

# Part III – Combinatorics (Ongoing course, rough)

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## 0 Introduction

Combinatorics tends to have problems which are easy to state and hard to prove. One of the reasons for this is that it is often unclear where to start - for instance in linear algebra we can often start by picking a basis. Proofs tend to have the property that they seem to take thousands of years to come up with, and a single line to write down. In this course, we learn some techniques which make problems sounding very hard become very easy.

We start with set systems, which builds on the idea of subsets and containment. Next, we study isoperimetric inequalities. In the continuous case, in the plane, a typical problem is to find the maximum area one can enclose with a fixed perimeter, which is solved by a circle. Similarly, a soap bubble will minimise its surface area for a fixed volume. Here, in the discrete case, we will try to understand ‘how tightly’ we can pack subsets. Finally, we look at continuous projections. For instance, given a subset of space, suppose we know the  $z$ -coordinate of all points is between 0 and 1, and the projection to the  $xy$ -plane has area  $A$ , we know the total volume is bounded by  $A$ . We generalise this result into higher dimensions and the box result, which has applications in combinatorics.

While all examinable proofs will be included in lectures, relevant books for this course are:

1. *Combinatorics*, Bollobás, C.U.P., 1996. This matches chapter 1 excellently and parts of chapter 2. It is a gentle read and includes other developments in combinatorics.
2. *Combinatorics of finite sets*, Anderson, O.U.P., 1987. It is a simple and clear study on chapter 1.

# 1 Set systems

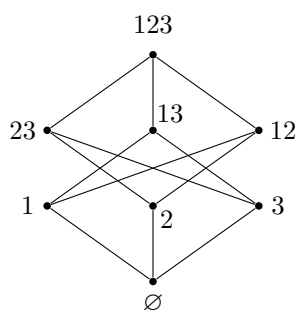
**Definition** (Set system). Let  $X$  be a set. A **set system** on  $X$  (or **family of subsets** of  $X$ ) is a family  $\mathcal{A} \subseteq \mathcal{P}(X)$ .

For instance, we write  $X^{(r)} = \{A \subset X \mid |A| = r\}$ , so  $X^{(r)}$  is a **set system** on  $X$ . Unless otherwise stated,

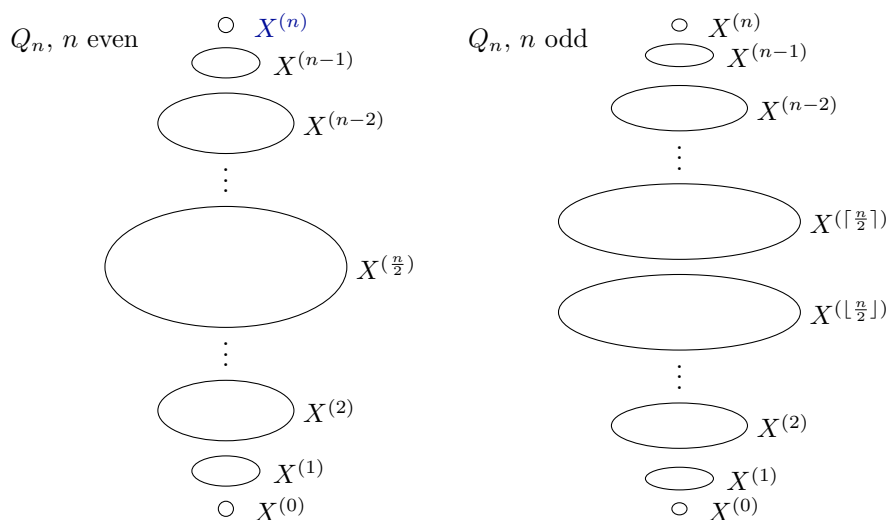
$$X = [n] := \{1, 2, \dots, n\},$$

e.g.  $|X^{(r)}| = \binom{n}{r}$ . Thus  $[4]^{(2)} = \{12, 13, 14, 23, 24, 34\}$ , so  $|[4]^{(2)}| = 6$ .

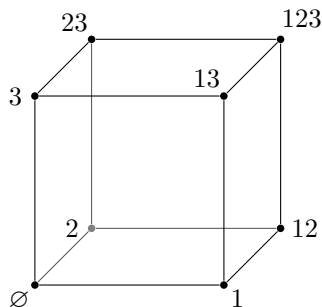
Often, we make  $\mathcal{P}(X)$  into a graph, called  $Q_n$ , by joining  $A$  to  $B$  if  $|A \Delta B| = 1$ , i.e. if  $A = B \cup \{i\}$  for some  $i \notin B$  (or vice versa). For instance, here is a picture of  $Q_3$ :



As we know, the picture gets ‘thicker’ in the middle. But, for odd  $n$ , is it not clear where exactly the middle is, so in the odd case we have two equally sized large blobs in the middle.



If we identify a set  $A \subseteq X$  with a 0-1 sequence of length  $n$ , e.g.  $134 \longleftrightarrow 1011000 \dots 0$ , via  $A \longleftrightarrow 1_A$  or  $\chi_A$ , the characteristic function, then  $Q_3$  looks like



**Definition** (Hypercube).  $Q_n$  is often called the **hypercube** or **discrete cube** or  **$n$ -cube**.

It is important to keep *both* these pictures in mind: for induction the cube image is more instructive, but when thinking about layers the earlier image is more helpful.

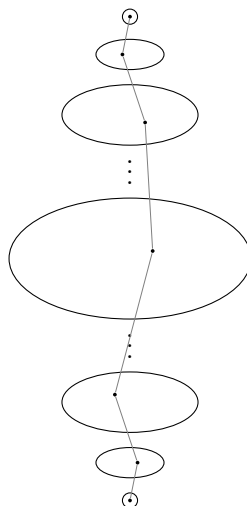
## 1.1 Chains and antichains

**Definition** (Chain). A family  $\mathcal{A} \subseteq \mathcal{P}(X)$  is a **chain** if  $\forall A, B \in \mathcal{A}$ , we have  $A \subseteq B$  or  $B \subseteq A$ .

**Definition** (Antichain). A family  $\mathcal{A} \subseteq \mathcal{P}(X)$  is an **antichain** if  $\forall A, B \in \mathcal{A}$  with  $A \neq B \Rightarrow A \not\subseteq B$ .

**Example.** For instance,  $\{12, 125, 123589\}$  is an **chain**, and  $\{1, 467, 2456\}$  is an **antichain**.

In this course, we ask questions like, how large can a **chain** be? We can achieve  $|\mathcal{A}| = n+1$ , e.g.  $\{\emptyset, 1, 12, 123, \dots, [n]\}$ . It is easy to visualise this by picking ‘one per level’:



We cannot exceed  $n + 1$ , since a chain must meet each ‘level’  $X^{(r)}$  ( $0 \leq r \leq n$ ) in at most one place.

How large can an **antichain** be? We could achieve  $|\mathcal{A}| = n$ , e.g.  $\mathcal{A} = \{1, 2, 3, \dots, n\}$  (and this is maximal). Indeed, we could take  $\mathcal{A} = X^{(r)}$  for any  $r$ , so we can achieve  $|\mathcal{A}| = \binom{n}{\lfloor \frac{n}{2} \rfloor}$ . Can we beat this? Aim: Prove this is the winner.

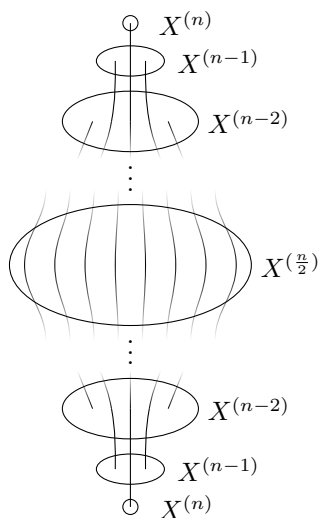
Inspired by ‘each chain meets each level  $X^{(r)}$  in at most one place’ for chains, we try to decompose  $Q_n$  into chains to find large antichains.

**Theorem 1.1** (Sperner’s Lemma). Let  $\mathcal{A} \subseteq \mathcal{P}(X)$  be an antichain. Then  $|\mathcal{A}| \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$ .

*Proof.* It is sufficient to partition  $\mathcal{P}(X)$  into  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$  chains. For this, it is sufficient to show

- (i)  $\forall r < \frac{n}{2}$ , there is a matching from  $X^{(r)}$  to  $X^{(r+1)}$
- (ii)  $\forall r > \frac{n}{2}$ , there is a matching from  $X^{(r)}$  to  $X^{(r-1)}$ .

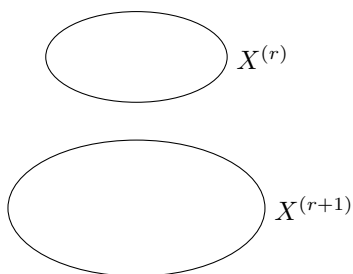
Then put these matchings together to form chains, each passing through  $X^{(\lfloor \frac{n}{2} \rfloor)}$ , so there are  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$  of them.



By taking complements, it is sufficient to prove (i).

Consider the subgraph of  $Q_n$  spanned by  $X^{(r)} \cup X^{(r+1)}$ . It is bipartite. For any  $\mathcal{B} \subseteq X^{(r)}$ , we have that

- The number of edges from  $\mathcal{B}$  to  $\mathcal{P}(\mathcal{B})$  is  $|\mathcal{B}|(n - r)$  (each point in  $X^{(r)}$  has degree  $n - r$ ).
- The number of edges from  $\mathcal{B}$  to  $\mathcal{P}(\mathcal{B})$  at most  $|\mathcal{P}(\mathcal{B})|(r + 1)$  (each point in  $X^{(r+1)}$  has degree  $r + 1$ ).



Thus

$$\begin{aligned} |\mathcal{P}(\mathcal{B})| &\geq |\mathcal{B}| \frac{n-r}{r+1} \\ &\geq |\mathcal{B}| \end{aligned}$$

as  $r < \frac{n}{2}$ . Hence by Hall's theorem, there is a matching.  $\square$

**Remark.**

Recall  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$  was achievable, for example  $A = X^{(\lfloor \frac{n}{2} \rfloor)}$ .

The proof says nothing about extremal cases - which antichains have size  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$ ?

Aim: For  $\mathcal{A}$  an antichain,

$$\sum_{r=0}^n \frac{|\mathcal{A} \cap X^{(r)}|}{\binom{n}{r}} \leq 1.$$

This trivially implies [Sperner's Lemma](#).

**Definition** (Shadow). Let  $\mathcal{A} \subseteq X^{(r)}$ , for some  $1 \leq r \leq n$ . The **shadow** or **corner shadow** of  $\mathcal{A}$  is

$$\partial \mathcal{A} = \partial^- \mathcal{A} = \{A - \{i\} \mid A \in \mathcal{A}, i \in A\}$$

so  $\partial \mathcal{A} \subseteq X^{(r-1)}$ .

For example, if  $\mathcal{A} = \{123, 124, 134, 135\} \subset X^{(3)}$ , then

$$\partial \mathcal{A} = \{12, 13, 23, 24, 34, 15, 35\} \subset X^{(2)}.$$

**Lemma 1.2** (Local LYM). Let  $\mathcal{A} \subseteq X^{(r)}$ ,  $1 \leq r \leq n$ . Then

$$\frac{|\partial \mathcal{A}|}{\binom{n}{r-1}} \geq \frac{|\mathcal{A}|}{\binom{n}{r}}.$$

'The fraction of the layer occupied increases when we take the [shadow](#)'.

*Proof.* Counting from above, there are  $r|A|$  edges  $A$  to  $\partial A$ . Counting from below, the number of edges  $A$  to  $\partial A$  is at most  $(n-r+1)|\partial A|$ , so

$$\frac{|\partial \mathcal{A}|}{|\mathcal{A}|} \geq \frac{r}{n-r+1}.$$

But

$$\frac{\binom{n}{r-1}}{\binom{n}{r}} = \frac{r}{n-r+1}. \quad \square$$

When do we get equality in [Local LYM](#)? We'd need  $(A - \{i\}) \cup \{j\} \in \mathcal{A} \forall A \in \mathcal{A}, i \in A, j \notin A$ . Hence  $\mathcal{A} = X^r$  or  $\emptyset$ .

**Theorem 1.3** (LYM inequality). Let  $\mathcal{A} \subset \mathcal{P}(X)$  be an [antichain](#). Then

$$\sum_{r=0}^n \frac{|\mathcal{A} \cap X^{(r)}|}{\binom{n}{r}} \leq 1.$$

*Proof 1.* ‘Bubble down with [Local LYM](#)’ Write  $\mathcal{A}_r = \mathcal{A} \cap X^{(r)}$ . We have  $\frac{|\mathcal{A}_n|}{\binom{n}{n}} \leq 1$ .

Also,  $\partial\mathcal{A}_n$  and  $\mathcal{A}_{n-1}$  are distinct, since  $\mathcal{A}$  was an antichain so

$$\frac{|\partial\mathcal{A}_n|}{\binom{n}{n-1}} + \frac{|\mathcal{A}_{n-1}|}{\binom{n}{n-1}} = \frac{|\partial\mathcal{A}_n \cup \mathcal{A}_{n-1}|}{\binom{n}{n-1}}$$

so

$$\frac{|\mathcal{A}_n|}{\binom{n}{n}} + \frac{|\mathcal{A}_{n-1}|}{\binom{n}{n-1}} \leq 1$$

by [Local LYM](#).

Also,  $\partial(\partial\mathcal{A}_n \cup \mathcal{A}_{n-1})$  is disjoint from  $\mathcal{A}_{n-2}$ , again since  $\mathcal{A}$  is an antichain so

$$\frac{|\partial(\partial\mathcal{A}_n \cup \mathcal{A}_{n-1})|}{\binom{n}{n-2}} + \frac{|\mathcal{A}_{n-2}|}{\binom{n}{n-2}},$$

so

$$\frac{|\partial(\partial\mathcal{A}_n \cup \mathcal{A}_{n-1})|}{\binom{n}{n-1}} + \frac{|\mathcal{A}_{n-2}|}{\binom{n}{n-2}},$$

so

$$\frac{|\mathcal{A}_n|}{\binom{n}{n}} + \frac{|\mathcal{A}_{n-1}|}{\binom{n}{n-1}} + \frac{|\mathcal{A}_{n-2}|}{\binom{n}{n-2}} \leq 1.$$

Keep going, we obtain

$$\frac{|\mathcal{A}_n|}{\binom{n}{n}} + \frac{|\mathcal{A}_{n-1}|}{\binom{n}{n-1}} + \cdots + \frac{|\mathcal{A}_0|}{\binom{n}{0}} \leq 1.$$

□

When do we get equality in LYM? Must have had equality in each use of local lym. So the first (greatest)  $r$  with  $\mathcal{A}_r \neq \emptyset$  must have  $\mathcal{A}_r = X^{(r)}$  so  $\mathcal{A} = X^{(r)}$ . Hence equality in [Sperner’s Lemma](#) implies  $\mathcal{A} = X^{\binom{n}{2}}$  for  $n$  even and  $\mathcal{A} = X^{\lfloor \frac{n}{2} \rfloor}$  or  $X^{\lceil \frac{n}{2} \rceil}$ .

## 2 Isoperimetric inequalities

## 3 Projections

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