Lecture 2: Static Analysis Principles – Lexical and Syntactical Language Analysis

Passive Testing Techniques for Communication Protocols

Dr. Jorge López, PhD. jorgelopezcoronado[at]gmail.com



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OUTLINE

GOAL & MOTIVATION

LEXICAL ANALYSIS USING FINITE STATE AUTOMATA / REGULAR EXPRESSIONS

SYNTACTICAL ANALYSIS WITH CONTEXT FREE GRAMMARS

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STATIC ANALYSIS

```
/*Test equal distribution of random number generation algorithm*/
#include <stdio.h>
#include <stdlib.h>
#define NUM 30
#define MEM SIZE 512*1024 //512MB
int main()
        long i = 0 , j;
        short acc = 0;
        if(!numbers)
                printf(''Can't allocate memory\n'');
                exit(-1);
        while (1)
                numbers[i] = rand() % NUM ; //random numbers from 0 - NUM
                acc = 0;
                for (j = 0; j < i; j++)
                        acc += numbers[j];
                printf(''New average: %ld\n'', acc/++i); //should converge to NUM/2
```

Do you see any problems with this code?

STATIC ANALYSIS (CONT.)

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See how hard it is?:)

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STATIC ANALYSIS PRINCIPLES

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► The analysis (performed by a program) of code without executing the program

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Static Analysis is?..

- ► The analysis (performed by a program) of code without executing the program
- ▶ Not looking for lexical, syntactical, or *type* errors that a compiler finds, i.e., the code is assumed to be compilable

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How to structure code: A natural language comparison

- ► A language can produce sentences. Sentences are structured; composed by words
- ► How to recognize allowed words in a language?

Lexical Analysis using Deterministic Finite Automata (DFA) and Regular Expressions (RE)

DFA and RE equivalence

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Let's start with regular expressions...

Given a finite alphabet *A*, a regular expression is:

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REGULAR EXPRESSIONS

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Example

Over the alphabet $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

Generating from $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

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 $(1|2|3|4|5|6|7|8|9) \mapsto 8$ $8(0|1|2|3|4|5|6|7|8|9)^*$

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Remarks on generation

- ► Can be non-deterministic, applying different rules will produce different results
- ► Our goal is to describe language with a regular expression

What language the RE describes?

► $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE = $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

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 - $A = \{0, 1, ""\}, RE = 100(1|0)|0(1|0)(1|0)(1|0)""(100(1|0)|0(1|0)(1|0)"")*$

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- $\{m, \}$ represents at least m occurrences of the preceding RE
 - e.g., for $A = \{a, b\}$, RE = $aaa(a*)b \mapsto a\{3, \}b$
- $\{m, n\}$ represents at least m, and at most n occurrences of the preceding RE
 - e.g., for $A = \{a, b\}$, RE = $(a|aa|aaa)b \mapsto a\{1, 3\}b$

- $[a_1a_2...a_n]$ represents choice between all a_i
 - e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE $(0|1|2|3|4|5|6|7|8|9) \mapsto [0123456789]$ (note it is different from 0123456789 which is concatenation)

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- ightharpoonup [r] negation of r
 - e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE $(1|2|3|4|5|6|7|8|9) \mapsto [\hat{\ }0]$ (note it is different from $0|\hat{\ }$ by using the "short OR")

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SHORT RE NOTATIONS – FINAL REMARKS

In practice (not formal)...

- ► The alphabet is usually omitted and assumed from the symbols appearing in the RE
 - e.g., the RE [0-9] is assumed to have the alphabet $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- ▶ REs are used for **pattern matching**, which means if a part of a string can be generated by the RE (or accepted by the equivalent automaton, more on this later), the string matches the pattern described by the RE. This leads to some notations, as: ^ to denote the beginning of the string, and \$ for the end of the string.
 - e.g., the RE ^a. * b\$ means anything that starts with a, and finishes with b

$$\qquad \qquad \bullet \ \ [0-9]\{4\}-(0[1-9]|1[0-2])-(0[1-9]|(1|2)[0-9]|3(0|1)?$$

- $ightharpoonup [0-9]{4} (0[1-9]|1[0-2]) (0[1-9]|(1|2)[0-9]|3(0|1)?$
 - ► Dates in the format yyyy-mm-dd (not well specified since it accepts 2016-02-31, for example)

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► It generates non-limited floating point numbers (assume "." can be specified as \setminus .)

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- ▶ $bb|[^{(2b)}]$
 - ► Two 'b' or not '2b', that's the question... Actually, a bad example, it accepts anything that is not '2b', but it's a classical:)

Create the RE with short notations such that...

- ► It generates non-limited floating point numbers (assume "." can be specified as \setminus .)
 - $(-)?[0-9]^+(\setminus .[0-9]^+)?$

CONVERTING RES TO NON-DETERMINISTIC FINITE AUTOMATA (NFA)

Assumption: We can convert any RE *r* to a NFA with one initial state and one final state

CONVERTING RES TO NON-DETERMINISTIC FINITE AUTOMATA (NFA)

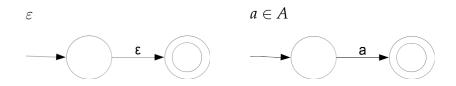
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▶ Then, let's show it for each RE construction

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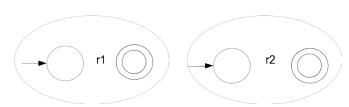
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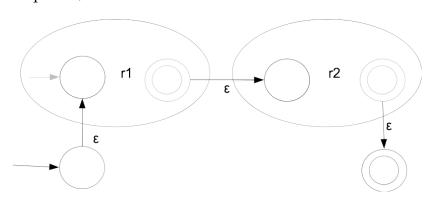
CONVERTING RES TO NFA (CONT.)

Sequence, r1r2

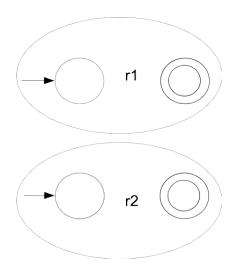


CONVERTING RES TO NFA (CONT.)

Sequence, r1r2

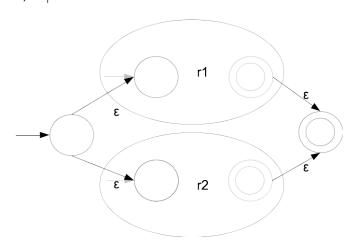


CONVERTING RES TO NFA (CONT. 2) Choice, r1|r2



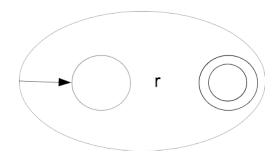
CONVERTING RES TO NFA (CONT. 2)

Choice, r1|r2



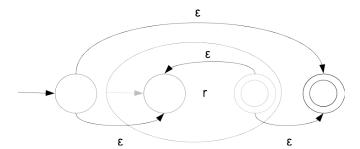
CONVERTING RES TO NFA (CONT. T3)

Kleene Star, r^*



CONVERTING RES TO NFA (CONT. T3)

Kleene Star, r^*



Algorithm (in case you forgot (:)

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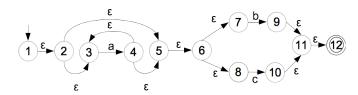
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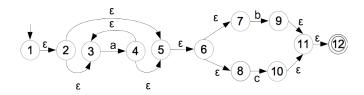
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 - ► Otherwise, there is no transition

Consider the NFA obtained from $a^*(b|c)$

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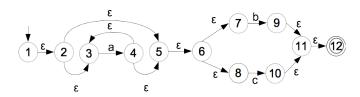


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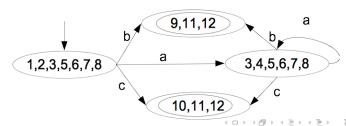


The equivalent DFA

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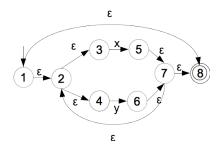


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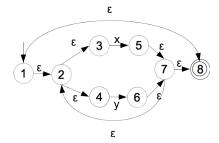


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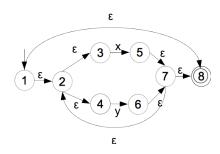


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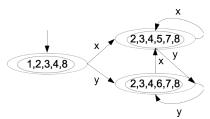


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- Non-determinism can be eliminated, this is important for the implementations recognizing the inputs
- ► The important REs for the source code include keywords (if, while, for, etc.), numbers (floats, ints, etc.) identifiers (variable names, etc.), each category is specified with a RE.
- ► When the source code is passed to the lexer (the program performing the lexical analysis), it returns a **tokenized** string. For example:

```
if(j + 2) return 10;
```

Yields:

```
keyword(if) l_paren identifier(j) add_op num(2) r_paren keyword(return) num(10) sc
```

BACK TO THE BIG PICTURE...

We can specify the words of a language

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Solution:

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Solution:

Syntactical analysis with a Context-Free Grammar (CFG)

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- We want to recognize the source code as a structured object
- ► As a DFA (or NFA) recognizes a regular language, a Push Down Automaton (PDA) recognizes a non-regular language
- ► To describe a push down automaton, it can be convenient to do it through a CFG, as it is more convenient to describe a DFA with a very elegant and simple RE (instead of state transition, etc)

A CFG is a 4-tuple (T, N, S, P) such that

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- ▶ *P* is a finite set of production rules, a relation from *N* to $(T \cup N)^*$. The symbol * denotes the Kleene star (ε is also allowed!)
- ▶ $p \in P$ has the notation $N \mapsto \alpha$, where $\alpha \in (T \cup N)^*$. This means, in the left hand side of the production rule there is only one non-terminal (which makes it context-free), and on the right hand a sequence of terminal and non-terminal symbols

Consider the following REs:

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- ▶ op = +|-|/|*
- ▶ $int = [0 9]^+$
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- ▶ $Start \mapsto Expr$
- ► $Expr \mapsto Expr \ op \ Expr$
- ightharpoonup Expr \mapsto int
- ightharpoonup Expr cpar

► Begin with the start symbol (Start in the previous CFG)

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The obtained string belongs the CFG language!

USING THE CFG TO GENERATE "A SENTENCE"

the (informal) algorithm

- ► Begin with the start symbol (Start in the previous CFG)
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► Important note: different choices produce different "sentences"

▶
$$op = +|-|/|*$$

▶
$$int = [0 - 9]^+$$

- 1. $Start \mapsto Expr$
- 2. $Expr \mapsto Expr \ op \ Expr$
- 3. $Expr \mapsto int$
- 4. $Expr \mapsto opar Expr cpar$

EXAMPLE CFG, GENERATING A STRING OF THE CFG Let's derive...

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Let's derive...

► Start

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- ► Start
 - ► Expr (1)

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- ► *Expr* (1)
- ► *Expr op Expr* (2)

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- **▶** *int op Expr* (3)

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$$op = +|-|/|*$$

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- ► *Expr* (1)
- ► *Expr op Expr* (2)
- **▶** *int op Expr* (3)
- ► int op opar Expr cpar (4)

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- **▶** 10 / (7 5)

Let's derive...

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- ► Expr (1)
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- **▶** 10 / (7 5)

Please, derive one yourselves

CONSTRUCTING A CFG

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```
Name
  Lastname
  Score
 </t.r>
 \langle t.r \rangle
  Eve
  Jackson-Renard
  94
 \langle t.r \rangle
  Vladimir
  López
  < t.d > 80 < /t.d >
```

CONSTRUCTING A CFG

```
Name
                  Produces (close-enough):
  Lastname
                    Name
                             Lastname
  Score
                                        Score
 </t.r>
                           Jackson-Renard
                   Eve
                                        94
 \langle t.r \rangle
                   Vladimir
                           López
                                        80
  Eve
  Jackson-Renard
  94
 \langle t.r \rangle
  Vladimir
  López
  < t.d > 80 < /t.d >
```

```
Name
  Lastname
  Score
 </t.r>
 \langle t.r \rangle
  Eve
  Jackson-Renard
  94
 \langle t.r \rangle
  Vladimir
  Lopez
  < t.d > 80 < /t.d >
```

```
Name
  Lastname
                 REs:
  Score
 </t.r>
                   table attributes (class, border,
 \langle t.r \rangle
                     etc.)
  Eve
  Jackson-Renard
  94
 \langle t.r \rangle
  Vladimir
  Lopez
  < t.d > 80 < /t.d >
```

```
Name
  Lastname
  Score
                  REs:
 </t.r>
 \langle t.r \rangle
                   ► attributes = style|class|border|...
  Eve
  Jackson-Renard
  94
 \langle t.r \rangle
  Vladimir
  Lopez
  < t.d > 80 < /t.d >
```

```
Name
  Lastname
                  REs:
  Score
 </t.r>
                    ► attributes = style|class|border|...
 \langle t.r \rangle
  Eve
  Jackson-Renard ▶ values of the attributes
  94
 \langle t.r \rangle
  Vladimir
  Lopez
  < t.d > 80 < /t.d >
```

```
Name
  Lastname
  Score
                   REs:
 </t.r>
 \langle t.r \rangle
                    ► attributes = style|class|border|...
  Eve
  Jackson-Renard
                    ▶ values = \"[^ \ "]* \ "
  94
 \langle t.r \rangle
  Vladimir
  Lopez
  < t.d > 80 < /t.d >
```

```
Name
  Lastname
                   REs:
  Score
 </t.r>
 \langle t.r \rangle
                    ► attributes = style|class|border|...
  Eve
  Jackson-Renard ▶ values = \"[^ \ "]* \ "
  94
                    ▶ data
 \langle t.r \rangle
  Vladimir
  Lopez
  < t.d > 80 < /t.d >
```

```
Name
   Lastname
   Score
                   REs:
 </t.r>
 \langle t.r \rangle
                     ► attributes = style|class|border|...
   Eve
   Jackson-Renard
                     ► values = \"[^ \ "]* \ "
   94
 ► data = [a - zA - Z0 - 9]^*...
 \langle t.r \rangle
   Vladimir
   Lopez
   < t.d > 80 < /t.d >
```

```
Name
  Lastname
  Score
 </t.r>
 \langle t.r \rangle
  Eve
  Jackson-Renard
  94
 \langle t.r \rangle
  Vladimir
  Lopez
  < t.d > 80 < /t.d >
```

```
<table style="width:100%">Production rules:
 Name
   Lastname
   Score
 </t.r>
 \langle t.r \rangle
   Eve
   Jackson-Renard
   94
 \langle t.r \rangle
   Vladimir
   Lopez
   < t.d > 80 < /t.d >
```

```
Production rules:
 1. S \mapsto \langle table A1 \rangle S2 \langle /table \rangle
   Name
   Lastname
   Score
 </t.r>
 \langle t.r \rangle
   Eve
   Jackson-Renard
   94
 \langle t.r \rangle
   Vladimir
   Lopez
   < t.d > 80 < /t.d >
```

```
Production rules:
 1. S \mapsto \langle table A1 \rangle S2 \langle /table \rangle
   Name
   Lastname
                       2. S2 \mapsto  S3 
   Score
 </t.r>
 \langle t.r \rangle
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 </t.r>
 \langle t.r \rangle
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 \langle t.r \rangle
                         4. S3 \mapsto  S4 
   Eve
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   94
                         6. S3 \mapsto S3 S3
  \langle t.r \rangle
                         7. S4 \mapsto data
   Vladimir
   Lopez
   < t.d > 80 < /t.d >
```

CONSTRUCTING A CFG (CONT.++)

```
Production rules:
  1. S \mapsto \langle table A1 \rangle S2 \langle /table \rangle
    Name
    Lastname
                           2. S2 \mapsto  S3 
    Score
                           3. S2 \mapsto S2 S2
  </t.r>
  \langle t.r \rangle
                           4. S3 \mapsto  S4 
    Eve
    Jackson-Renard 5. S3 \mapsto  S4 
    94
                           6. S3 \mapsto S3 S3
  \langle t.r \rangle
                           7. S4 \mapsto data
    Vladimir
                           8. S4 \mapsto S2 //(assume no table def needed)
    Lopez
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  </t.r>
  \langle t.r \rangle
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Please, describe the grammar for the language:

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A hierarchical power of grammars, and corresponding automata

- ► Regular Grammars & Finite State Automata
- Context-Free Grammars & Push Down Automata
- ► Context-Sensitive Grammars & Turing Machines
- ► I mean, just in case you forgot :)

YOU ARE HERE ↓

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- ► But, do not worry, next Tuesday(?) you'll know...