# Part II – Galois Theory

Based on lectures by Dr C. Brookes Notes taken by Bhavik Mehta

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- 0 Introduction
- 0.1 Course overview

#### 1 Field Extensions

**Theorem 1.1** (Tower law). Suppose  $K \leq L \leq M$  are field extensions. Then |M:K| = |M:L| |L:K|.

*Proof.* Assume that  $|M:L|<\infty$ , and  $|L:K|<\infty$ . Take an L-basis of M, given by  $\{f_1,\ldots,f_b\}$ , and a K-basis of L given by  $\{e_1,\ldots,e_a\}$ . Take  $m\in M$ , so  $m=\sum_{i=1}^b\mu_if_i$  for some  $\mu_i\in L$ . Similarly,  $\mu_i=\sum_{j=1}^a\lambda_{ij}e_j$  for some  $\lambda_{ij}\in K$ , so

$$m = \sum_{i=1}^{b} \sum_{j=1}^{a} \lambda_{ij} e_j f_i$$

Thus  $\{e_i f_i \mid 1 \leq j \leq a, 1 \leq i \leq b\}$  span M.

Linear independence: It's enough to show that if  $0 = m = \sum \sum \lambda_{ij} e_j f_i$  then  $\lambda_{ij}$  are all zero. However if m = 0 the linear independence of  $f_i$  forces each  $\mu_i = 0$ . Then the linear independence of  $e_j$  forces  $\lambda_{ij}$  all to be zero, as required.

#### 1.1 Motivatory Example

#### 1.2 Review of GRM

**Lemma 1.2.** Let  $K \leq L$  be a finite field extension. Then L is algebraic over K.

*Proof.* Let |L:K|=n, and take  $\alpha \in L$ . Consider  $1, \alpha, \alpha^2, \ldots, \alpha^n$ , which must be linearly dependent in the *n*-dimensional K-vector space L. So,  $\sum_{i=0}^n \lambda_i \alpha^i = 0$  for some  $\lambda \in K$  not all zero, and hence  $\alpha$  is a root of  $f(t) = \sum_{i=0}^n \lambda_i t^i$ , so  $\alpha$  is algebraic over K.  $\alpha$  was arbitrary, so L is algebraic over K.

**Lemma 1.3.** Suppose  $K \leq L$  is a field extension,  $\alpha \in L$  and  $\alpha$  is algebraic over K. Then the minimal polynomial  $f_{\alpha}(t)$  of  $\alpha$  over K is irreducible in K[t] and  $I_{\alpha}$  is a prime ideal.

*Proof.* Suppose  $f_{\alpha}(t) = p(t)q(t)$ . We aim to show p(t) or q(t) is a unit in K[t]. But  $0 = f_{\alpha}(\alpha) = p(\alpha)q(\alpha)$ , so  $p(\alpha) = 0$  or  $q(\alpha) = 0$ , without loss of generality take  $p(\alpha) = 0$ , thus  $p(t) \in I_{\alpha}$ .

But  $I_{\alpha} = (f_{\alpha}(t))$ , so  $p(t) = f_{\alpha}(t)r(t)$ , giving  $f_{\alpha}(t) = f_{\alpha}(t)r(t)q(t)$  and so r(t)q(t) = 1 in K[t], and q(t) is a unit, as required. Recall from GRM that irreducible elements of K[t] are prime and hence generate prime ideals of K[t]. So  $I_{\alpha}$  is a prime ideal.

**Theorem 1.4.** Suppose  $K \leq L$  is a field extension and  $\alpha \in L$  is algebraic over K. Then

- (i)  $K(\alpha) = K[\alpha]$
- (ii)  $|K(\alpha):K| = \deg f_{\alpha}(t)$  where  $f_{\alpha}(t)$  is the minimal polynomial of  $\alpha$  over K.

Proof.

(i) Clearly  $K[\alpha] \leq K(\alpha)$ . We aim to show that any non-zero element  $\beta$  of  $K[\alpha]$  is a unit, so  $K[\alpha]$  is a field.

By definition of  $K[\alpha]$ , we have  $\beta = g(\alpha)$  for some  $g(t) \in K[t]$ . Since  $\beta = g(\alpha) \neq 0$ ,  $g(t) \notin I_{\alpha} = (f_{\alpha}(t))$ . Thus  $f_{\alpha}(t) \nmid g(t)$ .

From Lemma 1.3,  $f_{\alpha}(t)$  is irreducible and K[t] is a PID, we know  $\exists r(t), s(t) \in K[t]$  with

$$r(t)f_{\alpha}(t) + s(t)g(t) = 1 \in K[t].$$

Hence  $s(\alpha)g(\alpha) = 1$  in  $K[\alpha]$ , and so  $\beta = g(\alpha)$  is a unit, as required.

(ii) Let  $n = \deg f_{\alpha}(t)$  We'll show that  $T = \{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$  is a K-vector space basis of  $K[\alpha]$ .

Spanning: If  $f_{\alpha}(t) = t^n + a_{n-1}t^{n-1} + \dots + a_0$  with  $a_i \in K$ , then  $\alpha^n = -a_{n-1}\alpha^{n-1} - \dots - a_0$ . This implies  $\alpha^n$  is a linear combination of  $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$ , and an easy induction shows that  $\alpha^m$  for  $m \ge n$  is likewise a linear combination of  $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$ , so we have spanning.

Linear independence: Suppose  $\lambda_{n-1}\alpha^{n-1}+\ldots+\lambda_0=0$ . Let  $g(t)=\lambda_{n-1}t^{n-1}+\ldots+\lambda_0$ . Since  $g(\alpha)=0$ , we have  $g(t)\in I_{\alpha}=(f_{\alpha}(t))$ . So g(t)=0 or  $f_{\alpha}(t)\mid g(t)$ . The latter is not possible since  $\deg f_{\alpha}(t)>\deg g_{\alpha}(t)$  so g(t)=0 in K[t] and all the  $\lambda_i$ 's are zero.

**Corollary 1.5.** If  $K \leq L$  is a field extension and  $\alpha \in L$ , then  $\alpha$  is algebraic over K if and only if  $K \leq K(\alpha)$  is finite.

Proof.

- $(\Rightarrow)$  By Theorem 1.4,  $|K(\alpha):K|=\deg f_{\alpha}(t)\leq\infty$ .
- $(\Leftarrow)$  Lemma 1.2

Corollary 1.6. Let  $K \leq L$  be a field extension with |L:K| = n. Let  $\alpha \in L$ , then  $\deg f_{\alpha}(t) \mid n$ .

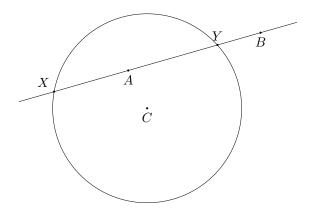
*Proof.* Use the Tower law on  $K \leq K(\alpha) \leq L$ . We deduce that  $|K(\alpha):K|$  divides |L:K|. Theorem 1.4(ii) gives deg  $f_{\alpha}(t) = |K(\alpha):K|$ .

## 1.3 Digression on (Non-)Constructibility

**Lemma 1.7.**  $x_i, y_i$  are both roots in  $K_i$  of quadratic polynomials in  $K_{i-1}[t]$ .

*Proof.* There are three cases for  $\mathbf{r_i}$ : line meets line, line meets circle, circle meets circle. We do the second case only here.

4



The line is defined by two points A = (p, q) and B = (r, s) while the circle is defined with a centre C = (t, u) and radius w. Then, points X and Y satisfy the equation of the line  $\frac{x-p}{r-p} = \frac{y-q}{s-q}$ , and the equation of the circle  $(x-t)^2 + (y-u)^2 = w^2$ . Solving these together gives coordinates of X and Y satisfying quadratic polynomials over  $K_{i-1}$ . The other two cases are left as an exercise for the reader.

**Theorem 1.8.** If  $\mathbf{r} = (x, y)$  is constructible from a set  $P_0$  of points in  $\mathbb{R}^2$  and if  $K_0$  is the subfield of  $\mathbb{R}$  generated by  $\mathbb{Q}$  and the coordinates of the points in  $P_0$ , then the degrees  $|K_0(x):K_0|$  and  $|K_0(y):K_0|$  are powers of two.

*Proof.* Continue with the previous notation of  $K_i = K_{i-1}(x_i, y_i)$ . By the Tower law,

$$|K_i:K_{i-1}|=|K_{i-1}(x,y):K_{i-1}(x)||K_{i-1}(x):K_{i-1}|$$

But Lemma 1.7 tells us that  $|K_{i-1}(x):K_{i-1}|$  must be 1 or 2 depending on whether the quadratic polynomial arising in the lemma is reducible or not, using Theorem 1.4(ii). Similarly,  $|K_{i-1}(x,y):K_{i-1}(x)|$  is 1 or 2.

So  $|K_i:K_{i-1}|=1,2$  or 4, (but in fact 4 cannot happen), hence by the Tower law,  $|K_n:K_0|=|K_n:K_{n-1}|\,|K_{n-1}:K_{n-2}|\dots|K_1:K_0|$  is a power of two.

If r = (x, y) is constructible from  $P_0$ , then

$$x, y \in K_n$$
 and  $K_0 \le K_0(x) \le K_n$   
 $K_0 \le K_0(y) \le K_n$ 

and the Tower Law again gives that  $|K_0(x):K_0|$  and  $|K_0(y):K_0|$  are also powers of 2.  $\square$ 

**Theorem 1.9.** Let f(t) be a primitive integral polynomial. Then f(t) is irreducible in  $\mathbb{Q}[t]$  if and only if it is irreducible in  $\mathbb{Z}[t]$ .

*Proof.* A special case of Gauss' lemma from GRM.

**Theorem 1.10** (Eisenstein's criterion). Let  $f(t) = a_n t^n + a_{n-1} t^{n-1} + \cdots + a_0 \in \mathbb{Z}[t]$ . Suppose there is a prime p such that

(i)  $p \nmid a_n$ 

- (ii)  $p \mid a_{n-1}, p \mid a_{n-2}, \dots, p \mid a_0$
- (iii)  $p^2 \nmid a_0$

Then f(t) is irreducible in  $\mathbb{Z}[t]$ 

Proof. Recall from GRM.

**Theorem 1.11.** The cube cannot be duplicated by ruler and compasses.

*Proof.* The problem amounts to whether given a unit distance, one can construct points distance  $\alpha$  apart, where  $\alpha$  satisfies  $t^3 - 2 = 0$ . Starting with points  $P_0 = \{(0,0), (1,0)\}$  can we produce  $(\alpha, 0)$ ?

No. If we could, Theorem 1.8 would say  $|\mathbb{Q}(\alpha):\mathbb{Q}|$  is a power of 2. But  $|\mathbb{Q}(\alpha):\mathbb{Q}| = 3$  since  $|\mathbb{Q}(\alpha):\mathbb{Q}| = \deg f_{\alpha}(t)$  where  $f_{\alpha}(t)$  is the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ .  $\alpha$  satisfies  $t^3 - 2$ , which is irreducible over  $\mathbb{Z}$  by Eisenstein's criterion hence irreducible over  $\mathbb{Q}$ . So  $t^3 - 2$  is the minimal polynomial  $f_{\alpha}(t)$ .

**Theorem 1.12.** The circle cannot be squared using ruler and compasses.

*Proof.* Starting with (0,0) and (1,0), we must construct  $(\sqrt{\pi},0)$  so that we have a square of side length  $\sqrt{\pi}$  and hence area  $\pi$ . But  $\pi$  and hence  $\sqrt{\pi}$  is transcendental over  $\mathbb{Q}$  (Lindemann - not proved here). Theorem 1.8 tells us we can't do this construction.

#### 1.4 Return to theory development

**Lemma 1.13.** Let  $K \leq L$  be a field extension. Then

- (i)  $\alpha_1, \ldots, \alpha_n \in L$  are algebraic over K if and only if  $K \leq K(\alpha_1, \ldots, \alpha_n)$  is a finite field extension.
- (ii) If  $K \leq M \leq L$  such that  $K \leq M$  is finite, then there exist  $\alpha_1, \ldots, \alpha_n \in L$  such that  $K(\alpha_1, \ldots, \alpha_n) = M$ .

Proof.

(i) By Corollary 1.5,  $\alpha$  is algebraic over K if and only if  $K \leq K[\alpha]$  is a finite field extension.  $\alpha_i$  is algebraic over K and hence algebraic over  $K(\alpha_1, \ldots, \alpha_{i-1})$  and so

$$|K(\alpha_1,\ldots,\alpha_i):K(\alpha_1,\ldots,\alpha_{i-1})|<\infty.$$

By the Tower law applied to

$$K \leq K(\alpha_1) \leq K(\alpha_1, \alpha_2) \leq \cdots \leq K(\alpha_1, \dots, \alpha_n),$$

we get  $|K(\alpha_1,\ldots,\alpha_n):K|<\infty$ .

Conversely, consider  $K \leq K(\alpha_i) \leq K(\alpha_1, \ldots, \alpha_n)$ . Then the tower law says that if  $|K(\alpha_1, \ldots, \alpha_n) : K| < \infty$  then  $|K(\alpha_i) : K| < \infty$  and by Corollary 1.5,  $\alpha_i$  is algebraic over K.

(ii) If |M:K|=n then M is an n-dimensional K-vector space, so there exists a K-basis  $\alpha_1,\ldots,\alpha_n$  over M. Then  $K(\alpha_1,\ldots,\alpha_n)\leq M$ . However, any element of M is a K-linear combination of  $\alpha_1,\ldots,\alpha_n$  and so lies in  $K(\alpha_1,\ldots,\alpha_n)$ , so  $M=K(\alpha_1,\ldots,\alpha_n)$ .

**Lemma 1.14.** Suppose  $K \leq L$ ,  $K \leq L'$  are field extensions. Then

- (i) Any K-homomorphism  $\phi: L \to L'$  is injective and  $K \leq \phi(L)$  is a field extension.
- (ii) If  $|L:K| = |L':K| < \infty$  then any K-homomorphism  $\phi: L \to L'$  is a K-isomorphism. Proof.
  - (i) L is a field and  $\ker \phi$  is an ideal of L. Note  $1 \mapsto 1$  and so  $\ker \phi$  can't be the whole of L, hence  $\ker \phi = \{0\}$ . So  $\phi(L)$  is a field and  $K \leq \phi(L)$  is a field extension.
- (ii)  $\phi$  is an injective K-linear map, so  $|\phi(L):K|=|L:K|$ . In general,  $|\phi(L):K|\leq |L':K|$ , but since |L:K|=|L':K| by assumption, we have  $|\phi(L):K|=|L':K|$ , hence  $\phi(L)=L'$  and  $\phi$  is a K-isomorphism  $L\to L'$ . (If L'=L then  $\phi$  would be a K-automorphism also.)

**Theorem 1.15** (Existence of splitting fields). Let K be a field and  $f(t) \in K[t]$ . Then there exists a splitting field for f over K.

*Proof.* If  $\deg f = 0$  then K is the splitting field for f over K.

Suppose deg f > 0 and pick an irreducible factor g(t) of f(t) in K[t], noting that  $K \le K[t]/(g(t))$  is a field extension.

Take

$$\alpha_1 = t + (g(t)) \in K[t]/(g(t)),$$

then  $K[t]/(g(t)) = K(\alpha_1)$  and  $g(\alpha_1) = 0$  in  $K(\alpha_1)$ . Therefore  $f(\alpha_1) = 0$  in  $K(\alpha_1)$  and we can write  $f(t) = (t - \alpha_1)h(t)$  in  $K(\alpha_1)[t]$ .

Repeat, noting that  $\deg h(t) < \deg f(t)$  and so we get

$$f(t) = a(t - \alpha_1)(t - \alpha_2) \cdots (t - \alpha_n)$$

where a is a constant in K. Thus, we have a factorisation of f(t) in  $K(\alpha_1, \ldots, \alpha_n)[t]$ , and so  $K(\alpha_1, \ldots, \alpha_n)$  is a splitting field for f over K.

**Theorem 1.16** (Uniqueness of splitting fields). If K is a field and  $f(t) \in K[t]$ , then the splitting field for f over K is unique up to K-isomorphism, that is, if there are two such splitting fields L and L', there is a K-isomorphism  $\phi: L \to L'$ .

*Proof.* Suppose L and L' are splitting fields for  $f(t) \in K[t]$  over K. We need to show that there is a K-isomorphism  $L \to L'$ .

Suppose  $K \leq M \leq L$  and there exist M' with  $K \leq M' \leq L'$  and a K-isomorphism  $\psi: M \to M'$ . Clearly some M exists (we can take M = K), so we pick M so that |M:K| is maximal among all such  $M, M', \psi$ .

We must show M = L and M' = L'. Note that if M = L then f(t) splits over M:

$$f(t) = a(t - \alpha_1) \cdots (t - \alpha_n) \in M[t]$$

Apply  $\psi$ , we get an induced map  $M[t] \to M'[t]$ .

$$f(t) = \psi(f(t)) = \psi(a)(t - \psi(\alpha_1)) \cdots (t - \psi(\alpha_n))$$

Thus f(t) splits over  $\psi(M) = M'$ . But L' is a splitting field and  $M' \leq L'$ , so M' = L'.

So, suppose  $M \neq L$  and we'll get a contradiction of maximality of M. Since  $M \neq L$ , there is a root  $\alpha$  of f(t) in L which isn't in M. Factorise f(t) = g(t)h(t) in M[t] so that g(t) is irreducible in M[t] while  $g(\alpha) = 0$  in L. Then there exists a K-homomorphism  $M[t]/(g(t)) \to L$  given by  $t + (g(t)) \mapsto \alpha$  which has image  $M(\alpha)$ .

The K-isomorphism  $M[t] \to M'[t]$  induced by  $\psi$  maps  $g(t) \in M[t]$  to  $\gamma(t) \in M'[t]$ . f(t) = g(t)h(t) in M[t] yields  $f(t) = \gamma(t)\delta(t)$  in M'[t].

We have a field extension  $M' \leq M'[t]/(\gamma(t))$  and there exists a M'-homomorphism  $M'[t]/(\gamma(t)) \to L'$  given by  $t + (\gamma(t))$  by picking a root  $\alpha'$  of  $\gamma(t)$  in L'. However  $\gamma(t) \mid f(t)$  in M'[t] and hence in L'[t] and so  $\alpha'$  is also a root of f(t) in L'. The M'-homomorphism gives a K-isomorphism

$$M'[t]/(\gamma(t)) \to M'(\alpha')$$

and so we have a K-isomorphism  $M(\alpha) \to M'(\alpha')$ . This contradicts the maximality of M, since  $M \nsubseteq M(\alpha)$ .

**Theorem 1.17.** Let  $K \leq L$  be a finite field extension. Then  $K \leq L$  is normal  $\iff L$  is the splitting field for some  $f(t) \in K[t]$ .

**Theorem 1.18.** Let G be a finite subgroup of the multiplicative group of a field K. Then G is cyclic. In particular, the multiplicative group of a finite field is cyclic.

*Proof.* Let |G| = n. By the structure theorem of finite abelian groups from GRM,

$$G \cong C_{q_1^{m_1}} \times C_{q_2^{m_2}} \times \dots \times C_{q_r^{m_r}}$$

with  $q_i$  prime, not necessarily distinct. However if  $q=q_i=q_j$  for some  $i\neq j$ , there are at least  $q^2$  distinct solutions of  $t^q-1=0$  in K (since  $C_q\times C_q\cong \operatorname{subgroup}$  of G). But in a field (or even an integral domain), a polynomial of degree q has at most q roots, a contradiction. So all the  $q_i$  are distinct and hence G is cyclic, generated by  $(g_1,\ldots,g_r)$  where  $g_i$  generates  $C_{q_i^{m_i}}$  using the Chinese Remainder Theorem.

# 2 Separable, normal and Galois extensions

**Lemma 2.1.** Let K be a field and  $f(t), g(t) \in K[t]$ . Then:

- (a) D(f(t)g(t)) = f'(t)g(t) + f(t)g'(t) (Leibniz' rule)
- (b) Assume  $f(t) \neq 0$ . Then f(t) has a repeated root in a splitting field L if and only if f(t) and f'(t) have a common irreducible factor in K[t].

Proof.

- (a) D is a K-linear map and so we only need to check for  $f(t) = t^n$ ,  $g(t) = t^m$ . Left as an exercise.
- (b) Let  $\alpha$  be a repeated root in a splitting field L, then

$$f(t) = (t - \alpha)^2 g(t) \in L[t]$$
  
$$f'(t) = (t - \alpha)^2 g'(t) + 2(t - \alpha)g(t)$$

and so  $f'(\alpha) = 0$ . Therefore the minimal polynomial  $f_{\alpha}(t)$  of  $\alpha$  in K[t] divides both f(t) and f'(t) and thus  $f_{\alpha}(t)$  is a common irreducible factor of f(t) and f'(t).

Conversely, let h(t) be a common irreducible factor of f(t) and f'(t) in K[t]. Pick a root  $\alpha$  in L of h(t).

So  $f(\alpha) = 0 = f'(\alpha)$ , thus f(t) = (t - a)g(t) in L[t], and f'(t) = (t - a)g'(t) + g(t). Since  $f'(\alpha) = 0$  we have  $(t - a) \mid f'(t)$ . and so  $(t - a) \mid g(t)$ . Hence  $(t - a)^2 \mid f(t)$  and we have a repeated root.

Corollary 2.2. If K is a field and  $f(t) \in K[t]$  is irreducible:

- (i) If the characteristic of K is 0, then f(t) is separable over K.
- (ii) If the characteristic of K is p > 0, then f(t) is not separable if and only if  $f(t) \in K[t^p]$ .

*Proof.* By Lemma 2.1, f(t) is not separable over K if and only if f(t) and f'(t) have a common irreducible factor. Since we're assuming f(t) is irreducible, this is equivalent to saying f'(t) = 0.

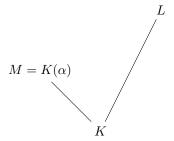
$$f(t) = a_n t^n + a_{n-1} t^{n-1} + \dots + a_0$$
  
$$f'(t) = n a_n t^{n-1} + \dots + a_1$$

Thus  $f'(t) = 0 \iff ia_i = 0$  for all i > 0.

- (i) If char K = 0 then  $f'(t) \neq 0$  for any non-constant polynomial, so f(t) is separable over K.
- (ii) If char K = p > 0 then if f'(t) = 0 we have  $ia_i = 0$  for all i > 0, so f(t) is not separable  $\iff f(t) \in K[t^p]$ .

**Lemma 2.3.** Let  $M = K(\alpha)$ , where  $\alpha$  is algebraic over K and let  $f_{\alpha}(t)$  be the minimal polynomial of  $\alpha$  over K.

Then, for any field extension  $K \leq L$ , the number of K-homomorphisms of M to L is equal to the number of distinct roots of  $f_{\alpha}(t)$  in L. Thus this number is  $\leq \deg f_{\alpha}(t) = |K(\alpha):K| = |M:K|$ .



*Proof.* We saw in Lemma 1.14 that any K-homomorphism  $M \to L$  is injective, and we have

$$K(\alpha) \cong \frac{K[t]}{(f_{\alpha}(t))}.$$

For any root  $\beta$  of  $f_{\alpha}(t)$  in L we can define a K-homomorphism

$$\frac{K[t]}{(f_{\alpha}(t))} \to L$$
$$t + (f_{\alpha}(t)) \mapsto \beta$$

Thus we get a K-homomorphism  $M \to L$ .

Conversely, for any K-homomorphism  $\phi: M \to L$  the image  $\phi(\alpha)$  must satisfy

$$f_{\alpha}(\phi(\alpha)) = 0.$$

These processes are inverse to each other, giving a 1-1 correspondence

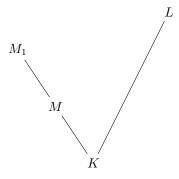
$$\{K \text{ homomorphisms } M \to L\} \longleftrightarrow \{\text{roots of } f_{\alpha}(t) \in L\}.$$

Corollary 2.4. The number of K-homomorphisms  $K(\alpha) \to L = \deg f_{\alpha}(t) \iff L$  is large enough, in particular L contains a splitting field for  $f_{\alpha}(t)$  and  $\alpha$  is separable over K.

*Proof.* Immediate from Lemma 2.3.  $\Box$ 

**Lemma 2.5.** Let  $K \leq M$  be a field extension and  $M_1 = M(\alpha_1)$  (where  $\alpha_1$  is algebraic over M). Let f(t) be the minimal polynomial of  $\alpha_1$  over M and let  $K \leq L$ . Let  $\phi: M \to L$  be a K-homomorphism. Then there is a correspondence

{Extensions  $\phi_1: M_1 \to L \text{ of } \phi$ }  $\longleftrightarrow$  {roots of  $\phi(f(t)) \in L$ }.



*Proof.* f(t) is irreducible in M[t], so  $\phi(f(t))$  is irreducible in  $\phi(M)[t]$ . Any extension  $\phi_1: M \to L$  of  $\phi$  produces a root  $\phi_1(\alpha_1)$  of  $\phi(f(t))$ .

Conversely, given a root  $\gamma$  of  $\phi(f(t))$  in L,

$$M_1 = M(\alpha_1) \cong \frac{M[t]}{(f(t))} \cong \frac{\phi(M)[t]}{(\phi(f(t)))} \cong \phi(M)(\phi) \leq L.$$

Thus we get an extension  $\phi_1$  of  $\phi$  as required.

Corollary 2.6. If L is large enough, the number of  $\phi_1$  which extend  $\phi$  is equal to the number of distinct roots of f(t) in L. This is equal to  $|M_i:M|\iff \alpha$  is separable over M.

*Proof.* Immediate from Lemma 2.5.

Corollary 2.7. Let  $K \leq M \leq N$  be finite field extensions,  $K \leq L$ . Let  $\phi: M \to L$  be a K-homomorphism. Then the number of extensions of  $\phi$  to maps  $\theta: N \to L$  is  $\leq |N:M|$ . Moreover, such a  $\theta$  exists if L is large enough.

*Proof.* Pick  $\alpha_1, \ldots, \alpha_r$  so that  $N = M(\alpha_1, \ldots, \alpha_r)$  and set  $M_i = M(\alpha_1, \ldots, \alpha_i)$ . Then we've got

$$M < M_1 < M_2 < \cdots < M_r = N.$$

Using Lemma 2.5, there are

$$\leq |M_1:M|$$
 extensions  $\phi_1:M_1\to L$  of  $\phi$   
 $\leq |M_2:M_1|$  extensions  $\phi_2:M_2\to L$  of  $\phi_1$   
 $\vdots$   
 $\leq |M_r:M_{r-1}|$  extensions  $\phi_r:M_r\to L$  of  $\phi_{r-1}$ 

By the Tower law, the number of extensions  $\theta: N \to L$  (recall  $N = M_r$ ) of  $\phi: M \to L$  is

$$< |M_r: M_{r-1}| |M_{r-1}: M_{r-2}| \cdots |M_1: M| = |N: M|$$

where the last part comes from the proof of Lemma 2.5 - we need L to contain roots.

**Lemma 2.8.** Let  $K \leq N$  be a field extension with |N:K| = n and  $N = K(\alpha_1, \ldots, \alpha_r)$  say. Then the following are equivalent:

- (i) N is separable over K.
- (ii) Each  $\alpha_i$  is separable over  $K(\alpha_1, \ldots, \alpha_{i-1})$ .
- (iii) If  $K \leq L$  is large enough there are exactly n distinct K-homomorphisms  $N \to L$ .

*Proof.* (i)  $\Rightarrow$  (ii). N is separable over  $K \Longrightarrow \alpha_i$  is separable over K. The minimal polynomial of  $\alpha_i$  over  $K(\alpha_1, \ldots, \alpha_{i-1})$  divides the minimal polynomial of  $\alpha_i$  over K (in  $K(\alpha_1, \ldots, \alpha_{i-1})[t]$ ).

So if the latter has distinct roots in a splitting field then the former does. So  $\alpha_i$  separable over  $K \implies \alpha_i$  separable over  $K(\alpha_1, \dots, \alpha_{i-1})$ .

(ii)  $\Rightarrow$  (iii) follows from ??.

(iii)  $\Rightarrow$  (i). Assume (iii) is true and (i) false, aiming for a contradiction. So,  $\exists \beta \in N$  that is not separable over K, so there are  $\subsetneq |K(\beta):K|$  K-homomorphisms  $\phi:K(\beta)\to L$  by Corollary 2.4.

By Corollary 2.7,  $\phi$  extends to  $\leq |N:K(\beta)|$  extensions  $\theta:N\to L$ , and so there are  $\leq |N:K(\beta)||K(\beta):K||$  K-homomorphisms  $N\to L$ , contradiction.

Corollary 2.9. A finite extension is separable  $\iff$  it is separably generated.

Proof. Lemma 2.8.  $\Box$ 

**Lemma 2.10.** If  $K \leq M \leq L$  finite field extensions,  $M \leq L$ , then

 $K \leq M, M \leq L$  are both separable  $\iff K \leq L$  is separable

*Proof.* Example sheet.

**Theorem 2.11** (Primitive Element Theorem). Any finite separable extension  $K \leq M$  is a simple extension, that is,  $M = K(\alpha)$  for some  $\alpha$ , called a primitive element.

*Proof.* First deal with the case where K is a finite field. Then M is also finite and we can take  $\alpha$  to be a generator of the multiplicative group of M, which is cyclic.

Now assume K is an infinite field.

Since  $K \leq M$  is a finite extension,  $M = K(\alpha_1, \alpha_2, \dots, \alpha_n)$  for some  $\alpha_i$ . It is enough to show that any field  $M = K(\alpha, \beta)$  with  $\beta$  separable over K is of the form  $K(\gamma)$ .

Take f(t) and g(t) to be the minimal polynomials of  $\alpha$  and  $\beta$  over K and let L be the splitting field for f(t)g(t) over  $K(\alpha, \beta)$ . Say the distinct zeros of f(t) in L are  $\alpha = \alpha_1, \ldots, \alpha_a$  and of g(t) are  $\beta = \beta_1, \ldots, \beta_b$ .

By separability,  $b = \deg g(t)$ . Choose  $\lambda \in K$  such that all  $\alpha_i + \lambda \beta_j$  are distinct, which is possible since K is infinite. Set  $\gamma = \alpha + \lambda \beta$ .

Let  $F(t) = f(\gamma - \lambda t) \in K(\gamma)[t]$ . We have  $g(\beta) = 0$  and  $F(\beta) = f(\alpha) = 0$ . Thus F(t) and g(t) have a common zero.

Any other common zero would have to be  $\beta_j$  for some j > 1. But then  $F(\beta_j) = f(\alpha + \lambda(\beta - \beta_j))$ . By assumption,  $\alpha + \lambda(\beta - \beta_j)$  is never an  $\alpha_i$  and so  $F(\beta_j) \neq 0$ . Separability of g(t) says its linear factors are all distinct, so  $(t - \beta)$  is a highest common factor of F(t) and g(t) in L[t].

However the minimal polynomial h(t) of  $\beta$  over  $K(\gamma)$  then divides F(t) and g(t) in  $K(\gamma)[t]$  and hence in L[t]. This implies  $h(t) = t - \beta$  and so  $\beta \in K(\gamma)$ . Therefore  $\alpha = \gamma - \lambda \beta \in K(\gamma)$  and so  $K(\alpha, \beta) \subset K(\gamma)$  and equality holds since  $\gamma \in K(\alpha, \beta)$ .

#### 2.1 Trace and Norm

**Theorem 2.12.** With the above notation, suppose  $f_{\alpha}(t) = t^s + a_{s-1}t^{s-1} + \cdots + a_0$  is the minimal polynomial for  $\alpha$  over K. Let  $r = |M: K(\alpha)|$ , then the characteristic polynomial of  $\theta_{\alpha}$  is  $(f_{\alpha}(t))^r$ .

Note

$$|M : K| = |M : K(\alpha)| |K(\alpha) : K| = rs.$$

Then  $\text{Tr}_{M/K}(\alpha) = -ra_{s-1}$  and  $N_{M/K} = ((-1)^s a_0)^r$ .

*Proof.* Regard M as a  $K(\alpha)$ -vector space with basis  $1 = \beta_1, \ldots, \beta_r$ . Now take the K-vector space basis  $1, \alpha, \alpha^2, \ldots, \alpha^{s-1}$  of  $K(\alpha)$ . So,  $1, \alpha, \alpha^2, \ldots, \alpha^{s-1}, \beta_2, \beta_2\alpha, \ldots, \beta_2\alpha^{s-1}, \beta_3, \ldots$  is a K-vector space basis for M. Multiplication by  $\alpha$  in  $K(\alpha)$  is represented by matrix

$$\mathbf{A} = \begin{pmatrix} 0 & 0 & 0 & \dots & 0 & -a_0 \\ 1 & 0 & 0 & \dots & 0 & -a_1 \\ 0 & 1 & 0 & \dots & 0 & -a_2 \\ 0 & 0 & 1 & \dots & 0 & -a_3 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & -a_{s-1} \end{pmatrix}$$

an  $s \times s$  matrix whose characteristic polynomial is  $f_{\alpha}(t)$ .

Multiplication by  $\alpha$  in M is represented by the  $rs \times rs$  matrix

$$\begin{pmatrix} \mathbf{A} & 0 & 0 & \dots & 0 \\ 0 & \mathbf{A} & 0 & \dots & 0 \\ 0 & 0 & \mathbf{A} & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & \mathbf{A} \end{pmatrix}$$

whose characteristic polynomial is  $(f_{\alpha}(t))^r$ .

Look at the terms of this characteristic polynomial to get the trace and norm.

**Theorem 2.13.** Let  $K \leq M$  be a finite separable field extension and |M:K| = n,  $\alpha \in M$ . Let  $K \leq L$  be large enough so that there are n distinct K-homomorphisms

$$\sigma_1, \sigma_2, \ldots, \sigma_n : M \longrightarrow L.$$

Then the characteristic polynomial of  $\theta_{\alpha}: M \to M$  (the multiplication map) is

$$\prod_{i=1}^{n} (t - \sigma_i(\alpha))$$

hence

$$\operatorname{Tr}_{M/K}(\alpha) = \sum_{i=1}^{n} \sigma_i(\alpha)$$
 and  $N_{M/K}(\alpha) = \prod_{i=1}^{n} \sigma_i(\alpha)$ .

*Proof.* Write

$$f_{\alpha}(t) = (t - \alpha_1) \dots (t - \alpha_s) \in L[t]$$
  
=  $t^s + a_{s-1}t^{s-1} + \dots + a_0$ 

the minimal polynomial of  $\alpha$  over K (where L large enough implies  $f_{\alpha}(t)$  splits in L). There are s K-homomorphisms  $K[\alpha] \to L$  corresponding to maps sending  $\alpha$  to  $\alpha_i$ .

Each of these extends in  $|M:K(\alpha)|$  ways to give K-homomorphisms  $M\to L$  (by separability and Corollary 2.6).

However each of these extensions of a map sending  $\alpha \to \alpha_i$  still sends  $\alpha \to \alpha_i$ . Set  $r = |L: K(\alpha)|$ . Thus there are r maps sending  $\alpha \to \alpha_i$  for each i. Thus if the n(=rs)

distinct K-homomorphisms  $M \to L$  are  $\sigma_1, \ldots, \sigma_n$ , then

$$\sum_{i=1}^{n} \sigma_i(\alpha) = r(\alpha_1 + \alpha_2 + \dots + \alpha_s) = -ra_{s-1} = \operatorname{Tr}_{M/K}(\alpha)$$

$$\prod_{i=1}^{n} \sigma_i(\alpha) = ((-1)^s a_0)^n = N_{M/K}(\alpha).$$

**Theorem 2.14.** Let  $K \leq M$  be a finite separable extension. Then we define a K-bilinear form

$$T: M \times M \to K$$
  
 $(x,y) \longmapsto \operatorname{Tr}_{M/K}(xy).$ 

Then this is non-degenerate and in particular the K-linear map  $\operatorname{Tr}_{M/K}: M \to K$  is non-zero, and hence surjective.

*Proof.* Separability and finiteness give  $M = K(\alpha)$  for some  $\alpha$ , by Theorem 2.11. We have a K-basis  $1, \alpha, \alpha^2, \ldots, \alpha^{n-1}$  of  $K(\alpha)$  where n = |M:K|. The K-bilinear form is represented by

$$A = \begin{pmatrix} \operatorname{Tr}_{M/K}(1) & \operatorname{Tr}_{M/K}(\alpha) & \dots \\ \operatorname{Tr}_{M/K}(\alpha) & \operatorname{Tr}_{M/K}(\alpha^2) & \dots \\ \vdots & \vdots & \ddots \end{pmatrix}.$$

Let L be the splitting field of the minimal polynomial  $f_{\alpha}(t)$  of  $\alpha$  over K.

Thus  $f_{\alpha}(t) = (t - \alpha_1) \cdots (t - \alpha_n)$  with  $\alpha_1, \dots, \alpha_n \in L$ . The entries in A are of the form  $\text{Tr}_{M/K}(\alpha^e)$  which is  $\alpha_1^e + \dots + \alpha_n^e$  using Theorem 2.13.

Now consider  $\Delta = \prod_{i < j} (\alpha_i - \alpha_j)$ , the discriminant of V:

$$V = \begin{pmatrix} 1 & 1 & \dots & 1 \\ a_1 & a_2 & \dots & a_n \\ a_1^2 & a_2^2 & \dots & a_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ a_1^{n-1} & a_2^{n-1} & \dots & a_n^{n-1} \end{pmatrix}.$$

Observe that  $VV^T=A$ , and  $0 \neq \Delta^2=\left|VV^T\right|=|A|$ , so A is non-singular and therefore the bilinear form T is non-degenerate.

#### 2.2 Normal extensions

*Proof.* Assume  $K \leq M$  is normal. Pick  $\alpha_1, \ldots, \alpha_r \in M$  so that  $M = K(\alpha_1, \ldots, \alpha_r)$ . Let  $f_{\alpha_i}(t)$  be the minimal polynomial for  $\alpha_i$  over K.

$$f(t) = f_{\alpha_1}(t) f_{\alpha_2}(t) \dots f_{\alpha_r}(t).$$

By normality, each  $f_{\alpha_i}(t)$  splits over M and therefore f(t) splits over M. M is the splitting field of f(t) over K since if  $\beta_1, \ldots, \beta_m$  are the roots of f(t) then  $M = K(\beta_1, \ldots, \beta_m)$ .

Conversely, suppose M is a splitting field for f(t) over K. Thus  $M = K(\beta_1, \ldots, \beta_m)$  where the  $\beta_j$  are the roots of f(t) in M.

Take  $\alpha \in M$ . Let f(t) be the minimal polynomial of  $\alpha$  over K. Let  $M \leq L$  large enough so that  $f_{\alpha}(t)$  splits in L and consider K-homomorphisms  $\phi : M \to L$ .  $\phi(\beta_j)$  is also a root of f(t) and is therefore one of the  $\beta_j$ s. Injectivity of K-homomorphisms (Lemma 1.14) implies that  $\phi$  generate the  $\beta_j$ .

 $M = K(\beta_1, \dots, \beta_m)$  and so  $\phi$  is determined by the images of the  $\beta_j$  and thus  $\phi(M) = M$ . However if  $\alpha_i$  is a root of  $f_{\alpha}(t)$  in L, there is a K-homomorphism

$$K(\alpha) \longrightarrow K(\alpha_i) \leq L$$
  
 $\alpha \longmapsto \alpha_i$ .

This extends by Corollary 2.7 to a K-homomorphism  $\phi: M \to L$  with  $\phi(\alpha) = \alpha_i$ . But  $\phi(M) = M$ , so  $\alpha_i \in M$ . Thus M is normal over K.

#### Lemma 2.15.

$$\operatorname{Aut}_K(M) \leq |M:K|$$
.

*Proof.* Corollary 2.7.

**Theorem 2.16.** Let  $K \leq M$  be a finite field extension. Then  $|\operatorname{Aut}_K(M)| = |M:K|$  iff the extension is both normal and separable.

Proof of Theorem 2.16.  $(\Rightarrow)$ . Suppose  $|\operatorname{Aut}_K(M)| = |M:K| = n$ . Let L be large enough containing M.

The n distinct K-homomorphisms  $\phi: M \to M \leq L$  give us n K-homomorphisms  $\phi: M \to L$  and Lemma 2.8 says that M is separable over K. For normality, pick  $\alpha \in M$  with minimal polynomial  $f_{\alpha}(t)$  over K.

Take  $M = K(\alpha_1, ..., \alpha_m)$  as in the proof of Corollary 2.7 with  $\alpha = \alpha_1$  and L = M. We only get |M:K| extensions of the inclusion  $K \hookrightarrow M$  if each inequality in the proof is an equality. In particular we need the number of K-homomorphisms  $K(\alpha_1) \to M$  to be  $|K(\alpha_1):K|$ .

But then Lemma 2.3 says we have  $|K(\alpha):K|$  distinct roots of  $f_{\alpha}(t)$  in M. Thus  $f_{\alpha}(t)$  splits over M.

Conversely, suppose  $K \leq M$  is separable and normal. Then for  $K \leq M \leq L$  with L large enough, separability implies there are |M:K| K-homomorphisms  $\phi:M\to L$  by Lemma 2.8. However since  $K \leq M$  is normal, it is the splitting field for some polynomial  $f(t) \in K[t]$  (Theorem 1.17) and thus  $M = K(\alpha_1, \ldots, \alpha_n)$ , where  $f(t) = (t - \alpha_1) \cdots (t - \alpha_n)$ . Note that  $\phi(a_j)$  is also a root of  $\phi(f(t)) = f(t)$  and is therefore one of the  $\alpha_j$ s. Thus  $\phi(M) = M$ . Thus we have |M:K| K-homomorphisms  $\phi:M\to M$ .

# 3 Fundamental Theorem of Galois Theory

#### 3.1 Artin's Theorem

**Theorem 3.1** (Fundamental Theorem of Galois Theory). Let  $K \leq L$  be a finite Galois extension. Then

(i) there is a 1 to 1 correspondence

$$\{\text{intermediate subfields } K \leq M \leq L\} \longleftrightarrow \{\text{subgroups } H \text{ of } \operatorname{Gal}(L/K)\}$$
 
$$M \longmapsto \operatorname{Aut}_M(L)$$
 
$$L^H \longleftrightarrow H$$

This is called the Galois correspondence.

- (ii) H is a normal subgroup of Gal(L/K) iff  $K \leq L^H$  is normal iff  $K \leq L^H$  is Galois.
- (iii) If  $H \triangleleft \operatorname{Gal}(L/K)$  then the map

$$\theta: \operatorname{Gal}(L/K) \longrightarrow \operatorname{Gal}(L^H/K)$$

given by restriction to  $L^H$  is a surjective group homomorphism with kernel H.

**Theorem 3.2** (Artin's Theorem). Let  $K \leq L$  be a field extension and H a finite subgroup of  $\operatorname{Aut}_K(L)$ . Let  $M = L^H$ . Then  $M \leq L$  is a finite Galois extension, and  $H = \operatorname{Gal}(L/M)$ .

Proof of Artin's Theorem. Take  $\alpha \in L$ .

First step: Show that  $|M(\alpha):M| \leq |H|$ . Let

$$\underbrace{\{\alpha_1,\ldots,\alpha_n\}}_{\text{all distinct}} = \{\phi(\alpha) \mid \phi \in H\}.$$

Define  $g(t) = \prod_{i=1}^n (t - \alpha_i)$ . Each  $\phi$  induces a homomorphism  $L[t] \to L[t]$  that sends g(t) to itself, since  $\phi$  is permuting the  $\alpha_i$ . So the coefficients of g(t) are fixed by all  $\phi \in H$  and thus they all lie in  $L^H = M$ . Thus  $g(t) \in M[t]$ .

By definition,  $g(\alpha) = 0$  since  $\alpha$  is one of the  $\alpha_i$ . Hence the minimal polynomial  $f_{\alpha}(t)$  of  $\alpha$  over M divides g(t). Thus  $|M(\alpha):M| = \deg f_{\alpha}(t) \leq \deg g(t) \leq |H|$ . We've shown that  $\alpha$  is algebraic over M. Moreover,  $f_{\alpha}(t)$  is separable since g(t) is. Thus  $M \leq L$  is a separable extension.

**Next step:** Show that  $M \leq L$  is a simple extension. Pick  $\alpha \in L$  with  $|M(\alpha): M|$  maximal. We'll show that  $L = M(\alpha)$  for this choice of  $\alpha$ . Suppose  $\beta \in L$ . Then  $M \leq M(\alpha, \beta)$  is finite and is separably generated and hence is a finite separable extension by Lemma 2.8.

By the Primitive Element Theorem,  $M(\alpha, \beta) = M(\gamma)$  for some  $\gamma$ . But  $M \leq M(\alpha) \leq M(\gamma)$ . The maximality of  $|M(\alpha): M|$  forces  $M(\alpha) = M(\gamma)$ . Thus  $\beta \in M(\gamma) = M(\alpha)$  and so  $L = M(\alpha)$  so  $|L: M| \leq |H|$ .

Finally,

$$|L:M| = |M(\alpha):M| \leq |H| \leq |\mathrm{Aut}_M(L)| \leq |L:M|$$
 Lemma 2.15

We must have equality throughout, and so  $|L:M| = |\operatorname{Aut}_M(L)| = |H|$ . Hence by Theorem 2.16 we have  $M \leq L$  is a finite Galois extension and  $H = \operatorname{Gal}(L/M)$ .

**Theorem 3.3.** Let  $K \leq L$  be a finite field extension. Then the following are equivalent:

- (i)  $K \leq L$  is Galois
- (ii)  $L^H = K$  when  $H = Aut_K(L)$

*Proof.* (i)  $\Rightarrow$  (ii): Let  $M = L^H$  where  $H = \operatorname{Aut}_K(L)$ . By Artin's Theorem,  $M \leq L$  is a Galois extension, and  $|L:M| = |\operatorname{Gal}(L/M)|$  and  $H = \operatorname{Gal}(L/M)$ .

However if  $K \leq L$  is Galois then  $|H| = |\operatorname{Aut}_K(L)| = |L:K|$  by Theorem 2.16. Thus |L:M| = |L:K| and so M = K.

(ii) 
$$\Leftarrow$$
 (i): Use Theorem 3.2.

Proof of Fundamental Theorem of Galois Theory.

(i) Composing the maps  $H \to L^H$  and  $M \to \operatorname{Gal}(L/M)$  gives  $H \to H$  by Theorem 3.2. Also  $M \longrightarrow \operatorname{Gal}(L/M) \longrightarrow L^H$  where  $H = \operatorname{Gal}(L/M)$  yields M since  $M \le L^H$  where  $H = \operatorname{Gal}(L/M)$  and

$$|L:L^H| \underset{(2.16)}{=} |H| = |Gal(L/M)| \underset{(2.16)}{=} |L:M|$$

So  $M = L^H$ .

(ii) Take  $H \leq \operatorname{Gal}(L/K)$ , then  $L^{\phi H \phi^{-1}} = \phi(L^H)$  when  $\phi \in \operatorname{Gal}(L/K)$ . So by (i), H is normal iff  $\phi(L^H) = L^H$ . Set  $M = L^H$ .

We'll show that  $K \leq M$  is normal iff  $\phi(M) = M \quad \forall \phi \in \operatorname{Gal}(L/K).$   $K \leq M$  is normal  $\implies \phi(M) = M$  by remark 2 after the statement of Fundamental Theorem of Galois Theory.

Conversely if  $\phi(M) = M \quad \forall \phi \in \operatorname{Gal}(L/K)$ , pick  $\alpha \in M$  and let  $f_{\alpha}(t)$  be its minimal polynomial over K. Take  $\beta$  to be a root of  $f_{\alpha}(t)$  in L (possible by normality). Then there is a K-homomorphism

$$K(\alpha) \cong \frac{K[t]}{(f_{\alpha}(t))} \longrightarrow K(\beta) \cong \frac{K[t]}{(f_{\alpha}(t))} \leq L$$

This extends to a K-homomorphism  $\phi: L \to L$ .

However we are assuming  $\phi(M)=M$  and so  $\phi(\alpha)=\beta\in M$ . Thus  $K\leq M$  is normal. Note that  $K\leq L^H$  is separable since  $K\leq L^H\leq L$  and  $K\leq L$  separable.

(iii) By remark 2 after statement of Theorem 3.1, the restriction map

$$\theta: \operatorname{Gal}(L/K) \to \operatorname{Gal}(L^H/K)$$

is defined. Surjectivity follows from being able to extend a K-homomorphism  $L^H \to L^H \leq L$  to a K-homomorphism  $L \to L$  by Corollary 2.7. Clearly  $H \leq \operatorname{Ker} \theta$ . However

$$\begin{split} \frac{|L:K|}{|\mathrm{Ker}\,\theta|} &= \frac{\mathrm{Gal}(L/K)}{|\mathrm{Ker}\,\theta|} \\ &= \left|\mathrm{Gal}(L^H/K)\right| \quad \text{by surjectivity of } \theta \\ &= \left|L^H:K\right| \quad \text{since } K \leq L^H \text{ is Galois} \\ &= \frac{|L:K|}{|L:L^H|} \quad \text{by Tower law} \end{split}$$

So 
$$|\operatorname{Ker} \theta| = |L:L^H| = |\operatorname{Gal}(L/L^H)| = |H|$$
 by Theorem 3.2, so  $H = \operatorname{Ker} \theta$ .

#### 3.2 Galois groups of polynomials

**Lemma 3.4.** Suppose f(t) is separable,  $f(t) = g_1(t) \cdots g_s(t)$  with  $g_i(t)$  irreducible in K[t] is a factorisation in K[t]. Then the orbits of Gal(f) on the roots of f(t) correspond to the factors  $g_i(t)$ .

Two roots are in the same orbit  $\iff$  they are roots of the same  $g_i(t)$ .

In particular, if f(t) is irreducible in K[t] there is one orbit, i.e., Gal(f) acts transitively on the roots of f(t).

*Proof.* Let  $\alpha_k, \alpha_l$  be in the same orbit under  $\operatorname{Gal}(f)$ . Thus there is  $\phi \in \operatorname{Gal}(f)$  with  $\alpha_l = \phi(\alpha_k)$ . But if  $\alpha_k$  is a root of  $g_j(t)$  then  $\phi(\alpha_k) = \alpha_l$  is also a root of  $g_j(t)$ .

Conversely, if  $\alpha_k, \alpha_l$  are roots of  $g_i(t)$  then

$$K(\alpha_k) \cong \frac{K[t]}{(g_j(t))} \cong K(\alpha_l) \leq L$$

with  $\phi_0(\alpha_k) = \alpha_l$ .  $\phi_0$  extends to a  $\phi: L \to L \in \operatorname{Gal}(L/K)$ , thus  $\alpha_k, \alpha_l$  are in the same orbit.

**Lemma 3.5.** The transitive subgroups of  $S_n$  for  $n \leq 5$  are

$$\begin{array}{ll} n=2 \colon & S_2 \ (\cong C_2) \\ n=3 \colon & A_3 \ (\cong C_3), \ S_3 \\ n=4 \colon & C_4, \ V_4, \ D_8, \ A_4, \ S_4 \\ n=5 \colon & C_5, \ D_{10}, \ H_{20}, \ A_5, \ S_5 \end{array}$$

where  $H_{20}$  is generated by a 5-cycle and a 4-cycle.

Proof. Exercise.

**Theorem 3.6.** Let p be a prime, and f(t) irreducible  $\in \mathbb{Q}[t]$  of degree p. Suppose f(t) has exactly 2 non-real roots in  $\mathbb{C}$ . Then  $\operatorname{Gal}(f)$  over  $\mathbb{Q} \cong S_p$ .

*Proof.* Gal(f) acts on the p distinct roots of f(t) in a splitting field L of f(t) (in  $\mathbb{C}$ ). By Lemma 3.4, the irreducibility of f(t) implies that Gal(f) is acting transitively on the p roots. By the orbit-stabiliser theorem,  $p \mid |\operatorname{Gal}(f)|$  but  $|\operatorname{Gal}(f)| \leq |S_p| = p!$  and so Gal(f) has a Sylow p-subgroup of order p, necessarily cyclic. Thus, Gal(f) contains a p-cycle.

The supposition that we have precisely 2 non-real roots gives that complex conjugation yields a transposition in Gal(f). The p-cycle and transposition generate the whole of  $S_p$ .  $\square$ 

*Proof.* f(t) is irreducible by Eisenstein's criterion with p=3. We want to show that f(t) has three real roots, two non-real ones and apply Theorem 3.6.

$$f(-2) = -17$$
,  $f(-1) = 8$ ,  $f(1) = -2$ ,  $f(2) = 23$ 

and  $f'(t) = 5t^4 - 6$  which has two real roots. From the intermediate value theorem, f has at least three real roots, and by Rolle's theorem there are at most three real roots, so we are done.

**Lemma 3.7.** Let f(t) be separable  $\in K[t]$  of degree n with char  $K \neq 2$ . Then

$$Gal(f) \le A_n \iff D(f)$$
 is a square in  $K$ .

*Proof.* Let L be a splitting field of f(t) over K. Then  $D(f) \neq 0$  and is fixed by all elements of  $G = \operatorname{Gal}(L/K)$  as the latter permutes the roots. Thus  $D \in K$ , since  $L^G = K$  (by Galois correspondence).

On the other hand, if  $\sigma \in G$  then  $\sigma(\Delta) = (\operatorname{sgn}\sigma)\Delta$  where we're regarding G as a subgroup of  $S_n$  and the signature of  $\sigma$ :

$$sgn\sigma = \begin{cases} +1 & \text{if } \sigma \text{ even} \\ -1 & \text{if } \sigma \text{ odd} \end{cases}$$

(This is where we need char  $K \neq 2$ ).

Thus if  $G \leq A_n$  we get that  $\Delta$  is fixed by all  $\sigma \in G$ . Thus  $\Delta \in K = L^G$ . Otherwise if  $G \nleq A_n$ , we get  $\sigma(A) = -\Delta$  if  $\sigma$  is an odd permutation, and so  $\Delta \notin K = L^G$ . Note that if D does have square roots, they must be  $\pm \Delta$ .

**Theorem 3.8** (Mod p reduction). Let  $f(t) \in \mathbb{Z}[t]$  be monic of degree n with n distinct roots in a splitting field. Let p be a prime such that  $\overline{f}(t)$ , the reduction of f(t) mod p also has n distinct roots in a splitting field. Let  $\overline{f}(t) = \overline{g_1}(t) \cdots \overline{g_s}(t)$  be the factorisation into irreducibles in  $\mathbb{F}_p[t]$  with  $n_j = \deg \overline{g_j}(t)$ . Then  $\operatorname{Gal}(\overline{f}) \hookrightarrow \operatorname{Gal}(f)$  and has an element of cycle type  $(n_1, n_2, \ldots, n_s)$ .

*Proof.* We will talk about the last sentence after thinking about Galois groups of finite fields. The fact that  $\operatorname{Gal}(\overline{f}) \hookrightarrow \operatorname{Gal}(f)$  is from Number Fields - see Tony Scholl's teaching page on Galois.

#### 3.3 Galois Theory of Finite Fields

**Theorem 3.9** (Galois groups of finite fields). Let  $\mathbb{F}$  be a finite field with  $|\mathbb{F}| = p^r$ . Then  $\mathbb{F}_p \leq \mathbb{F}$  is a Galois extension with  $\operatorname{Gal}(\mathbb{F}/\mathbb{F}_p) = G$ , a cyclic group with the Frobenius automorphism as generator.

*Proof.* It remains to show that the order of the Frobenius automorphism is r. Suppose  $\phi^s = \mathrm{id}$ . Then  $\alpha^{p^s} = \alpha \ \forall \alpha \in \mathbb{F}$ . But  $t^{p^s} - t$  has at most  $p^s$  roots in  $\mathbb{F}$ , so we deduce that  $s \geq r$ . Observe that  $\phi^r = \mathrm{id}$  since  $\alpha^{p^n} = \alpha, \ \forall \alpha \in \mathbb{F}$ .

Now apply the Fundamental Theorem of Galois Theory:

$$\{\mathbb{F}_p \leq M \leq \mathbb{F} \text{ intermediate fields } M\} \longleftrightarrow \{\text{subgroups } H \leq G\}$$

where  $G = \operatorname{Gal}(\mathbb{F}/\mathbb{F}_p)$  is cyclic.

But we know all about subgroups of a cyclic group with generator  $\phi$  of order r. There is exactly one subgroup of order s for each  $s \mid r$  generated by  $\phi^{\frac{r}{s}}$ . The corresponding intermediate subfields are the fixed fields  $\mathbb{F}^{\langle \phi^{\frac{r}{s}} \rangle}$ , and  $\left| \mathbb{F} : \mathbb{F}^{\langle \phi^{\frac{r}{s}} \rangle} \right| = s$ . By the Tower Law,  $\left| \mathbb{F}^{\langle \phi^{\frac{r}{s}} \rangle} : \mathbb{F}_p \right| = \frac{r}{s}$ . Observe that all subgroups of cyclic groups are normal and therefore all our intermediate fields are normal extensions of  $\mathbb{F}_p$ .

By Theorem 3.1 part (iii), 
$$\operatorname{Gal}(\mathbb{F}^{\langle \phi^{\frac{r}{s}} \rangle}/F_p) \cong \operatorname{Gal}(\mathbb{F}/\mathbb{F}_p)/H$$
 where  $H = \langle \phi^{\frac{r}{s}} \rangle$ .

**Corollary 3.10.** Let  $\mathbb{F}_p \leq M \leq \mathbb{F}$  be finite fields. Then  $\operatorname{Gal}(\mathbb{F}/M)$  is cyclic, generated by  $\phi^u$ , where  $\phi$  is the Frobenius automorphism and  $|M| = p^u$  and M is the fixed field of  $\langle \phi^u \rangle$ .

Proof. Set  $n = \frac{r}{s}$ .

**Theorem 3.11** (Existence of finite fields). Let p be a prime and  $u \ge 1$ . Then there is a field of order  $p^u$ , unique up to isomorphism.

*Proof.* Consider the splitting field L of  $f(t) = t^{p^u} - t$  over  $\mathbb{F}_p$ . It is a finite Galois extension  $\mathbb{F}_p \leq L$ . However the roots of f(t) form a field, the fixed field of  $\phi^u$ . Set  $L = \mathbb{F}$  and  $|\mathbb{F}:\mathbb{F}_p| = u$ .

## 4 Cyclotomic and Kummer extensions

#### 4.1 Cyclotomic extensions

**Lemma 4.1.**  $\Phi_m(t) \in \mathbb{Z}[t]$  if char K = 0 (with  $\mathbb{Q} \hookrightarrow K$ , prime subfield).  $\Phi_m(t) \in \mathbb{F}_p[t]$  if char K = p (with  $\mathbb{F}_p \hookrightarrow K$ , prime subfield).

*Proof.* Induct on m. m = 1 is clearly true.

For m > 1, consider

$$f(t) = t^m - 1 = \Phi_m(t) \left( \prod_{\substack{d \mid m \\ d \neq m}} \Phi_d(t) \right).$$

Note that  $\prod_{\substack{d|m\\d\neq m}} \Phi_d(t)$  is monic and is defined in  $\mathbb{Z}[t]$  or  $\mathbb{F}_p[t]$  by induction.

If char K=0, we deduce  $\Phi_m(t) \in \mathbb{Q}[t]$  by division of polynomials and by Gauss' Lemma it is in  $\mathbb{Z}[t]$ . If char K=p>0, we deduce by division that  $\Phi_m(t) \in \mathbb{F}_p[t]$ .

**Lemma 4.2.** The homomorphism  $\theta: G \to (\mathbb{Z}/m\mathbb{Z})^{\times}$  defined in ?? is an isomorphism iff  $\Phi_m(t)$  is irreducible.

*Proof.* We know from Lemma 3.4 that the orbits of  $G = \operatorname{Gal}(L/K)$  correspond to the factorisation of f(t) in K[t]. In particular, the primitive mth roots of unity form one orbit iff  $\Phi_m(t)$  is irreducible. Then  $\theta$  is surjective iff  $\Phi_m(t)$  is irreducible.

**Theorem 4.3.** Let L be the mth cyclotomic extension of finite field  $\mathbb{F} = \mathbb{F}_q$  where  $q = p^n$ . Then the Galois group  $G = \operatorname{Gal}(L/\mathbb{F})$  is isomorphic to the cyclic subgroup of  $(\mathbb{Z}/m\mathbb{Z})^{\times}$  generated by q.

*Proof.* We know from Corollary 3.10 that G is generated by  $\alpha \mapsto \alpha^{p^n} = \alpha^q$  so  $\theta(G) = \langle q \rangle \leq (\mathbb{Z}/m\mathbb{Z})^{\times}$ .

**Theorem 4.4.** For all m > 0,  $\Phi_m(t)$  is irreducible in  $\mathbb{Z}[t]$  and hence in  $\mathbb{Q}[t]$ . Thus  $\theta$  in ?? is an isomorphism and thus  $\operatorname{Gal}(\mathbb{Q}(\xi)/\mathbb{Q}) \cong (\mathbb{Z}/m\mathbb{Z})^{\times}$  where  $\xi = \text{primitive } m \text{th root of unity.}$ 

Proof of Theorem 4.4. Gauss' Lemma gives us that irreducibility in  $\mathbb{Z}[t]$  implies irreducibility in  $\mathbb{Q}[t]$ . From Lemma 4.1, irreducibility corresponds to surjectivity of  $\theta$ . It's left to show that  $\Phi_m(t)$  is irreducible in  $\mathbb{Z}[t]$ .

Suppose not, and  $\Phi_m(t) = g(t)h(t)$  in  $\mathbb{Z}[t]$  with g(t) irreducible. monic and  $\deg g(t) \nleq \deg \Phi_m(t)$ . Let  $\mathbb{Q} \leq L$  be the *m*th cyclotomic extension and  $\xi$  be a root of g(t),  $\xi$  primitive *m*th root of unity.

**Claim**: if  $p \nmid m$ , p prime, then  $\xi^p$  is also a root of g(t) in L. Suppose not. Then  $\xi^p$  is also a primitive mth root of 1, since  $p \nmid m$ , as a root of  $\Phi_m(t)$ . By the supposition,  $\xi^p$  is a root of h(t). Define  $r(t) = h(t^p)$ . Then  $r(\xi) = 0$  but g(t) is the minimal polynomial of  $\xi$  over  $\mathbb{Q}$ . So  $g(t) \mid r(t)$  in  $\mathbb{Q}[t]$ .

By Gauss' Lemma, r(t) = g(t)s(t) with  $s(t) \in \mathbb{Z}[t]$ . Now reduce mod p.  $\overline{r}(t) = \overline{g}(t)\overline{s}(t)$ . But  $\overline{r}(t) = \overline{h}(t^p) = (\overline{h}(t))^p$ . If  $\overline{a}(t)$  is any irreducible factor of  $\overline{g}(t)$  in  $\mathbb{F}_p[t]$  then  $\overline{a}(t) \mid (\overline{h}(t))^p$  and so  $\overline{a}(t) \mid \overline{h}(t)$ . But then  $(\overline{a}(t))^2 \mid \overline{g}(t)\overline{h}(t) = \overline{\Phi_m}(t)$ . Hence  $\overline{\Phi_m}(t)$  has a repeated root and thus  $t^m - 1$  has repeated root mod p. Contradiction, since  $p \nmid m$ , so claim is true.

Now consider a root  $\gamma$  of h(t). Then it is also a primitive root of 1 and so  $\gamma = \xi^i$  for some i with (i,m) = 1. Write  $i = p_1 \cdots p_k$  factorisation with  $p_j$  prime, not necessarily distinct,  $p_j \nmid m$ . Applying the claim repeatedly we get that  $\gamma$  is a root of g(t), and so  $\Phi_m(t)$  has a repeated root.

Hence  $\Phi_m(t)$  is irreducible over  $\mathbb{Q}$ .

#### 4.2 Kummer Theory

**Theorem 4.5.** Let  $f(t) = t^m - \lambda \in K[t]$  and char  $K \nmid m$ . Then the splitting field L of f(t) over K contains a primitive mth root of unity  $\xi$  and  $Gal(L/K(\xi))$  is cyclic of order dividing m. Moreover f(t) is irreducible over  $K(\xi)$  iff  $|L:K(\xi)| = m$ .

Proof of Theorem 4.5. Since  $t^m - \lambda$  and  $mt^{m-1}$  are coprime, we know that  $t^m - \lambda$  has distinct roots  $\alpha_1, \ldots, \alpha_m$  in the splitting field L. Since  $(\alpha_i \alpha_j^{-1})^m = \lambda \lambda^{-1} = 1$ , the elements  $1 = \alpha_1 \alpha_1^{-1}, \alpha_2 \alpha_1^{-1}, \ldots, \alpha_m \alpha_1^{-1}$  are m distinct mth roots of unity in L and so

$$t^{m} - \lambda = (t - \beta)(t - \xi\beta)(t - \xi^{2}\beta) \cdots (t - \xi^{m-1}\beta) \in L[t]$$

where  $\beta = \alpha_1$  and  $\xi$  primitive mth root of unity.

So  $L = K(\xi, \beta)$ . Let  $\sigma \in \operatorname{Gal}(L/K(\xi))$ , which is determined by its action on  $\beta$ . Note that  $\sigma(\beta)$  is another root of  $t^m - \lambda$  and so  $\sigma(\beta) = \xi^{j(\sigma)}\beta$ , where  $0 \le j(\sigma) < m$ . Also, if  $\sigma, \tau \in \operatorname{Gal}(L/K(\xi))$  then

$$\tau\sigma(\beta) = \tau(\xi^{j(\sigma)}\beta) = \xi^{j(\sigma)}\tau(\beta) = \xi^{j(\sigma)}\xi^{j(\tau)}\beta$$

since  $\xi$  is fixed by  $\tau$ . Thus  $\sigma \to j(\sigma)$  gives a group homomorphism

$$\theta: \operatorname{Gal}(L/K(\xi)) \to \mathbb{Z}/m\mathbb{Z}.$$

Note that  $j(\sigma) = 1$ , only if  $\sigma$  is the identity and so  $\theta$  is injective. Hence  $\operatorname{Gal}(L/K(\xi)) \cong \operatorname{subgroup}$  of  $\mathbb{Z}/m\mathbb{Z}$ . Finally  $|L:K(\xi)| = |\operatorname{Gal}(L/K(\xi))| \leq m$  with equality exactly when the action of  $\operatorname{Gal}(L/K(\xi))$  is transitive on the roots, i.e. when  $t^m - 1$  is irreducible over  $K(\xi)$  by Lemma 3.4.

**Theorem 4.6.** Suppose  $K \leq M$  is a cyclic extension with |L:K| = m, where char  $K \nmid m$  and that K contains a primitive mth root of unity. Then  $\exists \lambda \in K$  such that  $t^m - \lambda$  is irreducible over K and K is the splitting field of  $t^m - \lambda$  over K. If  $\beta$  is a root of  $t^m - \lambda$  in L, then  $L = K(\beta)$ .

**Lemma 4.7.** Let  $\phi_1, \ldots, \phi_n$  be embeddings of a field K into a field L. Then there do not exist  $\lambda_1, \ldots, \lambda_n$  not all zero such that  $\lambda_1 \phi_1(x) + \cdots + \lambda_n \phi_n(x) = 0 \ \forall x \in K$ .

*Proof.* Example sheet 2, question 10.

Proof of Theorem 4.6. Let  $\operatorname{Gal}(L/K) = \langle \sigma \rangle$  of order m. Observe that  $1, \sigma, \sigma^2, \ldots, \sigma^{m-1}$  are distinct maps  $L \to L$ , and we can apply Lemma 4.7. There exists  $\alpha \in L$  such that

$$\beta = \alpha + \xi \sigma(\alpha) + \dots + \xi^{m-1} \sigma^{m-1}(\alpha) \neq 0$$

where  $\xi$  is a primitive mth root of unity. Observe that  $\sigma(\beta) = \xi^{-1}\beta \neq \beta$  and so  $\beta \notin K$ , the fixed field of  $\operatorname{Gal}(L/K)$ .

 $\sigma(\beta^m) = (\sigma(\beta))^m = \beta^m$ . Let  $\lambda = \beta^m \in K$ . But  $t^m - \lambda = (t - \beta)(t - \xi\beta) \cdots (t - \xi^{m-1}\beta)$  in L[t], and so  $K(\beta)$  is the splitting field of  $t^m - \lambda$  over K (recall  $\xi \in K$ ). Observe that  $1, \sigma, \ldots, \sigma^{m-1}$  are distinct K-automorphisms of  $K(\beta)$  and so  $|K(\beta):K| \ge m$ .

So  $L = K(\beta) = K(\xi\beta)$  since  $\xi \in K$ .  $t^m - \lambda$  is the minimal polynomial of  $\beta$  over K and hence is irreducible.

#### 4.3 Cubics

#### 4.4 Quartics

#### 4.5 Solubility by radicals

**Lemma 4.8.** A finite group G is soluble if and only if we have

$$\{e\} = G_m \triangleleft G_{m-1} \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = G$$

with  $G_i/G_{i+1}$  cyclic.

*Proof.* ( $\Leftarrow$ ) is immediate. ( $\Rightarrow$ ). We know about the structure of finite abelian groups. If A abelian then there is a chain

$$\{e\} = A_r \triangleleft A_{r-1} \triangleleft \cdots \triangleleft A_0 = A$$

with  $A_r/A_{r+1}$  cyclic. Thus if we have a chain with abelian factors  $G_i/G_{i+1}$  we can refine it to have cyclic factors.

**Lemma 4.9.** Let  $K \triangleleft G$ . Then G/K abelian  $\iff G' \leq K$ .

Proof.

$$G/K$$
 abelian  $\iff Kg_1Kg_2Kg_1^{-1}Kg_2^{-1} = K \quad \forall g_1, g_2 \in G$   
 $\iff g_1g_2g_1^{-1}g_2^{-1} \in K$   
 $\iff G' \leq K.$ 

**Lemma 4.10.** For G finite, G is soluble  $\iff$   $G^{(m)} = \{e\}$  for some m.

*Proof.* If  $G^{(m)} = \{e\}$  then the derived series gives a chain in the definition of solubility. Conversely if there is such a chain

$$G \triangleright G_1 \triangleright G_2 \triangleright \cdots \triangleright G_m = \{e\}$$

with  $G_i/G_{i+1}$  abelian then an easy induction shows that  $G^{(j)} \leq G_j$  and so  $G^{(m)} = \{e\}$ .  $\square$ 

#### Lemma 4.11.

- (i) Let  $H \leq G$ , G soluble. Then H soluble.
- (ii) Let  $H \triangleleft G$ , then G soluble  $\iff H$  and G/H both soluble.

Proof.

(i) G soluble  $\implies G^{(m)} = \{e\}$  by Lemma 4.10. But  $H^{(m)} \leq G^{(m)}$  and so H soluble by Lemma 4.10.

(ii) Let  $H \triangleleft G$ , then G soluble  $\Longrightarrow H$  soluble by (i). G soluble  $\Longrightarrow G^{(m)} = \{e\}$ , say. Observe that

$$\left(\frac{G}{H}\right)' = \frac{G'H}{H} \le \frac{G}{H}.$$

Similarly,

$$(\frac{G}{H})^{(j)} = \frac{G^{(j)}H}{H} \le \frac{G}{H}.$$

Thus  $(G/H)^{(m)} = H/H$ , a trivial subgroup of G/H and so G/H soluble.

Now consider the converse. Suppose that H and G/H are soluble.  $H^{(r)} = \{e\}$  and  $(G/H)^{(s)} = H/H$ . But

$$\left(\frac{G}{H}\right)^{(s)} = \frac{G^{(s)}H}{H}$$

so  $G^{(s)}H = H$  thus  $G^{(s)} \leq H$ . Hence  $G^{(r+s)} \leq H^{(r)} = \{e\}$ . Thus G is soluble by Lemma 4.10.

**Theorem 4.12.** Let K be a field and  $f(t) \in K[t]$ . Assume char K = 0. Then f(t) is soluble by radicals over  $K \iff \operatorname{Gal} f$  over K is soluble.

**Corollary 4.13.** If f(t) is a monic irreducible polynomial  $\in K[t]$  with  $Gal(f) \cong A_5$  or  $S_5$  then f(t) is not soluble by radicals (with char K = 0).

**Lemma 4.14.** If  $K \leq N$  is an extension by radicals then  $\exists N'$  with  $N \leq N'$  with  $K \leq N'$  is an extension by radicals, with  $K \leq N'$  a Galois extension.

Proof of Theorem 4.12. Suppose f(t) is soluble by radicals. Thus if L is the splitting field of f(t) over K then L lies in an extension of K by radicals

$$K = L_0 \le L_1 \le \cdots \le L_m$$

with each  $L_i \leq L_{i+1}$  cyclotomic or Kummer.

With Lemma 4.14, we may assume  $L_m$  is Galois over K. By Fundamental Theorem of Galois Theory there is a corresponding chain of subgroups of  $Gal(L_m/K)$ . Our previous discussion at the beginning of this section (before Lemma 4.7) we know that  $Gal(L_m/K)$  is soluble.

But  $F \leq L \leq L_m$  with  $K \leq L$  Galois. By the Fundamental Theorem of Galois Theory,  $\operatorname{Gal}(L/K) \cong \operatorname{Gal}(L_m/K)/\operatorname{Gal}(L_m/L)$ .

But quotients of soluble groups are soluble, so Gal(L/K) is soluble.

Proof of Lemma 4.14. We have  $K = L_0 \le L_1 \le \cdots \le L_m$  with each  $L_i \le L_{i+1}$  cyclotomic or Kummer, and we want to embed this into a Galois extension of the same form.

Assume char K=0. By the Primitive Element Theorem,  $L_m=K(\alpha_1)$  for some  $\alpha_1$ . Let g(t) be the minimal polynomial of  $\alpha_1$  over K with splitting field M. Thus  $M=K(\alpha_1,\alpha_2,\ldots,\alpha_n)$  where  $\alpha_1,\ldots,\alpha_n$  are roots of g(t).

There are K-homomorphisms

$$\phi_i: M \longrightarrow M$$
$$\alpha_1 \longmapsto \alpha_i$$

extending the K-homs  $K(\alpha_1) \to K(\alpha_i) \leq M$ .

The tower  $K \leq \phi_i(K) \leq \phi_i(L_1) \leq \cdots \leq \phi_i(L_m) = K(\alpha_i)$  with cyclotomic or Kummer extensions as before, Consider  $L_m = K(\alpha_1) \leq \phi_2(L_1)(\alpha_1) \leq \phi_2(L_2)(\alpha_1) \leq \cdots \leq \phi_2(L_m)(\alpha_1) = K(\alpha_1, \alpha_2)$ .

Consider the extension  $\phi_2(L_i)(\alpha_1) \leq \phi_2(L_{i+1})(\alpha_1)$ :

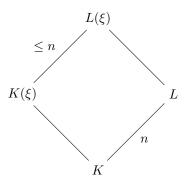
if  $L_j \leq L_{j+1}$  is cyclotomic then all the roots of unity adjoined are now in  $L_m = K(\alpha_1)$  and so  $\phi_2(L_i)(\alpha_1) = \phi_2(L_{i+1})(\alpha_1)$ .

if  $L_j \leq L_{j+1}$  is Kummer then we obtain  $L_{j+1}$  by adjoining roots of an element of  $L_j$  and so we obtain  $\phi_2(L_{j+1})$  by adjoining roots of an element in  $\phi_2(L_j)$ . Hence we get from  $\phi_2(L_j)(\alpha_1)$  to  $\phi_2(L_{j+1})(\alpha_1)$  by adjoining roots of an element of  $\phi_2(L_j)$ . So it's a Kummer extension.

Now continue to get suitable chain  $K(\alpha_1, \alpha_2) \leq \cdots \leq K(\alpha_1, \alpha_2, \alpha_3)$ .

Thus we get a suitable chain from K to  $K(\alpha_1, \ldots, \alpha_n) = M$ . Observe that  $K \leq M$  is Galois.

Converse of Theorem 4.12. Suppose  $G = \operatorname{Gal}(f)$  over K is soluble (and char K = 0). Let L be the splitting field of f(t) over K and so |G| = |L| : K| = n. Set m = n! and let  $\xi$  be a primitive root of unity and consider  $L(\xi)$ .



Our proof is similar to that used for cubics. Observe that  $|L(\xi): K(\xi)| \leq n$ . By the Primitive Element Theorem  $L = K(\alpha)$  for some  $\alpha$  with minimal polynomial g(t) say of degree n. Then  $L(\xi) = K(\xi)(\alpha)$  and the minimal polynomial of  $\alpha$  over  $K(\xi)$  divides g(t) and so is of degree  $\leq n$ .

Then  $\operatorname{Gal}(L(\xi)/K)$  is soluble since  $\operatorname{Gal}(L(\xi)/L)$  is soluble and  $\operatorname{Gal}(L/K) \cong \frac{\operatorname{Gal}(L(\xi)/K)}{\operatorname{Gal}(L(\xi)/L)}$  soluble by Fundamental Theorem of Galois Theory and Lemma 4.11. Then the subgroup  $\operatorname{Gal}(L(\xi)/K(\xi)) \leq \operatorname{Gal}(L(\xi)/K)$  is soluble by Lemma 4.11.

Thus there is a chain of subgroups

$$Gal(L(\xi)/K(\xi)) = G_0 \triangleright G_1 \triangleright \cdots \triangleright G_m = \{e\},\$$

with  $G_i/G_{i+1}$  cyclic (using Lemma 4.8).

Now use the Fundamental Theorem of Galois Theory to get a corresponding chain of fields  $K(\xi) \leq K_1 \leq \cdots \leq K_m = L(\xi)$ , with each  $K_i \leq K_{i+1}$  Galois, with cyclic Galois group. By Theorem 4.6, all these extensions are Kummer (not all the extensions are of degree  $\leq n$  and so we have the appropriate roots of unity). Thus we've embedded L in an extension of K by radicals.

#### Final Thoughts 5

#### Algebraic closure 5.1

**Lemma 5.1.** If  $K \leq L$  is algebraic and every polynomial in K[t] splits completely over L, then L is an algebraic closure of K.

*Proof.* We need to show L is algebraically closed. Suppose  $L \leq L(\alpha)$  is a finite extension, and  $f_{\alpha}(t) = t^n + a_{n-1}t^{n-1} + \cdots + a_0$  is the minimal polynomial of  $\alpha$  over L. Let M = $K(a_0, a_1, \ldots, a_{n-1})$ . Then  $M \leq M(\alpha)$  is a finite extension. But each  $a_i$  is algebraic over K and so  $|M:K|<\infty$ . Hence  $|M(\alpha):K|<\infty$  by Tower law and so  $\alpha$  is algebraic over K. The minimal polynomial over K must split over L, and so  $\alpha \in L$ . Thus any algebraic extension of L is L itself.

**Lemma 5.2** (Zorn's Lemma). Let  $(S, \leq)$  be a non-empty partially ordered set. Suppose that any chain has an upper bound in S. Then S has a maximal element.

**Lemma 5.3.** Let R be a ring. Then R has a maximal ideal.

*Proof.* Let S be the set of proper ideals of R. This is is non-empty, since (0) is proper. Partially order S by inclusion. Any ideal I is proper  $\iff 1 \notin I$ . Any chain of proper ideals has an upper bound in S, namely the union of the chain. Zorn's Lemma gives that S has a maximal element, i.e. a maximal ideal of R.

**Theorem 5.4** (Existence of algebraic closures). For any field K there is an algebraic closure.

*Proof.* Let

$$S = \{ (f(t), j) \mid f(t) \text{ irreducible, monic in } K[t], 1 \le j \le \deg f \}$$

For each pair  $s = (f(t), j) \in \mathcal{S}$  we introduce an indeterminate  $X_s = X_{f,j}$ . Consider the polynomial ring  $K[X_s:s\in\mathcal{S}]$  and set

$$\tilde{f}(t) = f(t) - \prod_{j=1}^{\deg g} (t - X_{f,j}) \in K[X_s : s \in \mathcal{S}][t].$$

Let  $I \triangleleft K[X_s: s \in \mathcal{S}]$  generated by all the coefficients of all the f(t). Denote the coefficients of f(t) by  $a_{f,l}$  for  $0 \le l \le \deg f$ .

Claim:  $I \neq K[X_s : s \in S]$ . Proof: Suppose  $1 \in I$  and aim for a contradiction.

$$b_1 a_{f_1, l_1} + \dots + b_N a_{f_N, l_N} = 1 \text{ in } K[X_s : s \in \mathcal{S}].$$
 (+)

Let L be a splitting field for  $f_1(t) \cdots f_N(t)$ . For each i,  $f_i$  splits over L.  $f_i(t) = \prod_{j=1}^{\deg f_i} (t - a_{ij})$ . Define a K-linear ring homomorphism, identity on K,

$$\theta: K[X_s:s\in\mathcal{S}] \longrightarrow L$$
 
$$X_{f_i,j} \longmapsto \alpha_{ij}$$
 
$$X_s \longmapsto 0 \quad \text{otherwise.}$$

This induces a map  $K[X_s:s\in\mathcal{S}]\to L[t]$ . Then

$$\theta(\tilde{f}_i(t)) = \theta(f_i(t)) - \prod_{j=1}^{\deg f_i} \theta(t - X_{f_i,j})$$
$$= f_i(t) - \prod_{j=1}^{\deg f_i} (t - \alpha_{i,j}) = 0.$$

But then  $\theta(a_{f_i,j}) = 0$  since  $a_{f_i,j}$  are the coefficients of  $\tilde{f}_i(t)$ . But applying  $\theta$  to (+) we get 0 = 1.

Then I is a proper ideal of  $K[X_s:s\in\mathcal{S}]$ . By Zorn's Lemma there is a maximal ideal P of  $K[X_s:s\in\mathcal{S}]$  containing I. Set  $L_1=K[X_s:s\in\mathcal{S}]/P$ , a field. Thus we have a field extension  $K\leq L_1$ .

Claim:  $L_1$  is an algebraic closure of K. First show  $K \leq L_1$  is algebraic:  $L_1$  is generated by the maps  $x_{f,j}$  of the  $X_{f,j}$ . However  $\tilde{f}(t)$  has coefficients in I and so its image  $L_1[t]$  is the zero polynomial. Thus in  $L_1[t]$ ,

$$f(t) = \prod (t - x_{f,j}) \tag{*}$$

and so  $f(x_{f,j}) = 0$ . Thus the  $x_{f,j}$  are algebraic.

Any element of  $L_1$  involves only finitely many of the  $x_{i,j}$  and so is algebraic over K. Moreover from (\*) any  $f(t) \in K[t]$  splits completely over  $L_1$ .

The result follows from Lemma 5.1.

**Theorem 5.5.** Suppose  $\theta: K \to L$  is a ring homomorphism and L is algebraically closed. Suppose  $K \leq M$  is an algebraic extension. Then  $\theta$  can be extended to a homomorphism  $\theta: M \to L$  (i.e.  $\phi|_K = \theta$ ).

Proof. Let

$$\xi = \{ (N, \phi) \mid K \leq N \leq M, \phi \text{ a homomorphism } N \to L \text{ extending } \theta \}.$$

Partially order  $\xi$  with  $(N_1, \phi_1) \leq (N_2, \phi_2)$  if  $N_1 \leq N_2$  and  $\phi_2|_{N_2} = \phi_1$ .  $\xi$  is non-empty since  $(K, \theta) \in \xi$ .

If there is a chain  $(N_1, \phi_1) \leq \cdots$  then set  $N = \bigcup N_{\lambda}$ . This is a subfield of M, and we can define  $\psi : N \to L$  as follows: if  $\alpha \in N$  then  $\alpha \in N_{\lambda}$  for some  $\lambda$  and we set  $\psi(\alpha) = \phi_{\lambda}(\alpha)$ . This is well defined.

Then  $(N, \psi)$  is an upper bound for our chain  $\xi$ .

Zorn's Lemma applies and gives a maximal element of  $\xi$ ,  $(N, \phi)$ . We now show N = M. Given  $\alpha \in M$ , it is algebraic over K, and hence over N. Let  $f_{\alpha}(t)$  be its minimal polynomial over N. But  $\phi f(t)$  is in L[t] and so splits completely over L, since L is algebraically closed.

So  $\phi f(t) = (t - \beta_1) \cdots (t - \beta_r)$ , say. Since  $\phi f(B_{\gamma}) = 0$  then there is a map

$$N(\alpha) \cong \frac{N[t]}{(f\alpha(t))} \longrightarrow L$$

$$\alpha \longmapsto \beta_1$$
 extending  $\phi$ 

Maximality of  $(N, \phi)$  implies that  $N(\alpha) = N$ . So  $\alpha \in N$ , so N = M.

**Theorem 5.6** (Uniquness of algebraic closures). If  $K \leq L_1$ ,  $L \leq L_2$  are two algebraic closures of K then there exists an isomorphism  $\phi: L_1 \to L_2$ .

*Proof.* By Theorem 5.5 there is a homomorphism  $\phi: L_1 \to L_2$  extending the embedding of K into  $L_2$ . Since  $K \leq L_2$  is algebraic, so too is  $\phi(L_1)$ . But  $L_1$  is algebraically closed and so  $\phi(L_1)$  is algebraically closed. So  $L_2 = \phi(L_1)$  and  $\phi$  is an isomorphism.

### 5.2 Symmetric polynomials and invariant theory

**Theorem 5.7.** The fixed field  $M = L^{s_n} = K(s_1, \ldots, s_n)$  and the  $s_1, \ldots, s_n$  are algebraically independent over K (in L).