

Lecture 2: Static Analysis Principles – Lexical and Syntactical Language Analysis

Passive Testing Techniques for Communication Protocols

Dr. Jorge López, PhD.
jorgelopezcoronado[at]gmail.com



National Research

**Tomsk
State
University**

February 11, 2016

ACKNOWLEDGMENTS

Some content was taken from: Saman Amarasinghe, and Martin Rinard. 6.035 Computer Language Engineering, Spring 2010. (Massachusetts Institute of Technology: MIT OpenCourseWare), <http://ocw.mit.edu>. License: Creative Commons BY-NC-SA

OUTLINE

GOAL & MOTIVATION

LEXICAL ANALYSIS USING FINITE STATE AUTOMATA /
REGULAR EXPRESSIONS

SYNTACTICAL ANALYSIS WITH CONTEXT FREE GRAMMARS

STATIC ANALYSIS

```
/*Test equal distribution of random number generation algorithm*/
#include <stdio.h>
#include <stdlib.h>
#define NUM 30
#define MEM_SIZE 512*1024 //512MB
int main()
{
    long i = 0 , j;
    short acc = 0;
    if(!numbers)
    {
        printf("`Can't allocate memory\n'");
        exit(-1);
    }
    while (1)
    {
        numbers[i] = rand() % NUM ; //random numbers from 0 - NUM
        acc = 0;
        for (j = 0; j < i; j++)
            acc += numbers[j];
        printf("`New average: %ld\n'", acc/++i); //should converge to NUM/2
    }
}
```

Do you see any problems with this code?

STATIC ANALYSIS (CONT.)

```

/*Test equal distribution of random number generation algorithm*/
#include <stdio.h>
#include <stdlib.h>
#define NUM 30
#define MEM_SIZE 512*1024 //512MB
int main()
{
    long i = 0 , j;
    short acc = 0;
    if(!numbers)
    {
        printf("`Can't allocate memory\n'");
        exit(-1);
    }
    while (1)
    {
        numbers[i] = rand() % NUM ; //random numbers from 0 - NUM
        acc = 0;
        for (j = 0; j < i; j++)
            acc += numbers[j];
        printf("`New average: %ld\n'", acc/++i); //should converge to NUM/2
    }
}

```

See how hard it is? :)

STATIC ANALYSIS PRINCIPLES

Source Code is?..

STATIC ANALYSIS PRINCIPLES

Source Code is?..

- ▶ A text representation of “computer instructions” in a specific **programming language**

STATIC ANALYSIS PRINCIPLES

Source Code is?..

- ▶ A text representation of “computer instructions” in a specific **programming language**
- ▶ Not text. Nor machine code

STATIC ANALYSIS PRINCIPLES

Source Code is?..

- ▶ A text representation of “computer instructions” in a specific **programming language**
- ▶ Not text. Nor machine code
- ▶ A description of a system

STATIC ANALYSIS PRINCIPLES

Source Code is?..

- ▶ A text representation of “computer instructions” in a specific **programming language**
- ▶ Not text. Nor machine code
- ▶ A description of a system

Static Analysis is?..

STATIC ANALYSIS PRINCIPLES

Source Code is?..

- ▶ A text representation of “computer instructions” in a specific **programming language**
- ▶ Not text. Nor machine code
- ▶ A description of a system

Static Analysis is?..

- ▶ The analysis (performed by a program) of code without executing the program

STATIC ANALYSIS PRINCIPLES

Source Code is?..

- ▶ A text representation of “computer instructions” in a specific **programming language**
- ▶ Not text. Nor machine code
- ▶ A description of a system

Static Analysis is?..

- ▶ The analysis (performed by a program) of code without executing the program
- ▶ Not looking for lexical, syntactical, or *type* errors that a compiler finds, i.e., the code is assumed to be compilable

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard
- ▶ We need to manipulate it with a structure

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard
- ▶ We need to manipulate it with a structure
 - ▶ A famous structure to manipulate source code is an **Abstract Syntax Tree (AST)**

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard
- ▶ We need to manipulate it with a structure
 - ▶ A famous structure to manipulate source code is an **Abstract Syntax Tree (AST)**
 - ▶ To build an AST, we need to parse the code

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard
- ▶ We need to manipulate it with a structure
 - ▶ A famous structure to manipulate source code is an **Abstract Syntax Tree (AST)**
 - ▶ To build an AST, we need to parse the code
 - ▶ To parse the code, we need to tokenize

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard
- ▶ We need to manipulate it with a structure
 - ▶ A famous structure to manipulate source code is an **Abstract Syntax Tree (AST)**
 - ▶ To build an AST, we need to parse the code
 - ▶ To parse the code, we need to tokenize
 - ▶ Wait, what?

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard
- ▶ We need to manipulate it with a structure
 - ▶ A famous structure to manipulate source code is an **Abstract Syntax Tree (AST)**
 - ▶ To build an AST, we need to parse the code
 - ▶ To parse the code, we need to tokenize
 - ▶ Wait, what?

How to structure code: A natural language comparison

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard
- ▶ We need to manipulate it with a structure
 - ▶ A famous structure to manipulate source code is an **Abstract Syntax Tree (AST)**
 - ▶ To build an AST, we need to parse the code
 - ▶ To parse the code, we need to tokenize
 - ▶ Wait, what?

How to structure code: A natural language comparison

- ▶ A language can produce sentences. Sentences are structured; composed by words

STATIC ANALYSIS PRINCIPLES (CONT.)

How do we analyze source code then?

- ▶ Can we treat it as text?
 - ▶ No. This would be terriblyⁿ hard
- ▶ We need to manipulate it with a structure
 - ▶ A famous structure to manipulate source code is an **Abstract Syntax Tree (AST)**
 - ▶ To build an AST, we need to parse the code
 - ▶ To parse the code, we need to tokenize
 - ▶ Wait, what?

How to structure code: A natural language comparison

- ▶ A language can produce sentences. Sentences are structured; composed by words
- ▶ How to recognize allowed words in a language?

Lexical Analysis

using Deterministic Finite Automata (DFA) and
Regular Expressions (RE)

LEXICAL ANALYSIS

DFA and RE equivalence

LEXICAL ANALYSIS

DFA and RE equivalence

- ▶ A DFA can recognize a language

LEXICAL ANALYSIS

DFA and RE equivalence

- ▶ A DFA can recognize a language
- ▶ A RE can describe how to generate a language

LEXICAL ANALYSIS

DFA and RE equivalence

- ▶ A DFA can recognize a language
- ▶ A RE can describe how to generate a language
- ▶ The language of a DFA is the set of all strings that the automaton can accept while the language of a RE is the set of all strings that the RE can generate

LEXICAL ANALYSIS

DFA and RE equivalence

- ▶ A DFA can recognize a language
- ▶ A RE can describe how to generate a language
- ▶ The language of a DFA is the set of all strings that the automaton can accept while the language of a RE is the set of all strings that the RE can generate
- ▶ There is a way to go from one to the other, describing the same language

LEXICAL ANALYSIS

DFA and RE equivalence

- ▶ A DFA can recognize a language
- ▶ A RE can describe how to generate a language
- ▶ The language of a DFA is the set of all strings that the automaton can accept while the language of a RE is the set of all strings that the RE can generate
- ▶ There is a way to go from one to the other, describing the same language

Let's start with regular expressions...

REGULAR EXPRESSIONS

Given a finite alphabet A , a regular expression is:

REGULAR EXPRESSIONS

Given a finite alphabet A , a regular expression is:

- ▶ ε — The empty “string”

REGULAR EXPRESSIONS

Given a finite alphabet A , a regular expression is:

- ▶ ε — The empty “string”
- ▶ $a \in A$ — A letter from the alphabet

REGULAR EXPRESSIONS

Given a finite alphabet A , a regular expression is:

- ▶ ε — The empty “string”
- ▶ $a \in A$ — A letter from the alphabet
- ▶ $r_1 r_2$ — RE r_1 followed by the RE r_2

REGULAR EXPRESSIONS

Given a finite alphabet A , a regular expression is:

- ▶ ε — The empty “string”
- ▶ $a \in A$ — A letter from the alphabet
- ▶ $r_1 r_2$ — RE r_1 followed by the RE r_2
- ▶ $r_1 | r_2$ — either RE r_1 or RE r_2 (choice)

REGULAR EXPRESSIONS

Given a finite alphabet A , a regular expression is:

- ▶ ε — The empty “string”
- ▶ $a \in A$ — A letter form the alphabet
- ▶ $r_1 r_2$ — RE r_1 followed by the RE r_2
- ▶ $r_1 | r_2$ — either RE r_1 or RE r_2 (choice)
- ▶ r^* — Kleene star = $\varepsilon | r | rr | rrr | \dots$

REGULAR EXPRESSIONS

Given a finite alphabet A , a regular expression is:

- ▶ ε — The empty “string”
- ▶ $a \in A$ — A letter form the alphabet
- ▶ $r_1 r_2$ — RE r_1 followed by the RE r_2
- ▶ $r_1 | r_2$ — either RE r_1 or RE r_2 (choice)
- ▶ r^* — Kleene star = $\varepsilon | r | rr | rrr | \dots$
- ▶ (r) — grouping / precedence, precedence defines first Kleene star, then concatenation, then choice (in case no parenthesis is specified)

REGULAR EXPRESSIONS

Given a finite alphabet A , a regular expression is:

- ▶ ε — The empty “string”
- ▶ $a \in A$ — A letter from the alphabet
- ▶ $r_1 r_2$ — RE r_1 followed by the RE r_2
- ▶ $r_1 | r_2$ — either RE r_1 or RE r_2 (choice)
- ▶ r^* — Kleene star = $\varepsilon | r | rr | rrr | \dots$
- ▶ (r) — grouping / precedence, precedence defines first Kleene star, then concatenation, then choice (in case no parenthesis is specified)

Example

Over the alphabet $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$,
 $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

REGULAR EXPRESSIONS (CONT.)

Generating from $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

REGULAR EXPRESSIONS (CONT.)

Generating from $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

- ▶ $(1|2|3|4|5|6|7|8|9) \mapsto 8$
 $8(0|1|2|3|4|5|6|7|8|9)^*$

REGULAR EXPRESSIONS (CONT.)

Generating from $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

- ▶ $(1|2|3|4|5|6|7|8|9) \mapsto 8$
 $8(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto 9(0|1|2|3|4|5|6|7|8|9)^*$
 $89(0|1|2|3|4|5|6|7|8|9)^*$

REGULAR EXPRESSIONS (CONT.)

Generating from $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

- ▶ $(1|2|3|4|5|6|7|8|9) \mapsto 8$
 $8(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto 9(0|1|2|3|4|5|6|7|8|9)^*$
 $89(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto \varepsilon$
 89

REGULAR EXPRESSIONS (CONT.)

Generating from $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

- ▶ $(1|2|3|4|5|6|7|8|9) \mapsto 8$
 $8(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto 9(0|1|2|3|4|5|6|7|8|9)^*$
 $89(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto \varepsilon$
 89

Remarks on generation

REGULAR EXPRESSIONS (CONT.)

Generating from $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

- ▶ $(1|2|3|4|5|6|7|8|9) \mapsto 8$
 $8(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto 9(0|1|2|3|4|5|6|7|8|9)^*$
 $89(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto \varepsilon$
 89

Remarks on generation

- ▶ Can be non-deterministic, applying different rules will produce different results

REGULAR EXPRESSIONS (CONT.)

Generating from $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

- ▶ $(1|2|3|4|5|6|7|8|9) \mapsto 8$
 $8(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto 9(0|1|2|3|4|5|6|7|8|9)^*$
 $89(0|1|2|3|4|5|6|7|8|9)^*$
- ▶ $(0|1|2|3|4|5|6|7|8|9)^* \mapsto \varepsilon$
 89

Remarks on generation

- ▶ Can be non-deterministic, applying different rules will produce different results
- ▶ Our goal is to describe language with a regular expression

EXERCISES WITH RES

What language the RE describes?

EXERCISES WITH RES

What language the RE describes?

- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE =
 $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$

EXERCISES WITH RES

What language the RE describes?

- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE =
 $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ \mathbb{Z}^+

EXERCISES WITH RES

What language the RE describes?

- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE =
 $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ \mathbb{Z}^+
- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE = $(0|1|2|3|4|5|6|7|8|9)^*$

EXERCISES WITH RES

What language the RE describes?

- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE =
 $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ \mathbb{Z}^+
- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE = $(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ $\mathbb{Z}^+ \cup \{0\} | \varepsilon$

EXERCISES WITH RES

What language the RE describes?

- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE =
 $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ \mathbb{Z}^+
- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE = $(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ $\mathbb{Z}^+ \cup \{0\} | \varepsilon$

Create the RE such that...

EXERCISES WITH RES

What language the RE describes?

- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE =
 $(0|1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ \mathbb{Z}^+
- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE = $(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ $\mathbb{Z}^+ \cup \{0\} | \varepsilon$

Create the RE such that...

- ▶ It generates valid Binary Code Decimal (BCD) strings
(In case you don't know, binary strings of length 4,
representing digits, example: 1001 1000 0011 = 983, note
that 1100 0000 0101 is not valid)

EXERCISES WITH RES

What language the RE describes?

- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE =
 $(1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ \mathbb{Z}^+
- ▶ $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, RE = $(0|1|2|3|4|5|6|7|8|9)^*$
 - ▶ $\mathbb{Z}^+ \cup \{0\} | \varepsilon$

Create the RE such that...

- ▶ It generates valid Binary Code Decimal (BCD) strings
 (In case you don't know, binary strings of length 4,
 representing digits, example: 1001 1000 0011 = 983, note
 that 1100 0000 0101 is not valid)
 - ▶ $A = \{0, 1, " " \}$, RE =
 $100(1|0)|0(1|0)(1|0)(1|0)" "(100(1|0)|0(1|0)(1|0)(1|0)" ")*$

SHORT RE NOTATIONS

For convenience...

SHORT RE NOTATIONS

For convenience...

- ▶ Dot (.) represents any character of A
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE $(0|1|2|3|4|5|6|7|8|9)^* \mapsto .^*$

SHORT RE NOTATIONS

For convenience...

- ▶ Dot (.) represents any character of A
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE $(0|1|2|3|4|5|6|7|8|9)^* \mapsto .^*$
- ▶ ? represents 0 or 1 occurrences of the preceding RE
 - ▶ e.g., for $A = \{0, 1\}$, the RE $(1|\varepsilon)(01)^* \mapsto 1?(01)^*$

SHORT RE NOTATIONS

For convenience...

- ▶ Dot (.) represents any character of A
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE $(0|1|2|3|4|5|6|7|8|9)^* \mapsto .^*$
- ▶ ? represents 0 or 1 occurrences of the preceding RE
 - ▶ e.g., for $A = \{0, 1\}$, the RE $(1|\varepsilon)(01)^* \mapsto 1?(01)^*$
- ▶ $^+$ represents at least 1 occurrence of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= (a|b)(a|b)^* \mapsto .^+$

SHORT RE NOTATIONS

For convenience...

- ▶ Dot (.) represents any character of A
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE $(0|1|2|3|4|5|6|7|8|9)^* \mapsto .^*$
- ▶ ? represents 0 or 1 occurrences of the preceding RE
 - ▶ e.g., for $A = \{0, 1\}$, the RE $(1|\varepsilon)(01)^* \mapsto 1?(01)^*$
- ▶ $^+$ represents at least 1 occurrence of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= (a|b)(a|b)^* \mapsto .^+$
- ▶ $\{n\}$ represents exactly n occurrences of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= aaa(a|b)(a|b) \mapsto a\{3\}.\{2\}$

SHORT RE NOTATIONS

For convenience...

- ▶ Dot (.) represents any character of A
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE $(0|1|2|3|4|5|6|7|8|9)^* \mapsto .^*$
- ▶ ? represents 0 or 1 occurrences of the preceding RE
 - ▶ e.g., for $A = \{0, 1\}$, the RE $(1|\varepsilon)(01)^* \mapsto 1?(01)^*$
- ▶ $^+$ represents at least 1 occurrence of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= (a|b)(a|b)^* \mapsto .^+$
- ▶ $\{n\}$ represents exactly n occurrences of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= aaa(a|b)(a|b) \mapsto a\{3\}.\{2\}$
- ▶ $\{m, \}$ represents at least m occurrences of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= aaa(a^*)b \mapsto a\{3, \}b$

SHORT RE NOTATIONS

For convenience...

- ▶ Dot (.) represents any character of A
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE $(0|1|2|3|4|5|6|7|8|9)^* \mapsto .^*$
- ▶ ? represents 0 or 1 occurrences of the preceding RE
 - ▶ e.g., for $A = \{0, 1\}$, the RE $(1|\varepsilon)(01)^* \mapsto 1?(01)^*$
- ▶ $^+$ represents at least 1 occurrence of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= (a|b)(a|b)^* \mapsto .^+$
- ▶ $\{n\}$ represents exactly n occurrences of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= aaa(a|b)(a|b) \mapsto a\{3\}.\{2\}$
- ▶ $\{m, \}$ represents at least m occurrences of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= aaa(a^*)b \mapsto a\{3, \}b$
- ▶ $\{m, n\}$ represents at least m , and at most n occurrences of the preceding RE
 - ▶ e.g., for $A = \{a, b\}$, RE $= (a|aa|aaa)b \mapsto a\{1, 3\}b$

SHORT RE NOTATIONS (CONT.)

For convenience...

SHORT RE NOTATIONS (CONT.)

For convenience...

- ▶ $[a_1a_2...a_n]$ represents choice between all a_i
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE
 $(0|1|2|3|4|5|6|7|8|9) \mapsto [0123456789]$ (note it is different from
 0123456789 which is concatenation)

SHORT RE NOTATIONS (CONT.)

For convenience...

- ▶ $[a_1a_2...a_n]$ represents choice between all a_i
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE
 $(0|1|2|3|4|5|6|7|8|9) \mapsto [0123456789]$ (note it is different from
 0123456789 which is concatenation)
- ▶ $[a_1 - a_n]$ represents choice between a range. Note that it only makes sense for ranges
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE
 $(0|1|2|3|4|5|6|7|8|9) \mapsto [0 - 9]$ (note it is different from
 $0| - |9$, $-$ has a special meaning inside brackets, useful for
REs like $[a - z]$ or $[a - zA - Z]$, the later combined with the
previous short notation)

SHORT RE NOTATIONS (CONT.)

For convenience...

- ▶ $[a_1 a_2 \dots a_n]$ represents choice between all a_i
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE
 $(0|1|2|3|4|5|6|7|8|9) \mapsto [0123456789]$ (note it is different from
 0123456789 which is concatenation)
- ▶ $[a_1 - a_n]$ represents choice between a range. Note that it only makes sense for ranges
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE
 $(0|1|2|3|4|5|6|7|8|9) \mapsto [0 - 9]$ (note it is different from
 $0| - |9$, $-$ has a special meaning inside brackets, useful for
REs like $[a - z]$ or $[a - zA - Z]$, the later combined with the
previous short notation)
- ▶ $[\hat{r}]$ negation of r
 - ▶ e.g., $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$, the RE
 $(1|2|3|4|5|6|7|8|9) \mapsto [\hat{0}]$ (note it is different from $0|^\wedge$ by
using the “short OR”)

SHORT RE NOTATIONS – FINAL REMARKS

In practice (not formal)...

SHORT RE NOTATIONS – FINAL REMARKS

In practice (not formal)...

- ▶ The alphabet is usually omitted and assumed from the symbols appearing in the RE
 - ▶ e.g., the RE $[0 - 9]$ is assumed to have the alphabet $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

SHORT RE NOTATIONS – FINAL REMARKS

In practice (not formal)...

- ▶ The alphabet is usually omitted and assumed from the symbols appearing in the RE
 - ▶ e.g., the RE $[0 - 9]$ is assumed to have the alphabet $A = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- ▶ REs are used for **pattern matching**, which means if a part of a string can be generated by the RE (or accepted by the equivalent automaton, more on this later), the string matches the pattern described by the RE. This leads to some notations, as: \wedge to denote the beginning of the string, and $\$$ for the end of the string.
 - ▶ e.g., the RE $\wedge a. * b \$$ means anything that starts with a , and finishes with b

EXERCISES WITH SHORT RE NOTATIONS

What language the RE describes?

EXERCISES WITH SHORT RE NOTATIONS

What language the RE describes?

- ▶ $[0-9]\{4\} - (0[1-9]|1[0-2]) - (0[1-9]|(1|2)[0-9]|3(0|1))?$

EXERCISES WITH SHORT RE NOTATIONS

What language the RE describes?

- ▶ $[0 - 9]\{4\} - (0[1 - 9]|1[0 - 2]) - (0[1 - 9]|(1|2)[0 - 9]|3(0|1))?$
 - ▶ Dates in the format yyyy-mm-dd (not well specified since it accepts 2016-02-31, for example)

EXERCISES WITH SHORT RE NOTATIONS

What language the RE describes?

- ▶ $[0-9]\{4\} - (0[1-9]|1[0-2]) - (0[1-9]|(1|2)[0-9]|3(0|1))?$
 - ▶ Dates in the format yyyy-mm-dd (not well specified since it accepts 2016-02-31, for example)
- ▶ $bb|[^{(2b)}]$

EXERCISES WITH SHORT RE NOTATIONS

What language the RE describes?

- ▶ $[0 - 9]\{4\} - (0[1 - 9]|1[0 - 2]) - (0[1 - 9]|(1|2)[0 - 9]|3(0|1))?$
 - ▶ Dates in the format yyyy-mm-dd (not well specified since it accepts 2016-02-31, for example)
- ▶ $bb|[^{(2b)}]$
 - ▶ Two 'b' or not '2b', that's the question... Actually, a bad example, it accepts anything that is not '2b', but it's a classical :)

EXERCISES WITH SHORT RE NOTATIONS

What language the RE describes?

- ▶ $[0 - 9]\{4\} - (0[1 - 9]|1[0 - 2]) - (0[1 - 9]|(1|2)[0 - 9]|3(0|1))?$
 - ▶ Dates in the format yyyy-mm-dd (not well specified since it accepts 2016-02-31, for example)
- ▶ $bb|[^{(2b)}]$
 - ▶ Two 'b' or not '2b', that's the question... Actually, a bad example, it accepts anything that is not '2b', but it's a classical :)

Create the RE with short notations such that...

EXERCISES WITH SHORT RE NOTATIONS

What language the RE describes?

- ▶ $[0 - 9]\{4\} - (0[1 - 9]|1[0 - 2]) - (0[1 - 9]|(1|2)[0 - 9]|3(0|1))?$
 - ▶ Dates in the format yyyy-mm-dd (not well specified since it accepts 2016-02-31, for example)
- ▶ $bb|[^{(2b)}]$
 - ▶ Two 'b' or not '2b', that's the question... Actually, a bad example, it accepts anything that is not '2b', but it's a classical :)

Create the RE with short notations such that...

- ▶ It generates non-limited floating point numbers (assume "." can be specified as \.)

EXERCISES WITH SHORT RE NOTATIONS

What language the RE describes?

- ▶ $[0 - 9]\{4\} - (0[1 - 9]|1[0 - 2]) - (0[1 - 9]|(1|2)[0 - 9]|3(0|1))?$
 - ▶ Dates in the format yyyy-mm-dd (not well specified since it accepts 2016-02-31, for example)
- ▶ $bb|[^{(2b)}]$
 - ▶ Two 'b' or not '2b', that's the question... Actually, a bad example, it accepts anything that is not '2b', but it's a classical :)

Create the RE with short notations such that...

- ▶ It generates non-limited floating point numbers (assume "." can be specified as \.)
 - ▶ $(-)?[0 - 9] + (\.[0 - 9])?$

CONVERTING RES TO NON-DETERMINISTIC FINITE AUTOMATA (NFA)

Assumption: We can convert any RE r to a NFA with one initial state and one final state

CONVERTING RES TO NON-DETERMINISTIC FINITE AUTOMATA (NFA)

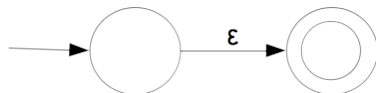
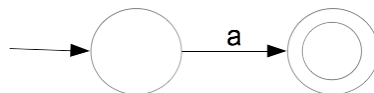
Assumption: We can convert any RE r to a NFA with one initial state and one final state

- ▶ Then, let's show it for each RE construction

CONVERTING RES TO NON-DETERMINISTIC FINITE AUTOMATA (NFA)

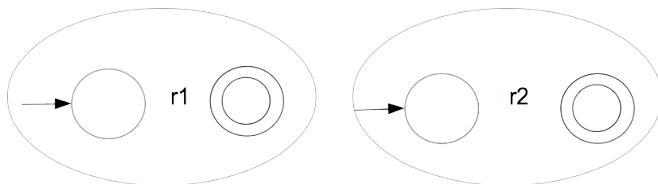
Assumption: We can convert any RE r to a NFA with one initial state and one final state

- ▶ Then, let's show it for each RE construction

 ε  $a \in A$ 

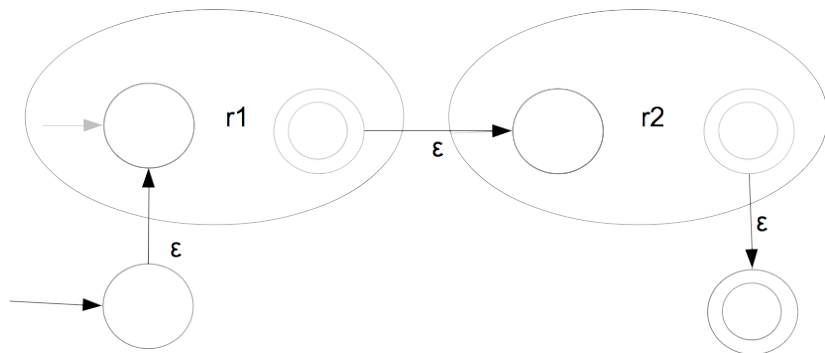
CONVERTING RES TO NFA (CONT.)

Sequence, r_1r_2



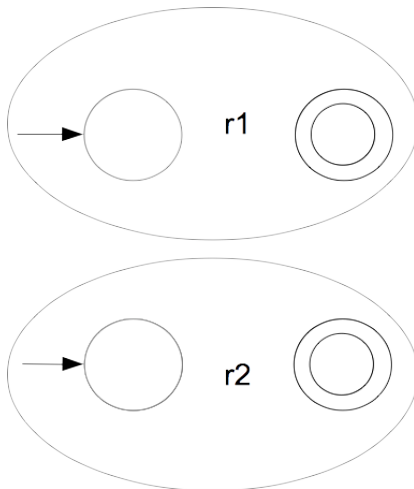
CONVERTING RES TO NFA (CONT.)

Sequence, r_1r_2



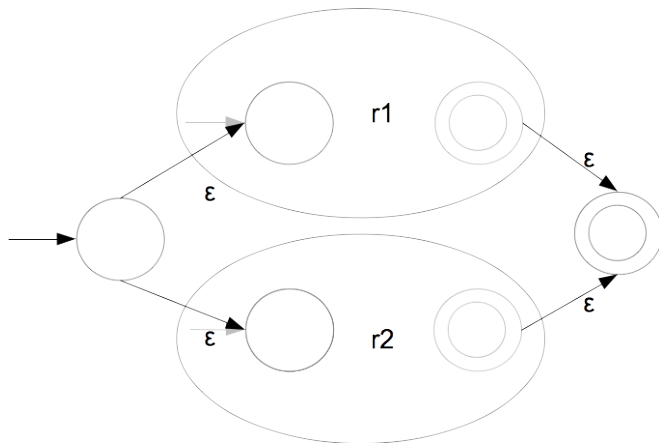
CONVERTING RES TO NFA (CONT. 2)

Choice, $r1|r2$



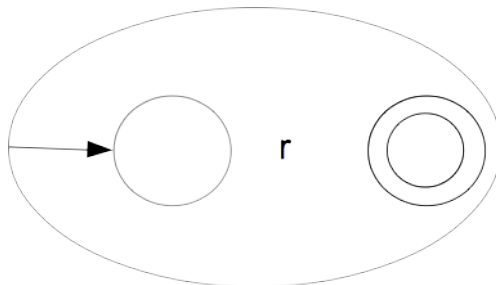
CONVERTING RES TO NFA (CONT. 2)

Choice, $r1|r2$



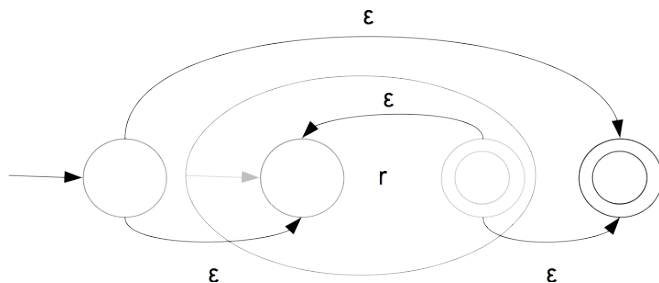
CONVERTING RES TO NFA (CONT. T3)

Kleene Star, r^*



CONVERTING RES TO NFA (CONT. T3)

Kleene Star, r^*



FROM NFA TO DFA

Algorithm (in case you forgot (:)

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions
 - ▶ The DFA state is considered final if it contains a NFA state

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions
 - ▶ The DFA state is considered final if it contains a NFA state
- ▶ Starting from the initially computer DFA state, for all D of the DFA, and $a \in A$:

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions
 - ▶ The DFA state is considered final if it contains a NFA state
- ▶ Starting from the initially computer DFA state, for all D of the DFA, and $a \in A$:
 - ▶ Set $S = \emptyset$

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions
 - ▶ The DFA state is considered final if it contains a NFA state
- ▶ Starting from the initially computer DFA state, for all D of the DFA, and $a \in A$:
 - ▶ Set $S = \emptyset$
 - ▶ Set N to the NFA original states of D

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions
 - ▶ The DFA state is considered final if it contains a NFA state
- ▶ Starting from the initially computer DFA state, for all D of the DFA, and $a \in A$:
 - ▶ Set $S = \emptyset$
 - ▶ Set N to the NFA original states of D
 - ▶ Compute the set N' that the NFA might be after matching a

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions
 - ▶ The DFA state is considered final if it contains a NFA state
- ▶ Starting from the initially computer DFA state, for all D of the DFA, and $a \in A$:
 - ▶ Set $S = \emptyset$
 - ▶ Set N to the NFA original states of D
 - ▶ Compute the set N' that the NFA might be after matching a
 - ▶ Set $S = S \cup N'$

FROM NFA TO DFA

Algorithm (in case you forgot (:)

- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions
 - ▶ The DFA state is considered final if it contains a NFA state
- ▶ Starting from the initially computer DFA state, for all D of the DFA, and $a \in A$:
 - ▶ Set $S = \emptyset$
 - ▶ Set N to the NFA original states of D
 - ▶ Compute the set N' that the NFA might be after matching a
 - ▶ Set $S = S \cup N'$
 - ▶ if S is nonempty, there is a transition with a from D to the DFA state with S NFA states in it.

FROM NFA TO DFA

Algorithm (in case you forgot (:)

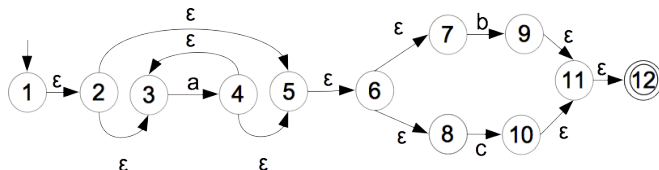
- ▶ The DFA has a state for a subset of NFA states
 - ▶ The initial DFA state is the subset of NFA states that can be reached by the initial NFA state by following ε transitions
 - ▶ The DFA state is considered final if it contains a NFA state
- ▶ Starting from the initially computer DFA state, for all D of the DFA, and $a \in A$:
 - ▶ Set $S = \emptyset$
 - ▶ Set N to the NFA original states of D
 - ▶ Compute the set N' that the NFA might be after matching a
 - ▶ Set $S = S \cup N'$
 - ▶ if S is nonempty, there is a transition with a from D to the DFA state with S NFA states in it.
 - ▶ Otherwise, there is no transition

NFA TO DFA EXAMPLE

Consider the NFA obtained from $a^*(b|c)$

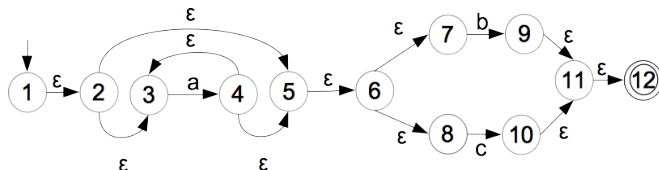
NFA TO DFA EXAMPLE

Consider the NFA obtained from $a^*(b|c)$



NFA TO DFA EXAMPLE

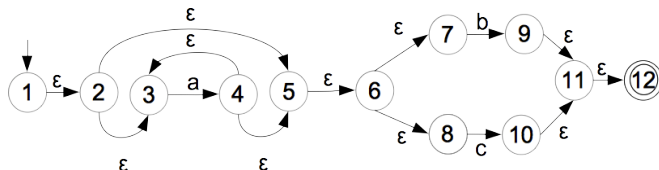
Consider the NFA obtained from $a^*(b|c)$



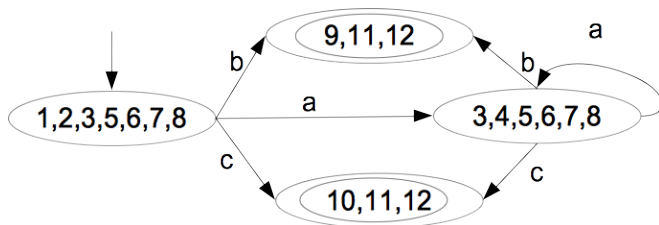
The equivalent DFA

NFA TO DFA EXAMPLE

Consider the NFA obtained from $a^*(b|c)$



The equivalent DFA

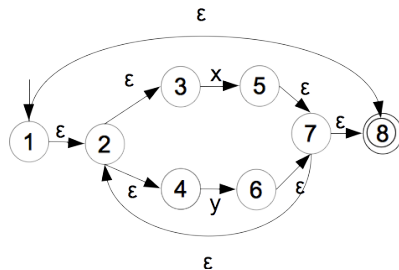


(SIMPLE) NFA TO DFA EXERCISE

Consider the NFA

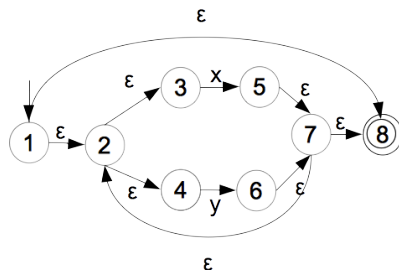
(SIMPLE) NFA TO DFA EXERCISE

Consider the NFA



(SIMPLE) NFA TO DFA EXERCISE

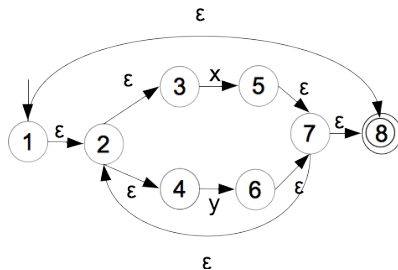
Consider the NFA



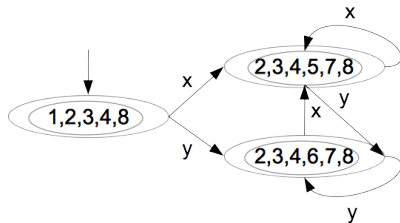
The equivalent DFA $(x|y)^*$

(SIMPLE) NFA TO DFA EXERCISE

Consider the NFA



The equivalent DFA $(x|y)^*$



LEXICAL ANALYSIS — FINAL REMARKS

- Non-determinism can be eliminated, this is important for the implementations recognizing the inputs

LEXICAL ANALYSIS — FINAL REMARKS

- ▶ Non-determinism can be eliminated, this is important for the implementations recognizing the inputs
- ▶ The important REs for the source code include keywords (if, while, for, etc.), numbers (floats, ints, etc.) identifiers (variable names, etc.), each category is specified with a RE.

LEXICAL ANALYSIS — FINAL REMARKS

- ▶ Non-determinism can be eliminated, this is important for the implementations recognizing the inputs
- ▶ The important REs for the source code include keywords (if, while, for, etc.), numbers (floats, ints, etc.) identifiers (variable names, etc.), each category is specified with a RE.
- ▶ When the source code is passed to the lexer (the program performing the lexical analysis), it returns a **tokenized** string. For example:

```
if(j + 2) return 10;
```

Yields:

```
keyword(if) l_paren identifier(j) add_op num(2) r_paren keyword(return) num(10) sc
```

BACK TO THE BIG PICTURE...

We can specify the words of a language

BACK TO THE BIG PICTURE...

We can specify the words of a language

- Are RE applicable for specifying the structure of the sentence?

BACK TO THE BIG PICTURE...

We can specify the words of a language

- ▶ Are RE applicable for specifying the structure of the sentence?
 - ▶ No. OK, why?

BACK TO THE BIG PICTURE...

We can specify the words of a language

- ▶ Are RE applicable for specifying the structure of the sentence?
 - ▶ No. OK, why?
 - ▶ Nested constructs required, nested if-else, nested expressions, etc., a simple example, build a RE that matches the same number of left parenthesis to the right one. For example: ((())), (), (((((()))))

BACK TO THE BIG PICTURE...

We can specify the words of a language

- ▶ Are RE applicable for specifying the structure of the sentence?
 - ▶ No. OK, why?
 - ▶ Nested constructs required, nested if-else, nested expressions, etc., a simple example, build a RE that matches the same number of left parenthesis to the right one. For example: ((())), (), (((((()))))

Solution:

BACK TO THE BIG PICTURE...

We can specify the words of a language

- ▶ Are RE applicable for specifying the structure of the sentence?
 - ▶ No. OK, why?
 - ▶ Nested constructs required, nested if-else, nested expressions, etc., a simple example, build a RE that matches the same number of left parenthesis to the right one. For example: ((())), (), (((((()))))

Solution:

Syntactical analysis with a **Context-Free Grammar (CFG)**