

# Outline

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## 2 Real-Coded Genetic Algorithm

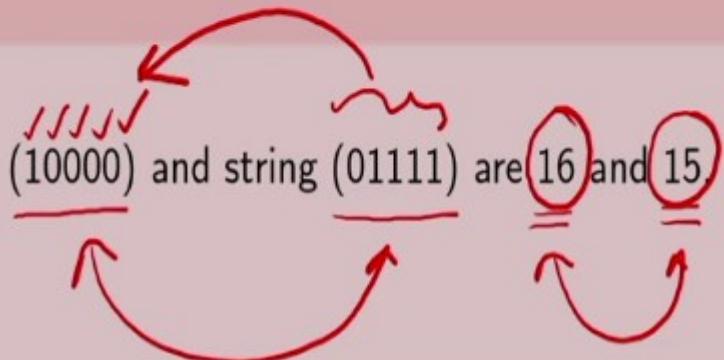
- Working Principles Through An Example
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  - Evaluate population
  - Selection
  - Crossover
  - Mutation
  - Survivor
- Graphical Example

## 3 Closure

# Issues with Binary-Coded Genetic Algorithm

## Binary GA in Continuous Search Space

- Binary GA makes the search space discrete.
- Hamming cliffs: The decoded values of string  $(10000)$  and string  $(01111)$  are  $16$  and  $15$ .  
However, each bit of these strings is different.



# Issues with Binary-Coded Genetic Algorithm

## Binary GA in Continuous Search Space

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- Arbitrary precision  $((x_i^{(U)} - x_i^{(L)}) / (2^l - 1))$  impossible due to fixed-length coding

-8  
10

# Issues with Binary-Coded Genetic Algorithm

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- Arbitrary precision ( $(x_i^{(U)} - x_i^{(L)})/(2^l - 1)$ ) impossible due to fixed-length coding

## Remedy

- We should code decision variables as real numbers directly.
- However, crossover and mutation operators need structural changes.
- Important to note that **selection operator** remains the same because it requires fitness value.

# Generalized Framework of EC Techniques

## Algorithm 1 Generalized Framework

- 1: Solution representation
- 2: **Input:**  $t := 1$  (Generation counter), Maximum allowed generation =  $T$
- 3: Initialize random population ( $P(t)$ );
- 4: Evaluate ( $P(t)$ );
- 5: **while**  $t \leq T$  **do**
- 6:    $M(t) := \text{Selection}(P(t))$ ;
- 7:    $Q(t) := \text{Variation}(M(t))$ ;
- 8:   Evaluate  $Q(t)$ ;
- 9:    $P(t+1) := \text{Survivor}(P(t), Q(t))$ ;
- 10:    $t := t + 1$ ;
- 11: **end while**

① %real number

%Parent population

%Evaluate objective, constraints and assign fitness

② { { %Survival of the fittest

%Crossover and mutation

%Offspring population

%Survival of the fittest

$(\mu+\lambda)$ -strategy

# Real-Parameter Optimization Using EC Techniques

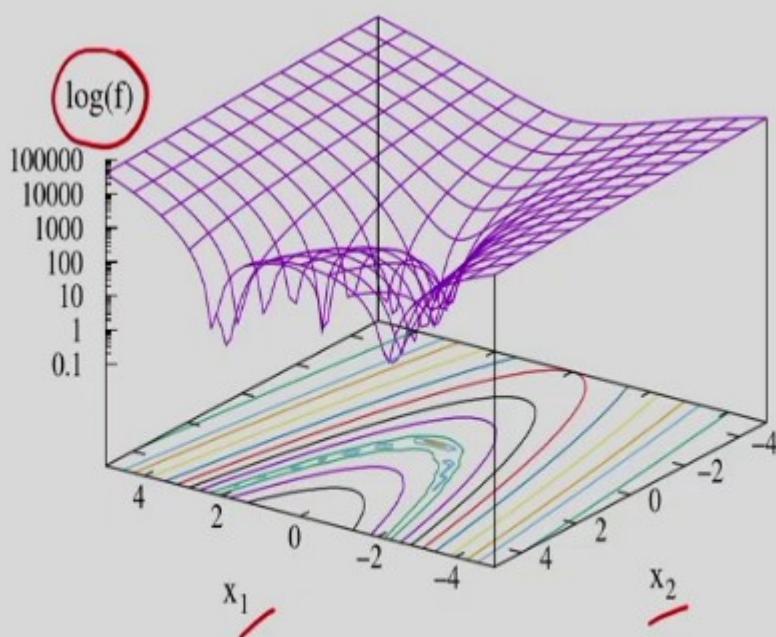
## Real-Coded EC Techniques

- Real-coded genetic algorithm (RGA)
  - ▶ Similar to binary-coded genetic algorithm
- Evolutionary strategies (ES)
  - ▶ One of the oldest EC techniques
- Differential evolution (DE)
- Particle swarm optimization (PSO)
  - ▶ Motivated from flocking of birds
- Artificial bee colony (ABC), etc.
  - ▶ Motivated from foraging behavior of swarm of bees

# Working Principles Through An Example

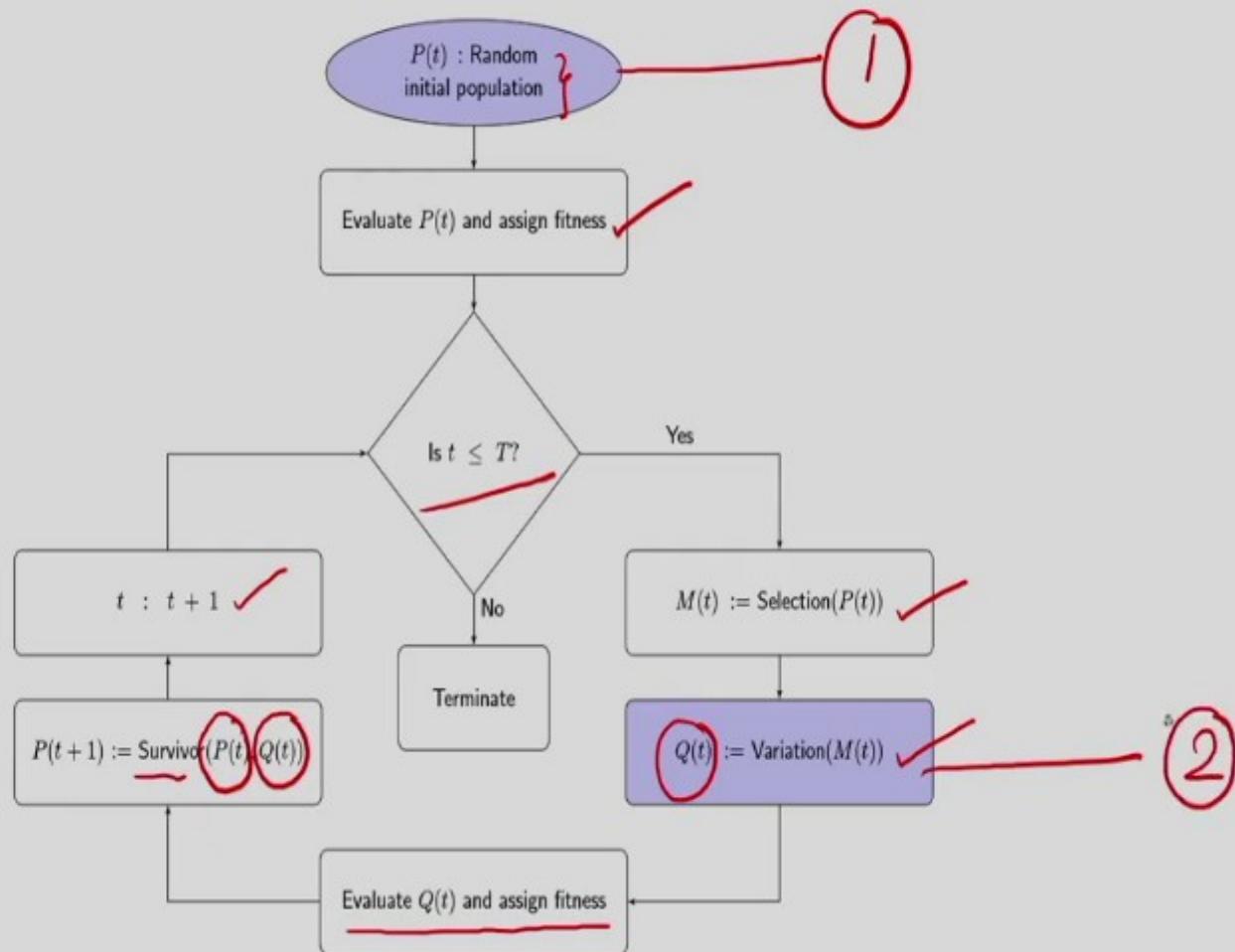
## Rosenbrock Function

Minimize  $f(x_1, x_2) = 100(x_2 - x_1^2)^2 + (1 - x_1)^2$ ,  
bounds  $-5 \leq x_1 \leq 5$  and  $-5 \leq x_2 \leq 5$ .



- Optimum solution is  $x^* = (1, 1)^T$  and  $f(x^*) = 0$

# Flowchart of RGA



# Initial Population

- Let the population size is  $N = 8$ .

Index	Initial population	
	$x_1$	$x_2$
1	2.212	✓ 3.009 ✓
2	-2.289 ✓	-2.396 ✓
3	-2.393	-4.790
4	-0.639	1.692
5	-3.168	0.706
6	0.215	-2.350
7	-0.742	1.934
8	-4.563	4.791

$$-5 \leq x_1 \leq 5$$

$$-5 \leq x_2 \leq 5$$

The decision variables are coded as real numbers.

# Evaluate Population

- Now, we calculate objective function  $f(x_1, x_2) = 100(x_2 - x_1^2)^2 + (1 - x_1)^2$  for each solution.
- For Solution 1:  $x^{(1)} = (2.212, 3.009)^T$  and  $f(x^{(1)}) = 357.154$ .
  - Let us take the fitness value as the function value.
- For Solution 2:  $x^{(2)} = (-2.289, -2.396)^T$  and  $f(x^{(2)}) = 5843.569$ .

Index	$x_1$	$x_2$	$f(x_1, x_2)$
1	2.212	3.009	357.154
2	-2.289	-2.396	5843.569
3	-2.393	-4.790	11066.800
4	-0.639	1.692	167.414
5	-3.168	0.706	8718.166
6	0.215	-2.350	574.796
7	-0.742	1.934	194.618
8	-4.563	4.791	25731.235

## Termination Condition

- Since  $t < T$ , we proceed to selection operator.

# Binary Tournament Selection Operator

- The purpose is to identify good (usually above-average) solutions in the population.
- We eliminate bad solutions and make multiple copies of good solutions.

Index	$f(x_1, x_2)$	Index	$f(x_1, x_2)$
1	357.154	5	8718.166
2	5843.569	6	574.796
3	11066.800	7	194.618
4	167.414	8	25731.235

Index	$f(x_1, x_2)$	Winner
7	194.618	Index 7
6	574.796	
4	167.414	Index 4
5	8718.166	
8	25731.235	Index 3
3	11066.800	
1	357.154	Index 1 ✓
2	5843.569	

4

N=8

# Binary Tournament Selection Operator

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1	357.154	Index 1
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Index	$f(x_1, x_2)$	Winner
6	574.796	Index 1
1	357.154	
3	11066.800	Index 2
2	5843.569	
8	25731.235	Index 7
7	194.618	
4	167.414	Index 4
5	8718.166	

# Crossover

- Crossover operator is responsible for creating new solutions. These new solutions explore the search space.
- Crossover is performed with probability ( $p_c$ ). Generally, the value of  $p_c$  is kept high that supports exploration of search space.

Mating Pool					
Old index	New index	$x_1$	$x_2$	$f(x_1, x_2)$	
7	1	-0.742	1.934	194.618	
4	2	-0.639	1.692	167.414	
3	3	-2.393	-4.790	11066.800	
1	4	2.212	3.009	357.154	
1	5	2.212	3.009	357.154	
2	6	-2.289	-2.396	5843.569	
7	7	-0.742	1.934	194.618	
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# Single-Point Crossover in Binary GA

## Property 1

The average of decoded values of binary strings before and after crossover are the same.



# Single-Point Crossover in Binary GA

## Property 1

The average of decoded values of binary strings before and after crossover are the same.

### Parents

10|1001

01|1010

### Offspring

10|1010

01|1001

- Decoded values are: 41 and 26
- Average is 33.5

- Decoded values are: 42 and 25
- Average value is 33.5

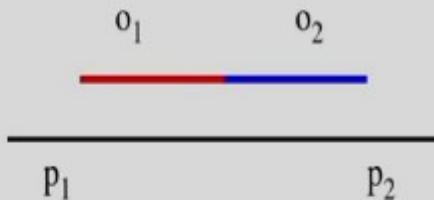
# Single-Point Crossover in Binary GA

## Property 2

Spread factor  $\beta$  is defined as the ratio of the spread of offspring solutions to that of parent solutions.

$$\beta = \left| \frac{o_2 - o_1}{p_2 - p_1} \right| \quad (1)$$

- Contracting crossover,  $\beta < 1$ 
  - The offspring solutions are enclosed by the parent solutions.



- Expanding crossover,  $\beta > 1$ 
  - The offspring solutions enclose the parent solutions.

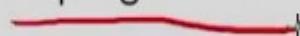


- Stationary crossover,  $\beta = 1$ 
  - The offspring solutions are the same as the parent solutions.



# Crossover Operator for Real Numbers

- We need structural change in crossover operator for dealing with real parameters.
- K. Deb and R. B. Agrawal. Simulated binary crossover for continuous search space. *Complex Systems*, 9(2):115–148, 1995
- SBX crossover operator: It was designed with respect to one-point crossover properties in binary coded GA.
  - ▶ **Average property:** The average of decoded values of binary strings before and after crossover are the same.
  - ▶ **Spread factor property:** Spread factor  $\beta$  is defined as the ratio of the spread of offspring solutions to that of parent solutions.
- The offspring solutions are calculated by following the average property.

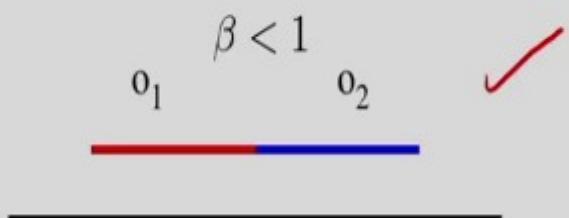


# SBX Crossover Operator

- Offspring:

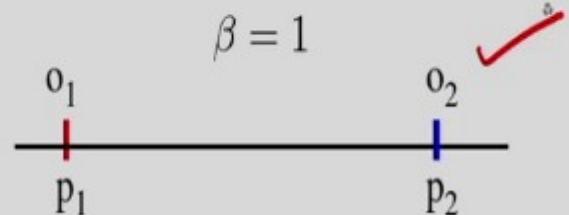
$$\begin{aligned} o_1 &= \bar{x} - \frac{1}{2}\beta(p_2 - p_1) \\ o_2 &= \bar{x} + \frac{1}{2}\beta(p_2 - p_1) \end{aligned}$$

$$\left. \begin{array}{l} \\ \end{array} \right\} \frac{o_1 + o_2}{2} = \frac{p_1 + p_2}{2}$$



- where,  $\bar{x} = \frac{1}{2}(p_1 + p_2)$  and  $p_2 > p_1$ .
- It ensures that the average values of offspring and parent solutions are  $\bar{o} = \bar{p}$ .

$$\boxed{\bar{o} = \bar{p}}$$



# Crossover Operator

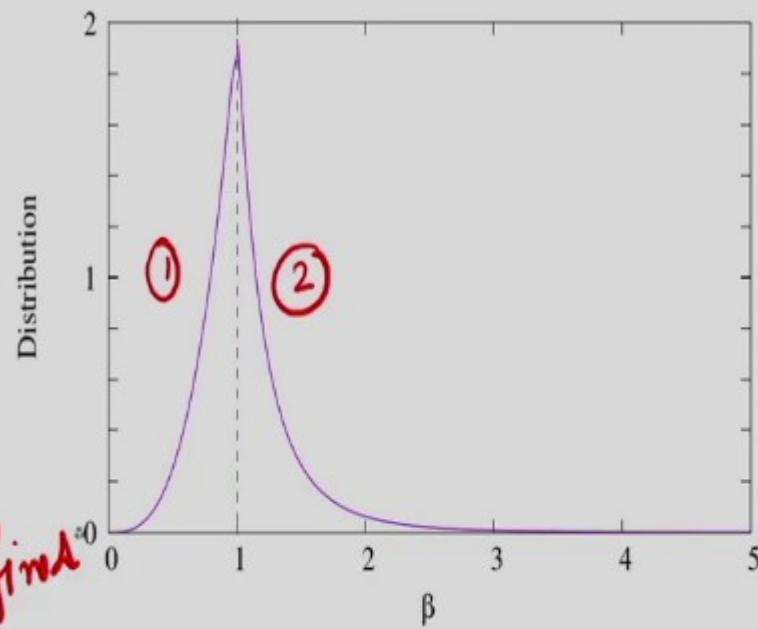
- Probability distribution of  $\beta$  in SBX should be similar to the probability distribution of  $\beta$  in binary-coded GA.

- Probability distribution function:

$$p(\beta_i) = \begin{cases} 0.5(\eta_c + 1)\beta_i^{\eta_c}, & \text{if } \beta_i \leq 1 \\ 0.5(\eta_c + 1)\frac{1}{\beta_i^{\eta_c+2}}, & \text{otherwise.} \end{cases}$$

- $\eta_c$  is the SBX crossover operator distribution factor that is set by us.

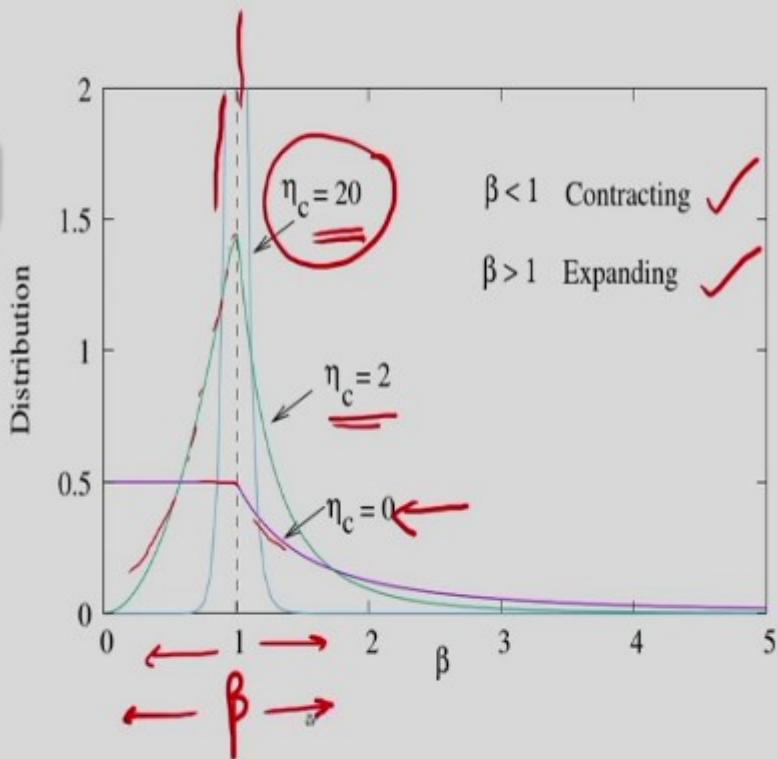
user-defined



# Crossover Operator

## Effect of $\eta_c$

- For a larger value of  $\eta_c$ , there is higher probability that offspring solutions will be created near to their parent solutions.
- Contracting and expanding on the sides of  $\beta = 1$  value for different values of  $\eta_c$ .



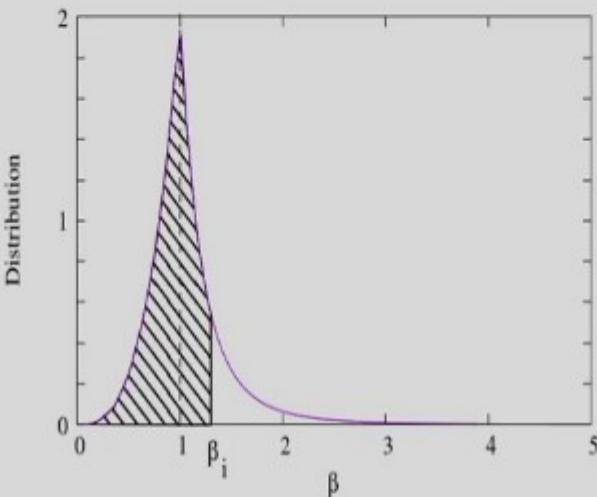
# Crossover Operator

- Probability distribution function:

$$p(\beta_i) = \begin{cases} 0.5(\eta_c + 1)\beta_i^{\eta_c}, & \text{if } \beta_i \leq 1 \\ 0.5(\eta_c + 1)\frac{1}{\beta_i^{\eta_c+2}}, & \text{otherwise.} \end{cases}$$

- Calculate  $\beta_i$  by equating area under the probability curve equal to  $u_i$  (a random number  $\in [0, 1]$ )

$$\beta_i = \begin{cases} (2u_i)^{\frac{1}{\eta_c+1}} & \text{if } u_i \leq 0.5 \\ \left(\frac{1}{2(1-u_i)}\right)^{\frac{1}{\eta_c+1}}, & \text{otherwise.} \end{cases}$$



- Offspring are:

$$\begin{aligned} x_i^{(1,t+1)} &= 0.5 \left[ (x_i^{(1,t)} + x_i^{(2,t)}) - \beta_i (x_i^{(2,t)} - x_i^{(1,t)}) \right] \\ x_i^{(2,t+1)} &= 0.5 \left[ (x_i^{(1,t)} + x_i^{(2,t)}) + \beta_i (x_i^{(2,t)} - x_i^{(1,t)}) \right] \end{aligned}$$

- Here,  $x_i^{(1,t+1)}$  represents  $i$ -th decision variable of the first parent solution at  $(t + 1)$ -th generation. Also,  $x_i^{(1,t)} < x_i^{(2,t)}$ .  $\beta_1 < \beta_2$

# SBX Crossover Operator: Hand Calculations

New Index	Mating Pool		$f(x_1, x_2)$
	$x_1$	$x_2$	
1	-0.742	1.934	194.618
2	-0.639	1.692	167.414
3	-2.393	-4.790	11066.800
4	2.212	3.009	357.154
5	2.212	3.009	357.154
6	-2.289	-2.396	5843.569
7	-0.742	1.934	194.618
8	-0.639	1.692	167.414

- Let us assume that the probability of crossover is  $p_c = 0.9$ , and user-defined parameter of SBX crossover operator is  $\eta_c = 15$ .

# SBX Crossover Operator: Hand Calculations

- We pick pairs of two solutions randomly from the mating pool for performing the crossover.
- We then generate random numbers sequentially for each of the pair as given below.

Pair	Random number ( $r$ )	Pair	Random number ( $r$ )
{3, 7}	0.63	{8, 4}	0.98
{5, 2}	0.13	{6, 1}	0.57

- Offspring equations

$$\begin{aligned}x_i^{(1,t+1)} &= 0.5 \left[ (x_i^{(1,t)} + x_i^{(2,t)}) - \beta_i (x_i^{(2,t)} - x_i^{(1,t)}) \right] \\x_i^{(2,t+1)} &= 0.5 \left[ (x_i^{(1,t)} + x_i^{(2,t)}) + \beta_i (x_i^{(2,t)} - x_i^{(1,t)}) \right].\end{aligned}\quad \left. \right\} \quad \beta_1 < \beta_2$$

- Offspring equations can also be written as

$$\begin{aligned}x_i^{(1,t+1)} &= 0.5 \left[ (1 + \beta_i) x_i^{(1,t)} + (1 - \beta_i) x_i^{(2,t)} \right] \\x_i^{(2,t+1)} &= 0.5 \left[ (1 - \beta_i) x_i^{(1,t)} + (1 + \beta_i) x_i^{(2,t)} \right].\end{aligned}\quad \Rightarrow$$

# SBX Crossover Operator: Hand Calculations

- For the first pair  $\{3, 7\}$ , since  $r = 0.63 < p_c = 0.9$ , we perform crossover.
  - Let us perform crossover on  $x_1$  variable of both solutions, that is,  $x_1^{(3)} = -2.393$  and  $x_1^{(7)} = -0.742$ .
  - It is important to note that when we perform crossover between two solutions  $p_1$  and  $p_2$ ,  $p_1 < p_2$ . Otherwise, interchange the values.
  - For this pair,  $p_1 = x_1^{(3)} = -2.393$  and  $p_2 = x_1^{(7)} = -0.742$ .
  - The random number for SBX crossover operator is  $u_1 = 0.236$ . The value of  $\beta_1 = 0.954$ .
  - The new values are  $0.5[(1 + \beta_1)p_1 + (1 - \beta_1)p_2]$  and  $0.5[(1 - \beta_1)p_1 + (1 + \beta_1)p_2]$ , that are,  $-2.355$  and  $-0.780$ .
  - Let us perform crossover on  $x_2$  variable of both solutions, that is,  $x_2^{(3)} = -4.790$  and  $x_2^{(7)} = 1.934$ .
  - For this pair,  $p_1 = x_2^{(3)} = -4.790$  and  $p_2 = x_2^{(7)} = 1.934$ .
  - The random number for SBX crossover operator is  $u_2 = 0.461$ . The value of  $\beta_2 = 0.995$ .
  - The new values are  $0.5[(1 + \beta_2)p_1 + (1 - \beta_2)p_2]$  and  $0.5[(1 - \beta_2)p_1 + (1 + \beta_2)p_2]$ , that are,  $-4.773$  and  $1.917$ .
- Two new solutions are:  $(-2.355, -4.773)^T$  and  $(-0.780, 1.917)^T$

## SBX Crossover Operator: Hand Calculations

- For the second pair of solutions  $\{5, 2\}$ , since  $r = 0.13 < p_c$ , we perform mutation.

- Let us perform crossover on  $x_1$  variable of both solutions, that is,  $x_1^{(5)} = 2.212$  and  $x_1^{(2)} = -0.639$ .

$b_1 < b_2$

# SBX Crossover Operator: Hand Calculations

- For the second pair of solutions  $\{5, 2\}$ , since  $r = 0.13 < p_c$ , we perform mutation.
  - Let us perform crossover on  $x_1$  variable of both solutions, that is,  $x_1^{(5)} = 2.212$  and  $x_1^{(2)} = -0.639$ .
  - For this pair,  $p_1 = x_1^{(2)} = -0.639$  and  $p_2 = x_1^{(5)} = 2.212$ .
  - The random number for SBX crossover operator is  $u_1 = 0.896$ . The value of  $\beta_1 = 1.103$ .
  - The new values are  $0.5 [(1 + \beta_1)p_1 + (1 - \beta_1)p_2]$  and  $0.5 [(1 - \beta_1)p_1 + (1 + \beta_1)p_2]$ , that are,  $-0.785$  and  $2.359$ .
- Let us perform crossover on  $x_2$  variable of both solutions, that is,  $x_2^{(5)} = 3.009$  and  $x_2^{(2)} = 1.692$ .
- For this pair,  $p_1 = x_2^{(2)} = 1.692$  and  $p_2 = x_2^{(5)} = 3.009$ .

$p_1 < p_2$

# SBX Crossover Operator: Hand Calculations

- For the second pair of solutions  $\{5, 2\}$ , since  $r = 0.13 < p_c$ , we perform mutation.
  - Let us perform crossover on  $x_1$  variable of both solutions, that is,  $x_1^{(5)} = 2.212$  and  $x_1^{(2)} = -0.639$ .
  - For this pair,  $p_1 = x_1^{(2)} = -0.639$  and  $p_2 = x_1^{(5)} = 2.212$ .
  - The random number for SBX crossover operator is  $u_1 = 0.896$ . The value of  $\beta_1 = 1.103$ .
  - The new values are  $0.5 [(1 + \beta_1)p_1 + (1 - \beta_1)p_2]$  and  $0.5 [(1 - \beta_1)p_1 + (1 + \beta_1)p_2]$ , that are,  $-0.785$  and  $2.359$ .
  - Let us perform crossover on  $x_2$  variable of both solutions, that is,  $x_2^{(5)} = 3.009$  and  $x_2^{(2)} = 1.692$ .
  - For this pair,  $p_1 = x_2^{(2)} = 1.692$  and  $p_2 = x_2^{(5)} = 3.009$ .
  - The random number for SBX crossover operator is  $u_2 = 0.511$ . The value of  $\beta_2 = 1.001$ .
  - The new values are  $0.5 [(1 + \beta_2)p_1 + (1 - \beta_2)p_2]$  and  $0.5 [(1 - \beta_2)p_1 + (1 + \beta_2)p_2]$ , that are,  $1.691$  and  $3.010$ .
- Two new solutions are:  $(-0.785, 1.691)^T$  and  $(2.359, 3.010)^T$ .

# SBX Crossover Operator: Hand Calculations

Crossover

- For the third pair of solutions  $\{8, 4\}$ , since  $r = 0.98 > p_c$ , we do not perform mutation.
  - Copy the same solutions:  $(-0.639, 1.692)^T$  and  $(2.212, 3.009)^T$ .

## SBX Crossover Operator: Hand Calculations

- For the third pair of solutions  $\{8, 4\}$ , since  $r = 0.98 > p_c$ , we do not perform ~~mutation~~.
  - ▶ Copy the same solutions:  $(-0.639, 1.692)^T$  and  $(2.212, 3.009)^T$ .
- For the last pair of solutions  $\{6, 1\}$ , we perform crossover because  $r = \underline{0.57} < p_c$ . The relevant data is:  $\underline{u_1 = 0.118}$  and  $\underline{\beta_1 = 0.914}$ , and  $\underline{u_2 = 0.335}$  and  $\underline{\beta_2 = 0.975}$ .
  - ▶ Two new solutions are:  $\underline{(-2.223, -2.342)^T}$  and  $\underline{(-0.809, 1.881)^T}$ .

# SBX Crossover Operator: Hand Calculations

Solutions after crossover

Index	$x_1$	$x_2$	$f(x_1, x_2)$
1	-0.809	1.881	153.874
2	-0.785	1.722	125.261
3	-2.355	-4.773	10655.925
4	2.212	3.009	357.154
5	2.359	2.978	670.843
6	-2.223	-2.342	5313.910
7	-0.780	1.917	174.606
8	-0.639	1.692	167.414

## Observations

- The best fitness among solutions before crossover was 167.414.
- Now, we have two solutions having better fitness than 167.414 in the table.

- Better solutions will be emphasized and bad solutions will be eliminated by selection operator in further generations.

# Mutation Operator

## Purpose

- Create new solution in a population with a low probability ( $p_m$ ): exploitation

## Polynomial Mutation operator

- Use polynomial distribution for perturbing a solution

$$y_i^{(1,t+1)} = x_i^{(1,t+1)} + (x_i^{(U)} - x_i^{(L)})\bar{\delta}_i$$

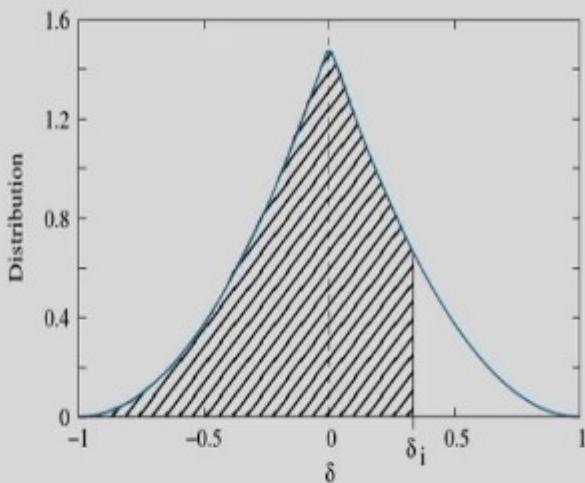
- $\bar{\delta}_i$  is calculated from polynomial probability distribution

$$\int P(\delta) = 0.5(\eta_m + 1)(1 - |\delta|)^{\eta_m} : \quad$$

- Calculate  $\bar{\delta}_i$  by equating area under the probability curve equal to  $r_i$  (a random number  $\in [0, 1]$ )  $\neq$

$$\checkmark \bar{\delta}_i = \begin{cases} (2r_i)^{1/(\eta_m+1)} - 1, & \text{if } r_i < 0.5, \\ 1 - [2(1 - r_i)]^{1/(\eta_m+1)}, & \text{if } r_i \geq 0.5. \end{cases}$$

$\eta_m$  user-defined



## Polynomial Mutation Operator: Hand Calculations

- Let the probability of mutation  $p_m = 1/n = 0.5$  and the user-defined parameter for polynomial mutation is  $\eta_m = 20$ .

$n=2$

# Polynomial Mutation Operator: Hand Calculations

- Let the probability of mutation  $p_m = 1/n = 0.5$  and the user-defined parameter for polynomial mutation is  $\eta_m = 20$ .
- We create random number between  $[0, 1]$  for each solution to perform mutation. Let the random numbers are: 0.24, 0.62, 0.98, 0.79, 0.31, 0.71, 0.49, 0.83.
- For solution 1, since  $r = 0.24 < p_m$ , we perform mutation.
  - Let the random number  $r_1 = 0.956$  for  $x_1^{(1)} = -0.809$ . We calculate  $\bar{\delta}_1 = 0.109$ .
  - New value is  $x_1^{(1)} + (x_1^{(U)} - x_1^{(L)})\bar{\delta}_1 = -0.809 + (10)(0.109) = 0.284$ .
  - Let the random number  $r_2 = 0.635$  for  $x_2^{(1)} = 1.881$ . We calculate  $\bar{\delta}_2 = -0.003$ .
  - New value is  $x_2^{(1)} + (x_2^{(U)} - x_2^{(L)})\bar{\delta}_2 = 1.881 + (10)(-0.003) = 1.856$ .
  - New solution is  $(-1.283, 1.856)^T$
- For solutions '2', '3' and '4', we do not perform mutation because  $r > p_m$ .
  - Copy them.

# Polynomial Mutation Operator: Hand Calculations

- For solution 5, since  $r = 0.31 < p_m$ , we perform mutation.
  - Let the random number  $r_1 = 0.217$  for  $x_1^{(5)} = 2.359$ . We calculate  $\bar{\delta}_1 = -0.039$ .
  - New value is  $x_1^{(5)} + (x_1^{(U)} - x_1^{(L)})\bar{\delta}_1 = 2.359 + (10)(-0.039) = 1.969$ .
  - Let the random number  $r_2 = 0.617$  for  $x_2^{(5)} = 2.978$ . We calculate  $\bar{\delta}_2 = 0.013$ .
  - New value is  $x_2^{(5)} + (x_2^{(U)} - x_2^{(L)})\bar{\delta}_2 = 2.978 + (10)(0.013) = 3.104$ .
  - New solution is  $(1.969, 3.104)^T$
- For solution '6', we do not perform mutation because  $r > p_m$ .
  - Copy it.
- We perform mutation on solution '7' because  $r = 0.49 < p_m$ . The other details are as follows:  $r_1 = 0.217$  and  $\bar{\delta}_1 = -0.039$ , and  $r_2 = 0.617$  and  $\bar{\delta}_2 = 0.013$ . New solution is  $(-0.528, 0.564)^T$ .
- For solution '8', we do not perform mutation because  $r > p_m$ .
  - Copy it.

# Polynomial Mutation Operator: Hand Calculations

Solutions after mutation			
Index	$x_1$	$x_2$	$f(x_1, x_2)$
1	0.284	1.856	315.568
2	-0.785	1.722	125.261
3	-2.355	-4.773	10655.925
4	2.212	3.009	357.154
5	1.969	3.104	60.744
6	-2.223	-2.342	5313.910
7	-0.528	0.564	10.515
8	-0.639	1.692	167.414

- Best solution in the initial population:  $(-0.639, 1.692)^T$  with  $f(x_1, x_2) = 167.414$ .
- Best solution after crossover:  $(-0.785, 1.691)^T$  with  $f(x_1, x_2) = 118.471$ .
- Best solution after mutation:  $(-0.528, 0.564)^T$  with  $f(x_1, x_2) = 10.515$ .
- However, solution 1 got worse after mutation.

## Observations on Mutation

- Mutation can create better or worse solution than parent solution.
- In selection, good solutions will be emphasized and bad solutions may get deleted.

# Survivor or Elimination

- We choose better solutions for the next generation.
- Applying  $(\mu + \lambda)$ -strategy, meaning, combine parent and offspring populations and choose the best  $N = 8$  solutions.

Parent population			
Index	$x_1$	$x_2$	$f(x_1, x_2)$
1	2.212	3.009	357.154
2	-2.289	-2.396	5843.569
3	-2.393	-4.790	11066.800
4	-0.639	1.692	167.414
5	-3.168	0.706	8718.166
6	0.215	-2.350	574.796
7	-0.742	1.934	194.618
8	-4.563	4.791	25731.235

Offspring population			
Index	$x_1$	$x_2$	$f(x_1, x_2)$
1	0.284	1.856	315.568
2	-0.785	1.722	125.261
3	-2.355	-4.773	10655.925
4	2.212	3.009	357.154
5	1.969	3.104	60.744
6	-2.223	-2.342	5313.910
7	-0.528	0.564	10.515
8	-0.639	1.692	167.414

+  
Sort  
 $f(x_1, x_2)$

# Survivor or Elimination

- We choose better solutions for the next generation.
- Applying  $(\mu + \lambda)$ -strategy, meaning, combine parent and offspring populations and choose the best  $N = 8$  solutions.

Parent population				Offspring population			
Index	$x_1$	$x_2$	$f(x_1, x_2)$	Index	$x_1$	$x_2$	$f(x_1, x_2)$
1	2.212	3.009	357.154	1	0.284	1.856	315.568
2	-2.289	-2.396	5843.569	2	-0.785	1.722	125.261
3	-2.393	-4.790	11066.800	3	-2.355	-4.773	10655.925
X 4	-0.639	1.692	167.414	4	2.212	3.009	357.154
5	-3.168	0.706	8718.166	5	1.969	3.104	60.744
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7	-0.742	1.934	194.618	7	-0.528	0.564	10.515
8	-4.563	4.791	25731.235	8	-0.639	1.692	167.414

- Offspring 7, Offspring 5, Offspring 2, Parent 4, Offspring 8, Parent 7, Offspring 1

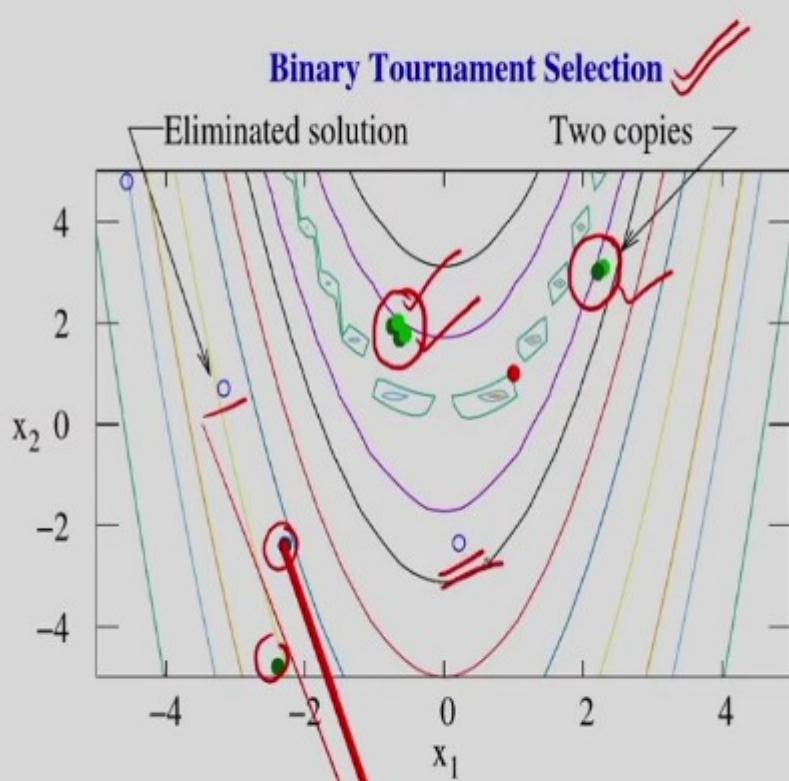
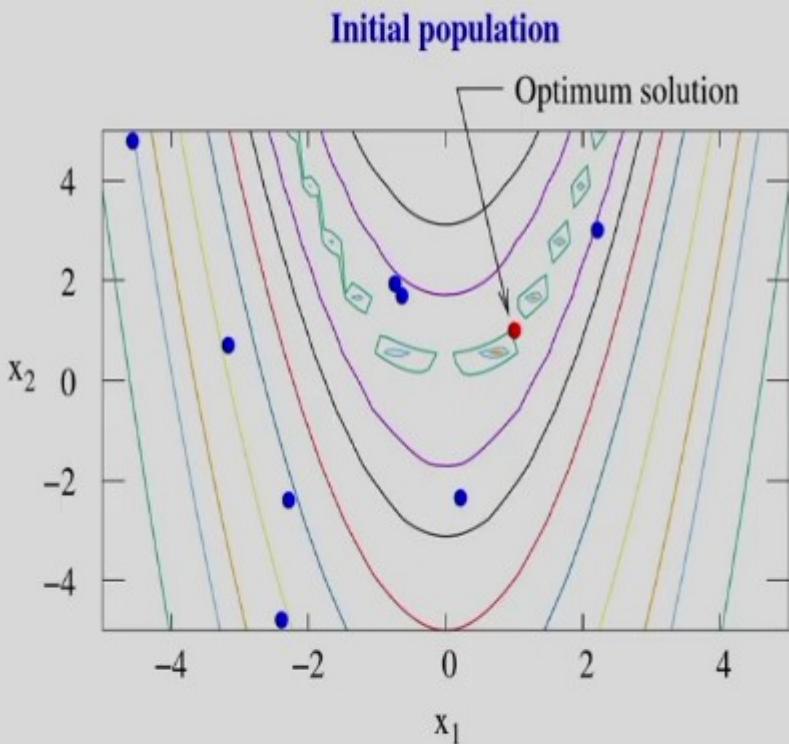
# Survivor or Elimination

Next generation parent population			
New index	$x_1$	$x_2$	$f(x_1, x_2)$
1	-0.528	0.564	10.515
2	1.969	3.104	60.744
3	-0.785	1.722	125.261
4	-0.639	1.692	167.414
5	-0.639	1.692	167.414
6	-0.742	1.934	194.618
7	0.284	1.856	315.568
8	2.212	3.009	357.154

## Generation

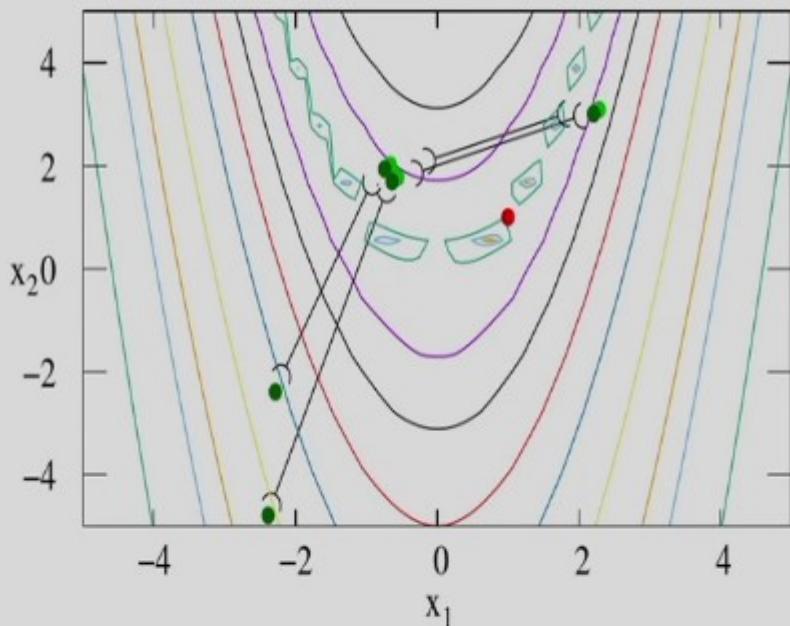
- First generation is over. Increase the counter by one, i.e.,  $t = t + 1 = 2$ . Check termination condition.
- If the condition not met, start from the binary tournament selection operator followed by crossover and mutation operators. Finally, select best  $N$  solutions using survivor stage.

# Graphical Example

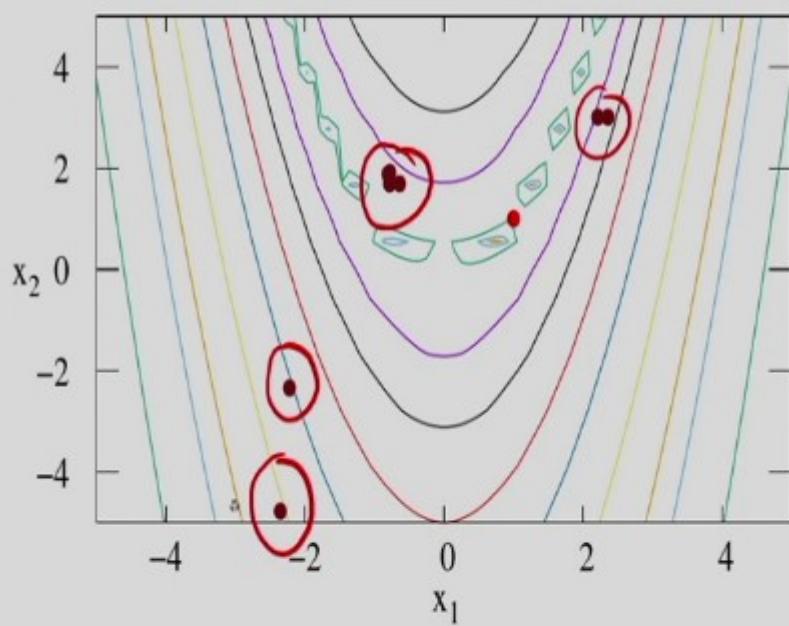


# Graphical Example

Mating pool

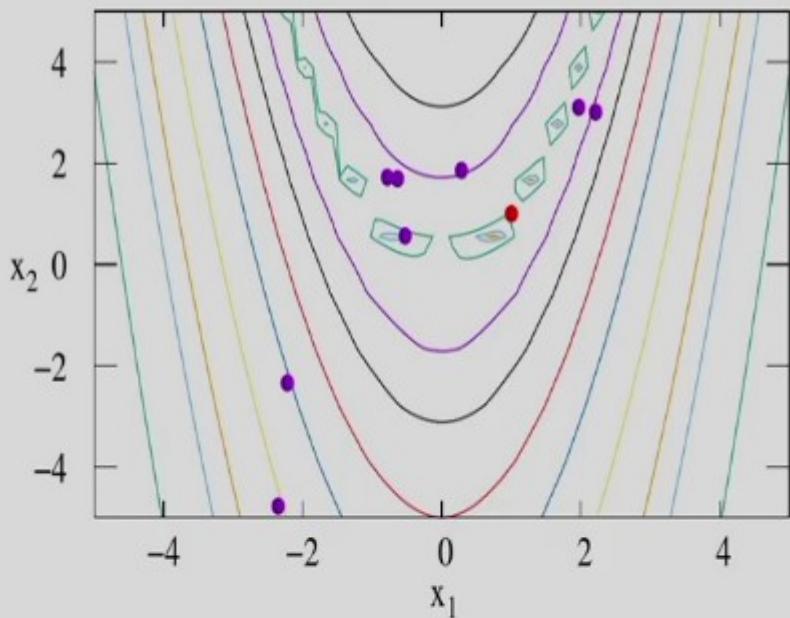


After crossover

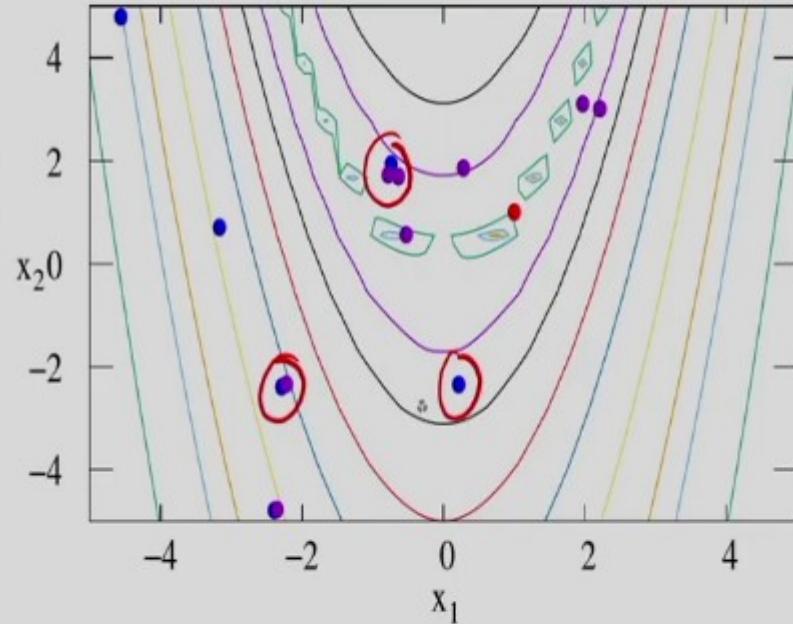


# Graphical Example

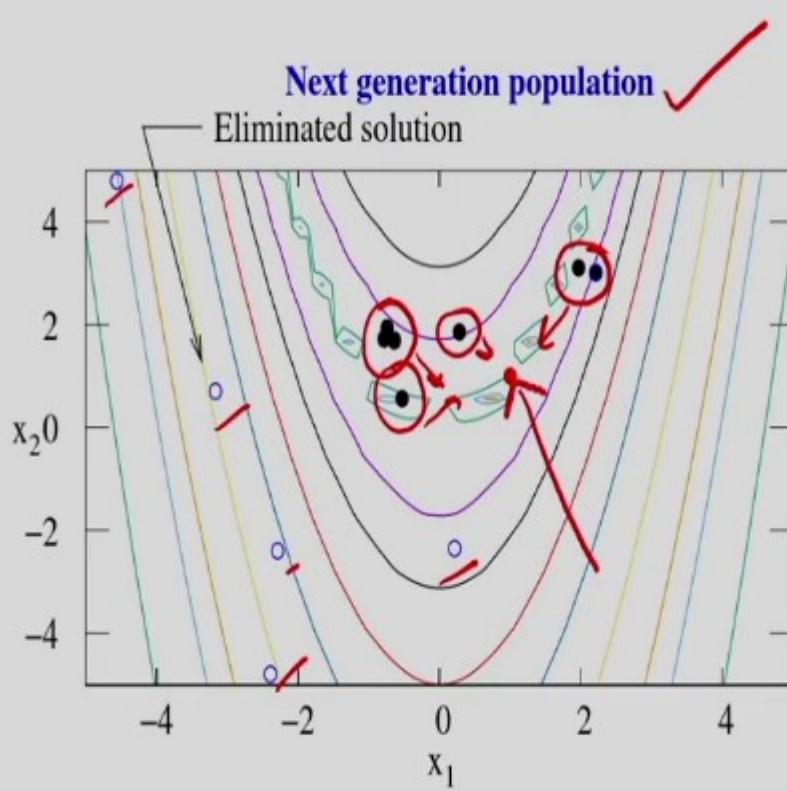
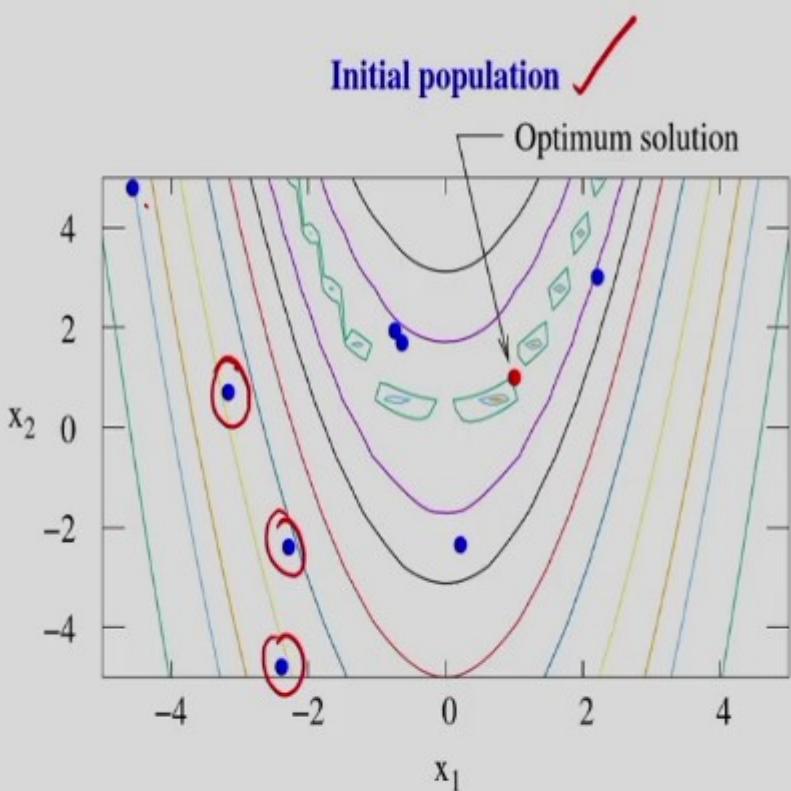
After mutation



Parent population + Offspring population



# Graphical Example



# Closure

- Limitations of BGA for solving problems with continuous search space
- EC Techniques for real-parameter optimization
- Generalized framework for RGA
- Working principles of RGA through an example
  - ▶ Initial population in which parameters are coded as real numbers
  - ▶ Selection operator: No change in its functioning was observed with respect to BGA because it used the fitness values.
  - ▶ **Crossover operator**: Properties of single-point crossover operator, SBX crossover operator

$p_i$        $u_i$   
 $p_1, p_2$

# Closure

- Limitations of BGA for solving problems with continuous search space
- EC Techniques for real-parameter optimization
- Generalized framework for RGA
- Working principles of RGA through an example
  - ▶ Initial population in which parameters are coded as real numbers
  - ▶ Selection operator: No change in its functioning was observed with respect to BGA because it used the fitness values.
  - ▶ Crossover operator: Properties of single-point crossover operator, SBX crossover operator
  - ▶ Polynomial mutation operator
  - ▶  $(\mu + \lambda)$ -strategy for survival stage
- Hand calculations for one generation
- ~~Graphical illustration of RGA for one generation~~