# Event-B Modeling in Isabelle/HOL

# 1 Introduction

This document describes the **model** command provided by this theory. The command integrates a dataspace representation using lenses [3] with standard Isabelle locales [2]. It supports refinement through additional proof obligations.

The approach follows the standard Event-B modeling methodology [1] and builds on top of the Igloo framework for event-based models of distributed systems [4].

### 2 The model Command

# 2.1 Syntax

The general syntax for an Event-B model definition looks like this:

```
\begin{array}{l} \mathbf{model}\;('a_1,\ldots,'a_n)\;model\text{-}name = \\ [\;\;\mathbf{extends}\;locale\text{-}expression\;] \\ \mathbf{variables}\;var_1\;::\;type_1\;\cdots\;var_j\;:\;type_j\\ \mathbf{initialise}\;"var_1 = v_1"\cdots"var_j = v_j"\\ \mathbf{invariants}\\ inv_1:\;"P_1\;var_1\cdots var_j"\\ \\ inv_i:\;"P_i\;var_1\cdots var_j"\;[\;\;\mathbf{uses}\;inv_a\;\ldots\;]\\ \mathbf{events}\\ E_1\;\alpha_{1,1}\ldots\alpha_{1,l_1}\;\mathbf{when}\;G_{1,1}\cdots G_{1,m_1}\;\mathbf{do}\;A_{1,1}\cdots A_{1,n_1}\\ |\;\;E_k\;\alpha_{k,1}\ldots\alpha_{k,l_k}\;\mathbf{when}\;G_{k,1}\cdots G_{k,m_k}\;\mathbf{do}\;A_{k,1}\cdots A_{k,n_k}\\ [\;\;\mathbf{refines}\;model\text{-}name_0\;\;\mathbf{via}\;mediator\text{-}eq^+\;\;\mathbf{where}\;\pi\;] \end{array}
```

The syntax for *locale-expression* is described in [2]. In the guard and after expressions (G and A respectively) each variable var is bound. In after expressions A a primed version var' (the variable after an event E occurred) is bound too.

When declaring a refinement, each variable from model- $name_0$  must have a corresponding mediator equality mediator-eq of the form " $var_0 = \dots$ ". This function  $\pi$  is specified infix:

```
E_a \rightarrow model-name_0.E_b
```

### 2.2 Semantics

Each invocation defines a new datatype model-name.event with constructors model- $name.E_1, \dots, model$ - $name.E_k$  and model-name.Skip.

A simple invocation (no refinement) of the command will propose the following proof obligations:

```
1. var = v \Longrightarrow P \ var
2. \llbracket P \ var; \ G \ var; \ A \ var \ var' \rrbracket \Longrightarrow P \ var'
```

The invocation defines a new locale extension with an associated lens for each variable var in the sense of a dataspace [3]:

```
\begin{array}{ll} \textbf{locale} & model\text{-}name = \\ \textbf{fixes} & var_{1L} :: type_1 \Longrightarrow 'st \\ & \vdots \\ \textbf{and} & var_{jL} :: type_j \Longrightarrow 'st \\ \textbf{assumes} & dataspace\text{-}assms \end{array}
```

The dataspace-assms state that all lenses  $var_L$  satisfy vwb-lens and mutual exclusivity.

### 2.2.1 Refinement

Refinement introduces additional proof obligations. It relies on a function  $\pi$  mapping the new model's event type to the old model's event type. This function is automatically defined. By default all constructors are skipped during refinement ( $\pi$  maps events to *old-model.Skip* unless another definition is provided).

The additional proof obligations will become:

1. 
$$G\left(m\left(get_{var_{st}}s\right)\right) \wedge A\left(m\left(get_{var_{st}}s\right)\right)\left(m\left(get_{var_{st}}s'\right)\right)$$

# 2.2.2 Generated Constants and Theorems

By default for each inv a standard invariant theorem is proved of the form:

$$\mathit{inv} \colon \mathit{reach} \ \mathit{ES} \ ?s \Longrightarrow P \ (\mathit{get}_{\mathit{var}_{1v}} ?s) \cdots (\mathit{get}_{\mathit{var}_{jv}} ?s)$$

Whenever a refinement took place a refinement theorem is proved:

```
refines\text{-}model\text{-}name_0\colon
```

```
model\text{-}name_0 ?var \Longrightarrow ES \sqsubseteq_{\pi}\text{-}model\text{-}name_0 model\text{-}name_0.ES (m ?var)
```

# 3 The model-cases Method and eventb Theorems

To keep proof obligations as simple as possible, the infrastructure needs to be able to split facts about the before-and-after predicates.

To this end this theory introduces a new named attribute *eventb*. Core theorems for splitting facts are:

```
lemma eventb-if[case-names if-True if-False, eventb]: assumes \langle Q \Longrightarrow P \ x \rangle and \langle \neg \ Q \Longrightarrow P \ y \rangle shows \langle P \ (if \ Q \ then \ x \ else \ y) \rangle using assms by simp

lemma eventb-case-sum[case-names Inl Inr, eventb]: assumes \langle \bigwedge a. \ x = Inl \ a \Longrightarrow P \ (f \ a) \rangle and \langle \bigwedge b. \ x = Inr \ b \Longrightarrow P \ (g \ b) \rangle shows \langle P \ (case-sum \ f \ g \ x) \rangle using assms by (auto split: sum.split)

lemma eventb-case-option[case-names None Some, eventb]: assumes \langle mx = None \implies P \ y \rangle and \langle \bigwedge x. \ mx = Some \ x \Longrightarrow P \ (f \ x) \rangle shows \langle P \ (case-option \ y \ f \ mx) \rangle using assms by (auto split: option.split)
```

These named theorems facilitate structured case analysis which can predictably be dealt with using *model-cases*. For example a refinement proof might look like this (only interesing cases need to be considered):

```
\begin{array}{c} \textbf{proof} \ model\text{-}cases\\ \textbf{case} \ inv: E_a\\ \textbf{then show} \ ?thesis \dots\\ \textbf{next}\\ \textbf{case} \ refine: E_a\\ \textbf{then show} \ ?thesis \dots\\ \textbf{qed} \ auto \end{array}
```

# 4 Example: Leader Election

This chapter compares the running example of the Leader Election using the newly defined command. The goal is to highlight, how the command is able to automate model definitions and goal construction. The user can focus on the interesting bits, no auxiliary constructions and lemmas are necessary.

# 4.1 Abstract System Model

```
\begin{array}{l} \mathbf{model}\ ('b :: countable)\ election 0 = \\ \mathbf{variables}\ leader :: 'b \Rightarrow bool \\ \mathbf{initialise}\ leader = (\lambda -.\ False) \\ \mathbf{invariants} \\ no-multiple-leaders: \ \forall\ i\ j\ .\ leader\ i \longrightarrow leader\ j \longrightarrow i = j \\ \mathbf{events} \\ Elect\ (i:'b)\ \mathbf{when}\ \forall\ j.\ leader\ j \longrightarrow i = j\ \mathbf{do}\ leader' = leader(i := True) \\ \mathbf{by}\ auto \\ \\ \mathbf{thm}\ election 0. ES-def \\ \mathbf{thm}\ election 0. no-multiple-leaders \\ \end{array}
```

### 4.1.1 Satisfiability

It easy to define non-sensical locales in Isabelle and the standard way to show that a locale is not non-sensical is to show that there is at least one inhabitant.

Indeed, the locale has a trivial inhabitant:

```
interpretation ec0: election0 1_L
by (rule election0.intro, simp)
```

thm ec0.no-multiple-leaders[unfolded id-lens-def, simplified, folded id-lens-def]

#### 4.2 Protocol Model

```
record 'a local1 = elected1 :: bool chan1 :: 'a set

abbreviation add-msg-to-chan1 where add-msg-to-chan1 s x msg \equiv s(x := s x(chan1 := insert msg (chan1 (s x))))

lemma (in ringnetwork) topEqI: \llbracket \bigwedge z. \ z \neq x \Longrightarrow z < x \rrbracket \Longrightarrow x = \top by fastforce

model ('a::countable) election1 = extends ringnetwork less for less :: 'a \Rightarrow 'a \Rightarrow bool (infix <<>> 50) variables local1 :: 'a \Rightarrow 'a local1
```

```
initialise local1 = (\lambda a. (elected1 = False, chan1 = \{\}))
    invariants
          — if msg is in buffer of x, then all z in the interval between (msg,x) are strictly
smaller than msg
          valid-interval: \forall x \ msg. \ msg \in chan1 \ (local1 \ x) \longrightarrow (\forall z \in collect \ msg \ x. \ z < collect \ msg \ x < collect \ x < collect \ msg \ x < collect \ 
msg)
         and
                 only top can be elected leader
         leader-top: \forall i. elected1 (local1 i) \longrightarrow i = \top uses valid-interval
          — add own ID to the next node's buffer
          Setup (x:'a) \mathbf{do} local1' = add-msg-to-chan1 local1 (nxt x) x
     | Accept (x:'a) (msg:'a) |
              — node x received a name msg higher than his own x.
             when msq \in chan1 (local1 x) x < msq
               — forward to next node in ring.
                  do\ local1' = add-msq-to-chan1 local1 (nxt x) msq
    \mid Elect(z;'a)
              — node x received its own name.
             when z \in chan1 (local1 z)
                  do local1' = local1(z := (local1 z)(|elected1 := True|))
    refines election0
         via
             leader = elected1 \circ local1
         where
              Elect \ y \rightarrow election 0. Elect \ y
proof model-cases
    case leader-top:Elect
    then show ?case
         using topEqI collect-self by simp
    case (refine: Elect s \ a \ s' \ y)
    then show ?case
         apply safe
          apply (metis collect-self comp-def extremum-strict)
         by (simp add: fun-upd-comp)
qed ((rule ext)?; clarsimp simp: fun-upd-comp; fastforce dest: collect-nxt-r)+
```

### 4.3 Interface Model

We add local input buffers and output buffers. Here, they are modelled as sets and messages are never removed from the buffers.

#### 4.3.1 Local Buffers Model tr2

```
abbreviation (input) add-msg-to-ibuf2 where
  add-msg-to-ibuf2 s \ x \ msg \equiv s(x := s \ x \ (ibuf2 := insert \ msg \ (ibuf2 (s \ x)))))
abbreviation (input) add-msg-to-obuf2 where
  add-msg-to-obuf2 \ s \ msg \equiv s(x := s \ x \ (obuf2 := insert \ msg \ (obuf2 \ (s \ x)))))
abbreviation (input) add-msg-to-chan2 where
  add-msg-to-chan2 \ s \ msg \equiv s(x := insert \ msg \ (s \ x))
model ('a::countable, 'ADDR::countable) election2 =
  extends addressedRingnetwork less top - - addr
 for top :: 'a
                                 (\langle \top \rangle)
   and less :: 'a \Rightarrow 'a \Rightarrow bool \text{ (infix } <<> 50)
   and addr :: 'a \Rightarrow 'ADDR
  variables local2 :: 'a \Rightarrow 'a local2 and chan :: 'ADDR \Rightarrow 'a set
 initialise
   local2 = (\lambda - (leader2 = False, ibuf2 = \{\}, obuf2 = \{\})) \ chan = (\lambda - \{\})
 invariants
   inv-buffers:
     \forall x \; msg. \; (msg \in obuf2 \; (local2 \; x) \longrightarrow msg \in chan \; (addr \; x) \land x < msg \lor msg
= x) \land
              (msg \in ibuf2 \ (local2 \ x) \longrightarrow msg \in chan \ (addr \ x))
 events
   Setup(x:'a) do local2' = add-msg-to-obuf2 local2 x x
  | Receive (x:'a) (msg:'a) |
     when msg \in chan (addr x)
       do\ local2' = add-msg-to-ibuf2 local2 x msg
 \mid Accept (x:'a) (msg:'a)
      — node x received a name msg higher than his own x
     when msg \in ibuf2 \ (local2 \ x) \ x < msg
       do\ local2' = add-msg-to-obuf2 local2 x msg
 \mid Elect(x:'a)
     when x \in ibuf2 (local2 x)
       do local2' = local2(x := local2 x (|leader2 := True|))
  | Send (x:'a) (msq:'a) (a:'ADDR) |
     when msg \in obuf2 (local2 x) \ a = addr (nxt x)
       do chan' = add-msq-to-chan2 chan a msq
  refines election 1 \top ordr nxt less
   via
     local1 = (\lambda x. (elected1 = leader2 (local2 x), chan1 = chan (addr x)))
   where
     election 2. Send \ x \ y \rightarrow \rightarrow
       (if x = y then election1. Setup x
                 else\ election 1. Accept\ x\ y)
   \mid election2.Elect x \rightarrow election1.Elect x
proof model-cases
 case (refine: Send: if-False s a s' x y msg)
 moreover hence y \in get_{chan}, s(addr x) \land x < y \lor y = x by blast
```

ultimately show ?case by force qed (force intro!: ext)+

 ${f thm}$  election 2. refines-election 1

# References

- [1] J.-R. Abrial. Modeling in Event-B: System and Software Engineering. Cambridge University Press, 2010.
- [2] C. Ballarin. Tutorial to Locales and Locale Interpretation. https://isabelle.in.tum.de/website-Isabelle2023/dist/Isabelle2023/doc/locales.pdf.
- [3] S. Foster, C. Pardillo-Laursen, and F. Zeyda. Optics. Archive of Formal Proofs, May 2017. https://isa-afp.org/entries/Optics.html, Formal proof development.
- [4] C. Sprenger, T. Klenze, M. Eilers, F. A. Wolf, P. Müller, M. Clochard, and D. Basin. Igloo: soundly linking compositional refinement and separation logic for distributed system verification. *Proc. ACM Program. Lang.*, 4(OOPSLA), Nov. 2020.