

Part II – Galois Theory

Based on lectures by Dr C. Brookes

Notes taken by Bhavik Mehta

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0 Introduction

0.1 Course overview

1 Field Extensions

Definition 1.1 (Field extension). A **field extension** $K \leq L$ is the inclusion of a field K into another field L with the same 0, 1, and where the restriction of $+$ and \cdot (in L) to K gives the $+$ and \cdot of K .

Definition 1.2 (Degree). The **degree** of L over K is $\dim_K L$, the K -vector space dimension of L . This may not be finite. We typically denote this by $|L : K|$. If $|L : K| < \infty$, then the extension is **finite**, otherwise the extension is **infinite**.

1.1 Motivatory Example

1.2 Review of GRM

Definition 1.3 (Algebraic). Suppose $K \leq L$ is a field extension. Take $\alpha \in L$ and define

$$I_\alpha = \{ f \in K[t] \mid f(\alpha) = 0 \}$$

We say α is **algebraic** over K if $I_\alpha \neq 0$. Otherwise α is **transcendental**. We say L is algebraic over K if α is algebraic over K for all $\alpha \in L$.

Definition 1.4 (Minimal polynomial). The non-zero ideal I_α (where α is algebraic over K) is principal since $K[t]$ is a principal ideal domain. In particular, we can say $I_\alpha = (f_\alpha(t))$ where $f_\alpha(t)$ can be assumed to be monic. Such a monic $f_\alpha(t)$ is the **minimal polynomial** of α over K .

Definition 1.5 (Simple extension). Suppose $K \leq L$ is a field extension and $\alpha \in L$. $K(\alpha)$ is defined to be the smallest subfield of L containing K and α . It's called the field **generated** by K and α . We say that L is a **simple extension** if $L = K(\beta)$ for some $\beta \in L$.

Given $\alpha_1, \dots, \alpha_n \in L$, $K \leq L$. $K(\alpha_1, \dots, \alpha_n)$ is the smallest field containing $\alpha_1, \dots, \alpha_n$. It is the field generated by K and $\alpha_1, \dots, \alpha_n$.

On the other hand $K[\alpha]$ is the ring generated by K and α , in particular the image of $K[t]$ under the map $f(t) \mapsto f(\alpha)$.

1.3 Digression on (Non-)Constructibility

Definition 1.6 (Constructible). The points of intersection of any two distinct lines or circles drawn using these operations are **constructible in one step** from P_0 . More generally, a point $\mathbf{r} \in \mathbb{R}^2$ is **constructible** from P_0 if there is a finite sequence $\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_n = \mathbf{r}$ such that \mathbf{r}_i is constructible in one step from $P_0 \cup \{\mathbf{r}_1, \dots, \mathbf{r}_{i-1}\}$.

1.4 Return to theory development

Definition 1.7 (Homomorphism over a field). Suppose $K \leq L$, $K \leq L'$ are field extensions. A **K -homomorphism** $\phi : L \rightarrow L'$ is a ring homomorphism such that $\phi|_K = \text{id}$.

A K -homomorphism is a K -isomorphism if it is a ring isomorphism.

Definition 1.8 (Splitting). Let $K \leq L$ be a field extension and $f(t) \in K[t]$. We say f **splits over** L if

$$f(t) = a(t - \alpha_1)(t - \alpha_2) \cdots (t - \alpha_n)$$

where $a \in K$ and $\alpha_1, \dots, \alpha_n \in L$.

We say L is a **splitting field for f over K** if $L = K(\alpha_1, \dots, \alpha_n)$.

Definition 1.9 (Normal extension). A field extension $K \leq L$ is **normal** if for every $\alpha \in L$ the minimal polynomial $f_\alpha(t)$ of α over K splits over L .

2 Separable, normal and Galois extensions

Definition 2.1 (Separable polynomial). Let K be a field and $f(t) \in K[t]$. Suppose $f(t)$ is irreducible in $K[t]$ and L is a splitting field for $f(t)$ over K . Then $f(t)$ is **separable** over K if $f(t)$ has no repeated roots in L .

For general $f(t)$ we say $f(t)$ is separable over K if every irreducible factor in $K[t]$ is separable over K .

All constant polynomials are separable.

Definition 2.2 (Formal differentiation). If K is a field then **formal differentiation**

$$\begin{aligned} D : K[t] &\rightarrow K[t] \\ t^n &\mapsto nt^{n-1} \end{aligned}$$

is a K -linear map. We denote this by $D(f(t)) = f'(t)$.

Definition 2.3 (Separable extension). We say $\alpha \in L$ is **separable over K** if its minimal polynomial is separable over K .

L is **separable over K** if all $\alpha \in L$ are separable over K .

If $f_\alpha(t) = (t - \alpha)^n = t^n - \alpha^n$ where n is a power of $p (= \text{char } K)$, we say that α is **purely inseparable over K** .

Definition 2.4 (Separably generated). We say $M = K(\alpha_1, \dots, \alpha_r)$ is **separably generated** by $\alpha_1, \dots, \alpha_r$ over K if each α_i is separable over K .

2.1 Trace and Norm

Definition 2.5 (Trace and norm). Let $K \leq M$ be a finite field extension, and $\alpha \in M$. Multiplication by α gives a K -linear map $\theta_\alpha : M \rightarrow M$.

Then we define

Trace of α over K is given by $\text{Tr}_{M/K}(\alpha) = \text{trace of } \theta_\alpha \in K$.

Norm of α over K is given by $N_{M/K}(\alpha) = \text{determinant of } \theta_\alpha \in K$.

Note these are dependent on the field extension.

2.2 Normal extensions

Definition 2.6 (Automorphism group). Let $K \leq M$ be a finite field extension. Its **K -automorphism group** is $\text{Aut}_K(M) = \{ \phi \mid \phi \text{ a } K\text{-homomorphism } M \rightarrow M \}$.

Definition 2.7 (Galois extension). A finite field extension that is normal and separable is a **Galois extension**.

Definition 2.8 (Galois group). Let $K \leq M$ be a Galois extension. Then, the K -automorphism group of M is the **Galois group** of M over K . Write this as $\text{Gal}(M/K)$.

3 Fundamental Theorem of Galois Theory

3.1 Artin's Theorem

Definition 3.1 (Fixed field). Let $K \leq L$ be a field extension and $H \leq \text{Aut}_K(L)$. The **fixed field** of H is,

$$L^H := \{ \alpha \in L \mid \sigma(\alpha) = \alpha \text{ for all } \sigma \in H \}$$

3.2 Galois groups of polynomials

Definition 3.2 (Galois group of polynomial). Let $f(t)$ be a separable polynomial $\in K[t]$ and let $K \leq L$ with L a splitting field for $f(t)$. Then the **Galois group of $f(t)$** over K is

$$\text{Gal}(f) := \text{Gal}(L/K).$$

Definition 3.3 (Discriminant). Let $f(t) \in K[t]$ with distinct roots $\alpha_1, \dots, \alpha_n$ in a splitting field (with $f(t)$ not necessarily irreducible). Let

$$\Delta = \prod_{i < j} (\alpha_i - \alpha_j).$$

Then the **discriminant** $D = D(f)$ of f is

$$\begin{aligned} D = \Delta^2 &= \prod_{i < j} (\alpha_i - \alpha_j)^2 \\ &= (-1)^{\frac{n(n-1)}{2}} \prod_{i \neq j} (\alpha_i - \alpha_j). \end{aligned}$$

3.3 Galois Theory of Finite Fields

Definition 3.4 (Frobenius automorphism). Let \mathbb{F} be a finite field of characteristic p . Then the **Frobenius automorphism** of \mathbb{F} is

$$\begin{aligned} \phi : \mathbb{F} &\longrightarrow \mathbb{F} \\ \alpha &\longmapsto \alpha^p. \end{aligned}$$

4 Cyclotomic and Kummer extensions

4.1 Cyclotomic extensions

Definition 4.1 (Cyclotomic extension). Suppose $\text{char } K = 0$ or p prime where $p \nmid m$. The m th **cyclotomic extension** of K is the splitting field L of $t^m - 1$.

Definition 4.2 (Primitive m th root of unity). An element $\xi \in \mu_m$ is a **primitive m th root of unity** if $\mu_m = \langle \xi \rangle$.

Definition 4.3 (Group of roots of unity).

$$\theta : G \longrightarrow (\mathbb{Z}/m\mathbb{Z})^\times$$

This is a group homomorphism: If $\sigma(\xi) = \xi^c$, $\phi(\xi) = \xi^j$ then $(\sigma\phi)(\xi) = \sigma(\xi^j) = \xi^{ij}$. Hence G is abelian.

Thus we regard G as a subgroup of $(\mathbb{Z}/m\mathbb{Z})^\times$.

Definition 4.4 (Cyclotomic polynomial). The m th **cyclotomic polynomial** is

$$\Phi_m(t) = \prod_{i \in (\mathbb{Z}/m\mathbb{Z})^\times} (t - \xi^i),$$

the product of the linear factors of $t^m - 1$ corresponding to the primitive m th roots of unity.

Definition 4.5 (Cyclic, abelian extension). An extension $K \leq L$ is **cyclic** if the extension is Galois and $\text{Gal}(L/K)$ is cyclic. Similarly, it is called **abelian** if $\text{Gal}(L/K)$ is abelian.

4.2 Kummer Theory

Definition 4.6 (Kummer extension). A cyclic extension $K \leq L$ with $|L : K| = m$, where $\text{char } K \nmid m$ and K contains a primitive m th root of unity is a **Kummer extension**.

Definition 4.7 (Extension by radicals). A field extension $K \leq L$ is an **extension by radicals** if $\exists K = L_0 \leq L_1 \leq \dots \leq L_n = L$ such that each $L_i \leq L_{i+1}$ is either cyclotomic or Kummer extension. A polynomial $f(t) \in K[t]$ is **soluble by radicals** if its splitting field lies in an extension by radicals.

4.3 Cubics

4.4 Quartics

4.5 Solubility by radicals

Definition 4.8 (Soluble group). A group is **soluble** if there is a chain of subgroups

$$\{e\} = G_m \triangleleft G_{m-1} \triangleleft \dots \triangleleft G_1 \triangleleft G_0 = G$$

with G_i/G_{i+1} abelian.

Definition 4.9 (Derived subgroup). The **derived subgroup** G' of a group G is the subgroup generated by all the commutators $g_1 g_2 g_1^{-1} g_2^{-1}$ for $g_1, g_2 \in G$.

Definition 4.10 (Derived series). The **derived series** $\{G^{(m)}\}$ of G is defined inductively:

$$\begin{aligned}G^{(0)} &= G \\G^{(1)} &= G' \\G^{(2)} &= (G')' \\G^{(j+1)} &= (G^{(j)})'\end{aligned}$$

Thus $G = G^{(0)} \triangleright G^{(1)} \triangleright G^{(2)} \triangleright \dots$ with $G^{(j)}/G^{(j+1)}$ abelian.

5 Final Thoughts

5.1 Algebraic closure

Definition 5.1 (Algebraically closed). A field L is **algebraically closed** if any $f(t) \in L[t]$ splits into a product of linear factors in $L[t]$.

Definition 5.2 (Algebraic closure). An extension $K \leq L$ is an algebraic closure of K if $K \leq L$ is algebraic and L is algebraically closed.

Definition 5.3 (Partial order). (\mathcal{S}, \leq) is a **partial order** on \mathcal{S} if

- (i) $\forall x \in \mathcal{S} \ x \leq x$
- (ii) $x \leq y$ and $y \leq z \implies x \leq z$.
- (iii) if $x \leq y$ and $y \leq x$ then $x = y$.

\mathcal{S} is **totally ordered** if for any $x, y \in \mathcal{S}$ either $x \leq y$ or $y \leq x$. A **chain** is a partially ordered set (\mathcal{S}, \leq) that is a totally ordered subset.

5.2 Symmetric polynomials and invariant theory

Definition 5.4 (Elementary symmetric polynomials). These s_i are the elementary symmetric polynomials.

Definition 5.5. $\alpha_1, \dots, \alpha_n$ are **algebraically independent** over K if the ring homomorphism $K[Y_1, \dots, Y_n] \rightarrow K[\alpha_1, \dots, \alpha_n] \leq L$ is an isomorphism where $K[Y_1, \dots, Y_n]$ is the polynomial ring in Y_1, \dots, Y_n .