

Communication systems

Prof. Marco Moretti

marco.moretti@unipi.it

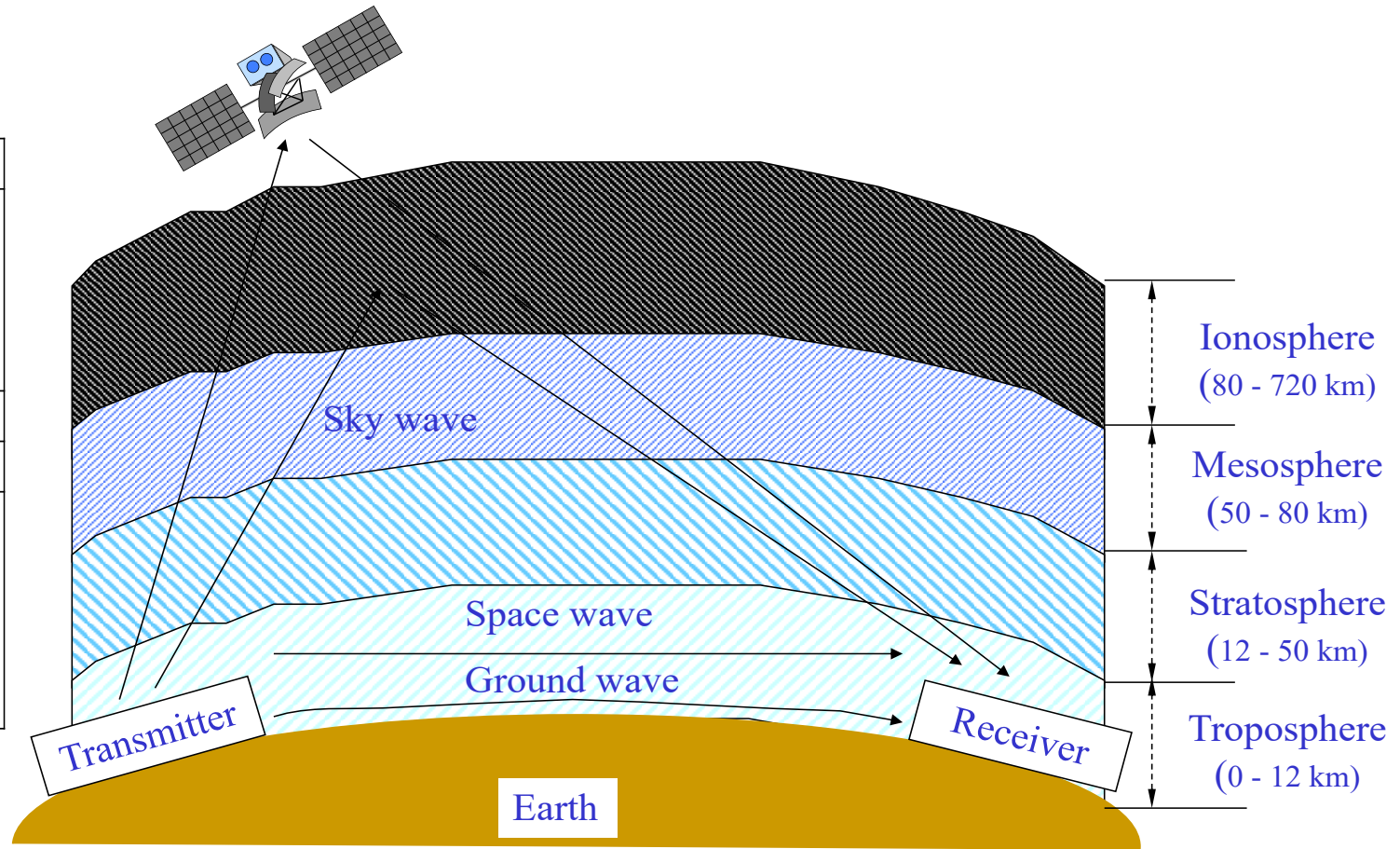
ELECTRONICS AND COMMUNICATIONS SYSTEMS

COMPUTER ENGINEERING

Wireless propagation channel

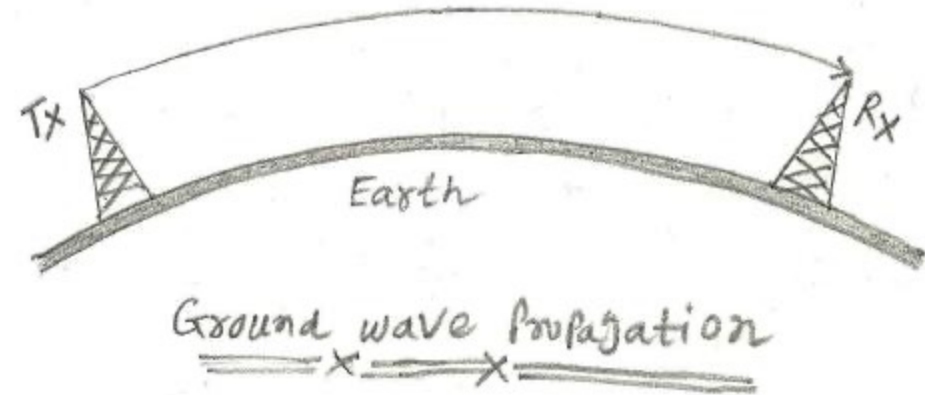
Signal propagation in the air

| Classification Band | Initials | Frequency Range | Characteristics |
|---------------------|----------|------------------|-----------------|
| Extremely low | ELF | < 300 Hz | Ground wave |
| Infra low | ILF | 300 Hz - 3 kHz | |
| Very low | VLF | 3 kHz - 30 kHz | |
| Low | LF | 30 kHz - 300 kHz | |
| Medium | MF | 300 kHz - 3 MHz | Ground/Sky wave |
| High | HF | 3 MHz - 30 MHz | Sky wave |
| Very high | VHF | 30 MHz - 300 MHz | Space wave |
| Ultra high | UHF | 300 MHz - 3 GHz | |
| Super high | SHF | 3 GHz - 30 GHz | |



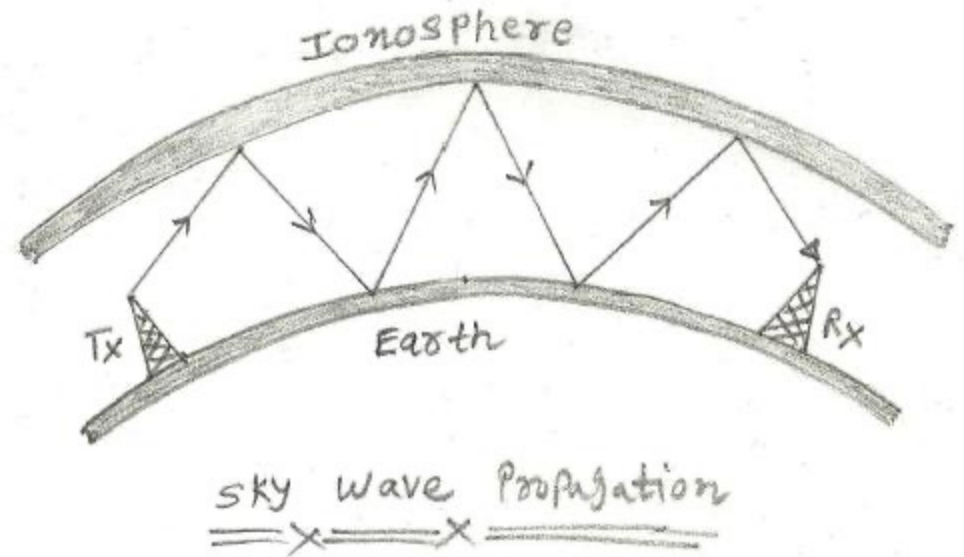
Ground wave propagation

- The wave propagates following earth's curvature reaching receivers beyond the horizon (in certain cases hundreds of km).
- Valid for frequencies below 2 MHz, LF-MF bands



Sky wave propagation

- For a certain ranges of frequency (around 10 MHz) the ionosphere acts as a mirror and reflects the signals back to the earth.
- Bouncing between earth and the ionosphere the signal can propagate up to a few thousands of km.
- Valid mainly for HF.



Space wave propagation

- For frequencies larger than 30 MHz, the main form of propagation is line-of-sight.
- The received signal is composed by the direct component plus the paths reflected by nearby obstacles.
- The larger the frequency the larger is the propagation attenuation.

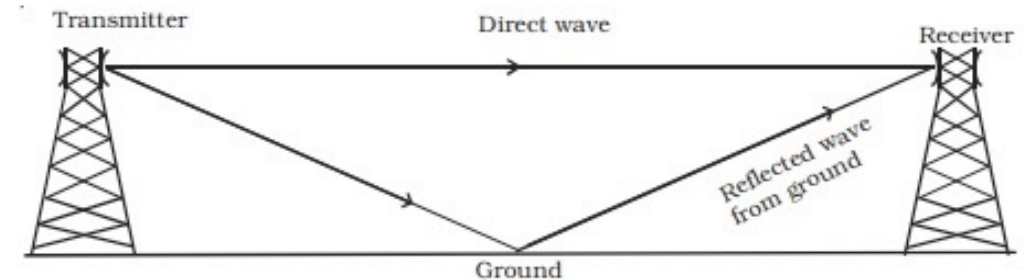
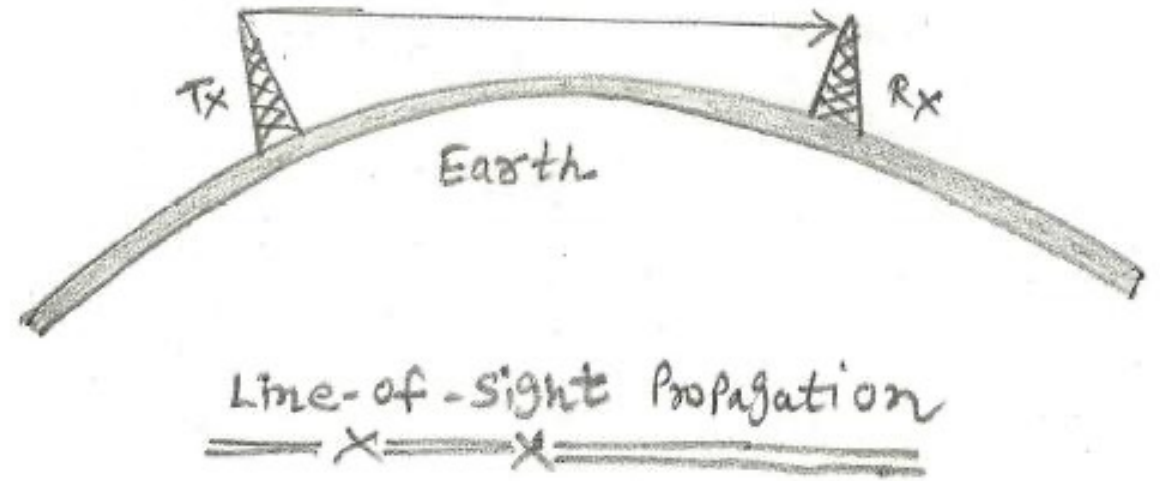
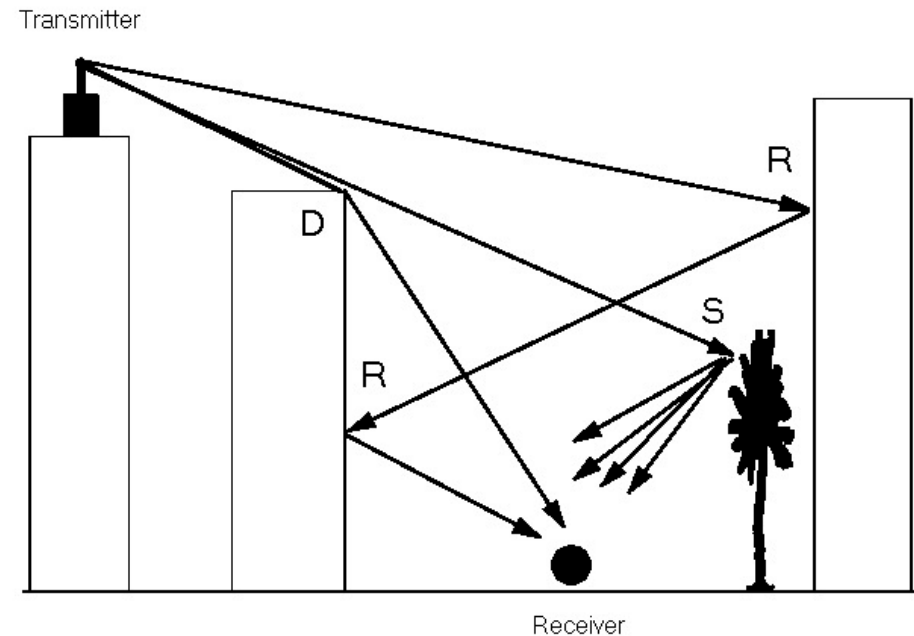


Fig Space wave propagation

The wireless propagation channel (space wave)

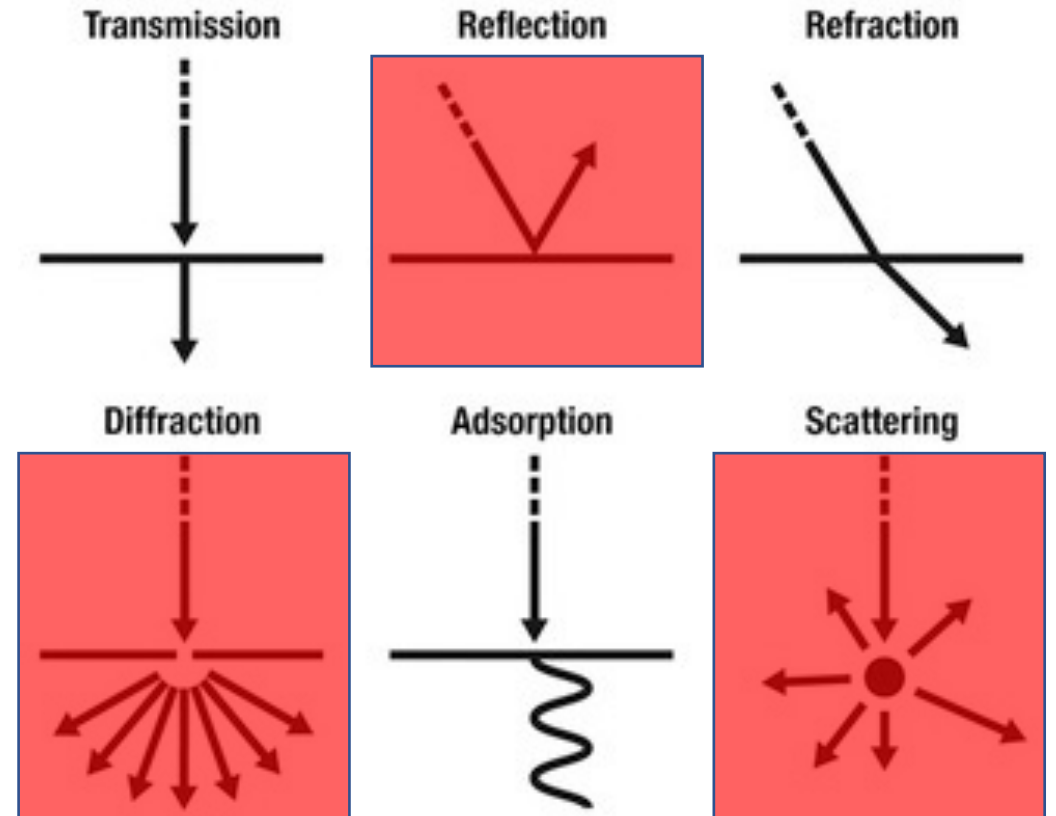
- Because, mobile services are mostly in the bandwidth 30MHz-30 GHz, *spacewave* is the most important wave propagation mechanism we need to consider.
- The main physical phenomena are: reflection, diffraction, scattering.
- The effect of the combination of these propagation phenomena can be summarized into *large-scale* and *small-scale* fading.



Reflection (R), diffraction (D) and scattering (S).

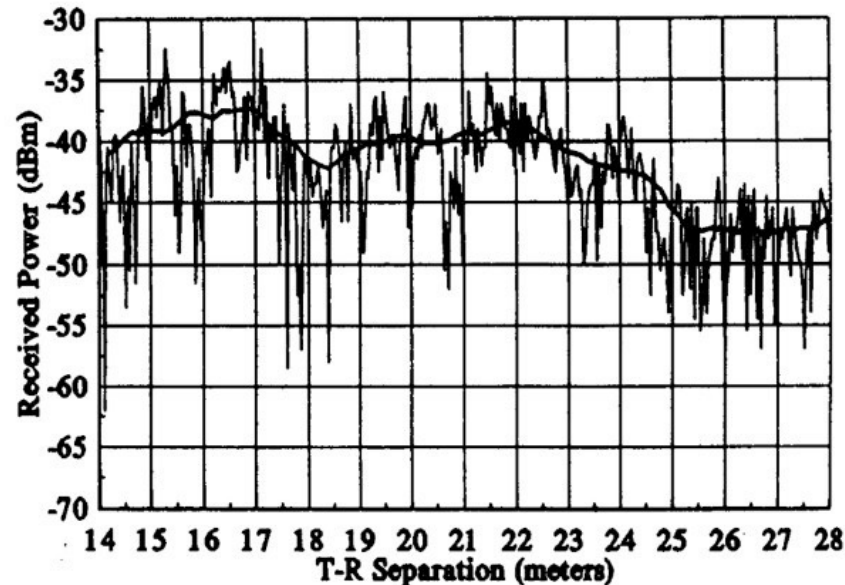
Propagation phenomena

- Three major propagation mechanisms:
 - **Reflection**
Signal impinges on very large (w.r.t. to signal wavelength) objects. When a wave meets a boundary, it can be either reflected or transmitted.
 - **Diffraction**
Signal is obstructed by objects that have sharp irregularities. Diffraction depends on the size of the object relative to the wavelength of the wave.
 - **Scattering**
Propagation medium populated by small (wrt to signal wavelength) objects or rough surfaces (e.g. foliage, street signs).



Large-scale fading

- Large-scale fading: propagation models that characterize average signal strengths over Tx-Rx separation distance.
- Accounts for averaged received power, changes over distances ≈ 1 m.
- Large-scale fading can be modelled as the combination of *path-loss* and *shadowing*.



Large-scale fading: path-loss

- Path-loss models simplify Maxwell's equations.
- Models vary in complexity and accuracy but, in general, mean power falloff w.r.t. the tx-rx distance d is proportional to d^2 in free space and to d^n in other environments.
- Considering only path-loss, the average received signal power is

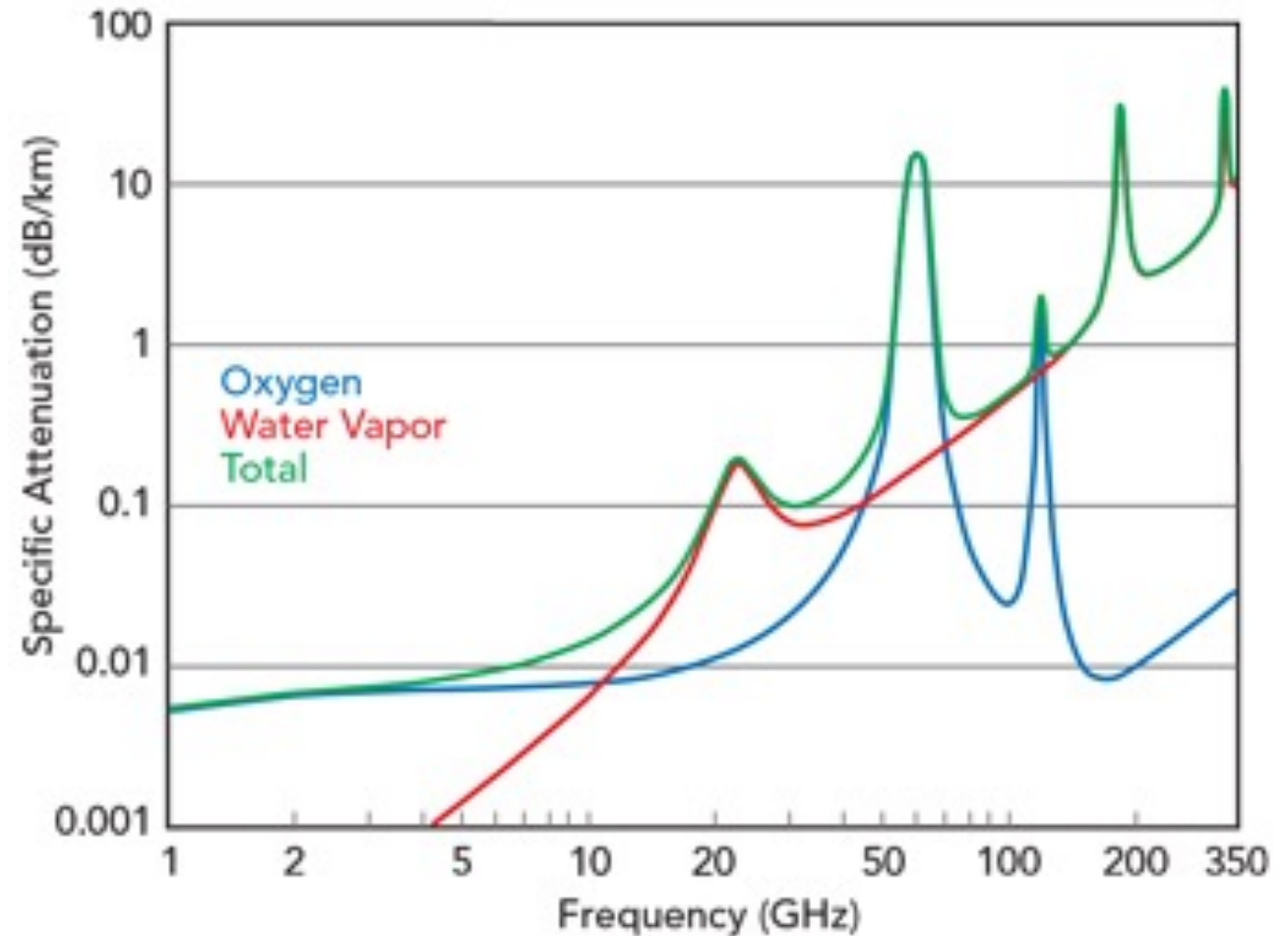
$$P_{Rx} \propto P_{Tx} \underbrace{\Gamma(f_0, d_0) \left(\frac{d_0}{d} \right)^n}_{\text{path-loss}} \quad d > d_0$$

- *Near field term* $\Gamma(f_0, d_0) \approx \left(\frac{\lambda}{4\pi d_0} \right)^2$

| Environment | Path Loss Exponent, n |
|----------------------------------|----------------------------|
| Free space | 2 |
| Urban area cellular radio | 2.7 – 3.5 |
| Urban area cellular (obstructed) | 3 – 5 |
| In-building line-of-sight | 1.6 – 1.8 |
| Obstructed in-building | 4 – 6 |
| Obstructed in-factories | 2 – 3 |

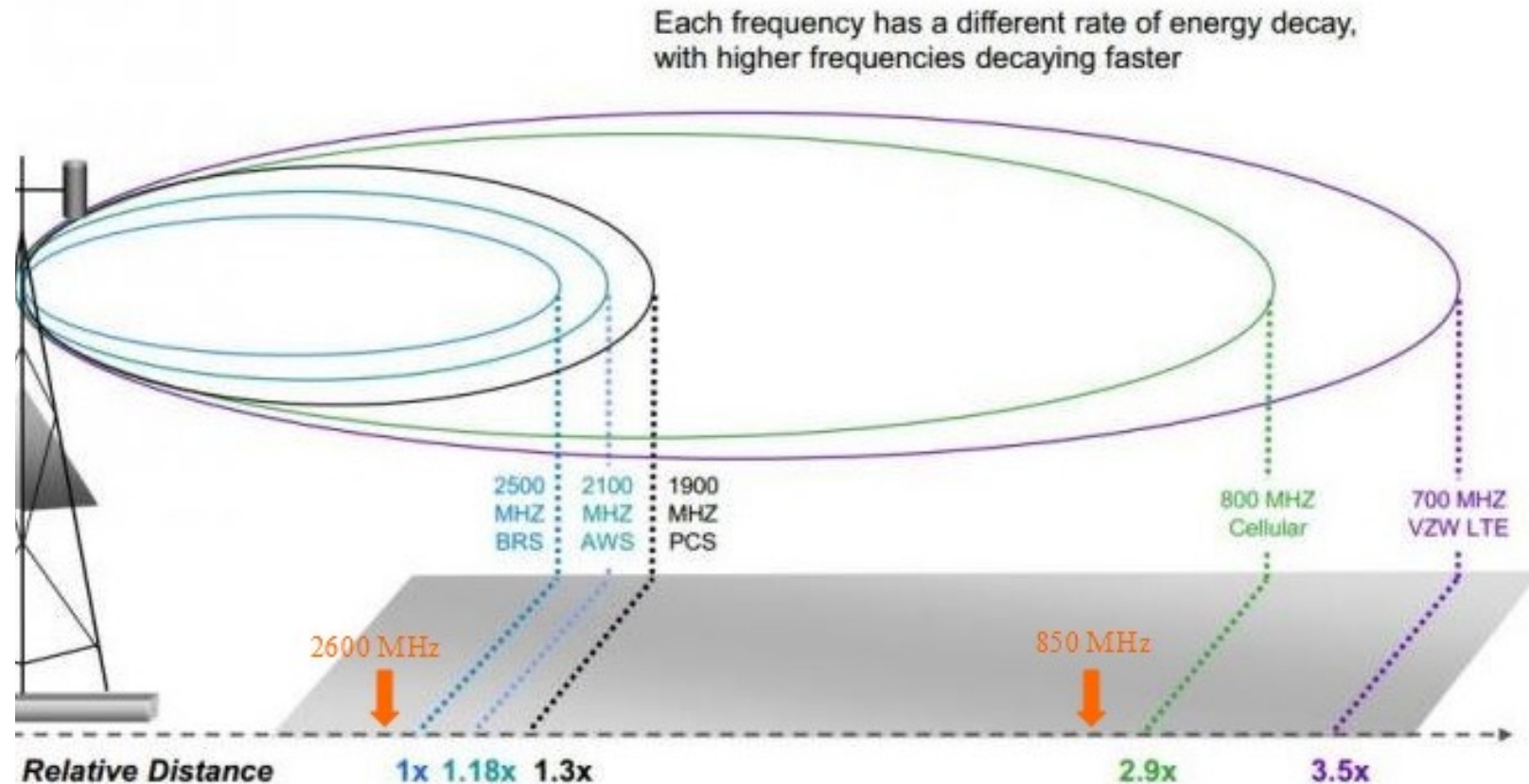
Large-scale fading: attenuation due to frequency

- At frequencies $f_c < 6$ GHz ($\lambda > 50$ mm) the channel attenuation depends on the carrier frequency with a square law.
- At larger frequencies the channel attenuation depends on other physical phenomena such as oxygen and water vapor absorption.
- Mmwave channel is very much attenuated!



Path-loss and cell size

- At cell borders path-loss attenuation may exceed 100 dB.
- The larger the carrier frequency the larger is the attenuation and the smaller is the cell radius.
- Large cells, designed for *coverage*, use low carrier frequencies, small or very small cells, designed to boost *capacity*, use mmwave frequencies.



Large-scale fading: shadowing

- Two points with the same distance d from the transmitter have theoretically the same path-loss, nevertheless their average attenuation may still greatly differ.
- *Shadowing* accounts for the random variations of the average channel attenuation.
- Shadowing A_S is a random variable *log-normally distributed* with parameters $\mu = 0$ and σ_S , expressed in dB. The pdf in dB of A_S is

$$p(A_S) = \frac{1}{\sqrt{2\pi}\sigma_S} e^{-\frac{A_S^2}{2\sigma_S^2}}$$

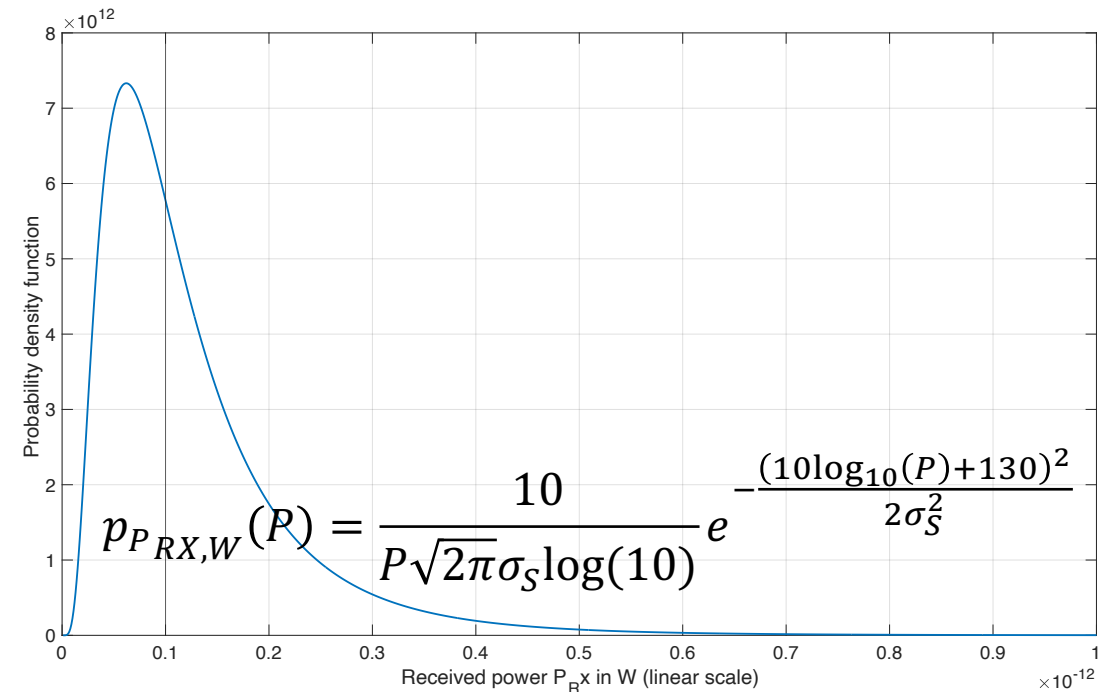
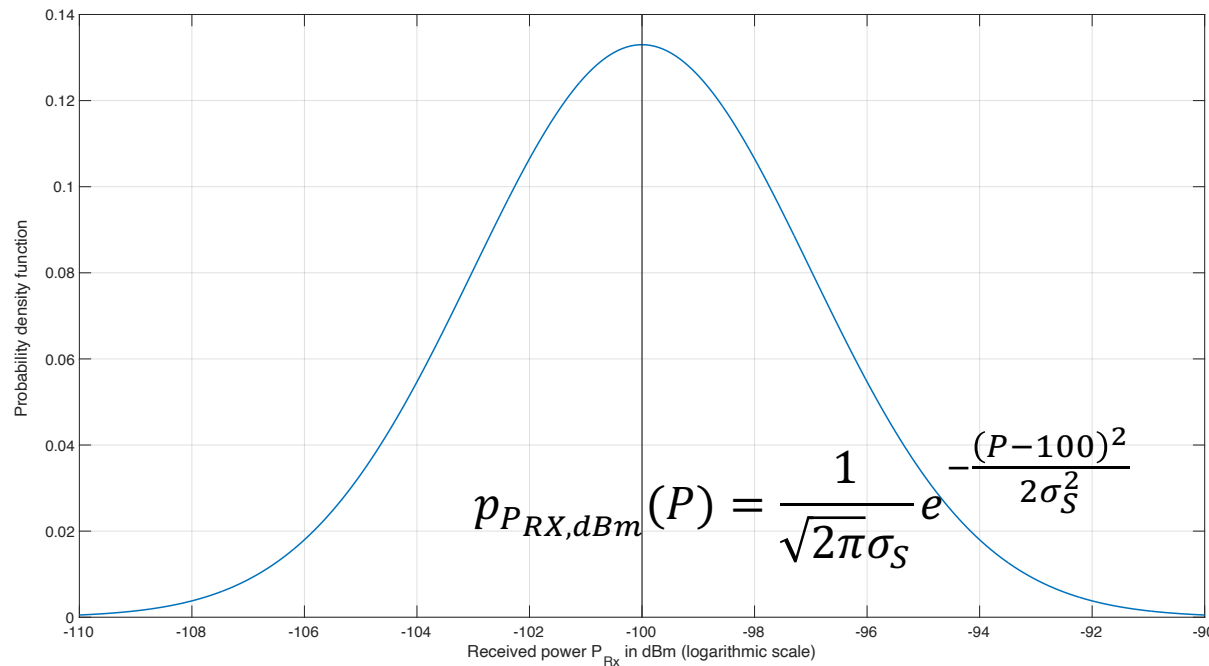
where σ_S is the standard deviation in decibels (typical values 0-9 dB)

Large-scale fading: shadowing

- Let's consider a channel with path-loss and shadowing ($\sigma_S = 3$ dB) only. The received power P_{RX} is

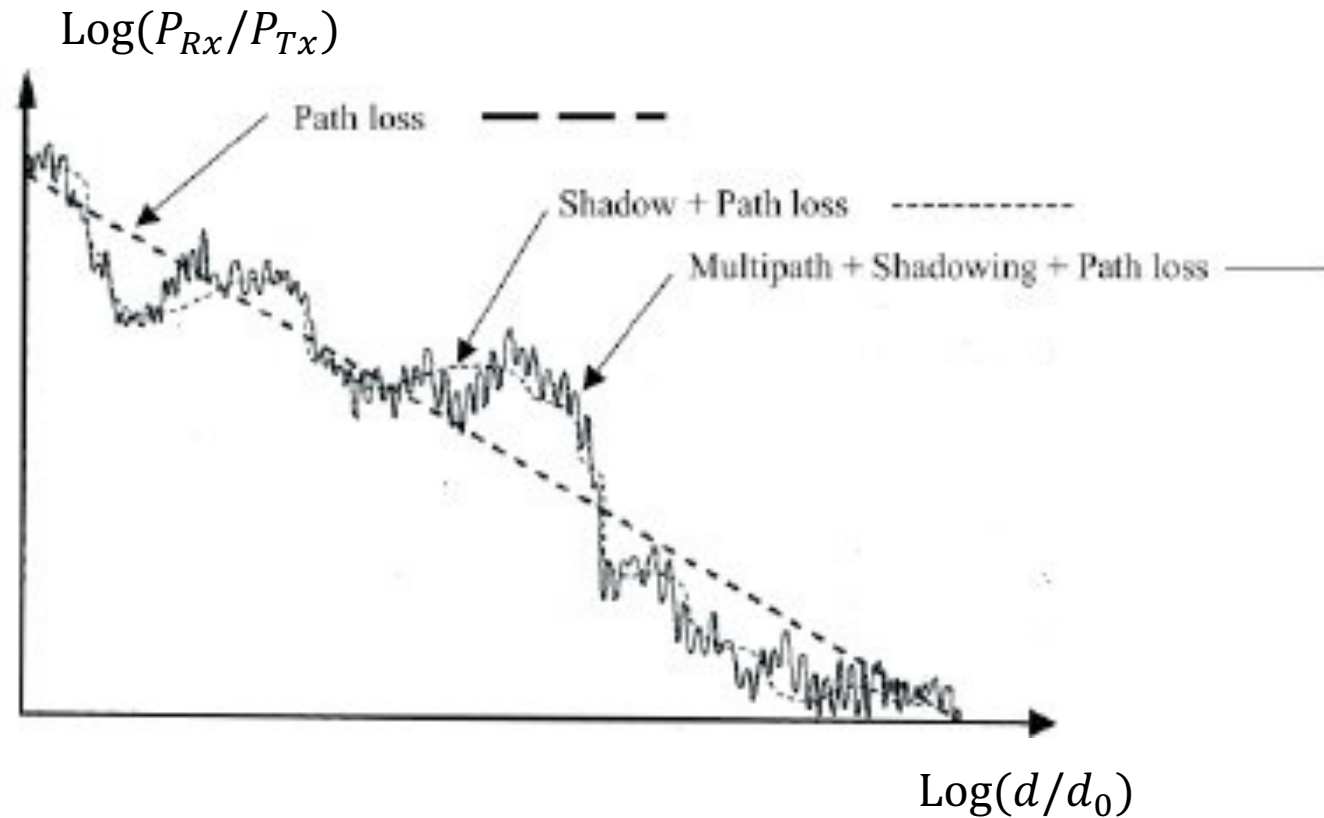
$$P_{RX} = P_{TX} A_{PL} A_S$$

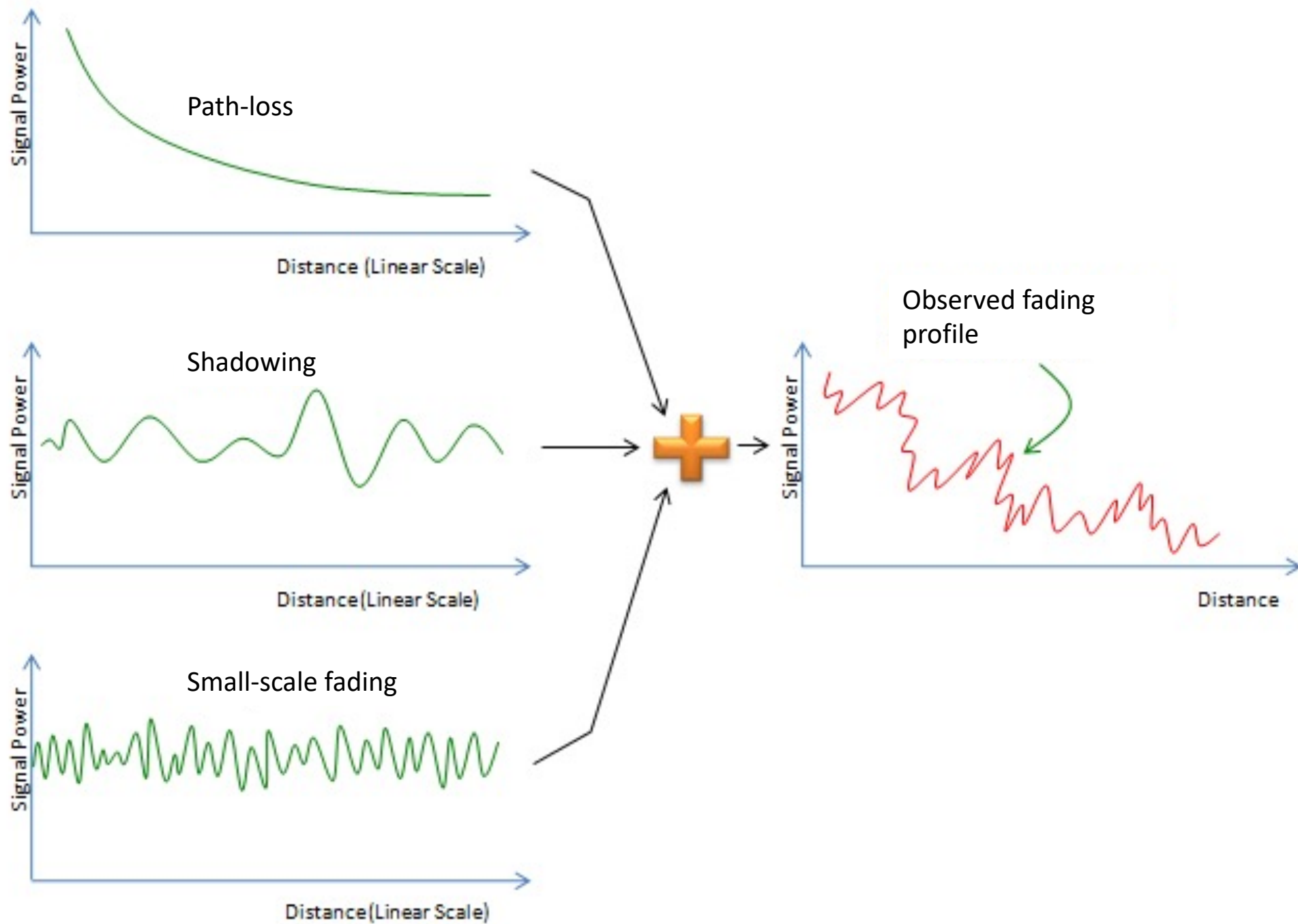
- Assume that $P_{TX} A_{PL} = -100$ dBm = -130 dBW = 10^{-13} W.
- Because of shadowing, P_{RX} is a random variable and its probability density function in dBm and linear scale is



Large-scale fading

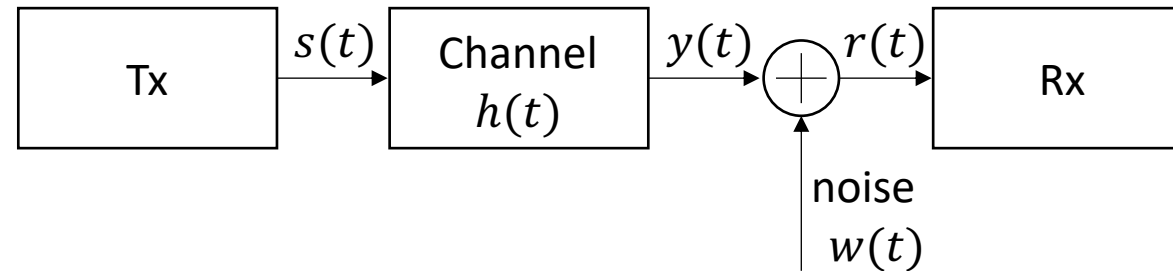
- The received power in dB is computed as
$$P_{Rx}[dBm] = P_{Tx}[dBm] + \underbrace{A_{PL}[dB] + A_S[dB]}_{A_{LS}} + A_{SS}[dB]$$
- A_{PL} is deterministic and depends on the distance d .
- A_S is a log-normally distributed random variable.
- A_{SS} is the attenuation due to small scale fading, which fluctuates rapidly with the distance.





Propagation channel: small scale fading

- The propagation channel can be modeled as a LTI filter.

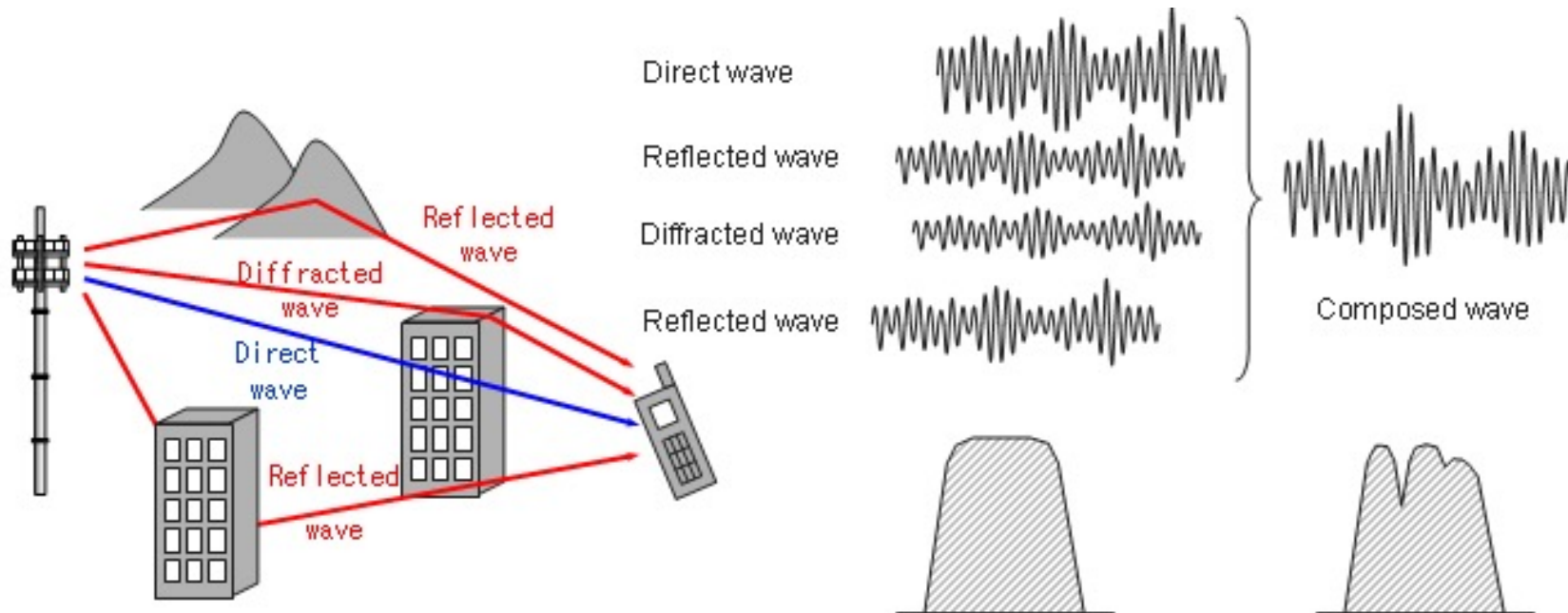


- The filter impulse response $h(t)$ depends on the *small-scale fading* characteristics.

$$y(t) = s(t) \otimes h(t)$$

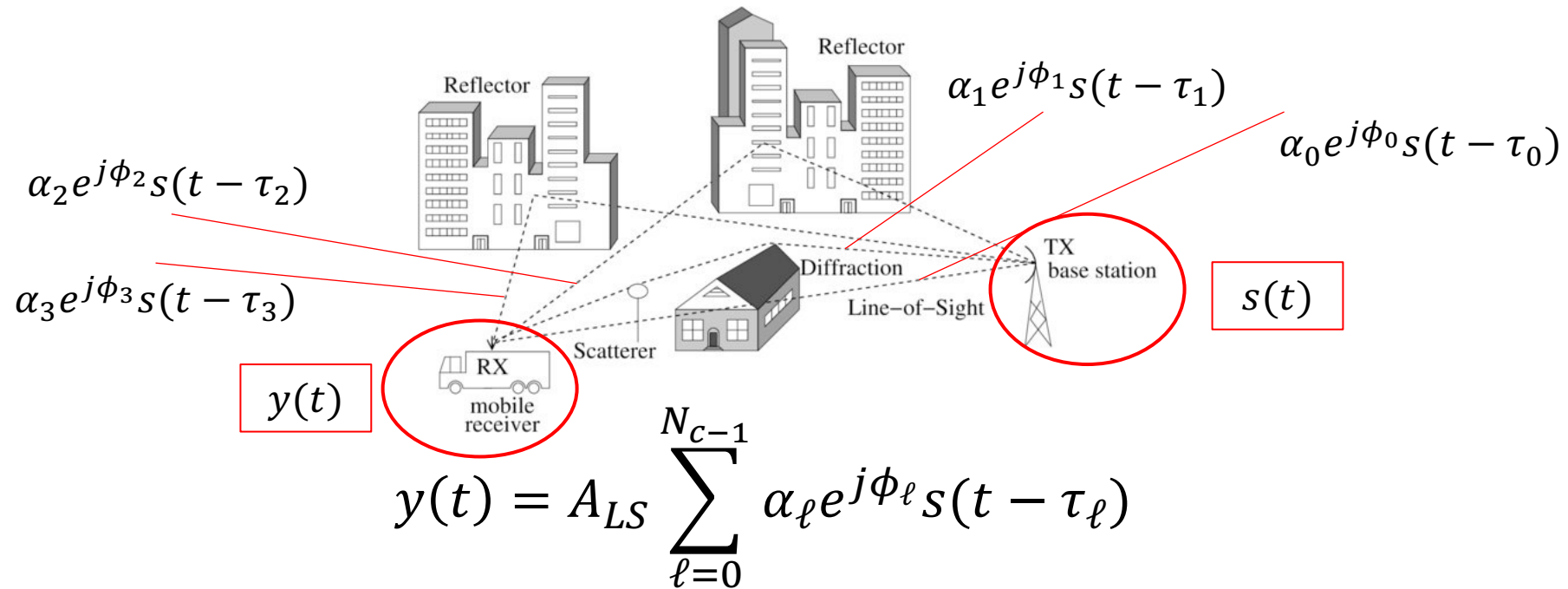
Small-scale fading

- Small-scale fading: accounts for the random variations of the instantaneous received power over distances of the order of a wavelength.
- Because of the various propagation phenomena, a large number of waves, each carrying a replica of the transmitted signal, arrives at the receiver.



Small-scale fading

- Neglecting the noise, the complex envelope of the signal at the receiver is the sum of delayed replicas of the transmitted signal $s(t)$, each with its own delay phase and attenuation, i.e.



Small-scale fading: Rayleigh distribution

- Considering that a τ -delayed replica can be seen as the convolution of $s(t)$ with $\delta(t - \tau)$, the impulse response of the channel can be represented as

$$h(t) = A_L s \sum_{\ell=0}^{N_c-1} \alpha_{\ell} e^{j\phi_{\ell}} \delta(t - \tau_{\ell})$$

Multipath

Path-loss and shadowing

- The path gains α_{ℓ} are modeled as random variables. In most cases, they follow a Rayleigh distribution.
- The path phases ϕ_{ℓ} are modelled as uniformly distributed variables in the interval $[0, 2\pi]$.

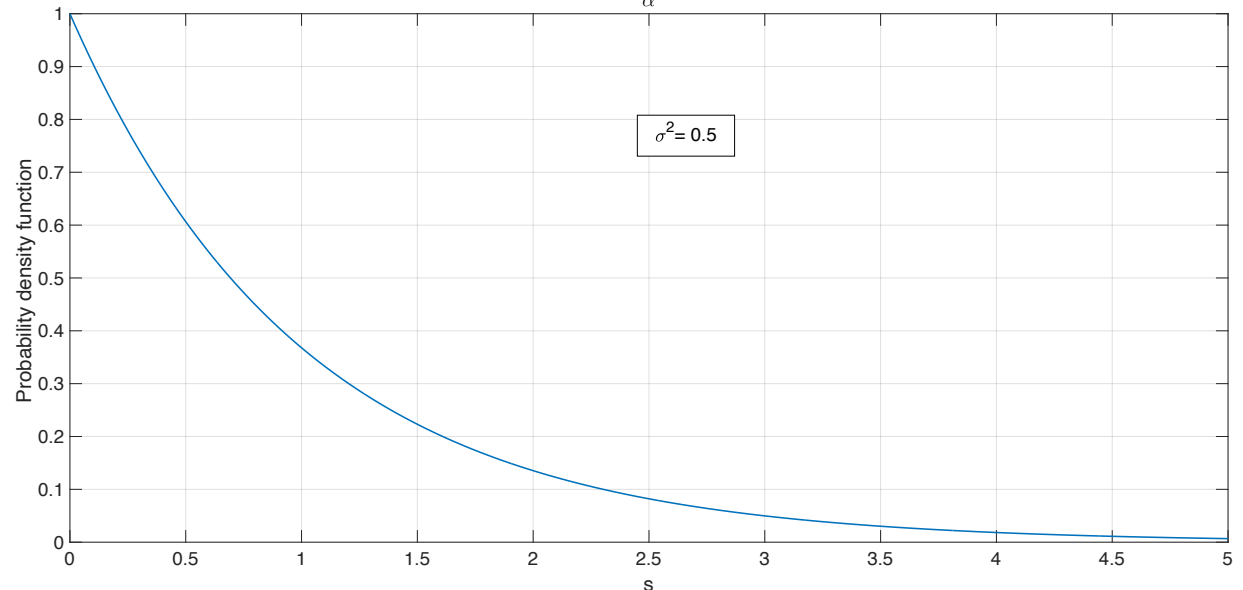
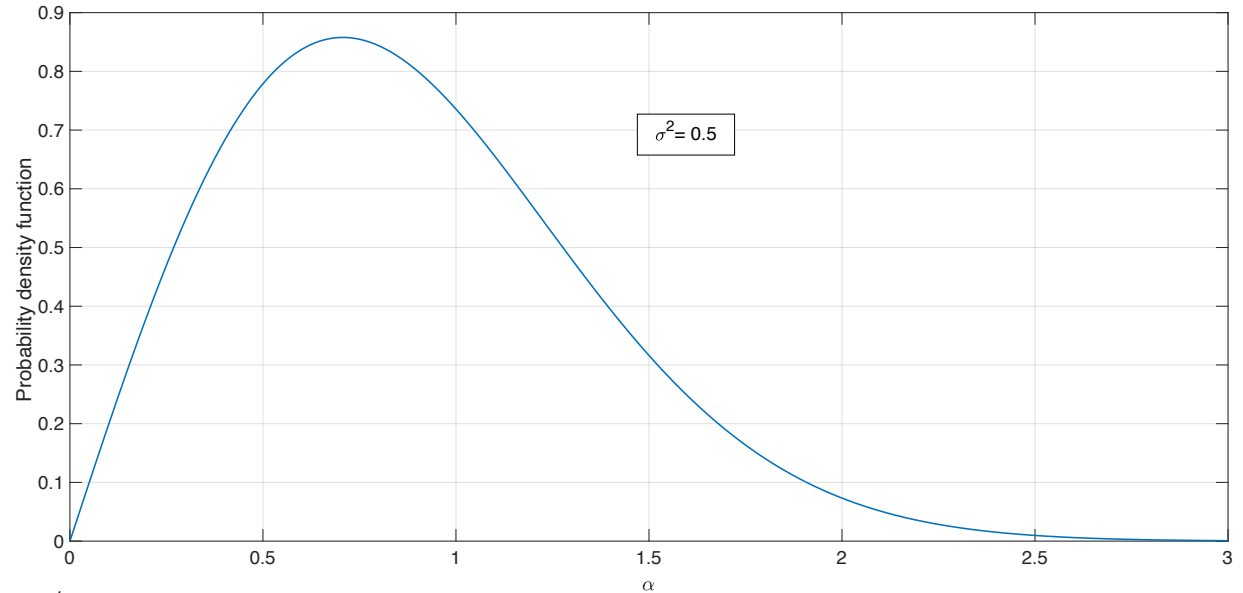
Channel gain characterization

- The distribution for channel amplitude α is *Rayleigh*

$$p(\alpha) = \begin{cases} \frac{\alpha}{\sigma^2} e^{-\frac{\alpha^2}{2\sigma^2}} & \alpha \geq 0 \\ 0 & \alpha < 0 \end{cases}$$

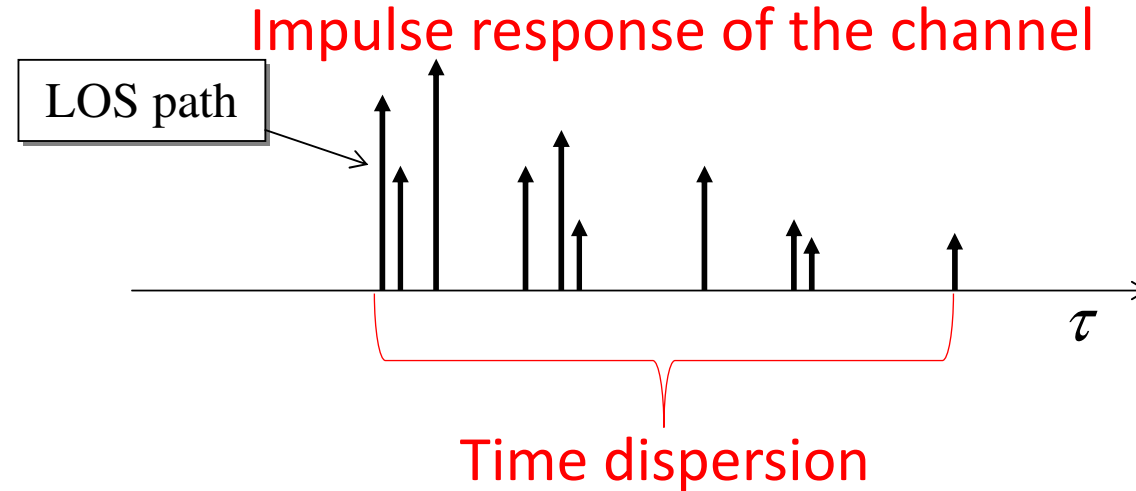
- The distribution for channel power $s = \alpha^2$ is *exponential*

$$p(s) = \begin{cases} \frac{1}{2\sigma^2} e^{-\frac{s}{2\sigma^2}} & s \geq 0 \\ 0 & s < 0 \end{cases}$$



Small-scale fading: multipath channel

- The various signal's replicas may interfere with each other and generate inter-symbol (ISI) interference.



Small-scale fading: multipath channel

- Neglecting the noise, the output of the receive filter is

$$x(t) = \sum_i c_i g(t - iT)$$

where $g(t) = g_T(t) \otimes h(t) \otimes g_R(t) = g_N(t) \otimes h(t)$ so that it is

$$g(t) = \sum_{\ell=0}^{N_c-1} \alpha_\ell e^{j\phi_\ell} g_N(t - \tau_\ell).$$

- In the multipath channel, the decision variable $x(m)$ is

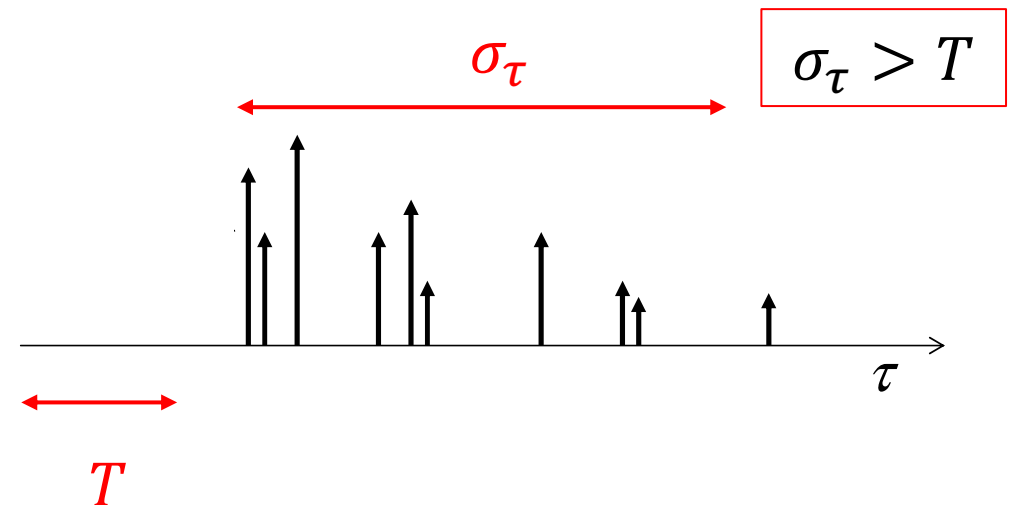
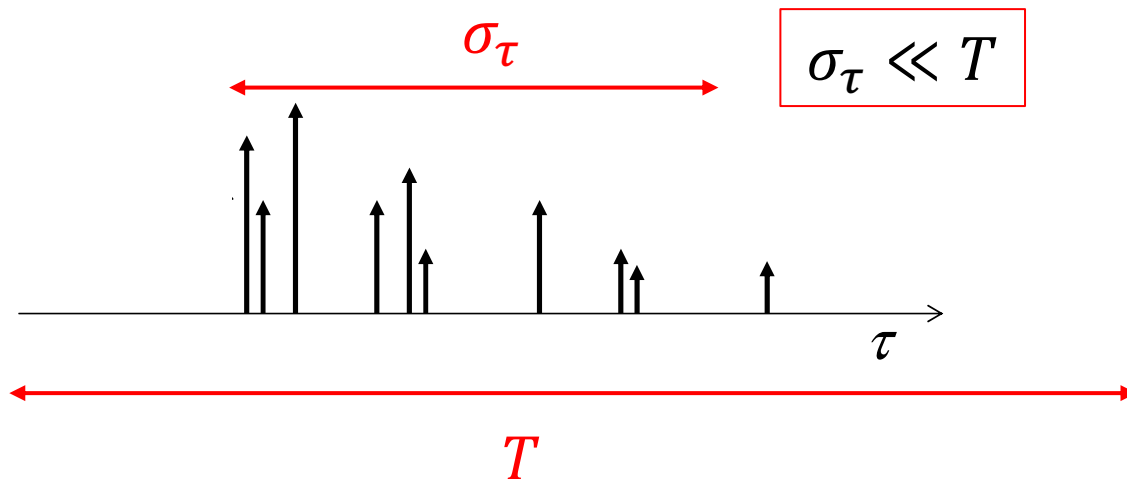
$$x(m) = x(t) \Big|_{t=mT} = \sum_k c_{m-k} g(kT) = c_m g(0) + \sum_{k, k \neq 0} c_{m-k} g(kT)$$

where it is

$$g(kT) = g(t) \Big|_{t=kT} = \sum_{\ell=0}^{N_c-1} \alpha_\ell e^{j\phi_\ell} g_N(kT - \tau_\ell)$$

Delay spread

- The channel's time dispersion is measured by the *delay spread* σ_τ
 - If the delay spread is smaller than the symbol time T , $\sigma_\tau \ll T$, there is only one resolvable path and the channel is *flat* fading.
 - If $\sigma_\tau > T$, there are more than one resolvable path and the channel is *multipath*. The various replicas of the received signal interfere with each other

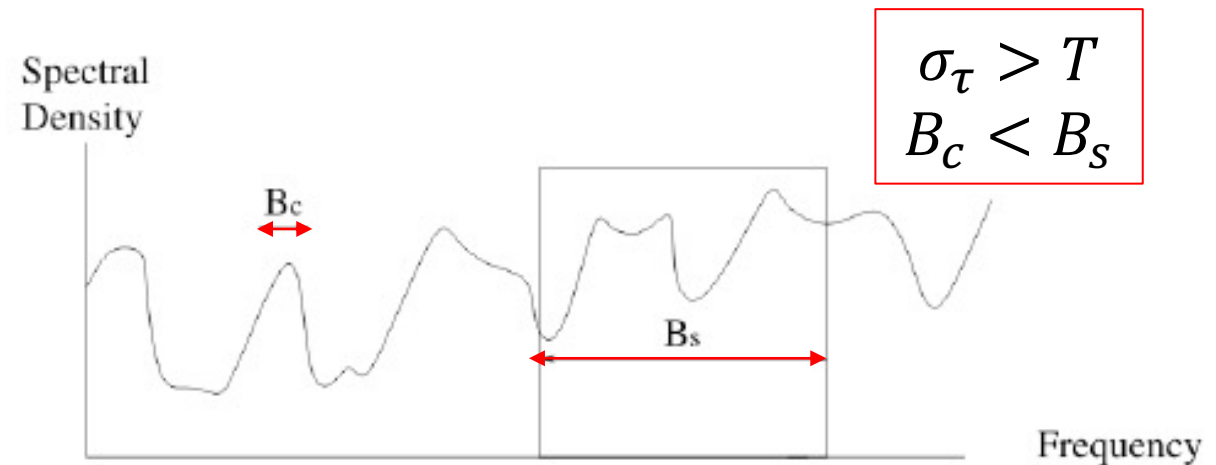
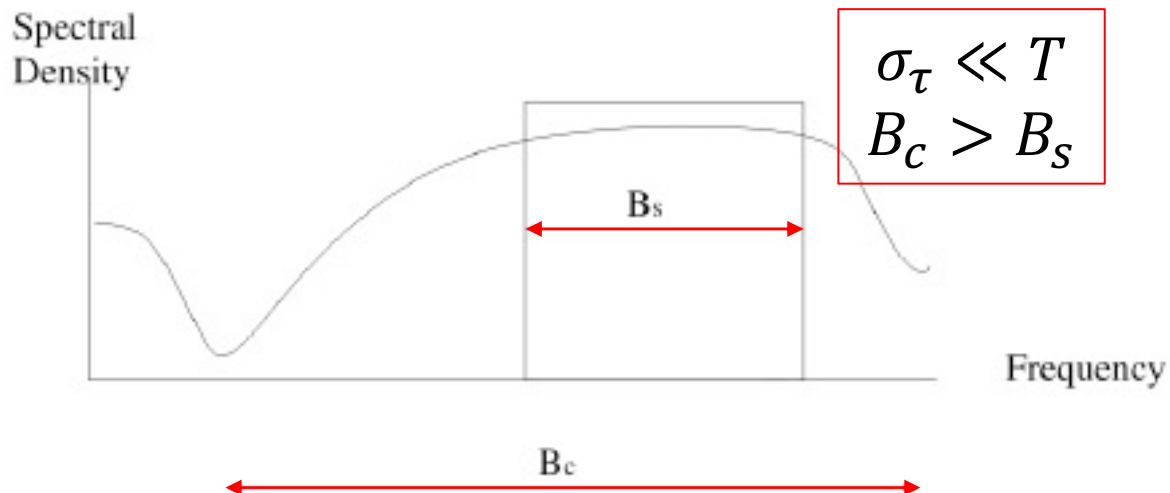


Coherence bandwidth

- The channel *coherence bandwidth* B_c is the frequency interval over which the channel's frequency response is approximately constant.

$$B_c \approx \frac{1}{5\sigma_\tau}$$

- When $\sigma_\tau < T$, it is $B_c > B_s$, the channel is flat.
- When $\sigma_\tau > T$, it is $B_c < B_s$, the channel is frequency selective (multipath).



Channel's delay spread

- To compute the delay statistics one should integrate over the density function of the delays.
- Too difficult, a practical method consist in weighting the delay of each path by the coefficients $0 < \alpha_\ell^2 \setminus \sum_{\ell=0}^{L-1} \alpha_\ell^2 < 1$, which are equivalent to empirical mass probabilities.

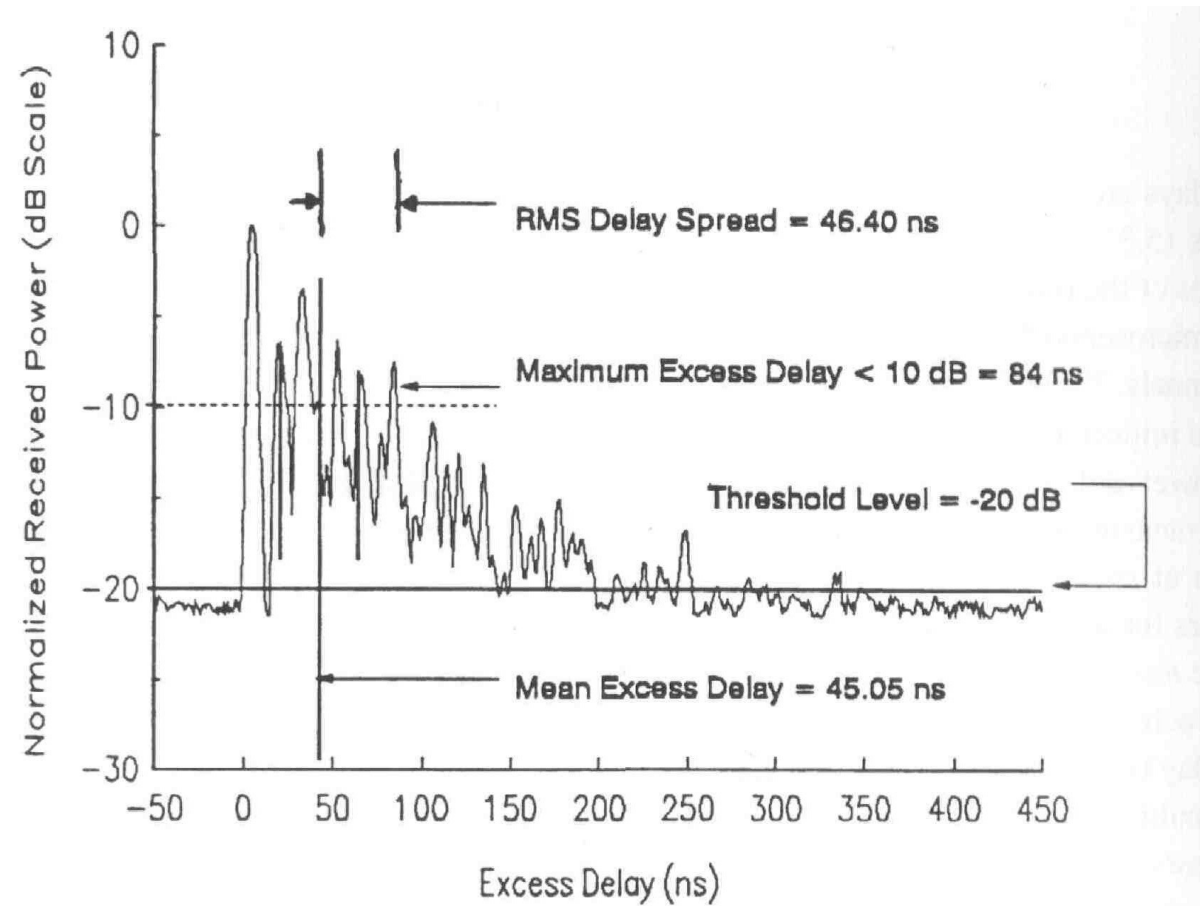
- *Mean excess delay*

$$\bar{\tau} = E\{\tau\} = \int_0^{+\infty} \tau \, pdf(\tau) d\tau \approx \sum_{\ell=0}^{N_c-1} \frac{\alpha_\ell^2}{\sum_{\ell=0}^{L-1} \alpha_\ell^2} \tau_\ell ;$$

- *RMS delay spread*

$$\sigma_\tau^2 = E\{(\tau - \bar{\tau})^2\} \approx \sqrt{\overline{\tau^2} - \bar{\tau}^2}, \overline{\tau^2} = \sum_{\ell=0}^{N_c-1} \frac{\alpha_\ell^2}{\sum_{\ell=0}^{L-1} \alpha_\ell^2} \tau_\ell^2$$

RMS delay spread



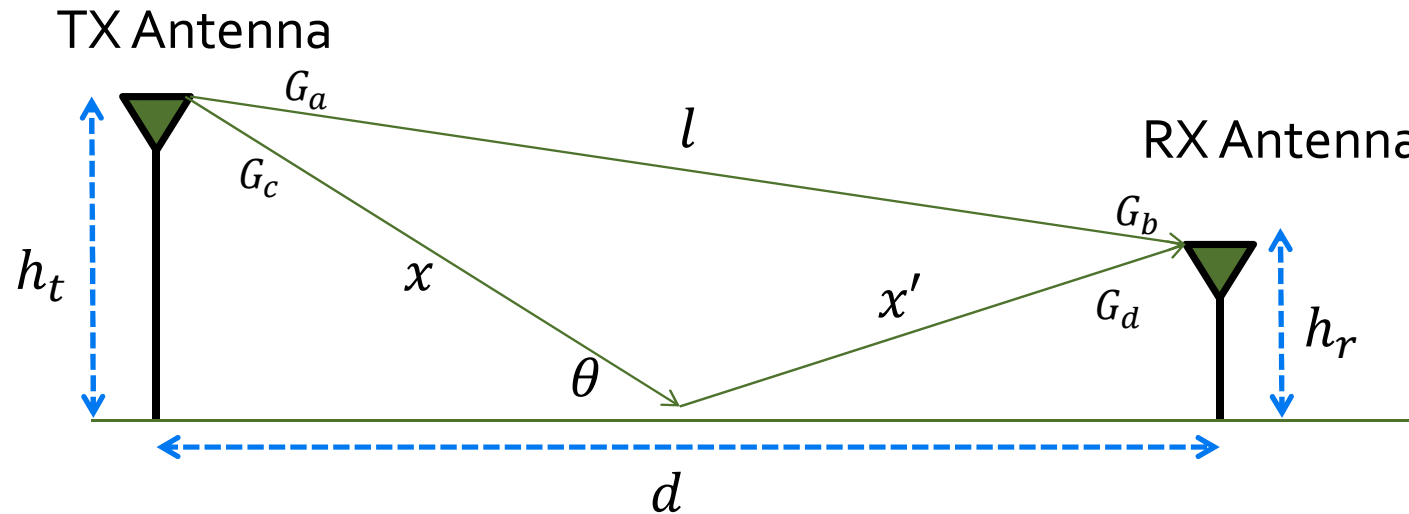
Typical values of RMS delay spread

- Measurements depend on signal frequency and environment.
- Typical values of delay spread are 0.2μs (rural area), 0.5μs (suburban area), 3-8μs (urban area), <2 μs (urban microcell) and 50-300ns (indoor picocell)
- A delay spread of 5μs corresponds to a coherence bandwidth

$$B_c \approx \frac{1}{5} \frac{1}{5 \cdot 10^{-6}} = 40\text{kHz!}$$

| Environment | RMS delay spread (σ_t) | Notes |
|-------------|---------------------------------|-----------------------|
| Urban | 1300 ns (3500 ns max) | NYC |
| LTE ETU | Up to 5 μs | Averaged typical case |
| Suburban | 1960-2110 ns | Averaged extreme case |
| Indoor | 10-50 ns | Office building |
| Indoor | 70-94 ns (1470 ns max) | Office building |

Example: the two-ray channel model



Delayed since $x+x'$ is longer. $\tau = (x + x' - l)/c$

$$r_{2\text{-ray}}(t) = \text{Re} \left\{ \frac{\lambda}{4\pi} \left[\frac{\sqrt{G_a G_b} \tilde{g}(t) \exp\left(-\frac{j2\pi l}{\lambda}\right)}{l} + \underbrace{R \sqrt{G_c G_d} \tilde{g}(t - \tau)}_{\text{Delayed since } x+x' \text{ is longer. } \tau = (x + x' - l)/c} \exp\left(-\frac{j2\pi(x + x')}{\lambda}\right) \right] \exp(j2\pi f_c t) \right\}$$

R : ground reflection coefficient (phase and amplitude change)

Two-path channel, $h(t) = \alpha_1 \delta(t) + \alpha_2 \delta(t - \tau)$

- Channel parameters

$$\alpha_1 = 1, \alpha_2 = 0.9, \tau = \frac{T}{10}$$

- Delay spread

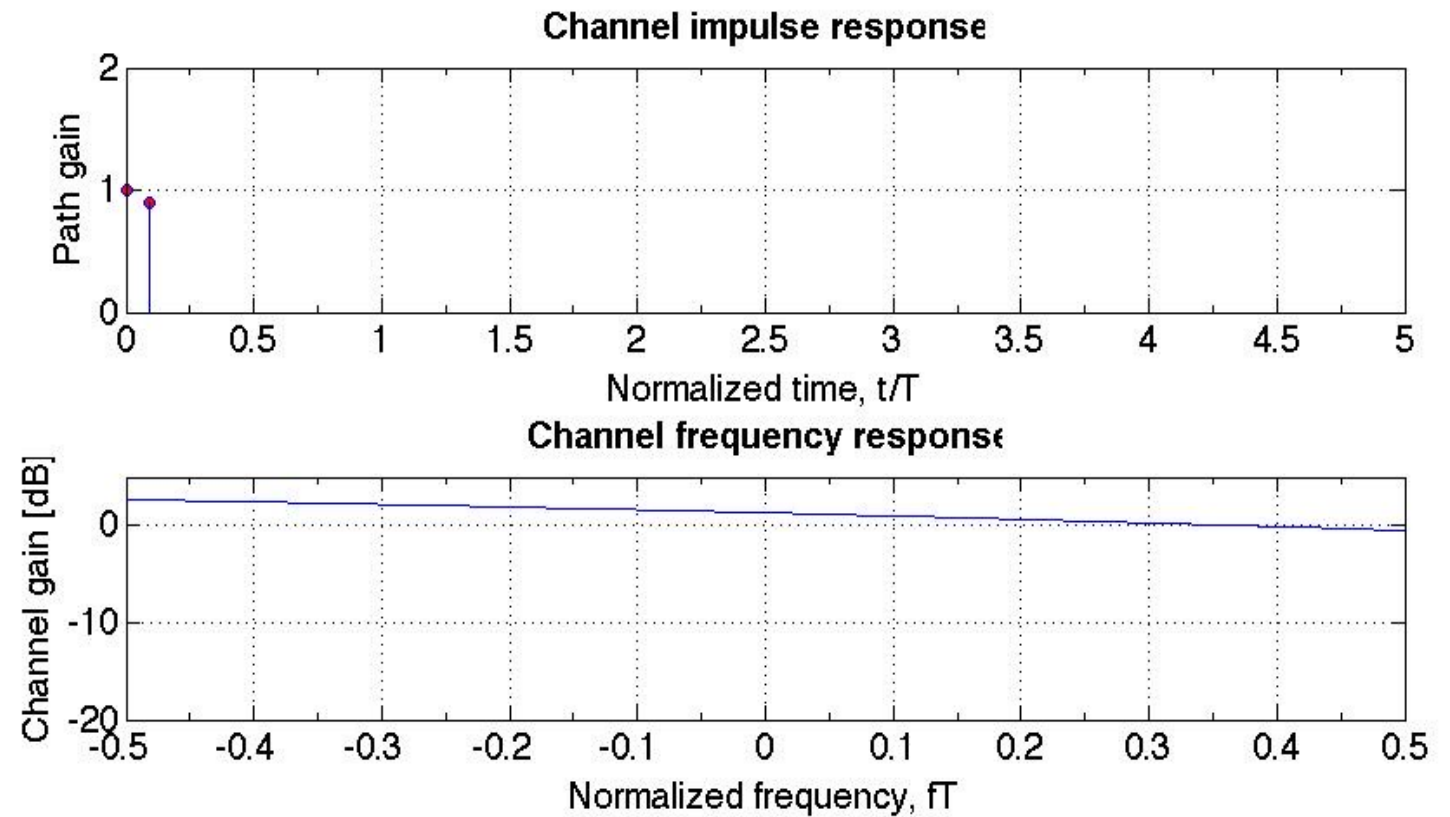
$$p_1 = \frac{1}{1 + 0.9^2} = \frac{1}{1.81} \approx 0.55$$

$$p_2 = \frac{0.81}{1.81} \approx 0.45$$

$$\bar{\tau} = 0.045T$$

$$\bar{\tau}^2 = 0.45 \cdot \frac{T^2}{100} = 0.0045T^2$$

$$\sigma_\tau = \sqrt{\bar{\tau}^2 - (\bar{\tau})^2} = 0.05T$$



Two-path channel, $h(t) = \alpha_1 \delta(t) + \alpha_2 \delta(t - \tau)$

- Channel parameters

$$\alpha_1 = 1, \alpha_2 = 0.9, \tau = T$$

- Delay spread

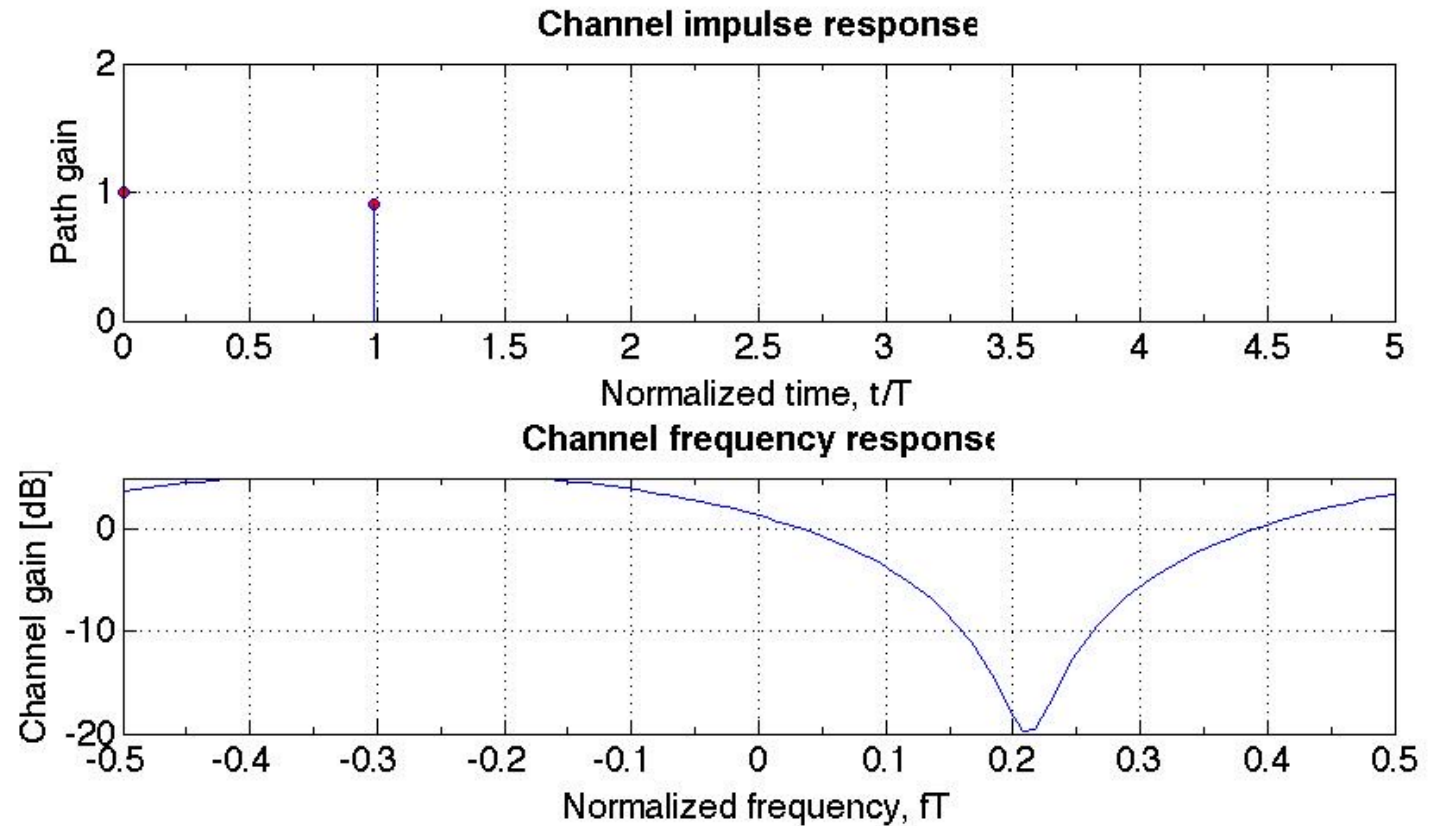
$$p_1 \approx 0.55$$

$$p_2 \approx 0.45$$

$$\bar{\tau} = 0.45T$$

$$\bar{\tau}^2 = 0.45T^2$$

$$\sigma_\tau = \sqrt{\bar{\tau}^2 - (\bar{\tau})^2} = 0.5T$$



Two-path channel, $h(t) = \alpha_1 \delta(t) + \alpha_2 \delta(t - \tau)$

- Channel parameters

$$\alpha_1 = 1, \alpha_2 = 0.9, \tau = 1.5T$$

- Delay spread

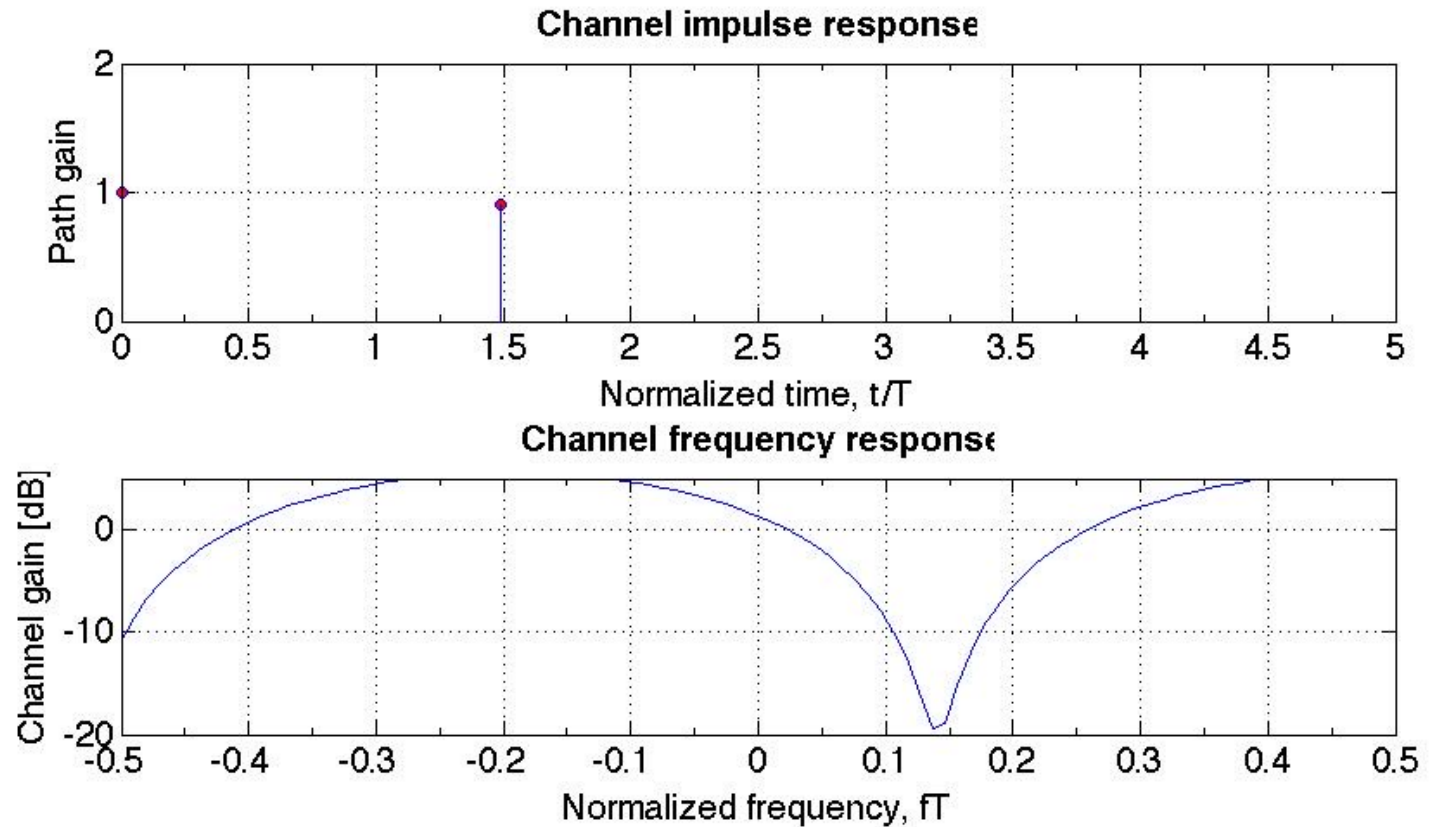
$$p_1 \approx 0.55$$

$$p_2 \approx 0.45$$

$$\bar{\tau} \approx 0.7T$$

$$\bar{\tau}^2 = T^2$$

$$\sigma_\tau = \sqrt{\bar{\tau}^2 - (\bar{\tau})^2} \approx 0.6T$$



Two-path channel, $h(t) = \alpha_1 \delta(t) + \alpha_2 \delta(t - \tau)$

- Channel parameters

$$\alpha_1 = 1, \alpha_2 = 0.9, \tau = 4T$$

- Delay spread

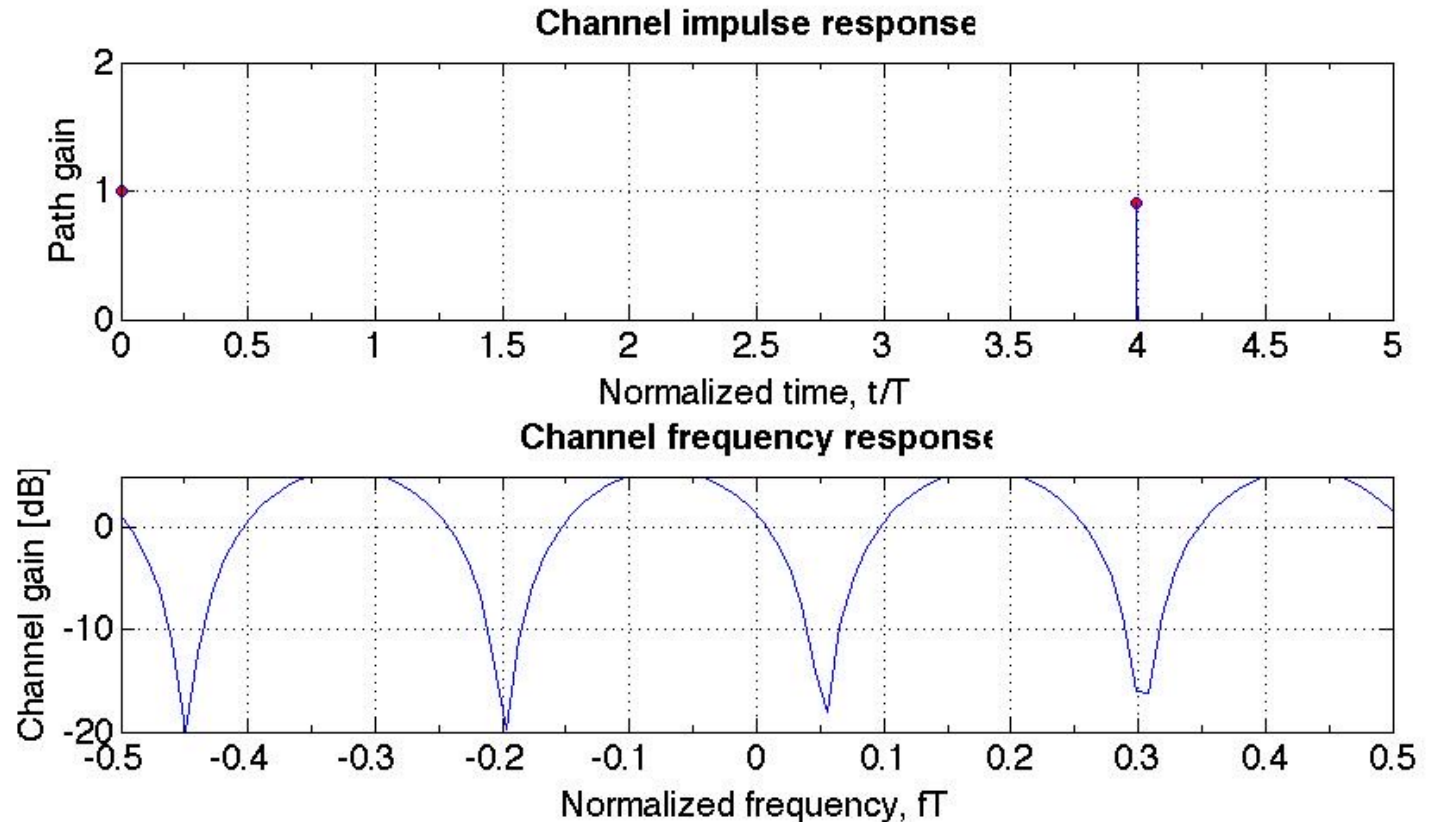
$$p_1 \approx 0.55$$

$$p_2 \approx 0.45$$

$$\bar{\tau} = 1.8T$$

$$\bar{\tau}^2 = 7.2T^2$$

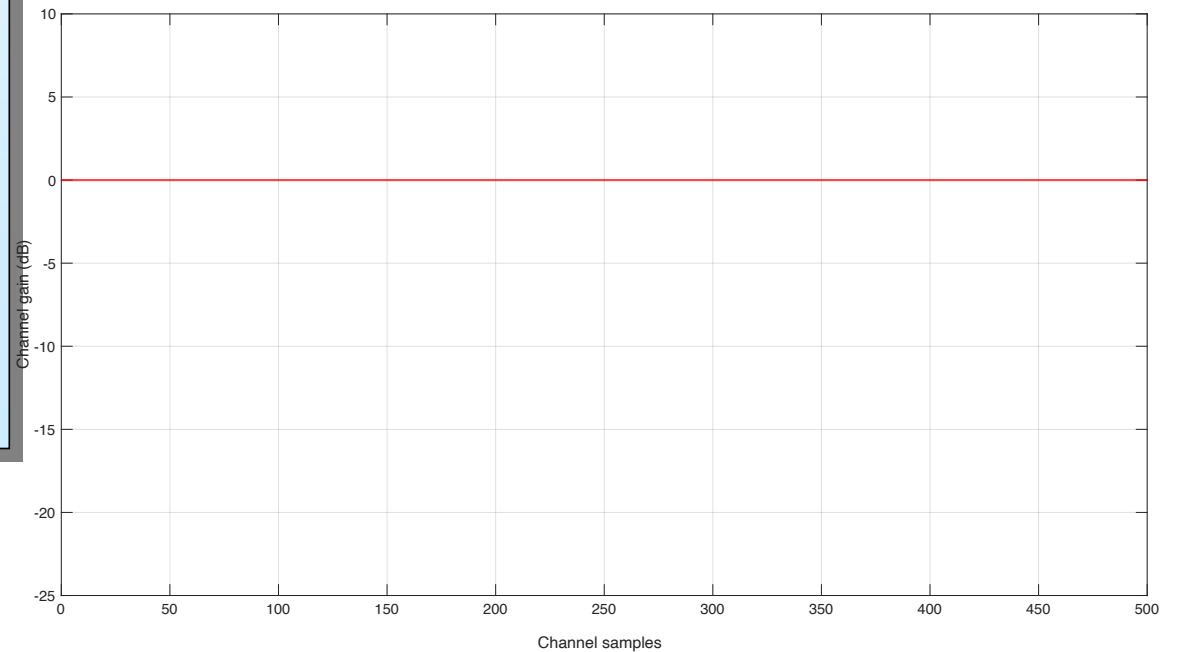
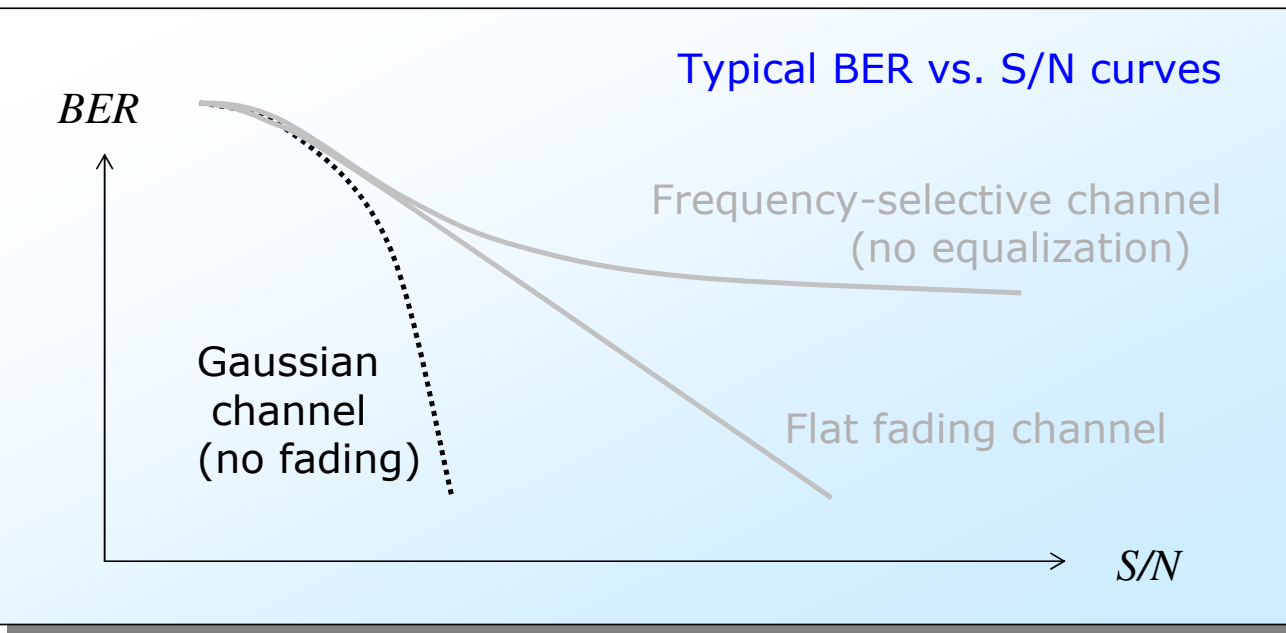
$$\sigma_\tau = T\sqrt{7.2 - (1.8)^2} \approx 2.3T$$



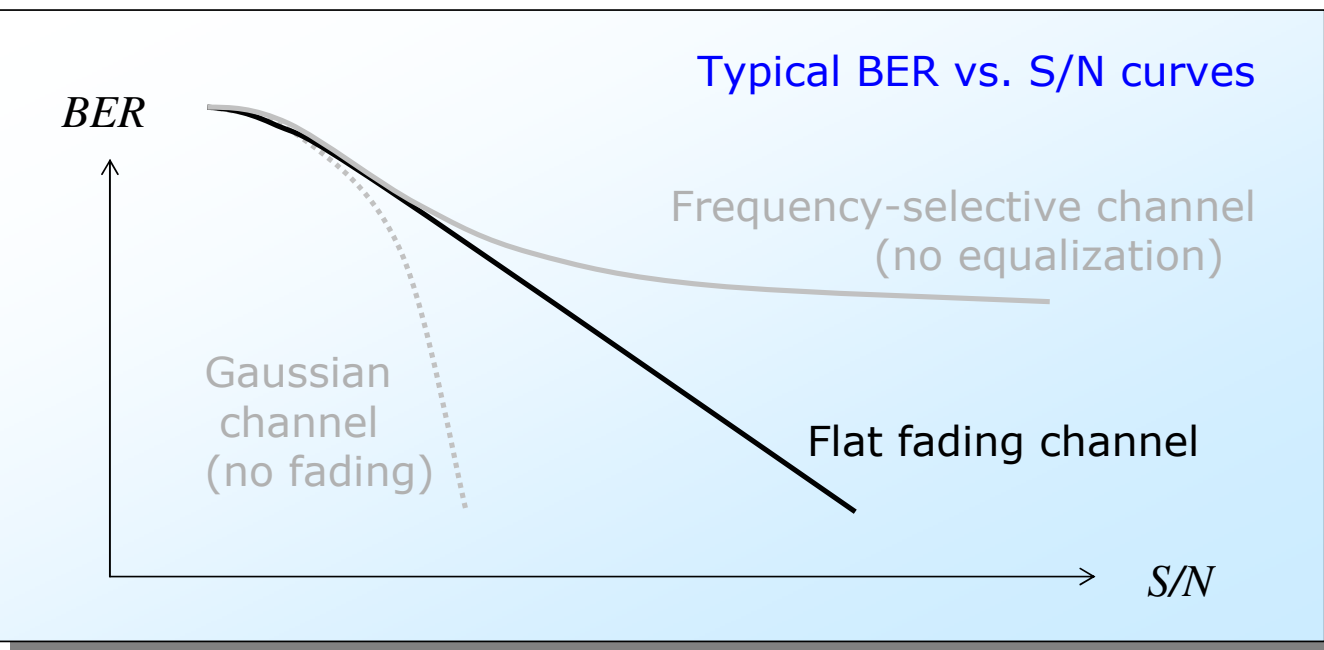
Flat fading channel: BER on AWGN

- With an AWGN channel, the decision variable is

$$x(m) = c_m + n(m)$$



BER on flat Rayleigh fading channel

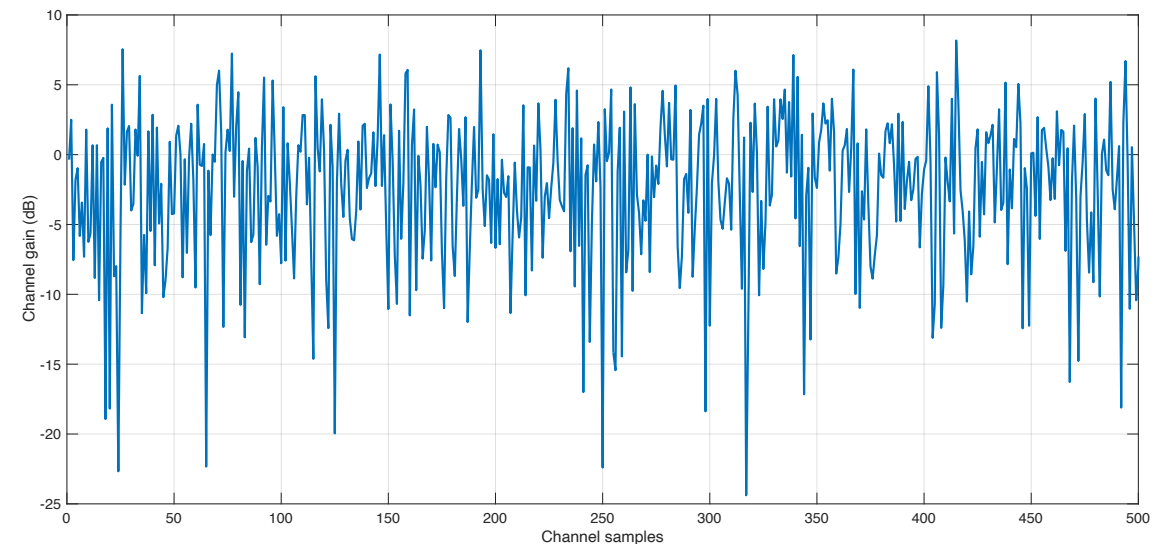


- With a flat fading channel, the decision variable is

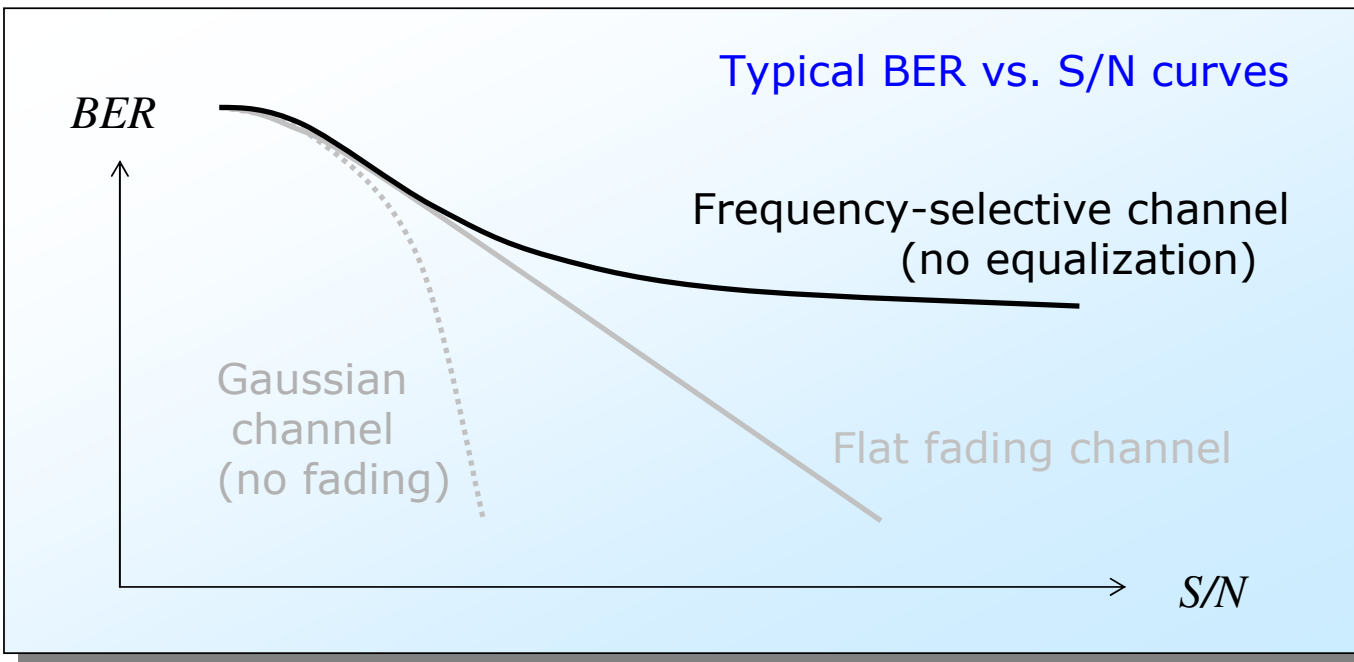
$$x(m) = \alpha c_m + n(m)$$

- The mean error probability is obtained by averaging it over the channel

$$P_e = \int_0^{+\infty} P(e|\alpha)p(\alpha)d\alpha$$



BER on multipath Rayleigh fading channel



- With a frequency selective channel, the decision variable is $x(m)$

$$= g(0)c_m + \underbrace{\sum_{k, k \neq 0} g(kT)c_{m-k}}_{\text{ISI}} + n(m)$$

- If no countermeasures are taken, the error probability has an irreducible error-floor.

Time-varying channel

- If the mobile receiver is in movement, the gains and the phase of the various paths of the channel vary in time

$$h(t, \tau) = A_{LS} \sum_{\ell=0}^{N_c-1} \alpha_{\ell}(t) e^{j\phi_{\ell}(t)} \delta(\tau - \tau_{\ell})$$

- The received signal is

$$y(t) = A_{LS} \sum_{\ell=0}^{N_c-1} \alpha_{\ell}(t) e^{j\phi_{\ell}(t)} s(t - \tau_{\ell})$$

- The channel gains and phases change much faster than the large scale fading A_{LS} and the delays τ_{ℓ} .

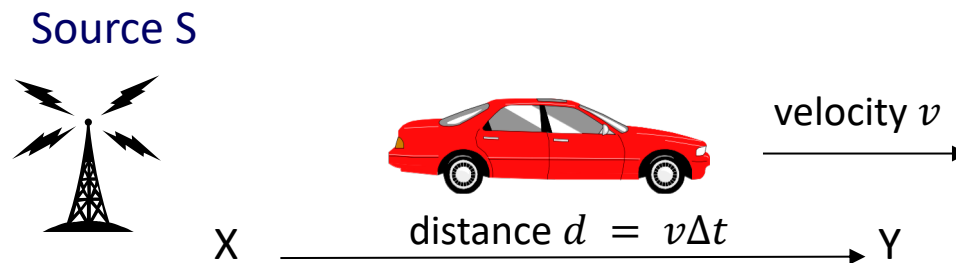
Doppler shift

- Consider a mobile user moving at constant velocity v between points X and Y, while the source S transmits a sinusoidal signal $s(t) = \sin(2\pi f_c t)$.
- The difference in path lengths travelled from source S to the mobile points X and Y is $d = vt$, which the signal takes the time $\Delta\tau = vt/c$ to travel.
- If the received signal at point X at time t is

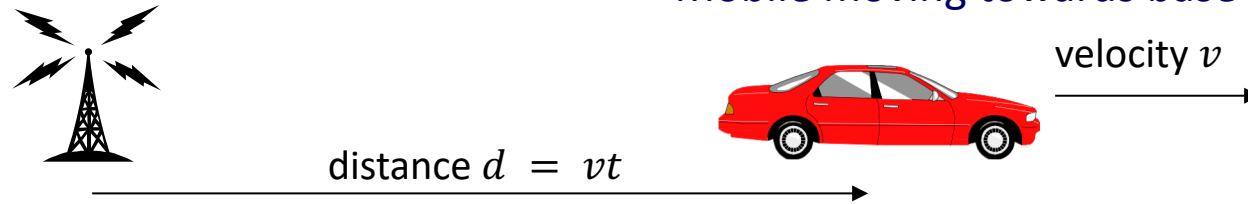
$$y_X(t) = \sin(2\pi f_c t),$$

the received signal at point Y at time t is

$$y_Y(t) = \sin(2\pi f_c (t - \Delta\tau)) = \sin(2\pi f_c t - f_c vt/c) = \sin(2\pi (f_c - f_c v/c) t)$$



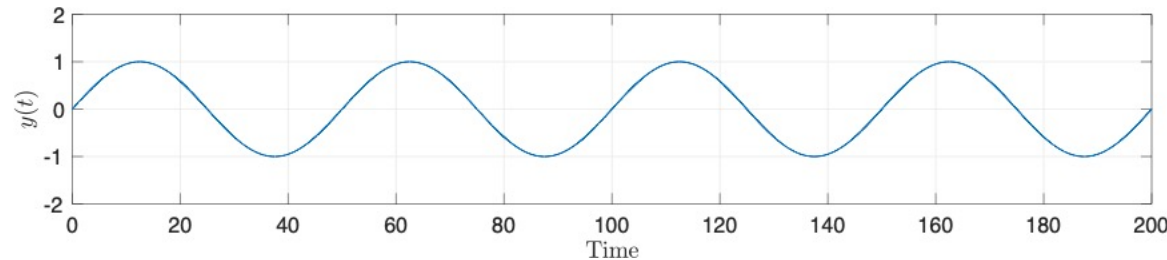
Doppler shift



Mobile moving away from base $\rightarrow v > 0$, Doppler shift < 0

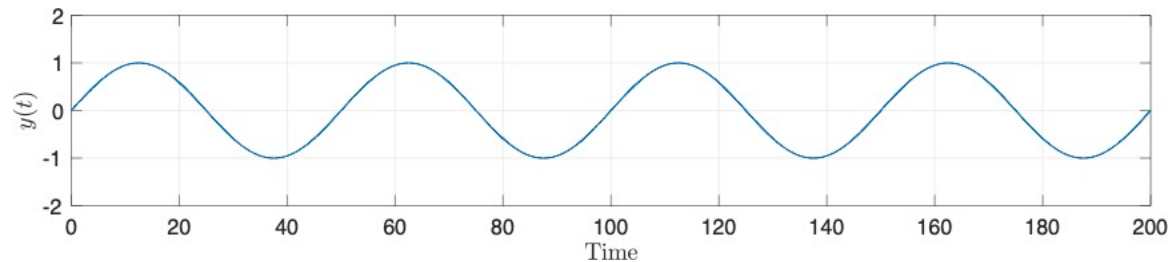
Mobile moving towards base $\rightarrow v < 0$, Doppler shift > 0

Received signal $y(t)$
at point X



Propagation delay
 $\Delta\tau$

Received signal $y(t)$
at point Y

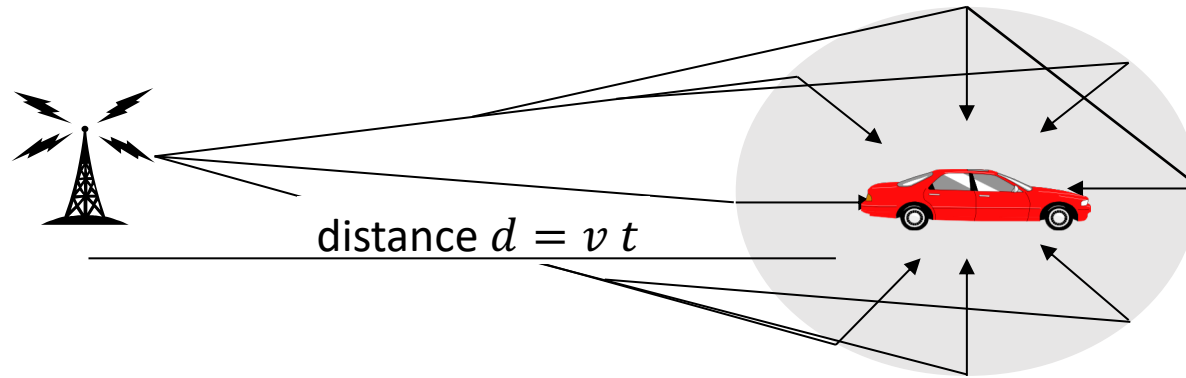


$$y_Y(t) = \sin 2\pi f_c (t - vt/c) = \sin 2\pi(\underbrace{f_c - f_c v/c}_{\text{received frequency}})t$$

$$\text{Doppler shift } f_d = -f_c v/c$$

Scattering: Doppler Spectrum

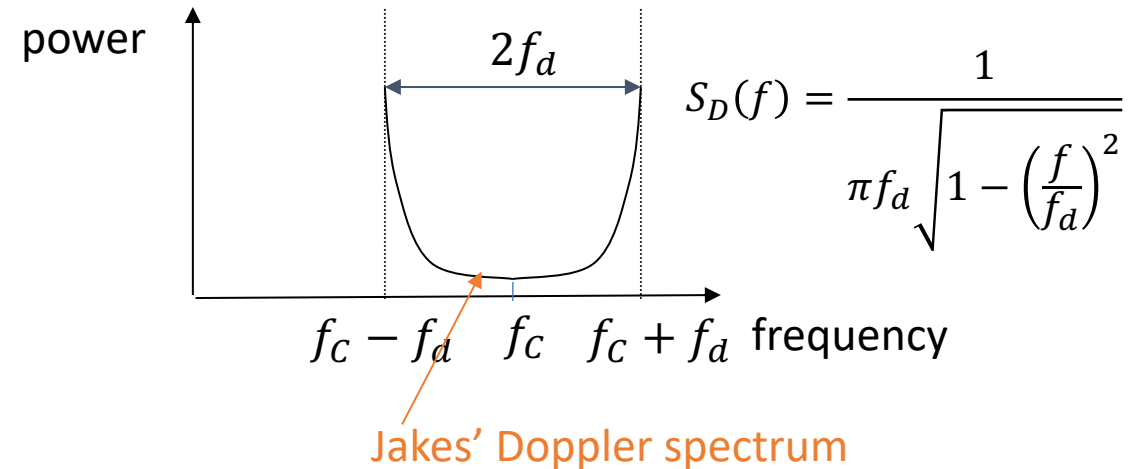
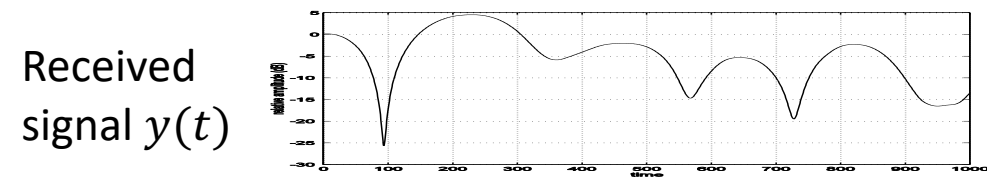
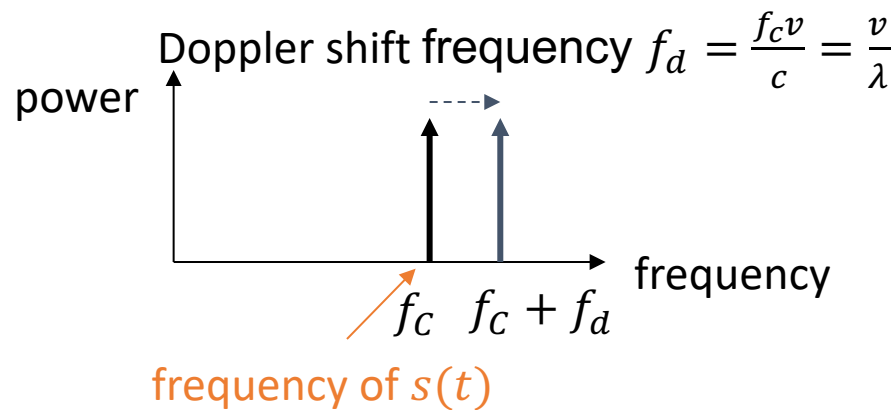
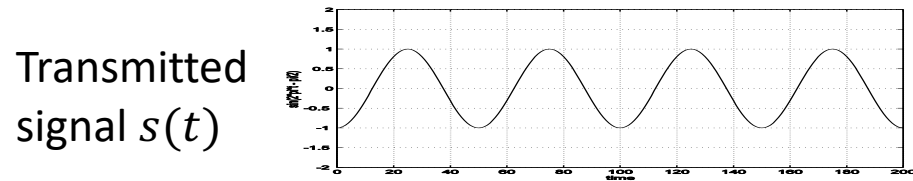
- In fading channels many signal replicas arrive at the receiver with different angles. The effect is a *Doppler spread* rather than a single shift.



- The received signal is the sum of all scattered waves and is not anymore deterministic but is modeled as a *stochastic process* described by its *autocorrelation* and *power spectral density*.
 - Doppler shift for each path depends on angle θ , each path has a shift $f_c \frac{v \cos \theta}{c}$.
 - A typical assumption is that the received energy is the same from all directions (uniform scattering).

Jakes' Doppler spectrum for a sinusoidal tone

- The spectral broadening caused by the receiver movement is called *Doppler spread*.



Jakes' Doppler spectrum

- The effect of mobility is a *broadening* of the signal spectrum.
 - Neglecting the noise, in the case of uniform scattering, if the transmitted signal is a sinusoid, i.e. $s(t) = \sin(2\pi f_c t)$, the received signal $y(t)$ is a stochastic process that takes the form $y(t) = a(t) \sin(2\pi f_c t)$ with

$$S_y(f) = \frac{1}{\pi f_d} \left(\sqrt{1 - \left(\frac{f - f_c}{f_d} \right)^2} \right) = S_s(f) \otimes S_D(f)$$

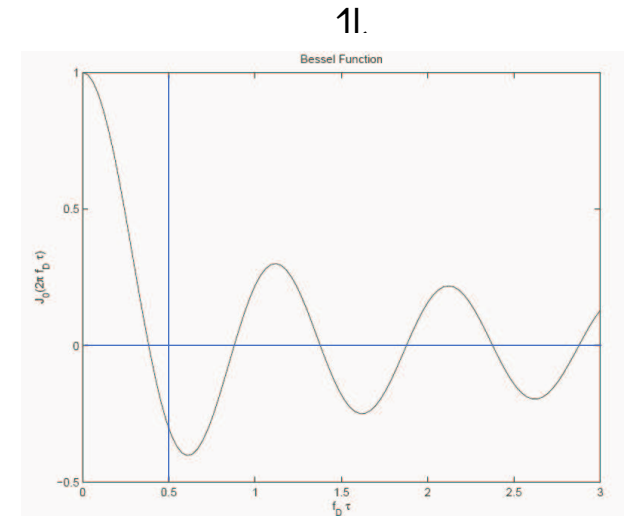
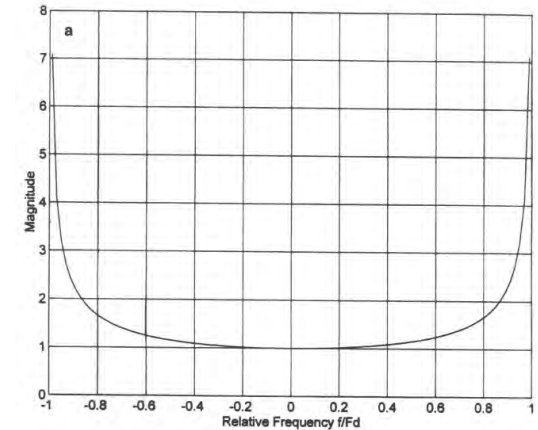
- In the same way it can be shown that if $s(t)$ is a PAM- (QAM-)modulated signal, the power spectral density of the received signal $y(t) = a(t)s(t)$ is obtained as the convolution of the signal power spectral density $S_s(f)$ and the Jakes Doppler spectrum $S_D(f)$, i.e.

$$S_y(f) = S_s(f) \otimes S_D(f)$$

Time varying channel

- The Doppler spectrum $S_D(f) = \frac{1}{\pi f_d} \left(\sqrt{1 - \left(\frac{f}{f_d} \right)^2} \right)^{-1}$ is the power spectral density of the time varying channel.
- In the time domain $\rho(t) = J_0(2\pi f_d t) \Leftrightarrow S_D(f)$ is the autocorrelation function of the channel.
- $J_0(2\pi x) \approx 0$ for $x = \frac{1}{2} \Rightarrow$ The channel can be assumed uncorrelated for $f_d T_c = \frac{1}{2}$.
- The *coherence time* of the channel is

$$T_c = \frac{1}{2f_d} = \frac{1}{2} \frac{c}{f_c v}$$



$J_0(x)$ is the 0th-order Bessel function of the first kind

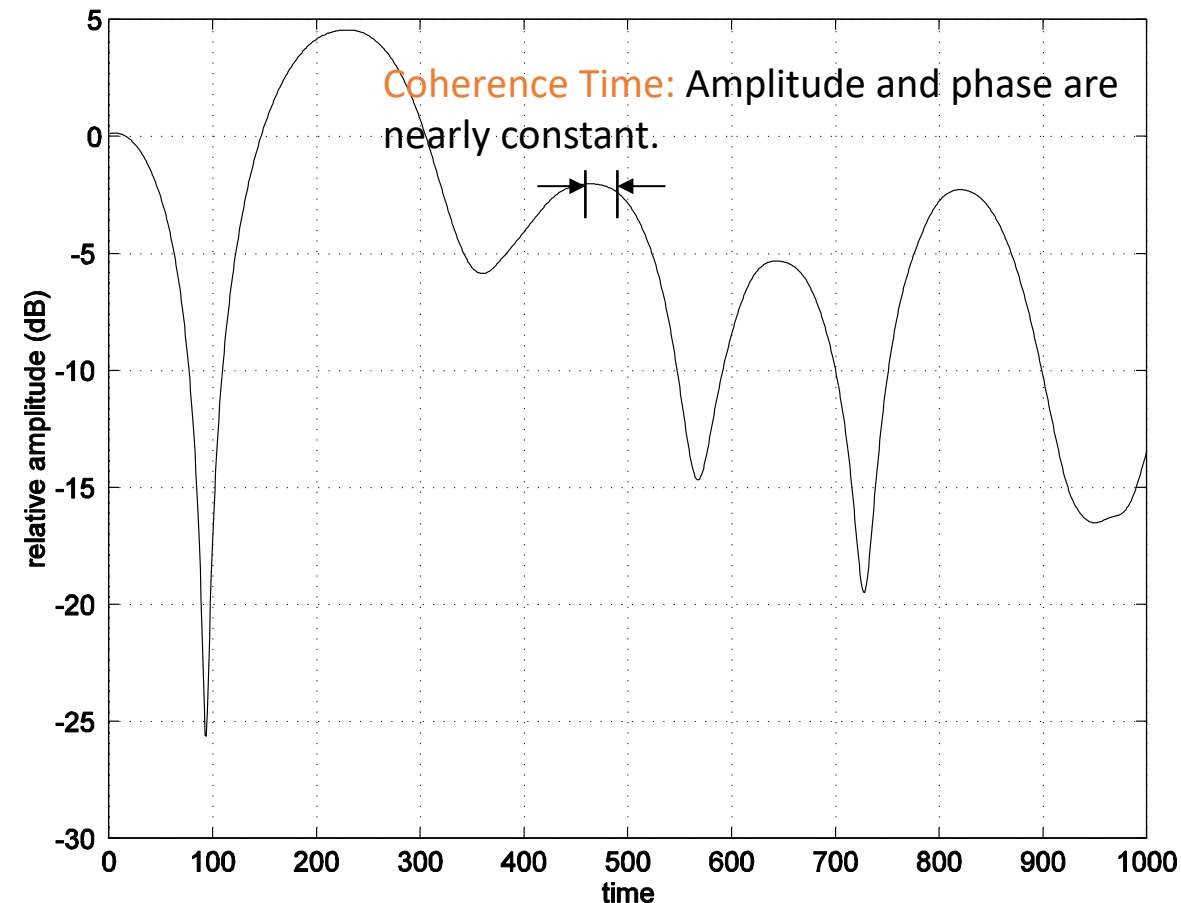
Channel Coherence Time

- The *channel coherence time* T_c is defined as the time interval over which the channel can be approximated as constant.

$$T_c = \frac{1}{2f_d}$$

- In terms of distance, it is

$$d_c = vT_c = \frac{1}{2}v \frac{c}{f_c v} = \frac{\lambda}{2}$$



Doppler spectrum

- Doppler spread is a measure of the *spectral broadening* caused by motion.
 - If the baseband signal bandwidth $B_s \gg f_d$ then the effect of Doppler spread is negligible at the receiver and the channel is *slow fading*.
 - If $B_s < f_d$ then the channel is *fast fading* and the Doppler spread severely distorts the received signal, which often results in an irreducible BER and synchronization problems.
- Similar considerations can be made in terms of symbol duration
 - A channel is *slow fading* if $T_c > T$.
 - A channel is said to be *fast fading* if $T_c < T$.

Fading channel example

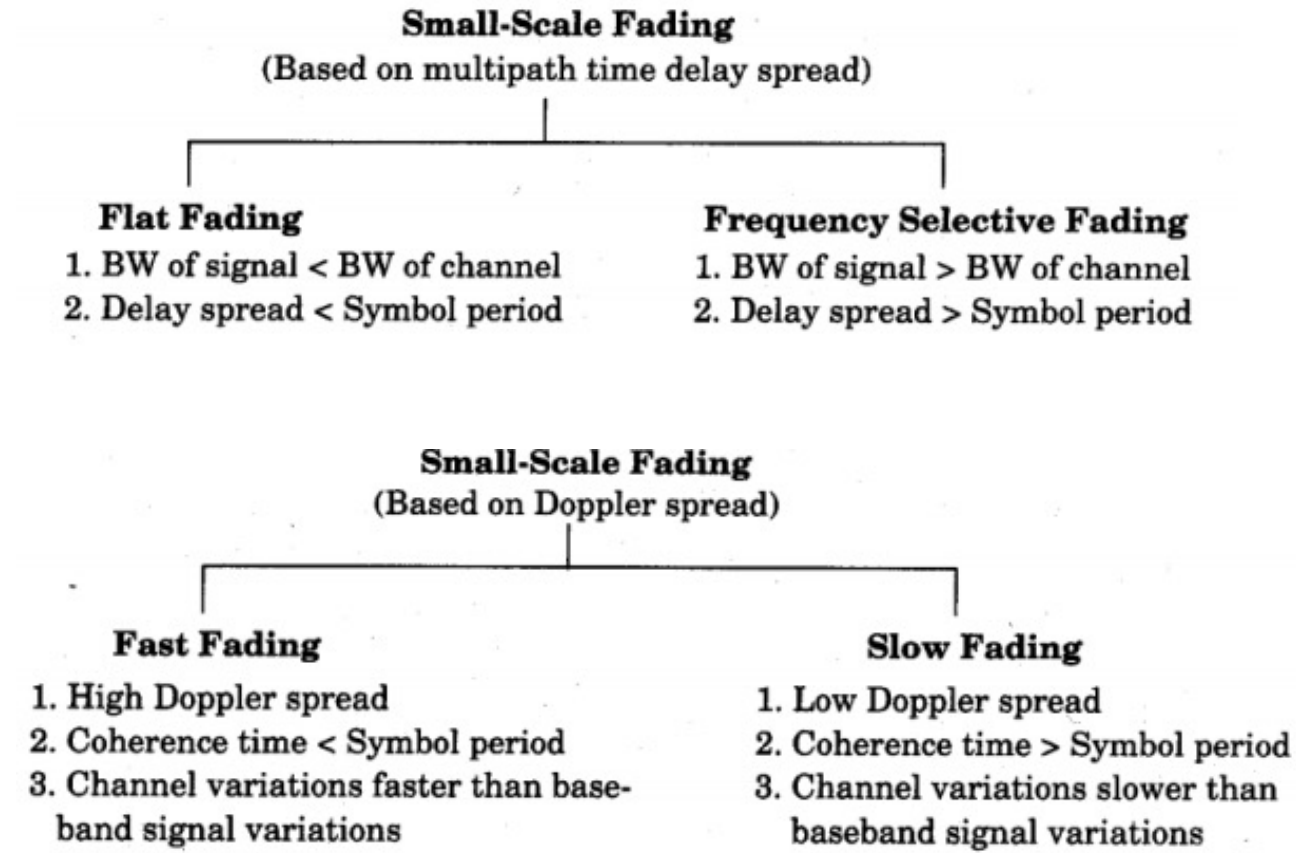
- Consider a transmission at $f_c = 2.1$ GHz in a suburban aerea (delay spread $\sigma_\tau = 2 \mu s$) to a user moving at a speed 90 km/h $\Rightarrow v = 25$ m/s. The signal bandwidth is $B_s = 2$ MHz \Rightarrow the symbol time can be approximated as $T \sim \frac{1}{B_s} = 500$ ns.

- The Doppler spread is

$$f_d = \frac{f_c v}{c} = \frac{2.1 \cdot 10^9 \cdot 25}{3 \cdot 10^8} = 175 \text{ Hz} \Rightarrow T_c = \frac{1}{2f_d} \sim 3 \text{ ms.}$$

- The channel coherence bandwidth is $B_c = \frac{1}{5\sigma_\tau} = 100$ kHz.
- The channel is *slow* ($B_s \gg f_d$ or $T \ll T_c$) and *frequency-selective* ($B_s > B_c$ or $T < \sigma_\tau$).

Small-scale fading recap



Small-scale fading recap

