

Defn': A set  $D$  in  $\mathbb{R}^2$  is closed if it contains its boundary.

Ex: a)  $D = \{(x,y) : x^2 + y^2 \leq 4\}$

The boundary is  $x^2 + y^2 = 4$  which is in  $D$ .

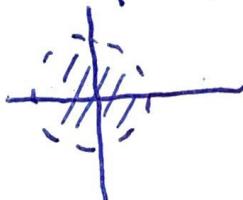
$D$  is closed



b)  $D = \{(x,y) : x^2 + y^2 < 4\}$

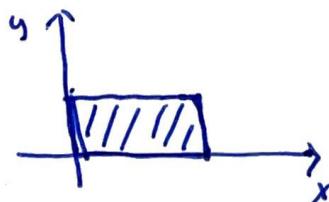
The boundary is  $x^2 + y^2 = 4$ .

$D$  is not closed



Defn': A set  $D$  in  $\mathbb{R}^2$  is bounded if there exists a circular disk entirely containing  $D$ ,

Ex: a)  $D = \{(x,y) : 0 \leq x \leq 2, 0 \leq y \leq 1\}$

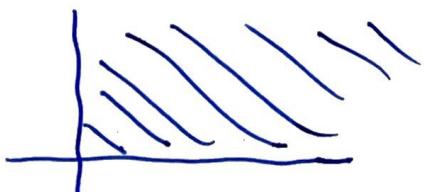


The set is bounded. The circle  $C = \{(x,y) : (x-1)^2 + (y-\frac{1}{2})^2 \leq \frac{1}{2}\}$  contains  $D$ . The set is also closed.

b)  $D = \{(x,y) : x \geq 0, y \geq 0\}$

$D$  is not bounded

$D$  is closed. It contains boundary  $x=0$  and  $y=0$ .



Extreme Value Theorem: If  $f(x,y)$  is continuous on a closed and bounded set  $D$ , then it has an absolute maximum value and absolute minimum value in  $D$ .

### Steps

- (1) Evaluate  $f$  at critical points in  $D$ .
- (2) Maximize and Minimize  $f$  on the boundary of  $D$ .
- (3) Largest value is absolute max. Smallest value is absolute min.

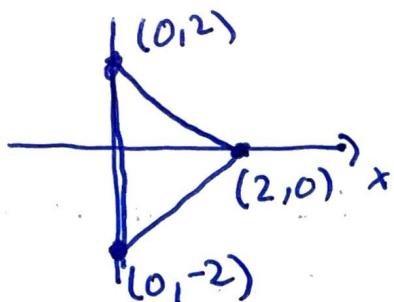
Ex: Find the absolute max and absolute min values of  $f(x,y) = x^2 + y^2 - 2x$  on  $D$  where  $D$  is the closed triangular region with vertices  $(0,2)$ ,  $(0,-2)$ , and  $(2,0)$ .

Solve  
 $\nabla f = \vec{0}$

$$\langle 2x-2, 2y \rangle = \vec{0}$$

Critical Point is  $(1,0)$

$$f(1,0) = 1 + 0 - 2 = -1$$



## Boundary

(1)  $x=0$  for  $-2 \leq y \leq 2$

$$f(0,y) = y^2 \text{ on } [-2, 2]$$

$$f_y = 2y = 0$$

Critical Point  $(0, 0)$

By EVT,  $f(0,y)$  has absolute extreme on closed interval.  
Check critical points and end points.

$$f(0, -2) = 4$$

$$f(0, 0) = 0$$

$$f(0, 2) = 4$$

(2) Line between  $(0, -2)$  and  $(2, 0)$

$$y = x - 2 \quad [0, 2]$$

$$f(x, x-2) = x^2 + (x-2)^2 - 2x \text{ on } [0, 2]$$

$$\begin{aligned} f_x(x, x-2) &= 2x + 2(x-2) - 2 \\ &= 4x - 6 = 0 \quad x = \frac{3}{2} \end{aligned}$$

$$f\left(\frac{3}{2}, -\frac{1}{2}\right) = \frac{9}{4} + \frac{1}{4} - 3 = \frac{5}{2} - \frac{6}{2} = -\frac{1}{2}$$

$$f(2, 0) = 4 - 4 = 0$$

③ Line between  $(0,2)$  and  $(2,0)$

$$y = 2 - x \quad \text{for } 0 \leq x \leq 2$$

$$\begin{aligned} f(x, 2-x) &= x^2 + (2-x)^2 - 2x \\ &= f(x, x-2) \end{aligned}$$

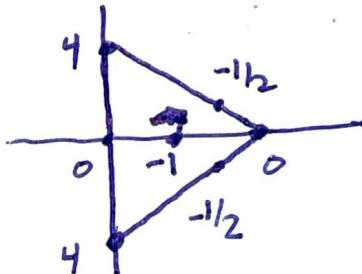
*f is even in y coordinate.*

Therefore another critical point at  $x = \frac{3}{2}$ .

$$f\left(\frac{3}{2}, \frac{1}{2}\right) = -\frac{1}{2}$$

Absolute min of ~~-1~~ -1  
at  $(1,0)$ .

Absolute max of 4  
at  $(0,-2)$  and  $(0,2)$ .



Ex: Find absolute extremes of  $f(x,y) = 2x^2 + 3y^2 - 4x - 5$

$$\text{on } D = \{(x,y) : x^2 + y^2 \leq 16\}$$

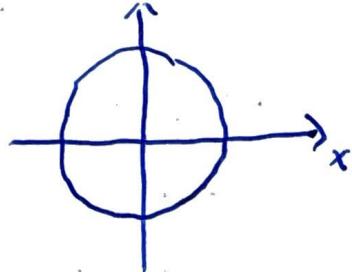
Solve

$$\nabla f = \vec{0}$$

$$\langle 4x - 4, 6y \rangle = \vec{0}$$

Critical Point is  $(1,0)$

$$f(1,0) = 2 - 4 - 5 = -7$$



Boundary:  $x^2 + y^2 = 16$ .

Use Lagrange multiplier method to maximize/minimize

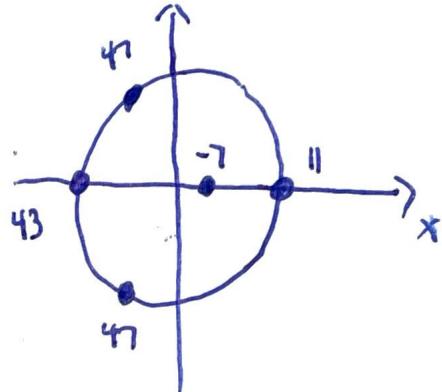
$f$  subject to  $g(x, y) = x^2 + y^2 = 16$ .

$$\begin{cases} \nabla f = \lambda \nabla g \\ g = 16 \end{cases}$$

$$① 4x - 4 = 2\lambda x$$

$$② 6y = 2\lambda y$$

$$③ g = 16$$



From ②,  $2y(3-\lambda) = 0$ . Either  $y=0$  or  $\lambda=3$ .

If  $y=0$ , then from ③,  $x^2 = 16$  and  $x = \pm 4$

$(-4, 0)$  and  $(4, 0)$  are solutions.

If  $\lambda=3$ , then from ①,  $4x - 4 = 6x$  and  $x = -2$

Then from ③,  $4 + y^2 = 16$  and  $y = \pm \sqrt{12} = \pm 2\sqrt{3}$   
 $(-2, 2\sqrt{3})$  and  $(-2, -2\sqrt{3})$  are solutions.

$$f(-4, 0) = 32 + 16 - 5 = 43$$

$$f(4, 0) = 32 - 16 - 5 = 11$$

$$f(-2, 2\sqrt{3}) = 8 + 36 + 8 - 5 = 47$$

$$f(-2, -2\sqrt{3}) = 47$$

Absolute min of  $-7$  at  $(1, 0)$ ,

Absolute max of  $47$  at  $(-2, \pm 2\sqrt{3})$