

# Evaluation of the USGS 3D Seismic Model of the San Francisco Bay Area from Moderate Earthquake Waveform Modeling

Arthur Rodgers<sup>1,2</sup>, Albert Kottke<sup>3</sup> and Norman Abrahamson<sup>4</sup>

<sup>1</sup>*Lawrence Livermore National Laboratory, Livermore, CA*

<sup>2</sup>*University of California, Berkeley Seismology Laboratory, Berkeley, CA*

<sup>3</sup>*Pacific Gas and Electric, San Francisco, CA*

<sup>3</sup>*University of California, Berkeley, Dept. of Civil & Environmental Engineering*

USGS San Francisco Bay Area

Seismic Velocity Models for Seismic Hazard

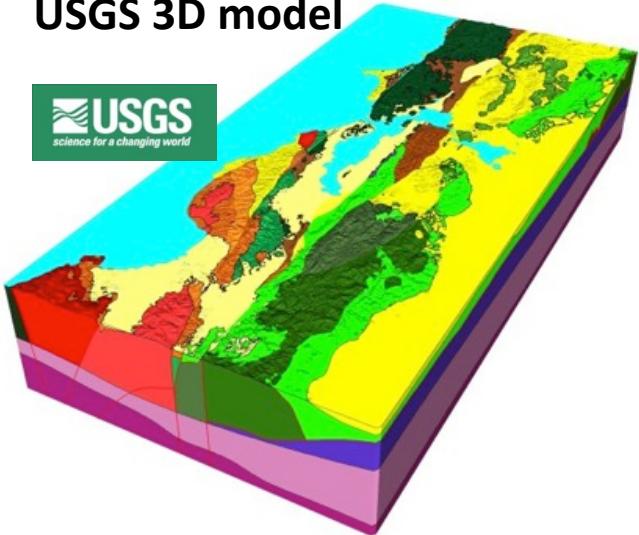
May 16, 2019

This work was supported by  
Pacific Gas & Electric Corporation



**PG&E Corporation**

## USGS 3D model

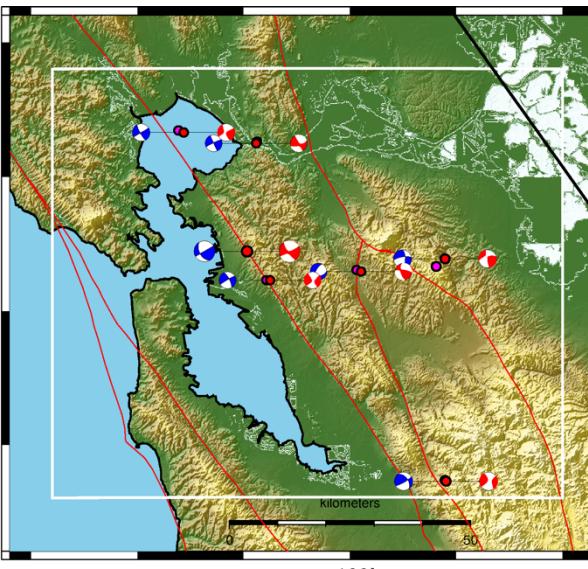


Moderate earthquakes

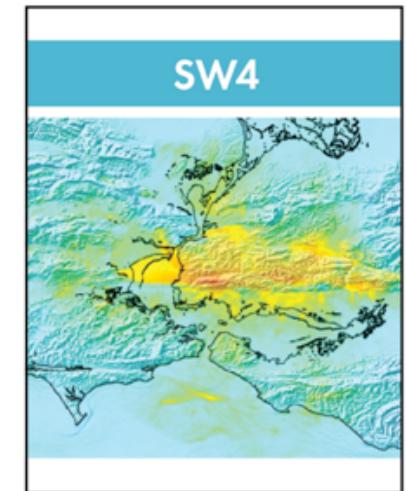
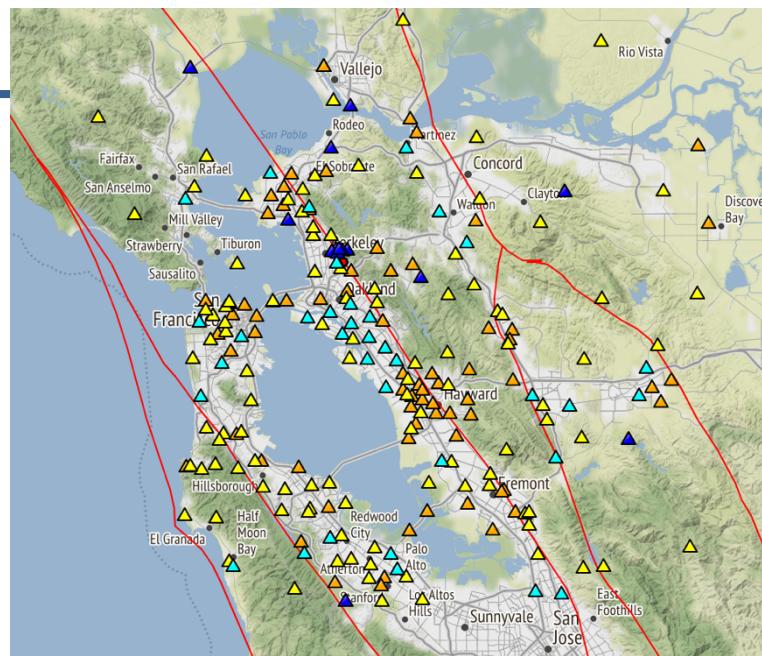
Source parameters:

NCSS & DD locations

BSL MT's & NCSN FPFIT



# Outline



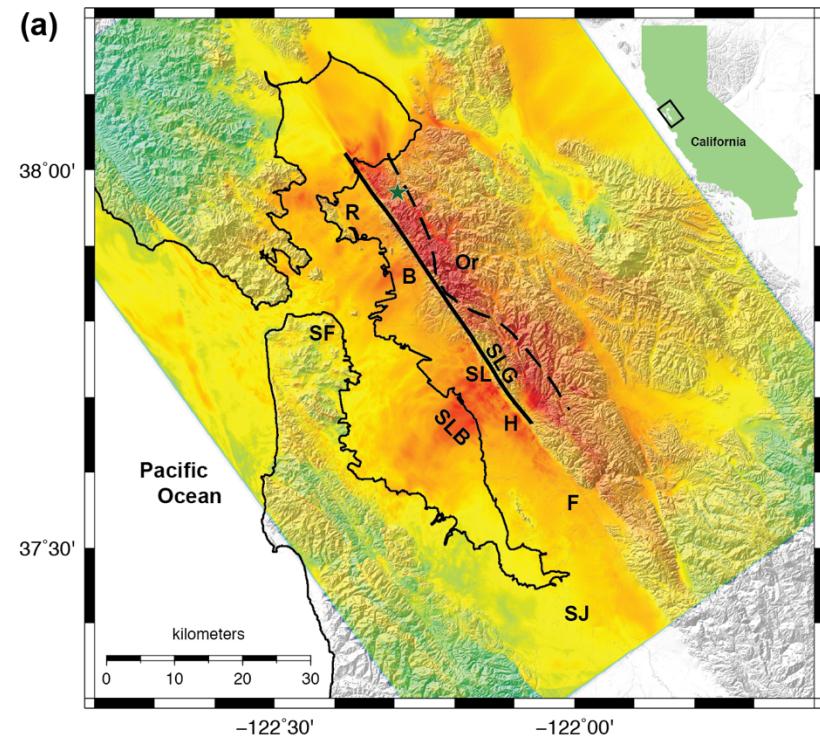
Waveforms from NCEDC  
BK (UC Berkeley)  
NC (USGS Menlo Park)  
NP (ANSS/USGS Golden)  
CE (CSMIP/CGS)

Simulate waveforms  
with SW4

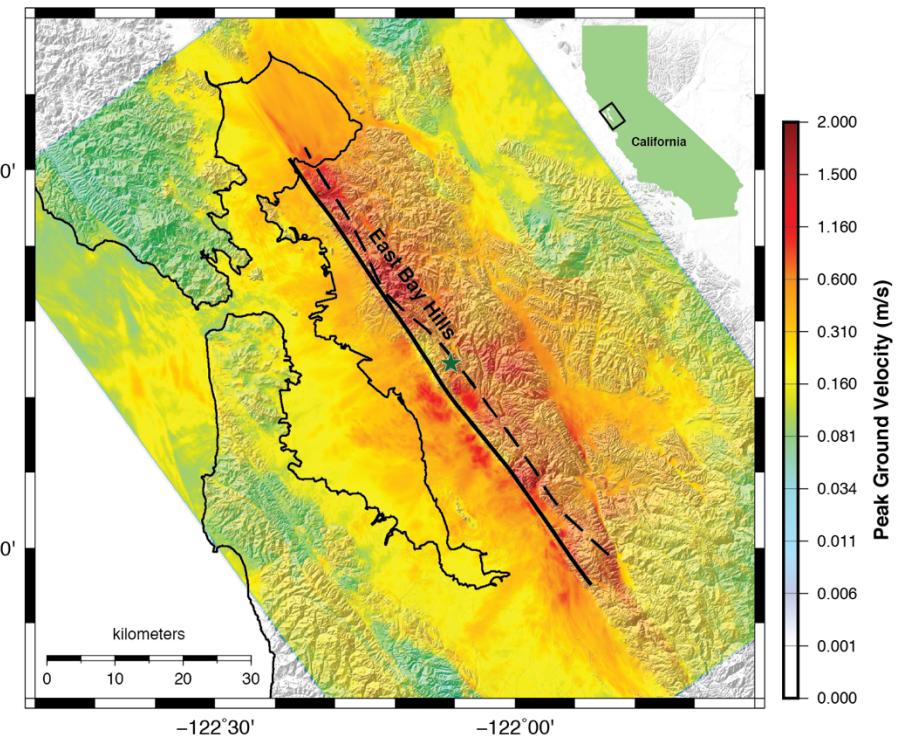


# 3D Hayward Fault M<sub>W</sub> 7.0 ground motions (to 4-5 Hz) with USGS 3D model show strong asymmetry

(a)



(b)



Fault length = 50 km

Resolved frequencies: 0 – 4.2 Hz

Rodgers et al. (GRL, 2018)

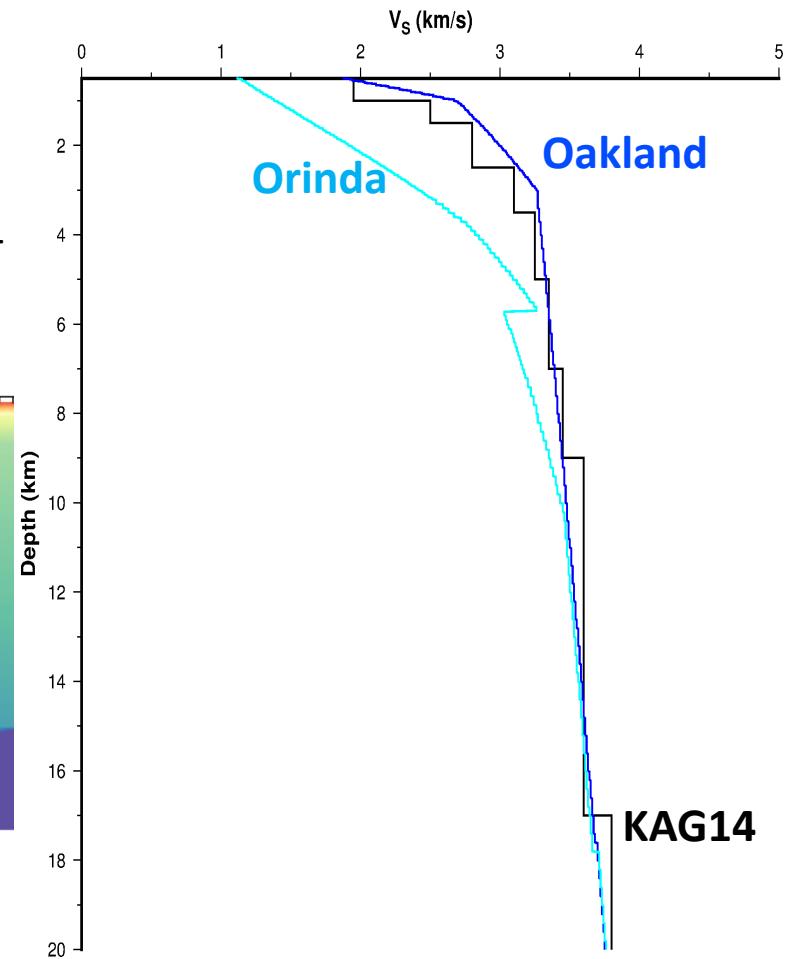
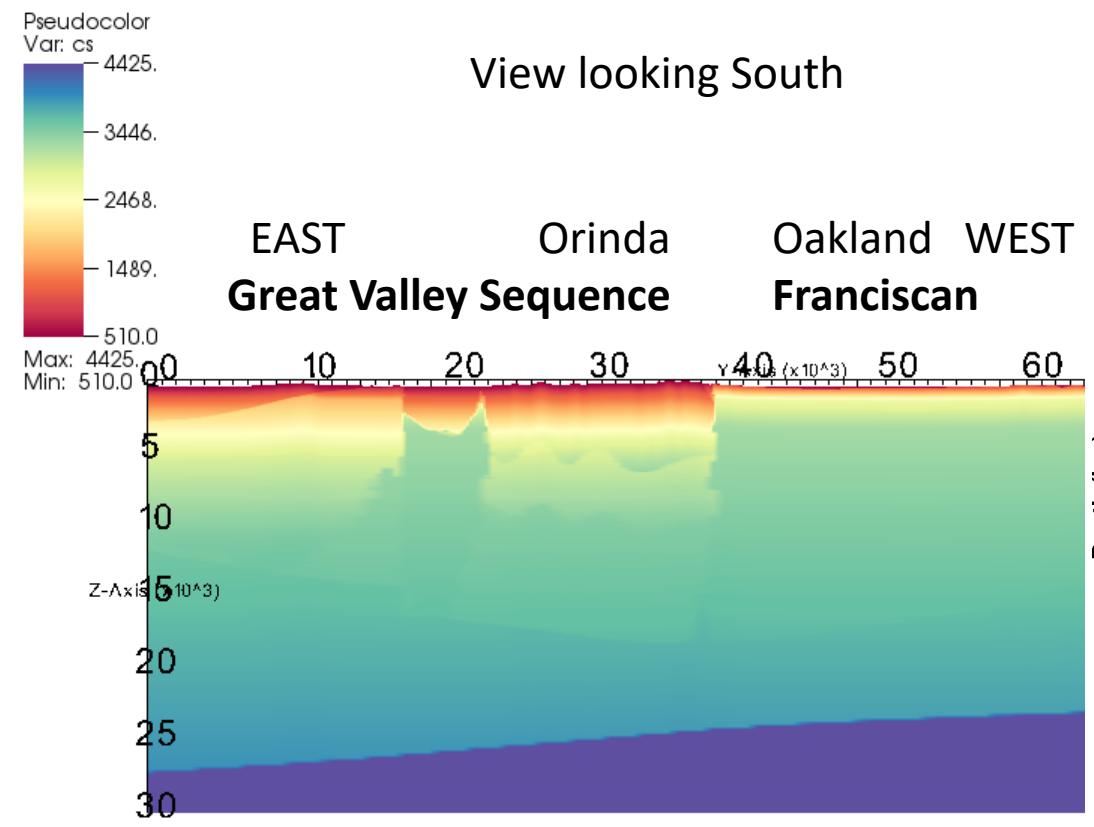
Fault length = 77 km

Resolved frequencies: 0 – 5.0 Hz

Rodgers et al. (SRL, 2019)

The effect of fault dip and minimum shear wavespeed near HF (BSSA, 2019)

# Shear wavespeed across the northern Hayward Fault: cross-section and profiles



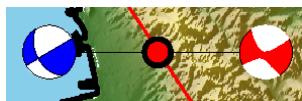
Differences of  $V_s$  on either side of the Hayward Fault persists to  $\sim 10$  km

# We started with seven (7) selected events 2016-present, $M_w$ 3.5-4.4

## Event locations:

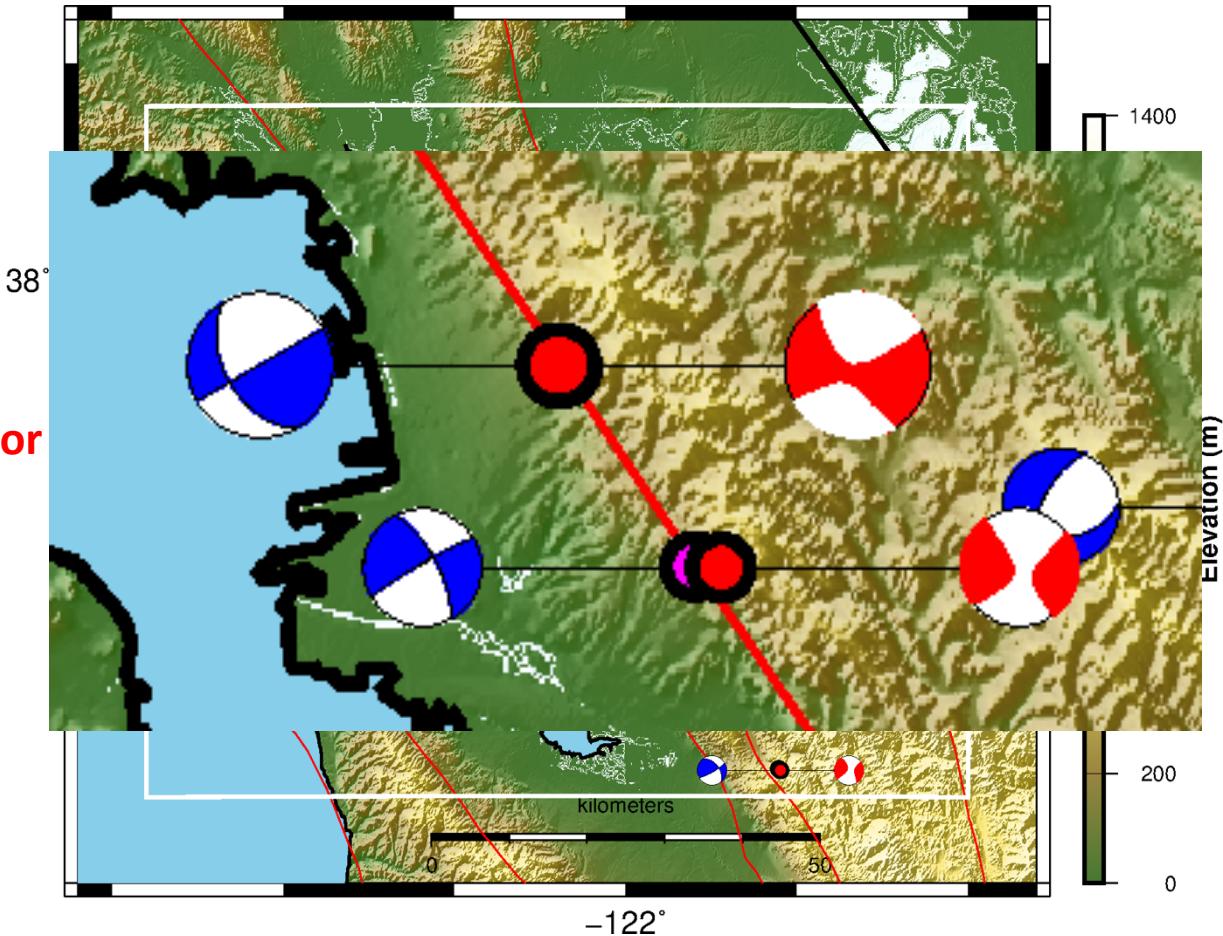
NCSS (routine) ●

DD (relative) ●



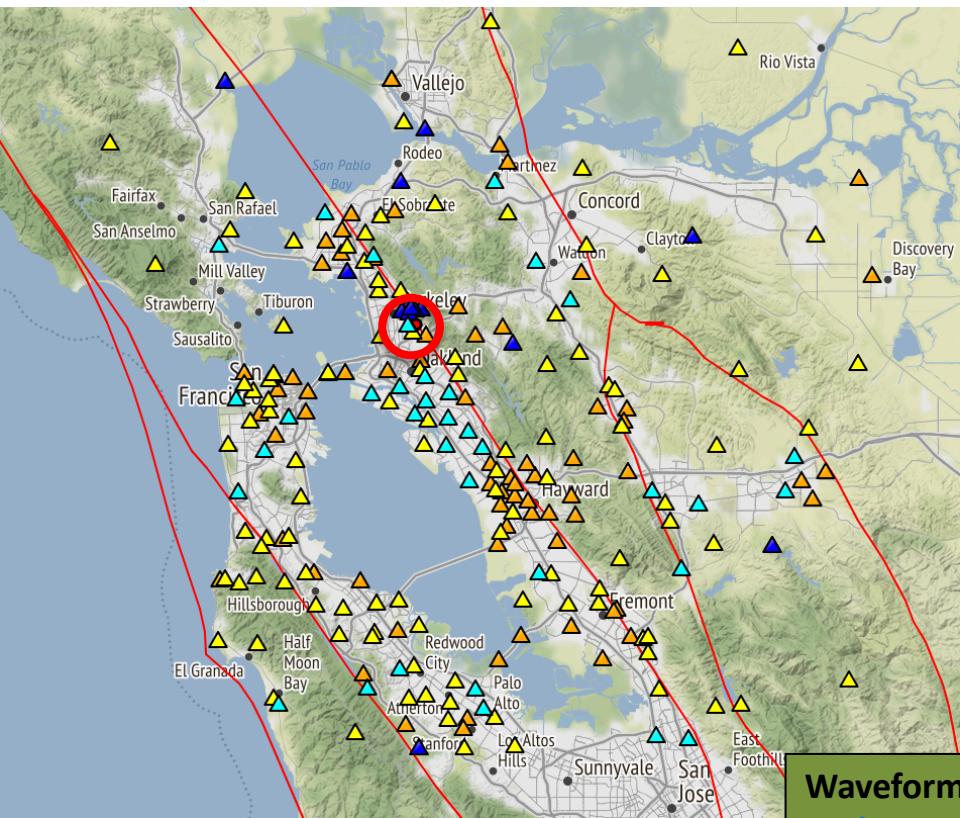
DC = First-motion  
focal mechanism      MT = BSL  
moment tensor

Recent improvements in network operations have increased available data from moderate events

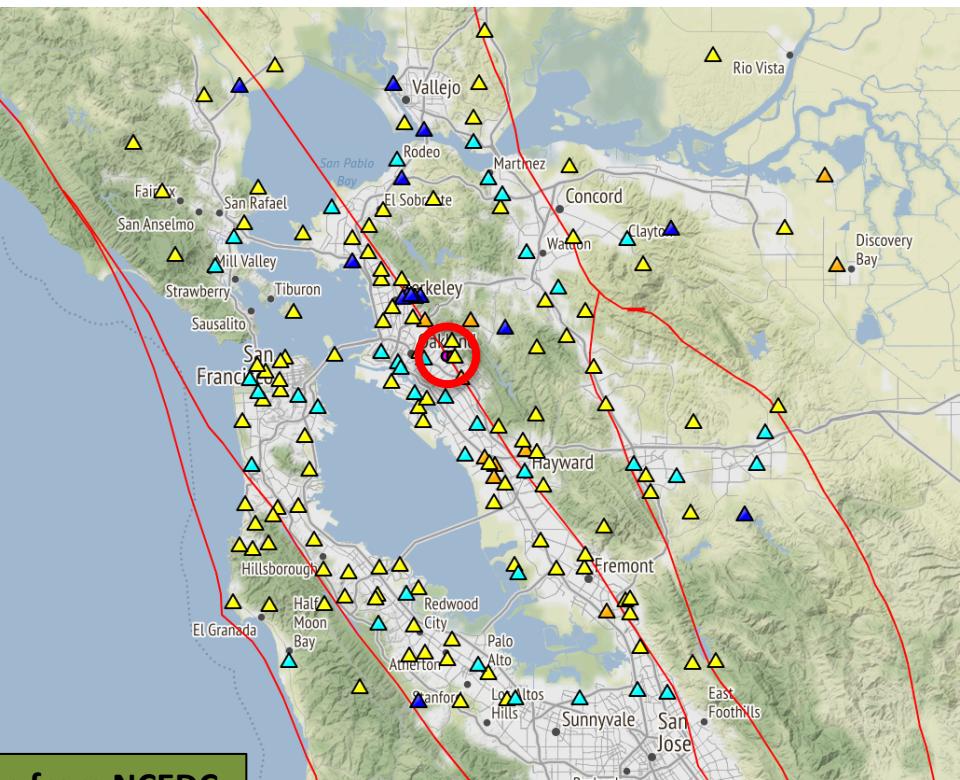


# Here, we consider just two events on the Hayward Fault (Berkeley and Piedmont)

2018/01/04 Berkeley ( $M_w$  4.36,  $z=12.1$  km)

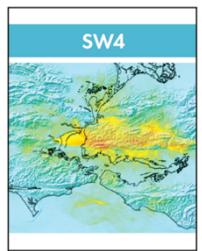


2016/09/13 Piedmont ( $M_w$  3.54,  $z=3.8$  km)



Many CGS/CSMIP stations report!

Waveforms from NCEDC  
BK (UC Berkeley)  
NC (USGS Menlo Park)  
NP (ANSS/USGS Golden)  
CE (CSMIP/CGS)



# SW4 3D finite difference simulations

- Domain:
  - 50 x 50 x 30 km, small area, centered on event
- Source parameters:
  - Double difference location and origin time
  - Focal mechanism:
    - MT = BSL (full) moment tensor; or
    - DC = USGS FPFIT double couple focal mechanism
  - Source corner frequency,  $f_c$ , following Brune (1970) model
- Earth models:
  - 1DFLAT = Kamai et al. (2014) average 1D model for SFBA, no topography
    - $v_{S\min} = 700 \text{ m/s}$
  - 3DTOPO = USGS 3D model with surface topography
    - $v_{S\min} = 500 \text{ m/s}$
- Frequency content: resolve up to 3-4 Hz
  - Compare waveforms below 0.5 Hz

# Waveform processing and comparison

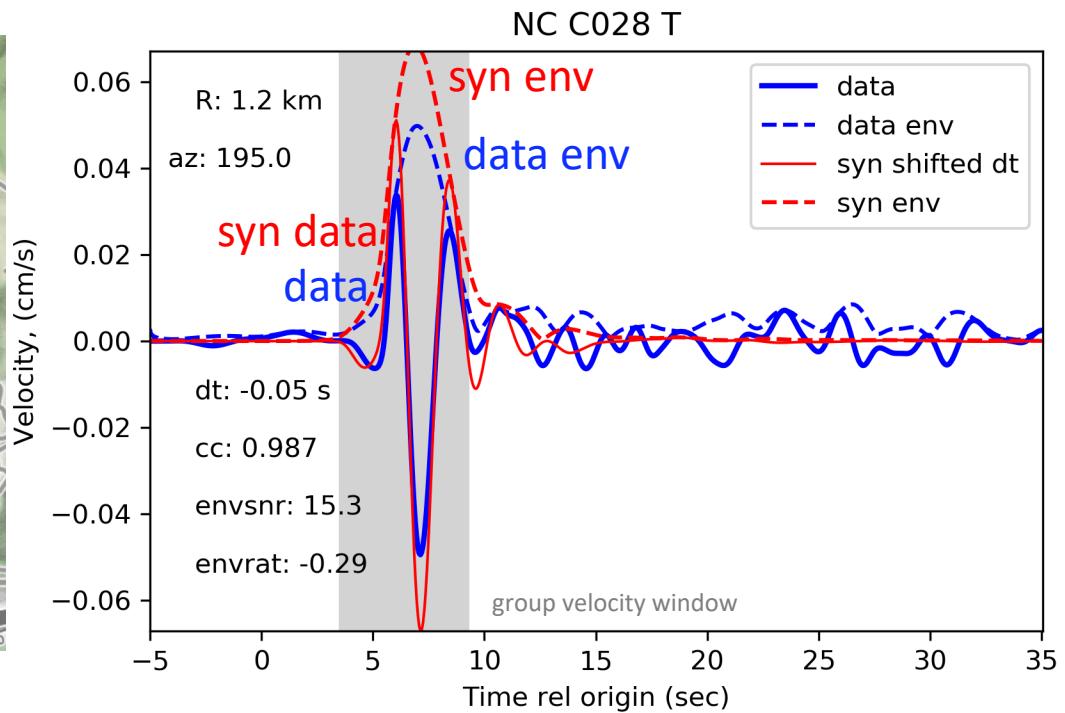
- Observed waveforms instrument corrected to ground velocity
  - Rotate horizontals, work with transverse and radial
- Bandpass filter data and synthetic: 0.1 – 0.5 Hz (10 – 2 seconds)
- Window direct arrivals with group velocities
  - Use rupture distance:  $R_{\text{rup}} = \sqrt{R_{\text{JB}}^2 + Z^2}$
  - Vertical & radial components (P-SV): 6.0 – 2.0 km/s
  - Transverse (SH): 3.5 – 2.0 km/s
  - Minimum window length,  $T_{\text{win}}$ : 5 seconds
- Cross-correlate to align traces within  $\delta T_{\text{win}}$ 
  - $\delta T_{\text{win}}$  depends on distance, allow larger delay time with distance
- Measure
  - dt, delay time – travel time of largest direct waves
  - cc, correlation coeff. – goodness-of-fit, waveform shape (accept cc  $\geq 0.5$ )
  - evnsrn, envelope signal-to-noise ratio (accept envsnr  $\geq 2$ )
  - envrat, natural log envelope ratio – compares amplitudes
  - Peak Ground Velocity (PGV) geometric mean of horizontals

Good fit means: dt near 0, cc near 1.0 and ln(envrat) near 0



# Example waveform comparison

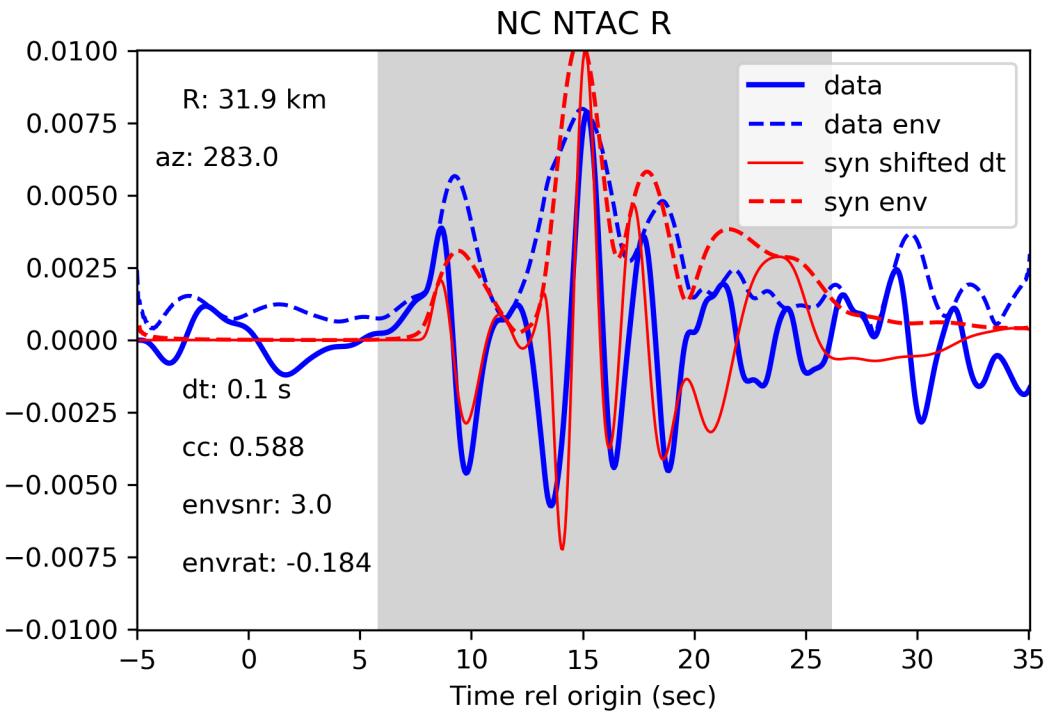
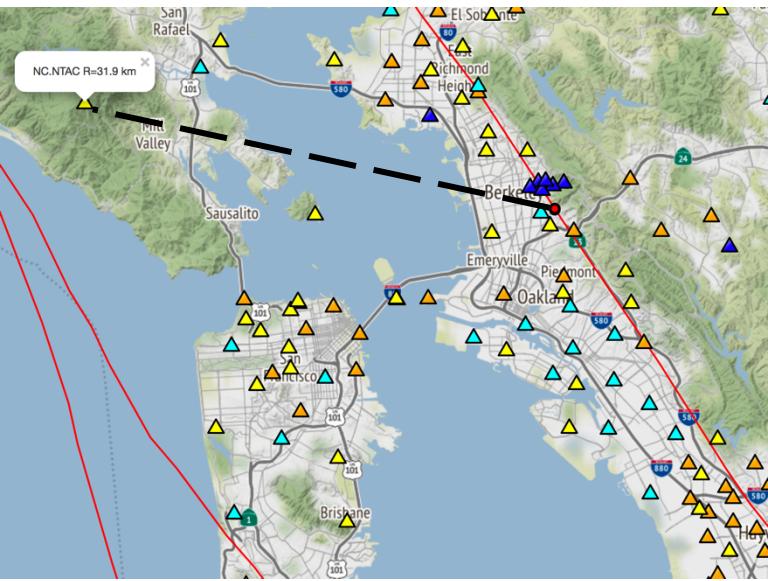
## 2018/01/04 Berkeley NC.C028 (N. Oakland)



High signal-to-noise ratio and high cc at very close station, accept

# Example waveform comparison

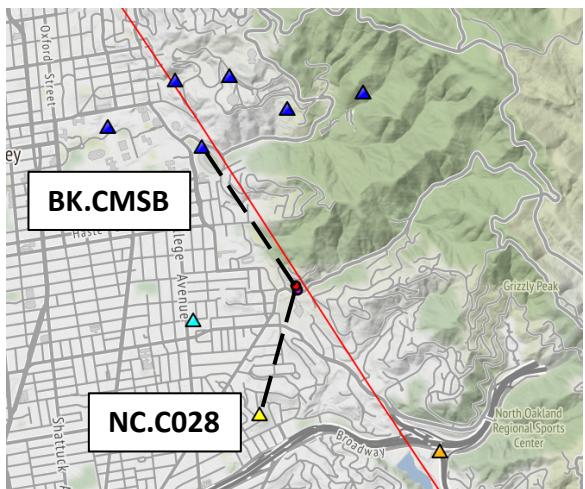
## 2018/01/04 Berkeley at NC.NTAC (Mt Tam Marin)



Lower signal-to-noise ratio (envsnr=3.0), but good fit (cc=0.588), accept

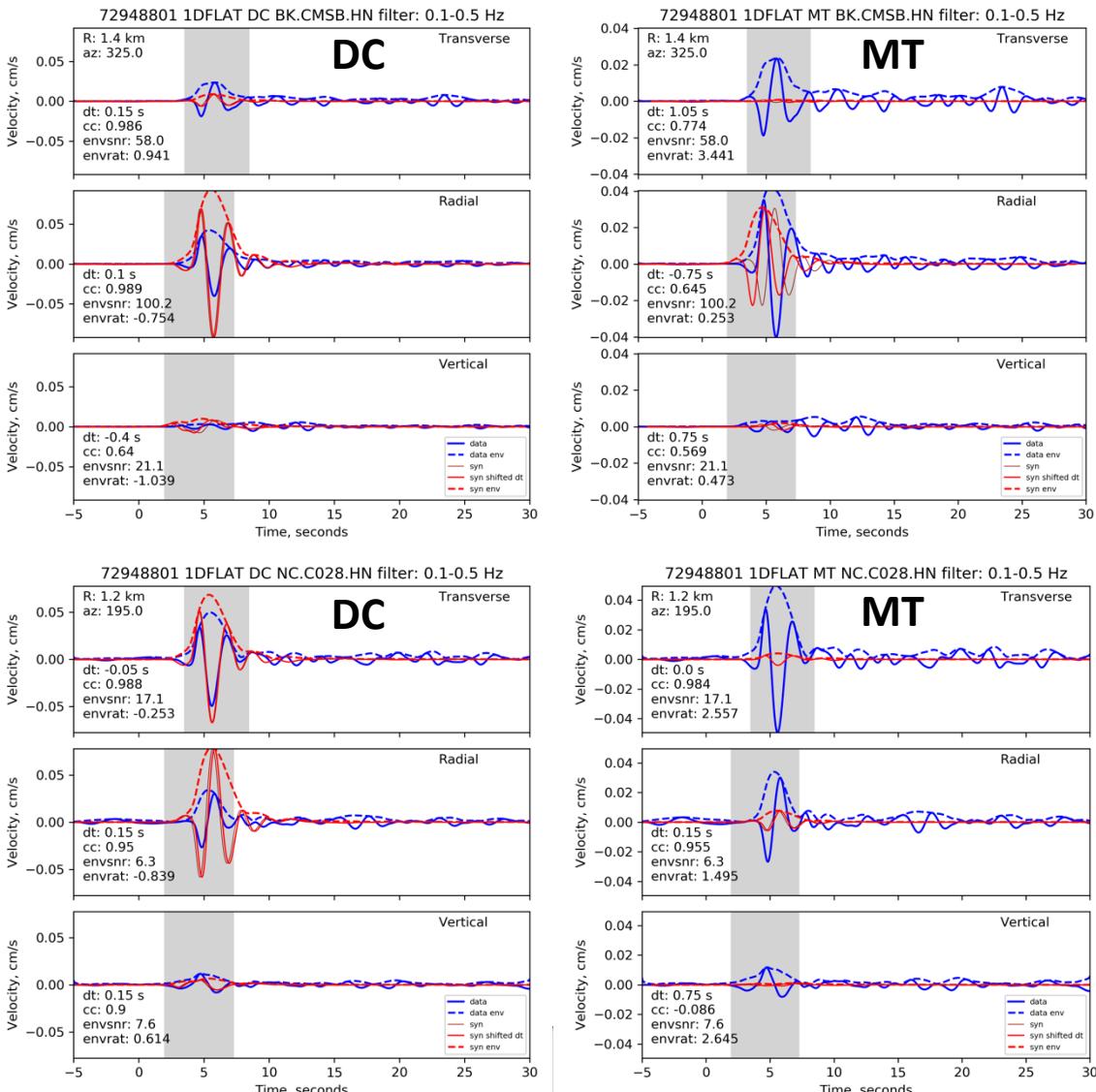
# Source mechanism tested with close stations: DC focal mechanisms give better fit than MT

Berkeley Event (2018/01/04)  
 $M_w$  4.36  
Depth 12.1 km  
Earth model: 1DFLAT



**BK.CMSB**

**NC.C028**



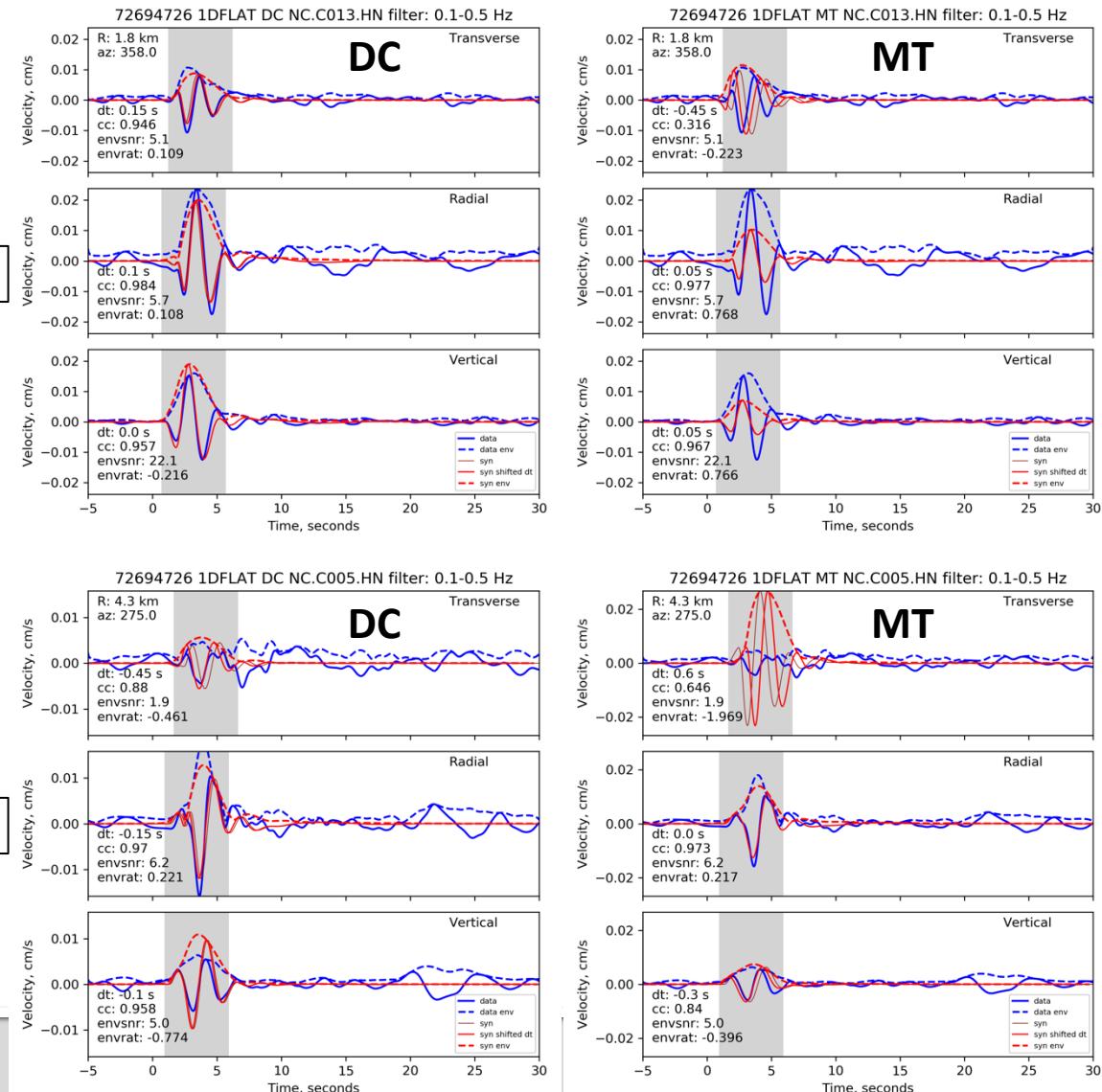
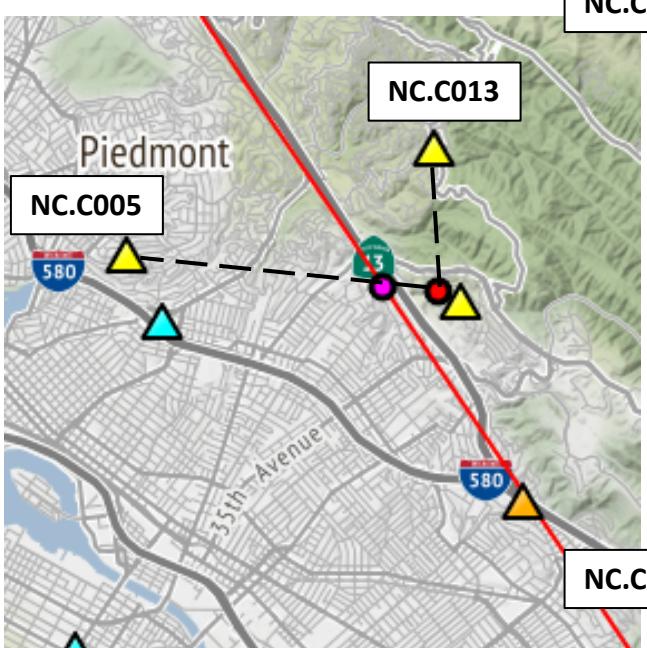
# Source mechanism tested with close stations: DC focal mechanisms give better fit than MT

Piedmont Event (2016/09/13)

$M_w$  3.54

Depth 3.8 km

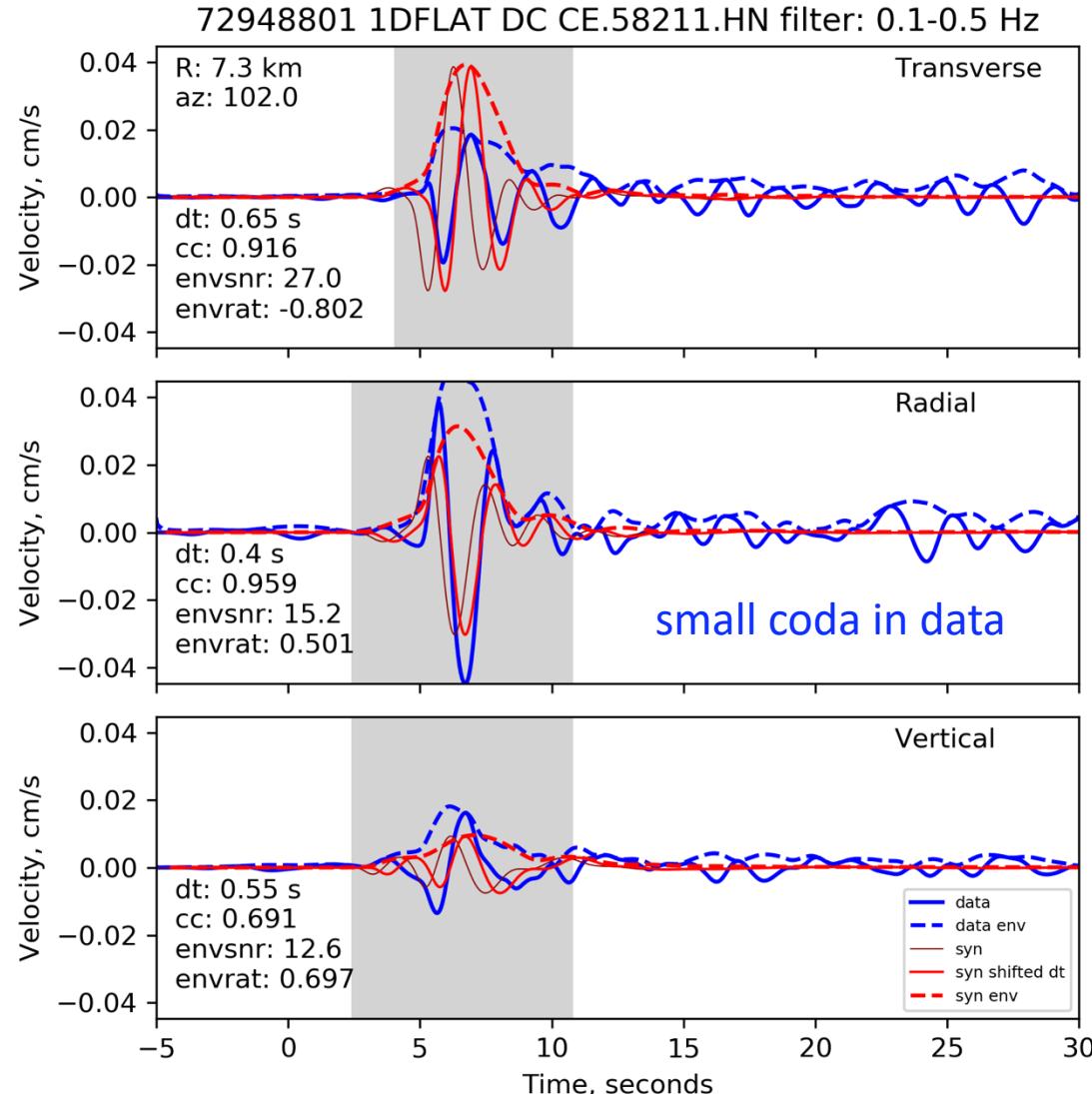
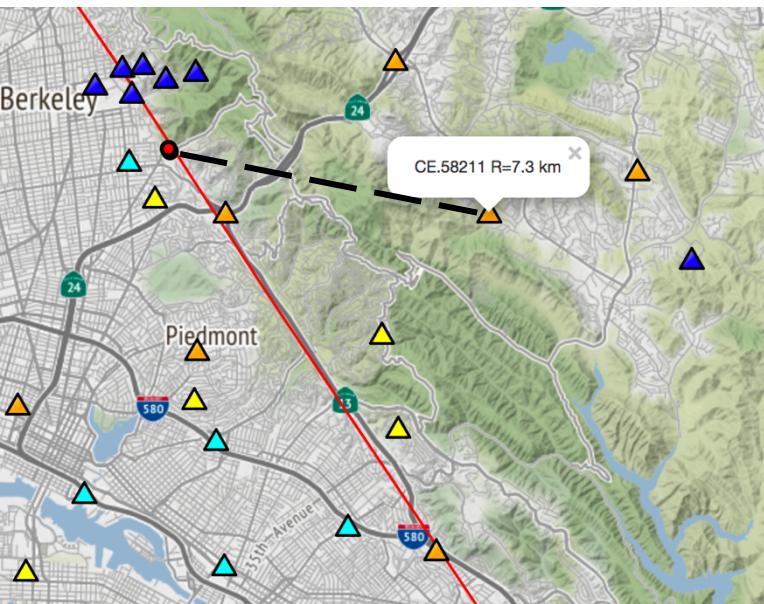
Earth model: 1DFLAT



# Paths sampling Great Valley Sequence

## 2018/01/04 Berkeley at CE.58211 (Orinda)

Earth model: 1DFLAT  
Focal mech: DC



1D model synthetic

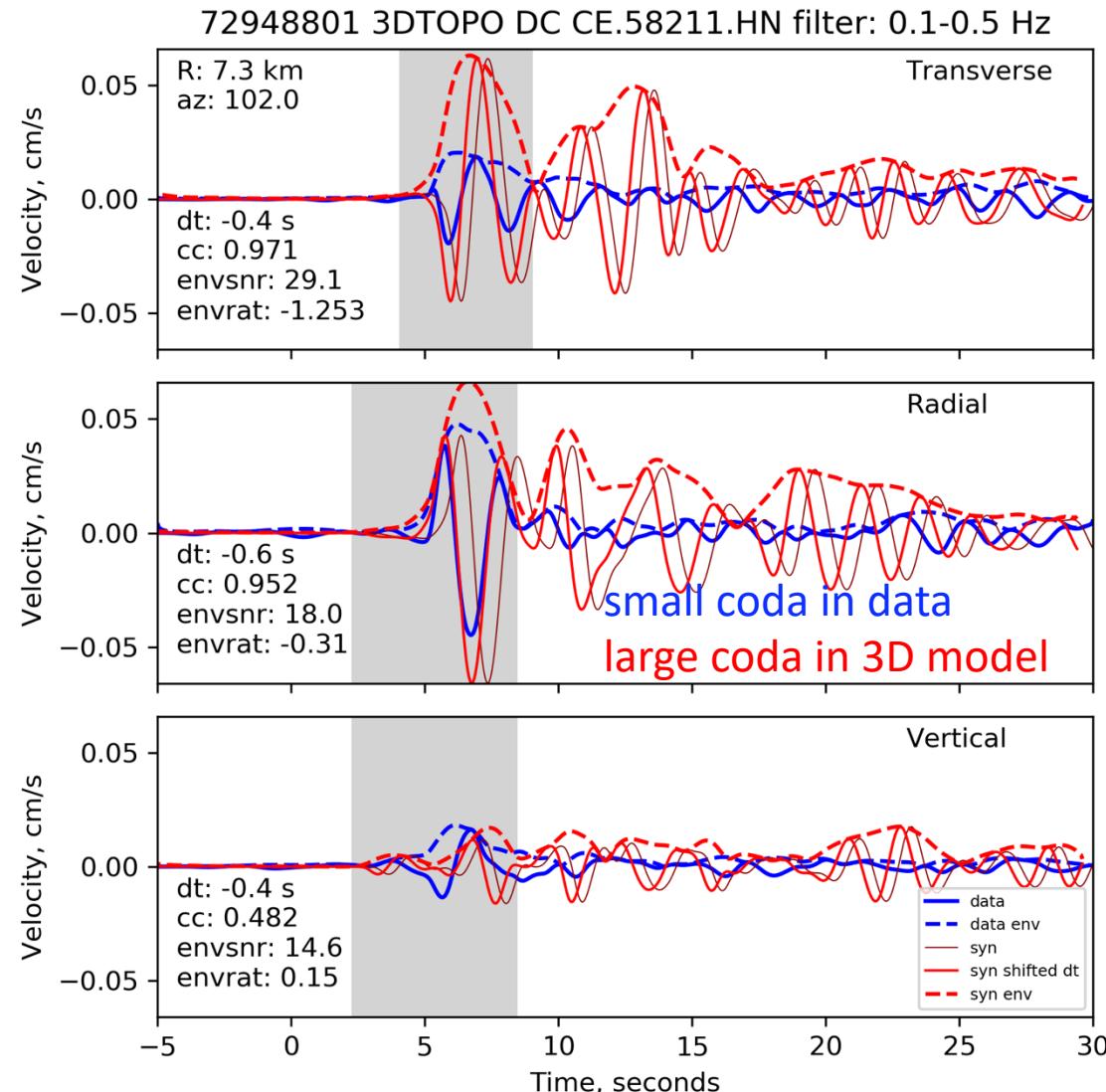
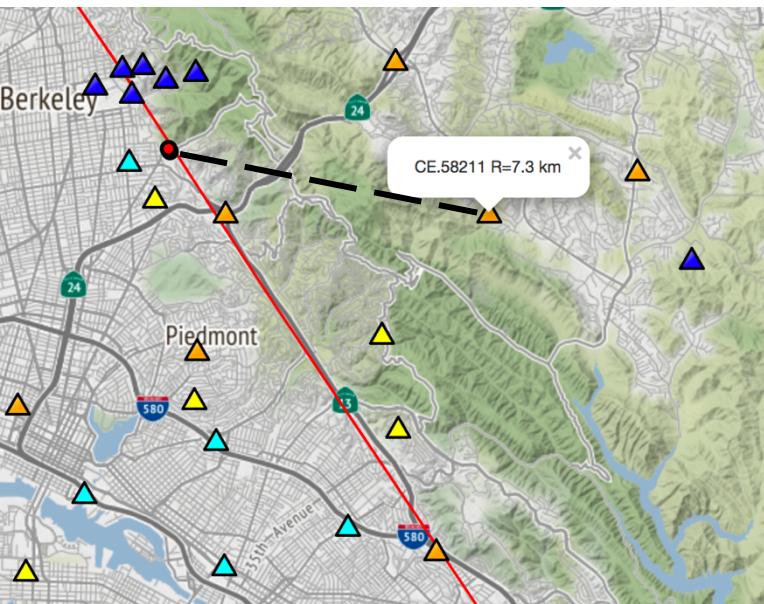
- arrives **early** relative to **data**
- produces no coda



# Paths sampling Great Valley Sequence

## 2018/01/04 Berkeley at CE.58211 (Orinda)

Earth model: 3DTOPO  
Focal mech: DC



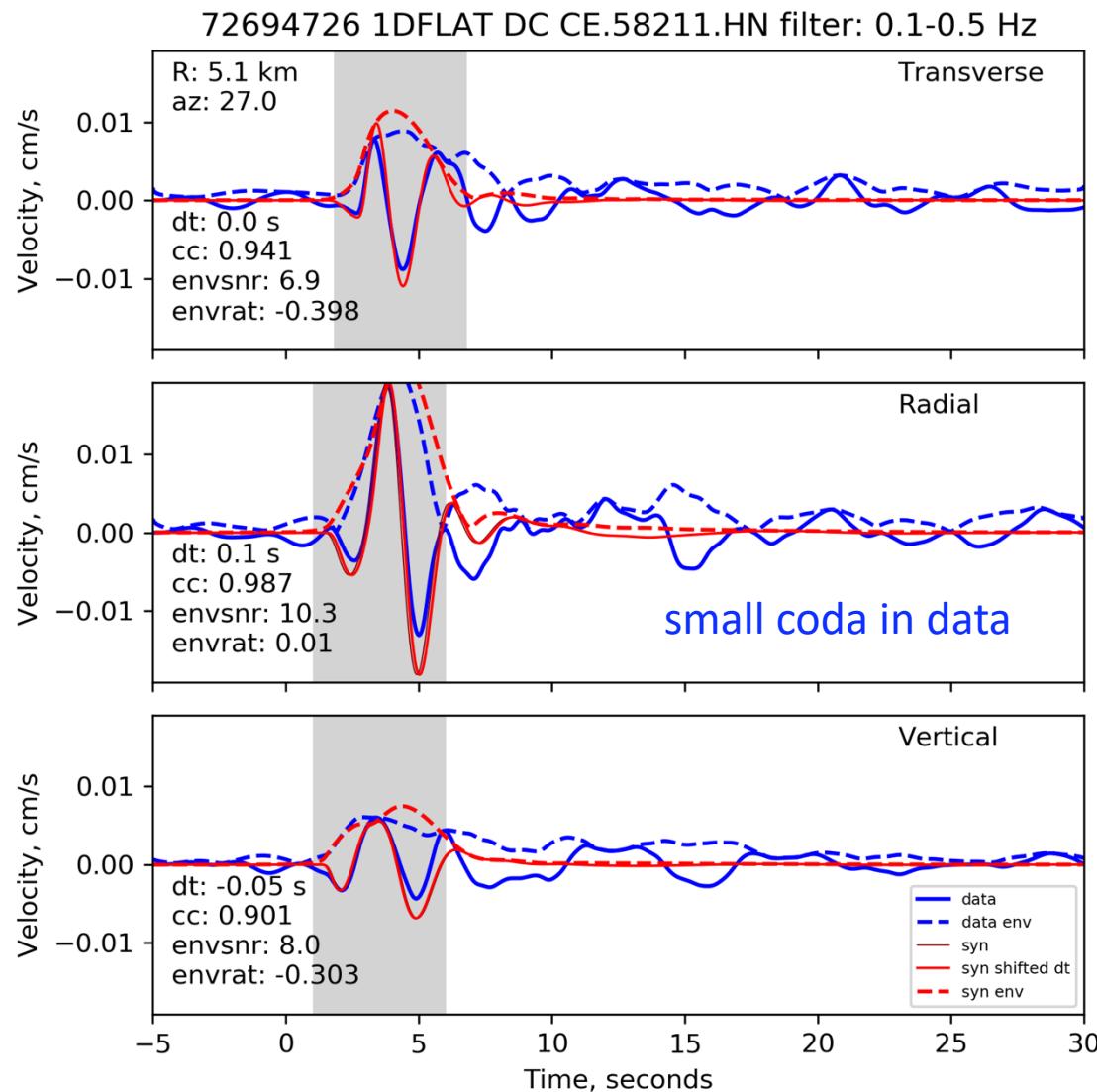
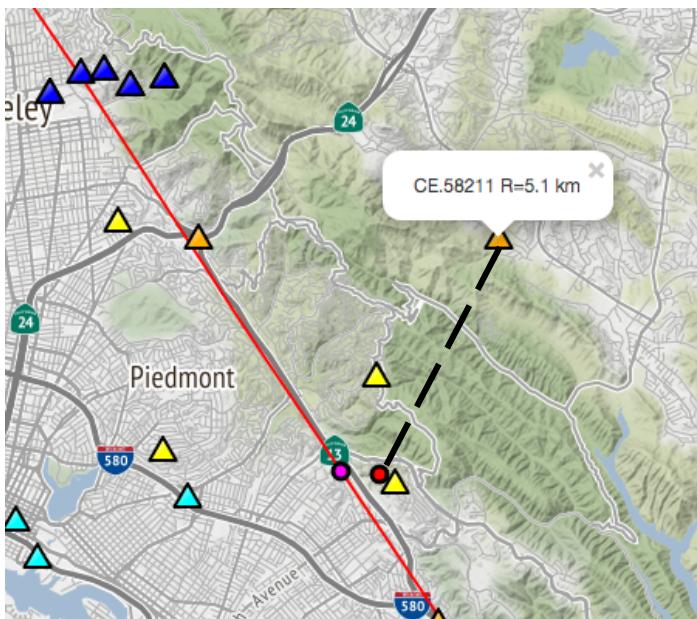
3D model synthetic

- arrives **late** relative to **data**
- produces **large amplitude coda**

# Paths sampling Great Valley Sequence

## 2016/09/13 Piedmont at CE.58211 (Orinda)

Earth model: 1DFLAT  
Focal mech: DC



### 1D model synthetic

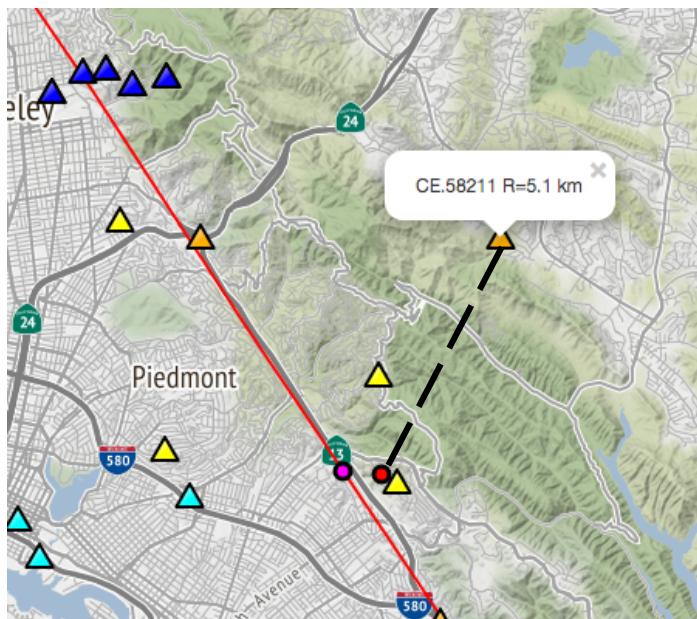
- arrives with data, very small delay
- produces no coda



# Paths sampling Great Valley Sequence

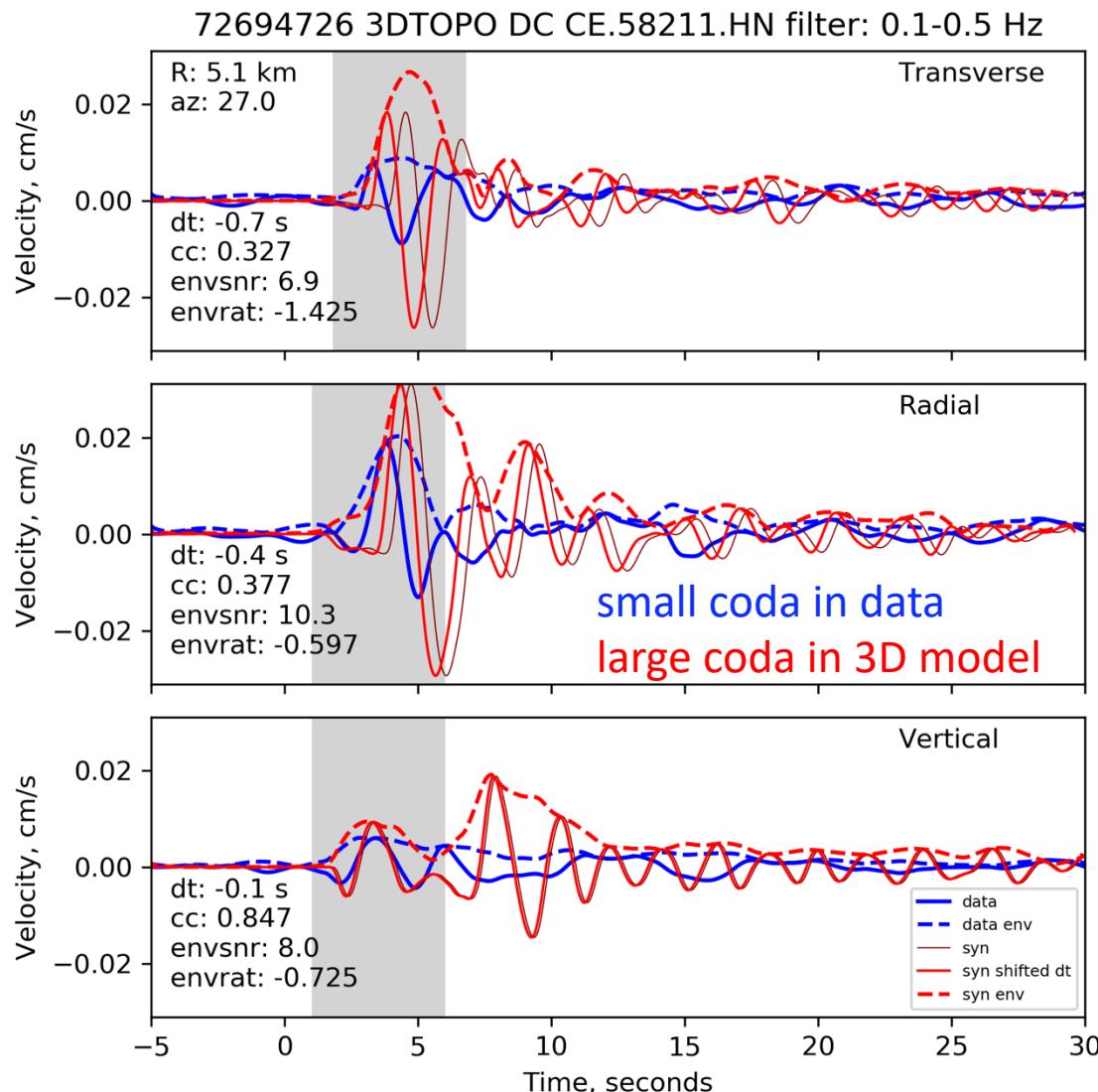
## 2016/09/13 Piedmont at CE.58211 (Orinda)

Earth model: 3DTOPO  
Focal mech: DC



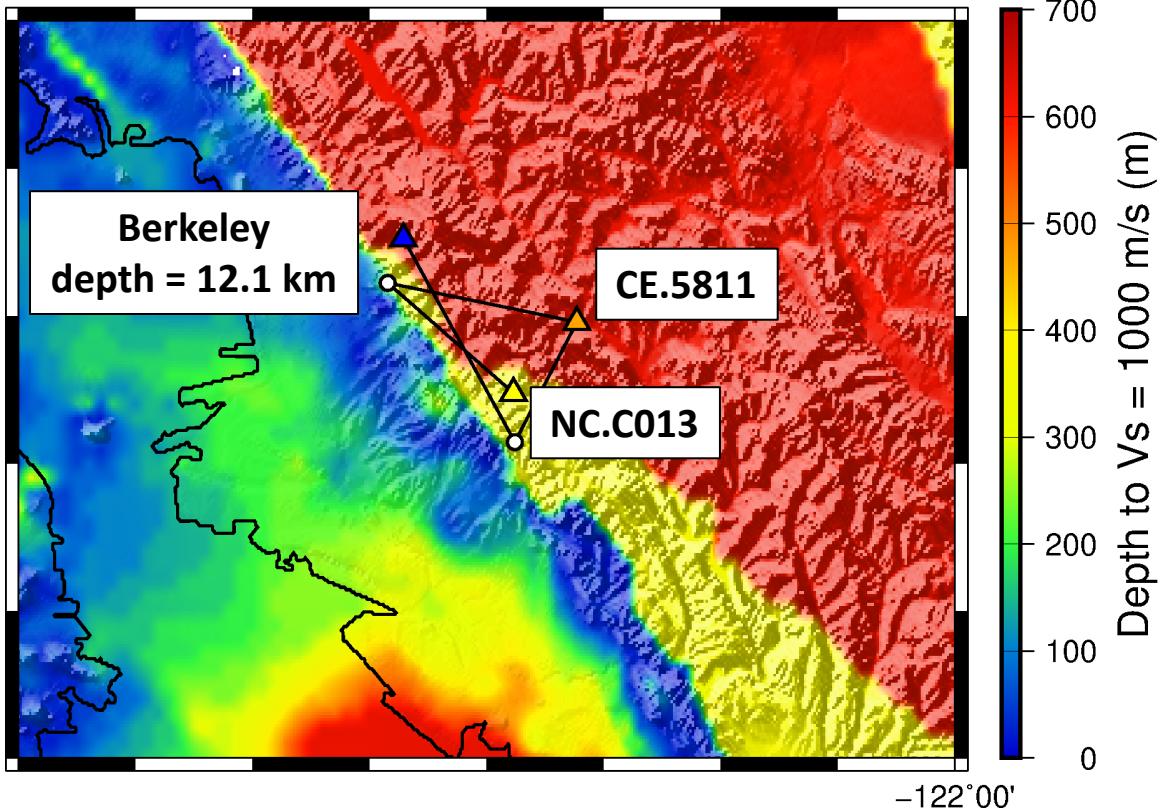
3D model synthetic

- arrives **late** relative to **data**
- produces **large amplitude coda**



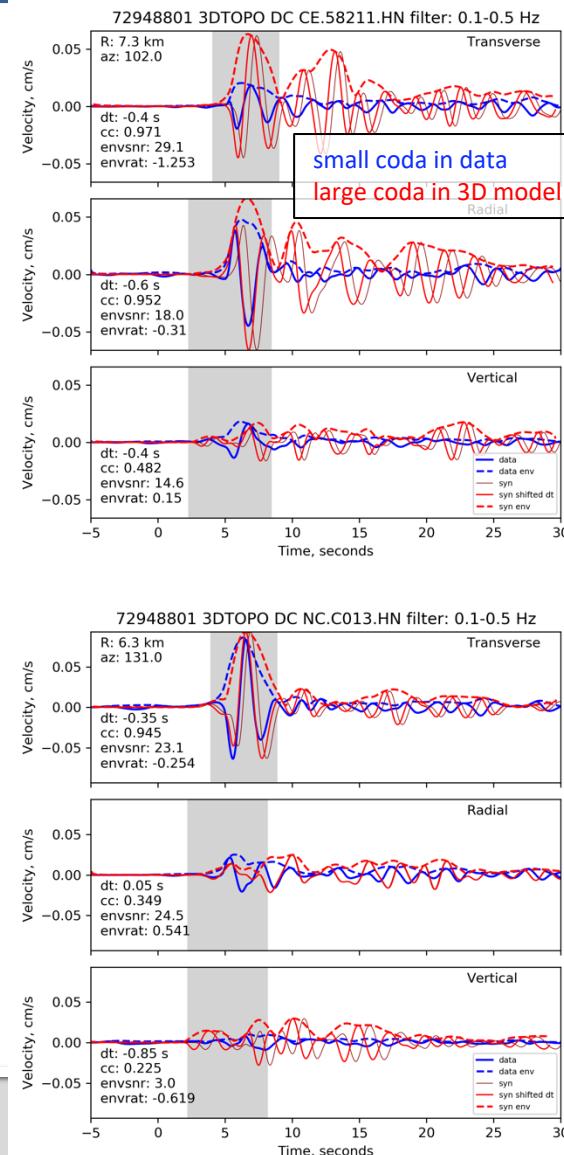
# Paths sampling Great Valley Sequence close to Hayward Fault

Depth to  $v_s = 1$  km/s in USGS 3D model shows complex structure near Berkeley & Oakland



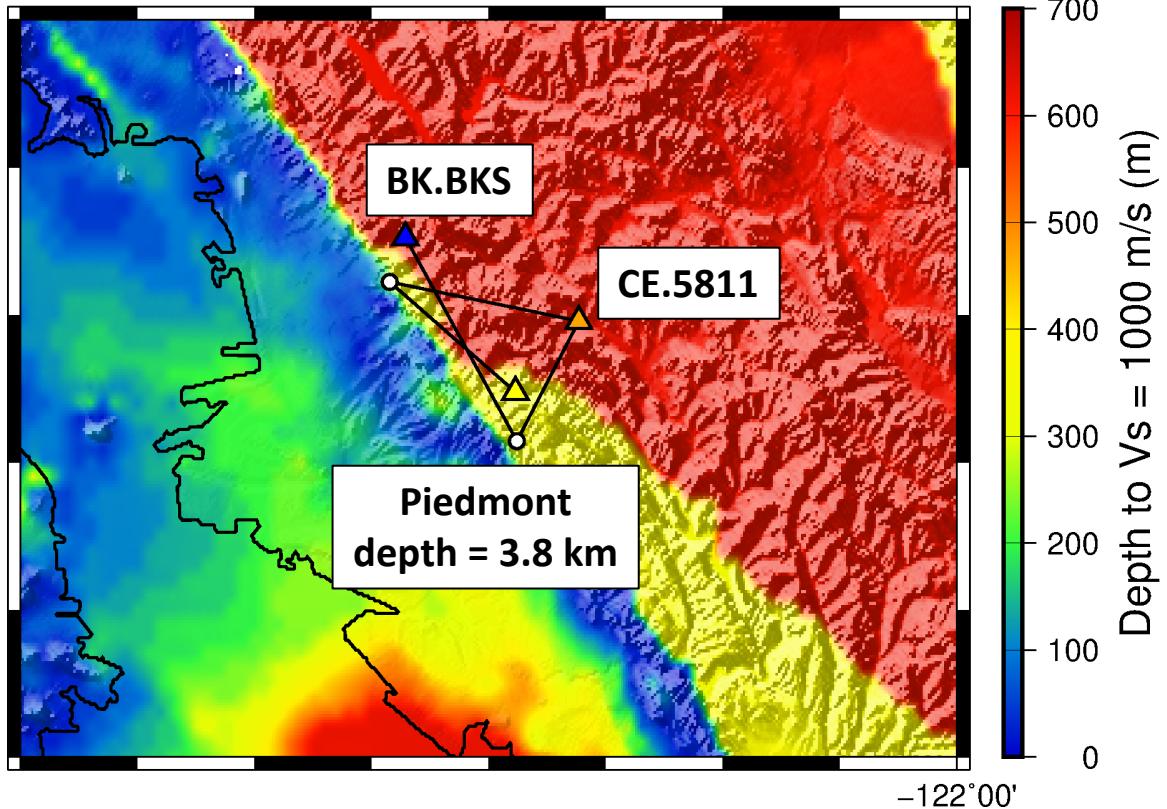
Berkeley NC.C013

Berkeley CE.58211

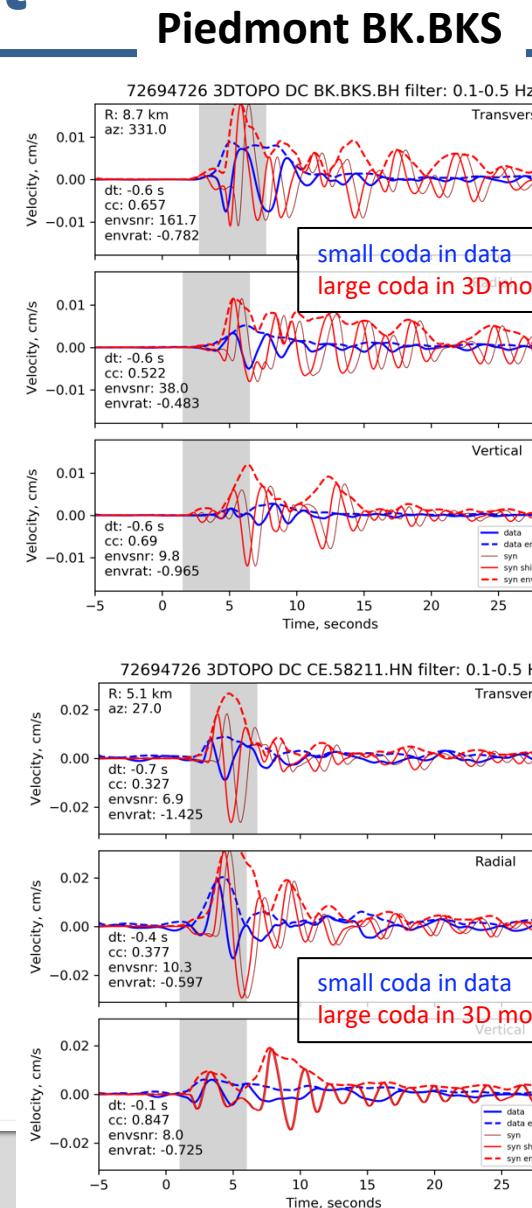


# Paths sampling Great Valley Sequence close to Hayward Fault

Depth to  $v_s = 1$  km/s in USGS 3D model shows complex structure near Berkeley & Oakland



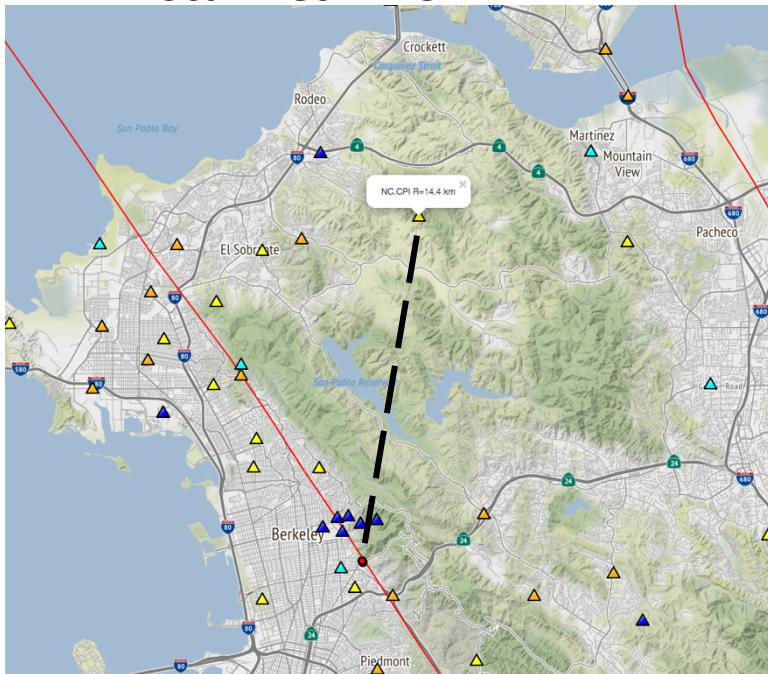
Piedmont CE.58211



# 3-component waveform comparisons

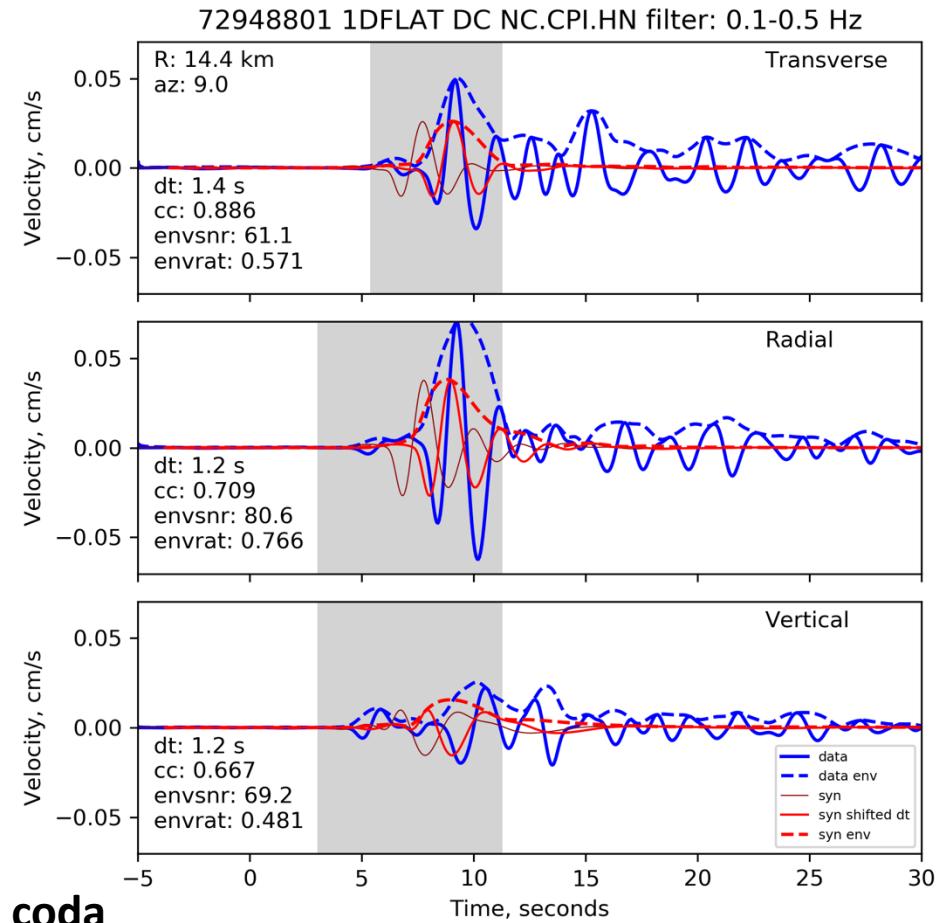
## 2018/01/04 Berkeley at NC.CPI (Orinda)

Earth model: 1DFLAT  
Focal mech: DC



### 1DFLAT synthetic

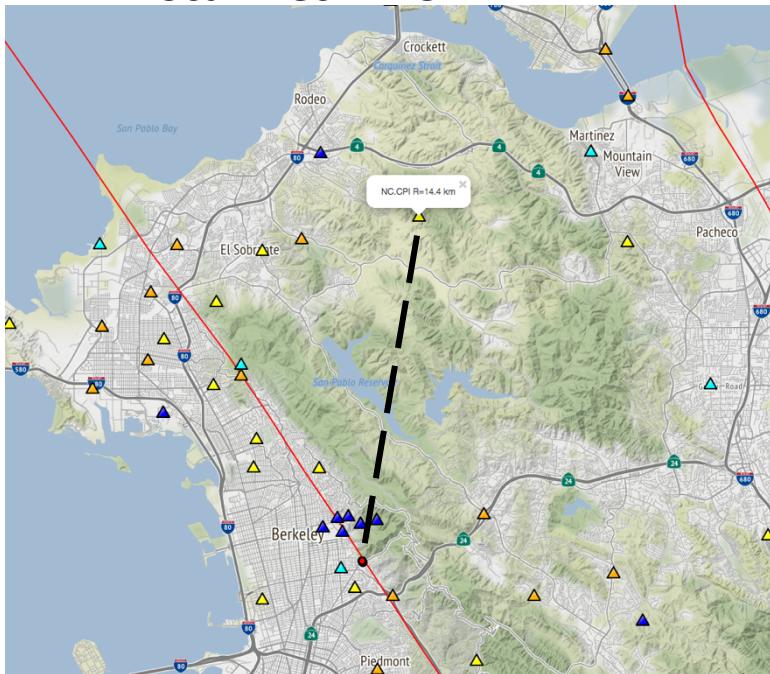
- requires large delay time
- goes flat after surface wave, does not fit coda



# 3-component waveform comparisons

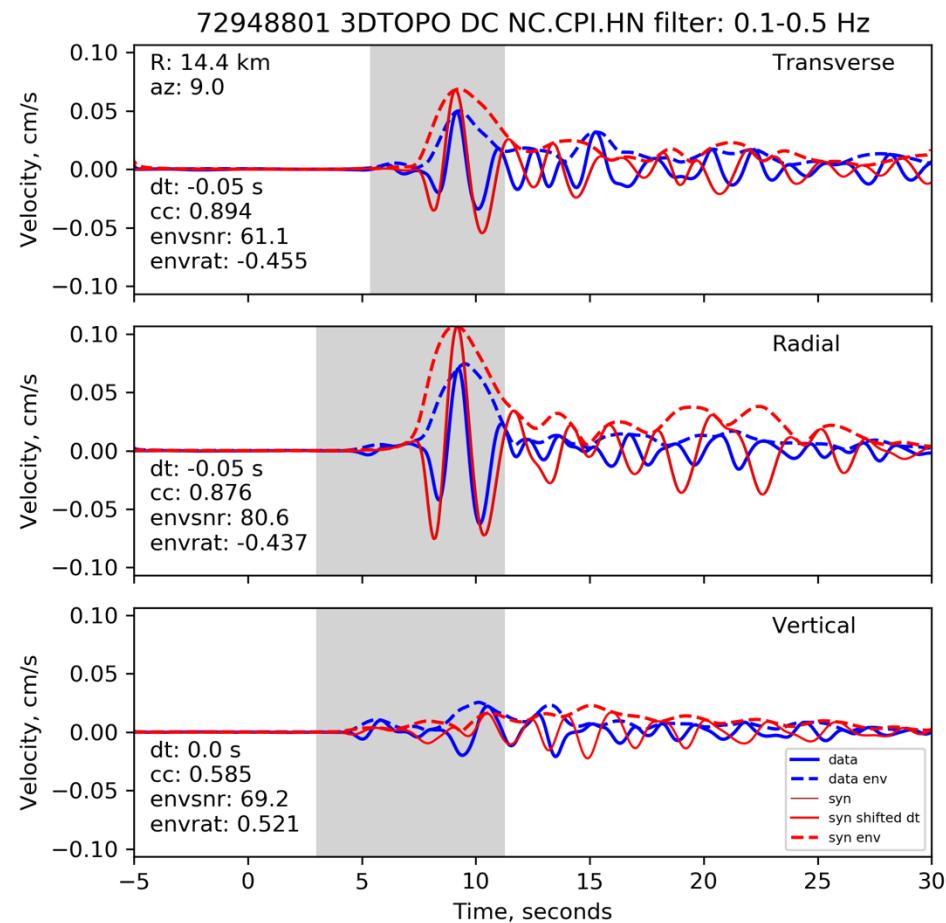
## 2018/01/04 Berkeley at NC.CPI (Orinda)

Earth model: 3DTOPO  
Focal mech: DC



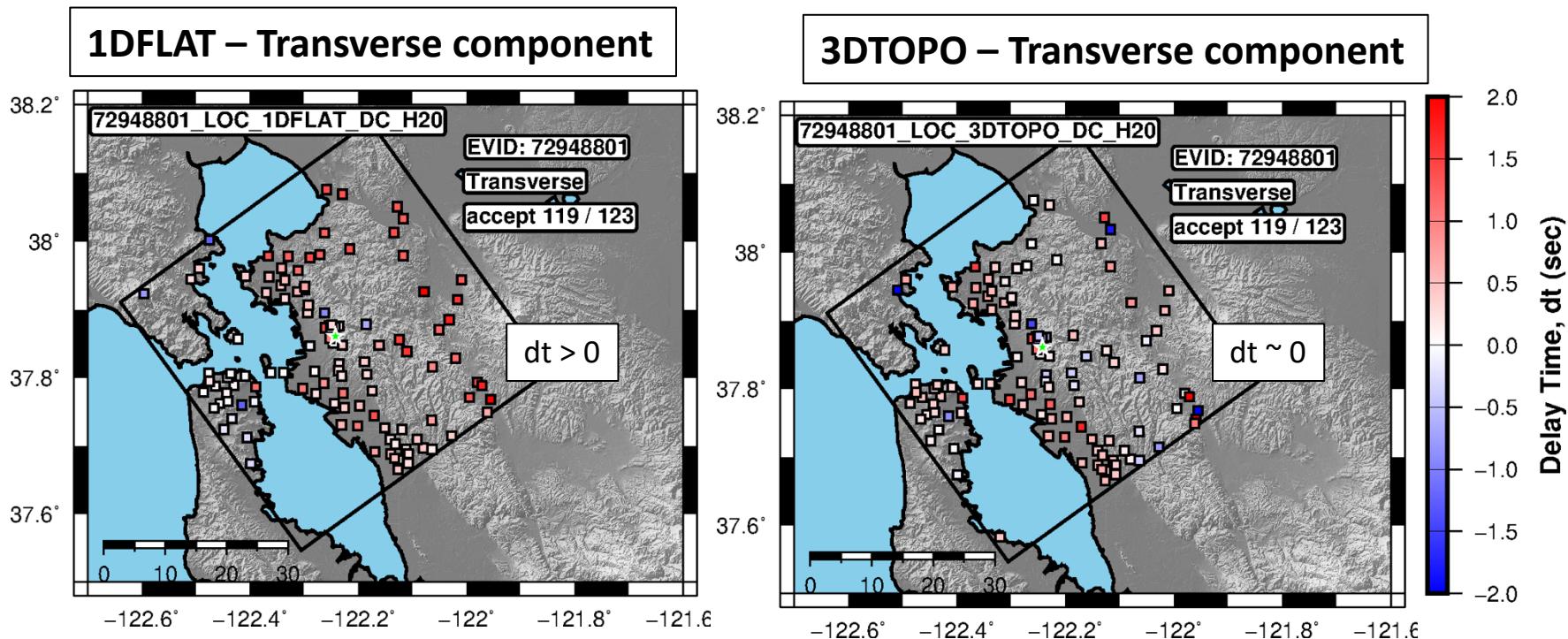
### 3DTOPO synthetic

- aligns with little or no delay time
- produces coda



# Berkeley event delay time map

## 3DTOPO model performs better than 1DFLAT

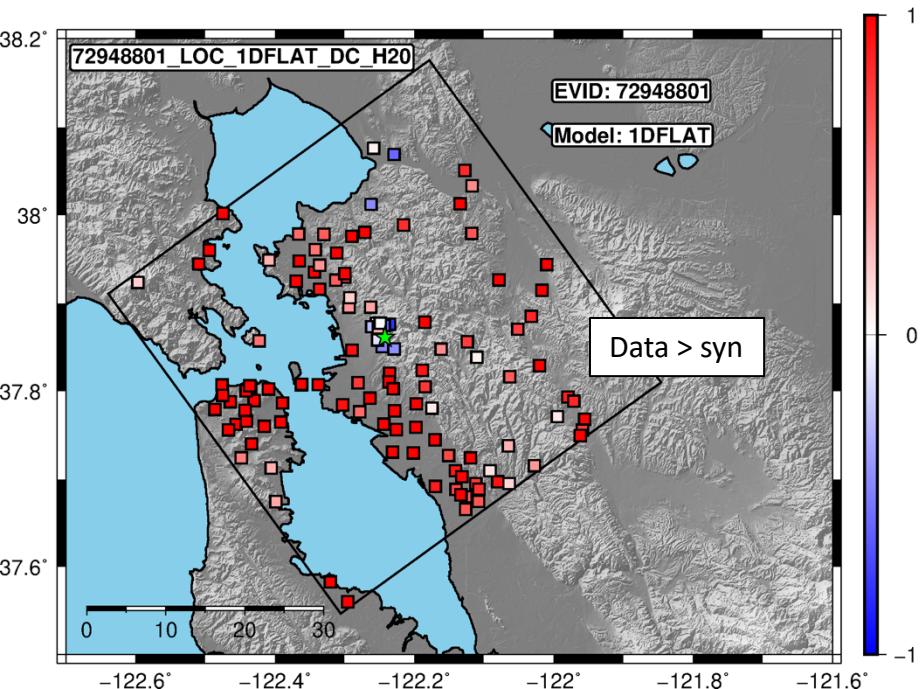


Paths east of the Hayward Fault are slower than the average 1D model

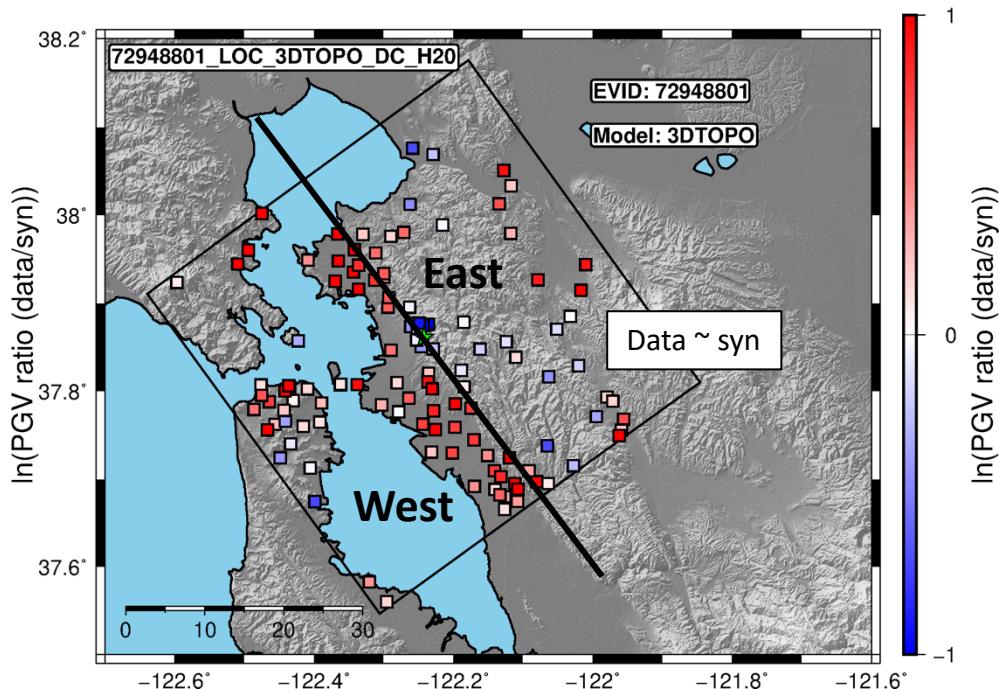
# Band-limited (0.1-1 Hz) PGV comparison

## Berkeley M<sub>w</sub> 4.36 2018/01/04

Berkeley 1DFLAT model



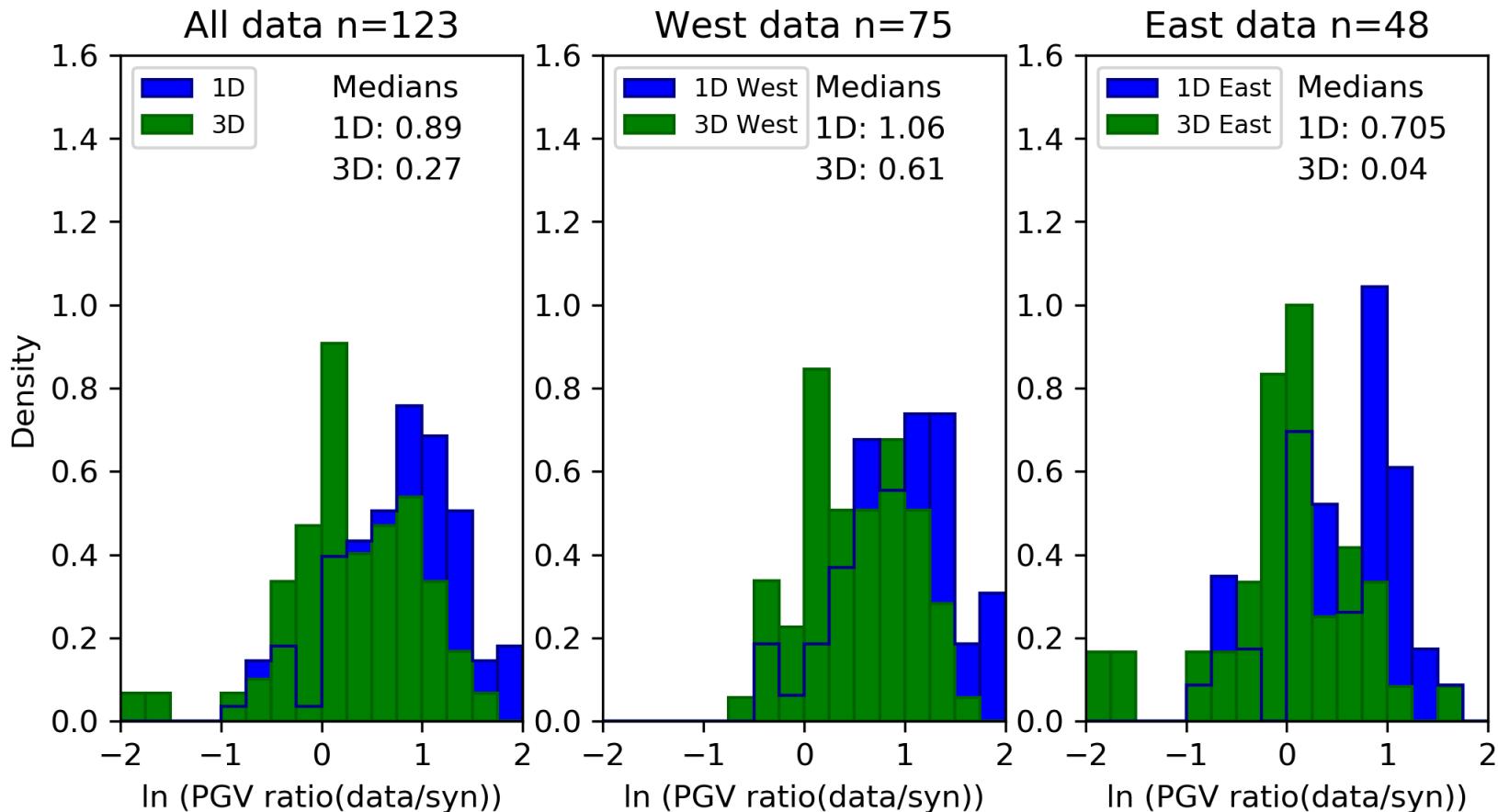
Berkeley 3DTOPO model



Filter data and synthetic 0.1 – 1.0 Hz (10 – 1 seconds)  
Compute geometric mean of horizontal component PGVs  
Form natural log PGV ratio (data/syn)

# Peak ground velocity (PGV) comparison

## Berkeley M<sub>w</sub> 4.36 2018/01/04



This event shows East/West differences in PGV ratios & 3DTOPO model reduces bias

- West of HF: modest reduction of bias ( $1.06 - 0.61 = 0.45$ )
- East of HF: bias near zero for 3D model ( $0.705 - 0.04 = 0.701$ )

# Peak ground velocity (PGV) versus $R_{JB}$

## Berkeley $M_w$ 4.36 2018/01/04

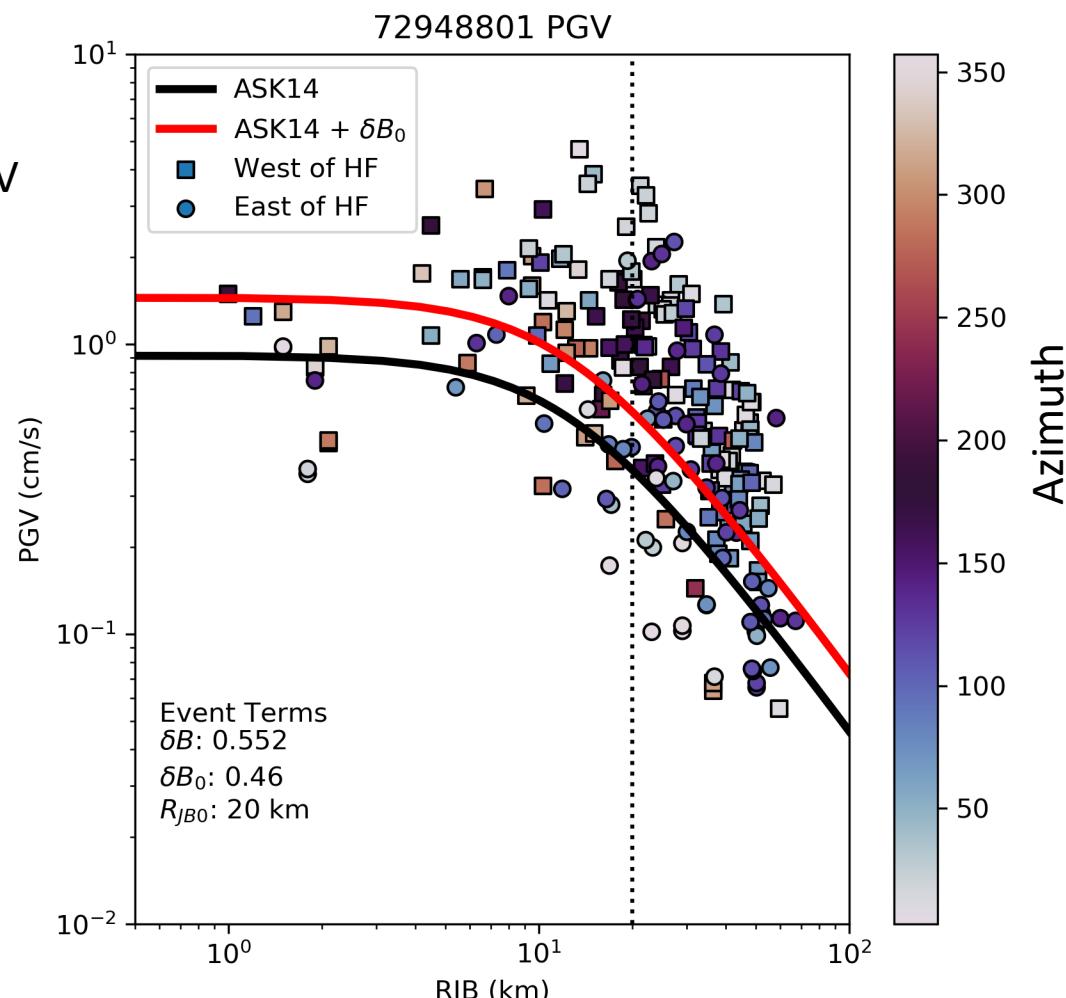
This event had higher than average PGV  
Possibly higher stress drop

Event term

$\delta B$  = mean  $\ln(\text{PGV})$  for all distances

$\delta B_0$  = mean  $\ln(\text{PGV})$   $R_{JB} \leq 20$  km

No clear East-West difference in PGV



# Peak ground velocity (PGV) versus $R_{\text{rup}}$

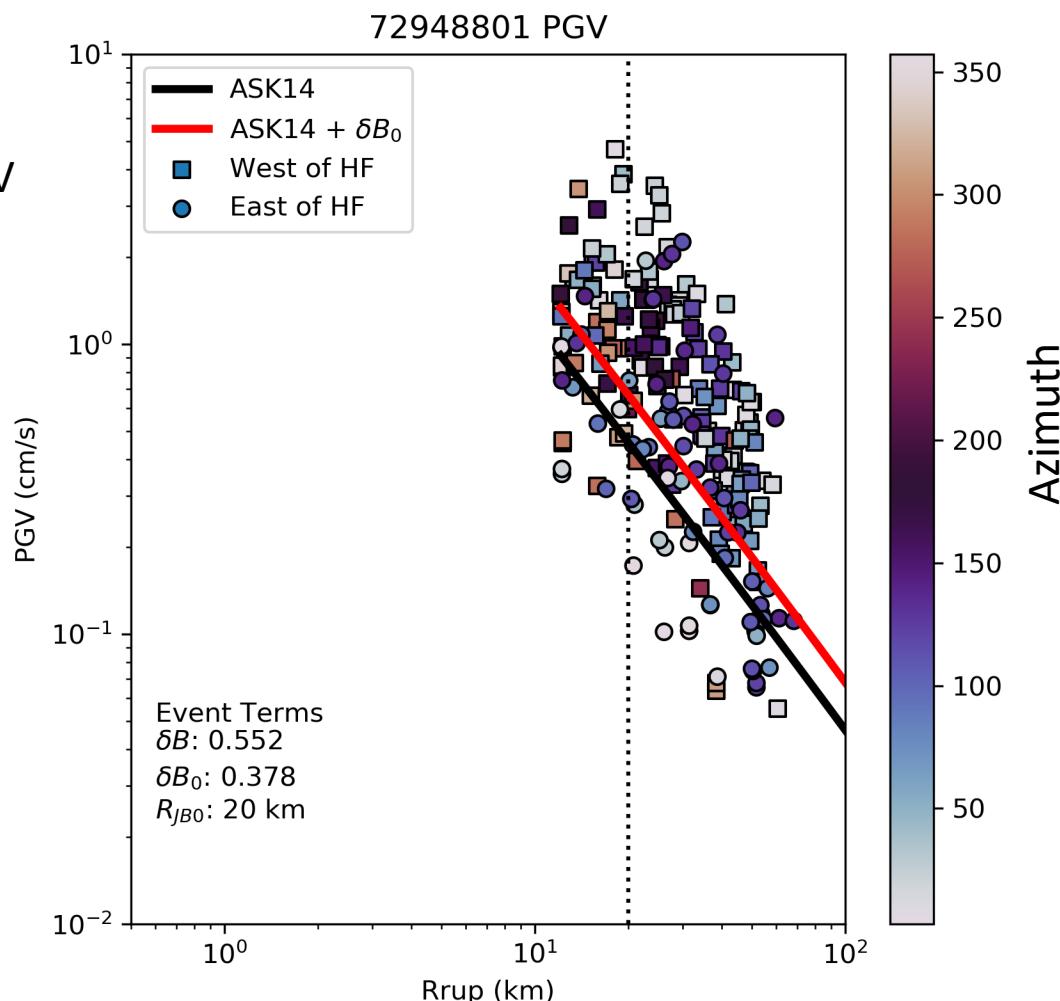
## Berkeley $M_w$ 4.36 2018/01/04

This event had higher than average PGV

Event term

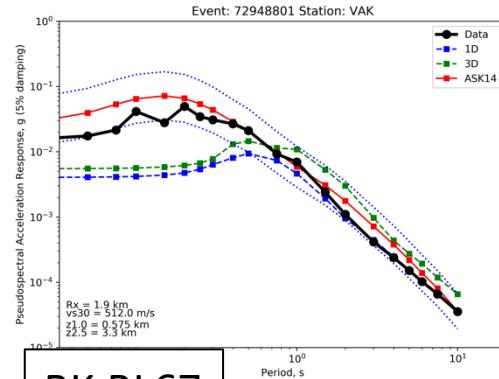
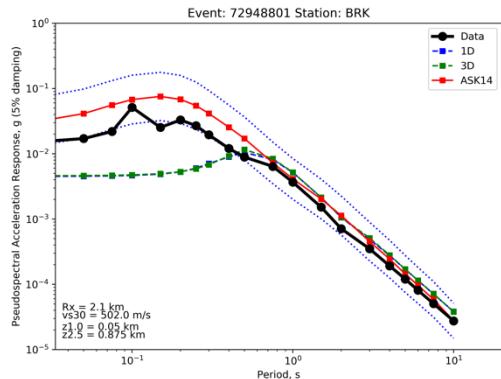
$\delta B$  = mean  $\ln(\text{PGV})$  for all distances

$\delta B_0$  = mean  $\ln(\text{PGV})$   $R_{\text{rup}} \leq 20$  km

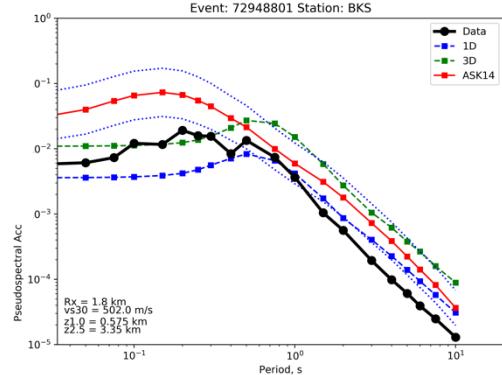


# RotD50 response spectra (Data, ASK14, 1D, 3D)

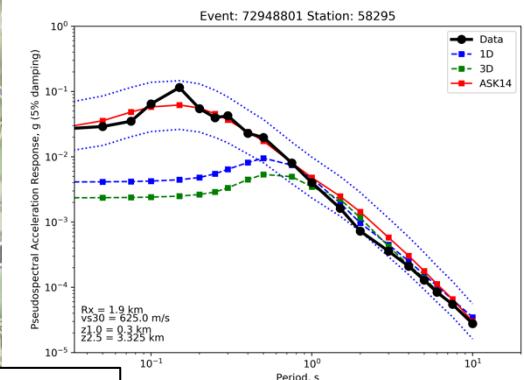
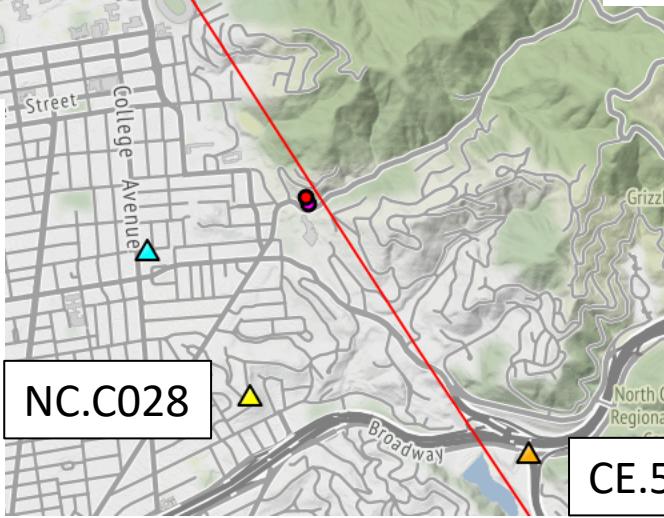
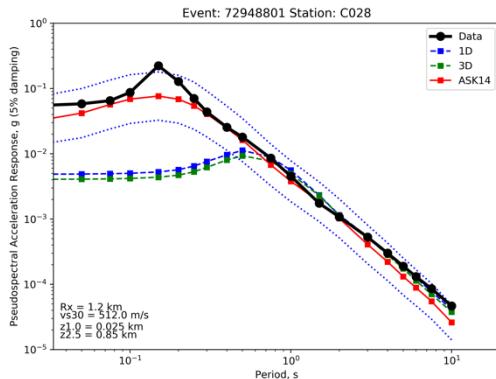
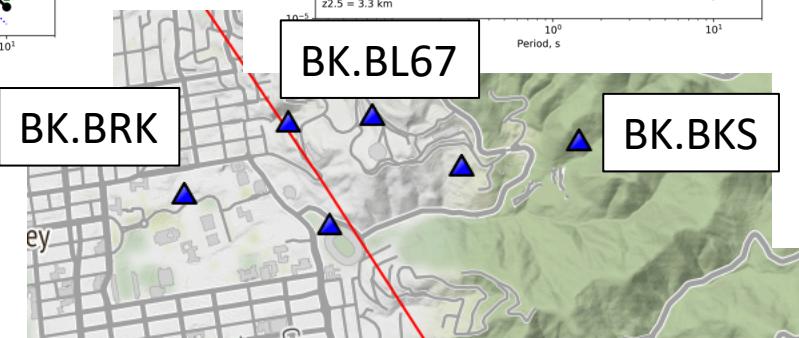
## Berkeley M<sub>W</sub> 4.36 2018/01/04



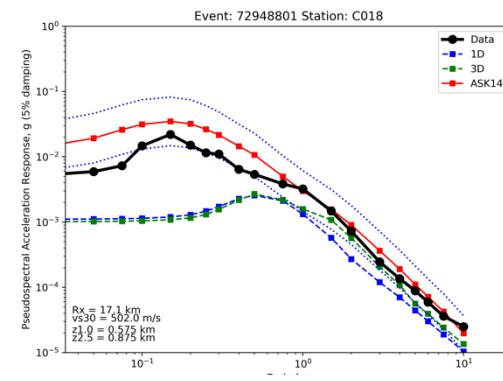
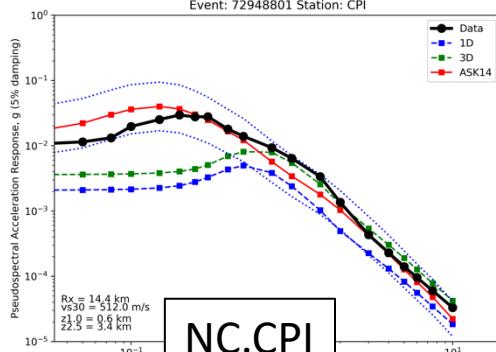
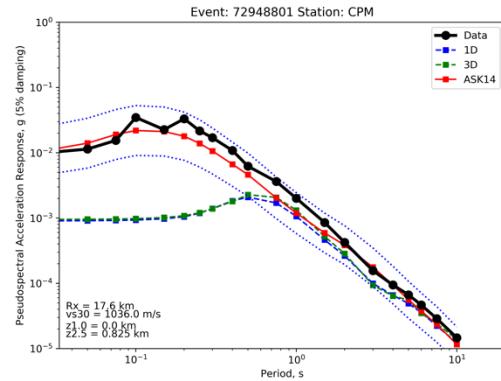
East of Hayward Fault  
3D > 1D spectra



West of Hayward Fault  
very little difference  
between 1D and 3D



# RotD50 response spectra (Data, ASK14, 1D, 3D) Berkeley M<sub>W</sub> 4.36 2018/01/04

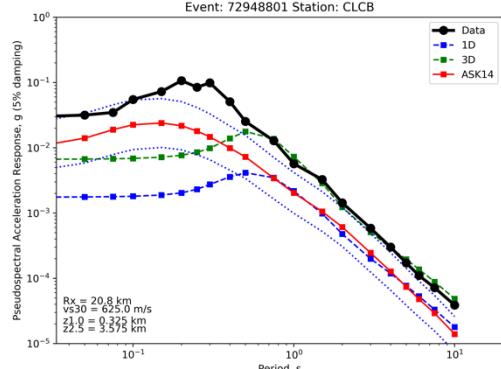
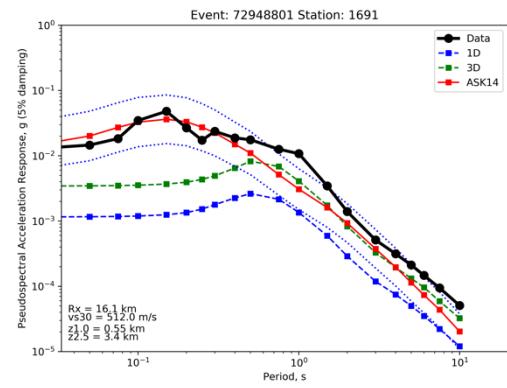
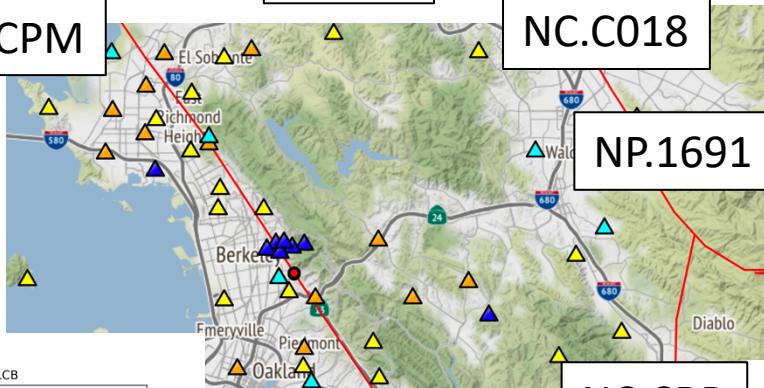


NC.CPM

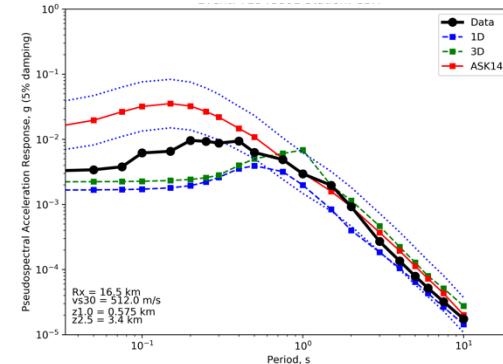
NC.C018

NP.1691

West of Hayward Fault  
very little difference  
between 1D and 3D

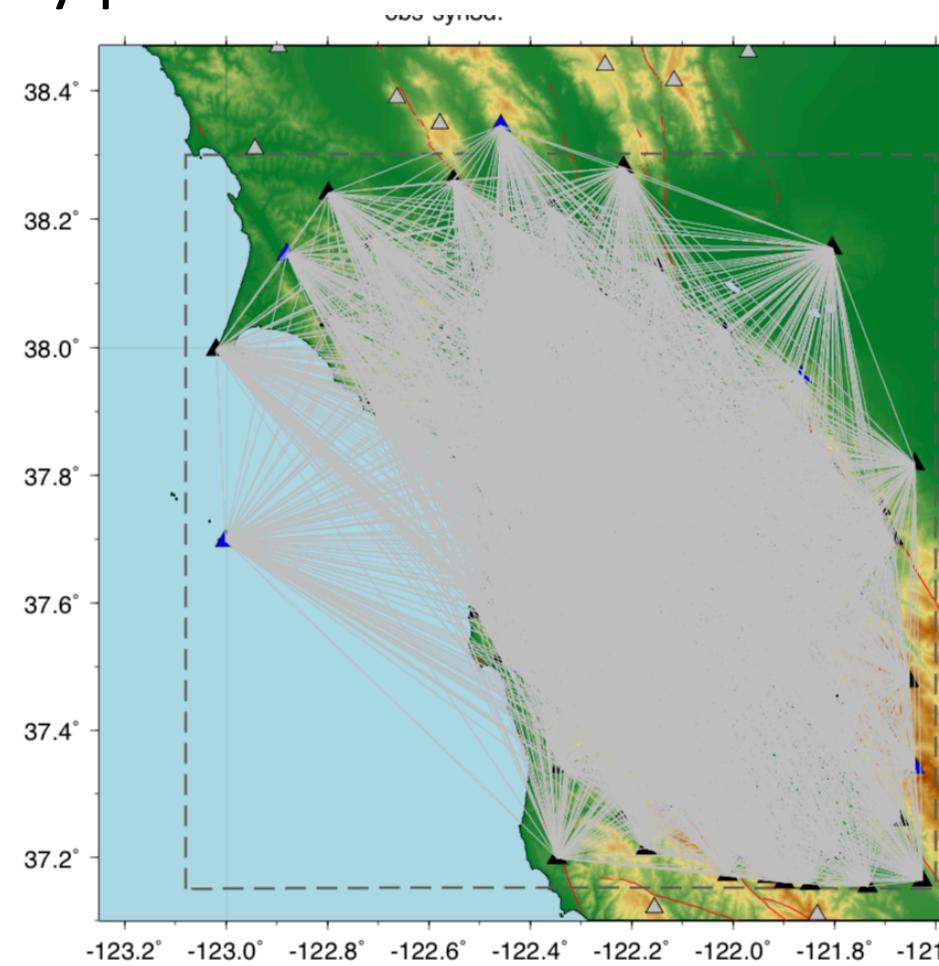
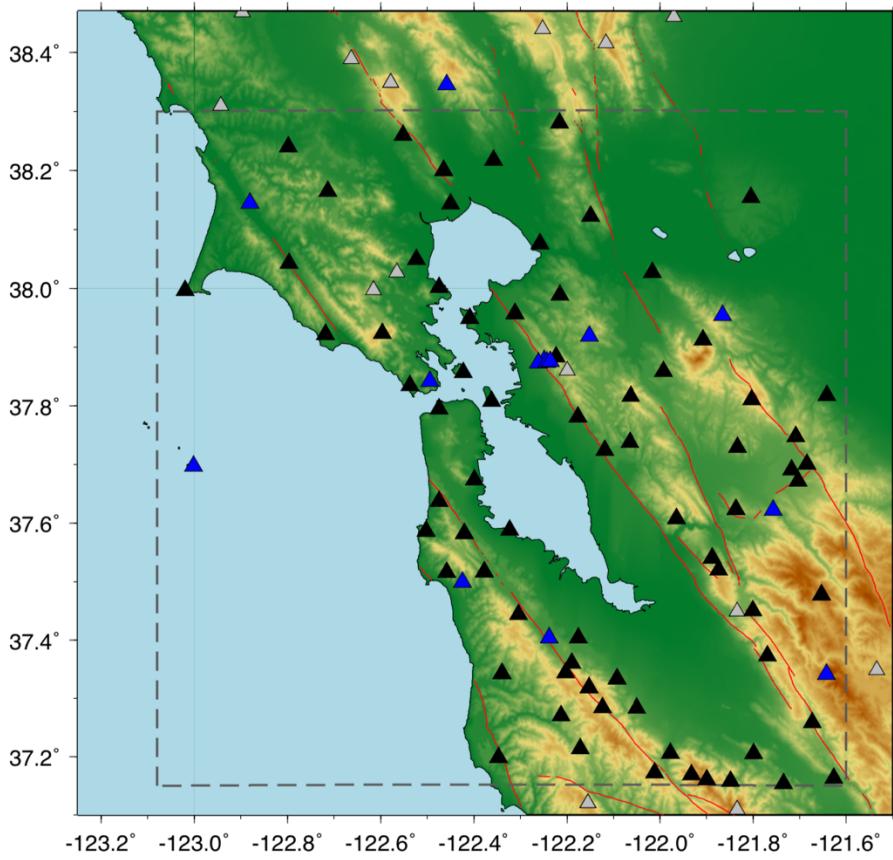


NC.CLCB

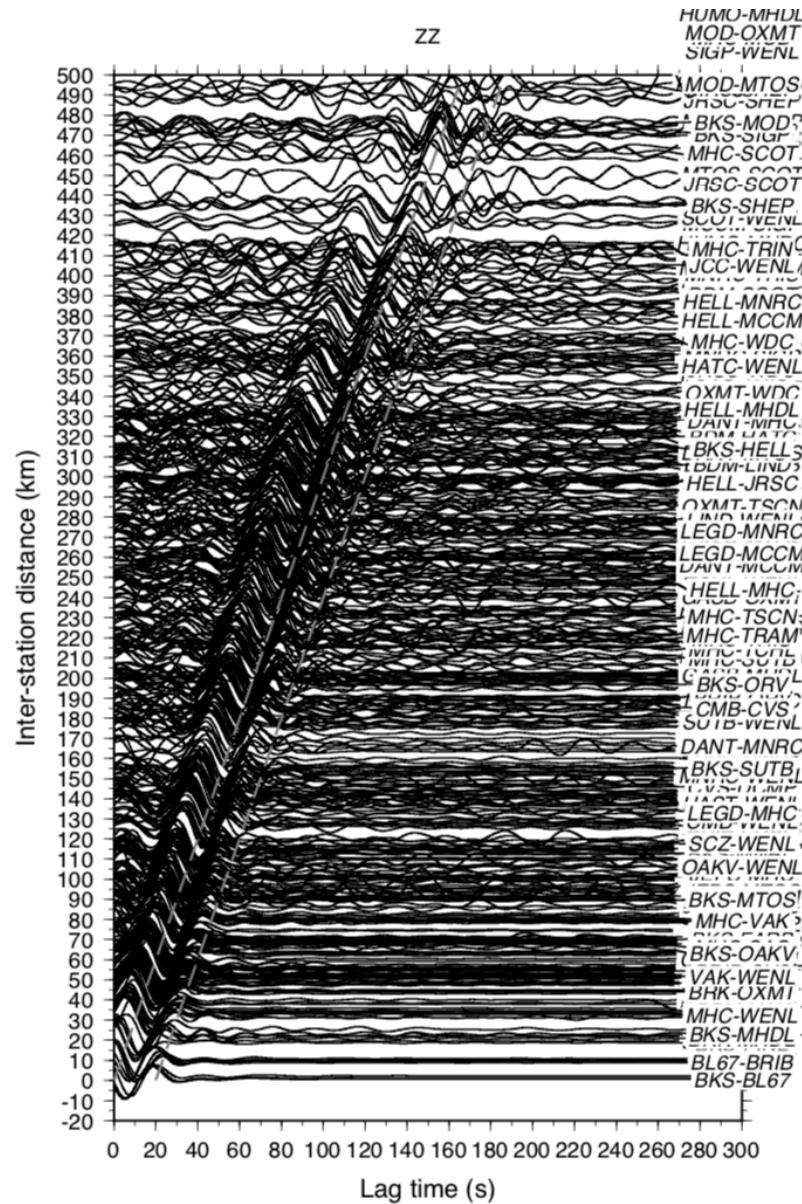


# Stations for noise cross correlation. Blue is BDSN and black is USGS short-period (From Taira NEHRP Report 2019)

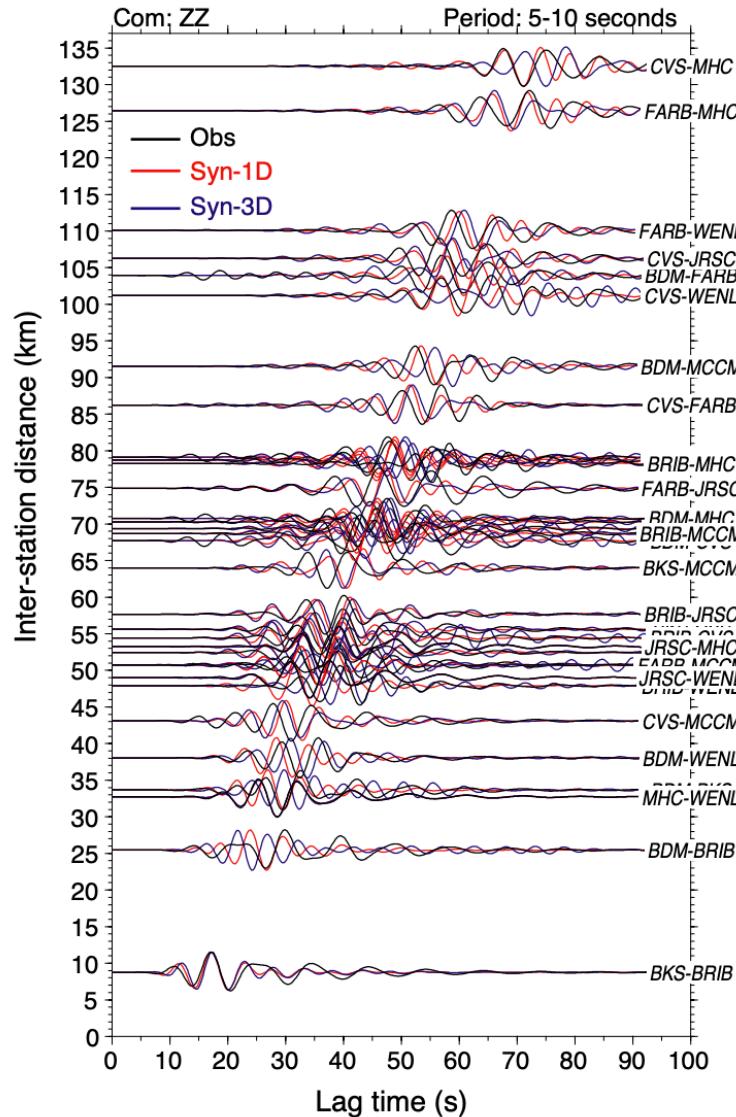
(left) map and (right) ray paths



# Noise GFs

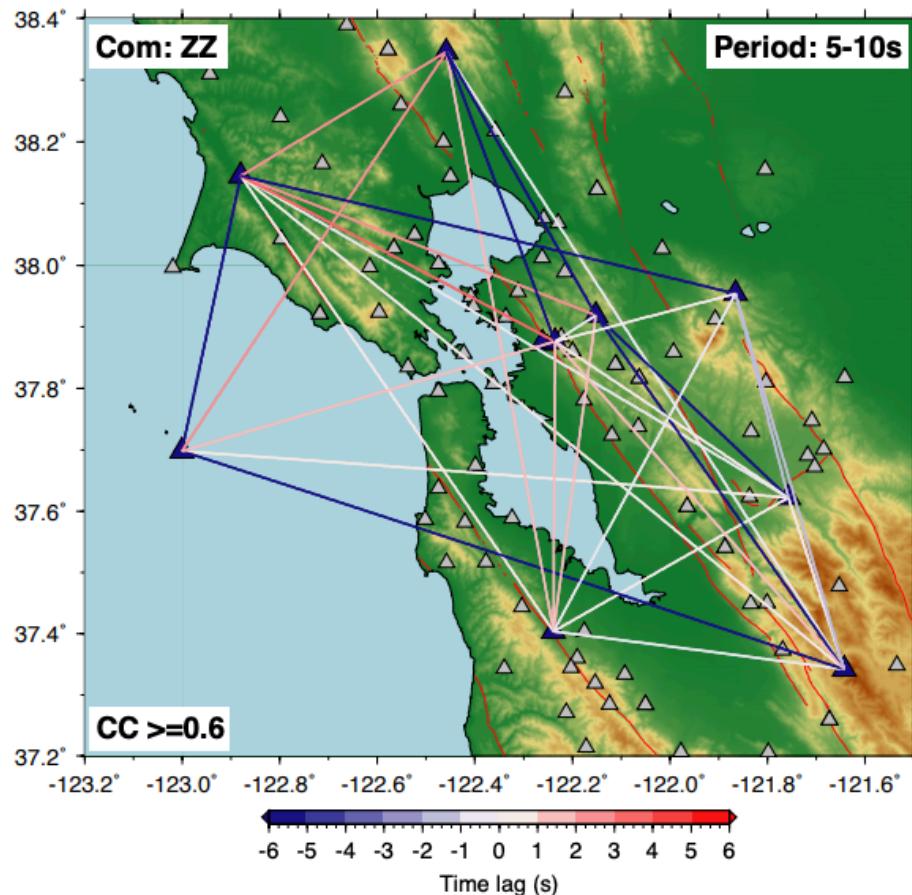


# Noise GFs and synthetic data

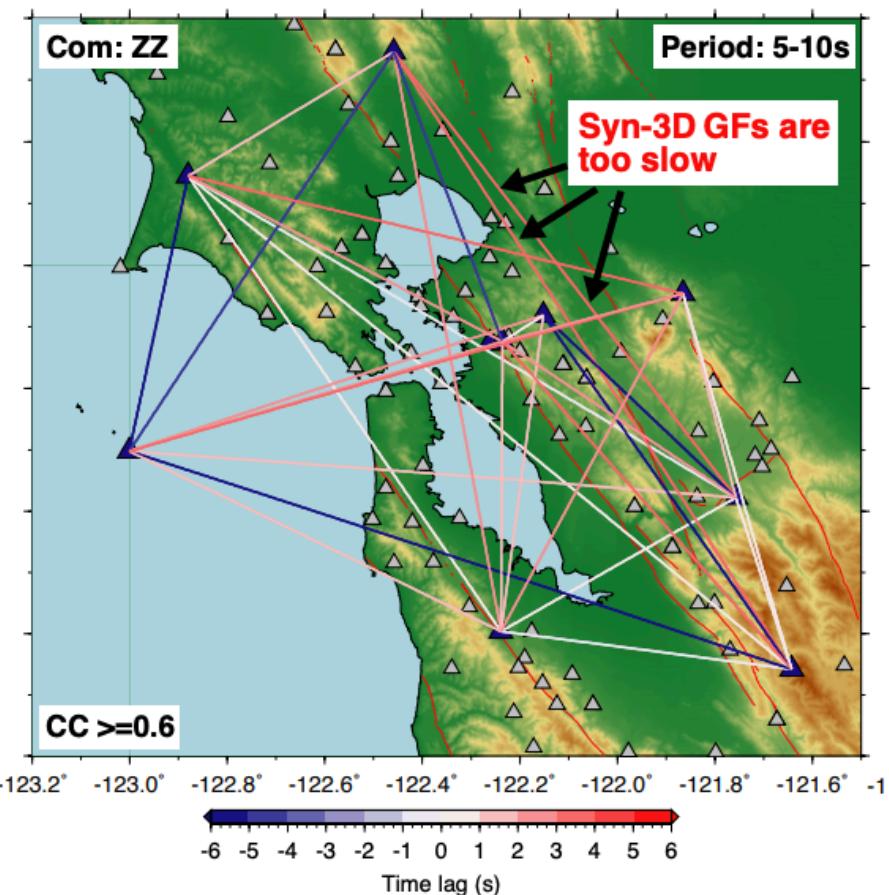


# Time lags

Obs vs Syn-1D



Obs vs Syn-3D



# Conclusions ...

## based on just two nearby events on Hayward Fault

- First-motion double couple focal mechanisms (DC) provide better fits than regional moment tensors (MT)
- Satisfactory waveform fits to direct waves are achievable
  - Frequencies 0.1-0.5 Hz; CC  $\geq 0.5$ ; abs[ln(envelope ratio)] < 0.5
- West of the Hayward Fault in the Franciscan Complex
  - Little difference between synthetics and waveforms fits for 1D and 3D models
- East of the Hayward Fault in the Great Valley Sequence
  - Most paths are slower than 1D model
  - 3D model reduces delay time bias, however maybe too slow
  - 3D model produces large amplitudes and strong late scattered energy
    - This is the likely cause of large amplitudes in M 7.0 source-normalized GM maps

# Further work is needed

- Consider other events and more paths
- Identify good quality events with well-constrained source parameters
  - Must fit waveforms at the close stations
- Higher frequencies, expose source-time function
  - Lower  $v_{S\min}$
- Identify good quality waveform data set for testing path-specific waveform fits (delay time, CC, amplitudes, etc...)
  - Measure Fourier Amplitude spectra
- Look for systematic path and site effects
  - Address how USGS 3D model might be improved for specific regions & paths
  - Test future and/or alternative models?
- We need more stations sampling the Great Valley Sequence
  - Temporary deployment would provide such data
  - CGS/CSMIP stations reporting small events helps a lot

# Acknowledgements



**PG&E Corporation.**

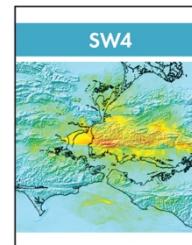
Northern California  
Earthquake  
Data Center



**LIVERMORE COMPUTING CENTER**  
HIGH PERFORMANCE COMPUTING

LLNL Computing Grand Challenge

SW4 is an open-source finite difference code developed by Anders Petersson & Bjorn Sjogreen at LLNL



Earthquake waveform and metadata collected with ObsPy



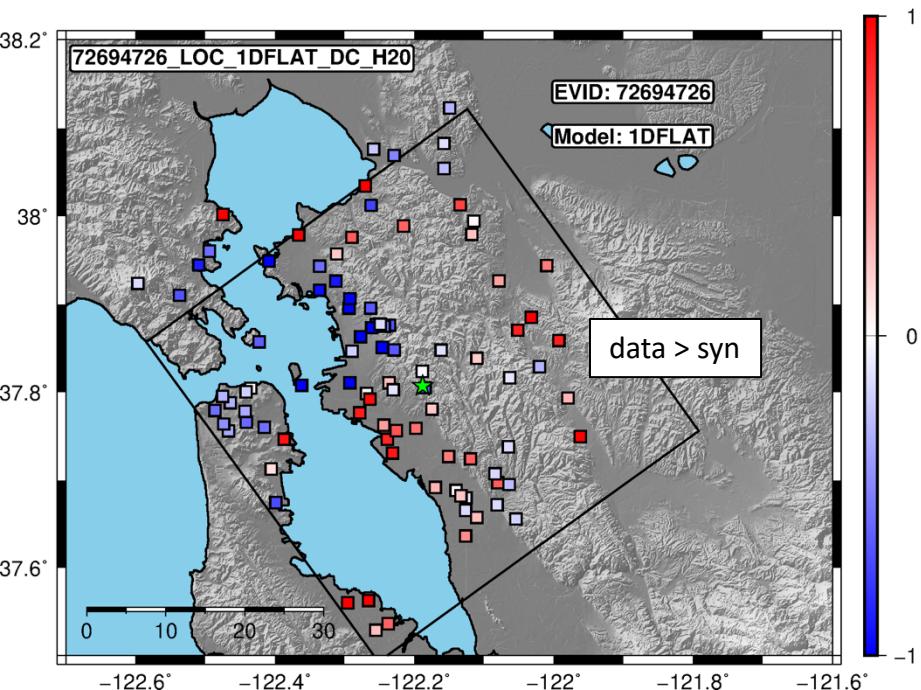
Lawrence Livermore National Laboratory  
LLNL-PRES-772607



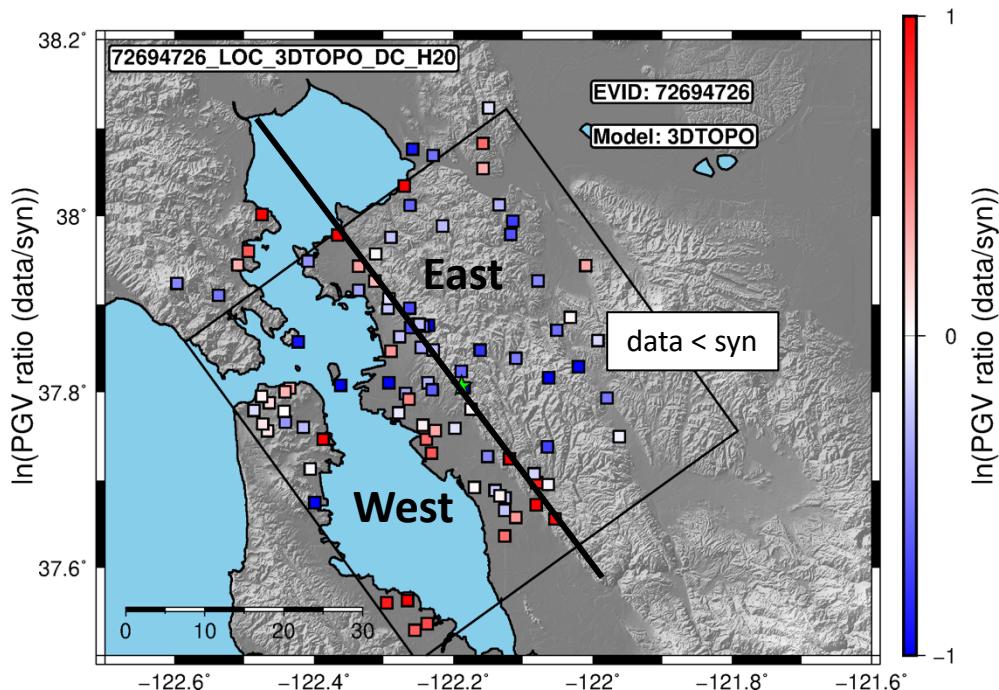
# Band-limited (0.1-1 Hz) PGV comparison

## Piedmont M<sub>w</sub> 3.54 2016/09/13

Piedmont 1DFLAT model



Piedmont 3DTOPO model



Filter data and synthetic 0.1 – 1.0 Hz (10 – 1 seconds)

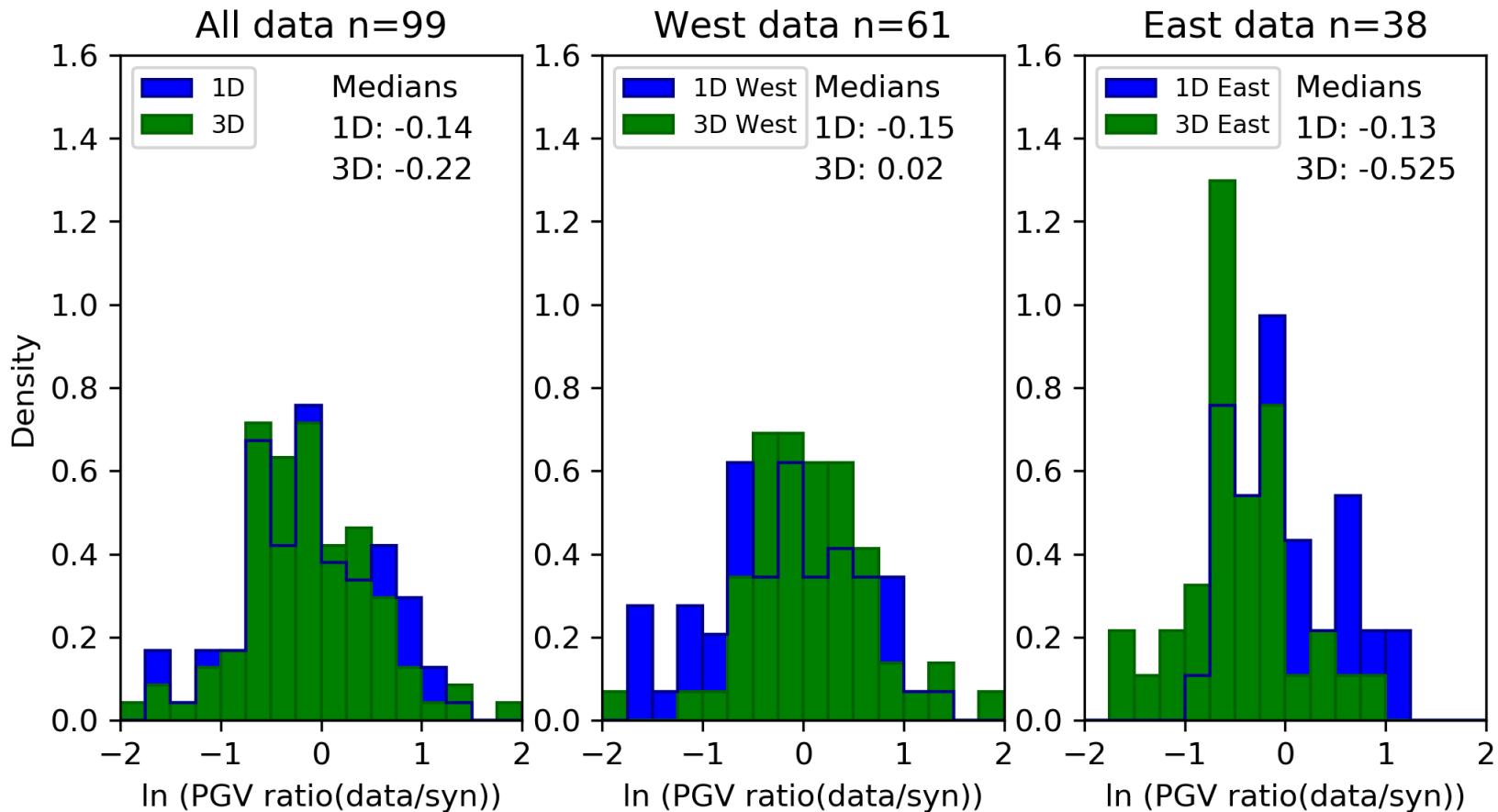
Compute geometric mean of horizontal component PGVs

Form natural log PGV ratio (data/syn)

Subdivide by azimuth

# Peak ground velocity (PGV) comparison

## Piedmont M<sub>w</sub> 3.54 2016/09/13



PGV amplitude ratios for this event show less East/West bias compared with Berkeley

- PGV ratios east of the Hayward Fault become even smaller
  - too much path amplification by low wavespeed Great Valley Sequence?