

**PROBLEM 1**

**Prove that  $x^3 + 1000x^2 + 50x + 2000 = \Theta(x^3)$ . Prove this directly from the definition of  $\Theta$  on page 44 of CLRS, by finding specific constants  $c_1$ ,  $c_2$ , and  $n_0$ , and showing that all relevant inequalities hold.**

Definition of  $\Theta$ :  $\Theta(g(n)) = \{ f(n) : \text{there exists positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n) \text{ for all } n \geq n_0. \}$

Need to prove:  $0 \leq c_1 \cdot x^3 \leq x^3 + 1000(x)^3 + 50(x) + 2000 \leq c_2 \cdot x^3$  for all  $x \geq n_0$  with some  $c_1$  and  $c_2$ .

$$0 \leq c_1 \cdot x^3 \leq x^3 + 1000(x)^3 + 50(x) + 2000 \leq c_2 \cdot x^3$$
$$c_1 \leq 1 + 1000(1/x) + 50(1/x^2) + 2000(1/x^3) \leq c_2$$

As  $x$  increases to infinity, the terms  $1000(1/x)$ ,  $50(1/x^2)$ , and  $2000(1/x^3)$  will approach 0.

This means that the sum  $1 + 1000(1/x) + 50(1/x^2) + 2000(1/x^3)$  will converge to 1 but never go below it.

Let  $c_1 = \frac{1}{2}$ ,  $c_2 = 15$ , and  $n_0 = 100$ .

$$1 + 1000(1/100) + 50(1/10000) + 2000(1/1000000)$$
$$= 1 + 10 + 1/200 + 1/500$$
$$= 11.007$$

$$0 \leq 1/2 \leq 11.007 \leq 15$$

**PROBLEM 2**

Show that for any real constants  $a$  and  $b$ , where  $b > 0$ ,  
 $(n + a)^b = \Theta(n^b)$ . Note that  $a$  and  $b$  might not be integers.

Definition of  $\Theta$ :  $\Theta(g(n)) = \{ f(n) : \text{there exists positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1 * g(n) \leq f(n) \leq c_2 * g(n) \text{ for all } n \geq n_0. \}$

Need to prove:  $0 \leq c_1 * n^b \leq (n + a)^b \leq c_2 * n^b$  for all  $n \geq n_0$

$$0 \leq c_1 * n^b \leq (n + a)^b \leq c_2 * n^b$$

Let  $c_1 = 0.5$  and  $c_2 = 2$

$$0.5 * n^b \leq (n + a)^b \leq 2 * n^b$$

Want to show the following for some  $n_0$ :

$$0.5 \leq (n + a)^b / n^b \leq 2$$

Let  $n_0 = 4a$

$$\begin{aligned} (n_0 + a)^b / n_0^b &= (5a)^b / (4a)^b \\ &= 5/4 = 1.25 \\ 0.5 &\leq 1.25 \leq 2 \end{aligned}$$

As  $n$  increases infinitely, the term ' $(n + a)^b / n^b$ ' will converge to 1.

Therefore,  $(n + a)^b = \Theta(n^b)$ .

**PROBLEM 3**

Let  $f(n)$  and  $g(n)$  be asymptotically positive functions. Prove or disprove each of the following conjectures.

a.  $f(n) = O(g(n))$  implies  $g(n) = O(f(n))$

False.

Let  $f(n) = n$  and  $g(n) = n^2$

$n = O(n^2)$  because  $0 \leq n \leq c_1 \cdot n^2$  when  $n$  becomes sufficiently large.

But  $n^2 \neq O(n)$  because  $c_1 \cdot n < n^2$  when  $n$  becomes sufficiently large.

h.  $f(n) + o(f(n)) = \Theta(f(n))$

Let  $g(n) = o(f(n))$ .

Then  $0 \leq g(n) < c \cdot f(n)$  for some constant  $c$  for all  $n \geq n_0$ .

So  $f(n) \leq f(n) + g(n) < c \cdot f(n)$ .

Therefore,  $f(n) + g(n) \neq \Theta(f(n))$  by definition of  $\Theta$ .

**PROBLEM 4**

**Prove or disprove:  $f(x) = x^2 - 2x + 1$  is monotonically increasing for real values of  $x > 1$ .**

Want to show:  $x^2 - 2x + 1 < y^2 - 2y + 1$  when  $x < y$

Let  $1 < x < y$ .

$$x - 1 < y - 1$$

Let  $a = (x - 1)$  and  $b = (y - 1)$ .

Because  $x$  and  $y$  are both greater than 1, then  $a$  and  $b$  must also both be greater than 1.

Therefore,  $a^2 < b^2$ .

So  $(x-1)^2 < (y-1)^2$ ,

then  $x^2 - 2x + 1 < y^2 - 2x + 1$ .

**PROBLEM 5**

Find a simple formula for

$$\sum_{k=1}^n (2k-1)$$

$$= (2*1 + 2*2 + \dots + 2*n) - n$$

$$= [2 * (1 + 2 + \dots + n)] - n$$

$$= 2 * [(n * (n + 1)) / 2] - n$$

$$= (n * (n + 1)) - n$$

$$= n^2$$

**PROBLEM 6**

Give asymptotically tight bounds on the following summations. Assume that  $r \geq 0$  and  $s \geq 0$  are constants. Show your derivation using approximation by integrals. (Note: "find asymptotically tight bounds" means "find the THETA".)

$$\sum_{k=1}^n k^r$$

$$\int_0^n x^r dx \leq \sum_{k=1}^n k^r \leq \int_1^{n+1} x^r dx$$

$$(x^{r+1}/(r+1))|_0, n \leq \sum_{k=1}^n k^r \leq (x^{r+1}/(r+1))|_1, (n+1)$$

$$(n^{r+1}/(r+1)) \leq \sum_{k=1}^n k^r \leq ((n+1)^{r+1}/(r+1)) - (1/(r+1))$$

$$(n^{r+1}/(r+1)) \leq \sum_{k=1}^n k^r \leq [(n+1)^{r+1}-1] / (r+1)$$

$$\sum_{k=1}^n k^r = \Theta(n^{r+1})$$

**PROBLEM 7**

**Show that the solution of  $T(n) = T(\lfloor n/2 \rfloor) + 1$  is  $O(\lg n)$ .**

Prove  $T(n) = O(\lg n)$  (i.e.  $T(n) \leq c_1 \lg(n)$ )

IH:  $T(k) \leq c_1 \lg(k)$  (for some positive  $c_1$ , for all  $k < n$ )

$$\begin{aligned} T(n) &= T(\lfloor n/2 \rfloor) + 1 \\ T(\lfloor n/2 \rfloor) &\leq c_1 \lg(\lfloor n/2 \rfloor) \\ T(\lfloor n/2 \rfloor) + 1 &\leq c_1 \lg(\lfloor n/2 \rfloor) + 1 \end{aligned}$$

$$\begin{aligned} &c_1 \lg(\lfloor n/2 \rfloor) + 1 \\ &= c_1 (\lg(n) - \lg(2)) + 1 \\ &= c_1 \lg(n) - c_1 \lg(2) + 1 \end{aligned}$$

$$\text{Let } c_1 = (2/\lg(2))$$

$$\begin{aligned} &c_1 \lg(n) - c_1 \lg(2) + 1 \\ &= c_1 \lg(n) - (2/\lg(2)) \lg(2) + 1 \\ &= c_1 \lg(n) - 2 + 1 \\ &= c_1 \lg(n) - 1 < c_1 \lg(n) \end{aligned}$$

Therefore,  
 $T(n) < c_1 \lg(n)$  for some positive  $c_1$ .

**PROBLEM 8**

Give asymptotic upper and lower bounds for  $T(n)$  in each of the following recurrences. Assume that  $T(n)$  is constant for  $n \leq 2$ . Make your bounds as tight as possible, and justify your answers.

**c.  $T(n) = 2T(n/2) + n^4$ .**

Proof using the master theorem:

$$a = 2, b = 2, f(n) = n^4$$

$$f(n) = n^4 = n^{\log_2(16)}$$

Check that  $a \cdot f(n/b) \leq c \cdot f(n)$  for some  $c < 1$ :

$$2 \cdot (n/2)^4 = n/8 \leq (1/2) (n^4)$$

$$\text{Therefore, } T(n) = \Theta(n^4)$$

**f.  $T(n) = 2T(n/4) + \sqrt{n}$ .**

Proof using the master theorem:

$$a = 2, b = 4, f(n) = n^{1/2}$$

$$f(n) = n^{1/2} = n^{\log_4(2)} = n^{\log_b(a)}$$

$$\text{Therefore, } T(n) = \Theta(n^{1/2} \lg(n))$$

**g.  $T(n) = T(n-2) + n^2$ .**

$$\text{IH: } T(k) \geq c_1 k^2 \lg(k)$$

$$T(n-2) \geq c_1 (n-2)^2$$

$$T(n-2) + n^2 \geq c_1 (n-2)^2 + n^2$$

$$c_1 (n-2)^2 + n^2$$

$$= c_1 (n^2) - c_1 (4n) + c_1 (4) + n^2$$

$$= (c_1 + 1) (n^2) - c_1 (4n) + c_1 (4)$$

$$= (c_1 + 1) (n^2) - (4 \cdot c_1) (n+1) \geq n^2$$

$$\text{So, } T(n) \geq n^2$$

$$T(n) = \Omega(n^2)$$

$$\text{IH: } T(k) \leq c_1 k^2$$

$$T(n-2) \leq c_1 (n-2)^2$$

$$T(n) \leq c_1 (n-2)^2 + n^2$$

$$c_1 (n-2)^2 + n^2$$

$$= c_1 (n^2) - c_1 (4n) + c_1 (4) + n^2$$