each atom A in the language of T, the completion of A is the formula

$$A \equiv (F_1 \vee \cdots \vee F_n)$$

where  $F_1, \ldots, F_n$   $(n \geq 0)$  are the antecedents of the causal laws with consequent A. The multi-valued completion of T is obtained by taking the completion of each atom in the language of T, along with the formula  $\neg F$  for each causal law of the form  $F \Rightarrow \bot$  that belongs to T.

**Proposition 1** Let T be a definite causal theory. The causally explained interpretations according to T are precisely the models of the multi-valued completion of T.

For instance, causal theory (3) is definite, and its multi-valued completion is

$$c=1 \equiv c=1$$
,  
 $c=v \equiv \bot$   $(v > 1)$ .

The interpretation causally explained according to (3) is the only model of these formulas.

Proof of Proposition 1. Assume that I is causally explained according to T. Since T is definite, it follows that  $I = T^I$ . So for every atom c = v in I, (i) there is a formula F such that  $F \Rightarrow c = v$  belongs to T and  $I \models F$ , and (ii) there is no formula F and  $v' \in Dom(c)$  different from v such that  $F \Rightarrow c = v'$  belongs to T and  $I \models F$ . We can conclude that I satisfies the completion of every atom of  $\sigma$ . Similarly, since  $\bot \notin T^I$ , we know that  $I \models \neg F$  for every causal law in T of the form  $F \Rightarrow \bot$ . So I is a model of the multi-valued completion of T. Proof in the other direction is similar.

Multi-valued completion is a straightforward generalization of the "literal completion" method introduced in [McCain and Turner, 1997], which resembles the well-known Clark completion method for logic programming [Clark, 1978]. When all constants are Boolean, multi-valued completion corresponds precisely to literal completion.

# 4 Action Language C+

Action language C+ is a multi-valued extension of the action language C [Giunchiglia and Lifschitz, 1998], and includes C as the special case in which all constants are Boolean.

## 4.1 Syntax

Consider a multi-valued signature  $\sigma$  partitioned into fluent symbols  $\sigma^{fl}$  and action symbols  $\sigma^{act}$ . A state formula is a formula of signature  $\sigma^{fl}$ . An action is an interpretation of signature  $\sigma^{act}$ .

As an example, we will show how to use C+ to describe several boxes that can be moved between various locations. Take two collections of symbols, Boxes and Locations. For each  $b \in Boxes$ ,

- Loc(b) is a fluent symbol with the domain Locations,
- Move(b) is a Boolean action symbol, and
- Destination(b) is an action symbol with the domain  $Locations \cup \{None\},\$

where *None* is a symbol that does not belong to *Locations*. To formalize the enhancement of this example mentioned in the introduction, we would need also the action symbols Mover(b) with the domain  $Robots \cup \{None\}$ .

There are two kinds of propositions in C+: static laws of the form

caused 
$$F$$
 if  $G$  (4)

and dynamic laws of the form

caused 
$$F$$
 if  $G$  after  $H$ ,  $(5)$ 

where F and G are state formulas and H is any formula of  $\sigma$ . In a proposition of either kind, formula F is called its head.

For instance,

caused 
$$\perp$$
 if  $\top$  after  $Move(b) = \mathbf{t} \equiv Destination(b) = None$  (6)

is a dynamic law. It expresses that Destination(b) is an attribute of action Move(b): whenever a box b is moved, it is moved to a certain location, and the other way around.

An action description is a set of propositions.

#### 4.2 Special Cases

For any Boolean action symbol  $\alpha$ , state formula F and formula H,

$$\alpha$$
 causes  $F$  if  $H$ 

is shorthand for the dynamic law

caused 
$$F$$
 if  $\top$  after  $\alpha = \mathbf{t} \wedge H$ .

For instance,

$$Move(b)$$
 causes  $Loc(b) = l$  if  $Destination(b) = l$  (7)

is a dynamic law. Such expressions are used to describe direct effects of actions. More generally, a direct effect of the concurrent execution of  $\alpha_1, \ldots, \alpha_k$  can be described by an expression of the form

$$\alpha_1, \ldots, \alpha_k$$
 causes  $F$  if  $H$ ,

which stands for

caused F if 
$$\top$$
 after  $\alpha_1 = \mathbf{t} \wedge \cdots \wedge \alpha_k = \mathbf{t} \wedge H$ .

A dynamic law of the form

$$\alpha_1, \ldots, \alpha_k$$
 causes  $\perp$  if  $H$ 

can be further abbreviated as

nonexecutable 
$$\alpha_1, \ldots, \alpha_k$$
 if  $H$ .

For instance,

**nonexecutable** 
$$Move(b)$$
 if  $Loc(b) = l \land Destination(b) = l$  (8)

is a dynamic law that asserts, intuitively, that it is impossible to move b to l if b is already at l.

For any state formula F,

#### inertial F

stands for the dynamic law

# caused F if F after F.

This proposition expresses that F "tends to remain true," in the sense that if F is true before an action occurs then there will be a cause for F if it remains true after the action occurs. For instance, the propositions

inertial 
$$Loc(b) = l$$
 (9)

for all boxes b and locations l express that if a box does not change its location then there is a cause for it to be where it is. As observed by McCain and Turner, such propositions can be used to express the commonsense law of inertia.

For any state formula F, we write

## never F

for the static law

#### caused $\perp$ if F.

It represents a "qualification constraint" in the sense of [Lin and Reiter, 1994]. For instance, the expressions

$$\mathbf{never} \ Loc(b) = l \land Loc(b') = l \quad (b \neq b')$$
 (10)

are static laws; they allow us to conclude that two boxes cannot be simultaneously moved to the same location, and that a box can be moved to a location occupied by another box only if the latter is moved also.

## 4.3 Semantics

Consider an action description D. A state is an interpretation of  $\sigma^{fl}$  that satisfies  $G \supset F$  for every static law (4) in D. A transition is a triple  $\langle s, a, s' \rangle$  where s, s' are states and a is an action; s is the initial state of the transition, and s' is its resulting state. A formula F is caused in transition  $\langle s, a, s' \rangle$  if it is

- the head of a static law (4) from D such that  $s' \models G$ , or
- the head of a dynamic law (5) from D such that  $s' \models G$  and  $s \cup a \models H$ .

A transition  $\langle s, a, s' \rangle$  is causally explained according to D if its resulting state s' is the only interpretation of  $\sigma^{f}$  that satisfies all formulas caused in this transition.

As with other action languages, we associate a transition diagram to D: the transition diagram represented by D is the labeled directed graph which has the states of D as nodes, and which includes an edge from s to s' labeled with action a if  $\langle s, a, s' \rangle$  is a causally explained transition of D.

Consider the action description (6)–(10) with boxes  $B_1, B_2$  and locations  $L_1, L_2, L_3$ . This system has six states, corresponding to the possible ways of placing the two boxes in two of the three locations. Each state is reachable from any of the states by executing one action: that is, for each pair of states s, s' there is an action a such that  $\langle s, a, s' \rangle$  is causally explained according to (6)–(10). Figure 1 shows the six states, and the causally explained transitions  $\langle s, a, s' \rangle$  in which  $s(Loc(B_1)) = L_1$  and  $s(Loc(B_2)) = L_2$ . Each state s is represented here by the pair of locations  $\langle s(Loc(B_1)), s(Loc(B_2)) \rangle$ . Each action is represented by two of the four atoms true in it.

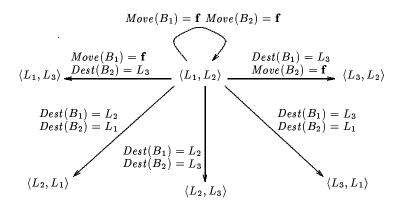


Figure 1: A portion of the transition diagram for (6)-(10)

# 4.4 Embedding in Causal Theories

A C+ action description can be translated as a causal theory.

Given a signature  $\sigma$  and number  $n \in \{0,1\}$ , define  $\sigma(n) = \{c_n : c \in \sigma\}$ , with  $Dom(c_n) = Dom(c)$ . For any formula F of  $\sigma$ , let F(n) be the formula of  $\sigma(n)$  obtained by replacing each occurrence of each atom c = v in F by  $c_n = v$ . For any set T of formulas of  $\sigma$ ,  $T(n) = \{F(n) : F \in T\}$ . In particular, if I is an interpretation of  $\sigma$  then I(n) is an interpretation of  $\sigma(n)$ .

For any action description D, we obtain causal theory ct(D) over signature  $\sigma(0) \cup \sigma^{f}(1)$  as follows. For each atom A of  $\sigma(0)$ , include the causal law

$$A \Rightarrow A$$
. (11)

For each static law (4) in D, include the causal laws

$$G(n) \Rightarrow F(n)$$
 (12)

for n = 0, 1, and for each dynamic law (5) in D, include the causal law

$$H(0) \wedge G(1) \Rightarrow F(1)$$
. (13)

For instance, if D is action description (6)-(10) then ct(D) consists of

the following causal laws:

```
\begin{array}{c} Loc_0(b) = l \implies Loc_0(b) = l \;, \\ Move_0(b) = \mathbf{f} \implies Move_0(b) = \mathbf{f} \;, \\ Move_0(b) = \mathbf{t} \implies Move_0(b) = \mathbf{t} \;, \\ Destination_0(b) = v \implies Destination_0(b) = v \;, \\ Move_0(b) = \mathbf{t} \equiv Destination_0(b) = None \implies \bot \;, \\ Move_0(b) = \mathbf{t} \land Destination_0(b) = l \implies Loc_1(b) = l \;, \\ Move_0(b) = \mathbf{t} \land Loc_0(b) = l \land Destination_0(b) = l \implies \bot \;, \\ Loc_0(b) = l \land Loc_1(b) = l \implies Loc_1(b) = l \;, \\ Loc_n(b) = l \land Loc_n(b') = l \implies \bot \; (b \neq b', \, n = 0.1) \;. \end{array}
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**Proposition 2** For any action description D, a transition  $\langle s, a, s' \rangle$  is causally explained according to D iff the interpretation  $s(0) \cup a(0) \cup s'(1)$  is causally explained according to ct(D). Moreover, every interpretation causally explained according to ct(D) can be written in the form  $s(0) \cup a(0) \cup s'(1)$  for some transition  $\langle s, a, s' \rangle$ .

Proof. We prove the second part first. Assume that I is causally explained according to ct(D). Clearly I can be written in the form  $s(0) \cup a(0) \cup s'(1)$ , where s, s' are interpretations of  $\sigma^{fl}$  and a is an interpretation of  $\sigma^{act}$ . We need to show that s and s' are states. Consider any static law (4) in D. Assume  $s \models G$ . Then  $s(0) \models G(0)$ . By (12),  $G(0) \Rightarrow F(0) \in ct(D)$ , so  $F(0) \in ct(D)^I$ . Since  $I \models ct(D)^I$ ,  $s(0) \models F(0)$ . Hence  $s \models F$ . We can conclude that s is a state. The same argument shows that s' is state.

For the first part, consider an arbitrary transition  $\langle s, a, s' \rangle$  and let  $I = s(0) \cup a(0) \cup s'(1)$ . Notice that  $ct(D)^I$  can be partitioned into three sets, as follows: a set  $S_0$  of formulas of  $\sigma^{fl}(0)$ , a set  $A_0$  of formulas of  $\sigma^{act}(0)$ , and a set  $S_1$  of formulas of  $\sigma^{fl}(1)$ . Let T be the set of formulas caused in  $\langle s, a, s' \rangle$ . Given the method for obtaining causal laws (12) and (13) from D, it is easy to verify that  $T(1) = S_1$ .

(Left-to-right) Assume that  $\langle s, a, s' \rangle$  is causally explained according to D. We show that I is the unique model of  $ct(D)^I$  in three parts.

- Since s' is the only interpretation of  $\sigma^f$  that satisfies T, s'(1) is the only interpretation of  $\sigma^f(1)$  that satisfies  $T(1) = S_1$ .
- $A_0$  is obtained from the causal laws (11) for which  $A \in \sigma^{act}(0)$ . In fact, these causal laws yield exactly a(0), so a(0) is the only interpretation of  $\sigma^{act}$  that satisfies  $A_0$ .
- $S_0$  is obtained from the causal laws (11) for which  $A \in \sigma^{f}(0)$ , and the causal laws (12) for which n = 0. In fact, the first family of causal laws contributes exactly s(0) to  $S_0$ , and since s is a state, s(0) satisfies the

formulas obtained from the second family of causal laws. So s(0) is the only interpretation of  $\sigma^{f}(0)$  that satisfies  $S_0$ .

(Right-to-left) Assume that I is causally explained according to ct(D). Since I is the unique model of  $ct(D)^I$ , we know that s'(1) is the only interpretation of  $\sigma^{fl}(1)$  that satisfies  $S_1 = T(1)$ . Hence s' is the only interpretation of  $\sigma^{fl}$  that satisfies T, which shows that transition  $\langle s, a, s' \rangle$  is causally explained according to D.

# 4.5 Definite Action Descriptions

An action description D is definite if

- no constant in the signature of D has a singleton domain,
- the head of each proposition of D is an atom or  $\perp$ , and
- no atom is the head of infinitely many propositions of D.

Notice that if an action description D is definite, so is the corresponding causal theory ct(D). Hence, by Propositions 1 and 2, definite action descriptions have a concise translation into multi-valued propositional logic.

For example, if D is action description (6)–(10) then its translation into propositional logic with multi-valued constants is equivalent to

```
\begin{split} Move_0(b) = \mathbf{f} &\equiv Destination_0(b) = None \;, \\ \neg (Destination_0(b) = l \land Loc_0(b) = l) \;, \\ \neg (Loc_n(b) = l \land Loc_n(b') = l) \quad (b \neq b', \; n = 0, 1) \;, \\ Loc_1(b) = l &\equiv (Destination_0(b) = l \lor (Loc_0(b) = l \land Move_0(b) = \mathbf{f})). \end{split}
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These formulas entail, for instance, the two assertions mentioned at the end of Section 4.2:

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\neg (Destination_0(b) = l \land Destination_0(b') = l) \quad (b \neq b'), \\ Destination_0(b) = l \land Loc_0(b') = l \supset Move_0(b') = \mathbf{t}.
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# 5 Relation of C+ to ADL

Language ADL [Pednault, 1994] describes effects of actions on multi-valued fluents in terms of "update conditions." We will show how this idea can be incorporated in the syntactic framework of Section 2 and then describe its relationship to language C+.

As a preliminary step, consider a multi-valued propositional signature  $\sigma$  whose constants have the same finite domain Dom. The concept of a formula of a signature  $\sigma$  can be extended as follows. A term is a constant of  $\sigma$ , a

value (an element of Dom) or a variable (from a fixed infinitely countable set). An extended atom is an expression of the form t=v where t is a term and v is a value. Extended formulas are formed from atoms using propositional connectives and quantifiers, as in first-order logic. We will sometimes identify a closed extended formula F with the formula in the sense of Section 2 that is obtained from F as follows: first, eliminate from F all quantifiers by replacing each subformula of the form  $\forall x G(x)$  with  $\bigwedge_v G(v)$ , where v ranges over Dom, and each  $\exists x G(x)$  with  $\bigvee_v G(v)$ ; second, replace all occurrences of atoms of the form v=v with  $\top$ , and all occurrences of atoms of the form  $v_1=v_2$ , where  $v_1$  is a value different from  $v_2$ , with  $\bot$ . This convention allows us, for instance, to talk about the satisfaction relation between interpretations and closed extended formulas.

# 5.1 ADL Action Descriptions

Consider a multi-valued signature  $\sigma$  partitioned into fluent symbols  $\sigma^{fl}$  and action symbols  $\sigma^{act}$ , such that all fluent symbols have the same finite domain, and all action symbols are Boolean. An *ADL* action description consists of

- a closed extended formula  $Precond^{\alpha}$  of signature  $\sigma^{f}$  for every action symbol  $\alpha$ , and
- an extended formula  $Update_c^{\alpha}(x)$  of signature  $\sigma^{fl}$ , with no free variables other than x, for every action symbol  $\alpha$  and every fluent symbol c.

An ADL action description is *consistent* if, for every action symbol  $\alpha$ , every fluent symbol c, and every pair of distinct values  $v_1$ ,  $v_2$ ,

$$Precond^{\alpha} \models \neg (Update^{\alpha}_{c}(v_{1}) \wedge Update^{\alpha}_{c}(v_{2})).$$

Let D be a consistent ADL action description, let s and s' be interpretations of  $\sigma^{fl}$ , and let  $\alpha$  be an action symbol. We say that s' is the result of executing  $\alpha$  in s according to D if

$$s \models Precond^{\alpha}$$

and, for every fluent symbol c,

$$s'(c) = egin{cases} v, & ext{if } s \models Update^{lpha}_c(v), \ s(c), & ext{if } s \models 
eg \exists x \, Update^{lpha}_c(x). \end{cases}$$

## 5.2 Reduction to C+

The counterpart of an ADL action description D in language C+ consists of the propositions

inertial 
$$c = v$$
  
nonexecutable  $\alpha$  if  $\neg Precond^{\alpha}$  (14)  
 $\alpha$  causes  $c = v$  if  $Up \theta v t e^{\alpha}$ 

for every fluent symbol c, value v and action symbol  $\alpha$ .

In the following theorem, we identify a Boolean action symbol  $\alpha$  with the action that maps  $\alpha$  to  $\mathbf{t}$  and maps every other action symbol to  $\mathbf{f}$ .

**Proposition 3** For any consistent ADL action description D, s' is the result of executing  $\alpha$  in s according to D iff transition  $\langle s, \alpha, s' \rangle$  is causally explained according to the counterpart of D in language C+.

We see that the version of ADL described above is significantly less expressive than  $\mathcal{C}+$ : ADL is mapped here into the subset of  $\mathcal{C}+$  that does not include static laws (and consequently does not address the ramification problem) and has no concurrent actions or noninertial fluents.

Proof of Proposition 3. Recall that (14) stands for

```
caused c=v if c=v after c=v
caused \perp if \top after \alpha=\mathbf{t} \wedge \neg Precond^{\alpha}
caused c=v if \top after \alpha=\mathbf{t} \wedge Update_{c}^{\alpha}(v).
```

(Left-to-right) Assume that s' is the result of executing  $\alpha$  in s according to D. A formula is caused in transition  $\langle s, \alpha, s' \rangle$  iff it has the form c = s'(c) for some fluent symbol c (consider two cases, depending on whether  $s \models Update_c^{\alpha}(v)$  for some v). Consequently, this transition is causally explained according to (14).

(Right-to-left) Assume that  $\langle s, \alpha, s' \rangle$  is causally explained according to (14). Then  $s \models Precond^{\alpha}$ , because otherwise  $\bot$  would be caused in this transition. Take a fluent symbol c. If, for some v,  $s \models Update_c^{\alpha}(v)$ , then c = v is caused in  $\langle s, \alpha, s' \rangle$ , so that s'(c) = v. If, on the other hand,  $s \models \neg \exists x \, Update_c^{\alpha}(x)$  then s'(c) = s(c). Indeed, assume that  $s'(c) \neq s(c)$ . Then no formula of the form c = v is caused in this transition. But  $|Dom| \ge 2$ , because  $s(c), s'(c) \in Dom$ . Consequently, s' cannot be the only interpretation satisfying all caused formulas.

# 6 Replacing Multi-Valued Constants with Boolean Constants

If the domain of a constant c is finite, we can replace c with a family of Boolean constants, one for each element of Dom(c). We first observe that this is easily done in propositional logic with multi-valued constants. This suggests a general method applicable to causal theories. We then introduce a less obvious method that can be used to preserve definiteness. Finally, we specify similar elimination methods for action language C+.

# 6.1 Eliminating Multi-Valued Constants from Formulas

Let  $\sigma$  be a multi-valued propositional signature, and let  $c \in \sigma$ . Let  $\sigma_c$  be the signature obtained from  $\sigma$  by replacing constant c with Boolean constants c(v) for all  $v \in Dom(c)$ . For any formula F of  $\sigma$ , let  $F_c$  be the formula obtained by replacing each occurrence of each atom c=v with  $c(v)=\mathbf{t}$ . For each interpretation I of  $\sigma$  there is a corresponding interpretation  $I_c$  of  $\sigma_c$  such that for all atoms A common to both signatures

$$I \models A \text{ iff } I_c \models A$$
,

and for all  $v \in Dom(c)$ 

$$I \models c = v$$
 iff  $I_c \models c(v) = \mathbf{t}$ .

The following lemma is easily proved by structural induction.

**Lemma 1** For any formula F, interpretation I, and constant c of  $\sigma$ ,  $I \models F$  iff  $I_c \models F_c$ .

Let X be a set of formulas of signature  $\sigma$ . We'll say that a set  $X_c$  of formulas of signature  $\sigma_c$  correctly reduces c to a family of Boolean constants (relative to X) if the following holds: an interpretation of  $\sigma_c$  is a model of  $X_c$  iff it corresponds to a model of X.

Assuming that Dom(c) is finite, let  $elim_c$  be the formula

$$\bigvee_{v} c(v) = \mathbf{t} \wedge \bigwedge_{v \neq v'} (c(v) = \mathbf{f} \vee c(v') = \mathbf{f}). \tag{15}$$

The elimination of c from X is the set of formulas of signature  $\sigma_c$  obtained by replacing each occurrence of an atom c=v in X with  $c(v)=\mathbf{t}$ , and adding the formula  $elim_c$ .

Notice that the models of  $elim_c$  are precisely the interpretations of  $\sigma_c$  that correspond to an interpretation of  $\sigma$ . This observation and Lemma 1 yield the following result.

**Proposition 4** Let X be a set of formulas, and let c be a constant with a finite domain. The elimination of c from X correctly reduces c to a family of Boolean constants.

# 6.2 Eliminating Multi-Valued Constants from Causal Theories

Begin with a causal theory T with signature  $\sigma$ . We'll say that a causal theory  $T_c$  with signature  $\sigma_c$  correctly reduces c to a family of Boolean constants (relative to T) if the following holds: an interpretation of  $\sigma_c$  is causally explained according to  $T_c$  iff it corresponds to an interpretation causally explained according to T.

# 6.2.1 General Elimination Method for Causal Theories

The general elimination of c from T is the causal theory with signature  $\sigma_c$  obtained by replacing each occurrence of an atom c = v in T with  $c(v) = \mathbf{t}$ , and adding the causal law

$$\top \Rightarrow elim_c$$
 (16)

**Proposition 5** Let T be a causal theory with constant c whose domain is finite. The general elimination of c from T correctly reduces c to a family of Boolean constants.

*Proof.* Let  $T_c$  be the general elimination of c from T. Because of (16), any model of  $T_c^I$ , for any interpretation I of  $\sigma_c$ , corresponds to an interpretation of  $\sigma$ . Consider any interpretations I, J of  $\sigma$ , and the corresponding interpretations  $I_c, J_c$  of  $\sigma_c$ . It is easy to verify (using Lemma 1) that

$$I \models T^J \quad \text{iff} \quad I_c \models T_c^{J_c}$$
 .

The result follows easily from these observations.

This simple elimination method can be applied whenever Dom(c) is finite, but it does not preserve definiteness. Since definiteness is quite useful, we next introduce a less general elimination method that preserves it, and can be applied to any definite causal theory in which Dom(c) is finite.

#### 6.2.2 Definite Elimination Method for Causal Theories

The definite elimination of c from T is the causal theory with signature  $\sigma_c$  obtained by replacing each occurrence of an atom c = v in T with  $c(v) = \mathbf{t}$ , and adding the causal laws

$$c(v) = \mathbf{t} \implies c(v') = \mathbf{f}$$
 (17)

for all  $v, v' \in Dom(c)$  such that  $v \neq v'$ , and also adding

$$\bigwedge_{v} c(v) = \mathbf{f} \Rightarrow \bot. \tag{18}$$

**Proposition 6** Let T be a causal theory with constant c such that (i) Dom(c) is finite, with at least two elements, and (ii) any consequent in which c occurs is an atom. The definite elimination of c from T correctly reduces c to a family of Boolean constants.

We begin the proof of Proposition 6 with an easy consequence of Lemma 1.

**Lemma 2** Let T be a causal theory with constant c, satisfying the conditions of Proposition 6, and let  $T_c$  be the definite elimination of c from T. For any interpretations I, J of  $\sigma$ , and the corresponding interpretations  $I_c$ ,  $J_c$  of  $\sigma_c$ ,  $I \models T^J$  iff  $I_c \models T_c^{J_c}$ .

Proof of Proposition 6. Let  $T_c$  be the definite elimination of c from T. We must show that an interpretation is causally explained according to  $T_c$  iff it corresponds to an interpretation causally explained according to T.

(Left-to-right) For any interpretation I of  $\sigma_c$ , if  $T_c^I$  is satisfiable, then by (18) at least one atom  $c(v) = \mathbf{t}$  belongs to I, and by (17) at most one such atom belongs to I. It follows that any interpretation causally explained according to  $T_c$  corresponds to an interpretation of  $\sigma$ . Given this, the left-to-right direction follows easily from Lemma 2.

(Right-to-left) Assume that I is causally explained according to T. So I is the unique model of  $T^I$ . Since any formula of  $T^I$  in which c occurs must be an atom, and Dom(c) has at least two elements, we can conclude that  $c=v\in T^I$ , where v is the element of Dom(c) such that  $I\models c=v$ . It follows by Lemma 1 that  $c(v)=\mathbf{t}\in T_c^{I_c}$ . Moreover, by (17),  $c(v')=\mathbf{f}\in T_c^{I_c}$ , for all  $v'\in Dom(c)$  such that  $v'\neq v$ . So any model of  $T_c^{I_c}$  corresponds to an interpretation of  $\sigma$ . Given this, it is straightforward (using Lemma 2) to show that  $I_c$  is causally explained according to  $T_c$ .

Perhaps we should say a word about the requirement in Proposition 6 that |Dom(c)| exceed one. For any  $c \in \sigma$  such that  $Dom(c) = \{v\}$ , I(c) = v for every interpretation I of  $\sigma$ . Accordingly, if we wish to replace c with a new Boolean constant c(v), we can add the causal law  $\top \Rightarrow c(v) = \mathbf{t}$ , which is precisely what is done in the general elimination method. By comparison, the definite elimination method would add only  $c(v) = \mathbf{f} \Rightarrow \bot$ . For example, take  $\sigma = \{c\}$ ,  $Dom(c) = \{v\}$ , and  $T = \emptyset$ . Then  $\sigma$  has only one interpretation, and it is causally explained according to T. On the other

hand,  $\sigma_c = \{c(v)\}$ ,  $Dom(c(v)) = \{\mathbf{f}, \mathbf{t}\}$ , and the definite elimination of c from T is  $\{c(v) = \mathbf{f} \Rightarrow \bot\}$ , which has no causally explained interpretations.

Recall that constants with singleton domains are also not allowed in definite causal theories. We now see that such constants are of little use. Indeed, the preceding observations imply that if a causal theory T includes a constant c with  $Dom(c) = \{v\}$ , then every occurrence of atom c = v in T can be replaced with T without affecting the causally explained interpretations. In fact, constant c can then be dropped from the signature  $\sigma$ , and the resulting causally explained interpretations will be precisely the restrictions to  $\sigma \setminus \{c\}$  of the interpretations causally explained according to T.

# 6.3 Eliminating Multi-Valued Constants from C+

If an action description includes a constant c whose domain is finite, c can be replaced by a family of Boolean constants using methods similar to those introduced for causal theories.

#### 6.3.1 Eliminating Multi-Valued Fluent Constants

Let D be an action description with fluent symbol c. We'll say that an action description  $D_c$  with action symbols  $\sigma^{act}$  and fluent symbols  $\sigma^{fl}_c$  correctly reduces c to a family of Boolean fluent constants (relative to D) if the following hold.

- If  $\langle s, a, s' \rangle$  is causally explained according to D, then  $\langle s_c, a, s'_c \rangle$  is causally explained according to  $D_c$ .
- Any transition causally explained according to  $D_c$  can be written in the form  $\langle s_c, a, s'_c \rangle$  where  $\langle s, a, s' \rangle$  is causally explained according to D.

#### 6.3.2 General Elimination for Fluents in Action Descriptions

The general elimination of fluent symbol c from D is the action description with action symbols  $\sigma^{act}$  and fluent symbols  $\sigma^{fl}_c$  obtained by replacing each occurrence of an atom c=v in D with  $c(v)=\mathbf{t}$ , and adding the static law

caused 
$$elim_c$$
 if  $\top$ . (19)

**Proposition 7** Let D be an action description with fluent symbol c whose domain is finite. The general elimination of c from D correctly reduces c to a family of Boolean fluent constants.

*Proof.* Let  $D_c$  be the general elimination of c from D. Because of (19), any state of  $D_c$  corresponds to an interpretation of  $\sigma^f$ . Moreover, by

Lemma 1 it follows that the states of  $D_c$  correspond precisely to the states of D. So any transition of  $D_c$  can be written in the form  $\langle s_c, a, s'_c \rangle$  where  $\langle s, a, s' \rangle$  is a transition of D. It is easy to verify (again using Lemma 1) that  $\langle s, a, s' \rangle$  is causally explained according to D iff  $\langle s_c, a, s'_c \rangle$  is causally explained according to  $D_c$ .

#### 6.3.3 Definite Elimination for Fluents in Action Descriptions

The definite elimination of fluent symbol c from D is the action description with action symbols  $\sigma^{act}$  and fluent symbols  $\sigma^{fl}_c$  obtained by replacing each occurrence of an atom c=v in D with  $c(v)=\mathbf{t}$ , and adding the static laws

$$\mathbf{caused} \ c(v) = \mathbf{f} \ \mathbf{if} \ c(v') = \mathbf{t} \tag{20}$$

for all  $v, v' \in Dom(c)$  such that  $v \neq v'$ , and also adding the static law

caused 
$$\perp$$
 if  $\bigwedge_{v} c(v) = \mathbf{f}$ . (21)

Note that the static laws (20) generalize example (1) from the introduction.

**Proposition 8** Let D be an action description with fluent symbol c such that (i) Dom(c) is finite, with at least two elements, and (ii) any head in which c occurs is an atom. The definite elimination of c from D correctly reduces c to a family of Boolean fluent constants.

*Proof.* Let  $D_c$  be the definite elimination of c from D. Because of (20) and (21), any state of  $D_c$  corresponds to an interpretation of  $\sigma^{fl}$ . By Lemma 1, it follows that the states of  $D_c$  correspond precisely to the states of D. So any transition of  $D_c$  can be written in the form  $\langle s_c, a, s'_c \rangle$  where  $\langle s, a, s' \rangle$  is a transition of D. It remains to show that  $\langle s, a, s' \rangle$  is causally explained according to D iff  $\langle s_c, a, s'_c \rangle$  is causally explained according to  $D_c$ .

Consider any transition  $\langle s,a,s'\rangle$  of D. By Proposition 2,  $\langle s,a,s'\rangle$  is causally explained according to D iff  $s(0) \cup a(0) \cup s'(1)$  is causally explained according to ct(D). Let T be the definite elimination of  $c_1$  from ct(D). By Proposition 6,  $s(0) \cup a(0) \cup s'(1)$  is causally explained according to ct(D) iff  $I = s(0) \cup a(0) \cup s'(1)_{c_1}$  is causally explained according to T. Let X be the set of all formulas over  $\sigma(0)$ . By considering the form of T, one can show the following.

- $I \cap X \subseteq T^I$ .
- Since s is a state,  $I \models T^I \cap X$ .

• Every formula in  $T^I \setminus X$  is a formula of  $\sigma^{fl}(1)_{c_1}$ .

So I is causally explained according to T iff  $I \setminus X$  is the unique model of  $T^I \setminus X$ . We complete the proof by showing that  $I \setminus X$  is the unique model of  $T^I \setminus X$  iff  $\langle s_c, a, s'_c \rangle$  is causally explained according to  $D_c$ .

It is enough to show that for any formula F of  $\sigma^f$ ,  $F(1)_{c_1} \in T^I$  iff  $F_c$  is caused in  $\langle s_c, a, s'_c \rangle$  (according to  $D_c$ ). So let F be a formula of  $\sigma^f$ . We need to consider each proposition in  $D_c$  whose head is  $F_c$ ; these correspond exactly to the causal laws in T whose consequent is  $F(1)_{c_1}$ .

• There is a static law

caused 
$$F_c$$
 if  $G_c \in D_c$ 

iff

$$G(1)_{c_1} \Rightarrow F(1)_{c_1} \in T$$
.

And since

$$s'_c \models G_c \quad \text{iff} \quad s'(1)_{c_1} \models G(1)_{c_1}$$

no problem here.

• There is a dynamic law

caused 
$$F_c$$
 if  $G_c$  after $H_c \in D_c$ 

iff

$$G(1)_{c_1} \wedge H(0) \Rightarrow F(1)_{c_1} \in T$$
.

Here we notice again that

$$s'_c \models G_c \quad \text{iff} \quad s'(1)_{c_1} \models G(1)_{c_1}$$

and also observe that

$$egin{aligned} s_c \cup a \models H_c & ext{iff} \quad (s \cup a)_c \models H_c \ & ext{iff} \quad s \cup a \models H \quad ext{(by Lemma 1)} \ & ext{iff} \quad s(0) \cup a(0) \models H(0) \,. \end{aligned}$$

## 6.3.4 Eliminating Multi-Valued Action Constants

Let D be an action description with action symbol c. We'll say that an action description  $D_c$  with action symbols  $\sigma_c^{act}$  and fluent symbols  $\sigma^f$  correctly reduces c to a family of Boolean action constants (relative to D) if the following hold.

- If  $\langle s, a, s' \rangle$  is causally explained according to D, then  $\langle s, a_c, s' \rangle$  is causally explained according to  $D_c$ .
- Any transition causally explained according to  $D_c$  can be written in the form  $\langle s, a_c, s' \rangle$  where  $\langle s, a, s' \rangle$  is causally explained according to D.

The elimination of action symbol c from D is the action description with action symbols  $\sigma_c^{act}$  and fluent symbols  $\sigma^f$  obtained by replacing each occurrence of an atom c=v in D with  $c(v)=\mathbf{t}$ , and adding the dynamic law

caused 
$$\perp$$
 if  $\top$  after  $\neg elim_c$ . (22)

**Proposition 9** Let D be an action description with action symbol c whose domain is finite. The elimination of c from D correctly reduces c to a family of Boolean action constants.

*Proof.* Let  $D_c$  be the elimination of action symbol c from D. By (22), any transition causally explained according to  $D_c$  can be written in the form  $\langle s, a_c, s' \rangle$  where  $\langle s, a, s' \rangle$  is a transition of D. The result then follows easily from the observation that (by Lemma 1) the formulas caused in  $\langle s, a, s' \rangle$  (according to D) are exactly the formulas caused in  $\langle s, a_c, s' \rangle$  (according to  $D_c$ ).

#### **6.3.5** Example

Consider the action description (6)–(10). Let's eliminate all non-Boolean constants.

For fluents Loc(b) add propositions (1), as discussed in the introduction, and also add the static law

caused 
$$\perp$$
 if  $\bigwedge_{l} \neg Loc(b, l)$ .

For actions Destination(b), add

$$\mathbf{caused} \perp \mathbf{if} \ \top \ \mathbf{after} \ \bigwedge_v \neg Destination(b,v)$$

and, for all  $v, v' \in Dom(Destination(b))$  such that  $v \neq v'$ , also add

**nonexecutable** Destination(b, v), Destination(b, v') if  $\top$ .

(These propositions are obtained by simplifying (22).)

Finally, replacing non-Boolean constants in (6)–(10) yields the following propositions.

```
 \begin{array}{c} \mathbf{caused} \perp \mathbf{if} \ \top \ \mathbf{after} \ \mathit{Move}(b) \equiv \mathit{Destination}(b,\mathit{None}) \\ \mathit{Move}(b) \ \mathbf{causes} \ \mathit{Loc}(b,l) \ \mathbf{if} \ \mathit{Destination}(b,l) \\ \mathbf{nonexecutable} \ \mathit{Move}(b) \ \mathbf{if} \ \mathit{Loc}(b,l) \land \mathit{Destination}(b,l) \\ \mathbf{inertial} \ \mathit{Loc}(b,l) \\ \mathbf{never} \ \mathit{Loc}(b,l) \land \mathit{Loc}(b',l) \ \ (b \neq b') \end{array}
```

# 7 Conclusion

The extension of the action language  $\mathcal{C}$  proposed in this paper is an improvement of that language in two ways. First, we can now represent multi-valued fluents directly, without replacing them by Boolean fluents. Second, actions can be now described in terms of their attributes. This work provides mathematical background for adding these features to the input language of the Causal Calculator, which will turn it into a better knowledge representation tool.

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<sup>&</sup>lt;sup>2</sup>http://www-formal.stanford.edu/jmc/elaboration.html .