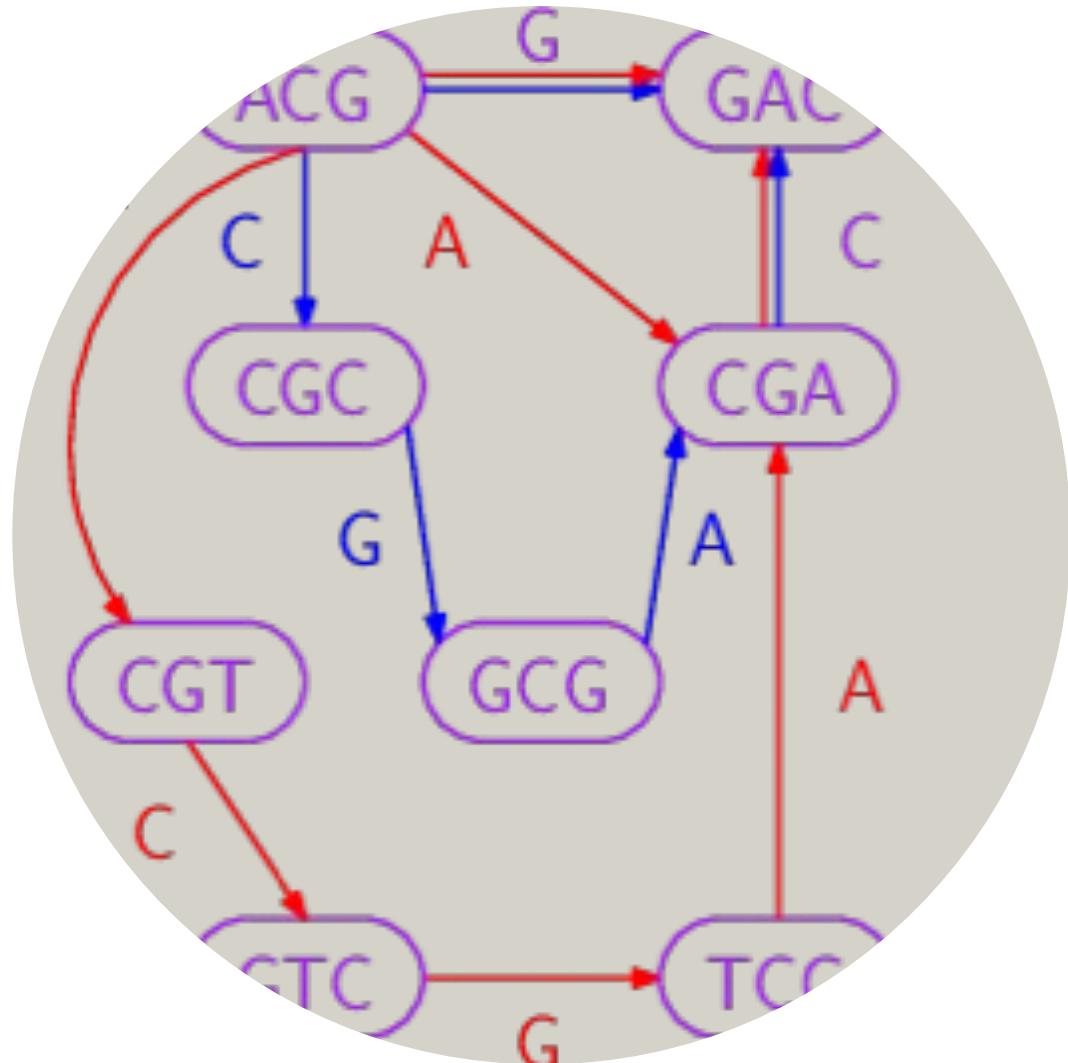


# Graph algorithms

BioSB Algorithms for  
Genomics

12-10-2023  
Sandra Smit



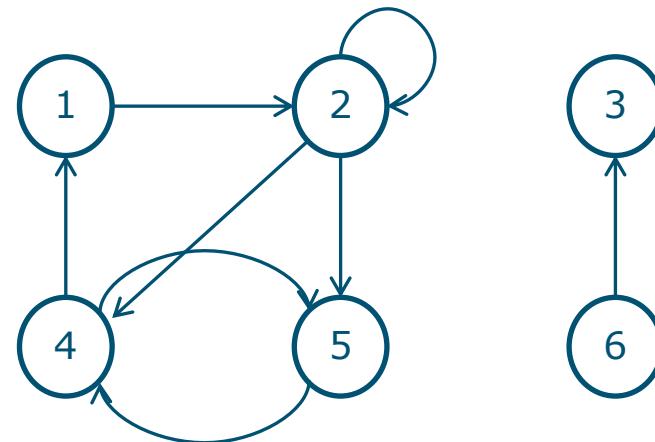
# Terminology

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- Graph, vertices, edges
- Directed versus undirected graphs
- Degree( $v$ ) of a vertex, indegree( $v$ ), outdegree( $v$ )
- Connected versus disconnected graphs
- Complete graphs
- Weighted graphs
- Trees

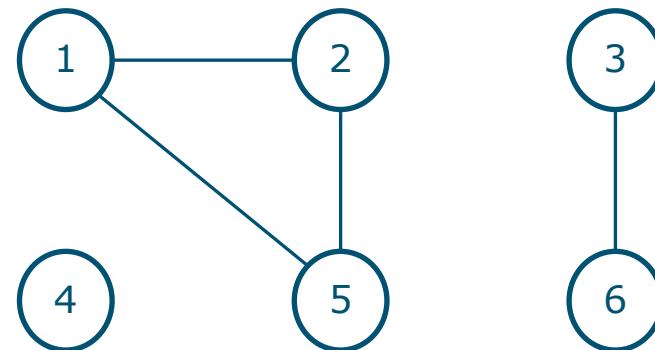
# Directed graph

- A directed graph  $G$  is a pair  $(V,E)$ , where  $V$  is a finite set and  $E$  is a binary relation on  $V$ .
  - $V$ : vertex set, with vertices as elements
  - $E$ : edge set, with edges as elements
- Self-loops are possible
- Vertex set  $\{1,2,3,4,5,6\}$



# Undirected graph

- In an undirected graph  $G=(V,E)$ , the edge set  $E$  consists of unordered pairs of vertices, rather than ordered pairs
  - Edge  $(u,v)$  where  $u$  and  $v$  are elements of  $V$  and  $u \neq v$
  - $(u,v)$  is considered to be the same as  $(v,u)$
- Self-loops are forbidden



# Incident and adjacent

---

- If  $(u,v)$  is an edge in an undirected graph  $G=(V,E)$ , we say that  $(u,v)$  is incident on vertices  $u$  and  $v$ .
- In a directed graph:
  - Leaving edge: incident from
  - Entering edge: incident to
- If  $(u,v)$  is an edge in a graph  $G=(V,E)$ , we say that vertex  $v$  is adjacent to vertex  $u$
- In an undirected graph, the adjacency relation is symmetric (but not necessarily in a directed graph!)
- In a directed graph  $G=(V,E)$ , a neighbor of a vertex  $u$  is any vertex that is adjacent to  $u$  in the undirected version of  $G$

# Degree

---

- The degree of a vertex in an undirected graph is the number of edges incident on it.
- In a directed graph:
  - out-degree of a vertex = the number of edges leaving it
  - in-degree = the number of edges entering it
  - degree = in-degree + out-degree

# Balanced, semi-balanced

---

- A vertex  $v$  in a graph is balanced if the number of edges entering  $v$  equals the number of edges leaving  $v$ 
  - $\text{indegree}(v) == \text{outdegree}(v)$
- A vertex  $v$  in a graph is called semibalanced if the indegree differs from the outdegree by 1
  - $| \text{indegree}(v) - \text{outdegree}(v) | = 1$

# Paths and cycles

---

- A path of length  $k$  from a vertex  $u$  to a vertex  $u'$  in a graph  $G=(V,E)$  is a sequence  $\langle v_0, v_1, v_2, \dots, v_k \rangle$  of vertices such that  $u=v_0$ ,  $u'=v_k$ , and  $(v_{i-1}, v_i)$  is an element of  $E$  for  $i = 1, 2, \dots, k$
- Length of the path = number of edges in the path
- In a directed graph, a path  $\langle v_0, v_1, v_2, \dots, v_k \rangle$  forms a cycle if  $v_0=v_k$  and the path contains at least one edge
- A graph with no cycles is acyclic

# Connected and complete

---

- A graph is called connected if all pairs of vertices can be connected by a path, which is a continuous sequence of edges, where each successive edge begins where the previous one left off
- Disconnected graphs: graphs that are not connected
  - Can be partitioned into connected components
- A graph is called complete if there is an edge between every two vertices

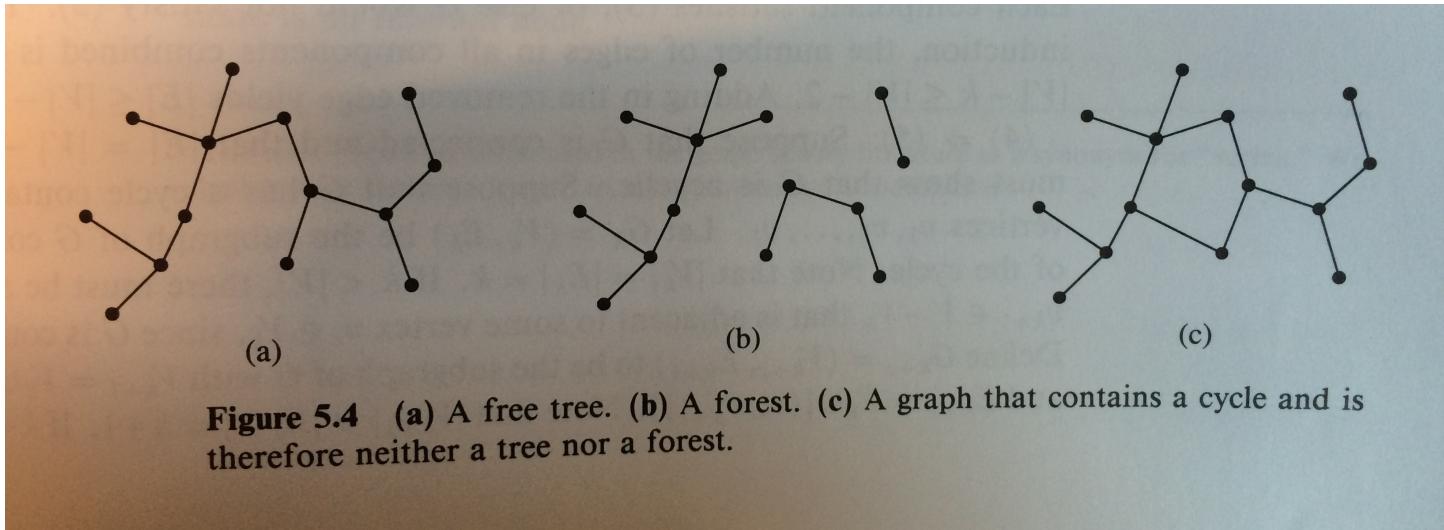
# Weighted

---

- Weighted graphs: ordered triple  $G=(V,E,w)$  where  $w$  is a weight function defined for every edge  $e$  in  $E$ .
  - $w(e)$  is a number reflecting the weight of edge  $e$

# Tree

- A (free) tree is a connected, acyclic, undirected graph
- A forest is an undirected, acyclic, but disconnected graph



**Figure 5.4** (a) A free tree. (b) A forest. (c) A graph that contains a cycle and is therefore neither a tree nor a forest.

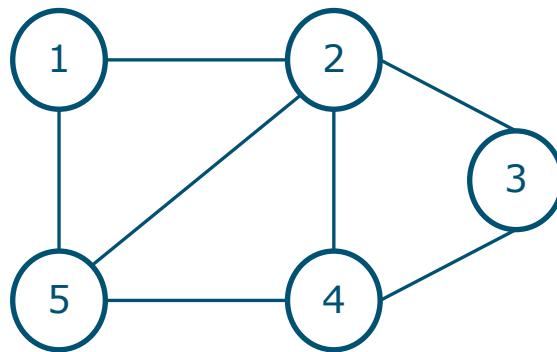
# Graph representations

---

- Collection of adjacency lists
  - Used for sparse graphs:  $|E|$  much less than  $|V|^2$
- Adjacency matrix
  - Used for dense graphs:  $|E|$  close to  $|V|^2$
  - Used when need to test quickly whether two vertices are connected

# Adjacency list

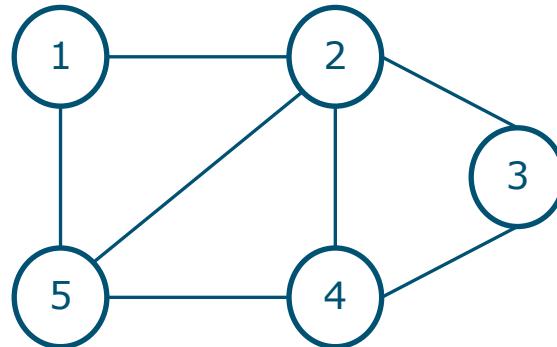
- Array  $\text{Adj}$  of  $|V|$  lists, one for each vertex in  $V$
- For each  $u \in V$ , the adjacency list  $\text{Adj}[u]$  contains all vertices  $v$  such that there is an edge  $(u,v) \in E$



```
{1:[2,5],  
 2:[1,5,3,4],  
 3:[2,4],  
 4:[2,5,3],  
 5:[4,1,2]}
```

# Adjacency matrix

- Assumption: vertices are numbered  $1, 2, \dots, |V|$  in some arbitrary manner
- Graph  $G$  then consists of a  $|V| \times |V|$  matrix  $A = (a_{ij})$  such that
  - $a_{ij} = 1$  if  $(i, j) \in E$
  - $a_{ij} = 0$  otherwise

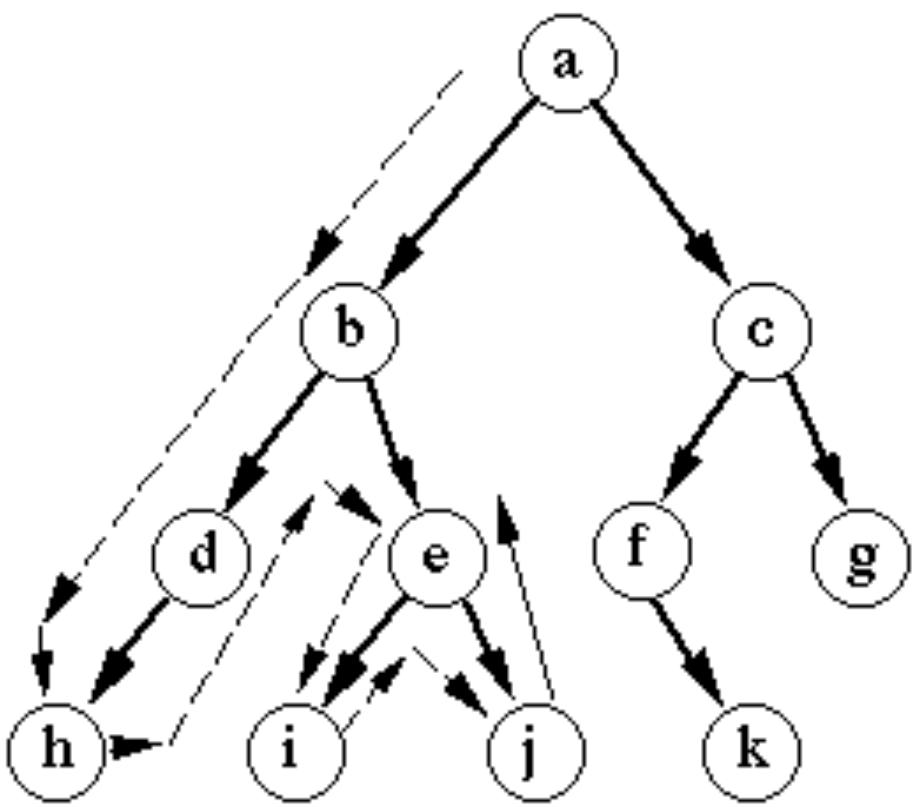


	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

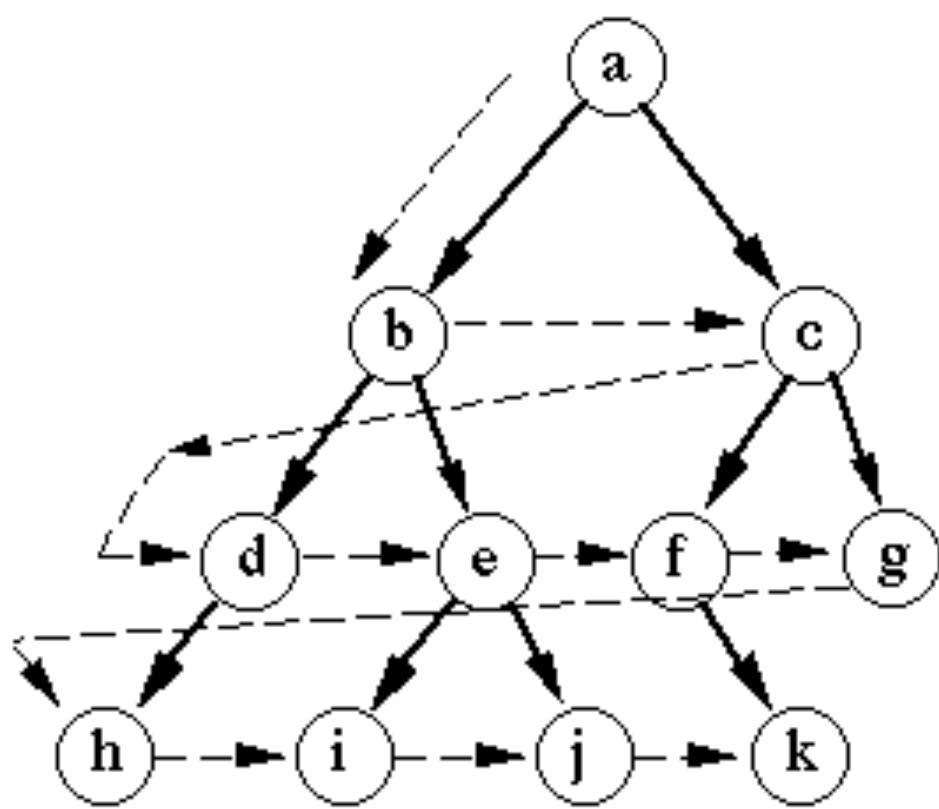
# Searching a graph

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- Systematically following the edges of the graph so as to visit the vertices of the graph
- Breadth-first search
  - One of the simplest search algorithms
  - Expands the frontier between discovered and undiscovered vertices uniformly across the breath of the frontier
- Depth-first search
  - Searches deeper into the graph whenever possible



Depth-first search

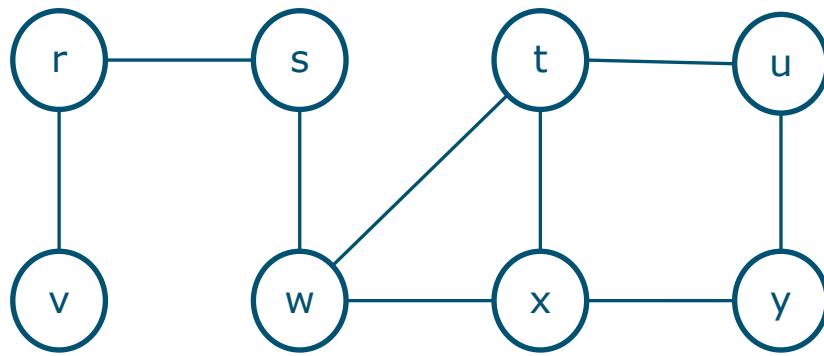


Breadth-first search

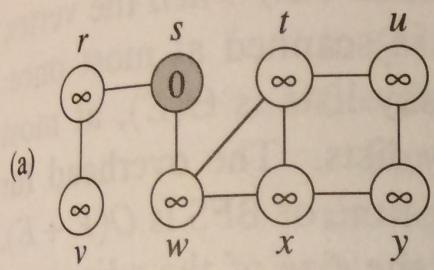
# Breadth-first search (BFS)

---

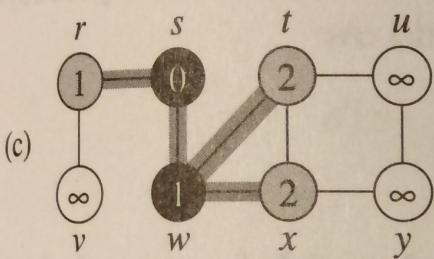
- Given a graph  $G=(V,E)$  and a distinguished source vertex  $s$ , BFS systematically explores the edges of  $G$  to discover every vertex that is reachable from  $s$ .
- The algorithm discovers all vertices at distance  $k$  from  $s$  before discovering any vertices at distance  $k+1$
- Uses white, gray, black vertices
  - White: undiscovered vertex
  - Gray: discovered for the first time
  - Black: discovered (done)



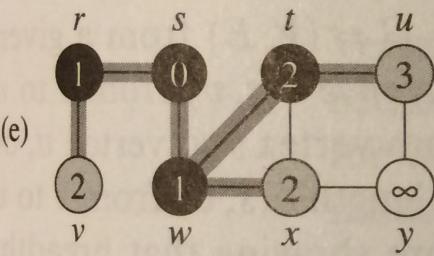
```
{r:[s,v],  
s:[w,r],  
t:[w,x,u],  
u:[t,y],  
v:[r],  
w:[s,t,x],  
x:[w,t,y],  
y:[x,u]  
}
```



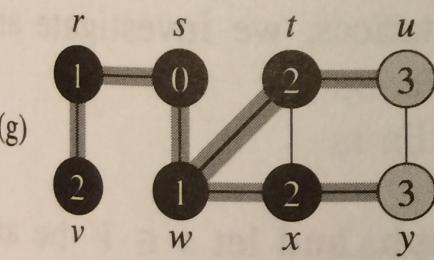
$$Q \begin{array}{|c|} \hline s \\ \hline 0 \\ \hline \end{array}$$



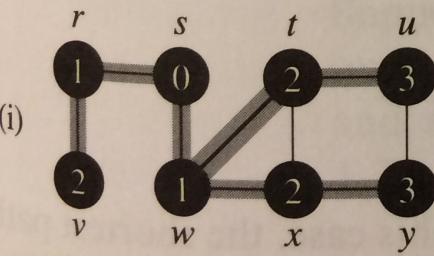
$$Q \begin{array}{|c|c|c|} \hline r & t & x \\ \hline 1 & 2 & 2 \\ \hline \end{array}$$



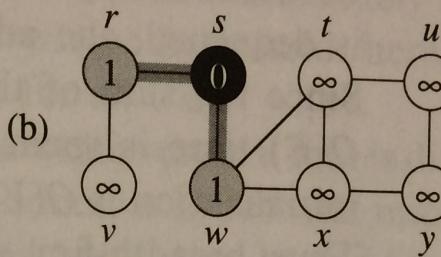
$$Q \begin{array}{|c|c|c|} \hline x & v & u \\ \hline 2 & 2 & 3 \\ \hline \end{array}$$



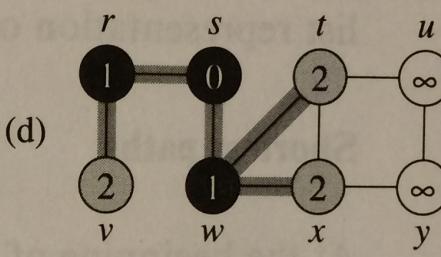
$$Q \begin{array}{|c|c|} \hline u & y \\ \hline 3 & 3 \\ \hline \end{array}$$



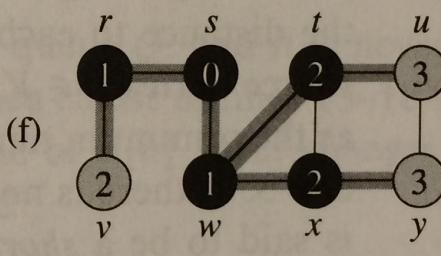
$$Q \quad \emptyset$$



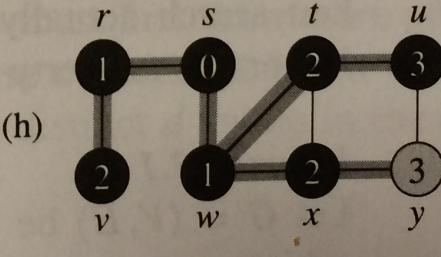
$$Q \begin{array}{|c|c|} \hline w & r \\ \hline 1 & 1 \\ \hline \end{array}$$



$$Q \begin{array}{|c|c|c|} \hline t & x & v \\ \hline 2 & 2 & 2 \\ \hline \end{array}$$



$$Q \begin{array}{|c|c|c|} \hline v & u & y \\ \hline 2 & 3 & 3 \\ \hline \end{array}$$



$$Q \begin{array}{|c|} \hline y \\ \hline 3 \\ \hline \end{array}$$

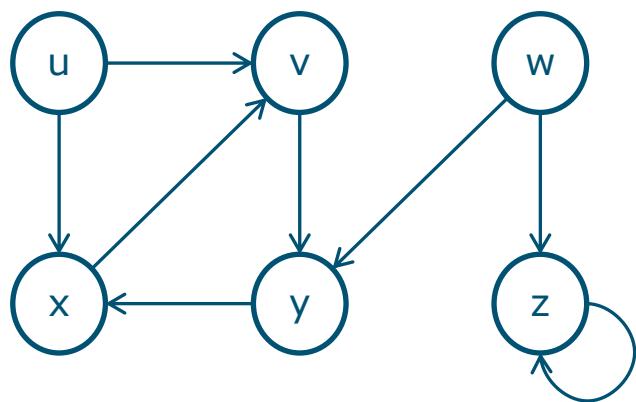
## BFS( $G, s$ )

```
1   for each vertex  $u \in V[G] - \{s\}$ 
2       do  $color[u] \leftarrow \text{WHITE}$ 
3            $d[u] \leftarrow \infty$ 
4            $\pi[u] \leftarrow \text{NIL}$ 
5    $color[s] \leftarrow \text{GRAY}$ 
6    $d[s] \leftarrow 0$ 
7    $\pi[s] \leftarrow \text{NIL}$ 
8    $Q \leftarrow \{s\}$ 
9   while  $Q \neq \emptyset$ 
10      do  $u \leftarrow head[Q]$ 
11          for each  $v \in Adj[u]$ 
12              do if  $color[v] = \text{WHITE}$ 
13                  then  $color[v] \leftarrow \text{GRAY}$ 
14                       $d[v] \leftarrow d[u] + 1$ 
15                       $\pi[v] \leftarrow u$ 
16                      ENQUEUE( $Q, v$ )
17      DEQUEUE( $Q$ )
18       $color[u] \leftarrow \text{BLACK}$ 
```

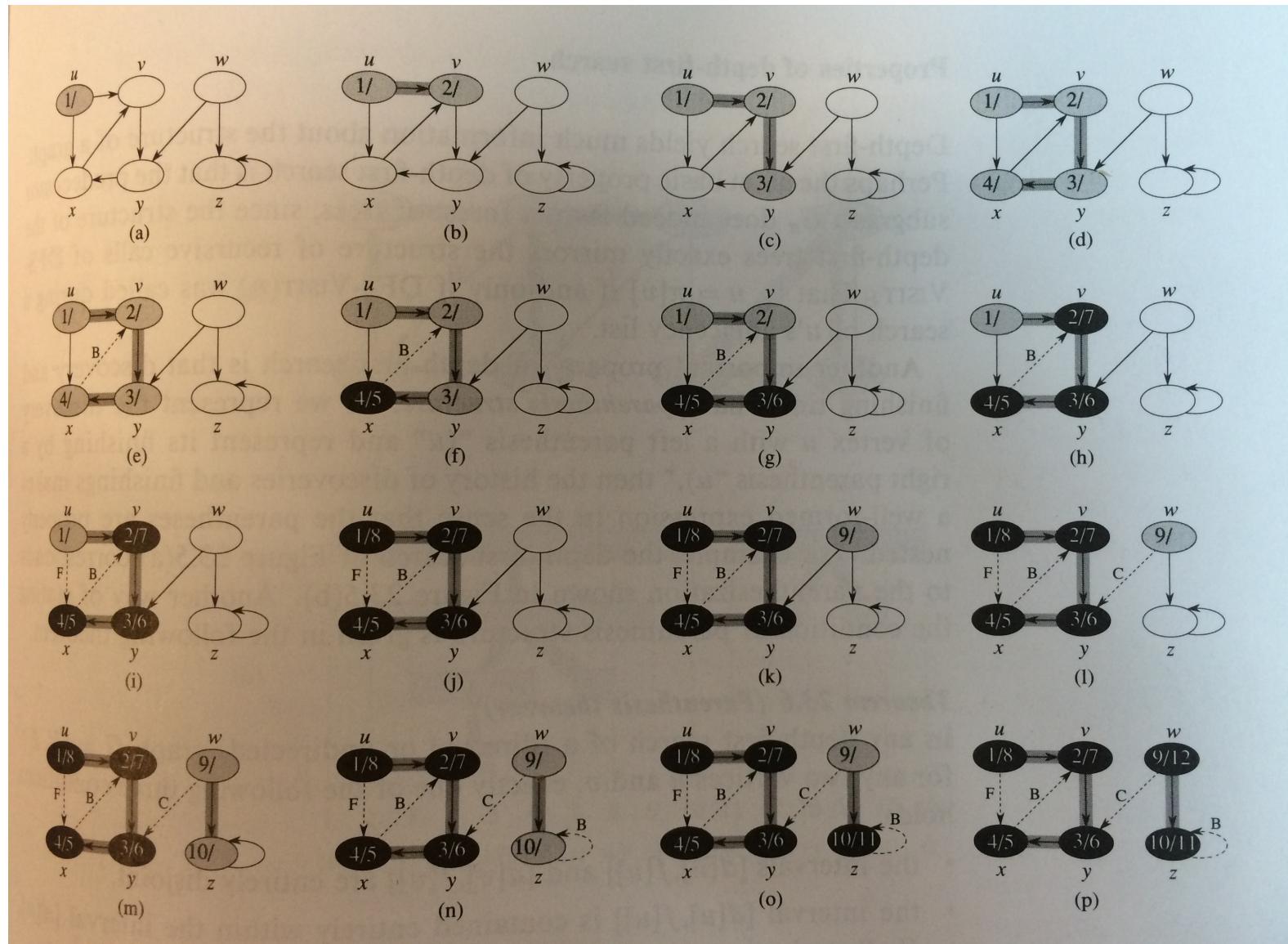
# Depth-first search (DFS)

---

- In DFS, edges are explored out of the most recently discovered vertex  $v$  that still has unexplored edges leaving it.
- Uses white, gray, black vertices
  - White: undiscovered vertex
  - Gray: discovered for the first time
  - Black: finished (its adjacency list has been examined completely)
- Vertices are also timestamped: one for discovery, one for finishing



```
{u:[v,x],  
 v:[y],  
 w:[y,z],  
 x:[v],  
 y:[x],  
 z:[z]  
}
```



# Graph challenges

---

- Shortest Path Problem: given a weighted graph and two vertices, find the shortest distance between them
- Hamiltonian Cycle problem: find a cycle in a graph that visits every vertex exactly once.
- Eulerian Cycle Problem: find cycle in graph that visits each edge exactly once

# Shortest path

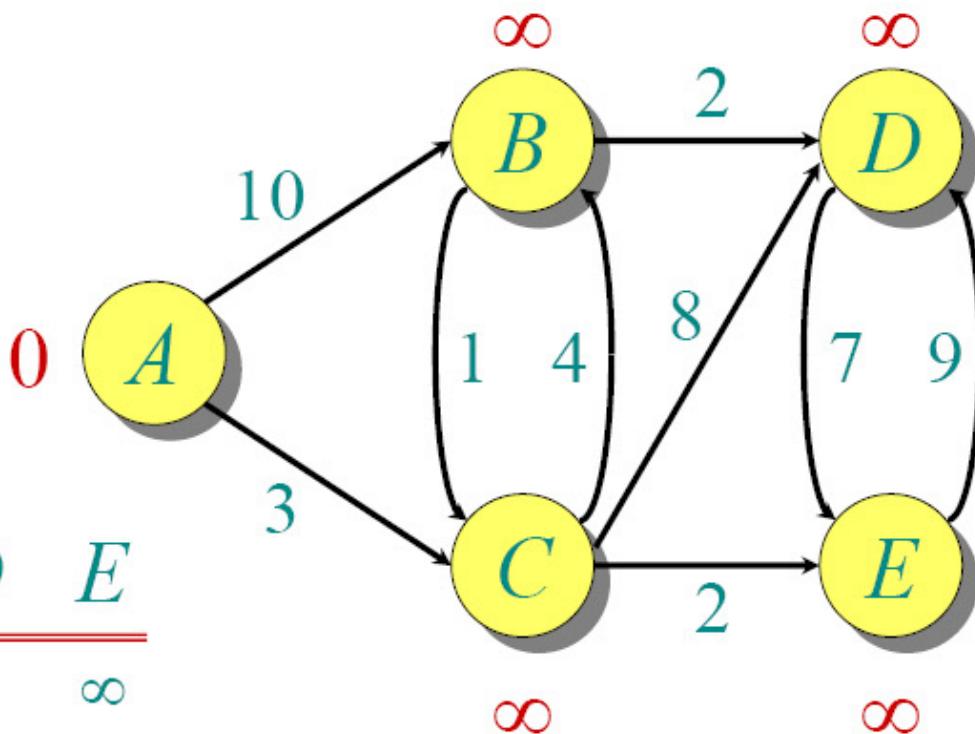
---

- Dijkstra's algorithm: solution to the single source shortest path problem
  - Works for directed and undirected graphs
  - All edges must have nonnegative weights
  - Graphs must be connected
- Calculate the shortest path between one node (you pick which one) and every other node in the graph

# Dijkstra Animated Example

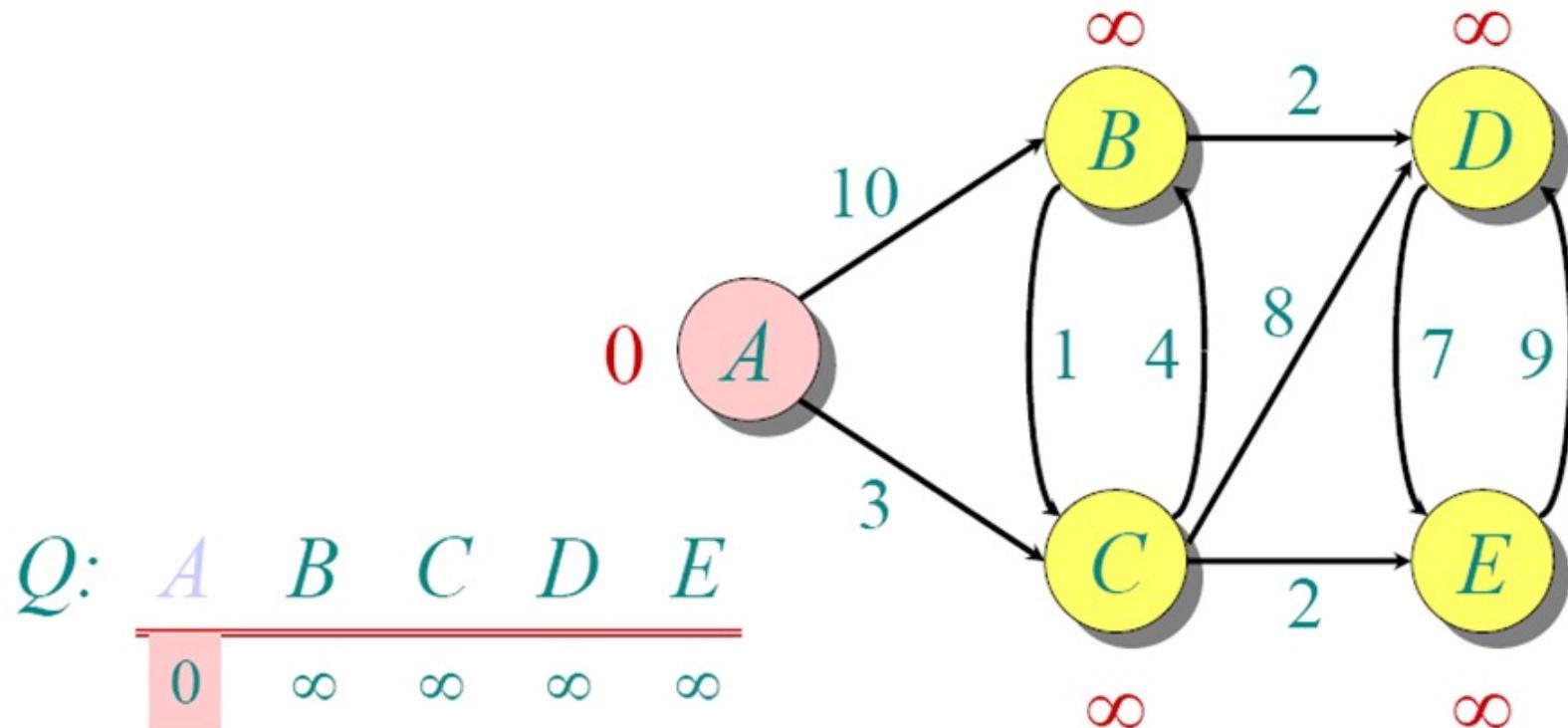
Initialize:

$Q:$	$A$	$B$	$C$	$D$	$E$
	0	$\infty$	$\infty$	$\infty$	$\infty$

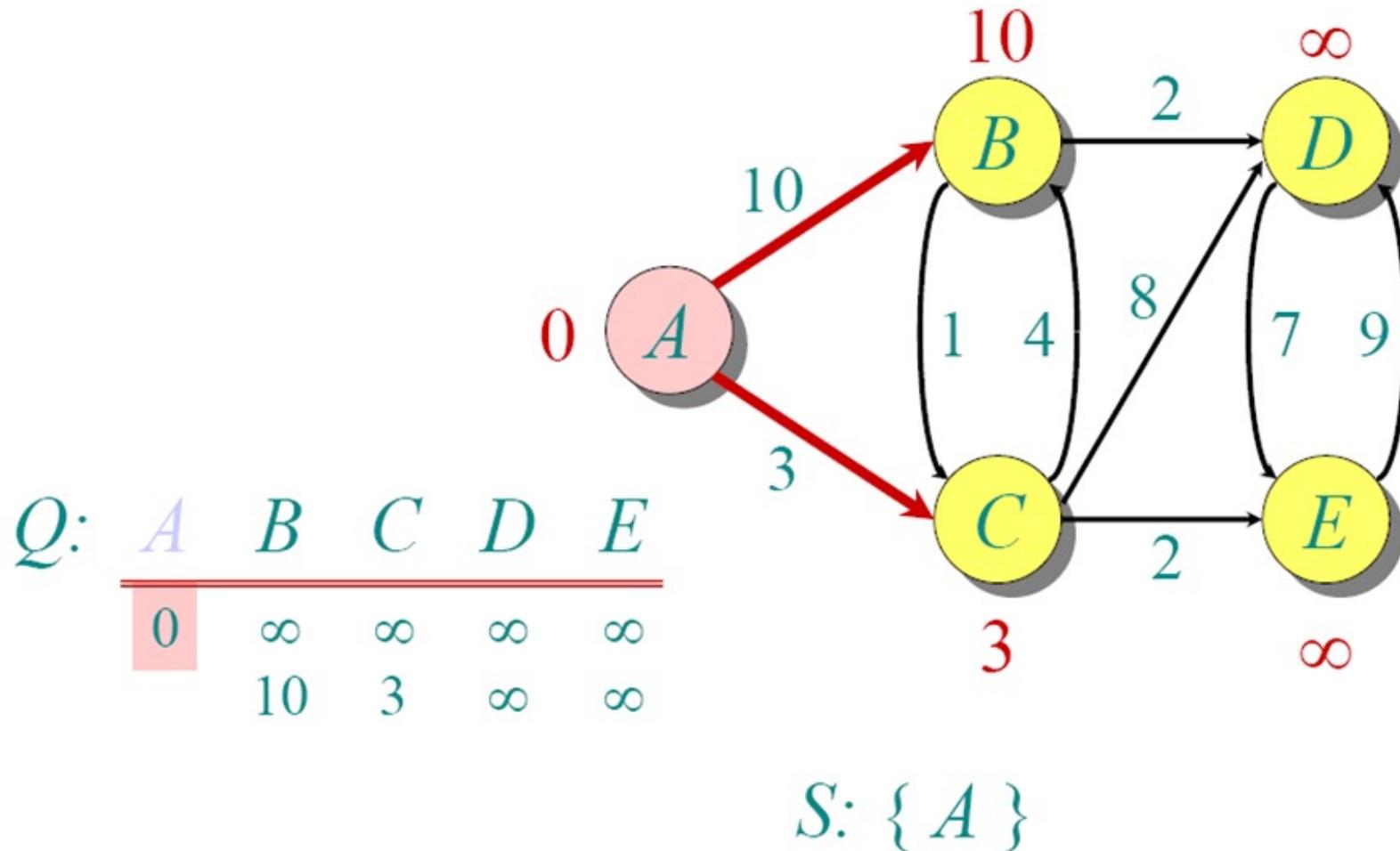


$S: \{\}$

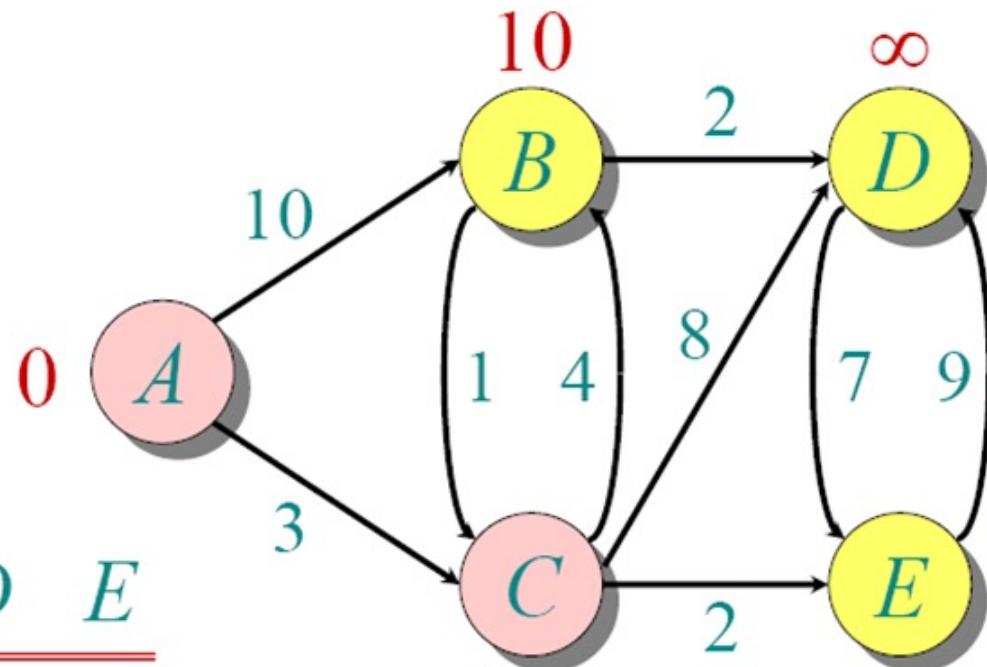
# Dijkstra Animated Example



# Dijkstra Animated Example



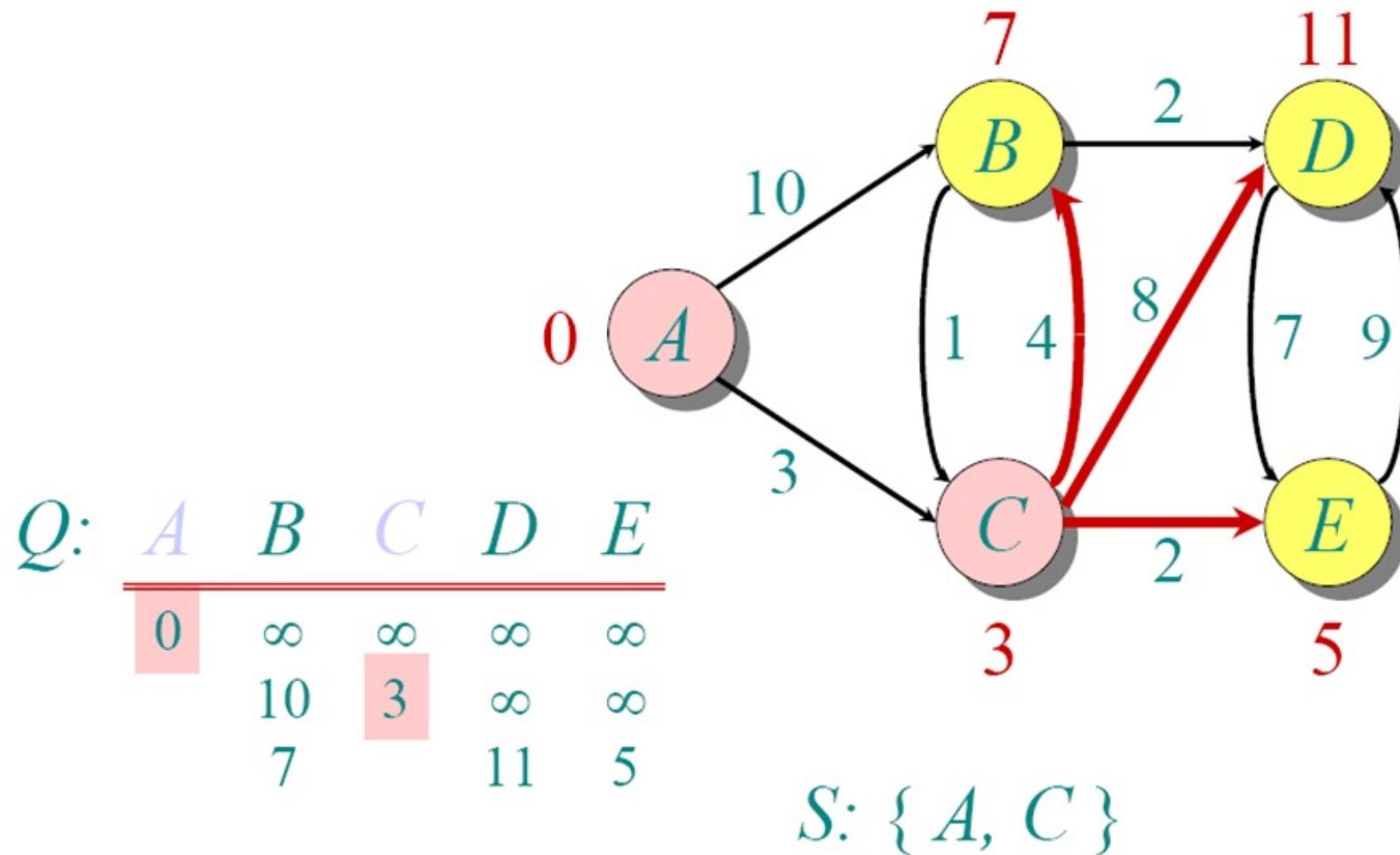
# Dijkstra Animated Example



$Q:$	$A$	$B$	$C$	$D$	$E$
	0	$\infty$	$\infty$	$\infty$	$\infty$

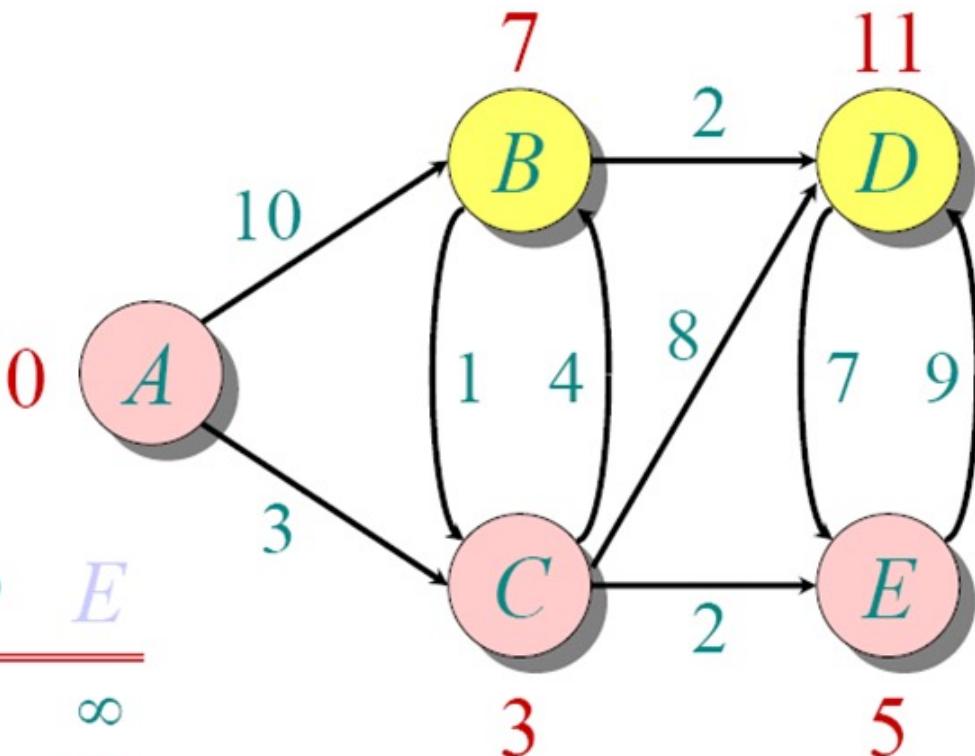
$S: \{ A, C \}$

# Dijkstra Animated Example



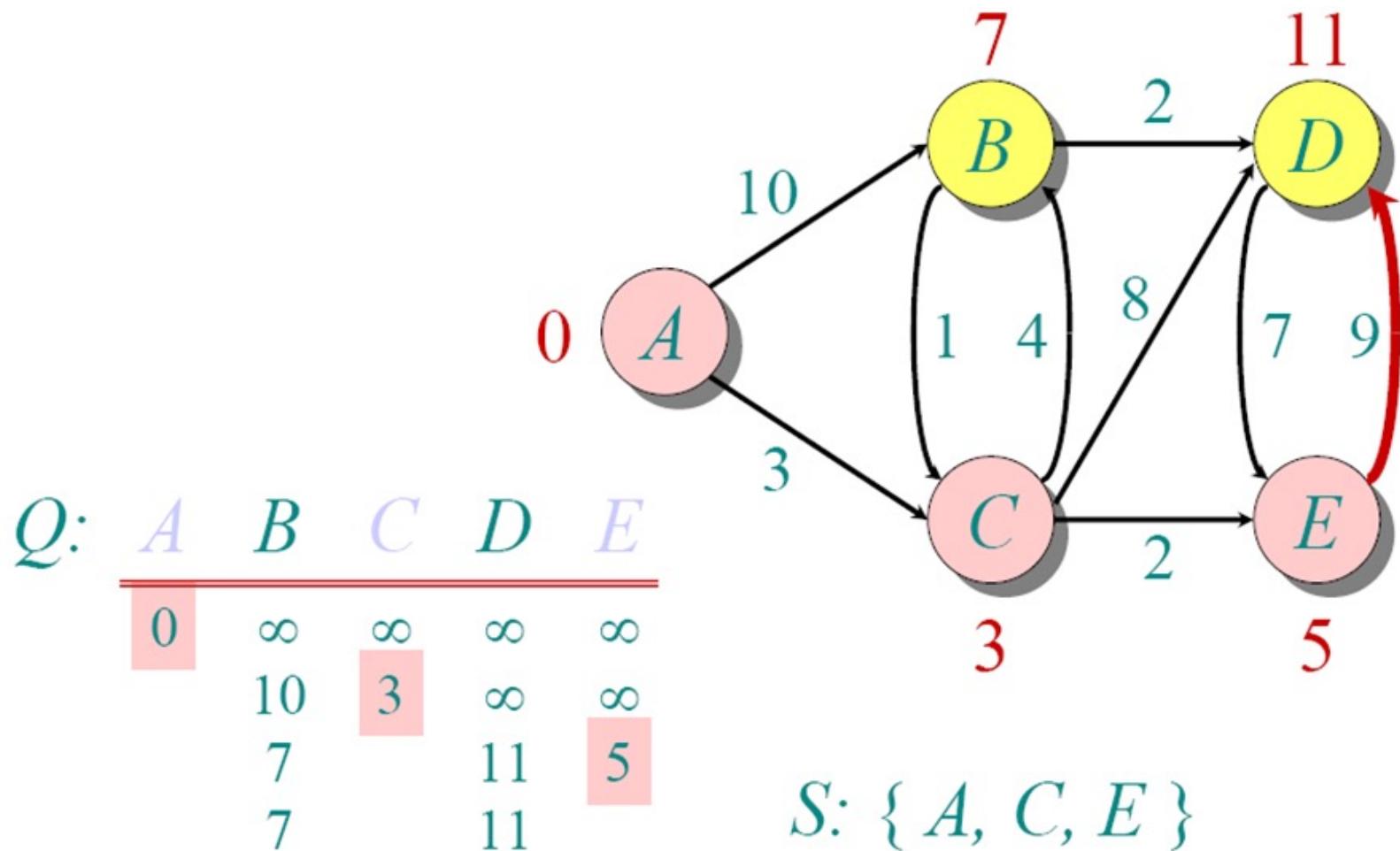
# Dijkstra Animated Example

$Q:$	$A$	$B$	$C$	$D$	$E$
	0	$\infty$	$\infty$	$\infty$	$\infty$
	10	3	$\infty$	$\infty$	
	7		11	5	

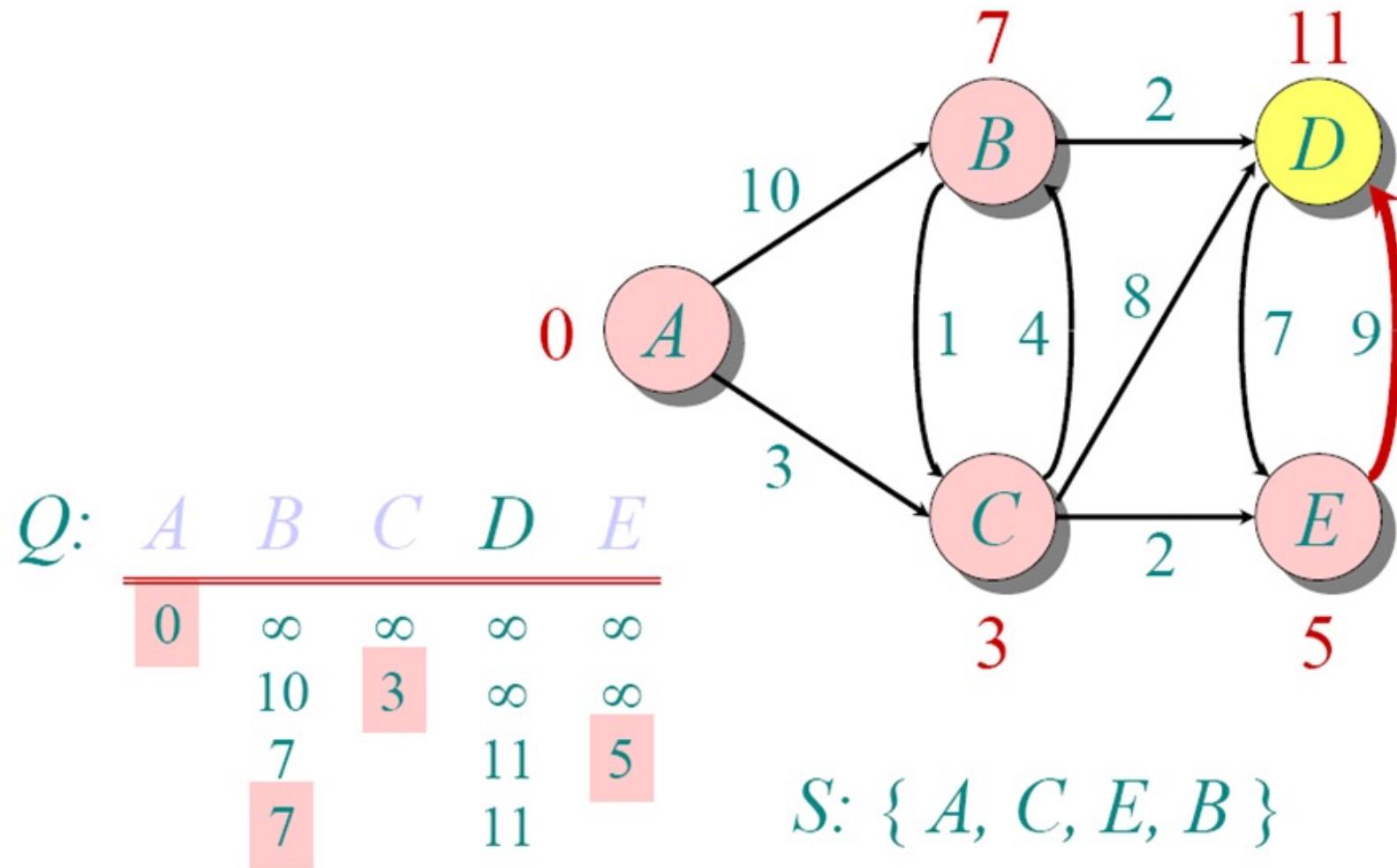


$S: \{ A, C, E \}$

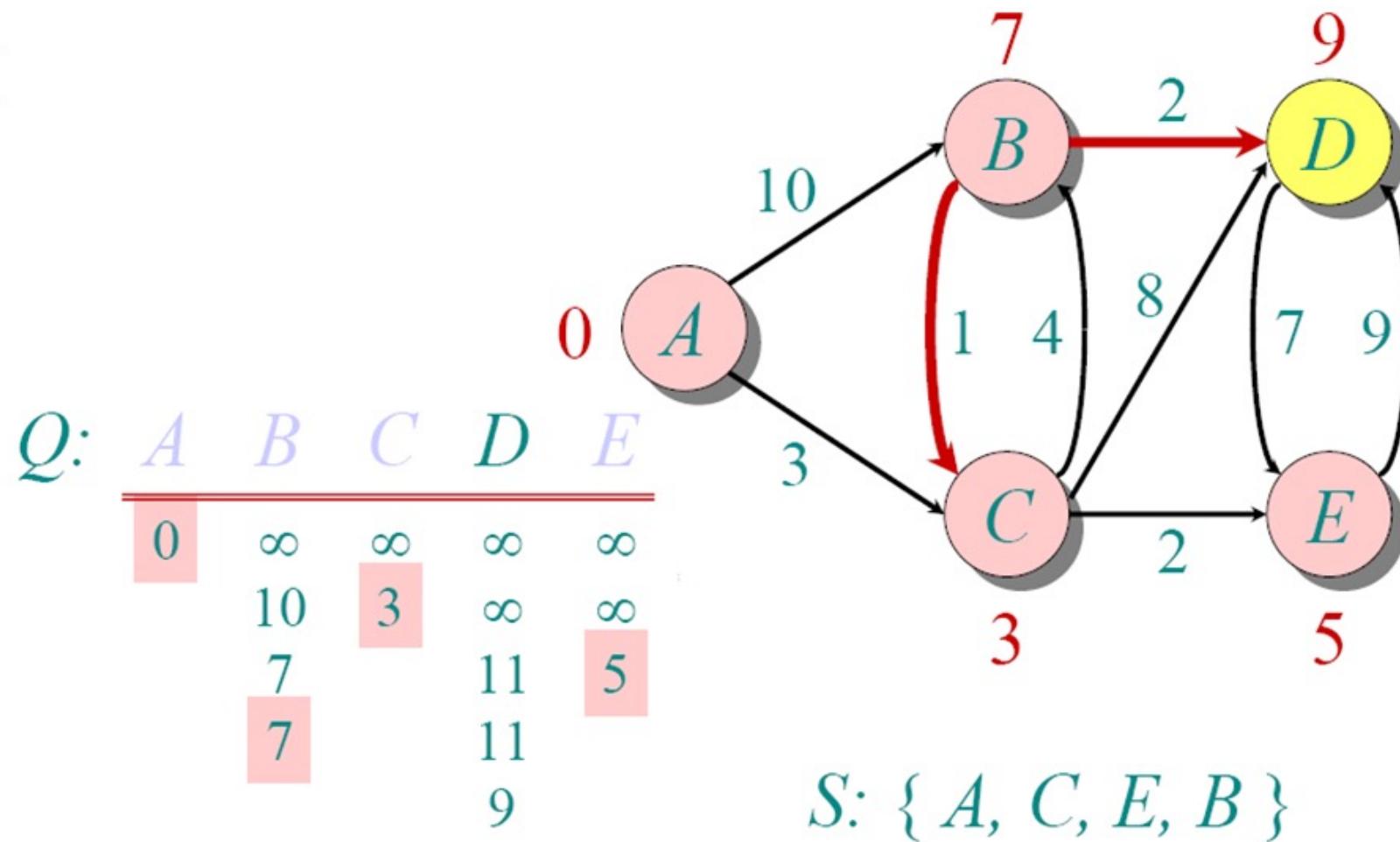
# Dijkstra Animated Example



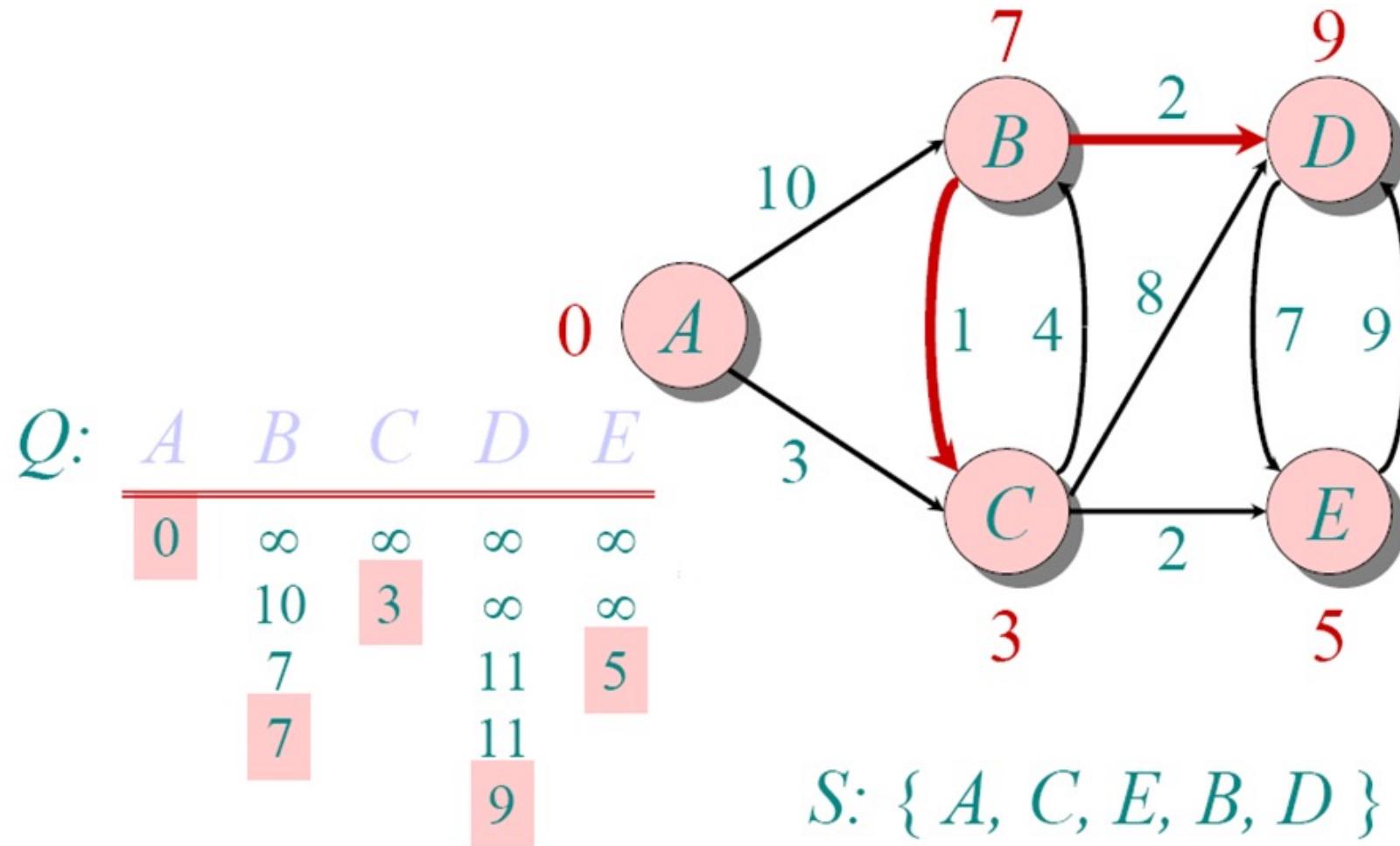
# Dijkstra Animated Example



# Dijkstra Animated Example



# Dijkstra Animated Example



# Pseudocode

```
dist[s] ← 0
for all  $v \in V - \{s\}$ 
    do dist[v] ←  $\infty$ 
S ←  $\emptyset$ 
Q ← V
while Q ≠  $\emptyset$ 
do u ← mindistance(Q, dist)
    S ← S ∪ {u}
    for all  $v \in \text{neighbors}[u]$ 
        do if dist[v] > dist[u] + w(u, v)
            then d[v] ← d[u] + w(u, v)
return dist
```

(distance to source vertex is zero)

(set all other distances to infinity)

( $S$ , the set of visited vertices is initially empty)

( $Q$ , the queue initially contains all vertices)

(while the queue is not empty)

(select the element of  $Q$  with the min. distance)

(add  $u$  to list of visited vertices)

(if new shortest path found)

(set new value of shortest path)

(if desired, add traceback code)