

Abstract

We present the theory of Simpl, a sequential imperative programming language. We introduce its syntax, its semantics (big and small-step operational semantics) and Hoare logics for both partial as well as total correctness. We prove soundness and completeness of the Hoare logic. We integrate and automate the Hoare logic in Isabelle/HOL to obtain a practically usable verification environment for imperative programs.

Simpl is independent of a concrete programming language but expressive enough to cover all common language features: mutually recursive procedures, abrupt termination and exceptions, runtime faults, local and global variables, pointers and heap, expressions with side effects, pointers to procedures, partial application and closures, dynamic method invocation and also unbounded nondeterminism.

— Simpl —

A Sequential Imperative Programming Language Syntax, Semantics, Hoare Logics and Verification Environment

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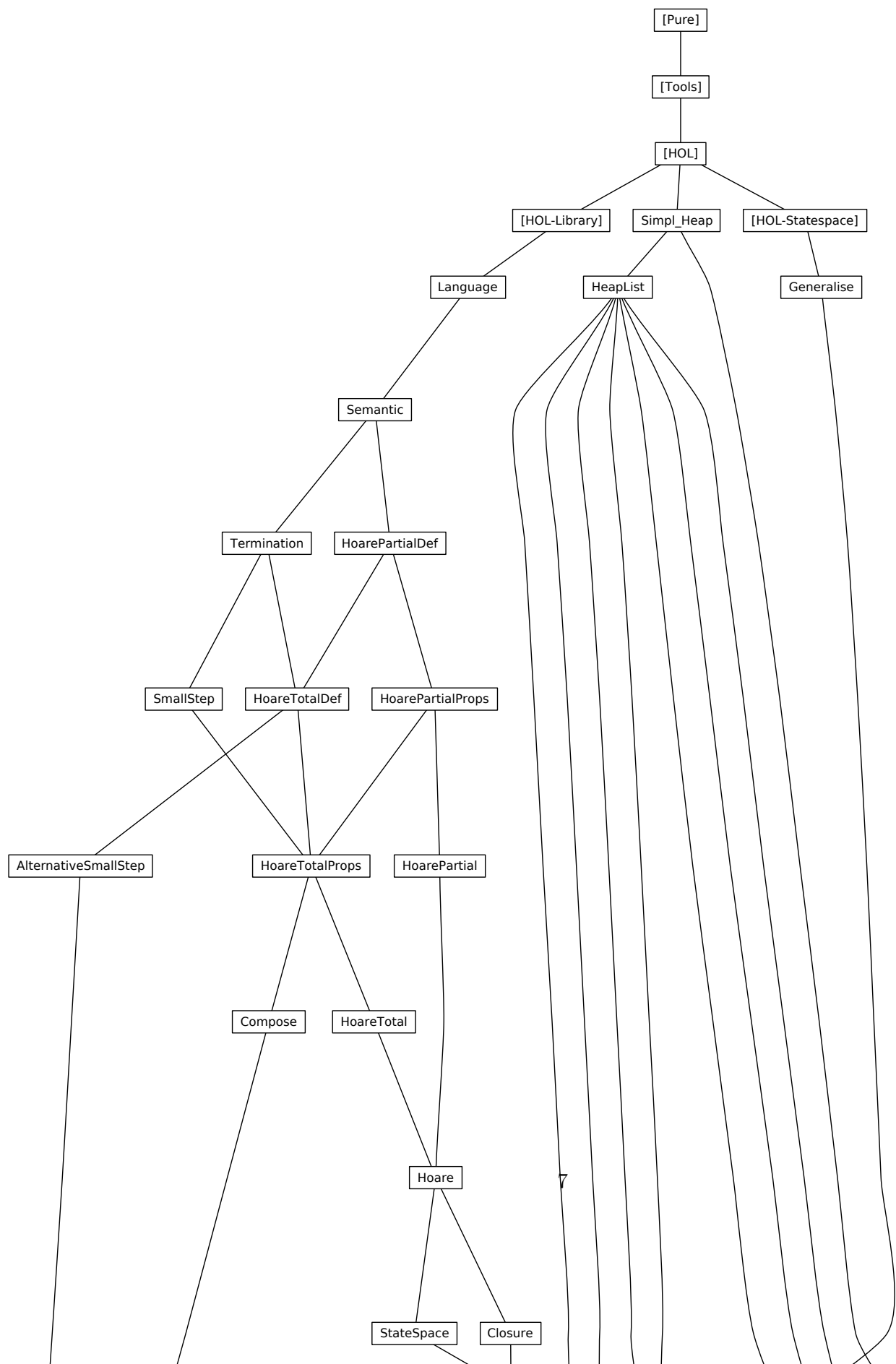
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1 Introduction

The work presented in these theories was developed within the German Verisoft project¹. A thorough description of the core parts can be found in my PhD thesis [9]. A tutorial-like user guide is in Section 26.

Applications so far include BDD-normalisation [8], a C0 compiler [4], a page fault handler [1] and extensions towards separation logic [10].

2 The Simpl Syntax

theory *Language* **imports** *HOL–Library.Old-Recdef* **begin**

2.1 The Core Language

We use a shallow embedding of boolean expressions as well as assertions as sets of states.

type-synonym *'s bexp* = *'s set*

type-synonym *'s assn* = *'s set*

datatype (*dead 's, 'p, 'f*) *com* =
 Skip
 | *Basic 's* \Rightarrow *'s*
 | *Spec ('s* \times *'s)* *set*
 | *Seq ('s, 'p, 'f)* *com* (*'s, 'p, 'f*) *com*
 | *Cond 's bexp ('s, 'p, 'f)* *com* (*'s, 'p, 'f*) *com*
 | *While 's bexp ('s, 'p, 'f)* *com*
 | *Call 'p*
 | *DynCom 's* \Rightarrow (*'s, 'p, 'f*) *com*
 | *Guard 'f 's bexp ('s, 'p, 'f)* *com*
 | *Throw*
 | *Catch ('s, 'p, 'f)* *com* (*'s, 'p, 'f*) *com*

2.2 Derived Language Constructs

definition

raise:: (*'s* \Rightarrow *'s*) \Rightarrow (*'s, 'p, 'f*) *com* **where**
raise f = *Seq (Basic f) Throw*

definition

condCatch:: (*'s, 'p, 'f*) *com* \Rightarrow *'s bexp* \Rightarrow (*'s, 'p, 'f*) *com* \Rightarrow (*'s, 'p, 'f*) *com* **where**
condCatch c₁ b c₂ = *Catch c₁ (Cond b c₂ Throw)*

definition

bind:: (*'s* \Rightarrow *'v*) \Rightarrow (*'v* \Rightarrow (*'s, 'p, 'f*) *com*) \Rightarrow (*'s, 'p, 'f*) *com* **where**
bind e c = *DynCom ($\lambda s. c (e s)$)*

¹<http://www.verisoft.de>

definition

$bseq:: ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$ **where**
 $bseq = Seq$

definition

$block:: ['s \Rightarrow 's, ('s, 'p, 'f) \text{ com}, 's \Rightarrow 's \Rightarrow 's, 's \Rightarrow 's \Rightarrow ('s, 'p, 'f) \text{ com}] \Rightarrow ('s, 'p, 'f) \text{ com}$
where
 $block \text{ init } bdy \text{ return } c =$
 $DynCom (\lambda s. (Seq (Catch (Seq (Basic \text{ init } bdy) (Seq (Basic (\text{ return } s)) Throw))$
 $(DynCom (\lambda t. Seq (Basic (\text{ return } s)) (c \ s \ t))))$
 $)$

definition

$call:: ('s \Rightarrow 's) \Rightarrow 'p \Rightarrow ('s \Rightarrow 's \Rightarrow 's) \Rightarrow ('s \Rightarrow 's \Rightarrow ('s, 'p, 'f) \text{ com}) \Rightarrow ('s, 'p, 'f) \text{ com}$
where
 $call \text{ init } p \text{ return } c = block \text{ init } (Call \ p) \text{ return } c$

definition

$dynCall:: ('s \Rightarrow 's) \Rightarrow ('s \Rightarrow 'p) \Rightarrow$
 $('s \Rightarrow 's \Rightarrow 's) \Rightarrow ('s \Rightarrow 's \Rightarrow ('s, 'p, 'f) \text{ com}) \Rightarrow ('s, 'p, 'f) \text{ com}$ **where**
 $dynCall \text{ init } p \text{ return } c = DynCom (\lambda s. call \text{ init } (p \ s) \text{ return } c)$

definition

$fcall:: ('s \Rightarrow 's) \Rightarrow 'p \Rightarrow ('s \Rightarrow 's \Rightarrow 's) \Rightarrow ('s \Rightarrow 'v) \Rightarrow ('v \Rightarrow ('s, 'p, 'f) \text{ com})$
 $\Rightarrow ('s, 'p, 'f) \text{ com}$ **where**
 $fcall \text{ init } p \text{ return result } c = call \text{ init } p \text{ return } (\lambda s \ t. c \ (\text{result } t))$

definition

$lem:: 'x \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$ **where**
 $lem \ x \ c = c$

primrec $switch:: ('s \Rightarrow 'v) \Rightarrow ('v \text{ set} \times ('s, 'p, 'f) \text{ com}) \text{ list} \Rightarrow ('s, 'p, 'f) \text{ com}$
where

$switch \ v \ [] = Skip \ |$
 $switch \ v \ (Vc \# vs) = Cond \ \{s. \ v \ s \in fst \ Vc\} \ (snd \ Vc) \ (switch \ v \ vs)$

definition $guaranteeStrip:: 'f \Rightarrow 's \text{ set} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$
where $guaranteeStrip \ f \ g \ c = Guard \ f \ g \ c$

definition $guaranteeStripPair:: 'f \Rightarrow 's \text{ set} \Rightarrow ('f \times 's \text{ set})$
where $guaranteeStripPair \ f \ g = (f, g)$

primrec $guards:: ('f \times 's \text{ set}) \text{ list} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$
where

$guards \ [] \ c = c \ |$
 $guards \ (g \# gs) \ c = Guard \ (fst \ g) \ (snd \ g) \ (guards \ gs \ c)$

definition

$while:: ('f \times 's \text{ set}) \text{ list} \Rightarrow 's \text{ bexp} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$
where
 $while \text{ gs } b \text{ c} = \text{guards gs } (While \text{ b } (Seq \text{ c } (\text{guards gs } Skip)))$

definition

$whileAnno::$
 $'s \text{ bexp} \Rightarrow 's \text{ assn} \Rightarrow ('s \times 's) \text{ assn} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$ **where**
 $whileAnno \text{ b } I \text{ V } c = While \text{ b } c$

definition

$whileAnnoG::$
 $('f \times 's \text{ set}) \text{ list} \Rightarrow 's \text{ bexp} \Rightarrow 's \text{ assn} \Rightarrow ('s \times 's) \text{ assn} \Rightarrow$
 $('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$ **where**
 $whileAnnoG \text{ gs } b \text{ I } V \text{ c} = while \text{ gs } b \text{ c}$

definition

$specAnno:: ('a \Rightarrow 's \text{ assn}) \Rightarrow ('a \Rightarrow ('s, 'p, 'f) \text{ com}) \Rightarrow$
 $('a \Rightarrow 's \text{ assn}) \Rightarrow ('a \Rightarrow 's \text{ assn}) \Rightarrow ('s, 'p, 'f) \text{ com}$
where $specAnno \text{ P } c \text{ Q } A = (c \text{ undefined})$

definition

$whileAnnoFix::$
 $'s \text{ bexp} \Rightarrow ('a \Rightarrow 's \text{ assn}) \Rightarrow ('a \Rightarrow ('s \times 's) \text{ assn}) \Rightarrow ('a \Rightarrow ('s, 'p, 'f) \text{ com}) \Rightarrow$
 $('s, 'p, 'f) \text{ com}$ **where**
 $whileAnnoFix \text{ b } I \text{ V } c = While \text{ b } (c \text{ undefined})$

definition

$whileAnnoGFix::$
 $('f \times 's \text{ set}) \text{ list} \Rightarrow 's \text{ bexp} \Rightarrow ('a \Rightarrow 's \text{ assn}) \Rightarrow ('a \Rightarrow ('s \times 's) \text{ assn}) \Rightarrow$
 $('a \Rightarrow ('s, 'p, 'f) \text{ com}) \Rightarrow ('s, 'p, 'f) \text{ com}$ **where**
 $whileAnnoGFix \text{ gs } b \text{ I } V \text{ c} = while \text{ gs } b \text{ (c undefined)}$

definition $if\text{-rel}:: ('s \Rightarrow \text{bool}) \Rightarrow ('s \Rightarrow 's) \Rightarrow ('s \Rightarrow 's) \Rightarrow ('s \Rightarrow 's) \Rightarrow ('s \times 's) \text{ set}$
where $if\text{-rel } b \text{ f } g \text{ h} = \{(s, t). \text{ if } b \text{ s then } t = f \text{ s else } t = g \text{ s } \vee t = h \text{ s}\}$

lemma $\text{fst-guaranteeStripPair}: \text{fst } (\text{guaranteeStripPair } f \text{ g}) = f$
by $(\text{simp add: guaranteeStripPair-def})$

lemma $\text{snd-guaranteeStripPair}: \text{snd } (\text{guaranteeStripPair } f \text{ g}) = g$
by $(\text{simp add: guaranteeStripPair-def})$

2.3 Operations on Simpl-Syntax

2.3.1 Normalisation of Sequential Composition: *sequence*, *flatten* and *normalize*

primrec $\text{flatten}:: ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com list}$
where
 $\text{flatten } Skip = [Skip] \mid$

$flatten\ (Basic\ f) = [Basic\ f] \mid$
 $flatten\ (Spec\ r) = [Spec\ r] \mid$
 $flatten\ (Seq\ c_1\ c_2) = flatten\ c_1 @ flatten\ c_2 \mid$
 $flatten\ (Cond\ b\ c_1\ c_2) = [Cond\ b\ c_1\ c_2] \mid$
 $flatten\ (While\ b\ c) = [While\ b\ c] \mid$
 $flatten\ (Call\ p) = [Call\ p] \mid$
 $flatten\ (DynCom\ c) = [DynCom\ c] \mid$
 $flatten\ (Guard\ f\ g\ c) = [Guard\ f\ g\ c] \mid$
 $flatten\ Throw = [Throw] \mid$
 $flatten\ (Catch\ c_1\ c_2) = [Catch\ c_1\ c_2]$

primrec $sequence:: (('s, 'p, 'f)\ com \Rightarrow ('s, 'p, 'f)\ com \Rightarrow ('s, 'p, 'f)\ com) \Rightarrow ('s, 'p, 'f)\ com\ list \Rightarrow ('s, 'p, 'f)\ com$

where

$sequence\ seq\ [] = Skip \mid$
 $sequence\ seq\ (c\#cs) = (case\ cs\ of\ [] \Rightarrow c \mid - \Rightarrow seq\ c\ (sequence\ seq\ cs))$

primrec $normalize:: ('s, 'p, 'f)\ com \Rightarrow ('s, 'p, 'f)\ com$

where

$normalize\ Skip = Skip \mid$
 $normalize\ (Basic\ f) = Basic\ f \mid$
 $normalize\ (Spec\ r) = Spec\ r \mid$
 $normalize\ (Seq\ c_1\ c_2) = sequence\ Seq\ ((flatten\ (normalize\ c_1)) @ (flatten\ (normalize\ c_2))) \mid$
 $normalize\ (Cond\ b\ c_1\ c_2) = Cond\ b\ (normalize\ c_1)\ (normalize\ c_2) \mid$
 $normalize\ (While\ b\ c) = While\ b\ (normalize\ c) \mid$
 $normalize\ (Call\ p) = Call\ p \mid$
 $normalize\ (DynCom\ c) = DynCom\ (\lambda s. (normalize\ (c\ s))) \mid$
 $normalize\ (Guard\ f\ g\ c) = Guard\ f\ g\ (normalize\ c) \mid$
 $normalize\ Throw = Throw \mid$
 $normalize\ (Catch\ c_1\ c_2) = Catch\ (normalize\ c_1)\ (normalize\ c_2)$

lemma $flatten-nonEmpty: flatten\ c \neq []$

by $(induct\ c)\ simp-all$

lemma $flatten-single: \forall c \in set\ (flatten\ c'). flatten\ c = [c]$

apply $(induct\ c')$

apply $simp$

apply $simp$

apply $simp$

apply $(simp\ (no-asm-use))$

apply $blast$

apply $(simp\ (no-asm-use))$

apply $(simp\ (no-asm-use))$

apply $simp$

apply $(simp\ (no-asm-use))$

```

apply (simp (no-asm-use))
apply simp
apply (simp (no-asm-use))
done

```

```

lemma flatten-sequence-id:
   $\llbracket cs \neq [] \rrbracket; \forall c \in \text{set } cs. \text{flatten } c = [c] \implies \text{flatten } (\text{sequence Seq } cs) = cs$ 
apply (induct cs)
apply simp
apply (case-tac cs)
apply simp
apply auto
done

```

```

lemma flatten-app:
   $\text{flatten } (\text{sequence Seq } (\text{flatten } c1 @ \text{flatten } c2)) = \text{flatten } c1 @ \text{flatten } c2$ 
apply (rule flatten-sequence-id)
apply (simp add: flatten-nonEmpty)
apply (simp)
apply (insert flatten-single)
apply blast
done

```

```

lemma flatten-sequence-flatten:  $\text{flatten } (\text{sequence Seq } (\text{flatten } c)) = \text{flatten } c$ 
apply (induct c)
apply (auto simp add: flatten-app)
done

```

```

lemma sequence-flatten-normalize:  $\text{sequence Seq } (\text{flatten } (\text{normalize } c)) = \text{normalize } c$ 
apply (induct c)
apply (auto simp add: flatten-app)
done

```

```

lemma flatten-normalize:  $\bigwedge x \text{ xs. } \text{flatten } (\text{normalize } c) = x \# \text{xs}$ 
   $\implies (\text{case xs of []} \Rightarrow \text{normalize } c = x$ 
     $\mid (x' \# \text{xs}') \Rightarrow \text{normalize } c = \text{Seq } x (\text{sequence Seq } \text{xs}'))$ 
proof (induct c)
  case (Seq c1 c2)
  have  $\text{flatten } (\text{normalize } (\text{Seq } c1 c2)) = x \# \text{xs}$  by fact
  hence  $\text{flatten } (\text{sequence Seq } (\text{flatten } (\text{normalize } c1) @ \text{flatten } (\text{normalize } c2)))$ 
  =
     $x \# \text{xs}$ 
  by simp

```

```

hence  $x\text{-}xs$ :  $\text{flatten } (\text{normalize } c1) @ \text{flatten } (\text{normalize } c2) = x \# xs$ 
  by (simp add: flatten-app)
show ?case
proof (cases flatten (normalize c1))
  case Nil
    with flatten-nonEmpty show ?thesis by auto
  next
    case (Cons x1 xs1)
    note  $\text{Cons-}x1\text{-}xs1 = \text{this}$ 
    with  $x\text{-}xs$  obtain
       $x\text{-}x1$ :  $x=x1$  and  $xs\text{-}rest$ :  $xs=x1 @ \text{flatten } (\text{normalize } c2)$ 
      by auto
    show ?thesis
    proof (cases xs1)
      case Nil
        from Seq.hyps (1) [OF Cons-}x1\text{-}xs1] Nil
        have  $\text{normalize } c1 = x1$ 
          by simp
        with  $\text{Cons-}x1\text{-}xs1$  Nil  $x\text{-}x1$   $xs\text{-}rest$  show ?thesis
          apply (cases flatten (normalize c2))
          apply (fastforce simp add: flatten-nonEmpty)
          apply simp
          done
      next
        case Cons
        from Seq.hyps (1) [OF Cons-}x1\text{-}xs1] Cons
        have  $\text{normalize } c1 = \text{Seq } x1 \text{ (sequence Seq } xs1)$ 
          by simp
        with  $\text{Cons-}x1\text{-}xs1$  Nil  $x\text{-}x1$   $xs\text{-}rest$  show ?thesis
          apply (cases flatten (normalize c2))
          apply (fastforce simp add: flatten-nonEmpty)
          apply (simp split: list.splits)
          done
    qed
  qed
qed (auto)

lemma flatten-raise [simp]:  $\text{flatten } (\text{raise } f) = [\text{Basic } f, \text{Throw}]$ 
  by (simp add: raise-def)

lemma flatten-condCatch [simp]:  $\text{flatten } (\text{condCatch } c1 \text{ } b \text{ } c2) = [\text{condCatch } c1 \text{ } b$ 
 $c2]$ 
  by (simp add: condCatch-def)

lemma flatten-bind [simp]:  $\text{flatten } (\text{bind } e \text{ } c) = [\text{bind } e \text{ } c]$ 
  by (simp add: bind-def)

lemma flatten-bseq [simp]:  $\text{flatten } (\text{bseq } c1 \text{ } c2) = \text{flatten } c1 @ \text{flatten } c2$ 
  by (simp add: bseq-def)

```

lemma *flatten-block* [*simp*]:
flatten (*block* *init* *bdy* *return* *result*) = [*block* *init* *bdy* *return* *result*]
by (*simp* *add*: *block-def*)

lemma *flatten-call* [*simp*]: *flatten* (*call* *init* *p* *return* *result*) = [*call* *init* *p* *return* *result*]
by (*simp* *add*: *call-def*)

lemma *flatten-dynCall* [*simp*]: *flatten* (*dynCall* *init* *p* *return* *result*) = [*dynCall* *init* *p* *return* *result*]
by (*simp* *add*: *dynCall-def*)

lemma *flatten-fcall* [*simp*]: *flatten* (*fcall* *init* *p* *return* *result* *c*) = [*fcall* *init* *p* *return* *result* *c*]
by (*simp* *add*: *fcall-def*)

lemma *flatten-switch* [*simp*]: *flatten* (*switch* *v* *Vcs*) = [*switch* *v* *Vcs*]
by (*cases* *Vcs*) *auto*

lemma *flatten-guaranteeStrip* [*simp*]:
flatten (*guaranteeStrip* *f* *g* *c*) = [*guaranteeStrip* *f* *g* *c*]
by (*simp* *add*: *guaranteeStrip-def*)

lemma *flatten-while* [*simp*]: *flatten* (*while* *gs* *b* *c*) = [*while* *gs* *b* *c*]
apply (*simp* *add*: *while-def*)
apply (*induct* *gs*)
apply *auto*
done

lemma *flatten-whileAnno* [*simp*]:
flatten (*whileAnno* *b* *I* *V* *c*) = [*whileAnno* *b* *I* *V* *c*]
by (*simp* *add*: *whileAnno-def*)

lemma *flatten-whileAnnoG* [*simp*]:
flatten (*whileAnnoG* *gs* *b* *I* *V* *c*) = [*whileAnnoG* *gs* *b* *I* *V* *c*]
by (*simp* *add*: *whileAnnoG-def*)

lemma *flatten-specAnno* [*simp*]:
flatten (*specAnno* *P* *c* *Q* *A*) = *flatten* (*c* *undefined*)
by (*simp* *add*: *specAnno-def*)

lemmas *flatten-simps* = *flatten.simps* *flatten-raise* *flatten-condCatch* *flatten-bind*
flatten-block *flatten-call* *flatten-dynCall* *flatten-fcall* *flatten-switch*
flatten-guaranteeStrip
flatten-while *flatten-whileAnno* *flatten-whileAnnoG* *flatten-specAnno*

lemma *normalize-raise* [*simp*]:
normalize (*raise* *f*) = *raise* *f*

```

by (simp add: raise-def)

lemma normalize-condCatch [simp]:
  normalize (condCatch c1 b c2) = condCatch (normalize c1) b (normalize c2)
  by (simp add: condCatch-def)

lemma normalize-bind [simp]:
  normalize (bind e c) = bind e ( $\lambda v.$  normalize (c v))
  by (simp add: bind-def)

lemma normalize-bseq [simp]:
  normalize (bseq c1 c2) = sequence bseq
    ((flatten (normalize c1)) @ (flatten (normalize c2)))
  by (simp add: bseq-def)

lemma normalize-block [simp]: normalize (block init bdy return c) =
  block init (normalize bdy) return ( $\lambda s t.$  normalize (c s t))
  apply (simp add: block-def)
  apply (rule ext)
  apply (simp)
  apply (cases flatten (normalize bdy))
  apply (simp add: flatten-nonEmpty)
  apply (rule conjI)
  apply simp
  apply (drule flatten-normalize)
  apply (case-tac list)
  apply simp
  apply simp
  apply (rule ext)
  apply (case-tac flatten (normalize (c s sa)))
  apply (simp add: flatten-nonEmpty)
  apply simp
  apply (thin-tac flatten (normalize bdy) = P for P)
  apply (drule flatten-normalize)
  apply (case-tac lista)
  apply simp
  apply simp
  done

lemma normalize-call [simp]:
  normalize (call init p return c) = call init p return ( $\lambda i t.$  normalize (c i t))
  by (simp add: call-def)

lemma normalize-dynCall [simp]:
  normalize (dynCall init p return c) =
    dynCall init p return ( $\lambda s t.$  normalize (c s t))
  by (simp add: dynCall-def)

lemma normalize-fcall [simp]:

```

```

normalize (fcall init p return result c) =
  fcall init p return result (λv. normalize (c v))
by (simp add: fcall-def)

```

```

lemma normalize-switch [simp]:
  normalize (switch v Vcs) = switch v (map (λ(V,c). (V,normalize c)) Vcs)
apply (induct Vcs)
apply auto
done

```

```

lemma normalize-guaranteeStrip [simp]:
  normalize (guaranteeStrip f g c) = guaranteeStrip f g (normalize c)
by (simp add: guaranteeStrip-def)

```

```

lemma normalize-guards [simp]:
  normalize (guards gs c) = guards gs (normalize c)
by (induct gs) auto

```

Sequential composition with guards in the body is not preserved by normalize

```

lemma normalize-while [simp]:
  normalize (while gs b c) = guards gs
    (While b (sequence Seq (flatten (normalize c) @ flatten (guards gs Skip))))
by (simp add: while-def)

```

```

lemma normalize-whileAnno [simp]:
  normalize (whileAnno b I V c) = whileAnno b I V (normalize c)
by (simp add: whileAnno-def)

```

```

lemma normalize-whileAnnoG [simp]:
  normalize (whileAnnoG gs b I V c) = guards gs
    (While b (sequence Seq (flatten (normalize c) @ flatten (guards gs Skip))))
by (simp add: whileAnnoG-def)

```

```

lemma normalize-specAnno [simp]:
  normalize (specAnno P c Q A) = specAnno P (λs. normalize (c undefined)) Q
  A
by (simp add: specAnno-def)

```

```

lemmas normalize-simps =
  normalize.simps normalize-raise normalize-condCatch normalize-bind
  normalize-block normalize-call normalize-dynCall normalize-fcall normalize-switch
  normalize-guaranteeStrip normalize-guards
  normalize-while normalize-whileAnno normalize-whileAnnoG normalize-specAnno

```

2.3.2 Stripping Guards: *strip-guards*

```

primrec strip-guards:: 'f set ⇒ ('s,'p,'f) com ⇒ ('s,'p,'f) com
where

```


$strip\text{-}guards\ F\ Skip = Skip \mid$
 $strip\text{-}guards\ F\ (Basic\ f) = Basic\ f \mid$
 $strip\text{-}guards\ F\ (Spec\ r) = Spec\ r \mid$
 $strip\text{-}guards\ F\ (Seq\ c_1\ c_2) = (Seq\ (strip\text{-}guards\ F\ c_1)\ (strip\text{-}guards\ F\ c_2)) \mid$
 $strip\text{-}guards\ F\ (Cond\ b\ c_1\ c_2) = Cond\ b\ (strip\text{-}guards\ F\ c_1)\ (strip\text{-}guards\ F\ c_2) \mid$
 $strip\text{-}guards\ F\ (While\ b\ c) = While\ b\ (strip\text{-}guards\ F\ c) \mid$
 $strip\text{-}guards\ F\ (Call\ p) = Call\ p \mid$
 $strip\text{-}guards\ F\ (DynCom\ c) = DynCom\ (\lambda s. (strip\text{-}guards\ F\ (c\ s))) \mid$
 $strip\text{-}guards\ F\ (Guard\ f\ g\ c) = (if\ f \in F\ then\ strip\text{-}guards\ F\ c$
 $\qquad\qquad\qquad else\ Guard\ f\ g\ (strip\text{-}guards\ F\ c)) \mid$
 $strip\text{-}guards\ F\ Throw = Throw \mid$
 $strip\text{-}guards\ F\ (Catch\ c_1\ c_2) = Catch\ (strip\text{-}guards\ F\ c_1)\ (strip\text{-}guards\ F\ c_2)$

definition $strip:: 'f\ set \Rightarrow$
 $('p \Rightarrow ('s, 'p, 'f)\ com\ option) \Rightarrow ('p \Rightarrow ('s, 'p, 'f)\ com\ option)$
where $strip\ F\ \Gamma = (\lambda p. map\text{-}option\ (strip\text{-}guards\ F)\ (\Gamma\ p))$

lemma $strip\text{-}simp\ [simp]: (strip\ F\ \Gamma)\ p = map\text{-}option\ (strip\text{-}guards\ F)\ (\Gamma\ p)$
by $(simp\ add: strip\text{-}def)$

lemma $dom\text{-}strip: dom\ (strip\ F\ \Gamma) = dom\ \Gamma$
by $(auto)$

lemma $strip\text{-}guards\text{-}idem: strip\text{-}guards\ F\ (strip\text{-}guards\ F\ c) = strip\text{-}guards\ F\ c$
by $(induct\ c)\ auto$

lemma $strip\text{-}idem: strip\ F\ (strip\ F\ \Gamma) = strip\ F\ \Gamma$
apply $(rule\ ext)$
apply $(case\text{-}tac\ \Gamma\ x)$
apply $(auto\ simp\ add: strip\text{-}guards\text{-}idem\ strip\text{-}def)$
done

lemma $strip\text{-}guards\text{-}raise\ [simp]:$
 $strip\text{-}guards\ F\ (raise\ f) = raise\ f$
by $(simp\ add: raise\text{-}def)$

lemma $strip\text{-}guards\text{-}condCatch\ [simp]:$
 $strip\text{-}guards\ F\ (condCatch\ c1\ b\ c2) =$
 $condCatch\ (strip\text{-}guards\ F\ c1)\ b\ (strip\text{-}guards\ F\ c2)$
by $(simp\ add: condCatch\text{-}def)$

lemma $strip\text{-}guards\text{-}bind\ [simp]:$
 $strip\text{-}guards\ F\ (bind\ e\ c) = bind\ e\ (\lambda v. strip\text{-}guards\ F\ (c\ v))$
by $(simp\ add: bind\text{-}def)$

lemma $strip\text{-}guards\text{-}bseq\ [simp]:$
 $strip\text{-}guards\ F\ (bseq\ c1\ c2) = bseq\ (strip\text{-}guards\ F\ c1)\ (strip\text{-}guards\ F\ c2)$
by $(simp\ add: bseq\text{-}def)$

lemma *strip-guards-block* [simp]:
 $\text{strip-guards } F \text{ (block init bdy return } c) =$
 $\text{block init (strip-guards } F \text{ bdy) return } (\lambda s \ t. \text{strip-guards } F \text{ (} c \ s \ t))$
by (simp add: block-def)

lemma *strip-guards-call* [simp]:
 $\text{strip-guards } F \text{ (call init } p \text{ return } c) =$
 $\text{call init } p \text{ return } (\lambda s \ t. \text{strip-guards } F \text{ (} c \ s \ t))$
by (simp add: call-def)

lemma *strip-guards-dynCall* [simp]:
 $\text{strip-guards } F \text{ (dynCall init } p \text{ return } c) =$
 $\text{dynCall init } p \text{ return } (\lambda s \ t. \text{strip-guards } F \text{ (} c \ s \ t))$
by (simp add: dynCall-def)

lemma *strip-guards-fcall* [simp]:
 $\text{strip-guards } F \text{ (fcall init } p \text{ return result } c) =$
 $\text{fcall init } p \text{ return result } (\lambda v. \text{strip-guards } F \text{ (} c \ v))$
by (simp add: fcall-def)

lemma *strip-guards-switch* [simp]:
 $\text{strip-guards } F \text{ (switch } v \ Vc) =$
 $\text{switch } v \ (\text{map } (\lambda (V,c). (V, \text{strip-guards } F \ c)) \ Vc)$
by (induct Vc) auto

lemma *strip-guards-guaranteeStrip* [simp]:
 $\text{strip-guards } F \text{ (guaranteeStrip } f \ g \ c) =$
 $(\text{if } f \in F \text{ then strip-guards } F \ c$
 $\text{else guaranteeStrip } f \ g \ (\text{strip-guards } F \ c))$
by (simp add: guaranteeStrip-def)

lemma *guaranteeStripPair-split-conv* [simp]: $\text{case-prod } c \ (\text{guaranteeStripPair } f \ g)$
 $= c \ f \ g$
by (simp add: guaranteeStripPair-def)

lemma *strip-guards-guards* [simp]: $\text{strip-guards } F \text{ (guards } gs \ c) =$
 $\text{guards (filter } (\lambda(f,g). f \notin F) \ gs) \ (\text{strip-guards } F \ c)$
by (induct gs) auto

lemma *strip-guards-while* [simp]:
 $\text{strip-guards } F \text{ (while } gs \ b \ c) =$
 $\text{while (filter } (\lambda(f,g). f \notin F) \ gs) \ b \ (\text{strip-guards } F \ c)$
by (simp add: while-def)

lemma *strip-guards-whileAnno* [simp]:
 $\text{strip-guards } F \text{ (whileAnno } b \ I \ V \ c) = \text{whileAnno } b \ I \ V \ (\text{strip-guards } F \ c)$
by (simp add: whileAnno-def while-def)

lemma *strip-guards-whileAnnoG* [simp]:
strip-guards F (whileAnnoG gs b I V c) =
whileAnnoG (filter (λ(f,g). f ∉ F) gs) b I V (strip-guards F c)
by (simp add: whileAnnoG-def)

lemma *strip-guards-specAnno* [simp]:
strip-guards F (specAnno P c Q A) =
specAnno P (λs. strip-guards F (c undefined)) Q A
by (simp add: specAnno-def)

lemmas *strip-guards-simps = strip-guards.simps strip-guards-raise*
strip-guards-condCatch strip-guards-bind strip-guards-bseq strip-guards-block
strip-guards-dynCall strip-guards-fcall strip-guards-switch
strip-guards-guaranteeStrip guaranteeStripPair-split-conv strip-guards-guards
strip-guards-while strip-guards-whileAnno strip-guards-whileAnnoG
strip-guards-specAnno

2.3.3 Marking Guards: *mark-guards*

primrec *mark-guards*:: 'f ⇒ ('s,'p,'g) com ⇒ ('s,'p,'f) com
where

mark-guards f Skip = Skip |
mark-guards f (Basic g) = Basic g |
mark-guards f (Spec r) = Spec r |
mark-guards f (Seq c₁ c₂) = (Seq (mark-guards f c₁) (mark-guards f c₂)) |
mark-guards f (Cond b c₁ c₂) = Cond b (mark-guards f c₁) (mark-guards f c₂) |
mark-guards f (While b c) = While b (mark-guards f c) |
mark-guards f (Call p) = Call p |
mark-guards f (DynCom c) = DynCom (λs. (mark-guards f (c s))) |
mark-guards f (Guard f' g c) = Guard f g (mark-guards f c) |
mark-guards f Throw = Throw |
mark-guards f (Catch c₁ c₂) = Catch (mark-guards f c₁) (mark-guards f c₂)

lemma *mark-guards-raise*: *mark-guards f (raise g) = raise g*
by (simp add: raise-def)

lemma *mark-guards-condCatch* [simp]:
mark-guards f (condCatch c1 b c2) =
condCatch (mark-guards f c1) b (mark-guards f c2)
by (simp add: condCatch-def)

lemma *mark-guards-bind* [simp]:
mark-guards f (bind e c) = bind e (λv. mark-guards f (c v))
by (simp add: bind-def)

lemma *mark-guards-bseq* [simp]:
mark-guards f (bseq c1 c2) = bseq (mark-guards f c1) (mark-guards f c2)
by (simp add: bseq-def)

lemma *mark-guards-block* [simp]:
 $\text{mark-guards } f \text{ (block init bdy return } c) =$
 $\text{block init (mark-guards } f \text{ bdy) return } (\lambda s \ t. \text{ mark-guards } f \text{ (} c \ s \ t))$
by (simp add: block-def)

lemma *mark-guards-call* [simp]:
 $\text{mark-guards } f \text{ (call init } p \text{ return } c) =$
 $\text{call init } p \text{ return } (\lambda s \ t. \text{ mark-guards } f \text{ (} c \ s \ t))$
by (simp add: call-def)

lemma *mark-guards-dynCall* [simp]:
 $\text{mark-guards } f \text{ (dynCall init } p \text{ return } c) =$
 $\text{dynCall init } p \text{ return } (\lambda s \ t. \text{ mark-guards } f \text{ (} c \ s \ t))$
by (simp add: dynCall-def)

lemma *mark-guards-fcall* [simp]:
 $\text{mark-guards } f \text{ (fcall init } p \text{ return result } c) =$
 $\text{fcall init } p \text{ return result } (\lambda v. \text{ mark-guards } f \text{ (} c \ v))$
by (simp add: fcall-def)

lemma *mark-guards-switch* [simp]:
 $\text{mark-guards } f \text{ (switch } v \text{ vs)} =$
 $\text{switch } v \text{ (map } (\lambda (V, c). (V, \text{mark-guards } f \ c)) \text{ vs)}$
by (induct vs) auto

lemma *mark-guards-guaranteeStrip* [simp]:
 $\text{mark-guards } f \text{ (guaranteeStrip } f' \ g \ c) = \text{guaranteeStrip } f \ g \ (\text{mark-guards } f \ c)$
by (simp add: guaranteeStrip-def)

lemma *mark-guards-guards* [simp]:
 $\text{mark-guards } f \text{ (guards } gs \ c) = \text{guards (map } (\lambda (f', g). (f, g)) \ gs) \ (\text{mark-guards } f \ c)$
by (induct gs) auto

lemma *mark-guards-while* [simp]:
 $\text{mark-guards } f \text{ (while } gs \ b \ c) =$
 $\text{while (map } (\lambda (f', g). (f, g)) \ gs) \ b \ (\text{mark-guards } f \ c)$
by (simp add: while-def)

lemma *mark-guards-whileAnno* [simp]:
 $\text{mark-guards } f \text{ (whileAnno } b \ I \ V \ c) = \text{whileAnno } b \ I \ V \ (\text{mark-guards } f \ c)$
by (simp add: whileAnno-def while-def)

lemma *mark-guards-whileAnnoG* [simp]:
 $\text{mark-guards } f \text{ (whileAnnoG } gs \ b \ I \ V \ c) =$
 $\text{whileAnnoG (map } (\lambda (f', g). (f, g)) \ gs) \ b \ I \ V \ (\text{mark-guards } f \ c)$
by (simp add: whileAnno-def whileAnnoG-def while-def)

lemma *mark-guards-specAnno* [simp]:

$\text{mark-guards } f \text{ (specAnno } P \text{ c } Q \text{ A)} =$
 $\text{specAnno } P \text{ (}\lambda s. \text{ mark-guards } f \text{ (c undefined)) } Q \text{ A}$
by (simp add: specAnno-def)

lemmas mark-guards-simps = mark-guards.simps mark-guards-raise
 mark-guards-condCatch mark-guards-bind mark-guards-bseq mark-guards-block
 mark-guards-dynCall mark-guards-fcall mark-guards-switch
 mark-guards-guaranteeStrip guaranteeStripPair-split-conv mark-guards-guards
 mark-guards-while mark-guards-whileAnno mark-guards-whileAnnoG
 mark-guards-specAnno

definition is-Guard:: ('s,'p,'f) com \Rightarrow bool
where is-Guard c = (case c of Guard f g c' \Rightarrow True | - \Rightarrow False)

lemma is-Guard-basic-simps [simp]:

is-Guard Skip = False
 is-Guard (Basic f) = False
 is-Guard (Spec r) = False
 is-Guard (Seq c1 c2) = False
 is-Guard (Cond b c1 c2) = False
 is-Guard (While b c) = False
 is-Guard (Call p) = False
 is-Guard (DynCom C) = False
 is-Guard (Guard F g c) = True
 is-Guard (Throw) = False
 is-Guard (Catch c1 c2) = False
 is-Guard (raise f) = False
 is-Guard (condCatch c1 b c2) = False
 is-Guard (bind e cv) = False
 is-Guard (bseq c1 c2) = False
 is-Guard (block init bdy return cont) = False
 is-Guard (call init p return cont) = False
 is-Guard (dynCall init P return cont) = False
 is-Guard (fcall init p return result cont') = False
 is-Guard (whileAnno b I V c) = False
 is-Guard (guaranteeStrip F g c) = True
by (auto simp add: is-Guard-def raise-def condCatch-def bind-def bseq-def
 block-def call-def dynCall-def fcall-def whileAnno-def guaranteeStrip-def)

lemma is-Guard-switch [simp]:

is-Guard (switch v Vc) = False
by (induct Vc) auto

lemmas is-Guard-simps = is-Guard-basic-simps is-Guard-switch

primrec dest-Guard:: ('s,'p,'f) com \Rightarrow ('f \times 's set \times ('s,'p,'f) com)
where dest-Guard (Guard f g c) = (f,g,c)

lemma dest-Guard-guaranteeStrip [simp]: dest-Guard (guaranteeStrip f g c) =

(f, g, c)
by (*simp add: guaranteeStrip-def*)

lemmas *dest-Guard-simps* = *dest-Guard.simps dest-Guard-guaranteeStrip*

2.3.4 Merging Guards: *merge-guards*

primrec *merge-guards*:: (s, p, f) *com* \Rightarrow (s, p, f) *com*

where

merge-guards *Skip* = *Skip* |
merge-guards (*Basic g*) = *Basic g* |
merge-guards (*Spec r*) = *Spec r* |
merge-guards (*Seq c₁ c₂*) = (*Seq* (*merge-guards c₁*) (*merge-guards c₂*)) |
merge-guards (*Cond b c₁ c₂*) = *Cond b* (*merge-guards c₁*) (*merge-guards c₂*) |
merge-guards (*While b c*) = *While b* (*merge-guards c*) |
merge-guards (*Call p*) = *Call p* |
merge-guards (*DynCom c*) = *DynCom* ($\lambda s. (merge-guards (c s))$) |

merge-guards (*Guard f g c*) =
 (let *c'* = (*merge-guards c*)
 in if *is-Guard c'*
 then let $(f', g', c'') = dest-Guard c'$
 in if $f=f'$ then *Guard f* ($g \cap g'$) *c''*
 else *Guard f g* (*Guard f' g' c''*)
 else *Guard f g c'*) |
merge-guards *Throw* = *Throw* |
merge-guards (*Catch c₁ c₂*) = *Catch* (*merge-guards c₁*) (*merge-guards c₂*)

lemma *merge-guards-res-Skip*: *merge-guards c* = *Skip* \Rightarrow *c* = *Skip*
by (*cases c*) (*auto split: com.splits if-split-asm simp add: is-Guard-def Let-def*)

lemma *merge-guards-res-Basic*: *merge-guards c* = *Basic f* \Rightarrow *c* = *Basic f*
by (*cases c*) (*auto split: com.splits if-split-asm simp add: is-Guard-def Let-def*)

lemma *merge-guards-res-Spec*: *merge-guards c* = *Spec r* \Rightarrow *c* = *Spec r*
by (*cases c*) (*auto split: com.splits if-split-asm simp add: is-Guard-def Let-def*)

lemma *merge-guards-res-Seq*: *merge-guards c* = *Seq c₁ c₂* \Rightarrow
 $\exists c_1' c_2'. c = Seq c_1' c_2' \wedge merge-guards c_1' = c_1 \wedge merge-guards c_2' = c_2$
by (*cases c*) (*auto split: com.splits if-split-asm simp add: is-Guard-def Let-def*)

lemma *merge-guards-res-Cond*: *merge-guards c* = *Cond b c₁ c₂* \Rightarrow
 $\exists c_1' c_2'. c = Cond b c_1' c_2' \wedge merge-guards c_1' = c_1 \wedge merge-guards c_2' = c_2$
by (*cases c*) (*auto split: com.splits if-split-asm simp add: is-Guard-def Let-def*)

lemma *merge-guards-res-While*: *merge-guards c* = *While b c'* \Rightarrow
 $\exists c''. c = While b c'' \wedge merge-guards c'' = c'$

by (cases c) (auto split: com.splits if-split-asm simp add: is-Guard-def Let-def)

lemma merge-guards-res-Call: merge-guards c = Call p \implies c = Call p
by (cases c) (auto split: com.splits if-split-asm simp add: is-Guard-def Let-def)

lemma merge-guards-res-DynCom: merge-guards c = DynCom c' \implies
 $\exists c''. c = \text{DynCom } c'' \wedge (\lambda s. (\text{merge-guards } (c'' s))) = c'$
by (cases c) (auto split: com.splits if-split-asm simp add: is-Guard-def Let-def)

lemma merge-guards-res-Throw: merge-guards c = Throw \implies c = Throw
by (cases c) (auto split: com.splits if-split-asm simp add: is-Guard-def Let-def)

lemma merge-guards-res-Catch: merge-guards c = Catch c1 c2 \implies
 $\exists c1' c2'. c = \text{Catch } c1' c2' \wedge \text{merge-guards } c1' = c1 \wedge \text{merge-guards } c2' = c2$
by (cases c) (auto split: com.splits if-split-asm simp add: is-Guard-def Let-def)

lemma merge-guards-res-Guard:
merge-guards c = Guard f g c' $\implies \exists c'' f' g'. c = \text{Guard } f' g' c''$
by (cases c) (auto split: com.splits if-split-asm simp add: is-Guard-def Let-def)

lemmas merge-guards-res-simps = merge-guards-res-Skip merge-guards-res-Basic
merge-guards-res-Spec merge-guards-res-Seq merge-guards-res-Cond
merge-guards-res-While merge-guards-res-Call
merge-guards-res-DynCom merge-guards-res-Throw merge-guards-res-Catch
merge-guards-res-Guard

lemma merge-guards-raise: merge-guards (raise g) = raise g
by (simp add: raise-def)

lemma merge-guards-condCatch [simp]:
merge-guards (condCatch c1 b c2) =
condCatch (merge-guards c1) b (merge-guards c2)
by (simp add: condCatch-def)

lemma merge-guards-bind [simp]:
merge-guards (bind e c) = bind e ($\lambda v. \text{merge-guards } (c v)$)
by (simp add: bind-def)

lemma merge-guards-bseq [simp]:
merge-guards (bseq c1 c2) = bseq (merge-guards c1) (merge-guards c2)
by (simp add: bseq-def)

lemma merge-guards-block [simp]:
merge-guards (block init bdy return c) =
block init (merge-guards bdy) return ($\lambda s t. \text{merge-guards } (c s t)$)
by (simp add: block-def)

lemma merge-guards-call [simp]:
merge-guards (call init p return c) =

call init p return ($\lambda s\ t.$ *merge-guards* ($c\ s\ t$))
by (*simp add: call-def*)

lemma *merge-guards-dynCall* [*simp*]:
merge-guards (*dynCall init p return c*) =
dynCall init p return ($\lambda s\ t.$ *merge-guards* ($c\ s\ t$))
by (*simp add: dynCall-def*)

lemma *merge-guards-fcall* [*simp*]:
merge-guards (*fcall init p return result c*) =
fcall init p return result ($\lambda v.$ *merge-guards* ($c\ v$))
by (*simp add: fcall-def*)

lemma *merge-guards-switch* [*simp*]:
merge-guards (*switch v vs*) =
switch v (*map* ($\lambda(V, c).$ ($V, \text{merge-guards } c$)) *vs*)
by (*induct vs*) *auto*

lemma *merge-guards-guaranteeStrip* [*simp*]:
merge-guards (*guaranteeStrip f g c*) =
 (let $c' = (\text{merge-guards } c)$
 in if *is-Guard* c'
 then let $(f', g', c') = \text{dest-Guard } c'$
 in if $f=f'$ then *Guard* $f\ (g \cap g')\ c'$
 else *Guard* $f\ g\ (\text{Guard } f'\ g'\ c')$
 else *Guard* $f\ g\ c'$)
by (*simp add: guaranteeStrip-def*)

lemma *merge-guards-whileAnno* [*simp*]:
merge-guards (*whileAnno b I V c*) = *whileAnno b I V* (*merge-guards c*)
by (*simp add: whileAnno-def while-def*)

lemma *merge-guards-specAnno* [*simp*]:
merge-guards (*specAnno P c Q A*) =
specAnno P ($\lambda s.$ *merge-guards* ($c\ \text{undefined}$)) *Q A*
by (*simp add: specAnno-def*)

merge-guards for guard-lists as in *guards*, *while* and *whileAnnoG* may have funny effects since the guard-list has to be merged with the body statement too.

lemmas *merge-guards-simps* = *merge-guards.simps* *merge-guards-raise*
merge-guards-condCatch *merge-guards-bind* *merge-guards-bseq* *merge-guards-block*
merge-guards-dynCall *merge-guards-fcall* *merge-guards-switch*
merge-guards-guaranteeStrip *merge-guards-whileAnno* *merge-guards-specAnno*

primrec *noguards::* ($'s, 'p, 'f$) *com* \Rightarrow *bool*
where
noguards Skip = *True* |
noguards (*Basic f*) = *True* |

$\text{noguards } (\text{Spec } r) = \text{True} \mid$
 $\text{noguards } (\text{Seq } c_1 \ c_2) = (\text{noguards } c_1 \wedge \text{noguards } c_2) \mid$
 $\text{noguards } (\text{Cond } b \ c_1 \ c_2) = (\text{noguards } c_1 \wedge \text{noguards } c_2) \mid$
 $\text{noguards } (\text{While } b \ c) = (\text{noguards } c) \mid$
 $\text{noguards } (\text{Call } p) = \text{True} \mid$
 $\text{noguards } (\text{DynCom } c) = (\forall s. \text{noguards } (c \ s)) \mid$
 $\text{noguards } (\text{Guard } f \ g \ c) = \text{False} \mid$
 $\text{noguards } \text{Throw} = \text{True} \mid$
 $\text{noguards } (\text{Catch } c_1 \ c_2) = (\text{noguards } c_1 \wedge \text{noguards } c_2)$

lemma *noguards-strip-guards*: $\text{noguards } (\text{strip-guards UNIV } c)$
by (*induct c*) *auto*

primrec *nothrows*:: $(\text{'s}, \text{'p}, \text{'f}) \text{ com} \Rightarrow \text{bool}$

where

$\text{nothrows } \text{Skip} = \text{True} \mid$
 $\text{nothrows } (\text{Basic } f) = \text{True} \mid$
 $\text{nothrows } (\text{Spec } r) = \text{True} \mid$
 $\text{nothrows } (\text{Seq } c_1 \ c_2) = (\text{nothrows } c_1 \wedge \text{nothrows } c_2) \mid$
 $\text{nothrows } (\text{Cond } b \ c_1 \ c_2) = (\text{nothrows } c_1 \wedge \text{nothrows } c_2) \mid$
 $\text{nothrows } (\text{While } b \ c) = \text{nothrows } c \mid$
 $\text{nothrows } (\text{Call } p) = \text{True} \mid$
 $\text{nothrows } (\text{DynCom } c) = (\forall s. \text{nothrows } (c \ s)) \mid$
 $\text{nothrows } (\text{Guard } f \ g \ c) = \text{nothrows } c \mid$
 $\text{nothrows } \text{Throw} = \text{False} \mid$
 $\text{nothrows } (\text{Catch } c_1 \ c_2) = (\text{nothrows } c_1 \wedge \text{nothrows } c_2)$

2.3.5 Intersecting Guards: $c_1 \cap_g c_2$

inductive-set *com-rel* :: $((\text{'s}, \text{'p}, \text{'f}) \text{ com} \times (\text{'s}, \text{'p}, \text{'f}) \text{ com}) \text{ set}$

where

$(c1, \text{Seq } c1 \ c2) \in \text{com-rel}$
 $\mid (c2, \text{Seq } c1 \ c2) \in \text{com-rel}$
 $\mid (c1, \text{Cond } b \ c1 \ c2) \in \text{com-rel}$
 $\mid (c2, \text{Cond } b \ c1 \ c2) \in \text{com-rel}$
 $\mid (c, \text{While } b \ c) \in \text{com-rel}$
 $\mid (c \ x, \text{DynCom } c) \in \text{com-rel}$
 $\mid (c, \text{Guard } f \ g \ c) \in \text{com-rel}$
 $\mid (c1, \text{Catch } c1 \ c2) \in \text{com-rel}$
 $\mid (c2, \text{Catch } c1 \ c2) \in \text{com-rel}$

inductive-cases *com-rel-elim-cases*:

$(c, \text{Skip}) \in \text{com-rel}$
 $(c, \text{Basic } f) \in \text{com-rel}$
 $(c, \text{Spec } r) \in \text{com-rel}$
 $(c, \text{Seq } c1 \ c2) \in \text{com-rel}$
 $(c, \text{Cond } b \ c1 \ c2) \in \text{com-rel}$
 $(c, \text{While } b \ c1) \in \text{com-rel}$
 $(c, \text{Call } p) \in \text{com-rel}$

$(c, \text{DynCom } c1) \in \text{com-rel}$
 $(c, \text{Guard } f \ g \ c1) \in \text{com-rel}$
 $(c, \text{Throw}) \in \text{com-rel}$
 $(c, \text{Catch } c1 \ c2) \in \text{com-rel}$

lemma *wf-com-rel: wf com-rel*

apply (rule *wfUNIVI*)

apply (induct-tac *x*)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*,
simp,simp)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*,
simp,simp)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*,simp)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*,simp)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*,simp)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*)

apply (erule *allE*, erule *mp*, (rule *allI impI*)+, erule *com-rel-elim-cases*,simp,simp)

done

consts *inter-guards*:: ('s,'p,'f) com \times ('s,'p,'f) com \Rightarrow ('s,'p,'f) com option

abbreviation

inter-guards-syntax :: ('s,'p,'f) com \Rightarrow ('s,'p,'f) com \Rightarrow ('s,'p,'f) com option
 $(- \cap_g - [20,20] \ 19)$

where $c \cap_g d == \text{inter-guards } (c,d)$

recdef *inter-guards inv-image com-rel fst*

(*Skip* \cap_g *Skip*) = *Some Skip*

(*Basic* *f1* \cap_g *Basic* *f2*) = (if *f1* = *f2* then *Some (Basic f1)* else *None*)

(*Spec* *r1* \cap_g *Spec* *r2*) = (if *r1* = *r2* then *Some (Spec r1)* else *None*)

(*Seq* *a1* *a2* \cap_g *Seq* *b1* *b2*) =

(case *a1* \cap_g *b1* of

None \Rightarrow *None*

| *Some* *c1* \Rightarrow (case *a2* \cap_g *b2* of

None \Rightarrow *None*

| *Some* *c2* \Rightarrow *Some (Seq c1 c2)*))

(*Cond* *cnd1* *t1* *e1* \cap_g *Cond* *cnd2* *t2* *e2*) =

(if *cnd1* = *cnd2*

then (case *t1* \cap_g *t2* of

None \Rightarrow *None*

| *Some* *t* \Rightarrow (case *e1* \cap_g *e2* of

None \Rightarrow *None*

| *Some* *e* \Rightarrow *Some (Cond cnd1 t e)*))

else *None*)

(*While* *cnd1* *c1* \cap_g *While* *cnd2* *c2*) =

```

    (if cnd1 = cnd2
     then (case c1  $\cap_g$  c2 of
           None  $\Rightarrow$  None
           | Some c  $\Rightarrow$  Some (While cnd1 c))
     else None)
  (Call p1  $\cap_g$  Call p2) =
    (if p1 = p2
     then Some (Call p1)
     else None)
  (DynCom P1  $\cap_g$  DynCom P2) =
    (if ( $\forall s. (P1\ s\ \cap_g\ P2\ s) \neq \text{None}$ )
     then Some (DynCom ( $\lambda s. \text{the } (P1\ s\ \cap_g\ P2\ s)$ ))
     else None)
  (Guard m1 g1 c1  $\cap_g$  Guard m2 g2 c2) =
    (if m1 = m2 then
     (case c1  $\cap_g$  c2 of
      None  $\Rightarrow$  None
      | Some c  $\Rightarrow$  Some (Guard m1 (g1  $\cap$  g2) c))
     else None)
  (Throw  $\cap_g$  Throw) = Some Throw
  (Catch a1 a2  $\cap_g$  Catch b1 b2) =
    (case a1  $\cap_g$  b1 of
     None  $\Rightarrow$  None
     | Some c1  $\Rightarrow$  (case a2  $\cap_g$  b2 of
                      None  $\Rightarrow$  None
                      | Some c2  $\Rightarrow$  Some (Catch c1 c2)))
  (c  $\cap_g$  d) = None
(hints cong add: option.case-cong if-cong
 recdef-wf: wf-com-rel simp: com-rel.intros)

```

```

lemma inter-guards-strip-eq:
   $\bigwedge c. (c1\ \cap_g\ c2) = \text{Some } c \implies$ 
    (strip-guards UNIV c = strip-guards UNIV c1)  $\wedge$ 
    (strip-guards UNIV c = strip-guards UNIV c2)
apply (induct c1 c2 rule: inter-guards.induct)
prefer 8
apply (simp split: if-split-asm)
apply hypsubst
apply simp
apply (rule ext)
apply (erule-tac x=s in allE, erule exE)
apply (erule-tac x=s in allE)
apply fastforce
apply (fastforce split: option.splits if-split-asm)+
done

```

```

lemma inter-guards-sym:  $\bigwedge c. (c1\ \cap_g\ c2) = \text{Some } c \implies (c2\ \cap_g\ c1) = \text{Some } c$ 
apply (induct c1 c2 rule: inter-guards.induct)
apply (simp-all)

```

```

prefer 7
apply (simp split: if-split-asm add: not-None-eq)
apply (rule conjI)
apply (clarsimp)
apply (rule ext)
apply (erule-tac x=s in allE)+
apply fastforce
apply fastforce
apply (fastforce split: option.splits if-split-asm)+
done

```

lemma *inter-guards-Skip*: $(\text{Skip} \cap_g c2) = \text{Some } c = (c2 = \text{Skip} \wedge c = \text{Skip})$
by (*cases c2*) *auto*

lemma *inter-guards-Basic*:
 $((\text{Basic } f) \cap_g c2) = \text{Some } c = (c2 = \text{Basic } f \wedge c = \text{Basic } f)$
by (*cases c2*) *auto*

lemma *inter-guards-Spec*:
 $((\text{Spec } r) \cap_g c2) = \text{Some } c = (c2 = \text{Spec } r \wedge c = \text{Spec } r)$
by (*cases c2*) *auto*

lemma *inter-guards-Seq*:
 $(\text{Seq } a1 \ a2 \cap_g c2) = \text{Some } c =$
 $(\exists b1 \ b2 \ d1 \ d2. c2 = \text{Seq } b1 \ b2 \wedge (a1 \cap_g b1) = \text{Some } d1 \wedge$
 $(a2 \cap_g b2) = \text{Some } d2 \wedge c = \text{Seq } d1 \ d2)$
by (*cases c2*) (*auto split: option.splits*)

lemma *inter-guards-Cond*:
 $(\text{Cond } cnd \ t1 \ e1 \cap_g c2) = \text{Some } c =$
 $(\exists t2 \ e2 \ t \ e. c2 = \text{Cond } cnd \ t2 \ e2 \wedge (t1 \cap_g t2) = \text{Some } t \wedge$
 $(e1 \cap_g e2) = \text{Some } e \wedge c = \text{Cond } cnd \ t \ e)$
by (*cases c2*) (*auto split: option.splits*)

lemma *inter-guards-While*:
 $(\text{While } cnd \ bdy1 \cap_g c2) = \text{Some } c =$
 $(\exists bdy2 \ bdy. c2 = \text{While } cnd \ bdy2 \wedge (bdy1 \cap_g bdy2) = \text{Some } bdy \wedge$
 $c = \text{While } cnd \ bdy)$
by (*cases c2*) (*auto split: option.splits if-split-asm*)

lemma *inter-guards-Call*:
 $(\text{Call } p \cap_g c2) = \text{Some } c =$
 $(c2 = \text{Call } p \wedge c = \text{Call } p)$
by (*cases c2*) (*auto split: if-split-asm*)

lemma *inter-guards-DynCom*:
 $(\text{DynCom } f1 \cap_g c2) = \text{Some } c =$
 $(\exists f2. c2 = \text{DynCom } f2 \wedge (\forall s. ((f1 \ s) \cap_g (f2 \ s)) \neq \text{None}) \wedge$

$c = \text{DynCom } (\lambda s. \text{ the } ((f1 \ s) \cap_g (f2 \ s))))$
by (cases c2) (auto split: if-split-asm)

lemma *inter-guards-Guard*:

$(\text{Guard } f \ g1 \ bdy1 \cap_g \ c2) = \text{Some } c =$
 $(\exists g2 \ bdy2 \ bdy. \ c2 = \text{Guard } f \ g2 \ bdy2 \wedge (bdy1 \cap_g \ bdy2) = \text{Some } bdy \wedge$
 $c = \text{Guard } f \ (g1 \cap_g \ g2) \ bdy)$
by (cases c2) (auto split: option.splits)

lemma *inter-guards-Throw*:

$(\text{Throw } \cap_g \ c2) = \text{Some } c = (c2 = \text{Throw} \wedge c = \text{Throw})$
by (cases c2) auto

lemma *inter-guards-Catch*:

$(\text{Catch } a1 \ a2 \cap_g \ c2) = \text{Some } c =$
 $(\exists b1 \ b2 \ d1 \ d2. \ c2 = \text{Catch } b1 \ b2 \wedge (a1 \cap_g \ b1) = \text{Some } d1 \wedge$
 $(a2 \cap_g \ b2) = \text{Some } d2 \wedge c = \text{Catch } d1 \ d2)$
by (cases c2) (auto split: option.splits)

lemmas *inter-guards-simps* = *inter-guards-Skip* *inter-guards-Basic* *inter-guards-Spec*
inter-guards-Seq *inter-guards-Cond* *inter-guards-While* *inter-guards-Call*
inter-guards-DynCom *inter-guards-Guard* *inter-guards-Throw*
inter-guards-Catch

2.3.6 Subset on Guards: $c_1 \subseteq_g c_2$

inductive *subsetq-guards* :: $('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow \text{bool}$

$(- \subseteq_g - [20, 20] \ 19)$ **where**

$\text{Skip} \subseteq_g \text{Skip}$

| $f1 = f2 \implies \text{Basic } f1 \subseteq_g \text{Basic } f2$

| $r1 = r2 \implies \text{Spec } r1 \subseteq_g \text{Spec } r2$

| $a1 \subseteq_g b1 \implies a2 \subseteq_g b2 \implies \text{Seq } a1 \ a2 \subseteq_g \text{Seq } b1 \ b2$

| $\text{cnd1} = \text{cnd2} \implies t1 \subseteq_g t2 \implies e1 \subseteq_g e2 \implies \text{Cond } \text{cnd1 } t1 \ e1 \subseteq_g \text{Cond } \text{cnd2 } t2 \ e2$

| $\text{cnd1} = \text{cnd2} \implies c1 \subseteq_g c2 \implies \text{While } \text{cnd1 } c1 \subseteq_g \text{While } \text{cnd2 } c2$

| $p1 = p2 \implies \text{Call } p1 \subseteq_g \text{Call } p2$

| $(\bigwedge s. P1 \ s \subseteq_g P2 \ s) \implies \text{DynCom } P1 \subseteq_g \text{DynCom } P2$

| $m1 = m2 \implies g1 = g2 \implies c1 \subseteq_g c2 \implies \text{Guard } m1 \ g1 \ c1 \subseteq_g \text{Guard } m2 \ g2 \ c2$

| $c1 \subseteq_g c2 \implies c1 \subseteq_g \text{Guard } m2 \ g2 \ c2$

| $\text{Throw} \subseteq_g \text{Throw}$

| $a1 \subseteq_g b1 \implies a2 \subseteq_g b2 \implies \text{Catch } a1 \ a2 \subseteq_g \text{Catch } b1 \ b2$

lemma *subsetq-guards-Skip*:

$c = \text{Skip}$ **if** $c \subseteq_g \text{Skip}$

using that **by** cases

lemma *subsetq-guards-Basic*:

$c = \text{Basic } f \text{ if } c \subseteq_g \text{Basic } f$
using that by cases simp

lemma subseteq-guards-Spec:
 $c = \text{Spec } r \text{ if } c \subseteq_g \text{Spec } r$
using that by cases simp

lemma subseteq-guards-Seq:
 $\exists c1' c2'. c = \text{Seq } c1' c2' \wedge (c1' \subseteq_g c1) \wedge (c2' \subseteq_g c2) \text{ if } c \subseteq_g \text{Seq } c1 c2$
using that by cases simp

lemma subseteq-guards-Cond:
 $\exists c1' c2'. c = \text{Cond } b c1' c2' \wedge (c1' \subseteq_g c1) \wedge (c2' \subseteq_g c2) \text{ if } c \subseteq_g \text{Cond } b c1 c2$
using that by cases simp

lemma subseteq-guards-While:
 $\exists c''. c = \text{While } b c'' \wedge (c'' \subseteq_g c') \text{ if } c \subseteq_g \text{While } b c'$
using that by cases simp

lemma subseteq-guards-Call:
 $c = \text{Call } p \text{ if } c \subseteq_g \text{Call } p$
using that by cases simp

lemma subseteq-guards-DynCom:
 $\exists C'. c = \text{DynCom } C' \wedge (\forall s. C' s \subseteq_g C s) \text{ if } c \subseteq_g \text{DynCom } C$
using that by cases simp

lemma subseteq-guards-Guard:
 $(c \subseteq_g c') \vee (\exists c''. c = \text{Guard } f g c'' \wedge (c'' \subseteq_g c')) \text{ if } c \subseteq_g \text{Guard } f g c'$
using that by cases simp-all

lemma subseteq-guards-Throw:
 $c = \text{Throw} \text{ if } c \subseteq_g \text{Throw}$
using that by cases

lemma subseteq-guards-Catch:
 $\exists c1' c2'. c = \text{Catch } c1' c2' \wedge (c1' \subseteq_g c1) \wedge (c2' \subseteq_g c2) \text{ if } c \subseteq_g \text{Catch } c1 c2$
using that by cases simp

lemmas subseteq-guardsD = subseteq-guards-Skip subseteq-guards-Basic
 subseteq-guards-Spec subseteq-guards-Seq subseteq-guards-Cond subseteq-guards-While
 subseteq-guards-Call subseteq-guards-DynCom subseteq-guards-Guard
 subseteq-guards-Throw subseteq-guards-Catch

lemma subseteq-guards-Guard':
 $\exists f' b' c'. d = \text{Guard } f' b' c' \text{ if } \text{Guard } f b c \subseteq_g d$
using that by cases auto

lemma subseteq-guards-refl: $c \subseteq_g c$

by (*induct c*) (*auto intro: subseteq-guards.intros*)

end

3 Big-Step Semantics for Simpl

theory *Semantic* **imports** *Language* **begin**

notation

restrict-map $(-|_{[90, 91]})$ 90)

datatype (s, f) *xstate* = *Normal* s | *Abrupt* s | *Fault* f | *Stuck*

definition *isAbr*:: (s, f) *xstate* \Rightarrow *bool*

where *isAbr* *S* = $(\exists s. S = \text{Abrupt } s)$

lemma *isAbr-simps* [*simp*]:

isAbr (*Normal* *s*) = *False*

isAbr (*Abrupt* *s*) = *True*

isAbr (*Fault* *f*) = *False*

isAbr *Stuck* = *False*

by (*auto simp add: isAbr-def*)

lemma *isAbrE* [*consumes 1, elim?*]: $\llbracket \text{isAbr } S; \bigwedge s. S = \text{Abrupt } s \implies P \rrbracket \implies P$

by (*auto simp add: isAbr-def*)

lemma *not-isAbrD*:

$\neg \text{isAbr } s \implies (\exists s'. s = \text{Normal } s') \vee s = \text{Stuck} \vee (\exists f. s = \text{Fault } f)$

by (*cases s*) *auto*

definition *isFault*:: (s, f) *xstate* \Rightarrow *bool*

where *isFault* *S* = $(\exists f. S = \text{Fault } f)$

lemma *isFault-simps* [*simp*]:

isFault (*Normal* *s*) = *False*

isFault (*Abrupt* *s*) = *False*

isFault (*Fault* *f*) = *True*

isFault *Stuck* = *False*

by (*auto simp add: isFault-def*)

lemma *isFaultE* [*consumes 1, elim?*]: $\llbracket \text{isFault } s; \bigwedge f. s = \text{Fault } f \implies P \rrbracket \implies P$

by (*auto simp add: isFault-def*)

lemma *not-isFault-iff*: $(\neg \text{isFault } t) = (\forall f. t \neq \text{Fault } f)$

by (*auto elim: isFaultE*)

3.1 Big-Step Execution: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$

The procedure environment

type-synonym (s, p, f) *body* = $p \Rightarrow (s, p, f)$ *com option*

inductive

exec:: $[(s, p, f)$ *body*, (s, p, f) *com*, (s, f) *xstate*, (s, f) *xstate*]
 $\Rightarrow \text{bool } (\vdash \langle -, - \rangle \Rightarrow - \text{ } [60, 20, 98, 98] \text{ } 89)$

for $\Gamma::(s, p, f)$ *body*

where

Skip: $\Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle \Rightarrow \text{Normal } s$

| *Guard*: $\llbracket s \in g; \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{Guard } f \text{ } g \text{ } c, \text{Normal } s \rangle \Rightarrow t$

| *GuardFault*: $s \notin g \implies \Gamma \vdash \langle \text{Guard } f \text{ } g \text{ } c, \text{Normal } s \rangle \Rightarrow \text{Fault } f$

| *FaultProp* [*intro*, *simp*]: $\Gamma \vdash \langle c, \text{Fault } f \rangle \Rightarrow \text{Fault } f$

| *Basic*: $\Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle \Rightarrow \text{Normal } (f \text{ } s)$

| *Spec*: $(s, t) \in r$
 \implies
 $\Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle \Rightarrow \text{Normal } t$

| *SpecStuck*: $\forall t. (s, t) \notin r$
 \implies
 $\Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle \Rightarrow \text{Stuck}$

| *Seq*: $\llbracket \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow s'; \Gamma \vdash \langle c_2, s' \rangle \Rightarrow t \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{Seq } c_1 \text{ } c_2, \text{Normal } s \rangle \Rightarrow t$

| *CondTrue*: $\llbracket s \in b; \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow t \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{Cond } b \text{ } c_1 \text{ } c_2, \text{Normal } s \rangle \Rightarrow t$

| *CondFalse*: $\llbracket s \notin b; \Gamma \vdash \langle c_2, \text{Normal } s \rangle \Rightarrow t \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{Cond } b \text{ } c_1 \text{ } c_2, \text{Normal } s \rangle \Rightarrow t$

| *WhileTrue*: $\llbracket s \in b; \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s'; \Gamma \vdash \langle \text{While } b \text{ } c, s' \rangle \Rightarrow t \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{While } b \text{ } c, \text{Normal } s \rangle \Rightarrow t$

| *WhileFalse*: $\llbracket s \notin b \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{While } b \text{ } c, \text{Normal } s \rangle \Rightarrow \text{Normal } s$

$$\begin{aligned}
& | \text{Call}: \llbracket \Gamma \vdash p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } s \rangle \Rightarrow t \rrbracket \\
& \quad \Longrightarrow \\
& \quad \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow t \\
& | \text{CallUndefined}: \llbracket \Gamma \vdash p = \text{None} \rrbracket \\
& \quad \Longrightarrow \\
& \quad \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \text{Stuck} \\
& | \text{StuckProp } [\text{intro}, \text{simp}]: \Gamma \vdash \langle c, \text{Stuck} \rangle \Rightarrow \text{Stuck} \\
& | \text{DynCom}: \llbracket \Gamma \vdash \langle (c \ s), \text{Normal } s \rangle \Rightarrow t \rrbracket \\
& \quad \Longrightarrow \\
& \quad \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow t \\
& | \text{Throw}: \Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s \\
& | \text{AbruptProp } [\text{intro}, \text{simp}]: \Gamma \vdash \langle c, \text{Abrupt } s \rangle \Rightarrow \text{Abrupt } s \\
& | \text{CatchMatch}: \llbracket \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'; \Gamma \vdash \langle c_2, \text{Normal } s' \rangle \Rightarrow t \rrbracket \\
& \quad \Longrightarrow \\
& \quad \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle \Rightarrow t \\
& | \text{CatchMiss}: \llbracket \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow t; \neg \text{isAbr } t \rrbracket \\
& \quad \Longrightarrow \\
& \quad \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle \Rightarrow t
\end{aligned}$$

inductive-cases *exec-elim-cases* [cases set]:

$$\begin{aligned}
& \Gamma \vdash \langle c, \text{Fault } f \rangle \Rightarrow t \\
& \Gamma \vdash \langle c, \text{Stuck} \rangle \Rightarrow t \\
& \Gamma \vdash \langle c, \text{Abrupt } s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Skip}, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Guard } f \ g \ c, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Basic } f, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Spec } r, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{While } b \ c, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Call } p, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{DynCom } c, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Throw}, s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Catch } c1 \ c2, s \rangle \Rightarrow t
\end{aligned}$$

inductive-cases *exec-Normal-elim-cases* [cases set]:

$$\begin{aligned}
& \Gamma \vdash \langle c, \text{Fault } f \rangle \Rightarrow t \\
& \Gamma \vdash \langle c, \text{Stuck} \rangle \Rightarrow t \\
& \Gamma \vdash \langle c, \text{Abrupt } s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle \Rightarrow t \\
& \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow t
\end{aligned}$$

$\Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle \Rightarrow t$
 $\Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle \Rightarrow t$
 $\Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow t$
 $\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow t$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow t$
 $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow t$
 $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow t$
 $\Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle \Rightarrow t$
 $\Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle \Rightarrow t$

lemma *exec-block*:

$\llbracket \Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t; \Gamma \vdash \langle c \ s \ t, \text{Normal } (\text{return } s \ t) \rangle \Rightarrow u \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{block init bdy return } c, \text{Normal } s \rangle \Rightarrow u$
apply (*unfold block-def*)
by (*fastforce intro: exec.intros*)

lemma *exec-blockAbrupt*:

$\llbracket \Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Abrupt } t \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{block init bdy return } c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } (\text{return } s \ t)$
apply (*unfold block-def*)
by (*fastforce intro: exec.intros*)

lemma *exec-blockFault*:

$\llbracket \Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Fault } f \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{block init bdy return } c, \text{Normal } s \rangle \Rightarrow \text{Fault } f$
apply (*unfold block-def*)
by (*fastforce intro: exec.intros*)

lemma *exec-blockStuck*:

$\llbracket \Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Stuck} \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{block init bdy return } c, \text{Normal } s \rangle \Rightarrow \text{Stuck}$
apply (*unfold block-def*)
by (*fastforce intro: exec.intros*)

lemma *exec-call*:

$\llbracket \Gamma \ p = \text{Some } \text{bdy}; \Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t; \Gamma \vdash \langle c \ s \ t, \text{Normal } (\text{return } s \ t) \rangle \Rightarrow u \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow u$
apply (*simp add: call-def*)
apply (*rule exec-block*)
apply (*erule (1) Call*)
apply *assumption*
done

lemma *exec-callAbrupt*:
 $\llbracket \Gamma \ p=\text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Abrupt } t \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } (\text{return } s \ t)$
apply (*simp add: call-def*)
apply (*rule exec-blockAbrupt*)
apply (*erule (1) Call*)
done

lemma *exec-callFault*:
 $\llbracket \Gamma \ p=\text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Fault } f \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow \text{Fault } f$
apply (*simp add: call-def*)
apply (*rule exec-blockFault*)
apply (*erule (1) Call*)
done

lemma *exec-callStuck*:
 $\llbracket \Gamma \ p=\text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Stuck} \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow \text{Stuck}$
apply (*simp add: call-def*)
apply (*rule exec-blockStuck*)
apply (*erule (1) Call*)
done

lemma *exec-callUndefined*:
 $\llbracket \Gamma \ p=\text{None} \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow \text{Stuck}$
apply (*simp add: call-def*)
apply (*rule exec-blockStuck*)
apply (*erule CallUndefined*)
done

lemma *Fault-end*: **assumes** *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ **and** *s*: $s = \text{Fault } f$
shows $t = \text{Fault } f$
using *exec s* **by** (*induct*) *auto*

lemma *Stuck-end*: **assumes** *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ **and** *s*: $s = \text{Stuck}$
shows $t = \text{Stuck}$
using *exec s* **by** (*induct*) *auto*

lemma *Abrupt-end*: **assumes** *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ **and** *s*: $s = \text{Abrupt } s'$
shows $t = \text{Abrupt } s'$
using *exec s* **by** (*induct*) *auto*

lemma *exec-Call-body-aux*:
 $\Gamma \text{ } p = \text{Some } bdy \implies$
 $\Gamma \vdash \langle \text{Call } p, s \rangle \Rightarrow t = \Gamma \vdash \langle bdy, s \rangle \Rightarrow t$
apply (*rule*)
apply (*fastforce elim: exec-elim-cases*)
apply (*cases s*)
apply (*cases t*)
apply (*auto intro: exec.intros dest: Fault-end Stuck-end Abrupt-end*)
done

lemma *exec-Call-body'*:
 $p \in \text{dom } \Gamma \implies$
 $\Gamma \vdash \langle \text{Call } p, s \rangle \Rightarrow t = \Gamma \vdash \langle \text{the } (\Gamma \text{ } p), s \rangle \Rightarrow t$
apply *clarsimp*
by (*rule exec-Call-body-aux*)

lemma *exec-block-Normal-elim* [*consumes 1*]:
assumes *exec-block*: $\Gamma \vdash \langle \text{block init bdy return } c, \text{Normal } s \rangle \Rightarrow t$
assumes *Normal*:
 $\bigwedge t'. \llbracket \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t' ;$
 $\Gamma \vdash \langle c \text{ } s \text{ } t', \text{Normal } (\text{return } s \text{ } t') \rangle \Rightarrow t \rrbracket$
 $\implies P$
assumes *Abrupt*:
 $\bigwedge t'. \llbracket \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Abrupt } t' ;$
 $t = \text{Abrupt } (\text{return } s \text{ } t') \rrbracket$
 $\implies P$
assumes *Fault*:
 $\bigwedge f. \llbracket \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Fault } f ;$
 $t = \text{Fault } f \rrbracket$
 $\implies P$
assumes *Stuck*:
 $\llbracket \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Stuck} ;$
 $t = \text{Stuck} \rrbracket$
 $\implies P$
assumes
 $\llbracket \Gamma \text{ } p = \text{None} ; t = \text{Stuck} \rrbracket \implies P$
shows *P*
using *exec-block*
apply (*unfold block-def*)
apply (*elim exec-Normal-elim-cases*)
apply *simp-all*
apply (*case-tac s'*)
apply *simp-all*

```

apply    (elim exec-Normal-elim-cases)
apply    simp
apply    (drule Abrupt-end) apply simp
apply    (erule exec-Normal-elim-cases)
apply    simp
apply    (rule Abrupt,assumption+)
apply    (drule Fault-end) apply simp
apply    (erule exec-Normal-elim-cases)
apply    simp
apply    (drule Stuck-end) apply simp
apply    (erule exec-Normal-elim-cases)
apply    simp
apply    (case-tac s')
apply    simp-all
apply    (elim exec-Normal-elim-cases)
apply    simp
apply    (rule Normal, assumption+)
apply    (drule Fault-end) apply simp
apply    (rule Fault,assumption+)
apply    (drule Stuck-end) apply simp
apply    (rule Stuck,assumption+)
done

```

lemma *exec-call-Normal-elim* [*consumes 1*]:
assumes *exec-call*: $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow t$
assumes *Normal*:
 $\bigwedge bdy \ t'. \quad \begin{aligned} & \llbracket \Gamma \ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t'; \\ & \Gamma \vdash \langle c \ s \ t', \text{Normal } (\text{return } s \ t') \rangle \Rightarrow t \rrbracket \\ & \implies P \end{aligned}$
assumes *Abrupt*:
 $\bigwedge bdy \ t'. \quad \begin{aligned} & \llbracket \Gamma \ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Abrupt } t'; \\ & t = \text{Abrupt } (\text{return } s \ t') \rrbracket \\ & \implies P \end{aligned}$
assumes *Fault*:
 $\bigwedge bdy \ f. \quad \begin{aligned} & \llbracket \Gamma \ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Fault } f; \\ & t = \text{Fault } f \rrbracket \\ & \implies P \end{aligned}$
assumes *Stuck*:
 $\bigwedge bdy. \quad \begin{aligned} & \llbracket \Gamma \ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Stuck}; \\ & t = \text{Stuck} \rrbracket \\ & \implies P \end{aligned}$
assumes *Undef*:
 $\llbracket \Gamma \ p = \text{None}; t = \text{Stuck} \rrbracket \implies P$
shows *P*
using *exec-call*

```

apply (unfold call-def)
apply (cases  $\Gamma$  p)
apply (erule exec-block-Normal-elim)
apply (elim exec-Normal-elim-cases)
apply simp
apply simp
apply (elim exec-Normal-elim-cases)
apply simp
apply simp
apply (elim exec-Normal-elim-cases)
apply simp
apply simp
apply (elim exec-Normal-elim-cases)
apply simp
apply (rule Undef,assumption,assumption)
apply (rule Undef,assumption+)
apply (erule exec-block-Normal-elim)
apply (elim exec-Normal-elim-cases)
apply simp
apply (rule Normal,assumption+)
apply simp
apply (elim exec-Normal-elim-cases)
apply simp
apply (rule Abrupt,assumption+)
apply simp
apply (elim exec-Normal-elim-cases)
apply simp
apply (rule Fault, assumption+)
apply simp
apply (elim exec-Normal-elim-cases)
apply simp
apply (rule Stuck,assumption,assumption,assumption)
apply simp
apply (rule Undef,assumption+)
done

```

```

lemma exec-dynCall:
   $\llbracket \Gamma \vdash \langle \text{call init } (p \ s) \ \text{return } c, \text{Normal } s \rangle \Rightarrow t \rrbracket$ 
   $\Longrightarrow$ 
   $\Gamma \vdash \langle \text{dynCall init } p \ \text{return } c, \text{Normal } s \rangle \Rightarrow t$ 
apply (simp add: dynCall-def)
by (rule DynCom)

```

```

lemma exec-dynCall-Normal-elim:
  assumes exec:  $\Gamma \vdash \langle \text{dynCall init } p \ \text{return } c, \text{Normal } s \rangle \Rightarrow t$ 
  assumes call:  $\Gamma \vdash \langle \text{call init } (p \ s) \ \text{return } c, \text{Normal } s \rangle \Rightarrow t \Longrightarrow P$ 
  shows P
  using exec

```

apply (*simp add: dynCall-def*)
apply (*erule exec-Normal-elim-cases*)
apply (*rule call,assumption*)
done

lemma *exec-Call-body*:

$\Gamma \vdash p = \text{Some } bdy \implies$
 $\Gamma \vdash \langle \text{Call } p, s \rangle \Rightarrow t = \Gamma \vdash \langle \text{the } (\Gamma \vdash p), s \rangle \Rightarrow t$
apply (*rule*)
apply (*fastforce elim: exec-elim-cases*)
apply (*cases s*)
apply (*cases t*)
apply (*fastforce intro: exec.intros dest: Fault-end Abrupt-end Stuck-end*) +
done

lemma *exec-Seq'*: $\llbracket \Gamma \vdash \langle c1, s \rangle \Rightarrow s'; \Gamma \vdash \langle c2, s' \rangle \Rightarrow s'' \rrbracket$

\implies
 $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow s''$
apply (*cases s*)
apply (*fastforce intro: exec.intros*)
apply (*fastforce dest: Abrupt-end*)
apply (*fastforce dest: Fault-end*)
apply (*fastforce dest: Stuck-end*)
done

lemma *exec-assoc*: $\Gamma \vdash \langle \text{Seq } c1 \ (\text{Seq } c2 \ c3), s \rangle \Rightarrow t = \Gamma \vdash \langle \text{Seq } (\text{Seq } c1 \ c2) \ c3, s \rangle \Rightarrow t$

by (*blast elim!: exec-elim-cases intro: exec-Seq'*)

3.2 Big-Step Execution with Recursion Limit: $\Gamma \vdash \langle c, s \rangle =_n \Rightarrow t$

inductive *execn*:: $[(s', p, f) \text{ body}, (s', p, f) \text{ com}, (s', f) \text{ xstate}, \text{nat}, (s', f) \text{ xstate}]$
 $\Rightarrow \text{bool } (\vdash \langle -, - \rangle =_n \Rightarrow - \ [60, 20, 98, 65, 98] \ 89)$

for $\Gamma::(s', p, f) \text{ body}$

where

$\text{Skip}: \Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle =_n \Rightarrow \text{Normal } s$
 $\mid \text{Guard}: \llbracket s \in g; \Gamma \vdash \langle c, \text{Normal } s \rangle =_n \Rightarrow t \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle =_n \Rightarrow t$

$\mid \text{GuardFault}: s \notin g \implies \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle =_n \Rightarrow \text{Fault } f$

$\mid \text{FaultProp } [\text{intro}, \text{simp}]: \Gamma \vdash \langle c, \text{Fault } f \rangle =_n \Rightarrow \text{Fault } f$

$\mid \text{Basic}: \Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle =_n \Rightarrow \text{Normal } (f \ s)$

$$\begin{array}{l}
| \text{Spec}: (s, t) \in r \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle = n \Rightarrow \text{Normal } t \\
| \text{SpecStuck}: \forall t. (s, t) \notin r \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle = n \Rightarrow \text{Stuck} \\
| \text{Seq}: \llbracket \Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow s'; \Gamma \vdash \langle c_2, s' \rangle = n \Rightarrow t \rrbracket \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{Seq } c_1 \ c_2, \text{Normal } s \rangle = n \Rightarrow t \\
| \text{CondTrue}: \llbracket s \in b; \Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow t \rrbracket \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{Cond } b \ c_1 \ c_2, \text{Normal } s \rangle = n \Rightarrow t \\
| \text{CondFalse}: \llbracket s \notin b; \Gamma \vdash \langle c_2, \text{Normal } s \rangle = n \Rightarrow t \rrbracket \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{Cond } b \ c_1 \ c_2, \text{Normal } s \rangle = n \Rightarrow t \\
| \text{WhileTrue}: \llbracket s \in b; \Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow s'; \\
\quad \Gamma \vdash \langle \text{While } b \ c, s' \rangle = n \Rightarrow t \rrbracket \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle = n \Rightarrow t \\
| \text{WhileFalse}: \llbracket s \notin b \rrbracket \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle = n \Rightarrow \text{Normal } s \\
| \text{Call}: \llbracket \Gamma \vdash p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } s \rangle = n \Rightarrow t \rrbracket \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{Call } p \ , \text{Normal } s \rangle = \text{Suc } n \Rightarrow t \\
| \text{CallUndefined}: \llbracket \Gamma \vdash p = \text{None} \rrbracket \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{Call } p \ , \text{Normal } s \rangle = \text{Suc } n \Rightarrow \text{Stuck} \\
| \text{StuckProp } [\text{intro}, \text{simp}]: \Gamma \vdash \langle c, \text{Stuck} \rangle = n \Rightarrow \text{Stuck} \\
| \text{DynCom}: \llbracket \Gamma \vdash \langle (c \ s), \text{Normal } s \rangle = n \Rightarrow t \rrbracket \\
\quad \Rightarrow \\
\quad \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle = n \Rightarrow t \\
| \text{Throw}: \Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle = n \Rightarrow \text{Abrupt } s \\
| \text{AbruptProp } [\text{intro}, \text{simp}]: \Gamma \vdash \langle c, \text{Abrupt } s \rangle = n \Rightarrow \text{Abrupt } s \\
| \text{CatchMatch}: \llbracket \Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow \text{Abrupt } s'; \Gamma \vdash \langle c_2, \text{Normal } s' \rangle = n \Rightarrow t \rrbracket \\
\quad \Rightarrow
\end{array}$$

$$\begin{array}{l}
\Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle = n \Rightarrow t \\
| \text{CatchMiss: } [\Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow t; \neg \text{isAbr } t] \\
\Rightarrow \\
\Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle = n \Rightarrow t
\end{array}$$

inductive-cases *execn-elim-cases* [*cases set*]:

$$\begin{array}{l}
\Gamma \vdash \langle c, \text{Fault } f \rangle = n \Rightarrow t \\
\Gamma \vdash \langle c, \text{Stuck} \rangle = n \Rightarrow t \\
\Gamma \vdash \langle c, \text{Abrupt } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Skip}, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Guard } f \ g \ c, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Basic } f, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Spec } r, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{While } b \ c, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Call } p, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{DynCom } c, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Throw}, s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Catch } c1 \ c2, s \rangle = n \Rightarrow t
\end{array}$$

inductive-cases *execn-Normal-elim-cases* [*cases set*]:

$$\begin{array}{l}
\Gamma \vdash \langle c, \text{Fault } f \rangle = n \Rightarrow t \\
\Gamma \vdash \langle c, \text{Stuck} \rangle = n \Rightarrow t \\
\Gamma \vdash \langle c, \text{Abrupt } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle = n \Rightarrow t \\
\Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle = n \Rightarrow t
\end{array}$$

lemma *execn-Skip'*: $\Gamma \vdash \langle \text{Skip}, t \rangle = n \Rightarrow t$
by (*cases t*) (*auto intro: execn.intros*)

lemma *execn-Fault-end*: **assumes** *exec*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ **and** *s*: *s* = *Fault f*
shows *t* = *Fault f*
using *exec s* **by** (*induct*) *auto*

lemma *execn-Stuck-end*: **assumes** *exec*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ **and** *s*: *s* = *Stuck*
shows *t* = *Stuck*
using *exec s* **by** (*induct*) *auto*

lemma *execn-Abrupt-end*: **assumes** *exec*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ **and** $s = \text{Abrupt } s'$
shows $t = \text{Abrupt } s'$
using *exec s* **by** (*induct*) *auto*

lemma *execn-block*:
 $\llbracket \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = n \Rightarrow \text{Normal } t; \Gamma \vdash \langle c\ s\ t, \text{Normal } (return\ s\ t) \rangle = n \Rightarrow u \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{block } init\ bdy\ return\ c, \text{Normal } s \rangle = n \Rightarrow u$
apply (*unfold block-def*)
by (*fastforce intro: execn.intros*)

lemma *execn-blockAbrupt*:
 $\llbracket \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = n \Rightarrow \text{Abrupt } t \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{block } init\ bdy\ return\ c, \text{Normal } s \rangle = n \Rightarrow \text{Abrupt } (return\ s\ t)$
apply (*unfold block-def*)
by (*fastforce intro: execn.intros*)

lemma *execn-blockFault*:
 $\llbracket \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = n \Rightarrow \text{Fault } f \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{block } init\ bdy\ return\ c, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$
apply (*unfold block-def*)
by (*fastforce intro: execn.intros*)

lemma *execn-blockStuck*:
 $\llbracket \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = n \Rightarrow \text{Stuck} \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{block } init\ bdy\ return\ c, \text{Normal } s \rangle = n \Rightarrow \text{Stuck}$
apply (*unfold block-def*)
by (*fastforce intro: execn.intros*)

lemma *execn-call*:
 $\llbracket \Gamma\ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = n \Rightarrow \text{Normal } t; \Gamma \vdash \langle c\ s\ t, \text{Normal } (return\ s\ t) \rangle = \text{Suc } n \Rightarrow u \rrbracket$
 \Rightarrow
 $\Gamma \vdash \langle \text{call } init\ p\ return\ c, \text{Normal } s \rangle = \text{Suc } n \Rightarrow u$
apply (*simp add: call-def*)
apply (*rule execn-block*)
apply (*erule (1) Call*)
apply *assumption*
done

lemma *execn-callAbrupt*:
 $\llbracket \Gamma\ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = n \Rightarrow \text{Abrupt } t \rrbracket$
 \Rightarrow

$\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle = \text{Suc } n \Rightarrow \text{Abrupt } (\text{return } s \ t)$
apply (simp add: call-def)
apply (rule execn-blockAbrupt)
apply (erule (1) Call)
done

lemma execn-callFault:

$$\begin{aligned} & \llbracket \Gamma \ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = n \Rightarrow \text{Fault } f \rrbracket \\ & \implies \\ & \Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle = \text{Suc } n \Rightarrow \text{Fault } f \end{aligned}$$

apply (simp add: call-def)
apply (rule execn-blockFault)
apply (erule (1) Call)
done

lemma execn-callStuck:

$$\begin{aligned} & \llbracket \Gamma \ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = n \Rightarrow \text{Stuck} \rrbracket \\ & \implies \\ & \Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle = \text{Suc } n \Rightarrow \text{Stuck} \end{aligned}$$

apply (simp add: call-def)
apply (rule execn-blockStuck)
apply (erule (1) Call)
done

lemma execn-callUndefined:

$$\begin{aligned} & \llbracket \Gamma \ p = \text{None} \rrbracket \\ & \implies \\ & \Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle = \text{Suc } n \Rightarrow \text{Stuck} \end{aligned}$$

apply (simp add: call-def)
apply (rule execn-blockStuck)
apply (erule CallUndefined)
done

lemma execn-block-Normal-elim [consumes 1]:
assumes execn-block: $\Gamma \vdash \langle \text{block init } bdy \text{ return } c, \text{Normal } s \rangle = n \Rightarrow t$
assumes Normal:

$$\begin{aligned} & \bigwedge t'. \\ & \llbracket \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = n \Rightarrow \text{Normal } t'; \\ & \Gamma \vdash \langle c \ s \ t', \text{Normal } (\text{return } s \ t') \rangle = n \Rightarrow t \rrbracket \\ & \implies P \end{aligned}$$

assumes Abrupt:

$$\begin{aligned} & \bigwedge t'. \\ & \llbracket \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = n \Rightarrow \text{Abrupt } t'; \\ & t = \text{Abrupt } (\text{return } s \ t') \rrbracket \\ & \implies P \end{aligned}$$

assumes Fault:

$$\begin{aligned} & \bigwedge f. \\ & \llbracket \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = n \Rightarrow \text{Fault } f; \\ & t = \text{Fault } f \rrbracket \end{aligned}$$

$\Rightarrow P$
assumes *Stuck*:
 $\llbracket \Gamma \vdash \langle bdy, Normal (init\ s) \rangle = n \Rightarrow Stuck; t = Stuck \rrbracket$
 $\Rightarrow P$
assumes *Undef*:
 $\llbracket \Gamma\ p = None; t = Stuck \rrbracket \Rightarrow P$
shows *P*
using *execn-block*
apply (*unfold block-def*)
apply (*elim execn-Normal-elim-cases*)
apply *simp-all*
apply (*case-tac s'*)
apply *simp-all*
apply (*elim execn-Normal-elim-cases*)
apply *simp*
apply (*drule execn-Abrupt-end*) **apply** *simp*
apply (*erule execn-Normal-elim-cases*)
apply *simp*
apply (*rule Abrupt,assumption+*)
apply (*drule execn-Fault-end*) **apply** *simp*
apply (*erule execn-Normal-elim-cases*)
apply *simp*
apply (*drule execn-Stuck-end*) **apply** *simp*
apply (*erule execn-Normal-elim-cases*)
apply *simp*
apply (*case-tac s'*)
apply *simp-all*
apply (*elim execn-Normal-elim-cases*)
apply *simp*
apply (*rule Normal,assumption+*)
apply (*drule execn-Fault-end*) **apply** *simp*
apply (*rule Fault,assumption+*)
apply (*drule execn-Stuck-end*) **apply** *simp*
apply (*rule Stuck,assumption+*)
done

lemma *execn-call-Normal-elim* [*consumes 1*]:

assumes *exec-call*: $\Gamma \vdash \langle call\ init\ p\ return\ c, Normal\ s \rangle = n \Rightarrow t$

assumes *Normal*:

$\bigwedge bdy\ i\ t'.$

$\llbracket \Gamma\ p = Some\ bdy; \Gamma \vdash \langle bdy, Normal (init\ s) \rangle = i \Rightarrow Normal\ t';$

$\Gamma \vdash \langle c\ s\ t', Normal (return\ s\ t') \rangle = Suc\ i \Rightarrow t; n = Suc\ i \rrbracket$

$\Rightarrow P$

assumes *Abrupt*:

$\bigwedge bdy\ i\ t'.$

$\llbracket \Gamma\ p = Some\ bdy; \Gamma \vdash \langle bdy, Normal (init\ s) \rangle = i \Rightarrow Abrupt\ t'; n = Suc\ i;$

$t = Abrupt (return\ s\ t') \rrbracket$

$\Rightarrow P$

```

assumes Fault:
   $\bigwedge bdy\ i\ f.$ 
   $\llbracket \Gamma\ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = i \Rightarrow \text{Fault } f; n = \text{Suc } i;$ 
   $t = \text{Fault } f \rrbracket$ 
   $\Rightarrow P$ 
assumes Stuck:
   $\bigwedge bdy\ i.$ 
   $\llbracket \Gamma\ p = \text{Some } bdy; \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = i \Rightarrow \text{Stuck}; n = \text{Suc } i;$ 
   $t = \text{Stuck} \rrbracket$ 
   $\Rightarrow P$ 
assumes Undef:
   $\bigwedge i. \llbracket \Gamma\ p = \text{None}; n = \text{Suc } i; t = \text{Stuck} \rrbracket \Rightarrow P$ 
shows  $P$ 
  using exec-call
  apply (unfold call-def)
  apply (cases n)
  apply (simp only: block-def)
  apply (fastforce elim: execn-Normal-elim-cases)
  apply (cases  $\Gamma\ p$ )
  apply (erule execn-block-Normal-elim)
  apply (elim execn-Normal-elim-cases)
  apply simp
  apply simp
  apply (elim execn-Normal-elim-cases)
  apply simp
  apply simp
  apply (elim execn-Normal-elim-cases)
  apply simp
  apply (elim execn-Normal-elim-cases)
  apply simp
  apply (rule Undef,assumption,assumption,assumption)
  apply (rule Undef,assumption+)
  apply (erule execn-block-Normal-elim)
  apply (elim execn-Normal-elim-cases)
  apply simp
  apply (rule Normal,assumption+)
  apply simp
  apply (elim execn-Normal-elim-cases)
  apply simp
  apply (rule Abrupt,assumption+)
  apply simp
  apply (elim execn-Normal-elim-cases)
  apply simp
  apply (rule Fault,assumption+)
  apply simp
  apply (elim execn-Normal-elim-cases)
  apply simp
  apply (rule Stuck,assumption,assumption,assumption,assumption)

```

apply (rule *Undef,assumption,assumption,assumption*)
apply (rule *Undef,assumption+*)
done

lemma *execn-dynCall*:
 $\llbracket \Gamma \vdash \langle \text{call init } (p \ s) \ \text{return } c, \text{Normal } s \rangle = n \Rightarrow t \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{dynCall init } p \ \text{return } c, \text{Normal } s \rangle = n \Rightarrow t$
apply (simp add: *dynCall-def*)
by (rule *DynCom*)

lemma *execn-dynCall-Normal-elim*:
assumes *exec*: $\Gamma \vdash \langle \text{dynCall init } p \ \text{return } c, \text{Normal } s \rangle = n \Rightarrow t$
assumes $\Gamma \vdash \langle \text{call init } (p \ s) \ \text{return } c, \text{Normal } s \rangle = n \Rightarrow t \implies P$
shows *P*
using *exec*
apply (simp add: *dynCall-def*)
apply (erule *execn-Normal-elim-cases*)
apply *fact*
done

lemma *execn-Seq'*:
 $\llbracket \Gamma \vdash \langle c1, s \rangle = n \Rightarrow s'; \Gamma \vdash \langle c2, s' \rangle = n \Rightarrow s'' \rrbracket$
 \implies
 $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle = n \Rightarrow s''$
apply (cases *s*)
apply (*fastforce intro: execn.intros*)
apply (*fastforce dest: execn-Abrupt-end*)
apply (*fastforce dest: execn-Fault-end*)
apply (*fastforce dest: execn-Stuck-end*)
done

lemma *execn-mono*:
assumes *exec*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$
shows $\bigwedge m. n \leq m \implies \Gamma \vdash \langle c, s \rangle = m \Rightarrow t$
using *exec*
by (*induct*) (*auto intro: execn.intros dest: Suc-le-D*)

lemma *execn-Suc*:
 $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t \implies \Gamma \vdash \langle c, s \rangle = \text{Suc } n \Rightarrow t$
by (rule *execn-mono* [*OF - le-refl* [*THEN le-SucI*]])

lemma *execn-assoc*:
 $\Gamma \vdash \langle \text{Seq } c1 \ (\text{Seq } c2 \ c3), s \rangle = n \Rightarrow t = \Gamma \vdash \langle \text{Seq } (\text{Seq } c1 \ c2) \ c3, s \rangle = n \Rightarrow t$

```

by (auto elim!: execn-elim-cases intro: execn-Seq')

lemma execn-to-exec:
  assumes execn:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  shows  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
using execn
by induct (auto intro: exec.intros)

lemma exec-to-execn:
  assumes execn:  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  shows  $\exists n. \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
using execn
proof (induct)
  case Skip thus ?case by (iprover intro: execn.intros)
next
  case Guard thus ?case by (iprover intro: execn.intros)
next
  case GuardFault thus ?case by (iprover intro: execn.intros)
next
  case FaultProp thus ?case by (iprover intro: execn.intros)
next
  case Basic thus ?case by (iprover intro: execn.intros)
next
  case Spec thus ?case by (iprover intro: execn.intros)
next
  case SpecStuck thus ?case by (iprover intro: execn.intros)
next
  case (Seq c1 s s' c2 s'')
  then obtain n m where
     $\Gamma \vdash \langle c1, \text{Normal } s \rangle = n \Rightarrow s' \Gamma \vdash \langle c2, s' \rangle = m \Rightarrow s''$ 
  by blast
  then have
     $\Gamma \vdash \langle c1, \text{Normal } s \rangle = \max n m \Rightarrow s'$ 
     $\Gamma \vdash \langle c2, s' \rangle = \max n m \Rightarrow s''$ 
  by (auto elim!: execn-mono intro: max.cobounded1 max.cobounded2)
  thus ?case
    by (iprover intro: execn.intros)
next
  case CondTrue thus ?case by (iprover intro: execn.intros)
next
  case CondFalse thus ?case by (iprover intro: execn.intros)
next
  case (WhileTrue s b c s' s'')
  then obtain n m where
     $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow s' \Gamma \vdash \langle \text{While } b c, s' \rangle = m \Rightarrow s''$ 
  by blast
  then have
     $\Gamma \vdash \langle c, \text{Normal } s \rangle = \max n m \Rightarrow s' \Gamma \vdash \langle \text{While } b c, s' \rangle = \max n m \Rightarrow s''$ 

```

```

    by (auto elim!: execn-mono intro: max.cobounded1 max.cobounded2)
  with WhileTrue
  show ?case
    by (iprover intro: execn.intros)
next
  case WhileFalse thus ?case by (iprover intro: execn.intros)
next
  case Call thus ?case by (iprover intro: execn.intros)
next
  case CallUndefined thus ?case by (iprover intro: execn.intros)
next
  case StuckProp thus ?case by (iprover intro: execn.intros)
next
  case DynCom thus ?case by (iprover intro: execn.intros)
next
  case Throw thus ?case by (iprover intro: execn.intros)
next
  case AbruptProp thus ?case by (iprover intro: execn.intros)
next
  case (CatchMatch c1 s s' c2 s'')
  then obtain n m where
     $\Gamma \vdash \langle c1, Normal\ s \rangle = n \Rightarrow Abrupt\ s' \Gamma \vdash \langle c2, Normal\ s' \rangle = m \Rightarrow s''$ 
    by blast
  then have
     $\Gamma \vdash \langle c1, Normal\ s \rangle = \max\ n\ m \Rightarrow Abrupt\ s'$ 
     $\Gamma \vdash \langle c2, Normal\ s' \rangle = \max\ n\ m \Rightarrow s''$ 
    by (auto elim!: execn-mono intro: max.cobounded1 max.cobounded2)
  with CatchMatch.hyps show ?case
    by (iprover intro: execn.intros)
next
  case CatchMiss thus ?case by (iprover intro: execn.intros)
qed

```

theorem *exec-iff-execn*: $(\Gamma \vdash \langle c, s \rangle \Rightarrow t) = (\exists n. \Gamma \vdash \langle c, s \rangle = n \Rightarrow t)$
 by (iprover intro: exec-to-execn execn-to-exec)

definition *nfinal-notin*:: $(s', p, f) \text{ body} \Rightarrow (s', p, f) \text{ com} \Rightarrow (s', f) \text{ xstate} \Rightarrow \text{nat}$
 $\Rightarrow (s', f) \text{ xstate set} \Rightarrow \text{bool}$
 $(\vdash \langle -, - \rangle \Rightarrow \neg \in - \text{ [60, 20, 98, 65, 60] 89})$ **where**
 $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \neg \in T = (\forall t. \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \longrightarrow t \notin T)$

definition *final-notin*:: $(s', p, f) \text{ body} \Rightarrow (s', p, f) \text{ com} \Rightarrow (s', f) \text{ xstate}$
 $\Rightarrow (s', f) \text{ xstate set} \Rightarrow \text{bool}$
 $(\vdash \langle -, - \rangle \Rightarrow \neg \in - \text{ [60, 20, 98, 60] 89})$ **where**
 $\Gamma \vdash \langle c, s \rangle \Rightarrow \neg \in T = (\forall t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \longrightarrow t \notin T)$

lemma *final-notinI*: $\llbracket \bigwedge t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \Longrightarrow t \notin T \rrbracket \Longrightarrow \Gamma \vdash \langle c, s \rangle \Rightarrow \neg \in T$
 by (simp add: final-notin-def)

lemma *noFaultStuck-Call-body'*: $p \in \text{dom } \Gamma \implies$
 $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{ \text{Stuck} \} \cup \text{Fault } '(-F)) =$
 $\Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } s \rangle \Rightarrow \neg(\{ \text{Stuck} \} \cup \text{Fault } '(-F))$
by (*clarsimp simp add: final-notin-def exec-Call-body*)

lemma *noFault-startn*:
assumes *execn*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ **and** $t: t \neq \text{Fault } f$
shows $s \neq \text{Fault } f$
using *execn t* **by** (*induct*) *auto*

lemma *noFault-start*:
assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ **and** $t: t \neq \text{Fault } f$
shows $s \neq \text{Fault } f$
using *exec t* **by** (*induct*) *auto*

lemma *noStuck-startn*:
assumes *execn*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ **and** $t: t \neq \text{Stuck}$
shows $s \neq \text{Stuck}$
using *execn t* **by** (*induct*) *auto*

lemma *noStuck-start*:
assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ **and** $t: t \neq \text{Stuck}$
shows $s \neq \text{Stuck}$
using *exec t* **by** (*induct*) *auto*

lemma *noAbrupt-startn*:
assumes *execn*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ **and** $t: \forall t'. t \neq \text{Abrupt } t'$
shows $s \neq \text{Abrupt } s'$
using *execn t* **by** (*induct*) *auto*

lemma *noAbrupt-start*:
assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ **and** $t: \forall t'. t \neq \text{Abrupt } t'$
shows $s \neq \text{Abrupt } s'$
using *exec t* **by** (*induct*) *auto*

lemma *noFaultn-startD*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \text{Normal } t \implies s \neq \text{Fault } f$
by (*auto dest: noFault-startn*)

lemma *noFaultn-startD'*: $t \neq \text{Fault } f \implies \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \implies s \neq \text{Fault } f$
by (*auto dest: noFault-startn*)

lemma *noFault-startD*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \text{Normal } t \implies s \neq \text{Fault } f$
by (*auto dest: noFault-start*)

lemma *noFault-startD'*: $t \neq \text{Fault } f \implies \Gamma \vdash \langle c, s \rangle \Rightarrow t \implies s \neq \text{Fault } f$
by (*auto dest: noFault-start*)

lemma *noStuckn-startD*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \text{Normal } t \implies s \neq \text{Stuck}$

```

by (auto dest: noStuck-startn)

lemma noStuckn-startD':  $t \neq Stuck \implies \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \implies s \neq Stuck$ 
  by (auto dest: noStuck-startn)

lemma noStuck-startD:  $\Gamma \vdash \langle c, s \rangle \Rightarrow Normal\ t \implies s \neq Stuck$ 
  by (auto dest: noStuck-start)

lemma noStuck-startD':  $t \neq Stuck \implies \Gamma \vdash \langle c, s \rangle \Rightarrow t \implies s \neq Stuck$ 
  by (auto dest: noStuck-start)

lemma noAbruptn-startD:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow Normal\ t \implies s \neq Abrupt\ s'$ 
  by (auto dest: noAbrupt-startn)

lemma noAbrupt-startD:  $\Gamma \vdash \langle c, s \rangle \Rightarrow Normal\ t \implies s \neq Abrupt\ s'$ 
  by (auto dest: noAbrupt-start)

lemma noFaultnI:  $\llbracket \bigwedge t. \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \implies t \neq Fault\ f \rrbracket \implies \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{Fault\ f\}$ 
  by (simp add: nfinal-notin-def)

lemma noFaultnI':
  assumes  $contr: \Gamma \vdash \langle c, s \rangle = n \Rightarrow Fault\ f \implies False$ 
  shows  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{Fault\ f\}$ 
  proof (rule noFaultnI)
    fix  $t$  assume  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
    with  $contr$  show  $t \neq Fault\ f$ 
    by (cases  $t = Fault\ f$ ) auto
  qed

lemma noFaultn-def':  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{Fault\ f\} = (\neg \Gamma \vdash \langle c, s \rangle = n \Rightarrow Fault\ f)$ 
  apply rule
  apply (fastforce simp add: nfinal-notin-def)
  apply (fastforce intro: noFaultnI')
  done

lemma noStucknI:  $\llbracket \bigwedge t. \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \implies t \neq Stuck \rrbracket \implies \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{Stuck\}$ 
  by (simp add: nfinal-notin-def)

lemma noStucknI':
  assumes  $contr: \Gamma \vdash \langle c, s \rangle = n \Rightarrow Stuck \implies False$ 
  shows  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{Stuck\}$ 
  proof (rule noStucknI)
    fix  $t$  assume  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
    with  $contr$  show  $t \neq Stuck$ 
    by (cases  $t$ ) auto
  qed

lemma noStuckn-def':  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{Stuck\} = (\neg \Gamma \vdash \langle c, s \rangle = n \Rightarrow Stuck)$ 

```

apply *rule*
apply (*fastforce simp add: nfinal-notin-def*)
apply (*fastforce intro: noStucknI'*)
done

lemma *noFaultI*: $\llbracket \bigwedge t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \implies t \neq \text{Fault } f \rrbracket \implies \Gamma \vdash \langle c, s \rangle \Rightarrow \neg \{ \text{Fault } f \}$
by (*simp add: final-notin-def*)

lemma *noFaultI'*:
assumes *contr*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \text{Fault } f \implies \text{False}$
shows $\Gamma \vdash \langle c, s \rangle \Rightarrow \neg \{ \text{Fault } f \}$
proof (*rule noFaultI*)
fix *t* **assume** $\Gamma \vdash \langle c, s \rangle \Rightarrow t$
with *contr* **show** $t \neq \text{Fault } f$
by (*cases t=Fault f*) *auto*
qed

lemma *noFaultE*:
 $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow \neg \{ \text{Fault } f \}; \Gamma \vdash \langle c, s \rangle \Rightarrow \text{Fault } f \rrbracket \implies P$
by (*auto simp add: final-notin-def*)

lemma *noFault-def'*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \neg \{ \text{Fault } f \} = (\neg \Gamma \vdash \langle c, s \rangle \Rightarrow \text{Fault } f)$
apply *rule*
apply (*fastforce simp add: final-notin-def*)
apply (*fastforce intro: noFaultI'*)
done

lemma *noStuckI*: $\llbracket \bigwedge t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \implies t \neq \text{Stuck} \rrbracket \implies \Gamma \vdash \langle c, s \rangle \Rightarrow \neg \{ \text{Stuck} \}$
by (*simp add: final-notin-def*)

lemma *noStuckI'*:
assumes *contr*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \text{Stuck} \implies \text{False}$
shows $\Gamma \vdash \langle c, s \rangle \Rightarrow \neg \{ \text{Stuck} \}$
proof (*rule noStuckI*)
fix *t* **assume** $\Gamma \vdash \langle c, s \rangle \Rightarrow t$
with *contr* **show** $t \neq \text{Stuck}$
by (*cases t*) *auto*
qed

lemma *noStuckE*:
 $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow \neg \{ \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle \Rightarrow \text{Stuck} \rrbracket \implies P$
by (*auto simp add: final-notin-def*)

lemma *noStuck-def'*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \neg \{ \text{Stuck} \} = (\neg \Gamma \vdash \langle c, s \rangle \Rightarrow \text{Stuck})$
apply *rule*
apply (*fastforce simp add: final-notin-def*)
apply (*fastforce intro: noStuckI'*)

done

lemma *noFaultn-execD*: $\llbracket \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{ \text{Fault } f \}; \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \rrbracket \Longrightarrow t \neq \text{Fault } f$
by (*simp add: nfinal-notin-def*)

lemma *noFault-execD*: $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow \notin \{ \text{Fault } f \}; \Gamma \vdash \langle c, s \rangle \Rightarrow t \rrbracket \Longrightarrow t \neq \text{Fault } f$
by (*simp add: final-notin-def*)

lemma *noFaultn-exec-startD*: $\llbracket \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{ \text{Fault } f \}; \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \rrbracket \Longrightarrow s \neq \text{Fault } f$
by (*auto simp add: nfinal-notin-def dest: noFaultn-startD*)

lemma *noFault-exec-startD*: $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow \notin \{ \text{Fault } f \}; \Gamma \vdash \langle c, s \rangle \Rightarrow t \rrbracket \Longrightarrow s \neq \text{Fault } f$
by (*auto simp add: final-notin-def dest: noFault-startD*)

lemma *noStuckn-execD*: $\llbracket \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{ \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \rrbracket \Longrightarrow t \neq \text{Stuck}$
by (*simp add: nfinal-notin-def*)

lemma *noStuck-execD*: $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow \notin \{ \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle \Rightarrow t \rrbracket \Longrightarrow t \neq \text{Stuck}$
by (*simp add: final-notin-def*)

lemma *noStuckn-exec-startD*: $\llbracket \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{ \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \rrbracket \Longrightarrow s \neq \text{Stuck}$
by (*auto simp add: nfinal-notin-def dest: noStuckn-startD*)

lemma *noStuck-exec-startD*: $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow \notin \{ \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle \Rightarrow t \rrbracket \Longrightarrow s \neq \text{Stuck}$
by (*auto simp add: final-notin-def dest: noStuck-startD*)

lemma *noFaultStuckn-execD*:
 $\llbracket \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{ \text{Fault } \text{True}, \text{Fault } \text{False}, \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \rrbracket \Longrightarrow$
 $t \notin \{ \text{Fault } \text{True}, \text{Fault } \text{False}, \text{Stuck} \}$
by (*simp add: nfinal-notin-def*)

lemma *noFaultStuck-execD*: $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow \notin \{ \text{Fault } \text{True}, \text{Fault } \text{False}, \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle$
 $\Rightarrow t \rrbracket$
 $\Longrightarrow t \notin \{ \text{Fault } \text{True}, \text{Fault } \text{False}, \text{Stuck} \}$
by (*simp add: final-notin-def*)

lemma *noFaultStuckn-exec-startD*:
 $\llbracket \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin \{ \text{Fault } \text{True}, \text{Fault } \text{False}, \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \rrbracket$
 $\Longrightarrow s \notin \{ \text{Fault } \text{True}, \text{Fault } \text{False}, \text{Stuck} \}$
by (*auto simp add: nfinal-notin-def*)

lemma *noFaultStuck-exec-startD*:
 $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow \notin \{ \text{Fault } \text{True}, \text{Fault } \text{False}, \text{Stuck} \}; \Gamma \vdash \langle c, s \rangle \Rightarrow t \rrbracket$
 $\Longrightarrow s \notin \{ \text{Fault } \text{True}, \text{Fault } \text{False}, \text{Stuck} \}$
by (*auto simp add: final-notin-def*)

lemma *noStuck-Call*:

assumes *noStuck*: $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$
shows $p \in \text{dom } \Gamma$
proof (*cases* $p \in \text{dom } \Gamma$)
 case *True* **thus** *?thesis* **by** *simp*
next
 case *False*
 hence $\Gamma \vdash p = \text{None}$ **by** *auto*
 hence $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \text{Stuck}$
 by (*rule exec.CallUndefined*)
 with *noStuck* **show** *?thesis*
 by (*auto simp add: final-notin-def*)
qed

lemma *Guard-noFaultStuckD*:
assumes $\Gamma \vdash \langle \text{Guard } f \text{ } g \text{ } c, \text{Normal } s \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } ' (-F))$
assumes $f \notin F$
shows $s \in g$
using *assms*
by (*auto simp add: final-notin-def intro: exec.intros*)

lemma *final-notin-to-finaln*:
assumes *notin*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \notin T$
shows $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin T$
proof (*clarsimp simp add: nfinal-notin-def*)
 fix *t* **assume** $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ **and** $t \in T$
 with *notin* **show** *False*
 by (*auto intro: execn-to-exec simp add: final-notin-def*)
qed

lemma *noFault-Call-body*:
 $\Gamma \vdash p = \text{Some } \text{bdy} \Rightarrow$
 $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Fault } f \} =$
 $\Gamma \vdash \langle \text{the } (\Gamma \vdash p), \text{Normal } s \rangle \Rightarrow \notin \{ \text{Fault } f \}$
by (*simp add: noFault-def' exec-Call-body*)

lemma *noStuck-Call-body*:
 $\Gamma \vdash p = \text{Some } \text{bdy} \Rightarrow$
 $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \} =$
 $\Gamma \vdash \langle \text{the } (\Gamma \vdash p), \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$
by (*simp add: noStuck-def' exec-Call-body*)

lemma *exec-final-notin-to-execn*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \notin T \Longrightarrow \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin T$
by (*auto simp add: final-notin-def nfinal-notin-def dest: execn-to-exec*)

lemma *execn-final-notin-to-exec*: $\forall n. \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin T \Longrightarrow \Gamma \vdash \langle c, s \rangle \Rightarrow \notin T$
by (*auto simp add: final-notin-def nfinal-notin-def dest: exec-to-execn*)

lemma *exec-final-notin-iff-execn*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \notin T = (\forall n. \Gamma \vdash \langle c, s \rangle = n \Rightarrow \notin T)$
by (*auto intro: exec-final-notin-to-execn execn-final-notin-to-exec*)

lemma *Seq-NoFaultStuckD2*:

assumes *noabort*: $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } ' F)$
shows $\forall t. \Gamma \vdash \langle c1, s \rangle \Rightarrow t \longrightarrow t \notin (\{Stuck\} \cup \text{Fault } ' F) \longrightarrow$
 $\Gamma \vdash \langle c2, t \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } ' F)$

using *noabort*

by (*auto simp add: final-notin-def intro: exec-Seq'*) **lemma** *Seq-NoFaultStuckD1*:

assumes *noabort*: $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } ' F)$
shows $\Gamma \vdash \langle c1, s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } ' F)$

proof (*rule final-notinI*)

fix *t*

assume *exec-c1*: $\Gamma \vdash \langle c1, s \rangle \Rightarrow t$

show $t \notin \{Stuck\} \cup \text{Fault } ' F$

proof

assume $t \in \{Stuck\} \cup \text{Fault } ' F$

moreover

{

assume $t = Stuck$

with *exec-c1*

have $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow Stuck$

by (*auto intro: exec-Seq'*)

with *noabort* **have** *False*

by (*auto simp add: final-notin-def*)

hence *False* ..

}

moreover

{

assume $t \in \text{Fault } ' F$

then obtain *f* **where**

t: $t = \text{Fault } f$ **and** *f*: $f \in F$

by *auto*

from *t exec-c1*

have $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow \text{Fault } f$

by (*auto intro: exec-Seq'*)

with *noabort* *f* **have** *False*

by (*auto simp add: final-notin-def*)

hence *False* ..

}

ultimately show *False* **by** *auto*

qed

qed

lemma *Seq-NoFaultStuckD2'*:

assumes *noabort*: $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } ' F)$

shows $\forall t. \Gamma \vdash \langle c1, s \rangle \Rightarrow t \longrightarrow t \notin (\{Stuck\} \cup \text{Fault } ' F) \longrightarrow$

$\Gamma \vdash \langle c2, t \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } ' F)$

using *noabort*

by (auto simp add: final-notin-def intro: exec-Seq')

3.3 Lemmas about sequence, flatten and Language.normalize

lemma *execn-sequence-app*: $\bigwedge s s' t.$
 $\llbracket \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle = n \Rightarrow s'; \Gamma \vdash \langle \text{sequence Seq } ys, s' \rangle = n \Rightarrow t \rrbracket$
 $\Rightarrow \Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s \rangle = n \Rightarrow t$
proof (induct xs)
 case Nil
 thus ?case **by** (auto elim: execn-Normal-elim-cases)
next
 case (Cons x xs)
 have *exec-x-xs*: $\Gamma \vdash \langle \text{sequence Seq } (x \# xs), \text{Normal } s \rangle = n \Rightarrow s'$ **by** fact
 have *exec-ys*: $\Gamma \vdash \langle \text{sequence Seq } ys, s' \rangle = n \Rightarrow t$ **by** fact
 show ?case
 proof (cases xs)
 case Nil
 with *exec-x-xs* **have** $\Gamma \vdash \langle x, \text{Normal } s \rangle = n \Rightarrow s'$
 by (auto elim: execn-Normal-elim-cases)
 with Nil *exec-ys* **show** ?thesis
 by (cases ys) (auto intro: execn.intros elim: execn-elim-cases)
 next
 case Cons
 with *exec-x-xs*
 obtain s'' **where**
 exec-x: $\Gamma \vdash \langle x, \text{Normal } s \rangle = n \Rightarrow s''$ **and**
 exec-xs: $\Gamma \vdash \langle \text{sequence Seq } xs, s'' \rangle = n \Rightarrow s'$
 by (auto elim: execn-Normal-elim-cases)
 show ?thesis
 proof (cases s'')
 case (Normal s''')
 from Cons.hyps [OF *exec-xs* [simplified Normal] *exec-ys*]
 have $\Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s''' \rangle = n \Rightarrow t$.
 with Cons *exec-x* Normal
 show ?thesis
 by (auto intro: execn.intros)
 next
 case (Abrupt s''')
 with *exec-xs* **have** $s' = \text{Abrupt } s'''$
 by (auto dest: execn-Abrupt-end)
 with *exec-ys* **have** $t = \text{Abrupt } s'''$
 by (auto dest: execn-Abrupt-end)
 with *exec-x* Abrupt Cons **show** ?thesis
 by (auto intro: execn.intros)
next
 case (Fault f)
 with *exec-xs* **have** $s' = \text{Fault } f$
 by (auto dest: execn-Fault-end)
 with *exec-ys* **have** $t = \text{Fault } f$

```

      by (auto dest: execn-Fault-end)
    with exec-x Fault Cons show ?thesis
      by (auto intro: execn.intros)
  next
    case Stuck
    with exec-xs have s'=Stuck
      by (auto dest: execn-Stuck-end)
    with exec-ys have t=Stuck
      by (auto dest: execn-Stuck-end)
    with exec-x Stuck Cons show ?thesis
      by (auto intro: execn.intros)
  qed
qed
qed

lemma execn-sequence-appD:  $\bigwedge s t. \Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s \rangle = n \Rightarrow t$ 
 $\Rightarrow$ 
 $\exists s'. \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle = n \Rightarrow s' \wedge \Gamma \vdash \langle \text{sequence Seq } ys, s' \rangle = n \Rightarrow t$ 
proof (induct xs)
  case Nil
  thus ?case
    by (auto intro: execn.intros)
next
  case (Cons x xs)
  have exec-app:  $\Gamma \vdash \langle \text{sequence Seq } ((x \# xs) @ ys), \text{Normal } s \rangle = n \Rightarrow t$  by fact
  show ?case
  proof (cases xs)
    case Nil
    with exec-app show ?thesis
      by (cases ys) (auto elim: execn-Normal-elim-cases intro: execn-Skip')
  next
    case Cons
    with exec-app obtain s' where
      exec-x:  $\Gamma \vdash \langle x, \text{Normal } s \rangle = n \Rightarrow s'$  and
      exec-xs-ys:  $\Gamma \vdash \langle \text{sequence Seq } (xs @ ys), s' \rangle = n \Rightarrow t$ 
      by (auto elim: execn-Normal-elim-cases)
    show ?thesis
    proof (cases s')
      case (Normal s'')
      from Cons.hyps [OF exec-xs-ys [simplified Normal]] Normal exec-x Cons
      show ?thesis
        by (auto intro: execn.intros)
    next
      case (Abrupt s'')
      with exec-xs-ys have t=Abrupt s''
        by (auto dest: execn-Abrupt-end)
      with Abrupt exec-x Cons
      show ?thesis

```



```

      by (auto intro: execn.intros)
    next
      case (Fault f)
      with exec-xs-ys have t=Fault f
      by (auto dest: execn-Fault-end)
      with Fault exec-x Cons
      show ?thesis
      by (auto intro: execn.intros)
    next
      case Stuck
      with exec-xs-ys have t=Stuck
      by (auto dest: execn-Stuck-end)
      with Stuck exec-x Cons
      show ?thesis
      by (auto intro: execn.intros)
  qed
qed
qed

```

```

lemma execn-sequence-appE [consumes 1]:
  
$$\llbracket \Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s \rangle = n \Rightarrow t; \bigwedge s'. \llbracket \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle = n \Rightarrow s'; \Gamma \vdash \langle \text{sequence Seq } ys, s' \rangle = n \Rightarrow t \rrbracket \Rightarrow P$$

  
$$\rrbracket \Rightarrow P$$

  by (auto dest: execn-sequence-appD)

```

```

lemma execn-to-execn-sequence-flatten:
  assumes exec:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  shows  $\Gamma \vdash \langle \text{sequence Seq } (\text{flatten } c), s \rangle = n \Rightarrow t$ 
using exec
proof induct
  case (Seq c1 c2 n s s' s'') thus ?case
  by (auto intro: execn.intros execn-sequence-app)
qed (auto intro: execn.intros)

```

```

lemma execn-to-execn-normalize:
  assumes exec:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  shows  $\Gamma \vdash \langle \text{normalize } c, s \rangle = n \Rightarrow t$ 
using exec
proof induct
  case (Seq c1 c2 n s s' s'') thus ?case
  by (auto intro: execn-to-execn-sequence-flatten execn-sequence-app)
qed (auto intro: execn.intros)

```

```

lemma execn-sequence-flatten-to-execn:
  shows  $\bigwedge s t. \Gamma \vdash \langle \text{sequence Seq } (\text{flatten } c), s \rangle = n \Rightarrow t \Rightarrow \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
proof (induct c)

```

```

case (Seq c1 c2)
have exec-seq:  $\Gamma \vdash \langle \text{sequence Seq (flatten (Seq c1 c2)), s \rangle =_{n\Rightarrow} t$  by fact
show ?case
proof (cases s)
  case (Normal s')
    with exec-seq obtain s'' where
       $\Gamma \vdash \langle \text{sequence Seq (flatten c1), Normal s' \rangle =_{n\Rightarrow} s''$  and
       $\Gamma \vdash \langle \text{sequence Seq (flatten c2), s' \rangle =_{n\Rightarrow} t$ 
      by (auto elim: execn-sequence-appE)
    with Seq.hyps Normal
    show ?thesis
      by (fastforce intro: execn.intros)
  next
    case Abrupt
    with exec-seq
    show ?thesis by (auto intro: execn.intros dest: execn-Abrupt-end)
  next
    case Fault
    with exec-seq
    show ?thesis by (auto intro: execn.intros dest: execn-Fault-end)
  next
    case Stuck
    with exec-seq
    show ?thesis by (auto intro: execn.intros dest: execn-Stuck-end)
qed
qed auto

lemma execn-normalize-to-execn:
  shows  $\bigwedge s t n. \Gamma \vdash \langle \text{normalize } c, s \rangle =_{n\Rightarrow} t \implies \Gamma \vdash \langle c, s \rangle =_{n\Rightarrow} t$ 
proof (induct c)
  case Skip thus ?case by simp
next
  case Basic thus ?case by simp
next
  case Spec thus ?case by simp
next
  case (Seq c1 c2)
  have  $\Gamma \vdash \langle \text{normalize (Seq c1 c2), s \rangle =_{n\Rightarrow} t$  by fact
  hence exec-norm-seq:
     $\Gamma \vdash \langle \text{sequence Seq (flatten (normalize c1)) @ flatten (normalize c2)), s \rangle =_{n\Rightarrow} t$ 
    by simp
  show ?case
  proof (cases s)
    case (Normal s')
      with exec-norm-seq obtain s'' where
        exec-norm-c1:  $\Gamma \vdash \langle \text{sequence Seq (flatten (normalize c1)), Normal s' \rangle =_{n\Rightarrow} s''$ 
and
        exec-norm-c2:  $\Gamma \vdash \langle \text{sequence Seq (flatten (normalize c2)), s' \rangle =_{n\Rightarrow} t$ 
        by (auto elim: execn-sequence-appE)

```

```

from execn-sequence-flatten-to-execn [OF exec-norm-c1]
  execn-sequence-flatten-to-execn [OF exec-norm-c2] Seq.hyps Normal
show ?thesis
  by (fastforce intro: execn.intros)
next
  case (Abrupt s')
  with exec-norm-seq have t=Abrupt s'
    by (auto dest: execn-Abrupt-end)
  with Abrupt show ?thesis
    by (auto intro: execn.intros)
next
  case (Fault f)
  with exec-norm-seq have t=Fault f
    by (auto dest: execn-Fault-end)
  with Fault show ?thesis
    by (auto intro: execn.intros)
next
  case Stuck
  with exec-norm-seq have t=Stuck
    by (auto dest: execn-Stuck-end)
  with Stuck show ?thesis
    by (auto intro: execn.intros)
qed
next
  case Cond thus ?case
    by (auto intro: execn.intros elim!: execn-elim-cases)
next
  case (While b c)
  have  $\Gamma \vdash \langle \text{normalize } (While\ b\ c), s \rangle = n \Rightarrow t$  by fact
  hence exec-norm-w:  $\Gamma \vdash \langle While\ b\ (\text{normalize } c), s \rangle = n \Rightarrow t$ 
    by simp
  {
    fix s t w
    assume exec-w:  $\Gamma \vdash \langle w, s \rangle = n \Rightarrow t$ 
    have  $w = While\ b\ (\text{normalize } c) \implies \Gamma \vdash \langle While\ b\ c, s \rangle = n \Rightarrow t$ 
      using exec-w
    proof (induct)
      case (WhileTrue s b' c' n w t)
      from WhileTrue obtain
        s-in-b:  $s \in b$  and
        exec-c:  $\Gamma \vdash \langle \text{normalize } c, Normal\ s \rangle = n \Rightarrow w$  and
        hyp-w:  $\Gamma \vdash \langle While\ b\ c, w \rangle = n \Rightarrow t$ 
        by simp
      from While.hyps [OF exec-c]
      have  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow w$ 
        by simp
      with hyp-w s-in-b
      have  $\Gamma \vdash \langle While\ b\ c, Normal\ s \rangle = n \Rightarrow t$ 
        by (auto intro: execn.intros)
  }

```

```

      with WhileTrue show ?case by simp
    qed (auto intro: execn.intros)
  }
  from this [OF exec-norm-w]
  show ?case
    by simp
next
  case Call thus ?case by simp
next
  case DynCom thus ?case by (auto intro: execn.intros elim!: execn-elim-cases)
next
  case Guard thus ?case by (auto intro: execn.intros elim!: execn-elim-cases)
next
  case Throw thus ?case by simp
next
  case Catch thus ?case by (fastforce intro: execn.intros elim!: execn-elim-cases)
qed

```

lemma *execn-normalize-iff-execn*:

```

 $\Gamma \vdash \langle \text{normalize } c, s \rangle = n \Rightarrow t = \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  by (auto intro: execn-to-execn-normalize execn-normalize-to-execn)

```

lemma *exec-sequence-app*:

```

  assumes exec-xs:  $\Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle \Rightarrow s'$ 
  assumes exec-ys:  $\Gamma \vdash \langle \text{sequence Seq } ys, s^\wedge \rangle \Rightarrow t$ 
  shows  $\Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s \rangle \Rightarrow t$ 
proof -
  from exec-to-execn [OF exec-xs]
  obtain n where
    execn-xs:  $\Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle = n \Rightarrow s'..$ 
  from exec-to-execn [OF exec-ys]
  obtain m where
    execn-ys:  $\Gamma \vdash \langle \text{sequence Seq } ys, s^\wedge \rangle = m \Rightarrow t..$ 
  with execn-xs obtain
     $\Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle = \max n m \Rightarrow s'$ 
     $\Gamma \vdash \langle \text{sequence Seq } ys, s^\wedge \rangle = \max n m \Rightarrow t$ 
  by (auto intro: execn-mono max.cobounded1 max.cobounded2)
  from execn-sequence-app [OF this]
  have  $\Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s \rangle = \max n m \Rightarrow t.$ 
  thus ?thesis
    by (rule execn-to-exec)
qed

```

lemma *exec-sequence-appD*:

```

  assumes exec-xs-ys:  $\Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s \rangle \Rightarrow t$ 
  shows  $\exists s'. \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle \Rightarrow s' \wedge \Gamma \vdash \langle \text{sequence Seq } ys, s^\wedge \rangle \Rightarrow t$ 
proof -
  from exec-to-execn [OF exec-xs-ys]
  obtain n where  $\Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s \rangle = n \Rightarrow t..$ 

```

thus ?thesis
 by (cases rule: execn-sequence-appE) (auto intro: execn-to-exec)
 qed

lemma *exec-sequence-appE* [consumes 1]:
 $\llbracket \Gamma \vdash \langle \text{sequence Seq } (xs @ ys), \text{Normal } s \rangle \Rightarrow t; \bigwedge s'. \llbracket \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle \Rightarrow s'; \Gamma \vdash \langle \text{sequence Seq } ys, s \rangle \Rightarrow t \rrbracket \Longrightarrow P$
 $\rrbracket \Longrightarrow P$
 by (auto dest: exec-sequence-appD)

lemma *exec-to-exec-sequence-flatten*:
 assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$
 shows $\Gamma \vdash \langle \text{sequence Seq } (\text{flatten } c), s \rangle \Rightarrow t$
proof –
 from *exec-to-execn* [OF *exec*]
 obtain *n* where $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t..$
 from *execn-to-execn-sequence-flatten* [OF *this*]
 show ?thesis
 by (rule *execn-to-exec*)
 qed

lemma *exec-sequence-flatten-to-exec*:
 assumes *exec-seq*: $\Gamma \vdash \langle \text{sequence Seq } (\text{flatten } c), s \rangle \Rightarrow t$
 shows $\Gamma \vdash \langle c, s \rangle \Rightarrow t$
proof –
 from *exec-to-execn* [OF *exec-seq*]
 obtain *n* where $\Gamma \vdash \langle \text{sequence Seq } (\text{flatten } c), s \rangle = n \Rightarrow t..$
 from *execn-sequence-flatten-to-execn* [OF *this*]
 show ?thesis
 by (rule *execn-to-exec*)
 qed

lemma *exec-to-exec-normalize*:
 assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$
 shows $\Gamma \vdash \langle \text{normalize } c, s \rangle \Rightarrow t$
proof –
 from *exec-to-execn* [OF *exec*] obtain *n* where $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t..$
 hence $\Gamma \vdash \langle \text{normalize } c, s \rangle = n \Rightarrow t$
 by (rule *execn-to-execn-normalize*)
 thus ?thesis
 by (rule *execn-to-exec*)
 qed

lemma *exec-normalize-to-exec*:
 assumes *exec*: $\Gamma \vdash \langle \text{normalize } c, s \rangle \Rightarrow t$
 shows $\Gamma \vdash \langle c, s \rangle \Rightarrow t$
proof –
 from *exec-to-execn* [OF *exec*] obtain *n* where $\Gamma \vdash \langle \text{normalize } c, s \rangle = n \Rightarrow t..$

hence $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$
 by (rule *execn-normalize-to-execn*)
 thus ?thesis
 by (rule *execn-to-exec*)
 qed

lemma *exec-normalize-iff-exec*:
 $\Gamma \vdash \langle \text{normalize } c, s \rangle \Rightarrow t = \Gamma \vdash \langle c, s \rangle \Rightarrow t$
 by (auto intro: *exec-to-exec-normalize exec-normalize-to-exec*)

3.4 Lemmas about $c_1 \subseteq_g c_2$

lemma *execn-to-execn-subseteq-guards*: $\bigwedge c \ s \ t \ n. \llbracket c \subseteq_g c'; \Gamma \vdash \langle c, s \rangle = n \Rightarrow t \rrbracket$
 $\implies \exists t'. \Gamma \vdash \langle c', s \rangle = n \Rightarrow t' \wedge$
 $(\text{isFault } t \longrightarrow \text{isFault } t') \wedge (\neg \text{isFault } t' \longrightarrow t' = t)$

proof (induct c')
 case *Skip* thus ?case
 by (fastforce dest: *subseqeq-guardsD elim: execn-elim-cases*)
 next
 case *Basic* thus ?case
 by (fastforce dest: *subseqeq-guardsD elim: execn-elim-cases*)
 next
 case *Spec* thus ?case
 by (fastforce dest: *subseqeq-guardsD elim: execn-elim-cases*)
 next
 case (Seq $c1' \ c2'$)
 have $c \subseteq_g \text{Seq } c1' \ c2'$ by fact
 from *subseqeq-guards-Seq* [OF this]
 obtain $c1 \ c2$ where
 $c: c = \text{Seq } c1 \ c2$ and
 $c1-c1': c1 \subseteq_g c1'$ and
 $c2-c2': c2 \subseteq_g c2'$
 by blast
 have *exec*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ by fact
 with c obtain w where
 $\text{exec-c1}: \Gamma \vdash \langle c1, s \rangle = n \Rightarrow w$ and
 $\text{exec-c2}: \Gamma \vdash \langle c2, w \rangle = n \Rightarrow t$
 by (auto elim: *execn-elim-cases*)
 from *exec-c1 Seq.hyps* $c1-c1'$
 obtain w' where
 $\text{exec-c1}': \Gamma \vdash \langle c1', s \rangle = n \Rightarrow w'$ and
 $w\text{-Fault}: \text{isFault } w \longrightarrow \text{isFault } w'$ and
 $w'\text{-noFault}: \neg \text{isFault } w' \longrightarrow w' = w$
 by blast
 show ?case
proof (cases s)
 case (*Fault* f)
 with *exec* have $t = \text{Fault } f$
 by (auto dest: *execn-Fault-end*)

```

  with Fault show ?thesis
  by auto
next
  case Stuck
  with exec have  $t = \text{Stuck}$ 
  by (auto dest: execn-Stuck-end)
  with Stuck show ?thesis
  by auto
next
  case (Abrupt  $s'$ )
  with exec have  $t = \text{Abrupt } s'$ 
  by (auto dest: execn-Abrupt-end)
  with Abrupt show ?thesis
  by auto
next
  case (Normal  $s'$ )
  show ?thesis
  proof (cases isFault  $w$ )
    case True
    then obtain  $f$  where  $w': w = \text{Fault } f..$ 
    moreover with exec-c2
    have  $t: t = \text{Fault } f$ 
    by (auto dest: execn-Fault-end)
    ultimately show ?thesis
    using Normal w-Fault exec-c1'
    by (fastforce intro: execn.intros elim: isFaultE)
  next
    case False
    note noFault-w = this
    show ?thesis
    proof (cases isFault  $w'$ )
      case True
      then obtain  $f'$  where  $w': w' = \text{Fault } f'..$ 
      with Normal exec-c1'
      have exec:  $\Gamma \vdash \langle \text{Seq } c1' \ c2', s \rangle = n \Rightarrow \text{Fault } f'$ 
      by (auto intro: execn.intros)
      then show ?thesis
      by auto
    next
      case False
      with  $w'\text{-noFault}$  have  $w': w' = w$  by simp
      from Seq.hyps exec-c2 c2-c2'
      obtain  $t'$  where
         $\Gamma \vdash \langle c2', w \rangle = n \Rightarrow t'$  and
         $\text{isFault } t \longrightarrow \text{isFault } t'$  and
         $\neg \text{isFault } t' \longrightarrow t' = t$ 
      by blast
      with Normal exec-c1' w'
      show ?thesis

```

```

      by (fastforce intro: execn.intros)
    qed
  qed
next
case (Cond b c1' c2')
have exec:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$  by fact
have  $c \subseteq_g \text{Cond } b \ c1' \ c2'$  by fact
from subseteq-guards-Cond [OF this]
obtain c1 c2 where
  c:  $c = \text{Cond } b \ c1 \ c2$  and
  c1-c1':  $c1 \subseteq_g c1'$  and
  c2-c2':  $c2 \subseteq_g c2'$ 
by blast
show ?case
proof (cases s)
case (Fault f)
with exec have  $t = \text{Fault } f$ 
by (auto dest: execn-Fault-end)
with Fault show ?thesis
by auto
next
case Stuck
with exec have  $t = \text{Stuck}$ 
by (auto dest: execn-Stuck-end)
with Stuck show ?thesis
by auto
next
case (Abrupt s')
with exec have  $t = \text{Abrupt } s'$ 
by (auto dest: execn-Abrupt-end)
with Abrupt show ?thesis
by auto
next
case (Normal s')
from exec [simplified c Normal]
show ?thesis
proof (cases)
assume s'-in-b:  $s' \in b$ 
assume  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle = n \Rightarrow t$ 
with c1-c1' Normal Cond.hyps obtain t' where
   $\Gamma \vdash \langle c1', \text{Normal } s' \rangle = n \Rightarrow t'$ 
  isFault t  $\longrightarrow$  isFault t'
   $\neg \text{isFault } t' \longrightarrow t' = t$ 
by blast
with s'-in-b Normal show ?thesis
by (fastforce intro: execn.intros)
next
assume s'-notin-b:  $s' \notin b$ 

```



```

    assume  $\Gamma \vdash \langle c2, \text{Normal } s' \rangle = n \Rightarrow t$ 
    with  $c2\text{-}c2'$  Normal Cond.hyps obtain  $t'$  where
       $\Gamma \vdash \langle c2', \text{Normal } s' \rangle = n \Rightarrow t'$ 
       $\text{isFault } t \longrightarrow \text{isFault } t'$ 
       $\neg \text{isFault } t' \longrightarrow t' = t$ 
    by blast
    with  $s'\text{-notin-}b$  Normal show ?thesis
    by (fastforce intro: execn.intros)
  qed
next
case (While  $b \ c'$ )
have  $\text{exec}: \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$  by fact
have  $c \subseteq_g \text{While } b \ c'$  by fact
from subseteq-guards-While [OF this]
obtain  $c''$  where
   $c: c = \text{While } b \ c''$  and
   $c''\text{-}c': c'' \subseteq_g c'$ 
by blast
{
  fix  $c \ r \ w$ 
  assume  $\text{exec}: \Gamma \vdash \langle c, r \rangle = n \Rightarrow w$ 
  assume  $c: c = \text{While } b \ c''$ 
  have  $\exists w'. \Gamma \vdash \langle \text{While } b \ c', r \rangle = n \Rightarrow w' \wedge$ 
     $(\text{isFault } w \longrightarrow \text{isFault } w') \wedge (\neg \text{isFault } w' \longrightarrow w' = w)$ 
  using  $\text{exec } c$ 
  proof (induct)
    case (WhileTrue  $r \ b' \ ca \ n \ u \ w$ )
    have  $\text{eqs}: \text{While } b' \ ca = \text{While } b \ c''$  by fact
    from WhileTrue have  $r\text{-in-}b: r \in b$  by simp
    from WhileTrue have  $\text{exec-}c'': \Gamma \vdash \langle c'', \text{Normal } r \rangle = n \Rightarrow u$  by simp
    from While.hyps [OF  $c''\text{-}c' \ \text{exec-}c''$ ] obtain  $u'$  where
       $\text{exec-}c': \Gamma \vdash \langle c', \text{Normal } r \rangle = n \Rightarrow u'$  and
       $u\text{-Fault}: \text{isFault } u \longrightarrow \text{isFault } u'$  and
       $u'\text{-noFault}: \neg \text{isFault } u' \longrightarrow u' = u$ 
    by blast
    from WhileTrue obtain  $w'$  where
       $\text{exec-}w: \Gamma \vdash \langle \text{While } b \ c', u \rangle = n \Rightarrow w'$  and
       $w\text{-Fault}: \text{isFault } w \longrightarrow \text{isFault } w'$  and
       $w'\text{-noFault}: \neg \text{isFault } w' \longrightarrow w' = w$ 
    by blast
    show ?case
    proof (cases  $\text{isFault } u'$ )
      case True
      with  $\text{exec-}c' \ r\text{-in-}b$ 
      show ?thesis
      by (fastforce intro: execn.intros elim: isFaultE)
    next
    case False

```

```

    with exec-c' r-in-b u'-noFault exec-w w-Fault w'-noFault
    show ?thesis
    by (fastforce intro: execn.intros)
  qed
next
  case WhileFalse thus ?case by (fastforce intro: execn.intros)
  qed auto
}
from this [OF exec c]
show ?case .
next
  case Call thus ?case
  by (fastforce dest: subsetq-guardsD elim: execn-elim-cases)
next
  case (DynCom C')
  have exec:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$  by fact
  have  $c \subseteq_g \text{DynCom } C'$  by fact
  from subsetq-guards-DynCom [OF this] obtain C where
     $c = \text{DynCom } C$  and
     $C - C': \forall s. C \ s \subseteq_g C' \ s$ 
  by blast
  show ?case
  proof (cases s)
    case (Fault f)
    with exec have  $t = \text{Fault } f$ 
    by (auto dest: execn-Fault-end)
    with Fault show ?thesis
    by auto
  next
    case Stuck
    with exec have  $t = \text{Stuck}$ 
    by (auto dest: execn-Stuck-end)
    with Stuck show ?thesis
    by auto
  next
    case (Abrupt s')
    with exec have  $t = \text{Abrupt } s'$ 
    by (auto dest: execn-Abrupt-end)
    with Abrupt show ?thesis
    by auto
  next
    case (Normal s')
    from exec [simplified c Normal]
    have  $\Gamma \vdash \langle C \ s', \text{Normal } s' \rangle = n \Rightarrow t$ 
    by cases
    from DynCom.hyps C-C' [rule-format] this obtain  $t'$  where
       $\Gamma \vdash \langle C' \ s', \text{Normal } s' \rangle = n \Rightarrow t'$ 
       $\text{isFault } t \longrightarrow \text{isFault } t'$ 
       $\neg \text{isFault } t' \longrightarrow t' = t$ 

```

```

    by blast
  with Normal show ?thesis
    by (fastforce intro: execn.intros)
qed
next
case (Guard f' g' c')
have exec:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$  by fact
have  $c \subseteq_g \text{Guard } f' g' c'$  by fact
hence subset-cases:  $(c \subseteq_g c') \vee (\exists c''. c = \text{Guard } f' g' c'' \wedge (c'' \subseteq_g c'))$ 
  by (rule subseteq-guards-Guard)
show ?case
proof (cases s)
  case (Fault f)
  with exec have  $t = \text{Fault } f$ 
    by (auto dest: execn-Fault-end)
  with Fault show ?thesis
    by auto
next
case Stuck
with exec have  $t = \text{Stuck}$ 
  by (auto dest: execn-Stuck-end)
with Stuck show ?thesis
  by auto
next
case (Abrupt s')
with exec have  $t = \text{Abrupt } s'$ 
  by (auto dest: execn-Abrupt-end)
with Abrupt show ?thesis
  by auto
next
case (Normal s')
from subset-cases show ?thesis
proof
  assume  $c - c': c \subseteq_g c'$ 
  from Guard.hyps [OF this exec] Normal obtain  $t'$  where
     $\text{exec} - c': \Gamma \vdash \langle c', \text{Normal } s' \rangle = n \Rightarrow t'$  and
     $t - \text{Fault}: \text{isFault } t \longrightarrow \text{isFault } t'$  and
     $t - \text{noFault}: \neg \text{isFault } t' \longrightarrow t' = t$ 
  by blast
  with Normal
  show ?thesis
    by (cases  $s' \in g'$ ) (fastforce intro: execn.intros)+
next
  assume  $\exists c''. c = \text{Guard } f' g' c'' \wedge (c'' \subseteq_g c')$ 
  then obtain  $c''$  where
     $c: c = \text{Guard } f' g' c''$  and
     $c'' - c': c'' \subseteq_g c'$ 
  by blast
  from c exec Normal

```

```

have exec-Guard':  $\Gamma \vdash \langle \text{Guard } f' \ g' \ c'', \text{Normal } s' \rangle =n \Rightarrow t$ 
  by simp
thus ?thesis
proof (cases)
  assume s'-in-g':  $s' \in g'$ 
  assume exec-c'':  $\Gamma \vdash \langle c'', \text{Normal } s' \rangle =n \Rightarrow t$ 
  from Guard.hyps [OF c''-c' exec-c''] obtain t' where
    exec-c':  $\Gamma \vdash \langle c', \text{Normal } s' \rangle =n \Rightarrow t'$  and
    t-Fault:  $\text{isFault } t \longrightarrow \text{isFault } t'$  and
    t-noFault:  $\neg \text{isFault } t' \longrightarrow t' = t$ 
  by blast
  with Normal s'-in-g'
  show ?thesis
    by (fastforce intro: execn.intros)
next
  assume s' ∉ g':  $t = \text{Fault } f'$ 
  with Normal show ?thesis
    by (fastforce intro: execn.intros)
qed
qed
qed
next
  case Throw thus ?case
    by (fastforce dest: subsetq-guardsD intro: execn.intros
      elim: execn-elim-cases)
next
  case (Catch c1' c2')
  have  $c \subseteq_g \text{Catch } c1' \ c2'$  by fact
  from subsetq-guards-Catch [OF this]
  obtain c1 c2 where
    c:  $c = \text{Catch } c1 \ c2$  and
    c1-c1':  $c1 \subseteq_g c1'$  and
    c2-c2':  $c2 \subseteq_g c2'$ 
  by blast
  have exec:  $\Gamma \vdash \langle c, s \rangle =n \Rightarrow t$  by fact
  show ?case
  proof (cases s)
    case (Fault f)
    with exec have  $t = \text{Fault } f$ 
    by (auto dest: execn-Fault-end)
    with Fault show ?thesis
      by auto
  next
    case Stuck
    with exec have  $t = \text{Stuck}$ 
    by (auto dest: execn-Stuck-end)
    with Stuck show ?thesis
      by auto
  next

```

```

case (Abrupt s')
with exec have t=Abrupt s'
by (auto dest: execn-Abrupt-end)
with Abrupt show ?thesis
by auto
next
case (Normal s')
from exec [simplified c Normal]
show ?thesis
proof (cases)
fix w
assume exec-c1:  $\Gamma \vdash \langle c1, Normal\ s' \rangle = n \Rightarrow Abrupt\ w$ 
assume exec-c2:  $\Gamma \vdash \langle c2, Normal\ w \rangle = n \Rightarrow t$ 
from Normal exec-c1 c1-c1' Catch.hyps obtain w' where
  exec-c1':  $\Gamma \vdash \langle c1', Normal\ s' \rangle = n \Rightarrow w'$  and
  w'-noFault:  $\neg isFault\ w' \longrightarrow w' = Abrupt\ w$ 
by blast
show ?thesis
proof (cases isFault w')
case True
with exec-c1' Normal show ?thesis
by (fastforce intro: execn.intros elim: isFaultE)
next
case False
with w'-noFault have w':  $w' = Abrupt\ w$  by simp
from Normal exec-c2 c2-c2' Catch.hyps obtain t' where
   $\Gamma \vdash \langle c2', Normal\ w \rangle = n \Rightarrow t'$ 
  isFault t  $\longrightarrow isFault\ t'$ 
   $\neg isFault\ t' \longrightarrow t' = t$ 
by blast
with exec-c1' w' Normal
show ?thesis
by (fastforce intro: execn.intros )
qed
next
assume exec-c1:  $\Gamma \vdash \langle c1, Normal\ s' \rangle = n \Rightarrow t$ 
assume t:  $\neg isAbr\ t$ 
from Normal exec-c1 c1-c1' Catch.hyps obtain t' where
  exec-c1':  $\Gamma \vdash \langle c1', Normal\ s' \rangle = n \Rightarrow t'$  and
  t-Fault:  $isFault\ t \longrightarrow isFault\ t'$  and
  t'-noFault:  $\neg isFault\ t' \longrightarrow t' = t$ 
by blast
show ?thesis
proof (cases isFault t')
case True
with exec-c1' Normal show ?thesis
by (fastforce intro: execn.intros elim: isFaultE)
next
case False

```

```

    with exec-c1' Normal t-Fault t'-noFault t
    show ?thesis
    by (fastforce intro: execn.intros)
  qed
qed
qed
qed

```

```

lemma exec-to-exec-subseteq-guards:
  assumes c-c': c ⊆g c'
  assumes exec: Γ ⊢ ⟨c,s⟩ ⇒ t
  shows  $\exists t'. \Gamma \vdash \langle c',s \rangle \Rightarrow t' \wedge$ 
     $(isFault\ t \longrightarrow isFault\ t') \wedge (\neg isFault\ t' \longrightarrow t'=t)$ 
proof -
  from exec-to-execn [OF exec] obtain n where
     $\Gamma \vdash \langle c,s \rangle =n \Rightarrow t$  ..
  from execn-to-execn-subseteq-guards [OF c-c' this]
  show ?thesis
  by (blast intro: execn-to-exec)
qed

```

3.5 Lemmas about *merge-guards*

```

theorem execn-to-execn-merge-guards:
  assumes exec-c: Γ ⊢ ⟨c,s⟩ =n ⇒ t
  shows  $\Gamma \vdash \langle merge-guards\ c,s \rangle =n \Rightarrow t$ 
using exec-c
proof (induct)
  case (Guard s g c n t f)
  have s-in-g: s ∈ g by fact
  have exec-merge-c: Γ ⊢ ⟨merge-guards c,Normal s⟩ =n ⇒ t by fact
  show ?case
  proof (cases  $\exists f' g' c'. merge-guards\ c = Guard\ f'\ g'\ c'$ )
    case False
    with exec-merge-c s-in-g
    show ?thesis
    by (cases merge-guards c) (auto intro: execn.intros simp add: Let-def)
  next
    case True
    then obtain f' g' c' where
      merge-guards-c: merge-guards c = Guard f' g' c'
    by iprover
    show ?thesis
    proof (cases f=f')
      case False
      from exec-merge-c s-in-g merge-guards-c False show ?thesis
      by (auto intro: execn.intros simp add: Let-def)
    next
      case True

```

```

    from exec-merge-c s-in-g merge-guards-c True show ?thesis
    by (fastforce intro: execn.intros elim: execn.cases)
  qed
qed
next
  case (GuardFault s g f c n)
  have s-notin-g: s ∉ g by fact
  show ?case
  proof (cases ∃ f' g' c'. merge-guards c = Guard f' g' c')
    case False
    with s-notin-g
    show ?thesis
    by (cases merge-guards c) (auto intro: execn.intros simp add: Let-def)
  next
  case True
  then obtain f' g' c' where
    merge-guards-c: merge-guards c = Guard f' g' c'
    by iprover
  show ?thesis
  proof (cases f=f')
    case False
    from s-notin-g merge-guards-c False show ?thesis
    by (auto intro: execn.intros simp add: Let-def)
  next
  case True
  from s-notin-g merge-guards-c True show ?thesis
  by (fastforce intro: execn.intros)
  qed
qed
qed (fastforce intro: execn.intros)+

```

lemma *execn-merge-guards-to-execn-Normal*:

$\bigwedge s \ n \ t. \ \Gamma \vdash \langle \text{merge-guards } c, \text{Normal } s \rangle = n \Rightarrow t \implies \Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$

proof (*induct c*)

case *Skip* **thus** *?case* **by** *auto*

next

case *Basic* **thus** *?case* **by** *auto*

next

case *Spec* **thus** *?case* **by** *auto*

next

case (*Seq c1 c2*)

have $\Gamma \vdash \langle \text{merge-guards } (\text{Seq } c1 \ c2), \text{Normal } s \rangle = n \Rightarrow t$ **by** *fact*

hence *exec-merge*: $\Gamma \vdash \langle \text{Seq } (\text{merge-guards } c1) \ (\text{merge-guards } c2), \text{Normal } s \rangle = n \Rightarrow t$

by *simp*

then obtain *s'* **where**

exec-merge-c1: $\Gamma \vdash \langle \text{merge-guards } c1, \text{Normal } s \rangle = n \Rightarrow s'$ **and**

exec-merge-c2: $\Gamma \vdash \langle \text{merge-guards } c2, s' \rangle = n \Rightarrow t$

by *cases*

```

from exec-merge-c1
have exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle =n \Rightarrow s'$ 
  by (rule Seq.hyps)
show ?case
proof (cases s')
  case (Normal s'')
  with exec-merge-c2
  have  $\Gamma \vdash \langle c2, s' \rangle =n \Rightarrow t$ 
    by (auto intro: Seq.hyps)
  with exec-c1 show ?thesis
    by (auto intro: execn.intros)
next
case (Abrupt s'')
with exec-merge-c2 have  $t = \text{Abrupt } s''$ 
  by (auto dest: execn.Abrupt-end)
with exec-c1 Abrupt
show ?thesis
  by (auto intro: execn.intros)
next
case (Fault f)
with exec-merge-c2 have  $t = \text{Fault } f$ 
  by (auto dest: execn.Fault-end)
with exec-c1 Fault
show ?thesis
  by (auto intro: execn.intros)
next
case Stuck
with exec-merge-c2 have  $t = \text{Stuck}$ 
  by (auto dest: execn.Stuck-end)
with exec-c1 Stuck
show ?thesis
  by (auto intro: execn.intros)
qed
next
case Cond thus ?case
  by (fastforce intro: execn.intros elim: execn.Normal-elim-cases)
next
case (While b c)
{
  fix c' r w
  assume exec-c':  $\Gamma \vdash \langle c', r \rangle =n \Rightarrow w$ 
  assume c':  $c' = \text{While } b \text{ (merge-guards } c)$ 
  have  $\Gamma \vdash \langle \text{While } b \text{ } c, r \rangle =n \Rightarrow w$ 
    using exec-c' c'
  proof (induct)
    case (WhileTrue r b' c'' n u w)
    have eqs:  $\text{While } b' \text{ } c'' = \text{While } b \text{ (merge-guards } c)$  by fact
    from WhileTrue
    have r-in-b:  $r \in b$ 

```



```

      by simp
    from WhileTrue While.hyps have exec-c:  $\Gamma \vdash \langle c, \text{Normal } r \rangle =n \Rightarrow u$ 
      by simp
    from WhileTrue have exec-w:  $\Gamma \vdash \langle \text{While } b \ c, u \rangle =n \Rightarrow w$ 
      by simp
    from r-in-b exec-c exec-w
    show ?case
      by (rule execn.WhileTrue)
  next
    case WhileFalse thus ?case by (auto intro: execn.WhileFalse)
  qed auto
}
with While.premis show ?case
  by (auto)
next
  case Call thus ?case by simp
next
  case DynCom thus ?case
    by (fastforce intro: execn.intros elim: execn-Normal-elim-cases)
next
  case (Guard f g c)
  have exec-merge:  $\Gamma \vdash \langle \text{merge-guards } (\text{Guard } f \ g \ c), \text{Normal } s \rangle =n \Rightarrow t$  by fact
  show ?case
  proof (cases s  $\in$  g)
    case False
    with exec-merge have t=Fault f
      by (auto split: com.splits if-split-asm elim: execn-Normal-elim-cases
        simp add: Let-def is-Guard-def)
    with False show ?thesis
      by (auto intro: execn.intros)
  next
    case True
    note s-in-g = this
    show ?thesis
    proof (cases  $\exists f' \ g' \ c'. \text{merge-guards } c = \text{Guard } f' \ g' \ c'$ )
      case False
      then
      have merge-guards (Guard f g c) = Guard f g (merge-guards c)
        by (cases merge-guards c) (auto simp add: Let-def)
      with exec-merge s-in-g
      obtain  $\Gamma \vdash \langle \text{merge-guards } c, \text{Normal } s \rangle =n \Rightarrow t$ 
        by (auto elim: execn-Normal-elim-cases)
      from Guard.hyps [OF this] s-in-g
      show ?thesis
        by (auto intro: execn.intros)
    next
      case True
      then obtain f' g' c' where
        merge-guards-c:  $\text{merge-guards } c = \text{Guard } f' \ g' \ c'$ 

```

```

    by iprover
  show ?thesis
proof (cases f=f')
  case False
  with merge-guards-c
  have merge-guards (Guard f g c) = Guard f g (merge-guards c)
    by (simp add: Let-def)
  with exec-merge s-in-g
  obtain  $\Gamma \vdash \langle \text{merge-guards } c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto elim: execn-Normal-elim-cases)
  from Guard.hyps [OF this] s-in-g
  show ?thesis
    by (auto intro: execn.intros)
next
  case True
  note f-eq-f' = this
  with merge-guards-c have
    merge-guards-Guard: merge-guards (Guard f g c) = Guard f (g  $\cap$  g') c'
    by simp
  show ?thesis
proof (cases s  $\in$  g')
  case True
  with exec-merge merge-guards-Guard merge-guards-c s-in-g
  have  $\Gamma \vdash \langle \text{merge-guards } c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto intro: execn.intros elim: execn-Normal-elim-cases)
  with Guard.hyps [OF this] s-in-g
  show ?thesis
    by (auto intro: execn.intros)
next
  case False
  with exec-merge merge-guards-Guard
  have t=Fault f
    by (auto elim: execn-Normal-elim-cases)
  with merge-guards-c f-eq-f' False
  have  $\Gamma \vdash \langle \text{merge-guards } c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto intro: execn.intros)
  from Guard.hyps [OF this] s-in-g
  show ?thesis
    by (auto intro: execn.intros)
qed
qed
qed
qed
next
  case Throw thus ?case by simp
next
  case (Catch c1 c2)
  have  $\Gamma \vdash \langle \text{merge-guards } (\text{Catch } c1 \text{ } c2), \text{Normal } s \rangle = n \Rightarrow t$  by fact
  hence  $\Gamma \vdash \langle \text{Catch } (\text{merge-guards } c1) (\text{merge-guards } c2), \text{Normal } s \rangle = n \Rightarrow t$  by

```

simp
thus ?case
 by cases (auto intro: execn.intros Catch.hyps)
qed

theorem *execn-merge-guards-to-execn*:
 $\Gamma \vdash \langle \text{merge-guards } c, s \rangle = n \Rightarrow t \implies \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$
apply (cases s)
apply (fastforce intro: execn-merge-guards-to-execn-Normal)
apply (fastforce dest: execn-Abrupt-end)
apply (fastforce dest: execn-Fault-end)
apply (fastforce dest: execn-Stuck-end)
done

corollary *execn-iff-execn-merge-guards*:
 $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t = \Gamma \vdash \langle \text{merge-guards } c, s \rangle = n \Rightarrow t$
by (blast intro: execn-merge-guards-to-execn execn-to-execn-merge-guards)

theorem *exec-iff-exec-merge-guards*:
 $\Gamma \vdash \langle c, s \rangle \Rightarrow t = \Gamma \vdash \langle \text{merge-guards } c, s \rangle \Rightarrow t$
by (blast dest: exec-to-execn intro: execn-to-exec
 intro: execn-to-execn-merge-guards
 execn-merge-guards-to-execn)

corollary *exec-to-exec-merge-guards*:
 $\Gamma \vdash \langle c, s \rangle \Rightarrow t \implies \Gamma \vdash \langle \text{merge-guards } c, s \rangle \Rightarrow t$
by (rule iffD1 [OF exec-iff-exec-merge-guards])

corollary *exec-merge-guards-to-exec*:
 $\Gamma \vdash \langle \text{merge-guards } c, s \rangle \Rightarrow t \implies \Gamma \vdash \langle c, s \rangle \Rightarrow t$
by (rule iffD2 [OF exec-iff-exec-merge-guards])

3.6 Lemmas about *mark-guards*

lemma *execn-to-execn-mark-guards*:
assumes *exec-c*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$
assumes *t-not-Fault*: $\neg \text{isFault } t$
shows $\Gamma \vdash \langle \text{mark-guards } f \ c, s \rangle = n \Rightarrow t$
using *exec-c* *t-not-Fault* [simplified not-isFault-iff]
by (induct) (auto intro: execn.intros dest: noFaultn-startD')

lemma *execn-to-execn-mark-guards-Fault*:
assumes *exec-c*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$
shows $\bigwedge f. \llbracket t = \text{Fault } f \rrbracket \implies \exists f'. \Gamma \vdash \langle \text{mark-guards } x \ c, s \rangle = n \Rightarrow \text{Fault } f'$
using *exec-c*
proof (induct)
 case Skip **thus** ?case **by** auto
next
 case Guard **thus** ?case **by** (fastforce intro: execn.intros)

```

next
  case GuardFault thus ?case by (fastforce intro: execn.intros)
next
  case FaultProp thus ?case by auto
next
  case Basic thus ?case by auto
next
  case Spec thus ?case by auto
next
  case SpecStuck thus ?case by auto
next
  case (Seq c1 s n w c2 t)
  have exec-c1:  $\Gamma \vdash \langle c1, Normal\ s \rangle =n \Rightarrow w$  by fact
  have exec-c2:  $\Gamma \vdash \langle c2, w \rangle =n \Rightarrow t$  by fact
  have t:  $t = Fault\ f$  by fact
  show ?case
  proof (cases w)
    case (Fault f')
    with exec-c2 t have f'=f
    by (auto dest: execn-Fault-end)
    with Fault Seq.hyps obtain f'' where
       $\Gamma \vdash \langle mark\text{-}guards\ x\ c1, Normal\ s \rangle =n \Rightarrow Fault\ f''$ 
    by auto
    moreover have  $\Gamma \vdash \langle mark\text{-}guards\ x\ c2, Fault\ f'' \rangle =n \Rightarrow Fault\ f''$ 
    by auto
    ultimately show ?thesis
    by (auto intro: execn.intros)
  next
    case (Normal s')
    with execn-to-execn-mark-guards [OF exec-c1]
    have exec-mark-c1:  $\Gamma \vdash \langle mark\text{-}guards\ x\ c1, Normal\ s \rangle =n \Rightarrow w$ 
    by simp
    with Seq.hyps t obtain f' where
       $\Gamma \vdash \langle mark\text{-}guards\ x\ c2, w \rangle =n \Rightarrow Fault\ f'$ 
    by blast
    with exec-mark-c1 show ?thesis
    by (auto intro: execn.intros)
  next
    case (Abrupt s')
    with execn-to-execn-mark-guards [OF exec-c1]
    have exec-mark-c1:  $\Gamma \vdash \langle mark\text{-}guards\ x\ c1, Normal\ s \rangle =n \Rightarrow w$ 
    by simp
    with Seq.hyps t obtain f' where
       $\Gamma \vdash \langle mark\text{-}guards\ x\ c2, w \rangle =n \Rightarrow Fault\ f'$ 
    by (auto intro: execn.intros)
    with exec-mark-c1 show ?thesis
    by (auto intro: execn.intros)
  next
    case Stuck

```

```

    with exec-c2 have t=Stuck
      by (auto dest: execn-Stuck-end)
    with t show ?thesis by simp
  qed
next
  case CondTrue thus ?case by (fastforce intro: execn.intros)
next
  case CondFalse thus ?case by (fastforce intro: execn.intros)
next
  case (WhileTrue s b c n w t)
  have exec-c:  $\Gamma \vdash \langle c, Normal\ s \rangle =n \Rightarrow w$  by fact
  have exec-w:  $\Gamma \vdash \langle While\ b\ c, w \rangle =n \Rightarrow t$  by fact
  have t: t = Fault f by fact
  have s-in-b: s  $\in$  b by fact
  show ?case
  proof (cases w)
    case (Fault f')
    with exec-w t have f'=f
      by (auto dest: execn-Fault-end)
    with Fault WhileTrue.hyps obtain f'' where
       $\Gamma \vdash \langle mark\text{-}guards\ x\ c, Normal\ s \rangle =n \Rightarrow Fault\ f''$ 
    by auto
    moreover have  $\Gamma \vdash \langle mark\text{-}guards\ x\ (While\ b\ c), Fault\ f' \rangle =n \Rightarrow Fault\ f''$ 
      by auto
    ultimately show ?thesis
      using s-in-b by (auto intro: execn.intros)
  next
    case (Normal s')
    with execn-to-execn-mark-guards [OF exec-c]
    have exec-mark-c:  $\Gamma \vdash \langle mark\text{-}guards\ x\ c, Normal\ s \rangle =n \Rightarrow w$ 
      by simp
    with WhileTrue.hyps t obtain f' where
       $\Gamma \vdash \langle mark\text{-}guards\ x\ (While\ b\ c), w \rangle =n \Rightarrow Fault\ f'$ 
    by blast
    with exec-mark-c s-in-b show ?thesis
      by (auto intro: execn.intros)
  next
    case (Abrupt s')
    with execn-to-execn-mark-guards [OF exec-c]
    have exec-mark-c:  $\Gamma \vdash \langle mark\text{-}guards\ x\ c, Normal\ s \rangle =n \Rightarrow w$ 
      by simp
    with WhileTrue.hyps t obtain f' where
       $\Gamma \vdash \langle mark\text{-}guards\ x\ (While\ b\ c), w \rangle =n \Rightarrow Fault\ f'$ 
    by (auto intro: execn.intros)
    with exec-mark-c s-in-b show ?thesis
      by (auto intro: execn.intros)
  next
    case Stuck
    with exec-w have t=Stuck

```

```

      by (auto dest: execn-Stuck-end)
    with t show ?thesis by simp
  qed
next
  case WhileFalse thus ?case by (fastforce intro: execn.intros)
next
  case Call thus ?case by (fastforce intro: execn.intros)
next
  case CallUndefined thus ?case by simp
next
  case StuckProp thus ?case by simp
next
  case DynCom thus ?case by (fastforce intro: execn.intros)
next
  case Throw thus ?case by simp
next
  case AbruptProp thus ?case by simp
next
  case (CatchMatch c1 s n w c2 t)
  have exec-c1:  $\Gamma \vdash \langle c1, Normal\ s \rangle =n\Rightarrow Abrupt\ w$  by fact
  have exec-c2:  $\Gamma \vdash \langle c2, Normal\ w \rangle =n\Rightarrow t$  by fact
  have t:  $t = Fault\ f$  by fact
  from execn-to-execn-mark-guards [OF exec-c1]
  have exec-mark-c1:  $\Gamma \vdash \langle mark-guards\ x\ c1, Normal\ s \rangle =n\Rightarrow Abrupt\ w$ 
    by simp
  with CatchMatch.hyps t obtain f' where
     $\Gamma \vdash \langle mark-guards\ x\ c2, Normal\ w \rangle =n\Rightarrow Fault\ f'$ 
    by blast
  with exec-mark-c1 show ?case
    by (auto intro: execn.intros)
next
  case CatchMiss thus ?case by (fastforce intro: execn.intros)
qed

lemma execn-mark-guards-to-execn:

$$\bigwedge s\ n\ t. \Gamma \vdash \langle mark-guards\ f\ c, s \rangle =n\Rightarrow t$$


$$\implies \exists t'. \Gamma \vdash \langle c, s \rangle =n\Rightarrow t' \wedge$$


$$(isFault\ t \longrightarrow isFault\ t') \wedge$$


$$(t' = Fault\ f \longrightarrow t'=t) \wedge$$


$$(isFault\ t' \longrightarrow isFault\ t) \wedge$$


$$(\neg isFault\ t' \longrightarrow t'=t)$$

proof (induct c)
  case Skip thus ?case by auto
next
  case Basic thus ?case by auto
next
  case Spec thus ?case by auto
next
  case (Seq c1 c2 s n t)

```

have *exec-mark*: $\Gamma \vdash \langle \text{mark-guards } f \text{ (Seq } c1 \text{ } c2), s \rangle =n \Rightarrow t$ **by** *fact*
then obtain *w* **where**
 exec-mark-c1: $\Gamma \vdash \langle \text{mark-guards } f \text{ } c1, s \rangle =n \Rightarrow w$ **and**
 exec-mark-c2: $\Gamma \vdash \langle \text{mark-guards } f \text{ } c2, w \rangle =n \Rightarrow t$
 by (*auto elim: execn-elim-cases*)
from *Seq.hyps exec-mark-c1*
obtain *w'* **where**
 exec-c1: $\Gamma \vdash \langle c1, s \rangle =n \Rightarrow w'$ **and**
 w-Fault: $\text{isFault } w \longrightarrow \text{isFault } w'$ **and**
 w'-Fault-f: $w' = \text{Fault } f \longrightarrow w' = w$ **and**
 w'-Fault: $\text{isFault } w' \longrightarrow \text{isFault } w$ **and**
 w'-noFault: $\neg \text{isFault } w' \longrightarrow w' = w$
 by *blast*
show ?*case*
proof (*cases s*)
 case (*Fault f*)
 with *exec-mark* **have** $t = \text{Fault } f$
 by (*auto dest: execn-Fault-end*)
 with *Fault* **show** ?*thesis*
 by *auto*
next
 case *Stuck*
 with *exec-mark* **have** $t = \text{Stuck}$
 by (*auto dest: execn-Stuck-end*)
 with *Stuck* **show** ?*thesis*
 by *auto*
next
 case (*Abrupt s'*)
 with *exec-mark* **have** $t = \text{Abrupt } s'$
 by (*auto dest: execn-Abrupt-end*)
 with *Abrupt* **show** ?*thesis*
 by *auto*
next
 case (*Normal s'*)
 show ?*thesis*
 proof (*cases isFault w*)
 case *True*
 then obtain *f* **where** $w' : w = \text{Fault } f$..
 moreover with *exec-mark-c2*
 have $t : t = \text{Fault } f$
 by (*auto dest: execn-Fault-end*)
 ultimately show ?*thesis*
 using *Normal w-Fault w'-Fault-f exec-c1*
 by (*fastforce intro: execn.intros elim: isFaultE*)
 next
 case *False*
 note *noFault-w = this*
 show ?*thesis*
 proof (*cases isFault w'*)

```

    case True
    then obtain  $f'$  where  $w'$ :  $w' = \text{Fault } f'$ ..
    with Normal exec-c1
    have  $\text{exec}: \Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle = n \Rightarrow \text{Fault } f'$ 
      by (auto intro: execn.intros)
    from  $w' \text{-Fault-} f \ w' \ \text{noFault-} w$ 
    have  $f' \neq f$ 
      by (cases  $w$ ) auto
    moreover
    from  $w' \ w' \text{-Fault } \text{exec-mark-} c2$  have  $\text{isFault } t$ 
      by (auto dest: execn-Fault-end elim: isFaultE)
    ultimately
    show ?thesis
      using exec
      by auto
  next
  case False
  with  $w' \text{-noFault}$  have  $w'$ :  $w' = w$  by simp
  from Seq.hyps exec-mark-c2
  obtain  $t'$  where
     $\Gamma \vdash \langle c2, w \rangle = n \Rightarrow t'$  and
     $\text{isFault } t \longrightarrow \text{isFault } t'$  and
     $t' = \text{Fault } f \longrightarrow t' = t$  and
     $\text{isFault } t' \longrightarrow \text{isFault } t$  and
     $\neg \text{isFault } t' \longrightarrow t' = t$ 
    by blast
  with Normal exec-c1 w'
  show ?thesis
    by (fastforce intro: execn.intros)
qed
qed
qed
next
case (Cond  $b \ c1 \ c2 \ s \ n \ t$ )
have  $\text{exec-mark}: \Gamma \vdash \langle \text{mark-guards } f \ (\text{Cond } b \ c1 \ c2), s \rangle = n \Rightarrow t$  by fact
show ?case
proof (cases  $s$ )
case (Fault  $f$ )
with exec-mark have  $t = \text{Fault } f$ 
  by (auto dest: execn-Fault-end)
with Fault show ?thesis
  by auto
next
case Stuck
with exec-mark have  $t = \text{Stuck}$ 
  by (auto dest: execn-Stuck-end)
with Stuck show ?thesis
  by auto
next

```



```

    case (Abrupt s')
    with exec-mark have t=Abrupt s'
    by (auto dest: execn-Abrupt-end)
    with Abrupt show ?thesis
    by auto
next
case (Normal s')
show ?thesis
proof (cases s' ∈ b)
  case True
  with Normal exec-mark
  have  $\Gamma \vdash \langle \text{mark-guards } f \ c1 \ , \text{Normal } s' \rangle = n \Rightarrow t$ 
  by (auto elim: execn-Normal-elim-cases)
  with Normal True Cond.hyps obtain t'
  where  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle = n \Rightarrow t'$ 
    isFault t  $\longrightarrow$  isFault t'
    t' = Fault f  $\longrightarrow$  t'=t
    isFault t'  $\longrightarrow$  isFault t
     $\neg$  isFault t'  $\longrightarrow$  t' = t
  by blast
  with Normal True
  show ?thesis
  by (blast intro: execn.intros)
next
case False
with Normal exec-mark
have  $\Gamma \vdash \langle \text{mark-guards } f \ c2 \ , \text{Normal } s' \rangle = n \Rightarrow t$ 
by (auto elim: execn-Normal-elim-cases)
with Normal False Cond.hyps obtain t'
where  $\Gamma \vdash \langle c2, \text{Normal } s' \rangle = n \Rightarrow t'$ 
  isFault t  $\longrightarrow$  isFault t'
  t' = Fault f  $\longrightarrow$  t'=t
  isFault t'  $\longrightarrow$  isFault t
   $\neg$  isFault t'  $\longrightarrow$  t' = t
  by blast
  with Normal False
  show ?thesis
  by (blast intro: execn.intros)
qed
qed
next
case (While b c s n t)
have exec-mark:  $\Gamma \vdash \langle \text{mark-guards } f \ (\text{While } b \ c), s \rangle = n \Rightarrow t$  by fact
show ?case
proof (cases s)
  case (Fault f)
  with exec-mark have t=Fault f
  by (auto dest: execn-Fault-end)
  with Fault show ?thesis

```

```

    by auto
next
  case Stuck
  with exec-mark have  $t = \text{Stuck}$ 
    by (auto dest: execn-Stuck-end)
  with Stuck show ?thesis
    by auto
next
  case (Abrupt  $s'$ )
  with exec-mark have  $t = \text{Abrupt } s'$ 
    by (auto dest: execn-Abrupt-end)
  with Abrupt show ?thesis
    by auto
next
  case (Normal  $s'$ )
  {
    fix  $c' r w$ 
    assume exec-c':  $\Gamma \vdash \langle c', r \rangle = n \Rightarrow w$ 
    assume c':  $c' = \text{While } b \text{ (mark-guards } f \text{ } c)$ 
    have  $\exists w'. \Gamma \vdash \langle \text{While } b \text{ } c, r \rangle = n \Rightarrow w' \wedge (\text{isFault } w \longrightarrow \text{isFault } w') \wedge$ 
       $(w' = \text{Fault } f \longrightarrow w' = w) \wedge (\text{isFault } w' \longrightarrow \text{isFault } w) \wedge$ 
       $(\neg \text{isFault } w' \longrightarrow w' = w)$ 
      using exec-c' c'
    proof (induct)
      case (WhileTrue  $r b' c'' n u w$ )
      have eqs:  $\text{While } b' c'' = \text{While } b \text{ (mark-guards } f \text{ } c)$  by fact
      from WhileTrue.hyps eqs
      have r-in-b:  $r \in b$  by simp
      from WhileTrue.hyps eqs
      have exec-mark-c:  $\Gamma \vdash \langle \text{mark-guards } f \text{ } c, \text{Normal } r \rangle = n \Rightarrow u$  by simp
      from WhileTrue.hyps eqs
      have exec-mark-w:  $\Gamma \vdash \langle \text{While } b \text{ (mark-guards } f \text{ } c), u \rangle = n \Rightarrow w$ 
      by simp
      show ?case
      proof -
        from WhileTrue.hyps eqs have  $\Gamma \vdash \langle \text{mark-guards } f \text{ } c, \text{Normal } r \rangle = n \Rightarrow u$ 
        by simp
        with While.hyps
        obtain  $u'$  where
          exec-c:  $\Gamma \vdash \langle c, \text{Normal } r \rangle = n \Rightarrow u'$  and
          u-Fault:  $\text{isFault } u \longrightarrow \text{isFault } u'$  and
          u'-Fault-f:  $u' = \text{Fault } f \longrightarrow u' = u$  and
          u'-Fault:  $\text{isFault } u' \longrightarrow \text{isFault } u$  and
          u'-noFault:  $\neg \text{isFault } u' \longrightarrow u' = u$ 
          by blast
        show ?thesis
        proof (cases isFault  $u'$ )
          case False
          with u'-noFault have  $u': u' = u$  by simp

```

```

from WhileTrue.hyps eqs obtain  $w'$  where
   $\Gamma \vdash \langle \text{While } b \ c, u \rangle = n \Rightarrow w'$ 
   $\text{isFault } w \longrightarrow \text{isFault } w'$ 
   $w' = \text{Fault } f \longrightarrow w' = w$ 
   $\text{isFault } w' \longrightarrow \text{isFault } w$ 
   $\neg \text{isFault } w' \longrightarrow w' = w$ 
  by blast
with  $u' \text{ exec-c } r\text{-in-}b$ 
show ?thesis
  by (blast intro: execn.WhileTrue)
next
  case True
  then obtain  $f'$  where  $u': u' = \text{Fault } f'..$ 
  with  $\text{exec-c } r\text{-in-}b$ 
  have  $\text{exec}: \Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle = n \Rightarrow \text{Fault } f'$ 
  by (blast intro: execn.intros)
  from True u'-Fault have  $\text{isFault } u$ 
  by simp
  then obtain  $f$  where  $u: u = \text{Fault } f..$ 
  with  $\text{exec-mark-}w$  have  $w = \text{Fault } f$ 
  by (auto dest: execn-Fault-end)
  with  $\text{exec } u' \ u \ u'\text{-Fault-}f$ 
  show ?thesis
  by auto
  qed
qed
next
  case (WhileFalse r b' c'' n)
  have  $\text{eqs}: \text{While } b' \ c'' = \text{While } b \ (\text{mark-guards } f \ c)$  by fact
  from WhileFalse.hyps eqs
  have  $r\text{-not-in-}b: r \notin b$  by simp
  show ?case
  proof –
    from  $r\text{-not-in-}b$ 
    have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle = n \Rightarrow \text{Normal } r$ 
    by (rule execn.WhileFalse)
    thus ?thesis
    by blast
  qed
qed auto
} note hyp-while = this
show ?thesis
proof (cases s' ∈ b)
  case False
  with Normal exec-mark
  have  $t = s$ 
  by (auto elim: execn-Normal-elim-cases)
  with Normal False show ?thesis
  by (auto intro: execn.intros)

```

```

next
  case True note  $s'\text{-in-}b = \text{this}$ 
  with Normal exec-mark obtain  $r$  where
    exec-mark-c:  $\Gamma \vdash \langle \text{mark-guards } f \ c, \text{Normal } s \rangle = n \Rightarrow r$  and
    exec-mark-w:  $\Gamma \vdash \langle \text{While } b \ (\text{mark-guards } f \ c), r \rangle = n \Rightarrow t$ 
    by (auto elim: execn-Normal-elim-cases)
  from While.hyps exec-mark-c obtain  $r'$  where
    exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow r'$  and
    r-Fault:  $\text{isFault } r \longrightarrow \text{isFault } r'$  and
    r'-Fault-f:  $r' = \text{Fault } f \longrightarrow r' = r$  and
    r'-Fault:  $\text{isFault } r' \longrightarrow \text{isFault } r$  and
    r'-noFault:  $\neg \text{isFault } r' \longrightarrow r' = r$ 
    by blast
  show ?thesis
  proof (cases isFault  $r'$ )
    case False
      with r'-noFault have  $r': r' = r$  by simp
      from hyp-while exec-mark-w
      obtain  $t'$  where
         $\Gamma \vdash \langle \text{While } b \ c, r \rangle = n \Rightarrow t'$ 
         $\text{isFault } t \longrightarrow \text{isFault } t'$ 
         $t' = \text{Fault } f \longrightarrow t' = t$ 
         $\text{isFault } t' \longrightarrow \text{isFault } t$ 
         $\neg \text{isFault } t' \longrightarrow t' = t$ 
        by blast
      with  $r'$  exec-c Normal  $s'\text{-in-}b$ 
      show ?thesis
        by (blast intro: execn.intros)
    next
      case True
        then obtain  $f'$  where  $r': r' = \text{Fault } f'..$ 
        hence  $\Gamma \vdash \langle \text{While } b \ c, r \rangle = n \Rightarrow \text{Fault } f'$ 
        by auto
        with Normal  $s'\text{-in-}b$  exec-c
        have exec:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f'$ 
        by (auto intro: execn.intros)
        from True r'-Fault
        have isFault  $r$ 
        by simp
        then obtain  $f$  where  $r: r = \text{Fault } f..$ 
        with exec-mark-w have  $t = \text{Fault } f$ 
        by (auto dest: execn-Fault-end)
        with Normal exec  $r'$   $r$  r'-Fault-f
        show ?thesis
          by auto
  qed
qed
qed
next

```

```

  case Call thus ?case by auto
next
  case DynCom thus ?case
    by (fastforce elim!: execn-elim-cases intro: execn.intros)
next
  case (Guard  $f' g c s n t$ )
  have exec-mark:  $\Gamma \vdash \langle \text{mark-guards } f (Guard f' g c), s \rangle = n \Rightarrow t$  by fact
  show ?case
  proof (cases s)
    case (Fault f)
    with exec-mark have  $t = Fault f$ 
    by (auto dest: execn-Fault-end)
    with Fault show ?thesis
    by auto
  next
    case Stuck
    with exec-mark have  $t = Stuck$ 
    by (auto dest: execn-Stuck-end)
    with Stuck show ?thesis
    by auto
  next
    case (Abrupt  $s'$ )
    with exec-mark have  $t = Abrupt s'$ 
    by (auto dest: execn-Abrupt-end)
    with Abrupt show ?thesis
    by auto
  next
    case (Normal  $s'$ )
    show ?thesis
    proof (cases  $s' \in g$ )
      case False
      with Normal exec-mark have  $t = Fault f$ 
      by (auto elim: execn-Normal-elim-cases)
      from False
      have  $\Gamma \vdash \langle Guard f' g c, Normal s' \rangle = n \Rightarrow Fault f'$ 
      by (blast intro: execn.intros)
      with Normal t show ?thesis
      by auto
    next
      case True
      with exec-mark Normal
      have  $\Gamma \vdash \langle \text{mark-guards } f c, Normal s' \rangle = n \Rightarrow t$ 
      by (auto elim: execn-Normal-elim-cases)
      with Guard.hyps obtain  $t'$  where
         $\Gamma \vdash \langle c, Normal s' \rangle = n \Rightarrow t'$  and
         $isFault t \longrightarrow isFault t'$  and
         $t' = Fault f \longrightarrow t' = t$  and
         $isFault t' \longrightarrow isFault t$  and
         $\neg isFault t' \longrightarrow t' = t$ 

```

```

      by blast
    with Normal True
    show ?thesis
      by (blast intro: execn.intros)
  qed
qed
next
  case Throw thus ?case by auto
next
  case (Catch c1 c2 s n t)
  have exec-mark:  $\Gamma \vdash \langle \text{mark-guards } f \text{ (Catch } c1 \text{ } c2), s \rangle = n \Rightarrow t$  by fact
  show ?case
  proof (cases s)
    case (Fault f)
    with exec-mark have t=Fault f
      by (auto dest: execn-Fault-end)
    with Fault show ?thesis
      by auto
  next
    case Stuck
    with exec-mark have t=Stuck
      by (auto dest: execn-Stuck-end)
    with Stuck show ?thesis
      by auto
  next
    case (Abrupt s')
    with exec-mark have t=Abrupt s'
      by (auto dest: execn-Abrupt-end)
    with Abrupt show ?thesis
      by auto
  next
    case (Normal s') note s=this
    with exec-mark have
       $\Gamma \vdash \langle \text{Catch (mark-guards } f \text{ } c1) \text{ (mark-guards } f \text{ } c2), \text{Normal } s' \rangle = n \Rightarrow t$  by simp
    thus ?thesis
    proof (cases)
      fix w
      assume exec-mark-c1:  $\Gamma \vdash \langle \text{mark-guards } f \text{ } c1, \text{Normal } s' \rangle = n \Rightarrow \text{Abrupt } w$ 
      assume exec-mark-c2:  $\Gamma \vdash \langle \text{mark-guards } f \text{ } c2, \text{Normal } w \rangle = n \Rightarrow t$ 
      from exec-mark-c1 Catch.hyps
      obtain w' where
        exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle = n \Rightarrow w'$  and
        w'-Fault-f:  $w' = \text{Fault } f \longrightarrow w' = \text{Abrupt } w$  and
        w'-Fault:  $\text{isFault } w' \longrightarrow \text{isFault } (\text{Abrupt } w)$  and
        w'-noFault:  $\neg \text{isFault } w' \longrightarrow w' = \text{Abrupt } w$ 
      by fastforce
    show ?thesis
    proof (cases w')
      case (Fault f')
```

```

with Normal exec-c1 have  $\Gamma \vdash \langle \text{Catch } c1 \ c2, s \rangle = n \Rightarrow \text{Fault } f'$ 
  by (auto intro: execn.intros)
with w'-Fault Fault show ?thesis
  by auto
next
  case Stuck
  with w'-noFault have False
    by simp
  thus ?thesis ..
next
  case (Normal w'')
  with w'-noFault have False by simp thus ?thesis ..
next
  case (Abrupt w'')
  with w'-noFault have w'': w''=w by simp
  from exec-mark-c2 Catch.hyps
  obtain t' where
     $\Gamma \vdash \langle c2, \text{Normal } w \rangle = n \Rightarrow t'$ 
     $\text{isFault } t \longrightarrow \text{isFault } t'$ 
     $t' = \text{Fault } f \longrightarrow t'=t$ 
     $\text{isFault } t' \longrightarrow \text{isFault } t$ 
     $\neg \text{isFault } t' \longrightarrow t'=t$ 
    by blast
  with w'' Abrupt s exec-c1
  show ?thesis
    by (blast intro: execn.intros)
  qed
next
  assume t:  $\neg \text{isAbr } t$ 
  assume  $\Gamma \vdash \langle \text{mark-guards } f \ c1, \text{Normal } s' \rangle = n \Rightarrow t$ 
  with Catch.hyps
  obtain t' where
    exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle = n \Rightarrow t'$  and
    t-Fault:  $\text{isFault } t \longrightarrow \text{isFault } t'$  and
    t'-Fault-f:  $t' = \text{Fault } f \longrightarrow t'=t$  and
    t'-Fault:  $\text{isFault } t' \longrightarrow \text{isFault } t$  and
    t'-noFault:  $\neg \text{isFault } t' \longrightarrow t'=t$ 
    by blast
  show ?thesis
  proof (cases isFault t')
    case True
    then obtain f' where t': t'=Fault f'..
    with exec-c1 have  $\Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s' \rangle = n \Rightarrow \text{Fault } f'$ 
      by (auto intro: execn.intros)
    with t'-Fault-f t'-Fault t' s show ?thesis
      by auto
  next
  case False
  with t'-noFault have t'=t by simp

```

with $t \text{ exec-c1 } s$ **show** $?thesis$
by (*blast intro: execn.intros*)
qed
qed
qed
qed

lemma *exec-to-exec-mark-guards*:
assumes $\text{exec-c}: \Gamma \vdash \langle c, s \rangle \Rightarrow t$
assumes $t\text{-not-Fault}: \neg \text{isFault } t$
shows $\Gamma \vdash \langle \text{mark-guards } f \ c, s \rangle \Rightarrow t$
proof –
from *exec-to-execn* [*OF exec-c*] **obtain** n **where**
 $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t \ ..$
from *execn-to-execn-mark-guards* [*OF this t-not-Fault*]
show $?thesis$
by (*blast intro: execn-to-exec*)
qed

lemma *exec-to-exec-mark-guards-Fault*:
assumes $\text{exec-c}: \Gamma \vdash \langle c, s \rangle \Rightarrow \text{Fault } f$
shows $\exists f'. \Gamma \vdash \langle \text{mark-guards } x \ c, s \rangle \Rightarrow \text{Fault } f'$
proof –
from *exec-to-execn* [*OF exec-c*] **obtain** n **where**
 $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \text{Fault } f \ ..$
from *execn-to-execn-mark-guards-Fault* [*OF this*]
show $?thesis$
by (*blast intro: execn-to-exec*)
qed

lemma *exec-mark-guards-to-exec*:
assumes $\text{exec-mark}: \Gamma \vdash \langle \text{mark-guards } f \ c, s \rangle \Rightarrow t$
shows $\exists t'. \Gamma \vdash \langle c, s \rangle \Rightarrow t' \wedge$
 $(\text{isFault } t \longrightarrow \text{isFault } t') \wedge$
 $(t' = \text{Fault } f \longrightarrow t' = t) \wedge$
 $(\text{isFault } t' \longrightarrow \text{isFault } t) \wedge$
 $(\neg \text{isFault } t' \longrightarrow t' = t)$
proof –
from *exec-to-execn* [*OF exec-mark*] **obtain** n **where**
 $\Gamma \vdash \langle \text{mark-guards } f \ c, s \rangle = n \Rightarrow t \ ..$
from *execn-mark-guards-to-execn* [*OF this*]
show $?thesis$
by (*blast intro: execn-to-exec*)
qed

3.7 Lemmas about *strip-guards*

lemma *execn-to-execn-strip-guards*:


```

assumes  $exec-c: \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
assumes  $t\text{-not-Fault}: \neg isFault\ t$ 
shows  $\Gamma \vdash \langle strip\text{-guards}\ F\ c, s \rangle = n \Rightarrow t$ 
using  $exec-c\ t\text{-not-Fault}$  [simplified not-isFault-iff]
by (induct) (auto intro: execn.intros dest: noFaultn-startD')

lemma execn-to-execn-strip-guards-Fault:
assumes  $exec-c: \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
shows  $\bigwedge f. \llbracket t = Fault\ f; f \notin F \rrbracket \Longrightarrow \Gamma \vdash \langle strip\text{-guards}\ F\ c, s \rangle = n \Rightarrow Fault\ f$ 
using  $exec-c$ 
proof (induct)
  case Skip thus ?case by auto
next
  case Guard thus ?case by (fastforce intro: execn.intros)
next
  case GuardFault thus ?case by (fastforce intro: execn.intros)
next
  case FaultProp thus ?case by auto
next
  case Basic thus ?case by auto
next
  case Spec thus ?case by auto
next
  case SpecStuck thus ?case by auto
next
  case (Seq c1 s n w c2 t)
  have  $exec-c1: \Gamma \vdash \langle c1, Normal\ s \rangle = n \Rightarrow w$  by fact
  have  $exec-c2: \Gamma \vdash \langle c2, w \rangle = n \Rightarrow t$  by fact
  have  $t: t = Fault\ f$  by fact
  have  $notinF: f \notin F$  by fact
  show ?case
  proof (cases w)
    case (Fault f')
    with  $exec-c2\ t$  have  $f' = f$ 
    by (auto dest: execn-Fault-end)
    with  $Fault\ notinF\ Seq.hyps$ 
    have  $\Gamma \vdash \langle strip\text{-guards}\ F\ c1, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
    by auto
    moreover have  $\Gamma \vdash \langle strip\text{-guards}\ F\ c2, Fault\ f \rangle = n \Rightarrow Fault\ f$ 
    by auto
    ultimately show ?thesis
    by (auto intro: execn.intros)
  next
  case (Normal s')
  with execn-to-execn-strip-guards [OF exec-c1]
  have  $exec\text{-}c1: \Gamma \vdash \langle strip\text{-guards}\ F\ c1, Normal\ s \rangle = n \Rightarrow w$ 
  by simp
  with Seq.hyps t notinF

```

```

have  $\Gamma \vdash \langle \text{strip-guards } F \ c2, w \rangle =n \Rightarrow \text{Fault } f$ 
  by blast
with exec-strip-c1 show ?thesis
  by (auto intro: execn.intros)
next
case (Abrupt s')
with execn-to-execn-strip-guards [OF exec-c1]
have exec-strip-c1:  $\Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s \rangle =n \Rightarrow w$ 
  by simp
with Seq.hyps t notinF
have  $\Gamma \vdash \langle \text{strip-guards } F \ c2, w \rangle =n \Rightarrow \text{Fault } f$ 
  by (auto intro: execn.intros)
with exec-strip-c1 show ?thesis
  by (auto intro: execn.intros)
next
case Stuck
with exec-c2 have t=Stuck
  by (auto dest: execn-Stuck-end)
with t show ?thesis by simp
qed
next
case CondTrue thus ?case by (fastforce intro: execn.intros)
next
case CondFalse thus ?case by (fastforce intro: execn.intros)
next
case (WhileTrue s b c n w t)
have exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle =n \Rightarrow w$  by fact
have exec-w:  $\Gamma \vdash \langle \text{While } b \ c, w \rangle =n \Rightarrow t$  by fact
have t: t = Fault f by fact
have notinF: f  $\notin F$  by fact
have s-in-b: s  $\in b$  by fact
show ?case
proof (cases w)
case (Fault f')
with exec-w t have f'=f
  by (auto dest: execn-Fault-end)
with Fault notinF WhileTrue.hyps
have  $\Gamma \vdash \langle \text{strip-guards } F \ c, \text{Normal } s \rangle =n \Rightarrow \text{Fault } f$ 
  by auto
moreover have  $\Gamma \vdash \langle \text{strip-guards } F \ (\text{While } b \ c), \text{Fault } f \rangle =n \Rightarrow \text{Fault } f$ 
  by auto
ultimately show ?thesis
  using s-in-b by (auto intro: execn.intros)
next
case (Normal s')
with execn-to-execn-strip-guards [OF exec-c]
have exec-strip-c:  $\Gamma \vdash \langle \text{strip-guards } F \ c, \text{Normal } s \rangle =n \Rightarrow w$ 
  by simp
with WhileTrue.hyps t notinF

```

```

have  $\Gamma \vdash \langle \text{strip-guards } F \text{ (While } b \text{ } c), w \rangle = n \Rightarrow \text{Fault } f$ 
  by blast
with exec-strip-c s-in-b show ?thesis
  by (auto intro: execn.intros)
next
case (Abrupt s')
with execn-to-execn-strip-guards [OF exec-c]
have exec-strip-c:  $\Gamma \vdash \langle \text{strip-guards } F \text{ } c, \text{Normal } s \rangle = n \Rightarrow w$ 
  by simp
with WhileTrue.hyps t notinF
have  $\Gamma \vdash \langle \text{strip-guards } F \text{ (While } b \text{ } c), w \rangle = n \Rightarrow \text{Fault } f$ 
  by (auto intro: execn.intros)
with exec-strip-c s-in-b show ?thesis
  by (auto intro: execn.intros)
next
case Stuck
with exec-w have t=Stuck
  by (auto dest: execn-Stuck-end)
with t show ?thesis by simp
qed
next
case WhileFalse thus ?case by (fastforce intro: execn.intros)
next
case Call thus ?case by (fastforce intro: execn.intros)
next
case CallUndefined thus ?case by simp
next
case StuckProp thus ?case by simp
next
case DynCom thus ?case by (fastforce intro: execn.intros)
next
case Throw thus ?case by simp
next
case AbruptProp thus ?case by simp
next
case (CatchMatch c1 s n w c2 t)
have exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle = n \Rightarrow \text{Abrupt } w$  by fact
have exec-c2:  $\Gamma \vdash \langle c2, \text{Normal } w \rangle = n \Rightarrow t$  by fact
have t: t = Fault f by fact
have notinF: f  $\notin F$  by fact
from execn-to-execn-strip-guards [OF exec-c1]
have exec-strip-c1:  $\Gamma \vdash \langle \text{strip-guards } F \text{ } c1, \text{Normal } s \rangle = n \Rightarrow \text{Abrupt } w$ 
  by simp
with CatchMatch.hyps t notinF
have  $\Gamma \vdash \langle \text{strip-guards } F \text{ } c2, \text{Normal } w \rangle = n \Rightarrow \text{Fault } f$ 
  by blast
with exec-strip-c1 show ?case
  by (auto intro: execn.intros)
next

```

case *CatchMiss* thus ?case by (fastforce intro: execn.intros)
qed

lemma *execn-to-execn-strip-guards'*:
assumes *exec-c*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$
assumes *t-not-Fault*: $t \notin \text{Fault} \text{ ' } F$
shows $\Gamma \vdash \langle \text{strip-guards } F \text{ } c, s \rangle = n \Rightarrow t$
proof (cases *t*)
case (*Fault f*)
with *t-not-Fault exec-c* show ?thesis
by (auto intro: execn-to-execn-strip-guards-Fault)
qed (insert *exec-c*, auto intro: execn-to-execn-strip-guards)

lemma *execn-strip-guards-to-execn*:
 $\bigwedge s \ n \ t. \Gamma \vdash \langle \text{strip-guards } F \text{ } c, s \rangle = n \Rightarrow t$
 $\implies \exists t'. \Gamma \vdash \langle c, s \rangle = n \Rightarrow t' \wedge$
 $(\text{isFault } t \longrightarrow \text{isFault } t') \wedge$
 $(t' \in \text{Fault} \text{ ' } (- F) \longrightarrow t'=t) \wedge$
 $(\neg \text{isFault } t' \longrightarrow t'=t)$
proof (induct *c*)
case *Skip* thus ?case by auto
next
case *Basic* thus ?case by auto
next
case *Spec* thus ?case by auto
next
case (*Seq c1 c2 s n t*)
have *exec-strip*: $\Gamma \vdash \langle \text{strip-guards } F \text{ } (\text{Seq } c1 \text{ } c2), s \rangle = n \Rightarrow t$ by fact
then obtain *w* where
exec-strip-c1: $\Gamma \vdash \langle \text{strip-guards } F \text{ } c1, s \rangle = n \Rightarrow w$ and
exec-strip-c2: $\Gamma \vdash \langle \text{strip-guards } F \text{ } c2, w \rangle = n \Rightarrow t$
by (auto elim: execn-elim-cases)
from *Seq.hyps exec-strip-c1*
obtain *w'* where
exec-c1: $\Gamma \vdash \langle c1, s \rangle = n \Rightarrow w'$ and
w-Fault: $\text{isFault } w \longrightarrow \text{isFault } w'$ and
w'-Fault: $w' \in \text{Fault} \text{ ' } (- F) \longrightarrow w'=w$ and
w'-noFault: $\neg \text{isFault } w' \longrightarrow w'=w$
by blast
show ?case
proof (cases *s*)
case (*Fault f*)
with *exec-strip* have $t = \text{Fault } f$
by (auto dest: execn-Fault-end)
with *Fault* show ?thesis
by auto
next
case *Stuck*
with *exec-strip* have $t = \text{Stuck}$

```

    by (auto dest: execn-Stuck-end)
  with Stuck show ?thesis
  by auto
next
case (Abrupt  $s'$ )
with exec-strip have  $t = \text{Abrupt } s'$ 
  by (auto dest: execn-Abrupt-end)
with Abrupt show ?thesis
  by auto
next
case (Normal  $s'$ )
show ?thesis
proof (cases isFault  $w$ )
  case True
  then obtain  $f$  where  $w': w = \text{Fault } f..$ 
  moreover with exec-strip-c2
  have  $t: t = \text{Fault } f$ 
    by (auto dest: execn-Fault-end)
  ultimately show ?thesis
    using Normal  $w\text{-Fault } w'\text{-Fault } \text{exec-c1}$ 
    by (fastforce intro: execn.intros elim: isFaultE)
next
case False
note  $\text{noFault-}w = \text{this}$ 
show ?thesis
proof (cases isFault  $w'$ )
  case True
  then obtain  $f'$  where  $w': w' = \text{Fault } f'..$ 
  with Normal exec-c1
  have  $\text{exec}: \Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle = n \Rightarrow \text{Fault } f'$ 
    by (auto intro: execn.intros)
  from  $w'\text{-Fault } w' \text{ noFault-}w$ 
  have  $f' \in F$ 
    by (cases  $w$ ) auto
  with exec
  show ?thesis
    by auto
next
case False
with  $w'\text{-noFault}$  have  $w': w' = w$  by simp
from Seq.hyps exec-strip-c2
obtain  $t'$  where
   $\Gamma \vdash \langle c2, w \rangle = n \Rightarrow t'$  and
   $\text{isFault } t \longrightarrow \text{isFault } t'$  and
   $t' \in \text{Fault } \neg F \longrightarrow t' = t$  and
   $\neg \text{isFault } t' \longrightarrow t' = t$ 
  by blast
with Normal exec-c1  $w'$ 
show ?thesis

```

```

      by (fastforce intro: execn.intros)
    qed
  qed
next
next
case (Cond b c1 c2 s n t)
have exec-strip:  $\Gamma \vdash \langle \text{strip-guards } F \text{ (Cond b c1 c2), } s \rangle = n \Rightarrow t$  by fact
show ?case
proof (cases s)
  case (Fault f)
  with exec-strip have t=Fault f
  by (auto dest: execn-Fault-end)
  with Fault show ?thesis
  by auto
next
case Stuck
with exec-strip have t=Stuck
by (auto dest: execn-Stuck-end)
with Stuck show ?thesis
by auto
next
case (Abrupt s^')
with exec-strip have t=Abrupt s'
by (auto dest: execn-Abrupt-end)
with Abrupt show ?thesis
by auto
next
case (Normal s')
show ?thesis
proof (cases s' ∈ b)
  case True
  with Normal exec-strip
  have  $\Gamma \vdash \langle \text{strip-guards } F \text{ c1 }, \text{Normal } s' \rangle = n \Rightarrow t$ 
  by (auto elim: execn-Normal-elim-cases)
  with Normal True Cond.hyps obtain t'
  where  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle = n \Rightarrow t'$ 
  and
   $\text{isFault } t \longrightarrow \text{isFault } t'$ 
  and
   $t' \in \text{Fault} \wedge (\neg F) \longrightarrow t' = t$ 
  and
   $\neg \text{isFault } t' \longrightarrow t' = t$ 
  by blast
  with Normal True
  show ?thesis
  by (blast intro: execn.intros)
next
case False
with Normal exec-strip
have  $\Gamma \vdash \langle \text{strip-guards } F \text{ c2 }, \text{Normal } s' \rangle = n \Rightarrow t$ 
by (auto elim: execn-Normal-elim-cases)

```

```

with Normal False Cond.hyps obtain  $t'$ 
  where  $\Gamma \vdash \langle c2, Normal\ s' \rangle = n \Rightarrow t'$ 
     $isFault\ t \longrightarrow isFault\ t'$ 
     $t' \in Fault\ '(-F) \longrightarrow t' = t$ 
     $\neg isFault\ t' \longrightarrow t' = t$ 
  by blast
with Normal False
show ?thesis
  by (blast intro: execn.intros)
qed
qed
next
  case (While b c s n t)
  have exec-strip:  $\Gamma \vdash \langle strip\text{-}guards\ F\ (While\ b\ c), s \rangle = n \Rightarrow t$  by fact
show ?case
proof (cases s)
  case (Fault f)
  with exec-strip have  $t = Fault\ f$ 
  by (auto dest: execn-Fault-end)
  with Fault show ?thesis
  by auto
next
  case Stuck
  with exec-strip have  $t = Stuck$ 
  by (auto dest: execn-Stuck-end)
  with Stuck show ?thesis
  by auto
next
  case (Abrupt s')
  with exec-strip have  $t = Abrupt\ s'$ 
  by (auto dest: execn-Abrupt-end)
  with Abrupt show ?thesis
  by auto
next
  case (Normal s')
  {
    fix  $c'\ r\ w$ 
    assume exec-c':  $\Gamma \vdash \langle c', r \rangle = n \Rightarrow w$ 
    assume  $c' = While\ b\ (strip\text{-}guards\ F\ c)$ 
    have  $\exists w'. \Gamma \vdash \langle While\ b\ c, r \rangle = n \Rightarrow w' \wedge (isFault\ w \longrightarrow isFault\ w') \wedge$ 
       $(w' \in Fault\ '(-F) \longrightarrow w' = w) \wedge$ 
       $(\neg isFault\ w' \longrightarrow w' = w)$ 
    using exec-c' c'
    proof (induct)
    case (WhileTrue r b' c'' n u w)
    have eqs:  $While\ b'\ c'' = While\ b\ (strip\text{-}guards\ F\ c)$  by fact
    from WhileTrue.hyps eqs
    have r-in-b:  $r \in b$  by simp
    from WhileTrue.hyps eqs
  }

```

```

have exec-strip-c:  $\Gamma \vdash \langle \text{strip-guards } F \ c, \text{Normal } r \rangle = n \Rightarrow u$  by simp
from WhileTrue.hyps eqs
have exec-strip-w:  $\Gamma \vdash \langle \text{While } b \ (\text{strip-guards } F \ c), u \rangle = n \Rightarrow w$ 
  by simp
show ?case
proof –
  from WhileTrue.hyps eqs have  $\Gamma \vdash \langle \text{strip-guards } F \ c, \text{Normal } r \rangle = n \Rightarrow u$ 
    by simp
  with While.hyps
  obtain u' where
    exec-c:  $\Gamma \vdash \langle c, \text{Normal } r \rangle = n \Rightarrow u'$  and
    u-Fault:  $\text{isFault } u \longrightarrow \text{isFault } u'$  and
    u'-Fault:  $u' \in \text{Fault } ' (-F) \longrightarrow u' = u$  and
    u'-noFault:  $\neg \text{isFault } u' \longrightarrow u' = u$ 
    by blast
  show ?thesis
  proof (cases isFault u')
    case False
    with u'-noFault have u':  $u' = u$  by simp
    from WhileTrue.hyps eqs obtain w' where
       $\Gamma \vdash \langle \text{While } b \ c, u \rangle = n \Rightarrow w'$ 
      isFault w  $\longrightarrow \text{isFault } w'$ 
       $w' \in \text{Fault } ' (-F) \longrightarrow w' = w$ 
       $\neg \text{isFault } w' \longrightarrow w' = w$ 
      by blast
    with u' exec-c r-in-b
    show ?thesis
      by (blast intro: execn.WhileTrue)
  next
    case True
    then obtain f' where u':  $u' = \text{Fault } f'$ ..
    with exec-c r-in-b
    have exec:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle = n \Rightarrow \text{Fault } f'$ 
      by (blast intro: execn.intros)
    show ?thesis
    proof (cases isFault u)
      case True
      then obtain f where u:  $u = \text{Fault } f$ ..
      with exec-strip-w have  $w = \text{Fault } f$ 
        by (auto dest: execn-Fault-end)
      with exec u' u u'-Fault
      show ?thesis
        by auto
      next
        case False
        with u'-Fault u have f'  $\in F$ 
          by (cases u) auto
        with exec show ?thesis
          by auto

```



```

      qed
    qed
  qed
next
  case (WhileFalse r b' c'' n)
  have eqs: While b' c'' = While b (strip-guards F c) by fact
  from WhileFalse.hyps eqs
  have r-not-in-b: r ∉ b by simp
  show ?case
  proof -
    from r-not-in-b
    have  $\Gamma \vdash \langle \text{While } b \text{ } c, \text{Normal } r \rangle = n \Rightarrow \text{Normal } r$ 
      by (rule execn.WhileFalse)
    thus ?thesis
      by blast
  qed
qed auto
} note hyp-while = this
show ?thesis
proof (cases s' ∈ b)
  case False
  with Normal exec-strip
  have t=s
    by (auto elim: execn-Normal-elim-cases)
  with Normal False show ?thesis
    by (auto intro: execn.intros)
next
  case True note s'-in-b = this
  with Normal exec-strip obtain r where
    exec-strip-c:  $\Gamma \vdash \langle \text{strip-guards } F \text{ } c, \text{Normal } s' \rangle = n \Rightarrow r$  and
    exec-strip-w:  $\Gamma \vdash \langle \text{While } b \text{ } (\text{strip-guards } F \text{ } c), r \rangle = n \Rightarrow t$ 
    by (auto elim: execn-Normal-elim-cases)
  from While.hyps exec-strip-c obtain r' where
    exec-c:  $\Gamma \vdash \langle c, \text{Normal } s' \rangle = n \Rightarrow r'$  and
    r-Fault:  $\text{isFault } r \longrightarrow \text{isFault } r'$  and
    r'-Fault:  $r' \in \text{Fault } '(-F) \longrightarrow r'=r$  and
    r'-noFault:  $\neg \text{isFault } r' \longrightarrow r'=r$ 
    by blast
  show ?thesis
  proof (cases isFault r')
    case False
    with r'-noFault have r': r'=r by simp
    from hyp-while exec-strip-w
    obtain t' where
       $\Gamma \vdash \langle \text{While } b \text{ } c, r \rangle = n \Rightarrow t'$ 
      isFault t  $\longrightarrow \text{isFault } t'$ 
       $t' \in \text{Fault } '(-F) \longrightarrow t'=t$ 
       $\neg \text{isFault } t' \longrightarrow t'=t$ 
      by blast
  qed

```

```

    with  $r'$  exec-c Normal  $s'$ -in-b
    show ?thesis
      by (blast intro: execn.intros)
next
  case True
  then obtain  $f'$  where  $r': r'=Fault\ f'..$ 
  hence  $\Gamma \vdash \langle While\ b\ c, r^\wedge \rangle =n\Rightarrow Fault\ f'$ 
    by auto
  with Normal  $s'$ -in-b exec-c
  have exec:  $\Gamma \vdash \langle While\ b\ c, Normal\ s^\wedge \rangle =n\Rightarrow Fault\ f'$ 
    by (auto intro: execn.intros)
  show ?thesis
  proof (cases isFault r)
    case True
    then obtain  $f$  where  $r: r=Fault\ f..$ 
    with exec-strip-w have  $t=Fault\ f$ 
      by (auto dest: execn-Fault-end)
    with Normal exec  $r'$   $r$   $r'$ -Fault
    show ?thesis
      by auto
  next
    case False
    with  $r'-Fault\ r'$  have  $f' \in F$ 
      by (cases r) auto
    with Normal exec show ?thesis
      by auto
  qed
qed
qed
qed
next
  case Call thus ?case by auto
next
  case DynCom thus ?case
    by (fastforce elim!: execn-elim-cases intro: execn.intros)
next
  case (Guard  $f\ g\ c\ s\ n\ t$ )
  have exec-strip:  $\Gamma \vdash \langle strip-guards\ F\ (Guard\ f\ g\ c), s \rangle =n\Rightarrow t$  by fact
  show ?case
  proof (cases  $s$ )
    case (Fault  $f$ )
    with exec-strip have  $t=Fault\ f$ 
      by (auto dest: execn-Fault-end)
    with Fault show ?thesis
      by auto
  next
    case Stuck
    with exec-strip have  $t=Stuck$ 
      by (auto dest: execn-Stuck-end)

```

```

with Stuck show ?thesis
  by auto
next
case (Abrupt  $s'$ )
with exec-strip have  $t = \text{Abrupt } s'$ 
  by (auto dest: execn-Abrupt-end)
with Abrupt show ?thesis
  by auto
next
case (Normal  $s'$ )
show ?thesis
proof (cases  $f \in F$ )
  case True
  with exec-strip Normal
  have exec-strip-c:  $\Gamma \vdash \langle \text{strip-guards } F \ c, \text{Normal } s' \rangle =_n \Rightarrow t$ 
    by simp
  with Guard.hyps obtain  $t'$  where
     $\Gamma \vdash \langle c, \text{Normal } s' \rangle =_n \Rightarrow t'$  and
     $\text{isFault } t \longrightarrow \text{isFault } t'$  and
     $t' \in \text{Fault } ' (-F) \longrightarrow t' = t$  and
     $\neg \text{isFault } t' \longrightarrow t' = t$ 
    by blast
  with Normal True
  show ?thesis
    by (cases  $s' \in g$ ) (fastforce intro: execn.intros) +
next
case False
note  $f \text{ notin } F = \text{this}$ 
show ?thesis
proof (cases  $s' \in g$ )
  case False
  with Normal exec-strip  $f \text{ notin } F$  have  $t: t = \text{Fault } f$ 
    by (auto elim: execn-Normal-elim-cases)
  from False
  have  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s' \rangle =_n \Rightarrow \text{Fault } f$ 
    by (blast intro: execn.intros)
  with False Normal  $t$  show ?thesis
    by auto
next
case True
with exec-strip Normal  $f \text{ notin } F$ 
have  $\Gamma \vdash \langle \text{strip-guards } F \ c, \text{Normal } s' \rangle =_n \Rightarrow t$ 
  by (auto elim: execn-Normal-elim-cases)
with Guard.hyps obtain  $t'$  where
   $\Gamma \vdash \langle c, \text{Normal } s' \rangle =_n \Rightarrow t'$  and
   $\text{isFault } t \longrightarrow \text{isFault } t'$  and
   $t' \in \text{Fault } ' (-F) \longrightarrow t' = t$  and
   $\neg \text{isFault } t' \longrightarrow t' = t$ 
  by blast

```

```

    with Normal True
    show ?thesis
    by (blast intro: execn.intros)
  qed
qed
qed
next
  case Throw thus ?case by auto
next
  case (Catch c1 c2 s n t)
  have exec-strip:  $\Gamma \vdash \langle \text{strip-guards } F \text{ (Catch } c1 \text{ } c2), s \rangle = n \Rightarrow t$  by fact
  show ?case
  proof (cases s)
    case (Fault f)
    with exec-strip have t=Fault f
    by (auto dest: execn-Fault-end)
    with Fault show ?thesis
    by auto
  next
    case Stuck
    with exec-strip have t=Stuck
    by (auto dest: execn-Stuck-end)
    with Stuck show ?thesis
    by auto
  next
    case (Abrupt s')
    with exec-strip have t=Abrupt s'
    by (auto dest: execn-Abrupt-end)
    with Abrupt show ?thesis
    by auto
  next
    case (Normal s') note s=this
    with exec-strip have
       $\Gamma \vdash \langle \text{strip-guards } F \text{ } c1 \rangle (\text{strip-guards } F \text{ } c2), \text{Normal } s' \rangle = n \Rightarrow t$  by simp
    thus ?thesis
    proof (cases)
      fix w
      assume exec-strip-c1:  $\Gamma \vdash \langle \text{strip-guards } F \text{ } c1, \text{Normal } s' \rangle = n \Rightarrow \text{Abrupt } w$ 
      assume exec-strip-c2:  $\Gamma \vdash \langle \text{strip-guards } F \text{ } c2, \text{Normal } w \rangle = n \Rightarrow t$ 
      from exec-strip-c1 Catch.hyps
      obtain w' where
        exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle = n \Rightarrow w'$  and
        w'-Fault:  $w' \in \text{Fault } '(-F) \longrightarrow w' = \text{Abrupt } w$  and
        w'-noFault:  $\neg \text{isFault } w' \longrightarrow w' = \text{Abrupt } w$ 
      by blast
    show ?thesis
    proof (cases w')
      case (Fault f')
      with Normal exec-c1 have  $\Gamma \vdash \langle \text{Catch } c1 \text{ } c2, s \rangle = n \Rightarrow \text{Fault } f'$ 

```

```

    by (auto intro: execn.intros)
  with  $w'$ -Fault Fault show ?thesis
    by auto
next
case Stuck
with  $w'$ -noFault have False
  by simp
thus ?thesis ..
next
case (Normal  $w''$ )
with  $w'$ -noFault have False by simp thus ?thesis ..
next
case (Abrupt  $w''$ )
with  $w'$ -noFault have  $w''$ :  $w''=w$  by simp
from exec-strip-c2 Catch.hyps
obtain  $t'$  where
   $\Gamma \vdash \langle c2, \text{Normal } w \rangle =n \Rightarrow t'$ 
  isFault  $t \longrightarrow \text{isFault } t'$ 
   $t' \in \text{Fault } ' (-F) \longrightarrow t'=t$ 
   $\neg \text{isFault } t' \longrightarrow t'=t$ 
  by blast
with  $w''$  Abrupt  $s$  exec-c1
show ?thesis
  by (blast intro: execn.intros)
qed
next
assume  $t$ :  $\neg \text{isAbr } t$ 
assume  $\Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s \rangle =n \Rightarrow t$ 
with Catch.hyps
obtain  $t'$  where
  exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle =n \Rightarrow t'$  and
   $t$ -Fault: isFault  $t \longrightarrow \text{isFault } t'$  and
   $t'$ -Fault:  $t' \in \text{Fault } ' (-F) \longrightarrow t'=t$  and
   $t'$ -noFault:  $\neg \text{isFault } t' \longrightarrow t'=t$ 
  by blast
show ?thesis
proof (cases isFault  $t'$ )
case True
then obtain  $f'$  where  $t'$ :  $t'=\text{Fault } f'$ ..
with exec-c1 have  $\Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle =n \Rightarrow \text{Fault } f'$ 
  by (auto intro: execn.intros)
with  $t'$ -Fault  $t' \ s$  show ?thesis
  by auto
next
case False
with  $t'$ -noFault have  $t'=t$  by simp
with  $t$  exec-c1  $s$  show ?thesis
  by (blast intro: execn.intros)
qed

```

qed
 qed
 qed

lemma *execn-strip-to-execn*:

assumes *exec-strip*: *strip* $F \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$

shows $\exists t'. \Gamma \vdash \langle c, s \rangle = n \Rightarrow t' \wedge$

$(isFault\ t \longrightarrow isFault\ t') \wedge$

$(t' \in Fault \wedge (\neg F) \longrightarrow t'=t) \wedge$

$(\neg isFault\ t' \longrightarrow t'=t)$

using *exec-strip*

proof (*induct*)

case *Skip* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *Guard* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *GuardFault* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *FaultProp* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *Basic* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *Spec* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *SpecStuck* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *Seq* **thus** ?*case* **by** (*blast* *intro*: *execn.intros elim*: *isFaultE*)

next

case *CondTrue* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *CondFalse* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *WhileTrue* **thus** ?*case* **by** (*blast* *intro*: *execn.intros elim*: *isFaultE*)

next

case *WhileFalse* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *Call* **thus** ?*case*

by *simp* (*blast* *intro*: *execn.intros dest*: *execn-strip-guards-to-execn*)

next

case *CallUndefined* **thus** ?*case*

by *simp* (*blast* *intro*: *execn.intros*)

next

case *StuckProp* **thus** ?*case*

by *blast*

next

case *DynCom* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

next

case *Throw* **thus** ?*case* **by** (*blast* *intro*: *execn.intros*)

```

next
  case AbruptProp thus ?case by (blast intro: execn.intros)
next
  case (CatchMatch c1 s n r c2 t)
  then obtain r' t' where
    exec-c1:  $\Gamma \vdash \langle c1, Normal\ s \rangle = n \Rightarrow r'$  and
    r'-Fault:  $r' \in Fault \text{ ' } (-F) \longrightarrow r' = Abrupt\ r$  and
    r'-noFault:  $\neg isFault\ r' \longrightarrow r' = Abrupt\ r$  and
    exec-c2:  $\Gamma \vdash \langle c2, Normal\ r \rangle = n \Rightarrow t'$  and
    t'-Fault:  $isFault\ t \longrightarrow isFault\ t'$  and
    t'-Fault:  $t' \in Fault \text{ ' } (-F) \longrightarrow t' = t$  and
    t'-noFault:  $\neg isFault\ t' \longrightarrow t' = t$ 
  by blast
show ?case
proof (cases isFault r')
  case True
  then obtain f' where r':  $r' = Fault\ f'$ ..
  with exec-c1 have  $\Gamma \vdash \langle Catch\ c1\ c2, Normal\ s \rangle = n \Rightarrow Fault\ f'$ 
  by (auto intro: execn.intros)
  with r' r'-Fault show ?thesis
  by (auto intro: execn.intros)
next
  case False
  with r'-noFault have  $r' = Abrupt\ r$  by simp
  with exec-c1 exec-c2 t'-Fault t'-noFault t'-Fault
  show ?thesis
  by (blast intro: execn.intros)
qed
next
  case CatchMiss thus ?case by (fastforce intro: execn.intros elim: isFaultE)
qed

lemma exec-strip-guards-to-exec:
  assumes exec-strip:  $\Gamma \vdash \langle strip\ guards\ F\ c, s \rangle \Rightarrow t$ 
  shows  $\exists t'. \Gamma \vdash \langle c, s \rangle \Rightarrow t' \wedge$ 
     $(isFault\ t \longrightarrow isFault\ t') \wedge$ 
     $(t' \in Fault \text{ ' } (-F) \longrightarrow t' = t) \wedge$ 
     $(\neg isFault\ t' \longrightarrow t' = t)$ 
proof -
  from exec-strip obtain n where
    execn-strip:  $\Gamma \vdash \langle strip\ guards\ F\ c, s \rangle = n \Rightarrow t$ 
  by (auto simp add: exec-iff-execn)
  then obtain t' where
     $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t'$ 
     $isFault\ t \longrightarrow isFault\ t'$ 
     $t' \in Fault \text{ ' } (-F) \longrightarrow t' = t \wedge \neg isFault\ t' \longrightarrow t' = t$ 
  by (blast dest: execn-strip-guards-to-execn)
  thus ?thesis
  by (blast intro: execn-to-exec)
qed

```

lemma *exec-strip-to-exec*:

assumes *exec-strip*: $\text{strip } F \ \Gamma \vdash \langle c, s \rangle \Rightarrow t$

shows $\exists t'. \ \Gamma \vdash \langle c, s \rangle \Rightarrow t' \wedge$

$(\text{isFault } t \longrightarrow \text{isFault } t') \wedge$

$(t' \in \text{Fault} \text{ ' } (-F) \longrightarrow t'=t) \wedge$

$(\neg \text{isFault } t' \longrightarrow t'=t)$

proof –

from *exec-strip* **obtain** *n* **where**

execn-strip: $\text{strip } F \ \Gamma \vdash \langle c, s \rangle =n\Rightarrow t$

by (*auto simp add: exec-iff-execn*)

then obtain *t'* **where**

$\Gamma \vdash \langle c, s \rangle =n\Rightarrow t'$

$\text{isFault } t \longrightarrow \text{isFault } t' \ t' \in \text{Fault} \text{ ' } (-F) \longrightarrow t'=t \ \neg \text{isFault } t' \longrightarrow t'=t$

by (*blast dest: execn-strip-to-execn*)

thus *?thesis*

by (*blast intro: execn-to-exec*)

qed

lemma *exec-to-exec-strip-guards*:

assumes *exec-c*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$

assumes *t-not-Fault*: $\neg \text{isFault } t$

shows $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle \Rightarrow t$

proof –

from *exec-c* **obtain** *n* **where** $\Gamma \vdash \langle c, s \rangle =n\Rightarrow t$

by (*auto simp add: exec-iff-execn*)

from *this t-not-Fault*

have $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle =n\Rightarrow t$

by (*rule execn-to-execn-strip-guards*)

thus $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle \Rightarrow t$

by (*rule execn-to-exec*)

qed

lemma *exec-to-exec-strip-guards'*:

assumes *exec-c*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$

assumes *t-not-Fault*: $t \notin \text{Fault} \text{ ' } F$

shows $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle \Rightarrow t$

proof –

from *exec-c* **obtain** *n* **where** $\Gamma \vdash \langle c, s \rangle =n\Rightarrow t$

by (*auto simp add: exec-iff-execn*)

from *this t-not-Fault*

have $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle =n\Rightarrow t$

by (*rule execn-to-execn-strip-guards'*)

thus $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle \Rightarrow t$

by (*rule execn-to-exec*)

qed

lemma *execn-to-execn-strip*:


```

assumes exec-c:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
assumes t-not-Fault:  $\neg \text{isFault } t$ 
shows strip F  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
using exec-c t-not-Fault
proof (induct)
  case (Call p bdy s n s')
  have bdy:  $\Gamma \vdash p = \text{Some } bdy$  by fact
  from Call have strip F  $\Gamma \vdash \langle bdy, \text{Normal } s \rangle = n \Rightarrow s'$ 
    by blast
  from execn-to-execn-strip-guards [OF this] Call
  have strip F  $\Gamma \vdash \langle \text{strip-guards } F \text{ bdy}, \text{Normal } s \rangle = n \Rightarrow s'$ 
    by simp
  moreover from bdy have  $(\text{strip } F \Gamma) \vdash p = \text{Some } (\text{strip-guards } F \text{ bdy})$ 
    by simp
  ultimately
  show ?case
    by (blast intro: execn.intros)
next
  case CallUndefined thus ?case by (auto intro: execn.CallUndefined)
qed (auto intro: execn.intros dest: noFaultn-startD' simp add: not-isFault-iff)

lemma execn-to-execn-strip':
assumes exec-c:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
assumes t-not-Fault:  $t \notin \text{Fault} \text{ ' } F$ 
shows strip F  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
using exec-c t-not-Fault
proof (induct)
  case (Call p bdy s n s')
  have bdy:  $\Gamma \vdash p = \text{Some } bdy$  by fact
  from Call have strip F  $\Gamma \vdash \langle bdy, \text{Normal } s \rangle = n \Rightarrow s'$ 
    by blast
  from execn-to-execn-strip-guards' [OF this] Call
  have strip F  $\Gamma \vdash \langle \text{strip-guards } F \text{ bdy}, \text{Normal } s \rangle = n \Rightarrow s'$ 
    by simp
  moreover from bdy have  $(\text{strip } F \Gamma) \vdash p = \text{Some } (\text{strip-guards } F \text{ bdy})$ 
    by simp
  ultimately
  show ?case
    by (blast intro: execn.intros)
next
  case CallUndefined thus ?case by (auto intro: execn.CallUndefined)
next
  case (Seq c1 s n s' c2 t)
  show ?case
  proof (cases isFault s')
    case False
    with Seq show ?thesis
    by (auto intro: execn.intros simp add: not-isFault-iff)
  next

```

```

    case True
    then obtain f' where s': s'=Fault f' by (auto simp add: isFault-def)
    with Seq obtain t=Fault f' and f' ∉ F
      by (force dest: execn-Fault-end)
    with Seq s' show ?thesis
      by (auto intro: execn.intros)
  qed
next
case (WhileTrue b c s n s' t)
show ?case
proof (cases isFault s')
  case False
  with WhileTrue show ?thesis
    by (auto intro: execn.intros simp add: not-isFault-iff)
next
case True
then obtain f' where s': s'=Fault f' by (auto simp add: isFault-def)
with WhileTrue obtain t=Fault f' and f' ∉ F
  by (force dest: execn-Fault-end)
with WhileTrue s' show ?thesis
  by (auto intro: execn.intros)
qed
qed (auto intro: execn.intros)

```

```

lemma exec-to-exec-strip:
  assumes exec-c:  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  assumes t-not-Fault:  $\neg \text{isFault } t$ 
  shows strip F  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
proof -
  from exec-c obtain n where  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  by (auto simp add: exec-iff-execn)
  from this t-not-Fault
  have strip F  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  by (rule execn-to-execn-strip)
  thus strip F  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  by (rule execn-to-exec)
qed

```

```

lemma exec-to-exec-strip':
  assumes exec-c:  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  assumes t-not-Fault:  $t \notin \text{Fault } F$ 
  shows strip F  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
proof -
  from exec-c obtain n where  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  by (auto simp add: exec-iff-execn)
  from this t-not-Fault
  have strip F  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  by (rule execn-to-execn-strip')
  thus strip F  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 

```

by (rule *execn-to-exec*)
qed

lemma *exec-to-exec-strip-guards-Fault*:
 assumes *exec-c*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \text{Fault } f$
 assumes *f-notin-F*: $f \notin F$
 shows $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle \Rightarrow \text{Fault } f$
proof –
 from *exec-c* **obtain** *n* **where** $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \text{Fault } f$
 by (auto simp add: *exec-iff-execn*)
 from *execn-to-execn-strip-guards-Fault* [OF this - *f-notin-F*]
 have $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle = n \Rightarrow \text{Fault } f$
 by simp
 thus $\Gamma \vdash \langle \text{strip-guards } F \ c, s \rangle \Rightarrow \text{Fault } f$
 by (rule *execn-to-exec*)
 qed

3.8 Lemmas about $c_1 \cap_g c_2$

lemma *inter-guards-execn-Normal-noFault*:
 $\bigwedge c \ c2 \ s \ t \ n. \llbracket (c1 \cap_g c2) = \text{Some } c; \Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t; \neg \text{isFault } t \rrbracket$
 $\Rightarrow \Gamma \vdash \langle c1, \text{Normal } s \rangle = n \Rightarrow t \wedge \Gamma \vdash \langle c2, \text{Normal } s \rangle = n \Rightarrow t$
proof (induct *c1*)
 case *Skip*
 have $(\text{Skip} \cap_g c2) = \text{Some } c$ **by fact**
 then **obtain** *c2*: $c2 = \text{Skip}$ **and** *c*: $c = \text{Skip}$
 by (simp add: *inter-guards-Skip*)
 have $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$ **by fact**
 with *c* **have** $t = \text{Normal } s$
 by (auto elim: *execn-Normal-elim-cases*)
 with *Skip c2*
 show ?case
 by (auto intro: *execn.intros*)
 next
 case (*Basic f*)
 have $(\text{Basic } f \cap_g c2) = \text{Some } c$ **by fact**
 then **obtain** *c2*: $c2 = \text{Basic } f$ **and** *c*: $c = \text{Basic } f$
 by (simp add: *inter-guards-Basic*)
 have $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$ **by fact**
 with *c* **have** $t = \text{Normal } (f \ s)$
 by (auto elim: *execn-Normal-elim-cases*)
 with *Basic c2*
 show ?case
 by (auto intro: *execn.intros*)
 next
 case (*Spec r*)
 have $(\text{Spec } r \cap_g c2) = \text{Some } c$ **by fact**
 then **obtain** *c2*: $c2 = \text{Spec } r$ **and** *c*: $c = \text{Spec } r$
 by (simp add: *inter-guards-Spec*)

```

have  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$  by fact
with  $c$  have  $\Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle = n \Rightarrow t$  by simp
from this Spec c2 show ?case
  by (cases) (auto intro: execn.intros)
next
case (Seq  $a1$   $a2$ )
have noFault:  $\neg \text{isFault } t$  by fact
have (Seq  $a1$   $a2 \cap_g c2$ ) = Some c by fact
then obtain  $b1$   $b2$   $d1$   $d2$  where
   $c2$ :  $c2 = \text{Seq } b1$   $b2$  and
   $d1$ :  $(a1 \cap_g b1) = \text{Some } d1$  and  $d2$ :  $(a2 \cap_g b2) = \text{Some } d2$  and
   $c$ :  $c = \text{Seq } d1$   $d2$ 
  by (auto simp add: inter-guards-Seq)
have  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$  by fact
with  $c$  obtain  $s'$  where
  exec-d1:  $\Gamma \vdash \langle d1, \text{Normal } s \rangle = n \Rightarrow s'$  and
  exec-d2:  $\Gamma \vdash \langle d2, s' \rangle = n \Rightarrow t$ 
  by (auto elim: execn-Normal-elim-cases)
show ?case
proof (cases  $s'$ )
  case (Fault  $f'$ )
  with exec-d2 have  $t = \text{Fault } f'$ 
  by (auto intro: execn-Fault-end)
  with noFault show ?thesis by simp
next
case (Normal  $s''$ )
with  $d1$  exec-d1 Seq.hyps
obtain
   $\Gamma \vdash \langle a1, \text{Normal } s \rangle = n \Rightarrow \text{Normal } s''$  and  $\Gamma \vdash \langle b1, \text{Normal } s \rangle = n \Rightarrow \text{Normal } s''$ 
  by auto
moreover
from Normal d2 exec-d2 noFault Seq.hyps
obtain  $\Gamma \vdash \langle a2, \text{Normal } s'' \rangle = n \Rightarrow t$  and  $\Gamma \vdash \langle b2, \text{Normal } s'' \rangle = n \Rightarrow t$ 
  by auto
ultimately
show ?thesis
  using Normal c2 by (auto intro: execn.intros)
next
case (Abrupt  $s''$ )
with exec-d2 have  $t = \text{Abrupt } s''$ 
  by (auto simp add: execn-Abrupt-end)
moreover
from Abrupt d1 exec-d1 Seq.hyps
obtain  $\Gamma \vdash \langle a1, \text{Normal } s \rangle = n \Rightarrow \text{Abrupt } s''$  and  $\Gamma \vdash \langle b1, \text{Normal } s \rangle = n \Rightarrow \text{Abrupt } s''$ 
  by auto
moreover
obtain
   $\Gamma \vdash \langle a2, \text{Abrupt } s'' \rangle = n \Rightarrow \text{Abrupt } s''$  and  $\Gamma \vdash \langle b2, \text{Abrupt } s'' \rangle = n \Rightarrow \text{Abrupt } s''$ 
  by auto

```

```

    by auto
  ultimately
  show ?thesis
    using Abrupt c2 by (auto intro: execn.intros)
next
case Stuck
with exec-d2 have t=Stuck
  by (auto simp add: execn-Stuck-end)
moreover
from Stuck d1 exec-d1 Seq.hyps
obtain  $\Gamma \vdash \langle a1, Normal\ s \rangle = n \Rightarrow Stuck$  and  $\Gamma \vdash \langle b1, Normal\ s \rangle = n \Rightarrow Stuck$ 
  by auto
moreover
obtain
   $\Gamma \vdash \langle a2, Stuck \rangle = n \Rightarrow Stuck$  and  $\Gamma \vdash \langle b2, Stuck \rangle = n \Rightarrow Stuck$ 
  by auto
ultimately
show ?thesis
  using Stuck c2 by (auto intro: execn.intros)
qed
next
case (Cond b t1 e1)
have noFault:  $\neg isFault\ t$  by fact
have (Cond b t1 e1  $\cap_g$  c2) = Some c by fact
then obtain t2 e2 t3 e3 where
  c2: c2=Cond b t2 e2 and
  t3: (t1  $\cap_g$  t2) = Some t3 and
  e3: (e1  $\cap_g$  e2) = Some e3 and
  c: c=Cond b t3 e3
  by (auto simp add: inter-guards-Cond)
have  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow t$  by fact
with c have  $\Gamma \vdash \langle Cond\ b\ t3\ e3, Normal\ s \rangle = n \Rightarrow t$ 
  by simp
then show ?case
proof (cases)
  assume s-in-b:  $s \in b$ 
  assume  $\Gamma \vdash \langle t3, Normal\ s \rangle = n \Rightarrow t$ 
  with Cond.hyps t3 noFault
  obtain  $\Gamma \vdash \langle t1, Normal\ s \rangle = n \Rightarrow t$   $\Gamma \vdash \langle t2, Normal\ s \rangle = n \Rightarrow t$ 
    by auto
  with s-in-b c2 show ?thesis
    by (auto intro: execn.intros)
next
  assume s-notin-b:  $s \notin b$ 
  assume  $\Gamma \vdash \langle e3, Normal\ s \rangle = n \Rightarrow t$ 
  with Cond.hyps e3 noFault
  obtain  $\Gamma \vdash \langle e1, Normal\ s \rangle = n \Rightarrow t$   $\Gamma \vdash \langle e2, Normal\ s \rangle = n \Rightarrow t$ 
    by auto
  with s-notin-b c2 show ?thesis

```

```

      by (auto intro: execn.intros)
    qed
  next
    case (While b bdy1)
    have noFault:  $\neg \text{isFault } t$  by fact
    have (While b bdy1  $\cap_g$  c2) = Some c by fact
    then obtain bdy2 bdy where
      c2: c2 = While b bdy2 and
      bdy: (bdy1  $\cap_g$  bdy2) = Some bdy and
      c: c = While b bdy
    by (auto simp add: inter-guards-While)
    have exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$  by fact
    {
      fix s t n w w1 w2
      assume exec-w:  $\Gamma \vdash \langle w, \text{Normal } s \rangle = n \Rightarrow t$ 
      assume w: w = While b bdy
      assume noFault:  $\neg \text{isFault } t$ 
      from exec-w w noFault
      have  $\Gamma \vdash \langle \text{While } b \text{ bdy1}, \text{Normal } s \rangle = n \Rightarrow t \wedge$ 
         $\Gamma \vdash \langle \text{While } b \text{ bdy2}, \text{Normal } s \rangle = n \Rightarrow t$ 
      proof (induct)
        prefer 10
        case (WhileTrue s b' bdy' n s' s'')
        have eqs: While b' bdy' = While b bdy by fact
        from WhileTrue have s-in-b:  $s \in b$  by simp
        have noFault-s'':  $\neg \text{isFault } s''$  by fact
        from WhileTrue
        have exec-bdy:  $\Gamma \vdash \langle \text{bdy}, \text{Normal } s \rangle = n \Rightarrow s'$  by simp
        from WhileTrue
        have exec-w:  $\Gamma \vdash \langle \text{While } b \text{ bdy}, s' \rangle = n \Rightarrow s''$  by simp
        show ?case
        proof (cases s')
          case (Fault f)
          with exec-w have s'' = Fault f
            by (auto intro: execn-Fault-end)
          with noFault-s'' show ?thesis by simp
        next
          case (Normal s''')
          with exec-bdy bdy While.hyps
          obtain  $\Gamma \vdash \langle \text{bdy1}, \text{Normal } s \rangle = n \Rightarrow \text{Normal } s'''$ 
             $\Gamma \vdash \langle \text{bdy2}, \text{Normal } s \rangle = n \Rightarrow \text{Normal } s'''$ 
          by auto
          moreover
          from Normal WhileTrue
          obtain
             $\Gamma \vdash \langle \text{While } b \text{ bdy1}, \text{Normal } s''' \rangle = n \Rightarrow s''$ 
             $\Gamma \vdash \langle \text{While } b \text{ bdy2}, \text{Normal } s''' \rangle = n \Rightarrow s''$ 
          by simp
          ultimately show ?thesis

```

```

      using s-in-b Normal
      by (auto intro: execn.intros)
next
case (Abrupt s''')
with exec-bdy bdy While.hyps
obtain  $\Gamma \vdash \langle bdy1, Normal\ s \rangle =n\Rightarrow Abrupt\ s'''$ 
       $\Gamma \vdash \langle bdy2, Normal\ s \rangle =n\Rightarrow Abrupt\ s'''$ 
      by auto
moreover
from Abrupt WhileTrue
obtain
   $\Gamma \vdash \langle While\ b\ bdy1, Abrupt\ s''' \rangle =n\Rightarrow s''$ 
   $\Gamma \vdash \langle While\ b\ bdy2, Abrupt\ s''' \rangle =n\Rightarrow s''$ 
  by simp
ultimately show ?thesis
  using s-in-b Abrupt
  by (auto intro: execn.intros)
next
case Stuck
with exec-bdy bdy While.hyps
obtain  $\Gamma \vdash \langle bdy1, Normal\ s \rangle =n\Rightarrow Stuck$ 
       $\Gamma \vdash \langle bdy2, Normal\ s \rangle =n\Rightarrow Stuck$ 
      by auto
moreover
from Stuck WhileTrue
obtain
   $\Gamma \vdash \langle While\ b\ bdy1, Stuck \rangle =n\Rightarrow s''$ 
   $\Gamma \vdash \langle While\ b\ bdy2, Stuck \rangle =n\Rightarrow s''$ 
  by simp
ultimately show ?thesis
  using s-in-b Stuck
  by (auto intro: execn.intros)
qed
next
case WhileFalse thus ?case by (auto intro: execn.intros)
qed (simp-all)
}
with this [OF exec-c c noFault] c2
show ?case
  by auto
next
case Call thus ?case by (simp add: inter-guards-Call)
next
case (DynCom f1)
have noFault:  $\neg isFault\ t$  by fact
have (DynCom f1  $\cap_g$  c2) = Some c by fact
then obtain f2 f where
  c2: c2=DynCom f2 and
  f-defined:  $\forall s. ((f1\ s) \cap_g (f2\ s)) \neq None$  and

```

```

    c: c=DynCom ( $\lambda s. the ((f1\ s) \cap_g (f2\ s))$ )
    by (auto simp add: inter-guards-DynCom)
  have  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow t$  by fact
  with c have  $\Gamma \vdash \langle DynCom (\lambda s. the ((f1\ s) \cap_g (f2\ s))), Normal\ s \rangle = n \Rightarrow t$  by simp
  then show ?case
  proof (cases)
    assume exec-f:  $\Gamma \vdash \langle the (f1\ s \cap_g f2\ s), Normal\ s \rangle = n \Rightarrow t$ 
    from f-defined obtain f where  $(f1\ s \cap_g f2\ s) = Some\ f$ 
    by auto
    with DynCom.hyps this exec-f c2 noFault
    show ?thesis
    using execn.DynCom by fastforce
  qed
next
  case Guard thus ?case
  by (fastforce elim: execn-Normal-elim-cases intro: execn.intros
    simp add: inter-guards-Guard)
next
  case Throw thus ?case
  by (fastforce elim: execn-Normal-elim-cases
    simp add: inter-guards-Throw)
next
  case (Catch a1 a2)
  have noFault:  $\neg isFault\ t$  by fact
  have  $(Catch\ a1\ a2 \cap_g c2) = Some\ c$  by fact
  then obtain b1 b2 d1 d2 where
    c2:  $c2 = Catch\ b1\ b2$  and
    d1:  $(a1 \cap_g b1) = Some\ d1$  and d2:  $(a2 \cap_g b2) = Some\ d2$  and
    c:  $c = Catch\ d1\ d2$ 
  by (auto simp add: inter-guards-Catch)
  have  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow t$  by fact
  with c have  $\Gamma \vdash \langle Catch\ d1\ d2, Normal\ s \rangle = n \Rightarrow t$  by simp
  then show ?case
  proof (cases)
    fix s'
    assume  $\Gamma \vdash \langle d1, Normal\ s \rangle = n \Rightarrow Abrupt\ s'$ 
    with d1 Catch.hyps
    obtain  $\Gamma \vdash \langle a1, Normal\ s \rangle = n \Rightarrow Abrupt\ s'$  and  $\Gamma \vdash \langle b1, Normal\ s \rangle = n \Rightarrow Abrupt\ s'$ 
    by auto
    moreover
    assume  $\Gamma \vdash \langle d2, Normal\ s \rangle = n \Rightarrow t$ 
    with d2 Catch.hyps noFault
    obtain  $\Gamma \vdash \langle a2, Normal\ s \rangle = n \Rightarrow t$  and  $\Gamma \vdash \langle b2, Normal\ s \rangle = n \Rightarrow t$ 
    by auto
    ultimately
    show ?thesis
    using c2 by (auto intro: execn.intros)
  next

```



```

    assume  $\neg isAbr\ t$ 
    moreover
    assume  $\Gamma \vdash \langle d1, Normal\ s \rangle = n \Rightarrow t$ 
    with  $d1\ Catch.hyps\ noFault$ 
    obtain  $\Gamma \vdash \langle a1, Normal\ s \rangle = n \Rightarrow t$  and  $\Gamma \vdash \langle b1, Normal\ s \rangle = n \Rightarrow t$ 
      by auto
    ultimately
    show ?thesis
      using  $c2$  by (auto intro: execn.intros)
  qed
qed

```

```

lemma inter-guards-execn-noFault:
  assumes  $c: (c1 \sqcap_g c2) = Some\ c$ 
  assumes  $exec\text{-}c: \Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  assumes  $noFault: \neg isFault\ t$ 
  shows  $\Gamma \vdash \langle c1, s \rangle = n \Rightarrow t \wedge \Gamma \vdash \langle c2, s \rangle = n \Rightarrow t$ 
proof (cases  $s$ )
  case (Fault f)
    with  $exec\text{-}c$  have  $t = Fault\ f$ 
      by (auto intro: execn-Fault-end)
    with  $noFault$  show ?thesis
      by simp
  next
    case (Abrupt s')
    with  $exec\text{-}c$  have  $t = Abrupt\ s'$ 
      by (simp add: execn-Abrupt-end)
    with Abrupt show ?thesis by auto
  next
    case (Stuck)
    with  $exec\text{-}c$  have  $t = Stuck$ 
      by (simp add: execn-Stuck-end)
    with Stuck show ?thesis by auto
  next
    case (Normal s')
    with  $exec\text{-}c\ noFault\ inter\text{-}guards\text{-}execn\text{-}Normal\text{-}noFault\ [OF\ c]$ 
    show ?thesis
      by blast
qed

```

```

lemma inter-guards-exec-noFault:
  assumes  $c: (c1 \sqcap_g c2) = Some\ c$ 
  assumes  $exec\text{-}c: \Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  assumes  $noFault: \neg isFault\ t$ 
  shows  $\Gamma \vdash \langle c1, s \rangle \Rightarrow t \wedge \Gamma \vdash \langle c2, s \rangle \Rightarrow t$ 
proof -
  from  $exec\text{-}c$  obtain  $n$  where  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
    by (auto simp add: exec-iff-execn)

```

```

from  $c$  this noFault
have  $\Gamma \vdash \langle c1, s \rangle = n \Rightarrow t \wedge \Gamma \vdash \langle c2, s \rangle = n \Rightarrow t$ 
  by (rule inter-guards-execn-noFault)
thus ?thesis
  by (auto intro: execn-to-exec)
qed

```

```

lemma inter-guards-execn-Normal-Fault:
 $\bigwedge c\ c2\ s\ n. \llbracket (c1 \cap_g c2) = \text{Some } c; \Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f \rrbracket$ 
 $\implies (\Gamma \vdash \langle c1, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f \vee \Gamma \vdash \langle c2, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f)$ 
proof (induct c1)
  case Skip thus ?case by (fastforce simp add: inter-guards-Skip)
next
  case (Basic f) thus ?case by (fastforce simp add: inter-guards-Basic)
next
  case (Spec r) thus ?case by (fastforce simp add: inter-guards-Spec)
next
  case (Seq a1 a2)
  have  $(\text{Seq } a1\ a2 \cap_g c2) = \text{Some } c$  by fact
  then obtain  $b1\ b2\ d1\ d2$  where
     $c2 = \text{Seq } b1\ b2$  and
     $d1: (a1 \cap_g b1) = \text{Some } d1$  and  $d2: (a2 \cap_g b2) = \text{Some } d2$  and
     $c = \text{Seq } d1\ d2$ 
  by (auto simp add: inter-guards-Seq)
  have  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$  by fact
  with  $c$  obtain  $s'$  where
     $\text{exec-d1}: \Gamma \vdash \langle d1, \text{Normal } s \rangle = n \Rightarrow s'$  and
     $\text{exec-d2}: \Gamma \vdash \langle d2, s' \rangle = n \Rightarrow \text{Fault } f$ 
  by (auto elim: execn-Normal-elim-cases)
  show ?case
  proof (cases s')
    case (Fault f')
    with  $\text{exec-d2}$  have  $f' = f$ 
    by (auto dest: execn-Fault-end)
    with  $\text{Fault } d1\ \text{exec-d1}$ 
    have  $\Gamma \vdash \langle a1, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f \vee \Gamma \vdash \langle b1, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
    by (auto dest: Seq.hyps)
    thus ?thesis
  proof (cases rule: disjE [consumes 1])
    assume  $\Gamma \vdash \langle a1, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
    hence  $\Gamma \vdash \langle \text{Seq } a1\ a2, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
    by (auto intro: execn.intros)
    thus ?thesis
    by simp
  next
    assume  $\Gamma \vdash \langle b1, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
    hence  $\Gamma \vdash \langle \text{Seq } b1\ b2, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
    by (auto intro: execn.intros)

```

```

    with c2 show ?thesis
      by simp
  qed
next
  case Abrupt with exec-d2 show ?thesis by (auto dest: execn-Abrupt-end)
next
  case Stuck with exec-d2 show ?thesis by (auto dest: execn-Stuck-end)
next
  case (Normal s'')
  with inter-guards-execn-noFault [OF d1 exec-d1] obtain
    exec-a1:  $\Gamma \vdash \langle a1, Normal\ s \rangle = n \Rightarrow Normal\ s''$  and
    exec-b1:  $\Gamma \vdash \langle b1, Normal\ s \rangle = n \Rightarrow Normal\ s''$ 
    by simp
  moreover from d2 exec-d2 Normal
  have  $\Gamma \vdash \langle a2, Normal\ s'' \rangle = n \Rightarrow Fault\ f \vee \Gamma \vdash \langle b2, Normal\ s'' \rangle = n \Rightarrow Fault\ f$ 
    by (auto dest: Seq.hyps)
  ultimately show ?thesis
    using c2 by (auto intro: execn.intros)
  qed
next
  case (Cond b t1 e1)
  have (Cond b t1 e1  $\cap_g$  c2) = Some c by fact
  then obtain t2 e2 t e where
    c2: c2 = Cond b t2 e2 and
    t: (t1  $\cap_g$  t2) = Some t and
    e: (e1  $\cap_g$  e2) = Some e and
    c: c = Cond b t e
    by (auto simp add: inter-guards-Cond)
  have  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow Fault\ f$  by fact
  with c have  $\Gamma \vdash \langle Cond\ b\ t\ e, Normal\ s \rangle = n \Rightarrow Fault\ f$  by simp
  thus ?case
  proof (cases)
    assume s  $\in$  b
    moreover assume  $\Gamma \vdash \langle t, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
    with t have  $\Gamma \vdash \langle t1, Normal\ s \rangle = n \Rightarrow Fault\ f \vee \Gamma \vdash \langle t2, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
      by (auto dest: Cond.hyps)
    ultimately show ?thesis using c2 c by (fastforce intro: execn.intros)
  next
    assume s  $\notin$  b
    moreover assume  $\Gamma \vdash \langle e, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
    with e have  $\Gamma \vdash \langle e1, Normal\ s \rangle = n \Rightarrow Fault\ f \vee \Gamma \vdash \langle e2, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
      by (auto dest: Cond.hyps)
    ultimately show ?thesis using c2 c by (fastforce intro: execn.intros)
  qed
next
  case (While b bdy1)
  have (While b bdy1  $\cap_g$  c2) = Some c by fact
  then obtain bdy2 bdy where
    c2: c2 = While b bdy2 and

```

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    bdy: (bdy1  $\cap_g$  bdy2) = Some bdy and
    c: c=While b bdy
  by (auto simp add: inter-guards-While)
have exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$  by fact
{
  fix s t n w w1 w2
  assume exec-w:  $\Gamma \vdash \langle w, \text{Normal } s \rangle = n \Rightarrow t$ 
  assume w: w=While b bdy
  assume Fault: t=Fault f
  from exec-w w Fault
  have  $\Gamma \vdash \langle \text{While } b \text{ bdy1}, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f \vee$ 
     $\Gamma \vdash \langle \text{While } b \text{ bdy2}, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
  proof (induct)
    case (WhileTrue s b' bdy' n s' s'')
    have eqs: While b' bdy' = While b bdy by fact
    from WhileTrue have s-in-b:  $s \in b$  by simp
    have Fault-s'': s''=Fault f by fact
    from WhileTrue
    have exec-bdy:  $\Gamma \vdash \langle \text{bdy}, \text{Normal } s \rangle = n \Rightarrow s'$  by simp
    from WhileTrue
    have exec-w:  $\Gamma \vdash \langle \text{While } b \text{ bdy}, s' \rangle = n \Rightarrow s''$  by simp
    show ?case
    proof (cases s')
      case (Fault f')
      with exec-w Fault-s'' have f'=f
        by (auto dest: execn-Fault-end)
      with Fault exec-bdy bdy While.hyps
      have  $\Gamma \vdash \langle \text{bdy1}, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f \vee \Gamma \vdash \langle \text{bdy2}, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
        by auto
      with s-in-b show ?thesis
        by (fastforce intro: execn.intros)
    next
      case (Normal s''')
      with inter-guards-execn-noFault [OF bdy exec-bdy]
      obtain  $\Gamma \vdash \langle \text{bdy1}, \text{Normal } s \rangle = n \Rightarrow \text{Normal } s'''$ 
         $\Gamma \vdash \langle \text{bdy2}, \text{Normal } s \rangle = n \Rightarrow \text{Normal } s'''$ 
        by auto
      moreover
      from Normal WhileTrue
      have  $\Gamma \vdash \langle \text{While } b \text{ bdy1}, \text{Normal } s''' \rangle = n \Rightarrow \text{Fault } f \vee$ 
         $\Gamma \vdash \langle \text{While } b \text{ bdy2}, \text{Normal } s''' \rangle = n \Rightarrow \text{Fault } f$ 
        by simp
      ultimately show ?thesis
        using s-in-b by (fastforce intro: execn.intros)
    next
      case (Abrupt s''')
      with exec-w Fault-s'' show ?thesis by (fastforce dest: execn-Abrupt-end)
    next
      case Stuck

```

```

      with exec-w Fault-s'' show ?thesis by (fastforce dest: execn-Stuck-end)
    qed
  next
    case WhileFalse thus ?case by (auto intro: execn.intros)
  qed (simp-all)
}
with this [OF exec-c c] c2
show ?case
  by auto
next
  case Call thus ?case by (fastforce simp add: inter-guards-Call)
next
  case (DynCom f1)
  have (DynCom f1  $\cap_g$  c2) = Some c by fact
  then obtain f2 where
    c2: c2=DynCom f2 and
    F-defined:  $\forall s. ((f1\ s) \cap_g (f2\ s)) \neq \text{None}$  and
    c: c=DynCom ( $\lambda s. \text{the } ((f1\ s) \cap_g (f2\ s))$ )
    by (auto simp add: inter-guards-DynCom)
  have  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$  by fact
  with c have  $\Gamma \vdash \langle \text{DynCom } (\lambda s. \text{the } ((f1\ s) \cap_g (f2\ s))), \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
by simp
  then show ?case
  proof (cases)
    assume exec-F:  $\Gamma \vdash \langle \text{the } (f1\ s \cap_g f2\ s), \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
    from F-defined obtain F where  $(f1\ s \cap_g f2\ s) = \text{Some } F$ 
    by auto
    with DynCom.hyps this exec-F c2
    show ?thesis
    by (fastforce intro: execn.intros)
  qed
next
  case (Guard m g1 bdy1)
  have (Guard m g1 bdy1  $\cap_g$  c2) = Some c by fact
  then obtain g2 bdy2 where
    c2: c2=Guard m g2 bdy2 and
    bdy: (bdy1  $\cap_g$  bdy2) = Some bdy and
    c: c=Guard m (g1  $\cap$  g2) bdy
    by (auto simp add: inter-guards-Guard)
  have  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$  by fact
  with c have  $\Gamma \vdash \langle \text{Guard } m\ (g1 \cap g2)\ bdy, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
    by simp
  thus ?case
  proof (cases)
    assume f-m: Fault f = Fault m
    assume s  $\notin g1 \cap g2$ 
    hence s  $\notin g1 \vee s \notin g2$ 
    by blast
    with c2 f-m show ?thesis

```

```

    by (auto intro: execn.intros)
next
  assume  $s \in g1 \cap g2$ 
  moreover
  assume  $\Gamma \vdash \langle bdy, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
  with bdy have  $\Gamma \vdash \langle bdy1, Normal\ s \rangle = n \Rightarrow Fault\ f \vee \Gamma \vdash \langle bdy2, Normal\ s \rangle = n \Rightarrow$ 
Fault f
    by (rule Guard.hyps)
  ultimately show ?thesis
    using c2
    by (auto intro: execn.intros)
qed
next
  case Throw thus ?case by (fastforce simp add: inter-guards-Throw)
next
  case (Catch a1 a2)
  have  $(Catch\ a1\ a2 \cap_g c2) = Some\ c$  by fact
  then obtain b1 b2 d1 d2 where
    c2:  $c2 = Catch\ b1\ b2$  and
    d1:  $(a1 \cap_g b1) = Some\ d1$  and d2:  $(a2 \cap_g b2) = Some\ d2$  and
    c:  $c = Catch\ d1\ d2$ 
  by (auto simp add: inter-guards-Catch)
  have  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow Fault\ f$  by fact
  with c have  $\Gamma \vdash \langle Catch\ d1\ d2, Normal\ s \rangle = n \Rightarrow Fault\ f$  by simp
  thus ?case
  proof (cases)
    fix s'
    assume  $\Gamma \vdash \langle d1, Normal\ s \rangle = n \Rightarrow Abrupt\ s'$ 
    from inter-guards-execn-noFault [OF d1 this] obtain
      exec-a1:  $\Gamma \vdash \langle a1, Normal\ s \rangle = n \Rightarrow Abrupt\ s'$  and
      exec-b1:  $\Gamma \vdash \langle b1, Normal\ s \rangle = n \Rightarrow Abrupt\ s'$ 
    by simp
    moreover assume  $\Gamma \vdash \langle d2, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
    with d2
    have  $\Gamma \vdash \langle a2, Normal\ s \rangle = n \Rightarrow Fault\ f \vee \Gamma \vdash \langle b2, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
      by (auto dest: Catch.hyps)
    ultimately show ?thesis
      using c2 by (fastforce intro: execn.intros)
  next
    assume  $\Gamma \vdash \langle d1, Normal\ s \rangle = n \Rightarrow Fault\ f$ 
    with d1 have  $\Gamma \vdash \langle a1, Normal\ s \rangle = n \Rightarrow Fault\ f \vee \Gamma \vdash \langle b1, Normal\ s \rangle = n \Rightarrow Fault$ 
f
      by (auto dest: Catch.hyps)
    with c2 show ?thesis
      by (fastforce intro: execn.intros)
  qed
qed

```

```

lemma inter-guards-execn-Fault:
  assumes  $c: (c1 \cap_g c2) = \text{Some } c$ 
  assumes  $\text{exec-c}: \Gamma \vdash \langle c, s \rangle = n \Rightarrow \text{Fault } f$ 
  shows  $\Gamma \vdash \langle c1, s \rangle = n \Rightarrow \text{Fault } f \vee \Gamma \vdash \langle c2, s \rangle = n \Rightarrow \text{Fault } f$ 
proof (cases  $s$ )
  case ( $\text{Fault } f$ )
    with  $\text{exec-c}$  show ?thesis
    by (auto dest: execn-Fault-end)
  next
    case ( $\text{Abrupt } s'$ )
    with  $\text{exec-c}$  show ?thesis
    by (fastforce dest: execn-Abrupt-end)
  next
    case Stuck
    with  $\text{exec-c}$  show ?thesis
    by (fastforce dest: execn-Stuck-end)
  next
    case ( $\text{Normal } s'$ )
    with  $\text{exec-c}$  inter-guards-execn-Normal-Fault [OF  $c$ ]
    show ?thesis
    by blast
qed

```

```

lemma inter-guards-exec-Fault:
  assumes  $c: (c1 \cap_g c2) = \text{Some } c$ 
  assumes  $\text{exec-c}: \Gamma \vdash \langle c, s \rangle \Rightarrow \text{Fault } f$ 
  shows  $\Gamma \vdash \langle c1, s \rangle \Rightarrow \text{Fault } f \vee \Gamma \vdash \langle c2, s \rangle \Rightarrow \text{Fault } f$ 
proof –
  from  $\text{exec-c}$  obtain  $n$  where  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow \text{Fault } f$ 
  by (auto simp add: exec-iff-execn)
  from  $c$  this
  have  $\Gamma \vdash \langle c1, s \rangle = n \Rightarrow \text{Fault } f \vee \Gamma \vdash \langle c2, s \rangle = n \Rightarrow \text{Fault } f$ 
  by (rule inter-guards-execn-Fault)
  thus ?thesis
  by (auto intro: execn-to-exec)
qed

```

3.9 Restriction of Procedure Environment

```

lemma restrict-SomeD:  $(m|_A) x = \text{Some } y \Longrightarrow m x = \text{Some } y$ 
  by (auto simp add: restrict-map-def split: if-split-asm)

```

```

lemma restrict-dom-same [simp]:  $m|_{\text{dom } m} = m$ 
  apply (rule ext)
  apply (clarsimp simp add: restrict-map-def)
  apply (simp only: not-None-eq [symmetric])
  apply rule
  apply (drule sym)

```

```

apply blast
done

lemma restrict-in-dom:  $x \in A \implies (m|_A) x = m x$ 
by (auto simp add: restrict-map-def)

lemma exec-restrict-to-exec:
  assumes exec-restrict:  $\Gamma|_A \vdash \langle c, s \rangle \Rightarrow t$ 
  assumes notStuck:  $t \neq \text{Stuck}$ 
  shows  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
using exec-restrict notStuck
by (induct) (auto intro: exec.intros dest: restrict-SomeD Stuck-end)

lemma execn-restrict-to-execn:
  assumes exec-restrict:  $\Gamma|_A \vdash \langle c, s \rangle = n \Rightarrow t$ 
  assumes notStuck:  $t \neq \text{Stuck}$ 
  shows  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
using exec-restrict notStuck
by (induct) (auto intro: execn.intros dest: restrict-SomeD execn-Stuck-end)

lemma restrict-NoneD:  $m x = \text{None} \implies (m|_A) x = \text{None}$ 
by (auto simp add: restrict-map-def split: if-split-asm)

lemma execn-to-execn-restrict:
  assumes execn:  $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$ 
  shows  $\exists t'. \Gamma|_P \vdash \langle c, s \rangle = n \Rightarrow t' \wedge (t = \text{Stuck} \longrightarrow t' = \text{Stuck}) \wedge$ 
     $(\forall f. t = \text{Fault } f \longrightarrow t' \in \{\text{Fault } f, \text{Stuck}\}) \wedge (t' \neq \text{Stuck} \longrightarrow t' = t)$ 
using execn
proof (induct)
  case Skip show ?case by (blast intro: execn.Skip)
next
  case Guard thus ?case by (auto intro: execn.Guard)
next
  case GuardFault thus ?case by (auto intro: execn.GuardFault)
next
  case FaultProp thus ?case by (auto intro: execn.FaultProp)
next
  case Basic thus ?case by (auto intro: execn.Basic)
next
  case Spec thus ?case by (auto intro: execn.Spec)
next
  case SpecStuck thus ?case by (auto intro: execn.SpecStuck)
next
  case Seq thus ?case by (metis insertCI execn.Seq StuckProp)
next
  case CondTrue thus ?case by (auto intro: execn.CondTrue)
next
  case CondFalse thus ?case by (auto intro: execn.CondFalse)

```



```

next
  case WhileTrue thus ?case by (metis insertCI execn.WhileTrue StuckProp)
next
  case WhileFalse thus ?case by (auto intro: execn.WhileFalse)
next
  case (Call p bdy n s s')
  have  $\Gamma \ p = \text{Some } bdy$  by fact
  show ?case
  proof (cases  $p \in P$ )
    case True
    with Call have  $(\Gamma|_P) \ p = \text{Some } bdy$ 
    by (simp)
    with Call show ?thesis
    by (auto intro: execn.intros)
  next
    case False
    hence  $(\Gamma|_P) \ p = \text{None}$  by simp
    thus ?thesis
    by (auto intro: execn.CallUndefined)
  qed
next
  case (CallUndefined p n s)
  have  $\Gamma \ p = \text{None}$  by fact
  hence  $(\Gamma|_P) \ p = \text{None}$  by (rule restrict-NoneD)
  thus ?case by (auto intro: execn.CallUndefined)
next
  case StuckProp thus ?case by (auto intro: execn.StuckProp)
next
  case DynCom thus ?case by (auto intro: execn.DynCom)
next
  case Throw thus ?case by (auto intro: execn.Throw)
next
  case AbruptProp thus ?case by (auto intro: execn.AbruptProp)
next
  case (CatchMatch c1 s n s' c2 s'')
  from CatchMatch.hyps
  obtain  $t' \ t''$  where
    exec-res-c1:  $\Gamma|_P \vdash \langle c1, \text{Normal } s \rangle =n \Rightarrow t'$  and
    t'-notStuck:  $t' \neq \text{Stuck} \longrightarrow t' = \text{Abrupt } s'$  and
    exec-res-c2:  $\Gamma|_P \vdash \langle c2, \text{Normal } s' \rangle =n \Rightarrow t''$  and
    s''-Stuck:  $s'' = \text{Stuck} \longrightarrow t'' = \text{Stuck}$  and
    s''-Fault:  $\forall f. s'' = \text{Fault } f \longrightarrow t'' \in \{\text{Fault } f, \text{Stuck}\}$  and
    t''-notStuck:  $t'' \neq \text{Stuck} \longrightarrow t'' = s''$ 
  by auto
  show ?case
  proof (cases  $t' = \text{Stuck}$ )
    case True
    with exec-res-c1
    have  $\Gamma|_P \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle =n \Rightarrow \text{Stuck}$ 

```

```

    by (auto intro: execn.CatchMiss)
  thus ?thesis
    by auto
next
case False
with  $t'$ -notStuck have  $t' = \text{Abrupt } s'$ 
  by simp
with  $\text{exec-res-c1}$   $\text{exec-res-c2}$ 
have  $\Gamma \vdash_P \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle =_n \Rightarrow t''$ 
  by (auto intro: execn.CatchMatch)
with  $s''$ -Stuck  $s''$ -Fault  $t''$ -notStuck
show ?thesis
  by blast
qed
next
case (CatchMiss  $c1 \ s \ n \ w \ c2$ )
have  $\text{exec-c1}: \Gamma \vdash \langle c1, \text{Normal } s \rangle =_n \Rightarrow w$  by fact
from  $\text{CatchMiss.hyps}$  obtain  $w'$  where
   $\text{exec-c1}': \Gamma \vdash_P \langle c1, \text{Normal } s \rangle =_n \Rightarrow w'$  and
   $w$ -Stuck:  $w = \text{Stuck} \longrightarrow w' = \text{Stuck}$  and
   $w$ -Fault:  $\forall f. w = \text{Fault } f \longrightarrow w' \in \{\text{Fault } f, \text{Stuck}\}$  and
   $w'$ -noStuck:  $w' \neq \text{Stuck} \longrightarrow w' = w$ 
  by auto
have  $\text{noAbr-w}: \neg \text{isAbr } w$  by fact
show ?case
proof (cases  $w'$ )
case (Normal  $s'$ )
with  $w'$ -noStuck have  $w' = w$ 
  by simp
with  $\text{exec-c1}'$  Normal  $w$ -Stuck  $w$ -Fault  $w'$ -noStuck
show ?thesis
  by (fastforce intro: execn.CatchMiss)
next
case (Abrupt  $s'$ )
with  $w'$ -noStuck have  $w' = w$ 
  by simp
with  $\text{noAbr-w}$  Abrupt show ?thesis by simp
next
case (Fault  $f$ )
with  $w'$ -noStuck have  $w' = w$ 
  by simp
with  $\text{exec-c1}'$  Fault  $w$ -Stuck  $w$ -Fault  $w'$ -noStuck
show ?thesis
  by (fastforce intro: execn.CatchMiss)
next
case Stuck
with  $\text{exec-c1}'$   $w$ -Stuck  $w$ -Fault  $w'$ -noStuck
show ?thesis
  by (fastforce intro: execn.CatchMiss)

```

qed
qed

lemma *exec-to-exec-restrict*:

assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$

shows $\exists t'. \Gamma \vdash_P \langle c, s \rangle \Rightarrow t' \wedge (t = \text{Stuck} \longrightarrow t' = \text{Stuck}) \wedge$
 $(\forall f. t = \text{Fault } f \longrightarrow t' \in \{\text{Fault } f, \text{Stuck}\}) \wedge (t' \neq \text{Stuck} \longrightarrow t' = t)$

proof –

from *exec* **obtain** *n* **where**

execn-strip: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$

by (*auto simp add: exec-iff-execn*)

from *execn-to-execn-restrict* [**where** $P = P, OF$ *this*]

obtain *t'* **where**

$\Gamma \vdash_P \langle c, s \rangle = n \Rightarrow t'$

$t = \text{Stuck} \longrightarrow t' = \text{Stuck} \ \forall f. t = \text{Fault } f \longrightarrow t' \in \{\text{Fault } f, \text{Stuck}\} \ t' \neq \text{Stuck} \longrightarrow t' = t$

by *blast*

thus *?thesis*

by (*blast intro: execn-to-exec*)

qed

lemma *notStuck-GuardD*:

$\llbracket \Gamma \vdash \langle \text{Guard } m \ g \ c, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}; s \in g \rrbracket \Longrightarrow \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}$

by (*auto simp add: final-notin-def dest: exec.Guard*)

lemma *notStuck-SeqD1*:

$\llbracket \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\} \rrbracket \Longrightarrow \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}$

by (*auto simp add: final-notin-def dest: exec.Seq*)

lemma *notStuck-SeqD2*:

$\llbracket \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}; \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s' \rrbracket \Longrightarrow \Gamma \vdash \langle c2, s' \rangle \Rightarrow \notin \{\text{Stuck}\}$

by (*auto simp add: final-notin-def dest: exec.Seq*)

lemma *notStuck-SeqD*:

$\llbracket \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\} \rrbracket \Longrightarrow$

$\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\} \wedge (\forall s'. \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \langle c2, s' \rangle \Rightarrow \notin \{\text{Stuck}\})$

by (*auto simp add: final-notin-def dest: exec.Seq*)

lemma *notStuck-CondTrueD*:

$\llbracket \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}; s \in b \rrbracket \Longrightarrow \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}$

by (*auto simp add: final-notin-def dest: exec.CondTrue*)

lemma *notStuck-CondFalseD*:

$\llbracket \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}; s \notin b \rrbracket \Longrightarrow \Gamma \vdash \langle c2, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}$

by (*auto simp add: final-notin-def dest: exec.CondFalse*)

lemma *notStuck-WhileTrueD1*:

$\llbracket \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}; s \in b \rrbracket$
 $\implies \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$
by (*auto simp add: final-notin-def dest: exec.WhileTrue*)

lemma *notStuck-WhileTrueD2*:

$\llbracket \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}; \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s'; s \in b \rrbracket$
 $\implies \Gamma \vdash \langle \text{While } b \ c, s' \rangle \Rightarrow \notin \{ \text{Stuck} \}$
by (*auto simp add: final-notin-def dest: exec.WhileTrue*)

lemma *notStuck-CallD*:

$\llbracket \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}; \Gamma \ p = \text{Some } bdy \rrbracket$
 $\implies \Gamma \vdash \langle bdy, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$
by (*auto simp add: final-notin-def dest: exec.Call*)

lemma *notStuck-CallDefinedD*:

$\llbracket \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \} \rrbracket$
 $\implies \Gamma \ p \neq \text{None}$
by (*cases* $\Gamma \ p$)
(auto simp add: final-notin-def dest: exec.CallUndefined)

lemma *notStuck-DynComD*:

$\llbracket \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \} \rrbracket$
 $\implies \Gamma \vdash \langle c \ s, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$
by (*auto simp add: final-notin-def dest: exec.DynCom*)

lemma *notStuck-CatchD1*:

$\llbracket \Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \} \rrbracket \implies \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$
by (*auto simp add: final-notin-def dest: exec.CatchMatch exec.CatchMiss*)

lemma *notStuck-CatchD2*:

$\llbracket \Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}; \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s' \rrbracket$
 $\implies \Gamma \vdash \langle c2, \text{Normal } s' \rangle \Rightarrow \notin \{ \text{Stuck} \}$
by (*auto simp add: final-notin-def dest: exec.CatchMatch*)

3.10 Miscellaneous

lemma *execn-noguards-no-Fault*:

assumes *execn*: $\Gamma \vdash \langle c, s \rangle = n \Rightarrow t$
assumes *noguards-c*: *noguards* c
assumes *noguards- Γ* : $\forall p \in \text{dom } \Gamma. \text{ noguards } (\text{the } (\Gamma \ p))$
assumes *s-no-Fault*: $\neg \text{isFault } s$
shows $\neg \text{isFault } t$
using *execn noguards-c s-no-Fault*
proof (*induct*)
case (*Call* $p \ bdy \ n \ s \ t$) **with** *noguards- Γ* **show** *?case*
apply $-$
apply (*drule bspec [where $x=p$]*)
apply *auto*

```

    done
  qed (auto)

lemma exec-noguards-no-Fault:
  assumes exec:  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  assumes noguards-c: noguards c
  assumes noguards- $\Gamma$ :  $\forall p \in \text{dom } \Gamma. \text{noguards } (\text{the } (\Gamma \ p))$ 
  assumes s-no-Fault:  $\neg \text{isFault } s$ 
  shows  $\neg \text{isFault } t$ 
  using exec noguards-c s-no-Fault
  proof (induct)
    case (Call p bdy s t) with noguards- $\Gamma$  show ?case
    apply -
    apply (drule bspec [where x=p])
    apply auto
    done
  qed auto

lemma execn-nothrows-no-Abrupt:
  assumes execn:  $\Gamma \vdash \langle c, s \rangle =n \Rightarrow t$ 
  assumes nothrows-c: nothrows c
  assumes nothrows- $\Gamma$ :  $\forall p \in \text{dom } \Gamma. \text{nothrows } (\text{the } (\Gamma \ p))$ 
  assumes s-no-Abrupt:  $\neg(\text{isAbr } s)$ 
  shows  $\neg(\text{isAbr } t)$ 
  using execn nothrows-c s-no-Abrupt
  proof (induct)
    case (Call p bdy n s t) with nothrows- $\Gamma$  show ?case
    apply -
    apply (drule bspec [where x=p])
    apply auto
    done
  qed (auto)

lemma exec-nothrows-no-Abrupt:
  assumes exec:  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  assumes nothrows-c: nothrows c
  assumes nothrows- $\Gamma$ :  $\forall p \in \text{dom } \Gamma. \text{nothrows } (\text{the } (\Gamma \ p))$ 
  assumes s-no-Abrupt:  $\neg(\text{isAbr } s)$ 
  shows  $\neg(\text{isAbr } t)$ 
  using exec nothrows-c s-no-Abrupt
  proof (induct)
    case (Call p bdy s t) with nothrows- $\Gamma$  show ?case
    apply -
    apply (drule bspec [where x=p])
    apply auto
    done
  qed (auto)

end

```

4 Hoare Logic for Partial Correctness

theory *HoarePartialDef* **imports** *Semantic* **begin**

type-synonym (s, p) *quadruple* = $(s \text{ assn} \times p \times s \text{ assn} \times s \text{ assn})$

4.1 Validity of Hoare Tuples: $\Gamma, \Theta \models_F P \ c \ Q, A$

definition

valid :: $[(s, p, f) \text{ body}, f \text{ set}, s \text{ assn}, (s, p, f) \text{ com}, s \text{ assn}, s \text{ assn}] \Rightarrow \text{bool}$
 $(\models_F / - / - - -, [61, 60, 1000, 20, 1000, 1000] \ 60)$

where

$\Gamma \models_F P \ c \ Q, A \equiv \forall s \ t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \longrightarrow s \in \text{Normal} \ ' P \longrightarrow t \notin \text{Fault} \ ' F$
 $\longrightarrow t \in \text{Normal} \ ' Q \cup \text{Abrupt} \ ' A$

definition

cvalid ::
 $[(s, p, f) \text{ body}, (s, p) \text{ quadruple set}, f \text{ set},$
 $s \text{ assn}, (s, p, f) \text{ com}, s \text{ assn}, s \text{ assn}] \Rightarrow \text{bool}$
 $(\models_F / - / - - -, [61, 60, 60, 1000, 20, 1000, 1000] \ 60)$

where

$\Gamma, \Theta \models_F P \ c \ Q, A \equiv (\forall (P, p, Q, A) \in \Theta. \Gamma \models_F P \ (\text{Call } p) \ Q, A) \longrightarrow \Gamma \models_F P \ c \ Q, A$

definition

nvalid :: $[(s, p, f) \text{ body}, \text{nat}, f \text{ set},$
 $s \text{ assn}, (s, p, f) \text{ com}, s \text{ assn}, s \text{ assn}] \Rightarrow \text{bool}$
 $(\models_F / - / - - -, [61, 60, 60, 1000, 20, 1000, 1000] \ 60)$

where

$\Gamma \models_n P \ c \ Q, A \equiv \forall s \ t. \Gamma \vdash \langle c, s \rangle =_n t \longrightarrow s \in \text{Normal} \ ' P \longrightarrow t \notin \text{Fault} \ ' F$
 $\longrightarrow t \in \text{Normal} \ ' Q \cup \text{Abrupt} \ ' A$

definition

cnvalid ::
 $[(s, p, f) \text{ body}, (s, p) \text{ quadruple set}, \text{nat}, f \text{ set},$
 $s \text{ assn}, (s, p, f) \text{ com}, s \text{ assn}, s \text{ assn}] \Rightarrow \text{bool}$
 $(\models_F / - / - - -, [61, 60, 60, 60, 1000, 20, 1000, 1000] \ 60)$

where

$\Gamma, \Theta \models_n P \ c \ Q, A \equiv (\forall (P, p, Q, A) \in \Theta. \Gamma \models_n P \ (\text{Call } p) \ Q, A) \longrightarrow \Gamma \models_n P \ c \ Q, A$

notation (*ASCII*)

valid $(\models_F / - / - - -, [61, 60, 1000, 20, 1000, 1000] \ 60)$ **and**
cvalid $(\models_F / - / - - -, [61, 60, 60, 1000, 20, 1000, 1000] \ 60)$ **and**
nvalid $(\models_n / - / - - -, [61, 60, 60, 1000, 20, 1000, 1000] \ 60)$ **and**

cnvalid $(-,|=-:'/-/- - -,- [61,60,60,60,1000, 20, 1000,1000] 60)$

4.2 Properties of Validity

lemma *valid-iff-nvalid*: $\Gamma \models_F P \text{ c } Q, A = (\forall n. \Gamma \models_{n:} /_F P \text{ c } Q, A)$
apply (*simp only: valid-def nvalid-def exec-iff-execn*)
apply (*blast dest: exec-final-notin-to-execn*)
done

lemma *cnvalid-to-cvalid*: $(\forall n. \Gamma, \Theta \models_{n:} /_F P \text{ c } Q, A) \implies \Gamma, \Theta \models_F P \text{ c } Q, A$
apply (*unfold cvalid-def cnvalid-def valid-iff-nvalid [THEN eq-reflection]*)
apply *fast*
done

lemma *nvalidI*:
 $\llbracket \bigwedge s t. \llbracket \Gamma \vdash \langle c, \text{Normal } s \rangle = n \implies t; s \in P; t \notin \text{Fault } ' F \rrbracket \implies t \in \text{Normal } ' Q \cup \text{Abrupt } ' A \rrbracket$
 $\implies \Gamma \models_{n:} /_F P \text{ c } Q, A$
by (*auto simp add: nvalid-def*)

lemma *validI*:
 $\llbracket \bigwedge s t. \llbracket \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t; s \in P; t \notin \text{Fault } ' F \rrbracket \implies t \in \text{Normal } ' Q \cup \text{Abrupt } ' A \rrbracket$
 $\implies \Gamma \models_F P \text{ c } Q, A$
by (*auto simp add: valid-def*)

lemma *cvalidI*:
 $\llbracket \bigwedge s t. \llbracket \forall (P, p, Q, A) \in \Theta. \Gamma \models_F P (\text{Call } p) Q, A; \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t; s \in P; t \notin \text{Fault } ' F \rrbracket$
 $\implies t \in \text{Normal } ' Q \cup \text{Abrupt } ' A \rrbracket$
 $\implies \Gamma, \Theta \models_F P \text{ c } Q, A$
by (*auto simp add: cvalid-def valid-def*)

lemma *cvalidD*:
 $\llbracket \Gamma, \Theta \models_F P \text{ c } Q, A; \forall (P, p, Q, A) \in \Theta. \Gamma \models_F P (\text{Call } p) Q, A; \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t; s \in P; t \notin \text{Fault } ' F \rrbracket$
 $\implies t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$
by (*auto simp add: cvalid-def valid-def*)

lemma *cnvalidI*:
 $\llbracket \bigwedge s t. \llbracket \forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:} /_F P (\text{Call } p) Q, A; \Gamma \vdash \langle c, \text{Normal } s \rangle = n \implies t; s \in P; t \notin \text{Fault } ' F \rrbracket$
 $\implies t \in \text{Normal } ' Q \cup \text{Abrupt } ' A \rrbracket$
 $\implies \Gamma, \Theta \models_{n:} /_F P \text{ c } Q, A$
by (*auto simp add: cnvalid-def nvalid-def*)

lemma *cnvalidD*:

$\llbracket \Gamma, \Theta \models n : /_F P \ c \ Q, A; \forall (P, p, Q, A) \in \Theta. \Gamma \models n : /_F P \ (Call \ p) \ Q, A;$
 $\Gamma \vdash \langle c, Normal \ s \ \rangle = n \Rightarrow t; s \in P;$
 $t \notin Fault \ ' \ F \rrbracket$
 $\Rightarrow t \in Normal \ ' \ Q \cup Abrupt \ ' \ A$
by (*auto simp add: cinvalid-def nvalid-def*)

lemma *nvalid-augment-Faults:*

assumes *validn*: $\Gamma \models n : /_F P \ c \ Q, A$

assumes $F': F \subseteq F'$

shows $\Gamma \models n : /_{F'} P \ c \ Q, A$

proof (*rule nvalidI*)

fix $s \ t$

assume *exec*: $\Gamma \vdash \langle c, Normal \ s \ \rangle = n \Rightarrow t$

assume $P: s \in P$

assume $F: t \notin Fault \ ' \ F'$

with F' **have** $t \notin Fault \ ' \ F$

by *blast*

with *exec* P **validn**

show $t \in Normal \ ' \ Q \cup Abrupt \ ' \ A$

by (*auto simp add: nvalid-def*)

qed

lemma *valid-augment-Faults:*

assumes *validn*: $\Gamma \models /_F P \ c \ Q, A$

assumes $F': F \subseteq F'$

shows $\Gamma \models /_{F'} P \ c \ Q, A$

proof (*rule validI*)

fix $s \ t$

assume *exec*: $\Gamma \vdash \langle c, Normal \ s \ \rangle \Rightarrow t$

assume $P: s \in P$

assume $F: t \notin Fault \ ' \ F'$

with F' **have** $t \notin Fault \ ' \ F$

by *blast*

with *exec* P **validn**

show $t \in Normal \ ' \ Q \cup Abrupt \ ' \ A$

by (*auto simp add: valid-def*)

qed

lemma *nvalid-to-nvalid-strip:*

assumes *validn*: $\Gamma \models n : /_F P \ c \ Q, A$

assumes $F': F' \subseteq -F$

shows *strip* $F' \Gamma \models n : /_F P \ c \ Q, A$

proof (*rule nvalidI*)

fix $s \ t$

assume *exec-strip*: *strip* $F' \Gamma \vdash \langle c, Normal \ s \ \rangle = n \Rightarrow t$

assume $P: s \in P$

assume $F: t \notin Fault \ ' \ F$

from *exec-strip* **obtain** t' **where**


```

    exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle =_n \Rightarrow t'$  and
    t':  $t' \in \text{Fault} \text{ ' } (-F') \longrightarrow t'=t \neg \text{isFault } t' \longrightarrow t'=t$ 
    by (blast dest: execn-strip-to-execn)
  show  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
  proof (cases  $t' \in \text{Fault} \text{ ' } F$ )
    case True
    with  $t' F F'$  have False
    by blast
    thus ?thesis ..
  next
    case False
    with exec P validn
    have *:  $t' \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
    by (auto simp add: nvalid-def)
    with t' have  $t'=t$ 
    by auto
    with * show ?thesis
    by simp
  qed
qed

```

```

lemma valid-to-valid-strip:
  assumes valid:  $\Gamma \models_F P \text{ c } Q, A$ 
  assumes F':  $F' \subseteq -F$ 
  shows strip  $F' \Gamma \models_F P \text{ c } Q, A$ 
  proof (rule validI)
    fix s t
    assume exec-strip:  $\text{strip } F' \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
    assume P:  $s \in P$ 
    assume F:  $t \notin \text{Fault} \text{ ' } F$ 
    from exec-strip obtain t' where
      exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t'$  and
      t':  $t' \in \text{Fault} \text{ ' } (-F') \longrightarrow t'=t \neg \text{isFault } t' \longrightarrow t'=t$ 
      by (blast dest: exec-strip-to-exec)
    show  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
    proof (cases  $t' \in \text{Fault} \text{ ' } F$ )
      case True
      with  $t' F F'$  have False
      by blast
      thus ?thesis ..
    next
      case False
      with exec P valid
      have *:  $t' \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
      by (auto simp add: valid-def)
      with t' have  $t'=t$ 
      by auto
      with * show ?thesis

```

by *simp*
qed
qed

4.3 The Hoare Rules: $\Gamma, \Theta \vdash_{/F} P \text{ c } Q, A$

$$\begin{array}{l} \textbf{lemma mono-WeakenContext: } A \subseteq B \implies \\ \quad (\lambda(P, c, Q, A'). (\Gamma, \Theta, F, P, c, Q, A') \in A) x \longrightarrow \\ \quad (\lambda(P, c, Q, A'). (\Gamma, \Theta, F, P, c, Q, A') \in B) x \\ \textbf{apply blast} \\ \textbf{done} \end{array}$$
$$\begin{array}{l} \textbf{inductive hoarep}::((\text{'s','p','f'}) \text{ body},(\text{'s','p'}) \text{ quadruple set},\text{'f set}, \\ \text{'s assn},(\text{'s','p','f'}) \text{ com}, \text{'s assn},\text{'s assn}) \Rightarrow \text{bool} \\ ((\text{3-},\text{-}/\text{-},\text{-}/\text{-} \text{ } (-/\text{-})/\text{-},\text{-}/\text{-}) [60,60,60,1000,20,1000,1000]60) \\ \textbf{for } \Gamma::(\text{'s','p','f'}) \text{ body} \\ \textbf{where} \\ \text{Skip}: \Gamma, \Theta \vdash_{/F} Q \text{ Skip } Q, A \end{array}$$
$$| \textit{Basic}: \Gamma, \Theta \vdash_{/F} \{s. f \ s \in Q\} \ (\textit{Basic } f) \ Q, A$$
$$| \text{Spec: } \Gamma, \Theta \vdash_{/F} \{s. (\forall t. (s, t) \in r \longrightarrow t \in Q) \wedge (\exists t. (s, t) \in r)\} (\text{Spec } r) \ Q, A$$
$$\begin{array}{c} | Seq: [\Gamma, \Theta \vdash_F P \ c_1 \ R, A; \Gamma, \Theta \vdash_F R \ c_2 \ Q, A] \\ \Rightarrow \\ \Gamma, \Theta \vdash_F P \ (Seq \ c_1 \ c_2) \ Q, A \end{array}$$
$$\begin{array}{c} | \text{Cond: } [\Gamma, \Theta \vdash_F (P \cap b) \ c_1 \ Q, A; \Gamma, \Theta \vdash_F (P \cap -b) \ c_2 \ Q, A] \\ \Rightarrow \\ \Gamma, \Theta \vdash_F P \ (\text{Cond } b \ c_1 \ c_2) \ Q, A \end{array}$$
$$\begin{array}{l} | \text{ While: } \Gamma, \Theta \vdash_F (P \cap b) \ c \ P, A \\ \quad \quad \quad \Rightarrow \\ \quad \quad \quad \Gamma, \Theta \vdash_F P \ (\text{While } b \ c) \ (P \cap - \ b), A \end{array}$$
$$\begin{array}{l} | \text{Guard: } \Gamma, \Theta \vdash_F (g \cap P) \text{ c } Q, A \\ \Rightarrow \\ \Gamma, \Theta \vdash_F (g \cap P) (\text{Guard } f \text{ g c}) Q, A \end{array}$$
$$\begin{array}{c} | \textit{Guarantee}: \llbracket f \in F; \Gamma, \Theta \vdash_F (g \cap P) \textit{ c } Q, A \rrbracket \\ \Longrightarrow \\ \Gamma, \Theta \vdash_F P \textit{ (Guard } f \textit{ g c) } Q, A \end{array}$$
$$\begin{aligned} & \text{CallRec:} \\ & \llbracket (P, p, Q, A) \in \text{Specs}; \\ & \quad \forall (P, p, Q, A) \in \text{Specs}. p \in \text{dom } \Gamma \wedge \Gamma, \Theta \cup \text{Specs} \vdash_F P \text{ (the } (\Gamma \ p)) \ Q, A \rrbracket \\ & \implies \Gamma, \Theta \vdash_F P \text{ (Call } p) \ Q, A \end{aligned}$$

| *DynCom*:
 $\forall s \in P. \Gamma, \Theta \vdash_F P \ (c \ s) \ Q, A$
 \implies
 $\Gamma, \Theta \vdash_F P \ (DynCom \ c) \ Q, A$

| *Throw*: $\Gamma, \Theta \vdash_F A \ Throw \ Q, A$

| *Catch*: $\llbracket \Gamma, \Theta \vdash_F P \ c_1 \ Q, R; \Gamma, \Theta \vdash_F R \ c_2 \ Q, A \rrbracket \implies \Gamma, \Theta \vdash_F P \ Catch \ c_1 \ c_2 \ Q, A$

| *Conseq*: $\forall s \in P. \exists P' \ Q' \ A'. \Gamma, \Theta \vdash_F P' \ c \ Q', A' \wedge s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A$
 $\implies \Gamma, \Theta \vdash_F P \ c \ Q, A$

| *Asm*: $\llbracket (P, p, Q, A) \in \Theta \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_F P \ (Call \ p) \ Q, A$

| *ExFalso*: $\llbracket \forall n. \Gamma, \Theta \models_n \vdash_F P \ c \ Q, A; \neg \Gamma \models_F P \ c \ Q, A \rrbracket \implies \Gamma, \Theta \vdash_F P \ c \ Q, A$
— This is a hack rule that enables us to derive completeness for an arbitrary context Θ , from completeness for an empty context.

Does not work, because of rule *ExFalso*, the context Θ is to blame. A weaker version with empty context can be derived from soundness and completeness later on.

lemma *hoare-strip- Γ* :
assumes *deriv*: $\Gamma, \Theta \vdash_F P \ p \ Q, A$
shows *strip* $(-F) \ \Gamma, \Theta \vdash_F P \ p \ Q, A$
using *deriv*
proof *induct*
 case *Skip* **thus** *?case* **by** (*iprover intro: hoarep.Skip*)
next
 case *Basic* **thus** *?case* **by** (*iprover intro: hoarep.Basic*)
next
 case *Spec* **thus** *?case* **by** (*iprover intro: hoarep.Spec*)
next
 case *Seq* **thus** *?case* **by** (*iprover intro: hoarep.Seq*)
next
 case *Cond* **thus** *?case* **by** (*iprover intro: hoarep.Cond*)
next
 case *While* **thus** *?case* **by** (*iprover intro: hoarep.While*)
next
 case *Guard* **thus** *?case* **by** (*iprover intro: hoarep.Guard*)
next
 case *DynCom*
 thus *?case*
 by — (*rule hoarep.DynCom, best elim!: ballE exE*)

```

next
  case Throw thus ?case by (iprover intro: hoarep.Throw)
next
  case Catch thus ?case by (iprover intro: hoarep.Catch)

next
  case Asm thus ?case by (iprover intro: hoarep.Asm)
next
  case ExFalso
  thus ?case
  oops

lemma hoare-augment-context:
  assumes deriv:  $\Gamma, \Theta \vdash_F P \ p \ Q, A$ 
  shows  $\bigwedge \Theta'. \Theta \subseteq \Theta' \implies \Gamma, \Theta' \vdash_F P \ p \ Q, A$ 
using deriv
proof (induct)
  case CallRec
  case (CallRec  $P \ p \ Q \ A \ Specs \ \Theta \ F \ \Theta'$ )
  from CallRec.prems
  have  $\Theta \cup Specs \subseteq \Theta' \cup Specs$ 
  by blast
  with CallRec.hyps (2)
  have  $\forall (P, p, Q, A) \in Specs. \ p \in \text{dom } \Gamma \wedge \Gamma, \Theta' \cup Specs \vdash_F P \ (the (\Gamma \ p)) \ Q, A$ 
  by fastforce

  with CallRec show ?case by (rule hoarep.CallRec)
next
  case DynCom thus ?case by (blast intro: hoarep.DynCom)
next
  case (Conseq  $P \ \Theta \ F \ c \ Q \ A \ \Theta'$ )
  from Conseq
  have  $\forall s \in P. (\exists P' \ Q' \ A'. \Gamma, \Theta' \vdash_F P' \ c \ Q', A' \wedge s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A)$ 
  by blast
  with Conseq show ?case by (rule hoarep.Conseq)
next
  case (ExFalso  $\Theta \ F \ P \ c \ Q \ A \ \Theta'$ )
  have valid-ctxt:  $\forall n. \Gamma, \Theta \models n \vdash_F P \ c \ Q, A \ \Theta \subseteq \Theta'$  by fact+
  hence  $\forall n. \Gamma, \Theta' \models n \vdash_F P \ c \ Q, A$ 
  by (simp add: cinvalid-def) blast
  moreover have invalid:  $\neg \Gamma \models_F P \ c \ Q, A$  by fact
  ultimately show ?case
  by (rule hoarep.ExFalso)
qed (blast intro: hoarep.intros)+

```

4.4 Some Derived Rules

lemma *Conseq'*: $\forall s. s \in P \longrightarrow$
 $(\exists P' Q' A'.$
 $(\forall Z. \Gamma, \Theta \vdash_F (P' Z) \text{ c } (Q' Z), (A' Z)) \wedge$
 $(\exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A)))$
 \implies
 $\Gamma, \Theta \vdash_F P \text{ c } Q, A$

apply (*rule Conseq*)
apply (*rule ballI*)
apply (*erule-tac x=s in allE*)
apply (*clarify*)
apply (*rule-tac x=P' Z in exI*)
apply (*rule-tac x=Q' Z in exI*)
apply (*rule-tac x=A' Z in exI*)
apply *blast*
done

lemma *conseq*: $\llbracket \forall Z. \Gamma, \Theta \vdash_F (P' Z) \text{ c } (Q' Z), (A' Z);$
 $\forall s. s \in P \longrightarrow (\exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A)) \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_F P \text{ c } Q, A$
by (*rule Conseq*) *blast*

theorem *conseqPrePost* [*trans*]:
 $\Gamma, \Theta \vdash_F P' \text{ c } Q', A' \implies P \subseteq P' \implies Q' \subseteq Q \implies A' \subseteq A \implies \Gamma, \Theta \vdash_F P \text{ c } Q, A$
by (*rule conseq* [**where** $?P' = \lambda Z. P'$ **and** $?Q' = \lambda Z. Q$]) *auto*

lemma *conseqPre* [*trans*]: $\Gamma, \Theta \vdash_F P' \text{ c } Q, A \implies P \subseteq P' \implies \Gamma, \Theta \vdash_F P \text{ c } Q, A$
by (*rule conseq*) *auto*

lemma *conseqPost* [*trans*]: $\Gamma, \Theta \vdash_F P \text{ c } Q', A' \implies Q' \subseteq Q \implies A' \subseteq A$
 $\implies \Gamma, \Theta \vdash_F P \text{ c } Q, A$
by (*rule conseq*) *auto*

lemma *CallRec'*:
 $\llbracket p \in \text{Procs}; \text{Procs} \subseteq \text{dom } \Gamma;$
 $\forall p \in \text{Procs}.$
 $\forall Z. \Gamma, \Theta \cup (\bigcup p \in \text{Procs}. \bigcup Z. \{((P \text{ p } Z), p, Q \text{ p } Z, A \text{ p } Z)\})$
 $\vdash_F (P \text{ p } Z) (\text{the } (\Gamma \text{ p})) (Q \text{ p } Z), (A \text{ p } Z) \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_F (P \text{ p } Z) (\text{Call } p) (Q \text{ p } Z), (A \text{ p } Z)$
apply (*rule CallRec* [**where** $\text{Specs} = \bigcup p \in \text{Procs}. \bigcup Z. \{((P \text{ p } Z), p, Q \text{ p } Z, A \text{ p } Z)\}$])
apply *blast*
apply *blast*
done

end

5 Properties of Partial Correctness Hoare Logic

theory *HoarePartialProps* imports *HoarePartialDef* begin

5.1 Soundness

```

lemma hoare-cnvalid:
  assumes hoare:  $\Gamma, \Theta \vdash_{/F} P \text{ c } Q, A$ 
  shows  $\bigwedge n. \Gamma, \Theta \models n:_{/F} P \text{ c } Q, A$ 
using hoare
proof (induct)
  case (Skip  $\Theta \text{ F } P \text{ A}$ )
  show  $\Gamma, \Theta \models n:_{/F} P \text{ Skip } P, A$ 
  proof (rule cnvalidI)
    fix s t
    assume  $\Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle = n \Rightarrow t \text{ s } \in P$ 
    thus  $t \in \text{Normal } ' P \cup \text{Abrupt } ' A$ 
    by cases auto
  qed
next
  case (Basic  $\Theta \text{ F } f \text{ P } A$ )
  show  $\Gamma, \Theta \models n:_{/F} \{s. f \text{ s } \in P\} (\text{Basic } f) P, A$ 
  proof (rule cnvalidI)
    fix s t
    assume  $\Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle = n \Rightarrow t \text{ s } \in \{s. f \text{ s } \in P\}$ 
    thus  $t \in \text{Normal } ' P \cup \text{Abrupt } ' A$ 
    by cases auto
  qed
next
  case (Spec  $\Theta \text{ F } r \text{ Q } A$ )
  show  $\Gamma, \Theta \models n:_{/F} \{s. (\forall t. (s, t) \in r \longrightarrow t \in Q) \wedge (\exists t. (s, t) \in r)\} \text{Spec } r \text{ Q}, A$ 
  proof (rule cnvalidI)
    fix s t
    assume exec:  $\Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle = n \Rightarrow t$ 
    assume P:  $s \in \{s. (\forall t. (s, t) \in r \longrightarrow t \in Q) \wedge (\exists t. (s, t) \in r)\}$ 
    from exec P
    show  $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$ 
    by cases auto
  qed
next
  case (Seq  $\Theta \text{ F } P \text{ c1 } R \text{ A } \text{c2 } Q$ )
  have valid-c1:  $\bigwedge n. \Gamma, \Theta \models n:_{/F} P \text{ c1 } R, A$  by fact
  have valid-c2:  $\bigwedge n. \Gamma, \Theta \models n:_{/F} R \text{ c2 } Q, A$  by fact
  show  $\Gamma, \Theta \models n:_{/F} P \text{ Seq } \text{c1 } \text{c2 } Q, A$ 
  proof (rule cnvalidI)
    fix s t

```

```

assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P \text{ (Call } p) \text{ } Q, A$ 
assume exec:  $\Gamma \vdash \langle \text{Seq } c1 \text{ } c2, \text{Normal } s \rangle = n \Rightarrow t$ 
assume t-notin-F:  $t \notin \text{Fault } 'F$ 
assume P:  $s \in P$ 
from exec P obtain r where
  exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle = n \Rightarrow r$  and exec-c2:  $\Gamma \vdash \langle c2, r \rangle = n \Rightarrow t$ 
  by cases auto
with t-notin-F have  $r \notin \text{Fault } 'F$ 
  by (auto dest: execn-Fault-end)
with valid-c1 ctxt exec-c1 P
have r:  $r \in \text{Normal } 'R \cup \text{Abrupt } 'A$ 
  by (rule cinvalidD)
show  $t \in \text{Normal } 'Q \cup \text{Abrupt } 'A$ 
proof (cases r)
  case (Normal r')
    with exec-c2 r
    show  $t \in \text{Normal } 'Q \cup \text{Abrupt } 'A$ 
    apply –
    apply (rule cinvalidD [OF valid-c2 ctxt - - t-notin-F])
    apply auto
    done
  next
    case (Abrupt r')
    with exec-c2 have  $t = \text{Abrupt } r'$ 
    by (auto elim: execn-elim-cases)
    with Abrupt r show ?thesis
    by auto
  next
    case Fault with r show ?thesis by blast
  next
    case Stuck with r show ?thesis by blast
qed
qed
next
  case (Cond  $\Theta$  F P b c1 Q A c2)
  have valid-c1:  $\bigwedge n. \Gamma, \Theta \models_{/F} (P \cap b) \text{ } c1 \text{ } Q, A$  by fact
  have valid-c2:  $\bigwedge n. \Gamma, \Theta \models_{/F} (P \cap - b) \text{ } c2 \text{ } Q, A$  by fact
  show  $\Gamma, \Theta \models_{/F} P \text{ Cond } b \text{ } c1 \text{ } c2 \text{ } Q, A$ 
  proof (rule cinvalidI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P \text{ (Call } p) \text{ } Q, A$ 
    assume exec:  $\Gamma \vdash \langle \text{Cond } b \text{ } c1 \text{ } c2, \text{Normal } s \rangle = n \Rightarrow t$ 
    assume P:  $s \in P$ 
    assume t-notin-F:  $t \notin \text{Fault } 'F$ 
    show  $t \in \text{Normal } 'Q \cup \text{Abrupt } 'A$ 
    proof (cases s ∈ b)
      case True
      with exec have  $\Gamma \vdash \langle c1, \text{Normal } s \rangle = n \Rightarrow t$ 

```

```

    by cases auto
  with P True
  show ?thesis
    by - (rule cnvalidD [OF valid-c1 ctxt - - t-notin-F],auto)
next
  case False
  with exec P have  $\Gamma \vdash \langle c2, Normal\ s \rangle = n \Rightarrow t$ 
    by cases auto
  with P False
  show ?thesis
    by - (rule cnvalidD [OF valid-c2 ctxt - - t-notin-F],auto)
qed
qed
next
  case (While  $\Theta\ F\ P\ b\ c\ A\ n$ )
  have valid-c:  $\bigwedge n. \Gamma, \Theta \models_{n:/F} (P \cap b)\ c\ P, A$  by fact
  show  $\Gamma, \Theta \models_{n:/F} P\ While\ b\ c\ (P \cap -\ b), A$ 
  proof (rule cnvalidI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P\ (Call\ p)\ Q, A$ 
    assume exec:  $\Gamma \vdash \langle While\ b\ c, Normal\ s \rangle = n \Rightarrow t$ 
    assume P:  $s \in P$ 
    assume t-notin-F:  $t \notin Fault\ 'F$ 
    show  $t \in Normal\ ' (P \cap -\ b) \cup Abrupt\ ' A$ 
    proof (cases s  $\in b$ )
      case True
      {
        fix d::('b,'a,'c) com fix s t
        assume exec:  $\Gamma \vdash \langle d, s \rangle = n \Rightarrow t$ 
        assume d:  $d = While\ b\ c$ 
        assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P\ (Call\ p)\ Q, A$ 
        from exec d ctxt
        have  $\llbracket s \in Normal\ ' P; t \notin Fault\ ' F \rrbracket$ 
           $\Rightarrow t \in Normal\ ' (P \cap -\ b) \cup Abrupt\ ' A$ 
        proof (induct)
          case (WhileTrue s b' c' n r t)
          have t-notin-F:  $t \notin Fault\ ' F$  by fact
          have eqs:  $While\ b'\ c' = While\ b\ c$  by fact
          note valid-c
          moreover have ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P\ (Call\ p)\ Q, A$  by fact
          moreover from WhileTrue
          obtain  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow r$  and
             $\Gamma \vdash \langle While\ b\ c, r \rangle = n \Rightarrow t$  and
             $Normal\ s \in Normal\ ' (P \cap b)$  by auto
          moreover with t-notin-F have  $r \notin Fault\ ' F$ 
            by (auto dest: execn-Fault-end)
          ultimately
          have r:  $r \in Normal\ ' P \cup Abrupt\ ' A$ 

```



```

      by - (rule cinvalidD,auto)
    from this - ctxt
  show  $t \in \text{Normal} \text{ ' } (P \cap - b) \cup \text{Abrupt} \text{ ' } A$ 
  proof (cases r)
    case (Normal r')
      with r ctxt eqs t-notin-F
      show ?thesis
        by - (rule WhileTrue.hyps,auto)
    next
      case (Abrupt r')
      have  $\Gamma \vdash \langle \text{While } b' \text{ } c', r \rangle = n \Rightarrow t$  by fact
      with Abrupt have  $t=r$ 
        by (auto dest: execn-Abrupt-end)
      with r Abrupt show ?thesis
        by blast
    next
      case Fault with r show ?thesis by blast
    next
      case Stuck with r show ?thesis by blast
  qed
qed auto
}
with exec ctxt P t-notin-F
show ?thesis
  by auto
next
  case False
  with exec P have  $t=\text{Normal } s$ 
    by cases auto
  with P False
  show ?thesis
    by auto
  qed
qed
next
  case (Guard  $\Theta \text{ } F \text{ } g \text{ } P \text{ } c \text{ } Q \text{ } A \text{ } f$ )
  have valid-c:  $\bigwedge n. \Gamma, \Theta \models_{n:/F} (g \cap P) \text{ } c \text{ } Q, A$  by fact
  show  $\Gamma, \Theta \models_{n:/F} (g \cap P) \text{ } \text{Guard } f \text{ } g \text{ } c \text{ } Q, A$ 
  proof (rule cinvalidI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P \text{ } (\text{Call } p) \text{ } Q, A$ 
    assume exec:  $\Gamma \vdash \langle \text{Guard } f \text{ } g \text{ } c, \text{Normal } s \rangle = n \Rightarrow t$ 
    assume t-notin-F:  $t \notin \text{Fault} \text{ ' } F$ 
    assume P:s  $\in (g \cap P)$ 
    from exec P have  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$ 
      by cases auto
    from valid-c ctxt this P t-notin-F
    show  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
      by (rule cinvalidD)
  end
end

```

```

qed
next
  case (Guarantee f F  $\Theta$  g P c Q A)
  have valid-c:  $\bigwedge n. \Gamma, \Theta \models_{n:/F} (g \cap P) \ c \ Q, A$  by fact
  have f-F:  $f \in F$  by fact
  show  $\Gamma, \Theta \models_{n:/F} P \ \text{Guard } f \ g \ c \ Q, A$ 
  proof (rule cinvalidI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P \ (\text{Call } p) \ Q, A$ 
    assume exec:  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    assume t-notin-F:  $t \notin \text{Fault } ' F$ 
    assume P:s  $\in P$ 
    from exec f-F t-notin-F have g:  $s \in g$ 
    by cases auto
    with P have P':  $s \in g \cap P$ 
    by blast
    from exec P g have  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by cases auto
    from valid-c ctxt this P' t-notin-F
    show  $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$ 
    by (rule cinvalidD)
  qed
next
  case (CallRec P p Q A Specs  $\Theta$  F)
  have p:  $(P, p, Q, A) \in \text{Specs}$  by fact
  have valid-body:
     $\forall (P, p, Q, A) \in \text{Specs}. p \in \text{dom } \Gamma \wedge (\forall n. \Gamma, \Theta \cup \text{Specs} \models_{n:/F} P \ (\text{the } (\Gamma \ p)))$ 
  Q,A)
  using CallRec.hyps by blast
  show  $\Gamma, \Theta \models_{n:/F} P \ \text{Call } p \ Q, A$ 
  proof –
    {
      fix n
      have  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P \ (\text{Call } p) \ Q, A$ 
         $\implies \forall (P, p, Q, A) \in \text{Specs}. \Gamma \models_{n:/F} P \ (\text{Call } p) \ Q, A$ 
      proof (induct n)
        case 0
        show  $\forall (P, p, Q, A) \in \text{Specs}. \Gamma \models_{0:/F} P \ (\text{Call } p) \ Q, A$ 
          by (fastforce elim!: execn-elim-cases simp add: nvalid-def)
        next
          case (Suc m)
          have hyp:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{m:/F} P \ (\text{Call } p) \ Q, A$ 
             $\implies \forall (P, p, Q, A) \in \text{Specs}. \Gamma \models_{m:/F} P \ (\text{Call } p) \ Q, A$  by fact
          have  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{\text{Suc } m:/F} P \ (\text{Call } p) \ Q, A$  by fact
          hence ctxt-m:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{m:/F} P \ (\text{Call } p) \ Q, A$ 
            by (fastforce simp add: nvalid-def intro: execn-Suc)
          hence valid-Proc:

```

```

     $\forall (P, p, Q, A) \in \text{Specs}. \Gamma \models m :_F P \text{ (Call } p) \text{ } Q, A$ 
    by (rule hyp)
  let  $? \Theta' = \Theta \cup \text{Specs}$ 
  from valid-Proc ctxt-m
  have  $\forall (P, p, Q, A) \in ? \Theta'. \Gamma \models m :_F P \text{ (Call } p) \text{ } Q, A$ 
    by fastforce
  with valid-body
  have valid-body-m:
     $\forall (P, p, Q, A) \in \text{Specs}. \forall n. \Gamma \models m :_F P \text{ (the } (\Gamma \text{ } p)) \text{ } Q, A$ 
    by (fastforce simp add: cinvalid-def)
  show  $\forall (P, p, Q, A) \in \text{Specs}. \Gamma \models \text{Suc } m :_F P \text{ (Call } p) \text{ } Q, A$ 
  proof (clarify)
    fix  $P \text{ } p \text{ } Q \text{ } A$  assume  $p: (P, p, Q, A) \in \text{Specs}$ 
    show  $\Gamma \models \text{Suc } m :_F P \text{ (Call } p) \text{ } Q, A$ 
    proof (rule nvalidI)
      fix  $s \text{ } t$ 
      assume exec-call:
         $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle = \text{Suc } m \Rightarrow t$ 
      assume Pre:  $s \in P$ 
      assume t-notin-F:  $t \notin \text{Fault } ' F$ 
      from exec-call
      show  $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$ 
      proof (cases)
        fix bdy  $m'$ 
        assume  $m: \text{Suc } m = \text{Suc } m'$ 
        assume bdy:  $\Gamma \text{ } p = \text{Some bdy}$ 
        assume exec-body:  $\Gamma \vdash \langle \text{bdy}, \text{Normal } s \rangle = m' \Rightarrow t$ 
        from Pre valid-body-m exec-body bdy  $m \text{ } p \text{ } t\text{-notin-}F$ 
        show ?thesis
        by (fastforce simp add: nvalid-def)
      next
        assume  $\Gamma \text{ } p = \text{None}$ 
        with valid-body  $p$  have False by auto
        thus ?thesis ..
      qed
    qed
  qed
}
with  $p$  show ?thesis
  by (fastforce simp add: cinvalid-def)
qed
next
case (DynCom  $P \text{ } \Theta \text{ } F \text{ } c \text{ } Q \text{ } A$ )
hence valid-c:  $\forall s \in P. (\forall n. \Gamma, \Theta \models n :_F P \text{ (c } s) \text{ } Q, A)$  by auto
show  $\Gamma, \Theta \models n :_F P \text{ DynCom } c \text{ } Q, A$ 
proof (rule cinvalidI)
  fix  $s \text{ } t$ 

```

```

assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P \text{ (Call } p) \text{ } Q, A$ 
assume exec:  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle = n \Rightarrow t$ 
assume P:  $s \in P$ 
assume t-notin-Fault:  $t \notin \text{Fault} \text{ ' } F$ 
from exec show  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
proof (cases)
  assume  $\Gamma \vdash \langle c \text{ } s, \text{Normal } s \rangle = n \Rightarrow t$ 
  from cnvalidD [OF valid-c [rule-format, OF P] ctxt this P t-notin-Fault]
  show ?thesis .
qed
qed
next
case (Throw  $\Theta \text{ } F \text{ } A \text{ } Q$ )
show  $\Gamma, \Theta \models_{n:/F} A \text{ Throw } Q, A$ 
proof (rule cnvalidI)
  fix s t
  assume  $\Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle = n \Rightarrow t \text{ } s \in A$ 
  then show  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
  by cases simp
qed
next
case (Catch  $\Theta \text{ } F \text{ } P \text{ } c_1 \text{ } Q \text{ } R \text{ } c_2 \text{ } A$ )
have valid-c1:  $\bigwedge n. \Gamma, \Theta \models_{n:/F} P \text{ } c_1 \text{ } Q, R$  by fact
have valid-c2:  $\bigwedge n. \Gamma, \Theta \models_{n:/F} R \text{ } c_2 \text{ } Q, A$  by fact
show  $\Gamma, \Theta \models_{n:/F} P \text{ Catch } c_1 \text{ } c_2 \text{ } Q, A$ 
proof (rule cnvalidI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P \text{ (Call } p) \text{ } Q, A$ 
  assume exec:  $\Gamma \vdash \langle \text{Catch } c_1 \text{ } c_2, \text{Normal } s \rangle = n \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-notin-Fault:  $t \notin \text{Fault} \text{ ' } F$ 
  from exec show  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
  proof (cases)
    fix s'
    assume exec-c1:  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow \text{Abrupt } s'$ 
    assume exec-c2:  $\Gamma \vdash \langle c_2, \text{Normal } s' \rangle = n \Rightarrow t$ 
    from cnvalidD [OF valid-c1 ctxt exec-c1 P]
    have Abrupt s' ∈ Abrupt ' R
    by auto
    with cnvalidD [OF valid-c2 ctxt - - t-notin-Fault] exec-c2
    show ?thesis
    by fastforce
  next
  assume exec-c1:  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow t$ 
  assume notAbr:  $\neg \text{isAbr } t$ 
  from cnvalidD [OF valid-c1 ctxt exec-c1 P t-notin-Fault]
  have  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } R$  .
  with notAbr

```

```

    show ?thesis
    by auto
  qed
qed
next
case (Conseq P  $\Theta$  F c Q A)
hence adapt:  $\forall s \in P. (\exists P' Q' A'. \Gamma, \Theta \models_{n:/F} P' c Q', A' \wedge$ 
 $s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A)$ 
  by blast
show  $\Gamma, \Theta \models_{n:/F} P c Q, A$ 
proof (rule cvalidI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P (Call p) Q, A$ 
  assume exec:  $\Gamma \vdash \langle c, Normal s \rangle =_{n \Rightarrow} t$ 
  assume P:  $s \in P$ 
  assume t-notin-F:  $t \notin Fault \text{ ' } F$ 
  show  $t \in Normal \text{ ' } Q \cup Abrupt \text{ ' } A$ 
  proof -
    from P adapt obtain P' Q' A' Z where
      spec:  $\Gamma, \Theta \models_{n:/F} P' c Q', A'$  and
      P':  $s \in P'$  and strengthen:  $Q' \subseteq Q \wedge A' \subseteq A$ 
    by auto
    from spec [rule-format] ctxt exec P' t-notin-F
    have  $t \in Normal \text{ ' } Q' \cup Abrupt \text{ ' } A'$ 
    by (rule cvalidD)
    with strengthen show ?thesis
    by blast
  qed
qed
next
case (Asm P p Q A  $\Theta$  F)
have asm:  $(P, p, Q, A) \in \Theta$  by fact
show  $\Gamma, \Theta \models_{n:/F} P (Call p) Q, A$ 
proof (rule cvalidI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P (Call p) Q, A$ 
  assume exec:  $\Gamma \vdash \langle Call p, Normal s \rangle =_{n \Rightarrow} t$ 
  from asm ctxt have  $\Gamma \models_{n:/F} P Call p Q, A$  by auto
  moreover
  assume  $s \in P$ 
  ultimately
  show  $t \in Normal \text{ ' } Q \cup Abrupt \text{ ' } A$ 
  using exec
  by (auto simp add: nvalid-def)
qed
next
case ExFalso thus ?case by iprover
qed

```

theorem *hoare-sound*: $\Gamma, \Theta \vdash_F P \ c \ Q, A \implies \Gamma, \Theta \models_F P \ c \ Q, A$
by (*iprover intro: cinvalid-to-cvalid hoare-cinvalid*)

5.2 Completeness

lemma *MGT-valid*:

$\Gamma \models_F \{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } ' (-F))\} \ c$
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

proof (*rule validI*)

fix $s \ t$

assume $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$

$s \in \{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } ' (-F))\}$

$t \notin \text{Fault } ' F$

thus $t \in \text{Normal } ' \{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\} \cup$

$\text{Abrupt } ' \{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

by (*cases t*) (*auto simp add: final-notin-def*)

qed

The consequence rule where the existential Z is instantiated to s . Usefull in proof of *MGT-lemma*.

lemma *ConseqMGT*:

assumes *modif*: $\forall Z. \Gamma, \Theta \vdash_F (P' \ Z) \ c \ (Q' \ Z), (A' \ Z)$

assumes *impl*: $\bigwedge s. s \in P \implies s \in P' \ s \wedge (\forall t. t \in Q' \ s \longrightarrow t \in Q) \wedge$
 $(\forall t. t \in A' \ s \longrightarrow t \in A)$

shows $\Gamma, \Theta \vdash_F P \ c \ Q, A$

using *impl*

by – (*rule conseq [OF modif], blast*)

lemma *Seq-NoFaultStuckD1*:

assumes *noabort*: $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } ' F)$

shows $\Gamma \vdash \langle c1, s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } ' F)$

proof (*rule final-notinI*)

fix t

assume *exec-c1*: $\Gamma \vdash \langle c1, s \rangle \Rightarrow t$

show $t \notin \{\text{Stuck}\} \cup \text{Fault } ' F$

proof

assume $t \in \{\text{Stuck}\} \cup \text{Fault } ' F$

moreover

{

assume $t = \text{Stuck}$

with *exec-c1*

have $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow \text{Stuck}$

by (*auto intro: exec-Seq'*)

with *noabort* **have** *False*

by (*auto simp add: final-notin-def*)

hence *False ..*

}

```

moreover
{
  assume  $t \in \text{Fault} \text{ ' } F$ 
  then obtain  $f$  where
   $t = \text{Fault } f$  and  $f: f \in F$ 
  by auto
  from  $t \text{ exec-}c1$ 
  have  $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow \text{Fault } f$ 
  by (auto intro: exec-Seq')
  with noabort  $f$  have False
  by (auto simp add: final-notin-def)
  hence False ..
}
ultimately show False by auto
qed
qed

```

```

lemma Seq-NoFaultStuckD2:
  assumes noabort:  $\Gamma \vdash \langle \text{Seq } c1 \ c2, s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault} \text{ ' } F)$ 
  shows  $\forall t. \Gamma \vdash \langle c1, s \rangle \Rightarrow t \longrightarrow t \notin (\{\text{Stuck}\} \cup \text{Fault} \text{ ' } F) \longrightarrow$ 
     $\Gamma \vdash \langle c2, t \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault} \text{ ' } F)$ 
using noabort
by (auto simp add: final-notin-def intro: exec-Seq')

```

```

lemma MGT-implies-complete:
  assumes MGT:  $\forall Z. \Gamma, \{\} \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))\} \ c$ 
     $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  assumes valid:  $\Gamma \models_F P \ c \ Q, A$ 
  shows  $\Gamma, \{\} \vdash_F P \ c \ Q, A$ 
  using MGT
  apply (rule ConseqMGT)
  apply (insert valid)
  apply (auto simp add: valid-def intro!: final-notinI)
  done

```

Equipped only with the classic consequence rule $\llbracket ?\Gamma, ?\Theta \vdash_{?F} ?P' \ ?c \ ?Q', ?A'; ?P \subseteq ?P'; ?Q' \subseteq ?Q; ?A' \subseteq ?A \rrbracket \Longrightarrow ?\Gamma, ?\Theta \vdash_{?F} ?P \ ?c \ ?Q, ?A$ we can only derive this syntactically more involved version of completeness. But semantically it is equivalent to the "real" one (see below)

```

lemma MGT-implies-complete':
  assumes MGT:  $\forall Z. \Gamma, \{\} \vdash_F$ 
     $\{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))\} \ c$ 
     $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  assumes valid:  $\Gamma \models_F P \ c \ Q, A$ 

```

```

shows  $\Gamma, \{\} \vdash_F \{s. s=Z \wedge s \in P\} \text{ c } \{t. Z \in P \longrightarrow t \in Q\}, \{t. Z \in P \longrightarrow t \in A\}$ 
using MGT [rule-format, of Z]
apply (rule conseqPrePost)
apply (insert valid)
apply (fastforce simp add: valid-def final-notin-def)
apply (fastforce simp add: valid-def)
apply (fastforce simp add: valid-def)
done

```

Semantic equivalence of both kind of formulations

lemma *valid-involved-to-valid*:

assumes *valid*:

$\forall Z. \Gamma \models_F \{s. s=Z \wedge s \in P\} \text{ c } \{t. Z \in P \longrightarrow t \in Q\}, \{t. Z \in P \longrightarrow t \in A\}$

shows $\Gamma \models_F P \text{ c } Q, A$

using *valid*

apply (*simp add: valid-def*)

apply *clarsimp*

apply (*erule-tac x=x in allE*)

apply (*erule-tac x=Normal x in allE*)

apply (*erule-tac x=t in allE*)

apply *fastforce*

done

The sophisticated consequence rule allow us to do this semantical transformation on the hoare-level, too. The magic is, that it allow us to choose the instance of Z under the assumption of an state $s \in P$

lemma

assumes *deriv*:

$\forall Z. \Gamma, \{\} \vdash_F \{s. s=Z \wedge s \in P\} \text{ c } \{t. Z \in P \longrightarrow t \in Q\}, \{t. Z \in P \longrightarrow t \in A\}$

shows $\Gamma, \{\} \vdash_F P \text{ c } Q, A$

apply (*rule ConseqMGT [OF deriv]*)

apply *auto*

done

lemma *valid-to-valid-involved*:

$\Gamma \models_F P \text{ c } Q, A \implies$

$\Gamma \models_F \{s. s=Z \wedge s \in P\} \text{ c } \{t. Z \in P \longrightarrow t \in Q\}, \{t. Z \in P \longrightarrow t \in A\}$

by (*simp add: valid-def Collect-conv-if*)

lemma

assumes *deriv*: $\Gamma, \{\} \vdash_F P \text{ c } Q, A$

shows $\Gamma, \{\} \vdash_F \{s. s=Z \wedge s \in P\} \text{ c } \{t. Z \in P \longrightarrow t \in Q\}, \{t. Z \in P \longrightarrow t \in A\}$

apply (*rule conseqPrePost [OF deriv]*)

apply *auto*

done

lemma *conseq-extract-state-indep-prop*:

assumes *state-indep-prop*: $\forall s \in P. R$
assumes *to-show*: $R \implies \Gamma, \Theta \vdash_F P \text{ c } Q, A$
shows $\Gamma, \Theta \vdash_F P \text{ c } Q, A$
apply (*rule Conseq*)
apply (*clarify*)
apply (*rule-tac x=P in exI*)
apply (*rule-tac x=Q in exI*)
apply (*rule-tac x=A in exI*)
using *state-indep-prop to-show*
by *blast*

lemma *MGT-lemma*:

assumes *MGT-Calls*:
 $\forall p \in \text{dom } \Gamma. \forall Z. \Gamma, \Theta \vdash_F$
 $\{s. s = Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 $(\text{Call } p)$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
shows $\bigwedge Z. \Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 c
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
proof (*induct c*)
case *Skip*
show $\Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 Skip
 $\{t. \Gamma \vdash \langle \text{Skip}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle \text{Skip}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by (*rule hoarep.Skip [THEN conseqPre]*)
(auto elim: exec-elim-cases simp add: final-notin-def intro: exec.intros)
next
case (*Basic f*)
show $\Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 $\text{Basic } f$
 $\{t. \Gamma \vdash \langle \text{Basic } f, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Basic } f, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by (*rule hoarep.Basic [THEN conseqPre]*)
(auto elim: exec-elim-cases simp add: final-notin-def intro: exec.intros)
next
case (*Spec r*)
show $\Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 $\text{Spec } r$
 $\{t. \Gamma \vdash \langle \text{Spec } r, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Spec } r, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
apply (*rule hoarep.Spec [THEN conseqPre]*)
apply (*clarsimp simp add: final-notin-def*)

```

apply (case-tac  $\exists t. (Z, t) \in r$ )
apply (auto elim: exec-elim-cases simp add: final-notin-def intro: exec.intros)
done
next
  case (Seq c1 c2)
  have hyp-c1:  $\forall Z. \Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle c1, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F))\}$  c1
     $\{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ t\},$ 
     $\{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$ 
  using Seq.hyps by iprover
  have hyp-c2:  $\forall Z. \Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle c2, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F))\}$  c2
     $\{t. \Gamma \vdash \langle c2, Normal \ Z \rangle \Rightarrow Normal \ t\},$ 
     $\{t. \Gamma \vdash \langle c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$ 
  using Seq.hyps by iprover
  from hyp-c1
  have  $\Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F))\}$  c1
     $\{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ t \wedge$ 
     $\Gamma \vdash \langle c2, Normal \ t \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F))\},$ 
     $\{t. \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$ 
  by (rule ConseqMGT)
    (auto dest: Seq-NoFaultStuckD1 [simplified] Seq-NoFaultStuckD2 [simplified]
    intro: exec.Seq)
  thus  $\Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F))\}$ 
    Seq c1 c2
     $\{t. \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow Normal \ t\},$ 
     $\{t. \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$ 
  proof (rule hoarep.Seq)
    show  $\Gamma, \Theta \vdash_F \{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ t \wedge$ 
     $\Gamma \vdash \langle c2, Normal \ t \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F))\}$ 
    c2
     $\{t. \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow Normal \ t\},$ 
     $\{t. \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$ 
  proof (rule ConseqMGT [OF hyp-c2], safe)
    fix r t
    assume  $\Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ r \ \Gamma \vdash \langle c2, Normal \ r \rangle \Rightarrow Normal \ t$ 
    then show  $\Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow Normal \ t$ 
      by (iprover intro: exec.intros)
    next
      fix r t
      assume  $\Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ r \ \Gamma \vdash \langle c2, Normal \ r \rangle \Rightarrow Abrupt \ t$ 
      then show  $\Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow Abrupt \ t$ 
        by (iprover intro: exec.intros)
      qed
    qed
  next
    case (Cond b c1 c2)
    have  $\forall Z. \Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle c1, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F))\}$  c1

```

$\{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ t\},$
 $\{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$
using *Cond.hyps* **by** *iprover*
hence $\Gamma, \Theta \vdash_F (\{s. s=Z \wedge \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' \ (-F))\} \cap b)$
 $c1$
 $\{t. \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ Z \rangle \Rightarrow Normal \ t\},$
 $\{t. \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$
by (*rule ConseqMGT*)
(fastforce intro: exec.CondTrue simp add: final-notin-def)
moreover
have $\forall Z. \Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle c2, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' \ (-F))\}$
 $c2$
 $\{t. \Gamma \vdash \langle c2, Normal \ Z \rangle \Rightarrow Normal \ t\},$
 $\{t. \Gamma \vdash \langle c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$
using *Cond.hyps* **by** *iprover*
hence $\Gamma, \Theta \vdash_F (\{s. s=Z \wedge \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' \ (-F))\} \cap \neg b)$
 $c2$
 $\{t. \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ Z \rangle \Rightarrow Normal \ t\},$
 $\{t. \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$
by (*rule ConseqMGT*)
(fastforce intro: exec.CondFalse simp add: final-notin-def)
ultimately
show $\Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' \ (-F))\}$
 $Cond \ b \ c1 \ c2$
 $\{t. \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ Z \rangle \Rightarrow Normal \ t\},$
 $\{t. \Gamma \vdash \langle Cond \ b \ c1 \ c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$
by (*rule hoarep.Cond*)
next
case (*While b c*)
let $?unroll = (\{(s, t). s \in b \wedge \Gamma \vdash \langle c, Normal \ s \rangle \Rightarrow Normal \ t\})^*$
let $?P' = \lambda Z. \{t. (Z, t) \in ?unroll \wedge$
 $(\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, Normal \ e \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' \ (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, Normal \ e \rangle \Rightarrow Abrupt \ u \longrightarrow$
 $\Gamma \vdash \langle While \ b \ c, Normal \ Z \rangle \Rightarrow Abrupt \ u))\}$
let $?A' = \lambda Z. \{t. \Gamma \vdash \langle While \ b \ c, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$
show $\Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle While \ b \ c, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' \ (-F))\}$
 $While \ b \ c$
 $\{t. \Gamma \vdash \langle While \ b \ c, Normal \ Z \rangle \Rightarrow Normal \ t\},$
 $\{t. \Gamma \vdash \langle While \ b \ c, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$
proof (*rule ConseqMGT* [**where** $?P' = ?P'$
and $?Q' = \lambda Z. ?P' \ Z \cap \neg b$ **and** $?A' = ?A'$])
show $\forall Z. \Gamma, \Theta \vdash_F (?P' \ Z) (While \ b \ c) (?P' \ Z \cap \neg b), (?A' \ Z)$
proof (*rule allI, rule hoarep.While*)
fix Z

from *While*
have $\forall Z. \Gamma, \Theta \vdash_{/F} \{s. s=Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 c
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ **by** *iprover*
then show $\Gamma, \Theta \vdash_{/F} (?P' Z \cap b) c (?P' Z), (?A' Z)$
proof (*rule ConseqMGT*)
fix s
assume $s \in \{t. (Z, t) \in ?\text{unroll} \wedge$
 $(\forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)\}$
 $\cap b$
then obtain
 $Z\text{-}s\text{-unroll}: (Z, s) \in ?\text{unroll}$ **and**
 $\text{noabort}: \forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)$ **and**
 $s\text{-in-}b: s \in b$
by *blast*
show $s \in \{t. t = s \wedge \Gamma \vdash \langle c, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \wedge$
 $(\forall t. t \in \{t. \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\} \longrightarrow$
 $t \in \{t. (Z, t) \in ?\text{unroll} \wedge$
 $(\forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)\}) \wedge$
 $(\forall t. t \in \{t. \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t\} \longrightarrow$
 $t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\})$
 $(\text{is } ?C1 \wedge ?C2 \wedge ?C3)$
proof (*intro conjI*)
from $Z\text{-}s\text{-unroll}$ noabort $s\text{-in-}b$ **show** $?C1$ **by** *blast*
next
 $\{$
fix t
assume $s\text{-}t: \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t$
moreover
from $Z\text{-}s\text{-unroll}$ $s\text{-}t$ $s\text{-in-}b$
have $(Z, t) \in ?\text{unroll}$
by (*blast intro: rtrancl-into-rtrancl*)
moreover note noabort
ultimately
have $(Z, t) \in ?\text{unroll} \wedge$
 $(\forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)$

```

      by iprover
    }
  then show ?C2 by blast
next
{
  fix t
  assume s-t:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t$ 
  from Z-s-unroll noabort s-t s-in-b
  have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
    by blast
  } thus ?C3 by simp
qed
qed
qed
next
fix s
  assume P:  $s \in \{s. s=Z \wedge \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$ 
  hence WhileNoFault:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
    by auto
  show  $s \in ?P' s \wedge$ 
    ( $\forall t. t \in (?P' s \cap - b) \longrightarrow$ 
       $t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\} \wedge$ 
      ( $\forall t. t \in ?A' s \longrightarrow t \in ?A' Z$ ))
  proof (intro conjI)
  {
    fix e
    assume (Z,e)  $\in ?\text{unroll } e \in b$ 
    from this WhileNoFault
    have  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
      ( $\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$ 
         $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u$ ) (is ?Prop Z e)
    proof (induct rule: converse-rtrancl-induct [consumes 1])
    assume e-in-b:  $e \in b$ 
    assume WhileNoFault:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
    with e-in-b WhileNoFault
    have cNoFault:  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
      by (auto simp add: final-notin-def intro: exec.intros)
    moreover
    {
      fix u assume  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$ 
      with e-in-b have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$ 
        by (blast intro: exec.intros)
    }
    ultimately
    show ?Prop e e
      by iprover
  }
next

```

```

fix Z r
assume e-in-b:  $e \in b$ 
assume WhileNoFault:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault})$ 
 $(-F)$ 
assume hyp:  $\llbracket e \in b; \Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault}) \text{ ' } (-F) \rrbracket$ 
 $\implies ?Prop \ r \ e$ 
assume Z-r:
 $(Z, r) \in \{(Z, r). Z \in b \wedge \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r\}$ 
with WhileNoFault
have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault})$   $(-F)$ 
by (auto simp add: final-notin-def intro: exec.intros)
from hyp [OF e-in-b this] obtain
cNoFault:  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault})$   $(-F)$  and
Abrupt-r:  $\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$ 
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Abrupt } u$ 
by simp

{
fix u assume  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$ 
with Abrupt-r have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Abrupt } u$  by simp
moreover from Z-r obtain
 $Z \in b \ \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r$ 
by simp
ultimately have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u$ 
by (blast intro: exec.intros)
}
with cNoFault show  $?Prop \ Z \ e$ 
by iprover
qed
}
with P show  $s \in ?P' \ s$ 
by blast
next
{
fix t
assume termination:  $t \notin b$ 
assume  $(Z, t) \in ?unroll$ 
hence  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
proof (induct rule: converse-rtrancl-induct [consumes 1])
from termination
show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } t \rangle \Rightarrow \text{Normal } t$ 
by (blast intro: exec.WhileFalse)
next
fix Z r
assume first-body:
 $(Z, r) \in \{(s, t). s \in b \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\}$ 
assume  $(r, t) \in ?unroll$ 
assume rest-loop:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Normal } t$ 
show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 

```

```

proof –
  from first-body obtain
     $Z \in b \ \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r$ 
  by fast
  moreover
    from rest-loop have
       $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Normal } t$ 
    by fast
    ultimately show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
    by (rule exec.WhileTrue)
  qed
qed
}
with  $P$ 
show  $(\forall t. t \in (?P' \ s \cap - \ b) \longrightarrow t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\})$ 
by blast
next
  from  $P$  show  $\forall t. t \in ?A' \ s \longrightarrow t \in ?A' \ Z$  by simp
qed
qed
next
  case (Call p)
  let  $?P = \{s. s = Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$ 
  from noStuck-Call have  $\forall s \in ?P. p \in \text{dom } \Gamma$ 
  by (fastforce simp add: final-notin-def)
  then show  $\Gamma, \Theta \vdash_{/F} ?P \ (\text{Call } p)$ 
     $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  proof (rule conseq-extract-state-indep-prop)
    assume  $p\text{-defined}: p \in \text{dom } \Gamma$ 
    with MGT-Calls show
       $\Gamma, \Theta \vdash_{/F} \{s. s = Z \wedge$ 
         $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$ 
        (Call p)
         $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
         $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
      by (auto)
    qed
  next
    case (DynCom c)
    have hyp:
       $\bigwedge s'. \forall Z. \Gamma, \Theta \vdash_{/F} \{s. s = Z \wedge \Gamma \vdash \langle c \ s', \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$ 
     $c \ s'$ 
       $\{t. \Gamma \vdash \langle c \ s', \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c \ s', \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
    using DynCom by simp
    have hyp':
       $\Gamma, \Theta \vdash_{/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \ c$ 
     $Z$ 

```

```

    {t.  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ }, {t.  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle$ 
 $\Rightarrow \text{Abrupt } t$ }
  by (rule ConseqMGT [OF hyp])
    (fastforce simp add: final-notin-def intro: exec.intros)
  show  $\Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault '}$ 
 $(-F))\}$ 
    DynCom c
    {t.  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ },
    {t.  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ }
  apply (rule hoarep.DynCom)
  apply (clarify)
  apply (rule hyp' [simplified])
  done
next
  case (Guard f g c)
  have hyp-c:  $\forall Z. \Gamma, \Theta \vdash_F \{s. s=Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault '}$ 
 $(-F))\} c$ 
    {t.  $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ },
    {t.  $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ }
  using Guard by iprover
  show ?case
  proof (cases f  $\in F$ )
    case True
    from hyp-c
    have  $\Gamma, \Theta \vdash_F (g \cap \{s. s = Z \wedge$ 
 $\Gamma \vdash \langle \text{Guard } f g c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault '}$ 
 $(-F))\})$ 
      c
      {t.  $\Gamma \vdash \langle \text{Guard } f g c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ },
      {t.  $\Gamma \vdash \langle \text{Guard } f g c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ }
    apply (rule ConseqMGT)
    apply (insert True)
    apply (auto simp add: final-notin-def intro: exec.intros)
    done
  from True this
  show ?thesis
    by (rule conseqPre [OF Guarantee]) auto
  next
    case False
    from hyp-c
    have  $\Gamma, \Theta \vdash_F$ 
      (g  $\cap \{s. s=Z \wedge \Gamma \vdash \langle \text{Guard } f g c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault '}$ 
 $(-F))\})$ 
      c
      {t.  $\Gamma \vdash \langle \text{Guard } f g c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ },
      {t.  $\Gamma \vdash \langle \text{Guard } f g c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ }
    apply (rule ConseqMGT)
    apply clarify
    apply (frule Guard-noFaultStuckD [OF - False])
    apply (auto simp add: final-notin-def intro: exec.intros)
    done

```



```

then show ?thesis
  apply (rule conseqPre [OF hoarep.Guard])
  apply clarify
  apply (frule Guard-noFaultStuckD [OF - False])
  apply auto
  done
qed
next
case Throw
show  $\Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle \Rightarrow \neg(\{Stuck\} \cup \text{Fault } '(-F))\}$ 
Throw
   $\{t. \Gamma \vdash \langle \text{Throw}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle \text{Throw}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  by (rule conseqPre [OF hoarep.Throw]) (blast intro: exec.intros)
next
case (Catch c1 c2)
have  $\forall Z. \Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \neg(\{Stuck\} \cup \text{Fault } '(-F))\}$ 
c1
   $\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  using Catch.hyps by iprover
hence  $\Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle \Rightarrow \neg(\{Stuck\} \cup \text{Fault } '(-F))\}$ 
(-F))) c1
   $\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t \wedge$ 
   $\Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \neg(\{Stuck\} \cup \text{Fault } '(-F))\}$ 
  by (rule ConseqMGT)
  (fastforce intro: exec.intros simp add: final-notin-def)
moreover
have  $\forall Z. \Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle c_2, \text{Normal } s \rangle \Rightarrow \neg(\{Stuck\} \cup \text{Fault } '(-F))\}$ 
c2
   $\{t. \Gamma \vdash \langle c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle c_2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  using Catch.hyps by iprover
hence  $\Gamma, \Theta \vdash_F \{s. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } s \wedge$ 
 $\Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \neg(\{Stuck\} \cup \text{Fault } '(-F))\}$ 
c2
   $\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  by (rule ConseqMGT)
  (fastforce intro: exec.intros simp add: final-notin-def)
ultimately
show  $\Gamma, \Theta \vdash_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle \Rightarrow \neg(\{Stuck\} \cup \text{Fault } '(-F))\}$ 
(-F)))
  Catch c1 c2
   $\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  by (rule hoarep.Catch)
qed

```

lemma *MGT-Calls*:

$\forall p \in \text{dom } \Gamma. \forall Z.$

$\Gamma, \{\} \vdash_{/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 $(\text{Call } p)$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

proof –

{
fix $p \ Z$
assume *defined*: $p \in \text{dom } \Gamma$
have
 $\Gamma, (\bigcup_{p \in \text{dom } \Gamma} \Gamma. \bigcup Z.$
 $\{\{s. s=Z \wedge$
 $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\},$
 $p,$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}\})$
 $\vdash_{/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 $(\text{the } (\Gamma \ p))$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
(is $\Gamma, ?\Theta \vdash_{/F} (?Pre \ p \ Z) (\text{the } (\Gamma \ p)) (?Post \ p \ Z), (?Abr \ p \ Z))$

proof –

have *MGT-Calls*:

$\forall p \in \text{dom } \Gamma. \forall Z. \Gamma, ?\Theta \vdash_{/F}$

$\{s. s=Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 $(\text{Call } p)$

$\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

by (*intro ballI allI, rule HoarePartialDef.Asm, auto*)

have $\forall Z. \Gamma, ?\Theta \vdash_{/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup$
 $\text{Fault } '(-F))\}$

$(\text{the } (\Gamma \ p))$

$\{t. \Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

by (*iprover intro: MGT-lemma [OF MGT-Calls]*)

thus $\Gamma, ?\Theta \vdash_{/F} (?Pre \ p \ Z) (\text{the } (\Gamma \ p)) (?Post \ p \ Z), (?Abr \ p \ Z)$

apply (*rule ConseqMGT*)

apply (*clarify, safe*)

proof –

assume $\Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$

with *defined* **show** $\Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$

by (*fastforce simp add: final-notin-def*

intro: exec.intros)

next

fix t

assume $\Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } Z \rangle \Rightarrow \text{Normal } t$

```

    with defined
    show  $\Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
      by (auto intro: exec.Call)
  next
  fix t
  assume  $\Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
  with defined
  show  $\Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
    by (auto intro: exec.Call)
  qed
qed
}
then show ?thesis
  apply -
  apply (intro ballI allI)
  apply (rule CallRec' [where Procs=dom  $\Gamma$  and
     $P = \lambda p \ Z. \{s. s = Z \wedge$ 
       $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$  and
     $Q = \lambda p \ Z. \{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}$  and
     $A = \lambda p \ Z. \{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}]$  )
  apply simp+
  done
qed

theorem hoare-complete:  $\Gamma \models_F P \ c \ Q, A \implies \Gamma, \{\} \vdash_F P \ c \ Q, A$ 
  by (iprover intro: MGT-implies-complete MGT-lemma [OF MGT-Calls])

lemma hoare-complete':
  assumes cvalid:  $\forall n. \Gamma, \Theta \models n: /_F P \ c \ Q, A$ 
  shows  $\Gamma, \Theta \vdash_F P \ c \ Q, A$ 
proof (cases  $\Gamma \models_F P \ c \ Q, A$ )
  case True
  hence  $\Gamma, \{\} \vdash_F P \ c \ Q, A$ 
    by (rule hoare-complete)
  thus  $\Gamma, \Theta \vdash_F P \ c \ Q, A$ 
    by (rule hoare-augment-context) simp
next
  case False
  with cvalid
  show ?thesis
    by (rule ExFalso)
qed

lemma hoare-strip- $\Gamma$ :
  assumes deriv:  $\Gamma, \{\} \vdash_F P \ p \ Q, A$ 

```

```

assumes  $F'$ :  $F' \subseteq -F$ 
shows  $\text{strip } F' \Gamma, \{\} \vdash_{/F} P \text{ p } Q, A$ 
proof (rule hoare-complete)
  from hoare-sound [OF deriv] have  $\Gamma \models_{/F} P \text{ p } Q, A$ 
  by (simp add: cvalid-def)
  from this  $F'$ 
  show  $\text{strip } F' \Gamma \models_{/F} P \text{ p } Q, A$ 
  by (rule valid-to-valid-strip)
qed

```

5.3 And Now: Some Useful Rules

5.3.1 Consequence

lemma *LiberalConseq-sound*:

fixes $F::'f \text{ set}$

assumes *cons*: $\forall s \in P. \forall (t::('s, 'f) \text{ xstate}). \exists P' Q' A'. (\forall n. \Gamma, \Theta \models_{/F} P' \text{ c } Q', A') \wedge$

$((s \in P' \longrightarrow t \in \text{Normal } ' Q' \cup \text{Abrupt } ' A') \longrightarrow t \in \text{Normal } ' Q \cup \text{Abrupt } ' A)$

shows $\Gamma, \Theta \models_{/F} P \text{ c } Q, A$

proof (*rule cvalidI*)

fix $s \ t$

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P \text{ (Call } p) \text{ } Q, A$

assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle =_{/F} t$

assume $P: s \in P$

assume *t-notin-F*: $t \notin \text{Fault } ' F$

show $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$

proof –

from $P \text{ cons}$ **obtain** $P' Q' A'$ **where**

spec: $\forall n. \Gamma, \Theta \models_{/F} P' \text{ c } Q', A'$ **and**

adapt: $(s \in P' \longrightarrow t \in \text{Normal } ' Q' \cup \text{Abrupt } ' A') \longrightarrow t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$

apply –

apply (*drule* (1) *bspec*)

apply (*erule-tac* $x=t$ **in** *allE*)

apply (*elim* *exE* *conjE*)

apply *iprover*

done

from *exec spec ctxt t-notin-F*

have $s \in P' \longrightarrow t \in \text{Normal } ' Q' \cup \text{Abrupt } ' A'$

by (*simp add: cvalid-def nvalid-def*)

with *adapt* **show** *?thesis*

by *simp*

qed

qed

lemma *LiberalConseq*:

fixes $F::'f \text{ set}$

assumes *cons*: $\forall s \in P. \forall (t::('s,'f) \text{ xstate}). \exists P' Q' A'. \Gamma, \Theta \vdash_F P' c Q', A' \wedge$
 $((s \in P' \longrightarrow t \in \text{Normal} \text{ ' } Q' \cup \text{Abrupt} \text{ ' } A') \longrightarrow t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A)$
shows $\Gamma, \Theta \vdash_F P c Q, A$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule LiberalConseq-sound*)
using *cons*
apply (*clarify*)
apply (*drule (1) bspec*)
apply (*erule-tac x=t in allE*)
apply *clarify*
apply (*rule-tac x=P' in exI*)
apply (*rule-tac x=Q' in exI*)
apply (*rule-tac x=A' in exI*)
apply (*rule conjI*)
apply (*blast intro: hoare-cnvalid*)
apply *assumption*
done

lemma $\forall s \in P. \exists P' Q' A'. \Gamma, \Theta \vdash_F P' c Q', A' \wedge s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A$
 $\implies \Gamma, \Theta \vdash_F P c Q, A$
apply (*rule LiberalConseq*)
apply (*rule ballI*)
apply (*drule (1) bspec*)
apply *clarify*
apply (*rule-tac x=P' in exI*)
apply (*rule-tac x=Q' in exI*)
apply (*rule-tac x=A' in exI*)
apply *auto*
done

lemma
fixes *F*:: '*f* set
assumes *cons*: $\forall s \in P. \exists P' Q' A'. \Gamma, \Theta \vdash_F P' c Q', A' \wedge$
 $(\forall (t::('s,'f) \text{ xstate}). (s \in P' \longrightarrow t \in \text{Normal} \text{ ' } Q' \cup \text{Abrupt} \text{ ' } A') \longrightarrow t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A)$
shows $\Gamma, \Theta \vdash_F P c Q, A$
apply (*rule Conseq*)
apply (*rule ballI*)
apply (*insert cons*)
apply (*drule (1) bspec*)
apply *clarify*
apply (*rule-tac x=P' in exI*)
apply (*rule-tac x=Q' in exI*)
apply (*rule-tac x=A' in exI*)
apply (*rule conjI*)
apply *assumption*

oops

lemma *LiberalConseq'*:
fixes $F:: 'f \text{ set}$
assumes $\text{cons}: \forall s \in P. \exists P' Q' A'. \Gamma, \Theta \vdash_F P' c Q', A' \wedge$
 $(\forall (t::('s, 'f) \text{ xstate}). (s \in P' \longrightarrow t \in \text{Normal} \text{ ' } Q' \cup \text{Abrupt} \text{ ' } A') \longrightarrow t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A)$
shows $\Gamma, \Theta \vdash_F P c Q, A$
apply (*rule LiberalConseq*)
apply (*rule ballI*)
apply (*rule allI*)
apply (*insert cons*)
apply (*drule* (1) *bspec*)
apply *clarify*
apply (*rule-tac* $x=P'$ **in** *exI*)
apply (*rule-tac* $x=Q'$ **in** *exI*)
apply (*rule-tac* $x=A'$ **in** *exI*)
apply *iprover*
done

lemma *LiberalConseq''*:
fixes $F:: 'f \text{ set}$
assumes $\text{spec}: \forall Z. \Gamma, \Theta \vdash_F (P' Z) c (Q' Z), (A' Z)$
assumes $\text{cons}: \forall s (t::('s, 'f) \text{ xstate}).$
 $(\forall Z. s \in P' Z \longrightarrow t \in \text{Normal} \text{ ' } Q' Z \cup \text{Abrupt} \text{ ' } A' Z) \longrightarrow (s \in P \longrightarrow t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A)$
shows $\Gamma, \Theta \vdash_F P c Q, A$
apply (*rule LiberalConseq*)
apply (*rule ballI*)
apply (*rule allI*)
apply (*insert cons*)
apply (*erule-tac* $x=s$ **in** *allE*)
apply (*erule-tac* $x=t$ **in** *allE*)
apply (*case-tac* $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$)
apply (*insert spec*)
apply *iprover*
apply *auto*
done

primrec $\text{procs}:: ('s, 'p, 'f) \text{ com} \Rightarrow 'p \text{ set}$
where
 $\text{procs } \text{Skip} = \{\}$ |
 $\text{procs } (\text{Basic } f) = \{f\}$ |
 $\text{procs } (\text{Seq } c_1 c_2) = (\text{procs } c_1 \cup \text{procs } c_2)$ |
 $\text{procs } (\text{Cond } b c_1 c_2) = (\text{procs } c_1 \cup \text{procs } c_2)$ |
 $\text{procs } (\text{While } b c) = \text{procs } c$ |
 $\text{procs } (\text{Call } p) = \{p\}$ |
 $\text{procs } (\text{DynCom } c) = (\bigcup s. \text{procs } (c \ s))$ |

$procs\ (Guard\ f\ g\ c) = procs\ c \mid$
 $procs\ Throw = \{\}$ \mid
 $procs\ (Catch\ c_1\ c_2) = (procs\ c_1 \cup procs\ c_2)$

primrec $noSpec:: ('s, 'p, 'f) com \Rightarrow bool$

where

$noSpec\ Skip = True \mid$
 $noSpec\ (Basic\ f) = True \mid$
 $noSpec\ (Spec\ r) = False \mid$
 $noSpec\ (Seq\ c_1\ c_2) = (noSpec\ c_1 \wedge noSpec\ c_2) \mid$
 $noSpec\ (Cond\ b\ c_1\ c_2) = (noSpec\ c_1 \wedge noSpec\ c_2) \mid$
 $noSpec\ (While\ b\ c) = noSpec\ c \mid$
 $noSpec\ (Call\ p) = True \mid$
 $noSpec\ (DynCom\ c) = (\forall s. noSpec\ (c\ s)) \mid$
 $noSpec\ (Guard\ f\ g\ c) = noSpec\ c \mid$
 $noSpec\ Throw = True \mid$
 $noSpec\ (Catch\ c_1\ c_2) = (noSpec\ c_1 \wedge noSpec\ c_2)$

lemma $exec-noSpec-no-Stuck$:

assumes $exec: \Gamma \vdash \langle c, s \rangle \Rightarrow t$
assumes $noSpec-c: noSpec\ c$
assumes $noSpec-\Gamma: \forall p \in dom\ \Gamma. noSpec\ (the\ (\Gamma\ p))$
assumes $procs-subset: procs\ c \subseteq dom\ \Gamma$
assumes $procs-subset-\Gamma: \forall p \in dom\ \Gamma. procs\ (the\ (\Gamma\ p)) \subseteq dom\ \Gamma$
assumes $s-no-Stuck: s \neq Stuck$
shows $t \neq Stuck$
using $exec\ noSpec-c\ procs-subset\ s-no-Stuck$ **proof** *induct*
 case $(Call\ p\ bdy\ s\ t)$ **with** $noSpec-\Gamma\ procs-subset-\Gamma$ **show** $?case$
 by $(auto\ dest!:\ bspec\ [of\ -\ -\ p])$
next
 case $(DynCom\ c\ s\ t)$ **then show** $?case$
 by $auto\ blast$
qed $auto$

lemma $execn-noSpec-no-Stuck$:

assumes $exec: \Gamma \vdash \langle c, s \rangle =n\Rightarrow t$
assumes $noSpec-c: noSpec\ c$
assumes $noSpec-\Gamma: \forall p \in dom\ \Gamma. noSpec\ (the\ (\Gamma\ p))$
assumes $procs-subset: procs\ c \subseteq dom\ \Gamma$
assumes $procs-subset-\Gamma: \forall p \in dom\ \Gamma. procs\ (the\ (\Gamma\ p)) \subseteq dom\ \Gamma$
assumes $s-no-Stuck: s \neq Stuck$
shows $t \neq Stuck$
using $exec\ noSpec-c\ procs-subset\ s-no-Stuck$ **proof** *induct*
 case $(Call\ p\ bdy\ n\ s\ t)$ **with** $noSpec-\Gamma\ procs-subset-\Gamma$ **show** $?case$
 by $(auto\ dest!:\ bspec\ [of\ -\ -\ p])$
next
 case $(DynCom\ c\ s\ t)$ **then show** $?case$
 by $auto\ blast$
qed $auto$

lemma *LiberalConseq-noguards-nothrows-sound:*
assumes *spec:* $\forall Z. \forall n. \Gamma, \Theta \models n: /_F (P' Z) \ c \ (Q' Z), (A' Z)$
assumes *cons:* $\forall s \ t. (\forall Z. s \in P' Z \longrightarrow t \in Q' Z) \longrightarrow (s \in P \longrightarrow t \in Q)$
assumes *noguards-c:* *noguards c*
assumes *noguards- Γ :* $\forall p \in \text{dom } \Gamma. \text{noguards } (\text{the } (\Gamma \ p))$
assumes *nothrows-c:* *nothrows c*
assumes *nothrows- Γ :* $\forall p \in \text{dom } \Gamma. \text{nothrows } (\text{the } (\Gamma \ p))$
assumes *noSpec-c:* *noSpec c*
assumes *noSpec- Γ :* $\forall p \in \text{dom } \Gamma. \text{noSpec } (\text{the } (\Gamma \ p))$
assumes *procs-subset:* *procs c $\subseteq \text{dom } \Gamma$*
assumes *procs-subset- Γ :* $\forall p \in \text{dom } \Gamma. \text{procs } (\text{the } (\Gamma \ p)) \subseteq \text{dom } \Gamma$
shows $\Gamma, \Theta \models n: /_F P \ c \ Q, A$
proof (*rule cinvalidI*)
fix *s t*
assume *ctxt:* $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P \ (\text{Call } p) \ Q, A$
assume *exec:* $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$
assume *P:* $s \in P$
assume *t-notin-F:* $t \notin \text{Fault } ' F$
show $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$
proof –
from *execn-noguards-no-Fault* [*OF exec noguards-c noguards- Γ*]
execn-nothrows-no-Abrupt [*OF exec nothrows-c nothrows- Γ*]
execn-noSpec-no-Stuck [*OF exec*
noSpec-c noSpec- Γ procs-subset
procs-subset- Γ]
obtain *t' where* *t:* $t = \text{Normal } t'$
by (*cases t*) *auto*
with *exec spec ctxt*
have $(\forall Z. s \in P' Z \longrightarrow t' \in Q' Z)$
by (*unfold cinvalid-def nvalid-def*) *blast*
with *cons P t* **show** *?thesis*
by *simp*
qed
qed

lemma *LiberalConseq-noguards-nothrows:*
assumes *spec:* $\forall Z. \Gamma, \Theta \vdash /_F (P' Z) \ c \ (Q' Z), (A' Z)$
assumes *cons:* $\forall s \ t. (\forall Z. s \in P' Z \longrightarrow t \in Q' Z) \longrightarrow (s \in P \longrightarrow t \in Q)$
assumes *noguards-c:* *noguards c*
assumes *noguards- Γ :* $\forall p \in \text{dom } \Gamma. \text{noguards } (\text{the } (\Gamma \ p))$
assumes *nothrows-c:* *nothrows c*
assumes *nothrows- Γ :* $\forall p \in \text{dom } \Gamma. \text{nothrows } (\text{the } (\Gamma \ p))$
assumes *noSpec-c:* *noSpec c*
assumes *noSpec- Γ :* $\forall p \in \text{dom } \Gamma. \text{noSpec } (\text{the } (\Gamma \ p))$
assumes *procs-subset:* *procs c $\subseteq \text{dom } \Gamma$*

assumes *procs-subset*- Γ : $\forall p \in \text{dom } \Gamma. \text{procs } (\text{the } (\Gamma \ p)) \subseteq \text{dom } \Gamma$
shows $\Gamma, \Theta \vdash_F P \ c \ Q, A$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule LiberalConseq-noguards-nothrows-sound*
 $[OF \text{ - cons noguards-c noguards-}\Gamma \text{ nothrows-c nothrows-}\Gamma$
 $\text{noSpec-c noSpec-}\Gamma$
 $\text{procs-subset procs-subset-}\Gamma]$)
apply (*insert spec*)
apply (*intro allI*)
apply (*erule-tac x=Z in allE*)
by (*rule hoare-cnvalid*)

lemma
assumes *spec*: $\forall Z. \Gamma, \Theta \vdash_F \{s. s = \text{fst } Z \wedge P \ s \ (\text{snd } Z)\} \ c \ \{t. Q \ (\text{fst } Z) \ (\text{snd } Z)$
 $t\}, \{\}$
assumes *noguards-c*: *noguards c*
assumes *noguards- Γ* : $\forall p \in \text{dom } \Gamma. \text{noguards } (\text{the } (\Gamma \ p))$
assumes *nothrows-c*: *nothrows c*
assumes *nothrows- Γ* : $\forall p \in \text{dom } \Gamma. \text{nothrows } (\text{the } (\Gamma \ p))$
assumes *noSpec-c*: *noSpec c*
assumes *noSpec- Γ* : $\forall p \in \text{dom } \Gamma. \text{noSpec } (\text{the } (\Gamma \ p))$
assumes *procs-subset*: *procs c* $\subseteq \text{dom } \Gamma$
assumes *procs-subset- Γ* : $\forall p \in \text{dom } \Gamma. \text{procs } (\text{the } (\Gamma \ p)) \subseteq \text{dom } \Gamma$
shows $\forall \sigma. \Gamma, \Theta \vdash_F \{s. s = \sigma\} \ c \ \{t. \forall l. P \ \sigma \ l \longrightarrow Q \ \sigma \ l \ t\}, \{\}$
apply (*rule allI*)
apply (*rule LiberalConseq-noguards-nothrows*
 $[OF \text{ spec - noguards-c noguards-}\Gamma \text{ nothrows-c nothrows-}\Gamma$
 $\text{noSpec-c noSpec-}\Gamma$
 $\text{procs-subset procs-subset-}\Gamma]$)
apply *auto*
done

5.3.2 Modify Return

lemma *ProcModifyReturn-sound*:
assumes *valid-call*: $\forall n. \Gamma, \Theta \models_n \vdash_F P \text{ call init } p \text{ return}' \ c \ Q, A$
assumes *valid-modif*:
 $\forall \sigma. \forall n. \Gamma, \Theta \models_n \vdash_{UNIV} \{\sigma\} \text{ Call } p \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$
assumes *ret-modif*:
 $\forall s \ t. t \in \text{Modif } (\text{init } s)$
 $\longrightarrow \text{return}' \ s \ t = \text{return } s \ t$
assumes *ret-modifAbr*: $\forall s \ t. t \in \text{ModifAbr } (\text{init } s)$
 $\longrightarrow \text{return}' \ s \ t = \text{return } s \ t$
shows $\Gamma, \Theta \models_n \vdash_F P \ (\text{call init } p \text{ return } c) \ Q, A$
proof (*rule cnvalidI*)
fix $s \ t$
assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_n \vdash_F P \ (\text{Call } p) \ Q, A$

then have $ctxt'$: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/UNIV} P (Call\ p)\ Q, A$
by (*auto intro: nvalid-augment-Faults*)
assume $exec$: $\Gamma \vdash \langle call\ init\ p\ return\ c, Normal\ s \rangle = n \Rightarrow t$
assume P : $s \in P$
assume t -notin- F : $t \notin Fault\ 'F$
from $exec$
show $t \in Normal\ 'Q \cup Abrupt\ 'A$
proof (*cases rule: execn-call-Normal-elim*)
fix $bdy\ m\ t'$
assume bdy : $\Gamma\ p = Some\ bdy$
assume $exec$ -body: $\Gamma \vdash \langle bdy, Normal\ (init\ s) \rangle = m \Rightarrow Normal\ t'$
assume $exec$ -c: $\Gamma \vdash \langle c\ s\ t', Normal\ (return\ s\ t') \rangle = Suc\ m \Rightarrow t$
assume n : $n = Suc\ m$
from $exec$ -body $n\ bdy$
have $\Gamma \vdash \langle Call\ p, Normal\ (init\ s) \rangle = n \Rightarrow Normal\ t'$
by (*auto simp add: intro: execn.Call*)
from $cnvalidD$ [*OF valid-modif [rule-format, of n init s] ctxt' this*] P
have $t' \in Modif\ (init\ s)$
by *auto*
with ret -modif **have** $Normal\ (return'\ s\ t') =$
 $Normal\ (return\ s\ t')$
by *simp*
with $exec$ -body $exec$ -c $bdy\ n$
have $\Gamma \vdash \langle call\ init\ p\ return'\ c, Normal\ s \rangle = n \Rightarrow t$
by (*auto intro: execn-call*)
from $cnvalidD$ [*OF valid-call [rule-format] ctxt this*] $P\ t$ -notin- F
show *?thesis*
by *simp*
next
fix $bdy\ m\ t'$
assume bdy : $\Gamma\ p = Some\ bdy$
assume $exec$ -body: $\Gamma \vdash \langle bdy, Normal\ (init\ s) \rangle = m \Rightarrow Abrupt\ t'$
assume n : $n = Suc\ m$
assume t : $t = Abrupt\ (return\ s\ t')$
also from $exec$ -body $n\ bdy$
have $\Gamma \vdash \langle Call\ p, Normal\ (init\ s) \rangle = n \Rightarrow Abrupt\ t'$
by (*auto simp add: intro: execn.intros*)
from $cnvalidD$ [*OF valid-modif [rule-format, of n init s] ctxt' this*] P
have $t' \in ModifAbr\ (init\ s)$
by *auto*
with ret -modifAbr **have** $Abrupt\ (return\ s\ t') = Abrupt\ (return'\ s\ t')$
by *simp*
finally have $t = Abrupt\ (return'\ s\ t') .$
with $exec$ -body $bdy\ n$
have $\Gamma \vdash \langle call\ init\ p\ return'\ c, Normal\ s \rangle = n \Rightarrow t$
by (*auto intro: execn-callAbrupt*)
from $cnvalidD$ [*OF valid-call [rule-format] ctxt this*] $P\ t$ -notin- F
show *?thesis*
by *simp*

```

next
  fix bdy m f
  assume bdy:  $\Gamma \ p = \text{Some } bdy$ 
  assume  $\Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = m \Rightarrow \text{Fault } f \ n = \text{Suc } m$ 
     $t = \text{Fault } f$ 
  with bdy have  $\Gamma \vdash \langle \text{call init } p \ \text{return}' \ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto intro: execn-callFault)
  from valid-call [rule-format] ctxt this P t-notin-F
  show ?thesis
    by (rule cinvalidD)
next
  fix bdy m
  assume bdy:  $\Gamma \ p = \text{Some } bdy$ 
  assume  $\Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = m \Rightarrow \text{Stuck } n = \text{Suc } m$ 
     $t = \text{Stuck}$ 
  with bdy have  $\Gamma \vdash \langle \text{call init } p \ \text{return}' \ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto intro: execn-callStuck)
  from valid-call [rule-format] ctxt this P t-notin-F
  show ?thesis
    by (rule cinvalidD)
next
  fix m
  assume  $\Gamma \ p = \text{None}$ 
  and  $n = \text{Suc } m \ t = \text{Stuck}$ 
  then have  $\Gamma \vdash \langle \text{call init } p \ \text{return}' \ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto intro: execn-callUndefined)
  from valid-call [rule-format] ctxt this P t-notin-F
  show ?thesis
    by (rule cinvalidD)
qed
qed

```

```

lemma ProcModifyReturn:
  assumes spec:  $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return}' \ c) \ Q, A$ 
  assumes result-conform:
     $\forall s \ t. t \in \text{Modif } (\text{init } s) \longrightarrow (\text{return}' \ s \ t) = (\text{return } s \ t)$ 
  assumes return-conform:
     $\forall s \ t. t \in \text{ModifAbr } (\text{init } s) \longrightarrow (\text{return}' \ s \ t) = (\text{return } s \ t)$ 
  assumes modifies-spec:
     $\forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} \ \text{Call } p \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$ 
  shows  $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return } c) \ Q, A$ 
  apply (rule hoare-complete')
  apply (rule allI)
  apply (rule ProcModifyReturn-sound
    [where Modif=Modif and ModifAbr=ModifAbr,
      OF - - result-conform return-conform] )
  using spec

```

apply (*blast intro: hoare-cnvalid*)
using *modifies-spec*
apply (*blast intro: hoare-cnvalid*)
done

lemma *ProcModifyReturnSameFaults-sound:*

assumes *valid-call*: $\forall n. \Gamma, \Theta \models_{n:/F} P \text{ call init } p \text{ return}' c \ Q, A$
assumes *valid-modif*:
 $\forall \sigma. \forall n. \Gamma, \Theta \models_{n:/F} \{\sigma\} \text{ Call } p \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$
assumes *ret-modif*:
 $\forall s \ t. t \in \text{Modif } (\text{init } s)$
 $\longrightarrow \text{return}' s \ t = \text{return } s \ t$
assumes *ret-modifAbr*: $\forall s \ t. t \in \text{ModifAbr } (\text{init } s)$
 $\longrightarrow \text{return}' s \ t = \text{return } s \ t$
shows $\Gamma, \Theta \models_{n:/F} P \ (\text{call init } p \text{ return } c) \ Q, A$
proof (*rule cnvalidI*)
fix $s \ t$
assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P \ (\text{Call } p) \ Q, A$
assume *exec*: $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle = n \Rightarrow t$
assume $P: s \in P$
assume *t-notin-F*: $t \notin \text{Fault } F$
from *exec*
show $t \in \text{Normal } Q \cup \text{Abrupt } A$
proof (*cases rule: execn-call-Normal-elim*)
fix $\text{bdy } m \ t'$
assume *bdy*: $\Gamma \ p = \text{Some } \text{bdy}$
assume *exec-body*: $\Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle = m \Rightarrow \text{Normal } t'$
assume *exec-c*: $\Gamma \vdash \langle c \ s \ t', \text{Normal } (\text{return } s \ t') \rangle = \text{Suc } m \Rightarrow t$
assume $n: n = \text{Suc } m$
from *exec-body* $n \ \text{bdy}$
have $\Gamma \vdash \langle \text{Call } p, \text{Normal } (\text{init } s) \rangle = n \Rightarrow \text{Normal } t'$
by (*auto simp add: intro: execn.intros*)
from *cnvalidD* [*OF valid-modif [rule-format, of n init s] ctxt this*] P
have $t' \in \text{Modif } (\text{init } s)$
by *auto*
with *ret-modif* **have** $\text{Normal } (\text{return}' s \ t') =$
 $\text{Normal } (\text{return } s \ t')$
by *simp*
with *exec-body* *exec-c* $\text{bdy } n$
have $\Gamma \vdash \langle \text{call init } p \text{ return}' c, \text{Normal } s \rangle = n \Rightarrow t$
by (*auto intro: execn-call*)
from *cnvalidD* [*OF valid-call [rule-format] ctxt this*] $P \ t\text{-notin-}F$
show *?thesis*
by *simp*
next
fix $\text{bdy } m \ t'$
assume *bdy*: $\Gamma \ p = \text{Some } \text{bdy}$
assume *exec-body*: $\Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle = m \Rightarrow \text{Abrupt } t'$
assume $n: n = \text{Suc } m$

```

assume  $t: t = \text{Abrupt} (\text{return } s \ t')$ 
also
from  $\text{exec-body } n \ bdy$ 
have  $\Gamma \vdash \langle \text{Call } p, \text{Normal } (\text{init } s) \rangle = n \Rightarrow \text{Abrupt } t'$ 
  by  $(\text{auto simp add: intro: execn.intros})$ 
from  $\text{cvalidD } [OF \ \text{valid-modif } [\text{rule-format}, \text{of } n \ \text{init } s] \ \text{ctxt this}] \ P$ 
have  $t' \in \text{ModifAbr } (\text{init } s)$ 
  by  $\text{auto}$ 
with  $\text{ret-modifAbr}$  have  $\text{Abrupt} (\text{return } s \ t') = \text{Abrupt} (\text{return}' s \ t')$ 
  by  $\text{simp}$ 
finally have  $t = \text{Abrupt} (\text{return}' s \ t') .$ 
with  $\text{exec-body } bdy \ n$ 
have  $\Gamma \vdash \langle \text{call init } p \ \text{return}' c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{auto intro: execn-callAbrupt})$ 
from  $\text{cvalidD } [OF \ \text{valid-call } [\text{rule-format}] \ \text{ctxt this}] \ P \ t\text{-notin-}F$ 
show  $?thesis$ 
  by  $\text{simp}$ 
next
fix  $bdy \ m \ f$ 
assume  $bdy: \Gamma \ p = \text{Some } bdy$ 
assume  $\Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = m \Rightarrow \text{Fault } f \ n = \text{Suc } m$  and
   $t: t = \text{Fault } f$ 
with  $bdy$  have  $\Gamma \vdash \langle \text{call init } p \ \text{return}' c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{auto intro: execn-callFault})$ 
from  $\text{cvalidD } [OF \ \text{valid-call } [\text{rule-format}] \ \text{ctxt this } P] \ t \ t\text{-notin-}F$ 
show  $?thesis$ 
  by  $\text{simp}$ 
next
fix  $bdy \ m$ 
assume  $bdy: \Gamma \ p = \text{Some } bdy$ 
assume  $\Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle = m \Rightarrow \text{Stuck } n = \text{Suc } m$ 
   $t = \text{Stuck}$ 
with  $bdy$  have  $\Gamma \vdash \langle \text{call init } p \ \text{return}' c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{auto intro: execn-callStuck})$ 
from  $\text{valid-call } [\text{rule-format}] \ \text{ctxt this } P \ t\text{-notin-}F$ 
show  $?thesis$ 
  by  $(\text{rule cvalidD})$ 
next
fix  $m$ 
assume  $\Gamma \ p = \text{None}$ 
and  $n = \text{Suc } m \ t = \text{Stuck}$ 
then have  $\Gamma \vdash \langle \text{call init } p \ \text{return}' c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{auto intro: execn-callUndefined})$ 
from  $\text{valid-call } [\text{rule-format}] \ \text{ctxt this } P \ t\text{-notin-}F$ 
show  $?thesis$ 
  by  $(\text{rule cvalidD})$ 
qed
qed

```

lemma *ProcModifyReturnSameFaults*:
assumes *spec*: $\Gamma, \Theta \vdash_F P \text{ (call init } p \text{ return' } c) Q, A$
assumes *result-conform*:
 $\forall s t. t \in \text{Modif (init } s) \longrightarrow (\text{return' } s t) = (\text{return } s t)$
assumes *return-conform*:
 $\forall s t. t \in \text{ModifAbr (init } s) \longrightarrow (\text{return' } s t) = (\text{return } s t)$
assumes *modifies-spec*:
 $\forall \sigma. \Gamma, \Theta \vdash_F \{\sigma\} \text{ Call } p \text{ (Modif } \sigma), (\text{ModifAbr } \sigma)$
shows $\Gamma, \Theta \vdash_F P \text{ (call init } p \text{ return } c) Q, A$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule ProcModifyReturnSameFaults-sound*
 $[\text{where } \text{Modif} = \text{Modif} \text{ and } \text{ModifAbr} = \text{ModifAbr},$
 $OF - \text{ result-conform return-conform}]$)
using *spec*
apply (*blast intro: hoare-cnvalid*)
using *modifies-spec*
apply (*blast intro: hoare-cnvalid*)
done

5.3.3 DynCall

lemma *dynProcModifyReturn-sound*:
assumes *valid-call*: $\bigwedge n. \Gamma, \Theta \models n: /_F P \text{ dynCall init } p \text{ return' } c Q, A$
assumes *valid-modif*:
 $\forall s \in P. \forall \sigma. \forall n.$
 $\Gamma, \Theta \models n: /_{UNIV} \{\sigma\} \text{ Call (p } s) \text{ (Modif } \sigma), (\text{ModifAbr } \sigma)$
assumes *ret-modif*:
 $\forall s t. t \in \text{Modif (init } s)$
 $\longrightarrow \text{return' } s t = \text{return } s t$
assumes *ret-modifAbr*: $\forall s t. t \in \text{ModifAbr (init } s)$
 $\longrightarrow \text{return' } s t = \text{return } s t$
shows $\Gamma, \Theta \models n: /_F P \text{ (dynCall init } p \text{ return } c) Q, A$
proof (*rule cnvalidI*)
fix $s t$
assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P \text{ (Call } p) Q, A$
then have *ctxt'*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_{UNIV} P \text{ (Call } p) Q, A$
by (*auto intro: nvalid-augment-Faults*)
assume *exec*: $\Gamma \vdash \langle \text{dynCall init } p \text{ return } c, \text{Normal } s \rangle = n \Rightarrow t$
assume *t-notin-F*: $t \notin \text{Fault ' } F$
assume *P*: $s \in P$
with *valid-modif*
have *valid-modif'*: $\forall \sigma. \forall n.$
 $\Gamma, \Theta \models n: /_{UNIV} \{\sigma\} \text{ Call (p } s) \text{ (Modif } \sigma), (\text{ModifAbr } \sigma)$
by *blast*
from *exec*
have $\Gamma \vdash \langle \text{call init (p } s) \text{ return } c, \text{Normal } s \rangle = n \Rightarrow t$

```

  by (cases rule: execn-dynCall-Normal-elim)
then show  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt ' } A$ 
proof (cases rule: execn-call-Normal-elim)
  fix bdy m t'
  assume bdy:  $\Gamma (p\ s) = \text{Some bdy}$ 
  assume exec-body:  $\Gamma \vdash \langle \text{bdy}, \text{Normal (init s)} \rangle = m \Rightarrow \text{Normal } t'$ 
  assume exec-c:  $\Gamma \vdash \langle c\ s\ t', \text{Normal (return s t')} \rangle = \text{Suc } m \Rightarrow t$ 
  assume n:  $n = \text{Suc } m$ 
  from exec-body n bdy
  have  $\Gamma \vdash \langle \text{Call (p s) }, \text{Normal (init s)} \rangle = n \Rightarrow \text{Normal } t'$ 
    by (auto simp add: intro: execn.intros)
  from cvalidD [OF valid-modif' [rule-format, of n init s] ctxt' this] P
  have  $t' \in \text{Modif (init s)}$ 
    by auto
  with ret-modif have  $\text{Normal (return' s t')} = \text{Normal (return s t')}$ 
    by simp
  with exec-body exec-c bdy n
  have  $\Gamma \vdash \langle \text{call init (p s) return' c, Normal s} \rangle = n \Rightarrow t$ 
    by (auto intro: execn-call)
  hence  $\Gamma \vdash \langle \text{dynCall init p return' c, Normal s} \rangle = n \Rightarrow t$ 
    by (rule execn-dynCall)
  from cvalidD [OF valid-call ctxt this] P t-notin-F
  show ?thesis
    by simp
next
  fix bdy m t'
  assume bdy:  $\Gamma (p\ s) = \text{Some bdy}$ 
  assume exec-body:  $\Gamma \vdash \langle \text{bdy}, \text{Normal (init s)} \rangle = m \Rightarrow \text{Abrupt } t'$ 
  assume n:  $n = \text{Suc } m$ 
  assume t:  $t = \text{Abrupt (return s t')}$ 
  also from exec-body n bdy
  have  $\Gamma \vdash \langle \text{Call (p s) }, \text{Normal (init s)} \rangle = n \Rightarrow \text{Abrupt } t'$ 
    by (auto simp add: intro: execn.intros)
  from cvalidD [OF valid-modif' [rule-format, of n init s] ctxt' this] P
  have  $t' \in \text{ModifAbr (init s)}$ 
    by auto
  with ret-modifAbr have  $\text{Abrupt (return s t')} = \text{Abrupt (return' s t')}$ 
    by simp
  finally have  $t = \text{Abrupt (return' s t')}$  .
  with exec-body bdy n
  have  $\Gamma \vdash \langle \text{call init (p s) return' c, Normal s} \rangle = n \Rightarrow t$ 
    by (auto intro: execn-callAbrupt)
  hence  $\Gamma \vdash \langle \text{dynCall init p return' c, Normal s} \rangle = n \Rightarrow t$ 
    by (rule execn-dynCall)
  from cvalidD [OF valid-call ctxt this] P t-notin-F
  show ?thesis
    by simp
next
  fix bdy m f

```

```

assume  $bdy: \Gamma (p\ s) = \text{Some } bdy$ 
assume  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = m \Rightarrow \text{Fault } f\ n = \text{Suc } m$ 
 $t = \text{Fault } f$ 
with  $bdy$  have  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{auto intro: execn-callFault})$ 
hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{rule execn-dynCall})$ 
from  $\text{valid-call ctxt this } P\ t\text{-notin-}F$ 
show  $?thesis$ 
  by  $(\text{rule cinvalidD})$ 
next
fix  $bdy\ m$ 
assume  $bdy: \Gamma (p\ s) = \text{Some } bdy$ 
assume  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = m \Rightarrow \text{Stuck } n = \text{Suc } m$ 
 $t = \text{Stuck}$ 
with  $bdy$  have  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{auto intro: execn-callStuck})$ 
hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{rule execn-dynCall})$ 
from  $\text{valid-call ctxt this } P\ t\text{-notin-}F$ 
show  $?thesis$ 
  by  $(\text{rule cinvalidD})$ 
next
fix  $m$ 
assume  $\Gamma (p\ s) = \text{None}$ 
and  $n = \text{Suc } m\ t = \text{Stuck}$ 
hence  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{auto intro: execn-callUndefined})$ 
hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
  by  $(\text{rule execn-dynCall})$ 
from  $\text{valid-call ctxt this } P\ t\text{-notin-}F$ 
show  $?thesis$ 
  by  $(\text{rule cinvalidD})$ 
qed
qed

lemma  $\text{dynProcModifyReturn}$ :
assumes  $\text{dyn-call: } \Gamma, \Theta \vdash_F P\ \text{dynCall init } p\ \text{return}'\ c\ Q, A$ 
assumes  $\text{ret-modif:}$ 
   $\forall s\ t. t \in \text{Modif } (init\ s)$ 
   $\longrightarrow \text{return}'\ s\ t = \text{return } s\ t$ 
assumes  $\text{ret-modifAbr: } \forall s\ t. t \in \text{ModifAbr } (init\ s)$ 
   $\longrightarrow \text{return}'\ s\ t = \text{return } s\ t$ 
assumes  $\text{modif:}$ 
   $\forall s \in P. \forall \sigma.$ 
   $\Gamma, \Theta \vdash_{UNIV} \{\sigma\}\ \text{Call } (p\ s)\ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$ 
shows  $\Gamma, \Theta \vdash_F P\ (\text{dynCall init } p\ \text{return } c)\ Q, A$ 
apply  $(\text{rule hoare-complete'})$ 
apply  $(\text{rule allI})$ 

```


apply (rule *dynProcModifyReturn-sound* [where *Modif*=*Modif* and *ModifAbr*=*ModifAbr*,
 OF *hoare-cnvalid* [*OF* *dyn-call*] - *ret-modif* *ret-modifAbr*])
apply (intro *ballI* *allI*)
apply (rule *hoare-cnvalid* [*OF* *modif* [*rule-format*]])
apply *assumption*
done

lemma *dynProcModifyReturnSameFaults-sound*:

assumes *valid-call*: $\bigwedge n. \Gamma, \Theta \models_{n:/F} P \text{ dynCall init } p \text{ return' } c \text{ } Q, A$

assumes *valid-modif*:

$\forall s \in P. \forall \sigma. \forall n.$

$\Gamma, \Theta \models_{n:/F} \{\sigma\} \text{ Call } (p \ s) \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$

assumes *ret-modif*:

$\forall s \ t. t \in \text{Modif } (\text{init } s) \longrightarrow \text{return' } s \ t = \text{return } s \ t$

assumes *ret-modifAbr*: $\forall s \ t. t \in \text{ModifAbr } (\text{init } s) \longrightarrow \text{return' } s \ t = \text{return } s \ t$

shows $\Gamma, \Theta \models_{n:/F} P \text{ (dynCall init } p \text{ return } c) \text{ } Q, A$

proof (rule *cnvalidI*)

fix *s t*

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{n:/F} P \text{ (Call } p) \text{ } Q, A$

assume *exec*: $\Gamma \vdash \langle \text{dynCall init } p \text{ return } c, \text{Normal } s \rangle = n \Rightarrow t$

assume *t-notin-F*: $t \notin \text{Fault } ' F$

assume *P*: $s \in P$

with *valid-modif*

have *valid-modif'*: $\forall \sigma. \forall n.$

$\Gamma, \Theta \models_{n:/F} \{\sigma\} \text{ Call } (p \ s) \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$

by *blast*

from *exec*

have $\Gamma \vdash \langle \text{call init } (p \ s) \text{ return } c, \text{Normal } s \rangle = n \Rightarrow t$

by (cases rule: *execn-dynCall-Normal-elim*)

then show $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$

proof (cases rule: *execn-call-Normal-elim*)

fix *bdy m t'*

assume *bdy*: $\Gamma \text{ (} p \ s) = \text{Some bdy}$

assume *exec-body*: $\Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle = m \Rightarrow \text{Normal } t'$

assume *exec-c*: $\Gamma \vdash \langle c \ s \ t', \text{Normal } (\text{return } s \ t') \rangle = \text{Suc } m \Rightarrow t$

assume *n*: $n = \text{Suc } m$

from *exec-body n bdy*

have $\Gamma \vdash \langle \text{Call } (p \ s), \text{Normal } (\text{init } s) \rangle = n \Rightarrow \text{Normal } t'$

by (auto simp add: intro: *execn.Call*)

from *cnvalidD* [*OF* *valid-modif'* [*rule-format*, of *n* *init s*] *ctxt this*] *P*

have $t' \in \text{Modif } (\text{init } s)$

by *auto*

with *ret-modif* **have** $\text{Normal } (\text{return' } s \ t') = \text{Normal } (\text{return } s \ t')$

by *simp*

with *exec-body exec-c bdy n*

have $\Gamma \vdash \langle \text{call init } (p \ s) \text{ return' } c, \text{Normal } s \rangle = n \Rightarrow t$

by (auto intro: *execn-call*)

hence $\Gamma \vdash \langle \text{dynCall init } p \text{ return' } c, \text{Normal } s \rangle = n \Rightarrow t$

by (rule *execn-dynCall*)

```

from cnvalidD [OF valid-call ctxt this] P t-notin-F
show ?thesis
  by simp
next
  fix bdy m t'
  assume bdy:  $\Gamma (p\ s) = \text{Some } bdy$ 
  assume exec-body:  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = m \Rightarrow \text{Abrupt } t'$ 
  assume n:  $n = \text{Suc } m$ 
  assume t:  $t = \text{Abrupt } (\text{return } s\ t')$ 
  also from exec-body n bdy
  have  $\Gamma \vdash \langle \text{Call } (p\ s), \text{Normal } (init\ s) \rangle = n \Rightarrow \text{Abrupt } t'$ 
    by (auto simp add: intro: execn.intros)
  from cnvalidD [OF valid-modif' [rule-format, of n init s] ctxt this] P
  have  $t' \in \text{ModifAbr } (init\ s)$ 
    by auto
  with ret-modifAbr have  $\text{Abrupt } (\text{return } s\ t') = \text{Abrupt } (\text{return}'\ s\ t')$ 
    by simp
  finally have  $t = \text{Abrupt } (\text{return}'\ s\ t') .$ 
  with exec-body bdy n
  have  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto intro: execn-callAbrupt)
  hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (rule execn-dynCall)
  from cnvalidD [OF valid-call ctxt this] P t-notin-F
  show ?thesis
    by simp
next
  fix bdy m f
  assume bdy:  $\Gamma (p\ s) = \text{Some } bdy$ 
  assume  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = m \Rightarrow \text{Fault } f\ n = \text{Suc } m$  and
     $t: t = \text{Fault } f$ 
  with bdy have  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto intro: execn-callFault)
  hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (rule execn-dynCall)
  from cnvalidD [OF valid-call ctxt this P]  $t\ t\text{-notin-}F$ 
  show ?thesis
    by simp
next
  fix bdy m
  assume bdy:  $\Gamma (p\ s) = \text{Some } bdy$ 
  assume  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle = m \Rightarrow \text{Stuck } n = \text{Suc } m$ 
     $t = \text{Stuck}$ 
  with bdy have  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (auto intro: execn-callStuck)
  hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by (rule execn-dynCall)
  from valid-call ctxt this P t-notin-F
  show ?thesis

```

by (rule cinvalidD)
 next
 fix m
 assume $\Gamma (p\ s) = \text{None}$
 and $n = \text{Suc } m\ t = \text{Stuck}$
 hence $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c,\ \text{Normal } s \rangle = n \Rightarrow t$
 by (auto intro: execn-callUndefined)
 hence $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c,\ \text{Normal } s \rangle = n \Rightarrow t$
 by (rule execn-dynCall)
 from valid-call ctxt this P t-notin-F
 show ?thesis
 by (rule cinvalidD)
 qed
 qed

lemma dynProcModifyReturnSameFaults:
assumes dyn-call: $\Gamma, \Theta \vdash_F P\ \text{dynCall init } p\ \text{return}'\ c\ Q, A$
assumes ret-modif:
 $\forall s\ t. t \in \text{Modif } (\text{init } s)$
 $\longrightarrow \text{return}'\ s\ t = \text{return } s\ t$
assumes ret-modifAbr: $\forall s\ t. t \in \text{ModifAbr } (\text{init } s)$
 $\longrightarrow \text{return}'\ s\ t = \text{return } s\ t$
assumes modif:
 $\forall s \in P. \forall \sigma. \Gamma, \Theta \vdash_F \{\sigma\}\ \text{Call } (p\ s)\ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$
shows $\Gamma, \Theta \vdash_F P\ (\text{dynCall init } p\ \text{return } c)\ Q, A$
apply (rule hoare-complete')
apply (rule allI)
apply (rule dynProcModifyReturnSameFaults-sound
 [where Modif=Modif and ModifAbr=ModifAbr,
 OF hoare-cinvalid [OF dyn-call] - ret-modif ret-modifAbr])
apply (intro ballI allI)
apply (rule hoare-cinvalid [OF modif [rule-format]])
apply assumption
 done

5.3.4 Conjunction of Postcondition

lemma PostConjI-sound:
assumes valid-Q: $\forall n. \Gamma, \Theta \models n \vdash_F P\ c\ Q, A$
assumes valid-R: $\forall n. \Gamma, \Theta \models n \vdash_F P\ c\ R, B$
shows $\Gamma, \Theta \models n \vdash_F P\ c\ (Q \cap R), (A \cap B)$
proof (rule cinvalidI)
 fix s t
 assume ctxt: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n \vdash_F P\ (\text{Call } p)\ Q, A$
 assume exec: $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$
 assume P: $s \in P$
 assume t-notin-F: $t \notin \text{Fault } F$
 from valid-Q [rule-format] ctxt exec P t-notin-F **have** $t \in \text{Normal } Q \cup \text{Abrupt}$

```

‘ A
  by (rule cinvalidD)
  moreover
  from valid-R [rule-format] ctxt exec P t-notin-F have t ∈ Normal ‘ R ∪ Abrupt
‘ B
  by (rule cinvalidD)
  ultimately show t ∈ Normal ‘ (Q ∩ R) ∪ Abrupt ‘ (A ∩ B)
  by blast
qed

```

```

lemma PostConjI:
  assumes deriv-Q:  $\Gamma, \Theta \vdash_F P \text{ c } Q, A$ 
  assumes deriv-R:  $\Gamma, \Theta \vdash_F P \text{ c } R, B$ 
  shows  $\Gamma, \Theta \vdash_F P \text{ c } (Q \cap R), (A \cap B)$ 
apply (rule hoare-complete')
apply (rule allI)
apply (rule PostConjI-sound)
using deriv-Q
apply (blast intro: hoare-cinvalid)
using deriv-R
apply (blast intro: hoare-cinvalid)
done

```

```

lemma Merge-PostConj-sound:
  assumes validF:  $\forall n. \Gamma, \Theta \models n: /_F P \text{ c } Q, A$ 
  assumes validG:  $\forall n. \Gamma, \Theta \models n: /_G P' \text{ c } R, X$ 
  assumes F-G:  $F \subseteq G$ 
  assumes P-P':  $P \subseteq P'$ 
  shows  $\Gamma, \Theta \models n: /_F P \text{ c } (Q \cap R), (A \cap X)$ 
proof (rule cinvalidI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P \text{ (Call } p) \text{ } Q, A$ 
  with F-G have ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_G P \text{ (Call } p) \text{ } Q, A$ 
  by (auto intro: nvalid-augment-Faults)
  assume exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$ 
  assume P:  $s \in P$ 
  with P-P' have P':  $s \in P'$ 
  by auto
  assume t-noFault:  $t \notin \text{Fault } ' F$ 
  show t ∈ Normal ‘ (Q ∩ R) ∪ Abrupt ‘ (A ∩ X)
proof -
  from cinvalidD [OF validF [rule-format] ctxt exec P t-noFault]
  have *: t ∈ Normal ‘ Q ∪ Abrupt ‘ A.
  then have t ∉ Fault ‘ G
  by auto
  from cinvalidD [OF validG [rule-format] ctxt' exec P' this]
  have t ∈ Normal ‘ R ∪ Abrupt ‘ X .
  with * show ?thesis by auto

```

qed
qed

lemma *Merge-PostConj*:
 assumes *validF*: $\Gamma, \Theta \vdash_F P \text{ c } Q, A$
 assumes *validG*: $\Gamma, \Theta \vdash_G P' \text{ c } R, X$
 assumes *F-G*: $F \subseteq G$
 assumes *P-P'*: $P \subseteq P'$
 shows $\Gamma, \Theta \vdash_F P \text{ c } (Q \cap R), (A \cap X)$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule Merge-PostConj-sound* [*OF* - - *F-G P-P'*])
using *validF* **apply** (*blast intro:hoare-cnvalid*)
using *validG* **apply** (*blast intro:hoare-cnvalid*)
done

5.3.5 Weaken Context

lemma *WeakenContext-sound*:
 assumes *valid-c*: $\forall n. \Gamma, \Theta' \models n \vdash_F P \text{ c } Q, A$
 assumes *valid-ctxt*: $\forall (P, p, Q, A) \in \Theta'. \Gamma, \Theta' \models n \vdash_F P \text{ (Call } p) \text{ } Q, A$
 shows $\Gamma, \Theta \models n \vdash_F P \text{ c } Q, A$
proof (*rule cnvalidI*)
 fix *s t*
 assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n \vdash_F P \text{ (Call } p) \text{ } Q, A$
 with *valid-ctxt*
 have *ctxt'*: $\forall (P, p, Q, A) \in \Theta'. \Gamma \models n \vdash_F P \text{ (Call } p) \text{ } Q, A$
 by (*simp add: cnvalid-def*)
 assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$
 assume *P*: $s \in P$
 assume *t-notin-F*: $t \notin \text{Fault } F$
 from *valid-c* [*rule-format*] *ctxt'* *exec P t-notin-F*
 show $t \in \text{Normal } Q \cup \text{Abrupt } A$
 by (*rule cnvalidD*)
 qed

lemma *WeakenContext*:
 assumes *deriv-c*: $\Gamma, \Theta \vdash_F P \text{ c } Q, A$
 assumes *deriv-ctxt*: $\forall (P, p, Q, A) \in \Theta'. \Gamma, \Theta \vdash_F P \text{ (Call } p) \text{ } Q, A$
 shows $\Gamma, \Theta \vdash_F P \text{ c } Q, A$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule WeakenContext-sound*)
using *deriv-c*
apply (*blast intro: hoare-cnvalid*)
using *deriv-ctxt*
apply (*blast intro: hoare-cnvalid*)
done

5.3.6 Guards and Guarantees

lemma *SplitGuards-sound*:

assumes *valid-c1*: $\forall n. \Gamma, \Theta \models n: /_F P \ c_1 \ Q, A$

assumes *valid-c2*: $\forall n. \Gamma, \Theta \models n: /_F P \ c_2 \ UNIV, UNIV$

assumes *c*: $(c_1 \cap_g c_2) = \text{Some } c$

shows $\Gamma, \Theta \models n: /_F P \ c \ Q, A$

proof (*rule cnvalidI*)

fix *s t*

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P \ (\text{Call } p) \ Q, A$

assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$

assume *P*: $s \in P$

assume *t-notin-F*: $t \notin \text{Fault } F$

show $t \in \text{Normal } Q \cup \text{Abrupt } A$

proof (*cases t*)

case *Normal*

with *inter-guards-execn-noFault* [*OF c exec*]

have $\Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow t$ **by** *simp*

from *valid-c1* [*rule-format*] *ctxt* **this** *P t-notin-F*

show *?thesis*

by (*rule cnvalidD*)

next

case *Abrupt*

with *inter-guards-execn-noFault* [*OF c exec*]

have $\Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow t$ **by** *simp*

from *valid-c1* [*rule-format*] *ctxt* **this** *P t-notin-F*

show *?thesis*

by (*rule cnvalidD*)

next

case (*Fault f*)

with *exec inter-guards-execn-Fault* [*OF c*]

have $\Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f \vee \Gamma \vdash \langle c_2, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$

by *auto*

then show *?thesis*

proof (*cases rule: disjE [consumes 1]*)

assume $\Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$

from *Fault cnvalidD* [*OF valid-c1*] [*rule-format*] *ctxt* **this** *P* *t-notin-F*

show *?thesis*

by *blast*

next

assume $\Gamma \vdash \langle c_2, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$

from *Fault cnvalidD* [*OF valid-c2*] [*rule-format*] *ctxt* **this** *P* *t-notin-F*

show *?thesis*

by *blast*

qed

next

case *Stuck*

with *inter-guards-execn-noFault* [*OF c exec*]

have $\Gamma \vdash \langle c_1, \text{Normal } s \rangle = n \Rightarrow t$ **by** *simp*

```

    from valid-c1 [rule-format] ctxt this P t-notin-F
    show ?thesis
    by (rule cinvalidD)
qed
qed

```

```

lemma SplitGuards:
  assumes c: (c1  $\cap_g$  c2) = Some c
  assumes deriv-c1:  $\Gamma, \Theta \vdash_F P \ c_1 \ Q, A$ 
  assumes deriv-c2:  $\Gamma, \Theta \vdash_F P \ c_2 \ UNIV, UNIV$ 
  shows  $\Gamma, \Theta \vdash_F P \ c \ Q, A$ 
  apply (rule hoare-complete')
  apply (rule allI)
  apply (rule SplitGuards-sound [OF - - c])
  using deriv-c1
  apply (blast intro: hoare-cinvalid)
  using deriv-c2
  apply (blast intro: hoare-cinvalid)
done

```

```

lemma CombineStrip-sound:
  assumes valid:  $\forall n. \Gamma, \Theta \models n: /_F P \ c \ Q, A$ 
  assumes valid-strip:  $\forall n. \Gamma, \Theta \models n: /_{\{\}} P \ (\text{strip-guards } (-F) \ c) \ UNIV, UNIV$ 
  shows  $\Gamma, \Theta \models n: /_{\{\}} P \ c \ Q, A$ 
proof (rule cinvalidI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_{\{\}} P \ (\text{Call } p) \ Q, A$ 
  hence ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P \ (\text{Call } p) \ Q, A$ 
    by (auto intro: nvalid-augment-Faults)
  assume exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-noFault:  $t \notin \text{Fault } \{\}$ 
  show  $t \in \text{Normal } \{ Q \cup \text{Abrupt } \{ A \}$ 
proof (cases t)
  case (Normal t')
  from cinvalidD [OF valid [rule-format] ctxt' exec P] Normal
  show ?thesis
  by auto
next
  case (Abrupt t')
  from cinvalidD [OF valid [rule-format] ctxt' exec P] Abrupt
  show ?thesis
  by auto
next
  case (Fault f)
  show ?thesis
proof (cases f  $\in F$ )
  case True

```

```

    hence  $f \notin -F$  by simp
    with exec Fault
    have  $\Gamma \vdash \langle \text{strip-guards } (-F) \ c, \text{Normal } s \rangle = n \Rightarrow \text{Fault } f$ 
      by (auto intro: execn-to-execn-strip-guards-Fault)
    from cinvalidD [OF valid-strip [rule-format] ctxt this P] Fault
    have False
      by auto
    thus ?thesis ..
  next
    case False
    with cinvalidD [OF valid [rule-format] ctxt' exec P] Fault
    show ?thesis
      by auto
    qed
  next
    case Stuck
    from cinvalidD [OF valid [rule-format] ctxt' exec P] Stuck
    show ?thesis
      by auto
    qed
  qed
qed

lemma CombineStrip:
  assumes deriv:  $\Gamma, \Theta \vdash_{/F} P \ c \ Q, A$ 
  assumes deriv-strip:  $\Gamma, \Theta \vdash_{/\{\}} P \ (\text{strip-guards } (-F) \ c) \ \text{UNIV}, \text{UNIV}$ 
  shows  $\Gamma, \Theta \vdash_{/\{\}} P \ c \ Q, A$ 
  apply (rule hoare-complete')
  apply (rule allI)
  apply (rule CombineStrip-sound)
  apply (iprover intro: hoare-cinvalid [OF deriv])
  apply (iprover intro: hoare-cinvalid [OF deriv-strip])
  done

lemma GuardsFlip-sound:
  assumes valid:  $\forall n. \Gamma, \Theta \models n:_{/F} P \ c \ Q, A$ 
  assumes validFlip:  $\forall n. \Gamma, \Theta \models n:_{/-F} P \ c \ \text{UNIV}, \text{UNIV}$ 
  shows  $\Gamma, \Theta \models n:_{/\{\}} P \ c \ Q, A$ 
  proof (rule cinvalidI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n:_{/\{\}} P \ (\text{Call } p) \ Q, A$ 
    hence ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n:_{/F} P \ (\text{Call } p) \ Q, A$ 
      by (auto intro: nvalid-augment-Faults)
    from ctxt have ctxtFlip:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n:_{/-F} P \ (\text{Call } p) \ Q, A$ 
      by (auto intro: nvalid-augment-Faults)
    assume exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$ 
    assume P:  $s \in P$ 
    assume t-noFault:  $t \notin \text{Fault } \{\}$ 
    show  $t \in \text{Normal } \{ Q \cup \text{Abrupt } A$ 

```



```

proof (cases t)
  case (Normal t')
    from cnvalidD [OF valid [rule-format] ctxt' exec P] Normal
    show ?thesis
    by auto
  next
    case (Abrupt t')
      from cnvalidD [OF valid [rule-format] ctxt' exec P] Abrupt
      show ?thesis
      by auto
  next
    case (Fault f)
      show ?thesis
      proof (cases f ∈ F)
        case True
          hence f ∉ -F by simp
          with cnvalidD [OF validFlip [rule-format] ctxtFlip exec P] Fault
          have False
          by auto
          thus ?thesis ..
        next
          case False
            with cnvalidD [OF valid [rule-format] ctxt' exec P] Fault
            show ?thesis
            by auto
      qed
  next
    case Stuck
      from cnvalidD [OF valid [rule-format] ctxt' exec P] Stuck
      show ?thesis
      by auto
    qed
  qed

```

```

lemma GuardsFlip:
  assumes deriv:  $\Gamma, \Theta \vdash_F P \ c \ Q, A$ 
  assumes derivFlip:  $\Gamma, \Theta \vdash_{-F} P \ c \ UNIV, UNIV$ 
  shows  $\Gamma, \Theta \vdash_{/\{\}} P \ c \ Q, A$ 
apply (rule hoare-complete')
apply (rule allI)
apply (rule GuardsFlip-sound)
apply (iprover intro: hoare-cnvalid [OF deriv])
apply (iprover intro: hoare-cnvalid [OF derivFlip])
done

```

```

lemma MarkGuardsI-sound:
  assumes valid:  $\forall n. \Gamma, \Theta \models n: / \{\} \ P \ c \ Q, A$ 
  shows  $\Gamma, \Theta \models n: / \{\} \ P \ \text{mark-guards } f \ c \ Q, A$ 

```

```

proof (rule cinvalidI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: / \{ \} P (Call\ p) Q, A$ 
  assume exec:  $\Gamma \vdash \langle mark\text{-}guards\ f\ c, Normal\ s \rangle = n \Rightarrow t$ 
  from execn-mark-guards-to-execn [OF exec] obtain t' where
    exec-c:  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow t'$  and
    t'-noFault:  $\neg isFault\ t' \longrightarrow t' = t$ 
  by blast
  assume P:  $s \in P$ 
  assume t-noFault:  $t \notin Fault\ ' \{ \}$ 
  show  $t \in Normal\ ' Q \cup Abrupt\ ' A$ 
  proof -
    from cinvalidD [OF valid [rule-format] ctxt exec-c P]
    have  $t' \in Normal\ ' Q \cup Abrupt\ ' A$ 
    by blast
    with t'-noFault
    show ?thesis
    by auto
  qed
qed

```

```

lemma MarkGuardsI:
  assumes deriv:  $\Gamma, \Theta \vdash / \{ \} P\ c\ Q, A$ 
  shows  $\Gamma, \Theta \vdash / \{ \} P\ mark\text{-}guards\ f\ c\ Q, A$ 
apply (rule hoare-complete')
apply (rule allI)
apply (rule MarkGuardsI-sound)
apply (iprover intro: hoare-cinvalid [OF deriv])
done

```

```

lemma MarkGuardsD-sound:
  assumes valid:  $\forall n. \Gamma, \Theta \models n: / \{ \} P\ mark\text{-}guards\ f\ c\ Q, A$ 
  shows  $\Gamma, \Theta \models n: / \{ \} P\ c\ Q, A$ 
proof (rule cinvalidI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: / \{ \} P (Call\ p) Q, A$ 
  assume exec:  $\Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-noFault:  $t \notin Fault\ ' \{ \}$ 
  show  $t \in Normal\ ' Q \cup Abrupt\ ' A$ 
  proof (cases isFault t)
    case True
    with execn-to-execn-mark-guards-Fault [OF exec]
    obtain f' where  $\Gamma \vdash \langle mark\text{-}guards\ f\ c, Normal\ s \rangle = n \Rightarrow Fault\ f'$ 
    by (fastforce elim: isFaultE)
    from cinvalidD [OF valid [rule-format] ctxt this P]
    have False
    by auto

```

```

    thus ?thesis ..
  next
    case False
    from execn-to-execn-mark-guards [OF exec False]
    obtain f' where  $\Gamma \vdash \langle \text{mark-guards } f \ c, \text{Normal } s \rangle = n \Rightarrow t$ 
    by auto
    from cnvalidD [OF valid [rule-format] ctxt this P]
    show ?thesis
    by auto
  qed
qed

```

```

lemma MarkGuardsD:
  assumes deriv:  $\Gamma, \Theta \vdash_{/\{\}} P \text{ mark-guards } f \ c \ Q, A$ 
  shows  $\Gamma, \Theta \vdash_{/\{\}} P \ c \ Q, A$ 
apply (rule hoare-complete')
apply (rule allI)
apply (rule MarkGuardsD-sound)
apply (iprover intro: hoare-cnvalid [OF deriv])
done

```

```

lemma MergeGuardsI-sound:
  assumes valid:  $\forall n. \Gamma, \Theta \models n: /_F P \ c \ Q, A$ 
  shows  $\Gamma, \Theta \models n: /_F P \ \text{merge-guards } c \ Q, A$ 
proof (rule cnvalidI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P \ (\text{Call } p) \ Q, A$ 
  assume exec-merge:  $\Gamma \vdash \langle \text{merge-guards } c, \text{Normal } s \rangle = n \Rightarrow t$ 
  from execn-merge-guards-to-execn [OF exec-merge]
  have exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$  .
  assume P:  $s \in P$ 
  assume t-notin-F:  $t \notin \text{Fault } 'F$ 
  from cnvalidD [OF valid [rule-format] ctxt exec P t-notin-F]
  show  $t \in \text{Normal } 'Q \cup \text{Abrupt } 'A$ .
qed

```

```

lemma MergeGuardsI:
  assumes deriv:  $\Gamma, \Theta \vdash_{/F} P \ c \ Q, A$ 
  shows  $\Gamma, \Theta \vdash_{/F} P \ \text{merge-guards } c \ Q, A$ 
apply (rule hoare-complete')
apply (rule allI)
apply (rule MergeGuardsI-sound)
apply (iprover intro: hoare-cnvalid [OF deriv])
done

```

```

lemma MergeGuardsD-sound:
  assumes valid:  $\forall n. \Gamma, \Theta \models n: /_F P \ \text{merge-guards } c \ Q, A$ 
  shows  $\Gamma, \Theta \models n: /_F P \ c \ Q, A$ 

```

proof (*rule cinvalidI*)
fix $s\ t$
assume $ctxt: \forall (P, p, Q, A) \in \Theta. \Gamma \models_n: /_F P \text{ (Call } p) \text{ } Q, A$
assume $exec: \Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow t$
from *execn-to-execn-merge-guards* [*OF exec*]
have $exec\text{-}merge: \Gamma \vdash \langle merge\text{-}guards\ c, Normal\ s \rangle = n \Rightarrow t.$
assume $P: s \in P$
assume $t\text{-notin-}F: t \notin Fault\ 'F$
from *cinvalidD* [*OF valid* [*rule-format*] *ctxt exec-merge P t-notin-F*]
show $t \in Normal\ 'Q \cup Abrupt\ 'A.$
qed

lemma *MergeGuardsD*:
assumes $deriv: \Gamma, \Theta \vdash /_F P\ merge\text{-}guards\ c\ Q, A$
shows $\Gamma, \Theta \vdash /_F P\ c\ Q, A$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule MergeGuardsD-sound*)
apply (*iprover intro: hoare-cinvalid* [*OF deriv*])
done

lemma *SubsetGuards-sound*:
assumes $c\text{-}c': c \subseteq_g c'$
assumes $valid: \forall n. \Gamma, \Theta \models_n: /_{\{\}} P\ c'\ Q, A$
shows $\Gamma, \Theta \models_n: /_{\{\}} P\ c\ Q, A$
proof (*rule cinvalidI*)
fix $s\ t$
assume $ctxt: \forall (P, p, Q, A) \in \Theta. \Gamma \models_n: /_{\{\}} P \text{ (Call } p) \text{ } Q, A$
assume $exec: \Gamma \vdash \langle c, Normal\ s \rangle = n \Rightarrow t$
from *execn-to-execn-subseteq-guards* [*OF c-c' exec*] **obtain** t' **where**
 $exec\text{-}c': \Gamma \vdash \langle c', Normal\ s \rangle = n \Rightarrow t'$ **and**
 $t'\text{-noFault}: \neg isFault\ t' \longrightarrow t' = t$
by *blast*
assume $P: s \in P$
assume $t\text{-noFault}: t \notin Fault\ '\{\}$
from *cinvalidD* [*OF valid* [*rule-format*] *ctxt exec-c' P*] $t'\text{-noFault}\ t\text{-noFault}$
show $t \in Normal\ 'Q \cup Abrupt\ 'A$
by *auto*
qed

lemma *SubsetGuards*:
assumes $c\text{-}c': c \subseteq_g c'$
assumes $deriv: \Gamma, \Theta \vdash /_{\{\}} P\ c'\ Q, A$
shows $\Gamma, \Theta \vdash /_{\{\}} P\ c\ Q, A$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule SubsetGuards-sound* [*OF c-c'*])

apply (*iprover intro: hoare-cnvalid [OF deriv]*)
done

lemma *NormalizeD-sound*:
assumes *valid*: $\forall n. \Gamma, \Theta \models n: /_F P \text{ (normalize } c) \ Q, A$
shows $\Gamma, \Theta \models n: /_F P \ c \ Q, A$
proof (*rule cnvalidI*)
fix *s t*
assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P \text{ (Call } p) \ Q, A$
assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$
hence *exec-norm*: $\Gamma \vdash \langle \text{normalize } c, \text{Normal } s \rangle = n \Rightarrow t$
by (*rule execn-to-execn-normalize*)
assume *P*: $s \in P$
assume *noFault*: $t \notin \text{Fault} \text{ ' } F$
from *cnvalidD* [*OF valid [rule-format] ctxt exec-norm P noFault*]
show $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A.$
qed

lemma *NormalizeD*:
assumes *deriv*: $\Gamma, \Theta \vdash /_F P \text{ (normalize } c) \ Q, A$
shows $\Gamma, \Theta \vdash /_F P \ c \ Q, A$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule NormalizeD-sound*)
apply (*iprover intro: hoare-cnvalid [OF deriv]*)
done

lemma *NormalizeI-sound*:
assumes *valid*: $\forall n. \Gamma, \Theta \models n: /_F P \ c \ Q, A$
shows $\Gamma, \Theta \models n: /_F P \text{ (normalize } c) \ Q, A$
proof (*rule cnvalidI*)
fix *s t*
assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P \text{ (Call } p) \ Q, A$
assume $\Gamma \vdash \langle \text{normalize } c, \text{Normal } s \rangle = n \Rightarrow t$
hence *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle = n \Rightarrow t$
by (*rule execn-normalize-to-execn*)
assume *P*: $s \in P$
assume *noFault*: $t \notin \text{Fault} \text{ ' } F$
from *cnvalidD* [*OF valid [rule-format] ctxt exec P noFault*]
show $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A.$
qed

lemma *NormalizeI*:
assumes *deriv*: $\Gamma, \Theta \vdash /_F P \ c \ Q, A$
shows $\Gamma, \Theta \vdash /_F P \text{ (normalize } c) \ Q, A$
apply (*rule hoare-complete'*)
apply (*rule allI*)
apply (*rule NormalizeI-sound*)

apply (iprover intro: hoare-cnvalid [OF deriv])
done

5.3.7 Restricting the Procedure Environment

lemma *nvalid-restrict-to-nvalid*:
assumes *valid-c*: $\Gamma|_M \models_{/F} P \ c \ Q, A$
shows $\Gamma \models_{/F} P \ c \ Q, A$
proof (rule *nvalidI*)
 fix $s \ t$
assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle =_n \Rightarrow t$
assume *P*: $s \in P$
assume *t-notin-F*: $t \notin \text{Fault } F$
show $t \in \text{Normal } Q \cup \text{Abrupt } A$
proof –
 from *execn-to-execn-restrict* [OF *exec*]
obtain t' **where**
 exec-res: $\Gamma|_M \vdash \langle c, \text{Normal } s \rangle =_n \Rightarrow t'$ **and**
 t-Fault: $\forall f. t = \text{Fault } f \longrightarrow t' \in \{\text{Fault } f, \text{Stuck}\}$ **and**
 t'-notStuck: $t' \neq \text{Stuck} \longrightarrow t' = t$
 by blast
 from *t-Fault t-notin-F t'-notStuck* **have** $t' \notin \text{Fault } F$
 by (cases t') auto
 with *valid-c exec-res P*
have $t' \in \text{Normal } Q \cup \text{Abrupt } A$
 by (auto simp add: *nvalid-def*)
 with *t'-notStuck*
show ?thesis
 by auto
qed
qed

lemma *valid-restrict-to-valid*:
assumes *valid-c*: $\Gamma|_M \models_{/F} P \ c \ Q, A$
shows $\Gamma \models_{/F} P \ c \ Q, A$
proof (rule *validI*)
 fix $s \ t$
assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$
assume *P*: $s \in P$
assume *t-notin-F*: $t \notin \text{Fault } F$
show $t \in \text{Normal } Q \cup \text{Abrupt } A$
proof –
 from *exec-to-exec-restrict* [OF *exec*]
obtain t' **where**
 exec-res: $\Gamma|_M \vdash \langle c, \text{Normal } s \rangle \Rightarrow t'$ **and**
 t-Fault: $\forall f. t = \text{Fault } f \longrightarrow t' \in \{\text{Fault } f, \text{Stuck}\}$ **and**
 t'-notStuck: $t' \neq \text{Stuck} \longrightarrow t' = t$
 by blast
 from *t-Fault t-notin-F t'-notStuck* **have** $t' \notin \text{Fault } F$

```

    by (cases t') auto
  with valid-c exec-res P
  have t' ∈ Normal ' Q ∪ Abrupt ' A
    by (auto simp add: valid-def)
  with t'-notStuck
  show ?thesis
    by auto
qed
qed

```

```

lemma augment-procs:
assumes deriv-c:  $\Gamma|_M, \{\} \vdash_F P \text{ c } Q, A$ 
shows  $\Gamma, \{\} \vdash_F P \text{ c } Q, A$ 
  apply (rule hoare-complete)
  apply (rule valid-restrict-to-valid)
  apply (insert hoare-sound [OF deriv-c])
  by (simp add: cvalid-def)

```

```

lemma augment-Faults:
assumes deriv-c:  $\Gamma, \{\} \vdash_F P \text{ c } Q, A$ 
assumes F:  $F \subseteq F'$ 
shows  $\Gamma, \{\} \vdash_{F'} P \text{ c } Q, A$ 
  apply (rule hoare-complete)
  apply (rule valid-augment-Faults [OF - F])
  apply (insert hoare-sound [OF deriv-c])
  by (simp add: cvalid-def)

```

end

6 Derived Hoare Rules for Partial Correctness

theory HoarePartial **imports** HoarePartialProps **begin**

```

lemma conseq-no-aux:
   $\llbracket \Gamma, \Theta \vdash_F P' \text{ c } Q', A';$ 
   $\forall s. s \in P \longrightarrow (s \in P' \wedge (Q' \subseteq Q) \wedge (A' \subseteq A)) \rrbracket$ 
 $\implies$ 
   $\Gamma, \Theta \vdash_F P \text{ c } Q, A$ 
  by (rule conseq [where P'= $\lambda Z. P'$  and Q'= $\lambda Z. Q'$  and A'= $\lambda Z. A'$ ]) auto

```

```

lemma conseq-exploit-pre:
   $\llbracket \forall s \in P. \Gamma, \Theta \vdash_F (\{s\} \cap P) \text{ c } Q, A \rrbracket$ 
 $\implies$ 
   $\Gamma, \Theta \vdash_F P \text{ c } Q, A$ 
  apply (rule Conseq)
  apply clarify

```

apply (*rule-tac* $x=\{s\} \cap P$ **in** exI)
apply (*rule-tac* $x=Q$ **in** exI)
apply (*rule-tac* $x=A$ **in** exI)
by *simp*

lemma *conseq*: $\llbracket \forall Z. \Gamma, \Theta \vdash_F (P' Z) \ c \ (Q' Z), (A' Z);$
 $\forall s. s \in P \longrightarrow (\exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A)) \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_F P \ c \ Q, A$
by (*rule Conseq'*) *blast*

lemma *Lem*: $\llbracket \forall Z. \Gamma, \Theta \vdash_F (P' Z) \ c \ (Q' Z), (A' Z);$
 $P \subseteq \{s. \exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A)\} \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_F P \ (lem \ x \ c) \ Q, A$
apply (*unfold lem-def*)
apply (*erule conseq*)
apply *blast*
done

lemma *LemAnno*:
assumes *conseq*: $P \subseteq \{s. \exists Z. s \in P' Z \wedge$
 $(\forall t. t \in Q' Z \longrightarrow t \in Q) \wedge (\forall t. t \in A' Z \longrightarrow t \in A)\}$
assumes *lem*: $\forall Z. \Gamma, \Theta \vdash_F (P' Z) \ c \ (Q' Z), (A' Z)$
shows $\Gamma, \Theta \vdash_F P \ (lem \ x \ c) \ Q, A$
apply (*rule Lem [OF lem]*)
using *conseq*
by *blast*

lemma *LemAnnoNoAbrupt*:
assumes *conseq*: $P \subseteq \{s. \exists Z. s \in P' Z \wedge (\forall t. t \in Q' Z \longrightarrow t \in Q)\}$
assumes *lem*: $\forall Z. \Gamma, \Theta \vdash_F (P' Z) \ c \ (Q' Z), \{\}$
shows $\Gamma, \Theta \vdash_F P \ (lem \ x \ c) \ Q, \{\}$
apply (*rule Lem [OF lem]*)
using *conseq*
by *blast*

lemma *TrivPost*: $\forall Z. \Gamma, \Theta \vdash_F (P' Z) \ c \ (Q' Z), (A' Z)$
 \implies
 $\forall Z. \Gamma, \Theta \vdash_F (P' Z) \ c \ UNIV, UNIV$
apply (*rule allI*)
apply (*erule conseq*)
apply *auto*
done

lemma *TrivPostNoAbr*: $\forall Z. \Gamma, \Theta \vdash_F (P' Z) \ c \ (Q' Z), \{\}$
 \implies

$\forall Z. \Gamma, \Theta \vdash_F (P' Z) \text{ c } UNIV, \{\}$
apply (*rule allI*)
apply (*erule conseq*)
apply *auto*
done

lemma *conseq-under-new-pre*: $\llbracket \Gamma, \Theta \vdash_F P' \text{ c } Q', A';$
 $\forall s \in P. s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A \rrbracket$
 $\implies \Gamma, \Theta \vdash_F P \text{ c } Q, A$
apply (*rule conseq*)
apply (*rule allI*)
apply *assumption*
apply *auto*
done

lemma *conseq-Kleymann*: $\llbracket \forall Z. \Gamma, \Theta \vdash_F (P' Z) \text{ c } (Q' Z), (A' Z);$
 $\forall s \in P. (\exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A)) \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_F P \text{ c } Q, A$
by (*rule Conseq'*) *blast*

lemma *DynComConseq*:
assumes $P \subseteq \{s. \exists P' Q' A'. \Gamma, \Theta \vdash_F P' (c \ s) \ Q', A' \wedge P \subseteq P' \wedge Q' \subseteq Q \wedge A' \subseteq A\}$
shows $\Gamma, \Theta \vdash_F P \text{ DynCom } c \ Q, A$
using *assms*
apply –
apply (*rule DynCom*)
apply *clarsimp*
apply (*rule Conseq*)
apply *clarsimp*
apply *blast*
done

lemma *SpecAnno*:
assumes *consequence*: $P \subseteq \{s. (\exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A))\}$
assumes *spec*: $\forall Z. \Gamma, \Theta \vdash_F (P' Z) (c \ Z) (Q' Z), (A' Z)$
assumes *bdy-constant*: $\forall Z. c \ Z = c \text{ undefined}$
shows $\Gamma, \Theta \vdash_F P \text{ (specAnno } P' \text{ c } Q' A') \ Q, A$
proof –
from *spec bdy-constant*
have $\forall Z. \Gamma, \Theta \vdash_F ((P' Z)) (c \text{ undefined}) (Q' Z), (A' Z)$
apply –
apply (*rule allI*)
apply (*erule-tac x=Z in allE*)
apply (*erule-tac x=Z in allE*)
apply *simp*
done

```

with consequence show ?thesis
  apply (simp add: specAnno-def)
  apply (erule conseq)
  apply blast
  done
qed

lemma SpecAnno':
  
$$\llbracket P \subseteq \{s. \exists Z. s \in P' Z \wedge$$


$$(\forall t. t \in Q' Z \longrightarrow t \in Q) \wedge (\forall t. t \in A' Z \longrightarrow t \in A)\rrbracket;$$


$$\forall Z. \Gamma, \Theta \vdash_F (P' Z) (c Z) (Q' Z), (A' Z);$$


$$\forall Z. c Z = c \text{ undefined}$$


$$\rrbracket \Longrightarrow$$


$$\Gamma, \Theta \vdash_F P (\text{specAnno } P' c Q' A') Q, A$$

apply (simp only: subset-iff [THEN sym])
apply (erule (1) SpecAnno)
apply assumption
done

lemma SpecAnnoNoAbrupt:
  
$$\llbracket P \subseteq \{s. \exists Z. s \in P' Z \wedge$$


$$(\forall t. t \in Q' Z \longrightarrow t \in Q)\rrbracket;$$


$$\forall Z. \Gamma, \Theta \vdash_F (P' Z) (c Z) (Q' Z), \{\};$$


$$\forall Z. c Z = c \text{ undefined}$$


$$\rrbracket \Longrightarrow$$


$$\Gamma, \Theta \vdash_F P (\text{specAnno } P' c Q' (\lambda s. \{\})) Q, A$$

apply (rule SpecAnno')
apply auto
done

lemma Skip:  $P \subseteq Q \Longrightarrow \Gamma, \Theta \vdash_F P \text{ Skip } Q, A$ 
by (rule hoarep.Skip [THEN conseqPre], simp)

lemma Basic:  $P \subseteq \{s. (f s) \in Q\} \Longrightarrow \Gamma, \Theta \vdash_F P (\text{Basic } f) Q, A$ 
by (rule hoarep.Basic [THEN conseqPre])

lemma BasicCond:
  
$$\llbracket P \subseteq \{s. (b s \longrightarrow f s \in Q) \wedge (\neg b s \longrightarrow g s \in Q)\rrbracket \Longrightarrow$$


$$\Gamma, \Theta \vdash_F P \text{ Basic } (\lambda s. \text{if } b s \text{ then } f s \text{ else } g s) Q, A$$

apply (rule Basic)
apply auto
done

lemma Spec:  $P \subseteq \{s. (\forall t. (s, t) \in r \longrightarrow t \in Q) \wedge (\exists t. (s, t) \in r)\}$ 

$$\Longrightarrow \Gamma, \Theta \vdash_F P (\text{Spec } r) Q, A$$

by (rule hoarep.Spec [THEN conseqPre])

```

lemma *SpecIf*:

$$\llbracket P \subseteq \{s. (b\ s \longrightarrow f\ s \in Q) \wedge (\neg\ b\ s \longrightarrow g\ s \in Q \wedge h\ s \in Q)\} \rrbracket \Longrightarrow$$

$$\Gamma, \Theta \vdash_F P\ \text{Spec}\ (if\text{-rel}\ b\ f\ g\ h)\ Q, A$$
apply (*rule Spec*)
apply (*auto simp add: if-rel-def*)
done

lemma *Seq [trans, intro?]*:

$$\llbracket \Gamma, \Theta \vdash_F P\ c_1\ R, A; \Gamma, \Theta \vdash_F R\ c_2\ Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_F P\ (Seq\ c_1\ c_2)\ Q, A$$
by (*rule hoarep.Seq*)

lemma *SeqSwap*:

$$\llbracket \Gamma, \Theta \vdash_F R\ c_2\ Q, A; \Gamma, \Theta \vdash_F P\ c_1\ R, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_F P\ (Seq\ c_1\ c_2)\ Q, A$$
by (*rule Seq*)

lemma *BSeq*:

$$\llbracket \Gamma, \Theta \vdash_F P\ c_1\ R, A; \Gamma, \Theta \vdash_F R\ c_2\ Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_F P\ (bseq\ c_1\ c_2)\ Q, A$$
by (*unfold bseq-def*) (*rule Seq*)

lemma *Cond*:
assumes *wp*: $P \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$
assumes *deriv-c1*: $\Gamma, \Theta \vdash_F P_1\ c_1\ Q, A$
assumes *deriv-c2*: $\Gamma, \Theta \vdash_F P_2\ c_2\ Q, A$
shows $\Gamma, \Theta \vdash_F P\ (Cond\ b\ c_1\ c_2)\ Q, A$
proof (*rule hoarep.Cond [THEN consequPre]*)
from *deriv-c1*
show $\Gamma, \Theta \vdash_F (\{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\} \cap b)\ c_1\ Q, A$
by (*rule consequPre*) *blast*
next
from *deriv-c2*
show $\Gamma, \Theta \vdash_F (\{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\} \cap -\ b)\ c_2\ Q, A$
by (*rule consequPre*) *blast*
next
show $P \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$ **by** (*rule wp*)
qed

lemma *CondSwap*:

$$\llbracket \Gamma, \Theta \vdash_F P_1\ c_1\ Q, A; \Gamma, \Theta \vdash_F P_2\ c_2\ Q, A; P \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\} \rrbracket$$

$$\Longrightarrow$$

$$\Gamma, \Theta \vdash_F P\ (Cond\ b\ c_1\ c_2)\ Q, A$$
by (*rule Cond*)

lemma *Cond'*:

$\llbracket P \subseteq \{s. (b \subseteq P_1) \wedge (-b \subseteq P_2)\}; \Gamma, \Theta \vdash_F P_1 \ c_1 \ Q, A; \Gamma, \Theta \vdash_F P_2 \ c_2 \ Q, A \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_F P \ (Cond \ b \ c_1 \ c_2) \ Q, A$
by (rule CondSwap) blast+

lemma CondInv:

assumes wp: $P \subseteq Q$
assumes inv: $Q \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$
assumes deriv-c1: $\Gamma, \Theta \vdash_F P_1 \ c_1 \ Q, A$
assumes deriv-c2: $\Gamma, \Theta \vdash_F P_2 \ c_2 \ Q, A$
shows $\Gamma, \Theta \vdash_F P \ (Cond \ b \ c_1 \ c_2) \ Q, A$

proof –

from wp inv
have $P \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$
by blast
from Cond [OF this deriv-c1 deriv-c2]
show ?thesis .

qed

lemma CondInv':

assumes wp: $P \subseteq I$
assumes inv: $I \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$
assumes wp': $I \subseteq Q$
assumes deriv-c1: $\Gamma, \Theta \vdash_F P_1 \ c_1 \ I, A$
assumes deriv-c2: $\Gamma, \Theta \vdash_F P_2 \ c_2 \ I, A$
shows $\Gamma, \Theta \vdash_F P \ (Cond \ b \ c_1 \ c_2) \ Q, A$

proof –

from CondInv [OF wp inv deriv-c1 deriv-c2]
have $\Gamma, \Theta \vdash_F P \ (Cond \ b \ c_1 \ c_2) \ I, A$.
from conseqPost [OF this wp' subset-refl]
show ?thesis .

qed

lemma switchNil:

$P \subseteq Q \implies \Gamma, \Theta \vdash_F P \ (switch \ v \ []) \ Q, A$
by (simp add: Skip)

lemma switchCons:

$\llbracket P \subseteq \{s. (v \ s \in V \longrightarrow s \in P_1) \wedge (v \ s \notin V \longrightarrow s \in P_2)\};$
 $\Gamma, \Theta \vdash_F P_1 \ c \ Q, A;$
 $\Gamma, \Theta \vdash_F P_2 \ (switch \ v \ vs) \ Q, A \rrbracket$
 $\implies \Gamma, \Theta \vdash_F P \ (switch \ v \ ((V, c) \# vs)) \ Q, A$
by (simp add: Cond)

lemma Guard:

$\llbracket P \subseteq g \cap R; \Gamma, \Theta \vdash_F R \ c \ Q, A \rrbracket$

$\Rightarrow \Gamma, \Theta \vdash_F P \text{ (Guard } f \ g \ c) \ Q, A$
apply (rule Guard [THEN conseqPre, of - - - R])
apply (erule conseqPre)
apply auto
done

lemma GuardSwap:
 $\llbracket \Gamma, \Theta \vdash_F R \ c \ Q, A; P \subseteq g \cap R \rrbracket$
 $\Rightarrow \Gamma, \Theta \vdash_F P \text{ (Guard } f \ g \ c) \ Q, A$
by (rule Guard)

lemma Guarantee:
 $\llbracket P \subseteq \{s. s \in g \longrightarrow s \in R\}; \Gamma, \Theta \vdash_F R \ c \ Q, A; f \in F \rrbracket$
 $\Rightarrow \Gamma, \Theta \vdash_F P \text{ (Guard } f \ g \ c) \ Q, A$
apply (rule Guarantee [THEN conseqPre, of - - - - $\{s. s \in g \longrightarrow s \in R\}$])
apply assumption
apply (erule conseqPre)
apply auto
done

lemma GuaranteeSwap:
 $\llbracket \Gamma, \Theta \vdash_F R \ c \ Q, A; P \subseteq \{s. s \in g \longrightarrow s \in R\}; f \in F \rrbracket$
 $\Rightarrow \Gamma, \Theta \vdash_F P \text{ (Guard } f \ g \ c) \ Q, A$
by (rule Guarantee)

lemma GuardStrip:
 $\llbracket P \subseteq R; \Gamma, \Theta \vdash_F R \ c \ Q, A; f \in F \rrbracket$
 $\Rightarrow \Gamma, \Theta \vdash_F P \text{ (Guard } f \ g \ c) \ Q, A$
apply (rule Guarantee [THEN conseqPre])
apply auto
done

lemma GuardStripSwap:
 $\llbracket \Gamma, \Theta \vdash_F R \ c \ Q, A; P \subseteq R; f \in F \rrbracket$
 $\Rightarrow \Gamma, \Theta \vdash_F P \text{ (Guard } f \ g \ c) \ Q, A$
by (rule GuardStrip)

lemma GuaranteeStrip:
 $\llbracket P \subseteq R; \Gamma, \Theta \vdash_F R \ c \ Q, A; f \in F \rrbracket$
 $\Rightarrow \Gamma, \Theta \vdash_F P \text{ (guaranteeStrip } f \ g \ c) \ Q, A$
by (unfold guaranteeStrip-def) (rule GuardStrip)

lemma GuaranteeStripSwap:
 $\llbracket \Gamma, \Theta \vdash_F R \ c \ Q, A; P \subseteq R; f \in F \rrbracket$
 $\Rightarrow \Gamma, \Theta \vdash_F P \text{ (guaranteeStrip } f \ g \ c) \ Q, A$
by (unfold guaranteeStrip-def) (rule GuardStrip)

lemma *GuaranteeAsGuard*:
 $\llbracket P \subseteq g \cap R; \Gamma, \Theta \vdash_F R \ c \ Q, A \rrbracket$
 $\implies \Gamma, \Theta \vdash_F P \ (guaranteeStrip \ f \ g \ c) \ Q, A$
by (*unfold guaranteeStrip-def*) (*rule Guard*)

lemma *GuaranteeAsGuardSwap*:
 $\llbracket \Gamma, \Theta \vdash_F R \ c \ Q, A; P \subseteq g \cap R \rrbracket$
 $\implies \Gamma, \Theta \vdash_F P \ (guaranteeStrip \ f \ g \ c) \ Q, A$
by (*rule GuaranteeAsGuard*)

lemma *GuardsNil*:
 $\Gamma, \Theta \vdash_F P \ c \ Q, A \implies$
 $\Gamma, \Theta \vdash_F P \ (guards \ [] \ c) \ Q, A$
by *simp*

lemma *GuardsCons*:
 $\Gamma, \Theta \vdash_F P \ Guard \ f \ g \ (guards \ gs \ c) \ Q, A \implies$
 $\Gamma, \Theta \vdash_F P \ (guards \ ((f, g) \# gs) \ c) \ Q, A$
by *simp*

lemma *GuardsConsGuaranteeStrip*:
 $\Gamma, \Theta \vdash_F P \ guaranteeStrip \ f \ g \ (guards \ gs \ c) \ Q, A \implies$
 $\Gamma, \Theta \vdash_F P \ (guards \ (guaranteeStripPair \ f \ g \ \# gs) \ c) \ Q, A$
by (*simp add: guaranteeStripPair-def guaranteeStrip-def*)

lemma *While*:
assumes *P-I*: $P \subseteq I$
assumes *deriv-body*: $\Gamma, \Theta \vdash_F (I \cap b) \ c \ I, A$
assumes *I-Q*: $I \cap \neg b \subseteq Q$
shows $\Gamma, \Theta \vdash_F P \ (whileAnno \ b \ I \ V \ c) \ Q, A$
proof –
from *deriv-body* *P-I* *I-Q*
show *?thesis*
apply (*simp add: whileAnno-def*)
apply (*erule conseqPrePost [OF HoarePartialDef.While]*)
apply *simp-all*
done
qed

J will be instantiated by tactic with $gs' \cap I$ for those guards that are not stripped.

lemma *WhileAnnoG*:
 $\Gamma, \Theta \vdash_F P \ (guards \ gs$
 $\quad (whileAnno \ b \ J \ V \ (Seq \ c \ (guards \ gs \ Skip)))) \ Q, A$
 \implies
 $\Gamma, \Theta \vdash_F P \ (whileAnnoG \ gs \ b \ I \ V \ c) \ Q, A$

by (*simp add: whileAnnoG-def whileAnno-def while-def*)

This form stems from *strip-guards F (whileAnnoG gs b I V c)*

lemma *WhileNoGuard'*:

assumes *P-I*: $P \subseteq I$

assumes *deriv-body*: $\Gamma, \Theta \vdash_F (I \cap b) \ c \ I, A$

assumes *I-Q*: $I \cap \neg b \subseteq Q$

shows $\Gamma, \Theta \vdash_F P \ (whileAnno \ b \ I \ V \ (Seq \ c \ Skip)) \ Q, A$

apply (*rule While [OF P-I - I-Q]*)

apply (*rule Seq*)

apply (*rule deriv-body*)

apply (*rule hoarep.Skip*)

done

lemma *WhileAnnoFix*:

assumes *consequence*: $P \subseteq \{s. (\exists Z. s \in I \ Z \wedge (I \ Z \cap \neg b \subseteq Q))\}$

assumes *bdy*: $\forall Z. \Gamma, \Theta \vdash_F (I \ Z \cap b) \ (c \ Z) \ (I \ Z), A$

assumes *bdy-constant*: $\forall Z. c \ Z = c \ undefined$

shows $\Gamma, \Theta \vdash_F P \ (whileAnnoFix \ b \ I \ V \ c) \ Q, A$

proof –

from *bdy bdy-constant*

have *bdy'*: $\forall Z. \Gamma, \Theta \vdash_F (I \ Z \cap b) \ (c \ undefined) \ (I \ Z), A$

apply –

apply (*rule allI*)

apply (*erule-tac x=Z in allE*)

apply (*erule-tac x=Z in allE*)

apply *simp*

done

have $\forall Z. \Gamma, \Theta \vdash_F (I \ Z) \ (whileAnnoFix \ b \ I \ V \ c) \ (I \ Z \cap \neg b), A$

apply *rule*

apply (*unfold whileAnnoFix-def*)

apply (*rule hoarep.While*)

apply (*rule bdy' [rule-format]*)

done

then

show *?thesis*

apply (*rule conseq*)

using *consequence*

by *blast*

qed

lemma *WhileAnnoFix'*:

assumes *consequence*: $P \subseteq \{s. (\exists Z. s \in I \ Z \wedge (\forall t. t \in I \ Z \cap \neg b \longrightarrow t \in Q))\}$

assumes *bdy*: $\forall Z. \Gamma, \Theta \vdash_F (I \ Z \cap b) \ (c \ Z) \ (I \ Z), A$

assumes *bdy-constant*: $\forall Z. c \ Z = c \ undefined$

shows $\Gamma, \Theta \vdash_F P \ (whileAnnoFix \ b \ I \ V \ c) \ Q, A$

apply (*rule WhileAnnoFix [OF - bdy bdy-constant]*)

using consequence by blast

lemma *WhileAnnoGFix*:

assumes *whileAnnoFix*:

$\Gamma, \Theta \vdash_F P$ (*guards gs*

$(\text{whileAnnoFix } b \ J \ V \ (\lambda Z. (\text{Seq } (c \ Z) \ (\text{guards gs } \text{Skip})))) \ Q, A$

shows $\Gamma, \Theta \vdash_F P$ (*whileAnnoGFix gs b I V c*) Q, A

using *whileAnnoFix*

by (*simp add: whileAnnoGFix-def whileAnnoFix-def while-def*)

lemma *Bind*:

assumes *adapt*: $P \subseteq \{s. s \in P' \ s\}$

assumes *c*: $\forall s. \Gamma, \Theta \vdash_F (P' \ s) \ (c \ (e \ s)) \ Q, A$

shows $\Gamma, \Theta \vdash_F P$ (*bind e c*) Q, A

apply (*rule conseq* [**where** $P' = \lambda Z. \{s. s = Z \wedge s \in P' \ Z\}$ **and** $Q' = \lambda Z. Q$ **and** $A' = \lambda Z. A$])

apply (*rule allI*)

apply (*unfold bind-def*)

apply (*rule DynCom*)

apply (*rule ballI*)

apply *simp*

apply (*rule conseqPre*)

apply (*rule c* [*rule-format*])

apply *blast*

using *adapt*

apply *blast*

done

lemma *Block*:

assumes *adapt*: $P \subseteq \{s. \text{init } s \in P' \ s\}$

assumes *bdy*: $\forall s. \Gamma, \Theta \vdash_F (P' \ s) \ \text{bdy } \{t. \text{return } s \ t \in R \ s \ t\}, \{t. \text{return } s \ t \in A\}$

assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_F (R \ s \ t) \ (c \ s \ t) \ Q, A$

shows $\Gamma, \Theta \vdash_F P$ (*block init bdy return c*) Q, A

apply (*rule conseq* [**where** $P' = \lambda Z. \{s. s = Z \wedge \text{init } s \in P' \ Z\}$ **and** $Q' = \lambda Z. Q$ **and** $A' = \lambda Z. A$])

prefer 2

using *adapt*

apply *blast*

apply (*rule allI*)

apply (*unfold block-def*)

apply (*rule DynCom*)

apply (*rule ballI*)

apply *clarsimp*

apply (*rule-tac* $R = \{t. \text{return } Z \ t \in R \ Z \ t\}$ **in** *SeqSwap*)

apply (*rule-tac* $P' = \lambda Z'. \{t. t = Z' \wedge \text{return } Z \ t \in R \ Z \ t\}$ **and** $Q' = \lambda Z'. Q$ **and** $A' = \lambda Z'. A$ **in** *conseq*)

prefer 2 **apply** *simp*


```

apply (rule allI)
apply (rule DynCom)
apply (clarsimp)
apply (rule SeqSwap)
apply (rule c [rule-format])
apply (rule Basic)
apply clarsimp
apply (rule-tac R={t. return Z t ∈ A} in Catch)
apply (rule-tac R={i. i ∈ P' Z} in Seq)
apply (rule Basic)
apply clarsimp
apply simp
apply (rule bdy [rule-format])
apply (rule SeqSwap)
apply (rule Throw)
apply (rule Basic)
apply simp
done

```

lemma BlockSwap:

```

assumes c:  $\forall s\ t. \Gamma, \Theta \vdash_F (R\ s\ t)\ (c\ s\ t)\ Q, A$ 
assumes bdy:  $\forall s. \Gamma, \Theta \vdash_F (P'\ s)\ \text{bdy}\ \{t. \text{return}\ s\ t \in R\ s\ t\}, \{t. \text{return}\ s\ t \in A\}$ 
assumes adapt:  $P \subseteq \{s. \text{init}\ s \in P'\ s\}$ 
shows  $\Gamma, \Theta \vdash_F P\ (\text{block}\ \text{init}\ \text{bdy}\ \text{return}\ c)\ Q, A$ 
using adapt bdy c
by (rule Block)

```

lemma BlockSpec:

```

assumes adapt:  $P \subseteq \{s. \exists Z. \text{init}\ s \in P'\ Z \wedge$ 
 $(\forall t. t \in Q'\ Z \longrightarrow \text{return}\ s\ t \in R\ s\ t) \wedge$ 
 $(\forall t. t \in A'\ Z \longrightarrow \text{return}\ s\ t \in A)\}$ 
assumes c:  $\forall s\ t. \Gamma, \Theta \vdash_F (R\ s\ t)\ (c\ s\ t)\ Q, A$ 
assumes bdy:  $\forall Z. \Gamma, \Theta \vdash_F (P'\ Z)\ \text{bdy}\ (Q'\ Z), (A'\ Z)$ 
shows  $\Gamma, \Theta \vdash_F P\ (\text{block}\ \text{init}\ \text{bdy}\ \text{return}\ c)\ Q, A$ 
apply (rule conseq [where  $P' = \lambda Z. \{s. \text{init}\ s \in P'\ Z \wedge$ 
 $(\forall t. t \in Q'\ Z \longrightarrow \text{return}\ s\ t \in R\ s\ t) \wedge$ 
 $(\forall t. t \in A'\ Z \longrightarrow \text{return}\ s\ t \in A)\}$  and  $Q' = \lambda Z. Q\ \text{and}$ 
 $A' = \lambda Z. A\}$ ])
prefer 2
using adapt
apply blast
apply (rule allI)
apply (unfold block-def)
apply (rule DynCom)
apply (rule ballI)
apply clarsimp

```

```

apply (rule-tac  $R = \{t. \text{return } s \ t \in R \ s \ t\}$  in SeqSwap )
apply (rule-tac  $P' = \lambda Z'. \{t. t = Z' \wedge \text{return } s \ t \in R \ s \ t\}$  and
       $Q' = \lambda Z'. Q$  and  $A' = \lambda Z'. A$  in conseq)
prefer 2 apply simp
apply (rule allI)
apply (rule DynCom)
apply (clarsimp)
apply (rule SeqSwap)
apply (rule c [rule-format])
apply (rule Basic)
apply clarsimp
apply (rule-tac  $R = \{t. \text{return } s \ t \in A\}$  in Catch)
apply (rule-tac  $R = \{i. i \in P' \ Z\}$  in Seq)
apply (rule Basic)
apply clarsimp
apply simp
apply (rule conseq [OF bdy])
apply clarsimp
apply blast
apply (rule SeqSwap)
apply (rule Throw)
apply (rule Basic)
apply simp
done

```

lemma Throw: $P \subseteq A \implies \Gamma, \Theta \vdash_F P \text{ Throw } Q, A$
by (rule hoarep.Throw [THEN conseqPre])

lemmas Catch = hoarep.Catch

lemma CatchSwap: $\llbracket \Gamma, \Theta \vdash_F R \ c_2 \ Q, A; \Gamma, \Theta \vdash_F P \ c_1 \ Q, R \rrbracket \implies \Gamma, \Theta \vdash_F P \text{ Catch}$
 $c_1 \ c_2 \ Q, A$
by (rule hoarep.Catch)

lemma raise: $P \subseteq \{s. f \ s \in A\} \implies \Gamma, \Theta \vdash_F P \text{ raise } f \ Q, A$
apply (simp add: raise-def)
apply (rule Seq)
apply (rule Basic)
apply (assumption)
apply (rule Throw)
apply (rule subset-refl)
done

lemma condCatch: $\llbracket \Gamma, \Theta \vdash_F P \ c_1 \ Q, ((b \cap R) \cup (-b \cap A)); \Gamma, \Theta \vdash_F R \ c_2 \ Q, A \rrbracket$
 $\implies \Gamma, \Theta \vdash_F P \text{ condCatch } c_1 \ b \ c_2 \ Q, A$
apply (simp add: condCatch-def)
apply (rule Catch)
apply assumption
apply (rule CondSwap)

apply (*assumption*)
apply (*rule hoarep.Throw*)
apply *blast*
done

lemma *condCatchSwap*: $\llbracket \Gamma, \Theta \vdash_F R \ c_2 \ Q, A; \Gamma, \Theta \vdash_F P \ c_1 \ Q, ((b \cap R) \cup (-b \cap A)) \rrbracket$
 $\implies \Gamma, \Theta \vdash_F P \text{ condCatch } c_1 \ b \ c_2 \ Q, A$
by (*rule condCatch*)

lemma *ProcSpec*:
assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' \ Z \wedge$
 $(\forall t. t \in Q' \ Z \longrightarrow \text{return } s \ t \in R \ s \ t) \wedge$
 $(\forall t. t \in A' \ Z \longrightarrow \text{return } s \ t \in A)\}$
assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_F (R \ s \ t) \ (c \ s \ t) \ Q, A$
assumes *p*: $\forall Z. \Gamma, \Theta \vdash_F (P' \ Z) \ \text{Call } p \ (Q' \ Z), (A' \ Z)$
shows $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return } c) \ Q, A$
using *adapt c p*
apply (*unfold call-def*)
by (*rule BlockSpec*)

lemma *ProcSpec'*:
assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' \ Z \wedge$
 $(\forall t \in Q' \ Z. \text{return } s \ t \in R \ s \ t) \wedge$
 $(\forall t \in A' \ Z. \text{return } s \ t \in A)\}$
assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_F (R \ s \ t) \ (c \ s \ t) \ Q, A$
assumes *p*: $\forall Z. \Gamma, \Theta \vdash_F (P' \ Z) \ \text{Call } p \ (Q' \ Z), (A' \ Z)$
shows $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return } c) \ Q, A$
apply (*rule ProcSpec [OF - c p]*)
apply (*insert adapt*)
apply *clarsimp*
apply (*drule (1) subsetD*)
apply (*clarsimp*)
apply (*rule-tac x=Z in exI*)
apply *blast*
done

lemma *ProcSpecNoAbrupt*:
assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' \ Z \wedge$
 $(\forall t. t \in Q' \ Z \longrightarrow \text{return } s \ t \in R \ s \ t)\}$
assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_F (R \ s \ t) \ (c \ s \ t) \ Q, A$
assumes *p*: $\forall Z. \Gamma, \Theta \vdash_F (P' \ Z) \ \text{Call } p \ (Q' \ Z), \{\}$
shows $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return } c) \ Q, A$
apply (*rule ProcSpec [OF - c p]*)
using *adapt*
apply *simp*

done

lemma *FCall*:

$\Gamma, \Theta \vdash_F P \text{ (call init } p \text{ return } (\lambda s \ t. \ c \text{ (result } t)))} \ Q, A$
 $\implies \Gamma, \Theta \vdash_F P \text{ (fcall init } p \text{ return result } c) \ Q, A$
by (*simp add: fcall-def*)

lemma *ProcRec*:

assumes *deriv-bodies*:

$\forall p \in \text{Procs.}$

$\forall Z. \Gamma, \Theta \cup (\bigcup p \in \text{Procs. } \bigcup Z. \{(P \ p \ Z, p, Q \ p \ Z, A \ p \ Z)\})$
 $\vdash_F (P \ p \ Z) \text{ (the } (\Gamma \ p)) \ (Q \ p \ Z), (A \ p \ Z)$

assumes *Procs-defined*: $\text{Procs} \subseteq \text{dom } \Gamma$

shows $\forall p \in \text{Procs. } \forall Z. \Gamma, \Theta \vdash_F (P \ p \ Z) \text{ Call } p \ (Q \ p \ Z), (A \ p \ Z)$

by (*intro strip*)

(*rule CallRec'*)

[*OF - Procs-defined deriv-bodies*],
simp-all)

lemma *ProcRec'*:

assumes *ctxt*: $\Theta' = \Theta \cup (\bigcup p \in \text{Procs. } \bigcup Z. \{(P \ p \ Z, p, Q \ p \ Z, A \ p \ Z)\})$

assumes *deriv-bodies*:

$\forall p \in \text{Procs. } \forall Z. \Gamma, \Theta \vdash_F (P \ p \ Z) \text{ (the } (\Gamma \ p)) \ (Q \ p \ Z), (A \ p \ Z)$

assumes *Procs-defined*: $\text{Procs} \subseteq \text{dom } \Gamma$

shows $\forall p \in \text{Procs. } \forall Z. \Gamma, \Theta' \vdash_F (P \ p \ Z) \text{ Call } p \ (Q \ p \ Z), (A \ p \ Z)$

using *ctxt deriv-bodies*

apply *simp*

apply (*erule ProcRec [OF - Procs-defined]*)

done

lemma *ProcRecList*:

assumes *deriv-bodies*:

$\forall p \in \text{set Procs.}$

$\forall Z. \Gamma, \Theta \cup (\bigcup p \in \text{set Procs. } \bigcup Z. \{(P \ p \ Z, p, Q \ p \ Z, A \ p \ Z)\})$
 $\vdash_F (P \ p \ Z) \text{ (the } (\Gamma \ p)) \ (Q \ p \ Z), (A \ p \ Z)$

assumes *dist*: *distinct Procs*

assumes *Procs-defined*: $\text{set Procs} \subseteq \text{dom } \Gamma$

shows $\forall p \in \text{set Procs. } \forall Z. \Gamma, \Theta \vdash_F (P \ p \ Z) \text{ Call } p \ (Q \ p \ Z), (A \ p \ Z)$

using *deriv-bodies Procs-defined*

by (*rule ProcRec*)

lemma *ProcRecSpecs*:

$\llbracket \forall (P, p, Q, A) \in \text{Specs. } \Gamma, \Theta \cup \text{Specs} \vdash_F P \text{ (the } (\Gamma \ p)) \ Q, A;$

$\forall (P, p, Q, A) \in \text{Specs. } p \in \text{dom } \Gamma \rrbracket$

$\implies \forall (P, p, Q, A) \in \text{Specs. } \Gamma, \Theta \vdash_F P \text{ (Call } p) \ Q, A$

apply (*auto intro: CallRec*)

done

lemma *ProcRec1*:

assumes *deriv-body*:

$\forall Z. \Gamma, \Theta \cup (\bigcup Z. \{(P\ Z, p, Q\ Z, A\ Z)\}) \vdash_F (P\ Z) \text{ (the } (\Gamma\ p)) (Q\ Z), (A\ Z)$

assumes *p-defined*: $p \in \text{dom } \Gamma$

shows $\forall Z. \Gamma, \Theta \vdash_F (P\ Z) \text{ Call } p (Q\ Z), (A\ Z)$

proof –

from *deriv-body p-defined*

have $\forall p \in \{p\}. \forall Z. \Gamma, \Theta \vdash_F (P\ Z) \text{ Call } p (Q\ Z), (A\ Z)$

by – (*rule ProcRec* [**where** $A = \lambda p. A$ **and** $P = \lambda p. P$ **and** $Q = \lambda p. Q$],
simp-all)

thus *?thesis*

by *simp*

qed

lemma *ProcNoRec1*:

assumes *deriv-body*:

$\forall Z. \Gamma, \Theta \vdash_F (P\ Z) \text{ (the } (\Gamma\ p)) (Q\ Z), (A\ Z)$

assumes *p-def*: $p \in \text{dom } \Gamma$

shows $\forall Z. \Gamma, \Theta \vdash_F (P\ Z) \text{ Call } p (Q\ Z), (A\ Z)$

proof –

from *deriv-body*

have $\forall Z. \Gamma, \Theta \cup (\bigcup Z. \{(P\ Z, p, Q\ Z, A\ Z)\})$
 $\vdash_F (P\ Z) \text{ (the } (\Gamma\ p)) (Q\ Z), (A\ Z)$

by (*blast intro: hoare-augment-context*)

from *this p-def*

show *?thesis*

by (*rule ProcRec1*)

qed

lemma *ProcBody*:

assumes *WP*: $P \subseteq P'$

assumes *deriv-body*: $\Gamma, \Theta \vdash_F P' \text{ body } Q, A$

assumes *body*: $\Gamma\ p = \text{Some body}$

shows $\Gamma, \Theta \vdash_F P \text{ Call } p\ Q, A$

apply (*rule conseqPre* [*OF* - *WP*])

apply (*rule ProcNoRec1* [*rule-format*, **where** $P = \lambda Z. P'$ **and** $Q = \lambda Z. Q$ **and**
 $A = \lambda Z. A$])

apply (*insert body*)

apply *simp*

apply (*rule hoare-augment-context* [*OF deriv-body*])

apply *blast*

apply *fastforce*

done

lemma *CallBody*:

assumes *adapt*: $P \subseteq \{s. \text{init } s \in P' \ s\}$
assumes *bdy*: $\forall s. \Gamma, \Theta \vdash_F (P' \ s) \ \text{body} \ \{t. \text{return } s \ t \in R \ s \ t\}, \{t. \text{return } s \ t \in A\}$
assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_F (R \ s \ t) \ (c \ s \ t) \ Q, A$
assumes *body*: $\Gamma \ p = \text{Some body}$
shows $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return } c) \ Q, A$
apply (*unfold call-def*)
apply (*rule Block [OF adapt - c]*)
apply (*rule allI*)
apply (*rule ProcBody [where $\Gamma=\Gamma$, OF - bdy [rule-format] body]*)
apply *simp*
done

lemmas *ProcModifyReturn* = *HoarePartialProps.ProcModifyReturn*
lemmas *ProcModifyReturnSameFaults* = *HoarePartialProps.ProcModifyReturnSameFaults*

lemma *ProcModifyReturnNoAbr*:
assumes *spec*: $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return}' \ c) \ Q, A$
assumes *result-conform*:
 $\forall s \ t. t \in \text{Modif } (\text{init } s) \longrightarrow (\text{return}' \ s \ t) = (\text{return } s \ t)$
assumes *modifies-spec*:
 $\forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} \ \text{Call } p \ (\text{Modif } \sigma), \{\}$
shows $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return } c) \ Q, A$
by (*rule ProcModifyReturn [OF spec result-conform - modifies-spec]*) *simp*

lemma *ProcModifyReturnNoAbrSameFaults*:
assumes *spec*: $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return}' \ c) \ Q, A$
assumes *result-conform*:
 $\forall s \ t. t \in \text{Modif } (\text{init } s) \longrightarrow (\text{return}' \ s \ t) = (\text{return } s \ t)$
assumes *modifies-spec*:
 $\forall \sigma. \Gamma, \Theta \vdash_F \{\sigma\} \ \text{Call } p \ (\text{Modif } \sigma), \{\}$
shows $\Gamma, \Theta \vdash_F P \ (\text{call init } p \ \text{return } c) \ Q, A$
by (*rule ProcModifyReturnSameFaults [OF spec result-conform - modifies-spec]*)
simp

lemma *DynProc*:
assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' \ s \ Z \wedge$
 $(\forall t. t \in Q' \ s \ Z \longrightarrow \text{return } s \ t \in R \ s \ t) \wedge$
 $(\forall t. t \in A' \ s \ Z \longrightarrow \text{return } s \ t \in A)\}$
assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_F (R \ s \ t) \ (c \ s \ t) \ Q, A$
assumes *p*: $\forall s \in P. \forall Z. \Gamma, \Theta \vdash_F (P' \ s \ Z) \ \text{Call } (p \ s) \ (Q' \ s \ Z), (A' \ s \ Z)$
shows $\Gamma, \Theta \vdash_F P \ \text{dynCall init } p \ \text{return } c \ Q, A$
apply (*rule conseq [where $P'=\lambda Z. \{s. s=Z \wedge s \in P\}$*
and $Q'=\lambda Z. Q$ and $A'=\lambda Z. A$])
prefer 2
using *adapt*
apply *blast*

```

apply (rule allI)
apply (unfold dynCall-def call-def block-def)
apply (rule DynCom)
apply clarsimp
apply (rule DynCom)
apply clarsimp
apply (frule in-mono [rule-format, OF adapt])
apply clarsimp
apply (rename-tac Z')
apply (rule-tac R=Q' Z Z' in Seq)
apply (rule CatchSwap)
apply (rule SeqSwap)
apply (rule Throw)
apply (rule subset-refl)
apply (rule Basic)
apply (rule subset-refl)
apply (rule-tac R={i. i ∈ P' Z Z'} in Seq)
apply (rule Basic)
apply clarsimp
apply simp
apply (rule-tac Q'=Q' Z Z' and A'=A' Z Z' in conseqPost)
using p
apply clarsimp
apply simp
apply clarsimp
apply (rule-tac P'=λZ''. {t. t=Z'' ∧ return Z t ∈ R Z t} and
      Q'=λZ''. Q and A'=λZ''. A in conseq)
prefer 2 apply simp
apply (rule allI)
apply (rule DynCom)
apply clarsimp
apply (rule SeqSwap)
apply (rule c [rule-format])
apply (rule Basic)
apply clarsimp
done

```

lemma DynProc':

```

assumes adapt:  $P \subseteq \{s. \exists Z. \text{init } s \in P' s Z \wedge$ 
       $(\forall t \in Q' s Z. \text{return } s t \in R s t) \wedge$ 
       $(\forall t \in A' s Z. \text{return } s t \in A)\}$ 
assumes c:  $\forall s t. \Gamma, \Theta \vdash_F (R s t) (c s t) Q, A$ 
assumes p:  $\forall s \in P. \forall Z. \Gamma, \Theta \vdash_F (P' s Z) \text{ Call } (p s) (Q' s Z), (A' s Z)$ 
shows  $\Gamma, \Theta \vdash_F P \text{ dynCall init } p \text{ return } c Q, A$ 

```

proof –

```

from adapt have  $P \subseteq \{s. \exists Z. \text{init } s \in P' s Z \wedge$ 
       $(\forall t. t \in Q' s Z \longrightarrow \text{return } s t \in R s t) \wedge$ 
       $(\forall t. t \in A' s Z \longrightarrow \text{return } s t \in A)\}$ 

```

by blast

from *this c p* **show** *?thesis*
by (*rule DynProc*)
qed

lemma *DynProcStaticSpec*:

assumes *adapt*: $P \subseteq \{s. s \in S \wedge (\exists Z. \text{init } s \in P' Z \wedge$
 $(\forall \tau. \tau \in Q' Z \longrightarrow \text{return } s \tau \in R s \tau) \wedge$
 $(\forall \tau. \tau \in A' Z \longrightarrow \text{return } s \tau \in A))\}$
assumes *c*: $\forall s t. \Gamma, \Theta \vdash_F (R s t) (c s t) Q, A$
assumes *spec*: $\forall s \in S. \forall Z. \Gamma, \Theta \vdash_F (P' Z) \text{ Call } (p s) (Q' Z), (A' Z)$
shows $\Gamma, \Theta \vdash_F P (\text{dynCall init } p \text{ return } c) Q, A$
proof –
from *adapt* **have** *P-S*: $P \subseteq S$
by *blast*
have $\Gamma, \Theta \vdash_F (P \cap S) (\text{dynCall init } p \text{ return } c) Q, A$
apply (*rule DynProc* [**where** $P' = \lambda s Z. P' Z$ **and** $Q' = \lambda s Z. Q' Z$
 $\text{and } A' = \lambda s Z. A' Z, OF - c]$)
apply *clarsimp*
apply (*frule in-mono* [*rule-format*, *OF adapt*])
apply *clarsimp*
using *spec*
apply *clarsimp*
done
thus *?thesis*
by (*rule conseqPre*) (*insert P-S,blast*)
qed

lemma *DynProcProcPar*:

assumes *adapt*: $P \subseteq \{s. p s = q \wedge (\exists Z. \text{init } s \in P' Z \wedge$
 $(\forall \tau. \tau \in Q' Z \longrightarrow \text{return } s \tau \in R s \tau) \wedge$
 $(\forall \tau. \tau \in A' Z \longrightarrow \text{return } s \tau \in A))\}$
assumes *c*: $\forall s t. \Gamma, \Theta \vdash_F (R s t) (c s t) Q, A$
assumes *spec*: $\forall Z. \Gamma, \Theta \vdash_F (P' Z) \text{ Call } q (Q' Z), (A' Z)$
shows $\Gamma, \Theta \vdash_F P (\text{dynCall init } p \text{ return } c) Q, A$
apply (*rule DynProcStaticSpec* [**where** $S = \{s. p s = q\}$, *simplified*, *OF adapt c*])
using *spec*
apply *simp*
done

lemma *DynProcProcParNoAbrupt*:

assumes *adapt*: $P \subseteq \{s. p s = q \wedge (\exists Z. \text{init } s \in P' Z \wedge$
 $(\forall \tau. \tau \in Q' Z \longrightarrow \text{return } s \tau \in R s \tau))\}$
assumes *c*: $\forall s t. \Gamma, \Theta \vdash_F (R s t) (c s t) Q, A$
assumes *spec*: $\forall Z. \Gamma, \Theta \vdash_F (P' Z) \text{ Call } q (Q' Z), \{\}$
shows $\Gamma, \Theta \vdash_F P (\text{dynCall init } p \text{ return } c) Q, A$


```

proof –
  have  $P \subseteq \{s. p \ s = q \wedge (\exists \ Z. \text{init } s \in P' \ Z \wedge$ 
     $(\forall t. t \in Q' \ Z \longrightarrow \text{return } s \ t \in R \ s \ t) \wedge$ 
     $(\forall t. t \in \{\} \longrightarrow \text{return } s \ t \in A))\}$ 
     $(\text{is } P \subseteq ?P')$ 
  proof
    fix  $s$ 
    assume  $P: s \in P$ 
    with adapt obtain  $Z$  where
       $\text{Pre}: p \ s = q \wedge \text{init } s \in P' \ Z$  and
       $\text{adapt-Norm}: \forall \tau. \tau \in Q' \ Z \longrightarrow \text{return } s \ \tau \in R \ s \ \tau$ 
      by blast
    from adapt-Norm
    have  $\forall t. t \in Q' \ Z \longrightarrow \text{return } s \ t \in R \ s \ t$ 
    by auto
    then
    show  $s \in ?P'$ 
    using Pre by blast
  qed
note  $P = \text{this}$ 
show ?thesis
  apply –
  apply (rule DynProcStaticSpec [where  $S = \{s. p \ s = q\}$ , simplified, OF P c])
  apply (insert spec)
  apply auto
  done
qed

```

lemma *DynProcModifyReturnNoAbr*:

```

assumes to-prove:  $\Gamma, \Theta \vdash_F P \ (\text{dynCall init } p \ \text{return}' \ c) \ Q, A$ 
assumes ret-nrm-modif:  $\forall s \ t. t \in (\text{Modif } (\text{init } s))$ 
   $\longrightarrow \text{return}' \ s \ t = \text{return } s \ t$ 
assumes modif-clause:
   $\forall s \in P. \forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} \ \text{Call } (p \ s) \ (\text{Modif } \sigma), \{\}$ 
shows  $\Gamma, \Theta \vdash_F P \ (\text{dynCall init } p \ \text{return } c) \ Q, A$ 
proof –
  from ret-nrm-modif
  have  $\forall s \ t. t \in (\text{Modif } (\text{init } s))$ 
     $\longrightarrow \text{return}' \ s \ t = \text{return } s \ t$ 
    by iprover
  then
  have ret-nrm-modif':  $\forall s \ t. t \in (\text{Modif } (\text{init } s))$ 
     $\longrightarrow \text{return}' \ s \ t = \text{return } s \ t$ 
    by simp
  have ret-abr-modif':  $\forall s \ t. t \in \{\}$ 
     $\longrightarrow \text{return}' \ s \ t = \text{return } s \ t$ 
    by simp
  from to-prove ret-nrm-modif' ret-abr-modif' modif-clause show ?thesis

```

by (rule dynProcModifyReturn)
qed

lemma ProcDynModifyReturnNoAbrSameFaults:
assumes to-prove: $\Gamma, \Theta \vdash_F P \text{ (dynCall init } p \text{ return' } c) \text{ } Q, A$
assumes ret-nrm-modif: $\forall s \ t. \ t \in (\text{Modif } (\text{init } s))$
 $\longrightarrow \text{return' } s \ t = \text{return } s \ t$
assumes modif-clause:
 $\forall s \in P. \forall \sigma. \Gamma, \Theta \vdash_F \{\sigma\} (\text{Call } (p \ s)) (\text{Modif } \sigma), \{\}$
shows $\Gamma, \Theta \vdash_F P \text{ (dynCall init } p \text{ return } c) \text{ } Q, A$
proof –
from ret-nrm-modif
have $\forall s \ t. \ t \in (\text{Modif } (\text{init } s))$
 $\longrightarrow \text{return' } s \ t = \text{return } s \ t$
by iprover
then
have ret-nrm-modif': $\forall s \ t. \ t \in (\text{Modif } (\text{init } s))$
 $\longrightarrow \text{return' } s \ t = \text{return } s \ t$
by simp
have ret-abr-modif': $\forall s \ t. \ t \in \{\}$
 $\longrightarrow \text{return' } s \ t = \text{return } s \ t$
by simp
from to-prove ret-nrm-modif' ret-abr-modif' modif-clause **show** ?thesis
by (rule dynProcModifyReturnSameFaults)
qed

lemma ProcProcParModifyReturn:
assumes $q: P \subseteq \{s. \ p \ s = q\} \cap P'$
— DynProcProcPar introduces the same constraint as first conjunction in P' , so
the vcg can simplify it.
assumes to-prove: $\Gamma, \Theta \vdash_F P' \text{ (dynCall init } p \text{ return' } c) \text{ } Q, A$
assumes ret-nrm-modif: $\forall s \ t. \ t \in (\text{Modif } (\text{init } s))$
 $\longrightarrow \text{return' } s \ t = \text{return } s \ t$
assumes ret-abr-modif: $\forall s \ t. \ t \in (\text{ModifAbr } (\text{init } s))$
 $\longrightarrow \text{return' } s \ t = \text{return } s \ t$
assumes modif-clause:
 $\forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} (\text{Call } q) (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$
shows $\Gamma, \Theta \vdash_F P \text{ (dynCall init } p \text{ return } c) \text{ } Q, A$
proof –
from to-prove **have** $\Gamma, \Theta \vdash_F (\{s. \ p \ s = q\} \cap P') \text{ (dynCall init } p \text{ return' } c) \text{ } Q, A$
by (rule conseqPre) blast
from this ret-nrm-modif
ret-abr-modif
have $\Gamma, \Theta \vdash_F (\{s. \ p \ s = q\} \cap P') \text{ (dynCall init } p \text{ return } c) \text{ } Q, A$
by (rule dynProcModifyReturn) (insert modif-clause, auto)
from this q **show** ?thesis

by (rule conseqPre)
qed

lemma *ProcProcParModifyReturnSameFaults*:

assumes $q: P \subseteq \{s. p \ s = q\} \cap P'$

— *DynProcProcPar* introduces the same constraint as first conjunction in P' , so the vcg can simplify it.

assumes *to-prove*: $\Gamma, \Theta \vdash_F P' \ (dynCall \ init \ p \ return' \ c) \ Q, A$

assumes *ret-nrm-modif*: $\forall s \ t. t \in (Modif \ (init \ s))$
 $\longrightarrow return' \ s \ t = return \ s \ t$

assumes *ret-abr-modif*: $\forall s \ t. t \in (ModifAbr \ (init \ s))$
 $\longrightarrow return' \ s \ t = return \ s \ t$

assumes *modif-clause*:

$\forall \sigma. \Gamma, \Theta \vdash_F \{\sigma\} \ Call \ q \ (Modif \ \sigma), (ModifAbr \ \sigma)$

shows $\Gamma, \Theta \vdash_F P \ (dynCall \ init \ p \ return \ c) \ Q, A$

proof —

from *to-prove*

have $\Gamma, \Theta \vdash_F (\{s. p \ s = q\} \cap P') \ (dynCall \ init \ p \ return' \ c) \ Q, A$

by (rule conseqPre) blast

from *this ret-nrm-modif*

ret-abr-modif

have $\Gamma, \Theta \vdash_F (\{s. p \ s = q\} \cap P') \ (dynCall \ init \ p \ return \ c) \ Q, A$

by (rule *dynProcModifyReturnSameFaults*) (insert *modif-clause, auto*)

from *this q show ?thesis*

by (rule conseqPre)

qed

lemma *ProcProcParModifyReturnNoAbr*:

assumes $q: P \subseteq \{s. p \ s = q\} \cap P'$

— *DynProcProcParNoAbrupt* introduces the same constraint as first conjunction in P' , so the vcg can simplify it.

assumes *to-prove*: $\Gamma, \Theta \vdash_F P' \ (dynCall \ init \ p \ return' \ c) \ Q, A$

assumes *ret-nrm-modif*: $\forall s \ t. t \in (Modif \ (init \ s))$
 $\longrightarrow return' \ s \ t = return \ s \ t$

assumes *modif-clause*:

$\forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} \ (Call \ q) \ (Modif \ \sigma), \{\}$

shows $\Gamma, \Theta \vdash_F P \ (dynCall \ init \ p \ return \ c) \ Q, A$

proof —

from *to-prove* have $\Gamma, \Theta \vdash_F (\{s. p \ s = q\} \cap P') \ (dynCall \ init \ p \ return' \ c) \ Q, A$

by (rule conseqPre) blast

from *this ret-nrm-modif*

have $\Gamma, \Theta \vdash_F (\{s. p \ s = q\} \cap P') \ (dynCall \ init \ p \ return \ c) \ Q, A$

by (rule *DynProcModifyReturnNoAbr*) (insert *modif-clause, auto*)

from *this q show ?thesis*

by (rule conseqPre)

qed

lemma *ProcProcParModifyReturnNoAbrSameFaults*:

assumes $q: P \subseteq \{s. p \ s = q\} \cap P'$

— *DynProcProcParNoAbrupt* introduces the same constraint as first conjunction in P' , so the vcg can simplify it.

assumes *to-prove*: $\Gamma, \Theta \vdash_F P' \ (dynCall \ init \ p \ return' \ c) \ Q, A$

assumes *ret-nrm-modif*: $\forall s \ t. t \in (Modif \ (init \ s))$
 $\longrightarrow return' \ s \ t = return \ s \ t$

assumes *modif-clause*:

$\forall \sigma. \Gamma, \Theta \vdash_F \{\sigma\} \ (Call \ q) \ (Modif \ \sigma), \{\}$

shows $\Gamma, \Theta \vdash_F P \ (dynCall \ init \ p \ return \ c) \ Q, A$

proof —

from *to-prove* **have**

$\Gamma, \Theta \vdash_F (\{s. p \ s = q\} \cap P') \ (dynCall \ init \ p \ return' \ c) \ Q, A$

by (*rule conseqPre*) *blast*

from *this ret-nrm-modif*

have $\Gamma, \Theta \vdash_F (\{s. p \ s = q\} \cap P') \ (dynCall \ init \ p \ return \ c) \ Q, A$

by (*rule ProcDynModifyReturnNoAbrSameFaults*) (*insert modif-clause, auto*)

from *this q* **show** *?thesis*

by (*rule conseqPre*)

qed

lemma *MergeGuards-iff*: $\Gamma, \Theta \vdash_F P \ merge-guards \ c \ Q, A = \Gamma, \Theta \vdash_F P \ c \ Q, A$

by (*auto intro: MergeGuardsI MergeGuardsD*)

lemma *CombineStrip'*:

assumes *deriv*: $\Gamma, \Theta \vdash_F P \ c' \ Q, A$

assumes *deriv-strip-triv*: $\Gamma, \{\} \vdash_{/\{\}} P \ c'' \ UNIV, UNIV$

assumes $c'': c'' = mark-guards \ False \ (strip-guards \ (-F) \ c')$

assumes $c: merge-guards \ c = merge-guards \ (mark-guards \ False \ c')$

shows $\Gamma, \Theta \vdash_{/\{\}} P \ c \ Q, A$

proof —

from *deriv-strip-triv* **have** *deriv-strip*: $\Gamma, \Theta \vdash_{/\{\}} P \ c'' \ UNIV, UNIV$

by (*auto intro: hoare-augment-context*)

from *deriv-strip* [*simplified c''*]

have $\Gamma, \Theta \vdash_{/\{\}} P \ (strip-guards \ (-F) \ c') \ UNIV, UNIV$

by (*rule MarkGuardsD*)

with *deriv*

have $\Gamma, \Theta \vdash_{/\{\}} P \ c' \ Q, A$

by (*rule CombineStrip*)

hence $\Gamma, \Theta \vdash_{/\{\}} P \ mark-guards \ False \ c' \ Q, A$

by (*rule MarkGuardsI*)

hence $\Gamma, \Theta \vdash_{/\{\}} P \ merge-guards \ (mark-guards \ False \ c') \ Q, A$

by (*rule MergeGuardsI*)

hence $\Gamma, \Theta \vdash_{/\{\}} P \ merge-guards \ c \ Q, A$

by (*simp add: c*)

thus *?thesis*

by (rule MergeGuardsD)
qed

lemma *CombineStrip''*:
 assumes *deriv*: $\Gamma, \Theta \vdash_{\{\text{True}\}} P \ c' \ Q, A$
 assumes *deriv-strip-triv*: $\Gamma, \{\} \vdash_{\{\}} P \ c'' \ \text{UNIV}, \text{UNIV}$
 assumes *c''*: $c'' = \text{mark-guards False} (\text{strip-guards} (\{\text{False}\}) \ c')$
 assumes *c*: $\text{merge-guards } c = \text{merge-guards} (\text{mark-guards False } c')$
 shows $\Gamma, \Theta \vdash_{\{\}} P \ c \ Q, A$
 apply (rule *CombineStrip'* [OF *deriv deriv-strip-triv - c*])
 apply (insert *c''*)
 apply (subgoal-tac - $\{\text{True}\} = \{\text{False}\}$)
 apply auto
 done

lemma *AsmUN*:
 $(\bigcup Z. \{(P \ Z, \ p, \ Q \ Z, A \ Z)\}) \subseteq \Theta$
 \implies
 $\forall Z. \Gamma, \Theta \vdash_F (P \ Z) \ (\text{Call } p) \ (Q \ Z), (A \ Z)$
 by (blast intro: hoarep.Asm)

lemma *augment-context'*:
 $\llbracket \Theta \subseteq \Theta'; \forall Z. \Gamma, \Theta \vdash_F (P \ Z) \ p \ (Q \ Z), (A \ Z) \rrbracket$
 $\implies \forall Z. \Gamma, \Theta' \vdash_F (P \ Z) \ p \ (Q \ Z), (A \ Z)$
 by (iprover intro: hoare-augment-context)

lemma *hoarep-strip*:
 $\llbracket \forall Z. \Gamma, \{\} \vdash_F (P \ Z) \ p \ (Q \ Z), (A \ Z); F' \subseteq -F \rrbracket \implies$
 $\forall Z. \text{strip } F' \ \Gamma, \{\} \vdash_F (P \ Z) \ p \ (Q \ Z), (A \ Z)$
 by (iprover intro: hoare-strip- Γ)

lemma *augment-emptyFaults*:
 $\llbracket \forall Z. \Gamma, \{\} \vdash_{\{\}} (P \ Z) \ p \ (Q \ Z), (A \ Z) \rrbracket \implies$
 $\forall Z. \Gamma, \{\} \vdash_F (P \ Z) \ p \ (Q \ Z), (A \ Z)$
 by (blast intro: augment-Faults)

lemma *augment-FaultsUNIV*:
 $\llbracket \forall Z. \Gamma, \{\} \vdash_F (P \ Z) \ p \ (Q \ Z), (A \ Z) \rrbracket \implies$
 $\forall Z. \Gamma, \{\} \vdash_{\text{UNIV}} (P \ Z) \ p \ (Q \ Z), (A \ Z)$
 by (blast intro: augment-Faults)

lemma *PostConjI* [trans]:
 $\llbracket \Gamma, \Theta \vdash_F P \ c \ Q, A; \Gamma, \Theta \vdash_F P \ c \ R, B \rrbracket \implies \Gamma, \Theta \vdash_F P \ c \ (Q \cap R), (A \cap B)$
 by (rule *PostConjI*)

lemma *PostConjI'* :

$$\llbracket \Gamma, \Theta \vdash_F P \ c \ Q, A; \Gamma, \Theta \vdash_F P \ c \ Q, A \implies \Gamma, \Theta \vdash_F P \ c \ R, B \rrbracket$$

$$\implies \Gamma, \Theta \vdash_F P \ c \ (Q \cap R), (A \cap B)$$
 by (rule *PostConjI*) iprover+

lemma *PostConjE* [*consumes I*]:
 assumes *conj*: $\Gamma, \Theta \vdash_F P \ c \ (Q \cap R), (A \cap B)$
 assumes *E*: $\llbracket \Gamma, \Theta \vdash_F P \ c \ Q, A; \Gamma, \Theta \vdash_F P \ c \ R, B \rrbracket \implies S$
 shows *S*
proof –
 from *conj* have $\Gamma, \Theta \vdash_F P \ c \ Q, A$ by (rule *conseqPost*) blast+
 moreover
 from *conj* have $\Gamma, \Theta \vdash_F P \ c \ R, B$ by (rule *conseqPost*) blast+
 ultimately show *S*
 by (rule *E*)
 qed

6.1 Rules for Single-Step Proof

We are now ready to introduce a set of Hoare rules to be used in single-step structured proofs in Isabelle/Isar.

Assertions of Hoare Logic may be manipulated in calculational proofs, with the inclusion expressed in terms of sets or predicates. Reversed order is supported as well.

lemma *annotateI* [*trans*]:

$$\llbracket \Gamma, \Theta \vdash_F P \text{ anno } Q, A; c = \text{anno} \rrbracket \implies \Gamma, \Theta \vdash_F P \ c \ Q, A$$
 by *simp*

lemma *annotate-normI*:
 assumes *deriv-anno*: $\Gamma, \Theta \vdash_F P \text{ anno } Q, A$
 assumes *norm-eq*: *normalize c = normalize anno*
 shows $\Gamma, \Theta \vdash_F P \ c \ Q, A$
proof –
 from *NormalizeI* [*OF deriv-anno*] *norm-eq*
 have $\Gamma, \Theta \vdash_F P \text{ normalize } c \ Q, A$
 by *simp*
 from *NormalizeD* [*OF this*]
 show ?thesis .
 qed

lemma *annotateWhile*:

$$\llbracket \Gamma, \Theta \vdash_F P \ (\text{whileAnnoG } gs \ b \ I \ V \ c) \ Q, A \rrbracket \implies \Gamma, \Theta \vdash_F P \ (\text{while } gs \ b \ c) \ Q, A$$
 by (*simp add: whileAnnoG-def*)

lemma *reannotateWhile*:

$$\llbracket \Gamma, \Theta \vdash_F P \ (\text{whileAnnoG } gs \ b \ I \ V \ c) \ Q, A \rrbracket \implies \Gamma, \Theta \vdash_F P \ (\text{whileAnnoG } gs \ b \ J \ V$$

c) Q, A
by (*simp add: whileAnnoG-def*)

lemma *reannotateWhileNoGuard*:

$\llbracket \Gamma, \Theta \vdash_F P \text{ (whileAnno } b \text{ I V c) } Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_F P \text{ (whileAnno } b \text{ J V c) } Q, A$
by (*simp add: whileAnno-def*)

lemma *[trans]*: $P' \subseteq P \Longrightarrow \Gamma, \Theta \vdash_F P \text{ c } Q, A \Longrightarrow \Gamma, \Theta \vdash_F P' \text{ c } Q, A$
by (*rule conseqPre*)

lemma *[trans]*: $Q \subseteq Q' \Longrightarrow \Gamma, \Theta \vdash_F P \text{ c } Q, A \Longrightarrow \Gamma, \Theta \vdash_F P \text{ c } Q', A$
by (*rule conseqPost*) *blast+*

lemma *[trans]*:

$\Gamma, \Theta \vdash_F \{s. P \ s\} \text{ c } Q, A \Longrightarrow (\bigwedge s. P' \ s \longrightarrow P \ s) \Longrightarrow \Gamma, \Theta \vdash_F \{s. P' \ s\} \text{ c } Q, A$
by (*rule conseqPre*) *auto*

lemma *[trans]*:

$(\bigwedge s. P' \ s \longrightarrow P \ s) \Longrightarrow \Gamma, \Theta \vdash_F \{s. P \ s\} \text{ c } Q, A \Longrightarrow \Gamma, \Theta \vdash_F \{s. P' \ s\} \text{ c } Q, A$
by (*rule conseqPre*) *auto*

lemma *[trans]*:

$\Gamma, \Theta \vdash_F P \text{ c } \{s. Q \ s\}, A \Longrightarrow (\bigwedge s. Q \ s \longrightarrow Q' \ s) \Longrightarrow \Gamma, \Theta \vdash_F P \text{ c } \{s. Q' \ s\}, A$
by (*rule conseqPost*) *auto*

lemma *[trans]*:

$(\bigwedge s. Q \ s \longrightarrow Q' \ s) \Longrightarrow \Gamma, \Theta \vdash_F P \text{ c } \{s. Q \ s\}, A \Longrightarrow \Gamma, \Theta \vdash_F P \text{ c } \{s. Q' \ s\}, A$
by (*rule conseqPost*) *auto*

lemma *[intro?]*: $\Gamma, \Theta \vdash_F P \text{ Skip } P, A$

by (*rule Skip*) *auto*

lemma *CondInt [trans, intro?]*:

$\llbracket \Gamma, \Theta \vdash_F (P \cap b) \text{ c1 } Q, A; \Gamma, \Theta \vdash_F (P \cap \neg b) \text{ c2 } Q, A \rrbracket$

\Longrightarrow

$\Gamma, \Theta \vdash_F P \text{ (Cond } b \text{ c1 c2) } Q, A$

by (*rule Cond*) *auto*

lemma *CondConj [trans, intro?]*:

$\llbracket \Gamma, \Theta \vdash_F \{s. P \ s \wedge b \ s\} \text{ c1 } Q, A; \Gamma, \Theta \vdash_F \{s. P \ s \wedge \neg b \ s\} \text{ c2 } Q, A \rrbracket$

\Longrightarrow

$\Gamma, \Theta \vdash_F \{s. P \ s\} \text{ (Cond } \{s. b \ s\} \text{ c1 c2) } Q, A$

by (*rule Cond*) *auto*

lemma *WhileInvInt [intro?]*:

$\Gamma, \Theta \vdash_F (P \cap b) \text{ c } P, A \Longrightarrow \Gamma, \Theta \vdash_F P \text{ (whileAnno } b \text{ P V c) } (P \cap \neg b), A$

by (*rule While*) *auto*

lemma *WhileInt* [intro?]:
 $\Gamma, \Theta \vdash_F (P \cap b) \ c \ P, A$
 \implies
 $\Gamma, \Theta \vdash_F P \ (whileAnno \ b \ \{s. \text{undefined}\} \ V \ c) \ (P \cap \neg b), A$
by (*unfold whileAnno-def*)
(rule HoarePartialDef.While [THEN conseqPrePost], auto)

lemma *WhileInvConj* [intro?]:
 $\Gamma, \Theta \vdash_F \{s. P \ s \wedge b \ s\} \ c \ \{s. P \ s\}, A$
 $\implies \Gamma, \Theta \vdash_F \{s. P \ s\} \ (whileAnno \ \{s. b \ s\} \ \{s. P \ s\} \ V \ c) \ \{s. P \ s \wedge \neg b \ s\}, A$
by (*simp add: While Collect-conj-eq Collect-neg-eq*)

lemma *WhileConj* [intro?]:
 $\Gamma, \Theta \vdash_F \{s. P \ s \wedge b \ s\} \ c \ \{s. P \ s\}, A$
 \implies
 $\Gamma, \Theta \vdash_F \{s. P \ s\} \ (whileAnno \ \{s. b \ s\} \ \{s. \text{undefined}\} \ V \ c) \ \{s. P \ s \wedge \neg b \ s\}, A$
by (*unfold whileAnno-def*)
(simp add: HoarePartialDef.While [THEN conseqPrePost]
Collect-conj-eq Collect-neg-eq)

end

7 Terminating Programs

theory *Termination* **imports** *Semantic* **begin**

7.1 Inductive Characterisation: $\Gamma \vdash c \downarrow s$

inductive *terminates*::('s,'p,'f) *body* \Rightarrow ('s,'p,'f) *com* \Rightarrow ('s,'f) *xstate* \Rightarrow *bool*
 ($\vdash \downarrow$ - [60,20,60] 89)
for $\Gamma::('s,'p,'f)$ *body*
where
Skip: $\Gamma \vdash Skip \downarrow (Normal \ s)$

Basic: $\Gamma \vdash Basic \ f \downarrow (Normal \ s)$

Spec: $\Gamma \vdash Spec \ r \downarrow (Normal \ s)$

Guard: $\llbracket s \in g; \Gamma \vdash c \downarrow (Normal \ s) \rrbracket$
 \implies
 $\Gamma \vdash Guard \ f \ g \ c \downarrow (Normal \ s)$

GuardFault: $s \notin g$
 \implies
 $\Gamma \vdash Guard \ f \ g \ c \downarrow (Normal \ s)$

$$\begin{array}{l}
| \textit{Fault} \text{ [intro,simp]}: \Gamma \vdash c \downarrow \textit{Fault} f \\
\\
| \textit{Seq}: \llbracket \Gamma \vdash c_1 \downarrow \textit{Normal} s; \forall s'. \Gamma \vdash \langle c_1, \textit{Normal} s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash c_2 \downarrow s' \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma \vdash \textit{Seq} \ c_1 \ c_2 \downarrow (\textit{Normal} s) \\
\\
| \textit{CondTrue}: \llbracket s \in b; \Gamma \vdash c_1 \downarrow (\textit{Normal} s) \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma \vdash \textit{Cond} \ b \ c_1 \ c_2 \downarrow (\textit{Normal} s) \\
\\
| \textit{CondFalse}: \llbracket s \notin b; \Gamma \vdash c_2 \downarrow (\textit{Normal} s) \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma \vdash \textit{Cond} \ b \ c_1 \ c_2 \downarrow (\textit{Normal} s) \\
\\
| \textit{WhileTrue}: \llbracket s \in b; \Gamma \vdash c \downarrow (\textit{Normal} s); \\
\quad \forall s'. \Gamma \vdash \langle c, \textit{Normal} s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \textit{While} \ b \ c \downarrow s' \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma \vdash \textit{While} \ b \ c \downarrow (\textit{Normal} s) \\
\\
| \textit{WhileFalse}: \llbracket s \notin b \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma \vdash \textit{While} \ b \ c \downarrow (\textit{Normal} s) \\
\\
| \textit{Call}: \llbracket \Gamma \vdash p = \textit{Some} \ bdy; \Gamma \vdash bdy \downarrow (\textit{Normal} s) \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma \vdash \textit{Call} \ p \downarrow (\textit{Normal} s) \\
\\
| \textit{CallUndefined}: \llbracket \Gamma \vdash p = \textit{None} \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma \vdash \textit{Call} \ p \downarrow (\textit{Normal} s) \\
\\
| \textit{Stuck} \text{ [intro,simp]}: \Gamma \vdash c \downarrow \textit{Stuck} \\
\\
| \textit{DynCom}: \llbracket \Gamma \vdash (c \ s) \downarrow (\textit{Normal} s) \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma \vdash \textit{DynCom} \ c \downarrow (\textit{Normal} s) \\
\\
| \textit{Throw}: \Gamma \vdash \textit{Throw} \downarrow (\textit{Normal} s) \\
\\
| \textit{Abrupt} \text{ [intro,simp]}: \Gamma \vdash c \downarrow \textit{Abrupt} s \\
\\
| \textit{Catch}: \llbracket \Gamma \vdash c_1 \downarrow \textit{Normal} s; \\
\quad \forall s'. \Gamma \vdash \langle c_1, \textit{Normal} s \rangle \Rightarrow \textit{Abrupt} \ s' \longrightarrow \Gamma \vdash c_2 \downarrow \textit{Normal} \ s' \rrbracket \\
\quad \Longrightarrow
\end{array}$$

$\Gamma \vdash \text{Catch } c_1 \ c_2 \downarrow \text{Normal } s$

inductive-cases *terminates-elim-cases* [*cases set*]:

$\Gamma \vdash \text{Skip} \downarrow s$
 $\Gamma \vdash \text{Guard } f \ g \ c \downarrow s$
 $\Gamma \vdash \text{Basic } f \downarrow s$
 $\Gamma \vdash \text{Spec } r \downarrow s$
 $\Gamma \vdash \text{Seq } c_1 \ c_2 \downarrow s$
 $\Gamma \vdash \text{Cond } b \ c_1 \ c_2 \downarrow s$
 $\Gamma \vdash \text{While } b \ c \downarrow s$
 $\Gamma \vdash \text{Call } p \downarrow s$
 $\Gamma \vdash \text{DynCom } c \downarrow s$
 $\Gamma \vdash \text{Throw} \downarrow s$
 $\Gamma \vdash \text{Catch } c_1 \ c_2 \downarrow s$

inductive-cases *terminates-Normal-elim-cases* [*cases set*]:

$\Gamma \vdash \text{Skip} \downarrow \text{Normal } s$
 $\Gamma \vdash \text{Guard } f \ g \ c \downarrow \text{Normal } s$
 $\Gamma \vdash \text{Basic } f \downarrow \text{Normal } s$
 $\Gamma \vdash \text{Spec } r \downarrow \text{Normal } s$
 $\Gamma \vdash \text{Seq } c_1 \ c_2 \downarrow \text{Normal } s$
 $\Gamma \vdash \text{Cond } b \ c_1 \ c_2 \downarrow \text{Normal } s$
 $\Gamma \vdash \text{While } b \ c \downarrow \text{Normal } s$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s$
 $\Gamma \vdash \text{DynCom } c \downarrow \text{Normal } s$
 $\Gamma \vdash \text{Throw} \downarrow \text{Normal } s$
 $\Gamma \vdash \text{Catch } c_1 \ c_2 \downarrow \text{Normal } s$

lemma *terminates-Skip'*: $\Gamma \vdash \text{Skip} \downarrow s$

by (*cases s*) (*auto intro: terminates.intros*)

lemma *terminates-Call-body*:

$\Gamma \vdash p = \text{Some } bdy \implies \Gamma \vdash \text{Call } p \downarrow s = \Gamma \vdash (\text{the } (\Gamma \ p)) \downarrow s$

by (*cases s*)

(*auto elim: terminates-Normal-elim-cases intro: terminates.intros*)

lemma *terminates-Normal-Call-body*:

$p \in \text{dom } \Gamma \implies$

$\Gamma \vdash \text{Call } p \downarrow \text{Normal } s = \Gamma \vdash (\text{the } (\Gamma \ p)) \downarrow \text{Normal } s$

by (*auto elim: terminates-Normal-elim-cases intro: terminates.intros*)

lemma *terminates-implies-exec*:

assumes *terminates*: $\Gamma \vdash c \downarrow s$

shows $\exists t. \Gamma \vdash \langle c, s \rangle \Rightarrow t$

using *terminates*

proof (*induct*)

case *Skip* **thus** ?*case* **by** (*iprover intro: exec.intros*)

next

```

    case Basic thus ?case by (iprover intro: exec.intros)
next
    case (Spec r s) thus ?case
      by (cases  $\exists t. (s,t) \in r$ ) (auto intro: exec.intros)
next
    case Guard thus ?case by (iprover intro: exec.intros)
next
    case GuardFault thus ?case by (iprover intro: exec.intros)
next
    case Fault thus ?case by (iprover intro: exec.intros)
next
    case Seq thus ?case by (iprover intro: exec-Seq')
next
    case CondTrue thus ?case by (iprover intro: exec.intros)
next
    case CondFalse thus ?case by (iprover intro: exec.intros)
next
    case WhileTrue thus ?case by (iprover intro: exec.intros)
next
    case WhileFalse thus ?case by (iprover intro: exec.intros)
next
    case (Call p bdy s)
    then obtain s' where
       $\Gamma \vdash \langle bdy, Normal\ s \rangle \Rightarrow s'$ 
      by iprover
    moreover have  $\Gamma\ p = Some\ bdy$  by fact
    ultimately show ?case
      by (cases s') (iprover intro: exec.intros)+
next
    case CallUndefined thus ?case by (iprover intro: exec.intros)
next
    case Stuck thus ?case by (iprover intro: exec.intros)
next
    case DynCom thus ?case by (iprover intro: exec.intros)
next
    case Throw thus ?case by (iprover intro: exec.intros)
next
    case Abrupt thus ?case by (iprover intro: exec.intros)
next
    case (Catch c1 s c2)
    then obtain s' where  $exec-c1: \Gamma \vdash \langle c1, Normal\ s \rangle \Rightarrow s'$ 
      by iprover
    thus ?case
    proof (cases s')
      case (Normal s'')
      with exec-c1 show ?thesis by (auto intro!: exec.intros)
    next
      case (Abrupt s'')
      with exec-c1 Catch.hyps

```

```

  obtain  $t$  where  $\Gamma \vdash \langle c2, \text{Normal } s'' \rangle \Rightarrow t$ 
  by auto
  with exec-c1 Abrupt show  $?thesis$  by (auto intro: exec.intros)
next
  case Fault
  with exec-c1 show  $?thesis$  by (auto intro!: exec.CatchMiss)
next
  case Stuck
  with exec-c1 show  $?thesis$  by (auto intro!: exec.CatchMiss)
qed
qed

```

```

lemma terminates-block:
 $\llbracket \Gamma \vdash bdy \downarrow \text{Normal } (init\ s);$ 
 $\forall t. \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Normal } t \longrightarrow \Gamma \vdash c\ s\ t \downarrow \text{Normal } (return\ s\ t) \rrbracket$ 
 $\implies \Gamma \vdash \text{block } init\ bdy\ return\ c \downarrow \text{Normal } s$ 
apply (unfold block-def)
apply (fastforce intro: terminates.intros elim!: exec-Normal-elim-cases
  dest!: not-isAbrD)
done

```

```

lemma terminates-block-elim [cases set, consumes 1]:
assumes termi:  $\Gamma \vdash \text{block } init\ bdy\ return\ c \downarrow \text{Normal } s$ 
assumes e:  $\llbracket \Gamma \vdash bdy \downarrow \text{Normal } (init\ s);$ 
 $\forall t. \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Normal } t \longrightarrow \Gamma \vdash c\ s\ t \downarrow \text{Normal } (return\ s$ 
 $t) \rrbracket \implies P$ 
shows P
proof -
  have  $\Gamma \vdash \langle \text{Basic } init, \text{Normal } s \rangle \Rightarrow \text{Normal } (init\ s)$ 
  by (auto intro: exec.intros)
  with termi
  have  $\Gamma \vdash bdy \downarrow \text{Normal } (init\ s)$ 
  apply (unfold block-def)
  apply (elim terminates-Normal-elim-cases)
  by simp
  moreover
  {
    fix  $t$ 
    assume exec-bdy:  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Normal } t$ 
    have  $\Gamma \vdash c\ s\ t \downarrow \text{Normal } (return\ s\ t)$ 
    proof -
      from exec-bdy
      have  $\Gamma \vdash \langle \text{Catch } (Seq\ (\text{Basic } init)\ bdy)$ 
 $(Seq\ (\text{Basic } (return\ s))\ Throw), \text{Normal } s \rangle \Rightarrow \text{Normal } t$ 
      by (fastforce intro: exec.intros)
      with termi have  $\Gamma \vdash \text{DynCom } (\lambda t. Seq\ (\text{Basic } (return\ s))\ (c\ s\ t)) \downarrow \text{Normal } t$ 
      apply (unfold block-def)
      apply (elim terminates-Normal-elim-cases)
    
```

```

      by simp
    thus ?thesis
      apply (elim terminates-Normal-elim-cases)
      apply (auto intro: exec.intros)
      done
  qed
}
ultimately show P by (iprover intro: e)
qed

```

```

lemma terminates-call:
   $\llbracket \Gamma \ p = \text{Some } bdy; \Gamma \vdash bdy \downarrow \text{Normal } (init\ s);$ 
   $\forall t. \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Normal } t \longrightarrow \Gamma \vdash c\ s\ t \downarrow \text{Normal } (return\ s\ t) \rrbracket$ 
 $\implies \Gamma \vdash call\ init\ p\ return\ c \downarrow \text{Normal } s$ 
  apply (unfold call-def)
  apply (rule terminates-block)
  apply (iprover intro: terminates.intros)
  apply (auto elim: exec-Normal-elim-cases)
  done

```

```

lemma terminates-callUndefined:
   $\llbracket \Gamma \ p = \text{None} \rrbracket$ 
 $\implies \Gamma \vdash call\ init\ p\ return\ result \downarrow \text{Normal } s$ 
  apply (unfold call-def)
  apply (rule terminates-block)
  apply (iprover intro: terminates.intros)
  apply (auto elim: exec-Normal-elim-cases)
  done

```

```

lemma terminates-call-elim [cases set, consumes 1]:
  assumes termi:  $\Gamma \vdash call\ init\ p\ return\ c \downarrow \text{Normal } s$ 
  assumes bdy:  $\bigwedge bdy. \llbracket \Gamma \ p = \text{Some } bdy; \Gamma \vdash bdy \downarrow \text{Normal } (init\ s);$ 
     $\forall t. \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Normal } t \longrightarrow \Gamma \vdash c\ s\ t \downarrow \text{Normal } (return\ s\ t) \rrbracket$ 
 $\implies P$ 
  assumes undef:  $\llbracket \Gamma \ p = \text{None} \rrbracket \implies P$ 
  shows P
  apply (cases  $\Gamma \ p$ )
  apply (erule undef)
  using termi
  apply (unfold call-def)
  apply (erule terminates-block-elim)
  apply (erule terminates-Normal-elim-cases)
  apply simp
  apply (frule (1) bdy)
  apply (fastforce intro: exec.intros)
  apply assumption
  apply simp
  done

```

```

lemma terminates-dynCall:
   $\llbracket \Gamma \vdash \text{call init } (p \ s) \ \text{return } c \downarrow \text{Normal } s \rrbracket$ 
 $\implies \Gamma \vdash \text{dynCall init } p \ \text{return } c \downarrow \text{Normal } s$ 
  apply (unfold dynCall-def)
  apply (auto intro: terminates.intros terminates-call)
done

```

```

lemma terminates-dynCall-elim [cases set, consumes 1]:
assumes termi:  $\Gamma \vdash \text{dynCall init } p \ \text{return } c \downarrow \text{Normal } s$ 
assumes  $\llbracket \Gamma \vdash \text{call init } (p \ s) \ \text{return } c \downarrow \text{Normal } s \rrbracket \implies P$ 
shows  $P$ 
using termi
apply (unfold dynCall-def)
apply (elim terminates-Normal-elim-cases)
apply fact
done

```

7.2 Lemmas about *sequence*, *flatten* and *Language.normalize*

```

lemma terminates-sequence-app:
   $\bigwedge s. \llbracket \Gamma \vdash \text{sequence Seq } xs \downarrow \text{Normal } s; \forall s'. \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \text{sequence Seq } ys \downarrow s \rrbracket$ 
 $\implies \Gamma \vdash \text{sequence Seq } (xs \ @ \ ys) \downarrow \text{Normal } s$ 
proof (induct xs)
  case Nil
  thus ?case by (auto intro: exec.intros)
next
  case (Cons x xs)
  have termi-x-xs:  $\Gamma \vdash \text{sequence Seq } (x \ \# \ xs) \downarrow \text{Normal } s$  by fact
  have termi-ys:  $\forall s'. \Gamma \vdash \langle \text{sequence Seq } (x \ \# \ xs), \text{Normal } s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \text{sequence Seq } ys \downarrow s'$  by fact
  show ?case
  proof (cases xs)
    case Nil
    with termi-x-xs termi-ys show ?thesis
    by (cases ys) (auto intro: terminates.intros)
  next
  case Cons
  from termi-x-xs Cons
  have  $\Gamma \vdash x \downarrow \text{Normal } s$ 
  by (auto elim: terminates-Normal-elim-cases)
moreover
  {
    fix  $s'$ 
    assume exec-x:  $\Gamma \vdash \langle x, \text{Normal } s \rangle \Rightarrow s'$ 
    have  $\Gamma \vdash \text{sequence Seq } (xs \ @ \ ys) \downarrow s'$ 
    proof —
      from exec-x termi-x-xs Cons
  }

```

```

    have termi-xs:  $\Gamma \vdash \text{sequence Seq } xs \downarrow s'$ 
      by (auto elim: terminates-Normal-elim-cases)
    show ?thesis
    proof (cases s')
      case (Normal s'')
        with exec-x termi-ys Cons
        have  $\forall s'. \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s'' \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \text{sequence Seq } ys \downarrow$ 
s'
          by (auto intro: exec.intros)
        from Cons.hyps [OF termi-xs [simplified Normal] this]
        have  $\Gamma \vdash \text{sequence Seq } (xs @ ys) \downarrow \text{Normal } s''$ .
        with Normal show ?thesis by simp
      next
        case Abrupt thus ?thesis by (auto intro: terminates.intros)
      next
        case Fault thus ?thesis by (auto intro: terminates.intros)
      next
        case Stuck thus ?thesis by (auto intro: terminates.intros)
    qed
  qed
}
ultimately show ?thesis
  using Cons
  by (auto intro: terminates.intros)
qed
qed

lemma terminates-sequence-appD:
 $\bigwedge s. \Gamma \vdash \text{sequence Seq } (xs @ ys) \downarrow \text{Normal } s$ 
 $\implies \Gamma \vdash \text{sequence Seq } xs \downarrow \text{Normal } s \wedge$ 
 $(\forall s'. \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \text{sequence Seq } ys \downarrow s')$ 
proof (induct xs)
  case Nil
  thus ?case
    by (auto elim: terminates-Normal-elim-cases exec-Normal-elim-cases
      intro: terminates.intros)
  next
    case (Cons x xs)
    have termi-x-xs-ys:  $\Gamma \vdash \text{sequence Seq } ((x \# xs) @ ys) \downarrow \text{Normal } s$  by fact
    show ?case
    proof (cases xs)
      case Nil
      with termi-x-xs-ys show ?thesis
        by (cases ys)
          (auto elim: terminates-Normal-elim-cases exec-Normal-elim-cases
            intro: terminates-Skip')
    next
      case Cons
      with termi-x-xs-ys

```

```

obtain termi-x:  $\Gamma \vdash x \downarrow \text{Normal } s$  and
  termi-xs-ys:  $\forall s'. \Gamma \vdash \langle x, \text{Normal } s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \text{sequence Seq } (xs@ys) \downarrow s'$ 
by (auto elim: terminates-Normal-elim-cases)

have  $\Gamma \vdash \text{Seq } x \text{ (sequence Seq } xs) \downarrow \text{Normal } s$ 
proof (rule terminates.Seq [rule-format])
  show  $\Gamma \vdash x \downarrow \text{Normal } s$  by (rule termi-x)
next
  fix s'
  assume exec-x:  $\Gamma \vdash \langle x, \text{Normal } s \rangle \Rightarrow s'$ 
  show  $\Gamma \vdash \text{sequence Seq } xs \downarrow s'$ 
  proof –
    from termi-xs-ys [rule-format, OF exec-x]
    have termi-xs-ys':  $\Gamma \vdash \text{sequence Seq } (xs@ys) \downarrow s'$ .
    show ?thesis
    proof (cases s')
      case (Normal s'')
        from Cons.hyps [OF termi-xs-ys' [simplified Normal]]
        show ?thesis
        using Normal by auto
    next
      case Abrupt thus ?thesis by (auto intro: terminates.intros)
    next
      case Fault thus ?thesis by (auto intro: terminates.intros)
    next
      case Stuck thus ?thesis by (auto intro: terminates.intros)
  qed
qed
moreover
{
  fix s'
  assume exec-x-xs:  $\Gamma \vdash \langle \text{Seq } x \text{ (sequence Seq } xs), \text{Normal } s \rangle \Rightarrow s'$ 
  have  $\Gamma \vdash \text{sequence Seq } ys \downarrow s'$ 
  proof –
    from exec-x-xs obtain t where
      exec-x:  $\Gamma \vdash \langle x, \text{Normal } s \rangle \Rightarrow t$  and
      exec-xs:  $\Gamma \vdash \langle \text{sequence Seq } xs, t \rangle \Rightarrow s'$ 
    by cases
  show ?thesis
  proof (cases t)
    case (Normal t')
      with exec-x termi-xs-ys have  $\Gamma \vdash \text{sequence Seq } (xs@ys) \downarrow \text{Normal } t'$ 
      by auto
      from Cons.hyps [OF this] exec-xs Normal
      show ?thesis
      by auto
  next
    case (Abrupt t')

```



```

      with exec-xs have  $s' = \text{Abrupt } t'$ 
      by (auto dest: Abrupt-end)
      thus ?thesis by (auto intro: terminates.intros)
    next
      case (Fault f)
      with exec-xs have  $s' = \text{Fault } f$ 
      by (auto dest: Fault-end)
      thus ?thesis by (auto intro: terminates.intros)
    next
      case Stuck
      with exec-xs have  $s' = \text{Stuck}$ 
      by (auto dest: Stuck-end)
      thus ?thesis by (auto intro: terminates.intros)
  qed
qed
}
ultimately show ?thesis
using Cons
by auto
qed
qed

lemma terminates-sequence-appE [consumes 1]:
   $\llbracket \Gamma \vdash \text{sequence Seq } (xs @ ys) \downarrow \text{Normal } s; \rrbracket$ 
   $\llbracket \Gamma \vdash \text{sequence Seq } xs \downarrow \text{Normal } s; \rrbracket$ 
   $\forall s'. \Gamma \vdash \langle \text{sequence Seq } xs, \text{Normal } s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \text{sequence Seq } ys \downarrow s \rrbracket \Longrightarrow P$ 
   $\Longrightarrow P$ 
  by (auto dest: terminates-sequence-appD)

lemma terminates-to-terminates-sequence-flatten:
  assumes termi:  $\Gamma \vdash c \downarrow s$ 
  shows  $\Gamma \vdash \text{sequence Seq } (\text{flatten } c) \downarrow s$ 
using termi
by (induct)
  (auto intro: terminates.intros terminates-sequence-app
    exec-sequence-flatten-to-exec)

lemma terminates-to-terminates-normalize:
  assumes termi:  $\Gamma \vdash c \downarrow s$ 
  shows  $\Gamma \vdash \text{normalize } c \downarrow s$ 
using termi
proof induct
  case Seq
  thus ?case
    by (fastforce intro: terminates.intros terminates-sequence-app
      terminates-to-terminates-sequence-flatten
      dest: exec-sequence-flatten-to-exec exec-normalize-to-exec)
next
  case WhileTrue

```

```

thus ?case
  by (fastforce intro: terminates.intros terminates-sequence-app
      terminates-to-terminates-sequence-flatten
      dest: exec-sequence-flatten-to-exec exec-normalize-to-exec)
next
  case Catch
  thus ?case
    by (fastforce intro: terminates.intros terminates-sequence-app
        terminates-to-terminates-sequence-flatten
        dest: exec-sequence-flatten-to-exec exec-normalize-to-exec)
qed (auto intro: terminates.intros)

lemma terminates-sequence-flatten-to-terminates:
  shows  $\bigwedge s. \Gamma \vdash \text{sequence Seq (flatten c)} \downarrow s \implies \Gamma \vdash c \downarrow s$ 
proof (induct c)
  case (Seq c1 c2)
  have  $\Gamma \vdash \text{sequence Seq (flatten (Seq c1 c2))} \downarrow s$  by fact
  hence termi-app:  $\Gamma \vdash \text{sequence Seq (flatten c1 @ flatten c2)} \downarrow s$  by simp
  show ?case
  proof (cases s)
  case (Normal s')
  have  $\Gamma \vdash \text{Seq c1 c2} \downarrow \text{Normal s'}$ 
  proof (rule terminates.Seq [rule-format])
    from termi-app [simplified Normal]
    have  $\Gamma \vdash \text{sequence Seq (flatten c1)} \downarrow \text{Normal s'}$ 
      by (cases rule: terminates-sequence-appE)
    with Seq.hyps
    show  $\Gamma \vdash c1 \downarrow \text{Normal s'}$ 
      by simp
  next
  fix s''
  assume  $\Gamma \vdash \langle c1, \text{Normal s'} \rangle \Rightarrow s''$ 
  from termi-app [simplified Normal] exec-to-exec-sequence-flatten [OF this]
  have  $\Gamma \vdash \text{sequence Seq (flatten c2)} \downarrow s''$ 
    by (cases rule: terminates-sequence-appE) auto
  with Seq.hyps
  show  $\Gamma \vdash c2 \downarrow s''$ 
    by simp
  qed
  with Normal show ?thesis
    by simp
  qed (auto intro: terminates.intros)
qed (auto intro: terminates.intros)

lemma terminates-normalize-to-terminates:
  shows  $\bigwedge s. \Gamma \vdash \text{normalize c} \downarrow s \implies \Gamma \vdash c \downarrow s$ 
proof (induct c)
  case Skip thus ?case by (auto intro: terminates-Skip')
next

```

```

    case Basic thus ?case by (cases s) (auto intro: terminates.intros)
next
    case Spec thus ?case by (cases s) (auto intro: terminates.intros)
next
    case (Seq c1 c2)
    have  $\Gamma \vdash \text{normalize } (\text{Seq } c1 \ c2) \downarrow s$  by fact
    hence termi-app:  $\Gamma \vdash \text{sequence Seq } (\text{flatten } (\text{normalize } c1) \ @ \ \text{flatten } (\text{normalize } c2)) \downarrow s$ 
    by simp
    show ?case
    proof (cases s)
    case (Normal s')
    have  $\Gamma \vdash \text{Seq } c1 \ c2 \downarrow \text{Normal } s'$ 
    proof (rule terminates.Seq [rule-format])
    from termi-app [simplified Normal]
    have  $\Gamma \vdash \text{sequence Seq } (\text{flatten } (\text{normalize } c1)) \downarrow \text{Normal } s'$ 
    by (cases rule: terminates-sequence-appE)
    from terminates-sequence-flatten-to-terminates [OF this] Seq.hyps
    show  $\Gamma \vdash c1 \downarrow \text{Normal } s'$ 
    by simp
    next
    fix s''
    assume  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle \Rightarrow s''$ 
    from exec-to-exec-normalize [OF this]
    have  $\Gamma \vdash \langle \text{normalize } c1, \text{Normal } s' \rangle \Rightarrow s''$  .
    from termi-app [simplified Normal] exec-to-exec-sequence-flatten [OF this]
    have  $\Gamma \vdash \text{sequence Seq } (\text{flatten } (\text{normalize } c2)) \downarrow s''$ 
    by (cases rule: terminates-sequence-appE) auto
    from terminates-sequence-flatten-to-terminates [OF this] Seq.hyps
    show  $\Gamma \vdash c2 \downarrow s''$ 
    by simp
    qed
    with Normal show ?thesis by simp
  qed (auto intro: terminates.intros)
next
    case (Cond b c1 c2)
    thus ?case
    by (cases s)
    (auto intro: terminates.intros elim!: terminates-Normal-elim-cases)
next
    case (While b c)
    have  $\Gamma \vdash \text{normalize } (\text{While } b \ c) \downarrow s$  by fact
    hence termi-norm-w:  $\Gamma \vdash \text{While } b \ (\text{normalize } c) \downarrow s$  by simp
    {
      fix t w
      assume termi-w:  $\Gamma \vdash w \downarrow t$ 
      have  $w = \text{While } b \ (\text{normalize } c) \implies \Gamma \vdash \text{While } b \ c \downarrow t$ 
      using termi-w
      proof (induct)

```

```

    case (WhileTrue t' b' c')
    from WhileTrue obtain
      t'-b: t' ∈ b and
      termi-norm-c:  $\Gamma \vdash \text{normalize } c \downarrow \text{Normal } t'$  and
      termi-norm-w':  $\forall s'. \Gamma \vdash \langle \text{normalize } c, \text{Normal } t' \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \text{While } b \ c \downarrow s'$ 
      by auto
    from While.hyps [OF termi-norm-c]
    have  $\Gamma \vdash c \downarrow \text{Normal } t'$ .
    moreover
    from termi-norm-w'
    have  $\forall s'. \Gamma \vdash \langle c, \text{Normal } t' \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \text{While } b \ c \downarrow s'$ 
      by (auto intro: exec-to-exec-normalize)
    ultimately show ?case
      using t'-b
      by (auto intro: terminates.intros)
  qed (auto intro: terminates.intros)
}
from this [OF termi-norm-w]
show ?case
  by auto
next
  case Call thus ?case by simp
next
  case DynCom thus ?case
  by (cases s) (auto intro: terminates.intros rangeI elim: terminates-Normal-elim-cases)
next
  case Guard thus ?case
  by (cases s) (auto intro: terminates.intros elim: terminates-Normal-elim-cases)
next
  case Throw thus ?case by (cases s) (auto intro: terminates.intros)
next
  case Catch
  thus ?case
  by (cases s)
    (auto dest: exec-to-exec-normalize elim!: terminates-Normal-elim-cases
      intro!: terminates.Catch)
qed

```

```

lemma terminates-iff-terminates-normalize:
 $\Gamma \vdash \text{normalize } c \downarrow s = \Gamma \vdash c \downarrow s$ 
  by (auto intro: terminates-to-terminates-normalize
    terminates-normalize-to-terminates)

```

7.3 Lemmas about strip-guards

```

lemma terminates-strip-guards-to-terminates:  $\bigwedge s. \Gamma \vdash \text{strip-guards } F \ c \downarrow s \implies \Gamma \vdash c \downarrow s$ 
proof (induct c)
  case Skip thus ?case by simp
next

```

```

    case Basic thus ?case by simp
next
    case Spec thus ?case by simp
next
    case (Seq c1 c2)
    hence  $\Gamma \vdash \text{Seq} (\text{strip-guards } F \ c1) (\text{strip-guards } F \ c2) \downarrow s$  by simp
    thus  $\Gamma \vdash \text{Seq } c1 \ c2 \downarrow s$ 
    proof (cases)
      fix f assume s=Fault f thus ?thesis by simp
    next
      assume s=Stuck thus ?thesis by simp
    next
      fix s' assume s=Abrupt s' thus ?thesis by simp
    next
      fix s'
      assume s; s=Normal s'
      assume  $\Gamma \vdash \text{strip-guards } F \ c1 \downarrow \text{Normal } s'$ 
      hence  $\Gamma \vdash c1 \downarrow \text{Normal } s'$ 
      by (rule Seq.hyps)
    moreover
      assume c2:
       $\forall s''. \Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s' \rangle \Rightarrow s'' \longrightarrow \Gamma \vdash \text{strip-guards } F \ c2 \downarrow s''$ 
    {
      fix s'' assume exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle \Rightarrow s''$ 
      have  $\Gamma \vdash c2 \downarrow s''$ 
      proof (cases s'')
        case (Normal s''')
        with exec-c1
        have  $\Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s' \rangle \Rightarrow s''$ 
        by (auto intro: exec-to-exec-strip-guards)
        with c2
        show ?thesis
        by (iprover intro: Seq.hyps)
      next
        case (Abrupt s''')
        with exec-c1
        have  $\Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s' \rangle \Rightarrow s''$ 
        by (auto intro: exec-to-exec-strip-guards)
        with c2
        show ?thesis
        by (iprover intro: Seq.hyps)
      next
        case Fault thus ?thesis by simp
      next
        case Stuck thus ?thesis by simp
    qed
  }
ultimately show ?thesis
using s

```

```

    by (iprover intro: terminates.intros)
  qed
next
case (Cond b c1 c2)
hence  $\Gamma \vdash \text{Cond } b \text{ (strip-guards } F \text{ c1) (strip-guards } F \text{ c2)} \downarrow s$  by simp
thus  $\Gamma \vdash \text{Cond } b \text{ c1 c2} \downarrow s$ 
proof (cases)
  fix f assume  $s = \text{Fault } f$  thus ?thesis by simp
next
  assume  $s = \text{Stuck}$  thus ?thesis by simp
next
  fix s' assume  $s = \text{Abrupt } s'$  thus ?thesis by simp
next
  fix s'
  assume  $s' \in b \text{ } \Gamma \vdash \text{strip-guards } F \text{ c1} \downarrow \text{Normal } s' \text{ } s = \text{Normal } s'$ 
  thus ?thesis
    by (iprover intro: terminates.intros Cond.hyps)
next
  fix s'
  assume  $s' \notin b \text{ } \Gamma \vdash \text{strip-guards } F \text{ c2} \downarrow \text{Normal } s' \text{ } s = \text{Normal } s'$ 
  thus ?thesis
    by (iprover intro: terminates.intros Cond.hyps)
qed
next
case (While b c)
have hyp-c:  $\bigwedge s. \Gamma \vdash \text{strip-guards } F \text{ c} \downarrow s \implies \Gamma \vdash c \downarrow s$  by fact
have  $\Gamma \vdash \text{While } b \text{ (strip-guards } F \text{ c)} \downarrow s$  using While.prem by simp
moreover
{
  fix sw
  assume  $\Gamma \vdash sw \downarrow s$ 
  then have  $sw = \text{While } b \text{ (strip-guards } F \text{ c)} \implies$ 
     $\Gamma \vdash \text{While } b \text{ c} \downarrow s$ 
  proof (induct)
    case (WhileTrue s b' c')
    have eqs:  $\text{While } b' \text{ c'} = \text{While } b \text{ (strip-guards } F \text{ c)}$  by fact
    with  $\langle s \in b' \rangle$  have  $b: s \in b$  by simp
    from eqs  $\langle \Gamma \vdash c' \downarrow \text{Normal } s \rangle$  have  $\Gamma \vdash \text{strip-guards } F \text{ c} \downarrow \text{Normal } s$ 
      by simp
    hence term-c:  $\Gamma \vdash c \downarrow \text{Normal } s$ 
      by (rule hyp-c)
  moreover
  {
    fix t
    assume exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
    have  $\Gamma \vdash \text{While } b \text{ c} \downarrow t$ 
    proof (cases t)
      case Fault
      thus ?thesis by simp
    
```

```

    next
      case Stuck
      thus ?thesis by simp
    next
      case (Abrupt t')
      thus ?thesis by simp
    next
      case (Normal t')
      with exec-c
      have  $\Gamma \vdash \langle \text{strip-guards } F \ c, \text{Normal } s \rangle \Rightarrow \text{Normal } t'$ 
        by (auto intro: exec-to-exec-strip-guards)
      with WhileTrue.hyps eqs Normal
      show ?thesis
        by fastforce
      qed
    }
  ultimately
  show ?case
    using b
    by (auto intro: terminates.intros)
  next
    case WhileFalse thus ?case by (auto intro: terminates.intros)
  qed simp-all
}
ultimately show  $\Gamma \vdash \text{While } b \ c \downarrow s$ 
  by auto
next
  case Call thus ?case by simp
next
  case DynCom thus ?case
    by (cases s) (auto elim: terminates-Normal-elim-cases intro: terminates.intros
rangeI)
next
  case Guard
  thus ?case
    by (cases s) (auto elim: terminates-Normal-elim-cases intro: terminates.intros
split: if-split-asm)
next
  case Throw thus ?case by simp
next
  case (Catch c1 c2)
  hence  $\Gamma \vdash \text{Catch } (\text{strip-guards } F \ c1) \ (\text{strip-guards } F \ c2) \downarrow s$  by simp
  thus  $\Gamma \vdash \text{Catch } c1 \ c2 \downarrow s$ 
  proof (cases)
    fix f assume s=Fault f thus ?thesis by simp
  next
    assume s=Stuck thus ?thesis by simp
  next
    fix s' assume s=Abrupt s' thus ?thesis by simp

```

```

next
  fix s'
  assume s: s=Normal s'
  assume  $\Gamma \vdash \text{strip-guards } F \ c1 \downarrow \text{Normal } s'$ 
  hence  $\Gamma \vdash c1 \downarrow \text{Normal } s'$ 
    by (rule Catch.hyps)
  moreover
  assume c2:
     $\forall s''. \Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s' \rangle \Rightarrow \text{Abrupt } s''$ 
     $\longrightarrow \Gamma \vdash \text{strip-guards } F \ c2 \downarrow \text{Normal } s''$ 
  {
    fix s'' assume exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s' \rangle \Rightarrow \text{Abrupt } s''$ 
    have  $\Gamma \vdash c2 \downarrow \text{Normal } s''$ 
    proof -
      from exec-c1
      have  $\Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s' \rangle \Rightarrow \text{Abrupt } s''$ 
        by (auto intro: exec-to-exec-strip-guards)
      with c2
      show ?thesis
        by (auto intro: Catch.hyps)
    qed
  }
  ultimately show ?thesis
    using s
    by (iprover intro: terminates.intros)
  qed
qed

lemma terminates-strip-to-terminates:
  assumes termi-strip: strip F  $\Gamma \vdash c \downarrow s$ 
  shows  $\Gamma \vdash c \downarrow s$ 
using termi-strip
proof induct
  case (Seq c1 s c2)
  have  $\Gamma \vdash c1 \downarrow \text{Normal } s$  by fact
  moreover
  {
    fix s'
    assume exec:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s'$ 
    have  $\Gamma \vdash c2 \downarrow s'$ 
    proof (cases isFault s')
      case True
      thus ?thesis
        by (auto elim: isFaultE)
    next
      case False
      from exec-to-exec-strip [OF exec this] Seq.hyps
      show ?thesis
        by auto
    }
  }

```



```

    qed
  }
  ultimately show ?case
    by (auto intro: terminates.intros)
next
case (WhileTrue s b c)
have  $\Gamma \vdash c \downarrow \text{Normal } s$  by fact
moreover
{
  fix s'
  assume exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s'$ 
  have  $\Gamma \vdash \text{While } b \ c \downarrow s'$ 
  proof (cases isFault s')
    case True
    thus ?thesis
      by (auto elim: isFaultE)
  next
    case False
    from exec-to-exec-strip [OF exec this] WhileTrue.hyps
    show ?thesis
      by auto
  qed
}
ultimately show ?case
  by (auto intro: terminates.intros)
next
case (Catch c1 s c2)
have  $\Gamma \vdash c1 \downarrow \text{Normal } s$  by fact
moreover
{
  fix s'
  assume exec:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
  from exec-to-exec-strip [OF exec] Catch.hyps
  have  $\Gamma \vdash c2 \downarrow \text{Normal } s'$ 
  by auto
}
ultimately show ?case
  by (auto intro: terminates.intros)
next
case Call thus ?case
  by (auto intro: terminates.intros terminates-strip-guards-to-terminates)
qed (auto intro: terminates.intros)

```

7.4 Lemmas about $c_1 \cap_g c_2$

lemma *inter-guards-terminates*:

$$\bigwedge c \ c2 \ s. \llbracket (c1 \cap_g c2) = \text{Some } c; \Gamma \vdash c1 \downarrow s \rrbracket \implies \Gamma \vdash c \downarrow s$$

proof (*induct c1*)

```

  case Skip thus ?case by (fastforce simp add: inter-guards-Skip)
next
  case (Basic f) thus ?case by (fastforce simp add: inter-guards-Basic)
next
  case (Spec r) thus ?case by (fastforce simp add: inter-guards-Spec)
next
  case (Seq a1 a2)
  have (Seq a1 a2  $\cap_g$  c2) = Some c by fact
  then obtain b1 b2 d1 d2 where
    c2: c2=Seq b1 b2 and
    d1: (a1  $\cap_g$  b1) = Some d1 and d2: (a2  $\cap_g$  b2) = Some d2 and
    c: c=Seq d1 d2
  by (auto simp add: inter-guards-Seq)
  have termi-c1:  $\Gamma \vdash \text{Seq } a1 \ a2 \downarrow s$  by fact
  have  $\Gamma \vdash \text{Seq } d1 \ d2 \downarrow s$ 
  proof (cases s)
    case Fault thus ?thesis by simp
  next
    case Stuck thus ?thesis by simp
  next
    case Abrupt thus ?thesis by simp
  next
    case (Normal s')
    note Normal-s = this
    with d1 termi-c1
    have  $\Gamma \vdash d1 \downarrow \text{Normal } s'$ 
    by (auto elim: terminates-Normal-elim-cases intro: Seq.hyps)
  moreover
  {
    fix t
    assume exec-d1:  $\Gamma \vdash \langle d1, \text{Normal } s' \rangle \Rightarrow t$ 
    have  $\Gamma \vdash d2 \downarrow t$ 
    proof (cases t)
      case Fault thus ?thesis by simp
    next
      case Stuck thus ?thesis by simp
    next
      case Abrupt thus ?thesis by simp
    next
      case (Normal t')
      with inter-guards-exec-noFault [OF d1 exec-d1]
      have  $\Gamma \vdash \langle a1, \text{Normal } s' \rangle \Rightarrow \text{Normal } t'$ 
      by simp
      with termi-c1 Normal-s have  $\Gamma \vdash a2 \downarrow \text{Normal } t'$ 
      by (auto elim: terminates-Normal-elim-cases)
      with d2 have  $\Gamma \vdash d2 \downarrow \text{Normal } t'$ 
      by (auto intro: Seq.hyps)
      with Normal show ?thesis by simp
    qed
  }

```

```

    }
    ultimately have  $\Gamma \vdash \text{Seq } d1 \ d2 \downarrow \text{Normal } s'$ 
      by (fastforce intro: terminates.intros)
    with Normal show ?thesis by simp
  qed
  with c show ?case by simp
next
  case Cond thus ?case
    by - (cases s,
      auto intro: terminates.intros elim!: terminates-Normal-elim-cases
        simp add: inter-guards-Cond)
next
  case (While b bdy1)
  have (While b bdy1  $\cap_g$  c2) = Some c by fact
  then obtain bdy2 bdy where
    c2: c2 = While b bdy2 and
    bdy: (bdy1  $\cap_g$  bdy2) = Some bdy and
    c: c = While b bdy
  by (auto simp add: inter-guards-While)
  have  $\Gamma \vdash \text{While } b \text{ bdy1} \downarrow s$  by fact
  moreover
  {
    fix s w w1 w2
    assume termi-w:  $\Gamma \vdash w \downarrow s$ 
    assume w: w = While b bdy1
    from termi-w w
    have  $\Gamma \vdash \text{While } b \text{ bdy} \downarrow s$ 
    proof (induct)
      case (WhileTrue s b' bdy1')
      have eqs: While b' bdy1' = While b bdy1 by fact
      from WhileTrue have s-in-b:  $s \in b$  by simp
      from WhileTrue have termi-bdy1:  $\Gamma \vdash \text{bdy1} \downarrow \text{Normal } s$  by simp
      show ?case
      proof -
        from bdy termi-bdy1
        have  $\Gamma \vdash \text{bdy} \downarrow (\text{Normal } s)$ 
          by (rule While.hyps)
        moreover
        {
          fix t
          assume exec-bdy:  $\Gamma \vdash \langle \text{bdy}, \text{Normal } s \rangle \Rightarrow t$ 
          have  $\Gamma \vdash \text{While } b \text{ bdy} \downarrow t$ 
          proof (cases t)
            case Fault thus ?thesis by simp
          next
            case Stuck thus ?thesis by simp
          next
            case Abrupt thus ?thesis by simp
          next

```

```

      case (Normal t')
      with inter-guards-exec-noFault [OF bdy exec-bdy]
      have  $\Gamma \vdash \langle bdy1, Normal\ s \rangle \Rightarrow Normal\ t'$ 
      by simp
      with WhileTrue have  $\Gamma \vdash While\ b\ bdy \downarrow Normal\ t'$ 
      by simp
      with Normal show ?thesis by simp
    qed
  }
  ultimately show ?thesis
  using s-in-b
  by (blast intro: terminates.WhileTrue)
qed
next
  case WhileFalse thus ?case
  by (blast intro: terminates.WhileFalse)
qed (simp-all)
}
ultimately
show ?case using c by simp
next
  case Call thus ?case by (simp add: inter-guards-Call)
next
  case (DynCom f1)
  have  $(DynCom\ f1 \cap_g c2) = Some\ c$  by fact
  then obtain f2 f where
    c2:  $c2 = DynCom\ f2$  and
    f-defined:  $\forall s. ((f1\ s) \cap_g (f2\ s)) \neq None$  and
    c:  $c = DynCom\ (\lambda s. the\ ((f1\ s) \cap_g (f2\ s)))$ 
  by (auto simp add: inter-guards-DynCom)
  have termi:  $\Gamma \vdash DynCom\ f1 \downarrow s$  by fact
  show ?case
  proof (cases s)
    case Fault thus ?thesis by simp
  next
    case Stuck thus ?thesis by simp
  next
    case Abrupt thus ?thesis by simp
  next
    case (Normal s')
    from f-defined obtain f where  $f: ((f1\ s') \cap_g (f2\ s')) = Some\ f$ 
    by auto
    from Normal termi
    have  $\Gamma \vdash f1\ s' \downarrow (Normal\ s')$ 
    by (auto elim: terminates-Normal-elim-cases)
    from DynCom.hyps f this
    have  $\Gamma \vdash f \downarrow (Normal\ s')$ 
    by blast
    with c f Normal

```

```

    show ?thesis
    by (auto intro: terminates.intros)
qed
next
case (Guard f g1 bdy1)
have (Guard f g1 bdy1  $\cap_g$  c2) = Some c by fact
then obtain g2 bdy2 bdy where
  c2: c2=Guard f g2 bdy2 and
  bdy: (bdy1  $\cap_g$  bdy2) = Some bdy and
  c: c=Guard f (g1  $\cap$  g2) bdy
by (auto simp add: inter-guards-Guard)
have termi-c1:  $\Gamma \vdash$  Guard f g1 bdy1  $\downarrow$  s by fact
show ?case
proof (cases s)
  case Fault thus ?thesis by simp
next
  case Stuck thus ?thesis by simp
next
  case Abrupt thus ?thesis by simp
next
  case (Normal s')
  show ?thesis
  proof (cases s'  $\in$  g1)
    case False
    with Normal c show ?thesis by (auto intro: terminates.GuardFault)
  next
    case True
    note s-in-g1 = this
    show ?thesis
    proof (cases s'  $\in$  g2)
      case False
      with Normal c show ?thesis by (auto intro: terminates.GuardFault)
    next
      case True
      with termi-c1 s-in-g1 Normal have  $\Gamma \vdash$  bdy1  $\downarrow$  Normal s'
      by (auto elim: terminates-Normal-elim-cases)
      with c bdy Guard.hyps Normal True s-in-g1
      show ?thesis by (auto intro: terminates.Guard)
    qed
  qed
qed
next
case Throw thus ?case
by (auto simp add: inter-guards-Throw)
next
case (Catch a1 a2)
have (Catch a1 a2  $\cap_g$  c2) = Some c by fact
then obtain b1 b2 d1 d2 where
  c2: c2=Catch b1 b2 and

```

```

    d1: (a1  $\cap_g$  b1) = Some d1 and d2: (a2  $\cap_g$  b2) = Some d2 and
    c: c=Catch d1 d2
    by (auto simp add: inter-guards-Catch)
  have termi-c1:  $\Gamma \vdash \text{Catch } a1 \ a2 \downarrow s$  by fact
  have  $\Gamma \vdash \text{Catch } d1 \ d2 \downarrow s$ 
  proof (cases s)
    case Fault thus ?thesis by simp
  next
    case Stuck thus ?thesis by simp
  next
    case Abrupt thus ?thesis by simp
  next
    case (Normal s')
    note Normal-s = this
    with d1 termi-c1
    have  $\Gamma \vdash d1 \downarrow \text{Normal } s'$ 
      by (auto elim: terminates-Normal-elim-cases intro: Catch.hyps)
    moreover
    {
      fix t
      assume exec-d1:  $\Gamma \vdash \langle d1, \text{Normal } s' \rangle \Rightarrow \text{Abrupt } t$ 
      have  $\Gamma \vdash d2 \downarrow \text{Normal } t$ 
      proof -
        from inter-guards-exec-noFault [OF d1 exec-d1]
        have  $\Gamma \vdash \langle a1, \text{Normal } s' \rangle \Rightarrow \text{Abrupt } t$ 
          by simp
        with termi-c1 Normal-s have  $\Gamma \vdash a2 \downarrow \text{Normal } t$ 
          by (auto elim: terminates-Normal-elim-cases)
        with d2 have  $\Gamma \vdash d2 \downarrow \text{Normal } t$ 
          by (auto intro: Catch.hyps)
        with Normal show ?thesis by simp
      qed
    }
    ultimately have  $\Gamma \vdash \text{Catch } d1 \ d2 \downarrow \text{Normal } s'$ 
      by (fastforce intro: terminates.intros)
    with Normal show ?thesis by simp
  qed
  with c show ?case by simp
qed

lemma inter-guards-terminates':
  assumes c: (c1  $\cap_g$  c2) = Some c
  assumes termi-c2:  $\Gamma \vdash c2 \downarrow s$ 
  shows  $\Gamma \vdash c \downarrow s$ 
  proof -
    from c have (c2  $\cap_g$  c1) = Some c
      by (rule inter-guards-sym)
    from this termi-c2 show ?thesis
      by (rule inter-guards-terminates)
  
```

qed

7.5 Lemmas about *mark-guards*

```

lemma terminates-to-terminates-mark-guards:
  assumes termi:  $\Gamma \vdash c \downarrow s$ 
  shows  $\Gamma \vdash \text{mark-guards } f \ c \downarrow s$ 
using termi
proof (induct)
  case Skip thus ?case by (fastforce intro: terminates.intros)
next
  case Basic thus ?case by (fastforce intro: terminates.intros)
next
  case Spec thus ?case by (fastforce intro: terminates.intros)
next
  case Guard thus ?case by (fastforce intro: terminates.intros)
next
  case GuardFault thus ?case by (fastforce intro: terminates.intros)
next
  case Fault thus ?case by (fastforce intro: terminates.intros)
next
  case (Seq c1 s c2)
  have  $\Gamma \vdash \text{mark-guards } f \ c1 \downarrow \text{Normal } s$  by fact
  moreover
  {
    fix t
    assume exec-mark:  $\Gamma \vdash \langle \text{mark-guards } f \ c1, \text{Normal } s \rangle \Rightarrow t$ 
    have  $\Gamma \vdash \text{mark-guards } f \ c2 \downarrow t$ 
    proof –
      from exec-mark-guards-to-exec [OF exec-mark] obtain t' where
        exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t'$  and
        t-Fault: isFault t  $\longrightarrow$  isFault t' and
        t'-Fault-f: t' = Fault f  $\longrightarrow$  t' = t and
        t'-Fault: isFault t'  $\longrightarrow$  isFault t and
        t'-noFault:  $\neg$  isFault t'  $\longrightarrow$  t' = t
      by blast
    show ?thesis
    proof (cases isFault t')
      case True
      with t'-Fault have isFault t by simp
      thus ?thesis
      by (auto elim: isFaultE)
    next
      case False
      with t'-noFault have t'=t by simp
      with exec-c1 Seq.hyps
      show ?thesis
      by auto
  }
qed

```

```

    qed
  }
  ultimately show ?case
    by (auto intro: terminates.intros)
next
  case CondTrue thus ?case by (fastforce intro: terminates.intros)
next
  case CondFalse thus ?case by (fastforce intro: terminates.intros)
next
  case (WhileTrue s b c)
  have s-in-b:  $s \in b$  by fact
  have  $\Gamma \vdash \text{mark-guards } f \ c \downarrow \text{Normal } s$  by fact
  moreover
  {
    fix t
    assume exec-mark:  $\Gamma \vdash \langle \text{mark-guards } f \ c, \text{Normal } s \rangle \Rightarrow t$ 
    have  $\Gamma \vdash \text{mark-guards } f \ (\text{While } b \ c) \downarrow t$ 
    proof -
      from exec-mark-guards-to-exec [OF exec-mark] obtain t' where
        exec-c1:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t'$  and
        t-Fault:  $\text{isFault } t \longrightarrow \text{isFault } t'$  and
        t'-Fault-f:  $t' = \text{Fault } f \longrightarrow t' = t$  and
        t'-Fault:  $\text{isFault } t' \longrightarrow \text{isFault } t$  and
        t'-noFault:  $\neg \text{isFault } t' \longrightarrow t' = t$ 
      by blast
    show ?thesis
    proof (cases isFault t')
      case True
      with t'-Fault have isFault t by simp
      thus ?thesis
        by (auto elim: isFaultE)
    next
      case False
      with t'-noFault have t'=t by simp
      with exec-c1 WhileTrue.hyps
      show ?thesis
        by auto
    qed
  }
  qed
}
ultimately show ?case
  by (auto intro: terminates.intros)
next
  case WhileFalse thus ?case by (fastforce intro: terminates.intros)
next
  case Call thus ?case by (fastforce intro: terminates.intros)
next
  case CallUndefined thus ?case by (fastforce intro: terminates.intros)
next

```



```

  case Stuck thus ?case by (fastforce intro: terminates.intros)
next
  case DynCom thus ?case by (fastforce intro: terminates.intros)
next
  case Throw thus ?case by (fastforce intro: terminates.intros)
next
  case Abrupt thus ?case by (fastforce intro: terminates.intros)
next
  case (Catch c1 s c2)
  have  $\Gamma \vdash \text{mark-guards } f \ c1 \downarrow \text{Normal } s$  by fact
  moreover
  {
    fix t
    assume exec-mark:  $\Gamma \vdash \langle \text{mark-guards } f \ c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t$ 
    have  $\Gamma \vdash \text{mark-guards } f \ c2 \downarrow \text{Normal } t$ 
    proof -
      from exec-mark-guards-to-exec [OF exec-mark] obtain t' where
        exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t'$  and
        t'-Fault-f:  $t' = \text{Fault } f \longrightarrow t' = \text{Abrupt } t$  and
        t'-Fault:  $\text{isFault } t' \longrightarrow \text{isFault } (\text{Abrupt } t)$  and
        t'-noFault:  $\neg \text{isFault } t' \longrightarrow t' = \text{Abrupt } t$ 
      by fastforce
    show ?thesis
    proof (cases isFault t')
      case True
      with t'-Fault have isFault (Abrupt t) by simp
      thus ?thesis by simp
    next
      case False
      with t'-noFault have  $t' = \text{Abrupt } t$  by simp
      with exec-c1 Catch.hyps
      show ?thesis
      by auto
    qed
  }
  ultimately show ?case
  by (auto intro: terminates.intros)
qed

```

lemma *terminates-mark-guards-to-terminates-Normal*:

$\bigwedge s. \Gamma \vdash \text{mark-guards } f \ c \downarrow \text{Normal } s \implies \Gamma \vdash c \downarrow \text{Normal } s$

proof (*induct c*)

```

  case Skip thus ?case by (fastforce intro: terminates.intros)
next
  case Basic thus ?case by (fastforce intro: terminates.intros)
next
  case Spec thus ?case by (fastforce intro: terminates.intros)
next

```

```

case (Seq c1 c2)
have  $\Gamma \vdash \text{mark-guards } f \text{ (Seq } c1 \text{ } c2) \downarrow \text{Normal } s$  by fact
then obtain
  termi-merge-c1:  $\Gamma \vdash \text{mark-guards } f \text{ } c1 \downarrow \text{Normal } s$  and
  termi-merge-c2:  $\forall s'. \Gamma \vdash \langle \text{mark-guards } f \text{ } c1, \text{Normal } s \rangle \Rightarrow s' \longrightarrow$ 
     $\Gamma \vdash \text{mark-guards } f \text{ } c2 \downarrow s'$ 
  by (auto elim: terminates-Normal-elim-cases)
from termi-merge-c1 Seq.hyps
have  $\Gamma \vdash c1 \downarrow \text{Normal } s$  by iprover
moreover
{
  fix s'
  assume exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s'$ 
  have  $\Gamma \vdash c2 \downarrow s'$ 
  proof (cases isFault s')
    case True
    thus ?thesis by (auto elim: isFaultE)
  next
    case False
    from exec-to-exec-mark-guards [OF exec-c1 False]
    have  $\Gamma \vdash \langle \text{mark-guards } f \text{ } c1, \text{Normal } s \rangle \Rightarrow s'$ .
    from termi-merge-c2 [rule-format, OF this] Seq.hyps
    show ?thesis
      by (cases s') (auto)
  qed
}
ultimately show ?case by (auto intro: terminates.intros)
next
case Cond thus ?case
  by (fastforce intro: terminates.intros elim: terminates-Normal-elim-cases)
next
case (While b c)
{
  fix u c'
  assume termi-c':  $\Gamma \vdash c' \downarrow \text{Normal } u$ 
  assume c': c' = mark-guards f (While b c)
  have  $\Gamma \vdash \text{While } b \text{ } c \downarrow \text{Normal } u$ 
    using termi-c' c'
  proof (induct)
    case (WhileTrue s b' c')
    have s-in-b: s  $\in$  b using WhileTrue by simp
    have  $\Gamma \vdash \text{mark-guards } f \text{ } c \downarrow \text{Normal } s$ 
      using WhileTrue by (auto elim: terminates-Normal-elim-cases)
    with While.hyps have  $\Gamma \vdash c \downarrow \text{Normal } s$ 
      by auto
    moreover
    have hyp-w:  $\forall w. \Gamma \vdash \langle \text{mark-guards } f \text{ } c, \text{Normal } s \rangle \Rightarrow w \longrightarrow \Gamma \vdash \text{While } b \text{ } c \downarrow w$ 
      using WhileTrue by simp
    hence  $\forall w. \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow w \longrightarrow \Gamma \vdash \text{While } b \text{ } c \downarrow w$ 

```

```

    apply –
    apply (rule allI)
    apply (case-tac w)
    apply (auto dest: exec-to-exec-mark-guards)
    done
  ultimately show ?case
    using s-in-b
    by (auto intro: terminates.intros)
next
  case WhileFalse thus ?case by (auto intro: terminates.intros)
qed auto
}
with While show ?case by simp
next
  case Call thus ?case
    by (fastforce intro: terminates.intros )
next
  case DynCom thus ?case
    by (fastforce intro: terminates.intros elim: terminates-Normal-elim-cases)
next
  case (Guard f g c)
  thus ?case by (fastforce intro: terminates.intros elim: terminates-Normal-elim-cases)
next
  case Throw thus ?case
    by (fastforce intro: terminates.intros )
next
  case (Catch c1 c2)
  have  $\Gamma \vdash \text{mark-guards } f \text{ (Catch } c1 \text{ } c2) \downarrow \text{Normal } s$  by fact
  then obtain
    termi-merge-c1:  $\Gamma \vdash \text{mark-guards } f \text{ } c1 \downarrow \text{Normal } s$  and
    termi-merge-c2:  $\forall s'. \Gamma \vdash \langle \text{mark-guards } f \text{ } c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s' \longrightarrow$ 
       $\Gamma \vdash \text{mark-guards } f \text{ } c2 \downarrow \text{Normal } s'$ 
  by (auto elim: terminates-Normal-elim-cases)
  from termi-merge-c1 Catch.hyps
  have  $\Gamma \vdash c1 \downarrow \text{Normal } s$  by iprover
  moreover
  {
    fix s'
    assume exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
    have  $\Gamma \vdash c2 \downarrow \text{Normal } s'$ 
    proof –
      from exec-to-exec-mark-guards [OF exec-c1]
      have  $\Gamma \vdash \langle \text{mark-guards } f \text{ } c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$  by simp
      from termi-merge-c2 [rule-format, OF this] Catch.hyps
      show ?thesis
        by iprover
    qed
  }
  ultimately show ?case by (auto intro: terminates.intros)

```

qed

lemma *terminates-mark-guards-to-terminates*:

$\Gamma \vdash \text{mark-guards } f \ c \downarrow s \implies \Gamma \vdash c \downarrow s$

by (cases s) (auto intro: terminates-mark-guards-to-terminates-Normal)

7.6 Lemmas about *merge-guards*

lemma *terminates-to-terminates-merge-guards*:

assumes *termi*: $\Gamma \vdash c \downarrow s$

shows $\Gamma \vdash \text{merge-guards } c \downarrow s$

using *termi*

proof (*induct*)

case (*Guard s g c f*)

have *s-in-g*: $s \in g$ **by** *fact*

have *termi-merge-c*: $\Gamma \vdash \text{merge-guards } c \downarrow \text{Normal } s$ **by** *fact*

show ?*case*

proof (cases $\exists f' \ g' \ c'. \text{merge-guards } c = \text{Guard } f' \ g' \ c'$)

case *False*

hence $\text{merge-guards } (\text{Guard } f \ g \ c) = \text{Guard } f \ g \ (\text{merge-guards } c)$

by (cases *merge-guards c*) (auto simp add: *Let-def*)

with *s-in-g termi-merge-c* **show** ?*thesis*

by (auto intro: *terminates.intros*)

next

case *True*

then obtain $f' \ g' \ c'$ **where**

mc: $\text{merge-guards } c = \text{Guard } f' \ g' \ c'$

by *blast*

show ?*thesis*

proof (cases $f=f'$)

case *False*

with *mc* **have** $\text{merge-guards } (\text{Guard } f \ g \ c) = \text{Guard } f \ g \ (\text{merge-guards } c)$

by (*simp add: Let-def*)

with *s-in-g termi-merge-c* **show** ?*thesis*

by (auto intro: *terminates.intros*)

next

case *True*

with *mc* **have** $\text{merge-guards } (\text{Guard } f \ g \ c) = \text{Guard } f \ (g \cap g') \ c'$

by *simp*

with *s-in-g mc True termi-merge-c*

show ?*thesis*

by (cases $s \in g'$)

(auto intro: *terminates.intros elim: terminates-Normal-elim-cases*)

qed

qed

next

case (*GuardFault s g f c*)

have $s \notin g$ **by** *fact*

thus ?*case*

```

    by (cases merge-guards c)
      (auto intro: terminates.intros split: if-split-asm simp add: Let-def)
qed (fastforce intro: terminates.intros dest: exec-merge-guards-to-exec)+

lemma terminates-merge-guards-to-terminates-Normal:
  shows  $\bigwedge s. \Gamma \vdash \text{merge-guards } c \downarrow \text{Normal } s \implies \Gamma \vdash c \downarrow \text{Normal } s$ 
proof (induct c)
  case Skip thus ?case by (fastforce intro: terminates.intros)
next
  case Basic thus ?case by (fastforce intro: terminates.intros)
next
  case Spec thus ?case by (fastforce intro: terminates.intros)
next
  case (Seq c1 c2)
  have  $\Gamma \vdash \text{merge-guards } (\text{Seq } c1 \ c2) \downarrow \text{Normal } s$  by fact
  then obtain
    termi-merge-c1:  $\Gamma \vdash \text{merge-guards } c1 \downarrow \text{Normal } s$  and
    termi-merge-c2:  $\forall s'. \Gamma \vdash \langle \text{merge-guards } c1, \text{Normal } s \rangle \Rightarrow s' \longrightarrow$ 
       $\Gamma \vdash \text{merge-guards } c2 \downarrow s'$ 
  by (auto elim: terminates-Normal-elim-cases)
  from termi-merge-c1 Seq.hyps
  have  $\Gamma \vdash c1 \downarrow \text{Normal } s$  by iprover
  moreover
  {
    fix s'
    assume exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s'$ 
    have  $\Gamma \vdash c2 \downarrow s'$ 
    proof -
      from exec-to-exec-merge-guards [OF exec-c1]
      have  $\Gamma \vdash \langle \text{merge-guards } c1, \text{Normal } s \rangle \Rightarrow s'$ .
      from termi-merge-c2 [rule-format, OF this] Seq.hyps
      show ?thesis
      by (cases s') (auto)
    qed
  }
  ultimately show ?case by (auto intro: terminates.intros)
next
  case Cond thus ?case
  by (fastforce intro: terminates.intros elim: terminates-Normal-elim-cases)
next
  case (While b c)
  {
    fix u c'
    assume termi-c':  $\Gamma \vdash c' \downarrow \text{Normal } u$ 
    assume c':  $c' = \text{merge-guards } (\text{While } b \ c)$ 
    have  $\Gamma \vdash \text{While } b \ c \downarrow \text{Normal } u$ 
    using termi-c' c'
  }
  proof (induct)
    case (WhileTrue s b' c')

```

```

    have s-in-b:  $s \in b$  using WhileTrue by simp
    have  $\Gamma \vdash \text{merge-guards } c \downarrow \text{Normal } s$ 
      using WhileTrue by (auto elim: terminates-Normal-elim-cases)
    with While.hyps have  $\Gamma \vdash c \downarrow \text{Normal } s$ 
      by auto
    moreover
    have hyp-w:  $\forall w. \Gamma \vdash \langle \text{merge-guards } c, \text{Normal } s \rangle \Rightarrow w \longrightarrow \Gamma \vdash \text{While } b \ c \downarrow w$ 
      using WhileTrue by simp
    hence  $\forall w. \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow w \longrightarrow \Gamma \vdash \text{While } b \ c \downarrow w$ 
      by (simp add: exec-iff-exec-merge-guards [symmetric])
    ultimately show ?case
      using s-in-b
      by (auto intro: terminates.intros)
  next
    case WhileFalse thus ?case by (auto intro: terminates.intros)
  qed auto
}
with While show ?case by simp
next
  case Call thus ?case
    by (fastforce intro: terminates.intros)
next
  case DynCom thus ?case
    by (fastforce intro: terminates.intros elim: terminates-Normal-elim-cases)
next
  case (Guard f g c)
  have termi-merge:  $\Gamma \vdash \text{merge-guards } (\text{Guard } f \ g \ c) \downarrow \text{Normal } s$  by fact
  show ?case
  proof (cases  $\exists f' \ g' \ c'. \text{merge-guards } c = \text{Guard } f' \ g' \ c'$ )
    case False
    hence m:  $\text{merge-guards } (\text{Guard } f \ g \ c) = \text{Guard } f \ g \ (\text{merge-guards } c)$ 
      by (cases merge-guards c) (auto simp add: Let-def)
    from termi-merge Guard.hyps show ?thesis
      by (simp only: m)
    (fastforce intro: terminates.intros elim: terminates-Normal-elim-cases)
  next
    case True
    then obtain  $f' \ g' \ c'$  where
      mc:  $\text{merge-guards } c = \text{Guard } f' \ g' \ c'$ 
      by blast
    show ?thesis
    proof (cases  $f = f'$ )
      case False
      with mc have m:  $\text{merge-guards } (\text{Guard } f \ g \ c) = \text{Guard } f \ g \ (\text{merge-guards } c)$ 
        by (simp add: Let-def)
      from termi-merge Guard.hyps show ?thesis
        by (simp only: m)
      (fastforce intro: terminates.intros elim: terminates-Normal-elim-cases)
    next

```

```

    case True
    with mc have m: merge-guards (Guard f g c) = Guard f (g  $\cap$  g') c'
    by simp
    from termi-merge Guard.hyps
    show ?thesis
    by (simp only: m mc)
    (auto intro: terminates.intros elim: terminates-Normal-elim-cases)
  qed
qed
next
  case Throw thus ?case
  by (fastforce intro: terminates.intros )
next
  case (Catch c1 c2)
  have  $\Gamma \vdash \text{merge-guards } (Catch\ c1\ c2) \downarrow Normal\ s$  by fact
  then obtain
    termi-merge-c1:  $\Gamma \vdash \text{merge-guards } c1 \downarrow Normal\ s$  and
    termi-merge-c2:  $\forall s'. \Gamma \vdash \langle \text{merge-guards } c1, Normal\ s \rangle \Rightarrow Abrupt\ s' \longrightarrow$ 
       $\Gamma \vdash \text{merge-guards } c2 \downarrow Normal\ s'$ 
  by (auto elim: terminates-Normal-elim-cases)
  from termi-merge-c1 Catch.hyps
  have  $\Gamma \vdash c1 \downarrow Normal\ s$  by iprover
  moreover
  {
    fix s'
    assume exec-c1:  $\Gamma \vdash \langle c1, Normal\ s \rangle \Rightarrow Abrupt\ s'$ 
    have  $\Gamma \vdash c2 \downarrow Normal\ s'$ 
    proof -
      from exec-to-exec-merge-guards [OF exec-c1]
      have  $\Gamma \vdash \langle \text{merge-guards } c1, Normal\ s \rangle \Rightarrow Abrupt\ s' .$ 
      from termi-merge-c2 [rule-format, OF this] Catch.hyps
      show ?thesis
      by iprover
    qed
  }
  ultimately show ?case by (auto intro: terminates.intros)
qed

```

lemma *terminates-merge-guards-to-terminates:*

$\Gamma \vdash \text{merge-guards } c \downarrow s \implies \Gamma \vdash c \downarrow s$

by (cases s) (auto intro: terminates-merge-guards-to-terminates-Normal)

theorem *terminates-iff-terminates-merge-guards:*

$\Gamma \vdash c \downarrow s = \Gamma \vdash \text{merge-guards } c \downarrow s$

by (iprover intro: terminates-to-terminates-merge-guards
terminates-merge-guards-to-terminates)

7.7 Lemmas about $c_1 \subseteq_g c_2$

lemma *terminates-fewer-guards-Normal*:

shows $\bigwedge c\ s. [\Gamma \vdash c' \downarrow \text{Normal } s; c \subseteq_g c'; \Gamma \vdash \langle c', \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}]$
 $\implies \Gamma \vdash c \downarrow \text{Normal } s$

proof (*induct c'*)

case *Skip* **thus** ?*case* **by** (*auto intro: terminates.intros dest: subseteq-guardsD*)

next

case *Basic* **thus** ?*case* **by** (*auto intro: terminates.intros dest: subseteq-guardsD*)

next

case *Spec* **thus** ?*case* **by** (*auto intro: terminates.intros dest: subseteq-guardsD*)

next

case (*Seq c1' c2'*)

have *termi*: $\Gamma \vdash \text{Seq } c1' \ c2' \downarrow \text{Normal } s$ **by** *fact*

then obtain

termi-c1': $\Gamma \vdash c1' \downarrow \text{Normal } s$ **and**

termi-c2': $\forall s'. \Gamma \vdash \langle c1', \text{Normal } s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash c2' \downarrow s'$

by (*auto elim: terminates-Normal-elim-cases*)

have *noFault*: $\Gamma \vdash \langle \text{Seq } c1' \ c2', \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$ **by** *fact*

hence *noFault-c1'*: $\Gamma \vdash \langle c1', \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$

by (*auto intro: exec.intros simp add: final-notin-def*)

have $c \subseteq_g \text{Seq } c1' \ c2'$ **by** *fact*

from *subseteq-guards-Seq* [*OF this*] **obtain** *c1 c2* **where**

c: $c = \text{Seq } c1 \ c2$ **and**

c1-c1': $c1 \subseteq_g c1'$ **and**

c2-c2': $c2 \subseteq_g c2'$

by *blast*

from *termi-c1' c1-c1' noFault-c1'*

have $\Gamma \vdash c1 \downarrow \text{Normal } s$

by (*rule Seq.hyps*)

moreover

{

fix *t*

assume *exec-c1*: $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t$

have $\Gamma \vdash c2 \downarrow t$

proof –

from *exec-to-exec-subseteq-guards* [*OF c1-c1' exec-c1*] **obtain** *t'* **where**

exec-c1': $\Gamma \vdash \langle c1', \text{Normal } s \rangle \Rightarrow t'$ **and**

t-Fault: $\text{isFault } t \longrightarrow \text{isFault } t'$ **and**

t'-noFault: $\neg \text{isFault } t' \longrightarrow t' = t$

by *blast*

show ?*thesis*

proof (*cases isFault t'*)

case *True*

with *exec-c1' noFault-c1'*

have *False*

by (*fastforce elim: isFaultE dest: Fault-end simp add: final-notin-def*)

thus ?*thesis* ..

next

case *False*


```

with  $t'$ -noFault have  $t': t'=t$  by simp
with  $termi-c2'$  exec- $c1'$ 
have  $termi-c2': \Gamma \vdash c2' \downarrow t$ 
  by auto
show ?thesis
proof (cases  $t$ )
  case Fault thus ?thesis by auto
next
  case Abrupt thus ?thesis by auto
next
  case Stuck thus ?thesis by auto
next
  case (Normal  $u$ )
    with noFault exec- $c1'$   $t'$ 
    have  $\Gamma \vdash \langle c2', Normal\ u \rangle \Rightarrow \notin Fault \text{ ' } UNIV$ 
      by (auto intro: exec.intros simp add: final-notin-def)
    from  $termi-c2'$  [simplified Normal]  $c2-c2'$  this
    have  $\Gamma \vdash c2 \downarrow Normal\ u$ 
      by (rule Seq.hyps)
    with Normal exec- $c1$ 
    show ?thesis by simp
qed
qed
qed
}
ultimately show ?case using  $c$  by (auto intro: terminates.intros)
next
case (Cond  $b\ c1'\ c2'$ )
have noFault:  $\Gamma \vdash \langle Cond\ b\ c1'\ c2', Normal\ s \rangle \Rightarrow \notin Fault \text{ ' } UNIV$  by fact
have termi:  $\Gamma \vdash Cond\ b\ c1'\ c2' \downarrow Normal\ s$  by fact
have  $c \subseteq_g Cond\ b\ c1'\ c2'$  by fact
from subseteq-guards-Cond [OF this] obtain  $c1\ c2$  where
   $c: c = Cond\ b\ c1\ c2$  and
   $c1-c1': c1 \subseteq_g c1'$  and
   $c2-c2': c2 \subseteq_g c2'$ 
by blast
thus ?case
proof (cases  $s \in b$ )
  case True
    with termi have  $termi-c1': \Gamma \vdash c1' \downarrow Normal\ s$ 
      by (auto elim: terminates-Normal-elim-cases)
    from True noFault have  $\Gamma \vdash \langle c1', Normal\ s \rangle \Rightarrow \notin Fault \text{ ' } UNIV$ 
      by (auto intro: exec.intros simp add: final-notin-def)
    from  $termi-c1'$   $c1-c1'$  this
    have  $\Gamma \vdash c1 \downarrow Normal\ s$ 
      by (rule Cond.hyps)
    with True  $c$  show ?thesis
      by (auto intro: terminates.intros)
  next

```

```

case False
with termi have termi-c2':  $\Gamma \vdash c2' \downarrow \text{Normal } s$ 
  by (auto elim: terminates-Normal-elim-cases)
from False noFault have  $\Gamma \vdash \langle c2', \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$ 
  by (auto intro: exec.intros simp add: final-notin-def)
from termi-c2' c2-c2' this
have  $\Gamma \vdash c2 \downarrow \text{Normal } s$ 
  by (rule Cond.hyps)
with False c show ?thesis
  by (auto intro: terminates.intros)
qed
next
case (While b c')
have noFault:  $\Gamma \vdash \langle \text{While } b \text{ c}', \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$  by fact
have termi:  $\Gamma \vdash \text{While } b \text{ c}' \downarrow \text{Normal } s$  by fact
have  $c \subseteq_g \text{While } b \text{ c}'$  by fact
from subseteq-guards-While [OF this]
obtain c'' where
  c:  $c = \text{While } b \text{ c}''$  and
  c''-c':  $c'' \subseteq_g c'$ 
  by blast
{
  fix d u
  assume termi:  $\Gamma \vdash d \downarrow u$ 
  assume d:  $d = \text{While } b \text{ c}'$ 
  assume noFault:  $\Gamma \vdash \langle \text{While } b \text{ c}', u \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$ 
  have  $\Gamma \vdash \text{While } b \text{ c}'' \downarrow u$ 
  using termi d noFault
  proof (induct)
    case (WhileTrue u b' c''')
    have u-in-b:  $u \in b$  using WhileTrue by simp
    have termi-c':  $\Gamma \vdash c' \downarrow \text{Normal } u$  using WhileTrue by simp
    have noFault:  $\Gamma \vdash \langle \text{While } b \text{ c}', \text{Normal } u \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$  using WhileTrue
  by simp
  hence noFault-c':  $\Gamma \vdash \langle c', \text{Normal } u \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$  using u-in-b
    by (auto intro: exec.intros simp add: final-notin-def)
  from While.hyps [OF termi-c' c''-c' this]
  have  $\Gamma \vdash c'' \downarrow \text{Normal } u$ .
  moreover
  from WhileTrue
  have hyp-w:  $\forall s'. \Gamma \vdash \langle c', \text{Normal } u \rangle \Rightarrow s' \longrightarrow \Gamma \vdash \langle \text{While } b \text{ c}', s' \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$ 
     $\longrightarrow \Gamma \vdash \text{While } b \text{ c}'' \downarrow s'$ 
    by simp
  {
    fix v
    assume exec-c'':  $\Gamma \vdash \langle c'', \text{Normal } u \rangle \Rightarrow v$ 
    have  $\Gamma \vdash \text{While } b \text{ c}'' \downarrow v$ 
    proof -

```

```

from exec-to-exec-subseteq-guards [OF c''-c' exec-c'] obtain v' where
  exec-c':  $\Gamma \vdash \langle c', \text{Normal } u \rangle \Rightarrow v'$  and
  v-Fault:  $\text{isFault } v \longrightarrow \text{isFault } v'$  and
  v'-noFault:  $\neg \text{isFault } v' \longrightarrow v' = v$ 
  by auto
show ?thesis
proof (cases isFault v')
  case True
  with exec-c' noFault u-in-b
  have False
  by (fastforce simp add: final-notin-def intro: exec.intros elim: isFaultE)
  thus ?thesis ..
next
  case False
  with v'-noFault have v': v'=v
  by simp
  with noFault exec-c' u-in-b
  have  $\Gamma \vdash \langle \text{While } b \ c', v \rangle \Rightarrow \notin \text{Fault ' UNIV}$ 
  by (fastforce simp add: final-notin-def intro: exec.intros)
  from hyp-w [rule-format, OF exec-c' [simplified v]] this
  show  $\Gamma \vdash \text{While } b \ c'' \downarrow v$  .
  qed
qed
}
ultimately
show ?case using u-in-b
  by (auto intro: terminates.intros)
next
  case WhileFalse thus ?case by (auto intro: terminates.intros)
qed auto
}
with c noFault termi show ?case
  by auto
next
  case Call thus ?case by (auto intro: terminates.intros dest: subseteq-guardsD)
next
  case (DynCom C')
  have termi:  $\Gamma \vdash \text{DynCom } C' \downarrow \text{Normal } s$  by fact
  hence termi-C':  $\Gamma \vdash C' s \downarrow \text{Normal } s$ 
  by cases
  have noFault:  $\Gamma \vdash \langle \text{DynCom } C', \text{Normal } s \rangle \Rightarrow \notin \text{Fault ' UNIV}$  by fact
  hence noFault-C':  $\Gamma \vdash \langle C' s, \text{Normal } s \rangle \Rightarrow \notin \text{Fault ' UNIV}$ 
  by (auto intro: exec.intros simp add: final-notin-def)
  have  $c \subseteq_g \text{DynCom } C'$  by fact
  from subseteq-guards-DynCom [OF this] obtain C where
    c:  $c = \text{DynCom } C$  and
    C-C':  $\forall s. C s \subseteq_g C' s$ 
  by blast

```

```

from DynCom.hyps termi-C' C-C' [rule-format] noFault-C'
have  $\Gamma \vdash C \ s \downarrow \text{Normal } s$ 
  by fast
with c show ?case
  by (auto intro: terminates.intros)
next
  case (Guard f' g' c')
  have noFault:  $\Gamma \vdash \langle \text{Guard } f' \ g' \ c', \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$  by fact
  have termi:  $\Gamma \vdash \text{Guard } f' \ g' \ c' \downarrow \text{Normal } s$  by fact
  have  $c \subseteq_g \text{Guard } f' \ g' \ c'$  by fact
  hence c-cases:  $(c \subseteq_g c') \vee (\exists c''. c = \text{Guard } f' \ g' \ c'' \wedge (c'' \subseteq_g c'))$ 
    by (rule subseteq-guards-Guard)
  thus ?case
  proof (cases s ∈ g')
    case True
    note s-in-g' = this
    with noFault have noFault-c':  $\Gamma \vdash \langle c', \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV}$ 
      by (auto simp add: final-notin-def intro: exec.intros)
    from termi s-in-g' have termi-c':  $\Gamma \vdash c' \downarrow \text{Normal } s$ 
      by cases auto
    from c-cases show ?thesis
    proof
      assume  $c \subseteq_g c'$ 
      from termi-c' this noFault-c'
      show  $\Gamma \vdash c \downarrow \text{Normal } s$ 
        by (rule Guard.hyps)
    next
      assume  $\exists c''. c = \text{Guard } f' \ g' \ c'' \wedge (c'' \subseteq_g c')$ 
      then obtain c'' where
        c:  $c = \text{Guard } f' \ g' \ c''$  and c''-c':  $c'' \subseteq_g c'$ 
        by blast
      from termi-c' c''-c' noFault-c'
      have  $\Gamma \vdash c'' \downarrow \text{Normal } s$ 
        by (rule Guard.hyps)
      with s-in-g' c
      show ?thesis
        by (auto intro: terminates.intros)
    qed
  next
    case False
    with noFault have False
      by (auto intro: exec.intros simp add: final-notin-def)
    thus ?thesis ..
  qed
next
  case Throw thus ?case by (auto intro: terminates.intros dest: subseteq-guardsD)
next
  case (Catch c1' c2')
  have termi:  $\Gamma \vdash \text{Catch } c1' \ c2' \downarrow \text{Normal } s$  by fact

```

then obtain
termi-c1': $\Gamma \vdash c1' \downarrow \text{Normal } s$ **and**
termi-c2': $\forall s'. \Gamma \vdash \langle c1', \text{Normal } s \rangle \Rightarrow \text{Abrupt } s' \longrightarrow \Gamma \vdash c2' \downarrow \text{Normal } s'$
by (*auto elim: terminates-Normal-elim-cases*)
have *noFault*: $\Gamma \vdash \langle \text{Catch } c1' \ c2', \text{Normal } s \rangle \Rightarrow \notin \text{Fault ' UNIV}$ **by fact**
hence *noFault-c1'*: $\Gamma \vdash \langle c1', \text{Normal } s \rangle \Rightarrow \notin \text{Fault ' UNIV}$
by (*fastforce intro: exec.intros simp add: final-notin-def*)
have $c \subseteq_g \text{Catch } c1' \ c2'$ **by fact**
from *subsetq-guards-Catch* [*OF this*] **obtain** $c1 \ c2$ **where**
 $c: c = \text{Catch } c1 \ c2$ **and**
 $c1-c1'$: $c1 \subseteq_g c1'$ **and**
 $c2-c2'$: $c2 \subseteq_g c2'$
by blast
from *termi-c1' c1-c1' noFault-c1'*
have $\Gamma \vdash c1 \downarrow \text{Normal } s$
by (*rule Catch.hyps*)
moreover
{
 fix t
 assume *exec-c1*: $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t$
 have $\Gamma \vdash c2 \downarrow \text{Normal } t$
 proof –
 from *exec-to-exec-subsetq-guards* [*OF c1-c1' exec-c1*] **obtain** t' **where**
 exec-c1': $\Gamma \vdash \langle c1', \text{Normal } s \rangle \Rightarrow t'$ **and**
 t'-noFault: $\neg \text{isFault } t' \longrightarrow t' = \text{Abrupt } t$
 by blast
 show ?thesis
 proof (*cases isFault t'*)
 case *True*
 with *exec-c1' noFault-c1'*
 have *False*
 by (*fastforce elim: isFaultE dest: Fault-end simp add: final-notin-def*)
 thus ?thesis ..
 next
 case *False*
 with *t'-noFault* **have** $t': t' = \text{Abrupt } t$ **by simp**
 with *termi-c2' exec-c1'*
 have *termi-c2'*: $\Gamma \vdash c2' \downarrow \text{Normal } t$
 by auto
 with *noFault exec-c1' t'*
 have $\Gamma \vdash \langle c2', \text{Normal } t \rangle \Rightarrow \notin \text{Fault ' UNIV}$
 by (*auto intro: exec.intros simp add: final-notin-def*)
 from *termi-c2' c2-c2' this*
 show $\Gamma \vdash c2 \downarrow \text{Normal } t$
 by (*rule Catch.hyps*)
 qed
 qed
}

ultimately show ?case **using** c **by** (*auto intro: terminates.intros*)

qed

theorem *terminates-fewer-guards*:

shows $\llbracket \Gamma \vdash c' \downarrow s; c \subseteq_g c'; \Gamma \vdash \langle c', s \rangle \Rightarrow \notin \text{Fault} \text{ ' UNIV} \rrbracket$
 $\implies \Gamma \vdash c \downarrow s$

by (*cases s*) (*auto intro: terminates-fewer-guards-Normal*)

lemma *terminates-noFault-strip-guards*:

assumes *termi*: $\Gamma \vdash c \downarrow \text{Normal } s$

shows $\llbracket \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' F} \rrbracket \implies \Gamma \vdash \text{strip-guards } F \ c \downarrow \text{Normal } s$

using *termi*

proof (*induct*)

case *Skip* **thus** ?*case* **by** (*auto intro: terminates.intros*)

next

case *Basic* **thus** ?*case* **by** (*auto intro: terminates.intros*)

next

case *Spec* **thus** ?*case* **by** (*auto intro: terminates.intros*)

next

case (*Guard s g c f*)

have *s-in-g*: $s \in g$ **by** *fact*

have $\Gamma \vdash c \downarrow \text{Normal } s$ **by** *fact*

have $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' F}$ **by** *fact*

with *s-in-g* **have** $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' F}$

by (*fastforce simp add: final-notin-def intro: exec.intros*)

with *Guard.hyps* **have** $\Gamma \vdash \text{strip-guards } F \ c \downarrow \text{Normal } s$ **by** *simp*

with *s-in-g* **show** ?*case*

by (*auto intro: terminates.intros*)

next

case *GuardFault* **thus** ?*case*

by (*auto intro: terminates.intros exec.intros simp add: final-notin-def*)

next

case *Fault* **thus** ?*case* **by** (*auto intro: terminates.intros*)

next

case (*Seq c1 s c2*)

have *noFault-Seq*: $\Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' F}$ **by** *fact*

hence *noFault-c1*: $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' F}$

by (*auto simp add: final-notin-def intro: exec.intros*)

with *Seq.hyps* **have** $\Gamma \vdash \text{strip-guards } F \ c1 \downarrow \text{Normal } s$ **by** *simp*

moreover

{

fix *s'*

assume *exec-strip-guards-c1*: $\Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s \rangle \Rightarrow s'$

have $\Gamma \vdash \text{strip-guards } F \ c2 \downarrow s'$

proof (*cases isFault s'*)

case *True*

thus ?*thesis* **by** (*auto elim: isFaultE intro: terminates.intros*)

next

case *False*

with *exec-strip-guards-to-exec* [*OF exec-strip-guards-c1*] *noFault-c1*

```

    have *:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s'$ 
      by (auto simp add: final-notin-def elim!: isFaultE)
    with noFault-Seq have  $\Gamma \vdash \langle c2, s' \rangle \Rightarrow \notin \text{Fault } F$ 
      by (auto simp add: final-notin-def intro: exec.intros)
    with * show ?thesis
      using Seq.hyps by simp
  qed
}
ultimately show ?case
  by (auto intro: terminates.intros)
next
case CondTrue thus ?case
  by (fastforce intro: terminates.intros exec.intros simp add: final-notin-def )
next
case CondFalse thus ?case
  by (fastforce intro: terminates.intros exec.intros simp add: final-notin-def )
next
case (WhileTrue s b c)
have s-in-b:  $s \in b$  by fact
have noFault-while:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault } F$  by fact
with s-in-b have noFault-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault } F$ 
  by (auto simp add: final-notin-def intro: exec.intros)
with WhileTrue.hyps have  $\Gamma \vdash \text{strip-guards } F \ c \downarrow \text{Normal } s$  by simp
moreover
{
  fix s'
  assume exec-strip-guards-c:  $\Gamma \vdash \langle \text{strip-guards } F \ c, \text{Normal } s \rangle \Rightarrow s'$ 
  have  $\Gamma \vdash \text{strip-guards } F \ (\text{While } b \ c) \downarrow s'$ 
  proof (cases isFault s')
    case True
    thus ?thesis by (auto elim: isFaultE intro: terminates.intros)
  next
    case False
    with exec-strip-guards-to-exec [OF exec-strip-guards-c] noFault-c
    have *:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s'$ 
      by (auto simp add: final-notin-def elim!: isFaultE)
    with s-in-b noFault-while have  $\Gamma \vdash \langle \text{While } b \ c, s' \rangle \Rightarrow \notin \text{Fault } F$ 
      by (auto simp add: final-notin-def intro: exec.intros)
    with * show ?thesis
      using WhileTrue.hyps by simp
  qed
}
ultimately show ?case
  using WhileTrue.hyps by (auto intro: terminates.intros)
next
case WhileFalse thus ?case by (auto intro: terminates.intros)
next
case Call thus ?case by (auto intro: terminates.intros)
next

```

```

    case CallUndefined thus ?case by (auto intro: terminates.intros)
next
    case Stuck thus ?case by (auto intro: terminates.intros)
next
    case DynCom thus ?case
      by (auto intro: terminates.intros exec.intros simp add: final-notin-def )
next
    case Throw thus ?case by (auto intro: terminates.intros)
next
    case Abrupt thus ?case by (auto intro: terminates.intros)
next
    case (Catch c1 s c2)
    have noFault-Catch:  $\Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \text{Fault } ' F$  by fact
    hence noFault-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \text{Fault } ' F$ 
      by (fastforce simp add: final-notin-def intro: exec.intros)
    with Catch.hyps have  $\Gamma \vdash \text{strip-guards } F \ c1 \downarrow \text{Normal } s$  by simp
    moreover
    {
      fix s'
      assume exec-strip-guards-c1:  $\Gamma \vdash \langle \text{strip-guards } F \ c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
      have  $\Gamma \vdash \text{strip-guards } F \ c2 \downarrow \text{Normal } s'$ 
      proof -
        from exec-strip-guards-to-exec [OF exec-strip-guards-c1] noFault-c1
        have *:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
          by (auto simp add: final-notin-def elim!: isFaultE)
        with noFault-Catch have  $\Gamma \vdash \langle c2, \text{Normal } s' \rangle \Rightarrow \notin \text{Fault } ' F$ 
          by (auto simp add: final-notin-def intro: exec.intros)
        with * show ?thesis
          using Catch.hyps by simp
      qed
    }
    ultimately show ?case
      using Catch.hyps by (auto intro: terminates.intros)
qed

```

7.8 Lemmas about *strip-guards*

```

lemma terminates-noFault-strip:
  assumes termi:  $\Gamma \vdash c \downarrow \text{Normal } s$ 
  shows  $\llbracket \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault } ' F \rrbracket \implies \text{strip } F \ \Gamma \vdash c \downarrow \text{Normal } s$ 
using termi
proof (induct)
  case Skip thus ?case by (auto intro: terminates.intros)
next
  case Basic thus ?case by (auto intro: terminates.intros)
next
  case Spec thus ?case by (auto intro: terminates.intros)
next
  case (Guard s g c f)

```



```

have s-in-g:  $s \in g$  by fact
have  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$  by fact
with s-in-g have  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$ 
  by (fastforce simp add: final-notin-def intro: exec.intros)
then have strip  $F \ \Gamma \vdash c \downarrow \text{Normal } s$  by (simp add: Guard.hyps)
with s-in-g show ?case
  by (auto intro: terminates.intros simp del: strip-simp)
next
case GuardFault thus ?case
  by (auto intro: terminates.intros exec.intros simp add: final-notin-def )
next
case Fault thus ?case by (auto intro: terminates.intros)
next
case (Seq c1 s c2)
have noFault-Seq:  $\Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$  by fact
hence noFault-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$ 
  by (auto simp add: final-notin-def intro: exec.intros)
then have strip  $F \ \Gamma \vdash c1 \downarrow \text{Normal } s$  by (simp add: Seq.hyps)
moreover
{
  fix s'
  assume exec-strip-c1: strip  $F \ \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s'$ 
  have strip  $F \ \Gamma \vdash c2 \downarrow s'$ 
  proof (cases isFault s')
    case True
    thus ?thesis by (auto elim: isFaultE intro: terminates.intros)
  next
    case False
    with exec-strip-to-exec [OF exec-strip-c1] noFault-c1
    have *:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s'$ 
    by (auto simp add: final-notin-def elim!: isFaultE)
    with noFault-Seq have  $\Gamma \vdash \langle c2, s' \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$ 
    by (auto simp add: final-notin-def intro: exec.intros)
    with * show ?thesis
    using Seq.hyps by (simp del: strip-simp)
  qed
}
ultimately show ?case
  by (fastforce intro: terminates.intros)
next
case CondTrue thus ?case
  by (fastforce intro: terminates.intros exec.intros simp add: final-notin-def )
next
case CondFalse thus ?case
  by (fastforce intro: terminates.intros exec.intros simp add: final-notin-def )
next
case (WhileTrue s b c)
have s-in-b:  $s \in b$  by fact
have noFault-while:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$  by fact

```

```

with s-in-b have noFault-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$ 
  by (auto simp add: final-notin-def intro: exec.intros)
then have strip F  $\Gamma \vdash c \downarrow \text{Normal } s$  by (simp add: WhileTrue.hyps)
moreover
{
  fix s'
  assume exec-strip-c: strip F  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s'$ 
  have strip F  $\Gamma \vdash \text{While } b \ c \downarrow s'$ 
  proof (cases isFault s')
    case True
    thus ?thesis by (auto elim: isFaultE intro: terminates.intros)
  next
    case False
    with exec-strip-to-exec [OF exec-strip-c] noFault-c
    have *:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s'$ 
    by (auto simp add: final-notin-def elim!: isFaultE)
    with s-in-b noFault-while have  $\Gamma \vdash \langle \text{While } b \ c, s' \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$ 
    by (auto simp add: final-notin-def intro: exec.intros)
    with * show ?thesis
    using WhileTrue.hyps by (simp del: strip-simp)
  qed
}
ultimately show ?case
  using WhileTrue.hyps by (auto intro: terminates.intros simp del: strip-simp)
next
  case WhileFalse thus ?case by (auto intro: terminates.intros)
next
  case (Call p bdy s)
  have bdy:  $\Gamma \vdash p = \text{Some } bdy$  by fact
  have  $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$  by fact
  with bdy have bdy-noFault:  $\Gamma \vdash \langle bdy, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$ 
  by (auto intro: exec.intros simp add: final-notin-def)
  then have strip-bdy-noFault: strip F  $\Gamma \vdash \langle bdy, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \text{ ' } F$ 
  by (auto simp add: final-notin-def dest!: exec-strip-to-exec elim!: isFaultE)

  from bdy-noFault have strip F  $\Gamma \vdash bdy \downarrow \text{Normal } s$  by (simp add: Call.hyps)
  from terminates-noFault-strip-guards [OF this strip-bdy-noFault]
  have strip F  $\Gamma \vdash \text{strip-guards } F \ bdy \downarrow \text{Normal } s$ .
  with bdy show ?case
  by (fastforce intro: terminates.Call)
next
  case CallUndefined thus ?case by (auto intro: terminates.intros)
next
  case Stuck thus ?case by (auto intro: terminates.intros)
next
  case DynCom thus ?case
  by (auto intro: terminates.intros exec.intros simp add: final-notin-def )
next
  case Throw thus ?case by (auto intro: terminates.intros)

```

```

next
  case Abrupt thus ?case by (auto intro: terminates.intros)
next
  case (Catch c1 s c2)
  have noFault-Catch:  $\Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \ ' F$  by fact
  hence noFault-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \text{Fault} \ ' F$ 
    by (fastforce simp add: final-notin-def intro: exec.intros)
  then have strip F  $\Gamma \vdash c1 \downarrow \text{Normal } s$  by (simp add: Catch.hyps)
  moreover
  {
    fix s'
    assume exec-strip-c1: strip F  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
    have strip F  $\Gamma \vdash c2 \downarrow \text{Normal } s'$ 
    proof -
      from exec-strip-to-exec [OF exec-strip-c1] noFault-c1
      have *:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
        by (auto simp add: final-notin-def elim!: isFaultE)
      with * noFault-Catch have  $\Gamma \vdash \langle c2, \text{Normal } s' \rangle \Rightarrow \notin \text{Fault} \ ' F$ 
        by (auto simp add: final-notin-def intro: exec.intros)
      with * show ?thesis
        using Catch.hyps by (simp del: strip-simp)
    qed
  }
  ultimately show ?case
    using Catch.hyps by (auto intro: terminates.intros simp del: strip-simp)
qed

```

7.9 Miscellaneous

```

lemma terminates-while-lemma:
  assumes termi:  $\Gamma \vdash w \downarrow fk$ 
  shows  $\bigwedge k \ b \ c. \llbracket fk = \text{Normal } (f \ k); w = \text{While } b \ c; \rrbracket$ 
     $\forall i. \Gamma \vdash \langle c, \text{Normal } (f \ i) \rangle \Rightarrow \text{Normal } (f \ (\text{Suc } i))$ 
     $\implies \exists i. f \ i \notin b$ 
  using termi
  proof (induct)
    case WhileTrue thus ?case by blast
  next
    case WhileFalse thus ?case by blast
  qed simp-all

```

```

lemma terminates-while:
   $\llbracket \Gamma \vdash (\text{While } b \ c) \downarrow \text{Normal } (f \ k); \rrbracket$ 
     $\forall i. \Gamma \vdash \langle c, \text{Normal } (f \ i) \rangle \Rightarrow \text{Normal } (f \ (\text{Suc } i))$ 
     $\implies \exists i. f \ i \notin b$ 
  by (blast intro: terminates-while-lemma)

```

```

lemma wf-terminates-while:
  wf  $\{(t, s). \Gamma \vdash (\text{While } b \ c) \downarrow \text{Normal } s \wedge s \in b \wedge$ 

```

```

       $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t \}$ 
apply (subst wf-iff-no-infinite-down-chain)
apply (rule notI)
apply clarsimp
apply (insert terminates-while)
apply blast
done

lemma terminates-restrict-to-terminates:
  assumes terminates-res:  $\Gamma \mid_M \vdash c \downarrow s$ 
  assumes not-Stuck:  $\Gamma \mid_M \vdash \langle c, s \rangle \Rightarrow \notin \{ \text{Stuck} \}$ 
  shows  $\Gamma \vdash c \downarrow s$ 
using terminates-res not-Stuck
proof (induct)
  case Skip show ?case by (rule terminates.Skip)
next
  case Basic show ?case by (rule terminates.Basic)
next
  case Spec show ?case by (rule terminates.Spec)
next
  case Guard thus ?case
    by (auto intro: terminates.Guard dest: notStuck-GuardD)
next
  case GuardFault thus ?case by (auto intro: terminates.GuardFault)
next
  case Fault show ?case by (rule terminates.Fault)
next
  case (Seq c1 s c2)
  have not-Stuck:  $\Gamma \mid_M \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$  by fact
  hence c1-notStuck:  $\Gamma \mid_M \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$ 
    by (rule notStuck-SeqD1)
  show  $\Gamma \vdash \text{Seq } c1 \ c2 \downarrow \text{Normal } s$ 
  proof (rule terminates.Seq,safe)
    from c1-notStuck
    show  $\Gamma \vdash c1 \downarrow \text{Normal } s$ 
      by (rule Seq.hyps)
  next
  fix s'
  assume exec:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s'$ 
  show  $\Gamma \vdash c2 \downarrow s'$ 
  proof –
    from exec-to-exec-restrict [OF exec] obtain t' where
      exec-res:  $\Gamma \mid_M \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t'$  and
      t'-notStuck:  $t' \neq \text{Stuck} \longrightarrow t' = s'$ 
    by blast
  show ?thesis
  proof (cases t' = Stuck)
    case True
    with c1-notStuck exec-res have False

```

```

      by (auto simp add: final-notin-def)
    thus ?thesis ..
  next
    case False
    with  $t'$ -notStuck have  $t'$ :  $t'=s'$  by simp
    with not-Stuck exec-res
    have  $\Gamma|_M \vdash \langle c2, s' \rangle \Rightarrow \notin \{Stuck\}$ 
      by (auto dest: notStuck-SeqD2)
    with exec-res  $t'$  Seq.hyps
    show ?thesis
      by auto
  qed
qed
qed
next
  case CondTrue thus ?case
    by (auto intro: terminates.CondTrue dest: notStuck-CondTrueD)
next
  case CondFalse thus ?case
    by (auto intro: terminates.CondFalse dest: notStuck-CondFalseD)
next
  case (WhileTrue  $s$   $b$   $c$ )
  have  $s$ :  $s \in b$  by fact
  have not-Stuck:  $\Gamma|_M \vdash \langle While\ b\ c, Normal\ s \rangle \Rightarrow \notin \{Stuck\}$  by fact
  with WhileTrue have  $c$ -notStuck:  $\Gamma|_M \vdash \langle c, Normal\ s \rangle \Rightarrow \notin \{Stuck\}$ 
    by (iprover intro: notStuck-WhileTrueD1)
  show ?case
  proof (rule terminates.WhileTrue [OF  $s$ ], safe)
    from  $c$ -notStuck
    show  $\Gamma \vdash c \downarrow Normal\ s$ 
      by (rule WhileTrue.hyps)
  next
    fix  $s'$ 
    assume exec:  $\Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow s'$ 
    show  $\Gamma \vdash While\ b\ c \downarrow s'$ 
    proof -
      from exec-to-exec-restrict [OF exec] obtain  $t'$  where
        exec-res:  $\Gamma|_M \vdash \langle c, Normal\ s \rangle \Rightarrow t'$  and
         $t'$ -notStuck:  $t' \neq Stuck \longrightarrow t' = s'$ 
      by blast
    show ?thesis
    proof (cases  $t'=Stuck$ )
      case True
      with  $c$ -notStuck exec-res have False
        by (auto simp add: final-notin-def)
      thus ?thesis ..
    next
      case False
      with  $t'$ -notStuck have  $t'$ :  $t'=s'$  by simp

```

```

    with not-Stuck exec-res s
    have  $\Gamma|_M \vdash \langle \text{While } b \ c, s' \rangle \Rightarrow \notin \{ \text{Stuck} \}$ 
      by (auto dest: notStuck-WhileTrueD2)
    with exec-res t' WhileTrue.hyps
    show ?thesis
      by auto
  qed
qed
next
case WhileFalse then show ?case by (iprover intro: terminates.WhileFalse)
next
case Call thus ?case
  by (auto intro: terminates.Call dest: notStuck-CallD restrict-SomeD)
next
case CallUndefined
  thus ?case
    by (auto dest: notStuck-CallDefinedD)
next
case Stuck show ?case by (rule terminates.Stuck)
next
case DynCom
  thus ?case
    by (auto intro: terminates.DynCom dest: notStuck-DynComD)
next
case Throw show ?case by (rule terminates.Throw)
next
case Abrupt show ?case by (rule terminates.Abrupt)
next
case (Catch c1 s c2)
  have not-Stuck:  $\Gamma|_M \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$  by fact
  hence c1-notStuck:  $\Gamma|_M \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$ 
    by (rule notStuck-CatchD1)
  show  $\Gamma \vdash \text{Catch } c1 \ c2 \downarrow \text{Normal } s$ 
  proof (rule terminates.Catch,safe)
    from c1-notStuck
    show  $\Gamma \vdash c1 \downarrow \text{Normal } s$ 
      by (rule Catch.hyps)
  next
  fix s'
  assume exec:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
  show  $\Gamma \vdash c2 \downarrow \text{Normal } s'$ 
  proof -
    from exec-to-exec-restrict [OF exec] obtain t' where
      exec-res:  $\Gamma|_M \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t'$  and
      t'-notStuck:  $t' \neq \text{Stuck} \longrightarrow t' = \text{Abrupt } s'$ 
    by blast
  show ?thesis
  proof (cases t' = Stuck)

```

```

    case True
    with c1-notStuck exec-res have False
    by (auto simp add: final-notin-def)
    thus ?thesis ..
next
case False
with t'-notStuck have t': t'=Abrupt s' by simp
with not-Stuck exec-res
have  $\Gamma \vdash_M \langle c2, \text{Normal } s' \rangle \Rightarrow \notin \{ \text{Stuck} \}$ 
by (auto dest: notStuck-CatchD2)
with exec-res t' Catch.hyps
show ?thesis
by auto
qed
qed
qed
qed
end

```

8 Small-Step Semantics and Infinite Computations

theory *SmallStep* **imports** *Termination*
begin

The *redex* of a statement is the substatement, which is actually altered by the next step in the small-step semantics.

primrec *redex*:: $(s, p, f)com \Rightarrow (s, p, f)com$
where
redex *Skip* = *Skip* |
redex (*Basic* *f*) = (*Basic* *f*) |
redex (*Spec* *r*) = (*Spec* *r*) |
redex (*Seq* *c*₁ *c*₂) = *redex* *c*₁ |
redex (*Cond* *b* *c*₁ *c*₂) = (*Cond* *b* *c*₁ *c*₂) |
redex (*While* *b* *c*) = (*While* *b* *c*) |
redex (*Call* *p*) = (*Call* *p*) |
redex (*DynCom* *d*) = (*DynCom* *d*) |
redex (*Guard* *f* *b* *c*) = (*Guard* *f* *b* *c*) |
redex (*Throw*) = *Throw* |
redex (*Catch* *c*₁ *c*₂) = *redex* *c*₁

8.1 Small-Step Computation: $\Gamma \vdash (c, s) \rightarrow (c', s')$

type-synonym $(s, p, f) \text{ config} = (s, p, f)com \times (s, f) \text{ xstate}$
inductive *step*:: $[(s, p, f) \text{ body}, (s, p, f) \text{ config}, (s, p, f) \text{ config}] \Rightarrow bool$
 $(\vdash (- \rightarrow / -) [81, 81, 81] 100)$
for $\Gamma :: (s, p, f) \text{ body}$
where

$Basic: \Gamma \vdash (Basic\ f, Normal\ s) \rightarrow (Skip, Normal\ (f\ s))$
 $| Spec: (s, t) \in r \implies \Gamma \vdash (Spec\ r, Normal\ s) \rightarrow (Skip, Normal\ t)$
 $| SpecStuck: \forall t. (s, t) \notin r \implies \Gamma \vdash (Spec\ r, Normal\ s) \rightarrow (Skip, Stuck)$
 $| Guard: s \in g \implies \Gamma \vdash (Guard\ f\ g\ c, Normal\ s) \rightarrow (c, Normal\ s)$
 $| GuardFault: s \notin g \implies \Gamma \vdash (Guard\ f\ g\ c, Normal\ s) \rightarrow (Skip, Fault\ f)$
 $| Seq: \Gamma \vdash (c_1, s) \rightarrow (c_1', s')$
 \implies
 $\Gamma \vdash (Seq\ c_1\ c_2, s) \rightarrow (Seq\ c_1'\ c_2, s')$
 $| SeqSkip: \Gamma \vdash (Seq\ Skip\ c_2, s) \rightarrow (c_2, s)$
 $| SeqThrow: \Gamma \vdash (Seq\ Throw\ c_2, Normal\ s) \rightarrow (Throw, Normal\ s)$
 $| CondTrue: s \in b \implies \Gamma \vdash (Cond\ b\ c_1\ c_2, Normal\ s) \rightarrow (c_1, Normal\ s)$
 $| CondFalse: s \notin b \implies \Gamma \vdash (Cond\ b\ c_1\ c_2, Normal\ s) \rightarrow (c_2, Normal\ s)$
 $| WhileTrue: \llbracket s \in b \rrbracket$
 \implies
 $\Gamma \vdash (While\ b\ c, Normal\ s) \rightarrow (Seq\ c\ (While\ b\ c), Normal\ s)$
 $| WhileFalse: \llbracket s \notin b \rrbracket$
 \implies
 $\Gamma \vdash (While\ b\ c, Normal\ s) \rightarrow (Skip, Normal\ s)$
 $| Call: \Gamma\ p = Some\ bdy \implies$
 $\Gamma \vdash (Call\ p, Normal\ s) \rightarrow (bdy, Normal\ s)$
 $| CallUndefined: \Gamma\ p = None \implies$
 $\Gamma \vdash (Call\ p, Normal\ s) \rightarrow (Skip, Stuck)$
 $| DynCom: \Gamma \vdash (DynCom\ c, Normal\ s) \rightarrow (c\ s, Normal\ s)$
 $| Catch: \llbracket \Gamma \vdash (c_1, s) \rightarrow (c_1', s') \rrbracket$
 \implies
 $\Gamma \vdash (Catch\ c_1\ c_2, s) \rightarrow (Catch\ c_1'\ c_2, s')$
 $| CatchThrow: \Gamma \vdash (Catch\ Throw\ c_2, Normal\ s) \rightarrow (c_2, Normal\ s)$
 $| CatchSkip: \Gamma \vdash (Catch\ Skip\ c_2, s) \rightarrow (Skip, s)$
 $| FaultProp: \llbracket c \neq Skip; redex\ c = c \rrbracket \implies \Gamma \vdash (c, Fault\ f) \rightarrow (Skip, Fault\ f)$
 $| StuckProp: \llbracket c \neq Skip; redex\ c = c \rrbracket \implies \Gamma \vdash (c, Stuck) \rightarrow (Skip, Stuck)$
 $| AbruptProp: \llbracket c \neq Skip; redex\ c = c \rrbracket \implies \Gamma \vdash (c, Abrupt\ f) \rightarrow (Skip, Abrupt\ f)$

lemmas *step-induct* = *step.induct* [of - (c, s) (c', s'), *split-format* (*complete*), *case-names*
Basic Spec SpecStuck Guard GuardFault Seq SeqSkip SeqThrow CondTrue CondFalse

*WhileTrue WhileFalse Call CallUndefined DynCom Catch CatchThrow CatchSkip
FaultProp StuckProp AbruptProp, induct set]*

inductive-cases *step-elim-cases* [*cases set*]:

$\Gamma \vdash (\text{Skip}, s) \rightarrow u$
 $\Gamma \vdash (\text{Guard } f \ g \ c, s) \rightarrow u$
 $\Gamma \vdash (\text{Basic } f, s) \rightarrow u$
 $\Gamma \vdash (\text{Spec } r, s) \rightarrow u$
 $\Gamma \vdash (\text{Seq } c1 \ c2, s) \rightarrow u$
 $\Gamma \vdash (\text{Cond } b \ c1 \ c2, s) \rightarrow u$
 $\Gamma \vdash (\text{While } b \ c, s) \rightarrow u$
 $\Gamma \vdash (\text{Call } p, s) \rightarrow u$
 $\Gamma \vdash (\text{DynCom } c, s) \rightarrow u$
 $\Gamma \vdash (\text{Throw}, s) \rightarrow u$
 $\Gamma \vdash (\text{Catch } c1 \ c2, s) \rightarrow u$

inductive-cases *step-Normal-elim-cases* [*cases set*]:

$\Gamma \vdash (\text{Skip}, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{Guard } f \ g \ c, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{Basic } f, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{Spec } r, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{Seq } c1 \ c2, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{Cond } b \ c1 \ c2, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{While } b \ c, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{Call } p, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{DynCom } c, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{Throw}, \text{Normal } s) \rightarrow u$
 $\Gamma \vdash (\text{Catch } c1 \ c2, \text{Normal } s) \rightarrow u$

The final configuration is either of the form $(\text{Skip}, -)$ for normal termination, or $(\text{Throw}, \text{Normal } s)$ in case the program was started in a *Normal* state and terminated abruptly. The *Abrupt* state is not used to model abrupt termination, in contrast to the big-step semantics. Only if the program starts in an *Abrupt* states it ends in the same *Abrupt* state.

definition *final*:: $(s, p, f) \text{ config} \Rightarrow \text{bool}$ **where**

final cfg = $(\text{fst } \text{cfg} = \text{Skip} \vee (\text{fst } \text{cfg} = \text{Throw} \wedge (\exists s. \text{snd } \text{cfg} = \text{Normal } s)))$

abbreviation

step-rtrancl :: $[(s, p, f) \text{ body}, (s, p, f) \text{ config}, (s, p, f) \text{ config}] \Rightarrow \text{bool}$
 $(\vdash (- \rightarrow^* / -) [81, 81, 81] 100)$

where

$\Gamma \vdash \text{cf0} \rightarrow^* \text{cf1} \equiv (\text{CONST step } \Gamma)^{**} \text{cf0 cf1}$

abbreviation

step-trancl :: $[(s, p, f) \text{ body}, (s, p, f) \text{ config}, (s, p, f) \text{ config}] \Rightarrow \text{bool}$
 $(\vdash (- \rightarrow^+ / -) [81, 81, 81] 100)$

where

$\Gamma \vdash \text{cf0} \rightarrow^+ \text{cf1} \equiv (\text{CONST step } \Gamma)^{++} \text{cf0 cf1}$

8.2 Structural Properties of Small Step Computations

lemma *redex-not-Seq*: $\text{redex } c = \text{Seq } c1 \ c2 \implies P$
apply (*induct* *c*)
apply *auto*
done

lemma *no-step-final*:
assumes *step*: $\Gamma \vdash (c, s) \rightarrow (c', s')$
shows *final* $(c, s) \implies P$
using *step*
by *induct* (*auto simp add: final-def*)

lemma *no-step-final'*:
assumes *step*: $\Gamma \vdash \text{cfg} \rightarrow \text{cfg}'$
shows *final* $\text{cfg} \implies P$
using *step*
by (*cases* *cfg*, *cases* *cfg'*) (*auto intro: no-step-final*)

lemma *step-Abrupt*:
assumes *step*: $\Gamma \vdash (c, s) \rightarrow (c', s')$
shows $\bigwedge x. s = \text{Abrupt } x \implies s' = \text{Abrupt } x$
using *step*
by (*induct*) *auto*

lemma *step-Fault*:
assumes *step*: $\Gamma \vdash (c, s) \rightarrow (c', s')$
shows $\bigwedge f. s = \text{Fault } f \implies s' = \text{Fault } f$
using *step*
by (*induct*) *auto*

lemma *step-Stuck*:
assumes *step*: $\Gamma \vdash (c, s) \rightarrow (c', s')$
shows $\bigwedge f. s = \text{Stuck} \implies s' = \text{Stuck}$
using *step*
by (*induct*) *auto*

lemma *SeqSteps*:
assumes *steps*: $\Gamma \vdash \text{cfg}_1 \rightarrow^* \text{cfg}_2$
shows $\bigwedge c_1 \ s \ c_1' \ s'. \llbracket \text{cfg}_1 = (c_1, s); \text{cfg}_2 = (c_1', s') \rrbracket \implies \Gamma \vdash (\text{Seq } c_1 \ c_2, s) \rightarrow^* (\text{Seq } c_1' \ c_2, s')$
using *steps*
proof (*induct rule: converse-rtranclp-induct [case-names Refl Trans]*)
case *Refl*
thus *?case*
by *simp*
next
case (*Trans* *cfg₁* *cfg''*)
have *step*: $\Gamma \vdash \text{cfg}_1 \rightarrow \text{cfg}''$ **by** *fact*
have *steps*: $\Gamma \vdash \text{cfg}'' \rightarrow^* \text{cfg}_2$ **by** *fact*

have $cfg_1: cfg_1 = (c_1, s)$ **and** $cfg_2: cfg_2 = (c_1', s')$ **by** *fact+*
obtain $c_1'' s''$ **where** $cfg'': cfg''=(c_1'', s'')$
 by (*cases* cfg'') *auto*
from *step* $cfg_1\ cfg''$
have $\Gamma \vdash (c_1, s) \rightarrow (c_1'', s'')$
 by *simp*
hence $\Gamma \vdash (Seq\ c_1\ c_2, s) \rightarrow (Seq\ c_1''\ c_2, s'')$
 by (*rule* *step.Seq*)
also from *Trans.hyps* (β) [*OF* $cfg''\ cfg_2$]
have $\Gamma \vdash (Seq\ c_1''\ c_2, s'') \rightarrow^* (Seq\ c_1'\ c_2, s')$.
finally show *?case* .
qed

lemma *CatchSteps*:
 assumes *steps*: $\Gamma \vdash cfg_1 \rightarrow^* cfg_2$
 shows $\bigwedge c_1\ s\ c_1'\ s'. \llbracket cfg_1 = (c_1, s); cfg_2 = (c_1', s') \rrbracket$
 $\implies \Gamma \vdash (Catch\ c_1\ c_2, s) \rightarrow^* (Catch\ c_1'\ c_2, s')$
using *steps*
proof (*induct rule: converse-rtranclp-induct* [*case-names* *Refl Trans*])
 case *Refl*
 thus *?case*
 by *simp*
next
 case (*Trans* $cfg_1\ cfg''$)
 have *step*: $\Gamma \vdash cfg_1 \rightarrow cfg''$ **by** *fact*
 have *steps*: $\Gamma \vdash cfg'' \rightarrow^* cfg_2$ **by** *fact*
 have $cfg_1: cfg_1 = (c_1, s)$ **and** $cfg_2: cfg_2 = (c_1', s')$ **by** *fact+*
 obtain $c_1'' s''$ **where** $cfg'': cfg''=(c_1'', s'')$
 by (*cases* cfg'') *auto*
 from *step* $cfg_1\ cfg''$
 have $s: \Gamma \vdash (c_1, s) \rightarrow (c_1'', s'')$
 by *simp*
 hence $\Gamma \vdash (Catch\ c_1\ c_2, s) \rightarrow (Catch\ c_1''\ c_2, s'')$
 by (*rule* *step.Catch*)
 also from *Trans.hyps* (β) [*OF* $cfg''\ cfg_2$]
 have $\Gamma \vdash (Catch\ c_1''\ c_2, s'') \rightarrow^* (Catch\ c_1'\ c_2, s')$.
 finally show *?case* .
qed

lemma *steps-Fault*: $\Gamma \vdash (c, Fault\ f) \rightarrow^* (Skip, Fault\ f)$
proof (*induct c*)
 case (*Seq* $c_1\ c_2$)
 have *steps-c1*: $\Gamma \vdash (c_1, Fault\ f) \rightarrow^* (Skip, Fault\ f)$ **by** *fact*
 have *steps-c2*: $\Gamma \vdash (c_2, Fault\ f) \rightarrow^* (Skip, Fault\ f)$ **by** *fact*
 from *SeqSteps* [*OF* *steps-c1 refl refl*]
 have $\Gamma \vdash (Seq\ c_1\ c_2, Fault\ f) \rightarrow^* (Seq\ Skip\ c_2, Fault\ f)$.
 also
 have $\Gamma \vdash (Seq\ Skip\ c_2, Fault\ f) \rightarrow (c_2, Fault\ f)$ **by** (*rule* *SeqSkip*)

also note *steps-c₂*
finally show *?case* **by** *simp*
next
case (*Catch c₁ c₂*)
have *steps-c₁*: $\Gamma \vdash (c_1, \text{Fault } f) \rightarrow^* (\text{Skip}, \text{Fault } f)$ **by** *fact*
from *CatchSteps* [*OF steps-c₁ refl refl*]
have $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{Fault } f) \rightarrow^* (\text{Catch } \text{Skip } c_2, \text{Fault } f)$.
also
have $\Gamma \vdash (\text{Catch } \text{Skip } c_2, \text{Fault } f) \rightarrow (\text{Skip}, \text{Fault } f)$ **by** (*rule CatchSkip*)
finally show *?case* **by** *simp*
qed (*fastforce intro: step.intros*)+

lemma *steps-Stuck*: $\Gamma \vdash (c, \text{Stuck}) \rightarrow^* (\text{Skip}, \text{Stuck})$
proof (*induct c*)
case (*Seq c₁ c₂*)
have *steps-c₁*: $\Gamma \vdash (c_1, \text{Stuck}) \rightarrow^* (\text{Skip}, \text{Stuck})$ **by** *fact*
have *steps-c₂*: $\Gamma \vdash (c_2, \text{Stuck}) \rightarrow^* (\text{Skip}, \text{Stuck})$ **by** *fact*
from *SeqSteps* [*OF steps-c₁ refl refl*]
have $\Gamma \vdash (\text{Seq } c_1 \ c_2, \text{Stuck}) \rightarrow^* (\text{Seq } \text{Skip } c_2, \text{Stuck})$.
also
have $\Gamma \vdash (\text{Seq } \text{Skip } c_2, \text{Stuck}) \rightarrow (c_2, \text{Stuck})$ **by** (*rule SeqSkip*)
also note *steps-c₂*
finally show *?case* **by** *simp*
next
case (*Catch c₁ c₂*)
have *steps-c₁*: $\Gamma \vdash (c_1, \text{Stuck}) \rightarrow^* (\text{Skip}, \text{Stuck})$ **by** *fact*
from *CatchSteps* [*OF steps-c₁ refl refl*]
have $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{Stuck}) \rightarrow^* (\text{Catch } \text{Skip } c_2, \text{Stuck})$.
also
have $\Gamma \vdash (\text{Catch } \text{Skip } c_2, \text{Stuck}) \rightarrow (\text{Skip}, \text{Stuck})$ **by** (*rule CatchSkip*)
finally show *?case* **by** *simp*
qed (*fastforce intro: step.intros*)+

lemma *steps-Abrupt*: $\Gamma \vdash (c, \text{Abrupt } s) \rightarrow^* (\text{Skip}, \text{Abrupt } s)$
proof (*induct c*)
case (*Seq c₁ c₂*)
have *steps-c₁*: $\Gamma \vdash (c_1, \text{Abrupt } s) \rightarrow^* (\text{Skip}, \text{Abrupt } s)$ **by** *fact*
have *steps-c₂*: $\Gamma \vdash (c_2, \text{Abrupt } s) \rightarrow^* (\text{Skip}, \text{Abrupt } s)$ **by** *fact*
from *SeqSteps* [*OF steps-c₁ refl refl*]
have $\Gamma \vdash (\text{Seq } c_1 \ c_2, \text{Abrupt } s) \rightarrow^* (\text{Seq } \text{Skip } c_2, \text{Abrupt } s)$.
also
have $\Gamma \vdash (\text{Seq } \text{Skip } c_2, \text{Abrupt } s) \rightarrow (c_2, \text{Abrupt } s)$ **by** (*rule SeqSkip*)
also note *steps-c₂*
finally show *?case* **by** *simp*
next
case (*Catch c₁ c₂*)
have *steps-c₁*: $\Gamma \vdash (c_1, \text{Abrupt } s) \rightarrow^* (\text{Skip}, \text{Abrupt } s)$ **by** *fact*
from *CatchSteps* [*OF steps-c₁ refl refl*]
have $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{Abrupt } s) \rightarrow^* (\text{Catch } \text{Skip } c_2, \text{Abrupt } s)$.

```

also
have  $\Gamma \vdash (\text{Catch Skip } c_2, \text{Abrupt } s) \rightarrow (\text{Skip}, \text{Abrupt } s)$  by (rule CatchSkip)
finally show ?case by simp
qed (fastforce intro: step.intros)+

```

```

lemma step-Fault-prop:
  assumes step:  $\Gamma \vdash (c, s) \rightarrow (c', s')$ 
  shows  $\bigwedge f. s = \text{Fault } f \implies s' = \text{Fault } f$ 
using step
by (induct) auto

```

```

lemma step-Abrupt-prop:
  assumes step:  $\Gamma \vdash (c, s) \rightarrow (c', s')$ 
  shows  $\bigwedge x. s = \text{Abrupt } x \implies s' = \text{Abrupt } x$ 
using step
by (induct) auto

```

```

lemma step-Stuck-prop:
  assumes step:  $\Gamma \vdash (c, s) \rightarrow (c', s')$ 
  shows  $s = \text{Stuck} \implies s' = \text{Stuck}$ 
using step
by (induct) auto

```

```

lemma steps-Fault-prop:
  assumes step:  $\Gamma \vdash (c, s) \rightarrow^* (c', s')$ 
  shows  $s = \text{Fault } f \implies s' = \text{Fault } f$ 
using step
proof (induct rule: converse-rtranclp-induct2 [case-names Refl Trans])
  case Refl thus ?case by simp
next
  case (Trans c s c'' s'')
  thus ?case
    by (auto intro: step-Fault-prop)
qed

```

```

lemma steps-Abrupt-prop:
  assumes step:  $\Gamma \vdash (c, s) \rightarrow^* (c', s')$ 
  shows  $s = \text{Abrupt } t \implies s' = \text{Abrupt } t$ 
using step
proof (induct rule: converse-rtranclp-induct2 [case-names Refl Trans])
  case Refl thus ?case by simp
next
  case (Trans c s c'' s'')
  thus ?case
    by (auto intro: step-Abrupt-prop)
qed

```

```

lemma steps-Stuck-prop:
  assumes step:  $\Gamma \vdash (c, s) \rightarrow^* (c', s')$ 

```

```

  shows  $s = \text{Stuck} \implies s' = \text{Stuck}$ 
using step
proof (induct rule: converse-rtranclp-induct2 [case-names Repl Trans])
  case Repl thus ?case by simp
next
  case (Trans c s c'' s'')
  thus ?case
    by (auto intro: step-Stuck-prop)
qed

```

8.3 Equivalence between Small-Step and Big-Step Semantics

```

theorem exec-impl-steps:
  assumes exec:  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  shows  $\exists c' t'. \Gamma \vdash \langle c, s \rangle \rightarrow^* (c', t') \wedge$ 
    (case t of
      Abrupt x  $\Rightarrow$  if  $s = t$  then  $c' = \text{Skip} \wedge t' = t$  else  $c' = \text{Throw} \wedge t' = \text{Normal}$ 
      x
      | -  $\Rightarrow c' = \text{Skip} \wedge t' = t$ )
using exec
proof (induct)
  case Skip thus ?case
    by simp
next
  case Guard thus ?case by (blast intro: step.Guard rtranclp-trans)
next
  case GuardFault thus ?case by (fastforce intro: step.GuardFault rtranclp-trans)
next
  case FaultProp show ?case by (fastforce intro: steps-Fault)
next
  case Basic thus ?case by (fastforce intro: step.Basic rtranclp-trans)
next
  case Spec thus ?case by (fastforce intro: step.Spec rtranclp-trans)
next
  case SpecStuck thus ?case by (fastforce intro: step.SpecStuck rtranclp-trans)
next
  case (Seq c1 s s' c2 t)
  have exec-c1:  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow s'$  by fact
  have exec-c2:  $\Gamma \vdash \langle c_2, s' \rangle \Rightarrow t$  by fact
  show ?case
  proof (cases  $\exists x. s' = \text{Abrupt } x$ )
    case False
    from False Seq.hyps (2)
    have  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle \rightarrow^* (\text{Skip}, s')$ 
      by (cases s') auto
    hence seq-c1:  $\Gamma \vdash (\text{Seq } c_1 c_2, \text{Normal } s) \rightarrow^* (\text{Seq Skip } c_2, s')$ 
      by (rule SeqSteps) auto
    from Seq.hyps (4) obtain c' t' where
      steps-c2:  $\Gamma \vdash \langle c_2, s' \rangle \rightarrow^* (c', t')$  and

```

```

    t: (case t of
      Abrupt x  $\Rightarrow$  if  $s' = t$  then  $c' = \text{Skip} \wedge t' = t$ 
      else  $c' = \text{Throw} \wedge t' = \text{Normal } x$ 
      | -  $\Rightarrow c' = \text{Skip} \wedge t' = t$ )
    by auto
  note seq-c1
  also have  $\Gamma \vdash (\text{Seq Skip } c_2, s') \rightarrow (c_2, s')$  by (rule step.SeqSkip)
  also note steps-c2
  finally have  $\Gamma \vdash (\text{Seq } c_1 \ c_2, \text{Normal } s) \rightarrow^* (c', t')$ .
  with t False show ?thesis
    by (cases t) auto
next
case True
then obtain x where  $s' = \text{Abrupt } x$ 
  by blast
from s' Seq.hyps (2)
have  $\Gamma \vdash (c_1, \text{Normal } s) \rightarrow^* (\text{Throw}, \text{Normal } x)$ 
  by auto
hence seq-c1:  $\Gamma \vdash (\text{Seq } c_1 \ c_2, \text{Normal } s) \rightarrow^* (\text{Seq Throw } c_2, \text{Normal } x)$ 
  by (rule SeqSteps) auto
also have  $\Gamma \vdash (\text{Seq Throw } c_2, \text{Normal } x) \rightarrow (\text{Throw}, \text{Normal } x)$ 
  by (rule SeqThrow)
finally have  $\Gamma \vdash (\text{Seq } c_1 \ c_2, \text{Normal } s) \rightarrow^* (\text{Throw}, \text{Normal } x)$ .
moreover
from exec-c2 s' have  $t = \text{Abrupt } x$ 
  by (auto intro: Abrupt-end)
ultimately show ?thesis
  by auto
qed
next
case CondTrue thus ?case by (blast intro: step.CondTrue rtranclp-trans)
next
case CondFalse thus ?case by (blast intro: step.CondFalse rtranclp-trans)
next
case (WhileTrue s b c s' t)
have exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s'$  by fact
have exec-w:  $\Gamma \vdash \langle \text{While } b \ c, s' \rangle \Rightarrow t$  by fact
have b:  $s \in b$  by fact
hence step:  $\Gamma \vdash (\text{While } b \ c, \text{Normal } s) \rightarrow (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s)$ 
  by (rule step.WhileTrue)
show ?case
proof (cases  $\exists x. s' = \text{Abrupt } x$ )
case False
from False WhileTrue.hyps (3)
have  $\Gamma \vdash (c, \text{Normal } s) \rightarrow^* (\text{Skip}, s')$ 
  by (cases s') auto
hence seq-c:  $\Gamma \vdash (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s) \rightarrow^* (\text{Seq Skip } (\text{While } b \ c), s')$ 
  by (rule SeqSteps) auto
from WhileTrue.hyps (5) obtain c' t' where

```

```

    steps-c2:  $\Gamma \vdash (\text{While } b \ c, \ s') \rightarrow^* (c', \ t')$  and
    t: (case t of
      Abrupt x  $\Rightarrow$  if  $s' = t$  then  $c' = \text{Skip} \wedge t' = t$ 
      else  $c' = \text{Throw} \wedge t' = \text{Normal } x$ 
      | -  $\Rightarrow c' = \text{Skip} \wedge t' = t$ )
    by auto
  note step also note seq-c
  also have  $\Gamma \vdash (\text{Seq Skip } (\text{While } b \ c), \ s') \rightarrow (\text{While } b \ c, \ s')$ 
    by (rule step.SeqSkip)
  also note steps-c2
  finally have  $\Gamma \vdash (\text{While } b \ c, \ \text{Normal } s) \rightarrow^* (c', \ t')$ .
  with t False show ?thesis
    by (cases t) auto
next
case True
then obtain x where  $s': s' = \text{Abrupt } x$ 
  by blast
note step
also
from  $s' \ \text{WhileTrue.hyps } (3)$ 
have  $\Gamma \vdash (c, \ \text{Normal } s) \rightarrow^* (\text{Throw}, \ \text{Normal } x)$ 
  by auto
hence
seq-c:  $\Gamma \vdash (\text{Seq } c \ (\text{While } b \ c), \ \text{Normal } s) \rightarrow^* (\text{Seq Throw } (\text{While } b \ c), \ \text{Normal } x)$ 
  by (rule SeqSteps) auto
also have  $\Gamma \vdash (\text{Seq Throw } (\text{While } b \ c), \ \text{Normal } x) \rightarrow (\text{Throw}, \ \text{Normal } x)$ 
  by (rule SeqThrow)
finally have  $\Gamma \vdash (\text{While } b \ c, \ \text{Normal } s) \rightarrow^* (\text{Throw}, \ \text{Normal } x)$ .
moreover
from exec-w s' have  $t = \text{Abrupt } x$ 
  by (auto intro: Abrupt-end)
ultimately show ?thesis
  by auto
qed
next
case WhileFalse thus ?case by (fastforce intro: step.WhileFalse rtrancl-trans)
next
case Call thus ?case by (blast intro: step.Call rtranclp-trans)
next
case CallUndefined thus ?case by (fastforce intro: step.CallUndefined rtranclp-trans)
next
case StuckProp thus ?case by (fastforce intro: steps-Stuck)
next
case DynCom thus ?case by (blast intro: step.DynCom rtranclp-trans)
next
case Throw thus ?case by simp
next
case AbruptProp thus ?case by (fastforce intro: steps-Abrupt)

```



```

next
  case (CatchMatch c1 s s' c2 t)
  from CatchMatch.hyps (2)
  have  $\Gamma \vdash (c_1, \text{Normal } s) \rightarrow^* (\text{Throw}, \text{Normal } s')$ 
  by simp
  hence  $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{Normal } s) \rightarrow^* (\text{Catch } \text{Throw } c_2, \text{Normal } s')$ 
  by (rule CatchSteps) auto
  also have  $\Gamma \vdash (\text{Catch } \text{Throw } c_2, \text{Normal } s') \rightarrow (c_2, \text{Normal } s')$ 
  by (rule step.CatchThrow)
  also
  from CatchMatch.hyps (4) obtain c' t' where
    steps-c2:  $\Gamma \vdash (c_2, \text{Normal } s') \rightarrow^* (c', t')$  and
    t: (case t of
      Abrupt x  $\Rightarrow$  if  $\text{Normal } s' = t$  then  $c' = \text{Skip} \wedge t' = t$ 
      else  $c' = \text{Throw} \wedge t' = \text{Normal } x$ 
      | -  $\Rightarrow c' = \text{Skip} \wedge t' = t$ )
  by auto
  note steps-c2
  finally show ?case
  using t
  by (auto split: xstate.splits)
next
  case (CatchMiss c1 s t c2)
  have t:  $\neg \text{isAbr } t$  by fact
  with CatchMiss.hyps (2)
  have  $\Gamma \vdash (c_1, \text{Normal } s) \rightarrow^* (\text{Skip}, t)$ 
  by (cases t) auto
  hence  $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{Normal } s) \rightarrow^* (\text{Catch } \text{Skip } c_2, t)$ 
  by (rule CatchSteps) auto
  also
  have  $\Gamma \vdash (\text{Catch } \text{Skip } c_2, t) \rightarrow (\text{Skip}, t)$ 
  by (rule step.CatchSkip)
  finally show ?case
  using t
  by (fastforce split: xstate.splits)
qed

corollary exec-impl-steps-Normal:
  assumes exec:  $\Gamma \vdash \langle c, s \rangle \Rightarrow \text{Normal } t$ 
  shows  $\Gamma \vdash (c, s) \rightarrow^* (\text{Skip}, \text{Normal } t)$ 
  using exec-impl-steps [OF exec]
  by auto

corollary exec-impl-steps-Normal-Abrupt:
  assumes exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t$ 
  shows  $\Gamma \vdash (c, \text{Normal } s) \rightarrow^* (\text{Throw}, \text{Normal } t)$ 
  using exec-impl-steps [OF exec]
  by auto

```

corollary *exec-impl-steps-Abrupt-Abrupt*:
assumes *exec*: $\Gamma \vdash \langle c, \text{Abrupt } t \rangle \Rightarrow \text{Abrupt } t$
shows $\Gamma \vdash (c, \text{Abrupt } t) \rightarrow^* (\text{Skip}, \text{Abrupt } t)$
using *exec-impl-steps* [*OF exec*]
by *auto*

corollary *exec-impl-steps-Fault*:
assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \text{Fault } f$
shows $\Gamma \vdash (c, s) \rightarrow^* (\text{Skip}, \text{Fault } f)$
using *exec-impl-steps* [*OF exec*]
by *auto*

corollary *exec-impl-steps-Stuck*:
assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow \text{Stuck}$
shows $\Gamma \vdash (c, s) \rightarrow^* (\text{Skip}, \text{Stuck})$
using *exec-impl-steps* [*OF exec*]
by *auto*

lemma *step-Abrupt-end*:
assumes *step*: $\Gamma \vdash (c_1, s) \rightarrow (c_1', s')$
shows $s' = \text{Abrupt } x \implies s = \text{Abrupt } x$
using *step*
by *induct auto*

lemma *step-Stuck-end*:
assumes *step*: $\Gamma \vdash (c_1, s) \rightarrow (c_1', s')$
shows $s' = \text{Stuck} \implies$
 $s = \text{Stuck} \vee$
 $(\exists r \ x. \text{redex } c_1 = \text{Spec } r \wedge s = \text{Normal } x \wedge (\forall t. (x, t) \notin r)) \vee$
 $(\exists p \ x. \text{redex } c_1 = \text{Call } p \wedge s = \text{Normal } x \wedge \Gamma \ p = \text{None})$
using *step*
by *induct auto*

lemma *step-Fault-end*:
assumes *step*: $\Gamma \vdash (c_1, s) \rightarrow (c_1', s')$
shows $s' = \text{Fault } f \implies$
 $s = \text{Fault } f \vee$
 $(\exists g \ c \ x. \text{redex } c_1 = \text{Guard } f \ g \ c \wedge s = \text{Normal } x \wedge x \notin g)$
using *step*
by *induct auto*

lemma *exec-redex-Stuck*:
 $\Gamma \vdash (\text{redex } c, s) \Rightarrow \text{Stuck} \implies \Gamma \vdash \langle c, s \rangle \Rightarrow \text{Stuck}$
proof (*induct c*)
case *Seq*
thus ?*case*
by (*cases s*) (*auto intro: exec.intros elim:exec-elim-cases*)
next

```

    case Catch
    thus ?case
      by (cases s) (auto intro: exec.intros elim:exec-elim-cases)
qed simp-all

lemma exec-redex-Fault:
 $\Gamma \vdash \langle \text{redex } c, s \rangle \Rightarrow \text{Fault } f \implies \Gamma \vdash \langle c, s \rangle \Rightarrow \text{Fault } f$ 
proof (induct c)
  case Seq
  thus ?case
    by (cases s) (auto intro: exec.intros elim:exec-elim-cases)
next
  case Catch
  thus ?case
    by (cases s) (auto intro: exec.intros elim:exec-elim-cases)
qed simp-all

lemma step-extend:
  assumes step:  $\Gamma \vdash (c, s) \rightarrow (c', s')$ 
  shows  $\bigwedge t. \Gamma \vdash \langle c', s' \rangle \Rightarrow t \implies \Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
using step
proof (induct)
  case Basic thus ?case
    by (fastforce intro: exec.intros elim: exec-Normal-elim-cases)
next
  case Spec thus ?case
    by (fastforce intro: exec.intros elim: exec-Normal-elim-cases)
next
  case SpecStuck thus ?case
    by (fastforce intro: exec.intros elim: exec-Normal-elim-cases)
next
  case Guard thus ?case
    by (fastforce intro: exec.intros elim: exec-Normal-elim-cases)
next
  case GuardFault thus ?case
    by (fastforce intro: exec.intros elim: exec-Normal-elim-cases)
next
  case (Seq c1 s c1' s' c2)
  have step:  $\Gamma \vdash (c_1, s) \rightarrow (c_1', s')$  by fact
  have exec':  $\Gamma \vdash \langle \text{Seq } c_1' c_2, s' \rangle \Rightarrow t$  by fact
  show ?case
  proof (cases s)
    case (Normal x)
    note s-Normal = this
    show ?thesis
    proof (cases s')
      case (Normal x')
      from exec' [simplified Normal] obtain s'' where
        exec-c1':  $\Gamma \vdash \langle c_1', \text{Normal } x' \rangle \Rightarrow s''$  and

```

```

    exec-c2:  $\Gamma \vdash \langle c_2, s' \rangle \Rightarrow t$ 
  by cases
from Seq.hyps (2) Normal exec-c1' s-Normal
have  $\Gamma \vdash \langle c_1, \text{Normal } x \rangle \Rightarrow s''$ 
  by simp
from exec.Seq [OF this exec-c2] s-Normal
show ?thesis by simp
next
case (Abrupt x')
with exec' have  $t = \text{Abrupt } x'$ 
  by (auto intro: Abrupt-end)
moreover
from step Abrupt
have  $s = \text{Abrupt } x'$ 
  by (auto intro: step-Abrupt-end)
ultimately
show ?thesis
  by (auto intro: exec.intros)
next
case (Fault f)
from step-Fault-end [OF step this] s-Normal
obtain  $g \ c$  where
  redex-c1:  $\text{redex } c_1 = \text{Guard } f \ g \ c$  and
  fail:  $x \notin g$ 
  by auto
hence  $\Gamma \vdash \langle \text{redex } c_1, \text{Normal } x \rangle \Rightarrow \text{Fault } f$ 
  by (auto intro: exec.intros)
from exec-redex-Fault [OF this]
have  $\Gamma \vdash \langle c_1, \text{Normal } x \rangle \Rightarrow \text{Fault } f$ .
moreover from Fault exec' have  $t = \text{Fault } f$ 
  by (auto intro: Fault-end)
ultimately
show ?thesis
  using s-Normal
  by (auto intro: exec.intros)
next
case Stuck
from step-Stuck-end [OF step this] s-Normal
have  $(\exists r. \text{redex } c_1 = \text{Spec } r \wedge (\forall t. (x, t) \notin r)) \vee$ 
   $(\exists p. \text{redex } c_1 = \text{Call } p \wedge \Gamma \ p = \text{None})$ 
  by auto
moreover
{
  fix  $r$ 
  assume  $\text{redex } c_1 = \text{Spec } r$  and  $(\forall t. (x, t) \notin r)$ 
  hence  $\Gamma \vdash \langle \text{redex } c_1, \text{Normal } x \rangle \Rightarrow \text{Stuck}$ 
    by (auto intro: exec.intros)
  from exec-redex-Stuck [OF this]
  have  $\Gamma \vdash \langle c_1, \text{Normal } x \rangle \Rightarrow \text{Stuck}$ .
}

```

```

    moreover from Stuck exec' have  $t = \text{Stuck}$ 
      by (auto intro: Stuck-end)
    ultimately
    have ?thesis
      using s-Normal
      by (auto intro: exec.intros)
  }
  moreover
  {
    fix  $p$ 
    assume  $\text{redex } c_1 = \text{Call } p$  and  $\Gamma \ p = \text{None}$ 
    hence  $\Gamma \vdash \langle \text{redex } c_1, \text{Normal } x \rangle \Rightarrow \text{Stuck}$ 
      by (auto intro: exec.intros)
    from exec-redex-Stuck [OF this]
    have  $\Gamma \vdash \langle c_1, \text{Normal } x \rangle \Rightarrow \text{Stuck}$ .
    moreover from Stuck exec' have  $t = \text{Stuck}$ 
      by (auto intro: Stuck-end)
    ultimately
    have ?thesis
      using s-Normal
      by (auto intro: exec.intros)
  }
  ultimately show ?thesis
    by auto
qed
next
case (Abrupt x)
from step-Abrupt [OF step this]
have  $s' = \text{Abrupt } x$ .
with exec'
have  $t = \text{Abrupt } x$ 
  by (auto intro: Abrupt-end)
with Abrupt
show ?thesis
  by (auto intro: exec.intros)
next
case (Fault f)
from step-Fault [OF step this]
have  $s' = \text{Fault } f$ .
with exec'
have  $t = \text{Fault } f$ 
  by (auto intro: Fault-end)
with Fault
show ?thesis
  by (auto intro: exec.intros)
next
case Stuck
from step-Stuck [OF step this]
have  $s' = \text{Stuck}$ .

```

```

    with  $exec'$ 
    have  $t = Stuck$ 
      by (auto intro:  $Stuck\text{-}end$ )
    with  $Stuck$ 
    show  $?thesis$ 
      by (auto intro:  $exec.intros$ )
  qed
next
  case ( $SeqSkip\ c_2\ s\ t$ ) thus  $?case$ 
    by (cases  $s$ ) (fastforce intro:  $exec.intros\ elim: exec\text{-}elim\text{-}cases$ ) +
next
  case ( $SeqThrow\ c_2\ s\ t$ ) thus  $?case$ 
    by (fastforce intro:  $exec.intros\ elim: exec\text{-}elim\text{-}cases$ ) +
next
  case  $CondTrue$  thus  $?case$ 
    by (fastforce intro:  $exec.intros\ elim: exec\text{-}Normal\text{-}elim\text{-}cases$ )
next
  case  $CondFalse$  thus  $?case$ 
    by (fastforce intro:  $exec.intros\ elim: exec\text{-}Normal\text{-}elim\text{-}cases$ )
next
  case  $WhileTrue$  thus  $?case$ 
    by (fastforce intro:  $exec.intros\ elim: exec\text{-}Normal\text{-}elim\text{-}cases$ )
next
  case  $WhileFalse$  thus  $?case$ 
    by (fastforce intro:  $exec.intros\ elim: exec\text{-}Normal\text{-}elim\text{-}cases$ )
next
  case  $Call$  thus  $?case$ 
    by (fastforce intro:  $exec.intros\ elim: exec\text{-}Normal\text{-}elim\text{-}cases$ )
next
  case  $CallUndefined$  thus  $?case$ 
    by (fastforce intro:  $exec.intros\ elim: exec\text{-}Normal\text{-}elim\text{-}cases$ )
next
  case  $DynCom$  thus  $?case$ 
    by (fastforce intro:  $exec.intros\ elim: exec\text{-}Normal\text{-}elim\text{-}cases$ )
next
  case ( $Catch\ c_1\ s\ c_1'\ s'\ c_2\ t$ )
  have  $step: \Gamma \vdash (c_1, s) \rightarrow (c_1', s')$  by  $fact$ 
  have  $exec': \Gamma \vdash \langle Catch\ c_1'\ c_2, s' \rangle \Rightarrow t$  by  $fact$ 
  show  $?case$ 
  proof (cases  $s$ )
    case ( $Normal\ x$ )
    note  $s\text{-}Normal = this$ 
    show  $?thesis$ 
    proof (cases  $s'$ )
      case ( $Normal\ x'$ )
      from  $exec'$  [simplified  $Normal$ ]
      show  $?thesis$ 
    proof (cases)
      fix  $s''$ 

```

```

assume  $exec-c_1': \Gamma \vdash \langle c_1', Normal\ x \rangle \Rightarrow Abrupt\ s''$ 
assume  $exec-c_2: \Gamma \vdash \langle c_2, Normal\ s' \rangle \Rightarrow t$ 
from  $Catch.hyps\ (2)\ Normal\ exec-c_1'\ s-Normal$ 
have  $\Gamma \vdash \langle c_1, Normal\ x \rangle \Rightarrow Abrupt\ s''$ 
  by simp
from  $exec.CatchMatch\ [OF\ this\ exec-c_2]\ s-Normal$ 
show ?thesis by simp
next
  assume  $exec-c_1': \Gamma \vdash \langle c_1', Normal\ x \rangle \Rightarrow t$ 
  assume  $t: \neg isAbr\ t$ 
  from  $Catch.hyps\ (2)\ Normal\ exec-c_1'\ s-Normal$ 
  have  $\Gamma \vdash \langle c_1, Normal\ x \rangle \Rightarrow t$ 
    by simp
  from  $exec.CatchMiss\ [OF\ this\ t]\ s-Normal$ 
  show ?thesis by simp
qed
next
  case  $(Abrupt\ x')$ 
  with  $exec'$  have  $t = Abrupt\ x'$ 
    by  $(auto\ intro: Abrupt-end)$ 
  moreover
  from step Abrupt
  have  $s = Abrupt\ x'$ 
    by  $(auto\ intro: step-Abrupt-end)$ 
  ultimately
  show ?thesis
    by  $(auto\ intro: exec.intros)$ 
next
  case  $(Fault\ f)$ 
  from step-Fault-end  $[OF\ step\ this]\ s-Normal$ 
  obtain  $g\ c$  where
     $redex-c_1: redex\ c_1 = Guard\ f\ g\ c$  and
     $fail: x \notin g$ 
    by auto
  hence  $\Gamma \vdash \langle redex\ c_1, Normal\ x \rangle \Rightarrow Fault\ f$ 
    by  $(auto\ intro: exec.intros)$ 
  from  $exec-redex-Fault\ [OF\ this]$ 
  have  $\Gamma \vdash \langle c_1, Normal\ x \rangle \Rightarrow Fault\ f$ .
  moreover from  $Fault\ exec'$  have  $t = Fault\ f$ 
    by  $(auto\ intro: Fault-end)$ 
  ultimately
  show ?thesis
    using s-Normal
    by  $(auto\ intro: exec.intros)$ 
next
  case Stuck
  from step-Stuck-end  $[OF\ step\ this]\ s-Normal$ 
  have  $(\exists r. redex\ c_1 = Spec\ r \wedge (\forall t. (x, t) \notin r)) \vee$ 
     $(\exists p. redex\ c_1 = Call\ p \wedge \Gamma\ p = None)$ 

```

```

    by auto
  moreover
  {
    fix r
    assume  $\text{redex } c_1 = \text{Spec } r$  and  $(\forall t. (x, t) \notin r)$ 
    hence  $\Gamma \vdash \langle \text{redex } c_1, \text{Normal } x \rangle \Rightarrow \text{Stuck}$ 
      by (auto intro: exec.intros)
    from exec-redex-Stuck [OF this]
    have  $\Gamma \vdash \langle c_1, \text{Normal } x \rangle \Rightarrow \text{Stuck}$ .
    moreover from Stuck exec' have  $t = \text{Stuck}$ 
      by (auto intro: Stuck-end)
    ultimately
    have ?thesis
      using s-Normal
      by (auto intro: exec.intros)
  }
  moreover
  {
    fix p
    assume  $\text{redex } c_1 = \text{Call } p$  and  $\Gamma \vdash p = \text{None}$ 
    hence  $\Gamma \vdash \langle \text{redex } c_1, \text{Normal } x \rangle \Rightarrow \text{Stuck}$ 
      by (auto intro: exec.intros)
    from exec-redex-Stuck [OF this]
    have  $\Gamma \vdash \langle c_1, \text{Normal } x \rangle \Rightarrow \text{Stuck}$ .
    moreover from Stuck exec' have  $t = \text{Stuck}$ 
      by (auto intro: Stuck-end)
    ultimately
    have ?thesis
      using s-Normal
      by (auto intro: exec.intros)
  }
  ultimately show ?thesis
    by auto
qed
next
case (Abrupt x)
from step-Abrupt [OF step this]
have  $s' = \text{Abrupt } x$ .
with exec'
have  $t = \text{Abrupt } x$ 
  by (auto intro: Abrupt-end)
with Abrupt
show ?thesis
  by (auto intro: exec.intros)
next
case (Fault f)
from step-Fault [OF step this]
have  $s' = \text{Fault } f$ .
with exec'

```



```

    have  $t = \text{Fault } f$ 
      by (auto intro: Fault-end)
    with Fault
    show ?thesis
      by (auto intro: exec.intros)
  next
    case Stuck
    from step-Stuck [OF step this]
    have  $s' = \text{Stuck}.$ 
    with exec'
    have  $t = \text{Stuck}$ 
      by (auto intro: Stuck-end)
    with Stuck
    show ?thesis
      by (auto intro: exec.intros)
  qed
next
  case CatchThrow thus ?case
    by (fastforce intro: exec.intros elim: exec-Normal-elim-cases)
next
  case CatchSkip thus ?case
    by (fastforce intro: exec.intros elim: exec-elim-cases)
next
  case FaultProp thus ?case
    by (fastforce intro: exec.intros elim: exec-elim-cases)
next
  case StuckProp thus ?case
    by (fastforce intro: exec.intros elim: exec-elim-cases)
next
  case AbruptProp thus ?case
    by (fastforce intro: exec.intros elim: exec-elim-cases)
qed

theorem steps-Skip-impl-exec:
  assumes  $\text{steps}: \Gamma \vdash (c, s) \rightarrow^* (\text{Skip}, t)$ 
  shows  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
using steps
proof (induct rule: converse-rtrancpl-induct2 [case-names Refl Trans])
  case Refl thus ?case
    by (cases  $t$ ) (auto intro: exec.intros)
next
  case (Trans  $c \ s \ c' \ s'$ )
  have  $\Gamma \vdash (c, s) \rightarrow (c', s')$  and  $\Gamma \vdash \langle c', s' \rangle \Rightarrow t$  by fact+
  thus ?case
    by (rule step-extend)
qed

theorem steps-Throw-impl-exec:
  assumes  $\text{steps}: \Gamma \vdash (c, s) \rightarrow^* (\text{Throw}, \text{Normal } t)$ 

```

```

  shows  $\Gamma \vdash \langle c, s \rangle \Rightarrow \text{Abrupt } t$ 
using steps
proof (induct rule: converse-rtranclp-induct2 [case-names Refl Trans])
  case Refl thus ?case
    by (auto intro: exec.intros)
next
  case (Trans c s c' s')
  have  $\Gamma \vdash (c, s) \rightarrow (c', s')$  and  $\Gamma \vdash \langle c', s' \rangle \Rightarrow \text{Abrupt } t$  by fact+
  thus ?case
    by (rule step-extend)
qed

```

8.4 Infinite Computations: $\Gamma \vdash (c, s) \rightarrow \dots(\infty)$

definition $\text{inf}:: ('s, 'p, 'f) \text{ body} \Rightarrow ('s, 'p, 'f) \text{ config} \Rightarrow \text{bool}$
 $(\vdash - \rightarrow \dots'(\infty') [60, 80] 100) \text{ where}$
 $\Gamma \vdash \text{cfg} \rightarrow \dots(\infty) \equiv (\exists f. f (0::\text{nat}) = \text{cfg} \wedge (\forall i. \Gamma \vdash f i \rightarrow f (i+1)))$

lemma $\text{not-infI}: \llbracket \bigwedge f. \llbracket f \ 0 = \text{cfg}; \bigwedge i. \Gamma \vdash f i \rightarrow f (\text{Suc } i) \rrbracket \implies \text{False} \rrbracket$
 $\implies \neg \Gamma \vdash \text{cfg} \rightarrow \dots(\infty)$
 by (auto simp add: inf-def)

8.5 Equivalence between Termination and the Absence of Infinite Computations

lemma $\text{step-preserves-termination}$:
 assumes $\text{step}: \Gamma \vdash (c, s) \rightarrow (c', s')$
 shows $\Gamma \vdash c \downarrow s \implies \Gamma \vdash c' \downarrow s'$

using step

proof (induct)

case Basic thus ?case by (fastforce intro: terminates.intros)

next

case Spec thus ?case by (fastforce intro: terminates.intros)

next

case SpecStuck thus ?case by (fastforce intro: terminates.intros)

next

case Guard thus ?case
 by (fastforce intro: terminates.intros elim: terminates-Normal-elim-cases)

next

case GuardFault thus ?case by (fastforce intro: terminates.intros)

next

case (Seq c₁ s c₁' s' c₂) thus ?case
 apply (cases s)
 apply (cases s')
 apply (fastforce intro: terminates.intros step-extend
 elim: terminates-Normal-elim-cases)
 apply (fastforce intro: terminates.intros dest: step-Abrupt-prop
 step-Fault-prop step-Stuck-prop)+
 done

```

next
  case (SeqSkip c2 s)
  thus ?case
    apply (cases s)
    apply (fastforce intro: terminates.intros exec.intros
      elim: terminates-Normal-elim-cases )+
    done
next
  case (SeqThrow c2 s)
  thus ?case
    by (fastforce intro: terminates.intros exec.intros
      elim: terminates-Normal-elim-cases )
next
  case CondTrue
  thus ?case
    by (fastforce intro: terminates.intros exec.intros
      elim: terminates-Normal-elim-cases )
next
  case CondFalse
  thus ?case
    by (fastforce intro: terminates.intros
      elim: terminates-Normal-elim-cases )
next
  case WhileTrue
  thus ?case
    by (fastforce intro: terminates.intros
      elim: terminates-Normal-elim-cases )
next
  case WhileFalse
  thus ?case
    by (fastforce intro: terminates.intros
      elim: terminates-Normal-elim-cases )
next
  case Call
  thus ?case
    by (fastforce intro: terminates.intros
      elim: terminates-Normal-elim-cases )
next
  case CallUndefined
  thus ?case
    by (fastforce intro: terminates.intros
      elim: terminates-Normal-elim-cases )
next
  case DynCom
  thus ?case
    by (fastforce intro: terminates.intros
      elim: terminates-Normal-elim-cases )
next
  case (Catch c1 s c1' s' c2) thus ?case

```

```

    apply (cases s)
    apply (cases s')
    apply (fastforce intro: terminates.intros step-extend
              elim: terminates-Normal-elim-cases)
    apply (fastforce intro: terminates.intros dest: step-Abrupt-prop
              step-Fault-prop step-Stuck-prop)+
    done
next
case CatchThrow
thus ?case
by (fastforce intro: terminates.intros exec.intros
      elim: terminates-Normal-elim-cases )
next
case (CatchSkip c2 s)
thus ?case
by (cases s) (fastforce intro: terminates.intros)+
next
case FaultProp thus ?case by (fastforce intro: terminates.intros)
next
case StuckProp thus ?case by (fastforce intro: terminates.intros)
next
case AbruptProp thus ?case by (fastforce intro: terminates.intros)
qed

lemma steps-preserves-termination:
  assumes steps:  $\Gamma \vdash (c, s) \rightarrow^* (c', s')$ 
  shows  $\Gamma \vdash c \downarrow s \implies \Gamma \vdash c' \downarrow s'$ 
using steps
proof (induct rule: rtrancpl-induct2 [consumes 1, case-names Refl Trans])
  case Refl thus ?case .
next
case Trans
thus ?case
by (blast dest: step-preserves-termination)
qed

ML ⟨
  ML-Thms.bind-thm (trancpl-induct2, Split-Rule.split-rule @ {context}
    (Rule-Insts.read-instantiate @ {context}
      [(((a, 0), Position.none), (aa, ab)), (((b, 0), Position.none), (ba, bb))]) []
      @ {thm trancpl-induct}));
  ⟩

lemma steps-preserves-termination':
  assumes steps:  $\Gamma \vdash (c, s) \rightarrow^+ (c', s')$ 
  shows  $\Gamma \vdash c \downarrow s \implies \Gamma \vdash c' \downarrow s'$ 
using steps
proof (induct rule: trancpl-induct2 [consumes 1, case-names Step Trans])
  case Step thus ?case by (blast intro: step-preserves-termination)

```

```

next
  case Trans
  thus ?case
    by (blast dest: step-preserves-termination)
qed

```

```

definition head-com:: ('s,'p,'f) com  $\Rightarrow$  ('s,'p,'f) com
where
head-com c =
  (case c of
    Seq c1 c2  $\Rightarrow$  c1
  | Catch c1 c2  $\Rightarrow$  c1
  | -  $\Rightarrow$  c)

```

```

definition head:: ('s,'p,'f) config  $\Rightarrow$  ('s,'p,'f) config
where head cfg = (head-com (fst cfg), snd cfg)

```

```

lemma le-Suc-cases:  $\llbracket \bigwedge i. \llbracket i < k \rrbracket \Longrightarrow P\ i; P\ k \rrbracket \Longrightarrow \forall i < (Suc\ k). P\ i$ 
apply clarify
apply (case-tac i=k)
apply auto
done

```

```

lemma redex-Seq-False:  $\bigwedge c'\ c''. (redex\ c = Seq\ c''\ c') = False$ 
by (induct c) auto

```

```

lemma redex-Catch-False:  $\bigwedge c'\ c''. (redex\ c = Catch\ c''\ c') = False$ 
by (induct c) auto

```

```

lemma infinite-computation-extract-head-Seq:
  assumes inf-comp:  $\forall i::nat. \Gamma \vdash f\ i \rightarrow f\ (i+1)$ 
  assumes f-0:  $f\ 0 = (Seq\ c_1\ c_2, s)$ 
  assumes not-fin:  $\forall i < k. \neg final\ (head\ (f\ i))$ 
  shows  $\forall i < k. (\exists c'\ s'. f\ (i + 1) = (Seq\ c'\ c_2, s')) \wedge$ 
     $\Gamma \vdash head\ (f\ i) \rightarrow head\ (f\ (i+1))$ 
    (is  $\forall i < k. ?P\ i$ )
using not-fin
proof (induct k)
  case 0
  show ?case by simp
next
  case (Suc k)
  have not-fin-Suc:
     $\forall i < Suc\ k. \neg final\ (head\ (f\ i))$  by fact
  from this[rule-format] have not-fin-k:

```

```

     $\forall i < k. \neg \text{final } (\text{head } (f\ i))$ 
    apply clarify
    apply (subgoal-tac  $i < \text{Suc } k$ )
    apply blast
    apply simp
    done

from Suc.hyps [OF this]
have hyp:  $\forall i < k. (\exists c' s'. f\ (i + 1) = (\text{Seq } c' c_2, s')) \wedge$ 
            $\Gamma \vdash \text{head } (f\ i) \rightarrow \text{head } (f\ (i + 1))$ .
show ?case
proof (rule le-Suc-cases)
  fix i
  assume  $i < k$ 
  then show ?P i
    by (rule hyp [rule-format])
next
show ?P k
proof -
  from hyp [rule-format, of  $k - 1$ ] f-0
  obtain  $c' fs' L' s'$  where  $f-k: f\ k = (\text{Seq } c' c_2, s')$ 
    by (cases k) auto
  from inf-comp [rule-format, of k] f-k
  have  $\Gamma \vdash (\text{Seq } c' c_2, s') \rightarrow f\ (k + 1)$ 
    by simp
  moreover
  from not-fin-Suc [rule-format, of k] f-k
  have  $\neg \text{final } (c', s')$ 
    by (simp add: final-def head-def head-com-def)
  ultimately
  obtain  $c'' s''$  where
     $\Gamma \vdash (c', s') \rightarrow (c'', s'')$  and
     $f\ (k + 1) = (\text{Seq } c'' c_2, s'')$ 
    by cases (auto simp add: redex-Seq-False final-def)
  with f-k
  show ?thesis
    by (simp add: head-def head-com-def)
qed
qed
qed

lemma infinite-computation-extract-head-Catch:
  assumes inf-comp:  $\forall i::\text{nat}. \Gamma \vdash f\ i \rightarrow f\ (i+1)$ 
  assumes f-0:  $f\ 0 = (\text{Catch } c_1 c_2, s)$ 
  assumes not-fin:  $\forall i < k. \neg \text{final } (\text{head } (f\ i))$ 
  shows  $\forall i < k. (\exists c' s'. f\ (i + 1) = (\text{Catch } c' c_2, s')) \wedge$ 
            $\Gamma \vdash \text{head } (f\ i) \rightarrow \text{head } (f\ (i+1))$ 
    (is  $\forall i < k. ?P\ i$ )
using not-fin

```

```

proof (induct k)
  case 0
  show ?case by simp
next
  case (Suc k)
  have not-fin-Suc:
     $\forall i < \text{Suc } k. \neg \text{final } (\text{head } (f \ i))$  by fact
  from this[rule-format] have not-fin-k:
     $\forall i < k. \neg \text{final } (\text{head } (f \ i))$ 
  apply clarify
  apply (subgoal-tac i < Suc k)
  apply blast
  apply simp
  done

from Suc.hyps [OF this]
have hyp:  $\forall i < k. (\exists c' s'. f \ (i + 1) = (\text{Catch } c' \ c_2, \ s')) \wedge$ 
   $\Gamma \vdash \text{head } (f \ i) \rightarrow \text{head } (f \ (i + 1)).$ 
show ?case
proof (rule le-Suc-cases)
  fix i
  assume  $i < k$ 
  then show ?P i
    by (rule hyp [rule-format])
next
  show ?P k
  proof –
    from hyp [rule-format, of k - 1] f-0
    obtain c' fs' L' s' where f-k:  $f \ k = (\text{Catch } c' \ c_2, \ s')$ 
    by (cases k) auto
    from inf-comp [rule-format, of k] f-k
    have  $\Gamma \vdash (\text{Catch } c' \ c_2, \ s') \rightarrow f \ (k + 1)$ 
    by simp
    moreover
    from not-fin-Suc [rule-format, of k] f-k
    have  $\neg \text{final } (c', s')$ 
    by (simp add: final-def head-def head-com-def)
    ultimately
    obtain  $c'' s''$  where
       $\Gamma \vdash (c', s') \rightarrow (c'', s'')$  and
       $f \ (k + 1) = (\text{Catch } c'' \ c_2, \ s'')$ 
    by (cases (auto simp add: redex-Catch-False final-def) +
    with f-k
    show ?thesis
      by (simp add: head-def head-com-def)
    qed
  qed
qed

```

lemma *no-inf-Throw*: $\neg \Gamma \vdash (\text{Throw}, s) \rightarrow \dots(\infty)$

proof

assume $\Gamma \vdash (\text{Throw}, s) \rightarrow \dots(\infty)$

then obtain *f* where

step [rule-format]: $\forall i :: \text{nat}. \Gamma \vdash f\ i \rightarrow f\ (i+1)$ **and**

f-0: $f\ 0 = (\text{Throw}, s)$

by (*auto simp add: inf-def*)

from *step* [of 0, simplified *f-0*] *step* [of 1]

show *False*

by cases (*auto elim: step-elim-cases*)

qed

lemma *split-inf-Seq*:

assumes *inf-comp*: $\Gamma \vdash (\text{Seq}\ c_1\ c_2, s) \rightarrow \dots(\infty)$

shows $\Gamma \vdash (c_1, s) \rightarrow \dots(\infty) \vee$

$(\exists s'. \Gamma \vdash (c_1, s) \rightarrow^* (\text{Skip}, s') \wedge \Gamma \vdash (c_2, s') \rightarrow \dots(\infty))$

proof –

from *inf-comp* **obtain *f* where**

step: $\forall i :: \text{nat}. \Gamma \vdash f\ i \rightarrow f\ (i+1)$ **and**

f-0: $f\ 0 = (\text{Seq}\ c_1\ c_2, s)$

by (*auto simp add: inf-def*)

from *f-0* **have** *head-f-0*: $\text{head}\ (f\ 0) = (c_1, s)$

by (*simp add: head-def head-com-def*)

show *?thesis*

proof (*cases* $\exists i. \text{final}\ (\text{head}\ (f\ i))$)

case *True*

define *k* **where** $k = (\text{LEAST}\ i. \text{final}\ (\text{head}\ (f\ i)))$

have *less-k*: $\forall i < k. \neg \text{final}\ (\text{head}\ (f\ i))$

apply (*intro allI impI*)

apply (*unfold k-def*)

apply (*drule not-less-Least*)

apply *auto*

done

from *infinite-computation-extract-head-Seq* [OF *step f-0 this*]

obtain *step-head*: $\forall i < k. \Gamma \vdash \text{head}\ (f\ i) \rightarrow \text{head}\ (f\ (i + 1))$ **and**

conf: $\forall i < k. (\exists c'\ s'. f\ (i + 1) = (\text{Seq}\ c'\ c_2, s'))$

by *blast*

from *True*

have *final-f-k*: $\text{final}\ (\text{head}\ (f\ k))$

apply –

apply (*erule exE*)

apply (*drule LeastI*)

apply (*simp add: k-def*)

done

moreover

from *f-0 conf* [rule-format, of $k - 1$]

obtain $c'\ s'$ **where** *f-k*: $f\ k = (\text{Seq}\ c'\ c_2, s')$

by (*cases k*) *auto*

moreover


```

from step-head have steps-head:  $\Gamma \vdash \text{head } (f \ 0) \rightarrow^* \text{head } (f \ k)$ 
proof (induct k)
  case 0 thus ?case by simp
next
  case (Suc m)
  have step:  $\forall i < \text{Suc } m. \Gamma \vdash \text{head } (f \ i) \rightarrow \text{head } (f \ (i + 1))$  by fact
  hence  $\forall i < m. \Gamma \vdash \text{head } (f \ i) \rightarrow \text{head } (f \ (i + 1))$ 
    by auto
  hence  $\Gamma \vdash \text{head } (f \ 0) \rightarrow^* \text{head } (f \ m)$ 
    by (rule Suc.hyps)
  also from step [rule-format, of m]
  have  $\Gamma \vdash \text{head } (f \ m) \rightarrow \text{head } (f \ (m + 1))$  by simp
  finally show ?case by simp
qed
{
  assume f-k:  $f \ k = (\text{Seq Skip } c_2, s')$ 
  with steps-head
  have  $\Gamma \vdash (c_1, s) \rightarrow^* (\text{Skip}, s')$ 
    using head-f-0
    by (simp add: head-def head-com-def)
  moreover
  from step [rule-format, of k] f-k
  obtain  $\Gamma \vdash (\text{Seq Skip } c_2, s') \rightarrow (c_2, s')$  and
    f-Suc-k:  $f \ (k + 1) = (c_2, s')$ 
    by (fastforce elim: step.cases intro: step.intros)
  define g where  $g \ i = f \ (i + (k + 1))$  for i
  from f-Suc-k
  have g-0:  $g \ 0 = (c_2, s')$ 
    by (simp add: g-def)
  from step
  have  $\forall i. \Gamma \vdash g \ i \rightarrow g \ (i + 1)$ 
    by (simp add: g-def)
  with g-0 have  $\Gamma \vdash (c_2, s') \rightarrow \dots(\infty)$ 
    by (auto simp add: inf-def)
  ultimately
  have ?thesis
    by auto
}
moreover
{
  fix x
  assume s':  $s' = \text{Normal } x$  and f-k:  $f \ k = (\text{Seq Throw } c_2, s')$ 
  from step [rule-format, of k] f-k s'
  obtain  $\Gamma \vdash (\text{Seq Throw } c_2, s') \rightarrow (\text{Throw}, s')$  and
    f-Suc-k:  $f \ (k + 1) = (\text{Throw}, s')$ 
    by (fastforce elim: step-elim-cases intro: step.intros)
  define g where  $g \ i = f \ (i + (k + 1))$  for i
  from f-Suc-k
  have g-0:  $g \ 0 = (\text{Throw}, s')$ 

```

```

    by (simp add: g-def)
  from step
  have  $\forall i. \Gamma \vdash g\ i \rightarrow g\ (i + 1)$ 
    by (simp add: g-def)
  with g-0 have  $\Gamma \vdash (Throw, s') \rightarrow \dots(\infty)$ 
    by (auto simp add: inf-def)
  with no-inf-Throw
  have ?thesis
    by auto
}
ultimately
show ?thesis
  by (auto simp add: final-def head-def head-com-def)
next
case False
then have not-fin:  $\forall i. \neg final\ (f\ i)$ 
  by blast
have  $\forall i. \Gamma \vdash head\ (f\ i) \rightarrow head\ (f\ (i + 1))$ 
proof
  fix k
  from not-fin
  have  $\forall i < (Suc\ k). \neg final\ (head\ (f\ i))$ 
    by simp

  from infinite-computation-extract-head-Seq [OF step f-0 this ]
  show  $\Gamma \vdash head\ (f\ k) \rightarrow head\ (f\ (k + 1))$  by simp
qed
with head-f-0 have  $\Gamma \vdash (c_1, s) \rightarrow \dots(\infty)$ 
  by (auto simp add: inf-def)
thus ?thesis
  by simp
qed
qed

```

lemma split-inf-Catch:

```

  assumes inf-comp:  $\Gamma \vdash (Catch\ c_1\ c_2, s) \rightarrow \dots(\infty)$ 
  shows  $\Gamma \vdash (c_1, s) \rightarrow \dots(\infty) \vee$ 
     $(\exists s'. \Gamma \vdash (c_1, s) \rightarrow^* (Throw, Normal\ s') \wedge \Gamma \vdash (c_2, Normal\ s') \rightarrow \dots(\infty))$ 
proof -
  from inf-comp obtain f where
    step:  $\forall i::nat. \Gamma \vdash f\ i \rightarrow f\ (i+1)$  and
    f-0:  $f\ 0 = (Catch\ c_1\ c_2, s)$ 
  by (auto simp add: inf-def)
  from f-0 have head-f-0:  $head\ (f\ 0) = (c_1, s)$ 
    by (simp add: head-def head-com-def)
  show ?thesis
  proof (cases  $\exists i. final\ (head\ (f\ i))$ )
    case True
    define k where  $k = (LEAST\ i. final\ (head\ (f\ i)))$ 

```

```

have less-k:  $\forall i < k. \neg \text{final} (\text{head} (f i))$ 
  apply (intro allI impI)
  apply (unfold k-def)
  apply (drule not-less-Least)
  apply auto
done
from infinite-computation-extract-head-Catch [OF step f-0 this]
obtain step-head:  $\forall i < k. \Gamma \vdash \text{head} (f i) \rightarrow \text{head} (f (i + 1))$  and
  conf:  $\forall i < k. (\exists c' s'. f (i + 1) = (\text{Catch } c' c_2, s'))$ 
  by blast
from True
have final-f-k:  $\text{final} (\text{head} (f k))$ 
  apply -
  apply (erule exE)
  apply (drule LeastI)
  apply (simp add: k-def)
done
moreover
from f-0 conf [rule-format, of k - 1]
obtain c' s' where f-k:  $f k = (\text{Catch } c' c_2, s')$ 
  by (cases k) auto
moreover
from step-head have steps-head:  $\Gamma \vdash \text{head} (f 0) \rightarrow^* \text{head} (f k)$ 
proof (induct k)
  case 0 thus ?case by simp
next
case (Suc m)
have step:  $\forall i < \text{Suc } m. \Gamma \vdash \text{head} (f i) \rightarrow \text{head} (f (i + 1))$  by fact
hence  $\forall i < m. \Gamma \vdash \text{head} (f i) \rightarrow \text{head} (f (i + 1))$ 
  by auto
hence  $\Gamma \vdash \text{head} (f 0) \rightarrow^* \text{head} (f m)$ 
  by (rule Suc.hyps)
also from step [rule-format, of m]
have  $\Gamma \vdash \text{head} (f m) \rightarrow \text{head} (f (m + 1))$  by simp
finally show ?case by simp
qed
{
  assume f-k:  $f k = (\text{Catch } \text{Skip } c_2, s')$ 
  with steps-head
  have  $\Gamma \vdash (c_1, s) \rightarrow^* (\text{Skip}, s')$ 
    using head-f-0
    by (simp add: head-def head-com-def)
  moreover
  from step [rule-format, of k] f-k
  obtain  $\Gamma \vdash (\text{Catch } \text{Skip } c_2, s') \rightarrow (\text{Skip}, s')$  and
    f-Suc-k:  $f (k + 1) = (\text{Skip}, s')$ 
    by (fastforce elim: step.cases intro: step.intros)
  from step [rule-format, of k+1, simplified f-Suc-k]
  have ?thesis

```

```

    by (rule no-step-final') (auto simp add: final-def)
  }
moreover
{
  fix x
  assume s': s'=Normal x and f-k: f k = (Catch Throw c2, s')
  with steps-head
  have  $\Gamma \vdash (c_1, s) \rightarrow^* (Throw, s')$ 
    using head-f-0
    by (simp add: head-def head-com-def)
  moreover
  from step [rule-format, of k] f-k s'
  obtain  $\Gamma \vdash (Catch Throw c_2, s') \rightarrow (c_2, s')$  and
    f-Suc-k:  $f (k + 1) = (c_2, s')$ 
    by (fastforce elim: step-elim-cases intro: step.intros)
  define g where  $g i = f (i + (k + 1))$  for i
  from f-Suc-k
  have g-0:  $g 0 = (c_2, s')$ 
    by (simp add: g-def)
  from step
  have  $\forall i. \Gamma \vdash g i \rightarrow g (i + 1)$ 
    by (simp add: g-def)
  with g-0 have  $\Gamma \vdash (c_2, s') \rightarrow \dots(\infty)$ 
    by (auto simp add: inf-def)
  ultimately
  have ?thesis
    using s'
    by auto
}
ultimately
show ?thesis
  by (auto simp add: final-def head-def head-com-def)
next
case False
then have not-fin:  $\forall i. \neg \text{final } (f i)$ 
  by blast
have  $\forall i. \Gamma \vdash \text{head } (f i) \rightarrow \text{head } (f (i + 1))$ 
proof
  fix k
  from not-fin
  have  $\forall i < (Suc k). \neg \text{final } (f i)$ 
    by simp

  from infinite-computation-extract-head-Catch [OF step f-0 this ]
  show  $\Gamma \vdash \text{head } (f k) \rightarrow \text{head } (f (k + 1))$  by simp
qed
with head-f-0 have  $\Gamma \vdash (c_1, s) \rightarrow \dots(\infty)$ 
  by (auto simp add: inf-def)
thus ?thesis

```

```

      by simp
    qed
  qed

lemma Skip-no-step:  $\Gamma \vdash (Skip, s) \rightarrow cfg \implies P$ 
  apply (erule no-step-final')
  apply (simp add: final-def)
  done

lemma not-inf-Stuck:  $\neg \Gamma \vdash (c, Stuck) \rightarrow \dots(\infty)$ 
proof (induct c)
  case Skip
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash i \rightarrow f (Suc\ i)$ 
    assume f-0:  $f\ 0 = (Skip, Stuck)$ 
    from f-step [of 0] f-0
    show False
    by (auto elim: Skip-no-step)
  qed
next
  case (Basic g)
  thus ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash i \rightarrow f (Suc\ i)$ 
    assume f-0:  $f\ 0 = (Basic\ g, Stuck)$ 
    from f-step [of 0] f-0 f-step [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (Spec r)
  thus ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash i \rightarrow f (Suc\ i)$ 
    assume f-0:  $f\ 0 = (Spec\ r, Stuck)$ 
    from f-step [of 0] f-0 f-step [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (Seq c1 c2)
  show ?case
  proof
    assume  $\Gamma \vdash (Seq\ c_1\ c_2, Stuck) \rightarrow \dots(\infty)$ 
    from split-inf-Seg [OF this] Seq.hyps

```

```

    show False
    by (auto dest: steps-Stuck-prop)
qed
next
case (Cond b c1 c2)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Cond\ b\ c_1\ c_2, Stuck)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (While b c)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (While\ b\ c, Stuck)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Call p)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Call\ p, Stuck)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (DynCom d)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (DynCom\ d, Stuck)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Guard m g c)

```

```

show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash i \rightarrow f \text{ (Suc } i)$ 
  assume f-0:  $f \ 0 = (\text{Guard } m \ g \ c, \text{ Stuck})$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
    by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case Throw
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash i \rightarrow f \text{ (Suc } i)$ 
  assume f-0:  $f \ 0 = (\text{Throw}, \text{ Stuck})$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
    by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Catch c1 c2)
show ?case
proof
  assume  $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{ Stuck}) \rightarrow \dots(\infty)$ 
  from split-inf-Catch [OF this] Catch.hyps
  show False
    by (auto dest: steps-Stuck-prop)
qed
qed

lemma not-inf-Fault:  $\neg \Gamma \vdash (c, \text{Fault } x) \rightarrow \dots(\infty)$ 
proof (induct c)
  case Skip
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash i \rightarrow f \text{ (Suc } i)$ 
    assume f-0:  $f \ 0 = (\text{Skip}, \text{Fault } x)$ 
    from f-step [of 0] f-0
    show False
      by (auto elim: Skip-no-step)
  qed
next
case (Basic g)
thus ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash i \rightarrow f \text{ (Suc } i)$ 

```

```

    assume f-0: f 0 = (Basic g, Fault x)
    from f-step [of 0] f-0 f-step [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (Spec r)
  thus ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
    assume f-0: f 0 = (Spec r, Fault x)
    from f-step [of 0] f-0 f-step [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (Seq c1 c2)
  show ?case
  proof
    assume  $\Gamma \vdash (Seq c_1 c_2, Fault x) \rightarrow \dots(\infty)$ 
    from split-inf-Seq [OF this] Seq.hyps
    show False
    by (auto dest: steps-Fault-prop)
  qed
next
  case (Cond b c1 c2)
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
    assume f-0: f 0 = (Cond b c1 c2, Fault x)
    from f-step [of 0] f-0 f-step [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (While b c)
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
    assume f-0: f 0 = (While b c, Fault x)
    from f-step [of 0] f-0 f-step [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (Call p)

```



```

show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Call\ p, Fault\ x)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (DynCom d)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (DynCom\ d, Fault\ x)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Guard m g c)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Guard\ m\ g\ c, Fault\ x)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case Throw
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Throw, Fault\ x)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Catch c1 c2)
show ?case
proof
  assume  $\Gamma \vdash (Catch\ c_1\ c_2, Fault\ x) \rightarrow \dots(\infty)$ 
  from split-inf-Catch [OF this] Catch.hyps
  show False

```

```

      by (auto dest: steps-Fault-prop)
    qed
  qed

lemma not-inf-Abrupt:  $\neg \Gamma \vdash (c, \text{Abrupt } s) \rightarrow \dots(\infty)$ 
proof (induct c)
  case Skip
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$ 
    assume f-0:  $f \ 0 = (\text{Skip}, \text{Abrupt } s)$ 
    from f-step [of 0] f-0
    show False
    by (auto elim: Skip-no-step)
  qed
next
  case (Basic g)
  thus ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$ 
    assume f-0:  $f \ 0 = (\text{Basic } g, \text{Abrupt } s)$ 
    from f-step [of 0] f-0 f-step [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (Spec r)
  thus ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$ 
    assume f-0:  $f \ 0 = (\text{Spec } r, \text{Abrupt } s)$ 
    from f-step [of 0] f-0 f-step [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (Seq c1 c2)
  show ?case
  proof
    assume  $\Gamma \vdash (\text{Seq } c_1 \ c_2, \text{Abrupt } s) \rightarrow \dots(\infty)$ 
    from split-inf-Seq [OF this] Seq.hyps
    show False
    by (auto dest: steps-Abrupt-prop)
  qed
next
  case (Cond b c1 c2)

```

```

show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Cond\ b\ c_1\ c_2, Abrupt\ s)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (While b c)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (While\ b\ c, Abrupt\ s)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Call p)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Call\ p, Abrupt\ s)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (DynCom d)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (DynCom\ d, Abrupt\ s)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Guard m g c)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Guard\ m\ g\ c, Abrupt\ s)$ 

```

```

    from  $f\text{-step}$  [of 0]  $f\text{-0}$   $f\text{-step}$  [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case Throw
  show ?case
  proof (rule not-infI)
    fix  $f$ 
    assume  $f\text{-step}$ :  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (\text{Suc } i)$ 
    assume  $f\text{-0}$ :  $f\ 0 = (\text{Throw}, \text{Abrupt } s)$ 
    from  $f\text{-step}$  [of 0]  $f\text{-0}$   $f\text{-step}$  [of 1]
    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
  case (Catch  $c_1\ c_2$ )
  show ?case
  proof
    assume  $\Gamma \vdash (\text{Catch } c_1\ c_2, \text{Abrupt } s) \rightarrow \dots(\infty)$ 
    from split-inf-Catch [OF this] Catch.hyps
    show False
    by (auto dest: steps-Abrupt-prop)
  qed
qed

```

theorem *terminates-impl-no-infinite-computation*:

```

  assumes termi:  $\Gamma \vdash c \downarrow s$ 
  shows  $\neg \Gamma \vdash (c, s) \rightarrow \dots(\infty)$ 
using termi
proof (induct)
  case (Skip  $s$ ) thus ?case
  proof (rule not-infI)
    fix  $f$ 
    assume  $f\text{-step}$ :  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (\text{Suc } i)$ 
    assume  $f\text{-0}$ :  $f\ 0 = (\text{Skip}, \text{Normal } s)$ 
    from  $f\text{-step}$  [of 0]  $f\text{-0}$ 
    show False
    by (auto elim: Skip-no-step)
  qed
next
  case (Basic  $g\ s$ )
  thus ?case
  proof (rule not-infI)
    fix  $f$ 
    assume  $f\text{-step}$ :  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (\text{Suc } i)$ 
    assume  $f\text{-0}$ :  $f\ 0 = (\text{Basic } g, \text{Normal } s)$ 
    from  $f\text{-step}$  [of 0]  $f\text{-0}$   $f\text{-step}$  [of 1]

```

```

    show False
    by (fastforce elim: Skip-no-step step-elim-cases)
  qed
next
case (Spec r s)
thus ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Spec\ r, Normal\ s)$ 
  from f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Guard s g c m)
have g:  $s \in g$  by fact
have hyp:  $\neg \Gamma \vdash (c, Normal\ s) \rightarrow \dots(\infty)$  by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Guard\ m\ g\ c, Normal\ s)$ 
  from f-step [of 0] f-0 g
  have f 1 =  $(c, Normal\ s)$ 
  by (fastforce elim: step-elim-cases)
  with f-step
  have  $\Gamma \vdash (c, Normal\ s) \rightarrow \dots(\infty)$ 
  apply (simp add: inf-def)
  apply (rule-tac x= $\lambda i. f\ (Suc\ i)$  in exI)
  by simp
  with hyp show False ..
qed
next
case (GuardFault s g m c)
have g:  $s \notin g$  by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = (Guard\ m\ g\ c, Normal\ s)$ 
  from g f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Fault c m)
thus ?case
by (rule not-inf-Fault)

```

```

next
  case (Seq c1 s c2)
  show ?case
  proof
    assume  $\Gamma \vdash (\text{Seq } c_1 \ c_2, \text{Normal } s) \rightarrow \dots(\infty)$ 
    from split-inf-Seq [OF this] Seq.hyps
    show False
    by (auto intro: steps-Skip-impl-exec)
  qed
next
  case (CondTrue s b c1 c2)
  have b:  $s \in b$  by fact
  have hyp-c1:  $\neg \Gamma \vdash (c_1, \text{Normal } s) \rightarrow \dots(\infty)$  by fact
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$ 
    assume f-0:  $f \ 0 = (\text{Cond } b \ c_1 \ c_2, \text{Normal } s)$ 
    from b f-step [of 0] f-0
    have f 1 = (c1, Normal s)
    by (auto elim: step-Normal-elim-cases)
    with f-step
    have  $\Gamma \vdash (c_1, \text{Normal } s) \rightarrow \dots(\infty)$ 
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f \ (\text{Suc } i)$  in exI)
    by simp
    with hyp-c1 show False by simp
  qed
next
  case (CondFalse s b c2 c1)
  have b:  $s \notin b$  by fact
  have hyp-c2:  $\neg \Gamma \vdash (c_2, \text{Normal } s) \rightarrow \dots(\infty)$  by fact
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$ 
    assume f-0:  $f \ 0 = (\text{Cond } b \ c_1 \ c_2, \text{Normal } s)$ 
    from b f-step [of 0] f-0
    have f 1 = (c2, Normal s)
    by (auto elim: step-Normal-elim-cases)
    with f-step
    have  $\Gamma \vdash (c_2, \text{Normal } s) \rightarrow \dots(\infty)$ 
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f \ (\text{Suc } i)$  in exI)
    by simp
    with hyp-c2 show False by simp
  qed
next
  case (WhileTrue s b c)

```

```

have b: s ∈ b by fact
have hyp-c:  $\neg \Gamma \vdash (c, \text{Normal } s) \rightarrow \dots(\infty)$  by fact
have hyp-w:  $\forall s'. \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s' \longrightarrow$ 
 $\Gamma \vdash \text{While } b \ c \downarrow s' \wedge \neg \Gamma \vdash (\text{While } b \ c, s') \rightarrow \dots(\infty)$  by fact
have not-inf-Seq:  $\neg \Gamma \vdash (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s) \rightarrow \dots(\infty)$ 
proof
  assume  $\Gamma \vdash (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s) \rightarrow \dots(\infty)$ 
  from split-inf-Seq [OF this] hyp-c hyp-w show False
  by (auto intro: steps-Skip-impl-exec)
qed
show ?case
proof
  assume  $\Gamma \vdash (\text{While } b \ c, \text{Normal } s) \rightarrow \dots(\infty)$ 
  then obtain f where
    f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$  and
    f-0:  $f \ 0 = (\text{While } b \ c, \text{Normal } s)$ 
    by (auto simp add: inf-def)
  from f-step [of 0] f-0 b
  have f 1 =  $(\text{Seq } c \ (\text{While } b \ c), \text{Normal } s)$ 
    by (auto elim: step-Normal-elim-cases)
  with f-step
  have  $\Gamma \vdash (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s) \rightarrow \dots(\infty)$ 
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f \ (\text{Suc } i)$  in exI)
    by simp
  with not-inf-Seq show False by simp
qed
next
case (WhileFalse s b c)
have b: s  $\notin$  b by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$ 
  assume f-0:  $f \ 0 = (\text{While } b \ c, \text{Normal } s)$ 
  from b f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Call p bdy s)
have bdy:  $\Gamma \ p = \text{Some } \text{bdy}$  by fact
have hyp:  $\neg \Gamma \vdash (\text{bdy}, \text{Normal } s) \rightarrow \dots(\infty)$  by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$ 
  assume f-0:  $f \ 0 = (\text{Call } p, \text{Normal } s)$ 
  from bdy f-step [of 0] f-0

```

```

have f 1 = (bdy, Normal s)
  by (auto elim: step-Normal-elim-cases)
with f-step
have  $\Gamma \vdash (bdy, Normal s) \rightarrow \dots(\infty)$ 
  apply (simp add: inf-def)
  apply (rule-tac x= $\lambda i. f (Suc i)$  in exI)
  by simp
with hyp show False by simp
qed
next
case (CallUndefined p s)
have no-bdy:  $\Gamma p = None$  by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
  assume f-0:  $f 0 = (Call p, Normal s)$ 
  from no-bdy f-step [of 0] f-0 f-step [of 1]
  show False
  by (fastforce elim: Skip-no-step step-elim-cases)
qed
next
case (Stuck c)
show ?case
  by (rule not-inf-Stuck)
next
case (DynCom c s)
have hyp:  $\neg \Gamma \vdash (c s, Normal s) \rightarrow \dots(\infty)$  by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
  assume f-0:  $f 0 = (DynCom c, Normal s)$ 
  from f-step [of 0] f-0
  have f (Suc 0) = (c s, Normal s)
    by (auto elim: step-elim-cases)
  with f-step have  $\Gamma \vdash (c s, Normal s) \rightarrow \dots(\infty)$ 
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f (Suc i)$  in exI)
    by simp
  with hyp
  show False by simp
qed
next
case (Throw s) thus ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
  assume f-0:  $f 0 = (Throw, Normal s)$ 

```



```

    from f-step [of 0] f-0
    show False
    by (auto elim: step-elim-cases)
qed
next
  case (Abrupt c)
  show ?case
  by (rule not-inf-Abrupt)
next
  case (Catch c1 s c2)
  show ?case
  proof
    assume  $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{Normal } s) \rightarrow \dots(\infty)$ 
    from split-inf-Catch [OF this] Catch.hyps
    show False
    by (auto intro: steps-Throw-impl-exec)
  qed
qed

```

definition

termi-call-steps :: (*'s, 'p, 'f*) *body* $\Rightarrow ((\text{'s} \times \text{'p}) \times (\text{'s} \times \text{'p}))\text{set}$

where

termi-call-steps $\Gamma =$

$$\{((t, q), (s, p)). \Gamma \vdash \text{Call } p \downarrow \text{Normal } s \wedge (\exists c. \Gamma \vdash (\text{Call } p, \text{Normal } s) \rightarrow^+ (c, \text{Normal } t) \wedge \text{redex } c = \text{Call } q)\}$$

primrec *subst-redex*:: (*'s, 'p, 'f*)*com* \Rightarrow (*'s, 'p, 'f*)*com* \Rightarrow (*'s, 'p, 'f*)*com*

where

```

subst-redex Skip c = c |
subst-redex (Basic f) c = c |
subst-redex (Spec r) c = c |
subst-redex (Seq c1 c2) c = Seq (subst-redex c1 c) c2 |
subst-redex (Cond b c1 c2) c = c |
subst-redex (While b c') c = c |
subst-redex (Call p) c = c |
subst-redex (DynCom d) c = c |
subst-redex (Guard f b c') c = c |
subst-redex (Throw) c = c |
subst-redex (Catch c1 c2) c = Catch (subst-redex c1 c) c2

```

lemma *subst-redex-redex*:

subst-redex c (*redex c*) = *c*

by (*induct c*) *auto*

lemma *redex-subst-redex*: *redex* (*subst-redex c r*) = *redex r*

by (*induct c*) *auto*

```

lemma step-redex':
  shows  $\Gamma \vdash (\text{redex } c, s) \rightarrow (r', s') \implies \Gamma \vdash (c, s) \rightarrow (\text{subst-redex } c \ r', s')$ 
by (induct c) (auto intro: step.Seq step.Catch)

lemma step-redex:
  shows  $\Gamma \vdash (r, s) \rightarrow (r', s') \implies \Gamma \vdash (\text{subst-redex } c \ r, s) \rightarrow (\text{subst-redex } c \ r', s')$ 
by (induct c) (auto intro: step.Seq step.Catch)

lemma steps-redex:
  assumes steps:  $\Gamma \vdash (r, s) \rightarrow^* (r', s')$ 
  shows  $\bigwedge c. \Gamma \vdash (\text{subst-redex } c \ r, s) \rightarrow^* (\text{subst-redex } c \ r', s')$ 
using steps
proof (induct rule: converse-rtranclp-induct2 [case-names Refl Trans])
  case Refl
  show  $\Gamma \vdash (\text{subst-redex } c \ r', s') \rightarrow^* (\text{subst-redex } c \ r', s')$ 
  by simp
next
  case (Trans r s r'' s'')
  have  $\Gamma \vdash (r, s) \rightarrow (r'', s'')$  by fact
  from step-redex [OF this]
  have  $\Gamma \vdash (\text{subst-redex } c \ r, s) \rightarrow (\text{subst-redex } c \ r'', s'')$ .
  also
  have  $\Gamma \vdash (\text{subst-redex } c \ r'', s'') \rightarrow^* (\text{subst-redex } c \ r', s')$  by fact
  finally show ?case .
qed

ML (
  ML-Thms.bind-thm (trancl-induct2, Split-Rule.split-rule @{context}
    (Rule-Insts.read-instantiate @{context}
      [(((a, 0), Position.none), (aa, ab)), (((b, 0), Position.none), (ba, bb))] ]
      @{thm trancl-induct}));
)

lemma steps-redex':
  assumes steps:  $\Gamma \vdash (r, s) \rightarrow^+ (r', s')$ 
  shows  $\bigwedge c. \Gamma \vdash (\text{subst-redex } c \ r, s) \rightarrow^+ (\text{subst-redex } c \ r', s')$ 
using steps
proof (induct rule: tranclp-induct2 [consumes 1, case-names Step Trans])
  case (Step r' s')
  have  $\Gamma \vdash (r, s) \rightarrow (r', s')$  by fact
  then have  $\Gamma \vdash (\text{subst-redex } c \ r, s) \rightarrow (\text{subst-redex } c \ r', s')$ 
  by (rule step-redex)
  then show  $\Gamma \vdash (\text{subst-redex } c \ r, s) \rightarrow^+ (\text{subst-redex } c \ r', s')$ ..
next
  case (Trans r' s' r'' s'')
  have  $\Gamma \vdash (\text{subst-redex } c \ r, s) \rightarrow^+ (\text{subst-redex } c \ r', s')$  by fact
  also
  have  $\Gamma \vdash (r', s') \rightarrow (r'', s'')$  by fact

```

hence $\Gamma \vdash (\text{subst-redex } c \ r', s') \rightarrow (\text{subst-redex } c \ r'', s'')$
 by (rule step-redex)
 finally show $\Gamma \vdash (\text{subst-redex } c \ r, s) \rightarrow^+ (\text{subst-redex } c \ r'', s'')$.
 qed

primrec seq:: (nat \Rightarrow ('s,'p,'f)com) \Rightarrow 'p \Rightarrow nat \Rightarrow ('s,'p,'f)com
 where
 seq c p 0 = Call p |
 seq c p (Suc i) = subst-redex (seq c p i) (c i)

lemma renumber':
 assumes f: $\forall i. (a, f \ i) \in r^* \wedge (f \ i, f \ (Suc \ i)) \in r$
 assumes a-b: $(a, b) \in r^*$
 shows $b = f \ 0 \implies (\exists f. f \ 0 = a \wedge (\forall i. (f \ i, f \ (Suc \ i)) \in r))$
 using a-b
proof (induct rule: converse-rtrancl-induct [consumes 1])
 assume $b = f \ 0$
 with f show $\exists f. f \ 0 = b \wedge (\forall i. (f \ i, f \ (Suc \ i)) \in r)$
 by blast
 next
 fix a z
 assume a-z: $(a, z) \in r$ and $(z, b) \in r^*$
 assume $b = f \ 0 \implies \exists f. f \ 0 = z \wedge (\forall i. (f \ i, f \ (Suc \ i)) \in r)$
 $b = f \ 0$
 then obtain f where f0: $f \ 0 = z$ and seq: $\forall i. (f \ i, f \ (Suc \ i)) \in r$
 by iprover
 {
 fix i have $((\lambda i. \text{case } i \text{ of } 0 \Rightarrow a \mid Suc \ i \Rightarrow f \ i) \ i, f \ i) \in r$
 using seq a-z f0
 by (cases i) auto
 }
 then
 show $\exists f. f \ 0 = a \wedge (\forall i. (f \ i, f \ (Suc \ i)) \in r)$
 by - (rule exI [where x= $\lambda i. \text{case } i \text{ of } 0 \Rightarrow a \mid Suc \ i \Rightarrow f \ i$], simp)
 qed

lemma renumber:
 $\forall i. (a, f \ i) \in r^* \wedge (f \ i, f \ (Suc \ i)) \in r$
 $\implies \exists f. f \ 0 = a \wedge (\forall i. (f \ i, f \ (Suc \ i)) \in r)$
 by (blast dest:renumber')

lemma lem:
 $\forall y. r^{++} \ a \ y \longrightarrow P \ a \longrightarrow P \ y$
 $\implies ((b, a) \in \{(y, x). P \ x \wedge r \ x \ y\}^+) = ((b, a) \in \{(y, x). P \ x \wedge r^{++} \ x \ y\})$
apply (rule iffI)
apply clarify
apply (erule trancl-induct)
apply blast

```

  apply(blast intro:tranclp-trans)
apply clarify
apply(erule tranclp-induct)
  apply blast
apply(blast intro:trancl-trans)
done

corollary terminates-impl-no-infinite-trans-computation:
  assumes terminates:  $\Gamma \vdash c \downarrow s$ 
  shows  $\neg(\exists f. f\ 0 = (c,s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow^+ f(Suc\ i)))$ 
proof -
  have wf( $\{(y,x). \Gamma \vdash (c,s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}^+$ )
  proof (rule wf-trancl)
    show wf  $\{(y, x). \Gamma \vdash (c,s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}$ 
    proof (simp only: wf-iff-no-infinite-down-chain,clarify,simp)
      fix f
      assume  $\forall i. \Gamma \vdash (c,s) \rightarrow^* f\ i \wedge \Gamma \vdash f\ i \rightarrow f(Suc\ i)$ 
      hence  $\exists f. f\ (0::nat) = (c,s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow f(Suc\ i))$ 
      by (rule renumber [to-pred])
      moreover from terminates-impl-no-infinite-computation [OF terminates]
      have  $\neg(\exists f. f\ (0::nat) = (c, s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow f(Suc\ i)))$ 
      by (simp add: inf-def)
      ultimately show False
      by simp
    qed
  qed
  hence  $\neg(\exists f. \forall i. (f(Suc\ i), f\ i) \in \{(y, x). \Gamma \vdash (c, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}^+)$ 
  by (simp add: wf-iff-no-infinite-down-chain)
thus ?thesis
proof (rule contrapos-nn)
  assume  $\exists f. f\ (0::nat) = (c, s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow^+ f(Suc\ i))$ 
  then obtain f where
    f0:  $f\ 0 = (c, s)$  and
    seq:  $\forall i. \Gamma \vdash f\ i \rightarrow^+ f(Suc\ i)$ 
  by iprover
  show
     $\exists f. \forall i. (f(Suc\ i), f\ i) \in \{(y, x). \Gamma \vdash (c, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}^+$ 
  proof (rule exI [where x=f],rule allI)
    fix i
    show  $(f(Suc\ i), f\ i) \in \{(y, x). \Gamma \vdash (c, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}^+$ 
    proof -
      {
        fix i have  $\Gamma \vdash (c,s) \rightarrow^* f\ i$ 
        proof (induct i)
          case 0 show  $\Gamma \vdash (c, s) \rightarrow^* f\ 0$ 
            by (simp add: f0)
          next
            case (Suc n)

```

```

      have  $\Gamma \vdash (c, s) \rightarrow^* f\ n$  by fact
      with seq show  $\Gamma \vdash (c, s) \rightarrow^* f\ (Suc\ n)$ 
        by (blast intro: tranclp-into-rtranclp rtranclp-trans)
      qed
    }
  hence  $\Gamma \vdash (c, s) \rightarrow^* f\ i$ 
    by iprover
  with seq have
     $(f\ (Suc\ i), f\ i) \in \{(y, x). \Gamma \vdash (c, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow^+ y\}$ 
    by clarsimp
  moreover
  have  $\forall y. \Gamma \vdash f\ i \rightarrow^+ y \longrightarrow \Gamma \vdash (c, s) \rightarrow^* f\ i \longrightarrow \Gamma \vdash (c, s) \rightarrow^* y$ 
    by (blast intro: tranclp-into-rtranclp rtranclp-trans)
  ultimately
  show ?thesis
    by (subst lem )
  qed
qed
qed
qed
qed

```

theorem *wf-termi-call-steps*: *wf* (*termi-call-steps* Γ)

proof (*simp only: termi-call-steps-def wf-iff-no-infinite-down-chain, clarify, simp*)

fix *f*

assume *inf*: $\forall i. (\lambda(t, q) (s, p). \Gamma \vdash Call\ p \downarrow Normal\ s \wedge$

$(\exists c. \Gamma \vdash (Call\ p, Normal\ s) \rightarrow^+ (c, Normal\ t) \wedge redex\ c = Call\ q))$

$(f\ (Suc\ i))\ (f\ i)$

define *s* **where** *s* *i* = *fst* (*f* *i*) **for** *i* :: *nat*

define *p* **where** *p* *i* = (*snd* (*f* *i*)::'*b*) **for** *i* :: *nat*

from *inf*

have *inf'*: $\forall i. \Gamma \vdash Call\ (p\ i) \downarrow Normal\ (s\ i) \wedge$

$(\exists c. \Gamma \vdash (Call\ (p\ i), Normal\ (s\ i)) \rightarrow^+ (c, Normal\ (s\ (i+1)))) \wedge$
 $redex\ c = Call\ (p\ (i+1))$

apply –

apply (*rule allI*)

apply (*erule-tac x=i in allE*)

apply (*auto simp add: s-def p-def*)

done

show *False*

proof –

from *inf'*

have $\exists c. \forall i. \Gamma \vdash Call\ (p\ i) \downarrow Normal\ (s\ i) \wedge$

$\Gamma \vdash (Call\ (p\ i), Normal\ (s\ i)) \rightarrow^+ (c\ i, Normal\ (s\ (i+1))) \wedge$
 $redex\ (c\ i) = Call\ (p\ (i+1))$

apply –

apply (*rule choice*)

by *blast*

then obtain c where
termi-c: $\forall i. \Gamma \vdash \text{Call } (p \ i) \downarrow \text{Normal } (s \ i)$ **and**
steps-c: $\forall i. \Gamma \vdash (\text{Call } (p \ i), \text{Normal } (s \ i)) \rightarrow^+ (c \ i, \text{Normal } (s \ (i+1)))$ **and**
red-c: $\forall i. \text{redex } (c \ i) = \text{Call } (p \ (i+1))$
by auto
define g where $g \ i = (\text{seq } c \ (p \ 0) \ i, \text{Normal } (s \ i)::('a, 'c) \ xstate)$ for i
from *red-c* [rule-format, of 0]
have $g \ 0 = (\text{Call } (p \ 0), \text{Normal } (s \ 0))$
by (simp add: g-def)
moreover
{
fix i
have $\text{redex } (\text{seq } c \ (p \ 0) \ i) = \text{Call } (p \ i)$
by (induct i) (auto simp add: redex-subst-redex red-c)
from this [symmetric]
have $\text{subst-redex } (\text{seq } c \ (p \ 0) \ i) (\text{Call } (p \ i)) = (\text{seq } c \ (p \ 0) \ i)$
by (simp add: subst-redex-redex)
} note subst-redex-seq = this
have $\forall i. \Gamma \vdash (g \ i) \rightarrow^+ (g \ (i+1))$
proof
fix i
from *steps-c* [rule-format, of i]
have $\Gamma \vdash (\text{Call } (p \ i), \text{Normal } (s \ i)) \rightarrow^+ (c \ i, \text{Normal } (s \ (i + 1)))$.
from *steps-redex'* [OF this, of $(\text{seq } c \ (p \ 0) \ i)$]
have $\Gamma \vdash (\text{subst-redex } (\text{seq } c \ (p \ 0) \ i) (\text{Call } (p \ i)), \text{Normal } (s \ i)) \rightarrow^+$
$(\text{subst-redex } (\text{seq } c \ (p \ 0) \ i) (c \ i), \text{Normal } (s \ (i + 1)))$.
hence $\Gamma \vdash (\text{seq } c \ (p \ 0) \ i, \text{Normal } (s \ i)) \rightarrow^+$
$(\text{seq } c \ (p \ 0) \ (i+1), \text{Normal } (s \ (i + 1)))$
by (simp add: subst-redex-seq)
thus $\Gamma \vdash (g \ i) \rightarrow^+ (g \ (i+1))$
by (simp add: g-def)
qed
moreover
from *terminates-impl-no-infinite-trans-computation* [OF *termi-c* [rule-format,
of 0]]
have $\neg (\exists f. f \ 0 = (\text{Call } (p \ 0), \text{Normal } (s \ 0)) \wedge (\forall i. \Gamma \vdash f \ i \rightarrow^+ f \ (\text{Suc } i)))$.
ultimately show *False*
by auto
qed
qed

lemma no-infinite-computation-implies-wf:
assumes not-inf: $\neg \Gamma \vdash (c, s) \rightarrow \dots(\infty)$
shows wf $\{(c2, c1). \Gamma \vdash (c, s) \rightarrow^* c1 \wedge \Gamma \vdash c1 \rightarrow c2\}$
proof (simp only: wf-iff-no-infinite-down-chain, clarify, simp)
fix f
assume $\forall i. \Gamma \vdash (c, s) \rightarrow^* f \ i \wedge \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i)$
hence $\exists f. f \ 0 = (c, s) \wedge (\forall i. \Gamma \vdash f \ i \rightarrow f \ (\text{Suc } i))$

```

    by (rule renumber [to-pred])
  moreover from not-inf
  have  $\neg (\exists f. f \ 0 = (c, s) \wedge (\forall i. \Gamma \vdash f \ i \rightarrow f \ (Suc \ i)))$ 
    by (simp add: inf-def)
  ultimately show False
    by simp
qed

```

```

lemma not-final-Stuck-step:  $\neg final \ (c, Stuck) \implies \exists c' s'. \Gamma \vdash (c, Stuck) \rightarrow (c', s')$ 
by (induct c) (fastforce intro: step.intros simp add: final-def)+

```

```

lemma not-final-Abrupt-step:
 $\neg final \ (c, Abrupt \ s) \implies \exists c' s'. \Gamma \vdash (c, Abrupt \ s) \rightarrow (c', s')$ 
by (induct c) (fastforce intro: step.intros simp add: final-def)+

```

```

lemma not-final-Fault-step:
 $\neg final \ (c, Fault \ f) \implies \exists c' s'. \Gamma \vdash (c, Fault \ f) \rightarrow (c', s')$ 
by (induct c) (fastforce intro: step.intros simp add: final-def)+

```

```

lemma not-final-Normal-step:
 $\neg final \ (c, Normal \ s) \implies \exists c' s'. \Gamma \vdash (c, Normal \ s) \rightarrow (c', s')$ 
proof (induct c)
  case Skip thus ?case by (fastforce intro: step.intros simp add: final-def)
next
  case Basic thus ?case by (fastforce intro: step.intros)
next
  case (Spec r)
  thus ?case
    by (cases  $\exists t. (s, t) \in r$ ) (fastforce intro: step.intros)+
next
  case (Seq c1 c2)
  thus ?case
    by (cases final (c1, Normal s)) (fastforce intro: step.intros simp add: final-def)+
next
  case (Cond b c1 c2)
  show ?case
    by (cases  $s \in b$ ) (fastforce intro: step.intros)+
next
  case (While b c)
  show ?case
    by (cases  $s \in b$ ) (fastforce intro: step.intros)+
next
  case (Call p)
  show ?case
    by (cases  $\Gamma \ p$ ) (fastforce intro: step.intros)+
next
  case DynCom thus ?case by (fastforce intro: step.intros)
next
  case (Guard f g c)

```

```

  show ?case
  by (cases s ∈ g) (fastforce intro: step.intros)+
next
  case Throw
  thus ?case by (fastforce intro: step.intros simp add: final-def)
next
  case (Catch c1 c2)
  thus ?case
  by (cases final (c1, Normal s)) (fastforce intro: step.intros simp add: final-def)+
qed

```

```

lemma final-termi:
final (c, s) ⇒ Γ ⊢ c ↓ s
  by (cases s) (auto simp add: final-def terminates.intros)

```

```

lemma split-computation:
assumes steps: Γ ⊢ (c, s) →* (cf, sf)
assumes not-final: ¬ final (c, s)
assumes final: final (cf, sf)
shows ∃ c' s'. Γ ⊢ (c, s) → (c', s') ∧ Γ ⊢ (c', s') →* (cf, sf)
using steps not-final final
proof (induct rule: converse-rtranclp-induct2 [case-names Refl Trans])
  case Refl thus ?case by simp
next
  case (Trans c s c' s')
  thus ?case by auto
qed

```

```

lemma wf-implies-termi-reach-step-case:
assumes hyp: ∧ c' s'. Γ ⊢ (c, Normal s) → (c', s') ⇒ Γ ⊢ c' ↓ s'
shows Γ ⊢ c ↓ Normal s
using hyp
proof (induct c)
  case Skip show ?case by (fastforce intro: terminates.intros)
next
  case Basic show ?case by (fastforce intro: terminates.intros)
next
  case (Spec r)
  show ?case
  by (cases ∃ t. (s, t) ∈ r) (fastforce intro: terminates.intros)+
next
  case (Seq c1 c2)
  have hyp: ∧ c' s'. Γ ⊢ (Seq c1 c2, Normal s) → (c', s') ⇒ Γ ⊢ c' ↓ s' by fact
  show ?case
  proof (rule terminates.Seq)
    {
      fix c' s'
      assume step-c1: Γ ⊢ (c1, Normal s) → (c', s')

```



```

have  $\Gamma \vdash c' \downarrow s'$ 
proof -
  from step-c1
  have  $\Gamma \vdash (Seq\ c_1\ c_2,\ Normal\ s) \rightarrow (Seq\ c'\ c_2,\ s')$ 
    by (rule step.Seq)
  from hyp [OF this]
  have  $\Gamma \vdash Seq\ c'\ c_2 \downarrow s'$ .
  thus  $\Gamma \vdash c' \downarrow s'$ 
    by cases auto
qed
}
from Seq.hyps (1) [OF this]
show  $\Gamma \vdash c_1 \downarrow Normal\ s$ .
next
show  $\forall s'. \Gamma \vdash \langle c_1, Normal\ s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash c_2 \downarrow s'$ 
proof (intro allI impI)
  fix  $s'$ 
  assume exec-c1:  $\Gamma \vdash \langle c_1, Normal\ s \rangle \Rightarrow s'$ 
  show  $\Gamma \vdash c_2 \downarrow s'$ 
  proof (cases final ( $c_1, Normal\ s$ ))
    case True
    hence  $c_1 = Skip \vee c_1 = Throw$ 
      by (simp add: final-def)
    thus ?thesis
  proof
    assume Skip:  $c_1 = Skip$ 
    have  $\Gamma \vdash (Seq\ Skip\ c_2, Normal\ s) \rightarrow (c_2, Normal\ s)$ 
      by (rule step.SeqSkip)
    from hyp [simplified Skip, OF this]
    have  $\Gamma \vdash c_2 \downarrow Normal\ s$ .
    moreover from exec-c1 Skip
    have  $s' = Normal\ s$ 
      by (auto elim: exec-Normal-elim-cases)
    ultimately show ?thesis by simp
  next
    assume Throw:  $c_1 = Throw$ 
    with exec-c1 have  $s' = Abrupt\ s$ 
      by (auto elim: exec-Normal-elim-cases)
    thus ?thesis
      by auto
  qed
next
case False
from exec-impl-steps [OF exec-c1]
obtain  $c_f\ t$  where
  steps-c1:  $\Gamma \vdash (c_1, Normal\ s) \rightarrow^* (c_f, t)$  and
  fin: (case s' of
     $Abrupt\ x \Rightarrow c_f = Throw \wedge t = Normal\ x$ 
    |  $\cdot \Rightarrow c_f = Skip \wedge t = s'$ )

```

```

    by (fastforce split: xstate.splits)
  with fin have final: final (cf, t)
    by (cases s') (auto simp add: final-def)
  from split-computation [OF steps-c1 False this]
  obtain c'' s'' where
    first:  $\Gamma \vdash (c_1, \text{Normal } s) \rightarrow (c'', s')$  and
    rest:  $\Gamma \vdash (c'', s') \rightarrow^* (c_f, t)$ 
    by blast
  from step.Seq [OF first]
  have  $\Gamma \vdash (\text{Seq } c_1 \ c_2, \text{Normal } s) \rightarrow (\text{Seq } c'' \ c_2, s'')$ .
  from hyp [OF this]
  have termi-s'':  $\Gamma \vdash \text{Seq } c'' \ c_2 \downarrow s''$ .
  show ?thesis
  proof (cases s'')
    case (Normal x)
    from termi-s'' [simplified Normal]
    have termi-c2:  $\forall t. \Gamma \vdash \langle c'', \text{Normal } x \rangle \Rightarrow t \longrightarrow \Gamma \vdash c_2 \downarrow t$ 
      by cases
    show ?thesis
    proof (cases  $\exists x'. s' = \text{Abrupt } x'$ )
      case False
      with fin obtain cf=Skip t=s'
        by (cases s') auto
      from steps-Skip-impl-exec [OF rest [simplified this]] Normal
      have  $\Gamma \vdash \langle c'', \text{Normal } x \rangle \Rightarrow s'$ 
        by simp
      from termi-c2 [rule-format, OF this]
      show  $\Gamma \vdash c_2 \downarrow s'$ .
    next
      case True
      with fin obtain x' where s':  $s' = \text{Abrupt } x'$  and cf=Throw t=Normal
        by auto
      from steps-Throw-impl-exec [OF rest [simplified this]] Normal
      have  $\Gamma \vdash \langle c'', \text{Normal } x \rangle \Rightarrow \text{Abrupt } x'$ 
        by simp
      from termi-c2 [rule-format, OF this] s'
      show  $\Gamma \vdash c_2 \downarrow s'$  by simp
    qed
  next
    case (Abrupt x)
    from steps-Abrupt-prop [OF rest this]
    have t=Abrupt x by simp
    with fin have s'=Abrupt x
      by (cases s') auto
    thus  $\Gamma \vdash c_2 \downarrow s'$ 
      by auto
  next
    case (Fault f)

```

```

    from steps-Fault-prop [OF rest this]
    have t=Fault f by simp
    with fin have s'=Fault f
      by (cases s') auto
    thus  $\Gamma \vdash c_2 \downarrow s'$ 
      by auto
  next
    case Stuck
    from steps-Stuck-prop [OF rest this]
    have t=Stuck by simp
    with fin have s'=Stuck
      by (cases s') auto
    thus  $\Gamma \vdash c_2 \downarrow s'$ 
      by auto
  qed
qed
qed
qed
next
  case (Cond b c1 c2)
  have hyp:  $\bigwedge c' s'. \Gamma \vdash (\text{Cond } b \ c_1 \ c_2, \text{Normal } s) \rightarrow (c', s') \implies \Gamma \vdash c' \downarrow s'$  by fact
  show ?case
  proof (cases s ∈ b)
    case True
    then have  $\Gamma \vdash (\text{Cond } b \ c_1 \ c_2, \text{Normal } s) \rightarrow (c_1, \text{Normal } s)$ 
      by (rule step.CondTrue)
    from hyp [OF this] have  $\Gamma \vdash c_1 \downarrow \text{Normal } s$ .
    with True show ?thesis
      by (auto intro: terminates.intros)
  next
    case False
    then have  $\Gamma \vdash (\text{Cond } b \ c_1 \ c_2, \text{Normal } s) \rightarrow (c_2, \text{Normal } s)$ 
      by (rule step.CondFalse)
    from hyp [OF this] have  $\Gamma \vdash c_2 \downarrow \text{Normal } s$ .
    with False show ?thesis
      by (auto intro: terminates.intros)
  qed
next
  case (While b c)
  have hyp:  $\bigwedge c' s'. \Gamma \vdash (\text{While } b \ c, \text{Normal } s) \rightarrow (c', s') \implies \Gamma \vdash c' \downarrow s'$  by fact
  show ?case
  proof (cases s ∈ b)
    case True
    then have  $\Gamma \vdash (\text{While } b \ c, \text{Normal } s) \rightarrow (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s)$ 
      by (rule step.WhileTrue)
    from hyp [OF this] have  $\Gamma \vdash (\text{Seq } c \ (\text{While } b \ c)) \downarrow \text{Normal } s$ .
    with True show ?thesis
      by (auto elim: terminates-Normal-elim-cases intro: terminates.intros)
  next

```

```

    case False
    thus ?thesis
    by (auto intro: terminates.intros)
qed
next
case (Call p)
have hyp:  $\bigwedge c' s'. \Gamma \vdash (Call\ p, Normal\ s) \rightarrow (c', s') \implies \Gamma \vdash c' \downarrow s'$  by fact
show ?case
proof (cases  $\Gamma\ p$ )
  case None
  thus ?thesis
  by (auto intro: terminates.intros)
next
case (Some bdy)
then have  $\Gamma \vdash (Call\ p, Normal\ s) \rightarrow (bdy, Normal\ s)$ 
  by (rule step.Call)
from hyp [OF this] have  $\Gamma \vdash bdy \downarrow Normal\ s.$ 
with Some show ?thesis
  by (auto intro: terminates.intros)
qed
next
case (DynCom c)
have hyp:  $\bigwedge c' s'. \Gamma \vdash (DynCom\ c, Normal\ s) \rightarrow (c', s') \implies \Gamma \vdash c' \downarrow s'$  by fact
have  $\Gamma \vdash (DynCom\ c, Normal\ s) \rightarrow (c\ s, Normal\ s)$ 
  by (rule step.DynCom)
from hyp [OF this] have  $\Gamma \vdash c\ s \downarrow Normal\ s.$ 
then show ?case
  by (auto intro: terminates.intros)
next
case (Guard f g c)
have hyp:  $\bigwedge c' s'. \Gamma \vdash (Guard\ f\ g\ c, Normal\ s) \rightarrow (c', s') \implies \Gamma \vdash c' \downarrow s'$  by fact
show ?case
proof (cases  $s \in g$ )
  case True
  then have  $\Gamma \vdash (Guard\ f\ g\ c, Normal\ s) \rightarrow (c, Normal\ s)$ 
    by (rule step.Guard)
  from hyp [OF this] have  $\Gamma \vdash c \downarrow Normal\ s.$ 
  with True show ?thesis
    by (auto intro: terminates.intros)
next
case False
thus ?thesis
  by (auto intro: terminates.intros)
qed
next
case Throw show ?case by (auto intro: terminates.intros)
next
case (Catch c1 c2)
have hyp:  $\bigwedge c' s'. \Gamma \vdash (Catch\ c_1\ c_2, Normal\ s) \rightarrow (c', s') \implies \Gamma \vdash c' \downarrow s'$  by fact

```

```

show ?case
proof (rule terminates.Catch)
{
  fix c' s'
  assume step-c1:  $\Gamma \vdash (c_1, \text{Normal } s) \rightarrow (c', s')$ 
  have  $\Gamma \vdash c' \downarrow s'$ 
  proof -
    from step-c1
    have  $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{Normal } s) \rightarrow (\text{Catch } c' \ c_2, s')$ 
      by (rule step.Catch)
    from hyp [OF this]
    have  $\Gamma \vdash \text{Catch } c' \ c_2 \downarrow s'$ .
    thus  $\Gamma \vdash c' \downarrow s'$ 
      by cases auto
  qed
}
from Catch.hyps (1) [OF this]
show  $\Gamma \vdash c_1 \downarrow \text{Normal } s$ .
next
show  $\forall s'. \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s' \longrightarrow \Gamma \vdash c_2 \downarrow \text{Normal } s'$ 
proof (intro allI impI)
  fix s'
  assume exec-c1:  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
  show  $\Gamma \vdash c_2 \downarrow \text{Normal } s'$ 
  proof (cases final (c1, Normal s))
    case True
    with exec-c1
    have Throw:  $c_1 = \text{Throw}$ 
      by (auto simp add: final-def elim: exec-Normal-elim-cases)
    have  $\Gamma \vdash (\text{Catch } \text{Throw } c_2, \text{Normal } s) \rightarrow (c_2, \text{Normal } s)$ 
      by (rule step.CatchThrow)
    from hyp [simplified Throw, OF this]
    have  $\Gamma \vdash c_2 \downarrow \text{Normal } s$ .
    moreover from exec-c1 Throw
    have  $s' = s$ 
      by (auto elim: exec-Normal-elim-cases)
    ultimately show ?thesis by simp
  next
  case False
  from exec-impl-steps [OF exec-c1]
  obtain c_f t where
    steps-c1:  $\Gamma \vdash (c_1, \text{Normal } s) \rightarrow^* (\text{Throw}, \text{Normal } s')$ 
    by (fastforce split: xstate.splits)
  from split-computation [OF steps-c1 False]
  obtain c'' s'' where
    first:  $\Gamma \vdash (c_1, \text{Normal } s) \rightarrow (c'', s'')$  and
    rest:  $\Gamma \vdash (c'', s'') \rightarrow^* (\text{Throw}, \text{Normal } s')$ 
    by (auto simp add: final-def)
  from step.Catch [OF first]

```

```

have  $\Gamma \vdash (\text{Catch } c_1 \ c_2, \text{Normal } s) \rightarrow (\text{Catch } c'' \ c_2, \ s'').$ 
from hyp [OF this]
have  $\Gamma \vdash \text{Catch } c'' \ c_2 \downarrow s''.$ 
moreover
from steps-Throw-impl-exec [OF rest]
have  $\Gamma \vdash \langle c'', s'' \rangle \Rightarrow \text{Abrupt } s'.$ 
moreover
from rest obtain x where  $s'' = \text{Normal } x$ 
  by (cases  $s''$ )
  (auto dest: steps-Fault-prop steps-Abrupt-prop steps-Stuck-prop)
ultimately show ?thesis
  by (fastforce elim: terminates-elim-cases)
qed
qed
qed
qed

```

```

lemma wf-implies-termi-reach:
assumes wf:  $wf \ \{ (cfg2, cfg1). \Gamma \vdash (c, s) \rightarrow^* cfg1 \wedge \Gamma \vdash cfg1 \rightarrow cfg2 \}$ 
shows  $\bigwedge c1 \ s1. [\Gamma \vdash (c, s) \rightarrow^* cfg1; \ cfg1 = (c1, s1)] \Longrightarrow \Gamma \vdash c1 \downarrow s1$ 
using wf
proof (induct cfg1, simp)
  fix c1 s1
  assume reach:  $\Gamma \vdash (c, s) \rightarrow^* (c1, s1)$ 
  assume hyp-raw:  $\bigwedge y \ c2 \ s2. [\Gamma \vdash (c1, s1) \rightarrow (c2, s2); \Gamma \vdash (c, s) \rightarrow^* (c2, s2); \ y = (c2, s2)] \Longrightarrow \Gamma \vdash c2 \downarrow s2$ 
  have hyp:  $\bigwedge c2 \ s2. \Gamma \vdash (c1, s1) \rightarrow (c2, s2) \Longrightarrow \Gamma \vdash c2 \downarrow s2$ 
  apply -
  apply (rule hyp-raw)
  apply assumption
  using reach
  apply simp
  apply (rule refl)
  done

```

```

show  $\Gamma \vdash c1 \downarrow s1$ 
proof (cases s1)
  case (Normal s1')
  with wf-implies-termi-reach-step-case [OF hyp [simplified Normal]]
  show ?thesis
    by auto
qed (auto intro: terminates.intros)
qed

```

```

theorem no-infinite-computation-impl-terminates:
  assumes not-inf:  $\neg \Gamma \vdash (c, s) \rightarrow \dots(\infty)$ 
  shows  $\Gamma \vdash c \downarrow s$ 
proof -

```

```

from no-infinite-computation-implies-wf [OF not-inf]
have wf: wf  $\{(c2, c1). \Gamma \vdash (c, s) \rightarrow^* c1 \wedge \Gamma \vdash c1 \rightarrow c2\}$ .
show ?thesis
  by (rule wf-implies-termi-reach [OF wf]) auto
qed

```

```

corollary terminates-iff-no-infinite-computation:
 $\Gamma \vdash c \downarrow s = (\neg \Gamma \vdash (c, s) \rightarrow \dots(\infty))$ 
apply (rule)
apply (erule terminates-impl-no-infinite-computation)
apply (erule no-infinite-computation-impl-terminates)
done

```

8.6 Generalised Redexes

For an important lemma for the completeness proof of the Hoare-logic for total correctness we need a generalisation of *redex* that not only yield the redex itself but all the enclosing statements as well.

primrec *redexes*:: $(s, p, f)com \Rightarrow (s, p, f)com \text{ set}$

where

```

redexes Skip = {Skip} |
redexes (Basic f) = {Basic f} |
redexes (Spec r) = {Spec r} |
redexes (Seq c1 c2) = {Seq c1 c2}  $\cup$  redexes c1 |
redexes (Cond b c1 c2) = {Cond b c1 c2} |
redexes (While b c) = {While b c} |
redexes (Call p) = {Call p} |
redexes (DynCom d) = {DynCom d} |
redexes (Guard f b c) = {Guard f b c} |
redexes (Throw) = {Throw} |
redexes (Catch c1 c2) = {Catch c1 c2}  $\cup$  redexes c1

```

lemma *root-in-redexes*: $c \in \text{redexes } c$

```

apply (induct c)
apply auto
done

```

lemma *redex-in-redexes*: $\text{redex } c \in \text{redexes } c$

```

apply (induct c)
apply auto
done

```

lemma *redex-redexes*: $\bigwedge c'. [\text{redex } c' \in \text{redexes } c; \text{redex } c' = c'] \implies \text{redex } c = c'$

```

apply (induct c)
apply auto
done

```

lemma *step-redexes*:

shows $\bigwedge r r'. [\Gamma \vdash (r, s) \rightarrow (r', s'); r \in \text{redexes } c]$

$\Rightarrow \exists c'. \Gamma \vdash (c, s) \rightarrow (c', s') \wedge r' \in \text{redexes } c'$
proof (*induct c*)
 case *Skip* **thus** ?*case* **by** (*fastforce intro: step.intros elim: step-elim-cases*)
next
 case *Basic* **thus** ?*case* **by** (*fastforce intro: step.intros elim: step-elim-cases*)
next
 case *Spec* **thus** ?*case* **by** (*fastforce intro: step.intros elim: step-elim-cases*)
next
 case (*Seq* c_1 c_2)
 have $r \in \text{redexes } (\text{Seq } c_1 \ c_2)$ **by** *fact*
 hence $r: r = \text{Seq } c_1 \ c_2 \vee r \in \text{redexes } c_1$
 by *simp*
 have *step-r*: $\Gamma \vdash (r, s) \rightarrow (r', s')$ **by** *fact*
 from r **show** ?*case*
 proof
 assume $r = \text{Seq } c_1 \ c_2$
 with *step-r*
 show ?*case*
 by (*auto simp add: root-in-redexes*)
 next
 assume $r: r \in \text{redexes } c_1$
 from *Seq.hyps* (1) [*OF step-r this*]
 obtain c' **where**
 step-c1: $\Gamma \vdash (c_1, s) \rightarrow (c', s')$ **and**
 $r': r' \in \text{redexes } c'$
 by *blast*
 from *step.Seq* [*OF step-c1*]
 have $\Gamma \vdash (\text{Seq } c_1 \ c_2, s) \rightarrow (\text{Seq } c' \ c_2, s')$.
 with r'
 show ?*case*
 by *auto*
 qed
next
 case *Cond*
 thus ?*case*
 by (*fastforce intro: step.intros elim: step-elim-cases simp add: root-in-redexes*)
next
 case *While*
 thus ?*case*
 by (*fastforce intro: step.intros elim: step-elim-cases simp add: root-in-redexes*)
next
 case *Call* **thus** ?*case*
 by (*fastforce intro: step.intros elim: step-elim-cases simp add: root-in-redexes*)
next
 case *DynCom* **thus** ?*case*
 by (*fastforce intro: step.intros elim: step-elim-cases simp add: root-in-redexes*)
next
 case *Guard* **thus** ?*case*
 by (*fastforce intro: step.intros elim: step-elim-cases simp add: root-in-redexes*)


```

next
  case Throw thus ?case
    by (fastforce intro: step.intros elim: step-elim-cases simp add: root-in-redexes)
next
  case (Catch  $c_1$   $c_2$ )
  have  $r \in \text{redexes } (\text{Catch } c_1 \ c_2)$  by fact
  hence  $r: r = \text{Catch } c_1 \ c_2 \vee r \in \text{redexes } c_1$ 
    by simp
  have step-r:  $\Gamma \vdash (r, s) \rightarrow (r', s')$  by fact
  from r show ?case
  proof
    assume  $r = \text{Catch } c_1 \ c_2$ 
    with step-r
    show ?case
      by (auto simp add: root-in-redexes)
  next
    assume  $r: r \in \text{redexes } c_1$ 
    from Catch.hyps (1) [OF step-r this]
    obtain  $c'$  where
      step-c1:  $\Gamma \vdash (c_1, s) \rightarrow (c', s')$  and
       $r': r' \in \text{redexes } c'$ 
    by blast
    from step.Catch [OF step-c1]
    have  $\Gamma \vdash (\text{Catch } c_1 \ c_2, s) \rightarrow (\text{Catch } c' \ c_2, s')$ .
    with  $r'$ 
    show ?case
      by auto
  qed
qed

lemma steps-redexes:
  assumes steps:  $\Gamma \vdash (r, s) \rightarrow^* (r', s')$ 
  shows  $\bigwedge c. r \in \text{redexes } c \implies \exists c'. \Gamma \vdash (c, s) \rightarrow^* (c', s') \wedge r' \in \text{redexes } c'$ 
using steps
proof (induct rule: converse-rtranclp-induct2 [case-names Refl Trans])
  case Refl
  then
    show  $\exists c'. \Gamma \vdash (c, s) \rightarrow^* (c', s') \wedge r' \in \text{redexes } c'$ 
      by auto
  next
    case (Trans  $r \ s \ r'' \ s''$ )
    have  $\Gamma \vdash (r, s) \rightarrow (r'', s'')$   $r \in \text{redexes } c$  by fact+
    from step-redexes [OF this]
    obtain  $c'$  where
      step:  $\Gamma \vdash (c, s) \rightarrow (c', s'')$  and
       $r'': r'' \in \text{redexes } c'$ 
    by blast
    note step
    also

```

```

from Trans.hyps (3) [OF r'']
obtain c'' where
  steps:  $\Gamma \vdash (c', s'') \rightarrow^* (c'', s')$  and
  r':  $r' \in \text{redexes } c''$ 
  by blast
note steps
finally
show ?case
  using r'
  by blast
qed

```

```

lemma steps-redexes':
  assumes steps:  $\Gamma \vdash (r, s) \rightarrow^+ (r', s')$ 
  shows  $\bigwedge c. r \in \text{redexes } c \implies \exists c'. \Gamma \vdash (c, s) \rightarrow^+ (c', s') \wedge r' \in \text{redexes } c'$ 
using steps
proof (induct rule: tranclp-induct2 [consumes 1, case-names Step Trans])
  case (Step r' s' c')
  have  $\Gamma \vdash (r, s) \rightarrow (r', s') \wedge r \in \text{redexes } c'$  by fact+
  from step-redexes [OF this]
  show ?case
    by (blast intro: r-into-trancl)
next
  case (Trans r' s' r'' s'')
  from Trans obtain c' where
    steps:  $\Gamma \vdash (c, s) \rightarrow^+ (c', s')$  and
    r':  $r' \in \text{redexes } c'$ 
    by blast
  note steps
  moreover
  have  $\Gamma \vdash (r', s') \rightarrow (r'', s'')$  by fact
  from step-redexes [OF this r'] obtain c'' where
    step:  $\Gamma \vdash (c', s') \rightarrow (c'', s'')$  and
    r'':  $r'' \in \text{redexes } c''$ 
    by blast
  note step
  finally show ?case
    using r'' by blast
qed

```

```

lemma step-redexes-Seq:
  assumes step:  $\Gamma \vdash (r, s) \rightarrow (r', s')$ 
  assumes Seq:  $\text{Seq } r \ c_2 \in \text{redexes } c$ 
  shows  $\exists c'. \Gamma \vdash (c, s) \rightarrow (c', s') \wedge \text{Seq } r' \ c_2 \in \text{redexes } c'$ 
proof –
  from step.Seq [OF step]
  have  $\Gamma \vdash (\text{Seq } r \ c_2, s) \rightarrow (\text{Seq } r' \ c_2, s')$ .

```

```

    from step-redexes [OF this Seq]
    show ?thesis .
qed

lemma steps-redexes-Seq:
  assumes steps:  $\Gamma \vdash (r, s) \rightarrow^* (r', s')$ 
  shows  $\bigwedge c. \text{Seq } r \ c_2 \in \text{redexes } c \implies$ 
     $\exists c'. \Gamma \vdash (c, s) \rightarrow^* (c', s') \wedge \text{Seq } r' \ c_2 \in \text{redexes } c'$ 
using steps
proof (induct rule: converse-rtranclp-induct2 [case-names Refl Trans])
  case Refl
  then show ?case
    by (auto)

next
  case (Trans r s r'' s'')
  have  $\Gamma \vdash (r, s) \rightarrow (r'', s'')$   $\text{Seq } r \ c_2 \in \text{redexes } c$  by fact+
  from step-redexes-Seq [OF this]
  obtain c' where
    step:  $\Gamma \vdash (c, s) \rightarrow (c', s'')$  and
    r'':  $\text{Seq } r'' \ c_2 \in \text{redexes } c'$ 
  by blast
  note step
  also
  from Trans.hyps (3) [OF r'']
  obtain c'' where
    steps:  $\Gamma \vdash (c', s'') \rightarrow^* (c'', s')$  and
    r':  $\text{Seq } r' \ c_2 \in \text{redexes } c''$ 
  by blast
  note steps
  finally
  show ?case
    using r'
    by blast
qed

lemma steps-redexes-Seq':
  assumes steps:  $\Gamma \vdash (r, s) \rightarrow^+ (r', s')$ 
  shows  $\bigwedge c. \text{Seq } r \ c_2 \in \text{redexes } c$ 
     $\implies \exists c'. \Gamma \vdash (c, s) \rightarrow^+ (c', s') \wedge \text{Seq } r' \ c_2 \in \text{redexes } c'$ 
using steps
proof (induct rule: tranclp-induct2 [consumes 1, case-names Step Trans])
  case (Step r' s' c')
  have  $\Gamma \vdash (r, s) \rightarrow (r', s') \text{Seq } r \ c_2 \in \text{redexes } c'$  by fact+
  from step-redexes-Seq [OF this]
  show ?case
    by (blast intro: r-into-trancl)
next
  case (Trans r' s' r'' s'')

```

from *Trans* **obtain** c' **where**
 $steps: \Gamma \vdash (c, s) \rightarrow^+ (c', s')$ **and**
 $r': Seq\ r'\ c_2 \in redexes\ c'$
by *blast*
note *steps*
moreover
have $\Gamma \vdash (r', s') \rightarrow (r'', s'')$ **by** *fact*
from *step-redexes-Seq* [*OF this* r'] **obtain** c'' **where**
 $step: \Gamma \vdash (c', s') \rightarrow (c'', s'')$ **and**
 $r'': Seq\ r''\ c_2 \in redexes\ c''$
by *blast*
note *step*
finally **show** *?case*
using r'' **by** *blast*
qed

lemma *step-redexes-Catch*:
assumes *step*: $\Gamma \vdash (r, s) \rightarrow (r', s')$
assumes *Catch*: $Catch\ r\ c_2 \in redexes\ c$
shows $\exists c'. \Gamma \vdash (c, s) \rightarrow (c', s') \wedge Catch\ r'\ c_2 \in redexes\ c'$
proof –
from *step.Catch* [*OF step*]
have $\Gamma \vdash (Catch\ r\ c_2, s) \rightarrow (Catch\ r'\ c_2, s')$.
from *step-redexes* [*OF this* *Catch*]
show *?thesis* .
qed

lemma *steps-redexes-Catch*:
assumes *steps*: $\Gamma \vdash (r, s) \rightarrow^* (r', s')$
shows $\bigwedge c. Catch\ r\ c_2 \in redexes\ c \implies \exists c'. \Gamma \vdash (c, s) \rightarrow^* (c', s') \wedge Catch\ r'\ c_2 \in redexes\ c'$
using *steps*
proof (*induct rule: converse-rtrancpl-induct2* [*case-names* *Refl Trans*])
case *Refl*
then **show** *?case*
by (*auto*)

next
case (*Trans* $r\ s\ r''\ s''$)
have $\Gamma \vdash (r, s) \rightarrow (r'', s'')$ *Catch* $r\ c_2 \in redexes\ c$ **by** *fact+*
from *step-redexes-Catch* [*OF this*]
obtain c' **where**
 $step: \Gamma \vdash (c, s) \rightarrow (c', s'')$ **and**
 $r'': Catch\ r''\ c_2 \in redexes\ c'$
by *blast*
note *step*
also
from *Trans.hyps* (3) [*OF* r'']
obtain c'' **where**

$steps: \Gamma \vdash (c', s'') \rightarrow^* (c'', s')$ **and**
 $r': \text{Catch } r' \ c_2 \in \text{redexes } c''$
 by *blast*
 note *steps*
 finally
 show *?case*
 using r'
 by *blast*
 qed

lemma *steps-redexes-Catch'*:
 assumes $steps: \Gamma \vdash (r, s) \rightarrow^+ (r', s')$
 shows $\bigwedge c. \text{Catch } r \ c_2 \in \text{redexes } c$
 $\implies \exists c'. \Gamma \vdash (c, s) \rightarrow^+ (c', s') \wedge \text{Catch } r' \ c_2 \in \text{redexes } c'$
 using *steps*
proof (*induct rule: transclp-induct2 [consumes 1, case-names Step Trans]*)
 case (*Step* $r' \ s' \ c'$)
 have $\Gamma \vdash (r, s) \rightarrow (r', s') \text{Catch } r \ c_2 \in \text{redexes } c'$ **by** *fact* +
 from *step-redexes-Catch [OF this]*
 show *?case*
 by (*blast intro: r-into-transcl*)
 next
 case (*Trans* $r' \ s' \ r'' \ s''$)
 from *Trans* **obtain** c' **where**
 $steps: \Gamma \vdash (c, s) \rightarrow^+ (c', s')$ **and**
 $r': \text{Catch } r' \ c_2 \in \text{redexes } c'$
 by *blast*
 note *steps*
 moreover
 have $\Gamma \vdash (r', s') \rightarrow (r'', s'')$ **by** *fact*
 from *step-redexes-Catch [OF this r']* **obtain** c'' **where**
 $step: \Gamma \vdash (c', s') \rightarrow (c'', s'')$ **and**
 $r'': \text{Catch } r'' \ c_2 \in \text{redexes } c''$
 by *blast*
 note *step*
 finally **show** *?case*
 using r'' **by** *blast*
 qed

lemma *redexes-subset*: $\bigwedge c'. c' \in \text{redexes } c \implies \text{redexes } c' \subseteq \text{redexes } c$
 by (*induct c*) *auto*

lemma *redexes-preserves-termination*:
 assumes *termi*: $\Gamma \vdash c \downarrow s$
 shows $\bigwedge c'. c' \in \text{redexes } c \implies \Gamma \vdash c' \downarrow s$
 using *termi*
 by *induct (auto intro: terminates.intros)*

end

9 Hoare Logic for Total Correctness

theory *HoareTotalDef* **imports** *HoarePartialDef Termination* **begin**

9.1 Validity of Hoare Tuples: $\Gamma \models_{t/F} P \text{ c } Q, A$

definition

$validt :: [(s', p', f) \text{ body}, f \text{ set}, s' \text{ assn}, (s', p', f) \text{ com}, s' \text{ assn}, s' \text{ assn}] \Rightarrow \text{bool}$
 $(\neg \models_{t'/F} - - -, - [61, 60, 1000, 20, 1000, 1000] 60)$

where

$\Gamma \models_{t/F} P \text{ c } Q, A \equiv \Gamma \models_{t/F} P \text{ c } Q, A \wedge (\forall s \in \text{Normal} \text{ ' } P. \Gamma \vdash c \downarrow s)$

definition

$cvalidt ::$
 $[(s', p', f) \text{ body}, (s', p') \text{ quadruple set}, f \text{ set},$
 $s' \text{ assn}, (s', p', f) \text{ com}, s' \text{ assn}, s' \text{ assn}] \Rightarrow \text{bool}$
 $(\neg, \neg \models_{t'/F} - - -, - [61, 60, 60, 1000, 20, 1000, 1000] 60)$

where

$\Gamma, \Theta \models_{t/F} P \text{ c } Q, A \equiv (\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (Call \text{ } p) \text{ } Q, A) \longrightarrow \Gamma \models_{t/F} P \text{ c } Q, A$

notation (*ASCII*)

$validt \ (\neg \models_{t'/F} - - -, - [61, 60, 1000, 20, 1000, 1000] 60)$ **and**
 $cvalidt \ (\neg, \neg \models_{t'/F} - - -, - [61, 60, 60, 1000, 20, 1000, 1000] 60)$

9.2 Properties of Validity

lemma *validtI*:

$\llbracket \bigwedge s \text{ } t. \llbracket \Gamma \vdash \langle c, Normal \text{ } s \rangle \Rightarrow t; s \in P; t \notin Fault \text{ ' } F \rrbracket \Longrightarrow t \in Normal \text{ ' } Q \cup Abrupt \text{ ' } A;$

$\bigwedge s. s \in P \Longrightarrow \Gamma \vdash c \downarrow (Normal \text{ } s) \rrbracket$

$\Longrightarrow \Gamma \models_{t/F} P \text{ c } Q, A$

by (*auto simp add: validt-def valid-def*)

lemma *cvalidtI*:

$\llbracket \bigwedge s \text{ } t. \llbracket \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (Call \text{ } p) \text{ } Q, A; \Gamma \vdash \langle c, Normal \text{ } s \rangle \Rightarrow t; s \in P;$
 $t \notin Fault \text{ ' } F \rrbracket$

$\Longrightarrow t \in Normal \text{ ' } Q \cup Abrupt \text{ ' } A;$

$\bigwedge s. \llbracket \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (Call \text{ } p) \text{ } Q, A; s \in P \rrbracket \Longrightarrow \Gamma \vdash c \downarrow (Normal \text{ } s) \rrbracket$

$\Longrightarrow \Gamma, \Theta \models_{t/F} P \text{ c } Q, A$

by (*auto simp add: cvalidt-def validt-def valid-def*)

lemma *cvalidt-postD*:

$\llbracket \Gamma, \Theta \models_{t/F} P \text{ c } Q, A; \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p \text{) } Q, A; \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow$
 $t;$
 $s \in P; t \notin \text{Fault ' } F \rrbracket$
 $\Rightarrow t \in \text{Normal ' } Q \cup \text{Abrupt ' } A$
by (*simp add: cvalidt-def validt-def valid-def*)

lemma *cvalidt-termD*:

$\llbracket \Gamma, \Theta \models_{t/F} P \text{ c } Q, A; \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p \text{) } Q, A; s \in P \rrbracket$
 $\Rightarrow \Gamma \vdash c \downarrow (\text{Normal } s)$
by (*simp add: cvalidt-def validt-def valid-def*)

lemma *validt-augment-Faults*:

assumes *valid*: $\Gamma \models_{t/F} P \text{ c } Q, A$
assumes $F': F \subseteq F'$
shows $\Gamma \models_{t/F'} P \text{ c } Q, A$
using *valid* F'
by (*auto intro: valid-augment-Faults simp add: validt-def*)

9.3 The Hoare Rules: $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$

inductive *hoaret*:: $((s, 'p, 'f) \text{ body}, (s, 'p) \text{ quadruple set}, 'f \text{ set},$
 $'s \text{ assn}, (s, 'p, 'f) \text{ com}, 's \text{ assn}, 's \text{ assn})$
 $\Rightarrow \text{bool}$
 $((\beta, -, \vdash_{t'/F} \text{ } (-) / \text{ } (-, -)) [61, 60, 60, 1000, 20, 1000, 1000] 60)$
for $\Gamma::(s, 'p, 'f) \text{ body}$

where

Skip: $\Gamma, \Theta \vdash_{t/F} Q \text{ Skip } Q, A$

| *Basic*: $\Gamma, \Theta \vdash_{t/F} \{s. f \text{ s } \in Q\} \text{ (Basic } f \text{) } Q, A$

| *Spec*: $\Gamma, \Theta \vdash_{t/F} \{s. (\forall t. (s, t) \in r \longrightarrow t \in Q) \wedge (\exists t. (s, t) \in r)\} \text{ (Spec } r \text{) } Q, A$

| *Seq*: $\llbracket \Gamma, \Theta \vdash_{t/F} P \text{ c}_1 R, A; \Gamma, \Theta \vdash_{t/F} R \text{ c}_2 Q, A \rrbracket$
 \Rightarrow
 $\Gamma, \Theta \vdash_{t/F} P \text{ Seq } c_1 \text{ c}_2 Q, A$

| *Cond*: $\llbracket \Gamma, \Theta \vdash_{t/F} (P \cap b) \text{ c}_1 Q, A; \Gamma, \Theta \vdash_{t/F} (P \cap - b) \text{ c}_2 Q, A \rrbracket$
 \Rightarrow
 $\Gamma, \Theta \vdash_{t/F} P \text{ (Cond } b \text{ c}_1 \text{ c}_2) Q, A$

| *While*: $\llbracket \text{wf } r; \forall \sigma. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap P \cap b) \text{ c } (\{t. (t, \sigma) \in r\} \cap P), A \rrbracket$
 \Rightarrow
 $\Gamma, \Theta \vdash_{t/F} P \text{ (While } b \text{ c) } (P \cap - b), A$

| *Guard*: $\Gamma, \Theta \vdash_{t/F} (g \cap P) \text{ c } Q, A$
 \Rightarrow
 $\Gamma, \Theta \vdash_{t/F} (g \cap P) \text{ Guard } f g \text{ c } Q, A$

$$\begin{array}{l}
| \text{ Guarantee: } \llbracket f \in F; \Gamma, \Theta \vdash_{t/F} (g \cap P) \ c \ Q, A \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma, \Theta \vdash_{t/F} P \ (\text{Guard } f \ g \ c) \ Q, A
\end{array}$$

$$\begin{array}{l}
| \text{ CallRec: } \\
\quad \llbracket (P, p, Q, A) \in \text{Specs}; \\
\quad \text{wf } r; \\
\quad \text{Specs-wf} = (\lambda p \ \sigma. (\lambda (P, q, Q, A). (P \cap \{s. ((s, q), (\sigma, p)) \in r\}, q, Q, A)) \ ' \ \text{Specs}); \\
\quad \forall (P, p, Q, A) \in \text{Specs}. \\
\quad \quad p \in \text{dom } \Gamma \wedge (\forall \sigma. \Gamma, \Theta \cup \text{Specs-wf } p \ \sigma \vdash_{t/F} (\{\sigma\} \cap P) \ (\text{the } (\Gamma \ p)) \ Q, A) \\
\quad \rrbracket \\
\quad \Longrightarrow \\
\quad \Gamma, \Theta \vdash_{t/F} P \ (\text{Call } p) \ Q, A
\end{array}$$

$$\begin{array}{l}
| \text{ DynCom: } \forall s \in P. \Gamma, \Theta \vdash_{t/F} P \ (c \ s) \ Q, A \\
\quad \Longrightarrow \\
\quad \Gamma, \Theta \vdash_{t/F} P \ (\text{DynCom } c) \ Q, A
\end{array}$$

$$| \text{ Throw: } \Gamma, \Theta \vdash_{t/F} A \ \text{Throw } Q, A$$

$$| \text{ Catch: } \llbracket \Gamma, \Theta \vdash_{t/F} P \ c_1 \ Q, R; \Gamma, \Theta \vdash_{t/F} R \ c_2 \ Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ \text{Catch } c_1 \ c_2 \ Q, A$$

$$\begin{array}{l}
| \text{ Conseq: } \forall s \in P. \exists P' \ Q' \ A'. \Gamma, \Theta \vdash_{t/F} P' \ c \ Q', A' \wedge s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A \\
\quad \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ c \ Q, A
\end{array}$$

$$\begin{array}{l}
| \text{ Asm: } (P, p, Q, A) \in \Theta \\
\quad \Longrightarrow \\
\quad \Gamma, \Theta \vdash_{t/F} P \ (\text{Call } p) \ Q, A
\end{array}$$

$$| \text{ ExFalso: } \llbracket \Gamma, \Theta \models_{t/F} P \ c \ Q, A; \neg \Gamma \models_{t/F} P \ c \ Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$$

— This is a hack rule that enables us to derive completeness for an arbitrary context Θ , from completeness for an empty context.

Does not work, because of rule ExFalso, the context Θ is to blame. A weaker version with empty context can be derived from soundness later on.

lemma *hoaret-to-hoarep*:

assumes *hoaret*: $\Gamma, \Theta \vdash_{t/F} P \ p \ Q, A$

shows $\Gamma, \Theta \vdash_{t/F} P \ p \ Q, A$

using *hoaret*

proof (*induct*)

case *Skip* **thus** ?*case* **by** (*rule hoarep.intros*)

next

case *Basic* **thus** ?*case* **by** (*rule hoarep.intros*)

next

case *Seq* thus ?case by – (rule hoarep.intros)
 next
 case *Cond* thus ?case by – (rule hoarep.intros)
 next
 case (*While* $r \ \Theta \ F \ P \ b \ c \ A$)
 hence $\forall \sigma. \Gamma, \Theta \vdash_F (\{\sigma\} \cap P \cap b) \ c \ (\{t. (t, \sigma) \in r\} \cap P), A$
 by *iprover*
 hence $\Gamma, \Theta \vdash_F (P \cap b) \ c \ P, A$
 by (rule *HoarePartialDef.conseq*) blast
 then show $\Gamma, \Theta \vdash_F P \ \text{While } b \ c \ (P \cap - \ b), A$
 by (rule hoarep.While)
 next
 case *Guard* thus ?case by – (rule hoarep.intros)

 next
 case *DynCom* thus ?case by (blast intro: hoarep.DynCom)
 next
 case *Throw* thus ?case by – (rule hoarep.Throw)
 next
 case *Catch* thus ?case by – (rule hoarep.Catch)
 next
 case *Conseq* thus ?case by – (rule hoarep.Conseq,blast)
 next
 case *Asm* thus ?case by (rule *HoarePartialDef.Asm*)
 next
 case (*ExFalso* $\Theta \ F \ P \ c \ Q \ A$)
 assume $\Gamma, \Theta \models_{t/F} P \ c \ Q, A$
 hence $\Gamma, \Theta \models_{t/F} P \ c \ Q, A$
 oops

lemma *hoaret-augment-context*:

assumes $\text{deriv}: \Gamma, \Theta \vdash_{t/F} P \ p \ Q, A$

shows $\bigwedge \Theta'. \ \Theta \subseteq \Theta' \implies \Gamma, \Theta' \vdash_{t/F} P \ p \ Q, A$

using *deriv*

proof (*induct*)

case (*CallRec* $P \ p \ Q \ A \ \text{Specs } r \ \text{Specs-wf } \Theta \ F \ \Theta'$)

have *aug*: $\Theta \subseteq \Theta'$ by *fact*

then

have $h: \bigwedge \tau \ p. \ \Theta \cup \text{Specs-wf } p \ \tau$
 $\subseteq \Theta' \cup \text{Specs-wf } p \ \tau$

by *blast*

have $\forall (P, p, Q, A) \in \text{Specs}. \ p \in \text{dom } \Gamma \wedge$

$(\forall \tau. \ \Gamma, \Theta \cup \text{Specs-wf } p \ \tau \vdash_{t/F} (\{\tau\} \cap P) \ (\text{the } (\Gamma \ p)) \ Q, A \wedge$

$(\forall x. \ \Theta \cup \text{Specs-wf } p \ \tau$

$\subseteq x \longrightarrow$

$\Gamma, x \vdash_{t/F} (\{\tau\} \cap P) \ (\text{the } (\Gamma \ p)) \ Q, A))$ by *fact*

hence $\forall (P, p, Q, A) \in \text{Specs}. \ p \in \text{dom } \Gamma \wedge$

```

      (∀τ. Γ,Θ' ∪ Specs-wf p τ ⊢t/F ({τ} ∩ P) (the (Γ p)) Q,A)
    apply (clarify)
    apply (rename-tac P p Q A)
    apply (drule (1) bspec)
    apply (clarsimp)
    apply (erule-tac x=τ in allE)
    apply clarify
    apply (erule-tac x=Θ' ∪ Specs-wf p τ in allE)
    apply (insert aug)
    apply auto
  done
with CallRec show ?case by - (rule hoaret.CallRec)
next
  case DynCom thus ?case by (blast intro: hoaret.DynCom)
next
  case (Conseq P Θ F c Q A Θ')
  from Conseq
  have ∀s ∈ P. (∃P' Q' A'. (Γ,Θ' ⊢t/F P' c Q',A') ∧ s ∈ P' ∧ Q' ⊆ Q ∧ A' ⊆
A)
    by blast
  with Conseq show ?case by - (rule hoaret.Conseq)
next
  case (ExFalso Θ F P c Q A Θ')
  have Γ,Θ ⊢t/F P c Q,A ⊢ Γ ⊢t/F P c Q,A Θ ⊆ Θ' by fact+
  then show ?case
    by (fastforce intro: hoaret.ExFalso simp add: cvalidt-def)
qed (blast intro: hoaret.intros)+

```

9.4 Some Derived Rules

```

lemma Conseq': ∀s. s ∈ P ⟶
  (∃P' Q' A'.
    (∀ Z. Γ,Θ ⊢t/F (P' Z) c (Q' Z),(A' Z)) ∧
    (∃ Z. s ∈ P' Z ∧ (Q' Z ⊆ Q) ∧ (A' Z ⊆ A)))
  ⟹
  Γ,Θ ⊢t/F P c Q,A
apply (rule Conseq)
apply (rule ballI)
apply (erule-tac x=s in allE)
apply (clarify)
apply (rule-tac x=P' Z in exI)
apply (rule-tac x=Q' Z in exI)
apply (rule-tac x=A' Z in exI)
apply blast
done

lemma conseq: [∀ Z. Γ,Θ ⊢t/F (P' Z) c (Q' Z),(A' Z);
  ∀s. s ∈ P ⟶ (∃ Z. s ∈ P' Z ∧ (Q' Z ⊆ Q) ∧ (A' Z ⊆ A))]

```

\implies
 $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$
by (rule Conseq) *blast*

theorem *conseqPrePost*:

$\Gamma, \Theta \vdash_{t/F} P' \text{ c } Q', A' \implies P \subseteq P' \implies Q' \subseteq Q \implies A' \subseteq A \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$
by (rule conseq [where ?P'= $\lambda Z. P'$ and ?Q'= $\lambda Z. Q$]) *auto*

lemma *conseqPre*: $\Gamma, \Theta \vdash_{t/F} P' \text{ c } Q, A \implies P \subseteq P' \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$
by (rule conseq) *auto*

lemma *conseqPost*: $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q', A' \implies Q' \subseteq Q \implies A' \subseteq A \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$
by (rule conseq) *auto*

lemma *Spec-wf-conv*:

$(\lambda(P, q, Q, A). (P \cap \{s. ((s, q), \tau, p) \in r\}, q, Q, A)) \text{ '}$
 $(\bigcup p \in Procs. \bigcup Z. \{(P \text{ p } Z, p, Q \text{ p } Z, A \text{ p } Z)\}) =$
 $(\bigcup q \in Procs. \bigcup Z. \{(P \text{ q } Z \cap \{s. ((s, q), \tau, p) \in r\}, q, Q \text{ q } Z, A \text{ q } Z)\})$
by (auto intro!: image-eqI)

lemma *CallRec'*:

$\llbracket p \in Procs; Procs \subseteq dom \Gamma;$
 $wf \ r;$
 $\forall p \in Procs. \forall \tau \ Z.$
 $\Gamma, \Theta \cup (\bigcup q \in Procs. \bigcup Z.$
 $\{((P \text{ q } Z) \cap \{s. ((s, q), (\tau, p)) \in r\}, q, Q \text{ q } Z, (A \text{ q } Z))\})$
 $\vdash_{t/F} (\{\tau\} \cap (P \text{ p } Z)) (the (\Gamma \text{ p})) (Q \text{ p } Z), (A \text{ p } Z) \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_{t/F} (P \text{ p } Z) (Call \text{ p}) (Q \text{ p } Z), (A \text{ p } Z)$
apply (rule CallRec [where Specs= $\bigcup p \in Procs. \bigcup Z. \{((P \text{ p } Z), p, Q \text{ p } Z, A \text{ p } Z)\}$
and
 $r=r]$)
apply *blast*
apply *assumption*
apply (rule refl)
apply (clarsimp)
apply (rename-tac p')
apply (rule conjI)
apply *blast*
apply (intro allI)
apply (rename-tac Z τ)
apply (drule-tac $x=p'$ in bspec, assumption)
apply (erule-tac $x=\tau$ in allE)
apply (erule-tac $x=Z$ in allE)
apply (fastforce simp add: Spec-wf-conv)

done

end

10 Properties of Total Correctness Hoare Logic

theory *HoareTotalProps* **imports** *SmallStep HoareTotalDef HoarePartialProps* **begin**

10.1 Soundness

lemma *hoaret-sound*:

assumes *hoare*: $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$

shows $\Gamma, \Theta \models_{t/F} P \text{ c } Q, A$

using *hoare*

proof (*induct*)

case (*Skip* Θ *F* *P* *A*)

show $\Gamma, \Theta \models_{t/F} P \text{ Skip } P, A$

proof (*rule cvalidtI*)

fix *s t*

assume $\Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle \Rightarrow t \text{ s } \in P$

thus $t \in \text{Normal } ' P \cup \text{Abrupt } ' A$

by *cases auto*

next

fix *s* **show** $\Gamma \vdash \text{Skip} \downarrow \text{Normal } s$

by (*rule terminates.intros*)

qed

next

case (*Basic* Θ *F* *f* *P* *A*)

show $\Gamma, \Theta \models_{t/F} \{s. f \text{ s } \in P\} (\text{Basic } f) P, A$

proof (*rule cvalidtI*)

fix *s t*

assume $\Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle \Rightarrow t \text{ s } \in \{s. f \text{ s } \in P\}$

thus $t \in \text{Normal } ' P \cup \text{Abrupt } ' A$

by *cases auto*

next

fix *s* **show** $\Gamma \vdash \text{Basic } f \downarrow \text{Normal } s$

by (*rule terminates.intros*)

qed

next

case (*Spec* Θ *F* *r* *Q* *A*)

show $\Gamma, \Theta \models_{t/F} \{s. (\forall t. (s, t) \in r \longrightarrow t \in Q) \wedge (\exists t. (s, t) \in r)\} \text{Spec } r \text{ Q}, A$

proof (*rule cvalidtI*)

fix *s t*

assume $\Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle \Rightarrow t$

$s \in \{s. (\forall t. (s, t) \in r \longrightarrow t \in Q) \wedge (\exists t. (s, t) \in r)\}$

thus $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$

by *cases auto*

```

next
  fix s show  $\Gamma \vdash \text{Spec } r \downarrow \text{Normal } s$ 
    by (rule terminates.intros)
qed
next
case (Seq  $\Theta$   $F$   $P$   $c1$   $R$   $A$   $c2$   $Q$ )
have valid-c1:  $\Gamma, \Theta \models_{t/F} P \ c1 \ R, A$  by fact
have valid-c2:  $\Gamma, \Theta \models_{t/F} R \ c2 \ Q, A$  by fact
show  $\Gamma, \Theta \models_{t/F} P \ \text{Seq } c1 \ c2 \ Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
  assume exec:  $\Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-notin-F:  $t \notin \text{Fault } F$ 
  from exec P obtain r where
    exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow r$  and exec-c2:  $\Gamma \vdash \langle c2, r \rangle \Rightarrow t$ 
  by cases auto
  with t-notin-F have r  $\notin \text{Fault } F$ 
  by (auto dest: Fault-end)
  from valid-c1 ctxt exec-c1 P this
  have r:  $r \in \text{Normal } R \cup \text{Abrupt } A$ 
  by (rule cvalidt-postD)
  show  $t \in \text{Normal } Q \cup \text{Abrupt } A$ 
  proof (cases r)
    case (Normal r')
    with exec-c2 r
    show  $t \in \text{Normal } Q \cup \text{Abrupt } A$ 
    apply -
    apply (rule cvalidt-postD [OF valid-c2 ctxt - t-notin-F])
    apply auto
    done
  next
  case (Abrupt r')
  with exec-c2 have t = Abrupt r'
  by (auto elim: exec-elim-cases)
  with Abrupt r show ?thesis
  by auto
  next
  case Fault with r show ?thesis by blast
  next
  case Stuck with r show ?thesis by blast
qed
next
fix s
assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
assume P:  $s \in P$ 
show  $\Gamma \vdash \text{Seq } c1 \ c2 \downarrow \text{Normal } s$ 

```

```

proof –
  from valid-c1 ctxt P
  have  $\Gamma \vdash c1 \downarrow \text{Normal } s$ 
    by (rule cvalidt-termD)
  moreover
  {
    fix r assume exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow r$ 
    have  $\Gamma \vdash c2 \downarrow r$ 
    proof (cases r)
      case (Normal r')
        with cvalidt-postD [OF valid-c1 ctxt exec-c1 P]
        have r: r ∈ Normal ‘ R
          by auto
        with cvalidt-termD [OF valid-c2 ctxt] exec-c1
        show  $\Gamma \vdash c2 \downarrow r$ 
          by auto
        qed auto
      }
    ultimately show ?thesis
      by (iprover intro: terminates.intros)
  }
qed
qed
next
  case (Cond  $\Theta$  F P b c1 Q A c2)
  have valid-c1:  $\Gamma, \Theta \models_{t/F} (P \cap b) \ c1 \ Q, A$  by fact
  have valid-c2:  $\Gamma, \Theta \models_{t/F} (P \cap - b) \ c2 \ Q, A$  by fact
  show  $\Gamma, \Theta \models_{t/F} P \ \text{Cond } b \ c1 \ c2 \ Q, A$ 
  proof (rule cvalidtI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
    assume exec:  $\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow t$ 
    assume P: s ∈ P
    assume t-notin-F: t ∉ Fault ‘ F
    show t ∈ Normal ‘ Q ∪ Abrupt ‘ A
    proof (cases s ∈ b)
      case True
        with exec have  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t$ 
          by cases auto
        with P True
        show ?thesis
          by – (rule cvalidt-postD [OF valid-c1 ctxt - - t-notin-F], auto)
      next
      case False
        with exec P have  $\Gamma \vdash \langle c2, \text{Normal } s \rangle \Rightarrow t$ 
          by cases auto
        with P False
        show ?thesis
          by – (rule cvalidt-postD [OF valid-c2 ctxt - - t-notin-F], auto)
    }
  }
qed

```

```

next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
  assume P:  $s \in P$ 
  thus  $\Gamma \vdash \text{Cond } b \text{ } c1 \text{ } c2 \downarrow \text{Normal } s$ 
    using cvalidt-termD [OF valid-c1 ctxt] cvalidt-termD [OF valid-c2 ctxt]
    by (cases  $s \in b$ ) (auto intro: terminates.intros)
qed
next
  case (While  $r \Theta F P b c A$ )
  assume wf: wf r
  have valid-c:  $\forall \sigma. \Gamma, \Theta \models_{t/F} (\{\sigma\} \cap P \cap b) \text{ } c \text{ } (\{t. (t, \sigma) \in r\} \cap P), A$ 
    using While.hyps by iprover
  show  $\Gamma, \Theta \models_{t/F} P \text{ (While } b \text{ } c) \text{ } (P \cap - b), A$ 
  proof (rule cvalidtI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
    assume wprens:  $\Gamma \vdash \langle \text{While } b \text{ } c, \text{Normal } s \rangle \Rightarrow t \text{ } s \in P \text{ } t \notin \text{Fault ' } F$ 
    from wf
    have  $\bigwedge t. [\Gamma \vdash \langle \text{While } b \text{ } c, \text{Normal } s \rangle \Rightarrow t; s \in P; t \notin \text{Fault ' } F]$ 
       $\Rightarrow t \in \text{Normal ' } (P \cap - b) \cup \text{Abrupt ' } A$ 
    proof (induct)
      fix s t
      assume hyp:
         $\bigwedge s' t. [(s', s) \in r; \Gamma \vdash \langle \text{While } b \text{ } c, \text{Normal } s' \rangle \Rightarrow t; s' \in P; t \notin \text{Fault ' } F]$ 
         $\Rightarrow t \in \text{Normal ' } (P \cap - b) \cup \text{Abrupt ' } A$ 
      assume exec:  $\Gamma \vdash \langle \text{While } b \text{ } c, \text{Normal } s \rangle \Rightarrow t$ 
      assume P:  $s \in P$ 
      assume t-notin-F:  $t \notin \text{Fault ' } F$ 
      from exec
      show  $t \in \text{Normal ' } (P \cap - b) \cup \text{Abrupt ' } A$ 
      proof (cases)
        fix s'
        assume b:  $s \in b$ 
        assume exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s'$ 
        assume exec-w:  $\Gamma \vdash \langle \text{While } b \text{ } c, s' \rangle \Rightarrow t$ 
        from exec-w t-notin-F have  $s' \notin \text{Fault ' } F$ 
          by (auto dest: Fault-end)
        from exec-c P b valid-c ctxt this
        have  $s': s' \in \text{Normal ' } (\{s'. (s', s) \in r\} \cap P) \cup \text{Abrupt ' } A$ 
          by (auto simp add: cvalidt-def validt-def valid-def)
        show ?thesis
        proof (cases s')
          case Normal
          with exec-w s' t-notin-F
          show ?thesis
            by - (rule hyp, auto)
        next
          case Abrupt

```

```

    with exec-w have  $t=s'$ 
      by (auto dest: Abrupt-end)
    with Abrupt s' show ?thesis
      by blast
  next
    case Fault
    with exec-w have  $t=s'$ 
      by (auto dest: Fault-end)
    with Fault s' show ?thesis
      by blast
  next
    case Stuck
    with exec-w have  $t=s'$ 
      by (auto dest: Stuck-end)
    with Stuck s' show ?thesis
      by blast
  qed
next
  assume  $s \notin b$   $t=Normal\ s$  with P show ?thesis by simp
qed
qed
with wprems show  $t \in Normal \text{ ' } (P \cap -\ b) \cup Abrupt \text{ ' } A$  by blast
next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P\ (Call\ p)\ Q, A$ 
  assume  $s \in P$ 
  with wf
  show  $\Gamma \vdash While\ b\ c \downarrow Normal\ s$ 
  proof (induct)
    fix s
    assume hyp:  $\bigwedge s'. \llbracket (s', s) \in r; s' \in P \rrbracket \implies \Gamma \vdash While\ b\ c \downarrow Normal\ s'$ 
    assume  $P: s \in P$ 
    show  $\Gamma \vdash While\ b\ c \downarrow Normal\ s$ 
    proof (cases  $s \in b$ )
      case False with P show ?thesis
        by (blast intro: terminates.intros)
    next
      case True
      with valid-c P ctxt
      have  $\Gamma \vdash c \downarrow Normal\ s$ 
        by (simp add: cvalidt-def validt-def)
      moreover
      {
        fix  $s'$ 
        assume exec-c:  $\Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow s'$ 
        have  $\Gamma \vdash While\ b\ c \downarrow s'$ 
        proof (cases  $s'$ )
          case (Normal s'')

```



```

      with exec-c P True valid-c ctxt
      have s': s' ∈ Normal ‘  $(\{s'. (s', s) \in r\} \cap P)$ 
      by (fastforce simp add: cvalidt-def validt-def valid-def)
      then show ?thesis
      by (blast intro: hyp)
    qed auto
  }
  ultimately
  show ?thesis
  by (blast intro: terminates.intros)
  qed
  qed
  qed
next
  case (Guard  $\Theta$  F g P c Q A f)
  have valid-c:  $\Gamma, \Theta \models_{t/F} (g \cap P) \ c \ Q, A$  by fact
  show  $\Gamma, \Theta \models_{t/F} (g \cap P) \ \text{Guard } f \ g \ c \ Q, A$ 
  proof (rule cvalidtI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
    assume exec:  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow t$ 
    assume t-notin-F:  $t \notin \text{Fault} \ ' \ F$ 
    assume P:s  $\in (g \cap P)$ 
    from exec P have  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
    by cases auto
    from valid-c ctxt this P t-notin-F
    show  $t \in \text{Normal} \ ' \ Q \cup \text{Abrupt} \ ' \ A$ 
    by (rule cvalidt-postD)
  next
    fix s
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
    assume P:s  $\in (g \cap P)$ 
    thus  $\Gamma \vdash \text{Guard } f \ g \ c \ \downarrow \ \text{Normal } s$ 
    by (auto intro: terminates.intros cvalidt-termD [OF valid-c ctxt])
  qed
next
  case (Guarantee f F  $\Theta \ g \ P \ c \ Q \ A$ )
  have valid-c:  $\Gamma, \Theta \models_{t/F} (g \cap P) \ c \ Q, A$  by fact
  have f-F:  $f \in F$  by fact
  show  $\Gamma, \Theta \models_{t/F} P \ \text{Guard } f \ g \ c \ Q, A$ 
  proof (rule cvalidtI)
    fix s t
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
    assume exec:  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow t$ 
    assume t-notin-F:  $t \notin \text{Fault} \ ' \ F$ 
    assume P:s  $\in P$ 
    from exec f-F t-notin-F have  $g: s \in g$ 
    by cases auto

```

```

with  $P$  have  $P'$ :  $s \in g \cap P$ 
  by blast
from exec g have  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
  by cases auto
from valid-c ctxt this P' t-notin-F
show  $t \in \text{Normal} \text{ ' } Q \cup \text{Abrupt} \text{ ' } A$ 
  by (rule cvalidt-postD)
next
  fix  $s$ 
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
  assume  $P:s \in P$ 
  thus  $\Gamma \vdash \text{Guard } f \text{ } g \text{ } c \downarrow \text{Normal } s$ 
  by (auto intro: terminates.intros cvalidt-termD [OF valid-c ctxt])
qed
next
  case (CallRec P p Q A Specs r Specs-wf  $\Theta$  F)
  have  $p: (P, p, Q, A) \in \text{Specs}$  by fact
  have  $wf: wf \text{ } r$  by fact
  have Specs-wf:
     $\text{Specs-wf} = (\lambda p \tau. (\lambda (P, q, Q, A). (P \cap \{s. ((s, q), \tau, p) \in r\}, q, Q, A))) \text{ ' } \text{Specs})$  by
fact
  from CallRec.hyps
  have valid-body:
     $\forall (P, p, Q, A) \in \text{Specs}. p \in \text{dom } \Gamma \wedge$ 
     $(\forall \tau. \Gamma, \Theta \cup \text{Specs-wf } p \tau \models_{t/F} (\{\tau\} \cap P) \text{ the } (\Gamma \text{ } p) \text{ } Q, A)$  by auto
  show  $\Gamma, \Theta \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
  proof –
  {
    fix  $\tau p$ 
    assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
    from wf
    have  $\bigwedge \tau p P Q A. \llbracket \tau p = (\tau, p); (P, p, Q, A) \in \text{Specs} \rrbracket \Rightarrow$ 
       $\Gamma \models_{t/F} (\{\tau\} \cap P) \text{ (the } (\Gamma \text{ } p)) \text{ } Q, A$ 
    proof (induct  $\tau p$  rule: wf-induct [rule-format, consumes 1, case-names WF])
    case (WF  $\tau p \tau p P Q A$ )
    have  $\tau p: \tau p = (\tau, p)$  by fact
    have  $p: (P, p, Q, A) \in \text{Specs}$  by fact
    {
      fix  $q P' Q' A'$ 
      assume  $q: (P', q, Q', A') \in \text{Specs}$ 
      have  $\Gamma \models_{t/F} (P' \cap \{s. ((s, q), \tau, p) \in r\}) \text{ (Call } q) \text{ } Q', A'$ 
      proof (rule validtI)
      fix  $s t$ 
      assume exec-q:
         $\Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow t$ 
      assume Pre:  $s \in P' \cap \{s. ((s, q), \tau, p) \in r\}$ 
      assume t-notin-F:  $t \notin \text{Fault} \text{ ' } F$ 
      from Pre q  $\tau p$ 

```

```

have valid-bdy:
   $\Gamma \models_{t/F} (\{s\} \cap P')$  the  $(\Gamma \ q) \ Q', A'$ 
  by - (rule WF.hyps, auto)
from Pre q
have Pre':  $s \in \{s\} \cap P'$ 
  by auto
from exec-q show  $t \in \text{Normal} \ ' \ Q' \cup \text{Abrupt} \ ' \ A'$ 
proof (cases)
  fix bdy
  assume bdy:  $\Gamma \ q = \text{Some } bdy$ 
  assume exec-bdy:  $\Gamma \vdash \langle bdy, \text{Normal } s \rangle \Rightarrow t$ 
  from valid-bdy [simplified bdy option.sel] t-notin-F exec-bdy Pre'
  have  $t \in \text{Normal} \ ' \ Q' \cup \text{Abrupt} \ ' \ A'$ 
    by (auto simp add: validt-def valid-def)
  with Pre q
  show ?thesis
    by auto
next
  assume  $\Gamma \ q = \text{None}$ 
  with q valid-body have False by auto
  thus ?thesis ..
qed
next
  fix s
  assume Pre:  $s \in P' \cap \{s. ((s, q), \tau, p) \in r\}$ 
  from Pre q  $\tau p$ 
  have valid-bdy:
     $\Gamma \models_{t/F} (\{s\} \cap P')$  (the  $(\Gamma \ q)$ )  $Q', A'$ 
    by - (rule WF.hyps, auto)
  from Pre q
  have Pre':  $s \in \{s\} \cap P'$ 
    by auto
  from valid-bdy ctxt Pre'
  have  $\Gamma \vdash \text{the } (\Gamma \ q) \downarrow \text{Normal } s$ 
    by (auto simp add: validt-def)
  with valid-body q
  show  $\Gamma \vdash \text{Call } q \downarrow \text{Normal } s$ 
    by (fastforce intro: terminates.Call)
  qed
}
hence  $\forall (P, p, Q, A) \in \text{Specs-wf } p \ \tau. \Gamma \models_{t/F} P \ \text{Call } p \ Q, A$ 
  by (auto simp add: cvalidt-def Specs-wf)
with ctxt have  $\forall (P, p, Q, A) \in \Theta \cup \text{Specs-wf } p \ \tau. \Gamma \models_{t/F} P \ \text{Call } p \ Q, A$ 
  by auto
with p valid-body
show  $\Gamma \models_{t/F} (\{\tau\} \cap P)$  (the  $(\Gamma \ p)$ )  $Q, A$ 
  by (simp add: cvalidt-def) blast
qed

```

```

}
note lem = this
have valid-body':
   $\bigwedge \tau. \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A \implies$ 
   $\forall (P, p, Q, A) \in \text{Specs}. \Gamma \models_{t/F} (\{\tau\} \cap P) \text{ (the } (\Gamma \text{ } p)) \text{ } Q, A$ 
  by (auto intro: lem)
show  $\Gamma, \Theta \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
  assume exec-call:  $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-notin-F:  $t \notin \text{Fault } F$ 
  from exec-call show  $t \in \text{Normal } Q \cup \text{Abrupt } A$ 
  proof (cases)
    fix bdy
    assume bdy:  $\Gamma \text{ } p = \text{Some } bdy$ 
    assume exec-body:  $\Gamma \vdash \langle bdy, \text{Normal } s \rangle \Rightarrow t$ 
    from exec-body bdy p P t-notin-F
      valid-body' [of s, OF ctxt]
      ctxt
    have  $t \in \text{Normal } Q \cup \text{Abrupt } A$ 
    apply (simp only: cvalidt-def validt-def valid-def)
    apply (drule (1) bspec)
    apply auto
    done
  with p P
  show ?thesis
  by simp
next
  assume  $\Gamma \text{ } p = \text{None}$ 
  with p valid-body have False by auto
  thus ?thesis by simp
qed
next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
  assume P:  $s \in P$ 
  show  $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s$ 
  proof –
    from ctxt P p valid-body' [of s, OF ctxt]
    have  $\Gamma \vdash \text{the } (\Gamma \text{ } p) \downarrow \text{Normal } s$ 
    by (auto simp add: cvalidt-def validt-def)
    with valid-body p show ?thesis
    by (fastforce intro: terminates.Call)
  qed
qed
qed

```

```

next
case (DynCom P  $\Theta$  F c Q A)
hence valid-c:  $\forall s \in P. \Gamma, \Theta \models_{t/F} P (c\ s)\ Q, A$  by simp
show  $\Gamma, \Theta \models_{t/F} P\ DynCom\ c\ Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (Call\ p)\ Q, A$ 
  assume exec:  $\Gamma \vdash \langle DynCom\ c, Normal\ s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-notin-F:  $t \notin Fault\ 'F$ 
  from exec show  $t \in Normal\ 'Q \cup Abrupt\ 'A$ 
  proof (cases)
    assume  $\Gamma \vdash \langle c\ s, Normal\ s \rangle \Rightarrow t$ 
    from cvalidt-postD [OF valid-c [rule-format, OF P] ctxt this P t-notin-F]
    show ?thesis .
  qed
qed
next
fix s
assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (Call\ p)\ Q, A$ 
assume P:  $s \in P$ 
show  $\Gamma \vdash DynCom\ c \downarrow Normal\ s$ 
proof -
  from cvalidt-termD [OF valid-c [rule-format, OF P] ctxt P]
  have  $\Gamma \vdash c\ s \downarrow Normal\ s$  .
  thus ?thesis
    by (rule terminates.intros)
qed
qed
next
case (Throw  $\Theta$  F A Q)
show  $\Gamma, \Theta \models_{t/F} A\ Throw\ Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume  $\Gamma \vdash \langle Throw, Normal\ s \rangle \Rightarrow t\ s \in A$ 
  then show  $t \in Normal\ 'Q \cup Abrupt\ 'A$ 
    by cases simp
next
fix s
show  $\Gamma \vdash Throw \downarrow Normal\ s$ 
  by (rule terminates.intros)
qed
next
case (Catch  $\Theta$  F P c1 Q R c2 A)
have valid-c1:  $\Gamma, \Theta \models_{t/F} P\ c_1\ Q, R$  by fact
have valid-c2:  $\Gamma, \Theta \models_{t/F} R\ c_2\ Q, A$  by fact
show  $\Gamma, \Theta \models_{t/F} P\ Catch\ c_1\ c_2\ Q, A$ 
proof (rule cvalidtI)
  fix s t

```

```

assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
assume exec:  $\Gamma \vdash \langle \text{Catch } c_1 \text{ } c_2, \text{Normal } s \rangle \Rightarrow t$ 
assume P:  $s \in P$ 
assume t-notin-F:  $t \notin \text{Fault } F$ 
from exec show  $t \in \text{Normal } Q \cup \text{Abrupt } A$ 
proof (cases)
  fix s'
    assume exec-c1:  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s'$ 
    assume exec-c2:  $\Gamma \vdash \langle c_2, \text{Normal } s' \rangle \Rightarrow t$ 
    from cvalidt-postD [OF valid-c1 ctxt exec-c1 P]
    have Abrupt s' ∈ Abrupt A
      by auto
    with cvalidt-postD [OF valid-c2 ctxt exec-c2 t-notin-F]
    show ?thesis
      by fastforce
next
  assume exec-c1:  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow t$ 
  assume notAbr:  $\neg \text{isAbr } t$ 
  from cvalidt-postD [OF valid-c1 ctxt exec-c1 P t-notin-F]
  have  $t \in \text{Normal } Q \cup \text{Abrupt } R$  .
  with notAbr
  show ?thesis
    by auto
qed
next
fix s
assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
assume P:  $s \in P$ 
show  $\Gamma \vdash \text{Catch } c_1 \text{ } c_2 \downarrow \text{Normal } s$ 
proof –
  from valid-c1 ctxt P
  have  $\Gamma \vdash c_1 \downarrow \text{Normal } s$ 
    by (rule cvalidt-termD)
  moreover
  {
    fix r assume exec-c1:  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } r$ 
    from cvalidt-postD [OF valid-c1 ctxt exec-c1 P]
    have  $r: \text{Abrupt } r \in \text{Normal } Q \cup \text{Abrupt } R$ 
      by auto
    hence  $\text{Abrupt } r \in \text{Abrupt } R$  by fast
    with cvalidt-termD [OF valid-c2 ctxt exec-c1]
    have  $\Gamma \vdash c_2 \downarrow \text{Normal } r$ 
      by fast
  }
  ultimately show ?thesis
    by (iprover intro: terminates.intros)
qed
qed
next

```

case (*Conseq* $P \Theta F c Q A$)
hence *adapt*:
 $\forall s \in P. (\exists P' Q' A'. (\Gamma, \Theta \models_{t/F} P' c Q', A') \wedge s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A)$
by *blast*
show $\Gamma, \Theta \models_{t/F} P c Q, A$
proof (*rule cvalidtI*)
fix $s t$
assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (\text{Call } p) Q, A$
assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$
assume $P: s \in P$
assume *t-notin-F*: $t \notin \text{Fault } F$
show $t \in \text{Normal } Q \cup \text{Abrupt } A$
proof –
from *adapt* [*rule-format*, *OF P*]
obtain P' and Q' and A' where
 $\text{valid-}P'-Q': \Gamma, \Theta \models_{t/F} P' c Q', A'$
and *weaken*: $s \in P' Q' \subseteq Q A' \subseteq A$
by *blast*
from *exec valid-}P'-Q' ctxt t-notin-F*
have $P'-Q': \text{Normal } s \in \text{Normal } P' \longrightarrow$
 $t \in \text{Normal } Q' \cup \text{Abrupt } A'$
by (*unfold cvalidt-def validt-def valid-def*) *blast*
hence $s \in P' \longrightarrow t \in \text{Normal } Q' \cup \text{Abrupt } A'$
by *blast*
with *weaken*
show *?thesis*
by *blast*
qed
next
fix s
assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (\text{Call } p) Q, A$
assume $P: s \in P$
show $\Gamma \vdash c \downarrow \text{Normal } s$
proof –
from P *adapt*
obtain P' and Q' and A' where
 $\Gamma, \Theta \models_{t/F} P' c Q', A'$
 $s \in P'$
by *blast*
with *ctxt*
show *?thesis*
by (*simp add: cvalidt-def validt-def*)
qed
qed
next
case (*Asm* $P p Q A \Theta F$)
assume $(P, p, Q, A) \in \Theta$
then show $\Gamma, \Theta \models_{t/F} P (\text{Call } p) Q, A$

```

    by (auto simp add: cvalidt-def )
next
  case ExFalso thus ?case by iprover
qed

```

```

lemma hoaret-sound':
 $\Gamma, \{\} \vdash_{t/F} P \text{ c } Q, A \implies \Gamma \models_{t/F} P \text{ c } Q, A$ 
  apply (drule hoaret-sound)
  apply (simp add: cvalidt-def)
done

```

```

theorem total-to-partial:
  assumes total:  $\Gamma, \{\} \vdash_{t/F} P \text{ c } Q, A$  shows  $\Gamma, \{\} \vdash_{t/F} P \text{ c } Q, A$ 
proof -
  from total have  $\Gamma, \{\} \models_{t/F} P \text{ c } Q, A$ 
    by (rule hoaret-sound)
  hence  $\Gamma \models_{t/F} P \text{ c } Q, A$ 
    by (simp add: cvalidt-def validt-def cvalid-def)
  thus ?thesis
    by (rule hoare-complete)
qed

```

10.2 Completeness

```

lemma MGT-valid:
 $\Gamma \models_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash c \downarrow \text{Normal } s\} \text{ c}$ 
   $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
proof (rule validtI)
  fix s t
  assume  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
     $s \in \{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash c \downarrow \text{Normal } s\}$ 
     $t \notin \text{Fault } 'F$ 
  thus  $t \in \text{Normal } ' \{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\} \cup$ 
     $\text{Abrupt } ' \{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
    apply (cases t)
    apply (auto simp add: final-notin-def)
  done
next
  fix s
  assume  $s \in \{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash c \downarrow \text{Normal } s\}$ 
  thus  $\Gamma \vdash c \downarrow \text{Normal } s$ 
    by blast
qed

```

The consequence rule where the existential Z is instantiated to s . Usefull in proof of *MGT-lemma*.

lemma *ConseqMGT*:

assumes *modif*: $\forall Z::'a. \Gamma, \Theta \vdash_{t/F} (P' Z::'a \text{ assn}) \ c \ (Q' Z), (A' Z)$
assumes *impl*: $\bigwedge s. s \in P \implies s \in P' s \wedge (\forall t. t \in Q' s \longrightarrow t \in Q) \wedge$
 $(\forall t. t \in A' s \longrightarrow t \in A)$
shows $\Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$
using *impl*
by $- \text{ (rule conseq [OF modif], blast)}$

lemma *MGT-implies-complete*:

assumes *MGT*: $\forall Z. \Gamma, \{\} \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F)) \wedge$
 $\Gamma \vdash c \downarrow \text{Normal } s\}$
 $\overset{c}{\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},}$
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
assumes *valid*: $\Gamma \models_{t/F} P \ c \ Q, A$
shows $\Gamma, \{\} \vdash_{t/F} P \ c \ Q, A$
using *MGT*
apply *(rule ConseqMGT)*
apply *(insert valid)*
apply *(auto simp add: validt-def valid-def intro!: final-notinI)*
done

lemma *conseq-extract-state-indep-prop*:

assumes *state-indep-prop*: $\forall s \in P. R$
assumes *to-show*: $R \implies \Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$
shows $\Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$
apply *(rule Conseq)*
apply *(clarify)*
apply *(rule-tac x=P in exI)*
apply *(rule-tac x=Q in exI)*
apply *(rule-tac x=A in exI)*
using *state-indep-prop to-show*
by *blast*

lemma *MGT-lemma*:

assumes *MGT-Calls*:
 $\forall p \in \text{dom } \Gamma. \forall Z. \Gamma, \Theta \vdash_{t/F}$
 $\{s. s=Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F)) \wedge$
 $\Gamma \vdash (\text{Call } p) \downarrow \text{Normal } s\}$
 $(\text{Call } p)$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
shows $\bigwedge Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$
 \wedge
 $\Gamma \vdash c \downarrow \text{Normal } s\}$
 $\overset{c}{\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}}$

```

proof (induct c)
  case Skip
    show  $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
       $\Gamma \vdash \text{Skip} \downarrow \text{Normal } s\}$ 
       $\text{Skip}$ 
       $\{t. \Gamma \vdash \langle \text{Skip}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle \text{Skip}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
    by (rule hoaret.Skip [THEN conseqPre])
      (auto elim: exec-elim-cases simp add: final-notin-def
        intro: exec.intros terminates.intros)
  next
    case (Basic f)
    show  $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
 $\wedge$ 
       $\Gamma \vdash \text{Basic } f \downarrow \text{Normal } s\}$ 
       $\text{Basic } f$ 
       $\{t. \Gamma \vdash \langle \text{Basic } f, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
       $\{t. \Gamma \vdash \langle \text{Basic } f, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
    by (rule hoaret.Basic [THEN conseqPre])
      (auto elim: exec-elim-cases simp add: final-notin-def
        intro: exec.intros terminates.intros)
  next
    case (Spec r)
    show  $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
       $\Gamma \vdash \text{Spec } r \downarrow \text{Normal } s\}$ 
       $\text{Spec } r$ 
       $\{t. \Gamma \vdash \langle \text{Spec } r, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
       $\{t. \Gamma \vdash \langle \text{Spec } r, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
    apply (rule hoaret.Spec [THEN conseqPre])
    apply (clarsimp simp add: final-notin-def)
    apply (case-tac  $\exists t. (Z, t) \in r$ )
    apply (auto elim: exec-elim-cases simp add: final-notin-def intro: exec.intros)
    done
  next
    case (Seq c1 c2)
    have hyp-c1:  $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
 $(-F)) \wedge$ 
       $\Gamma \vdash c1 \downarrow \text{Normal } s\}$ 
       $c1$ 
       $\{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
       $\{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
    using Seq.hyps by iprover
    have hyp-c2:  $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle c2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
 $(-F)) \wedge$ 
       $\Gamma \vdash c2 \downarrow \text{Normal } s\}$ 
       $c2$ 
       $\{t. \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
       $\{t. \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
    using Seq.hyps by iprover

```

from *hyp-c1*
have $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 \wedge
 $\Gamma \vdash \text{Seq } c1 \ c2 \downarrow \text{Normal } s \} \ c1$
 $\{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t \wedge \Gamma \vdash \langle c2, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \wedge$
 $\Gamma \vdash c2 \downarrow \text{Normal } t \},$
 $\{t. \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by (*rule ConseqMGT*)
 $(\text{auto } \text{dest: Seq-NoFaultStuckD1 [simplified] Seq-NoFaultStuckD2 [simplified]}$
 $\text{elim: terminates-Normal-elim-cases}$
 $\text{intro: exec.intros})$
thus $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 \wedge
 $\Gamma \vdash \text{Seq } c1 \ c2 \downarrow \text{Normal } s \}$
 $\text{Seq } c1 \ c2$
 $\{t. \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
proof (*rule hoaret.Seq*)
show $\Gamma, \Theta \vdash_{t/F} \{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t \wedge$
 $\Gamma \vdash \langle c2, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash c2 \downarrow \text{Normal}$
 $t\}$
 $c2$
 $\{t. \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
proof (*rule ConseqMGT [OF hyp-c2],safe*)
fix $r \ t$
assume $\Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } r \ \Gamma \vdash \langle c2, \text{Normal } r \rangle \Rightarrow \text{Normal } t$
then show $\Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$
by (*rule exec.intros*)
next
fix $r \ t$
assume $\Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } r \ \Gamma \vdash \langle c2, \text{Normal } r \rangle \Rightarrow \text{Abrupt } t$
then show $\Gamma \vdash \langle \text{Seq } c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$
by (*rule exec.intros*)
qed
qed
next
case (*Cond b c1 c2*)
have $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash c1 \downarrow \text{Normal } s \}$
 $c1$
 $\{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
using *Cond.hyps* **by** *iprover*
hence $\Gamma, \Theta \vdash_{t/F} (\{s. s=Z \wedge \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $(-F)) \wedge$
 $\Gamma \vdash (\text{Cond } b \ c1 \ c2) \downarrow \text{Normal } s \} \cap b)$
 $c1$

$\{t. \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by (*rule ConseqMGT*)
(fastforce simp add: final-notin-def intro: exec.CondTrue
elim: terminates-Normal-elim-cases)
moreover
have $\forall Z. \Gamma, \Theta \vdash_t /_F \{s. s=Z \wedge \Gamma \vdash \langle c2, \text{Normal } s \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash c2 \downarrow \text{Normal } s\}$
 $c2$
 $\{t. \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
using *Cond.hyps* **by** *iprover*
hence $\Gamma, \Theta \vdash_t /_F (\{s. s=Z \wedge \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } '(-F)) \wedge$
 $(-F)) \wedge$
 $\Gamma \vdash (\text{Cond } b \ c1 \ c2) \downarrow \text{Normal } s \} \cap -b)$
 $c2$
 $\{t. \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by (*rule ConseqMGT*)
(fastforce simp add: final-notin-def intro: exec.CondFalse
elim: terminates-Normal-elim-cases)
ultimately
show $\Gamma, \Theta \vdash_t /_F \{s. s=Z \wedge \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } '(-F)) \wedge$
 $(-F)) \wedge$
 $\Gamma \vdash (\text{Cond } b \ c1 \ c2) \downarrow \text{Normal } s\}$
 $(\text{Cond } b \ c1 \ c2)$
 $\{t. \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by (*rule hoaret.Cond*)
next
case (*While b c*)
let $?unroll = (\{s, t\}. s \in b \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\})^*$
let $?P' = \lambda Z. \{t. (Z, t) \in ?unroll \wedge$
 $(\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } '(-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)) \wedge$
 $\Gamma \vdash (\text{While } b \ c) \downarrow \text{Normal } t\}$
let $?A = \lambda Z. \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
let $?r = \{(t, s). \Gamma \vdash (\text{While } b \ c) \downarrow \text{Normal } s \wedge s \in b \wedge$
 $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\}$
show $\Gamma, \Theta \vdash_t /_F \{s. s=Z \wedge \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } '(-F))$
 \wedge
 $\Gamma \vdash (\text{While } b \ c) \downarrow \text{Normal } s\}$
 $(\text{While } b \ c)$
 $\{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
proof (*rule ConseqMGT* [**where** $?P' = \lambda Z. ?P' Z$
and $?Q' = \lambda Z. ?P' Z \cap - b]$)

have *wf-r*: *wf* ?*r* **by** (*rule wf-terminates-while*)
show $\forall Z. \Gamma, \Theta \vdash_t /_F (?P' Z) (While\ b\ c) (?P' Z \cap -\ b), (?A\ Z)$
proof (*rule allI*, *rule hoaret.While* [*OF wf-r*])
fix *Z*
from *While*
have *hyp-c*: $\forall Z. \Gamma, \Theta \vdash_t /_F \{s. s=Z \wedge \Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $\Gamma \vdash c \downarrow Normal\ s\}$
 \xrightarrow{c}
 $\{t. \Gamma \vdash \langle c, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle c, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$ **by** *iprover*
show $\forall \sigma. \Gamma, \Theta \vdash_t /_F (\{\sigma\} \cap ?P' Z \cap b)\ c$
 $(\{t. (t, \sigma) \in ?r\} \cap ?P' Z), (?A\ Z)$
proof (*rule allI*, *rule ConseqMGT* [*OF hyp-c*])
fix $\sigma\ s$
assume $s \in \{\sigma\} \cap$
 $\{t. (Z, t) \in ?unroll \wedge$
 $(\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u \longrightarrow$
 $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ u)) \wedge$
 $\Gamma \vdash (While\ b\ c) \downarrow Normal\ t\}$
 $\cap b$
then obtain
s-eq-σ: $s = \sigma$ **and**
Z-s-unroll: $(Z, s) \in ?unroll$ **and**
noabort: $\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u \longrightarrow$
 $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ u)$ **and**
while-term: $\Gamma \vdash (While\ b\ c) \downarrow Normal\ s$ **and**
s-in-b: $s \in b$
by *blast*
show $s \in \{t. t = s \wedge \Gamma \vdash \langle c, Normal\ t \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $\Gamma \vdash c \downarrow Normal\ t\} \wedge$
 $(\forall t. t \in \{t. \Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow Normal\ t\} \longrightarrow$
 $t \in \{t. (t, \sigma) \in ?r\} \cap$
 $\{t. (Z, t) \in ?unroll \wedge$
 $(\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u \longrightarrow$
 $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ u)) \wedge$
 $\Gamma \vdash (While\ b\ c) \downarrow Normal\ t\}) \wedge$
 $(\forall t. t \in \{t. \Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow Abrupt\ t\} \longrightarrow$
 $t \in \{t. \Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ t\})$
(is ?*C1* \wedge ?*C2* \wedge ?*C3*)
proof (*intro conjI*)
from *Z-s-unroll noabort s-in-b while-term show* ?*C1*
by (*blast elim: terminates-Normal-elim-cases*)

```

next
{
  fix t
  assume s-t:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t$ 
  with s-eq- $\sigma$  while-term s-in-b have  $(t, \sigma) \in ?r$ 
  by blast
  moreover
  from Z-s-unroll s-t s-in-b
  have  $(Z, t) \in ?\text{unroll}$ 
  by (blast intro: rtranc1-into-rtranc1)
  moreover from while-term s-t s-in-b
  have  $\Gamma \vdash (\text{While } b \ c) \downarrow \text{Normal } t$ 
  by (blast elim: terminates-Normal-elim-cases)
  moreover note noabort
  ultimately
  have  $(t, \sigma) \in ?r \wedge (Z, t) \in ?\text{unroll} \wedge$ 
     $(\forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$ 
       $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F))) \wedge$ 
       $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$ 
         $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)) \wedge$ 
       $\Gamma \vdash (\text{While } b \ c) \downarrow \text{Normal } t$ 
    by iprover
}
then show ?C2 by blast
next
{
  fix t
  assume s-t:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t$ 
  from Z-s-unroll noabort s-t s-in-b
  have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
  by blast
} thus ?C3 by simp
qed
qed
qed
next
fix s
assume P:  $s \in \{s. s=Z \wedge \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
 $(-F)) \wedge$ 
 $\Gamma \vdash \text{While } b \ c \downarrow \text{Normal } s\}$ 
hence WhileNoFault:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
by auto
show  $s \in ?P' \ s \wedge$ 
 $(\forall t. t \in (?P' \ s \cap - \ b) \longrightarrow$ 
 $t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}) \wedge$ 
 $(\forall t. t \in ?A \ s \longrightarrow t \in ?A \ Z)$ 
proof (intro conjI)
{
  fix e

```

```

assume  $(Z, e) \in ?unroll\ e \in b$ 
from this WhileNoFault
have  $\Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F)) \wedge$ 
 $(\forall u. \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u \longrightarrow$ 
 $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ u)$  (is ?Prop Z e)
proof (induct rule: converse-rtrancl-induct [consumes 1])
  assume e-in-b: e ∈ b
    assume WhileNoFault:  $\Gamma \vdash \langle While\ b\ c, Normal\ e \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F))$ 
      with e-in-b WhileNoFault
      have cNoFault:  $\Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F))$ 
        by (auto simp add: final-notin-def intro: exec.intros)
      moreover
      {
        fix u assume  $\Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u$ 
        with e-in-b have  $\Gamma \vdash \langle While\ b\ c, Normal\ e \rangle \Rightarrow Abrupt\ u$ 
        by (blast intro: exec.intros)
      }
      ultimately
      show ?Prop e e
      by iprover
    next
    fix Z r
    assume e-in-b: e ∈ b
    assume WhileNoFault:  $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F))$ 
      assume hyp:  $\llbracket e \in b; \Gamma \vdash \langle While\ b\ c, Normal\ r \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F)) \rrbracket$ 
 $\implies ?Prop\ r\ e$ 
      assume Z-r:
 $(Z, r) \in \{(Z, r). Z \in b \wedge \Gamma \vdash \langle c, Normal\ Z \rangle \Rightarrow Normal\ r\}$ 
      with WhileNoFault
      have  $\Gamma \vdash \langle While\ b\ c, Normal\ r \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F))$ 
      by (auto simp add: final-notin-def intro: exec.intros)
      from hyp [OF e-in-b this] obtain
      cNoFault:  $\Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F))$  and
      Abrupt-r:  $\forall u. \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u \longrightarrow$ 
 $\Gamma \vdash \langle While\ b\ c, Normal\ r \rangle \Rightarrow Abrupt\ u$ 
      by simp

      {
        fix u assume  $\Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u$ 
        with Abrupt-r have  $\Gamma \vdash \langle While\ b\ c, Normal\ r \rangle \Rightarrow Abrupt\ u$  by simp
        moreover from Z-r obtain
         $Z \in b\ \Gamma \vdash \langle c, Normal\ Z \rangle \Rightarrow Normal\ r$ 
        by simp
        ultimately have  $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ u$ 
        by (blast intro: exec.intros)
      }
    with cNoFault show ?Prop Z e

```

```

      by iprover
    qed
  }
  with P show s ∈ ?P' s
  by blast
next
{
  fix t
  assume termination: t ∉ b
  assume (Z, t) ∈ ?unroll
  hence Γ ⊢ ⟨While b c, Normal Z⟩ ⇒ Normal t
  proof (induct rule: converse-rtrancl-induct [consumes 1])
    from termination
    show Γ ⊢ ⟨While b c, Normal t⟩ ⇒ Normal t
    by (blast intro: exec.WhileFalse)
  next
    fix Z r
    assume first-body:
      (Z, r) ∈ {(s, t). s ∈ b ∧ Γ ⊢ ⟨c, Normal s⟩ ⇒ Normal t}
    assume (r, t) ∈ ?unroll
    assume rest-loop: Γ ⊢ ⟨While b c, Normal r⟩ ⇒ Normal t
    show Γ ⊢ ⟨While b c, Normal Z⟩ ⇒ Normal t
    proof -
      from first-body obtain
        Z ∈ b Γ ⊢ ⟨c, Normal Z⟩ ⇒ Normal r
      by fast
    moreover
      from rest-loop have
        Γ ⊢ ⟨While b c, Normal r⟩ ⇒ Normal t
      by fast
    ultimately show Γ ⊢ ⟨While b c, Normal Z⟩ ⇒ Normal t
    by (rule exec.WhileTrue)
  qed
  qed
}
with P
show (∀ t. t ∈ (?P' s ∩ - b)
  → t ∈ {t. Γ ⊢ ⟨While b c, Normal Z⟩ ⇒ Normal t})
  by blast
next
  from P show ∀ t. t ∈ ?A s → t ∈ ?A Z
  by simp
qed
qed
next
  case (Call p)
  from noStuck-Call
  have ∀ s ∈ {s. s = Z ∧ Γ ⊢ ⟨Call p, Normal s⟩ ⇒ ¬({Stuck} ∪ Fault ' (-F)) ∧
    Γ ⊢ Call p ↓ Normal s}.

```


$p \in \text{dom } \Gamma$
by (*fastforce simp add: final-notin-def*)
then show *?case*
proof (*rule conseq-extract-state-indep-prop*)
assume *p-defined: $p \in \text{dom } \Gamma$*
with *MGT-Calls show*
 $\Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge$
 $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s\}$
 $(\text{Call } p)$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by (*auto*)
qed
next
case (*DynCom c*)
have *hyp*:
 $\bigwedge s'. \forall Z. \Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle c \ s', \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
 \wedge
 $\Gamma \vdash c \ s' \downarrow \text{Normal } s\} \ c \ s'$
 $\{t. \Gamma \vdash \langle c \ s', \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c \ s', \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
using *DynCom by simp*
have *hyp'*:
 $\Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{DynCom } c \downarrow \text{Normal } s\}$
 $(c \ Z)$
 $\{t. \Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle$
 $\Rightarrow \text{Abrupt } t\}$
by (*rule ConseqMGT [OF hyp]*)
(fastforce simp add: final-notin-def intro: exec.intros
elim: terminates-Normal-elim-cases)
show $\Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
 \wedge
 $\Gamma \vdash \text{DynCom } c \downarrow \text{Normal } s\}$
 $\text{DynCom } c$
 $\{t. \Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
apply (*rule hoaret.DynCom*)
apply (*clarsimp*)
apply (*rule hyp' [simplified]*)
done
next
case (*Guard f g c*)
have *hyp-c: $\forall Z. \Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$*
 $(-F)) \wedge$
 $\Gamma \vdash c \downarrow \text{Normal } s\}$
 c
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

```

using Guard by iprover
show  $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } ' (-F)) \wedge$ 
 $(-F)) \wedge$ 
 $\Gamma \vdash \text{Guard } f \ g \ c \downarrow \text{Normal } s\}$ 
 $\text{Guard } f \ g \ c$ 
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
proof (cases  $f \in F$ )
  case True
  from hyp-c
  have  $\Gamma, \Theta \vdash_{t/F} (g \cap \{s. s = Z \wedge$ 
 $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } ' (-F)) \wedge$ 
 $\Gamma \vdash \text{Guard } f \ g \ c \downarrow \text{Normal } s\})$ 
 $c$ 
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  apply (rule ConseqMGT)
  apply (insert True)
  apply (auto simp add: final-notin-def intro: exec.intros
    elim: terminates-Normal-elim-cases)
  done
  from True this
  show ?thesis
  by (rule conseqPre [OF Guarantee] auto)
next
  case False
  from hyp-c
  have  $\Gamma, \Theta \vdash_{t/F} (g \cap \{s. s \in g \wedge s = Z \wedge$ 
 $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } ' (-F)) \wedge$ 
 $\Gamma \vdash \text{Guard } f \ g \ c \downarrow \text{Normal } s\})$ 
 $c$ 
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  apply (rule ConseqMGT)
  apply clarify
  apply (frule Guard-noFaultStuckD [OF - False])
  apply (auto simp add: final-notin-def intro: exec.intros
    elim: terminates-Normal-elim-cases)
  done
  then show ?thesis
  apply (rule conseqPre [OF hoaret.Guard])
  apply clarify
  apply (frule Guard-noFaultStuckD [OF - False])
  apply auto
  done
qed
next
  case Throw
  show  $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } ' (-F))$ 

```

$$\begin{array}{l}
\wedge \\
\Gamma \vdash \text{Throw} \downarrow \text{Normal } s \} \\
\text{Throw} \\
\{t. \Gamma \vdash \langle \text{Throw}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \\
\{t. \Gamma \vdash \langle \text{Throw}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\} \\
\text{by (rule } \textit{conseqPre} \text{ [} \textit{OF hoaret.Throw} \text{])} \\
(\textit{blast intro: exec.intros terminates.intros}) \\
\text{next} \\
\text{case (Catch } c_1 \ c_2 \text{)} \\
\text{have } \forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \\
\wedge \\
\Gamma \vdash c_1 \downarrow \text{Normal } s \} \\
c_1 \\
\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \\
\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\} \\
\text{using Catch.hyps by iprover} \\
\text{hence } \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \\
(-F)) \wedge \\
\Gamma \vdash \text{Catch } c_1 \ c_2 \downarrow \text{Normal } s \} \\
c_1 \\
\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \\
\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t \wedge \Gamma \vdash c_2 \downarrow \text{Normal } t \wedge \\
\Gamma \vdash \langle c_2, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \\
\text{by (rule } \textit{ConseqMGT}) \\
(\textit{fastforce intro: exec.intros terminates.intros} \\
\textit{elim: terminates-Normal-elim-cases} \\
\textit{simp add: final-notin-def}) \\
\text{moreover} \\
\text{have} \\
\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle c_2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \wedge \\
\Gamma \vdash c_2 \downarrow \text{Normal } s \} \ c_2 \\
\{t. \Gamma \vdash \langle c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \\
\{t. \Gamma \vdash \langle c_2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\} \\
\text{using Catch.hyps by iprover} \\
\text{hence } \Gamma, \Theta \vdash_{t/F} \{s. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } s \wedge \Gamma \vdash c_2 \downarrow \text{Normal } s \wedge \\
\Gamma \vdash \langle c_2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \\
c_2 \\
\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \\
\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\} \\
\text{by (rule } \textit{ConseqMGT}) \\
(\textit{fastforce intro: exec.intros terminates.intros} \\
\textit{simp add: noFault-def'}) \\
\text{ultimately} \\
\text{show } \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\} \\
(-F)) \wedge \\
\Gamma \vdash \text{Catch } c_1 \ c_2 \downarrow \text{Normal } s \} \\
\text{Catch } c_1 \ c_2 \\
\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \\
\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}
\end{array}$$

by (rule hoaret.Catch)
qed

lemma *Call-lemma'*:

assumes *Call-hyp*:

$\forall q \in \text{dom } \Gamma. \forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$

$\Gamma \vdash \text{Call } q \downarrow \text{Normal } s \wedge ((s,q),(\sigma,p)) \in \text{termi-call-steps } \Gamma\}$

$(\text{Call } q)$

$\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

shows $\bigwedge Z. \Gamma, \Theta \vdash_{t/F}$

$\{s. s=Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$

$(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge c \in \text{redexes } c')\}$

c

$\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

proof (induct *c*)

case *Skip*

show $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$

$\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$

$(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge \text{Skip} \in \text{redexes } c')\}$

Skip

$\{t. \Gamma \vdash \langle \text{Skip}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{Skip}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

by (rule hoaret.Skip [THEN conseqPre]) (blast intro: exec.Skip)

next

case (*Basic f*)

show $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$

\wedge

$\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$

$(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge$

$\text{Basic } f \in \text{redexes } c')\}$

Basic f

$\{t. \Gamma \vdash \langle \text{Basic } f, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{Basic } f, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

by (rule hoaret.Basic [THEN conseqPre]) (blast intro: exec.Basic)

next

case (*Spec r*)

show $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$

$\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$

$(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge$

$\text{Spec } r \in \text{redexes } c')\}$

Spec r

$\{t. \Gamma \vdash \langle \text{Spec } r, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{Spec } r, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

apply (rule hoaret.Spec [THEN conseqPre])

```

apply (clarsimp)
apply (case-tac  $\exists t. (Z, t) \in r$ )
apply (auto elim: exec-elim-cases simp add: final-notin-def intro: exec.intros)
done
next
case (Seq c1 c2)
have hyp-c1:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c1, Normal \ s \rangle \Rightarrow \notin(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$ 
 $\Gamma \vdash Call \ p \downarrow Normal \ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ s) \wedge c1 \in redexes \ c')\}$ 
 $c1$ 
 $\{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ t\},$ 
 $\{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$ 
using Seq.hyps by iprover
have hyp-c2:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c2, Normal \ s \rangle \Rightarrow \notin(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$ 
 $\Gamma \vdash Call \ p \downarrow Normal \ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ s) \wedge c2 \in redexes \ c')\}$ 
 $c2$ 
 $\{t. \Gamma \vdash \langle c2, Normal \ Z \rangle \Rightarrow Normal \ t\},$ 
 $\{t. \Gamma \vdash \langle c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$ 
using Seq.hyps (2) by iprover
have c1:  $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ s \rangle \Rightarrow \notin(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$ 
 $(-F)) \wedge$ 
 $\Gamma \vdash Call \ p \downarrow Normal \ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ s) \wedge$ 
 $Seq \ c1 \ c2 \in redexes \ c')\}$ 
 $c1$ 
 $\{t. \Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ t \wedge$ 
 $\Gamma \vdash \langle c2, Normal \ t \rangle \Rightarrow \notin(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$ 
 $\Gamma \vdash Call \ p \downarrow Normal \ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ t) \wedge$ 
 $c2 \in redexes \ c')\},$ 
 $\{t. \Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow Abrupt \ t\}$ 
proof (rule ConseqMGT [OF hyp-c1], clarify, safe)
assume  $\Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow \notin(\{Stuck\} \cup Fault \text{ ' } (-F))$ 
thus  $\Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow \notin(\{Stuck\} \cup Fault \text{ ' } (-F))$ 
by (blast dest: Seq-NoFaultStuckD1)
next
fix c'
assume steps-c':  $\Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ Z)$ 
assume red:  $Seq \ c1 \ c2 \in redexes \ c'$ 
from redexes-subset [OF red] steps-c'
show  $\exists c'. \Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ Z) \wedge c1 \in redexes \ c'$ 
by (auto iff: root-in-redexes)
next
fix t
assume  $\Gamma \vdash \langle Seq \ c1 \ c2, Normal \ Z \rangle \Rightarrow \notin(\{Stuck\} \cup Fault \text{ ' } (-F))$ 
 $\Gamma \vdash \langle c1, Normal \ Z \rangle \Rightarrow Normal \ t$ 

```

```

thus  $\Gamma \vdash \langle c2, Normal\ t \rangle \Rightarrow \notin (\{Stuck\} \cup Fault\ '(-F))$ 
  by (blast dest: Seq-NoFaultStuckD2)
next
  fix  $c'\ t$ 
  assume  $steps\text{-}c': \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ Z)$ 
  assume  $red: Seq\ c1\ c2 \in redexes\ c'$ 
  assume  $exec\text{-}c1: \Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Normal\ t$ 
  show  $\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ t) \wedge c2 \in redexes\ c'$ 
  proof –
    note  $steps\text{-}c'$ 
    also
    from  $exec\text{-}impl\text{-}steps\text{-}Normal\ [OF\ exec\text{-}c1]$ 
    have  $\Gamma \vdash (c1, Normal\ Z) \rightarrow^* (Skip, Normal\ t).$ 
    from  $steps\text{-}redexes\text{-}Seq\ [OF\ this\ red]$ 
    obtain  $c''$  where
       $steps\text{-}c'': \Gamma \vdash (c', Normal\ Z) \rightarrow^* (c'', Normal\ t)$  and
       $Skip: Seq\ Skip\ c2 \in redexes\ c''$ 
    by blast
    note  $steps\text{-}c''$ 
    also
    have  $step\text{-}Skip: \Gamma \vdash (Seq\ Skip\ c2, Normal\ t) \rightarrow (c2, Normal\ t)$ 
    by (rule step.SeqSkip)
    from  $step\text{-}redexes\ [OF\ step\text{-}Skip\ Skip]$ 
    obtain  $c'''$  where
       $step\text{-}c''': \Gamma \vdash (c'', Normal\ t) \rightarrow (c''', Normal\ t)$  and
       $c2: c2 \in redexes\ c'''$ 
    by blast
    note  $step\text{-}c'''$ 
    finally show ?thesis
    using  $c2$ 
    by blast
  qed
next
  fix  $t$ 
  assume  $\Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Abrupt\ t$ 
  thus  $\Gamma \vdash \langle Seq\ c1\ c2, Normal\ Z \rangle \Rightarrow Abrupt\ t$ 
  by (blast intro: exec.intros)
qed
show  $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle Seq\ c1\ c2, Normal\ s \rangle \Rightarrow \notin (\{Stuck\} \cup Fault\ '(-F))$ 
 $\wedge$ 
 $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ s) \wedge Seq\ c1\ c2 \in redexes$ 
 $c')\}$ 
 $Seq\ c1\ c2$ 
 $\{t. \Gamma \vdash \langle Seq\ c1\ c2, Normal\ Z \rangle \Rightarrow Normal\ t\},$ 
 $\{t. \Gamma \vdash \langle Seq\ c1\ c2, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$ 
  by (rule hoaret.Seq [OF c1 ConseqMGT [OF hyp-c2]])
  (blast intro: exec.intros)
next

```

```

case (Cond b c1 c2)
have hyp-c1:
   $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c1, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ ' (-F)) \wedge$ 
     $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$ 
     $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ s) \wedge c1 \in redexes\ c')\}$ 
    c1
     $\{t. \Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Normal\ t\},$ 
     $\{t. \Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$ 
using Cond.hyps by iprover
have
 $\Gamma, \Theta \vdash_{t/F} (\{s. s=Z \wedge \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ ' (-F))$ 
 $\wedge$ 
   $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$ 
   $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ s) \wedge$ 
     $Cond\ b\ c1\ c2 \in redexes\ c')\}$ 
   $\cap b)$ 
  c1
   $\{t. \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow Normal\ t\},$ 
   $\{t. \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$ 
proof (rule ConseqMGT [OF hyp-c1], safe)
  assume  $Z \in b\ \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ ' (-F))$ 
  thus  $\Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ ' (-F))$ 
  by (auto simp add: final-notin-def intro: exec.CondTrue)
next
fix c'
assume  $b: Z \in b$ 
assume steps-c':  $\Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ Z)$ 
assume redex-c':  $Cond\ b\ c1\ c2 \in redexes\ c'$ 
show  $\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ Z) \wedge c1 \in redexes\ c'$ 
proof –
  note steps-c'
  also
  from b
  have  $\Gamma \vdash (Cond\ b\ c1\ c2, Normal\ Z) \rightarrow (c1, Normal\ Z)$ 
  by (rule step.CondTrue)
  from step-redexes [OF this redex-c'] obtain c'' where
    step-c'':  $\Gamma \vdash (c', Normal\ Z) \rightarrow (c'', Normal\ Z)$  and
    c1:  $c1 \in redexes\ c''$ 
  by blast
  note step-c''
  finally show ?thesis
  using c1
  by blast
qed
next
fix t assume  $Z \in b\ \Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Normal\ t$ 
thus  $\Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow Normal\ t$ 
by (blast intro: exec.CondTrue)
next

```

```

fix  $t$  assume  $Z \in b \Gamma \vdash \langle c1, Normal Z \rangle \Rightarrow Abrupt t$ 
thus  $\Gamma \vdash \langle Cond\ b\ c1\ c2, Normal Z \rangle \Rightarrow Abrupt t$ 
  by (blast intro: exec.CondTrue)
qed
moreover
have hyp-c2:
   $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c2, Normal s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$ 
     $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$ 
     $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ s) \wedge c2 \in redexes\ c')\}$ 
     $c2$ 
     $\{t. \Gamma \vdash \langle c2, Normal Z \rangle \Rightarrow Normal\ t\},$ 
     $\{t. \Gamma \vdash \langle c2, Normal Z \rangle \Rightarrow Abrupt\ t\}$ 
  using Cond.hyps by iprover
have
 $\Gamma, \Theta \vdash_{t/F} (\{s. s=Z \wedge \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F))$ 
 $\wedge$ 
   $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$ 
   $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ s) \wedge$ 
   $Cond\ b\ c1\ c2 \in redexes\ c')\}$ 
   $\cap \neg b)$ 
   $c2$ 
   $\{t. \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal Z \rangle \Rightarrow Normal\ t\},$ 
   $\{t. \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal Z \rangle \Rightarrow Abrupt\ t\}$ 
proof (rule ConseqMGT [OF hyp-c2], safe)
  assume  $Z \notin b \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal Z \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F))$ 
  thus  $\Gamma \vdash \langle c2, Normal Z \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F))$ 
  by (auto simp add: final-notin-def intro: exec.CondFalse)
next
fix  $c'$ 
assume  $b: Z \notin b$ 
assume steps-c':  $\Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ Z)$ 
assume redex-c':  $Cond\ b\ c1\ c2 \in redexes\ c'$ 
show  $\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ Z) \wedge c2 \in redexes\ c'$ 
proof –
  note steps-c'
  also
  from  $b$ 
  have  $\Gamma \vdash (Cond\ b\ c1\ c2, Normal\ Z) \rightarrow (c2, Normal\ Z)$ 
  by (rule step.CondFalse)
  from step-redexes [OF this redex-c'] obtain  $c''$  where
    step-c'':  $\Gamma \vdash (c', Normal\ Z) \rightarrow (c'', Normal\ Z)$  and
     $c1: c2 \in redexes\ c''$ 
  by blast
  note step-c''
  finally show ?thesis
  using  $c1$ 
  by blast
qed
next

```



```

fix  $t$  assume  $Z \notin b$   $\Gamma \vdash \langle c2, Normal\ Z \rangle \Rightarrow Normal\ t$ 
thus  $\Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow Normal\ t$ 
  by (blast intro: exec.CondFalse)
next
fix  $t$  assume  $Z \notin b$   $\Gamma \vdash \langle c2, Normal\ Z \rangle \Rightarrow Abrupt\ t$ 
thus  $\Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow Abrupt\ t$ 
  by (blast intro: exec.CondFalse)
qed
ultimately
show
 $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F))\}$ 
 $\wedge$ 
 $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ s) \wedge$ 
 $Cond\ b\ c1\ c2 \in redexes\ c')\}$ 
 $(Cond\ b\ c1\ c2)$ 
 $\{t. \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow Normal\ t\},$ 
 $\{t. \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$ 
by (rule hoaret.Cond)
next
case (While b c)
let  $?unroll = (\{s, t\}. s \in b \wedge \Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow Normal\ t)^*$ 
let  $?P' = \lambda Z. \{t. (Z, t) \in ?unroll \wedge$ 
 $(\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$ 
 $\longrightarrow \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$ 
 $(\forall u. \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u \longrightarrow$ 
 $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ u)) \wedge$ 
 $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ t) \wedge While\ b\ c \in redexes\ c')\}$ 
let  $?A = \lambda Z. \{t. \Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$ 
let  $?r = \{(t, s). \Gamma \vdash (While\ b\ c) \downarrow Normal\ s \wedge s \in b \wedge$ 
 $\Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow Normal\ t\}$ 
show  $\Gamma, \Theta \vdash_{t/F}$ 
 $\{s. s=Z \wedge \Gamma \vdash \langle While\ b\ c, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$ 
 $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ s) \wedge While\ b\ c \in redexes\ c')\}$ 
 $(While\ b\ c)$ 
 $\{t. \Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Normal\ t\},$ 
 $\{t. \Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$ 
proof (rule ConseqMGT [where ?P'= $\lambda Z. ?P'\ Z$ 
and  $?Q'=\lambda Z. ?P'\ Z \cap -\ b]$ )
have wf-r: wf ?r by (rule wf-terminates-while)
show  $\forall Z. \Gamma, \Theta \vdash_{t/F} (?P'\ Z) (While\ b\ c) (?P'\ Z \cap -\ b), (?A\ Z)$ 
proof (rule allI, rule hoaret.While [OF wf-r])
fix  $Z$ 
from While
have hyp-c:  $\forall Z. \Gamma, \Theta \vdash_{t/F}$ 
 $\{s. s=Z \wedge \Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$ 

```

$\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$
 $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge c \in \text{redexes } c')\}$
 $\quad c$
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ **by** *iprover*
show $\forall \sigma. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap ?P' Z \cap b) \ c$
 $(\{t. (t, \sigma) \in ?r\} \cap ?P' Z), (?A Z)$
proof (*rule allI*, *rule ConseqMGT* [*OF hyp-c*])
fix $\tau \ s$
assume $\text{asm}: s \in \{\tau\} \cap$
 $\{t. (Z, t) \in ?\text{unroll} \wedge$
 $(\forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg (\{Stuck\} \cup \text{Fault } (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)) \wedge$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$
 $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } t) \wedge \text{While } b \ c \in \text{redexes } c')\}$
 $\quad \cap b$
then obtain c' **where**
 $s\text{-eq-}\tau: s = \tau$ **and**
 $Z\text{-s-unroll}: (Z, s) \in ?\text{unroll}$ **and**
 $\text{noabort}: \forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg (\{Stuck\} \cup \text{Fault } (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)$ **and**
 $\text{termi}: \Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma$ **and**
 $\text{reach}: \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s)$ **and**
 $\text{red-}c': \text{While } b \ c \in \text{redexes } c'$ **and**
 $s\text{-in-}b: s \in b$
by *blast*
obtain c'' **where**
 $\text{reach-}c: \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c'', \text{Normal } s)$
 $\text{Seq } c \ (\text{While } b \ c) \in \text{redexes } c''$
proof –
note *reach*
also from $s\text{-in-}b$
have $\Gamma \vdash (\text{While } b \ c, \text{Normal } s) \rightarrow (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s)$
by (*rule step.WhileTrue*)
from *step-redexes* [*OF this red-c'*] **obtain** c'' **where**
 $\text{step}: \Gamma \vdash (c', \text{Normal } s) \rightarrow (c'', \text{Normal } s)$ **and**
 $\text{red-}c'': \text{Seq } c \ (\text{While } b \ c) \in \text{redexes } c''$
by *blast*
note *step*
finally
show *?thesis*
using *red-c''*
by (*blast intro: that*)
qed

```

from reach termi
have  $\Gamma \vdash c' \downarrow \text{Normal } s$ 
  by (rule steps-preserves-termination')
from redexes-preserves-termination [OF this red-c']
have termi-while:  $\Gamma \vdash \text{While } b \ c \downarrow \text{Normal } s$  .
show  $s \in \{t. t = s \wedge \Gamma \vdash \langle c, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
   $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 
   $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } t) \wedge c \in \text{redexes } c')\} \wedge$ 
 $(\forall t. t \in \{t. \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\} \longrightarrow$ 
   $t \in \{t. (t, \tau) \in ?r\} \cap$ 
   $\{t. (Z, t) \in ?\text{unroll} \wedge$ 
   $(\forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$ 
   $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
   $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$ 
   $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)) \wedge$ 
   $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 
   $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } t) \wedge$ 
   $\text{While } b \ c \in \text{redexes } c')\} \wedge$ 
 $(\forall t. t \in \{t. \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t\} \longrightarrow$ 
   $t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\})$ 
  (is ?C1  $\wedge$  ?C2  $\wedge$  ?C3)
proof (intro conjI)
  from Z-s-unroll noabort s-in-b termi reach-c show ?C1
  apply clarsimp
  apply (drule redexes-subset)
  apply simp
  apply (blast intro: root-in-redexes)
  done
next
{
  fix t
  assume s-t:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t$ 
  with s-eq- $\tau$  termi-while s-in-b have  $(t, \tau) \in ?r$ 
  by blast
  moreover
  from Z-s-unroll s-t s-in-b
  have  $(Z, t) \in ?\text{unroll}$ 
  by (blast intro: rtranc1-into-rtranc1)
  moreover
  obtain c'' where
    reach-c'':  $\Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c'', \text{Normal } t)$ 
     $(\text{While } b \ c) \in \text{redexes } c''$ 
  proof -
    note reach-c (1)
    also from s-in-b
    have  $\Gamma \vdash (\text{While } b \ c, \text{Normal } s) \rightarrow (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s)$ 
    by (rule step.WhileTrue)
    have  $\Gamma \vdash (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s) \rightarrow^+$ 
       $(\text{While } b \ c, \text{Normal } t)$ 

```

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proof –
  from exec-impl-steps-Normal [OF s-t]
  have  $\Gamma \vdash (c, \text{Normal } s) \rightarrow^* (\text{Skip}, \text{Normal } t).$ 
  hence  $\Gamma \vdash (\text{Seq } c \ (\text{While } b \ c), \text{Normal } s) \rightarrow^*$ 
     $(\text{Seq } \text{Skip} \ (\text{While } b \ c), \text{Normal } t)$ 
    by (rule SeqSteps) auto
  moreover
  have  $\Gamma \vdash (\text{Seq } \text{Skip} \ (\text{While } b \ c), \text{Normal } t) \rightarrow (\text{While } b \ c, \text{Normal } t)$ 
    by (rule step.SeqSkip)
  ultimately show ?thesis by (rule rtranclp-into-tranclp1)
qed
from steps-redexes' [OF this reach-c (2)]
obtain  $c'''$  where
  step:  $\Gamma \vdash (c'', \text{Normal } s) \rightarrow^+ (c''', \text{Normal } t)$  and
  red-c'':  $\text{While } b \ c \in \text{redexes } c'''$ 
  by blast
note step
finally
show ?thesis
  using red-c''
  by (blast intro: that)
qed
moreover note noabort termi
ultimately
have  $(t, \tau) \in ?r \wedge (Z, t) \in ?\text{unroll} \wedge$ 
   $(\forall e. (Z, e) \in ?\text{unroll} \longrightarrow e \in b$ 
     $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
     $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$ 
     $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)) \wedge$ 
     $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 
     $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } t) \wedge$ 
     $\text{While } b \ c \in \text{redexes } c')$ 
    by iprover
  }
then show ?C2 by blast
next
  {
    fix t
    assume s-t:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t$ 
    from Z-s-unroll noabort s-t s-in-b
    have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
    by blast
  } thus ?C3 by simp
qed
qed
qed
next
fix s
assume P:  $s \in \{s. s=Z \wedge \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 

```

$(-F)) \wedge$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$
 $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge$
 $\text{While } b \ c \in \text{redexes } c')\}$
hence *WhileNoFault*: $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \notin(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$
by auto
show $s \in ?P' \ s \wedge$
 $(\forall t. t \in (?P' \ s \cap - \ b) \longrightarrow$
 $t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}) \wedge$
 $(\forall t. t \in ?A \ s \longrightarrow t \in ?A \ Z)$
proof (*intro conjI*)
 $\{$
fix e
assume $(Z, e) \in ?\text{unroll } e \in b$
from this *WhileNoFault*
have $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \notin(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)$ (**is** *?Prop Z e*)
proof (*induct rule: converse-rtrancl-induct [consumes 1]*)
assume $e\text{-in-}b; e \in b$
assume *WhileNoFault*: $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } e \rangle \Rightarrow \notin(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$
 $(-F))$
with $e\text{-in-}b$ *WhileNoFault*
have $c\text{NoFault}$: $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \notin(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$
by (*auto simp add: final-notin-def intro: exec.intros*)
moreover
 $\{$
fix u **assume** $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$
with $e\text{-in-}b$ **have** $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$
by (*blast intro: exec.intros*)
 $\}$
ultimately
show *?Prop e e*
by iprover
next
fix $Z \ r$
assume $e\text{-in-}b; e \in b$
assume *WhileNoFault*: $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \notin(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$
 $(-F))$
assume *hyp*: $\llbracket e \in b; \Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \notin(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F)) \rrbracket$
 $\implies ?\text{Prop } r \ e$
assume $Z\text{-}r$:
 $(Z, r) \in \{(Z, r). Z \in b \wedge \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r\}$
with *WhileNoFault*
have $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \notin(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$
by (*auto simp add: final-notin-def intro: exec.intros*)
from hyp [*OF e-in-b this*] **obtain**
 $c\text{NoFault}$: $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \notin(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$ **and**
 $\text{Abrupt-}r$: $\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$

```

       $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Abrupt } u$ 
    by simp

    {
      fix  $u$  assume  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$ 
      with Abrupt-r have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Abrupt } u$  by simp
      moreover from Z-r obtain
         $Z \in b \ \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r$ 
      by simp
      ultimately have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u$ 
      by (blast intro: exec.intros)
    }
    with cNoFault show  $?Prop \ Z \ e$ 
    by iprover
  qed
}
with  $P$  show  $s \in ?P' \ s$ 
by blast
next
{
  fix  $t$ 
  assume termination:  $t \notin b$ 
  assume  $(Z, t) \in ?unroll$ 
  hence  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  proof (induct rule: converse-rtrancl-induct [consumes 1])
    from termination
    show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } t \rangle \Rightarrow \text{Normal } t$ 
    by (blast intro: exec.WhileFalse)
  next
    fix  $Z \ r$ 
    assume first-body:
       $(Z, r) \in \{(s, t). s \in b \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\}$ 
    assume  $(r, t) \in ?unroll$ 
    assume rest-loop:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Normal } t$ 
    show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
    proof -
      from first-body obtain
         $Z \in b \ \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r$ 
      by fast
      moreover
      from rest-loop have
         $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Normal } t$ 
      by fast
      ultimately show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
      by (rule exec.WhileTrue)
    qed
  qed
}
with  $P$ 

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show  $\forall t. t \in (?P' s \cap - b)$ 
   $\longrightarrow t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}$ 
by blast
next
from  $P$  show  $\forall t. t \in ?A \ s \longrightarrow t \in ?A \ Z$ 
by simp
qed
qed
next
case  $(\text{Call } q)$ 
let  $?P = \{s. s = Z \wedge \Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
   $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 
   $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge \text{Call } q \in \text{redexes } c')\}$ 
from noStuck-Call
have  $\forall s \in ?P. q \in \text{dom } \Gamma$ 
by  $(\text{fastforce simp add: final-notin-def})$ 
then show ?case
proof  $(\text{rule conseq-extract-state-indep-prop})$ 
assume  $q\text{-defined: } q \in \text{dom } \Gamma$ 
from Call-hyp have
   $\forall q \in \text{dom } \Gamma. \forall Z.$ 
   $\Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
   $\Gamma \vdash \text{Call } q \downarrow \text{Normal } s \wedge ((s, q), (\sigma, p)) \in \text{termi-call-steps } \Gamma\}$ 
   $(\text{Call } q)$ 
   $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
by  $(\text{simp add: exec-Call-body' noFaultStuck-Call-body' [simplified]})$ 
  terminates-Normal-Call-body
from Call-hyp  $q\text{-defined}$  have Call-hyp':
   $\forall Z. \Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
   $\Gamma \vdash \text{Call } q \downarrow \text{Normal } s \wedge ((s, q), (\sigma, p)) \in \text{termi-call-steps } \Gamma\}$ 
   $(\text{Call } q)$ 
   $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
by auto
show
   $\Gamma, \Theta \vdash_t /_F ?P$ 
   $(\text{Call } q)$ 
   $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
   $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
proof  $(\text{rule ConseqMGT } [OF \text{ Call-hyp}], \text{safe})$ 
fix  $c'$ 
assume termi:  $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma$ 
assume steps-c':  $\Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z)$ 
assume red-c':  $\text{Call } q \in \text{redexes } c'$ 
show  $\Gamma \vdash \text{Call } q \downarrow \text{Normal } Z$ 
proof –
  from steps-preserves-termination'  $[OF \text{ steps-c' termi}]$ 
  have  $\Gamma \vdash c' \downarrow \text{Normal } Z .$ 

```

```

    from redexes-preserves-termination [OF this red-c']
    show ?thesis .
qed
next
  fix c'
  assume termi:  $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma$ 
  assume steps-c':  $\Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z)$ 
  assume red-c':  $\text{Call } q \in \text{redexes } c'$ 
  from redex-redexes [OF this]
  have redex c' = Call q
    by auto
  with termi steps-c'
  show  $((Z, q), \sigma, p) \in \text{termi-call-steps } \Gamma$ 
    by (auto simp add: termi-call-steps-def)
qed
qed
next
  case (DynCom c)
  have hyp:
     $\bigwedge s'. \forall Z. \Gamma, \Theta \vdash_t /F$ 
     $\{s. s = Z \wedge \Gamma \vdash \langle c \ s', \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
     $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 
     $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge c \ s' \in \text{redexes } c')\}$ 
     $(c \ s')$ 
     $\{t. \Gamma \vdash \langle c \ s', \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c \ s', \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  using DynCom by simp
  have hyp':
     $\Gamma, \Theta \vdash_t /F \{s. s = Z \wedge \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
     $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 
     $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge \text{DynCom } c \in \text{redexes}$ 
     $c')\}$ 
     $(c \ Z)$ 
     $\{t. \Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow$ 
     $\text{Abrupt } t\}$ 
  proof (rule ConseqMGT [OF hyp], safe)
    assume  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
    then show  $\Gamma \vdash \langle c \ Z, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
      by (fastforce simp add: final-notin-def intro: exec.intros)
  next
    fix c'
    assume steps:  $\Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z)$ 
    assume c':  $\text{DynCom } c \in \text{redexes } c'$ 
    have  $\Gamma \vdash (\text{DynCom } c, \text{Normal } Z) \rightarrow (c \ Z, \text{Normal } Z)$ 
      by (rule step.DynCom)
    from step-redexes [OF this c'] obtain c'' where
      step:  $\Gamma \vdash (c', \text{Normal } Z) \rightarrow (c'', \text{Normal } Z)$  and c'':  $c \ Z \in \text{redexes } c''$ 
    by blast
    note steps also note step
    finally show  $\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z) \wedge c \ Z \in \text{redexes}$ 

```



```

 $c'$ 
  using  $c''$  by blast
next
  fix  $t$ 
  assume  $\Gamma \vdash \langle c \ Z, Normal \ Z \rangle \Rightarrow Normal \ t$ 
  thus  $\Gamma \vdash \langle DynCom \ c, Normal \ Z \rangle \Rightarrow Normal \ t$ 
    by (auto intro: exec.intros)
next
  fix  $t$ 
  assume  $\Gamma \vdash \langle c \ Z, Normal \ Z \rangle \Rightarrow Abrupt \ t$ 
  thus  $\Gamma \vdash \langle DynCom \ c, Normal \ Z \rangle \Rightarrow Abrupt \ t$ 
    by (auto intro: exec.intros)
qed
show ?case
  apply (rule hoaret.DynCom)
  apply safe
  apply (rule hyp')
  done
next
  case (Guard f g c)
  have hyp-c:  $\forall Z. \Gamma, \Theta \vdash_t /F$ 
    { $s. s=Z \wedge \Gamma \vdash \langle c, Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F)) \wedge$ 
 $\Gamma \vdash Call \ p \downarrow Normal \ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ s) \wedge c \in redexes \ c')$ }
     $c$ 
    { $t. \Gamma \vdash \langle c, Normal \ Z \rangle \Rightarrow Normal \ t$ },
    { $t. \Gamma \vdash \langle c, Normal \ Z \rangle \Rightarrow Abrupt \ t$ }
    using Guard.hyps by iprover
  show  $\Gamma, \Theta \vdash_t /F$  { $s. s=Z \wedge \Gamma \vdash \langle Guard \ f \ g \ c \ , Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F)) \wedge$ 
 $(-F)) \wedge$ 
 $\Gamma \vdash Call \ p \downarrow Normal \ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ s) \wedge Guard \ f \ g \ c \in redexes$ 
 $c')$ }
    Guard f g c
    { $t. \Gamma \vdash \langle Guard \ f \ g \ c \ , Normal \ Z \rangle \Rightarrow Normal \ t$ },
    { $t. \Gamma \vdash \langle Guard \ f \ g \ c \ , Normal \ Z \rangle \Rightarrow Abrupt \ t$ }
  proof (cases f ∈ F)
  case True
  have  $\Gamma, \Theta \vdash_t /F$  ( $g \cap \{s. s=Z \wedge$ 
 $\Gamma \vdash \langle Guard \ f \ g \ c \ , Normal \ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F)) \wedge$ 
 $\Gamma \vdash Call \ p \downarrow Normal \ \sigma \wedge$ 
 $(\exists c'. \Gamma \vdash (Call \ p, Normal \ \sigma) \rightarrow^+ (c', Normal \ s) \wedge$ 
 $Guard \ f \ g \ c \in redexes \ c')\}$ )
     $c$ 
    { $t. \Gamma \vdash \langle Guard \ f \ g \ c \ , Normal \ Z \rangle \Rightarrow Normal \ t$ },
    { $t. \Gamma \vdash \langle Guard \ f \ g \ c \ , Normal \ Z \rangle \Rightarrow Abrupt \ t$ }
  proof (rule ConseqMGT [OF hyp-c], safe)
  assume  $\Gamma \vdash \langle Guard \ f \ g \ c \ , Normal \ Z \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F)) \ Z \in g$ 
  thus  $\Gamma \vdash \langle c, Normal \ Z \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \ ' (-F))$ 

```

```

    by (auto simp add: final-notin-def intro: exec.intros)
next
  fix c'
  assume steps:  $\Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z)$ 
  assume c':  $\text{Guard } f \ g \ c \in \text{redexes } c'$ 
  assume Z  $\in g$ 
  from this have  $\Gamma \vdash (\text{Guard } f \ g \ c, \text{Normal } Z) \rightarrow (c, \text{Normal } Z)$ 
    by (rule step.Guard)
  from step-redexes [OF this c'] obtain c'' where
    step:  $\Gamma \vdash (c', \text{Normal } Z) \rightarrow (c'', \text{Normal } Z)$  and c'':  $c \in \text{redexes } c''$ 
    by blast
  note steps also note step
  finally show  $\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z) \wedge c \in \text{redexes } c'$ 
    using c'' by blast
next
  fix t
  assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
     $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t \ Z \in g$ 
  thus  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
    by (auto simp add: final-notin-def intro: exec.intros )
next
  fix t
  assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
     $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t \ Z \in g$ 
  thus  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
    by (auto simp add: final-notin-def intro: exec.intros )
qed
from True this show ?thesis
  by (rule conseqPre [OF Guarantee]) auto
next
  case False
  have  $\Gamma, \Theta \vdash_{t/F} (g \cap \{s. s=Z \wedge$ 
     $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } s \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
     $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 
     $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge$ 
     $\text{Guard } f \ g \ c \in \text{redexes } c'))$ 
     $\overset{c}{\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  proof (rule ConseqMGT [OF hyp-c], safe)
    assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
    thus  $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \notin (\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
      using False
    by (cases Z  $\in g$ ) (auto simp add: final-notin-def intro: exec.intros)
  next
    fix c'
    assume steps:  $\Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z)$ 
    assume c':  $\text{Guard } f \ g \ c \in \text{redexes } c'$ 

```

```

assume  $Z \in g$ 
from this have  $\Gamma \vdash (\text{Guard } f \ g \ c, \text{Normal } Z) \rightarrow (c, \text{Normal } Z)$ 
  by (rule step.Guard)
from step-redexes [OF this c'] obtain  $c''$  where
  step:  $\Gamma \vdash (c', \text{Normal } Z) \rightarrow (c'', \text{Normal } Z)$  and  $c'': c \in \text{redexes } c''$ 
  by blast
note steps also note step
finally show  $\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z) \wedge c \in \text{redexes}$ 
 $c'$ 
  using  $c''$  by blast
next
  fix  $t$ 
  assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F))$ 
     $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  thus  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
    using False
    by (cases  $Z \in g$ ) (auto simp add: final-notin-def intro: exec.intros)
  next
  fix  $t$ 
  assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F))$ 
     $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
  thus  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
    using False
    by (cases  $Z \in g$ ) (auto simp add: final-notin-def intro: exec.intros)
  qed
then show ?thesis
  apply (rule conseqPre [OF hoaret.Guard])
  apply clarify
  apply (frule Guard-noFaultStuckD [OF - False])
  apply auto
  done
qed
next
  case Throw
  show  $\Gamma, \Theta \vdash_{t/F} \{ s. s = Z \wedge \Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F)) \wedge$ 
     $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 
     $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge \text{Throw} \in \text{redexes}$ 
 $c') \}$ 
     $\text{Throw}$ 
     $\{ t. \Gamma \vdash \langle \text{Throw}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t \},$ 
     $\{ t. \Gamma \vdash \langle \text{Throw}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t \}$ 
  by (rule conseqPre [OF hoaret.Throw])
    (blast intro: exec.intros terminates.intros)
next
  case (Catch  $c_1 \ c_2$ )
  have hyp-c1:
     $\forall Z. \Gamma, \Theta \vdash_{t/F} \{ s. s = Z \wedge \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F)) \wedge$ 
     $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge$ 

```

$(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge c_1 \in \text{redexes } c')$
 $\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
using *Catch.hyps* **by** *iprover*
have *hyp-c2*:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle c_2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge (\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge c_2 \in \text{redexes } c')\}$
 $\{t. \Gamma \vdash \langle c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c_2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
using *Catch.hyps* **by** *iprover*
have
 $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))\}$
 \wedge
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma \wedge (\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } s) \wedge \text{Catch } c_1 \ c_2 \in \text{redexes } c')$
 $\{t. \Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t \wedge \Gamma \vdash \langle c_2, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash \text{Call } p \downarrow \text{Normal } \sigma\}$
 \wedge
 $(\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } t) \wedge c_2 \in \text{redexes } c')$
proof (*rule ConseqMGT [OF hyp-c1], clarify, safe*)
assume $\Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
thus $\Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
by (*fastforce simp add: final-notin-def intro: exec.intros*)
next
fix c'
assume *steps*: $\Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z)$
assume c' : $\text{Catch } c_1 \ c_2 \in \text{redexes } c'$
from *steps redexes-subset [OF this]*
show $\exists c'. \Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z) \wedge c_1 \in \text{redexes } c'$
by (*auto iff: root-in-redexes*)
next
fix t
assume $\Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$
thus $\Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$
by (*auto intro: exec.intros*)
next
fix t
assume $\Gamma \vdash \langle \text{Catch } c_1 \ c_2, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
 $\Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$
thus $\Gamma \vdash \langle c_2, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
by (*auto simp add: final-notin-def intro: exec.intros*)
next
fix $c' \ t$
assume *steps-c'*: $\Gamma \vdash (\text{Call } p, \text{Normal } \sigma) \rightarrow^+ (c', \text{Normal } Z)$

assume *red*: $Catch\ c_1\ c_2 \in redexes\ c'$
assume *exec-c₁*: $\Gamma \vdash \langle c_1, Normal\ Z \rangle \Rightarrow Abrupt\ t$
show $\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ t) \wedge c_2 \in redexes\ c'$
proof –
 note *steps-c'*
 also
 from *exec-impl-steps-Normal-Abrupt* [OF *exec-c₁*]
 have $\Gamma \vdash (c_1, Normal\ Z) \rightarrow^* (Throw, Normal\ t)$.
 from *steps-redexes-Catch* [OF *this red*]
 obtain *c''* **where**
 steps-c'': $\Gamma \vdash (c', Normal\ Z) \rightarrow^* (c'', Normal\ t)$ **and**
 Catch: $Catch\ Throw\ c_2 \in redexes\ c''$
 by *blast*
 note *steps-c''*
 also
 have *step-Catch*: $\Gamma \vdash (Catch\ Throw\ c_2, Normal\ t) \rightarrow (c_2, Normal\ t)$
 by (*rule step.CatchThrow*)
 from *step-redexes* [OF *step-Catch Catch*]
 obtain *c'''* **where**
 step-c''': $\Gamma \vdash (c'', Normal\ t) \rightarrow (c''', Normal\ t)$ **and**
 c2: $c_2 \in redexes\ c'''$
 by *blast*
 note *step-c'''*
 finally show *?thesis*
 using *c2*
 by *blast*
qed
qed
moreover
have $\Gamma, \Theta \vdash_{t/F} \{t. \Gamma \vdash \langle c_1, Normal\ Z \rangle \Rightarrow Abrupt\ t \wedge$
 $\Gamma \vdash \langle c_2, Normal\ t \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $\Gamma \vdash Call\ p \downarrow Normal\ \sigma \wedge$
 $(\exists c'. \Gamma \vdash (Call\ p, Normal\ \sigma) \rightarrow^+ (c', Normal\ t) \wedge c_2 \in redexes\ c')\}$
 c_2
 $\{t. \Gamma \vdash \langle Catch\ c_1\ c_2, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle Catch\ c_1\ c_2, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
 by (*rule ConseqMGT* [OF *hyp-c2*]) (*fastforce intro: exec.intros*)
ultimately show *?case*
 by (*rule hoaret.Catch*)
qed

To prove a procedure implementation correct it suffices to assume only the procedure specifications of procedures that actually occur during evaluation of the body.

lemma *Call-lemma*:

assumes *A*:
 $\forall q \in dom\ \Gamma. \forall Z. \Gamma, \Theta \vdash_{t/F}$
 $\{s. s=Z \wedge \Gamma \vdash \langle Call\ q, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $\Gamma \vdash Call\ q \downarrow Normal\ s \wedge ((s, q), (\sigma, p)) \in termi-call-steps\ \Gamma\}$

$(Call\ q)$
 $\{t. \Gamma \vdash \langle Call\ q, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle Call\ q, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
assumes $pdef: p \in dom\ \Gamma$
shows $\bigwedge Z. \Gamma, \Theta \vdash_t /F$
 $(\{\sigma\} \cap \{s. s=Z \wedge \Gamma \vdash \langle the\ (\Gamma\ p), Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ '(-F))$
 \wedge
 $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ s\})$
 $the\ (\Gamma\ p)$
 $\{t. \Gamma \vdash \langle the\ (\Gamma\ p), Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle the\ (\Gamma\ p), Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
apply (rule *conseqPre*)
apply (rule *Call-lemma'* [*OF A*])
using $pdef$
apply (*fastforce intro: terminates.intros tranclp.r-into-trancl [of (step\ \Gamma), OF*
step.Call] *root-in-redexes*)
done

lemma *Call-lemma-switch-Call-body:*

assumes
 $call: \forall q \in dom\ \Gamma. \forall Z. \Gamma, \Theta \vdash_t /F$
 $\{s. s=Z \wedge \Gamma \vdash \langle Call\ q, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ '(-F)) \wedge$
 $\Gamma \vdash Call\ q \downarrow Normal\ s \wedge ((s,q),(\sigma,p)) \in termi-call-steps\ \Gamma\}$
 $(Call\ q)$
 $\{t. \Gamma \vdash \langle Call\ q, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle Call\ q, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
assumes $p-defined: p \in dom\ \Gamma$
shows $\bigwedge Z. \Gamma, \Theta \vdash_t /F$
 $(\{\sigma\} \cap \{s. s=Z \wedge \Gamma \vdash \langle Call\ p, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ '(-F)) \wedge$
 $\Gamma \vdash Call\ p \downarrow Normal\ s\})$
 $the\ (\Gamma\ p)$
 $\{t. \Gamma \vdash \langle Call\ p, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle Call\ p, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
apply (*simp only: exec-Call-body' [OF p-defined] noFaultStuck-Call-body' [OF p-defined]*
terminates-Normal-Call-body [OF p-defined])
apply (rule *conseqPre*)
apply (rule *Call-lemma'*)
apply (rule *call*)
using $p-defined$
apply (*fastforce intro: terminates.intros tranclp.r-into-trancl [of (step\ \Gamma), OF*
step.Call] *root-in-redexes*)
done

lemma *MGT-Call:*

$\forall p \in dom\ \Gamma. \forall Z.$
 $\Gamma, \Theta \vdash_t /F \{s. s=Z \wedge \Gamma \vdash \langle Call\ p, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ '(-F)) \wedge$
 $\Gamma \vdash (Call\ p) \downarrow Normal\ s\}$
 $(Call\ p)$

```

      {t.  $\Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ },
      {t.  $\Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ }
apply (intro ballI allI)
apply (rule CallRec' [where Procs=dom  $\Gamma$  and
      P= $\lambda p$  Z. {s. s=Z  $\wedge$   $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } (-F)) \wedge$ 
       $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s$ } and
      Q= $\lambda p$  Z. {t.  $\Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ } and
      A= $\lambda p$  Z. {t.  $\Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ } and
      r=termi-call-steps  $\Gamma$ 
      ])
apply simp
apply simp
apply (rule wf-termi-call-steps)
apply (intro ballI allI)
apply simp
apply (rule Call-lemma-switch-Call-body [rule-format, simplified])
apply (rule hoaret.Asm)
apply fastforce
apply assumption
done

```

lemma CollInt-iff: $\{s. P\ s\} \cap \{s. Q\ s\} = \{s. P\ s \wedge Q\ s\}$
by auto

lemma image-Un-conv: $f\ ' (\bigcup_{p \in \text{dom } \Gamma} \bigcup Z. \{x\ p\ Z\}) = (\bigcup_{p \in \text{dom } \Gamma} \bigcup Z. \{f\ (x\ p\ Z)\})$
by (auto iff: not-None-eq)

Another proof of *MGT-Call*, maybe a little more readable

lemma
 $\forall p \in \text{dom } \Gamma. \forall Z.$
 $\Gamma, \{\} \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } (-F)) \wedge$
 $\Gamma \vdash (\text{Call } p) \downarrow \text{Normal } s\}$
 $(\text{Call } p)$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
proof –
 $\{$
fix p Z σ
assume defined: $p \in \text{dom } \Gamma$
define Specs **where** Specs = $(\bigcup_{p \in \text{dom } \Gamma} \bigcup Z.$
 $\{(\{s. s=Z \wedge$
 $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } (-F)) \wedge$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s\},$
 $p,$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}\})$
define Specs-wf **where** Specs-wf p $\sigma = (\lambda(P,q,A).$

$(P \cap \{s. ((s,q),\sigma,p) \in \text{termi-call-steps } \Gamma\}, q, Q, A)) \text{ 'Specs for}$

$p \ \sigma$
have $\Gamma, \text{Specs-wf } p \ \sigma$
 $\vdash_{t/F}(\{\sigma\} \cap$
 $\{s. s = Z \wedge \Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } s\}$
 $(\text{the } (\Gamma \ p))$
 $\{t. \Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
apply (rule *Call-lemma* [rule-format, OF - defined])
apply (rule *hoaret.Asm*)
apply (clarsimp simp add: *Specs-wf-def Specs-def image-Un-conv*)
apply (rule-tac $x=q$ **in** *bexI*)
apply (rule-tac $x=Z$ **in** *exI*)
apply (clarsimp simp add: *CollInt-iff*)
apply *auto*
done
hence $\Gamma, \text{Specs-wf } p \ \sigma$
 $\vdash_{t/F}(\{\sigma\} \cap$
 $\{s. s = Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s\}$
 $(\text{the } (\Gamma \ p))$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by (simp only: *exec-Call-body'* [OF defined]
noFaultStuck-Call-body' [OF defined]
terminates-Normal-Call-body [OF defined])
} note *bdy=this*
show *?thesis*
apply (intro *ballI allI*)
apply (rule *hoaret.CallRec* [**where** $\text{Specs}=(\bigcup p \in \text{dom } \Gamma. \bigcup Z.$
 $\{(\{s. s=Z \wedge$
 $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s\},$
 $p,$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\})\}$),
 $\text{OF - wf-termi-call-steps [of } \Gamma] \text{ refl}]$)
apply *fastforce*
apply *clarify*
apply (rule *conjI*)
apply *fastforce*
apply (rule *allI*)
apply (simp (no-asm-use) only : *Un-empty-left*)
apply (rule *bdy*)
apply *auto*
done
qed

theorem *hoaret-complete*: $\Gamma \models_{t/F} P \text{ c } Q, A \implies \Gamma, \{\} \vdash_{t/F} P \text{ c } Q, A$
by (*iprover intro: MGT-implies-complete MGT-lemma [OF MGT-Call]*)

lemma *hoaret-complete'*:
assumes *cvalid*: $\Gamma, \Theta \models_{t/F} P \text{ c } Q, A$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$
proof (*cases* $\Gamma \models_{t/F} P \text{ c } Q, A$)
case *True*
hence $\Gamma, \{\} \vdash_{t/F} P \text{ c } Q, A$
by (*rule hoaret-complete*)
thus $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$
by (*rule hoaret-augment-context*) *simp*
next
case *False*
with *cvalid*
show *?thesis*
by (*rule ExFalso*)
qed

10.3 And Now: Some Useful Rules

10.3.1 Modify Return

lemma *ProcModifyReturn-sound*:
assumes *valid-call*: $\Gamma, \Theta \models_{t/F} P \text{ call init } p \text{ return}' \text{ c } Q, A$
assumes *valid-modif*:
 $\forall \sigma. \Gamma, \Theta \models_{UNIV} \{\sigma\} (\text{Call } p) (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$
assumes *res-modif*:
 $\forall s \text{ t}. t \in \text{Modif } (\text{init } s) \longrightarrow \text{return}' s \text{ t} = \text{return } s \text{ t}$
assumes *ret-modifAbr*:
 $\forall s \text{ t}. t \in \text{ModifAbr } (\text{init } s) \longrightarrow \text{return}' s \text{ t} = \text{return } s \text{ t}$
shows $\Gamma, \Theta \models_{t/F} P (\text{call init } p \text{ return } c) Q, A$
proof (*rule cvalidtI*)
fix *s t*
assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (\text{Call } p) Q, A$
hence $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (\text{Call } p) Q, A$
by (*auto simp add: validt-def*)
then have *ctxt'*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{UNIV} P (\text{Call } p) Q, A$
by (*auto intro: valid-augment-Faults*)
assume *exec*: $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow t$
assume *P*: $s \in P$
assume *t-notin-F*: $t \notin \text{Fault } F$
from *exec*
show $t \in \text{Normal } F \cup \text{Abrupt } A$
proof (*cases rule: exec-call-Normal-elim*)
fix *bdy t'*
assume *bdy*: $\Gamma \text{ p } = \text{Some bdy}$

```

assume exec-body:  $\Gamma \vdash \langle bdy, Normal (init\ s) \rangle \Rightarrow Normal\ t'$ 
assume exec-c:  $\Gamma \vdash \langle c\ s\ t', Normal (return\ s\ t') \rangle \Rightarrow t$ 
from exec-body bdy
have  $\Gamma \vdash \langle (Call\ p), Normal (init\ s) \rangle \Rightarrow Normal\ t'$ 
  by (auto simp add: intro: exec.intros)
from cvalidD [OF valid-modif [rule-format, of init s] ctxt' this] P
have  $t' \in Modif (init\ s)$ 
  by auto
with res-modif have  $Normal (return'\ s\ t') = Normal (return\ s\ t')$ 
  by simp
with exec-body exec-c bdy
have  $\Gamma \vdash \langle call\ init\ p\ return'\ c, Normal\ s \rangle \Rightarrow t$ 
  by (auto intro: exec-call)
from cvalidt-postD [OF valid-call ctxt this] P t-notin-F
show ?thesis
  by simp
next
  fix bdy t'
  assume bdy:  $\Gamma\ p = Some\ bdy$ 
  assume exec-body:  $\Gamma \vdash \langle bdy, Normal (init\ s) \rangle \Rightarrow Abrupt\ t'$ 
  assume t:  $t = Abrupt (return\ s\ t')$ 
  also from exec-body bdy
  have  $\Gamma \vdash \langle (Call\ p), Normal (init\ s) \rangle \Rightarrow Abrupt\ t'$ 
    by (auto simp add: intro: exec.intros)
  from cvalidD [OF valid-modif [rule-format, of init s] ctxt' this] P
  have  $t' \in ModifAbr (init\ s)$ 
    by auto
  with ret-modifAbr have  $Abrupt (return\ s\ t') = Abrupt (return'\ s\ t')$ 
    by simp
  finally have  $t = Abrupt (return'\ s\ t') .$ 
  with exec-body bdy
  have  $\Gamma \vdash \langle call\ init\ p\ return'\ c, Normal\ s \rangle \Rightarrow t$ 
    by (auto intro: exec-callAbrupt)
  from cvalidt-postD [OF valid-call ctxt this] P t-notin-F
  show ?thesis
    by simp
next
  fix bdy f
  assume bdy:  $\Gamma\ p = Some\ bdy$ 
  assume  $\Gamma \vdash \langle bdy, Normal (init\ s) \rangle \Rightarrow Fault\ f$  and
     $t: t = Fault\ f$ 
  with bdy have  $\Gamma \vdash \langle call\ init\ p\ return'\ c, Normal\ s \rangle \Rightarrow t$ 
    by (auto intro: exec-callFault)
  from cvalidt-postD [OF valid-call ctxt this P]  $t\ t-notin-F$ 
  show ?thesis
    by simp
next
  fix bdy
  assume bdy:  $\Gamma\ p = Some\ bdy$ 

```

```

assume  $\Gamma \vdash \langle bdy, Normal (init\ s) \rangle \Rightarrow Stuck$ 
   $t = Stuck$ 
with  $bdy$  have  $\Gamma \vdash \langle call\ init\ p\ return'\ c, Normal\ s \rangle \Rightarrow t$ 
  by (auto intro: exec-callStuck)
from valid-call ctxt this P t-notin-F
show ?thesis
  by (rule cvalidt-postD)
next
  assume  $\Gamma\ p = None\ t = Stuck$ 
  hence  $\Gamma \vdash \langle call\ init\ p\ return'\ c, Normal\ s \rangle \Rightarrow t$ 
  by (auto intro: exec-callUndefined)
  from valid-call ctxt this P t-notin-F
  show ?thesis
  by (rule cvalidt-postD)
qed
next
fix  $s$ 
assume  $ctxt: \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (Call\ p)\ Q, A$ 
hence  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P (Call\ p)\ Q, A$ 
  by (auto simp add: validt-def)
then have  $ctxt': \forall (P, p, Q, A) \in \Theta. \Gamma \models_{/UNIV} P (Call\ p)\ Q, A$ 
  by (auto intro: valid-augment-Faults)
assume  $P: s \in P$ 
from valid-call ctxt P
have  $call: \Gamma \vdash call\ init\ p\ return'\ c \downarrow Normal\ s$ 
  by (rule cvalidt-termD)
show  $\Gamma \vdash call\ init\ p\ return\ c \downarrow Normal\ s$ 
proof (cases p \in dom \Gamma)
  case True
  with  $call$  obtain  $bdy$  where
     $bdy: \Gamma\ p = Some\ bdy$  and  $termi-bdy: \Gamma \vdash bdy \downarrow Normal (init\ s)$  and
     $termi-c: \forall t. \Gamma \vdash \langle bdy, Normal (init\ s) \rangle \Rightarrow Normal\ t \longrightarrow$ 
       $\Gamma \vdash c\ s\ t \downarrow Normal (return'\ s\ t)$ 
  by cases auto
  {
    fix  $t$ 
    assume  $exec-bdy: \Gamma \vdash \langle bdy, Normal (init\ s) \rangle \Rightarrow Normal\ t$ 
    hence  $\Gamma \vdash c\ s\ t \downarrow Normal (return\ s\ t)$ 
    proof –
      from  $exec-bdy\ bdy$ 
      have  $\Gamma \vdash \langle (Call\ p), Normal (init\ s) \rangle \Rightarrow Normal\ t$ 
      by (auto simp add: intro: exec.intros)
      from  $cvalidD\ [OF\ valid-modif\ [rule-format, of\ init\ s]\ ctxt'\ this]\ P$ 
      res-modif
      have  $return'\ s\ t = return\ s\ t$ 
      by auto
      with  $termi-c\ exec-bdy$  show ?thesis by auto
    qed
  }

```

```

  with bdy termi-bdy
  show ?thesis
  by (iprover intro: terminates-call)
next
  case False
  thus ?thesis
  by (auto intro: terminates-callUndefined)
qed
qed

```

```

lemma ProcModifyReturn:
  assumes spec:  $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return' } c) Q, A$ 
  assumes res-modif:
 $\forall s \ t. t \in \text{Modif (init } s) \longrightarrow (\text{return' } s \ t) = (\text{return } s \ t)$ 
  assumes ret-modifAbr:
 $\forall s \ t. t \in \text{ModifAbr (init } s) \longrightarrow (\text{return' } s \ t) = (\text{return } s \ t)$ 
  assumes modifies-spec:
 $\forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} (\text{Call } p) (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return } c) Q, A$ 
apply (rule hoaret-complete')
apply (rule ProcModifyReturn-sound [where Modif=Modif and ModifAbr=ModifAbr,
  OF - - res-modif ret-modifAbr])
apply (rule hoaret-sound [OF spec])
using modifies-spec
apply (blast intro: hoare-sound)
done

```

```

lemma ProcModifyReturnSameFaults-sound:
  assumes valid-call:  $\Gamma, \Theta \models_{t/F} P \text{ call init } p \text{ return' } c \ Q, A$ 
  assumes valid-modif:
 $\forall \sigma. \Gamma, \Theta \models_{t/F} \{\sigma\} \text{ Call } p \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$ 
  assumes res-modif:
 $\forall s \ t. t \in \text{Modif (init } s) \longrightarrow \text{return' } s \ t = \text{return } s \ t$ 
  assumes ret-modifAbr:
 $\forall s \ t. t \in \text{ModifAbr (init } s) \longrightarrow \text{return' } s \ t = \text{return } s \ t$ 
  shows  $\Gamma, \Theta \models_{t/F} P \text{ (call init } p \text{ return } c) Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) Q, A$ 
  hence ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) Q, A$ 
  by (auto simp add: validt-def)
  assume exec:  $\Gamma \vdash \langle \text{call init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-notin-F:  $t \notin \text{Fault } F$ 
  from exec
  show  $t \in \text{Normal } F \cup \text{Abrupt } A$ 
proof (cases rule: exec-call-Normal-elim)
  fix bdy t'

```

```

assume  $bdy: \Gamma \vdash p = \text{Some } bdy$ 
assume  $exec\text{-}body: \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Normal } t'$ 
assume  $exec\text{-}c: \Gamma \vdash \langle c\ s\ t', \text{Normal } (return\ s\ t') \rangle \Rightarrow t$ 
from  $exec\text{-}body\ bdy$ 
have  $\Gamma \vdash \langle (Call\ p), \text{Normal } (init\ s) \rangle \Rightarrow \text{Normal } t'$ 
  by ( $auto\ simp\ add: intro: exec.intros$ )
from  $cvalidD\ [OF\ valid\text{-}modif\ [rule\text{-}format, of\ init\ s]\ ctxt'\ this]\ P$ 
have  $t' \in Modif\ (init\ s)$ 
  by  $auto$ 
with  $res\text{-}modif$  have  $\text{Normal } (return'\ s\ t') = \text{Normal } (return\ s\ t')$ 
  by  $simp$ 
with  $exec\text{-}body\ exec\text{-}c\ bdy$ 
have  $\Gamma \vdash \langle call\ init\ p\ return'\ c, \text{Normal } s \rangle \Rightarrow t$ 
  by ( $auto\ intro: exec\text{-}call$ )
from  $cvalidt\text{-}postD\ [OF\ valid\text{-}call\ ctxt\ this]\ P\ t\text{-notin}\text{-}F$ 
show  $?thesis$ 
  by  $simp$ 
next
  fix  $bdy\ t'$ 
  assume  $bdy: \Gamma \vdash p = \text{Some } bdy$ 
  assume  $exec\text{-}body: \Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Abrupt } t'$ 
  assume  $t: t = \text{Abrupt } (return\ s\ t')$ 
  also
  from  $exec\text{-}body\ bdy$ 
  have  $\Gamma \vdash \langle Call\ p, \text{Normal } (init\ s) \rangle \Rightarrow \text{Abrupt } t'$ 
    by ( $auto\ simp\ add: intro: exec.intros$ )
  from  $cvalidD\ [OF\ valid\text{-}modif\ [rule\text{-}format, of\ init\ s]\ ctxt'\ this]\ P$ 
  have  $t' \in ModifAbr\ (init\ s)$ 
    by  $auto$ 
  with  $ret\text{-}modifAbr$  have  $\text{Abrupt } (return\ s\ t') = \text{Abrupt } (return'\ s\ t')$ 
    by  $simp$ 
  finally have  $t = \text{Abrupt } (return'\ s\ t') .$ 
  with  $exec\text{-}body\ bdy$ 
  have  $\Gamma \vdash \langle call\ init\ p\ return'\ c, \text{Normal } s \rangle \Rightarrow t$ 
    by ( $auto\ intro: exec\text{-}callAbrupt$ )
  from  $cvalidt\text{-}postD\ [OF\ valid\text{-}call\ ctxt\ this]\ P\ t\text{-notin}\text{-}F$ 
  show  $?thesis$ 
    by  $simp$ 
next
  fix  $bdy\ f$ 
  assume  $bdy: \Gamma \vdash p = \text{Some } bdy$ 
  assume  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Fault } f$  and
     $t: t = \text{Fault } f$ 
  with  $bdy$  have  $\Gamma \vdash \langle call\ init\ p\ return'\ c, \text{Normal } s \rangle \Rightarrow t$ 
    by ( $auto\ intro: exec\text{-}callFault$ )
  from  $cvalidt\text{-}postD\ [OF\ valid\text{-}call\ ctxt\ this]\ P]\ t\text{-notin}\text{-}F$ 
  show  $?thesis$ 
    by  $simp$ 
next

```

```

fix bdy
assume bdy:  $\Gamma \ p = \text{Some } bdy$ 
assume  $\Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Stuck}$ 
 $t = \text{Stuck}$ 
with bdy have  $\Gamma \vdash \langle \text{call init } p \text{ return' } c, \text{Normal } s \rangle \Rightarrow t$ 
by (auto intro: exec-callStuck)
from valid-call ctxt this  $P \ t \text{notin-} F$ 
show ?thesis
by (rule cvalidt-postD)
next
assume  $\Gamma \ p = \text{None } t = \text{Stuck}$ 
hence  $\Gamma \vdash \langle \text{call init } p \text{ return' } c, \text{Normal } s \rangle \Rightarrow t$ 
by (auto intro: exec-callUndefined)
from valid-call ctxt this  $P \ t \text{notin-} F$ 
show ?thesis
by (rule cvalidt-postD)
qed
next
fix s
assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
hence ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P \ (\text{Call } p) \ Q, A$ 
by (auto simp add: validt-def)
assume  $P: s \in P$ 
from valid-call ctxt  $P$ 
have call:  $\Gamma \vdash \text{call init } p \text{ return' } c \downarrow \text{Normal } s$ 
by (rule cvalidt-termD)
show  $\Gamma \vdash \text{call init } p \text{ return } c \downarrow \text{Normal } s$ 
proof (cases  $p \in \text{dom } \Gamma$ )
case True
with call obtain bdy where
bdy:  $\Gamma \ p = \text{Some } bdy$  and termi-bdy:  $\Gamma \vdash bdy \downarrow \text{Normal } (\text{init } s)$  and
termi-c:  $\forall t. \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t \longrightarrow$ 
 $\Gamma \vdash c \ s \ t \downarrow \text{Normal } (\text{return' } s \ t)$ 
by cases auto
{
fix t
assume exec-bdy:  $\Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t$ 
hence  $\Gamma \vdash c \ s \ t \downarrow \text{Normal } (\text{return } s \ t)$ 
proof -
from exec-bdy bdy
have  $\Gamma \vdash \langle (\text{Call } p), \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t$ 
by (auto simp add: intro: exec.intros)
from cvalidD [OF valid-modif [rule-format, of init s] ctxt' this]  $P$ 
res-modif
have  $\text{return' } s \ t = \text{return } s \ t$ 
by auto
with termi-c exec-bdy show ?thesis by auto
qed
}
}

```

```

    with bdy termi-bdy
    show ?thesis
    by (iprover intro: terminates-call)
next
case False
thus ?thesis
by (auto intro: terminates-callUndefined)
qed
qed

```

```

lemma ProcModifyReturnSameFaults:
  assumes spec:  $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return' } c) Q, A$ 
  assumes res-modif:
 $\forall s \ t. t \in \text{Modif (init } s) \longrightarrow (\text{return' } s \ t) = (\text{return } s \ t)$ 
  assumes ret-modifAbr:
 $\forall s \ t. t \in \text{ModifAbr (init } s) \longrightarrow (\text{return' } s \ t) = (\text{return } s \ t)$ 
  assumes modifies-spec:
 $\forall \sigma. \Gamma, \Theta \vdash_{/F} \{\sigma\} (\text{Call } p) (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return } c) Q, A$ 
apply (rule hoaret-complete')
apply (rule ProcModifyReturnSameFaults-sound [where Modif=Modif and Mod-
ifAbr=ModifAbr,
      OF - - res-modif ret-modifAbr])
apply (rule hoaret-sound [OF spec])
using modifies-spec
apply (blast intro: hoare-sound)
done

```

10.3.2 DynCall

```

lemma dynProcModifyReturn-sound:
  assumes valid-call:  $\Gamma, \Theta \models_{t/F} P \text{ dynCall init } p \text{ return' } c \ Q, A$ 
  assumes valid-modif:
 $\forall s \in P. \forall \sigma. \Gamma, \Theta \models_{/UNIV} \{\sigma\} (\text{Call } (p \ s)) (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$ 
  assumes ret-modif:
 $\forall s \ t. t \in \text{Modif (init } s) \longrightarrow \text{return' } s \ t = \text{return } s \ t$ 
  assumes ret-modifAbr:  $\forall s \ t. t \in \text{ModifAbr (init } s) \longrightarrow \text{return' } s \ t = \text{return } s \ t$ 
  shows  $\Gamma, \Theta \models_{t/F} P \text{ (dynCall init } p \text{ return } c) Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \ Q, A$ 
  hence  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P \text{ (Call } p) \ Q, A$ 
  by (auto simp add: validt-def)
  then have ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/UNIV} P \text{ (Call } p) \ Q, A$ 
  by (auto intro: valid-augment-Faults)
  assume exec:  $\Gamma \vdash \langle \text{dynCall init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow t$ 
  assume t-notin-F:  $t \notin \text{Fault 'F}$ 
  assume P:  $s \in P$ 

```

```

with valid-modif
have valid-modif':
   $\forall \sigma. \Gamma, \Theta \models_{/UNIV} \{\sigma\} (Call (p s)) (Modif \sigma), (ModifAbr \sigma)$ 
  by blast
from exec
have  $\Gamma \vdash \langle call \text{ init } (p s) \text{ return } c, Normal s \rangle \Rightarrow t$ 
  by (cases rule: exec-dynCall-Normal-elim)
then show  $t \in Normal \text{ ' } Q \cup Abrupt \text{ ' } A$ 
proof (cases rule: exec-call-Normal-elim)
  fix bdy t'
  assume bdy:  $\Gamma (p s) = Some \text{ bdy}$ 
  assume exec-body:  $\Gamma \vdash \langle bdy, Normal (init s) \rangle \Rightarrow Normal t'$ 
  assume exec-c:  $\Gamma \vdash \langle c s t', Normal (return s t') \rangle \Rightarrow t$ 
  from exec-body bdy
  have  $\Gamma \vdash \langle Call (p s), Normal (init s) \rangle \Rightarrow Normal t'$ 
  by (auto simp add: intro: exec.Call)
  from cvalidD [OF valid-modif' [rule-format, of init s] ctxt' this] P
  have  $t' \in Modif (init s)$ 
  by auto
  with ret-modif have  $Normal (return' s t') =$ 
     $Normal (return s t')$ 
  by simp
  with exec-body exec-c bdy
  have  $\Gamma \vdash \langle call \text{ init } (p s) \text{ return' } c, Normal s \rangle \Rightarrow t$ 
  by (auto intro: exec-call)
  hence  $\Gamma \vdash \langle dynCall \text{ init } p \text{ return' } c, Normal s \rangle \Rightarrow t$ 
  by (rule exec-dynCall)
  from cvalidt-postD [OF valid-call ctxt this] P t-notin-F
  show ?thesis
  by simp
next
  fix bdy t'
  assume bdy:  $\Gamma (p s) = Some \text{ bdy}$ 
  assume exec-body:  $\Gamma \vdash \langle bdy, Normal (init s) \rangle \Rightarrow Abrupt t'$ 
  assume t:  $t = Abrupt (return s t')$ 
  also from exec-body bdy
  have  $\Gamma \vdash \langle Call (p s), Normal (init s) \rangle \Rightarrow Abrupt t'$ 
  by (auto simp add: intro: exec.intros)
  from cvalidD [OF valid-modif' [rule-format, of init s] ctxt' this] P
  have  $t' \in ModifAbr (init s)$ 
  by auto
  with ret-modifAbr have  $Abrupt (return s t') = Abrupt (return' s t')$ 
  by simp
  finally have  $t = Abrupt (return' s t') .$ 
  with exec-body bdy
  have  $\Gamma \vdash \langle call \text{ init } (p s) \text{ return' } c, Normal s \rangle \Rightarrow t$ 
  by (auto intro: exec-callAbrupt)
  hence  $\Gamma \vdash \langle dynCall \text{ init } p \text{ return' } c, Normal s \rangle \Rightarrow t$ 
  by (rule exec-dynCall)

```



```

from cvalidt-postD [OF valid-call ctxt this] P t-notin-F
show ?thesis
  by simp
next
  fix bdy f
  assume bdy:  $\Gamma (p\ s) = \text{Some } bdy$ 
  assume  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Fault } f$  and
    t: t = Fault f
  with bdy have  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c\ , \text{Normal } s \rangle \Rightarrow t$ 
    by (auto intro: exec-callFault)
  hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle \Rightarrow t$ 
    by (rule exec-dynCall)
  from cvalidt-postD [OF valid-call ctxt this P] t t-notin-F
  show ?thesis
    by blast
next
  fix bdy
  assume bdy:  $\Gamma (p\ s) = \text{Some } bdy$ 
  assume  $\Gamma \vdash \langle bdy, \text{Normal } (init\ s) \rangle \Rightarrow \text{Stuck}$ 
    t = Stuck
  with bdy have  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c\ , \text{Normal } s \rangle \Rightarrow t$ 
    by (auto intro: exec-callStuck)
  hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle \Rightarrow t$ 
    by (rule exec-dynCall)
  from valid-call ctxt this P t-notin-F
  show ?thesis
    by (rule cvalidt-postD)
next
  fix bdy
  assume  $\Gamma (p\ s) = \text{None } t = \text{Stuck}$ 
  hence  $\Gamma \vdash \langle \text{call init } (p\ s)\ \text{return}'\ c\ , \text{Normal } s \rangle \Rightarrow t$ 
    by (auto intro: exec-callUndefined)
  hence  $\Gamma \vdash \langle \text{dynCall init } p\ \text{return}'\ c, \text{Normal } s \rangle \Rightarrow t$ 
    by (rule exec-dynCall)
  from valid-call ctxt this P t-notin-F
  show ?thesis
    by (rule cvalidt-postD)
qed
next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P\ (\text{Call } p)\ Q, A$ 
  hence  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P\ (\text{Call } p)\ Q, A$ 
    by (auto simp add: validt-def)
  then have ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/UNIV} P\ (\text{Call } p)\ Q, A$ 
    by (auto intro: valid-augment-Faults)
  assume P: s  $\in P$ 
  from valid-call ctxt P
  have  $\Gamma \vdash \text{dynCall init } p\ \text{return}'\ c \downarrow \text{Normal } s$ 
    by (rule cvalidt-termD)

```

hence $\text{call}: \Gamma \vdash \text{call init } (p \ s) \ \text{return}' \ c \downarrow \text{Normal } s$
by cases
have $\Gamma \vdash \text{call init } (p \ s) \ \text{return } c \downarrow \text{Normal } s$
proof (*cases* $p \ s \in \text{dom } \Gamma$)
case *True*
with call obtain bdy where
bdy: $\Gamma \ (p \ s) = \text{Some } \text{bdy}$ **and** *termi-bdy*: $\Gamma \vdash \text{bdy} \downarrow \text{Normal } (\text{init } s)$ **and**
termi-c: $\forall t. \Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t \longrightarrow$
 $\Gamma \vdash c \ s \ t \downarrow \text{Normal } (\text{return}' \ s \ t)$
by cases auto
{
fix t
assume *exec-bdy*: $\Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t$
hence $\Gamma \vdash c \ s \ t \downarrow \text{Normal } (\text{return } s \ t)$
proof –
from *exec-bdy bdy*
have $\Gamma \vdash \langle \text{Call } (p \ s), \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t$
by (*auto simp add: intro: exec.intros*)
from *cvalidD* [*OF valid-modif* [*rule-format, of s init s*] *ctxt' this*] P
ret-modif
have $\text{return}' \ s \ t = \text{return } s \ t$
by auto
with termi-c exec-bdy show *?thesis* **by auto**
qed
}
with bdy termi-bdy
show *?thesis*
by (*iprover intro: terminates-call*)
next
case *False*
thus *?thesis*
by (*auto intro: terminates-callUndefined*)
qed
thus $\Gamma \vdash \text{dynCall init } p \ \text{return } c \downarrow \text{Normal } s$
by (*iprover intro: terminates-dynCall*)
qed

lemma *dynProcModifyReturn*:
assumes *dyn-call*: $\Gamma, \Theta \vdash_{t/F} P \ \text{dynCall init } p \ \text{return}' \ c \ Q, A$
assumes *ret-modif*:
 $\forall s \ t. t \in \text{Modif } (\text{init } s) \longrightarrow \text{return}' \ s \ t = \text{return } s \ t$
assumes *ret-modifAbr*: $\forall s \ t. t \in \text{ModifAbr } (\text{init } s) \longrightarrow \text{return}' \ s \ t = \text{return } s \ t$
assumes *modif*:
 $\forall s \in P. \forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} \ \text{Call } (p \ s) \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$
shows $\Gamma, \Theta \vdash_{t/F} P \ (\text{dynCall init } p \ \text{return } c) \ Q, A$
apply (*rule hoaret-complete*)

apply (*rule dynProcModifyReturn-sound*
 [**where** *Modif*=*Modif* **and** *ModifAbr*=*ModifAbr*,
 OF hoaret-sound [*OF dyn-call*] - *ret-modif ret-modifAbr*])
apply (*intro ballI allI*)
apply (*rule hoare-sound* [*OF modif* [*rule-format*]])
apply *assumption*
done

lemma *dynProcModifyReturnSameFaults-sound*:
assumes *valid-call*: $\Gamma, \Theta \models_{t/F} P \text{ dynCall init } p \text{ return}' c \ Q, A$
assumes *valid-modif*:
 $\forall s \in P. \forall \sigma. \Gamma, \Theta \models_{/F} \{\sigma\} \text{ Call } (p \ s) \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$
assumes *ret-modif*:
 $\forall s \ t. t \in \text{Modif } (\text{init } s) \longrightarrow \text{return}' s \ t = \text{return } s \ t$
assumes *ret-modifAbr*: $\forall s \ t. t \in \text{ModifAbr } (\text{init } s) \longrightarrow \text{return}' s \ t = \text{return } s \ t$
shows $\Gamma, \Theta \models_{t/F} P \ (\text{dynCall init } p \text{ return } c) \ Q, A$
proof (*rule cvalidtI*)
 fix *s t*
 assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$
 hence *ctxt'*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P \ (\text{Call } p) \ Q, A$
 by (*auto simp add: validt-def*)
 assume *exec*: $\Gamma \vdash \langle \text{dynCall init } p \text{ return } c, \text{Normal } s \rangle \Rightarrow t$
 assume *t-notin-F*: $t \notin \text{Fault } 'F$
 assume *P*: $s \in P$
 with *valid-modif*
 have *valid-modif'*:
 $\forall \sigma. \Gamma, \Theta \models_{/F} \{\sigma\} \ (\text{Call } (p \ s)) \ (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$
 by *blast*
 from *exec*
 have $\Gamma \vdash \langle \text{call init } (p \ s) \text{ return } c, \text{Normal } s \rangle \Rightarrow t$
 by (*cases rule: exec-dynCall-Normal-elim*)
 then show $t \in \text{Normal } 'Q \cup \text{Abrupt } 'A$
 proof (*cases rule: exec-call-Normal-elim*)
 fix *bdy t'*
 assume *bdy*: $\Gamma \ (p \ s) = \text{Some bdy}$
 assume *exec-body*: $\Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t'$
 assume *exec-c*: $\Gamma \vdash \langle c \ s \ t', \text{Normal } (\text{return } s \ t') \rangle \Rightarrow t$
 from *exec-body bdy*
 have $\Gamma \vdash \langle \text{Call } (p \ s), \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t'$
 by (*auto simp add: intro: exec.intros*)
 from *cvalidD* [*OF valid-modif'* [*rule-format*, of *init s*] *ctxt' this*] *P*
 have $t' \in \text{Modif } (\text{init } s)$
 by *auto*
 with *ret-modif* **have** $\text{Normal } (\text{return}' s \ t') =$
 $\text{Normal } (\text{return } s \ t')$
 by *simp*
 with *exec-body exec-c bdy*
 have $\Gamma \vdash \langle \text{call init } (p \ s) \text{ return}' c, \text{Normal } s \rangle \Rightarrow t$

```

    by (auto intro: exec-call)
  hence  $\Gamma \vdash \langle \text{dynCall init } p \text{ return' } c, \text{Normal } s \rangle \Rightarrow t$ 
    by (rule exec-dynCall)
  from cvalidt-postD [OF valid-call ctxt this]  $P \text{ } t\text{-notin-}F$ 
  show ?thesis
    by simp
next
  fix bdy t'
  assume bdy:  $\Gamma (p \ s) = \text{Some bdy}$ 
  assume exec-body:  $\Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Abrupt } t'$ 
  assume t:  $t = \text{Abrupt } (\text{return } s \ t')$ 
  also from exec-body bdy
  have  $\Gamma \vdash \langle \text{Call } (p \ s) \text{ ,Normal } (\text{init } s) \rangle \Rightarrow \text{Abrupt } t'$ 
    by (auto simp add: intro: exec.intros)
  from cvalidD [OF valid-modif' [rule-format, of init s] ctxt' this]  $P$ 
  have  $t' \in \text{ModifAbr } (\text{init } s)$ 
    by auto
  with ret-modifAbr have  $\text{Abrupt } (\text{return } s \ t') = \text{Abrupt } (\text{return' } s \ t')$ 
    by simp
  finally have  $t = \text{Abrupt } (\text{return' } s \ t') .$ 
  with exec-body bdy
  have  $\Gamma \vdash \langle \text{call init } (p \ s) \text{ return' } c, \text{Normal } s \rangle \Rightarrow t$ 
    by (auto intro: exec-callAbrupt)
  hence  $\Gamma \vdash \langle \text{dynCall init } p \text{ return' } c, \text{Normal } s \rangle \Rightarrow t$ 
    by (rule exec-dynCall)
  from cvalidt-postD [OF valid-call ctxt this]  $P \text{ } t\text{-notin-}F$ 
  show ?thesis
    by simp
next
  fix bdy f
  assume bdy:  $\Gamma (p \ s) = \text{Some bdy}$ 
  assume  $\Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Fault } f$  and
    t:  $t = \text{Fault } f$ 
  with bdy have  $\Gamma \vdash \langle \text{call init } (p \ s) \text{ return' } c \text{ ,Normal } s \rangle \Rightarrow t$ 
    by (auto intro: exec-callFault)
  hence  $\Gamma \vdash \langle \text{dynCall init } p \text{ return' } c, \text{Normal } s \rangle \Rightarrow t$ 
    by (rule exec-dynCall)
  from cvalidt-postD [OF valid-call ctxt this]  $P \text{ } t \text{ } t\text{-notin-}F$ 
  show ?thesis
    by simp
next
  fix bdy
  assume bdy:  $\Gamma (p \ s) = \text{Some bdy}$ 
  assume  $\Gamma \vdash \langle \text{bdy}, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Stuck}$ 
    t = Stuck
  with bdy have  $\Gamma \vdash \langle \text{call init } (p \ s) \text{ return' } c \text{ ,Normal } s \rangle \Rightarrow t$ 
    by (auto intro: exec-callStuck)
  hence  $\Gamma \vdash \langle \text{dynCall init } p \text{ return' } c, \text{Normal } s \rangle \Rightarrow t$ 
    by (rule exec-dynCall)

```

```

from valid-call ctxt this P t-notin-F
show ?thesis
  by (rule cvalidt-postD)
next
  fix bdy
  assume  $\Gamma (p\ s) = \text{None } t = \text{Stuck}$ 
  hence  $\Gamma \vdash \langle \text{call init } (p\ s) \text{ return}'\ c, \text{Normal } s \rangle \Rightarrow t$ 
    by (auto intro: exec-callUndefined)
  hence  $\Gamma \vdash \langle \text{dynCall init } p \text{ return}'\ c, \text{Normal } s \rangle \Rightarrow t$ 
    by (rule exec-dynCall)
  from valid-call ctxt this P t-notin-F
  show ?thesis
    by (rule cvalidt-postD)
qed
next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (\text{Call } p) Q, A$ 
  hence ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/F} P (\text{Call } p) Q, A$ 
    by (auto simp add: validt-def)
  assume P:  $s \in P$ 
  from valid-call ctxt P
  have  $\Gamma \vdash \text{dynCall init } p \text{ return}'\ c \downarrow \text{Normal } s$ 
    by (rule cvalidt-termD)
  hence call:  $\Gamma \vdash \text{call init } (p\ s) \text{ return}'\ c \downarrow \text{Normal } s$ 
    by cases
  have  $\Gamma \vdash \text{call init } (p\ s) \text{ return } c \downarrow \text{Normal } s$ 
  proof (cases p s \in dom \Gamma)
    case True
    with call obtain bdy where
      bdy:  $\Gamma (p\ s) = \text{Some } bdy$  and termi-bdy:  $\Gamma \vdash bdy \downarrow \text{Normal } (\text{init } s)$  and
      termi-c:  $\forall t. \Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t \longrightarrow$ 
         $\Gamma \vdash c\ s\ t \downarrow \text{Normal } (\text{return}'\ s\ t)$ 
    by cases auto
  {
    fix t
    assume exec-bdy:  $\Gamma \vdash \langle bdy, \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t$ 
    hence  $\Gamma \vdash c\ s\ t \downarrow \text{Normal } (\text{return } s\ t)$ 
    proof –
      from exec-bdy bdy
      have  $\Gamma \vdash \langle \text{Call } (p\ s), \text{Normal } (\text{init } s) \rangle \Rightarrow \text{Normal } t$ 
        by (auto simp add: intro: exec.intros)
      from cvalidD [OF valid-modif [rule-format, of s init s] ctxt' this] P
        ret-modif
      have  $\text{return}'\ s\ t = \text{return } s\ t$ 
        by auto
      with termi-c exec-bdy show ?thesis by auto
    qed
  }
with bdy termi-bdy

```

```

  show ?thesis
  by (iprover intro: terminates-call)
next
  case False
  thus ?thesis
  by (auto intro: terminates-callUndefined)
qed
thus  $\Gamma \vdash \text{dynCall init } p \text{ return } c \downarrow \text{Normal } s$ 
  by (iprover intro: terminates-dynCall)
qed

lemma dynProcModifyReturnSameFaults:
assumes dyn-call:  $\Gamma, \Theta \vdash_{t/F} P \text{ dynCall init } p \text{ return}' c \ Q, A$ 
assumes ret-modif:
   $\forall s \ t. t \in \text{Modif } (\text{init } s) \longrightarrow \text{return}' s \ t = \text{return } s \ t$ 
assumes ret-modifAbr:  $\forall s \ t. t \in \text{ModifAbr } (\text{init } s) \longrightarrow \text{return}' s \ t = \text{return } s \ t$ 
assumes modif:
   $\forall s \in P. \forall \sigma. \Gamma, \Theta \vdash_{/F} \{\sigma\} \text{ Call } (p \ s) (\text{Modif } \sigma), (\text{ModifAbr } \sigma)$ 
shows  $\Gamma, \Theta \vdash_{t/F} P \ (\text{dynCall init } p \text{ return } c) \ Q, A$ 
apply (rule hoaret-complete')
apply (rule dynProcModifyReturnSameFaults-sound
  [where Modif=Modif and ModifAbr=ModifAbr,
    OF hoaret-sound [OF dyn-call] - ret-modif ret-modifAbr])
apply (intro ballI allI)
apply (rule hoare-sound [OF modif [rule-format]])
apply assumption
done

```

10.3.3 Conjunction of Postcondition

```

lemma PostConjI-sound:
  assumes valid-Q:  $\Gamma, \Theta \models_{t/F} P \ c \ Q, A$ 
  assumes valid-R:  $\Gamma, \Theta \models_{t/F} P \ c \ R, B$ 
  shows  $\Gamma, \Theta \models_{t/F} P \ c \ (Q \cap R), (A \cap B)$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
  assume exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-notin-F:  $t \notin \text{Fault } F$ 
  from valid-Q ctxt exec P t-notin-F have  $t \in \text{Normal } Q \cup \text{Abrupt } A$ 
  by (rule cvalidt-postD)
  moreover
  from valid-R ctxt exec P t-notin-F have  $t \in \text{Normal } R \cup \text{Abrupt } B$ 
  by (rule cvalidt-postD)
  ultimately show  $t \in \text{Normal } (Q \cap R) \cup \text{Abrupt } (A \cap B)$ 
  by blast
next

```

```

fix s
assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
assume P:  $s \in P$ 
from valid-Q ctxt P
show  $\Gamma \vdash c \downarrow \text{Normal } s$ 
  by (rule cvalidt-termD)
qed

```

```

lemma PostConjI:
  assumes deriv-Q:  $\Gamma, \Theta \vdash_{t/F} P \text{ } c \text{ } Q, A$ 
  assumes deriv-R:  $\Gamma, \Theta \vdash_{t/F} P \text{ } c \text{ } R, B$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P \text{ } c \text{ } (Q \cap R), (A \cap B)$ 
apply (rule hoaret-complete')
apply (rule PostConjI-sound)
apply (rule hoaret-sound [OF deriv-Q])
apply (rule hoaret-sound [OF deriv-R])
done

```

```

lemma Merge-PostConj-sound:
  assumes validF:  $\Gamma, \Theta \models_{t/F} P \text{ } c \text{ } Q, A$ 
  assumes validG:  $\Gamma, \Theta \models_{t/G} P' \text{ } c \text{ } R, X$ 
  assumes F-G:  $F \subseteq G$ 
  assumes P-P':  $P \subseteq P'$ 
  shows  $\Gamma, \Theta \models_{t/F} P \text{ } c \text{ } (Q \cap R), (A \cap X)$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
  with F-G have ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/G} P \text{ (Call } p) \text{ } Q, A$ 
    by (auto intro: validt-augment-Faults)
  assume exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  with P-P' have P':  $s \in P'$ 
    by auto
  assume t-noFault:  $t \notin \text{Fault } 'F$ 
  show  $t \in \text{Normal } ' (Q \cap R) \cup \text{Abrupt } ' (A \cap X)$ 
  proof –
    from cvalidt-postD [OF validF [rule-format] ctxt exec P t-noFault]
    have t:  $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$ .
    then have  $t \notin \text{Fault } ' G$ 
      by auto
    from cvalidt-postD [OF validG [rule-format] ctxt' exec P' this]
    have  $t \in \text{Normal } ' R \cup \text{Abrupt } ' X$  .
    with t show ?thesis by auto
  qed
next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 

```

```

assume  $P: s \in P$ 
from  $\text{validF } \text{ctxt } P$ 
show  $\Gamma \vdash c \downarrow \text{Normal } s$ 
  by ( $\text{rule } \text{cvalidt-termD}$ )
qed

```

```

lemma  $\text{Merge-PostConj}$ :
  assumes  $\text{validF}: \Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$ 
  assumes  $\text{validG}: \Gamma, \Theta \vdash_{t/G} P' \ c \ R, X$ 
  assumes  $F\text{-}G: F \subseteq G$ 
  assumes  $P\text{-}P': P \subseteq P'$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P \ c \ (Q \cap R), (A \cap X)$ 
apply ( $\text{rule } \text{hoaret-complete'}$ )
apply ( $\text{rule } \text{Merge-PostConj-sound } [\text{OF } - - F\text{-}G \ P\text{-}P']$ )
using  $\text{validF}$  apply ( $\text{blast intro:hoaret-sound}$ )
using  $\text{validG}$  apply ( $\text{blast intro:hoaret-sound}$ )
done

```

10.3.4 Guards and Guarantees

```

lemma  $\text{SplitGuards-sound}$ :
  assumes  $\text{valid-c1}: \Gamma, \Theta \models_{t/F} P \ c_1 \ Q, A$ 
  assumes  $\text{valid-c2}: \Gamma, \Theta \models_{t/F} P \ c_2 \ \text{UNIV}, \text{UNIV}$ 
  assumes  $c: (c_1 \cap_g c_2) = \text{Some } c$ 
  shows  $\Gamma, \Theta \models_{t/F} P \ c \ Q, A$ 
proof ( $\text{rule } \text{cvalidtI}$ )
  fix  $s \ t$ 
  assume  $\text{ctxt}: \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
  hence  $\text{ctxt}' : \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$ 
  by ( $\text{auto simp add: validt-def}$ )
  assume  $\text{exec}: \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
  assume  $P: s \in P$ 
  assume  $t\text{-notin-}F: t \notin \text{Fault } F$ 
  show  $t \in \text{Normal } Q \cup \text{Abrupt } A$ 
  proof ( $\text{cases } t$ )
    case  $\text{Normal}$ 
    with  $\text{inter-guards-exec-noFault } [\text{OF } c \ \text{exec}]$ 
    have  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow t$  by  $\text{simp}$ 
    from  $\text{valid-c1 ctxt this } P \ t\text{-notin-}F$ 
    show  $?thesis$ 
    by ( $\text{rule } \text{cvalidt-postD}$ )
  next
  case  $\text{Abrupt}$ 
  with  $\text{inter-guards-exec-noFault } [\text{OF } c \ \text{exec}]$ 
  have  $\Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow t$  by  $\text{simp}$ 
  from  $\text{valid-c1 ctxt this } P \ t\text{-notin-}F$ 

```



```

  show ?thesis
  by (rule cvalidt-postD)
next
  case (Fault f)
  assume t: t=Fault f
  with exec inter-guards-exec-Fault [OF c]
  have  $\Gamma \vdash \langle c_1, Normal\ s \rangle \Rightarrow Fault\ f \vee \Gamma \vdash \langle c_2, Normal\ s \rangle \Rightarrow Fault\ f$ 
  by auto
  then show ?thesis
  proof (cases rule: disjE [consumes 1])
    assume  $\Gamma \vdash \langle c_1, Normal\ s \rangle \Rightarrow Fault\ f$ 
    from cvalidt-postD [OF valid-c1 ctxt this P] t t-notin-F
    show ?thesis
    by blast
  next
    assume  $\Gamma \vdash \langle c_2, Normal\ s \rangle \Rightarrow Fault\ f$ 
    from cvalidD [OF valid-c2 ctxt' this P] t t-notin-F
    show ?thesis
    by blast
  qed
next
  case Stuck
  with inter-guards-exec-noFault [OF c exec]
  have  $\Gamma \vdash \langle c_1, Normal\ s \rangle \Rightarrow t$  by simp
  from valid-c1 ctxt this P t-notin-F
  show ?thesis
  by (rule cvalidt-postD)
qed
next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (Call\ p)\ Q, A$ 
  assume P:  $s \in P$ 
  show  $\Gamma \vdash c \downarrow Normal\ s$ 
  proof -
    from valid-c1 ctxt P
    have  $\Gamma \vdash c_1 \downarrow Normal\ s$ 
    by (rule cvalidt-termD)
    with c show ?thesis
    by (rule inter-guards-terminates)
  qed
qed

```

lemma SplitGuards:

```

  assumes c:  $(c_1 \cap_g c_2) = Some\ c$ 
  assumes deriv-c1:  $\Gamma, \Theta \vdash_{t/F} P\ c_1\ Q, A$ 
  assumes deriv-c2:  $\Gamma, \Theta \vdash_{t/F} P\ c_2\ UNIV, UNIV$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P\ c\ Q, A$ 
  apply (rule hoaret-complete')
  apply (rule SplitGuards-sound [OF - - c])

```

apply (*rule hoaret-sound* [*OF deriv-c1*])
apply (*rule hoare-sound* [*OF deriv-c2*])
done

lemma *CombineStrip-sound*:

assumes *valid*: $\Gamma, \Theta \models_{t/F} P \ c \ Q, A$

assumes *valid-strip*: $\Gamma, \Theta \models_{/\{\}} P \ (\text{strip-guards } (-F) \ c) \ \text{UNIV}, \text{UNIV}$

shows $\Gamma, \Theta \models_{t/\{\}} P \ c \ Q, A$

proof (*rule cvalidtI*)

fix *s t*

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/\{\}} P \ (\text{Call } p) \ Q, A$

hence *ctxt'*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/\{\}} P \ (\text{Call } p) \ Q, A$

by (*auto simp add: validt-def*)

from *ctxt* **have** *ctxt''*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (\text{Call } p) \ Q, A$

by (*auto intro: valid-augment-Faults simp add: validt-def*)

assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$

assume *P*: $s \in P$

assume *t-noFault*: $t \notin \text{Fault } \cdot \{\}$

show $t \in \text{Normal } \cdot Q \cup \text{Abrupt } \cdot A$

proof (*cases t*)

case (*Normal t'*)

from *cvalidt-postD* [*OF valid ctxt'' exec P*] *Normal*

show *?thesis*

by *auto*

next

case (*Abrupt t'*)

from *cvalidt-postD* [*OF valid ctxt'' exec P*] *Abrupt*

show *?thesis*

by *auto*

next

case (*Fault f*)

show *?thesis*

proof (*cases f* $\in F$)

case *True*

hence $f \notin -F$ **by** *simp*

with *exec Fault*

have $\Gamma \vdash \langle \text{strip-guards } (-F) \ c, \text{Normal } s \rangle \Rightarrow \text{Fault } f$

by (*auto intro: exec-to-exec-strip-guards-Fault*)

from *cvalidD* [*OF valid-strip ctxt' this P*] *Fault*

have *False*

by *auto*

thus *?thesis* **..**

next

case *False*

with *cvalidt-postD* [*OF valid ctxt'' exec P*] *Fault*

show *?thesis*

by *auto*

qed

```

next
  case Stuck
  from cvalidt-postD [OF valid ctxt'' exec P] Stuck
  show ?thesis
  by auto
qed
next
fix s
assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/\{\}} P \text{ (Call } p) Q, A$ 
hence ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) Q, A$ 
  by (auto intro: valid-augment-Faults simp add: validt-def)
assume P:  $s \in P$ 
show  $\Gamma \vdash c \downarrow \text{Normal } s$ 
proof -
  from valid ctxt' P
  show  $\Gamma \vdash c \downarrow \text{Normal } s$ 
  by (rule cvalidt-termD)
qed
qed

lemma CombineStrip:
  assumes deriv:  $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$ 
  assumes deriv-strip:  $\Gamma, \Theta \vdash_{/\{\}} P \text{ (strip-guards } (-F) \text{ c) } UNIV, UNIV$ 
  shows  $\Gamma, \Theta \vdash_{t/\{\}} P \text{ c } Q, A$ 
apply (rule hoaret-complete')
apply (rule CombineStrip-sound)
apply (iprover intro: hoaret-sound [OF deriv])
apply (iprover intro: hoare-sound [OF deriv-strip])
done

lemma GuardsFlip-sound:
  assumes valid:  $\Gamma, \Theta \models_{t/F} P \text{ c } Q, A$ 
  assumes validFlip:  $\Gamma, \Theta \models_{/-F} P \text{ c } UNIV, UNIV$ 
  shows  $\Gamma, \Theta \models_{t/\{\}} P \text{ c } Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/\{\}} P \text{ (Call } p) Q, A$ 
  from ctxt have ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) Q, A$ 
  by (auto intro: valid-augment-Faults simp add: validt-def)
  from ctxt have ctxtFlip:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{/-F} P \text{ (Call } p) Q, A$ 
  by (auto intro: valid-augment-Faults simp add: validt-def)
  assume exec:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-noFault:  $t \notin \text{Fault } ' \{\}$ 
  show  $t \in \text{Normal } ' Q \cup \text{Abrupt } ' A$ 
  proof (cases t)
    case (Normal t')

```

```

from cvalidt-postD [OF valid ctxt' exec P] Normal
show ?thesis
  by auto
next
  case (Abrupt t')
  from cvalidt-postD [OF valid ctxt' exec P] Abrupt
  show ?thesis
    by auto
next
  case (Fault f)
  show ?thesis
  proof (cases f ∈ F)
    case True
    hence  $f \notin -F$  by simp
    with cvalidD [OF validFlip ctxtFlip exec P] Fault
    have False
      by auto
    thus ?thesis ..
  next
  case False
  with cvalidt-postD [OF valid ctxt' exec P] Fault
  show ?thesis
    by auto
  qed
next
  case Stuck
  from cvalidt-postD [OF valid ctxt' exec P] Stuck
  show ?thesis
    by auto
  qed
next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/\{\}} P (Call\ p)\ Q, A$ 
  hence ctxt':  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P (Call\ p)\ Q, A$ 
    by (auto intro: valid-augment-Faults simp add: validt-def)
  assume P:  $s \in P$ 
  show  $\Gamma \vdash c \downarrow Normal\ s$ 
  proof –
    from valid ctxt' P
    show  $\Gamma \vdash c \downarrow Normal\ s$ 
      by (rule cvalidt-termD)
  qed
qed

```

lemma *GuardsFlip*:

```

assumes deriv:  $\Gamma, \Theta \vdash_{t/F} P\ c\ Q, A$ 
assumes derivFlip:  $\Gamma, \Theta \vdash_{-F} P\ c\ UNIV, UNIV$ 
shows  $\Gamma, \Theta \vdash_{t/\{\}} P\ c\ Q, A$ 

```

```

apply (rule hoaret-complete')
apply (rule GuardsFlip-sound)
apply (iprover intro: hoaret-sound [OF deriv])
apply (iprover intro: hoare-sound [OF derivFlip])
done

lemma MarkGuardsI-sound:
  assumes valid:  $\Gamma, \Theta \models_t / \{\} P \ c \ Q, A$ 
  shows  $\Gamma, \Theta \models_t / \{\} P \ \text{mark-guards } f \ c \ Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_t / \{\} P \ (\text{Call } p) \ Q, A$ 
  assume exec:  $\Gamma \vdash \langle \text{mark-guards } f \ c, \text{Normal } s \rangle \Rightarrow t$ 
  from exec-mark-guards-to-exec [OF exec] obtain t' where
    exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t'$  and
    t'-noFault:  $\neg \text{isFault } t' \longrightarrow t' = t$ 
  by blast
  assume P:  $s \in P$ 
  assume t-noFault:  $t \notin \text{Fault } \{\}$ 
  show  $t \in \text{Normal } \{\} \cup \text{Abrupt } \{\} \cup A$ 
  proof -
    from cvalidt-postD [OF valid [rule-format] ctxt exec-c P]
    have  $t' \in \text{Normal } \{\} \cup \text{Abrupt } \{\} \cup A$ 
    by blast
    with t'-noFault
    show ?thesis
    by auto
  qed
next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_t / \{\} P \ (\text{Call } p) \ Q, A$ 
  assume P:  $s \in P$ 
  from cvalidt-termD [OF valid ctxt P]
  have  $\Gamma \vdash c \downarrow \text{Normal } s$ .
  thus  $\Gamma \vdash \text{mark-guards } f \ c \downarrow \text{Normal } s$ 
    by (rule terminates-to-terminates-mark-guards)
  qed

lemma MarkGuardsI:
  assumes deriv:  $\Gamma, \Theta \vdash_t / \{\} P \ c \ Q, A$ 
  shows  $\Gamma, \Theta \models_t / \{\} P \ \text{mark-guards } f \ c \ Q, A$ 
apply (rule hoaret-complete')
apply (rule MarkGuardsI-sound)
apply (iprover intro: hoaret-sound [OF deriv])
done

```

lemma MarkGuardsD-sound:

```

assumes valid:  $\Gamma, \Theta \models_t / \{\} P \text{ mark-guards } f \ c \ Q, A$ 
shows  $\Gamma, \Theta \models_t / \{\} P \ c \ Q, A$ 
proof (rule cvalidtI)
  fix s t
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_t / \{\} P \ (Call \ p) \ Q, A$ 
  assume exec:  $\Gamma \vdash \langle c, Normal \ s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume t-noFault:  $t \notin Fault \ ' \ \{\}$ 
  show  $t \in Normal \ ' \ Q \cup Abrupt \ ' \ A$ 
  proof (cases isFault t)
    case True
    with exec-to-exec-mark-guards-Fault exec
    obtain f' where  $\Gamma \vdash \langle \text{mark-guards } f \ c, Normal \ s \rangle \Rightarrow Fault \ f'$ 
    by (fastforce elim: isFaultE)
    from cvalidt-postD [OF valid [rule-format] ctxt this P]
    have False
    by auto
    thus ?thesis ..
  next
  case False
  from exec-to-exec-mark-guards [OF exec False]
  obtain f' where  $\Gamma \vdash \langle \text{mark-guards } f \ c, Normal \ s \rangle \Rightarrow t$ 
  by auto
  from cvalidt-postD [OF valid [rule-format] ctxt this P]
  show ?thesis
  by auto
qed
next
fix s
assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_t / \{\} P \ (Call \ p) \ Q, A$ 
assume P:  $s \in P$ 
from cvalidt-termD [OF valid ctxt P]
have  $\Gamma \vdash \text{mark-guards } f \ c \downarrow Normal \ s.$ 
thus  $\Gamma \vdash c \downarrow Normal \ s$ 
by (rule terminates-mark-guards-to-terminates)
qed

lemma MarkGuardsD:
  assumes deriv:  $\Gamma, \Theta \vdash_t / \{\} P \text{ mark-guards } f \ c \ Q, A$ 
  shows  $\Gamma, \Theta \vdash_t / \{\} P \ c \ Q, A$ 
apply (rule hoaret-complete)
apply (rule MarkGuardsD-sound)
apply (iprover intro: hoaret-sound [OF deriv])
done

lemma MergeGuardsI-sound:
  assumes valid:  $\Gamma, \Theta \models_t /_F P \ c \ Q, A$ 
  shows  $\Gamma, \Theta \models_t /_F P \ \text{merge-guards } c \ Q, A$ 

```

proof (*rule cvalidtI*)
fix $s\ t$
assume $ctxt: \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (Call\ p)\ Q, A$
assume $exec\text{-}merge: \Gamma \vdash \langle merge\text{-}guards\ c, Normal\ s \rangle \Rightarrow t$
from $exec\text{-}merge\text{-}guards\text{-}to\text{-}exec\ [OF\ exec\text{-}merge]$
have $exec: \Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow t$.
assume $P: s \in P$
assume $t\text{-}notin\text{-}F: t \notin Fault\ 'F$
from $cvalidt\text{-}postD\ [OF\ valid\ [rule\text{-}format]\ ctxt\ exec\ P\ t\text{-}notin\text{-}F]$
show $t \in Normal\ 'Q \cup Abrupt\ 'A$.
next
fix s
assume $ctxt: \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (Call\ p)\ Q, A$
assume $P: s \in P$
from $cvalidt\text{-}termD\ [OF\ valid\ ctxt\ P]$
have $\Gamma \vdash c \downarrow Normal\ s$.
thus $\Gamma \vdash merge\text{-}guards\ c \downarrow Normal\ s$
by (*rule terminates-to-terminates-merge-guards*)
qed

lemma *MergeGuardsI*:
assumes $deriv: \Gamma, \Theta \vdash_{t/F} P\ c\ Q, A$
shows $\Gamma, \Theta \vdash_{t/F} P\ merge\text{-}guards\ c\ Q, A$
apply (*rule hoaret-complete'*)
apply (*rule MergeGuardsI-sound*)
apply (*iprover intro: hoaret-sound [OF deriv]*)
done

lemma *MergeGuardsD-sound*:
assumes $valid: \Gamma, \Theta \models_{t/F} P\ merge\text{-}guards\ c\ Q, A$
shows $\Gamma, \Theta \models_{t/F} P\ c\ Q, A$
proof (*rule cvalidtI*)
fix $s\ t$
assume $ctxt: \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (Call\ p)\ Q, A$
assume $exec: \Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow t$
from $exec\text{-}to\text{-}exec\text{-}merge\text{-}guards\ [OF\ exec]$
have $exec\text{-}merge: \Gamma \vdash \langle merge\text{-}guards\ c, Normal\ s \rangle \Rightarrow t$.
assume $P: s \in P$
assume $t\text{-}notin\text{-}F: t \notin Fault\ 'F$
from $cvalidt\text{-}postD\ [OF\ valid\ [rule\text{-}format]\ ctxt\ exec\text{-}merge\ P\ t\text{-}notin\text{-}F]$
show $t \in Normal\ 'Q \cup Abrupt\ 'A$.
next
fix s
assume $ctxt: \forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \ (Call\ p)\ Q, A$
assume $P: s \in P$
from $cvalidt\text{-}termD\ [OF\ valid\ ctxt\ P]$
have $\Gamma \vdash merge\text{-}guards\ c \downarrow Normal\ s$.
thus $\Gamma \vdash c \downarrow Normal\ s$

by (rule terminates-merge-guards-to-terminates)
qed

lemma MergeGuardsD:
 assumes deriv: $\Gamma, \Theta \vdash_t /_F P$ merge-guards c Q, A
 shows $\Gamma, \Theta \vdash_t /_F P$ c Q, A
 apply (rule hoaret-complete')
 apply (rule MergeGuardsD-sound)
 apply (iprover intro: hoaret-sound [OF deriv])
 done

lemma SubsetGuards-sound:
 assumes $c-c': c \subseteq_g c'$
 assumes valid: $\Gamma, \Theta \models_t /_{\{\}} P$ c' Q, A
 shows $\Gamma, \Theta \models_t /_{\{\}} P$ c Q, A
proof (rule cvalidtI)
 fix s t
 assume ctxt: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_t /_{\{\}} P$ (Call p) Q, A
 assume exec: $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$
 from exec-to-exec-subseteq-guards [OF $c-c'$ exec] obtain t' where
 $\text{exec-}c': \Gamma \vdash \langle c', \text{Normal } s \rangle \Rightarrow t'$ and
 $t'\text{-noFault}: \neg \text{isFault } t' \longrightarrow t' = t$
 by blast
 assume $P: s \in P$
 assume $t\text{-noFault}: t \notin \text{Fault } \{\}$
 from cvalidt-postD [OF valid [rule-format] ctxt exec- c' P] $t'\text{-noFault}$ $t\text{-noFault}$
 show $t \in \text{Normal } \{\} \cup \text{Abrupt } \{\} \cup A$
 by auto
next
 fix s
 assume ctxt: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_t /_{\{\}} P$ (Call p) Q, A
 assume $P: s \in P$
 from cvalidt-termD [OF valid ctxt P]
 have termi- $c': \Gamma \vdash c' \downarrow \text{Normal } s$.
 from cvalidt-postD [OF valid ctxt - P]
 have noFault- $c': \Gamma \vdash \langle c', \text{Normal } s \rangle \Rightarrow \notin \text{Fault } \{\} \cup \text{UNIV}$
 by (auto simp add: final-notin-def)
 from termi- c' $c-c'$ noFault- c'
 show $\Gamma \vdash c \downarrow \text{Normal } s$
 by (rule terminates-fewer-guards)
 qed

lemma SubsetGuards:
 assumes $c-c': c \subseteq_g c'$
 assumes deriv: $\Gamma, \Theta \vdash_t /_{\{\}} P$ c' Q, A
 shows $\Gamma, \Theta \vdash_t /_{\{\}} P$ c Q, A
 apply (rule hoaret-complete')

apply (*rule SubsetGuards-sound* [*OF c-c'*])
apply (*iprover intro: hoaret-sound* [*OF deriv*])
done

lemma *NormalizeD-sound*:

assumes *valid*: $\Gamma, \Theta \models_{t/F} P \text{ (normalize } c) \ Q, A$

shows $\Gamma, \Theta \models_{t/F} P \ c \ Q, A$

proof (*rule cvalidtI*)

fix *s t*

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \ Q, A$

assume *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$

hence *exec-norm*: $\Gamma \vdash \langle \text{normalize } c, \text{Normal } s \rangle \Rightarrow t$

by (*rule exec-to-exec-normalize*)

assume *P*: $s \in P$

assume *noFault*: $t \notin \text{Fault } F$

from *cvalidt-postD* [*OF valid* [*rule-format*] *ctxt exec-norm P noFault*]

show $t \in \text{Normal } Q \cup \text{Abrupt } A$.

next

fix *s*

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \ Q, A$

assume *P*: $s \in P$

from *cvalidt-termD* [*OF valid ctxt P*]

have $\Gamma \vdash \text{normalize } c \downarrow \text{Normal } s$.

thus $\Gamma \vdash c \downarrow \text{Normal } s$

by (*rule terminates-normalize-to-terminates*)

qed

lemma *NormalizeD*:

assumes *deriv*: $\Gamma, \Theta \vdash_{t/F} P \text{ (normalize } c) \ Q, A$

shows $\Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$

apply (*rule hoaret-complete*)

apply (*rule NormalizeD-sound*)

apply (*iprover intro: hoaret-sound* [*OF deriv*])

done

lemma *NormalizeI-sound*:

assumes *valid*: $\Gamma, \Theta \models_{t/F} P \ c \ Q, A$

shows $\Gamma, \Theta \models_{t/F} P \text{ (normalize } c) \ Q, A$

proof (*rule cvalidtI*)

fix *s t*

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \ Q, A$

assume $\Gamma \vdash \langle \text{normalize } c, \text{Normal } s \rangle \Rightarrow t$

hence *exec*: $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t$

by (*rule exec-normalize-to-exec*)

assume *P*: $s \in P$

assume *noFault*: $t \notin \text{Fault } F$

from *cvalidt-postD* [*OF valid* [*rule-format*] *ctxt exec P noFault*]

show $t \in \text{Normal } Q \cup \text{Abrupt } A$.

```

next
  fix s
  assume ctxt:  $\forall (P, p, Q, A) \in \Theta. \Gamma \models_{t/F} P \text{ (Call } p) \text{ } Q, A$ 
  assume P:  $s \in P$ 
  from cvalidt-termD [OF valid ctxt P]
  have  $\Gamma \vdash c \downarrow \text{Normal } s$ .
  thus  $\Gamma \vdash \text{normalize } c \downarrow \text{Normal } s$ 
    by (rule terminates-to-terminates-normalize)
qed

```

```

lemma NormalizeI:
  assumes deriv:  $\Gamma, \Theta \vdash_{t/F} P \text{ } c \text{ } Q, A$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P \text{ (normalize } c) \text{ } Q, A$ 
apply (rule hoaret-complete')
apply (rule NormalizeI-sound)
apply (iprover intro: hoaret-sound [OF deriv])
done

```

10.3.5 Restricting the Procedure Environment

```

lemma validt-restrict-to-validt:
  assumes validt-c:  $\Gamma|_M \models_{t/F} P \text{ } c \text{ } Q, A$ 
  shows  $\Gamma \models_{t/F} P \text{ } c \text{ } Q, A$ 
proof -
  from validt-c
  have valid-c:  $\Gamma|_M \models_{t/F} P \text{ } c \text{ } Q, A$  by (simp add: validt-def)
  hence  $\Gamma \models_{t/F} P \text{ } c \text{ } Q, A$  by (rule valid-restrict-to-valid)
  moreover
  {
    fix s
    assume P:  $s \in P$ 
    have  $\Gamma \vdash c \downarrow \text{Normal } s$ 
    proof -
      from P validt-c have  $\Gamma|_M \vdash c \downarrow \text{Normal } s$ 
        by (auto simp add: validt-def)
      moreover
      from P valid-c
      have  $\Gamma|_M \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$ 
        by (auto simp add: valid-def final-notin-def)
      ultimately show ?thesis
        by (rule terminates-restrict-to-terminates)
    qed
  }
  ultimately show ?thesis
    by (auto simp add: validt-def)
qed

```

```

lemma augment-procs:

```

assumes *deriv-c*: $\Gamma \mid_M, \{\} \vdash_{t/F} P \text{ c } Q, A$
shows $\Gamma, \{\} \vdash_{t/F} P \text{ c } Q, A$
apply (*rule hoaret-complete*)
apply (*rule validt-restrict-to-validt*)
apply (*insert hoaret-sound* [*OF deriv-c*])
by (*simp add: cvalidt-def*)

10.3.6 Miscellaneous

lemma *augment-Faults*:
assumes *deriv-c*: $\Gamma, \{\} \vdash_{t/F} P \text{ c } Q, A$
assumes *F*: $F \subseteq F'$
shows $\Gamma, \{\} \vdash_{t/F'} P \text{ c } Q, A$
apply (*rule hoaret-complete*)
apply (*rule validt-augment-Faults* [*OF - F*])
apply (*insert hoaret-sound* [*OF deriv-c*])
by (*simp add: cvalidt-def*)

lemma *TerminationPartial-sound*:
assumes *termination*: $\forall s \in P. \Gamma \vdash_c \downarrow \text{Normal } s$
assumes *partial-corr*: $\Gamma, \Theta \models_{/F} P \text{ c } Q, A$
shows $\Gamma, \Theta \models_{t/F} P \text{ c } Q, A$
using *termination partial-corr*
by (*auto simp add: cvalidt-def validt-def cvalid-def*)

lemma *TerminationPartial*:
assumes *partial-deriv*: $\Gamma, \Theta \vdash_{/F} P \text{ c } Q, A$
assumes *termination*: $\forall s \in P. \Gamma \vdash_c \downarrow \text{Normal } s$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$
apply (*rule hoaret-complete'*)
apply (*rule TerminationPartial-sound* [*OF termination*])
apply (*rule hoare-sound* [*OF partial-deriv*])
done

lemma *TerminationPartialStrip*:
assumes *partial-deriv*: $\Gamma, \Theta \vdash_{/F} P \text{ c } Q, A$
assumes *termination*: $\forall s \in P. \text{strip } F' \Gamma \vdash \text{strip-guards } F' \text{ c } \downarrow \text{Normal } s$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$
proof –
from *termination* **have** $\forall s \in P. \Gamma \vdash_c \downarrow \text{Normal } s$
by (*auto intro: terminates-strip-guards-to-terminates*
terminates-strip-to-terminates)
with *partial-deriv*
show *?thesis*
by (*rule TerminationPartial*)
qed

lemma *SplitTotalPartial*:

```

assumes termi:  $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q', A'$ 
assumes part:  $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$ 
shows  $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$ 
proof –
  from hoaret-sound [OF termi] hoare-sound [OF part]
  have  $\Gamma, \Theta \models_{t/F} P \text{ c } Q, A$ 
    by (fastforce simp add: cvalidt-def validt-def cvalid-def valid-def)
  thus ?thesis
    by (rule hoaret-complete')
qed

```

```

lemma SplitTotalPartial':
  assumes termi:  $\Gamma, \Theta \vdash_{t/UNIV} P \text{ c } Q', A'$ 
  assumes part:  $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$ 
proof –
  from hoaret-sound [OF termi] hoare-sound [OF part]
  have  $\Gamma, \Theta \models_{t/F} P \text{ c } Q, A$ 
    by (fastforce simp add: cvalidt-def validt-def cvalid-def valid-def)
  thus ?thesis
    by (rule hoaret-complete')
qed

```

end

11 Derived Hoare Rules for Total Correctness

theory *HoareTotal* **imports** *HoareTotalProps* **begin**

```

lemma conseq-no-aux:
   $\llbracket \Gamma, \Theta \vdash_{t/F} P' \text{ c } Q', A';$ 
   $\forall s. s \in P \longrightarrow (s \in P' \wedge (Q' \subseteq Q) \wedge (A' \subseteq A)) \rrbracket$ 
 $\implies$ 
   $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$ 
  by (rule conseq [where  $P' = \lambda Z. P'$  and  $Q' = \lambda Z. Q'$  and  $A' = \lambda Z. A'$ ]) auto

```

If for example a specification for a ”procedure pointer” parameter is in the precondition we can extract it with this rule

```

lemma conseq-exploit-pre:
   $\llbracket \forall s \in P. \Gamma, \Theta \vdash_{t/F} (\{s\} \cap P) \text{ c } Q, A \rrbracket$ 
 $\implies$ 
   $\Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A$ 
apply (rule Conseq)
apply clarify
apply (rule-tac  $x = \{s\} \cap P$  in exI)
apply (rule-tac  $x = Q$  in exI)
apply (rule-tac  $x = A$  in exI)

```

by *simp*

lemma *conseq*: $\llbracket \forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \ c \ (Q' Z), (A' Z);$
 $\forall s. s \in P \longrightarrow (\exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A)) \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$
 by (rule *Conseq'*) *blast*

lemma *Lem*: $\llbracket \forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \ c \ (Q' Z), (A' Z);$
 $P \subseteq \{s. \exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A)\} \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_{t/F} P \ (lem \ x \ c) \ Q, A$
 apply (unfold *lem-def*)
 apply (erule *conseq*)
 apply *blast*
 done

lemma *LemAnno*:
assumes *conseq*: $P \subseteq \{s. \exists Z. s \in P' Z \wedge$
 $(\forall t. t \in Q' Z \longrightarrow t \in Q) \wedge (\forall t. t \in A' Z \longrightarrow t \in A)\}$
assumes *lem*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \ c \ (Q' Z), (A' Z)$
shows $\Gamma, \Theta \vdash_{t/F} P \ (lem \ x \ c) \ Q, A$
 apply (rule *Lem* [*OF lem*])
 using *conseq*
 by *blast*

lemma *LemAnnoNoAbrupt*:
assumes *conseq*: $P \subseteq \{s. \exists Z. s \in P' Z \wedge (\forall t. t \in Q' Z \longrightarrow t \in Q)\}$
assumes *lem*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \ c \ (Q' Z), \{\}$
shows $\Gamma, \Theta \vdash_{t/F} P \ (lem \ x \ c) \ Q, \{\}$
 apply (rule *Lem* [*OF lem*])
 using *conseq*
 by *blast*

lemma *TrivPost*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \ c \ (Q' Z), (A' Z)$
 \implies
 $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \ c \ UNIV, UNIV$
 apply (rule *allI*)
 apply (erule *conseq*)
 apply *auto*
 done

lemma *TrivPostNoAbr*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \ c \ (Q' Z), \{\}$
 \implies
 $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \ c \ UNIV, \{\}$

apply (*rule allI*)
apply (*erule conseq*)
apply *auto*
done

lemma *DynComConseq*:

assumes $P \subseteq \{s. \exists P' Q' A'. \Gamma, \Theta \vdash_{t/F} P' (c\ s) Q', A' \wedge P \subseteq P' \wedge Q' \subseteq Q \wedge A' \subseteq A\}$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ DynCom } c\ Q, A$
using *assms*
apply $-$
apply (*rule hoaret.DynCom*)
apply *clarsimp*
apply (*rule hoaret.Conseq*)
apply *clarsimp*
apply *blast*
done

lemma *SpecAnno*:

assumes *consequence*: $P \subseteq \{s. (\exists Z. s \in P' Z \wedge (Q' Z \subseteq Q) \wedge (A' Z \subseteq A))\}$
assumes *spec*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) (c\ Z) (Q' Z), (A' Z)$
assumes *bdy-constant*: $\forall Z. c\ Z = c\ \text{undefined}$
shows $\Gamma, \Theta \vdash_{t/F} P (\text{specAnno } P' c\ Q' A') Q, A$
proof $-$
from *spec bdy-constant*
have $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) (c\ \text{undefined}) (Q' Z), (A' Z)$
apply $-$
apply (*rule allI*)
apply (*erule-tac x=Z in allE*)
apply (*erule-tac x=Z in allE*)
apply *simp*
done
with *consequence* **show** *?thesis*
apply (*simp add: specAnno-def*)
apply (*erule conseq*)
apply *blast*
done

qed

lemma *SpecAnno'*:

$\llbracket P \subseteq \{s. \exists Z. s \in P' Z \wedge$
 $(\forall t. t \in Q' Z \longrightarrow t \in Q) \wedge (\forall t. t \in A' Z \longrightarrow t \in A)\};$
 $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) (c\ Z) (Q' Z), (A' Z);$
 $\forall Z. c\ Z = c\ \text{undefined}$
 $\rrbracket \Longrightarrow$
 $\Gamma, \Theta \vdash_{t/F} P (\text{specAnno } P' c\ Q' A') Q, A$

apply (*simp only: subset-iff* [*THEN sym*])
apply (*erule* (1) *SpecAnno*)
apply *assumption*
done

lemma *SpecAnnoNoAbrupt*:

$$\llbracket P \subseteq \{s. \exists Z. s \in P' Z \wedge (\forall t. t \in Q' Z \longrightarrow t \in Q)\};$$

$$\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) (c Z) (Q' Z), \{\};$$

$$\forall Z. c Z = c \text{ undefined}$$

$$\rrbracket \Longrightarrow$$

$$\Gamma, \Theta \vdash_{t/F} P (\text{specAnno } P' c Q' (\lambda s. \{\})) Q, A$$
apply (*rule SpecAnno'*)
apply *auto*
done

lemma *Skip*: $P \subseteq Q \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \text{ Skip } Q, A$
by (*rule hoaret.Skip* [*THEN conseqPre*], *simp*)

lemma *Basic*: $P \subseteq \{s. (f s) \in Q\} \Longrightarrow \Gamma, \Theta \vdash_{t/F} P (\text{Basic } f) Q, A$
by (*rule hoaret.Basic* [*THEN conseqPre*])

lemma *BasicCond*:

$$\llbracket P \subseteq \{s. (b s \longrightarrow f s \in Q) \wedge (\neg b s \longrightarrow g s \in Q)\} \rrbracket \Longrightarrow$$

$$\Gamma, \Theta \vdash_{t/F} P \text{ Basic } (\lambda s. \text{if } b s \text{ then } f s \text{ else } g s) Q, A$$
apply (*rule Basic*)
apply *auto*
done

lemma *Spec*: $P \subseteq \{s. (\forall t. (s, t) \in r \longrightarrow t \in Q) \wedge (\exists t. (s, t) \in r)\}$

$$\Longrightarrow \Gamma, \Theta \vdash_{t/F} P (\text{Spec } r) Q, A$$
by (*rule hoaret.Spec* [*THEN conseqPre*])

lemma *SpecIf*:

$$\llbracket P \subseteq \{s. (b s \longrightarrow f s \in Q) \wedge (\neg b s \longrightarrow g s \in Q \wedge h s \in Q)\} \rrbracket \Longrightarrow$$

$$\Gamma, \Theta \vdash_{t/F} P \text{ Spec } (\text{if-rel } b f g h) Q, A$$
apply (*rule Spec*)
apply (*auto simp add: if-rel-def*)
done

lemma *Seq* [*trans, intro?*]:

$$\llbracket \Gamma, \Theta \vdash_{t/F} P c_1 R, A; \Gamma, \Theta \vdash_{t/F} R c_2 Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \text{ Seq } c_1 c_2 Q, A$$
by (*rule hoaret.Seq*)

lemma *SeqSwap*:

$$\llbracket \Gamma, \Theta \vdash_{t/F} R c_2 Q, A; \Gamma, \Theta \vdash_{t/F} P c_1 R, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \text{ Seq } c_1 c_2 Q, A$$
by (*rule Seq*)

lemma *BSeq*:

$\llbracket \Gamma, \Theta \vdash_{t/F} P \ c_1 \ R, A; \Gamma, \Theta \vdash_{t/F} R \ c_2 \ Q, A \rrbracket \implies \Gamma, \Theta \vdash_{t/F} P \ (bseq \ c_1 \ c_2) \ Q, A$
by (*unfold bseq-def*) (*rule Seq*)

lemma *Cond*:

assumes *wp*: $P \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$

assumes *deriv-c1*: $\Gamma, \Theta \vdash_{t/F} P_1 \ c_1 \ Q, A$

assumes *deriv-c2*: $\Gamma, \Theta \vdash_{t/F} P_2 \ c_2 \ Q, A$

shows $\Gamma, \Theta \vdash_{t/F} P \ (Cond \ b \ c_1 \ c_2) \ Q, A$

proof (*rule hoaret.Cond [THEN conseqPre]*)

from *deriv-c1*

show $\Gamma, \Theta \vdash_{t/F} (\{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\} \cap b) \ c_1 \ Q, A$

by (*rule conseqPre*) *blast*

next

from *deriv-c2*

show $\Gamma, \Theta \vdash_{t/F} (\{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\} \cap - \ b) \ c_2 \ Q, A$

by (*rule conseqPre*) *blast*

qed (*insert wp*)

lemma *CondSwap*:

$\llbracket \Gamma, \Theta \vdash_{t/F} P_1 \ c_1 \ Q, A; \Gamma, \Theta \vdash_{t/F} P_2 \ c_2 \ Q, A; \\ P \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\} \rrbracket \\ \implies \\ \Gamma, \Theta \vdash_{t/F} P \ (Cond \ b \ c_1 \ c_2) \ Q, A$
by (*rule Cond*)

lemma *Cond'*:

$\llbracket P \subseteq \{s. (b \subseteq P_1) \wedge (- \ b \subseteq P_2)\}; \Gamma, \Theta \vdash_{t/F} P_1 \ c_1 \ Q, A; \Gamma, \Theta \vdash_{t/F} P_2 \ c_2 \ Q, A \rrbracket \\ \implies \\ \Gamma, \Theta \vdash_{t/F} P \ (Cond \ b \ c_1 \ c_2) \ Q, A$
by (*rule CondSwap*) *blast+*

lemma *CondInv*:

assumes *wp*: $P \subseteq Q$

assumes *inv*: $Q \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$

assumes *deriv-c1*: $\Gamma, \Theta \vdash_{t/F} P_1 \ c_1 \ Q, A$

assumes *deriv-c2*: $\Gamma, \Theta \vdash_{t/F} P_2 \ c_2 \ Q, A$

shows $\Gamma, \Theta \vdash_{t/F} P \ (Cond \ b \ c_1 \ c_2) \ Q, A$

proof –

from *wp inv*

have $P \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$

by *blast*

from *Cond [OF this deriv-c1 deriv-c2]*

show *?thesis* .

qed

lemma *CondInv'*:
assumes *wp*: $P \subseteq I$
assumes *inv*: $I \subseteq \{s. (s \in b \longrightarrow s \in P_1) \wedge (s \notin b \longrightarrow s \in P_2)\}$
assumes *wp'*: $I \subseteq Q$
assumes *deriv-c1*: $\Gamma, \Theta \vdash_{t/F} P_1 \ c_1 \ I, A$
assumes *deriv-c2*: $\Gamma, \Theta \vdash_{t/F} P_2 \ c_2 \ I, A$
shows $\Gamma, \Theta \vdash_{t/F} P \ (Cond \ b \ c_1 \ c_2) \ Q, A$
proof –
from *CondInv* [*OF wp inv deriv-c1 deriv-c2*]
have $\Gamma, \Theta \vdash_{t/F} P \ (Cond \ b \ c_1 \ c_2) \ I, A$.
from *conseqPost* [*OF this wp' subset-refl*]
show *?thesis* .
qed

lemma *switchNil*:
 $P \subseteq Q \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ (switch \ v \ []) \ Q, A$
by (*simp add: Skip*)

lemma *switchCons*:
 $\llbracket P \subseteq \{s. (v \ s \in V \longrightarrow s \in P_1) \wedge (v \ s \notin V \longrightarrow s \in P_2)\};$
 $\Gamma, \Theta \vdash_{t/F} P_1 \ c \ Q, A;$
 $\Gamma, \Theta \vdash_{t/F} P_2 \ (switch \ v \ vs) \ Q, A \rrbracket$
 $\Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ (switch \ v \ ((V, c) \# vs)) \ Q, A$
by (*simp add: Cond*)

lemma *Guard*:
 $\llbracket P \subseteq g \cap R; \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A \rrbracket$
 $\Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ Guard \ f \ g \ c \ Q, A$
apply (*rule HoareTotalDef.Guard [THEN conseqPre, of - - - R]*)
apply (*erule conseqPre*)
apply *auto*
done

lemma *GuardSwap*:
 $\llbracket \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A; P \subseteq g \cap R \rrbracket$
 $\Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ Guard \ f \ g \ c \ Q, A$
by (*rule Guard*)

lemma *Guarantee*:
 $\llbracket P \subseteq \{s. s \in g \longrightarrow s \in R\}; \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A; f \in F \rrbracket$
 $\Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ (Guard \ f \ g \ c) \ Q, A$
apply (*rule Guarantee [THEN conseqPre, of - - - - {s. s ∈ g ⟶ s ∈ R}]*)
apply *assumption*
apply (*erule conseqPre*)
apply *auto*

done

lemma *GuaranteeSwap*:

$\llbracket \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A; P \subseteq \{s. s \in g \longrightarrow s \in R\}; f \in F \rrbracket$
 $\implies \Gamma, \Theta \vdash_{t/F} P \ (Guard \ f \ g \ c) \ Q, A$
by (rule *Guarantee*)

lemma *GuardStrip*:

$\llbracket P \subseteq R; \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A; f \in F \rrbracket$
 $\implies \Gamma, \Theta \vdash_{t/F} P \ (Guard \ f \ g \ c) \ Q, A$

apply (rule *Guarantee* [THEN *conseqPre*])

apply *auto*

done

lemma *GuardStripSwap*:

$\llbracket \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A; P \subseteq R; f \in F \rrbracket$
 $\implies \Gamma, \Theta \vdash_{t/F} P \ (Guard \ f \ g \ c) \ Q, A$
by (rule *GuardStrip*)

lemma *GuaranteeStrip*:

$\llbracket P \subseteq R; \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A; f \in F \rrbracket$
 $\implies \Gamma, \Theta \vdash_{t/F} P \ (guaranteeStrip \ f \ g \ c) \ Q, A$
by (unfold *guaranteeStrip-def*) (rule *GuardStrip*)

lemma *GuaranteeStripSwap*:

$\llbracket \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A; P \subseteq R; f \in F \rrbracket$
 $\implies \Gamma, \Theta \vdash_{t/F} P \ (guaranteeStrip \ f \ g \ c) \ Q, A$
by (unfold *guaranteeStrip-def*) (rule *GuardStrip*)

lemma *GuaranteeAsGuard*:

$\llbracket P \subseteq g \cap R; \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A \rrbracket$
 $\implies \Gamma, \Theta \vdash_{t/F} P \ guaranteeStrip \ f \ g \ c \ Q, A$
by (unfold *guaranteeStrip-def*) (rule *Guard*)

lemma *GuaranteeAsGuardSwap*:

$\llbracket \Gamma, \Theta \vdash_{t/F} R \ c \ Q, A; P \subseteq g \cap R \rrbracket$
 $\implies \Gamma, \Theta \vdash_{t/F} P \ guaranteeStrip \ f \ g \ c \ Q, A$
by (rule *GuaranteeAsGuard*)

lemma *GuardsNil*:

$\Gamma, \Theta \vdash_{t/F} P \ c \ Q, A \implies$
 $\Gamma, \Theta \vdash_{t/F} P \ (guards \ [] \ c) \ Q, A$
by *simp*

lemma *GuardsCons*:

$\Gamma, \Theta \vdash_{t/F} P \ Guard \ f \ g \ (guards \ gs \ c) \ Q, A \implies$

$\Gamma, \Theta \vdash_{t/F} P \text{ (guards } ((f,g)\#gs) \text{ c) } Q, A$
by *simp*

lemma *GuardsConsGuaranteeStrip*:
 $\Gamma, \Theta \vdash_{t/F} P \text{ guaranteeStrip } f \ g \text{ (guards } gs \text{ c) } Q, A \implies$
 $\Gamma, \Theta \vdash_{t/F} P \text{ (guards (guaranteeStripPair } f \ g \#gs) \text{ c) } Q, A$
by (*simp add: guaranteeStripPair-def guaranteeStrip-def*)

lemma *While*:
assumes *P-I*: $P \subseteq I$
assumes *deriv-body*:
 $\forall \sigma. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap I \cap b) \text{ c } (\{t. (t, \sigma) \in V\} \cap I), A$
assumes *I-Q*: $I \cap -b \subseteq Q$
assumes *wf*: $wf \ V$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ (whileAnno } b \ I \ V \text{ c) } Q, A$
proof –
from *wf deriv-body P-I I-Q*
show *?thesis*
apply (*unfold whileAnno-def*)
apply (*erule conseqPrePost [OF HoareTotalDef.While]*)
apply *auto*
done
qed

lemma *WhileInvPost*:
assumes *P-I*: $P \subseteq I$
assumes *termi-body*:
 $\forall \sigma. \Gamma, \Theta \vdash_{t/UNIV} (\{\sigma\} \cap I \cap b) \text{ c } (\{t. (t, \sigma) \in V\} \cap P), A$
assumes *deriv-body*:
 $\Gamma, \Theta \vdash_{t/F} (I \cap b) \text{ c } I, A$
assumes *I-Q*: $I \cap -b \subseteq Q$
assumes *wf*: $wf \ V$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ (whileAnno } b \ I \ V \text{ c) } Q, A$
proof –
have $\forall \sigma. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap I \cap b) \text{ c } (\{t. (t, \sigma) \in V\} \cap I), A$
proof
fix σ
from *hoare-sound [OF deriv-body] hoaret-sound [OF termi-body [rule-format, of σ]]*
have $\Gamma, \Theta \models_{t/F} (\{\sigma\} \cap I \cap b) \text{ c } (\{t. (t, \sigma) \in V\} \cap I), A$
by (*fastforce simp add: cvalidt-def validt-def cvalid-def valid-def*)
then
show $\Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap I \cap b) \text{ c } (\{t. (t, \sigma) \in V\} \cap I), A$
by (*rule hoaret-complete'*)
qed

from *While* [*OF P-I this I-Q wf*]
show *?thesis* .
qed

lemma $\Gamma, \Theta \vdash_F (P \cap b) \ c \ Q, A \implies \Gamma, \Theta \vdash_F (P \cap b) \ (Seq \ c \ (Guard \ f \ Q \ Skip))$
 Q, A
oops

J will be instantiated by tactic with $gs' \cap I$ for those guards that are not stripped.

lemma *WhileAnnoG*:
 $\Gamma, \Theta \vdash_{t/F} P \ (guards \ gs$
 $\quad (whileAnno \ b \ J \ V \ (Seq \ c \ (guards \ gs \ Skip)))) \ Q, A$
 \implies
 $\Gamma, \Theta \vdash_{t/F} P \ (whileAnnoG \ gs \ b \ I \ V \ c) \ Q, A$
by (*simp add: whileAnnoG-def whileAnno-def while-def*)

This form stems from *strip-guards F (whileAnnoG gs b I V c)*

lemma *WhileNoGuard'*:
assumes *P-I*: $P \subseteq I$
assumes *deriv-body*: $\forall \sigma. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap I \cap b) \ c \ (\{t. (t, \sigma) \in V\} \cap I), A$
assumes *I-Q*: $I \cap -b \subseteq Q$
assumes *wf*: $wf \ V$
shows $\Gamma, \Theta \vdash_{t/F} P \ (whileAnno \ b \ I \ V \ (Seq \ c \ Skip)) \ Q, A$
apply (*rule While [OF P-I - I-Q wf]*)
apply (*rule allI*)
apply (*rule Seq*)
apply (*rule deriv-body [rule-format]*)
apply (*rule hoaret.Skip*)
done

lemma *WhileAnnoFix*:
assumes *consequence*: $P \subseteq \{s. (\exists Z. s \in I \ Z \wedge (I \ Z \cap -b \subseteq Q))\}$
assumes *bdy*: $\forall Z \ \sigma. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap I \ Z \cap b) \ (c \ Z) \ (\{t. (t, \sigma) \in V \ Z\} \cap I \ Z), A$
assumes *bdy-constant*: $\forall Z. c \ Z = c \ undefined$
assumes *wf*: $\forall Z. wf \ (V \ Z)$
shows $\Gamma, \Theta \vdash_{t/F} P \ (whileAnnoFix \ b \ I \ V \ c) \ Q, A$
proof –
from *bdy bdy-constant*
have *bdy'*: $\bigwedge Z. \forall \sigma. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap I \ Z \cap b) \ (c \ undefined)$
 $\quad (\{t. (t, \sigma) \in V \ Z\} \cap I \ Z), A$
apply –
apply (*erule-tac x=Z in allE*)
apply (*erule-tac x=Z in allE*)
apply *simp*
done
have $\forall Z. \Gamma, \Theta \vdash_{t/F} (I \ Z) \ (whileAnnoFix \ b \ I \ V \ c) \ (I \ Z \cap -b), A$

```

    apply rule
    apply (unfold whileAnnoFix-def)
    apply (rule hoaret.While)
    apply (rule wf [rule-format])
    apply (rule bdy')
    done
  then
  show ?thesis
    apply (rule conseq)
    using consequence
    by blast
qed

lemma WhileAnnoFix':
  assumes consequence:  $P \subseteq \{s. (\exists Z. s \in I Z \wedge (\forall t. t \in I Z \cap -b \longrightarrow t \in Q))\}$ 
  assumes bdy:  $\forall Z \sigma. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap I Z \cap b) (c Z) (\{t. (t, \sigma) \in V Z\} \cap I Z), A$ 
  assumes bdy-constant:  $\forall Z. c Z = c \text{ undefined}$ 
  assumes wf:  $\forall Z. wf (V Z)$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P (whileAnnoFix b I V c) Q, A$ 
    apply (rule WhileAnnoFix [OF - bdy bdy-constant wf])
    using consequence by blast

lemma WhileAnnoGFix:
  assumes whileAnnoFix:
     $\Gamma, \Theta \vdash_{t/F} P (guards gs$ 
       $(whileAnnoFix b J V (\lambda Z. (Seq (c Z) (guards gs Skip)))) Q, A$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P (whileAnnoGFix gs b I V c) Q, A$ 
    using whileAnnoFix
    by (simp add: whileAnnoGFix-def whileAnnoFix-def while-def)

lemma Bind:
  assumes adapt:  $P \subseteq \{s. s \in P' s\}$ 
  assumes c:  $\forall s. \Gamma, \Theta \vdash_{t/F} (P' s) (c (e s)) Q, A$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P (bind e c) Q, A$ 
  apply (rule conseq [where  $P' = \lambda Z. \{s. s = Z \wedge s \in P' Z\}$  and  $Q' = \lambda Z. Q$  and  $A' = \lambda Z. A$ ])
  apply (rule allI)
  apply (unfold bind-def)
  apply (rule HoareTotalDef.DynCom)
  apply (rule ballI)
  apply clarsimp
  apply (rule conseqPre)
  apply (rule c [rule-format])
  apply blast
  using adapt
  apply blast
  done

```

lemma *Block*:
assumes *adapt*: $P \subseteq \{s. \text{init } s \in P' s\}$
assumes *bdy*: $\forall s. \Gamma, \Theta \vdash_{t/F} (P' s) \text{ bdy } \{t. \text{return } s t \in R s t\}, \{t. \text{return } s t \in A\}$
assumes *c*: $\forall s t. \Gamma, \Theta \vdash_{t/F} (R s t) (c s t) Q, A$
shows $\Gamma, \Theta \vdash_{t/F} P (\text{block init bdy return } c) Q, A$
apply (rule *conseq* [where $P' = \lambda Z. \{s. s = Z \wedge \text{init } s \in P' Z\}$ and $Q' = \lambda Z. Q$
and
 $A' = \lambda Z. A$])
prefer 2
using *adapt*
apply *blast*
apply (rule *allI*)
apply (rule *unfold block-def*)
apply (rule *HoareTotalDef.DynCom*)
apply (rule *ballI*)
apply *clarsimp*
apply (rule-tac $R = \{t. \text{return } Z t \in R Z t\}$ **in** *SeqSwap*)
apply (rule-tac $P' = \lambda Z'. \{t. t = Z' \wedge \text{return } Z t \in R Z t\}$ **and**
 $Q' = \lambda Z'. Q$ **and** $A' = \lambda Z'. A$ **in** *conseq*)
prefer 2 **apply** *simp*
apply (rule *allI*)
apply (rule *HoareTotalDef.DynCom*)
apply (*clarsimp*)
apply (rule *SeqSwap*)
apply (rule *c* [rule-format])
apply (rule *Basic*)
apply *clarsimp*
apply (rule-tac $R = \{t. \text{return } Z t \in A\}$ **in** *HoareTotalDef.Catch*)
apply (rule-tac $R = \{i. i \in P' Z\}$ **in** *Seq*)
apply (rule *Basic*)
apply *clarsimp*
apply *simp*
apply (rule *bdy* [rule-format])
apply (rule *SeqSwap*)
apply (rule *Throw*)
apply (rule *Basic*)
apply *simp*
done

lemma *BlockSwap*:
assumes *c*: $\forall s t. \Gamma, \Theta \vdash_{t/F} (R s t) (c s t) Q, A$
assumes *bdy*: $\forall s. \Gamma, \Theta \vdash_{t/F} (P' s) \text{ bdy } \{t. \text{return } s t \in R s t\}, \{t. \text{return } s t \in A\}$
assumes *adapt*: $P \subseteq \{s. \text{init } s \in P' s\}$
shows $\Gamma, \Theta \vdash_{t/F} P (\text{block init bdy return } c) Q, A$
using *adapt bdy c*
by (rule *Block*)

lemma *BlockSpec*:

assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' Z \wedge$
 $(\forall t. t \in Q' Z \longrightarrow \text{return } s t \in R s t) \wedge$
 $(\forall t. t \in A' Z \longrightarrow \text{return } s t \in A)\}$
assumes *c*: $\forall s t. \Gamma, \Theta \vdash_{t/F} (R s t) (c s t) Q, A$
assumes *bdy*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \text{ bdy } (Q' Z), (A' Z)$
shows $\Gamma, \Theta \vdash_{t/F} P (\text{block init bdy return } c) Q, A$
apply (*rule* *conseq* [**where** $P' = \lambda Z. \{s. \text{init } s \in P' Z \wedge$
 $(\forall t. t \in Q' Z \longrightarrow \text{return } s t \in R s t) \wedge$
 $(\forall t. t \in A' Z \longrightarrow \text{return } s t \in A)\}$ **and** $Q' = \lambda Z. Q$ **and**
 $A' = \lambda Z. A\}$])
prefer 2
using *adapt*
apply *blast*
apply (*rule* *allI*)
apply (*unfold* *block-def*)
apply (*rule* *HoareTotalDef.DynCom*)
apply (*rule* *ballI*)
apply *clarsimp*
apply (*rule-tac* $R = \{t. \text{return } s t \in R s t\}$ **in** *SeqSwap*)
apply (*rule-tac* $P' = \lambda Z'. \{t. t = Z' \wedge \text{return } s t \in R s t\}$ **and**
 $Q' = \lambda Z'. Q$ **and** $A' = \lambda Z'. A$ **in** *conseq*)
prefer 2 **apply** *simp*
apply (*rule* *allI*)
apply (*rule* *HoareTotalDef.DynCom*)
apply (*clarsimp*)
apply (*rule* *SeqSwap*)
apply (*rule* *c* [*rule-format*])
apply (*rule* *Basic*)
apply *clarsimp*
apply (*rule-tac* $R = \{t. \text{return } s t \in A\}$ **in** *HoareTotalDef.Catch*)
apply (*rule-tac* $R = \{i. i \in P' Z\}$ **in** *Seq*)
apply (*rule* *Basic*)
apply *clarsimp*
apply *simp*
apply (*rule* *conseq* [*OF* *bdy*])
apply *clarsimp*
apply *blast*
apply (*rule* *SeqSwap*)
apply (*rule* *Throw*)
apply (*rule* *Basic*)
apply *simp*
done

lemma *Throw*: $P \subseteq A \implies \Gamma, \Theta \vdash_{t/F} P \text{ Throw } Q, A$

by (*rule* *hoaret.Throw* [*THEN* *conseqPre*])

lemmas *Catch* = *hoaret.Catch*

lemma *CatchSwap*: $\llbracket \Gamma, \Theta \vdash_{t/F} R \ c_2 \ Q, A; \Gamma, \Theta \vdash_{t/F} P \ c_1 \ Q, R \rrbracket \implies \Gamma, \Theta \vdash_{t/F} P \text{ Catch } c_1 \ c_2 \ Q, A$

by (rule hoaret.Catch)

lemma *raise*: $P \subseteq \{s. f \ s \in A\} \implies \Gamma, \Theta \vdash_{t/F} P \text{ raise } f \ Q, A$

apply (simp add: raise-def)

apply (rule Seq)

apply (rule Basic)

apply (assumption)

apply (rule Throw)

apply (rule subset-refl)

done

lemma *condCatch*: $\llbracket \Gamma, \Theta \vdash_{t/F} P \ c_1 \ Q, ((b \cap R) \cup (-b \cap A)); \Gamma, \Theta \vdash_{t/F} R \ c_2 \ Q, A \rrbracket \implies \Gamma, \Theta \vdash_{t/F} P \text{ condCatch } c_1 \ b \ c_2 \ Q, A$

apply (simp add: condCatch-def)

apply (rule Catch)

apply assumption

apply (rule CondSwap)

apply (assumption)

apply (rule hoaret.Throw)

apply blast

done

lemma *condCatchSwap*: $\llbracket \Gamma, \Theta \vdash_{t/F} R \ c_2 \ Q, A; \Gamma, \Theta \vdash_{t/F} P \ c_1 \ Q, ((b \cap R) \cup (-b \cap A)) \rrbracket \implies \Gamma, \Theta \vdash_{t/F} P \text{ condCatch } c_1 \ b \ c_2 \ Q, A$

by (rule condCatch)

lemma *ProcSpec*:

assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' \ Z \wedge (\forall t. t \in Q' \ Z \longrightarrow \text{return } s \ t \in R \ s \ t) \wedge (\forall t. t \in A' \ Z \longrightarrow \text{return } s \ t \in A)\}$

assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_{t/F} (R \ s \ t) \ (c \ s \ t) \ Q, A$

assumes *p*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' \ Z) \ \text{Call } p \ (Q' \ Z), (A' \ Z)$

shows $\Gamma, \Theta \vdash_{t/F} P \ (\text{call init } p \ \text{return } c) \ Q, A$

using *adapt c p*

apply (unfold call-def)

by (rule BlockSpec)

lemma *ProcSpec'*:

assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' \ Z \wedge (\forall t \in Q' \ Z. \text{return } s \ t \in R \ s \ t) \wedge (\forall t \in A' \ Z. \text{return } s \ t \in A)\}$

assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_{t/F} (R \ s \ t) \ (c \ s \ t) \ Q, A$

assumes *p*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' \ Z) \ \text{Call } p \ (Q' \ Z), (A' \ Z)$

shows $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return } c) \ Q, A$
apply (rule *ProcSpec* [*OF* - *c p*])
apply (insert *adapt*)
apply *clarsimp*
apply (drule (1) *subsetD*)
apply (*clarsimp*)
apply (rule-tac *x=Z in exI*)
apply *blast*
done

lemma *ProcSpecNoAbrupt*:
assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' \ Z \wedge$
 $(\forall t. t \in Q' \ Z \longrightarrow \text{return } s \ t \in R \ s \ t)\}$
assumes *c*: $\forall s \ t. \Gamma, \Theta \vdash_{t/F} (R \ s \ t) \ (c \ s \ t) \ Q, A$
assumes *p*: $\forall Z. \Gamma, \Theta \vdash_{t/F} (P' \ Z) \ \text{Call } p \ (Q' \ Z), \{\}$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return } c) \ Q, A$
apply (rule *ProcSpec* [*OF* - *c p*])
using *adapt*
apply *simp*
done

lemma *FCall*:
 $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return } (\lambda s \ t. \ c \ (\text{result } t))) \ Q, A$
 $\implies \Gamma, \Theta \vdash_{t/F} P \text{ (fcall init } p \text{ return result } c) \ Q, A$
by (*simp add: fcall-def*)

lemma *ProcRec*:
assumes *deriv-bodies*:
 $\forall p \in \text{Procs.}$
 $\forall \sigma \ Z. \Gamma, \Theta \cup (\bigcup q \in \text{Procs. } \bigcup Z.$
 $\{(P \ q \ Z \cap \{s. ((s, q), \sigma, p) \in r\}, q, Q \ q \ Z, A \ q \ Z)\})$
 $\vdash_{t/F} (\{\sigma\} \cap P \ p \ Z) \ (\text{the } (\Gamma \ p)) \ (Q \ p \ Z), (A \ p \ Z)$
assumes *wf*: *wf r*
assumes *Procs-defined*: $\text{Procs} \subseteq \text{dom } \Gamma$
shows $\forall p \in \text{Procs. } \forall Z.$
 $\Gamma, \Theta \vdash_{t/F} (P \ p \ Z) \ \text{Call } p \ (Q \ p \ Z), (A \ p \ Z)$
by (*intro strip*)
 (rule *HoareTotalDef.CallRec'*
 [*OF* - *Procs-defined wf deriv-bodies*],
simp-all)

lemma *ProcRec'*:
assumes *ctxt*:
 $\Theta' = (\lambda \sigma \ p. \Theta \cup (\bigcup q \in \text{Procs.}$
 $\bigcup Z. \{(P \ q \ Z \cap \{s. ((s, q), \sigma, p) \in r\}, q, Q \ q \ Z, A \ q \ Z)\}))$
assumes *deriv-bodies*:
 $\forall p \in \text{Procs.}$

$\forall \sigma Z. \Gamma, \Theta' \sigma p \vdash_{t/F} (\{\sigma\} \cap P p Z) \text{ (the } (\Gamma p)) (Q p Z), (A p Z)$
assumes *wf*: *wf r*
assumes *Procs-defined*: $Procs \subseteq \text{dom } \Gamma$
shows $\forall p \in Procs. \forall Z. \Gamma, \Theta \vdash_{t/F} (P p Z) \text{ Call } p (Q p Z), (A p Z)$
using *ctxt deriv-bodies*
apply *simp*
apply (*erule ProcRec [OF - wf Procs-defined]*)
done

lemma *ProcRecList*:

assumes *deriv-bodies*:
 $\forall p \in \text{set } Procs.$
 $\forall \sigma Z. \Gamma, \Theta \cup (\bigcup_{q \in \text{set } Procs.} \bigcup Z.$
 $\{ (P q Z \cap \{s. ((s, q), \sigma, p) \in r\}, q, Q q Z, A q Z) \})$
 $\vdash_{t/F} (\{\sigma\} \cap P p Z) \text{ (the } (\Gamma p)) (Q p Z), (A p Z)$
assumes *wf*: *wf r*
assumes *dist*: *distinct Procs*
assumes *Procs-defined*: $\text{set } Procs \subseteq \text{dom } \Gamma$
shows $\forall p \in \text{set } Procs. \forall Z.$
 $\Gamma, \Theta \vdash_{t/F} (P p Z) \text{ Call } p (Q p Z), (A p Z)$
using *deriv-bodies wf Procs-defined*
by (*rule ProcRec*)

lemma *ProcRecSpecs*:

$\llbracket \forall \sigma. \forall (P, p, Q, A) \in Specs.$
 $\Gamma, \Theta \cup ((\lambda (P, q, Q, A). (P \cap \{s. ((s, q), (\sigma, p)) \in r\}, q, Q, A)) \text{ ' } Specs)$
 $\vdash_{t/F} (\{\sigma\} \cap P) \text{ (the } (\Gamma p)) Q, A;$
 $\text{wf } r;$
 $\forall (P, p, Q, A) \in Specs. p \in \text{dom } \Gamma \rrbracket$
 $\implies \forall (P, p, Q, A) \in Specs. \Gamma, \Theta \vdash_{t/F} P (\text{Call } p) Q, A$
apply (*rule ballI*)
apply (*case-tac x*)
apply (*rename-tac x P p Q A*)
apply *simp*
apply (*rule hoaret.CallRec*)
apply *auto*
done

lemma *ProcRec1*:

assumes *deriv-body*:
 $\forall \sigma Z. \Gamma, \Theta \cup (\bigcup Z. \{ (P Z \cap \{s. ((s, p), \sigma, p) \in r\}, p, Q Z, A Z) \})$
 $\vdash_{t/F} (\{\sigma\} \cap P Z) \text{ (the } (\Gamma p)) (Q Z), (A Z)$
assumes *wf*: *wf r*
assumes *p-defined*: $p \in \text{dom } \Gamma$
shows $\forall Z. \Gamma, \Theta \vdash_{t/F} (P Z) \text{ Call } p (Q Z), (A Z)$
proof –
from *deriv-body wf p-defined*

have $\forall p \in \{p\}. \forall Z. \Gamma, \Theta \vdash_{t/F} (P\ Z) \text{ Call } p\ (Q\ Z), (A\ Z)$
apply –
apply (rule *ProcRec* [where $A = \lambda p. A$ and $P = \lambda p. P$ and $Q = \lambda p. Q$])
apply *simp-all*
done
thus *?thesis*
by *simp*
qed

lemma *ProcNoRec1*:
assumes *deriv-body*:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} (P\ Z) \text{ (the } (\Gamma\ p))\ (Q\ Z), (A\ Z)$
assumes *p-defined*: $p \in \text{dom } \Gamma$
shows $\forall Z. \Gamma, \Theta \vdash_{t/F} (P\ Z) \text{ Call } p\ (Q\ Z), (A\ Z)$
proof –
have $\forall \sigma\ Z. \Gamma, \Theta \vdash_{t/F} (\{\sigma\} \cap P\ Z) \text{ (the } (\Gamma\ p))\ (Q\ Z), (A\ Z)$
by (*blast intro: conseqPre deriv-body [rule-format]*)
with *p-defined* **have** $\forall \sigma\ Z. \Gamma, \Theta \cup (\bigcup Z. \{(P\ Z \cap \{s. ((s, p), \sigma, p) \in \{\}\},$
 $p, Q\ Z, A\ Z)\})$
 $\vdash_{t/F} (\{\sigma\} \cap P\ Z) \text{ (the } (\Gamma\ p))\ (Q\ Z), (A\ Z)$
by (*blast intro: hoaret-augment-context*)
from *this*
show *?thesis*
by (rule *ProcRec1*) (*auto simp add: p-defined*)
qed

lemma *ProcBody*:
assumes *WP*: $P \subseteq P'$
assumes *deriv-body*: $\Gamma, \Theta \vdash_{t/F} P' \text{ body } Q, A$
assumes *body*: $\Gamma\ p = \text{Some body}$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ Call } p\ Q, A$
apply (rule *conseqPre* [*OF* - *WP*])
apply (rule *ProcNoRec1* [*rule-format*, where $P = \lambda Z. P'$ and $Q = \lambda Z. Q$ and $A = \lambda Z. A$])
apply (*insert body*)
apply *simp*
apply (rule *hoaret-augment-context* [*OF deriv-body*])
apply *blast*
apply *fastforce*
done

lemma *CallBody*:
assumes *adapt*: $P \subseteq \{s. \text{init } s \in P'\ s\}$
assumes *bdy*: $\forall s. \Gamma, \Theta \vdash_{t/F} (P'\ s) \text{ body } \{t. \text{return } s\ t \in R\ s\ t\}, \{t. \text{return } s\ t \in A\}$
assumes *c*: $\forall s\ t. \Gamma, \Theta \vdash_{t/F} (R\ s\ t) (c\ s\ t) Q, A$
assumes *body*: $\Gamma\ p = \text{Some body}$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p\ \text{return } c) Q, A$
apply (*unfold call-def*)

apply (rule *Block* [*OF adapt* - *c*])
apply (rule *allI*)
apply (rule *ProcBody* [**where** $\Gamma=\Gamma$, *OF* - *bdy* [*rule-format*] *body*])
apply *simp*
done

lemmas *ProcModifyReturn* = *HoareTotalProps.ProcModifyReturn*
lemmas *ProcModifyReturnSameFaults* = *HoareTotalProps.ProcModifyReturnSameFaults*

lemma *ProcModifyReturnNoAbr*:
assumes *spec*: $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return' } c) \ Q, A$
assumes *result-conform*:
 $\forall s \ t. \ t \in \text{Modif (init } s) \longrightarrow (\text{return' } s \ t) = (\text{return } s \ t)$
assumes *modifies-spec*:
 $\forall \sigma. \ \Gamma, \Theta \vdash_{UNIV} \{\sigma\} \ \text{Call } p \ (\text{Modif } \sigma), \{\}$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return } c) \ Q, A$
by (rule *ProcModifyReturn* [*OF spec result-conform* - *modifies-spec*]) *simp*

lemma *ProcModifyReturnNoAbrSameFaults*:
assumes *spec*: $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return' } c) \ Q, A$
assumes *result-conform*:
 $\forall s \ t. \ t \in \text{Modif (init } s) \longrightarrow (\text{return' } s \ t) = (\text{return } s \ t)$
assumes *modifies-spec*:
 $\forall \sigma. \ \Gamma, \Theta \vdash_{t/F} \{\sigma\} \ \text{Call } p \ (\text{Modif } \sigma), \{\}$
shows $\Gamma, \Theta \vdash_{t/F} P \text{ (call init } p \text{ return } c) \ Q, A$
by (rule *ProcModifyReturnSameFaults* [*OF spec result-conform* - *modifies-spec*])
simp

lemma *DynProc*:
assumes *adapt*: $P \subseteq \{s. \exists Z. \text{init } s \in P' \ s \ Z \wedge$
 $(\forall t. \ t \in Q' \ s \ Z \longrightarrow \text{return } s \ t \in R \ s \ t) \wedge$
 $(\forall t. \ t \in A' \ s \ Z \longrightarrow \text{return } s \ t \in A)\}$
assumes *c*: $\forall s \ t. \ \Gamma, \Theta \vdash_{t/F} (R \ s \ t) \ (c \ s \ t) \ Q, A$
assumes *p*: $\forall s \in P. \forall Z. \ \Gamma, \Theta \vdash_{t/F} (P' \ s \ Z) \ \text{Call } (p \ s) \ (Q' \ s \ Z), (A' \ s \ Z)$
shows $\Gamma, \Theta \vdash_{t/F} P \ \text{dynCall init } p \ \text{return } c \ Q, A$
apply (rule *conseq* [**where** $P'=\lambda Z. \{s. s=Z \wedge s \in P\}$
and $Q'=\lambda Z. Q$ **and** $A'=\lambda Z. A$])
prefer 2
using *adapt*
apply *blast*
apply (rule *allI*)
apply (*unfold dynCall-def call-def block-def*)
apply (rule *HoareTotalDef.DynCom*)
apply *clarsimp*
apply (rule *HoareTotalDef.DynCom*)
apply *clarsimp*

```

apply (frule in-mono [rule-format, OF adapt])
apply clarsimp
apply (rename-tac Z')
apply (rule-tac  $R=Q' \ Z \ Z' \text{ in } Seq$ )
apply (rule CatchSwap)
apply (rule SeqSwap)
apply (rule Throw)
apply (rule subset-refl)
apply (rule Basic)
apply (rule subset-refl)
apply (rule-tac  $R=\{i. i \in P' \ Z \ Z'\} \text{ in } Seq$ )
apply (rule Basic)
apply clarsimp
apply simp
apply (rule-tac  $Q'=Q' \ Z \ Z' \text{ and } A'=A' \ Z \ Z' \text{ in } \text{conseqPost}$ )
using p
apply clarsimp
apply simp
apply clarsimp
apply (rule-tac  $P'=\lambda Z''. \{t. t=Z'' \wedge \text{return } Z \ t \in R \ Z \ t\} \text{ and }$ 
 $Q'=\lambda Z''. Q \text{ and } A'=\lambda Z''. A \text{ in } \text{conseq}$ )
prefer 2 apply simp
apply (rule allI)
apply (rule HoareTotalDef.DynCom)
apply clarsimp
apply (rule SeqSwap)
apply (rule c [rule-format])
apply (rule Basic)
apply clarsimp
done

```

lemma *DynProc'*:

```

assumes adapt:  $P \subseteq \{s. \exists Z. \text{init } s \in P' \ s \ Z \wedge$ 
 $(\forall t \in Q' \ s \ Z. \text{return } s \ t \in R \ s \ t) \wedge$ 
 $(\forall t \in A' \ s \ Z. \text{return } s \ t \in A)\}$ 
assumes c:  $\forall s \ t. \Gamma, \Theta \vdash_{t/F} (R \ s \ t) \ (c \ s \ t) \ Q, A$ 
assumes p:  $\forall s \in P. \forall Z. \Gamma, \Theta \vdash_{t/F} (P' \ s \ Z) \ \text{Call } (p \ s) \ (Q' \ s \ Z), (A' \ s \ Z)$ 
shows  $\Gamma, \Theta \vdash_{t/F} P \ \text{dynCall init } p \ \text{return } c \ Q, A$ 
proof –
from adapt have  $P \subseteq \{s. \exists Z. \text{init } s \in P' \ s \ Z \wedge$ 
 $(\forall t. t \in Q' \ s \ Z \longrightarrow \text{return } s \ t \in R \ s \ t) \wedge$ 
 $(\forall t. t \in A' \ s \ Z \longrightarrow \text{return } s \ t \in A)\}$ 
by blast
from this c p show ?thesis
by (rule DynProc)
qed

```

lemma *DynProcStaticSpec*:

```

assumes adapt:  $P \subseteq \{s. s \in S \wedge (\exists Z. \text{init } s \in P' \ Z \wedge$ 

```

$(\forall \tau. \tau \in Q' Z \longrightarrow \text{return } s \tau \in R s \tau) \wedge$
 $(\forall \tau. \tau \in A' Z \longrightarrow \text{return } s \tau \in A))\}$
assumes $c: \forall s t. \Gamma, \Theta \vdash_{t/F} (R s t) (c s t) Q, A$
assumes $\text{spec}: \forall s \in S. \forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \text{ Call } (p s) (Q' Z), (A' Z)$
shows $\Gamma, \Theta \vdash_{t/F} P (\text{dynCall init } p \text{ return } c) Q, A$
proof –
from *adapt* **have** $P-S: P \subseteq S$
by *blast*
have $\Gamma, \Theta \vdash_{t/F} (P \cap S) (\text{dynCall init } p \text{ return } c) Q, A$
apply (*rule DynProc* [**where** $P' = \lambda s Z. P' Z$ **and** $Q' = \lambda s Z. Q' Z$
and $A' = \lambda s Z. A' Z, OF - c]$)
apply *clarsimp*
apply (*frule in-mono* [*rule-format*, *OF adapt*])
apply *clarsimp*
using *spec*
apply *clarsimp*
done
thus *?thesis*
by (*rule conseqPre*) (*insert P-S,blast*)
qed

lemma *DynProcProcPar*:
assumes *adapt*: $P \subseteq \{s. p s = q \wedge (\exists Z. \text{init } s \in P' Z \wedge$
 $(\forall \tau. \tau \in Q' Z \longrightarrow \text{return } s \tau \in R s \tau) \wedge$
 $(\forall \tau. \tau \in A' Z \longrightarrow \text{return } s \tau \in A))\}$
assumes $c: \forall s t. \Gamma, \Theta \vdash_{t/F} (R s t) (c s t) Q, A$
assumes $\text{spec}: \forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \text{ Call } q (Q' Z), (A' Z)$
shows $\Gamma, \Theta \vdash_{t/F} P (\text{dynCall init } p \text{ return } c) Q, A$
apply (*rule DynProcStaticSpec* [**where** $S = \{s. p s = q\}, \text{simplified}, OF \text{ adapt } c]$)
using *spec*
apply *simp*
done

lemma *DynProcProcParNoAbrupt*:
assumes *adapt*: $P \subseteq \{s. p s = q \wedge (\exists Z. \text{init } s \in P' Z \wedge$
 $(\forall \tau. \tau \in Q' Z \longrightarrow \text{return } s \tau \in R s \tau))\}$
assumes $c: \forall s t. \Gamma, \Theta \vdash_{t/F} (R s t) (c s t) Q, A$
assumes $\text{spec}: \forall Z. \Gamma, \Theta \vdash_{t/F} (P' Z) \text{ Call } q (Q' Z), \{\}$
shows $\Gamma, \Theta \vdash_{t/F} P (\text{dynCall init } p \text{ return } c) Q, A$
proof –
have $P \subseteq \{s. p s = q \wedge (\exists Z. \text{init } s \in P' Z \wedge$
 $(\forall t. t \in Q' Z \longrightarrow \text{return } s t \in R s t) \wedge$
 $(\forall t. t \in \{\} \longrightarrow \text{return } s t \in A))\}$
(is $P \subseteq ?P')$
proof

```

fix s
assume P: s ∈ P
with adapt obtain Z where
  Pre: p s = q ∧ init s ∈ P' Z and
  adapt-Norm: ∀ τ. τ ∈ Q' Z → return s τ ∈ R s τ
  by blast
from adapt-Norm
have ∀ t. t ∈ Q' Z → return s t ∈ R s t
  by auto
then
show s ∈ ?P'
  using Pre by blast
qed
note P = this
show ?thesis
  apply -
  apply (rule DynProcStaticSpec [where S={s. p s = q},simplified, OF P c])
  apply (insert spec)
  apply auto
  done
qed

```

```

lemma DynProcModifyReturnNoAbr:
  assumes to-prove:  $\Gamma, \Theta \vdash_{t/F} P \text{ (dynCall init p return' c) } Q, A$ 
  assumes ret-nrm-modif:  $\forall s \ t. t \in (\text{Modif (init s)}) \rightarrow \text{return' s t} = \text{return s t}$ 
  assumes modif-clause:
     $\forall s \in P. \forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} \text{ Call (p s) (Modif } \sigma), \{\}$ 
  shows  $\Gamma, \Theta \vdash_{t/F} P \text{ (dynCall init p return c) } Q, A$ 
proof -
  from ret-nrm-modif
  have  $\forall s \ t. t \in (\text{Modif (init s)}) \rightarrow \text{return' s t} = \text{return s t}$ 
  by iprover
  then
  have ret-nrm-modif':  $\forall s \ t. t \in (\text{Modif (init s)}) \rightarrow \text{return' s t} = \text{return s t}$ 
  by simp
  have ret-abr-modif':  $\forall s \ t. t \in \{\}$ 
     $\rightarrow \text{return' s t} = \text{return s t}$ 
  by simp
  from to-prove ret-nrm-modif' ret-abr-modif' modif-clause show ?thesis
  by (rule dynProcModifyReturn)
qed

```

```

lemma ProcDynModifyReturnNoAbrSameFaults:
  assumes to-prove:  $\Gamma, \Theta \vdash_{t/F} P \text{ (dynCall init p return' c) } Q, A$ 
  assumes ret-nrm-modif:  $\forall s \ t. t \in (\text{Modif (init s)}) \rightarrow \text{return' s t} = \text{return s t}$ 

```

assumes *modif-clause*:
 $\forall s \in P. \forall \sigma. \Gamma, \Theta \vdash_F \{\sigma\} (Call (p\ s)) (Modif\ \sigma), \{\}$
shows $\Gamma, \Theta \vdash_{t/F} P (dynCall\ init\ p\ return\ c)\ Q, A$
proof –
from *ret-nrm-modif*
have $\forall s\ t. t \in (Modif\ (init\ s))$
 $\longrightarrow return'\ s\ t = return\ s\ t$
by *iprover*
then
have *ret-nrm-modif'*: $\forall s\ t. t \in (Modif\ (init\ s))$
 $\longrightarrow return'\ s\ t = return\ s\ t$
by *simp*
have *ret-abr-modif'*: $\forall s\ t. t \in \{\}$
 $\longrightarrow return'\ s\ t = return\ s\ t$
by *simp*
from *to-prove* *ret-nrm-modif'* *ret-abr-modif'* *modif-clause* **show** *?thesis*
by (*rule dynProcModifyReturnSameFaults*)
qed

lemma *ProcProcParModifyReturn*:

assumes $q: P \subseteq \{s. p\ s = q\} \cap P'$
— *DynProcProcPar* introduces the same constraint as first conjunction in P' , so the vcg can simplify it.
assumes *to-prove*: $\Gamma, \Theta \vdash_{t/F} P' (dynCall\ init\ p\ return'\ c)\ Q, A$
assumes *ret-nrm-modif*: $\forall s\ t. t \in (Modif\ (init\ s))$
 $\longrightarrow return'\ s\ t = return\ s\ t$
assumes *ret-abr-modif*: $\forall s\ t. t \in (ModifAbr\ (init\ s))$
 $\longrightarrow return'\ s\ t = return\ s\ t$
assumes *modif-clause*:
 $\forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\} (Call\ q) (Modif\ \sigma), (ModifAbr\ \sigma)$
shows $\Gamma, \Theta \vdash_{t/F} P (dynCall\ init\ p\ return\ c)\ Q, A$
proof –
from *to-prove* **have** $\Gamma, \Theta \vdash_{t/F} (\{s. p\ s = q\} \cap P') (dynCall\ init\ p\ return'\ c)\ Q, A$
by (*rule conseqPre*) *blast*
from *this* *ret-nrm-modif*
ret-abr-modif
have $\Gamma, \Theta \vdash_{t/F} (\{s. p\ s = q\} \cap P') (dynCall\ init\ p\ return\ c)\ Q, A$
by (*rule dynProcModifyReturn*) (*insert modif-clause, auto*)
from *this* q **show** *?thesis*
by (*rule conseqPre*)
qed

lemma *ProcProcParModifyReturnSameFaults*:

assumes $q: P \subseteq \{s. p\ s = q\} \cap P'$
— *DynProcProcPar* introduces the same constraint as first conjunction in P' , so the vcg can simplify it.

assumes *to-prove*: $\Gamma, \Theta \vdash_{t/F} P' (dynCall\ init\ p\ return'\ c)\ Q, A$
assumes *ret-nrm-modif*: $\forall s\ t. t \in (Modif\ (init\ s))$
 $\longrightarrow return'\ s\ t = return\ s\ t$
assumes *ret-abr-modif*: $\forall s\ t. t \in (ModifAbr\ (init\ s))$
 $\longrightarrow return'\ s\ t = return\ s\ t$
assumes *modif-clause*:
 $\forall \sigma. \Gamma, \Theta \vdash_{t/F} \{\sigma\}\ Call\ q\ (Modif\ \sigma), (ModifAbr\ \sigma)$
shows $\Gamma, \Theta \vdash_{t/F} P (dynCall\ init\ p\ return\ c)\ Q, A$
proof –
from *to-prove*
have $\Gamma, \Theta \vdash_{t/F} (\{s. p\ s = q\} \cap P') (dynCall\ init\ p\ return'\ c)\ Q, A$
by (*rule conseqPre*) *blast*
from *this ret-nrm-modif*
ret-abr-modif
have $\Gamma, \Theta \vdash_{t/F} (\{s. p\ s = q\} \cap P') (dynCall\ init\ p\ return\ c)\ Q, A$
by (*rule dynProcModifyReturnSameFaults*) (*insert modif-clause, auto*)
from *this q show ?thesis*
by (*rule conseqPre*)
qed

lemma *ProcProcParModifyReturnNoAbr*:

assumes *q*: $P \subseteq \{s. p\ s = q\} \cap P'$
— *DynProcProcParNoAbrupt* introduces the same constraint as first conjunction in P' , so the vcg can simplify it.
assumes *to-prove*: $\Gamma, \Theta \vdash_{t/F} P' (dynCall\ init\ p\ return'\ c)\ Q, A$
assumes *ret-nrm-modif*: $\forall s\ t. t \in (Modif\ (init\ s))$
 $\longrightarrow return'\ s\ t = return\ s\ t$
assumes *modif-clause*:
 $\forall \sigma. \Gamma, \Theta \vdash_{UNIV} \{\sigma\}\ (Call\ q)\ (Modif\ \sigma), \{\}$
shows $\Gamma, \Theta \vdash_{t/F} P (dynCall\ init\ p\ return\ c)\ Q, A$
proof –
from *to-prove* **have** $\Gamma, \Theta \vdash_{t/F} (\{s. p\ s = q\} \cap P') (dynCall\ init\ p\ return'\ c)\ Q, A$
by (*rule conseqPre*) *blast*
from *this ret-nrm-modif*
have $\Gamma, \Theta \vdash_{t/F} (\{s. p\ s = q\} \cap P') (dynCall\ init\ p\ return\ c)\ Q, A$
by (*rule DynProcModifyReturnNoAbr*) (*insert modif-clause, auto*)
from *this q show ?thesis*
by (*rule conseqPre*)
qed

lemma *ProcProcParModifyReturnNoAbrSameFaults*:

assumes *q*: $P \subseteq \{s. p\ s = q\} \cap P'$
— *DynProcProcParNoAbrupt* introduces the same constraint as first conjunction in P' , so the vcg can simplify it.
assumes *to-prove*: $\Gamma, \Theta \vdash_{t/F} P' (dynCall\ init\ p\ return'\ c)\ Q, A$
assumes *ret-nrm-modif*: $\forall s\ t. t \in (Modif\ (init\ s))$

$\longrightarrow \text{return}' s t = \text{return} s t$

assumes *modif-clause*:
 $\forall \sigma. \Gamma, \Theta \vdash_F \{\sigma\} (\text{Call } q) (\text{Modif } \sigma), \{\}$
shows $\Gamma, \Theta \vdash_{t/F} P (\text{dynCall init } p \text{ return } c) Q, A$
proof –
from *to-prove* **have**
 $\Gamma, \Theta \vdash_{t/F} (\{s. p s = q\} \cap P') (\text{dynCall init } p \text{ return}' c) Q, A$
by (*rule conseqPre*) *blast*
from *this ret-nrm-modif*
have $\Gamma, \Theta \vdash_{t/F} (\{s. p s = q\} \cap P') (\text{dynCall init } p \text{ return } c) Q, A$
by (*rule ProcDynModifyReturnNoAbrSameFaults*) (*insert modif-clause, auto*)
from *this q* **show** *?thesis*
by (*rule conseqPre*)
qed

lemma *MergeGuards-iff*: $\Gamma, \Theta \vdash_{t/F} P \text{ merge-guards } c Q, A = \Gamma, \Theta \vdash_{t/F} P c Q, A$
by (*auto intro: MergeGuardsI MergeGuardsD*)

lemma *CombineStrip'*:
assumes *deriv*: $\Gamma, \Theta \vdash_{t/F} P c' Q, A$
assumes *deriv-strip-triv*: $\Gamma, \{\} \vdash_{/\{\}} P c'' \text{UNIV}, \text{UNIV}$
assumes *c''*: $c'' = \text{mark-guards False} (\text{strip-guards } (-F) c')$
assumes *c*: $\text{merge-guards } c = \text{merge-guards} (\text{mark-guards False } c')$
shows $\Gamma, \Theta \vdash_{t/\{\}} P c Q, A$
proof –
from *deriv-strip-triv* **have** *deriv-strip*: $\Gamma, \Theta \vdash_{/\{\}} P c'' \text{UNIV}, \text{UNIV}$
by (*auto intro: hoare-augment-context*)
from *deriv-strip* [*simplified c''*]
have $\Gamma, \Theta \vdash_{/\{\}} P (\text{strip-guards } (-F) c') \text{UNIV}, \text{UNIV}$
by (*rule HoarePartialProps.MarkGuardsD*)
with *deriv*
have $\Gamma, \Theta \vdash_{t/\{\}} P c' Q, A$
by (*rule CombineStrip*)
hence $\Gamma, \Theta \vdash_{t/\{\}} P \text{mark-guards False } c' Q, A$
by (*rule MarkGuardsI*)
hence $\Gamma, \Theta \vdash_{t/\{\}} P \text{merge-guards} (\text{mark-guards False } c') Q, A$
by (*rule MergeGuardsI*)
hence $\Gamma, \Theta \vdash_{t/\{\}} P \text{merge-guards } c Q, A$
by (*simp add: c*)
thus *?thesis*
by (*rule MergeGuardsD*)
qed

lemma *CombineStrip''*:
assumes *deriv*: $\Gamma, \Theta \vdash_{t/\{\text{True}\}} P c' Q, A$
assumes *deriv-strip-triv*: $\Gamma, \{\} \vdash_{/\{\}} P c'' \text{UNIV}, \text{UNIV}$
assumes *c''*: $c'' = \text{mark-guards False} (\text{strip-guards } (\{\text{False}\}) c')$

assumes c : $\text{merge-guards } c = \text{merge-guards } (\text{mark-guards } \text{False } c')$
shows $\Gamma, \Theta \vdash_{t/\{\}} P \text{ c } Q, A$
apply (rule *CombineStrip'* [*OF deriv deriv-strip-triv - c*])
apply (insert c'')
apply (subgoal-tac - $\{\text{True}\} = \{\text{False}\}$)
apply *auto*
done

lemma *AsmUN*:
 $(\bigcup Z. \{(P \ Z, p, Q \ Z, A \ Z)\}) \subseteq \Theta$
 \implies
 $\forall Z. \Gamma, \Theta \vdash_{t/F} (P \ Z) \ (Call \ p) \ (Q \ Z), (A \ Z)$
by (*blast intro: hoaret.Asm*)

lemma *hoaret-to-hoarep'*:
 $\forall Z. \Gamma, \{\}\vdash_{t/F} (P \ Z) \ p \ (Q \ Z), (A \ Z) \implies \forall Z. \Gamma, \{\}\vdash_{t/F} (P \ Z) \ p \ (Q \ Z), (A \ Z)$
by (*iprover intro: total-to-partial*)

lemma *augment-context'*:
 $\llbracket \Theta \subseteq \Theta'; \forall Z. \Gamma, \Theta \vdash_{t/F} (P \ Z) \ p \ (Q \ Z), (A \ Z) \rrbracket$
 $\implies \forall Z. \Gamma, \Theta \vdash_{t/F} (P \ Z) \ p \ (Q \ Z), (A \ Z)$
by (*iprover intro: hoaret-augment-context*)

lemma *augment-emptyFaults*:
 $\llbracket \forall Z. \Gamma, \{\}\vdash_{t/\{\}} (P \ Z) \ p \ (Q \ Z), (A \ Z) \rrbracket \implies$
 $\forall Z. \Gamma, \{\}\vdash_{t/F} (P \ Z) \ p \ (Q \ Z), (A \ Z)$
by (*blast intro: augment-Faults*)

lemma *augment-FaultsUNIV*:
 $\llbracket \forall Z. \Gamma, \{\}\vdash_{t/F} (P \ Z) \ p \ (Q \ Z), (A \ Z) \rrbracket \implies$
 $\forall Z. \Gamma, \{\}\vdash_{t/UNIV} (P \ Z) \ p \ (Q \ Z), (A \ Z)$
by (*blast intro: augment-Faults*)

lemma *PostConjI* [*trans*]:
 $\llbracket \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A; \Gamma, \Theta \vdash_{t/F} P \text{ c } R, B \rrbracket \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } (Q \cap R), (A \cap B)$
by (rule *PostConjI*)

lemma *PostConjI'* :
 $\llbracket \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A; \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A \rrbracket \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } R, B$
 $\implies \Gamma, \Theta \vdash_{t/F} P \text{ c } (Q \cap R), (A \cap B)$
by (rule *PostConjI*) *iprover+*

lemma *PostConjE* [*consumes I*]:
assumes *conj*: $\Gamma, \Theta \vdash_{t/F} P \text{ c } (Q \cap R), (A \cap B)$
assumes *E*: $\llbracket \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A; \Gamma, \Theta \vdash_{t/F} P \text{ c } R, B \rrbracket \implies S$

shows S
proof –
 from *conj* have $\Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$ by (rule *conseqPost*) blast+
 moreover
 from *conj* have $\Gamma, \Theta \vdash_{t/F} P \ c \ R, B$ by (rule *conseqPost*) blast+
 ultimately show S
 by (rule *E*)
qed

11.0.1 Rules for Single-Step Proof

We are now ready to introduce a set of Hoare rules to be used in single-step structured proofs in Isabelle/Isar.

Assertions of Hoare Logic may be manipulated in calculational proofs, with the inclusion expressed in terms of sets or predicates. Reversed order is supported as well.

lemma *annotateI* [*trans*]:
 $\llbracket \Gamma, \Theta \vdash_{t/F} P \text{ anno } Q, A; \ c = \text{anno} \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$
 by (*simp*)

lemma *annotate-normI*:
 assumes *deriv-anno*: $\Gamma, \Theta \vdash_{t/F} P \text{ anno } Q, A$
 assumes *norm-eq*: $\text{normalize } c = \text{normalize anno}$
 shows $\Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$
proof –
 from *HoareTotalProps.NormalizeI* [*OF deriv-anno*] *norm-eq*
 have $\Gamma, \Theta \vdash_{t/F} P \ \text{normalize } c \ Q, A$
 by *simp*
 from *NormalizeD* [*OF this*]
 show ?*thesis* .
qed

lemma *annotateWhile*:
 $\llbracket \Gamma, \Theta \vdash_{t/F} P \ (\text{whileAnnoG } gs \ b \ I \ V \ c) \ Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ (\text{while } gs \ b \ c) \ Q, A$
 by (*simp add: whileAnnoG-def*)

lemma *reannotateWhile*:
 $\llbracket \Gamma, \Theta \vdash_{t/F} P \ (\text{whileAnnoG } gs \ b \ I \ V \ c) \ Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ (\text{whileAnnoG } gs \ b \ J \ V \ c) \ Q, A$
 by (*simp add: whileAnnoG-def*)

lemma *reannotateWhileNoGuard*:
 $\llbracket \Gamma, \Theta \vdash_{t/F} P \ (\text{whileAnno } b \ I \ V \ c) \ Q, A \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ (\text{whileAnno } b \ J \ V \ c) \ Q, A$
 by (*simp add: whileAnno-def*)

lemma $[trans]$: $P' \subseteq P \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A \implies \Gamma, \Theta \vdash_{t/F} P' \text{ c } Q, A$
by (*rule conseqPre*)

lemma $[trans]$: $Q \subseteq Q' \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } Q, A \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } Q', A$
by (*rule conseqPost*) *blast+*

lemma $[trans]$:
 $\Gamma, \Theta \vdash_{t/F} \{s. P \ s\} \text{ c } Q, A \implies (\bigwedge s. P' \ s \longrightarrow P \ s) \implies \Gamma, \Theta \vdash_{t/F} \{s. P' \ s\} \text{ c } Q, A$
by (*rule conseqPre*) *auto*

lemma $[trans]$:
 $(\bigwedge s. P' \ s \longrightarrow P \ s) \implies \Gamma, \Theta \vdash_{t/F} \{s. P \ s\} \text{ c } Q, A \implies \Gamma, \Theta \vdash_{t/F} \{s. P' \ s\} \text{ c } Q, A$
by (*rule conseqPre*) *auto*

lemma $[trans]$:
 $\Gamma, \Theta \vdash_{t/F} P \text{ c } \{s. Q \ s\}, A \implies (\bigwedge s. Q \ s \longrightarrow Q' \ s) \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } \{s. Q' \ s\}, A$
by (*rule conseqPost*) *auto*

lemma $[trans]$:
 $(\bigwedge s. Q \ s \longrightarrow Q' \ s) \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } \{s. Q \ s\}, A \implies \Gamma, \Theta \vdash_{t/F} P \text{ c } \{s. Q' \ s\}, A$
by (*rule conseqPost*) *auto*

lemma $[intro?]$: $\Gamma, \Theta \vdash_{t/F} P \text{ Skip } P, A$
by (*rule Skip*) *auto*

lemma *CondInt* $[trans, intro?]$:
 $\llbracket \Gamma, \Theta \vdash_{t/F} (P \cap b) \text{ c1 } Q, A; \Gamma, \Theta \vdash_{t/F} (P \cap \neg b) \text{ c2 } Q, A \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_{t/F} P \text{ (Cond } b \text{ c1 c2) } Q, A$
by (*rule Cond*) *auto*

lemma *CondConj* $[trans, intro?]$:
 $\llbracket \Gamma, \Theta \vdash_{t/F} \{s. P \ s \wedge b \ s\} \text{ c1 } Q, A; \Gamma, \Theta \vdash_{t/F} \{s. P \ s \wedge \neg b \ s\} \text{ c2 } Q, A \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_{t/F} \{s. P \ s\} \text{ (Cond } \{s. b \ s\} \text{ c1 c2) } Q, A$
by (*rule Cond*) *auto*

end

12 Auxiliary Definitions/Lemmas to Facilitate Hoare Logic

theory *Hoare* **imports** *HoarePartial HoareTotal* **begin**

syntax

$\text{-hoarep-emptyFaults::}$
 $[(\text{'s','p','f'}) \text{ body}, (\text{'s','p'}) \text{ quadruple set},$
 $\text{'f set, 's assn, ('s','p','f') com, 's assn, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-, -/} \vdash \text{-/ (-) / -, /-)) [61, 60, 1000, 20, 1000, 1000] 60)$

$\text{-hoarep-emptyCtx::}$
 $[(\text{'s','p','f'}) \text{ body}, \text{'f set, 's assn, ('s','p','f') com, 's assn, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-/} \vdash \text{'/_- (-/ (-) / -, /-)) [61, 60, 1000, 20, 1000, 1000] 60)$

$\text{-hoarep-emptyCtx-emptyFaults::}$
 $[(\text{'s','p','f'}) \text{ body}, \text{'s assn, ('s','p','f') com, 's assn, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-/} \vdash \text{-/ (-) / -, /-)) [61, 1000, 20, 1000, 1000] 60)$

-hoarep-noAbr::
 $[(\text{'s','p','f'}) \text{ body}, (\text{'s','p'}) \text{ quadruple set}, \text{'f set, 's assn, ('s','p','f') com, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-, -/} \vdash \text{'/_- (-/ (-) / -)) [61, 60, 60, 1000, 20, 1000] 60)$

$\text{-hoarep-noAbr-emptyFaults::}$
 $[(\text{'s','p','f'}) \text{ body}, (\text{'s','p'}) \text{ quadruple set}, \text{'s assn, ('s','p','f') com, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-, -/} \vdash \text{-/ (-) / -)) [61, 60, 1000, 20, 1000] 60)$

$\text{-hoarep-emptyCtx-noAbr::}$
 $[(\text{'s','p','f'}) \text{ body}, \text{'f set, 's assn, ('s','p','f') com, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-/} \vdash \text{'/_- (-/ (-) / -)) [61, 60, 1000, 20, 1000] 60)$

$\text{-hoarep-emptyCtx-noAbr-emptyFaults::}$
 $[(\text{'s','p','f'}) \text{ body}, \text{'s assn, ('s','p','f') com, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-/} \vdash \text{-/ (-) / -)) [61, 1000, 20, 1000] 60)$

$\text{-hoaret-emptyFaults::}$
 $[(\text{'s','p','f'}) \text{ body}, (\text{'s','p'}) \text{ quadruple set},$
 $\text{'s assn, ('s','p','f') com, 's assn, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-, -/} \vdash \text{-/ (-) / -, /-)) [61, 60, 1000, 20, 1000, 1000] 60)$

$\text{-hoaret-emptyCtx::}$
 $[(\text{'s','p','f'}) \text{ body}, \text{'f set, 's assn, ('s','p','f') com, 's assn, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-/} \vdash \text{'/_- (-/ (-) / -, /-)) [61, 60, 1000, 20, 1000, 1000] 60)$

$\text{-hoaret-emptyCtx-emptyFaults::}$
 $[(\text{'s','p','f'}) \text{ body}, \text{'s assn, ('s','p','f') com, 's assn, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-/} \vdash \text{-/ (-) / -, /-)) [61, 1000, 20, 1000, 1000] 60)$

-hoaret-noAbr::
 $[(\text{'s','p','f'}) \text{ body}, \text{'f set, ('s','p') quadruple set, 's assn, ('s','p','f') com, 's assn}] \Rightarrow \text{bool}$
 $((3\text{-, -/} \vdash \text{'/_- (-/ (-) / -)) [61, 60, 60, 1000, 20, 1000] 60)$

-hoaret-noAbr-emptyFaults::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, (\text{'s}, \text{'p}) \text{ quadruple set}, \text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}, -/\vdash_t (-/ (-) / -)) [61, 60, 1000, 20, 1000] 60)$

-hoaret-emptyCtx-noAbr::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, \text{'f set}, \text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}/\vdash_t' / - (-/ (-) / -)) [61, 60, 1000, 20, 1000] 60)$

-hoaret-emptyCtx-noAbr-emptyFaults::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, \text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}/\vdash_t (-/ (-) / -)) [61, 1000, 20, 1000] 60)$

syntax (ASCII)

-hoarep-emptyFaults::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, (\text{'s}, \text{'p}) \text{ quadruple set},$
 $\text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}, -/|- (-/ (-) / -, /-)) [61, 60, 1000, 20, 1000, 1000] 60)$

-hoarep-emptyCtx::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, \text{'f set}, \text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}/|-'/- (-/ (-) / -, /-)) [61, 60, 1000, 20, 1000, 1000] 60)$

-hoarep-emptyCtx-emptyFaults::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, \text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}/|-(-/ (-) / -, /-)) [61, 1000, 20, 1000, 1000] 60)$

-hoarep-noAbr::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, (\text{'s}, \text{'p}) \text{ quadruple set}, \text{'f set},$
 $\text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}, -/|-'/- (-/ (-) / -)) [61, 60, 60, 1000, 20, 1000] 60)$

-hoarep-noAbr-emptyFaults::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, (\text{'s}, \text{'p}) \text{ quadruple set}, \text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}, -/|-(-/ (-) / -)) [61, 60, 1000, 20, 1000] 60)$

-hoarep-emptyCtx-noAbr::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, \text{'f set}, \text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}/|-'/- (-/ (-) / -)) [61, 60, 1000, 20, 1000] 60)$

-hoarep-emptyCtx-noAbr-emptyFaults::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, \text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}] \Rightarrow \text{bool}$
 $((\text{3-}/|-(-/ (-) / -)) [61, 1000, 20, 1000] 60)$

-hoaret-emptyFault::

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, (\text{'s}, \text{'p}) \text{ quadruple set},$
 $\text{'s assn}, (\text{'s}, \text{'p}, \text{'f}) \text{ com}, \text{'s assn}, \text{'s assn}] \Rightarrow \text{bool}$

$((3,-/|-t(-/(-)/-,-)) [61,60,1000,20,1000,1000]60)$

-hoaret-emptyCtx::

$[(s,p,f) \text{ body}, f \text{ set}, s \text{ assn}, (s,p,f) \text{ com}, s \text{ assn}, s \text{ assn}] \Rightarrow \text{bool}$
 $((3,-/|-t' /- (-/(-)/-,-)) [61,60,1000,20,1000,1000]60)$

-hoaret-emptyCtx-emptyFaults::

$[(s,p,f) \text{ body}, s \text{ assn}, (s,p,f) \text{ com}, s \text{ assn}, s \text{ assn}] \Rightarrow \text{bool}$
 $((3,-/|-t(-/(-)/-,-)) [61,1000,20,1000,1000]60)$

-hoaret-noAbr::

$[(s,p,f) \text{ body}, (s,p) \text{ quadruple set}, f \text{ set},$
 $s \text{ assn}, (s,p,f) \text{ com}, s \text{ assn}] \Rightarrow \text{bool}$
 $((3,-/|-t' /- (-/(-)/-)) [61,60,60,1000,20,1000]60)$

-hoaret-noAbr-emptyFaults::

$[(s,p,f) \text{ body}, (s,p) \text{ quadruple set}, s \text{ assn}, (s,p,f) \text{ com}, s \text{ assn}] \Rightarrow \text{bool}$
 $((3,-/|-t(-/(-)/-)) [61,60,1000,20,1000]60)$

-hoaret-emptyCtx-noAbr::

$[(s,p,f) \text{ body}, f \text{ set}, s \text{ assn}, (s,p,f) \text{ com}, s \text{ assn}] \Rightarrow \text{bool}$
 $((3,-/|-t' /- (-/(-)/-)) [61,60,1000,20,1000]60)$

-hoaret-emptyCtx-noAbr-emptyFaults::

$[(s,p,f) \text{ body}, s \text{ assn}, (s,p,f) \text{ com}, s \text{ assn}] \Rightarrow \text{bool}$
 $((3,-/|-t(-/(-)/-)) [61,1000,20,1000]60)$

translations

$\Gamma \vdash P \text{ c } Q, A \quad == \quad \Gamma \vdash_{/\{\}} P \text{ c } Q, A$
 $\Gamma \vdash_{/F} P \text{ c } Q, A \quad == \quad \Gamma, \{\} \vdash_{/F} P \text{ c } Q, A$

$\Gamma, \Theta \vdash P \text{ c } Q \quad == \quad \Gamma, \Theta \vdash_{/\{\}} P \text{ c } Q$
 $\Gamma, \Theta \vdash_{/F} P \text{ c } Q \quad == \quad \Gamma, \Theta \vdash_{/F} P \text{ c } Q, \{\}$
 $\Gamma, \Theta \vdash P \text{ c } Q, A \quad == \quad \Gamma, \Theta \vdash_{/\{\}} P \text{ c } Q, A$

$\Gamma \vdash P \text{ c } Q \quad == \quad \Gamma \vdash_{/\{\}} P \text{ c } Q$
 $\Gamma \vdash_{/F} P \text{ c } Q \quad == \quad \Gamma, \{\} \vdash_{/F} P \text{ c } Q$
 $\Gamma \vdash_{/F} P \text{ c } Q \leq \Gamma \vdash_{/F} P \text{ c } Q, \{\}$
 $\Gamma \vdash P \text{ c } Q \leq \Gamma \vdash P \text{ c } Q, \{\}$

$\Gamma \vdash_t P \text{ c } Q, A \quad == \quad \Gamma \vdash_t_{/\{\}} P \text{ c } Q, A$
 $\Gamma \vdash_{t/F} P \text{ c } Q, A \quad == \quad \Gamma, \{\} \vdash_{t/F} P \text{ c } Q, A$

$$\begin{aligned} \Gamma, \Theta \vdash_t P \ c \ Q &== \Gamma, \Theta \vdash_{t/\{\}} P \ c \ Q \\ \Gamma, \Theta \vdash_{t/F} P \ c \ Q &== \Gamma, \Theta \vdash_{t/F} P \ c \ Q, \{\} \\ \Gamma, \Theta \vdash_t P \ c \ Q, A &== \Gamma, \Theta \vdash_{t/\{\}} P \ c \ Q, A \end{aligned}$$

$$\begin{aligned} \Gamma \vdash_t P \ c \ Q &== \Gamma \vdash_{t/\{\}} P \ c \ Q \\ \Gamma \vdash_{t/F} P \ c \ Q &== \Gamma, \{\} \vdash_{t/F} P \ c \ Q \\ \Gamma \vdash_{t/F} P \ c \ Q &<= \Gamma \vdash_{t/F} P \ c \ Q, \{\} \\ \Gamma \vdash_t P \ c \ Q &<= \Gamma \vdash_t P \ c \ Q, \{\} \end{aligned}$$

term $\Gamma \vdash P \ c \ Q$
term $\Gamma \vdash P \ c \ Q, A$

term $\Gamma \vdash_{/F} P \ c \ Q$
term $\Gamma \vdash_{/F} P \ c \ Q, A$

term $\Gamma, \Theta \vdash P \ c \ Q$
term $\Gamma, \Theta \vdash_{/F} P \ c \ Q$

term $\Gamma, \Theta \vdash P \ c \ Q, A$
term $\Gamma, \Theta \vdash_{/F} P \ c \ Q, A$

term $\Gamma \vdash_t P \ c \ Q$
term $\Gamma \vdash_t P \ c \ Q, A$

term $\Gamma \vdash_{t/F} P \ c \ Q$
term $\Gamma \vdash_{t/F} P \ c \ Q, A$

term $\Gamma, \Theta \vdash P \ c \ Q$
term $\Gamma, \Theta \vdash_{t/F} P \ c \ Q$

term $\Gamma, \Theta \vdash P \ c \ Q, A$
term $\Gamma, \Theta \vdash_{t/F} P \ c \ Q, A$

locale *hoare* =
fixes $\Gamma :: ('s, 'p, 'f)$ *body*

primrec *assoc* :: $('a \times 'b)$ *list* $\Rightarrow 'a \Rightarrow 'b$
where
assoc [] $x = undefined$ |
assoc ($p \# ps$) $x = (if \ fst \ p = x \ then \ (snd \ p) \ else \ assoc \ ps \ x)$

lemma *conjE-simp*: $(P \wedge Q \Longrightarrow PROP \ R) \equiv (P \Longrightarrow Q \Longrightarrow PROP \ R)$
by *rule simp-all*

lemma *CollectInt-iff*: $\{s. P\ s\} \cap \{s. Q\ s\} = \{s. P\ s \wedge Q\ s\}$
by *auto*

lemma *Compl-Collect*: $\neg(\text{Collect } b) = \{x. \neg(b\ x)\}$
by *fastforce*

lemma *Collect-False*: $\{s. \text{False}\} = \{\}$
by *simp*

lemma *Collect-True*: $\{s. \text{True}\} = \text{UNIV}$
by *simp*

lemma *triv-All-eq*: $\forall x. P \equiv P$
by *simp*

lemma *triv-Ex-eq*: $\exists x. P \equiv P$
by *simp*

lemma *Ex-True*: $\exists b. b$
by *blast*

lemma *Ex-False*: $\exists b. \neg b$
by *blast*

definition *mex*:: $'a \Rightarrow \text{bool} \Rightarrow \text{bool}$
where *mex* $P = \text{Ex } P$

definition *meq*:: $'a \Rightarrow 'a \Rightarrow \text{bool}$
where *meq* $s\ Z = (s = Z)$

lemma *subset-unI1*: $A \subseteq B \Longrightarrow A \subseteq B \cup C$
by *blast*

lemma *subset-unI2*: $A \subseteq C \Longrightarrow A \subseteq B \cup C$
by *blast*

lemma *split-paired-UN*: $(\bigcup p. (P\ p)) = (\bigcup a\ b. (P\ (a,b)))$
by *auto*

lemma *in-insert-hd*: $f \in \text{insert } f\ X$
by *simp*

lemma *lookup-Some-in-dom*: $\Gamma\ p = \text{Some } bdy \Longrightarrow p \in \text{dom } \Gamma$
by *auto*

lemma *unit-object*: $(\forall u::\text{unit}. P\ u) = P\ ()$
by *auto*

lemma *unit-ex*: $(\exists u::\text{unit}. P\ u) = P\ ()$

by *auto*

lemma *unit-meta*: $(\bigwedge (u::unit). PROP P u) \equiv PROP P ()$
by *auto*

lemma *unit-UN*: $(\bigcup z::unit. P z) = P ()$
by *auto*

lemma *subset-singleton-insert1*: $y = x \implies \{y\} \subseteq insert\ x\ A$
by *auto*

lemma *subset-singleton-insert2*: $\{y\} \subseteq A \implies \{y\} \subseteq insert\ x\ A$
by *auto*

lemma *in-Specs-simp*: $(\forall x \in \bigcup Z. \{(P\ Z,\ p,\ Q\ Z,\ A\ Z)\}. Prop\ x) =$
 $(\forall Z. Prop\ (P\ Z,p,Q\ Z,A\ Z))$
by *auto*

lemma *in-set-Un-simp*: $(\forall x \in A \cup B. P\ x) = ((\forall x \in A. P\ x) \wedge (\forall x \in B. P\ x))$
by *auto*

lemma *split-all-conj*: $(\forall x. P\ x \wedge Q\ x) = ((\forall x. P\ x) \wedge (\forall x. Q\ x))$
by *blast*

lemma *image-Un-single-simp*: $f\ ' (\bigcup Z. \{P\ Z\}) = (\bigcup Z. \{f\ (P\ Z)\})$
by *auto*

lemma *measure-lex-prod-def'*:
 $f\ < *mlex* > r \equiv (\{(x,y). (x,y) \in measure\ f \vee f\ x = f\ y \wedge (x,y) \in r\})$
by (*auto simp add: mlex-prod-def inv-image-def*)

lemma *in-measure-iff*: $(x,y) \in measure\ f = (f\ x < f\ y)$
by (*simp add: measure-def inv-image-def*)

lemma *in-lex-iff*:
 $((a,b),(x,y)) \in r\ < *lex* > s = ((a,x) \in r \vee (a=x \wedge (b,y) \in s))$
by (*simp add: lex-prod-def*)

lemma *in-mlex-iff*:
 $(x,y) \in f\ < *mlex* > r = (f\ x < f\ y \vee (f\ x = f\ y \wedge (x,y) \in r))$
by (*simp add: measure-lex-prod-def' in-measure-iff*)

lemma *in-inv-image-iff*: $(x,y) \in inv-image\ r\ f = ((f\ x, f\ y) \in r)$
by (*simp add: inv-image-def*)

This is actually the same as *wf-mlex*. However, this basic proof took me so long that I'm not willing to delete it.

```

lemma wf-measure-lex-prod [simp,intro]:
  assumes wf-r: wf r
  shows wf (f <*mlex*> r)
proof (rule ccontr)
  assume  $\neg$  wf (f <*mlex*> r)
  then
  obtain g where  $\forall i. (g (Suc\ i), g\ i) \in f\ <*mlex*>\ r$ 
    by (auto simp add: wf-iff-no-infinite-down-chain)
  hence g:  $\forall i. (g (Suc\ i), g\ i) \in measure\ f \vee$ 
     $f\ (g\ (Suc\ i)) = f\ (g\ i) \wedge (g\ (Suc\ i), g\ i) \in r$ 
    by (simp add: measure-lex-prod-def')
  hence le-g:  $\forall i. f\ (g\ (Suc\ i)) \leq f\ (g\ i)$ 
    by (auto simp add: in-measure-iff order-le-less)
  have wf (measure f)
    by simp
  hence  $\forall Q. (\exists x. x \in Q) \longrightarrow (\exists z \in Q. \forall y. (y, z) \in measure\ f \longrightarrow y \notin Q)$ 
    by (simp add: wf-eq-minimal)
  from this [rule-format, of g 'UNIV']
  have  $\exists z. z \in range\ g \wedge (\forall y. (y, z) \in measure\ f \longrightarrow y \notin range\ g)$ 
    by auto
  then obtain z where
    z:  $z \in range\ g$  and
    min-z:  $\forall y. f\ y < f\ z \longrightarrow y \notin range\ g$ 
    by (auto simp add: in-measure-iff)
  from z obtain k where
    k:  $z = g\ k$ 
    by auto
  have  $\forall i. k \leq i \longrightarrow f\ (g\ i) = f\ (g\ k)$ 
proof (intro allI impI)
  fix i
  assume  $k \leq i$  then show  $f\ (g\ i) = f\ (g\ k)$ 
proof (induct i)
  case 0
  have  $k \leq 0$  by fact hence  $k = 0$  by simp
  thus  $f\ (g\ 0) = f\ (g\ k)$ 
    by simp
next
  case (Suc n)
  have k-Suc-n:  $k \leq Suc\ n$  by fact
  then show  $f\ (g\ (Suc\ n)) = f\ (g\ k)$ 
proof (cases k = Suc n)
  case True
  thus ?thesis by simp
next
  case False
  with k-Suc-n
  have  $k \leq n$ 
    by simp
  with Suc.hyps

```

```

have n-k:  $f (g n) = f (g k)$  by simp
from le-g have le:  $f (g (Suc n)) \leq f (g n)$ 
  by simp
show ?thesis
proof (cases  $f (g (Suc n)) = f (g n)$ )
  case True with n-k show ?thesis by simp
next
  case False
  with le have  $f (g (Suc n)) < f (g n)$ 
    by simp
  with n-k k have  $f (g (Suc n)) < f z$ 
    by simp
  with min-z have  $g (Suc n) \notin \text{range } g$ 
    by blast
  hence False by simp
  thus ?thesis
    by simp
qed
qed
qed
qed
with k [symmetric] have  $\forall i. k \leq i \longrightarrow f (g i) = f z$ 
  by simp
hence  $\forall i. k \leq i \longrightarrow f (g (Suc i)) = f (g i)$ 
  by simp
with g have  $\forall i. k \leq i \longrightarrow (g (Suc i), g i) \in r$ 
  by (auto simp add: in-measure-iff order-less-le )
hence  $\forall i. (g (Suc (i+k)), g (i+k)) \in r$ 
  by simp
then
have  $\exists f. \forall i. (f (Suc i), f i) \in r$ 
  by - (rule exI [where x= $\lambda i. g (i+k)$ ], simp)
with wf-r show False
  by (simp add: wf-iff-no-infinite-down-chain)
qed

```

lemmas all-imp-to-ex = all-simps (5)

```

lemma all-imp-eq-triv:  $(\forall x. x = k \longrightarrow Q) = Q$ 
                      $(\forall x. k = x \longrightarrow Q) = Q$ 
  by auto

```

end

13 State Space Template

```

theory StateSpace imports Hoare
begin

```

record 'g state = globals::'g

definition

upd-globals:: ('g \Rightarrow 'g) \Rightarrow ('g,'z) state-scheme \Rightarrow ('g,'z) state-scheme

where

upd-globals upd s = s(*globals* := *upd (globals s)*)

record ('g, 'n, 'val) stateSP = 'g state +
locals :: 'n \Rightarrow 'val

lemma *upd-globals-conv*: *upd-globals f* = ($\lambda s. s(\text{globals} := f(\text{globals } s))$)
by (*rule ext*) (*simp add: upd-globals-def*)

end

14 Alternative Small Step Semantics

theory *AlternativeSmallStep* **imports** *HoareTotalDef*
begin

This is the small-step semantics, which is described and used in my PhD-thesis [9]. It decomposes the statement into a list of statements and finally executes the head. So the redex is always the head of the list. The equivalence between termination (based on the big-step semantics) and the absence of infinite computations in this small-step semantics follows the same lines of reasoning as for the new small-step semantics. However, it is technically more involved since the configurations are more complicated. That's why I switched to the new small-step semantics in the "main trunk". I keep this alternative version and the important proofs in this theory, so that one can compare both approaches.

14.1 Small-Step Computation: $\Gamma \vdash (cs, css, s) \rightarrow (cs', css', s')$

type-synonym ('s,'p,'f) *continuation* = ('s,'p,'f) *com list* \times ('s,'p,'f) *com list*

type-synonym ('s,'p,'f) *config* =
('s,'p,'f) *com list* \times ('s,'p,'f) *continuation list* \times ('s,'f) *xstate*

inductive *step*::[('s,'p,'f) *body*,('s,'p,'f) *config*,('s,'p,'f) *config*] \Rightarrow *bool*
(\vdash ($- \rightarrow / -$) [81,81,81] 100)

for $\Gamma::('s,'p,'f)$ *body*

where

Skip: $\Gamma \vdash (\text{Skip} \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Normal } s)$

| *Guard*: $s \in g \implies \Gamma \vdash (\text{Guard } f g \ c \# cs, css, \text{Normal } s) \rightarrow (c \# cs, css, \text{Normal } s)$

$| \text{GuardFault}: s \notin g \implies \Gamma \vdash (\text{Guard } f \ g \ c \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Fault } f)$
 $| \text{FaultProp}: \Gamma \vdash (c \# cs, css, \text{Fault } f) \rightarrow (cs, css, \text{Fault } f)$
 $| \text{FaultPropBlock}: \Gamma \vdash ([], (nrms, abrs) \# css, \text{Fault } f) \rightarrow (nrms, css, \text{Fault } f)$

 $| \text{AbruptProp}: \Gamma \vdash (c \# cs, css, \text{Abrupt } s) \rightarrow (cs, css, \text{Abrupt } s)$

 $| \text{ExitBlockNormal}: \Gamma \vdash ([], (nrms, abrs) \# css, \text{Normal } s) \rightarrow (nrms, css, \text{Normal } s)$
 $| \text{ExitBlockAbrupt}: \Gamma \vdash ([], (nrms, abrs) \# css, \text{Abrupt } s) \rightarrow (abrs, css, \text{Normal } s)$

 $| \text{Basic}: \Gamma \vdash (\text{Basic } f \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Normal } (f \ s))$

 $| \text{Spec}: (s, t) \in r \implies \Gamma \vdash (\text{Spec } r \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Normal } t)$
 $| \text{SpecStuck}: \forall t. (s, t) \notin r \implies \Gamma \vdash (\text{Spec } r \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Stuck})$

 $| \text{Seq}: \Gamma \vdash (\text{Seq } c_1 \ c_2 \# cs, css, \text{Normal } s) \rightarrow (c_1 \# c_2 \# cs, css, \text{Normal } s)$

 $| \text{CondTrue}: s \in b \implies \Gamma \vdash (\text{Cond } b \ c_1 \ c_2 \# cs, css, \text{Normal } s) \rightarrow (c_1 \# cs, css, \text{Normal } s)$
 $| \text{CondFalse}: s \notin b \implies \Gamma \vdash (\text{Cond } b \ c_1 \ c_2 \# cs, css, \text{Normal } s) \rightarrow (c_2 \# cs, css, \text{Normal } s)$

 $| \text{WhileTrue}: \llbracket s \in b \rrbracket \implies \Gamma \vdash (\text{While } b \ c \# cs, css, \text{Normal } s) \rightarrow (c \# \text{While } b \ c \# cs, css, \text{Normal } s)$
 $| \text{WhileFalse}: \llbracket s \notin b \rrbracket \implies \Gamma \vdash (\text{While } b \ c \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Normal } s)$

 $| \text{Call}: \Gamma \vdash p = \text{Some } bdy \implies \Gamma \vdash (\text{Call } p \# cs, css, \text{Normal } s) \rightarrow ([bdy], (cs, \text{Throw} \# cs) \# css, \text{Normal } s)$

 $| \text{CallUndefined}: \Gamma \vdash p = \text{None} \implies \Gamma \vdash (\text{Call } p \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Stuck})$

 $| \text{StuckProp}: \Gamma \vdash (c \# cs, css, \text{Stuck}) \rightarrow (cs, css, \text{Stuck})$
 $| \text{StuckPropBlock}: \Gamma \vdash ([], (nrms, abrs) \# css, \text{Stuck}) \rightarrow (nrms, css, \text{Stuck})$

 $| \text{DynCom}: \Gamma \vdash (\text{DynCom } c \# cs, css, \text{Normal } s) \rightarrow (c \ s \# cs, css, \text{Normal } s)$

 $| \text{Throw}: \Gamma \vdash (\text{Throw} \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Abrupt } s)$
 $| \text{Catch}: \Gamma \vdash (\text{Catch } c_1 \ c_2 \# cs, css, \text{Normal } s) \rightarrow ([c_1], (cs, c_2 \# cs) \# css, \text{Normal } s)$

lemmas *step-induct* = *step.induct* [of - (*c*, *css*, *s*) (*c'*, *css'*, *s'*), *split-format* (*complete*), *case-names*
Skip Guard GuardFault FaultProp FaultPropBlock AbruptProp ExitBlockNormal

ExitBlockAbrupt
Basic Spec SpecStuck Seq CondTrue CondFalse WhileTrue WhileFalse Call CallUndefined
StuckProp StuckPropBlock DynCom Throw Catch, induct set]

inductive-cases *step-elim-cases* [*cases set*]:

$\Gamma \vdash (c \# cs, css, Fault\ f) \rightarrow u$
 $\Gamma \vdash (\square, css, Fault\ f) \rightarrow u$
 $\Gamma \vdash (c \# cs, css, Stuck) \rightarrow u$
 $\Gamma \vdash (\square, css, Stuck) \rightarrow u$
 $\Gamma \vdash (c \# cs, css, Abrupt\ s) \rightarrow u$
 $\Gamma \vdash (\square, css, Abrupt\ s) \rightarrow u$
 $\Gamma \vdash (\square, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Skip \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (Guard\ f\ g\ c \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (Basic\ f \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (Spec\ r \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (Seq\ c1\ c2 \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (Cond\ b\ c1\ c2 \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (While\ b\ c \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (Call\ p \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (DynCom\ c \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (Throw \# cs, css, s) \rightarrow u$
 $\Gamma \vdash (Catch\ c1\ c2 \# cs, css, s) \rightarrow u$

inductive-cases *step-Normal-elim-cases* [*cases set*]:

$\Gamma \vdash (c \# cs, css, Fault\ f) \rightarrow u$
 $\Gamma \vdash (\square, css, Fault\ f) \rightarrow u$
 $\Gamma \vdash (c \# cs, css, Stuck) \rightarrow u$
 $\Gamma \vdash (\square, css, Stuck) \rightarrow u$
 $\Gamma \vdash (\square, (nrms, abrs) \# css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (\square, (nrms, abrs) \# css, Abrupt\ s) \rightarrow u$
 $\Gamma \vdash (Skip \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Guard\ f\ g\ c \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Basic\ f \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Spec\ r \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Seq\ c1\ c2 \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Cond\ b\ c1\ c2 \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (While\ b\ c \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Call\ p \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (DynCom\ c \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Throw \# cs, css, Normal\ s) \rightarrow u$
 $\Gamma \vdash (Catch\ c1\ c2 \# cs, css, Normal\ s) \rightarrow u$

abbreviation

step-rtranc1 :: [*(s', p', f)* *body*, (*s', p', f*) *config*, (*s', p', f*) *config*] \Rightarrow *bool*
 $(\vdash (- \rightarrow^* / -) [81, 81, 81] 100)$

where

$\Gamma \vdash cs0 \rightarrow^* cs1 \quad == \quad (step\ \Gamma)^{**}\ cs0\ cs1$

abbreviation

$step\text{-}transl :: [(s',p',f) \text{ body},(s',p',f) \text{ config},(s',p',f) \text{ config}] \Rightarrow bool$
 $(\vdash (- \rightarrow^+ / -) [81,81,81] 100)$
where
 $\Gamma \vdash cs0 \rightarrow^+ cs1 \quad == (step \ \Gamma)^{++} \ cs0 \ cs1$

14.1.1 Structural Properties of Small Step Computations

lemma *Fault-app-steps*: $\Gamma \vdash (cs@xs,css,Fault \ f) \rightarrow^* (xs,css,Fault \ f)$

proof (*induct cs*)

case Nil thus ?case by simp

next

case (Cons c cs)

have $\Gamma \vdash (c\#cs@xs, \ css, \ Fault \ f) \rightarrow^* (xs, \ css, \ Fault \ f)$

proof –

have $\Gamma \vdash (c\#cs@xs, \ css, \ Fault \ f) \rightarrow (cs@xs, \ css, \ Fault \ f)$

by (*rule step.FaultProp*)

also

have $\Gamma \vdash (cs@xs, \ css, \ Fault \ f) \rightarrow^* (xs, \ css, \ Fault \ f)$

by (*rule Cons.hyps*)

finally show ?thesis .

qed

thus ?case

by simp

qed

lemma *Stuck-app-steps*: $\Gamma \vdash (cs@xs,css,Stuck) \rightarrow^* (xs,css,Stuck)$

proof (*induct cs*)

case Nil thus ?case by simp

next

case (Cons c cs)

have $\Gamma \vdash (c\#cs@xs, \ css, \ Stuck) \rightarrow^* (xs, \ css, \ Stuck)$

proof –

have $\Gamma \vdash (c\#cs@xs, \ css, \ Stuck) \rightarrow (cs@xs, \ css, \ Stuck)$

by (*rule step.StuckProp*)

also

have $\Gamma \vdash (cs@xs, \ css, \ Stuck) \rightarrow^* (xs, \ css, \ Stuck)$

by (*rule Cons.hyps*)

finally show ?thesis .

qed

thus ?case

by simp

qed

We can only append commands inside a block, if execution does not enter or exit a block.

lemma *app-step*:

assumes *step*: $\Gamma \vdash (cs,css,s) \rightarrow (cs',css',t)$

```

  shows  $css = css' \implies \Gamma \vdash (cs @ xs, css, s) \rightarrow (cs' @ xs, css', t)$ 
using step
apply induct
apply (simp-all del: fun-upd-apply, (blast intro: step.intros) +)
done

```

We can append whole blocks, without interfering with the actual block.
Outer blocks do not influence execution of inner blocks.

```

lemma app-css-step:
  assumes step:  $\Gamma \vdash (cs, css, s) \rightarrow (cs', css', t)$ 
  shows  $\Gamma \vdash (cs, css @ xs, s) \rightarrow (cs', css' @ xs, t)$ 
using step
apply induct
apply (simp-all del: fun-upd-apply, (blast intro: step.intros) +)
done

```

```

ML <
  ML-Thms.bind-thm (tranc1-induct3, Split-Rule.split-rule @ {context}
    (Rule-Insts.read-instantiate @ {context}
      [(((a, 0), Position.none), (ax, ay, az)),
        (((b, 0), Position.none), (bx, by, bz))] []
      @ {thm tranc1p-induct}));
>

```

```

lemma app-css-steps:
  assumes step:  $\Gamma \vdash (cs, css, s) \rightarrow^+ (cs', css', t)$ 
  shows  $\Gamma \vdash (cs, css @ xs, s) \rightarrow^+ (cs', css' @ xs, t)$ 
apply (rule tranc1-induct3 [OF step])
  apply (rule app-css-step [THEN tranc1p.r-into-tranc1 [of step  $\Gamma$ ]], assumption)
apply (blast intro: app-css-step tranc1p-trans)
done

```

```

lemma step-Cons':
  assumes step:  $\Gamma \vdash (ccs, css, s) \rightarrow (cs', css', t)$ 
  shows
     $\bigwedge c \text{ cs. } ccs = c \# cs \implies \exists css''. \text{ css}' = css'' @ css \wedge$ 
    (if  $css'' = []$  then  $\exists p. \text{ cs}' = p @ cs$ 
      else  $(\exists pnorm \text{ pabr. } css'' = [(pnorm @ cs, pabr @ cs)]))$ 
using step
by induct force +

```

```

lemma step-Cons:
  assumes step:  $\Gamma \vdash (c \# cs, css, s) \rightarrow (cs', css', t)$ 
  shows  $\exists pcss. \text{ css}' = pcss @ css \wedge$ 
    (if  $pcss = []$  then  $\exists ps. \text{ cs}' = ps @ cs$ 
      else  $(\exists pcs \text{ normal pcs-abrupt. } pcss = [(pcs \text{ normal} @ cs, pcs \text{ abrupt} @ cs)]))$ 
using step-Cons' [OF step]
by blast

```

lemma *step-Nil'*:

assumes *step*: $\Gamma \vdash (cs, asscss, s) \rightarrow (cs', css', t)$

shows

$\bigwedge_{ass.} \llbracket cs = []; asscss = ass @ css; ass \neq Nil \rrbracket \implies$

$css' = tl\ ass @ css \wedge$

$(case\ s\ of$

$Abrupt\ s' \Rightarrow cs' = snd\ (hd\ ass) \wedge t = Normal\ s'$

$\mid - \Rightarrow cs' = fst\ (hd\ ass) \wedge t = s)$

using *step*

by (*induct*) (*fastforce simp add: neq-Nil-conv*) $+$

lemma *step-Nil*:

assumes *step*: $\Gamma \vdash ([], ass @ css, s) \rightarrow (cs', css', t)$

assumes *ass-not-Nil*: $ass \neq []$

shows $css' = tl\ ass @ css \wedge$

$(case\ s\ of$

$Abrupt\ s' \Rightarrow cs' = snd\ (hd\ ass) \wedge t = Normal\ s'$

$\mid - \Rightarrow cs' = fst\ (hd\ ass) \wedge t = s)$

using *step-Nil'* [*OF step - - ass-not-Nil*]

by *simp*

lemma *step-Nil''*:

assumes *step*: $\Gamma \vdash ([], (pcs-normal, pcs-abrupt) \# pcss @ css, s) \rightarrow (cs', pcss @ css, t)$

shows $(case\ s\ of$

$Abrupt\ s' \Rightarrow cs' = pcs-abrupt \wedge t = Normal\ s'$

$\mid - \Rightarrow cs' = pcs-normal \wedge t = s)$

using *step-Nil'* [*OF step, where* $ass = (pcs-normal, pcs-abrupt) \# pcss$ **and** $css = css$]

by (*auto split: xstate.splits*)

lemma *drop-suffix-css-step'*:

assumes *step*: $\Gamma \vdash (cs, cssxs, s) \rightarrow (cs', css'xs, t)$

shows $\bigwedge_{css\ css'xs.} \llbracket cssxs = css @ xs; css'xs = css' @ xs \rrbracket$

$\implies \Gamma \vdash (cs, css, s) \rightarrow (cs', css', t)$

using *step*

apply *induct*

apply (*fastforce intro: step.intros*) $+$

done

lemma *drop-suffix-css-step*:

assumes *step*: $\Gamma \vdash (cs, pcss @ css, s) \rightarrow (cs', pcss' @ css, t)$

shows $\Gamma \vdash (cs, pcss, s) \rightarrow (cs', pcss', t)$

using *step* **by** (*blast intro: drop-suffix-css-step'*)

lemma *drop-suffix-hd-css-step'*:

assumes *step*: $\Gamma \vdash (pcs, css, s) \rightarrow (cs', css'css, t)$

shows $\bigwedge_{p\ ps\ cs\ pnorm\ pabr.} \llbracket pcs = p \# ps @ cs; css'css = (pnorm @ cs, pabr @ cs) \# css \rrbracket$

$\implies \Gamma \vdash (p \# ps, css, s) \rightarrow (cs', (pnorm, pabr) \# css, t)$

using *step*

by induct (force intro: step.intros)+

lemma drop-suffix-hd-css-step'':

assumes step: $\Gamma \vdash (p \# ps @ cs, css, s) \rightarrow (cs', (pnorm @ cs, pabr @ cs) \# css, t)$

shows $\Gamma \vdash (p \# ps, css, s) \rightarrow (cs', (pnorm, pabr) \# css, t)$

using drop-suffix-hd-css-step' [OF step]

by auto

lemma drop-suffix-hd-css-step:

assumes step: $\Gamma \vdash (p \# ps @ cs, css, s) \rightarrow (cs', [(pnorm @ ps @ cs, pabr @ ps @ cs)] @ css, t)$

shows $\Gamma \vdash (p \# ps, css, s) \rightarrow (cs', [(pnorm @ ps, pabr @ ps)] @ css, t)$

proof –

from step drop-suffix-hd-css-step'' [of - p ps cs css s cs' pnorm @ ps pabr @ ps t]

show ?thesis

by auto

qed

lemma drop-suffix':

assumes step: $\Gamma \vdash (csxs, css, s) \rightarrow (cs'xs, css', t)$

shows $\bigwedge xs \ cs \ cs'. \llbracket css = css'; csxs = cs @ xs; cs'xs = cs' @ xs; cs \neq [] \rrbracket$
 $\implies \Gamma \vdash (cs, css, s) \rightarrow (cs', css, t)$

using step

apply induct

apply (fastforce intro: step.intros simp add: neq-Nil-conv)+

done

lemma drop-suffix:

assumes step: $\Gamma \vdash (c \# cs @ xs, css, s) \rightarrow (cs' @ xs, css, t)$

shows $\Gamma \vdash (c \# cs, css, s) \rightarrow (cs', css, t)$

by (rule drop-suffix' [OF step - - -]) auto

lemma drop-suffix-same-css-step:

assumes step: $\Gamma \vdash (cs @ xs, css, s) \rightarrow (cs' @ xs, css, t)$

assumes not-Nil: $cs \neq []$

shows $\Gamma \vdash (cs, xss, s) \rightarrow (cs', xss, t)$

proof –

from drop-suffix' [OF step - - - not-Nil]

have $\Gamma \vdash (cs, css, s) \rightarrow (cs', css, t)$

by auto

with drop-suffix-css-step [of - cs [] css s cs' [] t]

have $\Gamma \vdash (cs, [], s) \rightarrow (cs', [], t)$

by auto

from app-css-step [OF this]

show ?thesis

by auto

qed

lemma Cons-change-css-step:

assumes step: $\Gamma \vdash (cs, css, s) \rightarrow (cs', css' @ css, t)$

shows $\Gamma \vdash (cs, xss, s) \rightarrow (cs', css' @ xss, t)$
proof –
from *step*
drop-suffix-css-step [where $cs=cs$ and $pcss=[]$ and $css=css$ and $s=s$
and $cs'=cs'$ and $pcss'=css'$ and $t=t$]
have $\Gamma \vdash (cs, [], s) \rightarrow (cs', css', t)$
by *auto*
from *app-css-step* [where $xs=xss$, *OF this*]
show *?thesis*
by *auto*
qed

lemma *Nil-change-css-step*:
assumes *step*: $\Gamma \vdash ([], ass @ css, s) \rightarrow (cs', ass' @ css, t)$
assumes *ass-not-Nil*: $ass \neq []$
shows $\Gamma \vdash ([], ass @ xss, s) \rightarrow (cs', ass' @ xss, t)$
proof –
from *step drop-suffix-css-step* [*of* - $[]$ *ass css s cs' ass' t*]
have $\Gamma \vdash ([], ass, s) \rightarrow (cs', ass', t)$
by *auto*
from *app-css-step* [where $xs=xss$, *OF this*]
show *?thesis*
by *auto*
qed

14.1.2 Equivalence between Big and Small-Step Semantics

lemma *exec-impl-steps*:
assumes *exec*: $\Gamma \vdash \langle c, s \rangle \Rightarrow t$
shows $\bigwedge cs\ css. \Gamma \vdash (c \# cs, css, s) \rightarrow^* (cs, css, t)$
using *exec*
proof (*induct*)
case *Skip* **thus** *?case* **by** (*blast intro: step.Skip*)
next
case *Guard* **thus** *?case* **by** (*blast intro: step.Guard rtranclp-trans*)
next
case *GuardFault* **thus** *?case* **by** (*blast intro: step.GuardFault*)
next
case *FaultProp* **thus** *?case* **by** (*blast intro: step.FaultProp*)
next
case *Basic* **thus** *?case* **by** (*blast intro: step.Basic*)
next
case *Spec* **thus** *?case* **by** (*blast intro: step.Spec*)
next
case *SpecStuck* **thus** *?case* **by** (*blast intro: step.SpecStuck*)
next
case *Seq* **thus** *?case* **by** (*blast intro: step.Seq rtranclp-trans*)
next
case *CondTrue* **thus** *?case* **by** (*blast intro: step.CondTrue rtranclp-trans*)

```

next
  case CondFalse thus ?case by (blast intro: step.CondFalse rtranclp-trans)
next
  case WhileTrue thus ?case by (blast intro: step.WhileTrue rtranclp-trans)
next
  case WhileFalse thus ?case by (blast intro: step.WhileFalse)
next
  case (Call p bdy s s' cs css)
  have bdy:  $\Gamma \vdash p = \text{Some } bdy$  by fact
  have steps-body:  $\Gamma \vdash ([bdy], (cs, \text{Throw} \# cs) \# css, \text{Normal } s) \rightarrow^*$ 
     $([], (cs, \text{Throw} \# cs) \# css, s')$  by fact
  show ?case
  proof (cases s')
    case (Normal s'')
    note steps-body
    also from Normal have  $\Gamma \vdash ([], (cs, \text{Throw} \# cs) \# css, s') \rightarrow (cs, css, s')$ 
      by (auto intro: step.intros)
    finally show ?thesis
      using bdy
      by (blast intro: step.Call rtranclp-trans)
  next
    case (Abrupt s'')
    with steps-body
    have  $\Gamma \vdash ([bdy], (cs, \text{Throw} \# cs) \# css, \text{Normal } s) \rightarrow^*$ 
       $([], (cs, \text{Throw} \# cs) \# css, \text{Abrupt } s'')$  by simp
    also have  $\Gamma \vdash ([], (cs, \text{Throw} \# cs) \# css, \text{Abrupt } s'') \rightarrow (\text{Throw} \# cs, css, \text{Normal } s'')$ 
      by (rule ExitBlockAbrupt)
    also have  $\Gamma \vdash (\text{Throw} \# cs, css, \text{Normal } s'') \rightarrow (cs, css, \text{Abrupt } s'')$ 
      by (rule Throw)
    finally show ?thesis
      using bdy Abrupt
      by (auto intro: step.Call rtranclp-trans)
  next
    case Fault
    note steps-body
    also from Fault have  $\Gamma \vdash ([], (cs, \text{Throw} \# cs) \# css, s') \rightarrow (cs, css, s')$ 
      by (auto intro: step.intros)
    finally show ?thesis
      using bdy
      by (blast intro: step.Call rtranclp-trans)
  next
    case Stuck
    note steps-body
    also from Stuck have  $\Gamma \vdash ([], (cs, \text{Throw} \# cs) \# css, s') \rightarrow (cs, css, s')$ 
      by (auto intro: step.intros)
    finally show ?thesis
      using bdy
      by (blast intro: step.Call rtranclp-trans)

```

```

qed
next
  case (CallUndefined p s cs css)
  have undef:  $\Gamma \vdash p = \text{None}$  by fact
  hence  $\Gamma \vdash (\text{Call } p \# cs, css, \text{Normal } s) \rightarrow (cs, css, \text{Stuck})$ 
    by (rule step.CallUndefined)
  thus ?case ..
next
  case StuckProp thus ?case by (blast intro: step.StuckProp rtranc1-trans)
next
  case DynCom thus ?case by (blast intro: step.DynCom rtranc1p-trans)
next
  case Throw thus ?case by (blast intro: step.Throw)
next
  case AbruptProp thus ?case by (blast intro: step.AbruptProp)
next
  case (CatchMatch c1 s s' c2 s'' cs css)
  have steps-c1:  $\Gamma \vdash ([c_1], (cs, c_2 \# cs) \# css, \text{Normal } s) \rightarrow^*$ 
     $([], (cs, c_2 \# cs) \# css, \text{Abrupt } s')$  by fact
  also
  have  $\Gamma \vdash ([], (cs, c_2 \# cs) \# css, \text{Abrupt } s') \rightarrow (c_2 \# cs, css, \text{Normal } s')$ 
    by (rule ExitBlockAbrupt)
  also
  have steps-c2:  $\Gamma \vdash (c_2 \# cs, css, \text{Normal } s') \rightarrow^* (cs, css, s'')$  by fact
  finally
  show  $\Gamma \vdash (\text{Catch } c_1 \ c_2 \ # \ cs, \ css, \ \text{Normal } \ s) \rightarrow^* (cs, \ css, \ s'')$ 
    by (blast intro: step.Catch rtranc1p-trans)
next
  case (CatchMiss c1 s s' c2 cs css)
  assume notAbr:  $\neg \text{isAbr } s'$ 
  have steps-c1:  $\Gamma \vdash ([c_1], (cs, c_2 \# cs) \# css, \text{Normal } s) \rightarrow^* ([], (cs, c_2 \# cs) \# css, s')$  by
fact
  show  $\Gamma \vdash (\text{Catch } c_1 \ c_2 \ # \ cs, \ css, \ \text{Normal } \ s) \rightarrow^* (cs, \ css, \ s')$ 
  proof (cases s')
  case (Normal w)
  with steps-c1
  have  $\Gamma \vdash ([c_1], (cs, c_2 \# cs) \# css, \text{Normal } s) \rightarrow^* ([], (cs, c_2 \# cs) \# css, \text{Normal } w)$ 
    by simp
  also
  have  $\Gamma \vdash ([], (cs, c_2 \# cs) \# css, \text{Normal } w) \rightarrow (cs, css, \text{Normal } w)$ 
    by (rule ExitBlockNormal)
  finally show ?thesis using Normal
    by (auto intro: step.Catch rtranc1p-trans)
next
  case Abrupt with notAbr show ?thesis by simp
next
  case (Fault f)
  with steps-c1
  have  $\Gamma \vdash ([c_1], (cs, c_2 \# cs) \# css, \text{Normal } s) \rightarrow^* ([], (cs, c_2 \# cs) \# css, \text{Fault } f)$ 

```

```

    by simp
  also
  have  $\Gamma \vdash ([], (cs, c_2 \# cs) \# css, Fault\ f) \rightarrow (cs, css, Fault\ f)$ 
    by (rule FaultPropBlock)
  finally show ?thesis using Fault
    by (auto intro: step.Catch rtrancpl-trans)
next
case Stuck
with steps-c1
have  $\Gamma \vdash ([c_1], (cs, c_2 \# cs) \# css, Normal\ s) \rightarrow^* ([], (cs, c_2 \# cs) \# css, Stuck)$ 
  by simp
also
have  $\Gamma \vdash ([], (cs, c_2 \# cs) \# css, Stuck) \rightarrow (cs, css, Stuck)$ 
  by (rule StuckPropBlock)
finally show ?thesis using Stuck
  by (auto intro: step.Catch rtrancpl-trans)
qed
qed

```

```

inductive execs::('s,'p,'f) body,('s,'p,'f) com list,
                  ('s,'p,'f) continuation list,
                  ('s,'f) xstate,('s,'f) xstate]  $\Rightarrow$  bool
  (|- <-,-,->  $\Rightarrow$  - [50,50,50,50,50] 50)
  for  $\Gamma:: ('s,'p,'f)$  body
where
  Nil:  $\Gamma \vdash \langle [], [], s \rangle \Rightarrow s$ 

```

```

| ExitBlockNormal:  $\Gamma \vdash \langle nrms, css, Normal\ s \rangle \Rightarrow t$ 
   $\Rightarrow$ 
   $\Gamma \vdash \langle [], (nrms, abrs) \# css, Normal\ s \rangle \Rightarrow t$ 

| ExitBlockAbrupt:  $\Gamma \vdash \langle abrs, css, Normal\ s \rangle \Rightarrow t$ 
   $\Rightarrow$ 
   $\Gamma \vdash \langle [], (nrms, abrs) \# css, Abrupt\ s \rangle \Rightarrow t$ 

| ExitBlockFault:  $\Gamma \vdash \langle nrms, css, Fault\ f \rangle \Rightarrow t$ 
   $\Rightarrow$ 
   $\Gamma \vdash \langle [], (nrms, abrs) \# css, Fault\ f \rangle \Rightarrow t$ 

| ExitBlockStuck:  $\Gamma \vdash \langle nrms, css, Stuck \rangle \Rightarrow t$ 
   $\Rightarrow$ 
   $\Gamma \vdash \langle [], (nrms, abrs) \# css, Stuck \rangle \Rightarrow t$ 

| Cons:  $\llbracket \Gamma \vdash \langle c, s \rangle \Rightarrow t; \Gamma \vdash \langle cs, css, t \rangle \Rightarrow u \rrbracket$ 
   $\Rightarrow$ 
   $\Gamma \vdash \langle c \# cs, css, s \rangle \Rightarrow u$ 

```


inductive-cases *execs-elim-cases* [*cases set*]:

$\Gamma \vdash \langle [], css, s \rangle \Rightarrow t$

$\Gamma \vdash \langle c \# cs, css, s \rangle \Rightarrow t$

ML \langle

ML-Thms.bind-thm (*converse-rtrancl-induct3*, *Split-Rule.split-rule* @{*context*}

(*Rule-Insts.read-instantiate* @{*context*}

[(((*a*, 0), *Position.none*), (*cs*, *css*, *s*)),

[(((*b*, 0), *Position.none*), (*cs'*, *css'*, *t*))] []

@{*thm converse-rtranclp-induct*}));

\rangle

lemma *execs-Fault-end*:

assumes *execs*: $\Gamma \vdash \langle cs, css, s \rangle \Rightarrow t$ **shows** $s = \text{Fault } f \implies t = \text{Fault } f$

using *execs*

by (*induct*) (*auto dest: Fault-end*)

lemma *execs-Stuck-end*:

assumes *execs*: $\Gamma \vdash \langle cs, css, s \rangle \Rightarrow t$ **shows** $s = \text{Stuck} \implies t = \text{Stuck}$

using *execs*

by (*induct*) (*auto dest: Stuck-end*)

theorem *steps-impl-exec*:

assumes *steps*: $\Gamma \vdash (cs, css, s) \rightarrow^* ([], [], t)$

shows $\Gamma \vdash \langle cs, css, s \rangle \Rightarrow t$

using *steps*

proof (*induct rule: converse-rtrancl-induct3* [*consumes 1*])

show $\Gamma \vdash \langle [], [], t \rangle \Rightarrow t$ **by** (*rule execs.Nil*)

next

fix *cs css s cs' css' w*

assume *step*: $\Gamma \vdash (cs, css, s) \rightarrow (cs', css', w)$

assume *execs*: $\Gamma \vdash \langle cs', css', w \rangle \Rightarrow t$

from *step*

show $\Gamma \vdash \langle cs, css, s \rangle \Rightarrow t$

proof (*cases*)

case (*Catch c1 c2 cs s*)

with *execs* **obtain** *t'* **where**

exec-c1: $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t'$ **and**

execs-rest: $\Gamma \vdash \langle [], (cs, c2 \# cs) \# css, t' \rangle \Rightarrow t$

by (*clarsimp elim!: execs-elim-cases*)

have $\Gamma \vdash \langle \text{Catch } c1 c2 \# cs, css, \text{Normal } s \rangle \Rightarrow t$

proof (*cases t'*)

case (*Normal t''*)

with *exec-c1* **have** $\Gamma \vdash \langle \text{Catch } c1 c2, \text{Normal } s \rangle \Rightarrow t'$

by (*auto intro: exec.CatchMiss*)

moreover

from *execs-rest Normal* **have** $\Gamma \vdash \langle cs, css, t' \rangle \Rightarrow t$

by (*cases*) *auto*

```

ultimately show ?thesis
  by (rule execs.Cons)
next
case (Abrupt t'')
from execs-rest Abrupt have  $\Gamma \vdash \langle c2 \# cs, css, Normal\ t'' \rangle \Rightarrow t$ 
  by (cases) auto
then obtain v where
  exec-c2:  $\Gamma \vdash \langle c2, Normal\ t'' \rangle \Rightarrow v$  and
  rest:  $\Gamma \vdash \langle cs, css, v \rangle \Rightarrow t$ 
  by cases
from exec-c1 Abrupt exec-c2
have  $\Gamma \vdash \langle Catch\ c1\ c2, Normal\ s \rangle \Rightarrow v$ 
  by - (rule exec.CatchMatch, auto)
from this rest
show ?thesis
  by (rule execs.Cons)
next
case (Fault f)
with exec-c1 have  $\Gamma \vdash \langle Catch\ c1\ c2, Normal\ s \rangle \Rightarrow Fault\ f$ 
  by (auto intro: exec.intros)
moreover from execs-rest Fault have  $\Gamma \vdash \langle cs, css, Fault\ f \rangle \Rightarrow t$ 
  by (cases) auto
ultimately show ?thesis
  by (rule execs.Cons)
next
case Stuck
with exec-c1 have  $\Gamma \vdash \langle Catch\ c1\ c2, Normal\ s \rangle \Rightarrow Stuck$ 
  by (auto intro: exec.intros)
moreover from execs-rest Stuck have  $\Gamma \vdash \langle cs, css, Stuck \rangle \Rightarrow t$ 
  by (cases) auto
ultimately show ?thesis
  by (rule execs.Cons)
qed
with Catch show ?thesis by simp
next
case (Call p bdy cs s)
have bdy:  $\Gamma\ p = Some\ bdy$  by fact
from Call execs obtain t' where
  exec-body:  $\Gamma \vdash \langle bdy, Normal\ s \rangle \Rightarrow t'$  and
  execs-rest:
     $\Gamma \vdash \langle [], (cs, Throw \# cs) \# css, t' \rangle \Rightarrow t$ 
  by (clarsimp elim!: execs-elim-cases)
have  $\Gamma \vdash \langle Call\ p\ \#\ cs, css, Normal\ s \rangle \Rightarrow t$ 
proof (cases t')
case (Normal t'')
with exec-body bdy
have  $\Gamma \vdash \langle Call\ p, Normal\ s \rangle \Rightarrow Normal\ t''$ 
  by (auto intro: exec.intros)
moreover

```

```

    from execs-rest Normal
    have  $\Gamma \vdash \langle cs, css, Normal\ t' \rangle \Rightarrow t$ 
      by cases auto
    ultimately show ?thesis by (rule execs.Cons)
  next
    case (Abrupt t'')
    with exec-body bdy
    have  $\Gamma \vdash \langle Call\ p, Normal\ s \rangle \Rightarrow Abrupt\ t''$ 
      by (auto intro: exec.intros)
    moreover
    from execs-rest Abrupt have
       $\Gamma \vdash \langle Throw\ \#\ cs, css, Normal\ t' \rangle \Rightarrow t$ 
      by (cases auto)
    then obtain v where v:  $\Gamma \vdash \langle Throw, Normal\ t' \rangle \Rightarrow v$   $\Gamma \vdash \langle cs, css, v \rangle \Rightarrow t$ 
      by (clarsimp elim!: execs-elim-cases)
    moreover from v have v=Abrupt t''
      by (auto elim: exec-Normal-elim-cases)
    ultimately
    show ?thesis by (auto intro: execs.Cons)
  next
    case (Fault f)
    with exec-body bdy have  $\Gamma \vdash \langle Call\ p, Normal\ s \rangle \Rightarrow Fault\ f$ 
      by (auto intro: exec.intros)
    moreover from execs-rest Fault have  $\Gamma \vdash \langle cs, css, Fault\ f \rangle \Rightarrow t$ 
      by (cases auto elim: execs-elim-cases dest: Fault-end)
    ultimately
    show ?thesis by (rule execs.Cons)
  next
    case Stuck
    with exec-body bdy have  $\Gamma \vdash \langle Call\ p, Normal\ s \rangle \Rightarrow Stuck$ 
      by (auto intro: exec.intros)
    moreover from execs-rest Stuck have  $\Gamma \vdash \langle cs, css, Stuck \rangle \Rightarrow t$ 
      by (cases auto elim: execs-elim-cases dest: Stuck-end)
    ultimately
    show ?thesis by (rule execs.Cons)
  qed
  with Call show ?thesis by simp
qed (insert execs,
      (blast intro: execs.intros exec.intros elim!: execs-elim-cases)+)
qed

theorem steps-impl-exec:
  assumes steps:  $\Gamma \vdash ([c], [], s) \rightarrow^* ([], [], t)$ 
  shows  $\Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
using steps-impl-execs [OF steps]
by (blast elim: execs-elim-cases)

corollary steps-eq-exec:  $\Gamma \vdash ([c], [], s) \rightarrow^* ([], [], t) = \Gamma \vdash \langle c, s \rangle \Rightarrow t$ 
  by (blast intro: steps-impl-exec exec-impl-steps)

```

14.2 Infinite Computations: $\text{inf } \Gamma \text{ cs css } s$

definition $\text{inf} ::$

$[(\text{'s}, \text{'p}, \text{'f}) \text{ body}, (\text{'s}, \text{'p}, \text{'f}) \text{ com list}, (\text{'s}, \text{'p}, \text{'f}) \text{ continuation list}, (\text{'s}, \text{'f}) \text{ xstate}]$
 $\Rightarrow \text{bool}$

where $\text{inf } \Gamma \text{ cs css } s = (\exists f. f \ 0 = (\text{cs}, \text{css}, s) \wedge (\forall i. \Gamma \vdash f \ i \rightarrow f(\text{Suc } i)))$

lemma $\text{not-infI}: \llbracket \bigwedge f. \llbracket f \ 0 = (\text{cs}, \text{css}, s); \bigwedge i. \Gamma \vdash f \ i \rightarrow f(\text{Suc } i) \rrbracket \Longrightarrow \text{False} \rrbracket$
 $\Longrightarrow \neg \text{inf } \Gamma \text{ cs css } s$

by $(\text{auto simp add: inf-def})$

14.3 Equivalence of Termination and Absence of Infinite Computations

inductive $\text{terminatess} :: [(\text{'s}, \text{'p}, \text{'f}) \text{ body}, (\text{'s}, \text{'p}, \text{'f}) \text{ com list},$
 $(\text{'s}, \text{'p}, \text{'f}) \text{ continuation list}, (\text{'s}, \text{'f}) \text{ xstate}] \Rightarrow \text{bool}$
 $(\vdash -, - \Downarrow - [60, 20, 60] \ 89)$

for $\Gamma :: (\text{'s}, \text{'p}, \text{'f}) \text{ body}$

where

$\text{Nil}: \Gamma \vdash [], [] \Downarrow s$

| $\text{ExitBlockNormal}: \Gamma \vdash \text{nrms}, \text{css} \Downarrow \text{Normal } s$

\Longrightarrow

$\Gamma \vdash [], (\text{nrms}, \text{abrs}) \# \text{css} \Downarrow \text{Normal } s$

| $\text{ExitBlockAbrupt}: \Gamma \vdash \text{abrs}, \text{css} \Downarrow \text{Normal } s$

\Longrightarrow

$\Gamma \vdash [], (\text{nrms}, \text{abrs}) \# \text{css} \Downarrow \text{Abrupt } s$

| $\text{ExitBlockFault}: \Gamma \vdash \text{nrms}, \text{css} \Downarrow \text{Fault } f$

\Longrightarrow

$\Gamma \vdash [], (\text{nrms}, \text{abrs}) \# \text{css} \Downarrow \text{Fault } f$

| $\text{ExitBlockStuck}: \Gamma \vdash \text{nrms}, \text{css} \Downarrow \text{Stuck}$

\Longrightarrow

$\Gamma \vdash [], (\text{nrms}, \text{abrs}) \# \text{css} \Downarrow \text{Stuck}$

| $\text{Cons}: \llbracket \Gamma \vdash c \Downarrow s; (\forall t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \longrightarrow \Gamma \vdash \text{cs}, \text{css} \Downarrow t) \rrbracket$

\Longrightarrow

$\Gamma \vdash c \# \text{cs}, \text{css} \Downarrow s$

inductive-cases $\text{terminatess-elim-cases} [\text{cases set}]$:

$\Gamma \vdash [], \text{css} \Downarrow t$

$\Gamma \vdash c \# \text{cs}, \text{css} \Downarrow t$

lemma $\text{terminatess-Fault}: \bigwedge \text{cs}. \Gamma \vdash \text{cs}, \text{css} \Downarrow \text{Fault } f$

proof (induct css)

case Nil

show $\Gamma \vdash \text{cs}, [] \Downarrow \text{Fault } f$

```

proof (induct cs)
  case Nil show  $\Gamma \vdash [], [] \Downarrow \text{Fault } f$  by (rule terminatess.Nil)
next
  case (Cons c cs)
  thus ?case
    by (auto intro: terminatess.intros terminates.intros dest: Fault-end)
qed
next
  case (Cons d css)
  have hyp:  $\bigwedge cs. \Gamma \vdash cs, css \Downarrow \text{Fault } f$  by fact
  obtain nrms abrs where d: d=(nrms, abrs) by (cases d) auto
  have  $\Gamma \vdash cs, (nrms, abrs) \# css \Downarrow \text{Fault } f$ 
  proof (induct cs)
    case Nil
    show  $\Gamma \vdash [], (nrms, abrs) \# css \Downarrow \text{Fault } f$ 
      by (rule terminatess.ExitBlockFault) (rule hyp)
    next
    case (Cons c cs)
    have hyp1:  $\Gamma \vdash cs, (nrms, abrs) \# css \Downarrow \text{Fault } f$  by fact
    show  $\Gamma \vdash c \# cs, (nrms, abrs) \# css \Downarrow \text{Fault } f$ 
      by (auto intro: hyp1 terminatess.Cons terminates.intros dest: Fault-end)
    qed
  with d show ?case by simp
qed

lemma terminatess-Stuck:  $\bigwedge cs. \Gamma \vdash cs, css \Downarrow \text{Stuck}$ 
proof (induct css)
  case Nil
  show  $\Gamma \vdash cs, [] \Downarrow \text{Stuck}$ 
  proof (induct cs)
    case Nil show  $\Gamma \vdash [], [] \Downarrow \text{Stuck}$  by (rule terminatess.Nil)
    next
    case (Cons c cs)
    thus ?case
      by (auto intro: terminatess.intros terminates.intros dest: Stuck-end)
    qed
  next
  case (Cons d css)
  have hyp:  $\bigwedge cs. \Gamma \vdash cs, css \Downarrow \text{Stuck}$  by fact
  obtain nrms abrs where d: d=(nrms, abrs) by (cases d) auto
  have  $\Gamma \vdash cs, (nrms, abrs) \# css \Downarrow \text{Stuck}$ 
  proof (induct cs)
    case Nil
    show  $\Gamma \vdash [], (nrms, abrs) \# css \Downarrow \text{Stuck}$ 
      by (rule terminatess.ExitBlockStuck) (rule hyp)
    next
    case (Cons c cs)
    have hyp1:  $\Gamma \vdash cs, (nrms, abrs) \# css \Downarrow \text{Stuck}$  by fact
    show  $\Gamma \vdash c \# cs, (nrms, abrs) \# css \Downarrow \text{Stuck}$ 

```

```

    by (auto intro: hyp1 terminatess.Cons terminates.intros dest: Stuck-end)
  qed
  with d show ?case by simp
  qed

```

```

lemma Basic-terminates:  $\Gamma \vdash \text{Basic } f \downarrow t$ 
  by (cases t) (auto intro: terminates.intros)

```

```

lemma step-preserves-terminations:
  assumes step:  $\Gamma \vdash (cs, css, s) \rightarrow (cs', css', t)$ 
  shows  $\Gamma \vdash cs, css \Downarrow s \implies \Gamma \vdash cs', css' \Downarrow t$ 
using step
proof (induct)
  case Skip thus ?case
    by (auto elim: terminatess-Normal-elim-cases terminatess-elim-cases
      intro: exec.intros)
  next
  case Guard thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
      intro: terminatess.intros terminates.intros exec.intros)
  next
  case GuardFault thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
      intro: terminatess.intros terminates.intros exec.intros)
  next
  case FaultProp show ?case by (rule terminatess-Fault)
  next
  case FaultPropBlock show ?case by (rule terminatess-Fault)
  next
  case AbruptProp thus ?case
    by (blast elim: terminatess-elim-cases
      intro: terminatess.intros)
  next
  case ExitBlockNormal thus ?case
    by (blast elim: terminatess-elim-cases
      intro: terminatess.intros )
  next
  case ExitBlockAbrupt thus ?case
    by (blast elim: terminatess-elim-cases
      intro: terminatess.intros )
  next
  case Basic thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
      intro: terminatess.intros terminates.intros exec.intros)
  next
  case Spec thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
      intro: terminatess.intros terminates.intros exec.intros)

```

```

next
  case SpecStuck thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
        intro: terminatess.intros terminates.intros exec.intros)
next
  case Seq thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
        intro: terminatess.intros terminates.intros exec.intros)
next
  case CondTrue thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
        intro: terminatess.intros terminates.intros exec.intros)
next
  case CondFalse thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
        intro: terminatess.intros terminates.intros exec.intros)
next
  case WhileTrue thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
        intro: terminatess.intros terminates.intros exec.intros)
next
  case WhileFalse thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
        intro: terminatess.intros terminates.intros exec.intros)
next
  case (Call p bdy cs css s)
  have bdy:  $\Gamma \vdash p = \text{Some } bdy$  by fact
  from Call obtain
    term-body:  $\Gamma \vdash bdy \downarrow \text{Normal } s$  and
    term-rest:  $\forall t. \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow t \longrightarrow \Gamma \vdash cs, css \Downarrow t$ 
    by (fastforce elim!: terminatess-elim-cases terminates-Normal-elim-cases)
  show  $\Gamma \vdash [bdy], (cs, \text{Throw } \# cs) \# css \Downarrow \text{Normal } s$ 
  proof (rule terminatess.Cons [OF term-body], clarsimp)
  fix t
  assume exec-body:  $\Gamma \vdash \langle bdy, \text{Normal } s \rangle \Rightarrow t$ 
  show  $\Gamma \vdash [], (cs, \text{Throw } \# cs) \# css \Downarrow t$ 
  proof (cases t)
    case (Normal t')
    with exec-body bdy
    have  $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \text{Normal } t'$ 
      by (auto intro: exec.intros)
    with term-rest have  $\Gamma \vdash cs, css \Downarrow \text{Normal } t'$ 
      by iprover
    with Normal show ?thesis
      by (auto intro: terminatess.intros terminates.intros
          elim: exec-Normal-elim-cases)
  next
  case (Abrupt t')
  with exec-body bdy

```

```

    have  $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t'$ 
      by (auto intro: exec.intros)
    with term-rest have  $\Gamma \vdash cs, css \Downarrow \text{Abrupt } t'$ 
      by iprover
    with Abrupt show ?thesis
      by (fastforce intro: terminatess.intros terminates.intros
        elim: exec-Normal-elim-cases)
  next
    case Fault
    thus ?thesis
      by (iprover intro: terminatess-Fault)
  next
    case Stuck
    thus ?thesis
      by (iprover intro: terminatess-Stuck)
  qed
qed
next
  case CallUndefined thus ?case
    by (iprover intro: terminatess-Stuck)
next
  case StuckProp show ?case by (rule terminatess-Stuck)
next
  case StuckPropBlock show ?case by (rule terminatess-Stuck)
next
  case DynCom thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
      intro: terminatess.intros terminates.intros exec.intros)
next
  case Throw thus ?case
    by (blast elim: terminatess-elim-cases terminates-Normal-elim-cases
      intro: terminatess.intros terminates.intros exec.intros)
next
  case (Catch c1 c2 cs css s)
  then obtain
    term-c1:  $\Gamma \vdash c1 \Downarrow \text{Normal } s$  and
    term-c2:  $\forall s'. \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s' \longrightarrow \Gamma \vdash c2 \Downarrow \text{Normal } s'$  and
    term-rest:  $\forall t. \Gamma \vdash \langle \text{Catch } c1 c2, \text{Normal } s \rangle \Rightarrow t \longrightarrow \Gamma \vdash cs, css \Downarrow t$ 
    by (clarsimp elim!: terminatess-elim-cases terminates-Normal-elim-cases)
  show  $\Gamma \vdash [c1], (cs, c2 \# cs) \# css \Downarrow \text{Normal } s$ 
  proof (rule terminatess.Cons [OF term-c1], clarsimp)
    fix t
    assume exec-c1:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t$ 
    show  $\Gamma \vdash [], (cs, c2 \# cs) \# css \Downarrow t$ 
    proof (cases t)
      case (Normal t')
      with exec-c1 have  $\Gamma \vdash \langle \text{Catch } c1 c2, \text{Normal } s \rangle \Rightarrow t$ 
        by (auto intro: exec.intros)
      with term-rest have  $\Gamma \vdash cs, css \Downarrow t$ 

```



```

      by iprover
    with Normal show ?thesis
      by (iprover intro: terminatess.intros)
  next
    case (Abrupt t')
    with exec-c1 term-c2 have  $\Gamma \vdash c2 \downarrow \text{Normal } t'$ 
      by auto
    moreover
    {
      fix w
      assume exec-c2:  $\Gamma \vdash \langle c2, \text{Normal } t' \rangle \Rightarrow w$ 
      have  $\Gamma \vdash cs, css \Downarrow w$ 
      proof -
        from exec-c1 Abrupt exec-c2
        have  $\Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle \Rightarrow w$ 
          by (auto intro: exec.intros)
        with term-rest show ?thesis by simp
      qed
    }
  ultimately
  show ?thesis using Abrupt
    by (auto intro: terminatess.intros)
next
  case Fault thus ?thesis
    by (iprover intro: terminatess-Fault)
next
  case Stuck thus ?thesis
    by (iprover intro: terminatess-Stuck)
qed
qed
qed

```

```

ML <
  ML-Thms.bind-thm (rtrancl-induct3, Split-Rule.split-rule @ {context}
    (Rule-Insts.read-instantiate @ {context}
      [(((a, 0), Position.none), (ax, ay, az)),
        (((b, 0), Position.none), (bx, by, bz))] []
      @ {thm rtranclp-induct}));
  >

```

```

lemma steps-preserves-terminations:
  assumes steps:  $\Gamma \vdash (cs, css, s) \rightarrow^* (cs', css', t)$ 
  shows  $\Gamma \vdash cs, css \Downarrow s \implies \Gamma \vdash cs', css' \Downarrow t$ 
  using steps
  proof (induct rule: rtrancl-induct3 [consumes 1])
    assume  $\Gamma \vdash cs, css \Downarrow s$  then show  $\Gamma \vdash cs, css \Downarrow s$ .
  next
    fix cs'' css'' w cs' css' t

```

assume $\Gamma \vdash (cs'', css'', w) \rightarrow (cs', css', t) \ \Gamma \vdash cs, css \Downarrow s \implies \Gamma \vdash cs'', css'' \Downarrow w$
 $\Gamma \vdash cs, css \Downarrow s$
then show $\Gamma \vdash cs', css' \Downarrow t$
by (*blast dest: step-preserves-terminations*)
qed

theorem *steps-preserves-termination*:
assumes *steps*: $\Gamma \vdash ([c], [], s) \rightarrow^* (c' \# cs', css', t)$
assumes *term-c*: $\Gamma \vdash c \Downarrow s$
shows $\Gamma \vdash c' \Downarrow t$
proof –
from *term-c* **have** $\Gamma \vdash [c], [] \Downarrow s$
by (*auto intro: terminatess.intros*)
from *steps* **this**
have $\Gamma \vdash c' \# cs', css' \Downarrow t$
by (*rule steps-preserves-terminations*)
thus $\Gamma \vdash c' \Downarrow t$
by (*auto elim: terminatess-elim-cases*)
qed

lemma *renumber'*:
assumes *f*: $\forall i. (a, f\ i) \in r^* \wedge (f\ i, f(Suc\ i)) \in r$
assumes *a-b*: $(a, b) \in r^*$
shows $b = f\ 0 \implies (\exists f. f\ 0 = a \wedge (\forall i. (f\ i, f(Suc\ i)) \in r))$
using *a-b*
proof (*induct rule: converse-rtrancl-induct [consumes 1]*)
assume $b = f\ 0$
with *f* **show** $\exists f. f\ 0 = b \wedge (\forall i. (f\ i, f(Suc\ i)) \in r)$
by *blast*
next
fix *a z*
assume *a-z*: $(a, z) \in r$ **and** $(z, b) \in r^*$
assume $b = f\ 0 \implies \exists f. f\ 0 = z \wedge (\forall i. (f\ i, f(Suc\ i)) \in r)$
 $b = f\ 0$
then obtain *f* **where** $f\ 0 = z$ **and** *seq*: $\forall i. (f\ i, f(Suc\ i)) \in r$
by *iprover*
{
fix *i* **have** $((\lambda i. \text{case } i \text{ of } 0 \Rightarrow a \mid Suc\ i \Rightarrow f\ i)\ i, f\ i) \in r$
using *seq a-z f0*
by (*cases i*) *auto*
}
then
show $\exists f. f\ 0 = a \wedge (\forall i. (f\ i, f(Suc\ i)) \in r)$
by – (*rule exI [where x= $\lambda i. \text{case } i \text{ of } 0 \Rightarrow a \mid Suc\ i \Rightarrow f\ i$], simp*)
qed

lemma *renumber*:

$\forall i. (a, f i) \in r^* \wedge (f i, f (Suc i)) \in r$
 $\implies \exists f. f 0 = a \wedge (\forall i. (f i, f (Suc i)) \in r)$
by (*blast dest:renumber'*)

lemma *not-inf-Fault'*:

assumes *enum-step*: $\forall i. \Gamma \vdash f i \rightarrow f (Suc i)$
shows $\bigwedge k. cs. f k = (cs, css, Fault m) \implies False$
proof (*induct css*)
 case *Nil*
 have *f-k*: $f k = (cs, [], Fault m)$ **by** *fact*
 have $\bigwedge k. f k = (cs, [], Fault m) \implies False$
 proof (*induct cs*)
 case *Nil*
 have $f k = ([], [], Fault m)$ **by** *fact*
 moreover
 from *enum-step* **have** $\Gamma \vdash f k \rightarrow f (Suc k)$..
 ultimately show *False*
 by (*fastforce elim: step-elim-cases*)
 next
 case (*Cons c cs*)
 have *fk*: $f k = (c \# cs, [], Fault m)$ **by** *fact*
 from *enum-step* **have** $\Gamma \vdash f k \rightarrow f (Suc k)$..
 with *fk* **have** $f (Suc k) = (cs, [], Fault m)$
 by (*fastforce elim: step-elim-cases*)
 with *enum-step Cons.hyps*
 show *False*
 by *blast*
qed
from *this f-k* **show** *False* **by** *blast*
next
 case (*Cons ds css*)
 then obtain *nrms abrs* **where** *ds*: $ds = (nrms, abrs)$ **by** (*cases ds*) *auto*
 have *hyp*: $\bigwedge k. cs. f k = (cs, css, Fault m) \implies False$ **by** *fact*
 have $\bigwedge k. f k = (cs, (nrms, abrs) \# css, Fault m) \implies False$
 proof (*induct cs*)
 case *Nil*
 have *fk*: $f k = ([], (nrms, abrs) \# css, Fault m)$ **by** *fact*
 from *enum-step* **have** $\Gamma \vdash f k \rightarrow f (Suc k)$..
 with *fk* **have** $f (Suc k) = (nrms, css, Fault m)$
 by (*fastforce elim: step-elim-cases*)
 thus *?case*
 by (*rule hyp*)
 next
 case (*Cons c cs*)
 have *fk*: $f k = (c \# cs, (nrms, abrs) \# css, Fault m)$ **by** *fact*
 have *hyp1*: $\bigwedge k. f k = (cs, (nrms, abrs) \# css, Fault m) \implies False$ **by** *fact*
 from *enum-step* **have** $\Gamma \vdash f k \rightarrow f (Suc k)$..

```

with  $fk$  have  $f (Suc\ k) = (cs, (nrms, abrs) \# css, Fault\ m)$ 
  by (fastforce elim: step-elim-cases)
thus  $?case$ 
  by (rule hyp1)
qed
with  $ds\ Cons.prems$  show  $False$  by auto
qed

lemma not-inf-Fault:
   $\neg\ inf\ \Gamma\ cs\ css\ (Fault\ m)$ 
apply (rule not-infI)
apply (rule-tac f=f in not-inf-Fault')
by auto

lemma not-inf-Stuck':
  assumes enum-step:  $\forall i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  shows  $\bigwedge k. cs. f\ k = (cs, css, Stuck) \implies False$ 
proof (induct css)
  case Nil
  have  $f\ k: f\ k = (cs, [], Stuck)$  by fact
  have  $\bigwedge k. f\ k = (cs, [], Stuck) \implies False$ 
  proof (induct cs)
  case Nil
  have  $f\ k = ([], [], Stuck)$  by fact
  moreover
  from enum-step have  $\Gamma \vdash f\ k \rightarrow f\ (Suc\ k) ..$ 
  ultimately show  $False$ 
    by (fastforce elim: step-elim-cases)
  next
  case (Cons c cs)
  have  $fk: f\ k = (c \# cs, [], Stuck)$  by fact
  from enum-step have  $\Gamma \vdash f\ k \rightarrow f\ (Suc\ k) ..$ 
  with  $fk$  have  $f\ (Suc\ k) = (cs, [], Stuck)$ 
    by (fastforce elim: step-elim-cases)
  with enum-step Cons.hyps
  show  $False$ 
    by blast
  qed
from this f-k show  $False$  .
next
case (Cons ds css)
then obtain  $nrms\ abrs$  where  $ds: ds = (nrms, abrs)$  by (cases ds) auto
have hyp:  $\bigwedge k. cs. f\ k = (cs, css, Stuck) \implies False$  by fact
have  $\bigwedge k. f\ k = (cs, (nrms, abrs) \# css, Stuck) \implies False$ 
proof (induct cs)
  case Nil
  have  $fk: f\ k = ([], (nrms, abrs) \# css, Stuck)$  by fact
  from enum-step have  $\Gamma \vdash f\ k \rightarrow f\ (Suc\ k) ..$ 
  with  $fk$  have  $f\ (Suc\ k) = (nrms, css, Stuck)$ 

```

```

    by (fastforce elim: step-elim-cases)
  thus ?case
    by (rule hyp)
next
case (Cons c cs)
have fk: f k = (c#cs, (nrms, abrs) # css, Stuck) by fact
have hyp1:  $\bigwedge k. f k = (cs, (nrms, abrs) \# css, Stuck) \implies False$  by fact
from enum-step have  $\Gamma \vdash f k \rightarrow f (Suc k) ..$ 
with fk have f (Suc k) = (cs, (nrms, abrs) # css, Stuck)
  by (fastforce elim: step-elim-cases)
thus ?case
  by (rule hyp1)
qed
with ds Cons.premis show False by auto
qed

```

```

lemma not-inf-Stuck:
   $\neg inf \ \Gamma \ cs \ css \ Stuck$ 
apply (rule not-infI)
apply (rule-tac f=f in not-inf-Stuck')
by auto

```

```

lemma last-butlast-app:
assumes butlast: butlast as = xs @ butlast bs
assumes not-Nil: bs  $\neq []$  as  $\neq []$ 
assumes last: fst (last as) = fst (last bs) snd (last as) = snd (last bs)
shows as = xs @ bs
proof -
  from last have last as = last bs
    by (cases last as, cases last bs) simp
  moreover
  from not-Nil have as = butlast as @ [last as] bs = butlast bs @ [last bs]
    by auto
  ultimately show ?thesis
    using butlast
    by simp
qed

```

```

lemma last-butlast-tl:
assumes butlast: butlast bs = x # butlast as
assumes not-Nil: bs  $\neq []$  as  $\neq []$ 
assumes last: fst (last as) = fst (last bs) snd (last as) = snd (last bs)
shows as = tl bs
proof -
  from last have last as = last bs
    by (cases last as, cases last bs) simp
  moreover
  from not-Nil have as = butlast as @ [last as] bs = butlast bs @ [last bs]

```

```

    by auto
  ultimately show ?thesis
    using butlast
    by simp
qed

locale inf =
fixes CS:: ('s,'p,'f) config  $\Rightarrow$  ('s, 'p,'f) com list
  and CSS:: ('s,'p,'f) config  $\Rightarrow$  ('s, 'p,'f) continuation list
  and S:: ('s,'p,'f) config  $\Rightarrow$  ('s,'f) xstate
  defines CS-def : CS  $\equiv$  fst
  defines CSS-def : CSS  $\equiv$   $\lambda c.$  fst (snd c)
  defines S-def: S  $\equiv$   $\lambda c.$  snd (snd c)

lemma (in inf) steps-hd-drop-suffix:
assumes f-0: f 0 = (c#cs,css,s)
assumes f-step:  $\forall i. \Gamma \vdash f(i) \rightarrow f(\text{Suc } i)$ 
assumes not-finished:  $\forall i < k. \neg (CS (f i) = cs \wedge CSS (f i) = css)$ 
assumes simul:  $\forall i \leq k.$ 
  (if pcss i = [] then CSS (f i)=css  $\wedge$  CS (f i)=pcs i@cs
   else CS (f i)=pcs i  $\wedge$ 
    CSS (f i)= butlast (pcss i)@
      [(fst (last (pcss i)))@cs,(snd (last (pcss i)))@cs])@
      css)
defines p $\equiv$  $\lambda i.$  (pcs i, pcss i, S (f i))
shows  $\forall i < k. \Gamma \vdash p i \rightarrow p (\text{Suc } i)$ 
using not-finished simul
proof (induct k)
  case 0
  thus ?case by simp
next
  case (Suc k)
  have simul:  $\forall i \leq \text{Suc } k.$ 
    (if pcss i = [] then CSS (f i)=css  $\wedge$  CS (f i)=pcs i@cs
     else CS (f i)=pcs i  $\wedge$ 
      CSS (f i)= butlast (pcss i)@
        [(fst (last (pcss i)))@cs,(snd (last (pcss i)))@cs])@
        css) by fact
  have not-finished':  $\forall i < \text{Suc } k. \neg (CS (f i) = cs \wedge CSS (f i) = css)$  by fact
  with simul
  have not-finished:  $\forall i < \text{Suc } k. \neg (pcs i = [] \wedge pcss i = [])$ 
    by (auto simp add: CS-def CSS-def S-def split: if-split-asm)
  show ?case
  proof (clarify)
    fix i
    assume i-le-Suc-k:  $i < \text{Suc } k$ 
    show  $\Gamma \vdash p i \rightarrow p (\text{Suc } i)$ 
    proof (cases  $i < k$ )
      case True

```

```

with not-finished' simul Suc.hyps
show ?thesis
  by auto
next
case False
with i-le-Suc-k
have eq-i-k: i=k
  by simp
show  $\Gamma \vdash p \ i \rightarrow p \ (Suc \ i)$ 
proof -
  obtain cs' css' t' where
    f-Suc-i:  $f \ (Suc \ i) = (cs', css', t')$ 
    by (cases f (Suc i))
  obtain cs'' css'' t'' where
    f-i:  $f \ i = (cs'', css'', t'')$ 
    by (cases f i)
  from not-finished eq-i-k
  have pcs-pcss-not-Nil:  $\neg (pcs \ i = [] \wedge pcss \ i = [])$ 
    by auto
  from simul [rule-format, of i] i-le-Suc-k f-i
  have pcs-pcss-i:
    if pcss i = [] then  $css'' = css \wedge cs'' = pcs \ i @ cs$ 
    else  $cs'' = pcs \ i \wedge$ 
       $css'' = butlast \ (pcss \ i) @$ 
         $[(fst \ (last \ (pcss \ i))) @ cs, (snd \ (last \ (pcss \ i))) @ cs] @$ 
         $css$ 
    by (simp add: CS-def CSS-def S-def cong: if-cong)
  from simul [rule-format, of Suc i] i-le-Suc-k f-Suc-i
  have pcs-pcss-Suc-i:
    if pcss (Suc i) = [] then  $css' = css \wedge cs' = pcs \ (Suc \ i) @ cs$ 
    else  $cs' = pcs \ (Suc \ i) \wedge$ 
       $css' = butlast \ (pcss \ (Suc \ i)) @$ 
         $[(fst \ (last \ (pcss \ (Suc \ i)))) @ cs, snd \ (last \ (pcss \ (Suc \ i))) @ cs] @$ 
         $css$ 
    by (simp add: CS-def CSS-def S-def cong: if-cong)
  show ?thesis
  proof (cases pcss i = [])
  case True
    note pcss-Nil = this
    with pcs-pcss-i pcs-pcss-not-Nil obtain p ps where
      pcs-i:  $pcs \ i = p \# ps$  and
      css'':  $css'' = css$  and
      cs'':  $cs'' = (p \# ps) @ cs$ 
    by (auto simp add: neq-Nil-conv)
    with f-i have f i =  $(p \# (ps @ cs), css, t'')$ 
    by simp
    with f-Suc-i f-step [rule-format, of i]
    have step-css:  $\Gamma \vdash (p \# (ps @ cs), css, t'') \rightarrow (cs', css', t')$ 
    by simp
  
```

from *step-Cons'* [*OF this, of p ps@cs*]
obtain *css'''* **where**
 css''': *css'* = *css'''* @ *css*
 if *css'''* = [] then $\exists p. cs' = p @ ps @ cs$
 else ($\exists pnorm pabr. css''' = [(pnorm @ ps @ cs, pabr @ ps @ cs)]$)
 by *auto*
show *?thesis*
proof (*cases css'''* = [])
 case *True*
 with *css'''*
 obtain *p'* **where**
 css': *css'* = *css* **and**
 cs': *cs'* = *p'* @ *ps* @ *cs*
 by *auto*

 from *css' cs' step-css*
 have *step*: $\Gamma \vdash (p \# (ps @ cs), css, t'') \rightarrow (p' @ ps @ cs, css, t')$
 by *simp*
 hence $\Gamma \vdash ((p \# ps) @ cs, css, t'') \rightarrow ((p' @ ps) @ cs, css, t')$
 by *simp*
 from *drop-suffix-css-step'* [*OF drop-suffix-same-css-step* [*OF this*],
 where *xs=css* **and** *css=*[] **and** *css'=*[]]
 have $\Gamma \vdash (p \# ps, [], t'') \rightarrow (p' @ ps, [], t')$
 by *simp*
 moreover
 from *css' cs' pcs-pcss-Suc-i*
 obtain *pcs* (*Suc i*) = *p' @ ps* **and** *pcss* (*Suc i*) = []
 by (*simp split: if-split-asm*)
 ultimately show *?thesis*
 using *pcs-i pcss-Nil f-i f-Suc-i*
 by (*simp add: CS-def CSS-def S-def p-def*)
next
 case *False*
 with *css'''*
 obtain *pnorm pabr* **where**
 css': *css'* = *css'''* @ *css*
 css''' = $[(pnorm @ ps @ cs, pabr @ ps @ cs)]$
 by *auto*
 with *css''' step-css*
have $\Gamma \vdash (p \# ps @ cs, css, t'') \rightarrow (cs', [(pnorm @ ps @ cs, pabr @ ps @ cs)] @ css, t')$
 by *simp*
 then
 have $\Gamma \vdash (p \# ps, css, t'') \rightarrow (cs', [(pnorm @ ps, pabr @ ps)] @ css, t')$
 by (*rule drop-suffix-hd-css-step*)
 from *drop-suffix-css-step'* [*OF this*,
 where *css=*[] **and** *xs=css* **and** *css'=[(pnorm@ps, pabr@ps)]*]
 have $\Gamma \vdash (p \# ps, [], t'') \rightarrow (cs', [(pnorm @ ps, pabr @ ps)], t')$
 by *simp*
 moreover


```

from  $css'$   $pcs$ - $pcss$ - $Suc$ - $i$ 
obtain  $pcs$  ( $Suc$   $i$ ) =  $cs'$   $pcss$  ( $Suc$   $i$ ) =  $[(pnorm@ps, pabr@ps)]$ 
  apply ( $cases$   $pcss$  ( $Suc$   $i$ ))
  apply ( $auto$   $split$ :  $if$ - $split$ - $asm$ )
  done
ultimately show  $?thesis$ 
  using  $pcs$ - $i$   $pcss$ - $Nil$   $f$ - $i$   $f$ - $Suc$ - $i$ 
  by ( $simp$   $add$ :  $p$ - $def$   $CS$ - $def$   $CSS$ - $def$   $S$ - $def$ )
qed
next
case  $False$ 
note  $pcss$ - $i$ - $not$ - $Nil$  =  $this$ 
with  $pcs$ - $pcss$ - $i$  obtain
   $cs''$ :  $cs'' = pcs$   $i$  and
   $css''$ :  $css'' = butlast$  ( $pcss$   $i$ )@
     $[(fst$  ( $last$  ( $pcss$   $i$ ))@ $cs$ , ( $snd$  ( $last$  ( $pcss$   $i$ ))@ $cs$ )]@
     $css$ 

  by  $auto$ 
from  $f$ - $Suc$ - $i$   $f$ - $i$   $f$ - $step$  [ $rule$ - $format$ ,  $of$   $i$ ]
have  $step$ - $i$ - $full$ :  $\Gamma \vdash (cs'', css'', t'') \rightarrow (cs', css', t')$ 
  by  $simp$ 
show  $?thesis$ 
proof ( $cases$   $cs''$ )
  case ( $Cons$   $c'$   $cs$ )
    with  $step$ - $Cons'$  [ $OF$   $step$ - $i$ - $full$ ]
    obtain  $css'''$  where  $css'$ :  $css' = css'''@css''$ 
      by  $auto$ 
    with  $step$ - $i$ - $full$ 
    have  $\Gamma \vdash (cs'', css'', t'') \rightarrow (cs', css'''@css'', t')$ 
      by  $simp$ 
    from  $Cons$ - $change$ - $css$ - $step$  [ $OF$   $this$ , where  $xss = pcss$   $i$ ]  $Cons$   $cs''$ 
    have  $\Gamma \vdash (pcs$   $i$ ,  $pcss$   $i$ ,  $t''$ )  $\rightarrow (cs', css'''@pcss$   $i$ ,  $t')$ 
      by  $simp$ 
    moreover
    from  $cs''$   $css''$   $css'$   $False$   $pcs$ - $pcss$ - $Suc$ - $i$ 
    obtain  $pcs$  ( $Suc$   $i$ ) =  $cs'$   $pcss$  ( $Suc$   $i$ ) =  $css'''@pcss$   $i$ 
      apply ( $auto$   $split$ :  $if$ - $split$ - $asm$ )
      apply ( $drule$  (4)  $last$ - $butlast$ - $app$ )
      by  $simp$ 
    ultimately show  $?thesis$ 
    using  $f$ - $i$   $f$ - $Suc$ - $i$ 
    by ( $simp$   $add$ :  $p$ - $def$   $CS$ - $def$   $CSS$ - $def$   $S$ - $def$ )
next
case  $Nil$ 
note  $cs''$ - $Nil$  =  $this$ 
show  $?thesis$ 
proof ( $cases$   $butlast$  ( $pcss$   $i$ ))
  case ( $Cons$   $bpcs$   $bpcss$ )
    with  $cs''$ - $Nil$   $step$ - $i$ - $full$   $css''$ 

```

```

have *:  $\Gamma \vdash ([, [hd\ css''] @ tl\ css'', t''] \rightarrow (cs', css', t')$ 
  by simp
moreover
from step-Nil [OF *]
have  $css'$ :  $css' = tl\ css''$ 
  by simp
ultimately have
  step-i-full:  $\Gamma \vdash ([, [hd\ css''] @ tl\ css'', t''] \rightarrow (cs', tl\ css'', t')$ 
    by simp
from  $css''$  Cons pcss-i-not-Nil
have  $hd\ css'' = hd\ (pcss\ i)$ 
  by (auto simp add: neq-Nil-conv split: if-split-asm)
with  $cs''\ css''-Nil$ 
  Nil-change-css-step [where  $ass = [hd\ css'']$  and
     $css = tl\ css''$  and  $ass' = []$  and
     $xss = tl\ (pcss\ i)$ , simplified, OF step-i-full [simplified]]
have  $\Gamma \vdash (pcs\ i, [hd\ (pcss\ i)] @ tl\ (pcss\ i), t''] \rightarrow (cs', tl\ (pcss\ i), t')$ 
  by simp
with pcss-i-not-Nil
have  $\Gamma \vdash (pcs\ i, pcss\ i, t'') \rightarrow (cs', tl\ (pcss\ i), t')$ 
  by simp
moreover
from  $css'\ css''\ css''-Nil\ Cons\ pcss-i-not-Nil\ pcs-pcss-Suc-i$ 
obtain  $pcs\ (Suc\ i) = cs'\ pcss\ (Suc\ i) = tl\ (pcss\ i)$ 
  apply (clarsimp split: if-split-asm)
  apply (drule (4) last-butlast-tl)
  by simp
ultimately show ?thesis
  using f-i f-Suc-i
  by (simp add: p-def CS-def CSS-def S-def)
next
case Nil
with  $css''\ pcss-i-not-Nil$ 
obtain  $pnorm\ pabr$ 
  where  $css''$ :  $css'' = [(pnorm @ cs, pabr @ cs)] @ css$  and
     $pcss-i$ :  $pcss\ i = [(pnorm, pabr)]$ 
  by (force simp add: neq-Nil-conv split: if-split-asm)
with  $css''-Nil\ step-i-full$ 
have  $\Gamma \vdash ([, [(pnorm @ cs, pabr @ cs)] @ css, t''] \rightarrow (cs', css', t')$ 
  by simp
from step-Nil [OF this]
obtain
   $css'$ :  $css' = css$  and
   $cs'$ : (case  $t''$  of
     $Abrupt\ s' \Rightarrow cs' = pabr @ cs \wedge t' = Normal\ s'$ 
     $| - \Rightarrow cs' = pnorm @ cs \wedge t' = t''$ )
  by (simp cong: xstate.case-cong)
let ?pcs-Suc-i = (case  $t''$  of  $Abrupt\ s' \Rightarrow pabr\ |\ - \Rightarrow pnorm$ )
from  $cs'$ 

```

```

      have  $\Gamma \vdash ([, [(pnorm, pabr)], t') \rightarrow (?pcs-Suc-i, [], t')$ 
      by (auto intro: step.intros split: xstate.splits)
    moreover
    from  $css''\ css'\ cs'\ pcss-i\ pcs-pcss-Suc-i$ 
    obtain  $pcs\ (Suc\ i) = ?pcs-Suc-i\ pcss\ (Suc\ i) = []$ 
    by (simp split: if-split-asm xstate.splits)
    ultimately
    show ?thesis
    using  $pcss-i\ cs''\ cs''-Nil\ f-i\ f-Suc-i$ 
    by (simp add: p-def CS-def CSS-def S-def)
  qed
qed
qed
qed
qed
qed
qed
qed
qed

lemma k-steps-to-rtrancl:
  assumes steps:  $\forall i < k. \Gamma \vdash p\ i \rightarrow p\ (Suc\ i)$ 
  shows  $\Gamma \vdash p\ 0 \rightarrow^* p\ k$ 
using steps
proof (induct k)
  case 0 thus ?case by auto
next
  case (Suc k)
  have  $\forall i < Suc\ k. \Gamma \vdash p\ i \rightarrow p\ (Suc\ i)$  by fact
  then obtain
    step-le-k:  $\forall i < k. \Gamma \vdash p\ i \rightarrow p\ (Suc\ i)$  and step-k:  $\Gamma \vdash p\ k \rightarrow p\ (Suc\ k)$ 
  by auto
  from Suc.hyps [OF step-le-k]
  have  $\Gamma \vdash p\ 0 \rightarrow^* p\ k$ .
  also note step-k
  finally show ?case .
qed

```

```

lemma (in inf) steps-hd-drop-suffix-finite:
  assumes f-0:  $f\ 0 = (c \# cs, css, s)$ 
  assumes f-step:  $\forall i. \Gamma \vdash f(i) \rightarrow f(Suc\ i)$ 
  assumes not-finished:  $\forall i < k. \neg (CS\ (f\ i) = cs \wedge CSS\ (f\ i) = css)$ 
  assumes simul:  $\forall i \leq k.$ 
    (if  $pcss\ i = []$  then  $CSS\ (f\ i) = css \wedge CS\ (f\ i) = pcs\ i @ cs$ 
      else  $CS\ (f\ i) = pcs\ i \wedge$ 
         $CSS\ (f\ i) = butlast\ (pcss\ i) @$ 
         $[(fst\ (last\ (pcss\ i))) @ cs, (snd\ (last\ (pcss\ i))) @ cs] @$ 
         $css)$ 
  shows  $\Gamma \vdash ([c], [], s) \rightarrow^* (pcs\ k, pcss\ k, S\ (f\ k))$ 

```

proof –
from *steps-hd-drop-suffix* [*OF f-0 f-step not-finished simul*]
have $\forall i < k. \Gamma \vdash (pcs\ i, pcss\ i, S\ (f\ i)) \rightarrow$
 $(pcs\ (Suc\ i), pcss\ (Suc\ i), S\ (f\ (Suc\ i)))$.
from *k-steps-to-rtranci* [*OF this*]
have $\Gamma \vdash (pcs\ 0, pcss\ 0, S\ (f\ 0)) \rightarrow^* (pcs\ k, pcss\ k, S\ (f\ k))$.
moreover from *f-0 simul* [*rule-format, of 0*]
have $(pcs\ 0, pcss\ 0, S\ (f\ 0)) = ([c], [], s)$
by (*auto split: if-split-asm simp add: CS-def CSS-def S-def*)
ultimately show *?thesis* **by** *simp*
qed

lemma (*in inf*) *steps-hd-drop-suffix-infinite*:
assumes *f-0*: $f\ 0 = (c\#cs, css, s)$
assumes *f-step*: $\forall i. \Gamma \vdash f(i) \rightarrow f(Suc\ i)$
assumes *not-finished*: $\forall i. \neg (CS\ (f\ i) = cs \wedge CSS\ (f\ i) = css)$

assumes *simul*: $\forall i.$
 $(if\ pcss\ i = []\ then\ CSS\ (f\ i) = css \wedge CS\ (f\ i) = pcs\ i @ cs$
 $else\ CS\ (f\ i) = pcs\ i \wedge$
 $CSS\ (f\ i) = butlast\ (pcss\ i) @$
 $[(fst\ (last\ (pcss\ i))) @ cs, (snd\ (last\ (pcss\ i))) @ cs] @$
 $css)$

defines $p \equiv \lambda i. (pcs\ i, pcss\ i, S\ (f\ i))$

shows $\Gamma \vdash p\ i \rightarrow p\ (Suc\ i)$

proof –
from *steps-hd-drop-suffix* [*OF f-0 f-step, of Suc i pcss pcs*] *not-finished simul*
show *?thesis*
by (*auto simp add: p-def*)
qed

lemma (*in inf*) *steps-hd-progress*:
assumes *f-0*: $f\ 0 = (c\#cs, css, s)$
assumes *f-step*: $\forall i. \Gamma \vdash f(i) \rightarrow f(Suc\ i)$
assumes *c-unfinished*: $\forall i < k. \neg (CS\ (f\ i) = cs \wedge CSS\ (f\ i) = css)$
shows $\forall i \leq k. (\exists pcs\ pcss.$

$(if\ pcss = []\ then\ CSS\ (f\ i) = css \wedge CS\ (f\ i) = pcs @ cs$
 $else\ CS\ (f\ i) = pcs \wedge$
 $CSS\ (f\ i) = butlast\ pcss @$
 $[(fst\ (last\ pcss)) @ cs, (snd\ (last\ pcss)) @ cs] @$
 $css)$

using *c-unfinished*

proof (*induct k*)

case 0

with *f-0* **show** *?case*

by (*simp add: CSS-def CS-def*)

next

case (*Suc k*)

have *c-unfinished*: $\forall i < Suc\ k. \neg (CS\ (f\ i) = cs \wedge CSS\ (f\ i) = css)$ **by** *fact*

hence $c\text{-unfinished}' : \forall i < k. \neg (CS (f i) = cs \wedge CSS (f i) = css)$ by *simp*
 show ?case
 proof (clarify)
 fix i
 assume $i\text{-le-Suc-}k : i \leq Suc\ k$
 show $\exists pcs\ pcss.$
 (if $pcss = []$ then $CSS (f i) = css \wedge CS (f i) = pcs @ cs$
 else $CS (f i) = pcs \wedge$
 $CSS (f i) = butlast\ pcss @$
 $[(fst (last\ pcss) @ cs, (snd (last\ pcss)) @ cs)] @$
 css)
 proof (cases $i < Suc\ k$)
 case True
 with $Suc.hyps [OF\ c\text{-unfinished}', rule-format, of\ i]$ $c\text{-unfinished}$
 show ?thesis
 by auto
 next
 case False
 with $i\text{-le-Suc-}k$ have $eq\text{-}i\text{-Suc-}k : i = Suc\ k$
 by auto
 obtain $cs'\ css'\ t'$ where
 $f\text{-Suc-}k : f (Suc\ k) = (cs', css', t')$
 by (cases $f (Suc\ k)$)
 obtain $cs'' css'' t''$ where
 $f\text{-}k : f\ k = (cs'', css'', t'')$
 by (cases $f\ k$)
 with $Suc.hyps [OF\ c\text{-unfinished}', rule-format, of\ k]$
 obtain $pcs\ pcss$ where
 $pcs\text{-}pcss\text{-}k :$
 if $pcss = []$ then $css'' = css \wedge cs'' = pcs @ cs$
 else $cs'' = pcs \wedge$
 $css'' = butlast\ pcss @$
 $[(fst (last\ pcss) @ cs, snd (last\ pcss) @ cs)] @$
 css
 by (auto simp add: $CSS\text{-}def\ CS\text{-}def\ cong : if\text{-}cong$)
 from $c\text{-unfinished} [rule-format, of\ k]$ $f\text{-}k\ pcs\text{-}pcss\text{-}k$
 have $pcs\text{-}pcss\text{-}empty : \neg (pcs = [] \wedge pcss = [])$
 by (auto simp add: $CS\text{-}def\ CSS\text{-}def\ S\text{-}def\ split : if\text{-}split\text{-}asm$)
 show ?thesis
 proof (cases $pcss = []$)
 case True
 note $pcss\text{-}Nil = this$
 with $pcs\text{-}pcss\text{-}k\ pcs\text{-}pcss\text{-}empty$ obtain $p\ ps$ where
 $pcs\text{-}i : pcs = p \# ps$ and
 $css'' : css'' = css$ and
 $cs'' : cs'' = (p \# ps) @ cs$
 by (cases pcs) auto
 with $f\text{-}k$ have $f\ k = (p \# (ps @ cs), css, t'')$
 by simp

```

with f-Suc-k f-step [rule-format, of k]
have step-css:  $\Gamma \vdash (p \# (ps @ cs), css, t'') \rightarrow (cs', css', t')$ 
  by simp
from step-Cons' [OF this, of p ps @ cs]
obtain css''' where
  css''':  $css' = css''' @ css$ 
  if  $css''' = []$  then  $\exists p. cs' = p @ ps @ cs$ 
  else  $(\exists pnorm pabr. css''' = [(pnorm @ ps @ cs, pabr @ ps @ cs)])$ 
  by auto
show ?thesis
proof (cases  $css''' = []$ )
  case True
    with css'''
    obtain p' where
      css':  $css' = css$  and
      cs':  $cs' = p' @ ps @ cs$ 
      by auto
    from css' cs' f-Suc-k
    show ?thesis
      apply (rule-tac  $x = p' @ ps$  in exI)
      apply (rule-tac  $x = []$  in exI)
      apply (simp add: CSS-def CS-def eq-i-Suc-k)
      done
  next
    case False
      with css'''
      obtain pnorm pabr where
        css':  $css' = css''' @ css$ 
         $css''' = [(pnorm @ ps @ cs, pabr @ ps @ cs)]$ 
        by auto
      with f-Suc-k eq-i-Suc-k
      show ?thesis
        apply (rule-tac  $x = cs'$  in exI)
        apply (rule-tac  $x = [(pnorm @ ps, pabr @ ps)]$  in exI)
        by (simp add: CSS-def CS-def)
      qed
  next
    case False
      note pcss-k-not-Nil = this
      with pcs-pcss-k obtain
        cs'':  $cs'' = pcs$  and
        css'':  $css'' = butlast pcss @$ 
           $[(fst (last pcss) @ cs, (snd (last pcss)) @ cs)] @$ 
           $css$ 
        by auto
      from f-Suc-k f-k f-step [rule-format, of k]
      have step-i-full:  $\Gamma \vdash (cs'', css'', t'') \rightarrow (cs', css', t')$ 
        by simp
      show ?thesis

```

```

proof (cases cs'')
  case (Cons c' cs)
  with step-Cons' [OF step-i-full]
  obtain css''' where css': css' = css'''@css''
    by auto
  with cs'' css'' f-Suc-k eq-i-Suc-k pcss-k-not-Nil
  show ?thesis
    apply (rule-tac x=cs' in exI)
    apply (rule-tac x=css'''@pcss in exI)
    by (clarsimp simp add: CSS-def CS-def butlast-append)
next
  case Nil
  note cs''-Nil = this
  show ?thesis
  proof (cases butlast pcss)
    case (Cons bpcs bpcss)
    with cs''-Nil step-i-full css''
    have *:  $\Gamma \vdash ([, [hd\ css'' ] @ tl\ css'', t''] \rightarrow (cs', css', t'))$ 
      by simp
    moreover
    from step-Nil [OF *]
    obtain css': css'=tl css'' and
      cs': cs' = (case t'' of Abrupt s'  $\Rightarrow$  snd (hd css'')
        | -  $\Rightarrow$  fst (hd css''))
      by (auto split: xstate.splits)
    from css'' Cons pcss-k-not-Nil
    have hd css'' = hd pcss
      by (auto simp add: neq-Nil-conv split: if-split-asm)
    with css' cs' css'' cs''-Nil Cons pcss-k-not-Nil f-Suc-k eq-i-Suc-k
    show ?thesis
      apply (rule-tac x=cs' in exI)
      apply (rule-tac x=tl pcss in exI)
      apply (clarsimp split: xstate.splits
        simp add: CS-def CSS-def neq-Nil-conv split: if-split-asm)
    done
  next
  case Nil
  with css'' pcss-k-not-Nil
  obtain pnorm pabr
    where css'': css''= [(pnorm@cs, pabr@cs)]@css'' and
      pcss-k: pcss = [(pnorm, pabr)]
    by (force simp add: neq-Nil-conv split: if-split-asm)
  with cs''-Nil step-i-full
  have  $\Gamma \vdash ([, [(pnorm@cs, pabr@cs)]@css'', t''] \rightarrow (cs', css', t'))$ 
    by simp
  from step-Nil [OF this]
  obtain
    css': css'=css'' and
    cs': (case t'' of

```

```

Abrupt s'  $\Rightarrow$  cs' = pabr @ cs  $\wedge$  t' = Normal s'
| -  $\Rightarrow$  cs' = pnorm @ cs  $\wedge$  t' = t''
by (simp cong: xstate.case-cong)
let ?pcs-Suc-k = (case t'' of Abrupt s'  $\Rightarrow$  pabr | -  $\Rightarrow$  pnorm)
from css'' css' cs' pcss-k f-Suc-k eq-i-Suc-k
show ?thesis
  apply (rule-tac x=?pcs-Suc-k in exI)
  apply (rule-tac x=[] in exI)
  apply (simp split: xstate.splits add: CS-def CSS-def)
done
qed
qed
qed
qed
qed
qed

```

```

lemma (in inf) inf-progress:
assumes f-0: f 0 = (c#cs,css,s)
assumes f-step:  $\forall i. \Gamma \vdash f(i) \rightarrow f(\text{Suc } i)$ 
assumes unfinished:  $\forall i. \neg ((CS (f i) = cs) \wedge (CSS (f i) = css))$ 
shows  $\exists pcs\ pcss.$ 
  (if pcss = [] then CSS (f i)=css  $\wedge$  CS (f i)=pcs@cs
  else CS (f i)=pcs  $\wedge$ 
    CSS (f i)= butlast pcss@
      [(fst (last pcss))@cs,(snd (last pcss))@cs])@
      css)

```

```

proof -
  from steps-hd-progress [OF f-0 f-step, of i] unfinished
  show ?thesis
    by auto
qed

```

```

lemma skolemize1:  $\forall x. P x \longrightarrow (\exists y. Q x y) \Longrightarrow \exists f. \forall x. P x \longrightarrow Q x (f x)$ 
  by (rule choice) blast

```

```

lemma skolemize2:  $\forall x. P x \longrightarrow (\exists y z. Q x y z) \Longrightarrow \exists f g. \forall x. P x \longrightarrow Q x (f x)$ 
  (g x)
  apply (drule skolemize1)
  apply (erule exE)
  apply (drule skolemize1)
  apply fast
done

```

```

lemma skolemize2':  $\forall x. \exists y z. P x y z \Longrightarrow \exists f g. \forall x. P x (f x) (g x)$ 
  apply (drule choice)
  apply (erule exE)
  apply (drule choice)
  apply fast

```


done

theorem (in *inf*) *inf-cases*:

fixes *c::('s,'p,'f) com*

assumes *inf*: *inf* Γ (*c*#*cs*) *css* *s*

shows *inf* Γ [*c*] [] *s* \vee ($\exists t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \wedge \text{inf } \Gamma \text{ } cs \text{ } css \text{ } t$)

proof –

from *inf* **obtain** *f* **where**

f-0: *f* 0 = (*c*#*cs*,*css*,*s*) **and**

f-step: ($\forall i. \Gamma \vdash i \rightarrow f$ (*Suc* *i*))

by (*auto simp add: inf-def*)

show ?thesis

proof (*cases* $\exists i. CS$ (*f* *i*) = *cs* \wedge *CSS* (*f* *i*) = *css*)

case *True*

define *k* **where** *k* = (*LEAST* *i. CS* (*f* *i*) = *cs* \wedge *CSS* (*f* *i*) = *css*)

from *True*

obtain *CS-f-k*: *CS* (*f* *k*) = *cs* **and** *CSS-f-k*: *CSS* (*f* *k*) = *css*

apply –

apply (*erule exE*)

apply (*drule LeastI*)

apply (*simp add: k-def*)

done

have *less-k-prop*: $\forall i < k. \neg (CS$ (*f* *i*) = *cs* \wedge *CSS* (*f* *i*) = *css*)

apply (*intro allI impI*)

apply (*unfold k-def*)

apply (*drule not-less-Least*)

apply *simp*

done

have $\Gamma \vdash ([c], [], s) \rightarrow^* ([], [], S$ (*f* *k*))

proof –

have $\forall i \leq k. \exists pcs \ pcss.$

(if *pcss* = [] then *CSS* (*f* *i*) = *css* \wedge *CS* (*f* *i*) = *pcs*@*cs*

else *CS* (*f* *i*) = *pcs* \wedge

CSS (*f* *i*) = *butlast* *pcss*@

$[(fst$ (*last* *pcss*)@*cs*, (*snd* (*last* *pcss*))@*cs*)]@
css)

by (*rule steps-hd-progress*

[*OF* *f-0 f-step*, **where** *k*=*k*, *OF* *less-k-prop*])

from *skolemize2* [*OF* *this*] **obtain** *pcs* *pcss* **where**

pcs-pcss:

$\forall i \leq k.$

(if *pcss* *i* = [] then *CSS* (*f* *i*) = *css* \wedge *CS* (*f* *i*) = *pcs* *i*@*cs*

else *CS* (*f* *i*) = *pcs* *i* \wedge

CSS (*f* *i*) = *butlast* (*pcss* *i*)@

$[(fst$ (*last* (*pcss* *i*))@*cs*, (*snd* (*last* (*pcss* *i*)))@*cs*)]@
css)

by *iprover*

from *pcs-pcss* [*rule-format*, *of* *k*] *CS-f-k* *CSS-f-k*

have *finished*: *pcs* *k* = [] *pcss* *k* = []

```

    by (auto simp add: CS-def CSS-def S-def split: if-split-asm)
  from pcs-pcss
  have simul:  $\forall i \leq k. (if\ pcss\ i = []\ then\ CSS\ (f\ i) = css \wedge CS\ (f\ i) = pcs\ i @ cs$ 
    else  $CS\ (f\ i) = pcs\ i \wedge$ 
     $CSS\ (f\ i) = butlast\ (pcss\ i) @$ 
     $[(fst\ (last\ (pcss\ i)) @ cs, (snd\ (last\ (pcss\ i))) @ cs)] @$ 
     $css)$ 

    by auto
  from steps-hd-drop-suffix-finite [OF f-0 f-step less-k-prop simul] finished
  show ?thesis
    by simp
qed
hence  $\Gamma \vdash \langle c, s \rangle \Rightarrow S\ (f\ k)$ 
  by (rule steps-impl-exec)
moreover
from CS-f-k CSS-f-k f-step
have inf  $\Gamma\ cs\ css\ (S\ (f\ k))$ 
  apply (simp add: inf-def)
  apply (rule-tac  $x = \lambda i. f\ (i + k)$  in exI)
  apply simp
  apply (auto simp add: CS-def CSS-def S-def)
  done
ultimately
have  $(\exists t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \wedge inf\ \Gamma\ cs\ css\ t)$ 
  by blast
thus ?thesis
  by simp
next
case False
hence unfinished:  $\forall i. \neg ((CS\ (f\ i) = cs) \wedge (CSS\ (f\ i) = css))$ 
  by simp
from inf-progress [OF f-0 f-step this]
have  $\forall i. \exists pcs\ pcss.$ 
  (if  $pcss = []$  then  $CSS\ (f\ i) = css \wedge CS\ (f\ i) = pcs @ cs$ 
  else  $CS\ (f\ i) = pcs \wedge$ 
   $CSS\ (f\ i) = butlast\ pcss @$ 
   $[(fst\ (last\ pcss) @ cs, (snd\ (last\ pcss)) @ cs)] @$ 
   $css)$ 

  by auto
from skolemize2' [OF this] obtain pcs pcss where
  pcs-pcss:  $\forall i.$ 
  (if  $pcss\ i = []$  then  $CSS\ (f\ i) = css \wedge CS\ (f\ i) = pcs\ i @ cs$ 
  else  $CS\ (f\ i) = pcs\ i \wedge$ 
   $CSS\ (f\ i) = butlast\ (pcss\ i) @$ 
   $[(fst\ (last\ (pcss\ i)) @ cs, (snd\ (last\ (pcss\ i))) @ cs)] @$ 
   $css)$ 

  by iprover
define g where  $g\ i = (pcs\ i, pcss\ i, S\ (f\ i))$  for i
from pcs-pcss [rule-format, of 0] f-0

```

```

have g 0 = ([c],[],s)
  by (auto split: if-split-asm simp add: CS-def CSS-def S-def g-def)
moreover
from steps-hd-drop-suffix-infinite [OF f-0 f-step unfinished pcs-pcss]
have  $\forall i. \Gamma \vdash g\ i \rightarrow g\ (Suc\ i)$ 
  by (simp add: g-def)
ultimately
have inf  $\Gamma\ [c]\ []\ s$ 
  by (auto simp add: inf-def)
thus ?thesis
  by simp
qed
qed

```

```

lemma infE [consumes 1]:
  assumes inf: inf  $\Gamma\ (c\ \#cs)\ css\ s$ 
  assumes cases: inf  $\Gamma\ [c]\ []\ s \implies P$ 
   $\bigwedge t. [\Gamma \vdash \langle c,s \rangle \Rightarrow t; \text{inf } \Gamma\ cs\ css\ t] \implies P$ 
  shows P
using inf cases
apply -
apply (drule inf.inf-cases)
apply auto
done

```

```

lemma inf-Seq:
  inf  $\Gamma\ (Seq\ c1\ c2\ \#cs)\ css\ (Normal\ s) = \text{inf } \Gamma\ (c1\ \#c2\ \#cs)\ css\ (Normal\ s)$ 
proof
  assume inf  $\Gamma\ (Seq\ c1\ c2\ \#cs)\ css\ (Normal\ s)$ 
  then obtain f where
    f-0: f 0 = (Seq c1 c2 # cs, css, Normal s) and
    f-step:  $\forall i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
    by (auto simp add: inf-def)
  from f-step [rule-format, of 0] f-0
  have f 1 = (c1 # c2 # cs, css, Normal s)
    by (auto elim: step-Normal-elim-cases)
  with f-step show inf  $\Gamma\ (c1\ \#c2\ \#cs)\ css\ (Normal\ s)$ 
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f\ (Suc\ i)$  in exI)
    apply simp
  done
next
  assume inf  $\Gamma\ (c1\ \#c2\ \#cs)\ css\ (Normal\ s)$ 
  then obtain f where
    f-0: f 0 = (c1 # c2 # cs, css, Normal s) and
    f-step:  $\forall i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
    by (auto simp add: inf-def)
  define g where g i = (case i of 0  $\Rightarrow$  (Seq c1 c2 # cs, css, Normal s) | Suc j  $\Rightarrow$  f j) for i

```

```

with  $f\text{-}0$  have
   $\Gamma \vdash g\ 0 \rightarrow g\ (Suc\ 0)$ 
  by (auto intro: step.intros)
moreover
from  $f\text{-}step$  have  $\forall i. i \neq 0 \longrightarrow \Gamma \vdash g\ i \rightarrow g\ (Suc\ i)$ 
  by (auto simp add: g-def split: nat.splits)
ultimately
show  $\inf\ \Gamma\ (Seq\ c1\ c2\ \# \ cs)\ css\ (Normal\ s)$ 
  apply (simp add: inf-def)
  apply (rule-tac x=g in exI)
  apply (simp add: g-def split: nat.splits)
  done
qed

lemma inf-WhileTrue:
  assumes  $b: s \in b$ 
  shows  $\inf\ \Gamma\ (While\ b\ c\ \# \ cs)\ css\ (Normal\ s) =$ 
     $\inf\ \Gamma\ (c\ \# \ While\ b\ c\ \# \ cs)\ css\ (Normal\ s)$ 
proof
  assume  $\inf\ \Gamma\ (While\ b\ c\ \# \ cs)\ css\ (Normal\ s)$ 
  then obtain  $f$  where
     $f\text{-}0: f\ 0 = (While\ b\ c\ \# \ cs, css, Normal\ s)$  and
     $f\text{-}step: \forall i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
    by (auto simp add: inf-def)
  from  $b\ f\text{-}step$  [rule-format, of 0]  $f\text{-}0$ 
  have  $f\ 1 = (c\ \# \ While\ b\ c\ \# \ cs, css, Normal\ s)$ 
    by (auto elim: step-Normal-elim-cases)
  with  $f\text{-}step$  show  $\inf\ \Gamma\ (c\ \# \ While\ b\ c\ \# \ cs)\ css\ (Normal\ s)$ 
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f\ (Suc\ i)$  in exI)
    apply simp
    done
next
  assume  $\inf\ \Gamma\ (c\ \# \ While\ b\ c\ \# \ cs)\ css\ (Normal\ s)$ 
  then obtain  $f$  where
     $f\text{-}0: f\ 0 = (c\ \# \ While\ b\ c\ \# \ cs, css, Normal\ s)$  and
     $f\text{-}step: \forall i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
    by (auto simp add: inf-def)
  define  $h$  where  $h\ i = (case\ i\ of\ 0 \Rightarrow (While\ b\ c\ \# \ cs, css, Normal\ s) \mid Suc\ j \Rightarrow f\ j)$ 
  for  $i$ 
  with  $b\ f\text{-}0$  have
     $\Gamma \vdash h\ 0 \rightarrow h\ (Suc\ 0)$ 
    by (auto intro: step.intros)
  moreover
from  $f\text{-}step$  have  $\forall i. i \neq 0 \longrightarrow \Gamma \vdash h\ i \rightarrow h\ (Suc\ i)$ 
    by (auto simp add: h-def split: nat.splits)
  ultimately
show  $\inf\ \Gamma\ (While\ b\ c\ \# \ cs)\ css\ (Normal\ s)$ 
  apply (simp add: inf-def)

```

```

    apply (rule-tac x=h in exI)
    apply (simp add: h-def split: nat.splits)
  done
qed

lemma inf-Catch:
  inf  $\Gamma$  (Catch c1 c2#cs) css (Normal s) = inf  $\Gamma$  [c1] ((cs,c2#cs)#css) (Normal s)
proof
  assume inf  $\Gamma$  (Catch c1 c2#cs) css (Normal s)
  then obtain f where
    f-0: f 0 = (Catch c1 c2#cs,css,Normal s) and
    f-step:  $\forall i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
    by (auto simp add: inf-def)
  from f-step [rule-format, of 0] f-0
  have f 1 = ([c1],(cs,c2#cs)#css,Normal s)
    by (auto elim: step-Normal-elim-cases)
  with f-step show inf  $\Gamma$  [c1] ((cs,c2#cs)#css) (Normal s)
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f (Suc i)$  in exI)
    apply simp
  done
next
  assume inf  $\Gamma$  [c1] ((cs,c2#cs)#css) (Normal s)
  then obtain f where
    f-0: f 0 = ([c1],(cs,c2#cs)#css,Normal s) and
    f-step:  $\forall i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
    by (auto simp add: inf-def)
  define h where h i = (case i of 0  $\Rightarrow$  (Catch c1 c2#cs,css,Normal s) | Suc j  $\Rightarrow$ 
f j) for i
  with f-0 have
     $\Gamma \vdash h 0 \rightarrow h (Suc 0)$ 
    by (auto intro: step.intros)
  moreover
  from f-step have  $\forall i. i \neq 0 \longrightarrow \Gamma \vdash h i \rightarrow h (Suc i)$ 
    by (auto simp add: h-def split: nat.splits)
  ultimately
  show inf  $\Gamma$  (Catch c1 c2 # cs) css (Normal s)
    apply (simp add: inf-def)
    apply (rule-tac x=h in exI)
    apply (simp add: h-def split: nat.splits)
  done
qed

theorem terminates-impl-not-inf:
  assumes termi:  $\Gamma \vdash c \downarrow s$ 
  shows  $\neg \text{inf } \Gamma [c] [] s$ 
using termi
proof induct

```

```

case (Skip s) thus ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = ([Skip], [], Normal\ s)$ 
  from f-step [of 0] f-0
  have  $f\ (Suc\ 0) = ([], [], Normal\ s)$ 
    by (auto elim: step-Normal-elim-cases)
  with f-step [of 1]
  show False
    by (auto elim: step-elim-cases)
qed
next
case (Basic g s)
thus ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = ([Basic\ g], [], Normal\ s)$ 
  from f-step [of 0] f-0
  have  $f\ (Suc\ 0) = ([], [], Normal\ (g\ s))$ 
    by (auto elim: step-Normal-elim-cases)
  with f-step [of 1]
  show False
    by (auto elim: step-elim-cases)
qed
next
case (Spec r s)
thus ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = ([Spec\ r], [], Normal\ s)$ 
  with f-step [of 0]
  have  $\Gamma \vdash ([Spec\ r], [], Normal\ s) \rightarrow f\ (Suc\ 0)$ 
    by simp
  then show False
proof (cases)
  fix t
  assume  $(s, t) \in r\ f\ (Suc\ 0) = ([], [], Normal\ t)$ 
  with f-step [of 1]
  show False
    by (auto elim: step-elim-cases)
next
  assume  $\forall t. (s, t) \notin r\ f\ (Suc\ 0) = ([], [], Stuck)$ 
  with f-step [of 1]
  show False
    by (auto elim: step-elim-cases)
qed

```

```

qed
next
case (Guard s g c m)
have g: s ∈ g by fact
have hyp: ¬ inf Γ [c] [] (Normal s) by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
  assume f-0:  $f 0 = ([Guard\ m\ g\ c], [], Normal\ s)$ 
  from g f-step [of 0] f-0
  have f (Suc 0) = ([c], [], Normal s)
    by (auto elim: step-Normal-elim-cases)
  with f-step
  have inf Γ [c] [] (Normal s)
    apply (simp add: inf-def)
    apply (rule-tac x=λi. f (Suc i) in exI)
    by simp
  with hyp show False ..
qed
next
case (GuardFault s g m c)
have g: s ∉ g by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
  assume f-0:  $f 0 = ([Guard\ m\ g\ c], [], Normal\ s)$ 
  from g f-step [of 0] f-0
  have f (Suc 0) = ([], [], Fault m)
    by (auto elim: step-Normal-elim-cases)
  with f-step [of 1]
  show False
    by (auto elim: step-elim-cases)
qed
next
case (Fault c m)
thus ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (Suc i)$ 
  assume f-0:  $f 0 = ([c], [], Fault\ m)$ 
  from f-step [of 0] f-0
  have f (Suc 0) = ([], [], Fault m)
    by (auto elim: step-Normal-elim-cases)
  with f-step [of 1]
  show False
    by (auto elim: step-elim-cases)
qed

```

```

next
  case (Seq c1 s c2)
  have hyp-c1:  $\neg \text{inf } \Gamma [c1] [] (\text{Normal } s)$  by fact
  have hyp-c2:  $\forall s'. \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow s' \longrightarrow \Gamma \vdash c2 \downarrow s' \wedge \neg \text{inf } \Gamma [c2] [] s'$  by
fact
  have  $\neg \text{inf } \Gamma ([c1, c2]) [] (\text{Normal } s)$ 
  proof
    assume  $\text{inf } \Gamma [c1, c2] [] (\text{Normal } s)$ 
    then show False
    proof (cases rule: infE)
      assume  $\text{inf } \Gamma [c1] [] (\text{Normal } s)$ 
      with hyp-c1 show ?thesis by simp
    next
      fix t
      assume  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t \text{ inf } \Gamma [c2] [] t$ 
      with hyp-c2 show ?thesis by simp
    qed
  qed
  thus ?case
    by (simp add: inf-Seq)
next
  case (CondTrue s b c1 c2)
  have b:  $s \in b$  by fact
  have hyp-c1:  $\neg \text{inf } \Gamma [c1] [] (\text{Normal } s)$  by fact
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (\text{Suc } i)$ 
    assume f-0:  $f 0 = ([\text{Cond } b \ c1 \ c2], [], \text{Normal } s)$ 
    from b f-step [of 0] f-0
    have f 1 =  $([c1], [], \text{Normal } s)$ 
      by (auto elim: step-Normal-elim-cases)
    with f-step
    have  $\text{inf } \Gamma [c1] [] (\text{Normal } s)$ 
      apply (simp add: inf-def)
      apply (rule-tac x= $\lambda i. f (\text{Suc } i)$  in exI)
      by simp
    with hyp-c1 show False by simp
  qed
next
  case (CondFalse s b c2 c1)
  have b:  $s \notin b$  by fact
  have hyp-c2:  $\neg \text{inf } \Gamma [c2] [] (\text{Normal } s)$  by fact
  show ?case
  proof (rule not-infI)
    fix f
    assume f-step:  $\bigwedge i. \Gamma \vdash f i \rightarrow f (\text{Suc } i)$ 
    assume f-0:  $f 0 = ([\text{Cond } b \ c1 \ c2], [], \text{Normal } s)$ 
    from b f-step [of 0] f-0

```



```

have f 1 = ([c2], [], Normal s)
  by (auto elim: step-Normal-elim-cases)
with f-step
have inf  $\Gamma$  [c2] [] (Normal s)
  apply (simp add: inf-def)
  apply (rule-tac x= $\lambda i. f$  (Suc i) in exI)
  by simp
with hyp-c2 show False by simp
qed
next
case (WhileTrue s b c)
have b:  $s \in b$  by fact
have hyp-c:  $\neg \text{inf } \Gamma$  [c] [] (Normal s) by fact
have hyp-w:  $\forall s'. \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow s' \longrightarrow$ 
 $\Gamma \vdash \text{While } b \ c \downarrow s' \wedge \neg \text{inf } \Gamma$  [While b c] []  $s'$  by fact
have  $\neg \text{inf } \Gamma$  [c, While b c] [] (Normal s)
proof
  assume inf  $\Gamma$  [c, While b c] [] (Normal s)
  from this hyp-c hyp-w show False
  by (cases rule: infE) auto
qed
with b show ?case
  by (simp add: inf-WhileTrue)
next
case (WhileFalse s b c)
have b:  $s \notin b$  by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f \ i \rightarrow f$  (Suc i)
  assume f-0:  $f \ 0 = ([\text{While } b \ c], [], \text{Normal } s)$ 
  from b f-step [of 0] f-0
  have f (Suc 0) = ([], [], Normal s)
  by (auto elim: step-Normal-elim-cases)
  with f-step [of 1]
  show False
  by (auto elim: step-elim-cases)
qed
next
case (Call p bdy s)
have bdy:  $\Gamma \ p = \text{Some } \text{bdy}$  by fact
have hyp:  $\neg \text{inf } \Gamma$  [bdy] [] (Normal s) by fact
have not-inf-bdy:
 $\neg \text{inf } \Gamma$  [bdy] [([] , [Throw])] (Normal s)
proof
  assume inf  $\Gamma$  [bdy] [([] , [Throw])] (Normal s)
  then show False
  proof (rule infE)
    assume inf  $\Gamma$  [bdy] [] (Normal s)

```

```

with hyp show False by simp
next
fix t
assume  $\Gamma \vdash \langle bdy, Normal\ s \rangle \Rightarrow t$ 
assume inf:  $\inf\ \Gamma\ []\ [Throw]\ t$ 
then obtain f where
  f-0:  $f\ 0 = ([], [([], [Throw])], t)$  and
  f-step:  $\forall i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  by (auto simp add: inf-def)
show False
proof (cases t)
  case (Normal t')
  with f-0 f-step [rule-format, of 0]
  have  $f\ (Suc\ 0) = ([], [], (Normal\ t'))$ 
  by (auto elim: step-Normal-elim-cases)
  with f-step [rule-format, of Suc 0]
  show False
  by (auto elim: step.cases)
next
case (Abrupt t')
  with f-0 f-step [rule-format, of 0]
  have  $f\ (Suc\ 0) = ([Throw], [], (Normal\ t'))$ 
  by (auto elim: step-Normal-elim-cases)
  with f-step [rule-format, of Suc 0]
  have  $f\ (Suc\ (Suc\ 0)) = ([], [], (Abrupt\ t'))$ 
  by (auto elim: step-Normal-elim-cases)
  with f-step [rule-format, of Suc (Suc 0)]
  show False
  by (auto elim: step.cases)
next
case (Fault m)
  with f-0 f-step [rule-format, of 0]
  have  $f\ (Suc\ 0) = ([], [], Fault\ m)$ 
  by (auto elim: step-Normal-elim-cases)
  with f-step [rule-format, of 1]
  have  $f\ (Suc\ (Suc\ 0)) = ([], [], Fault\ m)$ 
  by (auto elim: step-Normal-elim-cases)
  with f-step [rule-format, of Suc (Suc 0)]
  show False
  by (auto elim: step.cases)
next
case Stuck
  with f-0 f-step [rule-format, of 0]
  have  $f\ (Suc\ 0) = ([], [], Stuck)$ 
  by (auto elim: step-Normal-elim-cases)
  with f-step [rule-format, of 1]
  have  $f\ (Suc\ (Suc\ 0)) = ([], [], Stuck)$ 
  by (auto elim: step-Normal-elim-cases)
  with f-step [rule-format, of Suc (Suc 0)]

```

```

      show False
      by (auto elim: step.cases)
    qed
  qed
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = ([Call\ p], [], Normal\ s)$ 
  from bdy f-step [of 0] f-0
  have f (Suc 0) =
    ([bdy], [([], [Throw])], Normal s)
    by (auto elim: step-Normal-elim-cases)
  with f-step
  have inf  $\Gamma\ [bdy]\ [([], [Throw])]\ (Normal\ s)$ 
  apply (simp add: inf-def)
  apply (rule-tac  $x = \lambda i. f\ (Suc\ i)$  in exI)
  by simp
  with not-inf-bdy
  show False by simp
qed
next
case (CallUndefined p s)
have undef:  $\Gamma\ p = None$  by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = ([Call\ p], [], Normal\ s)$ 
  from undef f-step [of 0] f-0
  have f (Suc 0) = ([], [], Stuck)
    by (auto elim: step-Normal-elim-cases)
  with f-step [rule-format, of Suc 0]
  show False
    by (auto elim: step-elim-cases)
qed
next
case (Stuck c)
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f-0:  $f\ 0 = ([c], [], Stuck)$ 
  from f-step [of 0] f-0
  have f (Suc 0) = ([], [], Stuck)
    by (auto elim: step-elim-cases)
  with f-step [rule-format, of Suc 0]
  show False

```

```

      by (auto elim: step-elim-cases)
    qed
  next
    case (DynCom c s)
    have hyp:  $\neg \text{inf } \Gamma [(c\ s)] [] (Normal\ s)$  by fact
    show ?case
    proof (rule not-infI)
      fix f
      assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
      assume f-0:  $f\ 0 = ([DynCom\ c], [], Normal\ s)$ 
      from f-step [of 0] f-0
      have f (Suc 0) =  $([(c\ s)], [], Normal\ s)$ 
        by (auto elim: step-elim-cases)
      with f-step have inf  $\Gamma [(c\ s)] [] (Normal\ s)$ 
        apply (simp add: inf-def)
        apply (rule-tac x= $\lambda i. f\ (Suc\ i)$  in exI)
        by simp
      with hyp
      show False by simp
    qed
  next
    case (Throw s)
    thus ?case
    proof (rule not-infI)
      fix f
      assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
      assume f-0:  $f\ 0 = ([Throw], [], Normal\ s)$ 
      from f-step [of 0] f-0
      have f (Suc 0) =  $([], [], Abrupt\ s)$ 
        by (auto elim: step-Normal-elim-cases)
      with f-step [of 1]
      show False
        by (auto elim: step-elim-cases)
    qed
  next
    case (Abrupt c s)
    show ?case
    proof (rule not-infI)
      fix f
      assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
      assume f-0:  $f\ 0 = ([c], [], Abrupt\ s)$ 
      from f-step [of 0] f-0
      have f (Suc 0) =  $([], [], Abrupt\ s)$ 
        by (auto elim: step-elim-cases)
      with f-step [rule-format, of Suc 0]
      show False
        by (auto elim: step-elim-cases)
    qed
  next

```

```

case (Catch c1 s c2)
have hyp-c1:  $\neg \text{inf } \Gamma [c1] [] (\text{Normal } s)$  by fact
have hyp-c2:  $\forall s'. \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } s' \longrightarrow$ 
 $\Gamma \vdash c2 \downarrow \text{Normal } s' \wedge \neg \text{inf } \Gamma [c2] [] (\text{Normal } s')$  by fact
have  $\neg \text{inf } \Gamma [c1] [([], [c2])]$  (Normal s)
proof
  assume  $\text{inf } \Gamma [c1] [([], [c2])]$  (Normal s)
  then show False
  proof (rule infE)
    assume  $\text{inf } \Gamma [c1] [] (\text{Normal } s)$ 
    with hyp-c1 show False by simp
  next
  fix t
  assume eval:  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t$ 
  assume inf:  $\text{inf } \Gamma [] [([], [c2])]$  t
  then obtain f where
    f-0:  $f\ 0 = ([], [([], [c2])], t)$  and
    f-step:  $\forall i. \Gamma \vdash f\ i \rightarrow f\ (\text{Suc } i)$ 
    by (auto simp add: inf-def)
  show False
  proof (cases t)
    case (Normal t')
      with f-0 f-step [rule-format, of 0]
      have  $f\ (\text{Suc } 0) = ([], [], \text{Normal } t')$ 
      by (auto elim: step-Normal-elim-cases)
      with f-step [rule-format, of 1]
      show False
      by (auto elim: step-elim-cases)
    next
      case (Abrupt t')
      with f-0 f-step [rule-format, of 0]
      have  $f\ (\text{Suc } 0) = ([c2], [], \text{Normal } t')$ 
      by (auto elim: step-Normal-elim-cases)
      with f-step eval Abrupt
      have  $\text{inf } \Gamma [c2] [] (\text{Normal } t')$ 
      apply (simp add: inf-def)
      apply (rule-tac x=λi. f (Suc i) in exI)
      by simp
      with eval hyp-c2 Abrupt show False by simp
    next
      case (Fault m)
      with f-0 f-step [rule-format, of 0]
      have  $f\ (\text{Suc } 0) = ([], [], \text{Fault } m)$ 
      by (auto elim: step-Normal-elim-cases)
      with f-step [rule-format, of 1]
      show False
      by (auto elim: step-elim-cases)
    next
      case Stuck

```

```

    with f-0 f-step [rule-format, of 0]
    have f (Suc 0) = ([], [], Stuck)
      by (auto elim: step-Normal-elim-cases)
    with f-step [rule-format, of 1]
    show False
      by (auto elim: step-elim-cases)
  qed
qed
qed
thus ?case
  by (simp add: inf-Catch)
qed

lemma terminatess-impl-not-inf:
  assumes termi:  $\Gamma \vdash cs, css \Downarrow s$ 
  shows  $\neg \text{inf } \Gamma \text{ } cs \text{ } css \text{ } s$ 
using termi
proof (induct)
  case (Nil s)
  show ?case
  proof (rule not-infI)
    fix f
    assume  $\bigwedge i. \Gamma \vdash f \text{ } i \rightarrow f \text{ } (Suc \text{ } i)$ 
    hence  $\Gamma \vdash f \text{ } 0 \rightarrow f \text{ } (Suc \text{ } 0)$ 
      by simp
    moreover
    assume  $f \text{ } 0 = ([], [], s)$ 
    ultimately show False
      by (fastforce elim: step.cases)
  qed
next
case (ExitBlockNormal nrms css s abrs)
have hyp:  $\neg \text{inf } \Gamma \text{ } nrms \text{ } css \text{ } (Normal \text{ } s)$  by fact
show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f \text{ } i \rightarrow f \text{ } (Suc \text{ } i)$ 
  assume f0:  $f \text{ } 0 = ([], (nrms, abrs) \# css, Normal \text{ } s)$ 
  with f-step [of 0] have f (Suc 0) = (nrms, css, Normal s)
    by (auto elim: step-Normal-elim-cases)
  with f-step have inf  $\Gamma \text{ } nrms \text{ } css \text{ } (Normal \text{ } s)$ 
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f \text{ } (Suc \text{ } i)$  in exI)
    by simp
  with hyp show False ..
qed
next
case (ExitBlockAbrupt abrs css s nrms)
have hyp:  $\neg \text{inf } \Gamma \text{ } abrs \text{ } css \text{ } (Normal \text{ } s)$  by fact

```

```

show ?case
proof (rule not-infI)
  fix f
  assume f-step:  $\bigwedge i. \Gamma \vdash f\ i \rightarrow f\ (Suc\ i)$ 
  assume f0:  $f\ 0 = ([], (nrms, abrs) \# css, Abrupt\ s)$ 
  with f-step [of 0] have f (Suc 0) = (abrs,css,Normal s)
    by (auto elim: step-Normal-elim-cases)
  with f-step have inf  $\Gamma\ abrs\ css\ (Normal\ s)$ 
    apply (simp add: inf-def)
    apply (rule-tac x= $\lambda i. f\ (Suc\ i)$  in exI)
    by simp
  with hyp show False ..
qed
next
case (ExitBlockFault nrms css f abrs)
show ?case
  by (rule not-inf-Fault)
next
case (ExitBlockStuck nrms css abrs)
show ?case
  by (rule not-inf-Stuck)
next
case (Cons c s cs css)
have termi-c:  $\Gamma \vdash c \downarrow s$  by fact
have hyp:  $\forall t. \Gamma \vdash \langle c, s \rangle \Rightarrow t \longrightarrow \Gamma \vdash cs, css \Downarrow t \wedge \neg inf\ \Gamma\ cs\ css\ t$  by fact
show  $\neg inf\ \Gamma\ (c \# cs)\ css\ s$ 
proof
  assume inf  $\Gamma\ (c \# cs)\ css\ s$ 
  thus False
  proof (rule infE)
    assume inf  $\Gamma\ [c]\ []\ s$ 
    with terminates-impl-not-inf [OF termi-c]
    show False ..
  next
    fix t
    assume  $\Gamma \vdash \langle c, s \rangle \Rightarrow t\ inf\ \Gamma\ cs\ css\ t$ 
    with hyp show False by simp
  qed
qed
qed
lemma lem:

$$\forall y. r^{++}\ a\ y \longrightarrow P\ a \longrightarrow P\ y$$


$$\implies ((b, a) \in \{(y, x). P\ x \wedge r\ x\ y\}^+) = ((b, a) \in \{(y, x). P\ x \wedge r^{++}\ x\ y\})$$

apply (rule iffI)
apply clarify
apply (erule trancl-induct)
apply blast
apply (blast intro: tranclp-trans)

```

```

apply clarify
apply(erule tranclp-induct)
  apply blast
apply(blast intro:trancl-trans)
done

corollary terminatess-impl-no-inf-chain:
  assumes terminatess:  $\Gamma \vdash cs, css \Downarrow s$ 
  shows  $\neg(\exists f. f\ 0 = (cs, css, s) \wedge (\forall i::nat. \Gamma \vdash f\ i \rightarrow^+ f(Suc\ i)))$ 
proof –
  have  $wf(\{(y, x). \Gamma \vdash (cs, css, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}^+)$ 
  proof (rule wf-trancl)
    show  $wf\ \{(y, x). \Gamma \vdash (cs, css, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}$ 
    proof (simp only: wf-iff-no-infinite-down-chain, clarify, simp)
      fix f
      assume  $\forall i. \Gamma \vdash (cs, css, s) \rightarrow^* f\ i \wedge \Gamma \vdash f\ i \rightarrow f(Suc\ i)$ 
      hence  $\exists f. f\ 0 = (cs, css, s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow f(Suc\ i))$ 
      by (rule renumber [to-pred])
      moreover from terminatess
      have  $\neg(\exists f. f\ 0 = (cs, css, s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow f(Suc\ i)))$ 
      by (rule terminatess-impl-not-inf [unfolded inf-def])
      ultimately show False
      by simp
    qed
  qed
hence  $\neg(\exists f. \forall i. (f(Suc\ i), f\ i) \in \{(y, x). \Gamma \vdash (cs, css, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}^+)$ 
  by (simp add: wf-iff-no-infinite-down-chain)
thus ?thesis
proof (rule contrapos-nn)
  assume  $\exists f. f\ 0 = (cs, css, s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow^+ f(Suc\ i))$ 
  then obtain f where
    f0:  $f\ 0 = (cs, css, s)$  and
    seq:  $\forall i. \Gamma \vdash f\ i \rightarrow^+ f(Suc\ i)$ 
    by iprover
  show
     $\exists f. \forall i. (f(Suc\ i), f\ i) \in \{(y, x). \Gamma \vdash (cs, css, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}^+$ 
  proof (rule exI [where x=f], rule allI)
    fix i
    show  $(f(Suc\ i), f\ i) \in \{(y, x). \Gamma \vdash (cs, css, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow y\}^+$ 
    proof –
      {
        fix i have  $\Gamma \vdash (cs, css, s) \rightarrow^* f\ i$ 
        proof (induct i)
          case 0 show  $\Gamma \vdash (cs, css, s) \rightarrow^* f\ 0$ 
          by (simp add: f0)
        next
          case (Suc n)
          have  $\Gamma \vdash (cs, css, s) \rightarrow^* f\ n$  by fact
      }
    qed
  qed

```



```

      with seq show  $\Gamma \vdash (cs, css, s) \rightarrow^* f (Suc\ n)$ 
      by (blast intro: tranclp-into-rtranclp rtranclp-trans)
    qed
  }
  hence  $\Gamma \vdash (cs, css, s) \rightarrow^* f\ i$ 
  by iprover
  with seq have
     $(f (Suc\ i), f\ i) \in \{(y, x). \Gamma \vdash (cs, css, s) \rightarrow^* x \wedge \Gamma \vdash x \rightarrow^+ y\}$ 
  by clarsimp
  moreover
  have  $\forall y. \Gamma \vdash f\ i \rightarrow^+ y \longrightarrow \Gamma \vdash (cs, css, s) \rightarrow^* f\ i \longrightarrow \Gamma \vdash (cs, css, s) \rightarrow^* y$ 
  by (blast intro: tranclp-into-rtranclp rtranclp-trans)
  ultimately
  show ?thesis
  by (subst lem)
  qed
qed
qed
qed

```

corollary *terminates-impl-no-inf-chain:*

```

 $\Gamma \vdash c \downarrow s \implies \neg(\exists f. f\ 0 = ([c], [], s) \wedge (\forall i::nat. \Gamma \vdash f\ i \rightarrow^+ f(Suc\ i)))$ 
  by (rule terminatess-impl-no-inf-chain) (iprover intro: terminatess.intros)

```

definition

termi-call-steps :: $('s, 'p, 'f)$ *body* $\Rightarrow (('s \times 'p) \times ('s \times 'p))set$

where

```

termi-call-steps  $\Gamma =$ 
   $\{((t, q), (s, p)). \Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ s \wedge$ 
     $(\exists css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ s) \rightarrow^+ ([the\ (\Gamma\ q)], css, Normal\ t))\}$ 

```

Sequencing computations, or more exactly continuation stacks

primrec *seq*:: $(nat \Rightarrow 'a\ list) \Rightarrow nat \Rightarrow 'a\ list$

where

```

seq css 0 = [] |
seq css (Suc i) = css i @ seq css i

```

theorem *wf-termi-call-steps*: *wf* (*termi-call-steps* Γ)

proof (*simp only: termi-call-steps-def wf-iff-no-infinite-down-chain,*
clarify, simp)

fix *S*

assume *inf*:

```

 $\forall i. (\lambda(t, q)\ (s, p). \Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ s \wedge$ 
   $(\exists css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ s) \rightarrow^+ ([the\ (\Gamma\ q)], css, Normal\ t)))$ 
   $(S\ (Suc\ i))\ (S\ i)$ 

```

obtain *s p* **where** $s = (\lambda i. fst\ (S\ i))$ **and** $p = (\lambda i. snd\ (S\ i))$

by *auto*

```

with inf
have inf':
   $\forall i. \Gamma \vdash (\text{the } (\Gamma (p\ i))) \downarrow \text{Normal } (s\ i) \wedge$ 
     $(\exists \text{css}. \Gamma \vdash ([\text{the } (\Gamma (p\ i))], [], \text{Normal } (s\ i)) \rightarrow^+$ 
       $([\text{the } (\Gamma (p\ (\text{Suc } i))], \text{css}, \text{Normal } (s\ (\text{Suc } i))))$ 

  apply –
  apply (rule allI)
  apply (erule-tac x=i in allE)
  apply auto
  done
show False
proof –
  from inf' — Skolemization of css with axiom of choice
  have  $\exists \text{css}. \forall i. \Gamma \vdash (\text{the } (\Gamma (p\ i))) \downarrow \text{Normal } (s\ i) \wedge$ 
     $\Gamma \vdash ([\text{the } (\Gamma (p\ i))], [], \text{Normal } (s\ i)) \rightarrow^+$ 
       $([\text{the } (\Gamma (p\ (\text{Suc } i))], \text{css } i, \text{Normal } (s\ (\text{Suc } i))))$ 

    apply –
    apply (rule choice)
    by blast
  then obtain css where
    termi-css:  $\forall i. \Gamma \vdash (\text{the } (\Gamma (p\ i))) \downarrow \text{Normal } (s\ i)$  and
    step-css:  $\forall i. \Gamma \vdash ([\text{the } (\Gamma (p\ i))], [], \text{Normal } (s\ i)) \rightarrow^+$ 
       $([\text{the } (\Gamma (p\ (\text{Suc } i))], \text{css } i, \text{Normal } (s\ (\text{Suc } i))))$ 

    by blast
  define f where  $f\ i = ([\text{the } (\Gamma (p\ i))], \text{seq } \text{css } i, \text{Normal } (s\ i)::('a, 'c)\ \text{xstate})$  for
i

  have  $f\ 0 = ([\text{the } (\Gamma (p\ 0))], [], \text{Normal } (s\ 0))$ 
    by (simp add: f-def)
  moreover
  have  $\forall i. \Gamma \vdash (f\ i) \rightarrow^+ (f\ (i+1))$ 
  proof
    fix i
    from step-css [rule-format, of i]
    have  $\Gamma \vdash ([\text{the } (\Gamma (p\ i))], [], \text{Normal } (s\ i)) \rightarrow^+$ 
       $([\text{the } (\Gamma (p\ (\text{Suc } i))], \text{css } i, \text{Normal } (s\ (\text{Suc } i))))$ .
    from app-css-steps [OF this, simplified]
    have  $\Gamma \vdash ([\text{the } (\Gamma (p\ i))], \text{seq } \text{css } i, \text{Normal } (s\ i)) \rightarrow^+$ 
       $([\text{the } (\Gamma (p\ (\text{Suc } i))], \text{css } i @ \text{seq } \text{css } i, \text{Normal } (s\ (\text{Suc } i))))$ .
    thus  $\Gamma \vdash (f\ i) \rightarrow^+ (f\ (i+1))$ 
    by (simp add: f-def)
  qed
  moreover from termi-css [rule-format, of 0]
  have  $\neg (\exists f. (f\ 0 = ([\text{the } (\Gamma (p\ 0))], [], \text{Normal } (s\ 0)) \wedge$ 
     $(\forall i. \Gamma \vdash (f\ i) \rightarrow^+ f\ (\text{Suc } i))))$ 
    by (rule terminates-impl-no-inf-chain)
  ultimately show False
    by auto
  qed
qed

```

An alternative proof using Hilbert-choice instead of axiom of choice.

theorem *wf (termi-call-steps Γ)*

proof (*simp only: termi-call-steps-def wf-iff-no-infinite-down-chain, clarify, simp*)

fix *S*

assume *inf*:

$\forall i. (\lambda(t,q) (s,p). \Gamma \vdash (the (\Gamma p)) \downarrow Normal s \wedge$
 $(\exists css. \Gamma \vdash ([the (\Gamma p)], [], Normal s) \rightarrow^+ ([the (\Gamma q)], css, Normal t)))$
 $(S (Suc i)) (S i)$

obtain *s p* **where** $s = (\lambda i. fst (S i))$ **and** $p = (\lambda i. snd (S i))$

by *auto*

with *inf*

have *inf'*:

$\forall i. \Gamma \vdash (the (\Gamma (p i))) \downarrow Normal (s i) \wedge$
 $(\exists css. \Gamma \vdash ([the (\Gamma (p i))], [], Normal (s i)) \rightarrow^+$
 $([the (\Gamma (p (Suc i)))] , css, Normal (s (Suc i))))$

apply *—*

apply (*rule allI*)

apply (*erule-tac x=i in allE*)

apply *auto*

done

show *False*

proof *—*

define *CSS* **where** $CSS i = (SOME css.$

$\Gamma \vdash ([the (\Gamma (p i))], [], Normal (s i)) \rightarrow^+$
 $([the (\Gamma (p (i+1)))] , css, Normal (s (i+1))))$ **for** *i*

define *f* **where** $f i = ([the (\Gamma (p i))], seq CSS i, Normal (s i)::('a,'c) xstate)$

for *i*

have $f 0 = ([the (\Gamma (p 0))], [], Normal (s 0))$

by (*simp add: f-def*)

moreover

have $\forall i. \Gamma \vdash (f i) \rightarrow^+ (f (i+1))$

proof

fix *i*

from *inf'* [*rule-format, of i*] **obtain** *css* **where**

$css: \Gamma \vdash ([the (\Gamma (p i))], [], Normal (s i)) \rightarrow^+$
 $([the (\Gamma (p (i+1)))] , css, Normal (s (i+1))))$

by *fastforce*

hence $\Gamma \vdash ([the (\Gamma (p i))], seq CSS i, Normal (s i)) \rightarrow^+$
 $([the (\Gamma (p (i+1)))] , CSS i @ seq CSS i, Normal (s (i+1))))$

apply *—*

apply (*unfold CSS-def*)

apply (*rule someI2*)

[where $P = \lambda css.$

$\Gamma \vdash ([the (\Gamma (p i))], [], Normal (s i)) \rightarrow^+$
 $([the (\Gamma (p (i+1)))] , css, Normal (s (i+1))))$

apply (*rule css*)

apply (*fastforce dest: app-css-steps*)

done

```

    thus  $\Gamma \vdash (f\ i) \rightarrow^+ (f\ (i+1))$ 
    by (simp add: f-def)
qed
moreover from  $\text{inf}'$  [rule-format, of 0]
have  $\Gamma \vdash \text{the } (\Gamma\ (p\ 0)) \downarrow \text{Normal } (s\ 0)$ 
by iprover
then have  $\neg (\exists f. (f\ 0 = ([\text{the } (\Gamma\ (p\ 0))], []), \text{Normal } (s\ 0))) \wedge$ 
 $(\forall i. \Gamma \vdash (f\ i) \rightarrow^+ f(\text{Suc } i))$ 
by (rule terminates-impl-no-inf-chain)
ultimately show False
by auto
qed
qed

```

```

lemma not-inf-implies-wf: assumes not-inf:  $\neg \text{inf } \Gamma\ cs\ css\ s$ 
shows wf  $\{(c2, c1). \Gamma \vdash (cs, css, s) \rightarrow^* c1 \wedge \Gamma \vdash c1 \rightarrow c2\}$ 
proof (simp only: wf-iff-no-infinite-down-chain, clarify, simp)
fix f
assume  $\forall i. \Gamma \vdash (cs, css, s) \rightarrow^* f\ i \wedge \Gamma \vdash f\ i \rightarrow f\ (\text{Suc } i)$ 
hence  $\exists f. f\ 0 = (cs, css, s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow f\ (\text{Suc } i))$ 
by (rule renumber [to-pred])
moreover from not-inf
have  $\neg (\exists f. f\ 0 = (cs, css, s) \wedge (\forall i. \Gamma \vdash f\ i \rightarrow f\ (\text{Suc } i)))$ 
by (unfold inf-def)
ultimately show False
by simp
qed

```

```

lemma wf-implies-termi-reach:
assumes wf: wf  $\{(c2, c1). \Gamma \vdash (cs, css, s) \rightarrow^* c1 \wedge \Gamma \vdash c1 \rightarrow c2\}$ 
shows  $\bigwedge cs1\ css1\ s1. \llbracket \Gamma \vdash (cs, css, s) \rightarrow^* c1; c1 = (cs1, css1, s1) \rrbracket \implies \Gamma \vdash cs1, css1 \Downarrow s1$ 
using wf
proof (induct c1, simp)
fix cs1 css1 s1
assume reach:  $\Gamma \vdash (cs, css, s) \rightarrow^* (cs1, css1, s1)$ 
assume hyp-raw:  $\bigwedge y\ cs2\ css2\ s2. \llbracket \Gamma \vdash (cs1, css1, s1) \rightarrow (cs2, css2, s2);$ 
 $\Gamma \vdash (cs, css, s) \rightarrow^* (cs2, css2, s2); y = (cs2, css2, s2) \rrbracket \implies$ 
 $\Gamma \vdash cs2, css2 \Downarrow s2$ 
have hyp:  $\bigwedge cs2\ css2\ s2. \llbracket \Gamma \vdash (cs1, css1, s1) \rightarrow (cs2, css2, s2) \rrbracket \implies$ 
 $\Gamma \vdash cs2, css2 \Downarrow s2$ 
apply -
apply (rule hyp-raw)
apply assumption
using reach
apply simp
apply (rule refl)
done
show  $\Gamma \vdash cs1, css1 \Downarrow s1$ 
proof (cases s1)

```

```

case (Normal s1')
show ?thesis
proof (cases cs1)
  case Nil
  note cs1-Nil = this
  show ?thesis
  proof (cases css1)
    case Nil
    with cs1-Nil show ?thesis
    by (auto intro: terminatess.intros)
  next
  case (Cons nrms-abrs css1')
  then obtain nrms abrs where nrms-abrs: nrms-abrs=(nrms,abrs)
  by (cases nrms-abrs)
  have  $\Gamma \vdash ([], (nrms, abrs) \# css1', Normal\ s1') \rightarrow (nrms, css1', Normal\ s1')$ 
  by (rule step.intros)
  from hyp [simplified cs1-Nil Cons nrms-abrs Normal, OF this]
  have  $\Gamma \vdash nrms, css1' \Downarrow Normal\ s1'$ .
  from ExitBlockNormal [OF this] cs1-Nil Cons nrms-abrs Normal
  show ?thesis
  by auto
qed
next
case (Cons c1 cs1')
have  $\Gamma \vdash c1 \# cs1', css1' \Downarrow Normal\ s1'$ 
proof (cases c1)
  case Skip
  have  $\Gamma \vdash (Skip \# cs1', css1', Normal\ s1') \rightarrow (cs1', css1', Normal\ s1')$ 
  by (rule step.intros)
  from hyp [simplified Cons Skip Normal, OF this]
  have  $\Gamma \vdash cs1', css1' \Downarrow Normal\ s1'$ .
  with Normal Skip show ?thesis
  by (auto intro: terminatess.intros terminates.intros
    elim: exec-Normal-elim-cases)
  next
  case (Basic f)
  have  $\Gamma \vdash (Basic\ f \# cs1', css1', Normal\ s1') \rightarrow (cs1', css1', Normal\ (f\ s1'))$ 
  by (rule step.intros)
  from hyp [simplified Cons Basic Normal, OF this]
  have  $\Gamma \vdash cs1', css1' \Downarrow Normal\ (f\ s1')$ .
  with Normal Basic show ?thesis
  by (auto intro: terminatess.intros terminates.intros
    elim: exec-Normal-elim-cases)
  next
  case (Spec r)
  with Normal show ?thesis
  apply simp
  apply (rule terminatess.Cons)
  apply (fastforce intro: terminates.intros)

```

```

    apply (clarify)
    apply (erule exec-Normal-elim-cases)
    apply clarsimp
    apply (rule hyp)
    apply (fastforce intro: step.intros simp add: Cons Spec Normal )
    apply (fastforce intro: terminatess-Stuck)
    done
next
case (Seq c1 c2)
have  $\Gamma \vdash (Seq\ c_1\ c_2 \# cs1', css1, Normal\ s1') \rightarrow (c_1 \# c_2 \# cs1', css1, Normal\ s1')$ 
    by (rule step.intros)
from hyp [simplified Cons Seq Normal, OF this]
have  $\Gamma \vdash c_1 \# c_2 \# cs1', css1 \Downarrow Normal\ s1'$ .
with Normal Seq show ?thesis
    by (fastforce intro: terminatess.intros terminates.intros
        elim: terminatess-elim-cases exec-Normal-elim-cases)
next
case (Cond b c1 c2)
show ?thesis
proof (cases  $s1' \in b$ )
case True
hence  $\Gamma \vdash (Cond\ b\ c_1\ c_2 \# cs1', css1, Normal\ s1') \rightarrow (c_1 \# cs1', css1, Normal\ s1')$ 
    by (rule step.intros)
from hyp [simplified Cons Cond Normal, OF this]
have  $\Gamma \vdash c_1 \# cs1', css1 \Downarrow Normal\ s1'$ .
with Normal Cond True show ?thesis
    by (fastforce intro: terminatess.intros terminates.intros
        elim: terminatess-elim-cases exec-Normal-elim-cases)
next
case False
hence  $\Gamma \vdash (Cond\ b\ c_1\ c_2 \# cs1', css1, Normal\ s1') \rightarrow (c_2 \# cs1', css1, Normal\ s1')$ 
    by (rule step.intros)
from hyp [simplified Cons Cond Normal, OF this]
have  $\Gamma \vdash c_2 \# cs1', css1 \Downarrow Normal\ s1'$ .
with Normal Cond False show ?thesis
    by (fastforce intro: terminatess.intros terminates.intros
        elim: terminatess-elim-cases exec-Normal-elim-cases)
qed
next
case (While b c')
show ?thesis
proof (cases  $s1' \in b$ )
case True
then have  $\Gamma \vdash (While\ b\ c' \# cs1', css1, Normal\ s1') \rightarrow$ 
     $(c' \# While\ b\ c' \# cs1', css1, Normal\ s1')$ 
    by (rule step.intros)

```

```

from hyp [simplified Cons While Normal, OF this]
have  $\Gamma \vdash c' \# \text{While } b \ c' \# cs1', css1 \Downarrow \text{Normal } s1'$ .
with Cons While True Normal
show ?thesis
  by (fastforce intro: terminatess.intros terminates.intros exec.intros
    elim: terminatess-elim-cases exec-Normal-elim-cases)
next
  case False
  then
have  $\Gamma \vdash (\text{While } b \ c' \# cs1', css1, \text{Normal } s1') \rightarrow (cs1', css1, \text{Normal } s1')$ 
    by (rule step.intros)
from hyp [simplified Cons While Normal, OF this]
have  $\Gamma \vdash cs1', css1 \Downarrow \text{Normal } s1'$ .
with Cons While False Normal
show ?thesis
  by (fastforce intro: terminatess.intros terminates.intros exec.intros
    elim: terminatess-elim-cases exec-Normal-elim-cases)
qed
next
case (Call p)
show ?thesis
proof (cases  $\Gamma \ p$ )
  case None
  with Call Normal show ?thesis
  by (fastforce intro: terminatess.intros terminates.intros terminatess-Stuck
    elim: exec-Normal-elim-cases)
next
case (Some bdy)
then
have  $\Gamma \vdash (\text{Call } p \# cs1', css1, \text{Normal } s1') \rightarrow$ 
   $([bdy], (cs1', \text{Throw } \# cs1') \# css1, \text{Normal } s1')$ 
  by (rule step.intros)
from hyp [simplified Cons Call Normal Some, OF this]
have  $\Gamma \vdash [bdy], (cs1', \text{Throw } \# cs1') \# css1 \Downarrow \text{Normal } s1'$ .
with Some Call Normal show ?thesis
  apply simp
  apply (rule terminatess.intros)
  apply (blast elim: terminatess-elim-cases intro: terminates.intros)
  apply clarify
  apply (erule terminatess-elim-cases)
  apply (erule exec-Normal-elim-cases)
  prefer 2
  apply simp
  apply (erule-tac  $x=t$  in allE)
  apply (case-tac t)
  apply (auto intro: terminatess-Stuck terminatess-Fault exec.intros
    elim: terminatess-elim-cases exec-Normal-elim-cases)
  done
qed

```

```

next
  case (DynCom c')
  have  $\Gamma \vdash (\text{DynCom } c' \# cs1', css1, \text{Normal } s1') \rightarrow (c' s1' \# cs1', css1, \text{Normal } s1')$ 
    by (rule step.intros)
  from hyp [simplified Cons DynCom Normal, OF this]
  have  $\Gamma \vdash c' s1' \# cs1', css1 \Downarrow \text{Normal } s1'$ .
  with Normal DynCom show ?thesis
    by (fastforce intro: terminatess.intros terminates.intros exec.intros
        elim: terminatess-elim-cases exec-Normal-elim-cases)
next
  case (Guard f g c')
  show ?thesis
  proof (cases  $s1' \in g$ )
    case True
    then have  $\Gamma \vdash (\text{Guard } f g c' \# cs1', css1, \text{Normal } s1') \rightarrow (c' \# cs1', css1, \text{Normal } s1')$ 
      by (rule step.intros)
    from hyp [simplified Cons Guard Normal, OF this]
    have  $\Gamma \vdash c' \# cs1', css1 \Downarrow \text{Normal } s1'$ .
    with Normal Guard True show ?thesis
      by (fastforce intro: terminatess.intros terminates.intros exec.intros
          elim: terminatess-elim-cases exec-Normal-elim-cases)
  next
  case False
  with Guard Normal show ?thesis
    by (fastforce intro: terminatess.intros terminatess-Fault
        terminates.intros
        elim: exec-Normal-elim-cases)
qed
next
  case Throw
  have  $\Gamma \vdash (\text{Throw} \# cs1', css1, \text{Normal } s1') \rightarrow (cs1', css1, \text{Abrupt } s1')$ 
    by (rule step.intros)
  from hyp [simplified Cons Throw Normal, OF this]
  have  $\Gamma \vdash cs1', css1 \Downarrow \text{Abrupt } s1'$ .
  with Normal Throw show ?thesis
    by (auto intro: terminatess.intros terminates.intros
        elim: exec-Normal-elim-cases)
next
  case (Catch c1 c2)
  have  $\Gamma \vdash (\text{Catch } c_1 c_2 \# cs1', css1, \text{Normal } s1') \rightarrow ([c_1], (cs1', c_2 \# cs1') \# css1, \text{Normal } s1')$ 
    by (rule step.intros)
  from hyp [simplified Cons Catch Normal, OF this]
  have  $\Gamma \vdash [c_1], (cs1', c_2 \# cs1') \# css1 \Downarrow \text{Normal } s1'$ .
  with Normal Catch show ?thesis
    by (fastforce intro: terminatess.intros terminates.intros exec.intros
        elim: terminatess-elim-cases exec-Normal-elim-cases)

```



```

    qed
  with Cons Normal show ?thesis
    by simp
  qed
next
  case (Abrupt s1')
  show ?thesis
  proof (cases cs1)
    case Nil
    note cs1-Nil = this
    show ?thesis
    proof (cases css1)
      case Nil
      with cs1-Nil show ?thesis by (auto intro: terminatess.intros)
    next
      case (Cons nrms-abrs css1')
      then obtain nrms abrs where nrms-abrs: nrms-abrs=(nrms,abrs)
        by (cases nrms-abrs)
      have  $\Gamma \vdash ([], (nrms, abrs) \# css1', Abrupt s1') \rightarrow (abrs, css1', Normal s1')$ 
        by (rule step.intros)
      from hyp [simplified cs1-Nil Cons nrms-abrs Abrupt, OF this]
      have  $\Gamma \vdash abrs, css1' \Downarrow Normal s1'$ .
      from ExitBlockAbrupt [OF this] cs1-Nil Cons nrms-abrs Abrupt
      show ?thesis
        by auto
    qed
  next
    case (Cons c1 cs1')
    have  $\Gamma \vdash c1 \# cs1', css1' \Downarrow Abrupt s1'$ 
    proof -
      have  $\Gamma \vdash (c1 \# cs1', css1', Abrupt s1') \rightarrow (cs1', css1', Abrupt s1')$ 
        by (rule step.intros)
      from hyp [simplified Cons Abrupt, OF this]
      have  $\Gamma \vdash cs1', css1' \Downarrow Abrupt s1'$ .
      with Cons Abrupt
      show ?thesis
        by (fastforce intro: terminatess.intros terminates.intros exec.intros
            elim: terminatess-elim-cases exec-Normal-elim-cases)
    qed
  with Cons Abrupt show ?thesis by simp
  qed
next
  case (Fault f)
  thus ?thesis by (auto intro: terminatess-Fault)
next
  case Stuck
  thus ?thesis by (auto intro: terminatess-Stuck)
qed
qed

```


apply (*rule Conseq*)
apply (*clarify*)
apply (*rule-tac x=P in exI*)
apply (*rule-tac x=Q in exI*)
apply (*rule-tac x=A in exI*)
using *state-indep-prop to-show*
by *blast*

lemma *Call-lemma'*:

assumes *Call-hyp*:

$\forall q \in \text{dom } \Gamma. \forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $(-F)) \wedge$

$\Gamma \vdash \text{Call } q \downarrow \text{Normal } s \wedge ((s, q), (\sigma, p)) \in \text{termi-call-steps } \Gamma\}$

(*Call q*)

$\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

shows $\bigwedge Z. \Gamma, \Theta \vdash_{t/F}$

$\{s. s=Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash \text{the } (\Gamma p) \downarrow \text{Normal}$
 $\sigma \wedge$

$(\exists cs \text{ css. } \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (c \# cs, \text{css}, \text{Normal } s))\}$

c

$\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

proof (*induct c*)

case *Skip*

show $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Skip}, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma p) \downarrow \text{Normal } \sigma \wedge$

$(\exists cs \text{ css. } \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Skip} \# cs, \text{css}, \text{Normal } s))\}$

Skip

$\{t. \Gamma \vdash \langle \text{Skip}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{Skip}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

by (*rule hoaret.Skip [THEN conseqPre]*) (*blast intro: exec.Skip*)

next

case (*Basic f*)

show $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Basic } f, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$

\wedge

$\Gamma \vdash \text{the } (\Gamma p) \downarrow \text{Normal } \sigma \wedge$

$(\exists cs \text{ css. } \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Basic } f \# cs, \text{css}, \text{Normal}$
 $s))\}$

Basic f

$\{t. \Gamma \vdash \langle \text{Basic } f, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$

$\{t. \Gamma \vdash \langle \text{Basic } f, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

by (*rule hoaret.Basic [THEN conseqPre]*) (*blast intro: exec.Basic*)

next

case (*Spec r*)

show $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Spec } r, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma p) \downarrow \text{Normal } \sigma \wedge$

$(\exists cs \text{ css. } \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Spec } r \# cs, \text{css}, \text{Normal } s))\}$

Spec r

```

      {t.  $\Gamma \vdash \langle \text{Spec } r, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ },
      {t.  $\Gamma \vdash \langle \text{Spec } r, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ }
    apply (rule hoaret.Spec [THEN conseqPre])
    apply (clarsimp)
    apply (case-tac  $\exists t. (Z, t) \in r$ )
    apply (auto elim: exec-elim-cases simp add: final-notin-def intro: exec.intros)
  done
next
case (Seq c1 c2)
have hyp-c1:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
 $\Gamma \vdash_{\text{the } (\Gamma p)} \downarrow \text{Normal } \sigma \wedge$ 
 $(\exists cs \text{ css}. \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (c1 \# cs, \text{css}, \text{Normal } s))\}$ 
 $c1$ 
 $\{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
 $\{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
using Seq.hyps by iprover
have hyp-c2:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
 $\Gamma \vdash_{\text{the } (\Gamma p)} \downarrow \text{Normal } \sigma \wedge$ 
 $(\exists cs \text{ css}. \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (c2 \# cs, \text{css}, \text{Normal } s))\}$ 
 $c2$ 
 $\{t. \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
 $\{t. \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
using Seq.hyps by iprover
have c1:  $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Seq } c1 \text{ } c2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
 $\Gamma \vdash_{\text{the } (\Gamma p)} \downarrow \text{Normal } \sigma \wedge$ 
 $(\exists cs \text{ css}. \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Seq } c1 \text{ } c2 \# cs, \text{css}, \text{Normal } s))\}$ 
 $c1$ 
 $\{t. \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t \wedge$ 
 $\Gamma \vdash \langle c2, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$ 
 $\Gamma \vdash_{\text{the } (\Gamma p)} \downarrow \text{Normal } \sigma \wedge$ 
 $(\exists cs \text{ css}. \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (c2 \# cs, \text{css}, \text{Normal } t))\},$ 
 $\{t. \Gamma \vdash \langle \text{Seq } c1 \text{ } c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
proof (rule ConseqMGT [OF hyp-c1], clarify, safe)
  assume  $\Gamma \vdash \langle \text{Seq } c1 \text{ } c2, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
  thus  $\Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 
  by (blast dest: Seq-NoFaultStuckD1)
next
fix cs css
assume  $\Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Seq } c1 \text{ } c2 \# cs, \text{css}, \text{Normal } Z)$ 
thus  $\exists cs \text{ css}. \Gamma \vdash ([\text{the } (\Gamma p)], [], \text{Normal } \sigma) \rightarrow^* (c1 \# cs, \text{css}, \text{Normal } Z)$ 
by (blast intro: rtranclp-into-tranclp1 [THEN tranclp-into-rtranclp]
step.Seq)
next
fix t
assume  $\Gamma \vdash \langle \text{Seq } c1 \text{ } c2, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$ 

```

$\Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Normal\ t$
thus $\Gamma \vdash \langle c2, Normal\ t \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F))$
by (*blast dest: Seq-NoFaultStuckD2*)
next
fix $cs\ css\ t$
assume $\Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (Seq\ c1\ c2 \# cs, css, Normal\ Z)$
also have $\Gamma \vdash (Seq\ c1\ c2 \# cs, css, Normal\ Z) \rightarrow (c1 \# c2 \# cs, css, Normal\ Z)$
by (*rule step.Seq*)
also assume $\Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Normal\ t$
hence $\Gamma \vdash (c1 \# c2 \# cs, css, Normal\ Z) \rightarrow^* (c2 \# cs, css, Normal\ t)$
by (*rule exec-impl-steps*)
finally
show $\exists cs\ css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (c2 \# cs, css, Normal\ t)$
by *iprover*
next
fix t
assume $\Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Abrupt\ t$
thus $\Gamma \vdash \langle Seq\ c1\ c2, Normal\ Z \rangle \Rightarrow Abrupt\ t$
by (*blast intro: exec.intros*)
qed
show $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle Seq\ c1\ c2, Normal\ s \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F))\}$
 \wedge
 $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ \sigma \wedge$
 $(\exists cs\ css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (Seq\ c1\ c2 \# cs, css, Normal\ s))\}$
 $Seq\ c1\ c2$
 $\{t. \Gamma \vdash \langle Seq\ c1\ c2, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle Seq\ c1\ c2, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
by (*rule hoaret.Seq [OF c1 ConseqMGT [OF hyp-c2]]*)
(blast intro: exec.intros)
next
case (*Cond b c1 c2*)
have *hyp-c1*:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle c1, Normal\ s \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F)) \wedge$
 $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ \sigma \wedge$
 $(\exists cs\ css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (c1 \# cs, css, Normal\ s))\}$
 $c1$
 $\{t. \Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle c1, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
using *Cond.hyps* **by** *iprover*
have
 $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ s \rangle \Rightarrow \notin(\{Stuck\} \cup Fault\ ' (-F))\}$
 \wedge
 $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ \sigma \wedge$
 $(\exists cs\ css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (Cond\ b\ c1\ c2 \# cs, css, Normal\ s))\}$
 $\cap\ b)$
 $c1$
 $\{t. \Gamma \vdash \langle Cond\ b\ c1\ c2, Normal\ Z \rangle \Rightarrow Normal\ t\},$

```

      {t.  $\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ }
proof (rule ConseqMGT [OF hyp-c1], safe)
  assume  $Z \in b \ \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } ' (-F))$ 
  thus  $\Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } ' (-F))$ 
    by (auto simp add: final-notin-def intro: exec.CondTrue)
next
  fix cs css
  assume  $Z \in b$ 
   $\Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Cond } b \ c1 \ c2 \ \# \ cs, \text{css}, \text{Normal } Z)$ 
  thus  $\exists \ cs \ \text{css}. \ \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (c1 \ \# \ cs, \text{css}, \text{Normal } Z)$ 
    by (blast intro: rtrancplp-into-trancplp [THEN trancplp-into-rtrancplp]
      step.CondTrue)
next
  fix t assume  $Z \in b \ \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  thus  $\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
    by (blast intro: exec.CondTrue)
next
  fix t assume  $Z \in b \ \Gamma \vdash \langle c1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
  thus  $\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
    by (blast intro: exec.CondTrue)
qed
moreover
have hyp-c2:
   $\forall \ Z. \ \Gamma, \Theta \vdash_{t/F} \{s. \ s = Z \wedge \Gamma \vdash \langle c2, \text{Normal } s \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } ' (-F)) \wedge$ 
     $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$ 
     $(\exists \ cs \ \text{css}. \ \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (c2 \ \# \ cs, \text{css}, \text{Normal } s))\}$ 
    c2
     $\{t. \ \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \ \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  using Cond.hyps by iprover
have
 $\Gamma, \Theta \vdash_{t/F} (\{s. \ s = Z \wedge \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } ' (-F))$ 
 $\wedge$ 
     $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$ 
     $(\exists \ cs \ \text{css}. \ \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Cond } b \ c1 \ c2 \ \# \ cs, \text{css}, \text{Normal } s))\}$ 
     $\cap -b)$ 
    c2
     $\{t. \ \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \ \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
proof (rule ConseqMGT [OF hyp-c2], safe)
  assume  $Z \notin b \ \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } ' (-F))$ 
  thus  $\Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } ' (-F))$ 
    by (auto simp add: final-notin-def intro: exec.CondFalse)
next
  fix cs css
  assume  $Z \notin b$ 
   $\Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Cond } b \ c1 \ c2 \ \# \ cs, \text{css}, \text{Normal } Z)$ 
  thus  $\exists \ cs \ \text{css}. \ \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (c2 \ \# \ cs, \text{css}, \text{Normal } Z)$ 

```

by (blast intro: rtrancplp-into-trancplp1 [THEN trancplp-into-rtrancplp]
 step.CondFalse)
 next
 fix t assume $Z \notin b \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$
 thus $\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$
 by (blast intro: exec.CondFalse)
 next
 fix t assume $Z \notin b \Gamma \vdash \langle c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$
 thus $\Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$
 by (blast intro: exec.CondFalse)
 qed
 ultimately
 show
 $\Gamma, \Theta \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
 \wedge
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \ css. \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Cond } b \ c1 \ c2 \# cs, css, \text{Normal } s))\}$
 $(\text{Cond } b \ c1 \ c2)$
 $\{t. \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Cond } b \ c1 \ c2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
 by (rule hoaret.Cond)
 next
 case (While b c)
 let ?unroll = $(\{(s, t). s \in b \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\})^*$
 let ?P' = $\lambda Z. \{t. (Z, t) \in ?unroll \wedge$
 $(\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$
 $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \ css. \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^*$
 $(\text{While } b \ c \# cs, css, \text{Normal } t))\}$
 let ?A = $\lambda Z. \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
 let ?r = $\{(t, s). \Gamma \vdash (\text{While } b \ c) \downarrow \text{Normal } s \wedge s \in b \wedge$
 $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\}$
 show $\Gamma, \Theta \vdash_{t/F}$
 $\{s. s=Z \wedge \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \ css. \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{While } b \ c \# cs, css, \text{Normal } s))\}$
 $(\text{While } b \ c)$
 $\{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
 proof (rule ConseqMGT [where ?P' = $\lambda Z. ?P' Z$
 and ?Q' = $\lambda Z. ?P' Z \cap - b$])
 have wf-r: wf ?r by (rule wf-terminates-while)
 show $\forall Z. \Gamma, \Theta \vdash_{t/F} (?P' Z) (\text{While } b \ c) (?P' Z \cap - b), (?A Z)$
 proof (rule allI, rule hoaret.While [OF wf-r])
 fix Z

from *While*
have *hyp-c*: $\forall Z. \Gamma, \Theta \vdash_t / F$
 $\{s. s=Z \wedge \Gamma \vdash \langle c, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ \sigma \wedge$
 $(\exists cs\ css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (c\ \# \ cs, css, Normal\ s))\}$
 $\quad c$
 $\{t. \Gamma \vdash \langle c, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle c, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$ **by** *iprover*
show $\forall \sigma. \Gamma, \Theta \vdash_t / F\ (\{\sigma\} \cap ?P' Z \cap b)\ c$
 $(\{t. (t, \sigma) \in ?r\} \cap ?P' Z, (?A\ Z))$
proof (*rule allI*, *rule ConseqMGT* [*OF hyp-c*])
fix $\tau\ s$
assume *asm*: $s \in \{\tau\} \cap$
 $\{t. (Z, t) \in ?unroll \wedge$
 $(\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u \longrightarrow$
 $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ u)) \wedge$
 $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ \sigma \wedge$
 $(\exists cs\ css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^*$
 $(While\ b\ c\ \# \ cs, css, Normal\ t))\}$
 $\quad \cap\ b$
then obtain *cs css* **where**
s-eq- τ : $s = \tau$ **and**
Z-s-unroll: $(Z, s) \in ?unroll$ **and**
noabort: $\forall e. (Z, e) \in ?unroll \longrightarrow e \in b$
 $\longrightarrow \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$
 $(\forall u. \Gamma \vdash \langle c, Normal\ e \rangle \Rightarrow Abrupt\ u \longrightarrow$
 $\Gamma \vdash \langle While\ b\ c, Normal\ Z \rangle \Rightarrow Abrupt\ u)$ **and**
termi: $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ \sigma$ **and**
reach: $\Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^*$
 $(While\ b\ c\ \# \ cs, css, Normal\ s)$ **and**
s-in-b: $s \in b$
by *blast*
have *reach-c*:
 $\Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (c\ \# \ While\ b\ c\ \# \ cs, css, Normal\ s)$
proof –
note *reach*
also from *s-in-b*
have $\Gamma \vdash (While\ b\ c\ \# \ cs, css, Normal\ s) \rightarrow$
 $(c\ \# \ While\ b\ c\ \# \ cs, css, Normal\ s)$
by (*rule step.WhileTrue*)
finally
show *?thesis* .
qed
from *reach termi* **have**
termi-while: $\Gamma \vdash While\ b\ c \downarrow Normal\ s$
by (*rule steps-preserves-termination*)
show $s \in \{t. t = s \wedge \Gamma \vdash \langle c, Normal\ t \rangle \Rightarrow \neg(\{Stuck\} \cup Fault \text{ ' } (-F)) \wedge$

$$\begin{aligned}
& \Gamma \vdash_{the} (\Gamma \ p) \downarrow Normal \ \sigma \wedge \\
& (\exists cs \ css. \Gamma \vdash ([the \ (\Gamma \ p)], [], Normal \ \sigma) \rightarrow^* (c \# cs, css, Normal \ t)) \wedge \\
& (\forall t. t \in \{t. \Gamma \vdash \langle c, Normal \ s \rangle \Rightarrow Normal \ t\} \longrightarrow \\
& \quad t \in \{t. (t, \tau) \in ?r\} \cap \\
& \quad \{t. (Z, t) \in ?unroll \wedge \\
& \quad (\forall e. (Z, e) \in ?unroll \longrightarrow e \in b \\
& \quad \longrightarrow \Gamma \vdash \langle c, Normal \ e \rangle \Rightarrow \notin (\{Stuck\} \cup Fault \ ' (-F)) \wedge \\
& \quad (\forall u. \Gamma \vdash \langle c, Normal \ e \rangle \Rightarrow Abrupt \ u \longrightarrow \\
& \quad \Gamma \vdash \langle While \ b \ c, Normal \ Z \rangle \Rightarrow Abrupt \ u)) \wedge \\
& \quad \Gamma \vdash_{the} (\Gamma \ p) \downarrow Normal \ \sigma \wedge \\
& \quad (\exists cs \ css. \Gamma \vdash ([the \ (\Gamma \ p)], [], Normal \ \sigma) \rightarrow^* \\
& \quad (While \ b \ c \ \# \ cs, css, Normal \ t))) \wedge \\
& (\forall t. t \in \{t. \Gamma \vdash \langle c, Normal \ s \rangle \Rightarrow Abrupt \ t\} \longrightarrow \\
& \quad t \in \{t. \Gamma \vdash \langle While \ b \ c, Normal \ Z \rangle \Rightarrow Abrupt \ t\}) \\
& (\text{is } ?C1 \wedge ?C2 \wedge ?C3) \\
\text{proof } & (intro \ conjI) \\
& \text{from } Z\text{-s-unroll noabort s-in-b termi reach-c show } ?C1 \\
& \text{by blast} \\
\text{next} & \\
\{ & \\
& \text{fix } t \\
& \text{assume } s\text{-t: } \Gamma \vdash \langle c, Normal \ s \rangle \Rightarrow Normal \ t \\
& \text{with } s\text{-eq-}\tau \text{ termi-while s-in-b have } (t, \tau) \in ?r \\
& \text{by blast} \\
& \text{moreover} \\
& \text{from } Z\text{-s-unroll s-t s-in-b} \\
& \text{have } (Z, t) \in ?unroll \\
& \text{by (blast intro: rtrancl-into-rtrancl)} \\
& \text{moreover} \\
& \text{have } \Gamma \vdash ([the \ (\Gamma \ p)], [], Normal \ \sigma) \rightarrow^* (While \ b \ c \ \# \ cs, css, Normal \ t) \\
& \text{proof } - \\
& \text{note reach-c} \\
& \text{also from s-t} \\
& \text{have } \Gamma \vdash (c \ \# \ While \ b \ c \ \# \ cs, css, Normal \ s) \rightarrow^* \\
& \quad (While \ b \ c \ \# \ cs, css, Normal \ t) \\
& \text{by (rule exec-impl-steps)} \\
& \text{finally show } ?thesis . \\
& \text{qed} \\
& \text{moreover note noabort termi} \\
& \text{ultimately} \\
& \text{have } (t, \tau) \in ?r \wedge (Z, t) \in ?unroll \wedge \\
& \quad (\forall e. (Z, e) \in ?unroll \longrightarrow e \in b \\
& \quad \longrightarrow \Gamma \vdash \langle c, Normal \ e \rangle \Rightarrow \notin (\{Stuck\} \cup Fault \ ' (-F)) \wedge \\
& \quad (\forall u. \Gamma \vdash \langle c, Normal \ e \rangle \Rightarrow Abrupt \ u \longrightarrow \\
& \quad \Gamma \vdash \langle While \ b \ c, Normal \ Z \rangle \Rightarrow Abrupt \ u)) \wedge \\
& \quad \Gamma \vdash_{the} (\Gamma \ p) \downarrow Normal \ \sigma \wedge \\
& \quad (\exists cs \ css. \Gamma \vdash ([the \ (\Gamma \ p)], [], Normal \ \sigma) \rightarrow^* \\
& \quad (While \ b \ c \ \# \ cs, css, Normal \ t))) \\
& \text{by iprover}
\end{aligned}$$

```

    }
    then show ?C2 by blast
next
{
  fix t
  assume s-t:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t$ 
  from Z-s-unroll noabort s-t s-in-b
  have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
    by blast
} thus ?C3 by simp
qed
qed
qed
next
fix s
  assume P:  $s \in \{s. s=Z \wedge \Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault}) \wedge$ 
     $(-F)) \wedge$ 
     $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$ 
     $(\exists cs \ css. \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^*$ 
     $(\text{While } b \ c \# cs, css, \text{Normal } s)))$ 
  hence WhileNoFault:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault}) \wedge (-F))$ 
    by auto
  show  $s \in ?P' \ s \wedge$ 
     $(\forall t. t \in (?P' \ s \cap - \ b) \longrightarrow$ 
     $t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}) \wedge$ 
     $(\forall t. t \in ?A \ s \longrightarrow t \in ?A \ Z)$ 
  proof (intro conjI)
  {
    fix e
    assume (Z,e)  $\in ?unroll \ e \in b$ 
    from this WhileNoFault
    have  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault}) \wedge (-F)) \wedge$ 
     $(\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$ 
     $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u)$  (is ?Prop Z e)
    proof (induct rule: converse-rtrancl-induct [consumes 1])
    assume e-in-b:  $e \in b$ 
    assume WhileNoFault:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault}) \wedge (-F))$ 
    with e-in-b WhileNoFault
    have cNoFault:  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault}) \wedge (-F))$ 
    by (auto simp add: final-notin-def intro: exec.intros)
    moreover
    {
      fix u assume  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$ 
      with e-in-b have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$ 
      by (blast intro: exec.intros)
    }
  }
  ultimately
  show ?Prop e e

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    by iprover
  next
  fix Z r
  assume e-in-b:  $e \in b$ 
  assume WhileNoFault:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } ' (-F))$ 
  assume hyp:  $\llbracket e \in b; \Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } ' (-F)) \rrbracket$ 
     $\implies ?Prop \ r \ e$ 
  assume Z-r:
     $(Z, r) \in \{(Z, r). Z \in b \wedge \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r\}$ 
  with WhileNoFault
  have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } ' (-F))$ 
    by (auto simp add: final-notin-def intro: exec.intros)
  from hyp [OF e-in-b this] obtain
    cNoFault:  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \notin(\{Stuck\} \cup \text{Fault } ' (-F))$  and
    Abrupt-r:  $\forall u. \Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u \longrightarrow$ 
       $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Abrupt } u$ 
  by simp

  {
    fix u assume  $\Gamma \vdash \langle c, \text{Normal } e \rangle \Rightarrow \text{Abrupt } u$ 
    with Abrupt-r have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Abrupt } u$  by simp
    moreover from Z-r obtain
       $Z \in b \ \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r$ 
    by simp
    ultimately have  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } u$ 
    by (blast intro: exec.intros)
  }
  with cNoFault show  $?Prop \ Z \ e$ 
    by iprover
  qed
}
with P show  $s \in ?P' \ s$ 
  by blast
next
{
  fix t
  assume termination:  $t \notin b$ 
  assume  $(Z, t) \in ?unroll$ 
  hence  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  proof (induct rule: converse-rtrancl-induct [consumes 1])
    from termination
    show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } t \rangle \Rightarrow \text{Normal } t$ 
      by (blast intro: exec.WhileFalse)
  next
  fix Z r
  assume first-body:
     $(Z, r) \in \{(s, t). s \in b \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \text{Normal } t\}$ 
  assume  $(r, t) \in ?unroll$ 

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assume rest-loop:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Normal } t$ 
show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
proof –
  from first-body obtain
     $Z \in b \ \Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } r$ 
  by fast
  moreover
    from rest-loop have
       $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } r \rangle \Rightarrow \text{Normal } t$ 
    by fast
  ultimately show  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  by (rule exec.WhileTrue)
qed
qed
}
with P
show  $\forall t. t \in (?P' \ s \cap - \ b)$ 
   $\longrightarrow t \in \{t. \Gamma \vdash \langle \text{While } b \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}$ 
by blast
next
from P show  $\forall t. t \in ?A \ s \longrightarrow t \in ?A \ Z$ 
by simp
qed
qed
next
case (Call q)
let  $?P = \{s. s = Z \wedge \Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } '(-F)) \wedge$ 
   $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$ 
   $(\exists cs \ \text{css}. \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^*$ 
   $(\text{Call } q \ \# \ cs, \text{css}, \text{Normal } s))\}$ 
from noStuck-Call
have  $\forall s \in ?P. q \in \text{dom } \Gamma$ 
by (fastforce simp add: final-notin-def)
then show ?case
proof (rule consequ-extract-state-indep-prop)
  assume q-defined:  $q \in \text{dom } \Gamma$ 
  from Call-hyp have
     $\forall q \in \text{dom } \Gamma. \forall Z.$ 
     $\Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle \text{the } (\Gamma \ q), \text{Normal } s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } '(-F)) \wedge$ 
     $\Gamma \vdash (\text{the } (\Gamma \ q)) \downarrow \text{Normal } s \wedge ((s, q), (\sigma, p)) \in \text{termi-call-steps } \Gamma\}$ 
    (Call q)
     $\{t. \Gamma \vdash \langle \text{the } (\Gamma \ q), \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \Gamma \vdash \langle \text{the } (\Gamma \ q), \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  by (simp add: exec-Call-body' noFaultStuck-Call-body' [simplified])
  terminates-Normal-Call-body)
from Call-hyp q-defined have Call-hyp':
   $\forall Z. \Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } '(-F)) \wedge$ 
   $\Gamma \vdash \text{Call } q \downarrow \text{Normal } s \wedge ((s, q), (\sigma, p)) \in \text{termi-call-steps } \Gamma\}$ 
  (Call q)

```

$\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
by *auto*
show
 $\Gamma, \Theta \vdash_{t/F} ?P$
 $(\text{Call } q)$
 $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
proof (*rule ConseqMGT [OF Call-hyp], safe*)
fix *cs css*
assume
 $\Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Call } q \# \text{cs}, \text{css}, \text{Normal } Z)$
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma$
hence $\Gamma \vdash \text{Call } q \downarrow \text{Normal } Z$
by (*rule steps-preserves-termination*)
with *q-defined* **show** $\Gamma \vdash \text{Call } q \downarrow \text{Normal } Z$
by (*auto elim: terminates-Normal-elim-cases*)
next
fix *cs css*
assume *reach*:
 $\Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Call } q \# \text{cs}, \text{css}, \text{Normal } Z)$
moreover **have** $\Gamma \vdash (\text{Call } q \# \text{cs}, \text{css}, \text{Normal } Z) \rightarrow$
 $([\text{the } (\Gamma \ q)], (\text{cs}, \text{Throw} \# \text{cs}) \# \text{css}, \text{Normal } Z)$
by (*rule step.Call (insert q-defined, auto)*)
ultimately
have $\Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^+ ([\text{the } (\Gamma \ q)], (\text{cs}, \text{Throw} \# \text{cs}) \# \text{css}, \text{Normal } Z)$
by (*rule rtrancplp-into-trancplp1*)
moreover
assume *termi*: $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma$
ultimately
show $((Z, q), \sigma, p) \in \text{termi-call-steps } \Gamma$
by (*auto simp add: termi-call-steps-def*)
qed
qed
next
case (*DynCom c*)
have *hyp*:
 $\bigwedge s'. \forall Z. \Gamma, \Theta \vdash_{t/F}$
 $\{s. s = Z \wedge \Gamma \vdash \langle c \ s', \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists \text{cs css. } \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (c \ s' \# \text{cs}, \text{css}, \text{Normal } s))\}$
 $(c \ s')$
 $\{t. \Gamma \vdash \langle c \ s', \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c \ s', \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
using *DynCom* **by** *simp*
have *hyp'*:
 $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{DynCom } c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists \text{cs css. } \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{DynCom } c \# \text{cs}, \text{css}, \text{Normal } s))\}$

```

s)))}
  (c Z)
  {t.  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ }, {t.  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow$ 
Abrupt t}
proof (rule ConseqMGT [OF hyp], safe)
  assume  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$ 
  then show  $\Gamma \vdash \langle c \text{ Z}, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F))$ 
  by (fastforce simp add: final-notin-def intro: exec.intros)
next
  fix cs css
  assume  $\Gamma \vdash ([\text{the } (\Gamma \text{ p})], [], \text{Normal } \sigma) \rightarrow^* (\text{DynCom } c \# \text{cs}, \text{css}, \text{Normal } Z)$ 
  also have  $\Gamma \vdash (\text{DynCom } c \# \text{cs}, \text{css}, \text{Normal } Z) \rightarrow (c \text{ Z} \# \text{cs}, \text{css}, \text{Normal } Z)$ 
  by (rule step.DynCom)
  finally
  show  $\exists \text{cs css. } \Gamma \vdash ([\text{the } (\Gamma \text{ p})], [], \text{Normal } \sigma) \rightarrow^* (c \text{ Z} \# \text{cs}, \text{css}, \text{Normal } Z)$ 
  by blast
next
  fix t
  assume  $\Gamma \vdash \langle c \text{ Z}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  thus  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  by (auto intro: exec.intros)
next
  fix t
  assume  $\Gamma \vdash \langle c \text{ Z}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
  thus  $\Gamma \vdash \langle \text{DynCom } c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
  by (auto intro: exec.intros)
qed
show ?case
  apply (rule hoaret.DynCom)
  apply safe
  apply (rule hyp')
  done
next
  case (Guard f g c)
  have hyp-c:  $\forall Z. \Gamma, \Theta \vdash_t /_F$ 
    {s.  $s = Z \wedge \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F)) \wedge$ 
 $\Gamma \vdash \text{the } (\Gamma \text{ p}) \downarrow \text{Normal } \sigma \wedge$ 
 $(\exists \text{cs css. } \Gamma \vdash ([\text{the } (\Gamma \text{ p})], [], \text{Normal } \sigma) \rightarrow^* (c \# \text{cs}, \text{css}, \text{Normal } s))$ }
    c
    {t.  $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ },
    {t.  $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ }
  using Guard.hyps by iprover
  show  $\Gamma, \Theta \vdash_t /_F \{s. s = Z \wedge \Gamma \vdash \langle \text{Guard } f \text{ g } c, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F)) \wedge$ 
 $\Gamma \vdash \text{the } (\Gamma \text{ p}) \downarrow \text{Normal } \sigma \wedge$ 
 $(\exists \text{cs css. } \Gamma \vdash ([\text{the } (\Gamma \text{ p})], [], \text{Normal } \sigma) \rightarrow^* (\text{Guard } f \text{ g } c \# \text{cs}, \text{css}, \text{Normal } s))$ 
s)))}
  Guard f g c
  {t.  $\Gamma \vdash \langle \text{Guard } f \text{ g } c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ },

```

$\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
proof (*cases* $f \in F$)
case *True*
have $\Gamma, \Theta \vdash_{t/F} (g \cap \{s. s=Z \wedge$
 $\Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \ css. \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Guard } f \ g \ c \# cs, css, \text{Normal}$
 $s)))$
 c
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
proof (*rule* *ConseqMGT* [*OF hyp-c*], *safe*)
assume $\Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \ Z \in g$
thus $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
by (*auto simp add: final-notin-def intro: exec.intros*)
next
fix $cs \ css$
assume $\Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Guard } f \ g \ c \# cs, css, \text{Normal } Z)$
also
assume $Z \in g$
hence $\Gamma \vdash (\text{Guard } f \ g \ c \# cs, css, \text{Normal } Z) \rightarrow (c \# cs, css, \text{Normal } Z)$
by (*rule step.Guard*)
finally show $\exists cs \ css. \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (c \# cs, css, \text{Normal } Z)$
by *iprover*
next
fix t
assume $\Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
 $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t \ Z \in g$
thus $\Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \text{Normal } t$
by (*auto simp add: final-notin-def intro: exec.intros*)
next
fix t
assume $\Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
 $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t \ Z \in g$
thus $\Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$
by (*auto simp add: final-notin-def intro: exec.intros*)
qed
from *True this show ?thesis*
by (*rule conseqPre* [*OF Guarantee*]) *auto*
next
case *False*
have $\Gamma, \Theta \vdash_{t/F} (g \cap \{s. s=Z \wedge$
 $\Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \ css. \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Guard } f \ g \ c \# cs, css, \text{Normal}$
 $s)))$
 c
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Guard } f \ g \ c \ , \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

```

proof (rule ConseqMGT [OF hyp-c], safe)
  assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F))$ 
  thus  $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F))$ 
  using False
  by (cases  $Z \in g$ ) (auto simp add: final-notin-def intro: exec.intros)
next
  fix cs css
  assume  $\Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Guard } f \ g \ c \# \text{cs}, \text{css}, \text{Normal } Z)$ 
  also assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F))$ 
  hence  $Z \in g$ 
  using False by (auto simp add: final-notin-def intro: exec.GuardFault)
  hence  $\Gamma \vdash (\text{Guard } f \ g \ c \# \text{cs}, \text{css}, \text{Normal } Z) \rightarrow (c \# \text{cs}, \text{css}, \text{Normal } Z)$ 
  by (rule step.Guard)
  finally show  $\exists \text{cs css. } \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (c \# \text{cs}, \text{css}, \text{Normal } Z)$ 
  by iprover
next
  fix t
  assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F))$ 
   $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  thus  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$ 
  using False
  by (cases  $Z \in g$ ) (auto simp add: final-notin-def intro: exec.intros )
next
  fix t
  assume  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F))$ 
   $\Gamma \vdash \langle c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
  thus  $\Gamma \vdash \langle \text{Guard } f \ g \ c, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$ 
  using False
  by (cases  $Z \in g$ ) (auto simp add: final-notin-def intro: exec.intros )
qed
then show ?thesis
  apply (rule conseqPre [OF hoaret.Guard])
  apply clarify
  apply (frule Guard-noFaultStuckD [OF - False])
  apply auto
  done
qed
next
  case Throw
  show  $\Gamma, \Theta \vdash_{t/F} \{ s. s = Z \wedge \Gamma \vdash \langle \text{Throw}, \text{Normal } s \rangle \Rightarrow \notin (\{ \text{Stuck} \} \cup \text{Fault } '(-F)) \wedge$ 
 $\Gamma \vdash \text{the } (\Gamma \ p) \downarrow \text{Normal } \sigma \wedge$ 
 $(\exists \text{cs css. } \Gamma \vdash ([\text{the } (\Gamma \ p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Throw} \# \text{cs}, \text{css}, \text{Normal } s)) \}$ 
 $\text{Throw}$ 
 $\{ t. \Gamma \vdash \langle \text{Throw}, \text{Normal } Z \rangle \Rightarrow \text{Normal } t \},$ 
 $\{ t. \Gamma \vdash \langle \text{Throw}, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t \}$ 
  by (rule conseqPre [OF hoaret.Throw])
  (blast intro: exec.intros terminates.intros)
next
  case (Catch c1 c2)

```


have *hyp-c1*:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle c_1, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \text{ } p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \text{ } css. \Gamma \vdash ([\text{the } (\Gamma \text{ } p)], [], \text{Normal } \sigma) \rightarrow^* (c_1 \# cs, css, \text{Normal } s))\}$
 $\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
using *Catch.hyps* **by** *iprover*
have *hyp-c2*:
 $\forall Z. \Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle c_2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{the } (\Gamma \text{ } p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \text{ } css. \Gamma \vdash ([\text{the } (\Gamma \text{ } p)], [], \text{Normal } \sigma) \rightarrow^* (c_2 \# cs, css, \text{Normal } s))\}$
 $\{t. \Gamma \vdash \langle c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}, \{t. \Gamma \vdash \langle c_2, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
using *Catch.hyps* **by** *iprover*
have
 $\Gamma, \Theta \vdash_{t/F} \{s. s = Z \wedge \Gamma \vdash \langle \text{Catch } c_1 \text{ } c_2, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
 \wedge
 $\Gamma \vdash \text{the } (\Gamma \text{ } p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \text{ } css. \Gamma \vdash ([\text{the } (\Gamma \text{ } p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Catch } c_1 \text{ } c_2 \# cs, css, \text{Normal } s))\}$
 \wedge
 $\{t. \Gamma \vdash \langle \text{Catch } c_1 \text{ } c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t \wedge$
 $\Gamma \vdash \langle c_2, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F)) \wedge \Gamma \vdash \text{the } (\Gamma \text{ } p) \downarrow \text{Normal } \sigma \wedge$
 $(\exists cs \text{ } css. \Gamma \vdash ([\text{the } (\Gamma \text{ } p)], [], \text{Normal } \sigma) \rightarrow^* (c_2 \# cs, css, \text{Normal } t))\}$
proof (*rule ConseqMGT [OF hyp-c1], clarify, safe*)
assume $\Gamma \vdash \langle \text{Catch } c_1 \text{ } c_2, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
thus $\Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
by (*fastforce simp add: final-notin-def intro: exec.intros*)
next
fix *cs css*
assume $\Gamma \vdash ([\text{the } (\Gamma \text{ } p)], [], \text{Normal } \sigma) \rightarrow^* (\text{Catch } c_1 \text{ } c_2 \# cs, css, \text{Normal } Z)$
also have
 $\Gamma \vdash (\text{Catch } c_1 \text{ } c_2 \# cs, css, \text{Normal } Z) \rightarrow ([c_1], (cs, c_2 \# cs) \# css, \text{Normal } Z)$
by (*rule step.Catch*)
finally
show $\exists cs \text{ } css. \Gamma \vdash ([\text{the } (\Gamma \text{ } p)], [], \text{Normal } \sigma) \rightarrow^* (c_1 \# cs, css, \text{Normal } Z)$
by *iprover*
next
fix *t*
assume $\Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$
thus $\Gamma \vdash \langle \text{Catch } c_1 \text{ } c_2, \text{Normal } Z \rangle \Rightarrow \text{Normal } t$
by (*auto intro: exec.intros*)
next
fix *t*
assume $\Gamma \vdash \langle \text{Catch } c_1 \text{ } c_2, \text{Normal } Z \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$
 $\Gamma \vdash \langle c_1, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t$
thus $\Gamma \vdash \langle c_2, \text{Normal } t \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } '(-F))$

by (auto simp add: final-notin-def intro: exec.intros)
 next
 fix cs css t
 assume $\Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (Catch\ c_1\ c_2\ \# cs, css, Normal\ Z)$
 also have
 $\Gamma \vdash (Catch\ c_1\ c_2\ \# cs, css, Normal\ Z) \rightarrow ([c_1], (cs, c_2 \# cs) \# css, Normal\ Z)$
 by (rule step.Catch)
 also
 assume $\Gamma \vdash \langle c_1, Normal\ Z \rangle \Rightarrow Abrupt\ t$
 hence $\Gamma \vdash ([c_1], (cs, c_2 \# cs) \# css, Normal\ Z) \rightarrow^* ([], (cs, c_2 \# cs) \# css, Abrupt\ t)$
 by (rule exec-impl-steps)
 also
 have $\Gamma \vdash ([], (cs, c_2 \# cs) \# css, Abrupt\ t) \rightarrow (c_2 \# cs, css, Normal\ t)$
 by (rule step.intros)
 finally
 show $\exists cs\ css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (c_2 \# cs, css, Normal\ t)$
 by iprover
 qed
 moreover
 have $\Gamma, \Theta \vdash_{t/F} \{t. \Gamma \vdash \langle c_1, Normal\ Z \rangle \Rightarrow Abrupt\ t \wedge$
 $\Gamma \vdash \langle c_2, Normal\ t \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ '(-F)) \wedge$
 $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ \sigma \wedge$
 $(\exists cs\ css. \Gamma \vdash ([the\ (\Gamma\ p)], [], Normal\ \sigma) \rightarrow^* (c_2 \# cs, css, Normal\ t))\}$
 $\overset{c_2}{\{t. \Gamma \vdash \langle Catch\ c_1\ c_2, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle Catch\ c_1\ c_2, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
 by (rule ConseqMGT [OF hyp-c2]) (fastforce intro: exec.intros)
 ultimately show ?case
 by (rule hoaret.Catch)
 qed

To prove a procedure implementation correct it suffices to assume only the procedure specifications of procedures that actually occur during evaluation of the body.

lemma *Call-lemma*:

assumes
 $Call: \forall q \in dom\ \Gamma. \forall Z. \Gamma, \Theta \vdash_{t/F}$
 $\{s. s=Z \wedge \Gamma \vdash \langle Call\ q, Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ '(-F)) \wedge$
 $\Gamma \vdash Call\ q \downarrow Normal\ s \wedge ((s, q), (\sigma, p)) \in termi-call-steps\ \Gamma\}$
 $(Call\ q)$
 $\{t. \Gamma \vdash \langle Call\ q, Normal\ Z \rangle \Rightarrow Normal\ t\},$
 $\{t. \Gamma \vdash \langle Call\ q, Normal\ Z \rangle \Rightarrow Abrupt\ t\}$
shows $\bigwedge Z. \Gamma, \Theta \vdash_{t/F}$
 $(\{\sigma\} \cap \{s. s=Z \wedge \Gamma \vdash the\ (\Gamma\ p), Normal\ s \rangle \Rightarrow \neg(\{Stuck\} \cup Fault\ '(-F))$
 \wedge
 $\Gamma \vdash the\ (\Gamma\ p) \downarrow Normal\ s\}$
 $the\ (\Gamma\ p)$
 $\{t. \Gamma \vdash \langle the\ (\Gamma\ p), Normal\ Z \rangle \Rightarrow Normal\ t\},$

$\{t. \Gamma \vdash \langle \text{the } (\Gamma \ p), \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
apply (rule *conseqPre*)
apply (rule *Call-lemma'*)
apply (rule *Call*)
apply *blast*
done

lemma *Call-lemma-switch-Call-body*:
assumes
call: $\forall q \in \text{dom } \Gamma. \forall Z. \Gamma, \Theta \vdash_t / F$
 $\{s. s=Z \wedge \Gamma \vdash \langle \text{Call } q, \text{Normal } s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{Call } q \downarrow \text{Normal } s \wedge ((s, q), (\sigma, p)) \in \text{termi-call-steps } \Gamma\}$
 $(\text{Call } q)$
 $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } q, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
assumes *p-defined*: $p \in \text{dom } \Gamma$
shows $\bigwedge Z. \Gamma, \Theta \vdash_t / F$
 $(\{\sigma\} \cap \{s. s=Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s\})$
 $\text{the } (\Gamma \ p)$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
apply (*simp only*: *exec-Call-body'* [*OF p-defined*] *noFaultStuck-Call-body'* [*OF p-defined*]
terminates-Normal-Call-body [*OF p-defined*])
apply (rule *conseqPre*)
apply (rule *Call-lemma'*)
apply (rule *call*)
apply *blast*
done

lemma *MGT-Call*:
 $\forall p \in \text{dom } \Gamma. \forall Z.$
 $\Gamma, \Theta \vdash_t / F \{s. s=Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash (\text{Call } p) \downarrow \text{Normal } s\}$
 $(\text{Call } p)$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$
apply (*intro ballI allI*)
apply (rule *CallRec'* [**where** *Procs*=*dom* Γ **and**
 $P=\lambda p \ Z. \{s. s=Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin (\{Stuck\} \cup \text{Fault } '(-F)) \wedge$
 $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s\}$ **and**
 $Q=\lambda p \ Z. \{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\}$ **and**
 $A=\lambda p \ Z. \{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ **and**
 $r=\text{termi-call-steps } \Gamma$
 $\})$
apply *simp*
apply *simp*
apply (rule *wf-termi-call-steps*)

```

apply (intro ballI allI)
apply simp
apply (rule Call-lemma-switch-Call-body [rule-format, simplified])
apply (rule hoaret.Asm)
apply fastforce
apply assumption
done

```

lemma *CollInt-iff*: $\{s. P\ s\} \cap \{s. Q\ s\} = \{s. P\ s \wedge Q\ s\}$
by *auto*

lemma *image-Un-conv*: $f \cdot (\bigcup_{p \in \text{dom } \Gamma} \bigcup Z. \{x\ p\ Z\}) = (\bigcup_{p \in \text{dom } \Gamma} \bigcup Z. \{f\ (x\ p\ Z)\})$
by (*auto iff: not-None-eq*)

Another proof of *MGT-Call*, maybe a little more readable

lemma

$\forall p \in \text{dom } \Gamma. \forall Z.$

$\Gamma, \{\} \vdash_{t/F} \{s. s=Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault } \cdot (-F)) \wedge$
 $\Gamma \vdash (\text{Call } p) \downarrow \text{Normal } s\}$
 $(\text{Call } p)$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$
 $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$

proof –

```

{
  fix p Z σ
  assume defined: p ∈ dom Γ
  define Specs where Specs = (⋃_{p ∈ dom Γ} ⋃ Z.
    {({s. s=Z ∧
      Γ ⊢ ⟨ Call p, Normal s ⟩ ⇒ ¬({Stuck} ∪ Fault · (−F)) ∧
      Γ ⊢ Call p ↓ Normal s},
    p,
    {t. Γ ⊢ ⟨ Call p, Normal Z ⟩ ⇒ Normal t},
    {t. Γ ⊢ ⟨ Call p, Normal Z ⟩ ⇒ Abrupt t})})
  define Specs-wf where Specs-wf p σ = (λ(P,q,Q,A).
    (P ∩ {s. ((s,q),σ,p) ∈ termi-call-steps Γ}, q, Q, A)) · Specs for
    p σ
  have Γ, Specs-wf p σ
    ⊢_{t/F} ({σ} ∩
      {s. s = Z ∧ Γ ⊢ ⟨ the (Γ p), Normal s ⟩ ⇒ ¬({Stuck} ∪ Fault · (−F)) ∧
        Γ ⊢ the (Γ p) ↓ Normal s})
      (the (Γ p))
      {t. Γ ⊢ ⟨ the (Γ p), Normal Z ⟩ ⇒ Normal t},
      {t. Γ ⊢ ⟨ the (Γ p), Normal Z ⟩ ⇒ Abrupt t}
  apply (rule Call-lemma [rule-format])
  apply (rule hoaret.Asm)
  apply (clarsimp simp add: Specs-wf-def Specs-def image-Un-conv)
  apply (rule-tac x=q in bexI)

```

```

    apply (rule-tac x=Z in exI)
    apply (clarsimp simp add: CollInt-iff)
    apply auto
  done
hence  $\Gamma, \text{Specs-wf } p \ \sigma$ 
   $\vdash_{t/F} (\{\sigma\} \cap$ 
     $\{s. s = Z \wedge \Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F)) \wedge$ 
     $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s\})$ 
    (the ( $\Gamma \ p$ ))
     $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\}$ 
  by (simp only: exec-Call-body' [OF defined]
      noFaultStuck-Call-body' [OF defined]
      terminates-Normal-Call-body [OF defined])
} note bdy=this
show ?thesis
  apply (intro ballI allI)
  apply (rule hoaret.CallRec [where Specs=( $\bigcup p \in \text{dom } \Gamma. \bigcup Z.$ 
     $\{(\{s. s = Z \wedge$ 
     $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \neg(\{\text{Stuck}\} \cup \text{Fault} \text{ ' } (-F)) \wedge$ 
     $\Gamma \vdash \text{Call } p \downarrow \text{Normal } s\},$ 
     $p,$ 
     $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Normal } t\},$ 
     $\{t. \Gamma \vdash \langle \text{Call } p, \text{Normal } Z \rangle \Rightarrow \text{Abrupt } t\})\},$ 
     $\text{OF - wf-termi-call-steps [of } \Gamma \text{] refl})$ 
  apply fastforce
  apply clarify
  apply (rule conjI)
  apply fastforce
  apply (rule allI)
  apply (simp (no-asm-use) only : Un-empty-left)
  apply (rule bdy)
  apply auto
done
qed
end

```

```

theory Simpl-Heap
imports Main
begin

```

14.5 References

```

definition ref = (UNIV::nat set)

```

```

typedef ref = ref by (simp add: ref-def)

code-datatype Abs-ref

lemma finite-nat-ex-max:
  assumes fin: finite (N::nat set)
  shows  $\exists m. \forall n \in N. n < m$ 
using fin
proof (induct)
  case empty
  show ?case by auto
next
  case (insert k N)
  have  $\exists m. \forall n \in N. n < m$  by fact
  then obtain m where m-max:  $\forall n \in N. n < m..$ 
  show  $\exists m. \forall n \in \text{insert } k \text{ } N. n < m$ 
  proof (rule exI [where x=Suc (max k m)])
  qed (insert m-max, auto simp add: max-def)
qed

lemma infinite-nat:  $\neg \text{finite}$  (UNIV::nat set)
proof
  assume fin: finite (UNIV::nat set)
  then obtain m::nat where  $\forall n \in \text{UNIV}. n < m$ 
    by (rule finite-nat-ex-max [elim-format] ) auto
  moreover have m  $\in \text{UNIV}..$ 
  ultimately show False by blast
qed

lemma infinite-ref [simp,intro]:  $\neg \text{finite}$  (UNIV::ref set)
proof
  assume finite (UNIV::ref set)
  hence finite (range Rep-ref)
    by simp
  moreover
  have range Rep-ref = ref
  proof
    show range Rep-ref  $\subseteq$  ref
      by (simp add: ref-def)
  next
    show ref  $\subseteq$  range Rep-ref
  proof
    fix x
    assume x: x  $\in$  ref
    show x  $\in$  range Rep-ref
      by (rule Rep-ref-induct) (auto simp add: ref-def)
  qed
qed
ultimately have finite ref

```

```

    by simp
  thus False
    by (simp add: ref-def infinite-nat)
qed

```

```

consts Null :: ref

```

```

definition new :: ref set  $\Rightarrow$  ref where
  new A = (SOME a. a  $\notin$  {Null}  $\cup$  A)

```

Constant *Null* can be defined later on. Conceptually *Null* and *new* are *fixes* of a locale with $finite\ A \implies new\ A \notin A \cup \{Null\}$. But since definitions relative to a locale do not yet work in Isabelle2005 we use this workaround to avoid lots of parameters in definitions.

```

lemma new-notin [simp,intro]:
  finite A  $\implies$  new (A)  $\notin$  A
  apply (unfold new-def)
  apply (rule someI2-ex)
  apply (fastforce intro: ex-new-if-finite)
  apply simp
done

```

```

lemma new-not-Null [simp,intro]:
  finite A  $\implies$  new (A)  $\neq$  Null
  apply (unfold new-def)
  apply (rule someI2-ex)
  apply (fastforce intro: ex-new-if-finite)
  apply simp
done

```

```

end

```

15 Paths and Lists in the Heap

```

theory HeapList
imports Simpl-Heap
begin

```

Adapted from 'HOL/Hoare/Heap.thy'.

15.1 Paths in The Heap

```

primrec
  Path :: ref  $\Rightarrow$  (ref  $\Rightarrow$  ref)  $\Rightarrow$  ref  $\Rightarrow$  ref list  $\Rightarrow$  bool
where
  Path x h y [] = (x = y) |
  Path x h y (p#ps) = (x = p  $\wedge$  x  $\neq$  Null  $\wedge$  Path (h x) h y ps)

```

lemma *Path-Null-iff* [iff]: $\text{Path Null } h \ y \ xs = (xs = [] \wedge y = \text{Null})$
apply (case-tac xs)
apply fastforce
apply fastforce
done

lemma *Path-not-Null-iff* [simp]: $p \neq \text{Null} \implies$
 $\text{Path } p \ h \ q \ as = (as = [] \wedge q = p \vee (\exists ps. as = p \# ps \wedge \text{Path } (h \ p) \ h \ q \ ps))$
apply (case-tac as)
apply fastforce
apply fastforce
done

lemma *Path-append* [simp]:
 $\bigwedge p. \text{Path } p \ f \ q \ (as @ bs) = (\exists y. \text{Path } p \ f \ y \ as \wedge \text{Path } y \ f \ q \ bs)$
by (induct as, simp+)

lemma *notin-Path-update* [simp]:
 $\bigwedge p. u \notin \text{set } ps \implies \text{Path } p \ (f(u := v)) \ q \ ps = \text{Path } p \ f \ q \ ps$
by (induct ps, simp, simp add: eq-sym-conv)

lemma *Path-upd-same* [simp]:
 $\text{Path } p \ (f(p := p)) \ q \ qs =$
 $((p = \text{Null} \wedge q = \text{Null} \wedge qs = []) \vee (p \neq \text{Null} \wedge q = p \wedge (\forall x \in \text{set } qs. x = p)))$
by (induct qs) auto

Path-upd-same prevents $p \neq \text{Null} \implies \text{Path } p \ (f(p := p)) \ q \ qs = X$ from looping, because of *Path-not-Null-iff* and *fun-upd-apply*.

lemma *notin-Path-updateI* [intro]:
 $\llbracket \text{Path } p \ h \ q \ ps ; r \notin \text{set } ps \rrbracket \implies \text{Path } p \ (h(r := y)) \ q \ ps$
by simp

lemma *Path-update-new* [simp]: $\llbracket \text{set } ps \subseteq \text{set } \text{alloc} \rrbracket$
 $\implies \text{Path } p \ (f(\text{new } (\text{set } \text{alloc}) := x)) \ q \ ps = \text{Path } p \ f \ q \ ps$
by (rule notin-Path-update) fastforce

lemma *Null-notin-Path* [simp,intro]:
 $\bigwedge p. \text{Path } p \ f \ q \ ps \implies \text{Null} \notin \text{set } ps$
by (induct ps) auto

lemma *Path-snoc*:
 $\llbracket \text{Path } p \ (f(a := q)) \ a \ as ; a \neq \text{Null} \rrbracket \implies \text{Path } p \ (f(a := q)) \ q \ (as @ [a])$
by simp

15.2 Lists on The Heap

15.2.1 Relational Abstraction

definition

$\text{List} :: \text{ref} \Rightarrow (\text{ref} \Rightarrow \text{ref}) \Rightarrow \text{ref list} \Rightarrow \text{bool}$ **where**

$List\ p\ h\ ps = Path\ p\ h\ Null\ ps$

lemma *List-empty* [simp]: $List\ p\ h\ [] = (p = Null)$
by(simp add:List-def)

lemma *List-cons* [simp]: $List\ p\ h\ (a\#\!ps) = (p = a \wedge p \neq Null \wedge List\ (h\ p)\ h\ ps)$
by(simp add:List-def)

lemma *List-Null* [simp]: $List\ Null\ h\ ps = (ps = [])$
by(case-tac ps, simp-all)

lemma *List-not-Null* [simp]: $p \neq Null \implies$
 $List\ p\ h\ as = (\exists\ ps.\ as = p\#\!ps \wedge List\ (h\ p)\ h\ ps)$
by(case-tac as, simp-all, fast)

lemma *Null-notin-List* [simp,intro]: $\bigwedge p.\ List\ p\ h\ ps \implies Null \notin set\ ps$
by (simp add : List-def)

theorem *notin-List-update*[simp]:
 $\bigwedge p.\ q \notin set\ ps \implies List\ p\ (h(q := y))\ ps = List\ p\ h\ ps$
apply(induct ps)
apply simp
apply clarsimp
done

lemma *List-upd-same-lemma*: $\bigwedge p.\ p \neq Null \implies \neg List\ p\ (h(p := p))\ ps$
apply (induct ps)
apply simp
apply (simp (no-asm-simp) del: fun-upd-apply)
apply (simp (no-asm-simp) only: fun-upd-apply refl if-True)
apply blast
done

lemma *List-upd-same* [simp]: $List\ p\ (h(p:=p))\ ps = (p = Null \wedge ps = [])$
apply (cases p=Null)
apply simp
apply (fast dest: List-upd-same-lemma)
done

List-upd-same prevents $p \neq Null \implies List\ p\ (h(p := p))\ as = X$ from looping, because of *List-not-Null* and *fun-upd-apply*.

lemma *List-update-new* [simp]: $\llbracket set\ ps \subseteq set\ alloc \rrbracket$
 $\implies List\ p\ (h(new\ (set\ alloc) := x))\ ps = List\ p\ h\ ps$
by (rule notin-List-update) fastforce

lemma *List-updateI* [intro]:
 $\llbracket List\ p\ h\ ps; q \notin set\ ps \rrbracket \implies List\ p\ (h(q := y))\ ps$

by *simp*

lemma *List-unique*: $\bigwedge p \text{ bs. } \text{List } p \text{ h as} \implies \text{List } p \text{ h bs} \implies \text{as} = \text{bs}$
by(*induct as, simp, clarsimp*)

lemma *List-unique1*: $\text{List } p \text{ h as} \implies \exists! \text{as. } \text{List } p \text{ h as}$
by(*blast intro:List-unique*)

lemma *List-app*: $\bigwedge p. \text{List } p \text{ h (as@bs)} = (\exists y. \text{Path } p \text{ h y as} \wedge \text{List } y \text{ h bs})$
by(*induct as, simp, clarsimp*)

lemma *List-hd-not-in-tl*[*simp*]: $\text{List } (h \text{ p}) \text{ h ps} \implies p \notin \text{set ps}$
apply (*clarsimp simp add:in-set-conv-decomp*)
apply(*frule List-app[THEN iffD1]*)
apply(*fastforce dest:List-unique*)
done

lemma *List-distinct*[*simp*]: $\bigwedge p. \text{List } p \text{ h ps} \implies \text{distinct ps}$
apply(*induct ps, simp*)
apply(*fastforce dest:List-hd-not-in-tl*)
done

lemma *heap-eq-List-eq*:
 $\bigwedge p. \forall x \in \text{set ps. } h \text{ x} = g \text{ x} \implies \text{List } p \text{ h ps} = \text{List } p \text{ g ps}$
by (*induct ps*) *auto*

lemma *heap-eq-ListI*:
assumes *list*: $\text{List } p \text{ h ps}$
assumes *hp-eq*: $\forall x \in \text{set ps. } h \text{ x} = g \text{ x}$
shows $\text{List } p \text{ g ps}$
using *list*
by (*simp add: heap-eq-List-eq [OF hp-eq]*)

lemma *heap-eq-ListII*:
assumes *list*: $\text{List } p \text{ h ps}$
assumes *hp-eq*: $\forall x \in \text{set ps. } g \text{ x} = h \text{ x}$
shows $\text{List } p \text{ g ps}$
using *list*
by (*simp add: heap-eq-List-eq [OF hp-eq]*)

The following lemmata are usefull for the simplifier to instantiate bound variables in the assumptions resp. conclusion, using the uniqueness of the List predicate

lemma *conj-impl-simp*: $(P \wedge Q \longrightarrow K) = (P \longrightarrow Q \longrightarrow K)$
by *auto*

lemma *List-unique-all-impl-simp* [*simp*]:

List p h ps $\implies (\forall ps. \text{List } p \ h \ ps \longrightarrow P \ ps) = P \ ps$
by (*auto dest: List-unique*)

lemma *List-unique-ex-conj-simp* [*simp*]:
List p h ps $\implies (\exists ps. \text{List } p \ h \ ps \wedge P \ ps) = P \ ps$
by (*auto dest: List-unique*)

15.3 Functional abstraction

definition

islist :: *ref* \Rightarrow (*ref* \Rightarrow *ref*) \Rightarrow *bool* **where**
islist p h = ($\exists ps. \text{List } p \ h \ ps$)

definition

list :: *ref* \Rightarrow (*ref* \Rightarrow *ref*) \Rightarrow *ref list* **where**
list p h = (*THE ps. List p h ps*)

lemma *List-conv-islist-list*: *List p h ps* = (*islist p h* \wedge *ps* = *list p h*)
apply (*simp add: islist-def list-def*)
apply (*rule iffI*)
apply (*rule conjI*)
apply *blast*
apply (*subst the1-equality*)
apply (*erule List-unique1*)
apply *assumption*
apply (*rule refl*)
apply *simp*
apply (*clarify*)
apply (*rule theI*)
apply *assumption*
by (*rule List-unique*)

lemma *List-islist* [*intro*]:
List p h ps $\implies \text{islist } p \ h$
apply (*simp add: List-conv-islist-list*)
done

lemma *List-list*:
List p h ps $\implies \text{list } p \ h = ps$
apply (*simp only: List-conv-islist-list*)
done

lemma [*simp*]: *islist Null h*
by (*simp add: islist-def*)

lemma [*simp*]: *p* \neq *Null* $\implies \text{islist } (h \ p) \ h = \text{islist } p \ h$

```

by(simp add:islist-def)

lemma [simp]: list Null h = []
by(simp add:list-def)

lemma list-Ref-conv[simp]:
   $\llbracket \text{islist } (h \ p) \ h; \ p \neq \text{Null} \rrbracket \implies \text{list } p \ h = p \ \# \ \text{list } (h \ p) \ h$ 
  apply(insert List-not-Null[of - h])
  apply(fastforce simp:List-conv-islist-list)
done

lemma [simp]: islist (h p) h  $\implies p \notin \text{set}(\text{list } (h \ p) \ h)$ 
  apply(insert List-hd-not-in-tl[of h])
  apply(simp add:List-conv-islist-list)
done

lemma list-upd-conv[simp]:
   $\text{islist } p \ h \implies y \notin \text{set}(\text{list } p \ h) \implies \text{list } p \ (h(y := q)) = \text{list } p \ h$ 
  apply(drule notin-List-update[of - - p h q])
  apply(simp add:List-conv-islist-list)
done

lemma islist-upd[simp]:
   $\text{islist } p \ h \implies y \notin \text{set}(\text{list } p \ h) \implies \text{islist } p \ (h(y := q))$ 
  apply(frule notin-List-update[of - - p h q])
  apply(simp add:List-conv-islist-list)
done

lemma list-distinct[simp]: islist p h  $\implies \text{distinct } (\text{list } p \ h)$ 
  apply (clarsimp simp add: list-def islist-def)
  apply (frule List-unique1)
  apply (drule (1) the1-equality)
  apply simp
done

lemma Null-notin-list [simp,intro]: islist p h  $\implies \text{Null} \notin \text{set } (\text{list } p \ h)$ 
  apply (clarsimp simp add: list-def islist-def)
  apply (frule List-unique1)
  apply (drule (1) the1-equality)
  apply simp
done

end

```

```

theory Generalise imports HOL-Statespace.DistinctTreeProver
begin

```

lemma *protectRefI*: $PROP\ Pure.prop\ (PROP\ C) \implies PROP\ Pure.prop\ (PROP\ C)$

by (*simp add: prop-def*)

lemma *protectImp*:

assumes *i*: $PROP\ Pure.prop\ (PROP\ P \implies PROP\ Q)$

shows $PROP\ Pure.prop\ (PROP\ Pure.prop\ P \implies PROP\ Pure.prop\ Q)$

proof –

{
assume *P*: $PROP\ Pure.prop\ P$
from *i* [*unfolded prop-def*, *OF P* [*unfolded prop-def*]]
have $PROP\ Pure.prop\ Q$
by (*simp add: prop-def*)
}

note *i'* = *this*

show $PROP\ ?thesis$

apply (*rule protectI*)

apply (*rule i'*)

apply *assumption*

done

qed

lemma *generaliseConj*:

assumes *i1*: $PROP\ Pure.prop\ (PROP\ Pure.prop\ (Trueprop\ P) \implies PROP\ Pure.prop\ (Trueprop\ Q))$

assumes *i2*: $PROP\ Pure.prop\ (PROP\ Pure.prop\ (Trueprop\ P') \implies PROP\ Pure.prop\ (Trueprop\ Q'))$

shows $PROP\ Pure.prop\ (PROP\ Pure.prop\ (Trueprop\ (P \wedge P')) \implies (PROP\ Pure.prop\ (Trueprop\ (Q \wedge Q'))))$

using *i1 i2*

by (*auto simp add: prop-def*)

lemma *generaliseAll*:

assumes *i*: $PROP\ Pure.prop\ (\bigwedge s. PROP\ Pure.prop\ (Trueprop\ (P\ s)) \implies PROP\ Pure.prop\ (Trueprop\ (Q\ s)))$

shows $PROP\ Pure.prop\ (PROP\ Pure.prop\ (Trueprop\ (\forall s. P\ s)) \implies PROP\ Pure.prop\ (Trueprop\ (\forall s. Q\ s)))$

using *i*

by (*auto simp add: prop-def*)

lemma *generalise-all*:

assumes *i*: $PROP\ Pure.prop\ (\bigwedge s. PROP\ Pure.prop\ (PROP\ P\ s) \implies PROP\ Pure.prop\ (PROP\ Q\ s))$

shows $PROP\ Pure.prop\ ((PROP\ Pure.prop\ (\bigwedge s. PROP\ P\ s)) \implies (PROP\ Pure.prop\ (\bigwedge s. PROP\ Q\ s)))$

using *i*

proof (*unfold prop-def*)

```

    assume i1:  $\bigwedge s. (PROP\ P\ s) \implies (PROP\ Q\ s)$ 
    assume i2:  $\bigwedge s. PROP\ P\ s$ 
    show  $\bigwedge s. PROP\ Q\ s$ 
      by (rule i1) (rule i2)
qed

lemma generaliseTrans:
  assumes i1:  $PROP\ Pure.prop\ (PROP\ P \implies PROP\ Q)$ 
  assumes i2:  $PROP\ Pure.prop\ (PROP\ Q \implies PROP\ R)$ 
  shows  $PROP\ Pure.prop\ (PROP\ P \implies PROP\ R)$ 
  using i1 i2
  proof (unfold prop-def)
    assume P-Q:  $PROP\ P \implies PROP\ Q$ 
    assume Q-R:  $PROP\ Q \implies PROP\ R$ 
    assume P:  $PROP\ P$ 
    show  $PROP\ R$ 
      by (rule Q-R [OF P-Q [OF P]])
  qed

lemma meta-spec:
  assumes  $\bigwedge x. PROP\ P\ x$ 
  shows  $PROP\ P\ x$  by fact

lemma meta-spec-protect:
  assumes g:  $\bigwedge x. PROP\ P\ x$ 
  shows  $PROP\ Pure.prop\ (PROP\ P\ x)$ 
  using g
  by (auto simp add: prop-def)

lemma generaliseImp:
  assumes i:  $PROP\ Pure.prop\ (PROP\ Pure.prop\ (Trueprop\ P) \implies PROP\ Pure.prop\ (Trueprop\ Q))$ 
  shows  $PROP\ Pure.prop\ (PROP\ Pure.prop\ (Trueprop\ (X \longrightarrow P)) \implies PROP\ Pure.prop\ (Trueprop\ (X \longrightarrow Q)))$ 
  using i
  by (auto simp add: prop-def)

lemma generaliseEx:
  assumes i:  $PROP\ Pure.prop\ (\bigwedge s. PROP\ Pure.prop\ (Trueprop\ (P\ s)) \implies PROP\ Pure.prop\ (Trueprop\ (Q\ s)))$ 
  shows  $PROP\ Pure.prop\ (PROP\ Pure.prop\ (Trueprop\ (\exists s. P\ s)) \implies PROP\ Pure.prop\ (Trueprop\ (\exists s. Q\ s)))$ 
  using i
  by (auto simp add: prop-def)

lemma generaliseRefl:  $PROP\ Pure.prop\ (PROP\ Pure.prop\ (Trueprop\ P) \implies PROP\ Pure.prop\ (Trueprop\ P))$ 
  by (auto simp add: prop-def)

```

```

lemma generaliseRefl': PROP Pure.prop (PROP P  $\implies$  PROP P)
  by (auto simp add: prop-def)

lemma generaliseAllShift:
  assumes i: PROP Pure.prop ( $\bigwedge s. P \implies Q\ s$ )
  shows PROP Pure.prop (PROP Pure.prop (Trueprop P)  $\implies$  PROP Pure.prop
    (Trueprop ( $\forall s. Q\ s$ )))
  using i
  by (auto simp add: prop-def)

lemma generalise-allShift:
  assumes i: PROP Pure.prop ( $\bigwedge s. PROP\ P \implies PROP\ Q\ s$ )
  shows PROP Pure.prop (PROP Pure.prop (PROP P)  $\implies$  PROP Pure.prop
    ( $\bigwedge s. PROP\ Q\ s$ ))
  using i
  proof (unfold prop-def)
    assume P-Q:  $\bigwedge s. PROP\ P \implies PROP\ Q\ s$ 
    assume P: PROP P
    show  $\bigwedge s. PROP\ Q\ s$ 
      by (rule P-Q [OF P])
  qed

lemma generaliseImpl:
  assumes i: PROP Pure.prop (PROP Pure.prop P  $\implies$  PROP Pure.prop Q)
  shows PROP Pure.prop ((PROP Pure.prop (PROP X  $\implies$  PROP P))  $\implies$ 
    (PROP Pure.prop (PROP X  $\implies$  PROP Q)))
  using i
  proof (unfold prop-def)
    assume i1: PROP P  $\implies$  PROP Q
    assume i2: PROP X  $\implies$  PROP P
    assume X: PROP X
    show PROP Q
      by (rule i1 [OF i2 [OF X]])
  qed

```

ML-file \langle *generalise-state.ML* \rangle

end

16 Facilitating the Hoare Logic

```

theory Vcg
imports StateSpace HOL-Statespace.StateSpaceLocale Generalise
keywords procedures hoarestate :: thy-defn
begin

```

axiomatization *NoBody::('s,'p,'f) com*

ML-file *<hoare.ML>*

method-setup *hoare = Hoare.hoare*
raw verification condition generator for Hoare Logic

method-setup *hoare-raw = Hoare.hoare-raw*
even more raw verification condition generator for Hoare Logic

method-setup *vcg = Hoare.vcg*
verification condition generator for Hoare Logic

method-setup *vcg-step = Hoare.vcg-step*
single verification condition generation step with light simplification

method-setup *hoare-rule = Hoare.hoare-rule*
apply single hoare rule and solve certain sideconditions

Variables of the programming language are represented as components of a record. To avoid cluttering up the namespace of Isabelle with lots of typical variable names, we append a unusual suffix at the end of each name by parsing

definition *list-multsel:: 'a list \Rightarrow nat list \Rightarrow 'a list (infixl !! 100)*
where $xs \text{ !! } ns = \text{map } (\text{nth } xs) \text{ } ns$

definition *list-multupd:: 'a list \Rightarrow nat list \Rightarrow 'a list \Rightarrow 'a list*
where $\text{list-multupd } xs \text{ } ns \text{ } ys = \text{foldl } (\lambda xs \text{ } (n,v). \text{ } xs[n:=v]) \text{ } xs \text{ } (\text{zip } ns \text{ } ys)$

nonterminal *lmupdbinds and lmupdbind*

syntax

— multiple list update

-lmupdbind:: ['a, 'a] \Rightarrow lmupdbind ((2- [:=]/ -))

:: lmupdbind \Rightarrow lmupdbinds (-)

-lmupdbinds :: [lmupdbind, lmupdbinds] \Rightarrow lmupdbinds (-,/ -)

-LMUpdate :: ['a, lmupdbinds] \Rightarrow 'a (-/[(-)] [900,0] 900)

translations

-LMUpdate xs (-lmupdbinds b bs) == -LMUpdate (-LMUpdate xs b) bs

xs[is[:=]ys] == CONST list-multupd xs is ys

16.1 Some Fancy Syntax

reverse application

definition *rapp:: 'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b (infixr |> 60)*
where $\text{rapp } x \text{ } f = f \text{ } x$

nonterminal

newinit **and**
newinits **and**
locinit **and**
locinits **and**
switchcase **and**
switchcases **and**
grds **and**
grd **and**
bdy **and**
basics **and**
basic **and**
basicblock

notation

Skip (*SKIP*) **and**
Throw (*THROW*)

syntax

-raise:: $'c \Rightarrow 'c \Rightarrow ('a, 'b, 'f) \text{ com}$ $((\text{RAISE} - ::= / -) [30, 30] 23)$
-seq:: $('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com} (-; / - [20, 21] 20)$
-guarantee :: $'s \text{ set} \Rightarrow \text{grd}$ $(-\sqrt{[1000]} 1000)$
-guaranteeStrip:: $'s \text{ set} \Rightarrow \text{grd}$ $(-\# [1000] 1000)$
-grd :: $'s \text{ set} \Rightarrow \text{grd}$ $(- [1000] 1000)$
-last-grd :: $\text{grd} \Rightarrow \text{grds}$ $(- 1000)$
-grds :: $[\text{grd}, \text{grds}] \Rightarrow \text{grds}$ $(-, / - [999, 1000] 1000)$
-guards :: $\text{grds} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$
 $((-/ \mapsto -) [60, 21] 23)$
-quote :: $'b \Rightarrow ('a \Rightarrow 'b)$
-antiquoteCur0 :: $('a \Rightarrow 'b) \Rightarrow 'b$ $(\text{' } [1000] 1000)$
-antiquoteCur :: $('a \Rightarrow 'b) \Rightarrow 'b$
-antiquoteOld0 :: $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$ $(\text{' } [1000, 1000] 1000)$
-antiquoteOld :: $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$
-Assert :: $'a \Rightarrow 'a \text{ set}$ $((\{\!|\!-\!\} [0] 1000)$
-AssertState :: $\text{idt} \Rightarrow 'a \Rightarrow 'a \text{ set}$ $((\{\!|\!-\!\} [1000, 0] 1000)$
-Assign :: $'b \Rightarrow 'b \Rightarrow ('a, 'p, 'f) \text{ com}$ $((- ::= / -) [30, 30] 23)$
-Init :: $\text{ident} \Rightarrow 'c \Rightarrow 'b \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((\text{' } ::= / -) [30, 1000, 30] 23)$
-GuardedAssign:: $'b \Rightarrow 'b \Rightarrow ('a, 'p, 'f) \text{ com}$ $((- ::=_g / -) [30, 30] 23)$
-newinit :: $[\text{ident}, 'a] \Rightarrow \text{newinit}$ $((2 \text{' } ::= / -))$
 $:: \text{newinit} \Rightarrow \text{newinits}$ $(-)$
-newinits :: $[\text{newinit}, \text{newinits}] \Rightarrow \text{newinits}$ $(-, / -)$
-New :: $['a, 'b, \text{newinits}] \Rightarrow ('a, 'b, 'f) \text{ com}$
 $((- ::= / (2 \text{ NEW } - / [-])) [30, 65, 0] 23)$
-GuardedNew :: $['a, 'b, \text{newinits}] \Rightarrow ('a, 'b, 'f) \text{ com}$
 $((- ::=_g / (2 \text{ NEW } - / [-])) [30, 65, 0] 23)$
-NNew :: $['a, 'b, \text{newinits}] \Rightarrow ('a, 'b, 'f) \text{ com}$

$((- :=_g / (2 \text{ NNEW } - / [-])) [30, 65, 0] 23)$
 -GuardedNNew :: $['a, 'b, \text{newinits}] \Rightarrow ('a, 'b, 'f) \text{ com}$
 $((- :=_g / (2 \text{ NNEW } - / [-])) [30, 65, 0] 23)$

-Cond :: $'a \text{ bexp} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{IF } (-) / (2\text{THEN } -) / (2\text{ELSE } -) / \text{FI}) [0, 0, 0] 71)$
 -Cond-no-else:: $'a \text{ bexp} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{IF } (-) / (2\text{THEN } -) / \text{FI}) [0, 0] 71)$
 -GuardedCond :: $'a \text{ bexp} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{IF}_g (-) / (2\text{THEN } -) / (2\text{ELSE } -) / \text{FI}) [0, 0, 0] 71)$
 -GuardedCond-no-else:: $'a \text{ bexp} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{IF}_g (-) / (2\text{THEN } -) / \text{FI}) [0, 0] 71)$
 -While-inv-var :: $'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow \text{bdy}$
 $\Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-) / \text{INV } (-) / \text{VAR } (-) / -) [25, 0, 0, 81] 71)$
 -WhileFix-inv-var :: $'a \text{ bexp} \Rightarrow \text{pttrn} \Rightarrow ('z \Rightarrow 'a \text{ assn}) \Rightarrow$
 $('z \Rightarrow ('a \times 'a) \text{ set}) \Rightarrow \text{bdy}$
 $\Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-) / \text{FIX } - / \text{INV } (-) / \text{VAR } (-) / -) [25, 0, 0, 0, 81] 71)$
 -WhileFix-inv :: $'a \text{ bexp} \Rightarrow \text{pttrn} \Rightarrow ('z \Rightarrow 'a \text{ assn}) \Rightarrow \text{bdy}$
 $\Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-) / \text{FIX } - / \text{INV } (-) / -) [25, 0, 0, 81] 71)$
 -GuardedWhileFix-inv-var :: $'a \text{ bexp} \Rightarrow \text{pttrn} \Rightarrow ('z \Rightarrow 'a \text{ assn}) \Rightarrow$
 $('z \Rightarrow ('a \times 'a) \text{ set}) \Rightarrow \text{bdy}$
 $\Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE}_g (-) / \text{FIX } - / \text{INV } (-) / \text{VAR } (-) / -) [25, 0, 0, 0, 81] 71)$
 -GuardedWhileFix-inv-var-hook :: $'a \text{ bexp} \Rightarrow ('z \Rightarrow 'a \text{ assn}) \Rightarrow$
 $('z \Rightarrow ('a \times 'a) \text{ set}) \Rightarrow \text{bdy}$
 $\Rightarrow ('a, 'p, 'f) \text{ com}$
 -GuardedWhileFix-inv :: $'a \text{ bexp} \Rightarrow \text{pttrn} \Rightarrow ('z \Rightarrow 'a \text{ assn}) \Rightarrow \text{bdy}$
 $\Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE}_g (-) / \text{FIX } - / \text{INV } (-) / -) [25, 0, 0, 81] 71)$

-GuardedWhile-inv-var::
 $'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow \text{bdy} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE}_g (-) / \text{INV } (-) / \text{VAR } (-) / -) [25, 0, 0, 81] 71)$
 -While-inv :: $'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow \text{bdy} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-) / \text{INV } (-) / -) [25, 0, 81] 71)$
 -GuardedWhile-inv :: $'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE}_g (-) / \text{INV } (-) / -) [25, 0, 81] 71)$
 -While :: $'a \text{ bexp} \Rightarrow \text{bdy} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-) / -) [25, 81] 71)$
 -GuardedWhile :: $'a \text{ bexp} \Rightarrow \text{bdy} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE}_g (-) / -) [25, 81] 71)$
 -While-guard :: $\text{grds} \Rightarrow 'a \text{ bexp} \Rightarrow \text{bdy} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-) / \text{---} (1-) / -) [1000, 25, 81] 71)$
 -While-guard-inv:: $\text{grds} \Rightarrow 'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow \text{bdy} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-) / \text{---} (1-) / \text{INV } (-) / -) [1000, 25, 0, 81] 71)$
 -While-guard-inv-var:: $\text{grds} \Rightarrow 'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow ('a \times 'a) \text{ set}$

$\Rightarrow bdy \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-/\vdash \rightarrow (1-)) \text{ INV } (-) / \text{VAR } (-) / -) [1000, 25, 0, 0, 81] \text{ 71})$
 $\text{-WhileFix-guard-inv-var:: grds} \Rightarrow 'a \text{ bexp} \Rightarrow \text{pttrn} \Rightarrow ('z \Rightarrow 'a \text{ assn}) \Rightarrow ('z \Rightarrow ('a \times 'a) \text{ set})$
 $\Rightarrow bdy \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-/\vdash \rightarrow (1-)) \text{ FIX } - / \text{INV } (-) / \text{VAR } (-) / -) [1000, 25, 0, 0, 81] \text{ 71})$
 $\text{-WhileFix-guard-inv:: grds} \Rightarrow 'a \text{ bexp} \Rightarrow \text{pttrn} \Rightarrow ('z \Rightarrow 'a \text{ assn})$
 $\Rightarrow bdy \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-/\vdash \rightarrow (1-)) \text{ FIX } - / \text{INV } (-) / -) [1000, 25, 0, 0, 81] \text{ 71})$
 $\text{-Try-Catch:: } ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{TRY } (-) / (2\text{CATCH } -) / \text{END}) [0, 0] \text{ 71})$
 $\text{-DoPre:: } ('a, 'p, 'f) \text{ com} \Rightarrow ('a, 'p, 'f) \text{ com}$
 $\text{-Do:: } ('a, 'p, 'f) \text{ com} \Rightarrow bdy ((2\text{DO} / (-)) / \text{OD} [0] \text{ 1000})$
 $\text{-Lab:: } 'a \text{ bexp} \Rightarrow ('a, 'p, 'f) \text{ com} \Rightarrow bdy$
 $(- / - [1000, 71] \text{ 81})$
 $:: bdy \Rightarrow ('a, 'p, 'f) \text{ com } (-)$
 $\text{-Spec:: pttrn} \Rightarrow 's \text{ set} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow 's \text{ set} \Rightarrow 's \text{ set} \Rightarrow ('s, 'p, 'f) \text{ com}$
 $((\text{ANNO } - / (-) / - / -) [0, 1000, 20, 1000, 1000] \text{ 60})$
 $\text{-SpecNoAbrupt:: pttrn} \Rightarrow 's \text{ set} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow 's \text{ set} \Rightarrow ('s, 'p, 'f) \text{ com}$
 $((\text{ANNO } - / (-) / -) [0, 1000, 20, 1000] \text{ 60})$
 $\text{-LemAnno:: } 'n \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com}$
 $((0 \text{LEMMA } (-) / - \text{END}) [1000, 0] \text{ 71})$
 $\text{-locnoinit} :: \text{ident} \Rightarrow \text{locinit} \quad (-)$
 $\text{-locinit} :: [\text{ident}, 'a] \Rightarrow \text{locinit} \quad ((2' - := / -))$
 $:: \text{locinit} \Rightarrow \text{locinits} \quad (-)$
 $\text{-locinits} :: [\text{locinit}, \text{locinits}] \Rightarrow \text{locinits} \quad (- / -)$
 $\text{-Loc:: } [\text{locinits}, ('s, 'p, 'f) \text{ com}] \Rightarrow ('s, 'p, 'f) \text{ com}$
 $((2 \text{LOC } - ; / (-) \text{COL}) [0, 0] \text{ 71})$
 $\text{-Switch:: } ('s \Rightarrow 'v) \Rightarrow \text{switchcases} \Rightarrow ('s, 'p, 'f) \text{ com}$
 $((0 \text{SWITCH } (-) / - \text{END}) [22, 0] \text{ 71})$
 $\text{-switchcase:: } 'v \text{ set} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow \text{switchcase } (- \Rightarrow / -)$
 $\text{-switchcasesSingle} :: \text{switchcase} \Rightarrow \text{switchcases} \quad (-)$
 $\text{-switchcasesCons:: switchcase} \Rightarrow \text{switchcases} \Rightarrow \text{switchcases}$
 $(- / | -)$
 $\text{-Basic:: basicblock} \Rightarrow ('s, 'p, 'f) \text{ com} ((0\text{BASIC} / (-) / \text{END}) [22] \text{ 71})$
 $\text{-BasicBlock:: basics} \Rightarrow \text{basicblock} \quad (-)$
 $\text{-BAssign} :: 'b \Rightarrow 'b \Rightarrow \text{basic} \quad ((- := / -) [30, 30] \text{ 23})$
 $:: \text{basic} \Rightarrow \text{basics} \quad (-)$
 $\text{-basics} :: [\text{basic}, \text{basics}] \Rightarrow \text{basics} \quad (- / -)$

syntax (ASCII)

$\text{-Assert} :: 'a \Rightarrow 'a \text{ set} \quad ((\{|-|\}) [0] \text{ 1000})$
 $\text{-AssertState} :: \text{idt} \Rightarrow 'a \Rightarrow 'a \text{ set} \quad ((\{|-|. -|\}) [1000, 0] \text{ 1000})$
 $\text{-While-guard} :: \text{grds} \Rightarrow 'a \text{ bexp} \Rightarrow bdy \Rightarrow ('a, 'p, 'f) \text{ com}$
 $((0\text{WHILE } (-|\vdash \rightarrow / -) / -) [0, 0, 1000] \text{ 71})$
 $\text{-While-guard-inv:: grds} \Rightarrow 'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow bdy \Rightarrow ('a, 'p, 'f) \text{ com}$

$((0\text{WHILE } (-|-> /-) \text{ INV } (-) /-) [0,0,0,1000] \text{ } 71)$
 $\text{-guards} :: \text{grds} \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('s, 'p, 'f) \text{ com } ((|->-) [60, 21] \text{ } 23)$

syntax (output)

$\text{-hidden-grds} \quad :: \text{grds } (\dots)$

translations

$\text{-Do } c \Rightarrow c$
 $b \bullet c \Rightarrow \text{CONST condCatch } c \text{ b SKIP}$
 $b \bullet (-\text{DoPre } c) \Leftarrow \text{CONST condCatch } c \text{ b SKIP}$
 $l \bullet (\text{CONST whileAnnoG } gs \text{ b I V } c) \Leftarrow l \bullet (-\text{DoPre } (\text{CONST whileAnnoG } gs \text{ b I V } c))$
 $l \bullet (\text{CONST whileAnno } b \text{ I V } c) \Leftarrow l \bullet (-\text{DoPre } (\text{CONST whileAnno } b \text{ I V } c))$
 $\text{CONST condCatch } c \text{ b SKIP} \Leftarrow (-\text{DoPre } (\text{CONST condCatch } c \text{ b SKIP}))$
 $\text{-Do } c \Leftarrow \text{-DoPre } c$
 $c;; d \Leftarrow \text{CONST Seq } c \text{ d}$
 $\text{-guarantee } g \Rightarrow (\text{CONST True}, g)$
 $\text{-guaranteeStrip } g \Leftarrow \text{CONST guaranteeStripPair } (\text{CONST True}) \text{ g}$
 $\text{-grd } g \Rightarrow (\text{CONST False}, g)$
 $\text{-grds } g \text{ gs} \Rightarrow g \# \text{gs}$
 $\text{-last-grd } g \Rightarrow [g]$
 $\text{-guards } gs \text{ c} \Leftarrow \text{CONST guards } gs \text{ c}$

$\{|s. P|\} \quad \quad \quad \Leftarrow \{|-\text{antiquoteCur}((=) \text{ s}) \wedge P \}|$
 $\{|b|\} \quad \quad \quad \Rightarrow \text{CONST Collect } (-\text{quote } b)$
 $\text{IF } b \text{ THEN } c1 \text{ ELSE } c2 \text{ FI} \Rightarrow \text{CONST Cond } \{|b|\} \text{ c1 c2}$
 $\text{IF } b \text{ THEN } c1 \text{ FI} \quad \quad \quad \Leftarrow \text{IF } b \text{ THEN } c1 \text{ ELSE SKIP FI}$
 $\text{IF}_g b \text{ THEN } c1 \text{ FI} \quad \quad \quad \Leftarrow \text{IF}_g b \text{ THEN } c1 \text{ ELSE SKIP FI}$

$\text{-While-inv-var } b \text{ I V } c \quad \quad \quad \Rightarrow \text{CONST whileAnno } \{|b|\} \text{ I V } c$
 $\text{-While-inv-var } b \text{ I V } (-\text{DoPre } c) \Leftarrow \text{CONST whileAnno } \{|b|\} \text{ I V } c$
 $\text{-While-inv } b \text{ I } c \quad \quad \quad \Leftarrow \text{-While-inv-var } b \text{ I } (\text{CONST undefined}) \text{ c}$
 $\text{-While } b \text{ c} \quad \quad \quad \Leftarrow \text{-While-inv } b \{| \text{CONST undefined} |\} \text{ c}$

$\text{-While-guard-inv-var } gs \text{ b I V } c \quad \quad \quad \Rightarrow \text{CONST whileAnnoG } gs \{|b|\} \text{ I V } c$

$\text{-While-guard-inv } gs \text{ b I } c \quad \quad \quad \Leftarrow \text{-While-guard-inv-var } gs \text{ b I } (\text{CONST undefined}) \text{ c}$
 $\text{-While-guard } gs \text{ b } c \quad \quad \quad \Leftarrow \text{-While-guard-inv } gs \text{ b } \{| \text{CONST undefined} |\} \text{ c}$

$\text{-GuardedWhile-inv } b \text{ I } c \Leftarrow \text{-GuardedWhile-inv-var } b \text{ I } (\text{CONST undefined}) \text{ c}$
 $\text{-GuardedWhile } b \text{ c} \quad \quad \quad \Leftarrow \text{-GuardedWhile-inv } b \{| \text{CONST undefined} |\} \text{ c}$

$\text{TRY } c1 \text{ CATCH } c2 \text{ END} \quad \quad \quad \Leftarrow \text{CONST Catch } c1 \text{ c2}$
 $\text{ANNO } s. P \text{ c } Q, A \Rightarrow \text{CONST specAnno } (\lambda s. P) (\lambda s. c) (\lambda s. Q) (\lambda s. A)$
 $\text{ANNO } s. P \text{ c } Q \Leftarrow \text{ANNO } s. P \text{ c } Q, \{\}$

$\text{-WhileFix-inv-var } b \text{ z I V } c \Rightarrow \text{CONST whileAnnoFix } \{|b|\} (\lambda z. I) (\lambda z. V) (\lambda z. c)$

```

-WhileFix-inv-var b z I V (-DoPre c) <= -WhileFix-inv-var {|b|} z I V c
-WhileFix-inv b z I c == -WhileFix-inv-var b z I (CONST undefined) c

-GuardedWhileFix-inv b z I c == -GuardedWhileFix-inv-var b z I (CONST un-
defined) c

-GuardedWhileFix-inv-var b z I V c =>
  -GuardedWhileFix-inv-var-hook {|b|} (λz. I) (λz. V) (λz. c)

-WhileFix-guard-inv-var gs b z I V c =>
  CONST whileAnnoGFix gs {|b|} (λz. I) (λz. V)
(λz. c)
-WhileFix-guard-inv-var gs b z I V (-DoPre c) <=
  -WhileFix-guard-inv-var gs {|b|} z I V c
-WhileFix-guard-inv gs b z I c == -WhileFix-guard-inv-var gs b z I (CONST
undefined) c
LEMMA x c END == CONST lem x c

```

translations

```

(-switchcase V c) => (V, c)
(-switchcasesSingle b) => [b]
(-switchcasesCons b bs) => CONST Cons b bs
(-Switch v vs) => CONST switch (-quote v) vs

```

parse-ast-translation

```

let
  fun tr c asts = Ast.mk-appl (Ast.Constant c) (map Ast.strip-positions asts)
in
  [(@{syntax-const -antiquoteCur0}, K (tr @ {syntax-const -antiquoteCur})),
   (@{syntax-const -antiquoteOld0}, K (tr @ {syntax-const -antiquoteOld})))
end

```

print-ast-translation

```

let
  fun tr c asts = Ast.mk-appl (Ast.Constant c) asts
in
  [(@{syntax-const -antiquoteCur}, K (tr @ {syntax-const -antiquoteCur0})),
   (@{syntax-const -antiquoteOld}, K (tr @ {syntax-const -antiquoteOld0})))
end

```

print-ast-translation

```

let
  fun dest-abs (Ast.Appl [Ast.Constant @ {syntax-const -abs}, x, t]) = (x, t)
    | dest-abs - = raise Match;
  fun spec-tr' [P, c, Q, A] =
    let
      val (x', P') = dest-abs P;
      val (-, c') = dest-abs c;
    end

```

```

    val (-, Q') = dest-abs Q;
    val (-, A') = dest-abs A;
  in
    if (A' = Ast.Constant @{const-syntax bot})
    then Ast.mk-appl (Ast.Constant @{syntax-const -SpecNoAbrupt}) [x', P',
c', Q']
    else Ast.mk-appl (Ast.Constant @{syntax-const -Spec}) [x', P', c', Q', A']
    end;
  fun whileAnnoFix-tr' [b, I, V, c] =
    let
      val (x', I') = dest-abs I;
      val (-, V') = dest-abs V;
      val (-, c') = dest-abs c;
    in
      Ast.mk-appl (Ast.Constant @{syntax-const -WhileFix-inv-var}) [b, x', I',
V', c']
    end;
  in
    [(@{const-syntax specAnno}, K spec-tr'),
    (@{const-syntax whileAnnoFix}, K whileAnnoFix-tr')]
  end
)

```

syntax

```

-faccess :: 'ref ⇒ ('ref ⇒ 'v) ⇒ 'v
(->- [65,1000] 100)

```

syntax (ASCII)

```

-faccess :: 'ref ⇒ ('ref ⇒ 'v) ⇒ 'v
(->- [65,1000] 100)

```

translations

```

p→f      => f p
g→(-antiquoteCur f) <= -antiquoteCur f g

```

nonterminal *par* and *pars* and *actuals*

syntax

```

-par :: 'a ⇒ par                (-)
      :: par ⇒ pars              (-)
-pars :: [par,pars] ⇒ pars       (-,-)
-actuals :: pars ⇒ actuals       ('(-'))
-actuals-empty :: actuals       ('('))

```

```

syntax -Call :: 'p ⇒ actuals ⇒ (('a,string,'f) com) (CALL -- [1000,1000] 21)

```

21) *-GuardedCall* :: 'p ⇒ actuals ⇒ (('a,string,'f) com) (CALL_g -- [1000,1000]
 21)
-CallAss:: 'a ⇒ 'p ⇒ actuals ⇒ (('a,string,'f) com)
 (- ::= CALL -- [30,1000,1000] 21)
-Proc :: 'p ⇒ actuals ⇒ (('a,string,'f) com) (PROC -- 21)
-ProcAss:: 'a ⇒ 'p ⇒ actuals ⇒ (('a,string,'f) com)
 (- ::= PROC -- [30,1000,1000] 21)
-GuardedCallAss:: 'a ⇒ 'p ⇒ actuals ⇒ (('a,string,'f) com)
 (- ::= CALL_g -- [30,1000,1000] 21)
-DynCall :: 'p ⇒ actuals ⇒ (('a,string,'f) com) (DYNCALL -- [1000,1000]
 21)
-GuardedDynCall :: 'p ⇒ actuals ⇒ (('a,string,'f) com) (DYNCALL_g --
 [1000,1000] 21)
-DynCallAss:: 'a ⇒ 'p ⇒ actuals ⇒ (('a,string,'f) com)
 (- ::= DYNCALL -- [30,1000,1000] 21)
-GuardedDynCallAss:: 'a ⇒ 'p ⇒ actuals ⇒ (('a,string,'f) com)
 (- ::= DYNCALL_g -- [30,1000,1000] 21)

-Bind:: ['s ⇒ 'v, idt, 'v ⇒ ('s,'p,'f) com] ⇒ ('s,'p,'f) com
 (- >> -./ - [22,1000,21] 21)
-bseq::('s,'p,'f) com ⇒ ('s,'p,'f) com ⇒ ('s,'p,'f) com
 (->>/ - [22, 21] 21)
-FCall :: ['p,actuals,idt, (('a,string,'f) com)] ⇒ (('a,string,'f) com)
 (CALL -- >> -./ - [1000,1000,1000,21] 21)

translations

-Bind e i c == CONST bind (-quote e) (λi. c)
-FCall p acts i c == -FCall p acts (λi. c)
-bseq c d == CONST bseq c d

nonterminal modifyargs

syntax

-may-modify :: ['a,'a,modifyargs] ⇒ bool
 (- may'-only'-modify'-globals - in [-] [100,100,0] 100)
-may-not-modify :: ['a,'a] ⇒ bool
 (- may'-not'-modify'-globals - [100,100] 100)
-may-modify-empty :: ['a,'a] ⇒ bool
 (- may'-only'-modify'-globals - in [] [100,100] 100)
-modifyargs :: [id,modifyargs] ⇒ modifyargs (-,/ -)
 :: id => modifyargs (-)

translations

s may-only-modify-globals Z in [] => s may-not-modify-globals Z

definition $Let':: ['a, 'a => 'b] => 'b$
where $Let' = Let$

ML-file $\langle hoare-syntax.ML \rangle$

parse-translation \langle

```

let
  val argsC = @{syntax-const -modifyargs};
  val globalsN = globals;
  val ex = @{const-syntax mex};
  val eq = @{const-syntax meq};
  val varn = Hoare.varname;

  fun extract-args (Const (argsC,-)$Free (n,-)$t) = varn n::extract-args t
    | extract-args (Free (n,-)) = [varn n]
    | extract-args t = raise TERM (extract-args, [t])

  fun idx [] y = error idx: element not in list
    | idx (x::xs) y = if x=y then 0 else (idx xs y)+1

  fun gen-update ctxt names (name,t) =
    Hoare-Syntax.update-comp ctxt [] false true name (Bound (idx names name))
t

  fun gen-updates ctxt names t = Library.foldr (gen-update ctxt names) (names,t)

  fun gen-ex (name,t) = Syntax.const ex $ Abs (name,dummyT,t)

  fun gen-exs names t = Library.foldr gen-ex (names,t)

  fun tr ctxt s Z names =
    let val upds = gen-updates ctxt (rev names) (Syntax.free globalsN$Z);
        val eq = Syntax.const eq $ (Syntax.free globalsN$s) $ upds;
    in gen-exs names eq end;

  fun may-modify-tr ctxt [s,Z,names] = tr ctxt s Z
    (sort-strings (extract-args names))

  fun may-not-modify-tr ctxt [s,Z] = tr ctxt s Z []

in
  [(@{syntax-const -may-modify}, may-modify-tr),
   (@{syntax-const -may-not-modify}, may-not-modify-tr)]
end
)

```

print-translation \langle


```

let
  val argsC = @{syntax-const -modifyargs};
  val chop = Hoare.chopsfx Hoare.deco;

  fun get-state ( - $ - $ t) = get-state t (* for record-updates*)
    | get-state ( - $ - $ - $ - $ t) = get-state t (* for statespace-updates *)
    | get-state (globals$(s as Const (@{syntax-const -free},-) $ Free -)) = s
    | get-state (globals$(s as Const (@{syntax-const -bound},-) $ Free -)) = s
    | get-state (globals$(s as Const (@{syntax-const -var},-) $ Var -)) = s
    | get-state (globals$(s as Const -)) = s
    | get-state (globals$(s as Free -)) = s
    | get-state (globals$(s as Bound -)) = s
    | get-state t = raise Match;

  fun mk-args [n] = Syntax.free (chop n)
    | mk-args (n::ns) = Syntax.const argsC $ Syntax.free (chop n) $ mk-args ns
    | mk-args - = raise Match;

  fun tr' names (Abs (n,-,t)) = tr' (n::names) t
    | tr' names (Const (@{const-syntax mex},-) $ t) = tr' names t
    | tr' names (Const (@{const-syntax meq},-) $ (globals$s) $ upd) =
      let val Z = get-state upd;
      in (case names of
          [] => Syntax.const @{syntax-const -may-not-modify} $ s $ Z
        | xs => Syntax.const @{syntax-const -may-modify} $ s $ Z $ mk-args
          (rev names))
      end;

  fun may-modify-tr' [t] = tr' [] t
  fun may-not-modify-tr' [-$s,-$Z] = Syntax.const @{syntax-const -may-not-modify}
    $ s $ Z
  in
    [(@{const-syntax mex}, K may-modify-tr'),
     (@{const-syntax meq}, K may-not-modify-tr')]
  end
)

```

```

parse-translation (
  [(@{syntax-const -antiquoteCur},
    K (Hoare-Syntax.antiquote-varname-tr @{syntax-const -antiquoteCur}))])
)

```

```

parse-translation ⟨
  [(@{syntax-const -antiquoteOld}, Hoare-Syntax.antiquoteOld-tr),
   (@{syntax-const -Call}, Hoare-Syntax.call-tr false false),
   (@{syntax-const -FCall}, Hoare-Syntax.fcall-tr),
   (@{syntax-const -CallAss}, Hoare-Syntax.call-ass-tr false false),
   (@{syntax-const -GuardedCall}, Hoare-Syntax.call-tr false true),
   (@{syntax-const -GuardedCallAss}, Hoare-Syntax.call-ass-tr false true),
   (@{syntax-const -Proc}, Hoare-Syntax.proc-tr),
   (@{syntax-const -ProcAss}, Hoare-Syntax.proc-ass-tr),
   (@{syntax-const -DynCall}, Hoare-Syntax.call-tr true false),
   (@{syntax-const -DynCallAss}, Hoare-Syntax.call-ass-tr true false),
   (@{syntax-const -GuardedDynCall}, Hoare-Syntax.call-tr true true),
   (@{syntax-const -GuardedDynCallAss}, Hoare-Syntax.call-ass-tr true true),
   (@{syntax-const -BasicBlock}, Hoare-Syntax.basic-assigns-tr)]
)

```

```

parse-translation ⟨
  let
    fun quote-tr ctxt [t] = Hoare-Syntax.quote-tr ctxt @{syntax-const -antiquoteCur}
  in
    t | quote-tr ctxt ts = raise TERM (quote-tr, ts);
    in [(@{syntax-const -quote}, quote-tr)] end
  )

```

```

parse-translation ⟨
  [(@{syntax-const -Assign}, Hoare-Syntax.assign-tr),
   (@{syntax-const -raise}, Hoare-Syntax.raise-tr),
   (@{syntax-const -New}, Hoare-Syntax.new-tr),
   (@{syntax-const -NNew}, Hoare-Syntax.nnew-tr),
   (@{syntax-const -GuardedAssign}, Hoare-Syntax.guarded-Assign-tr),
   (@{syntax-const -GuardedNew}, Hoare-Syntax.guarded-New-tr),
   (@{syntax-const -GuardedNNew}, Hoare-Syntax.guarded-NNew-tr),
   (@{syntax-const -GuardedWhile-inv-var}, Hoare-Syntax.guarded-While-tr),
   (@{syntax-const -GuardedWhileFix-inv-var-hook}, Hoare-Syntax.guarded-WhileFix-tr),
   (@{syntax-const -GuardedCond}, Hoare-Syntax.guarded-Cond-tr),
   (@{syntax-const -Basic}, Hoare-Syntax.basic-tr)]
)

```

```

parse-translation ⟨
  [(@{syntax-const -Init}, Hoare-Syntax.init-tr),
   (@{syntax-const -Loc}, Hoare-Syntax.loc-tr)]
)

```

```

print-translation ⟨
  [(@{const-syntax Basic}, Hoare-Syntax.assign-tr'),
   (@{const-syntax raise}, Hoare-Syntax.raise-tr'),
   (@{const-syntax Basic}, Hoare-Syntax.new-tr'),
   (@{const-syntax Basic}, Hoare-Syntax.init-tr'),
   (@{const-syntax Spec}, Hoare-Syntax.nnew-tr'),
   (@{const-syntax block}, Hoare-Syntax.loc-tr'),
   (@{const-syntax Collect}, Hoare-Syntax.assert-tr'),
   (@{const-syntax Cond}, Hoare-Syntax.bexp-tr' -Cond),
   (@{const-syntax switch}, Hoare-Syntax.switch-tr'),
   (@{const-syntax Basic}, Hoare-Syntax.basic-tr'),
   (@{const-syntax guards}, Hoare-Syntax.guards-tr'),
   (@{const-syntax whileAnnoG}, Hoare-Syntax.whileAnnoG-tr'),
   (@{const-syntax whileAnnoGFix}, Hoare-Syntax.whileAnnoGFix-tr'),
   (@{const-syntax bind}, Hoare-Syntax.bind-tr')]
  ⟩

```

```

print-translation ⟨
  let
    fun spec-tr' ctxt ((coll as Const -)$
      ((spltt as Const -) $ (t as (Abs (s,T,p))))::ts) =
      let
        fun selector (Const (c, T)) = Hoare.is-state-var c
          | selector (Const (@{syntax-const -free}, -) $ (Free (c, T))) =
              Hoare.is-state-var c
          | selector - = false;
        in
          if Hoare-Syntax.antiquote-applied-only-to selector p then
            Syntax.const @{const-syntax Spec} $ coll $
              (spltt $ Hoare-Syntax.quote-mult-tr' ctxt selector
                Hoare-Syntax.antiquoteCur Hoare-Syntax.antiquoteOld (Abs
                  (s,T,t)))
            else raise Match
          end
          | spec-tr' - ts = raise Match
        in [(@{const-syntax Spec}, spec-tr')] end
      ⟩

```

```

syntax
-Measure:: ('a ⇒ nat) ⇒ ('a × 'a) set
  (MEASURE - [22] 1)
-Mlex:: ('a ⇒ nat) ⇒ ('a × 'a) set ⇒ ('a × 'a) set
  (infixr <*MLEX*> 30)

```

```

translations
MEASURE f      => (CONST measure) (-quote f)
f <*MLEX*> r    => (-quote f) <*mlex*> r

```

```

print-translation (
  let
    fun selector (Const (c,T)) = Hoare.is-state-var c
      | selector - = false;

    fun measure-tr' ctxt ((t as (Abs (-, -, p)))::ts) =
      if Hoare-Syntax.antiquote-applied-only-to selector p
      then Hoare-Syntax.app-quote-tr' ctxt (Syntax.const @ {syntax-const - Measure})
    (t::ts)
      else raise Match
      | measure-tr' - - = raise Match

    fun mlex-tr' ctxt ((t as (Abs (-, -, p)))::r::ts) =
      if Hoare-Syntax.antiquote-applied-only-to selector p
      then Hoare-Syntax.app-quote-tr' ctxt (Syntax.const @ {syntax-const - Mlex})
    (t::r::ts)
      else raise Match
      | mlex-tr' - - = raise Match

  in
    [(@ {const-syntax measure}, measure-tr'),
     (@ {const-syntax mlex-prod}, mlex-tr')]
  end
)

print-translation (
  [(@ {const-syntax call}, Hoare-Syntax.call-tr'),
   (@ {const-syntax dynCall}, Hoare-Syntax.dyn-call-tr'),
   (@ {const-syntax fcall}, Hoare-Syntax.fcall-tr'),
   (@ {const-syntax Call}, Hoare-Syntax.proc-tr')]
)

end

```

17 Examples using the Verification Environment

theory *VcgEx* **imports** *../HeapList ../Vcg* **begin**

Some examples, especially the single-step Isar proofs are taken from `HOL/Isar_examples/HoareEx.thy`

17.1 State Spaces

First of all we provide a store of program variables that occur in the programs considered later. Slightly unexpected things may happen when attempting to work with undeclared variables.

```
record 'g vars = 'g state +
  A-' :: nat
  I-' :: nat
  M-' :: nat
  N-' :: nat
  R-' :: nat
  S-' :: nat
  B-' :: bool
  Arr-' :: nat list
  Abr-' :: string
```

We decorate the state components in the record with the suffix $-'$, to avoid cluttering the namespace with the simple names that could no longer be used for logical variables otherwise.

We will first consider programs without procedures, later on we will regard procedures without global variables and finally we will get the full pictures: mutually recursive procedures with global variables (including heap).

17.2 Basic Examples

We look at few trivialities involving assignment and sequential composition, in order to get an idea of how to work with our formulation of Hoare Logic.

Using the basic rule directly is a bit cumbersome.

```
lemma  $\Gamma \vdash \{|N = 5|\} \ N := 2 * N \{|N = 10|\}$ 
  apply (rule HoarePartial.Basic) apply simp
done
```

If we refer to components (variables) of the state-space of the program we always mark these with $'$. It is the acute-symbol and is present on most keyboards. So all program variables are marked with the acute and all logical variables are not. The assertions of the Hoare tuple are ordinary Isabelle sets. As we usually want to refer to the state space in the assertions, we provide special brackets for them. They can be written as $\{| \ | \}$ in ASCII or $\{ | \}$ with symbols. Internally marking variables has two effects. First of all we refer to the implicit state and secondary we get rid of the suffix $-'$. So the assertion $\{|N = 5|\}$ internally gets expanded to $\{s. N-' s = 5\}$ written in ordinary set comprehension notation of Isabelle. It describes the set of states where the $N-'$ component is equal to 5.

Certainly we want the state modification already done, e.g. by simplification. The *vcg* method performs the basic state update for us; we may apply the Simplifier afterwards to achieve “obvious” consequences as well.

lemma $\Gamma \vdash \llbracket \text{True} \rrbracket \text{'N} ::= 10 \llbracket \text{'N} = 10 \rrbracket$
by *vcg*

lemma $\Gamma \vdash \llbracket 2 * \text{'N} = 10 \rrbracket \text{'N} ::= 2 * \text{'N} \llbracket \text{'N} = 10 \rrbracket$
by *vcg*

lemma $\Gamma \vdash \llbracket \text{'N} = 5 \rrbracket \text{'N} ::= 2 * \text{'N} \llbracket \text{'N} = 10 \rrbracket$
apply *vcg*
apply *simp*
done

lemma $\Gamma \vdash \llbracket \text{'N} + 1 = a + 1 \rrbracket \text{'N} ::= \text{'N} + 1 \llbracket \text{'N} = a + 1 \rrbracket$
by *vcg*

lemma $\Gamma \vdash \llbracket \text{'N} = a \rrbracket \text{'N} ::= \text{'N} + 1 \llbracket \text{'N} = a + 1 \rrbracket$
by *vcg*

lemma $\Gamma \vdash \llbracket a = a \wedge b = b \rrbracket \text{'M} ::= a;; \text{'N} ::= b \llbracket \text{'M} = a \wedge \text{'N} = b \rrbracket$
by *vcg*

lemma $\Gamma \vdash \llbracket \text{True} \rrbracket \text{'M} ::= a;; \text{'N} ::= b \llbracket \text{'M} = a \wedge \text{'N} = b \rrbracket$
by *vcg*

lemma $\Gamma \vdash \llbracket \text{'M} = a \wedge \text{'N} = b \rrbracket$
 $\text{'I} ::= \text{'M};; \text{'M} ::= \text{'N};; \text{'N} ::= \text{'I}$
 $\llbracket \text{'M} = b \wedge \text{'N} = a \rrbracket$
by *vcg*

We can also perform verification conditions generation step by step by using the *vcg-step* method.

lemma $\Gamma \vdash \llbracket \text{'M} = a \wedge \text{'N} = b \rrbracket$
 $\text{'I} ::= \text{'M};; \text{'M} ::= \text{'N};; \text{'N} ::= \text{'I}$
 $\llbracket \text{'M} = b \wedge \text{'N} = a \rrbracket$
apply *vcg-step*
apply *vcg-step*
apply *vcg-step*
apply *vcg-step*
done

It is important to note that statements like the following one can only be proven for each individual program variable. Due to the extra-logical nature of record fields, we cannot formulate a theorem relating record selectors and updates schematically.

lemma $\Gamma \vdash \{N = a\} \text{ 'N } ::= \text{ 'N } \{N = a\}$
by *vcg*

lemma $\Gamma \vdash \{s. x' s = a\} (\text{Basic } (\lambda s. x'\text{-update } (x' s) s)) \{s. x' s = a\}$
oops

In the following assignments we make use of the consequence rule in order to achieve the intended precondition. Certainly, the *vcg* method is able to handle this case, too.

lemma $\Gamma \vdash \{M = N\} \text{ 'M } ::= \text{ 'M } + 1 \{M \neq N\}$
proof –
 have $\{M = N\} \subseteq \{M + 1 \neq N\}$
 by *auto*
 also have $\Gamma \vdash \dots \text{ 'M } ::= \text{ 'M } + 1 \{M \neq N\}$
 by *vcg*
 finally show *?thesis* .
qed

lemma $\Gamma \vdash \{M = N\} \text{ 'M } ::= \text{ 'M } + 1 \{M \neq N\}$
proof –
 have $\bigwedge m n :: \text{nat}. m = n \longrightarrow m + 1 \neq n$
 — inclusion of assertions expressed in “pure” logic,
 — without mentioning the state space
 by *simp*
 also have $\Gamma \vdash \{M + 1 \neq N\} \text{ 'M } ::= \text{ 'M } + 1 \{M \neq N\}$
 by *vcg*
 finally show *?thesis* .
qed

lemma $\Gamma \vdash \{M = N\} \text{ 'M } ::= \text{ 'M } + 1 \{M \neq N\}$
 apply *vcg*
 apply *simp*
 done

17.3 Multiplication by Addition

We now do some basic examples of actual WHILE programs. This one is a loop for calculating the product of two natural numbers, by iterated addition. We first give detailed structured proof based on single-step Hoare rules.

lemma $\Gamma \vdash \{M = 0 \wedge S = 0\}$
 $\text{WHILE } M \neq a$
 $\text{DO } S ::= S + b;; M ::= M + 1 \text{ OD}$
 $\{S = a * b\}$
proof –
 let $\Gamma \vdash - \text{ ?while } - = \text{ ?thesis}$
 let $\{?inv\} = \{S = M * b\}$

```

have  $\{\ 'M = 0 \ \& \ 'S = 0 \} \subseteq \{\ '?inv \}$  by auto
also have  $\Gamma \vdash \dots \ ?while \ \{\ '?inv \wedge \neg ('M \neq a) \}$ 
proof
  let  $?c = 'S ::= 'S + b;; 'M ::= 'M + 1$ 
  have  $\{\ '?inv \wedge 'M \neq a \} \subseteq \{\ 'S + b = ('M + 1) * b \}$ 
  by auto
  also have  $\Gamma \vdash \dots \ ?c \ \{\ '?inv \}$  by vcg
  finally show  $\Gamma \vdash \{\ '?inv \wedge 'M \neq a \} \ ?c \ \{\ '?inv \}$  .
qed
also have  $\{\ '?inv \wedge \neg ('M \neq a) \} \subseteq \{\ 'S = a * b \}$  by auto
finally show ?thesis by blast
qed

```

The subsequent version of the proof applies the *vcg* method to reduce the Hoare statement to a purely logical problem that can be solved fully automatically. Note that we have to specify the WHILE loop invariant in the original statement.

```

lemma  $\Gamma \vdash \{\ 'M = 0 \wedge 'S = 0 \}$ 
  WHILE  $'M \neq a$ 
  INV  $\{\ 'S = 'M * b \}$ 
  DO  $'S ::= 'S + b;; 'M ::= 'M + 1$  OD
   $\{\ 'S = a * b \}$ 
apply vcg
apply auto
done

```

Here some examples of “breaking” out of a loop

```

lemma  $\Gamma \vdash \{\ 'M = 0 \wedge 'S = 0 \}$ 
  TRY
    WHILE True
    INV  $\{\ 'S = 'M * b \}$ 
    DO IF  $'M = a$  THEN THROW ELSE  $'S ::= 'S + b;; 'M ::= 'M +$ 
1 FI OD
    CATCH
      SKIP
    END
     $\{\ 'S = a * b \}$ 
apply vcg
apply auto
done

```

```

lemma  $\Gamma \vdash \{\ 'M = 0 \wedge 'S = 0 \}$ 
  TRY
    WHILE True
    INV  $\{\ 'S = 'M * b \}$ 
    DO IF  $'M = a$  THEN  $'Abr ::= "Break";$  THROW
      ELSE  $'S ::= 'S + b;; 'M ::= 'M + 1$ 
    FI

```



```

      OD
    CATCH
      IF 'Abr = "Break" THEN SKIP ELSE Throw FI
    END
     $\{\{ 'S = a * b \}$ 
  apply vcg
  apply auto
done

```

Some more syntactic sugar, the label statement $\dots \cdot \dots$ as shorthand for the *TRY-CATCH* above, and the *RAISE* for an state-update followed by a *THROW*.

```

lemma  $\Gamma \vdash \{\{ 'M = 0 \wedge 'S = 0 \}$ 
   $\{\{ 'Abr = "Break" \} \cdot$  WHILE True INV  $\{\{ 'S = 'M * b \}$ 
  DO IF  $'M = a$  THEN RAISE  $'Abr ::= "Break"$ 
    ELSE  $'S ::= 'S + b;; 'M ::= 'M + 1$ 
  FI
  OD
   $\{\{ 'S = a * b \}$ 
  apply vcg
  apply auto
done

```

```

lemma  $\Gamma \vdash \{\{ 'M = 0 \wedge 'S = 0 \}$ 
  TRY
    WHILE True
    INV  $\{\{ 'S = 'M * b \}$ 
    DO IF  $'M = a$  THEN RAISE  $'Abr ::= "Break"$ 
      ELSE  $'S ::= 'S + b;; 'M ::= 'M + 1$ 
    FI
  OD
  CATCH
    IF  $'Abr = "Break" THEN SKIP ELSE Throw FI$ 
  END
   $\{\{ 'S = a * b \}$ 
  apply vcg
  apply auto
done

```

```

lemma  $\Gamma \vdash \{\{ 'M = 0 \wedge 'S = 0 \}$ 
   $\{\{ 'Abr = "Break" \} \cdot$  WHILE True
  INV  $\{\{ 'S = 'M * b \}$ 
  DO IF  $'M = a$  THEN RAISE  $'Abr ::= "Break"$ 
    ELSE  $'S ::= 'S + b;; 'M ::= 'M + 1$ 
  FI
  OD
   $\{\{ 'S = a * b \}$ 
  apply vcg
  apply auto

```

done

Blocks

lemma $\Gamma \vdash \llbracket T = i \rrbracket \text{LOC } T;; T := 2 \text{ COL } \llbracket T \leq i \rrbracket$
apply *vcg*
by *simp*

lemma $\Gamma \vdash \llbracket N = n \rrbracket \text{LOC } N := 10;; N := N + 2 \text{ COL } \llbracket N = n \rrbracket$
by *vcg*

lemma $\Gamma \vdash \llbracket N = n \rrbracket \text{LOC } N := 10, M;; N := N + 2 \text{ COL } \llbracket N = n \rrbracket$
by *vcg*

17.4 Summing Natural Numbers

We verify an imperative program to sum natural numbers up to a given limit. First some functional definition for proper specification of the problem.

primrec

sum :: (nat => nat) => nat => nat

where

sum *f* 0 = 0

| *sum* *f* (Suc *n*) = *f* *n* + *sum* *f* *n*

syntax

-*sum* :: *idt* => nat => nat => nat
 (SUMM -<-. - [0, 0, 10] 10)

translations

SUMM *j*<*k*. *b* == CONST *sum* ($\lambda j. b$) *k*

The following proof is quite explicit in the individual steps taken, with the *vcg* method only applied locally to take care of assignment and sequential composition. Note that we express intermediate proof obligation in pure logic, without referring to the state space.

theorem $\Gamma \vdash \llbracket \text{True} \rrbracket$

$S := 0;; T := 1;;$

WHILE $T \neq n$

DO

$S := S + T;;$

$T := T + 1$

OD

$\llbracket S = (\text{SUMM } j < n. j) \rrbracket$

(is $\Gamma \vdash - (-;; ?while) -$)

proof –

let $?sum = \lambda k. \text{SUMM } j < k. j$

let $?inv = \lambda s i. s = ?sum i$

have $\Gamma \vdash \llbracket \text{True} \rrbracket S := 0;; T := 1 \llbracket ?inv S T \rrbracket$

proof –

have $\text{True} \longrightarrow 0 = ?sum 1$

```

    by simp
  also have  $\Gamma \vdash \{\dots\} \text{'S} ::= 0;; \text{'I} ::= 1 \ \{\text{'inv} \text{'S} \text{'I}\}$ 
    by vcg
  finally show ?thesis .
qed
also have  $\Gamma \vdash \{\text{'inv} \text{'S} \text{'I}\} \text{'while} \ \{\text{'inv} \text{'S} \text{'I} \wedge \neg \text{'I} \neq n\}$ 
proof
  let ?body =  $\text{'S} ::= \text{'S} + \text{'I};; \text{'I} ::= \text{'I} + 1$ 
  have  $\bigwedge s \ i. \ \text{'inv} \ s \ i \wedge i \neq n \longrightarrow \text{'inv} \ (s + i) \ (i + 1)$ 
    by simp
  also have  $\Gamma \vdash \{\text{'S} + \text{'I} = \text{'sum} \ (\text{'I} + 1)\} \text{'body} \ \{\text{'inv} \text{'S} \text{'I}\}$ 
    by vcg
  finally show  $\Gamma \vdash \{\text{'inv} \text{'S} \text{'I} \wedge \neg \text{'I} \neq n\} \text{'body} \ \{\text{'inv} \text{'S} \text{'I}\} .$ 
qed
also have  $\bigwedge s \ i. \ s = \text{'sum} \ i \wedge \neg i \neq n \longrightarrow s = \text{'sum} \ n$ 
    by simp
  finally show ?thesis .
qed

```

The next version uses the *vcg* method, while still explaining the resulting proof obligations in an abstract, structured manner.

```

theorem  $\Gamma \vdash \{\text{True}\}$ 
   $\text{'S} ::= 0;; \text{'I} ::= 1;;$ 
  WHILE  $\text{'I} \neq n$ 
  INV  $\{\text{'S} = (\text{SUMM } j < \text{'I}. j)\}$ 
  DO
     $\text{'S} ::= \text{'S} + \text{'I};;$ 
     $\text{'I} ::= \text{'I} + 1$ 
  OD
   $\{\text{'S} = (\text{SUMM } j < n. j)\}$ 
proof –
  let ?sum =  $\lambda k. \text{SUMM } j < k. j$ 
  let ?inv =  $\lambda s \ i. \ s = \text{'sum} \ i$ 

  show ?thesis
proof vcg
  show ?inv 0 1 by simp
next
  fix  $i \ s$  assume ?inv  $s \ i \ i \neq n$ 
  thus ?inv  $(s + i) \ (i + 1)$  by simp
next
  fix  $i \ s$  assume  $x: \text{'inv} \ s \ i \ \neg i \neq n$ 
  thus  $s = \text{'sum} \ n$  by simp
qed
qed

```

Certainly, this proof may be done fully automatically as well, provided that the invariant is given beforehand.

```

theorem  $\Gamma \vdash \{\text{True}\}$ 

```

```

      'S ::= 0;; 'I ::= 1;;
      WHILE 'I ≠ n
      INV { 'S = (SUMM j<'I. j) }
      DO
        'S ::= 'S + 'I;;
        'I ::= 'I + 1
      OD
      { 'S = (SUMM j<n. j) }
apply vcg
apply auto
done

```

17.5 SWITCH

```

lemma  $\Gamma \vdash \{ N = 5 \} \text{ SWITCH } 'B$ 
      { True }  $\Rightarrow 'N ::= 6$ 
      | { False }  $\Rightarrow 'N ::= 7$ 
      END
      { 'N > 5 }
apply vcg
apply simp
done

```

```

lemma  $\Gamma \vdash \{ N = 5 \} \text{ SWITCH } 'N$ 
      { v. v < 5 }  $\Rightarrow 'N ::= 6$ 
      | { v. v ≥ 5 }  $\Rightarrow 'N ::= 7$ 
      END
      { 'N > 5 }
apply vcg
apply simp
done

```

17.6 (Mutually) Recursive Procedures

17.6.1 Factorial

We want to define a procedure for the factorial. We first define a HOL functions that calculates it to specify the procedure later on.

```

primrec fac:: nat  $\Rightarrow$  nat
where
fac 0 = 1 |
fac (Suc n) = (Suc n) * fac n

```

```

lemma fac-simp [simp]:  $0 < i \implies \text{fac } i = i * \text{fac } (i - 1)$ 
by (cases i) simp-all

```

Now we define the procedure

```

procedures
  Fac (N|R) = IF 'N = 0 THEN 'R ::= 1

```

```

ELSE 'R ::= CALL Fac('N - 1);;
'R ::= 'N * 'R
FI

```

A procedure is given by the signature of the procedure followed by the procedure body. The signature consists of the name of the procedure and a list of parameters. The parameters in front of the pipe | are value parameters and behind the pipe are the result parameters. Value parameters model call by value semantics. The value of a result parameter at the end of the procedure is passed back to the caller.

Behind the scenes the *procedures* command provides us convenient syntax for procedure calls, defines a constant for the procedure body (named *Fac-body*) and creates some locales. The purpose of locales is to set up logical contexts to support modular reasoning. A locale is named *Fac-impl* and extends the *hoare* locale with a theorem $\Gamma \text{"Fac"} = \text{Fac-body}$ that simply states how the procedure is defined in the procedure context. Check out the locales. The purpose of the locales is to give us easy means to setup the context in which we will prove programs correct. In these locales the procedure context Γ is fixed. So always use this letter in procedure specifications. This is crucial, if we later on prove some tuples under the assumption of some procedure specifications.

```

thm Fac-body.Fac-body-def
print-locale Fac-impl

```

To see how a call is syntactically translated you can switch off the printing translation via the configuration option *hoare-use-call-tr'*

```

context Fac-impl
begin

```

'M ::= CALL Fac('N) is internally:

```

declare [[hoare-use-call-tr' = false]]

```

```

call ( $\lambda s. s \langle N' := N' s \rangle$ ) Fac-'proc ( $\lambda s t. s \langle \text{globals} := \text{globals } t \rangle$ ) ( $\lambda i t. 'M ::= R-' t$ )

```

```

term CALL Fac('N, 'M)
declare [[hoare-use-call-tr' = true]]
end

```

Now let us prove that *Fac* meets its specification.

Procedure specifications are ordinary Hoare tuples. We use the parameter-less call for the specification; $'R ::= PROC Fac('N)$ is syntactic sugar for *Call "Fac"*. This emphasises that the specification describes the internal behaviour of the procedure, whereas parameter passing corresponds to the procedure call.

```

lemma (in Fac-impl)
  shows  $\forall n. \Gamma, \Theta \vdash \llbracket 'N=n \rrbracket \text{ PROC } \text{Fac}('N, 'R) \llbracket 'R = \text{fac } n \rrbracket$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply vcg
  apply simp
done

```

Since the factorial was implemented recursively, the main ingredient of this proof is, to assume that the specification holds for the recursive call of *Fac* and prove the body correct. The assumption for recursive calls is added to the context by the rule *HoarePartial.ProcRec1* (also derived from general rule for mutually recursive procedures):

$$\begin{aligned}
& \llbracket \forall Z. \Gamma, \Theta \cup (\bigcup_Z \{(P\ Z, p, Q\ Z, A\ Z)\}) \vdash_{/F} (P\ Z) \text{ the } (\Gamma\ p) (Q\ Z), (A\ Z); \\
& \quad p \in \text{dom } \Gamma \rrbracket \\
& \implies \forall Z. \Gamma, \Theta \vdash_{/F} (P\ Z) \text{ Call } p (Q\ Z), (A\ Z)
\end{aligned}$$

The verification condition generator will infer the specification out of the context when it encounters a recursive call of the factorial.

We can also step through verification condition generation. When the verification condition generator encounters a procedure call it tries to use the rule *ProcSpec*. To be successful there must be a specification of the procedure in the context.

```

lemma (in Fac-impl)
  shows  $\forall n. \Gamma \vdash \llbracket 'N=n \rrbracket 'R := \text{PROC } \text{Fac}('N) \llbracket 'R = \text{fac } n \rrbracket$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply simp
done

```

Here some Isar style version of the proof

```

lemma (in Fac-impl)
  shows  $\forall n. \Gamma \vdash \llbracket 'N=n \rrbracket 'R := \text{PROC } \text{Fac}('N) \llbracket 'R = \text{fac } n \rrbracket$ 
proof (hoare-rule HoarePartial.ProcRec1)
  have Fac-spec:  $\forall n. \Gamma, (\bigcup n. \{(\llbracket 'N=n \rrbracket, \text{Fac-}'proc, \llbracket 'R = \text{fac } n \rrbracket, \{\})\})$ 
     $\vdash \llbracket 'N=n \rrbracket 'R := \text{PROC } \text{Fac}('N) \llbracket 'R = \text{fac } n \rrbracket$ 
  apply (rule allI)
  apply (rule hoarep.Asm)
  by auto
show  $\forall n. \Gamma, (\bigcup n. \{(\llbracket 'N=n \rrbracket, \text{Fac-}'proc, \llbracket 'R = \text{fac } n \rrbracket, \{\})\})$ 
   $\vdash \llbracket 'N=n \rrbracket \text{ IF } 'N = 0 \text{ THEN } 'R := 1$ 
   $\text{ ELSE } 'R := \text{CALL } \text{Fac}('N - 1);; 'R := 'N * 'R \text{ FI } \llbracket 'R = \text{fac } n \rrbracket$ 
  apply vcg

```

```

    apply simp
  done
qed

```

To avoid retyping of potentially large pre and postconditions in the previous proof we can use the casual term abbreviations of the Isar language.

```

lemma (in Fac-impl)
  shows  $\forall n. \Gamma \vdash \llbracket 'N = n \rrbracket \ 'R ::= \text{PROC } \text{Fac}('N) \llbracket 'R = \text{fac } n \rrbracket$ 
  (is  $\forall n. \Gamma \vdash (?Pre\ n) \ ?Fac\ (?Post\ n)$ )
proof (hoare-rule HoarePartial.ProcRec1)
  have Fac-spec:  $\forall n. \Gamma, (\bigcup n. \{ (?Pre\ n, \text{Fac-}'proc, ?Post\ n, \{\}) \})$ 
     $\vdash (?Pre\ n) \ ?Fac\ (?Post\ n)$ 
  apply (rule allI)
  apply (rule hoarep.Asm)
  by auto
show  $\forall n. \Gamma, (\bigcup n. \{ (?Pre\ n, \text{Fac-}'proc, ?Post\ n, \{\}) \})$ 
   $\vdash (?Pre\ n) \text{ IF } 'N = 0 \text{ THEN } 'R ::= 1$ 
   $\text{ ELSE } 'R ::= \text{CALL } \text{Fac}('N - 1);; 'R ::= 'N * 'R \text{ FI } (?Post\ n)$ 
  apply vcg
  apply simp
  done
qed

```

The previous proof pattern has still some kind of inconvenience. The augmented context is always printed in the proof state. That can mess up the state, especially if we have large specifications. This may be annoying if we want to develop single step or structured proofs. In this case it can be a good idea to introduce a new variable for the augmented context.

```

lemma (in Fac-impl) Fac-spec:
  shows  $\forall n. \Gamma \vdash \llbracket 'N = n \rrbracket \ 'R ::= \text{PROC } \text{Fac}('N) \llbracket 'R = \text{fac } n \rrbracket$ 
  (is  $\forall n. \Gamma \vdash (?Pre\ n) \ ?Fac\ (?Post\ n)$ )
proof (hoare-rule HoarePartial.ProcRec1)
  define  $\Theta'$  where  $\Theta' = (\bigcup n. \{ (?Pre\ n, \text{Fac-}'proc, ?Post\ n, \{\}) :: ('a, 'b) \text{ vars-scheme set} \})$ 
  have Fac-spec:  $\forall n. \Gamma, \Theta' \vdash (?Pre\ n) \ ?Fac\ (?Post\ n)$ 
  by (unfold  $\Theta'$ -def, rule allI, rule hoarep.Asm) auto

```

We have to name the fact *Fac-spec*, so that the *vcg* can use the specification for the recursive call, since it cannot infer it from the opaque Θ' .

```

show  $\forall \sigma. \Gamma, \Theta' \vdash (?Pre\ \sigma) \text{ IF } 'N = 0 \text{ THEN } 'R ::= 1$ 
   $\text{ ELSE } 'R ::= \text{CALL } \text{Fac}('N - 1);; 'R ::= 'N * 'R \text{ FI } (?Post\ \sigma)$ 
  apply vcg
  apply simp
  done
qed

```

There are different rules available to prove procedure calls, depending on the kind of postcondition and whether or not the procedure is recursive or

even mutually recursive. See for example *HoarePartial.ProcRec1*, *HoarePartial.ProcNoRec1*. They are all derived from the most general rule *HoarePartial.ProcRec*. All of them have some side-condition concerning definedness of the procedure. They can be solved in a uniform fashion. That's why we have created the method *hoare-rule*, which behaves like the method *rule* but automatically tries to solve the side-conditions.

17.6.2 Odd and Even

Odd and even are defined mutually recursive here. In the *procedures* command we conjoin both definitions with *and*.

procedures

```
odd(N | A) = IF 'N=0 THEN 'A:=0
            ELSE IF 'N=1 THEN CALL even ('N - 1, 'A)
            ELSE CALL odd ('N - 2, 'A)
            FI
FI
```

and

```
even(N | A) = IF 'N=0 THEN 'A:=1
              ELSE IF 'N=1 THEN CALL odd ('N - 1, 'A)
              ELSE CALL even ('N - 2, 'A)
              FI
FI
```

print-theorems

```
thm odd-body.odd-body-def
thm even-body.even-body-def
print-locale odd-even-clique
```

To prove the procedure calls to *odd* respectively *even* correct we first derive a rule to justify that we can assume both specifications to verify the bodies. This rule can be derived from the general *HoarePartial.ProcRec* rule. An ML function does this work:

```
ML (ML-Thms.bind-thm (ProcRec2, Hoare.gen-proc-rec @{context} Hoare.Partial
2))
```

lemma (in *odd-even-clique*)

```
shows odd-spec:  $\forall n. \Gamma \vdash \llbracket 'N=n \rrbracket 'A ::= PROC\ odd('N)$ 
 $\llbracket (\exists b. n = 2 * b + 'A) \wedge 'A < 2 \rrbracket$  (is ?P1)
and even-spec:  $\forall n. \Gamma \vdash \llbracket 'N=n \rrbracket 'A ::= PROC\ even('N)$ 
 $\llbracket (\exists b. n + 1 = 2 * b + 'A) \wedge 'A < 2 \rrbracket$  (is ?P2)
```

proof –

```
have ?P1  $\wedge$  ?P2
apply (hoare-rule ProcRec2)
```



```

    apply vcg
    apply clarsimp
    apply (rule-tac x=b + 1 in exI)
    apply arith
    apply vcg
    apply clarsimp
    apply arith
    done
  thus ?P1 ?P2
    by iprover+
qed

```

17.7 Expressions With Side Effects

$R := N++ + M++$

```

lemma  $\Gamma \vdash \{True\}$ 
  ' $N \gg n$ . ' $N ::= 'N + 1 \gg$ 
  ' $M \gg m$ . ' $M ::= 'M + 1 \gg$ 
  ' $R ::= n + m$ 
   $\{ 'R = 'N + 'M - 2 \}$ 
apply vcg
apply simp
done

```

$R := \text{Fac } (N) + \text{Fac } (M)$

```

lemma (in Fac-impl) shows
   $\Gamma \vdash \{True\}$ 
  CALL Fac('N)  $\gg n$ . CALL Fac('M)  $\gg m$ .
  ' $R ::= n + m$ 
   $\{ 'R = fac 'N + fac 'M \}$ 
apply vcg
done

```

$R := (\text{Fac}(\text{Fac } (N)))$

```

lemma (in Fac-impl) shows
   $\Gamma \vdash \{True\}$ 
  CALL Fac('N)  $\gg n$ . CALL Fac(n)  $\gg m$ .
  ' $R ::= m$ 
   $\{ 'R = fac (fac 'N) \}$ 
apply vcg
done

```

17.8 Global Variables and Heap

Now we define and verify some procedures on heap-lists. We consider list structures consisting of two fields, a content element *cont* and a reference to the next list element *next*. We model this by the following state space where every field has its own heap.

```

record globals-list =
  next-' :: ref  $\Rightarrow$  ref
  cont-' :: ref  $\Rightarrow$  nat

```

```

record 'g list-vars = 'g state +
  p-' :: ref
  q-' :: ref
  r-' :: ref
  root-' :: ref
  tmp-' :: ref

```

Updates to global components inside a procedure will always be propagated to the caller. This is implicitly done by the parameter passing syntax translations. The record containing the global variables must begin with the prefix "globals".

We first define an append function on lists. It takes two references as parameters. It appends the list referred to by the first parameter with the list referred to by the second parameter, and returns the result right into the first parameter.

procedures

```

  append(p,q|p) =
    IF 'p=Null THEN 'p ::= 'q ELSE 'p  $\rightarrow$  'next ::= CALL append('p $\rightarrow$ 'next,'
    q) FI

```

context *append-impl*

begin

declare [[*hoare-use-call-tr*' = *false*]]

term CALL *append*('p,'q,'p \rightarrow 'next)

declare [[*hoare-use-call-tr*' = *true*]]

end

Below we give two specifications this time. One captures the functional behaviour and focuses on the entities that are potentially modified by the procedure, the other one is a pure frame condition. The list in the modifies clause has to list all global state components that may be changed by the procedure. Note that we know from the modifies clause that the *cont* parts of the lists will not be changed. Also a small side note on the syntax. We use ordinary brackets in the postcondition of the modifies clause, and also the state components do not carry the acute, because we explicitly note the state *t* here.

The functional specification now introduces two logical variables besides the state space variable σ , namely *Ps* and *Qs*. They are universally quantified and range over both the pre and the postcondition, so that we are able to properly instantiate the specification during the proofs. The syntax $\{\sigma. \dots\}$

is a shorthand to fix the current state: $\{s. \sigma = s \dots\}$.

```

lemma (in append-impl) append-spec:
  shows  $\forall \sigma \ Ps \ Qs. \Gamma \vdash$ 
     $\{\sigma. \text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\}\}$ 
     $'p := PROC \text{append}('p, 'q)$ 
     $\{\text{List } 'p \ 'next \ (Ps @ Qs) \wedge (\forall x. x \notin \text{set } Ps \longrightarrow 'next \ x = \sigma_{next} \ x)\}$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply vcg
  apply fastforce
  done

```

The modifies clause is equal to a proper record update specification of the following form.

```

lemma  $\{t. t \text{ may-only-modify-globals } Z \text{ in } [next]\}$ 
  =
   $\{t. \exists next. \text{globals } t = next\text{'-update } (\lambda -. next) (\text{globals } Z)\}$ 
  apply (unfold mex-def meq-def)
  apply (simp)
  done

```

If the verification condition generator works on a procedure call it checks whether it can find a modified clause in the context. If one is present the procedure call is simplified before the Hoare rule *HoarePartial.ProcSpec* is applied. Simplification of the procedure call means, that the “copy back” of the global components is simplified. Only those components that occur in the modifies clause will actually be copied back. This simplification is justified by the rule *HoarePartial.ProcModifyReturn*. So after this simplification all global components that do not appear in the modifies clause will be treated as local variables.

You can study the effect of the modifies clause on the following two examples, where we want to prove that (@) does not change the *cont* part of the heap.

```

lemma (in append-impl)
  shows  $\Gamma \vdash \{\ 'p = Null \wedge 'cont = c \} \ 'p := CALL \text{append}('p, Null) \{\ 'cont = c \}$ 
  apply vcg
  oops

```

To prove the frame condition, we have to tell the verification condition generator to use only the modifies clauses and not to search for functional specifications by the parameter *spec=modifies*. It will also try to solve the verification conditions automatically.

```

lemma (in append-impl) append-modifies:
  shows
     $\forall \sigma. \Gamma \vdash \{\sigma\} \ 'p := PROC \text{append}('p, 'q) \{t. t \text{ may-only-modify-globals } \sigma \text{ in } [next]\}$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply (vcg spec=modifies)

```

done

```

lemma (in append-impl)
shows  $\Gamma \vdash \{ \text{'p} = \text{Null} \wedge \text{'cont} = c \} \text{'p} \rightarrow \text{'next} ::= \text{CALL append}(\text{'p}, \text{Null}) \{ \text{'cont} = c \}$ 
apply vcg
apply simp
done

```

Of course we could add the modifies clause to the functional specification as well. But separating both has the advantage that we split up the verification work. We can make use of the modifies clause before we apply the functional specification in a fully automatic fashion.

To verify the body of (@) we do not need the modifies clause, since the specification does not talk about *cont* at all, and we don't access *cont* inside the body. This may be different for more complex procedures.

To prove that a procedure respects the modifies clause, we only need the modifies clauses of the procedures called in the body. We do not need the functional specifications. So we can always prove the modifies clause without functional specifications, but we may need the modifies clause to prove the functional specifications.

17.8.1 Insertion Sort

```

primrec sorted:: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  bool
where
  sorted le [] = True |
  sorted le (x#xs) = ( $(\forall y \in \text{set } xs. \text{le } x y) \wedge \text{sorted le } xs$ )

```

```

procedures
  insert(r, p | p) =
    IF 'r=Null THEN SKIP
    ELSE IF 'p=Null THEN 'p ::= 'r;; 'p→'next ::= Null
      ELSE IF 'r→'cont ≤ 'p→'cont
        THEN 'r→'next ::= 'p;; 'p ::= 'r
        ELSE 'p→'next ::= CALL insert('r, 'p→'next)
      FI
    FI
  FI

```

In the postcondition of the functional specification there is a small but important subtlety. Whenever we talk about the *cont* part we refer to the one of the pre-state, even in the conclusion of the implication. The reason is, that we have separated out, that *cont* is not modified by the procedure,

to the modifies clause. So whenever we talk about unmodified parts in the postcondition we have to use the pre-state part, or explicitly state an equality in the postcondition. The reason is simple. If the postcondition would talk about 'cont instead of σcont , we get a new instance of cont during verification and the postcondition would only state something about this new instance. But as the verification condition generator uses the modifies clause the caller of *insert* instead still has the old cont after the call. Thats the very reason for the modifies clause. So the caller and the specification will simply talk about two different things, without being able to relate them (unless an explicit equality is added to the specification).

lemma (in *insert-impl*) *insert-modifies*:
 $\forall \sigma. \Gamma \vdash \{\sigma\} \text{'p} ::= \text{PROC insert}(\text{'r}, \text{'p}) \{t. t \text{ may-only-modify-globals } \sigma \text{ in } [\text{next}]\}$
apply (*hoare-rule HoarePartial.ProcRec1*)
apply (*vcg spec=modifies*)
done

lemma (in *insert-impl*) *insert-spec*:
 $\forall \sigma \text{ Ps} . \Gamma \vdash \{\sigma. \text{List 'p 'next Ps} \wedge \text{sorted } (\leq) (\text{map 'cont Ps}) \wedge$
 $\text{'r} \neq \text{Null} \wedge \text{'r} \notin \text{set Ps}\}$
 $\text{'p} ::= \text{PROC insert}(\text{'r}, \text{'p})$
 $\{\exists \text{Qs}. \text{List 'p 'next Qs} \wedge \text{sorted } (\leq) (\text{map } \sigma\text{cont Qs}) \wedge$
 $\text{set Qs} = \text{insert } \sigma\text{r} (\text{set Ps}) \wedge$
 $(\forall x. x \notin \text{set Qs} \longrightarrow \text{'next } x = \sigma\text{next } x)\}$

apply (*hoare-rule HoarePartial.ProcRec1*)
apply *vcg*
apply (*intro conjI impI*)
apply *fastforce*
apply *fastforce*
apply *fastforce*
apply (*clarsimp*)
apply *force*
done

procedures
 $\text{insertSort}(p \mid p) =$
 $\text{'r} ::= \text{Null};;$
 $\text{WHILE } (\text{'p} \neq \text{Null}) \text{ DO}$
 $\text{'q} ::= \text{'p};;$
 $\text{'p} ::= \text{'p} \rightarrow \text{'next};;$
 $\text{'r} ::= \text{CALL insert}(\text{'q}, \text{'r})$
 $\text{OD};;$
 $\text{'p} ::= \text{'r}$

```

lemma (in insertSort-impl) insertSort-modifies:
  shows
     $\forall \sigma. \Gamma \vdash \{ \sigma \} \text{'p} ::= \text{PROC insertSort}(\text{'p})$ 
     $\{ t. t \text{ may-only-modify-globals } \sigma \text{ in } [next] \}$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply (vcg spec=modifies)
  done

```

Insertion sort is not implemented recursively here but with a while loop. Note that the while loop is not annotated with an invariant in the procedure definition. The invariant only comes into play during verification. Therefore we will annotate the body during the proof with the rule *HoarePartial.annotateI*.

```

lemma (in insertSort-impl) insertSort-body-spec:
  shows  $\forall \sigma \text{ Ps. } \Gamma, \Theta \vdash \{ \sigma. \text{List 'p 'next Ps} \}$ 
     $\text{'p} ::= \text{PROC insertSort}(\text{'p})$ 
     $\{ \exists Qs. \text{List 'p 'next Qs} \wedge \text{sorted } (\leq) (\text{map } \sigma_{\text{cont}} Qs) \wedge$ 
     $\text{set Qs} = \text{set Ps} \}$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply (hoare-rule anno=
     $\text{'r} ::= \text{Null};;$ 
    WHILE 'p  $\neq$  Null
    INV  $\{ \exists Qs \text{ Rs. List 'p 'next Qs} \wedge \text{List 'r 'next Rs} \wedge$ 
     $\text{set Qs} \cap \text{set Rs} = \{ \} \wedge$ 
     $\text{sorted } (\leq) (\text{map 'cont Rs}) \wedge \text{set Qs} \cup \text{set Rs} = \text{set Ps} \wedge$ 
     $\text{'cont} = \sigma_{\text{cont}} \}$ 
    DO 'q ::= 'p;; 'p ::= 'p  $\rightarrow$  'next;; 'r ::= CALL insert('q, 'r) OD;;
     $\text{'p} ::= \text{'r in HoarePartial.annotateI}$ )
  apply vcg
  apply fastforce
  prefer 2
  apply fastforce
  apply (clarsimp)
  apply (rule-tac x=ps in exI)
  apply (intro conjI)
  apply (rule heap-eq-ListI1)
  apply assumption
  apply clarsimp
  apply (subgoal-tac x $\neq$ p  $\wedge$  x  $\notin$  set Rs)
  apply auto
  done

```

17.8.2 Memory Allocation and Deallocation

The basic idea of memory management is to keep a list of allocated references in the state space. Allocation of a new reference adds a new reference to the list deallocation removes a reference. Moreover we keep a counter "free" for the free memory.

record *globals-list-alloc* = *globals-list* +
alloc-'::*ref list*
free-'::*nat*

record '*g list-vars*' = '*g list-vars* +
i-'::*nat*
first-'::*ref*

definition *sz* = (2::*nat*)

Restrict locale *hoare* to the required type.

locale *hoare-ex* =
hoare Γ **for** $\Gamma :: 'c \rightarrow (('a \text{ globals-list-alloc-scheme}, 'b) \text{ list-vars'-scheme}, 'c, 'd)$
com

lemma (**in** *hoare-ex*)

$\Gamma \vdash \{ 'i = 0 \wedge 'first = \text{Null} \wedge n * sz \leq 'free \}$
WHILE $'i < n$
INV $\{ \exists Ps. \text{List } 'first \ 'next \ Ps \wedge \text{length } Ps = 'i \wedge 'i \leq n \wedge$
 $\text{set } Ps \subseteq \text{set } 'alloc \wedge (n - 'i) * sz \leq 'free \}$
DO
 $'p := \text{NEW } sz \ ['cont := 0, 'next := \text{Null}];;$
 $'p \rightarrow 'next := 'first;;$
 $'first := 'p;;$
 $'i := 'i + 1$
OD
 $\{ \exists Ps. \text{List } 'first \ 'next \ Ps \wedge \text{length } Ps = n \wedge \text{set } Ps \subseteq \text{set } 'alloc \}$

apply (*vcg*)
apply *simp*
apply *clarsimp*
apply (*rule conjI*)
apply *clarsimp*
apply (*rule-tac* $x = \text{new } (\text{set } alloc) \# Ps$ **in** *exI*)
apply *clarsimp*
apply (*rule conjI*)
apply *fastforce*
apply (*simp add: sz-def*)
apply (*simp add: sz-def*)
apply *fastforce*
done

lemma (**in** *hoare-ex*)

$\Gamma \vdash \{ 'i = 0 \wedge 'first = \text{Null} \wedge n * sz \leq 'free \}$
WHILE $'i < n$
INV $\{ \exists Ps. \text{List } 'first \ 'next \ Ps \wedge \text{length } Ps = 'i \wedge 'i \leq n \wedge$
 $\text{set } Ps \subseteq \text{set } 'alloc \wedge (n - 'i) * sz \leq 'free \}$

```

DO
  'p := NNEW sz ['cont:=0, 'next:= Null];;
  'p→'next := 'first;;
  'first := 'p;;
  'i := 'i+ 1
OD
 $\{\exists Ps. \text{List } 'first \ 'next \ Ps \wedge \text{length } Ps = n \wedge \text{set } Ps \subseteq \text{set } 'alloc\}$ 

```

```

apply (vcg)
apply simp
apply clarsimp
apply (rule conjI)
apply clarsimp
apply (rule-tac x=new (set alloc)#Ps in exI)
apply clarsimp
apply (rule conjI)
apply fastforce
apply (simp add: sz-def)
apply (simp add: sz-def)
apply fastforce
done

```

17.9 Fault Avoiding Semantics

If we want to ensure that no runtime errors occur we can insert guards into the code. We will not be able to prove any nontrivial Hoare triple about code with guards, if we cannot show that the guards will never fail. A trivial hoare triple is one with an empty precondition.

```

lemma  $\Gamma \vdash \{\text{True}\} \ \{\text{'p} \neq \text{Null}\} \mapsto \text{'p} \rightarrow \text{'next} := \text{'p} \ \{\text{True}\}$ 
apply vcg
oops

```

```

lemma  $\Gamma \vdash \{\} \ \{\text{'p} \neq \text{Null}\} \mapsto \text{'p} \rightarrow \text{'next} := \text{'p} \ \{\text{True}\}$ 
apply vcg
done

```

Let us consider this small program that reverts a list. At first without guards.

```

lemma (in hoare-ex) rev-strip:
 $\Gamma \vdash \{\text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \wedge$ 
 $\text{set } Ps \subseteq \text{set } 'alloc \wedge \text{set } Qs \subseteq \text{set } 'alloc\}$ 
  WHILE 'p  $\neq$  Null
  INV  $\{\exists ps \ qs. \text{List } 'p \ 'next \ ps \wedge \text{List } 'q \ 'next \ qs \wedge \text{set } ps \cap \text{set } qs = \{\} \wedge$ 
 $\text{rev } ps @ qs = \text{rev } Ps @ Qs \wedge$ 
 $\text{set } ps \subseteq \text{set } 'alloc \wedge \text{set } qs \subseteq \text{set } 'alloc\}$ 
  DO 'r := 'p;;
  'p := 'p→'next;;
  'r→'next := 'q;;

```



```

    'q ::= 'r OD
    {List 'q 'next (rev Ps @ Qs) ∧ set Ps ⊆ set 'alloc ∧ set Qs ⊆ set 'alloc}
  apply (vcg)
  apply fastforce+
done

```

If we want to ensure that we do not dereference *Null* or access unallocated memory, we have to add some guards.

```

locale hoare-ex-guard =
  hoare Γ for Γ :: 'c → (( 'a globals-list-alloc-scheme, 'b) list-vars'-scheme, 'c, bool)
com

```

lemma

```

  (in hoare-ex-guard)
  Γ ⊢ {List 'p 'next Ps ∧ List 'q 'next Qs ∧ set Ps ∩ set Qs = {} ∧
      set Ps ⊆ set 'alloc ∧ set Qs ⊆ set 'alloc}
  WHILE 'p ≠ Null
  INV {∃ ps qs. List 'p 'next ps ∧ List 'q 'next qs ∧ set ps ∩ set qs = {} ∧
      rev ps @ qs = rev Ps @ Qs ∧
      set ps ⊆ set 'alloc ∧ set qs ⊆ set 'alloc}
  DO 'r ::= 'p;;
    { 'p ≠ Null ∧ 'p ∈ set 'alloc } ⟶ 'p ::= 'p → 'next;;
    { 'r ≠ Null ∧ 'r ∈ set 'alloc } ⟶ 'r → 'next ::= 'q;;
    'q ::= 'r OD
  {List 'q 'next (rev Ps @ Qs) ∧ set Ps ⊆ set 'alloc ∧ set Qs ⊆ set 'alloc}
  apply (vcg)
  apply fastforce+
done

```

We can also just prove that no faults will occur, by giving the trivial post-condition.

lemma (**in** hoare-ex-guard) rev-noFault:

```

  Γ ⊢ {List 'p 'next Ps ∧ List 'q 'next Qs ∧ set Ps ∩ set Qs = {} ∧
      set Ps ⊆ set 'alloc ∧ set Qs ⊆ set 'alloc}
  WHILE 'p ≠ Null
  INV {∃ ps qs. List 'p 'next ps ∧ List 'q 'next qs ∧ set ps ∩ set qs = {} ∧
      rev ps @ qs = rev Ps @ Qs ∧
      set ps ⊆ set 'alloc ∧ set qs ⊆ set 'alloc}
  DO 'r ::= 'p;;
    { 'p ≠ Null ∧ 'p ∈ set 'alloc } ⟶ 'p ::= 'p → 'next;;
    { 'r ≠ Null ∧ 'r ∈ set 'alloc } ⟶ 'r → 'next ::= 'q;;
    'q ::= 'r OD
  UNIV, UNIV
  apply (vcg)
  apply fastforce+
done

```

lemma (**in** hoare-ex-guard) rev-moduloGuards:

```

  Γ ⊢ /{ True } {List 'p 'next Ps ∧ List 'q 'next Qs ∧ set Ps ∩ set Qs = {} ∧

```

```

    set Ps ⊆ set 'alloc ∧ set Qs ⊆ set 'alloc}
  WHILE 'p ≠ Null
  INV {∃ ps qs. List 'p 'next ps ∧ List 'q 'next qs ∧ set ps ∩ set qs = {} ∧
    rev ps @ qs = rev Ps @ Qs ∧
    set ps ⊆ set 'alloc ∧ set qs ⊆ set 'alloc}
  DO 'r ::= 'p;;
    {'p ≠ Null ∧ 'p ∈ set 'alloc}√ ↦ 'p ::= 'p → 'next;;
    {'r ≠ Null ∧ 'r ∈ set 'alloc}√ ↦ 'r → 'next ::= 'q;;
    'q ::= 'r OD
  {List 'q 'next (rev Ps @ Qs) ∧ set Ps ⊆ set 'alloc ∧ set Qs ⊆ set 'alloc}
apply vcg
apply fastforce+
done

```

lemma *CombineStrip'*:

```

  assumes deriv: Γ,Θ ⊢F P c' Q, A
  assumes deriv-strip: Γ,Θ ⊢{} P c'' UNIV, UNIV
  assumes c'': c'' = mark-guards False (strip-guards (−F) c')
  assumes c: c = mark-guards False c'
  shows Γ,Θ ⊢{} P c Q, A
proof −
  from deriv-strip [simplified c'']
  have Γ,Θ ⊢ P (strip-guards (− F) c') UNIV, UNIV
    by (rule HoarePartialProps.MarkGuardsD)
  with deriv
  have Γ,Θ ⊢ P c' Q, A
    by (rule HoarePartialProps.CombineStrip)
  hence Γ,Θ ⊢ P mark-guards False c' Q, A
    by (rule HoarePartialProps.MarkGuardsI)
  thus ?thesis
    by (simp add: c)
qed

```

We can then combine the prove that no fault will occur with the functional proof of the programme without guards to get the full prove by the rule $\llbracket ?\Gamma, ?\Theta \vdash_{?F} ?P ?c ?Q, ?A; ?\Gamma, ?\Theta \vdash ?P \text{ strip-guards } (− ?F) ?c \text{ UNIV, UNIV} \rrbracket \implies ?\Gamma, ?\Theta \vdash ?P ?c ?Q, ?A$

lemma
(in hoare-ex-guard)
 $\Gamma \vdash \{List\ 'p\ 'next\ Ps \wedge List\ 'q\ 'next\ Qs \wedge set\ Ps \cap set\ Qs = \{\} \wedge$
 $set\ Ps \subseteq set\ 'alloc \wedge set\ Qs \subseteq set\ 'alloc\}$
 WHILE 'p ≠ Null
 INV $\{ \exists ps\ qs. List\ 'p\ 'next\ ps \wedge List\ 'q\ 'next\ qs \wedge set\ ps \cap set\ qs = \{\} \wedge$
 $rev\ ps\ @\ qs = rev\ Ps\ @\ Qs \wedge$
 $set\ ps \subseteq set\ 'alloc \wedge set\ qs \subseteq set\ 'alloc \}$

```

DO 'r ::= 'p;;
  { 'p ≠ Null ∧ 'p ∈ set 'alloc } ⟶ 'p ::= 'p → 'next;;
  { 'r ≠ Null ∧ 'r ∈ set 'alloc } ⟶ 'r → 'next ::= 'q;;
  'q ::= 'r OD
{ List 'q 'next (rev Ps @ Qs) ∧ set Ps ⊆ set 'alloc ∧ set Qs ⊆ set 'alloc }

```

```

apply (rule CombineStrip' [OF rev-moduloGuards rev-noFault])
apply simp
apply simp
done

```

In the previous example the effort to split up the prove did not really pay off. But when we think of programs with a lot of guards and complicated specifications it may be better to first focus on a prove without the messy guards. Maybe it is possible to automate the no fault proofs so that it suffices to focus on the stripped program.

The purpose of guards is to watch for faults that can occur during evaluation of expressions. In the example before we watched for null pointer dereferencing or memory faults. We can also look for array index bounds or division by zero. As the condition of a while loop is evaluated in each iteration we cannot just add a guard before the while loop. Instead we need a special guard for the condition. Example: *WHILE* (*False*, { 'p ≠ Null }) ⟶ 'p → 'next ≠ Null *DO SKIP OD*

17.10 Circular Lists

definition

```

distPath :: ref ⇒ (ref ⇒ ref) ⇒ ref ⇒ ref list ⇒ bool where
distPath x next y as = (Path x next y as ∧ distinct as)

```

```

lemma neq-dP: [p ≠ q; Path p h q Ps; distinct Ps] ⟹
  ∃ Qs. p ≠ Null ∧ Ps = p # Qs ∧ p ∉ set Qs
by (cases Ps, auto)

```

lemma circular-list-rev-I:

```

Γ ⊢ { 'root = r ∧ distPath 'root 'next 'root (r # Ps) }
  'p ::= 'root;; 'q ::= 'root → 'next;;
  WHILE 'q ≠ 'root
  INV { ∃ ps qs. distPath 'p 'next 'root ps ∧ distPath 'q 'next 'root qs ∧
    'root = r ∧ r ≠ Null ∧ r ∉ set Ps ∧ set ps ∩ set qs = {} ∧
    Ps = (rev ps) @ qs }
  DO 'tmp ::= 'q;; 'q ::= 'q → 'next;; 'tmp → 'next ::= 'p;; 'p ::= 'tmp OD;;
  'root → 'next ::= 'p
  { 'root = r ∧ distPath 'root 'next 'root (r # rev Ps) }
apply (simp only: distPath-def)
apply vcg
apply (rule-tac x=[] in exI)

```

```

apply fastforce
apply clarsimp
apply (drule (2) neq-dP)
apply (rule-tac x=q # ps in exI)
apply clarsimp
apply fastforce
done

```

```

lemma path-is-list:  $\bigwedge a \text{ next } b. \llbracket \text{Path } b \text{ next } a \text{ } Ps ; a \notin \text{set } Ps ; a \neq \text{Null} \rrbracket$ 
 $\implies \text{List } b \text{ (next(a := Null)) (Ps @ [a])}$ 
apply (induct Ps)
apply (auto simp add: fun-upd-apply)
done

```

The simple algorithm for acyclic list reversal, with modified annotations, works for cyclic lists as well.:

```

lemma circular-list-rev-II:
   $\Gamma \vdash$ 
   $\{ \{ p = r \wedge \text{distPath } 'p \text{ 'next } 'p (r \# Ps) \} \}$ 
   $'q := \text{Null};;$ 
  WHILE  $'p \neq \text{Null}$ 
  INV
   $\{ ((q = \text{Null}) \longrightarrow (\exists ps. \text{distPath } 'p \text{ 'next } r \text{ } ps \wedge ps = r \# Ps)) \wedge$ 
   $((q \neq \text{Null}) \longrightarrow (\exists ps \text{ } qs. \text{distPath } 'q \text{ 'next } r \text{ } qs \wedge \text{List } 'p \text{ 'next } ps \wedge$ 
   $\text{set } ps \cap \text{set } qs = \{ \} \wedge \text{rev } qs @ ps = Ps @ [r])) \wedge$ 
   $\neg ('p = \text{Null} \wedge 'q = \text{Null} \wedge r = \text{Null})$ 
   $\}$ 
  DO
   $'tmp := 'p;; 'p := 'p \rightarrow 'next;; 'tmp \rightarrow 'next := 'q;; 'q := 'tmp$ 
  OD
   $\{ 'q = r \wedge \text{distPath } 'q \text{ 'next } 'q (r \# \text{rev } Ps) \}$ 

apply (simp only: distPath-def)
apply vcg
apply clarsimp
apply clarsimp
apply (case-tac (q = Null))
apply (fastforce intro: path-is-list)
apply clarify
apply (rule-tac x=psa in exI)
apply (rule-tac x=p # qs in exI)
apply force
apply fastforce
done

```

Although the above algorithm is more succinct, its invariant looks more involved. The reason for the case distinction on q is due to the fact that

during execution, the pointer variables can point to either cyclic or acyclic structures.

When working on lists, its sometimes better to remove *fun-upd-apply* from the simpset, and instead include *fun-upd-same* and *fun-upd-other* to the simpset

```

lemma  $\Gamma \vdash \{\sigma\}$ 
   $I ::= 'M;;$ 
   $ANNO \tau. \{\tau. 'I = {}^\sigma M\}$ 
   $'M ::= 'N;; 'N ::= 'I$ 
   $\{\ 'M = {}^\tau N \wedge 'N = {}^\tau I \}$ 
   $\{\ 'M = {}^\sigma N \wedge 'N = {}^\sigma M \}$ 
apply vcg
apply auto
done

```

```

lemma  $\Gamma \vdash (\{\sigma\} \cap \{\ 'M = 0 \wedge 'S = 0 \})$ 
   $(ANNO \tau. (\{\tau\} \cap \{\ 'A = {}^\sigma A \wedge 'I = {}^\sigma I \wedge 'M = 0 \wedge 'S = 0 \}))$ 
   $WHILE 'M \neq 'A$ 
   $INV \{\ 'S = 'M * 'I \wedge 'A = {}^\tau A \wedge 'I = {}^\tau I \}$ 
   $DO 'S ::= 'S + 'I;; 'M ::= 'M + 1 OD$ 
   $\{\ 'S = {}^\tau A * {}^\tau I \}$ 
   $\{\ 'S = {}^\sigma A * {}^\sigma I \}$ 
apply vcg-step
apply vcg-step
apply simp
apply vcg-step
apply vcg-step
apply simp
apply vcg
apply simp
apply simp
apply vcg-step
apply auto
done

```

Instead of annotations one can also directly use previously proven lemmas.

```

lemma foo-lemma:  $\forall n m. \Gamma \vdash \{\ 'N = n \wedge 'M = m \} \ 'N ::= 'N + 1;; 'M ::= 'M + 1$ 
   $\{\ 'N = n + 1 \wedge 'M = m + 1 \}$ 
by vcg

```

```

lemma  $\Gamma \vdash \{\ 'N = n \wedge 'M = m \} \text{ LEMMA } \textit{foo-lemma}$ 
   $'N ::= 'N + 1;; 'M ::= 'M + 1$ 
   $END;;$ 
   $'N ::= 'N + 1$ 
   $\{\ 'N = n + 2 \wedge 'M = m + 1 \}$ 

```

```

apply vcg
apply simp
done

lemma  $\Gamma \vdash \{N = n \wedge M = m\}$ 
  LEMMA foo-lemma
    'N ::= 'N + 1;; 'M ::= 'M + 1
  END;;
  LEMMA foo-lemma
    'N ::= 'N + 1;; 'M ::= 'M + 1
  END
   $\{N = n + 2 \wedge M = m + 2\}$ 
apply vcg
apply simp
done

lemma  $\Gamma \vdash \{N = n \wedge M = m\}$ 
  'N ::= 'N + 1;; 'M ::= 'M + 1;;
  'N ::= 'N + 1;; 'M ::= 'M + 1
   $\{N = n + 2 \wedge M = m + 2\}$ 
apply (hoare-rule anno=
  LEMMA foo-lemma
    'N ::= 'N + 1;; 'M ::= 'M + 1
  END;;
  LEMMA foo-lemma
    'N ::= 'N + 1;; 'M ::= 'M + 1
  END
  in HoarePartial.annotate-normI)
apply vcg
apply simp
done

Just some test on marked, guards

lemma  $\Gamma \vdash \{True\} \text{ WHILE } \{P \text{ 'N }\} \checkmark, \{Q \text{ 'M }\} \#, \{R \text{ 'N }\} \mapsto N < M$ 
  INV  $\{N < 2\}$  DO
    'N ::= 'M
  OD
   $\{hard\}$ 
apply vcg
oops

lemma  $\Gamma \vdash / \{True\} \{True\} \text{ WHILE } \{P \text{ 'N }\} \checkmark, \{Q \text{ 'M }\} \#, \{R \text{ 'N }\} \mapsto N < M$ 
  INV  $\{N < 2\}$  DO
    'N ::= 'M
  OD
   $\{hard\}$ 
apply vcg
oops

```

```

term  $\Gamma \vdash / \{True\} \{ \{True\} \} WHILE_g \ 'N < 'Arr!i$ 
       $FIX \ Z.$ 
       $INV \ \{ \{N < 2\} \}$ 

       $DO$ 
       $\ 'N ::= 'M$ 
       $OD$ 
       $\{hard\}$ 

lemma  $\Gamma \vdash / \{True\} \{ \{True\} \} WHILE_g \ 'N < 'Arr!i$ 
       $FIX \ Z.$ 
       $INV \ \{ \{N < 2\} \}$ 
       $VAR \ arbitrary$ 
       $DO$ 
       $\ 'N ::= 'M$ 
       $OD$ 
       $\{hard\}$ 
apply vcg
oops

lemma  $\Gamma \vdash / \{True\} \{ \{True\} \} WHILE \ \{P \ 'N \} \vee, \{Q \ 'M \} \#, \{R \ 'N \} \longrightarrow 'N < 'M$ 
       $FIX \ Z.$ 
       $INV \ \{ \{N < 2\} \}$ 
       $VAR \ arbitrary$ 
       $DO$ 
       $\ 'N ::= 'M$ 
       $OD$ 
       $\{hard\}$ 
apply vcg
oops

end

```

18 Examples using Statespaces

theory *VcgExSP* **imports** *../HeapList* *../Vcg* **begin**

18.1 State Spaces

First of all we provide a store of program variables that occur in the programs considered later. Slightly unexpected things may happen when attempting to work with undeclared variables.

hoarestate *state-space* =

```

  A :: nat
  I :: nat
  M :: nat

```

$N :: nat$
 $R :: nat$
 $S :: nat$
 $B :: bool$
 $Abr :: string$

lemma (in *state-space*) $\Gamma \vdash \llbracket N = n \rrbracket LOC \text{'N := 10;; 'N := 'N + 2 COL 'N = n} \rrbracket$
by *vcg*

Internally we decorate the state components in the statespace with the suffix *-'*, to avoid cluttering the namespace with the simple names that could no longer be used for logical variables otherwise.

We will first consider programs without procedures, later on we will regard procedures without global variables and finally we will get the full pictures: mutually recursive procedures with global variables (including heap).

18.2 Basic Examples

We look at few trivialities involving assignment and sequential composition, in order to get an idea of how to work with our formulation of Hoare Logic.

Using the basic rule directly is a bit cumbersome.

lemma (in *state-space*) $\Gamma \vdash \{\mid 'N = 5 \mid\} \text{'N := 2 * 'N} \{\mid 'N = 10 \mid\}$
apply (*rule HoarePartial.Basic*)
apply *simp*
done

lemma (in *state-space*) $\Gamma \vdash \llbracket True \rrbracket \text{'N := 10} \llbracket 'N = 10 \rrbracket$
by *vcg*

lemma (in *state-space*) $\Gamma \vdash \llbracket 2 * 'N = 10 \rrbracket \text{'N := 2 * 'N} \llbracket 'N = 10 \rrbracket$
by *vcg*

lemma (in *state-space*) $\Gamma \vdash \llbracket 'N = 5 \rrbracket \text{'N := 2 * 'N} \llbracket 'N = 10 \rrbracket$
apply *vcg*
apply *simp*
done

lemma (in *state-space*) $\Gamma \vdash \llbracket 'N + 1 = a + 1 \rrbracket \text{'N := 'N + 1} \llbracket 'N = a + 1 \rrbracket$
by *vcg*

lemma (in *state-space*) $\Gamma \vdash \llbracket 'N = a \rrbracket \text{'N := 'N + 1} \llbracket 'N = a + 1 \rrbracket$
apply *vcg*
apply *simp*
done


```

lemma (in state-space)
  shows  $\Gamma \vdash \{a = a \wedge b = b\} \text{'M} ::= a;; \text{'N} ::= b \{ \text{'M} = a \wedge \text{'N} = b \}$ 
  by vcg

```

```

lemma (in state-space)
  shows  $\Gamma \vdash \{True\} \text{'M} ::= a;; \text{'N} ::= b \{ \text{'M} = a \wedge \text{'N} = b \}$ 
  by vcg

```

```

lemma (in state-space)
  shows  $\Gamma \vdash \{ \text{'M} = a \wedge \text{'N} = b \}$ 
     $\text{'I} ::= \text{'M};; \text{'M} ::= \text{'N};; \text{'N} ::= \text{'I}$ 
     $\{ \text{'M} = b \wedge \text{'N} = a \}$ 
  apply vcg
  apply simp
  done

```

We can also perform verification conditions generation step by step by using the *vcg-step* method.

```

lemma (in state-space)
  shows  $\Gamma \vdash \{ \text{'M} = a \wedge \text{'N} = b \}$ 
     $\text{'I} ::= \text{'M};; \text{'M} ::= \text{'N};; \text{'N} ::= \text{'I}$ 
     $\{ \text{'M} = b \wedge \text{'N} = a \}$ 
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply simp
  done

```

In the following assignments we make use of the consequence rule in order to achieve the intended precondition. Certainly, the *vcg* method is able to handle this case, too.

```

lemma (in state-space)
  shows  $\Gamma \vdash \{ \text{'M} = \text{'N} \} \text{'M} ::= \text{'M} + 1 \{ \text{'M} \neq \text{'N} \}$ 
proof –
  have  $\{ \text{'M} = \text{'N} \} \subseteq \{ \text{'M} + 1 \neq \text{'N} \}$ 
    by auto
  also have  $\Gamma \vdash \dots \text{'M} ::= \text{'M} + 1 \{ \text{'M} \neq \text{'N} \}$ 
    by vcg
  finally show ?thesis .
qed

```

```

lemma (in state-space)
  shows  $\Gamma \vdash \{ \text{'M} = \text{'N} \} \text{'M} ::= \text{'M} + 1 \{ \text{'M} \neq \text{'N} \}$ 
proof –
  have  $\bigwedge m n :: nat. m = n \longrightarrow m + 1 \neq n$ 
    — inclusion of assertions expressed in “pure” logic,
    — without mentioning the state space

```

```

    by simp
  also have  $\Gamma \vdash \{\!| 'M + 1 \neq 'N |\!\} \text{ 'M} ::= 'M + 1 \{\!| 'M \neq 'N |\!\}$ 
    by vcg
  finally show ?thesis .
qed

```

```

lemma (in state-space)
  shows  $\Gamma \vdash \{\!| 'M = 'N |\!\} \text{ 'M} ::= 'M + 1 \{\!| 'M \neq 'N |\!\}$ 
  apply vcg
  apply simp
  done

```

18.3 Multiplication by Addition

We now do some basic examples of actual WHILE programs. This one is a loop for calculating the product of two natural numbers, by iterated addition. We first give detailed structured proof based on single-step Hoare rules.

```

lemma (in state-space)
  shows  $\Gamma \vdash \{\!| 'M = 0 \wedge 'S = 0 |\!\}$ 
    WHILE 'M  $\neq$  a
    DO 'S ::= 'S + b;; 'M ::= 'M + 1 OD
     $\{\!| 'S = a * b |\!\}$ 
  proof -
    let  $\Gamma \vdash - \text{?while} - = \text{?thesis}$ 
    let  $\{\!| \text{'?inv} |\!\} = \{\!| 'S = 'M * b |\!\}$ 

    have  $\{\!| 'M = 0 \wedge 'S = 0 |\!\} \subseteq \{\!| \text{'?inv} |\!\}$  by auto
    also have  $\Gamma \vdash \dots \text{?while} \{\!| \text{'?inv} \wedge \neg ('M \neq a) |\!\}$ 
  proof
    let ?c = 'S ::= 'S + b;; 'M ::= 'M + 1
    have  $\{\!| \text{'?inv} \wedge 'M \neq a |\!\} \subseteq \{\!| 'S + b = ('M + 1) * b |\!\}$ 
      by auto
    also have  $\Gamma \vdash \dots ?c \{\!| \text{'?inv} |\!\}$  by vcg
    finally show  $\Gamma \vdash \{\!| \text{'?inv} \wedge 'M \neq a |\!\} ?c \{\!| \text{'?inv} |\!\}$  .
  qed
  also have  $\{\!| \text{'?inv} \wedge \neg ('M \neq a) |\!\} \subseteq \{\!| 'S = a * b |\!\}$  by auto
  finally show ?thesis by blast
qed

```

The subsequent version of the proof applies the *vcg* method to reduce the Hoare statement to a purely logical problem that can be solved fully automatically. Note that we have to specify the WHILE loop invariant in the original statement.

```

lemma (in state-space)
  shows  $\Gamma \vdash \{\!| 'M = 0 \wedge 'S = 0 |\!\}$ 
    WHILE 'M  $\neq$  a
    INV  $\{\!| 'S = 'M * b |\!\}$ 
    DO 'S ::= 'S + b;; 'M ::= 'M + 1 OD

```

```

     $\{\!| S = a * b |\!\}$ 
  apply vcg
  apply auto
done

```

Here some examples of “breaking” out of a loop

```

lemma (in state-space)
  shows  $\Gamma \vdash \{\!| M = 0 \wedge S = 0 |\!\}$ 
    TRY
      WHILE True
      INV  $\{\!| S = M * b |\!\}$ 
      DO IF  $M = a$  THEN THROW ELSE  $S := S + b;; M := M +$ 
1 FI OD
      CATCH
      SKIP
      END
     $\{\!| S = a * b |\!\}$ 
  apply vcg
  apply auto
done

```

```

lemma (in state-space)
  shows  $\Gamma \vdash \{\!| M = 0 \wedge S = 0 |\!\}$ 
    TRY
      WHILE True
      INV  $\{\!| S = M * b |\!\}$ 
      DO IF  $M = a$  THEN  $Abr := "Break"; THROW$ 
        ELSE  $S := S + b;; M := M + 1$ 
      FI
      OD
      CATCH
      IF  $Abr = "Break"$  THEN SKIP ELSE Throw FI
      END
     $\{\!| S = a * b |\!\}$ 
  apply vcg
  apply auto
done

```

Some more syntactic sugar, the label statement $\dots \cdot \dots$ as shorthand for the *TRY*–*CATCH* above, and the *RAISE* for an state-update followed by a *THROW*.

```

lemma (in state-space)
  shows  $\Gamma \vdash \{\!| M = 0 \wedge S = 0 |\!\}$ 
     $\{\!| Abr = "Break" |\!\} \cdot$  WHILE True INV  $\{\!| S = M * b |\!\}$ 
    DO IF  $M = a$  THEN RAISE  $Abr := "Break"$ 
      ELSE  $S := S + b;; M := M + 1$ 
    FI
    OD
     $\{\!| S = a * b |\!\}$ 

```

apply *vcg*
apply *auto*
done

lemma (*in state-space*)
shows $\Gamma \vdash \{\!| M = 0 \wedge S = 0 |\!\}$
TRY
WHILE True
INV $\{\!| S = M * b |\!\}$
DO IF $M = a$ *THEN RAISE* $Abr := "Break"$
ELSE $S := S + b;; M := M + 1$
FI
OD
CATCH
IF $Abr = "Break"$ *THEN SKIP ELSE Throw FI*
END
 $\{\!| S = a * b |\!\}$

apply *vcg*
apply *auto*
done

lemma (*in state-space*)
shows $\Gamma \vdash \{\!| M = 0 \wedge S = 0 |\!\}$
 $\{\!| Abr = "Break" |\!\} \cdot \text{WHILE True}$
INV $\{\!| S = M * b |\!\}$
DO IF $M = a$ *THEN RAISE* $Abr := "Break"$
ELSE $S := S + b;; M := M + 1$
FI
OD
 $\{\!| S = a * b |\!\}$

apply *vcg*
apply *auto*
done

Blocks

lemma (*in state-space*)
shows $\Gamma \vdash \{\!| T = i |\!\} \text{LOC } T;; T := 2 \text{ COL } \{\!| T \leq i |\!\}$
apply *vcg*
by *simp*

18.4 Summing Natural Numbers

We verify an imperative program to sum natural numbers up to a given limit.
First some functional definition for proper specification of the problem.

primrec
 $sum :: (nat \Rightarrow nat) \Rightarrow nat \Rightarrow nat$
where
 $sum\ f\ 0 = 0$

| $\text{sum } f \text{ (Suc } n) = f \text{ } n + \text{sum } f \text{ } n$

syntax

- $\text{sum} :: \text{idt} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$
 ($\text{SUMM } -<-. - [0, 0, 10] 10$)

translations

$\text{SUMM } j < k. b == \text{CONST sum } (\lambda j. b) k$

The following proof is quite explicit in the individual steps taken, with the *vcg* method only applied locally to take care of assignment and sequential composition. Note that we express intermediate proof obligation in pure logic, without referring to the state space.

theorem (in *state-space*)

shows $\Gamma \vdash \{\text{True}\}$
 $\quad 'S ::= 0;; 'T ::= 1;;$
 $\quad \text{WHILE } 'T \neq n$
 $\quad \text{DO}$
 $\quad \quad 'S ::= 'S + 'T;;$
 $\quad \quad 'T ::= 'T + 1$
 $\quad \text{OD}$
 $\quad \{\text{'S} = (\text{SUMM } j < n. j)\}$
 (is $\Gamma \vdash - (-;; ?\text{while}) -$)

proof –

let $?sum = \lambda k. \text{SUMM } j < k. j$
let $?inv = \lambda s \ i. s = ?sum \ i$

have $\Gamma \vdash \{\text{True}\} \text{'S} ::= 0;; 'T ::= 1 \ \{\text{?inv } 'S \ 'T\}$

proof –

have $\text{True} \longrightarrow 0 = ?sum \ 1$

by *simp*

also have $\Gamma \vdash \{\dots\} \text{'S} ::= 0;; 'T ::= 1 \ \{\text{?inv } 'S \ 'T\}$

by *vcg*

finally show *?thesis* .

qed

also have $\Gamma \vdash \{\text{?inv } 'S \ 'T\} \text{?while } \{\text{?inv } 'S \ 'T \wedge \neg 'T \neq n\}$

proof

let $?body = 'S ::= 'S + 'T;; 'T ::= 'T + 1$

have $\bigwedge s \ i. ?inv \ s \ i \wedge i \neq n \longrightarrow ?inv \ (s + i) \ (i + 1)$

by *simp*

also have $\Gamma \vdash \{\text{'S} + 'T = ?sum \ ('T + 1)\} \text{?body } \{\text{?inv } 'S \ 'T\}$

by *vcg*

finally show $\Gamma \vdash \{\text{?inv } 'S \ 'T \wedge 'T \neq n\} \text{?body } \{\text{?inv } 'S \ 'T\}$.

qed

also have $\bigwedge s \ i. s = ?sum \ i \wedge \neg i \neq n \longrightarrow s = ?sum \ n$

by *simp*

finally show *?thesis* .

qed

The next version uses the *vcg* method, while still explaining the resulting

proof obligations in an abstract, structured manner.

```

theorem (in state-space)
  shows  $\Gamma \vdash \{\!\!| \text{True} |\!\!\}$ 
    'S ::= 0;; 'T ::= 1;;
    WHILE 'T  $\neq$  n
    INV  $\{\!\!| \text{'S} = (\text{SUMM } j < \text{'T}. j) |\!\!\}$ 
    DO
      'S ::= 'S + 'T;;
      'T ::= 'T + 1
    OD
     $\{\!\!| \text{'S} = (\text{SUMM } j < n. j) |\!\!\}$ 
proof –
  let ?sum =  $\lambda k. \text{SUMM } j < k. j$ 
  let ?inv =  $\lambda s \ i. s = ?sum \ i$ 

  show ?thesis
  proof vcg
    show ?inv 0 1 by simp
  next
    fix i s assume ?inv s i i  $\neq$  n
    thus ?inv (s + i) (i + 1) by simp
  next
    fix i s assume x: ?inv s i  $\neg$  i  $\neq$  n
    thus s = ?sum n by simp
  qed
qed

```

Certainly, this proof may be done fully automatically as well, provided that the invariant is given beforehand.

```

theorem (in state-space)
  shows  $\Gamma \vdash \{\!\!| \text{True} |\!\!\}$ 
    'S ::= 0;; 'T ::= 1;;
    WHILE 'T  $\neq$  n
    INV  $\{\!\!| \text{'S} = (\text{SUMM } j < \text{'T}. j) |\!\!\}$ 
    DO
      'S ::= 'S + 'T;;
      'T ::= 'T + 1
    OD
     $\{\!\!| \text{'S} = (\text{SUMM } j < n. j) |\!\!\}$ 
  apply vcg
  apply auto
  done

```

18.5 SWITCH

```

lemma (in state-space)
  shows  $\Gamma \vdash \{\!\!| \text{'N} = 5 |\!\!\} \text{ SWITCH } 'B$ 
    { True }  $\Rightarrow$  'N ::= 6
    | { False }  $\Rightarrow$  'N ::= 7

```

```

      END
    } 'N > 5 }
  apply vcg
  apply simp
done

lemma (in state-space)
  shows  $\Gamma \vdash \{ 'N = 5 \} \text{ SWITCH } 'N$ 
    {  $v. v < 5 \} \Rightarrow 'N ::= 6$ 
    | {  $v. v \geq 5 \} \Rightarrow 'N ::= 7$ 
    END
  } 'N > 5 }
  apply vcg
  apply simp
done

```

18.6 (Mutually) Recursive Procedures

18.6.1 Factorial

We want to define a procedure for the factorial. We first define a HOL functions that calculates it to specify the procedure later on.

```

primrec fac:: nat  $\Rightarrow$  nat
where
  fac 0 = 1 |
  fac (Suc n) = (Suc n) * fac n

lemma fac-simp [simp]:  $0 < i \implies \text{fac } i = i * \text{fac } (i - 1)$ 
  by (cases i) simp-all

```

Now we define the procedure

```

procedures
  Fac (N::nat|R::nat)
  IF 'N = 0 THEN 'R ::= 1
  ELSE 'R ::= CALL Fac('N - 1);;
  'R ::= 'N * 'R
  FI

```

```

print-locale Fac-impl

```

To see how a call is syntactically translated you can switch off the printing translation via the configuration option *hoare-use-call-tr'*

```

context Fac-impl
begin

```

```

  'R ::= CALL Fac('N) is internally:

```

```

declare [[hoare-use-call-tr' = false]]

```

```

call (λs. s(⟦locals := update project-Nat-nat inject-Nat-nat N-'Fac-' (K-statefun
(lookup project-Nat-nat N-'Fac-' (locals s))⟧) (locals s)⟧) Fac-'proc (λs t.
s(⟦globals := globals t⟧) (λi t. 'R := lookup project-Nat-nat R-'Fac-' (locals
t)⟧)

```

```

term CALL Fac('N,'R)
declare [[hoare-use-call-tr' = true]]

```

Now let us prove that *Fac* meets its specification.

end

```

lemma (in Fac-impl) Fac-spec':
  shows ∀σ. Γ,Θ⊢{σ} PROC Fac('N,'R) {⟦'R = fac σN⟧}
  apply (hoare-rule HoarePartial.ProcRec1)
  apply vcg
  apply simp
  done

```

Since the factorial was implemented recursively, the main ingredient of this proof is, to assume that the specification holds for the recursive call of *Fac* and prove the body correct. The assumption for recursive calls is added to the context by the rule *HoarePartial.ProcRec1* (also derived from general rule for mutually recursive procedures):

$$\begin{aligned}
& \llbracket \forall Z. \Gamma, \Theta \cup (\bigcup_Z \{(P\ Z, p, Q\ Z, A\ Z)\} \vdash_F (P\ Z) \text{ the } (\Gamma\ p) (Q\ Z), (A\ Z); \\
& \quad p \in \text{dom } \Gamma \rrbracket \\
& \implies \forall Z. \Gamma, \Theta \vdash_F (P\ Z) \text{ Call } p (Q\ Z), (A\ Z)
\end{aligned}$$

The verification condition generator will infer the specification out of the context when it encounters a recursive call of the factorial.

We can also step through verification condition generation. When the verification condition generator encounters a procedure call it tries to use the rule *ProcSpec*. To be successful there must be a specification of the procedure in the context.

```

lemma (in Fac-impl) Fac-spec1:
  shows ∀σ. Γ,Θ⊢{σ} 'R := PROC Fac('N) {⟦'R = fac σN⟧}
  apply (hoare-rule HoarePartial.ProcRec1)
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply simp
  done

```

Here some Isar style version of the proof

lemma (in *Fac-impl*) *Fac-spec2*:

```

shows  $\forall \sigma. \Gamma, \Theta \vdash \{\sigma\} \text{ 'R } ::= \text{PROC Fac('N)} \llbracket \text{'R} = \text{fac } \sigma N \rrbracket$ 
proof (hoare-rule HoarePartial.ProcRec1)
  have Fac-spec:  $\forall \sigma. \Gamma, (\Theta \cup (\bigcup \sigma. \{(\{\sigma\}, \text{Fac-'proc}, \llbracket \text{'R} = \text{fac } \sigma N \rrbracket, \{\})\}))$ 
     $\vdash \{\sigma\} \text{ 'R } ::= \text{PROC Fac('N)} \llbracket \text{'R} = \text{fac } \sigma N \rrbracket$ 
    apply (rule allI)
    apply (rule hoarep.Asm)
    by simp
  show  $\forall \sigma. \Gamma, (\Theta \cup (\bigcup \sigma. \{(\{\sigma\}, \text{Fac-'proc}, \llbracket \text{'R} = \text{fac } \sigma N \rrbracket, \{\})\}))$ 
     $\vdash \{\sigma\} \text{ IF 'N } = 0 \text{ THEN 'R } ::= 1$ 
     $\text{ ELSE 'R } ::= \text{CALL Fac('N - 1)};; \text{'R } ::= \text{'N * 'R FI } \llbracket \text{'R} = \text{fac } \sigma N \rrbracket$ 
    apply vcg
    apply simp
  done
qed

```

To avoid retyping of potentially large pre and postconditions in the previous proof we can use the casual term abbreviations of the Isar language.

lemma (in *Fac-impl*) *Fac-spec3*:

```

shows  $\forall \sigma. \Gamma, \Theta \vdash \{\sigma\} \text{ 'R } ::= \text{PROC Fac('N)} \llbracket \text{'R} = \text{fac } \sigma N \rrbracket$ 
  (is  $\forall \sigma. \Gamma, \Theta \vdash (?Pre \sigma) ?Fac (?Post \sigma)$ )
proof (hoare-rule HoarePartial.ProcRec1)
  have Fac-spec:  $\forall \sigma. \Gamma, (\Theta \cup (\bigcup \sigma. \{(?Pre \sigma, \text{Fac-'proc}, ?Post \sigma, \{\})\}))$ 
     $\vdash (?Pre \sigma) ?Fac (?Post \sigma)$ 
    apply (rule allI)
    apply (rule hoarep.Asm)
    by simp
  show  $\forall \sigma. \Gamma, (\Theta \cup (\bigcup \sigma. \{(?Pre \sigma, \text{Fac-'proc}, ?Post \sigma, \{\})\}))$ 
     $\vdash (?Pre \sigma) \text{ IF 'N } = 0 \text{ THEN 'R } ::= 1$ 
     $\text{ ELSE 'R } ::= \text{CALL Fac('N - 1)};; \text{'R } ::= \text{'N * 'R FI } (?Post \sigma)$ 
    apply vcg
    apply simp
  done
qed

```

The previous proof pattern has still some kind of inconvenience. The augmented context is always printed in the proof state. That can mess up the state, especially if we have large specifications. This may be annoying if we want to develop single step or structured proofs. In this case it can be a good idea to introduce a new variable for the augmented context.

lemma (in *Fac-impl*) *Fac-spec4*:

```

shows  $\forall \sigma. \Gamma, \Theta \vdash \{\sigma\} \text{ 'R } ::= \text{PROC Fac('N)} \llbracket \text{'R} = \text{fac } \sigma N \rrbracket$ 
  (is  $\forall \sigma. \Gamma, \Theta \vdash (?Pre \sigma) ?Fac (?Post \sigma)$ )
proof (hoare-rule HoarePartial.ProcRec1)
  define  $\Theta'$  where  $\Theta' = \Theta \cup (\bigcup \sigma. \{(?Pre \sigma, \text{Fac-'proc}, ?Post \sigma, \{\})\})$ 
  have Fac-spec:  $\forall \sigma. \Gamma, \Theta' \vdash (?Pre \sigma) ?Fac (?Post \sigma)$ 
    by (unfold  $\Theta'$ -def, rule allI, rule hoarep.Asm) simp

```

We have to name the fact *Fac-spec*, so that the vcg can use the specification for the recursive call, since it cannot infer it from the opaque Θ' .

```

show  $\forall \sigma. \Gamma, \Theta \vdash (?Pre \ \sigma) \text{ IF } 'N = 0 \text{ THEN } 'R ::= 1$ 
       $ELSE \ 'R ::= CALL \ Fac('N - 1);; 'R ::= 'N * 'R \text{ FI } (?Post \ \sigma)$ 
  apply vcg
  apply simp
  done
qed

```

There are different rules available to prove procedure calls, depending on the kind of postcondition and whether or not the procedure is recursive or even mutually recursive. See for example *HoareTotal.ProcRec1*, *HoareTotal.ProcNoRec1*. They are all derived from the most general rule *HoareTotal.ProcRec*. All of them have some side-conditions concerning the parameter passing protocol and its relation to the pre and postcondition. They can be solved in a uniform fashion. That's why we have created the method *hoare-rule*, which behaves like the method *rule* but automatically tries to solve the side-conditions.

18.6.2 Odd and Even

Odd and even are defined mutually recursive here. In the *procedures* command we conjoin both definitions with *and*.

```

procedures
  odd( $N::nat \mid A::nat$ ) IF  $'N=0$  THEN  $'A::=0$ 
      ELSE IF  $'N=1$  THEN CALL even ( $'N - 1, 'A$ )
      ELSE CALL odd ( $'N - 2, 'A$ )
      FI
  FI

and
  even( $N::nat \mid A::nat$ ) IF  $'N=0$  THEN  $'A::=1$ 
      ELSE IF  $'N=1$  THEN CALL odd ( $'N - 1, 'A$ )
      ELSE CALL even ( $'N - 2, 'A$ )
      FI
  FI

print-theorems
print-locale! odd-even-clique

```

To prove the procedure calls to *odd* respectively *even* correct we first derive a rule to justify that we can assume both specifications to verify the bodies. This rule can be derived from the general *HoareTotal.ProcRec* rule. An ML function will do this work:

```

ML  $\langle ML-Thms.bind-thm \ (ProcRec2, Hoare.gen-proc-rec \ @\{context\} \ Hoare.Partial \ 2) \rangle$ 

```

```

lemma (in odd-even-clique)
  shows odd-spec:  $\forall \sigma. \Gamma \vdash \{\sigma\} \text{'A} ::= \text{PROC odd}(\text{'N})$ 
     $\llbracket (\exists b. \sigma N = 2 * b + \text{'A}) \wedge \text{'A} < 2 \rrbracket$  (is ?P1)
  and even-spec:  $\forall \sigma. \Gamma \vdash \{\sigma\} \text{'A} ::= \text{PROC even}(\text{'N})$ 
     $\llbracket (\exists b. \sigma N + 1 = 2 * b + \text{'A}) \wedge \text{'A} < 2 \rrbracket$  (is ?P2)
proof –
  have ?P1  $\wedge$  ?P2
    apply (hoare-rule ProcRec2)
    apply vcg
    apply clarsimp
    apply (rule-tac x=b + 1 in exI)
    apply arith
    apply vcg
    apply clarsimp
    apply arith
  done
  thus ?P1 ?P2
    by iprover+
qed

```

18.7 Expressions With Side Effects

```

lemma (in state-space) shows  $\Gamma \vdash \llbracket \text{True} \rrbracket$ 
   $\text{'N} \gg n. \text{'N} ::= \text{'N} + 1 \gg$ 
   $\text{'M} \gg m. \text{'M} ::= \text{'M} + 1 \gg$ 
   $\text{'R} ::= n + m$ 
   $\llbracket \text{'R} = \text{'N} + \text{'M} - 2 \rrbracket$ 
apply vcg
apply simp
done

```

```

lemma (in Fac-impl) shows
   $\Gamma \vdash \llbracket \text{True} \rrbracket$ 
   $\text{CALL Fac}(\text{'N}) \gg n. \text{CALL Fac}(\text{'N}) \gg m.$ 
   $\text{'R} ::= n + m$ 
   $\llbracket \text{'R} = \text{fac } \text{'N} + \text{fac } \text{'N} \rrbracket$ 
proof –
  note Fac-spec = Fac-spec4
  show ?thesis
    apply vcg
  done
qed

```

```

lemma (in Fac-impl) shows
   $\Gamma \vdash \llbracket \text{True} \rrbracket$ 
   $\text{CALL Fac}(\text{'N}) \gg n. \text{CALL Fac}(n) \gg m.$ 

```

```

    'R ::= m
    { 'R = fac (fac 'N) }
proof -
    note Fac-spec = Fac-spec4
    show ?thesis
    apply vcg
    done
qed

```

18.8 Global Variables and Heap

Now we will define and verify some procedures on heap-lists. We consider list structures consisting of two fields, a content element *cont* and a reference to the next list element *next*. We model this by the following state space where every field has its own heap.

```

hoarestate globals-list =
  next :: ref  $\Rightarrow$  ref
  cont :: ref  $\Rightarrow$  nat

```

Updates to global components inside a procedure will always be propagated to the caller. This is implicitly done by the parameter passing syntax translations. The record containing the global variables must begin with the prefix "globals".

We will first define an append function on lists. It takes two references as parameters. It appends the list referred to by the first parameter with the list referred to by the second parameter, and returns the result right into the first parameter.

```

procedures (imports globals-list)
  append(p::ref, q::ref | p::ref)
    IF 'p=Null THEN 'p ::= 'q ELSE 'p  $\rightarrow$  'next ::= CALL append('p  $\rightarrow$  'next, '
    q) FI

```

```

declare [[hoare-use-call-tr' = false]]
context append-impl
begin
term CALL append('p, 'q, 'p  $\rightarrow$  'next)
end
declare [[hoare-use-call-tr' = true]]

```

Below we give two specifications this time.. The first one captures the functional behaviour and focuses on the entities that are potentially modified by the procedure, the second one is a pure frame condition. The list in the modifies clause has to list all global state components that may be changed by the procedure. Note that we know from the modifies clause that the *cont*

parts of the lists will not be changed. Also a small side note on the syntax. We use ordinary brackets in the postcondition of the modifies clause, and also the state components do not carry the acute, because we explicitly note the state t here.

The functional specification now introduces two logical variables besides the state space variable σ , namely Ps and Qs . They are universally quantified and range over both the pre and the postcondition, so that we are able to properly instantiate the specification during the proofs. The syntax $\llbracket \sigma. \dots \rrbracket$ is a shorthand to fix the current state: $\{s. \sigma = s \dots\}$.

lemma (in *append-impl*) *append-spec*:

shows $\forall \sigma \ Ps \ Qs. \Gamma \vdash$

$\llbracket \sigma. \text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \rrbracket$

$\quad 'p ::= \text{PROC } \text{append}('p, 'q)$

$\llbracket \text{List } 'p \ 'next \ (Ps @ Qs) \wedge (\forall x. x \notin \text{set } Ps \longrightarrow 'next \ x = \sigma_{next} \ x) \rrbracket$

apply (*hoare-rule HoarePartial.ProcRec1*)

apply *vcg*

apply *fastforce*

done

The modifies clause is equal to a proper record update specification of the following form.

lemma (in *append-impl*) **shows** $\{t. t \text{ may-only-modify-globals } Z \text{ in } [next]\}$

$=$

$\{t. \exists next. \text{globals } t = \text{update } id \ id \ next-' (K\text{-statefun } next) (\text{globals } Z)\}$

apply (*unfold mex-def meq-def*)

apply *simp*

done

If the verification condition generator works on a procedure call it checks whether it can find a modifies clause in the context. If one is present the procedure call is simplified before the Hoare rule *HoareTotal.ProcSpec* is applied. Simplification of the procedure call means, that the “copy back” of the global components is simplified. Only those components that occur in the modifies clause will actually be copied back. This simplification is justified by the rule *HoareTotal.ProcModifyReturn*. So after this simplification all global components that do not appear in the modifies clause will be treated as local variables.

You can study the effect of the modifies clause on the following two examples, where we want to prove that (@) does not change the *cont* part of the heap.

lemma (in *append-impl*)

shows $\Gamma \vdash \llbracket 'p = \text{Null} \wedge 'cont = c \rrbracket \quad 'p ::= \text{CALL } \text{append}('p, \text{Null}) \llbracket 'cont = c \rrbracket$

apply *vcg*

oops

To prove the frame condition, we have to tell the verification condition generator to use only the modifies clauses and not to search for functional

specifications by the parameter *spec=modifies* It will also try to solve the verification conditions automatically.

```

lemma (in append-impl) append-modifies:
  shows
     $\forall \sigma. \Gamma \vdash \{\sigma\} \ 'p ::= PROC \ append('p, 'q) \{t. \ t \text{ may-only-modify-globals } \sigma \text{ in } [next]\}$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply (vcg spec=modifies)
  done

```

```

lemma (in append-impl)
  shows  $\Gamma \vdash \{\ 'p = Null \wedge \ 'cont = c \} \ 'p \rightarrow \ 'next ::= CALL \ append('p, Null) \{\ 'cont = c \}$ 
  apply vcg
  apply simp
  done

```

Of course we could add the modifies clause to the functional specification as well. But separating both has the advantage that we split up the verification work. We can make use of the modifies clause before we apply the functional specification in a fully automatic fashion.

To verify the body of (@) we do not need the modifies clause, since the specification does not talk about *cont* at all, and we don't access *cont* inside the body. This may be different for more complex procedures.

To prove that a procedure respects the modifies clause, we only need the modifies clauses of the procedures called in the body. We do not need the functional specifications. So we can always prove the modifies clause without functional specifications, but we may need the modifies clause to prove the functional specifications.

18.8.1 Insertion Sort

```

primrec sorted:: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  bool
where
  sorted le [] = True |
  sorted le (x#xs) = (( $\forall y \in set \ xs. \ le \ x \ y$ )  $\wedge$  sorted le xs)

```

```

procedures (imports globals-list)
  insert(r::ref,p::ref | p::ref)
    IF 'r=Null THEN SKIP
    ELSE IF 'p=Null THEN 'p ::= 'r;; 'p  $\rightarrow$  'next ::= Null
    ELSE IF 'r  $\rightarrow$  'cont  $\leq$  'p  $\rightarrow$  'cont
      THEN 'r  $\rightarrow$  'next ::= 'p;; 'p ::= 'r
    ELSE 'p  $\rightarrow$  'next ::= CALL insert('r, 'p  $\rightarrow$  'next)
    FI

```

FI
FI

In the postcondition of the functional specification there is a small but important subtlety. Whenever we talk about the *cont* part we refer to the one of the pre-state, even in the conclusion of the implication. The reason is, that we have separated out, that *cont* is not modified by the procedure, to the modifies clause. So whenever we talk about unmodified parts in the postcondition we have to use the pre-state part, or explicitly state an equality in the postcondition. The reason is simple. If the postcondition would talk about 'cont instead of σcont , we will get a new instance of *cont* during verification and the postcondition would only state something about this new instance. But as the verification condition generator will use the modifies clause the caller of *insert* instead will still have the old *cont* after the call. That's the sense of the modifies clause. So the caller and the specification will simply talk about two different things, without being able to relate them (unless an explicit equality is added to the specification).

lemma (in *insert-impl*) *insert-modifies*:
 $\forall \sigma. \Gamma \vdash \{\sigma\} \text{'p} ::= \text{PROC insert}(\text{'r}, \text{'p}) \{t. t \text{ may-only-modify-globals } \sigma \text{ in } [\text{next}]\}$
apply (*hoare-rule HoarePartial.ProcRec1*)
apply (*vcg spec=modifies*)
done

lemma (in *insert-impl*) *insert-spec*:
 $\forall \sigma \text{ Ps} . \Gamma \vdash \{\sigma. \text{List 'p 'next Ps} \wedge \text{sorted } (\leq) (\text{map 'cont Ps}) \wedge$
 $\text{'r} \neq \text{Null} \wedge \text{'r} \notin \text{set Ps}\}$
 $\text{'p} ::= \text{PROC insert}(\text{'r}, \text{'p})$
 $\{\exists \text{ Qs. List 'p 'next Qs} \wedge \text{sorted } (\leq) (\text{map } \sigma\text{cont Qs}) \wedge$
 $\text{set Qs} = \text{insert } \sigma\text{r } (\text{set Ps}) \wedge$
 $(\forall x. x \notin \text{set Qs} \longrightarrow \text{'next } x = \sigma\text{next } x)\}$

apply (*hoare-rule HoarePartial.ProcRec1*)
apply *vcg*
apply (*intro conjI impI*)
apply *fastforce*
apply *fastforce*
apply *fastforce*
apply (*clarsimp*)
apply *force*
done

procedures (**imports** *globals-list*)
 $\text{insertSort}(p::\text{ref} \mid p::\text{ref})$
where $r::\text{ref} \ q::\text{ref}$
in
 $\text{'r} ::= \text{Null};;$
WHILE ($\text{'p} \neq \text{Null}$) **DO**

```

    'q ::= 'p;;
    'p ::= 'p → 'next;;
    'r ::= CALL insert('q, 'r)
  OD;;
  'p ::= 'r

```

print-locale *insertSort-impl*

```

lemma (in insertSort-impl) insertSort-modifies:
  shows
     $\forall \sigma. \Gamma \vdash \{\sigma\} \text{'p} ::= \text{PROC insertSort}(\text{'p})$ 
     $\{t. t \text{ may-only-modify-globals } \sigma \text{ in } [next]\}$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply (vcg spec=modifies)
  done

```

Insertion sort is not implemented recursively here but with a while loop. Note that the while loop is not annotated with an invariant in the procedure definition. The invariant only comes into play during verification. Therefore we will annotate the body during the proof with the rule *Hoare-Total.annotateI*.

```

lemma (in insertSort-impl) insertSort-body-spec:
  shows  $\forall \sigma \text{ Ps. } \Gamma, \Theta \vdash \{\sigma. \text{List 'p 'next Ps}\}$ 
     $\text{'p} ::= \text{PROC insertSort}(\text{'p})$ 
     $\{\exists Qs. \text{List 'p 'next Qs} \wedge \text{sorted } (\leq) (\text{map } \sigma_{\text{cont}} Qs) \wedge$ 
     $\text{set } Qs = \text{set } Ps\}$ 
  apply (hoare-rule HoarePartial.ProcRec1)
  apply (hoare-rule anno=
    'r ::= Null;;
    WHILE 'p ≠ Null
    INV  $\{\exists Rs. \text{List 'p 'next Qs} \wedge \text{List 'r 'next Rs} \wedge$ 
     $\text{set } Qs \cap \text{set } Rs = \{\} \wedge$ 
     $\text{sorted } (\leq) (\text{map 'cont } Rs) \wedge \text{set } Qs \cup \text{set } Rs = \text{set } Ps \wedge$ 
     $\text{'cont} = \sigma_{\text{cont}}\}$ 
    DO 'q ::= 'p;; 'p ::= 'p → 'next;; 'r ::= CALL insert('q, 'r) OD;;
    'p ::= 'r in HoarePartial.annotateI)
  apply vcg
  apply fastforce
  prefer 2
  apply fastforce
  apply (clarsimp)
  apply (rule-tac x=ps in exI)
  apply (intro conjI)
  apply (rule heap-eq-ListI1)
  apply assumption
  apply clarsimp
  apply (subgoal-tac x≠p ∧ x ∉ set Rs)
  apply auto

```


done

18.8.2 Memory Allocation and Deallocation

The basic idea of memory management is to keep a list of allocated references in the state space. Allocation of a new reference adds a new reference to the list deallocation removes a reference. Moreover we keep a counter "free" for the free memory.

hoarestate *globals-list-alloc* =

alloc::ref list

free::nat

next::ref \Rightarrow *ref*

cont::ref \Rightarrow *nat*

hoarestate *locals-list-alloc* =

i::nat

first::ref

p::ref

q::ref

r::ref

root::ref

tmp::ref

locale *list-alloc* = *globals-list-alloc* + *locals-list-alloc*

definition *sz* = (*2::nat*)

lemma (**in** *list-alloc*)

shows

$\Gamma, \Theta \vdash \{i = 0 \wedge 'first = Null \wedge n * sz \leq 'free\}$

WHILE *'i* < *n*

INV $\{ \exists Ps. List\ 'first\ 'next\ Ps \wedge length\ Ps = 'i \wedge 'i \leq n \wedge$

$set\ Ps \subseteq set\ 'alloc \wedge (n - 'i) * sz \leq 'free \}$

DO

'p ::= *NEW sz* [*'cont* ::= 0, *'next* ::= *Null*];;

'p \rightarrow *'next* ::= *'first*;;

'first ::= *'p*;;

'i ::= *'i* + 1

OD

$\{ \exists Ps. List\ 'first\ 'next\ Ps \wedge length\ Ps = n \wedge set\ Ps \subseteq set\ 'alloc \}$

apply (*vcg*)

apply *simp*

apply *clarsimp*

apply (*rule conjI*)

apply *clarsimp*

apply (*rule-tac x=new (set alloc)#Ps in exI*)

apply *clarsimp*

apply (*rule conjI*)

apply *fastforce*

apply (*simp add: sz-def*)

```

apply (simp add: sz-def)
apply fastforce
done

```

```

lemma (in list-alloc)
shows

```

```

 $\Gamma \vdash \{i = 0 \wedge \text{'first} = \text{Null} \wedge n * sz \leq \text{'free}\}$ 
  WHILE  $i < n$ 
    INV  $\{ \exists Ps. \text{List } \text{'first } \text{'next } Ps \wedge \text{length } Ps = i \wedge i \leq n \wedge$ 
       $\text{set } Ps \subseteq \text{set } \text{'alloc} \wedge (n - i) * sz \leq \text{'free} \}$ 
    DO
       $p := \text{NNEW } sz \text{ [ 'cont := 0, 'next := Null ];;}$ 
       $p \rightarrow \text{'next} := \text{'first};;$ 
       $\text{'first} := p;$ 
       $i := i + 1$ 
    OD
 $\{ \exists Ps. \text{List } \text{'first } \text{'next } Ps \wedge \text{length } Ps = n \wedge \text{set } Ps \subseteq \text{set } \text{'alloc} \}$ 

```

```

apply (vcg)
apply simp
apply clarsimp
apply (rule conjI)
apply clarsimp
apply (rule-tac x=new (set alloc)#Ps in exI)
apply clarsimp
apply (rule conjI)
apply fastforce
apply (simp add: sz-def)
apply (simp add: sz-def)
apply fastforce
done

```

18.9 Fault Avoiding Semantics

If we want to ensure that no runtime errors occur we can insert guards into the code. We will not be able to prove any nontrivial Hoare triple about code with guards, if we cannot show that the guards will never fail. A trivial Hoare triple is one with an empty precondition.

```

lemma (in list-alloc)  $\Gamma, \Theta \vdash \{ \text{True} \} \{ p \neq \text{Null} \} \mapsto p \rightarrow \text{'next} := p \{ \text{True} \}$ 
apply vcg
oops

```

```

lemma (in list-alloc)  $\Gamma, \Theta \vdash \{ \} \{ p \neq \text{Null} \} \mapsto p \rightarrow \text{'next} := p \{ \text{True} \}$ 
apply vcg
done

```

Let us consider this small program that reverts a list. At first without guards.

lemma (in *list-alloc*)
shows
 $\Gamma, \Theta \vdash \{ \text{List } 'p \text{ 'next } Ps \wedge \text{List } 'q \text{ 'next } Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \wedge$
 $\text{set } Ps \subseteq \text{set } 'alloc \wedge \text{set } Qs \subseteq \text{set } 'alloc \}$
WHILE $'p \neq \text{Null}$
INV $\{ \exists ps \ qs. \text{List } 'p \text{ 'next } ps \wedge \text{List } 'q \text{ 'next } qs \wedge \text{set } ps \cap \text{set } qs = \{\} \wedge$
 $\text{rev } ps @ qs = \text{rev } Ps @ Qs \wedge$
 $\text{set } ps \subseteq \text{set } 'alloc \wedge \text{set } qs \subseteq \text{set } 'alloc \}$
DO $'r ::= 'p;;$
 $'p ::= 'p \rightarrow 'next;;$
 $'r \rightarrow 'next ::= 'q;;$
 $'q ::= 'r \text{ OD}$
 $\{ \text{List } 'q \text{ 'next } (\text{rev } Ps @ Qs) \wedge \text{set } Ps \subseteq \text{set } 'alloc \wedge \text{set } Qs \subseteq \text{set } 'alloc \}$
apply (*vcg*)
apply *fastforce* +
done

If we want to ensure that we do not dereference *Null* or access unallocated memory, we have to add some guards.

lemma (in *list-alloc*)
shows
 $\Gamma, \Theta \vdash \{ \text{List } 'p \text{ 'next } Ps \wedge \text{List } 'q \text{ 'next } Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \wedge$
 $\text{set } Ps \subseteq \text{set } 'alloc \wedge \text{set } Qs \subseteq \text{set } 'alloc \}$
WHILE $'p \neq \text{Null}$
INV $\{ \exists ps \ qs. \text{List } 'p \text{ 'next } ps \wedge \text{List } 'q \text{ 'next } qs \wedge \text{set } ps \cap \text{set } qs = \{\} \wedge$
 $\text{rev } ps @ qs = \text{rev } Ps @ Qs \wedge$
 $\text{set } ps \subseteq \text{set } 'alloc \wedge \text{set } qs \subseteq \text{set } 'alloc \}$
DO $'r ::= 'p;;$
 $\{ 'p \neq \text{Null} \wedge 'p \in \text{set } 'alloc \} \mapsto 'p ::= 'p \rightarrow 'next;;$
 $\{ 'r \neq \text{Null} \wedge 'r \in \text{set } 'alloc \} \mapsto 'r \rightarrow 'next ::= 'q;;$
 $'q ::= 'r \text{ OD}$
 $\{ \text{List } 'q \text{ 'next } (\text{rev } Ps @ Qs) \wedge \text{set } Ps \subseteq \text{set } 'alloc \wedge \text{set } Qs \subseteq \text{set } 'alloc \}$
apply (*vcg*)
apply *fastforce* +
done

We can also just prove that no faults will occur, by giving the trivial post-condition.

lemma (in *list-alloc*) *rev-noFault*:
shows
 $\Gamma, \Theta \vdash \{ \text{List } 'p \text{ 'next } Ps \wedge \text{List } 'q \text{ 'next } Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \wedge$
 $\text{set } Ps \subseteq \text{set } 'alloc \wedge \text{set } Qs \subseteq \text{set } 'alloc \}$
WHILE $'p \neq \text{Null}$
INV $\{ \exists ps \ qs. \text{List } 'p \text{ 'next } ps \wedge \text{List } 'q \text{ 'next } qs \wedge \text{set } ps \cap \text{set } qs = \{\} \wedge$
 $\text{rev } ps @ qs = \text{rev } Ps @ Qs \wedge$
 $\text{set } ps \subseteq \text{set } 'alloc \wedge \text{set } qs \subseteq \text{set } 'alloc \}$
DO $'r ::= 'p;;$
 $\{ 'p \neq \text{Null} \wedge 'p \in \text{set } 'alloc \} \mapsto 'p ::= 'p \rightarrow 'next;;$
 $\{ 'r \neq \text{Null} \wedge 'r \in \text{set } 'alloc \} \mapsto 'r \rightarrow 'next ::= 'q;;$

```

      'q ::= 'r OD
UNIV, UNIV
apply (vcg)
apply fastforce+
done

lemma (in list-alloc) rev-moduloGuards:

shows
 $\Gamma, \Theta \vdash / \{ \text{True} \} \{ \text{List } 'p \text{ 'next } Ps \wedge \text{List } 'q \text{ 'next } Qs \wedge \text{set } Ps \cap \text{set } Qs = \{ \} \wedge$ 
 $\text{set } Ps \subseteq \text{set 'alloc} \wedge \text{set } Qs \subseteq \text{set 'alloc} \}$ 
WHILE 'p  $\neq$  Null
INV  $\{ \exists ps \ qs. \text{List } 'p \text{ 'next } ps \wedge \text{List } 'q \text{ 'next } qs \wedge \text{set } ps \cap \text{set } qs = \{ \} \wedge$ 
 $\text{rev } ps @ qs = \text{rev } Ps @ Qs \wedge$ 
 $\text{set } ps \subseteq \text{set 'alloc} \wedge \text{set } qs \subseteq \text{set 'alloc} \}$ 
DO 'r ::= 'p;;
 $\{ 'p \neq \text{Null} \wedge 'p \in \text{set 'alloc} \} \sqrt{} \mapsto 'p ::= 'p \rightarrow 'next;;$ 
 $\{ 'r \neq \text{Null} \wedge 'r \in \text{set 'alloc} \} \sqrt{} \mapsto 'r \rightarrow 'next ::= 'q;;$ 
      'q ::= 'r OD
 $\{ \text{List } 'q \text{ 'next } (\text{rev } Ps @ Qs) \wedge \text{set } Ps \subseteq \text{set 'alloc} \wedge \text{set } Qs \subseteq \text{set 'alloc} \}$ 
apply vcg
apply fastforce+
done

```

```

lemma CombineStrip':
assumes deriv:  $\Gamma, \Theta \vdash /_F P \ c' \ Q, A$ 
assumes deriv-strip:  $\Gamma, \Theta \vdash / \{ \} P \ c'' \ \text{UNIV}, \text{UNIV}$ 
assumes c'':  $c'' = \text{mark-guards False } (\text{strip-guards } (-F) \ c')$ 
assumes c:  $c = \text{mark-guards False } c'$ 
shows  $\Gamma, \Theta \vdash / \{ \} P \ c \ Q, A$ 
proof -
from deriv-strip [simplified c'']
have  $\Gamma, \Theta \vdash P \ (\text{strip-guards } (-F) \ c') \ \text{UNIV}, \text{UNIV}$ 
by (rule HoarePartialProps.MarkGuardsD)
with deriv
have  $\Gamma, \Theta \vdash P \ c' \ Q, A$ 
by (rule HoarePartialProps.CombineStrip)
hence  $\Gamma, \Theta \vdash P \ \text{mark-guards False } c' \ Q, A$ 
by (rule HoarePartialProps.MarkGuardsI)
thus ?thesis
by (simp add: c)
qed

```

We can then combine the prove that no fault will occur with the functional prove of the programm without guards to get the full proove by the rule $\llbracket ?\Gamma, ?\Theta \vdash /_{?F} ?P \ ?c \ ?Q, ?A; ?\Gamma, ?\Theta \vdash ?P \ \text{strip-guards } (- ?F) \ ?c$

$UNIV, UNIV \Vdash \Gamma, \Theta \vdash ?P ?c ?Q, ?A$

lemma (in *list-alloc*)

shows

$\Gamma, \Theta \vdash \{List\ 'p\ 'next\ Ps \wedge List\ 'q\ 'next\ Qs \wedge set\ Ps \cap set\ Qs = \{\} \wedge$
 $set\ Ps \subseteq set\ 'alloc \wedge set\ Qs \subseteq set\ 'alloc\}$

WHILE 'p \neq Null

INV $\{ \exists ps\ qs. List\ 'p\ 'next\ ps \wedge List\ 'q\ 'next\ qs \wedge set\ ps \cap set\ qs = \{\} \wedge$
 $rev\ ps @ qs = rev\ Ps @ Qs \wedge$
 $set\ ps \subseteq set\ 'alloc \wedge set\ qs \subseteq set\ 'alloc \}$

DO 'r ::= 'p;;

$\{ 'p \neq Null \wedge 'p \in set\ 'alloc \} \mapsto 'p ::= 'p \rightarrow 'next;;$

$\{ 'r \neq Null \wedge 'r \in set\ 'alloc \} \mapsto 'r \rightarrow 'next ::= 'q;;$

'q ::= 'r *OD*

$\{ List\ 'q\ 'next\ (rev\ Ps @ Qs) \wedge set\ Ps \subseteq set\ 'alloc \wedge set\ Qs \subseteq set\ 'alloc \}$

apply (rule *CombineStrip'* [*OF* *rev-moduloGuards* *rev-noFault*])

apply *simp*

apply *simp*

done

In the previous example the effort to split up the prove did not really pay off. But when we think of programs with a lot of guards and complicated specifications it may be better to first focus on a prove without the messy guards. Maybe it is possible to automate the no fault proofs so that it suffices to focus on the stripped program.

context *list-alloc*

begin

The purpose of guards is to watch for faults that can occur during evaluation of expressions. In the example before we watched for null pointer dereferencing or memory faults. We can also look for array index bounds or division by zero. As the condition of a while loop is evaluated in each iteration we cannot just add a guard before the while loop. Instead we need a special guard for the condition. Example: *WHILE* (*False*, $\{ 'p \neq Null \}$) $\mapsto 'p \rightarrow 'next \neq Null$ *DO SKIP OD*

end

18.10 Cicular Lists

definition

$distPath :: ref \Rightarrow (ref \Rightarrow ref) \Rightarrow ref \Rightarrow ref\ list \Rightarrow bool$ **where**
 $distPath\ x\ next\ y\ as = (Path\ x\ next\ y\ as \wedge distinct\ as)$

lemma *neg-dP*: $\llbracket p \neq q; Path\ p\ h\ q\ Ps; distinct\ Ps \rrbracket \Longrightarrow$

$\exists Qs. p \neq Null \wedge Ps = p \# Qs \wedge p \notin set\ Qs$

by (*cases* *Ps*, *auto*)

lemma (in *list-alloc*) *circular-list-rev-I*:
 $\Gamma, \Theta \vdash \{ \text{'root} = r \wedge \text{distPath } \text{'root } \text{'next } \text{'root } (r \# Ps) \}$
 $\text{'p} ::= \text{'root};; \text{'q} ::= \text{'root} \rightarrow \text{'next};;$
WHILE $\text{'q} \neq \text{'root}$
INV $\{ \exists ps \ qs. \text{distPath } \text{'p } \text{'next } \text{'root } ps \wedge \text{distPath } \text{'q } \text{'next } \text{'root } qs \wedge$
 $\text{'root} = r \wedge r \neq \text{Null} \wedge r \notin \text{set } Ps \wedge \text{set } ps \cap \text{set } qs = \{ \} \wedge$
 $Ps = (\text{rev } ps) @ qs \}$
DO $\text{'tmp} ::= \text{'q};; \text{'q} ::= \text{'q} \rightarrow \text{'next};; \text{'tmp} \rightarrow \text{'next} ::= \text{'p};; \text{'p} ::= \text{'tmp } OD;;$
 $\text{'root} \rightarrow \text{'next} ::= \text{'p}$
 $\{ \text{'root} = r \wedge \text{distPath } \text{'root } \text{'next } \text{'root } (r \# \text{rev } Ps) \}$
apply (*simp only:distPath-def*)
apply *vcg*
apply (*rule-tac x=[] in exI*)
apply *fastforce*
apply *clarsimp*
apply (*drule (2) neg-dP*)
apply (*rule-tac x=q # ps in exI*)
apply *clarsimp*
apply *fastforce*
done

lemma *path-is-list*: $\wedge a \text{ next } b. \llbracket \text{Path } b \text{ next } a \ Ps ; a \notin \text{set } Ps ; a \neq \text{Null} \rrbracket$
 $\implies \text{List } b \ (\text{next}(a := \text{Null})) \ (Ps @ [a])$
apply (*induct Ps*)
apply (*auto simp add:fun-upd-apply*)
done

The simple algorithm for acyclic list reversal, with modified annotations, works for cyclic lists as well.:

lemma (in *list-alloc*) *circular-list-rev-II*:
 $\Gamma, \Theta \vdash$
 $\{ \text{'p} = r \wedge \text{distPath } \text{'p } \text{'next } \text{'p } (r \# Ps) \}$
 $\text{'q} ::= \text{Null};;$
WHILE $\text{'p} \neq \text{Null}$
INV
 $\{ ((\text{'q} = \text{Null}) \longrightarrow (\exists ps. \text{distPath } \text{'p } \text{'next } r \ ps \wedge ps = r \# Ps)) \wedge$
 $((\text{'q} \neq \text{Null}) \longrightarrow (\exists ps \ qs. \text{distPath } \text{'q } \text{'next } r \ qs \wedge \text{List } \text{'p } \text{'next } ps \wedge$
 $\text{set } ps \cap \text{set } qs = \{ \} \wedge \text{rev } qs @ ps = Ps @ [r])) \wedge$
 $\neg (\text{'p} = \text{Null} \wedge \text{'q} = \text{Null} \wedge r = \text{Null})$
 $\}$
DO
 $\text{'tmp} ::= \text{'p};; \text{'p} ::= \text{'p} \rightarrow \text{'next};; \text{'tmp} \rightarrow \text{'next} ::= \text{'q};; \text{'q} ::= \text{'tmp}$
OD
 $\{ \text{'q} = r \wedge \text{distPath } \text{'q } \text{'next } \text{'q } (r \# \text{rev } Ps) \}$
apply (*simp only:distPath-def*)

```

apply vcg
apply clarsimp
apply clarsimp
apply (case-tac (q = Null))
apply (fastforce intro: path-is-list)
apply clarify
apply (rule-tac x=psa in exI)
apply (rule-tac x= p # qs in exI)
apply force
apply fastforce
done

```

Although the above algorithm is more succinct, its invariant looks more involved. The reason for the case distinction on *q* is due to the fact that during execution, the pointer variables can point to either cyclic or acyclic structures.

When working on lists, its sometimes better to remove *fun-upd-apply* from the simpset, and instead include *fun-upd-same* and *fun-upd-other* to the simpset

```

lemma (in state-space)  $\Gamma \vdash \{\sigma\}$ 
   $I ::= 'M;;$ 
   $ANNO \tau. \{\tau. I = {}^\sigma M\}$ 
   $M ::= 'N;; 'N ::= 'I$ 
   $\{\tau M = {}^\tau N \wedge 'N = {}^\tau I\}$ 
   $\{\tau M = {}^\sigma N \wedge 'N = {}^\sigma M\}$ 
apply vcg
apply auto
done

context state-space
begin
term  $ANNO (\tau, m, k). (\{\tau. 'M = m\}) 'M ::= 'N;; 'N ::= 'I \{\tau M = {}^\tau N \ \& \ 'N = {}^\tau I\}, \{\}$ 
end

```

```

lemma (in state-space)  $\Gamma \vdash (\{\sigma\} \cap \{\tau M = 0 \wedge 'S = 0\})$ 
  ( $ANNO \tau. (\{\tau\} \cap \{\tau A = {}^\sigma A \wedge 'I = {}^\sigma I \wedge 'M = 0 \wedge 'S = 0\})$ 
  WHILE  $'M \neq 'A$ 
  INV  $\{\tau S = 'M * 'I \wedge 'A = {}^\tau A \wedge 'I = {}^\tau I\}$ 
  DO  $'S ::= 'S + 'I;; 'M ::= 'M + 1$  OD
   $\{\tau S = {}^\tau A * {}^\tau I\}$ 
   $\{\tau S = {}^\sigma A * {}^\sigma I\}$ 
apply vcg-step
apply vcg-step
apply simp
apply vcg-step
apply vcg-step
apply simp

```

```

apply vcg
apply simp
apply simp
apply vcg-step
apply auto
done

```

Just some test on marked, guards

```

lemma (in state-space)  $\Gamma \vdash \{\text{True}\} \text{ WHILE } \{P \text{ 'N } \} \sqrt{\phantom{x}}, \{Q \text{ 'M } \} \#, \{R \text{ 'N } \} \mapsto \text{'}$ 
 $N < \text{'M}$ 

$$\text{INV } \{ \text{'N} < 2 \} \text{ DO }$$


$$\text{'N} ::= \text{'M}$$


$$\text{OD}$$


$$\{\text{hard}\}$$

apply vcg
oops

```

```

lemma (in state-space)  $\Gamma \vdash / \{ \text{True} \} \{\text{True}\} \text{ WHILE } \{P \text{ 'N } \} \sqrt{\phantom{x}}, \{Q \text{ 'M } \} \#, \{R \text{ 'N } \}$ 
 $N \} \mapsto \text{'N} < \text{'M}$ 

$$\text{INV } \{ \text{'N} < 2 \} \text{ DO }$$


$$\text{'N} ::= \text{'M}$$


$$\text{OD}$$


$$\{\text{hard}\}$$

apply vcg
oops

end

```

19 Examples for Total Correctness

```

theory VcgExTotal imports ../HeapList ../Vcg begin

```

```

record 'g vars = 'g state +
  A-' :: nat
  I-' :: nat
  M-' :: nat
  N-' :: nat
  R-' :: nat
  S-' :: nat
  Abr-' :: string

```

```

lemma  $\Gamma \vdash_t \{ \text{'M} = 0 \wedge \text{'S} = 0 \}$ 

$$\text{WHILE } \text{'M} \neq a$$


$$\text{INV } \{ \text{'S} = \text{'M} * b \wedge \text{'M} \leq a \}$$


$$\text{VAR MEASURE } a - \text{'M}$$


$$\text{DO } \text{'S} ::= \text{'S} + b;; \text{'M} ::= \text{'M} + 1 \text{ OD}$$


$$\{ \text{'S} = a * b \}$$


```



```

apply vcg
apply (auto)
done

```

```

lemma  $\Gamma \vdash_t \{I \leq 3\}$ 
  WHILE 'I < 10 INV  $\{I \leq 10\}$  VAR MEASURE 10 - 'I
  DO
    'I ::= 'I + 1
  OD
   $\{I = 10\}$ 
apply vcg
apply auto
done

```

Total correctness of a nested loop. In the inner loop we have to express that the loop variable of the outer loop is not changed. We use *FIX* to introduce a new logical variable

```

lemma  $\Gamma \vdash_t \{M=0 \wedge N=0\}$ 
  WHILE ('M < i)
  INV  $\{M \leq i \wedge (M \neq 0 \longrightarrow N = j) \wedge N \leq j\}$ 
  VAR MEASURE (i - 'M)
  DO
    'N ::= 0;;
    WHILE ('N < j)
    FIX m.
    INV  $\{M=m \wedge N \leq j\}$ 
    VAR MEASURE (j - 'N)
    DO
      'N ::= 'N + 1
    OD;;
    'M ::= 'M + 1
  OD
   $\{M=i \wedge (M \neq 0 \longrightarrow N=j)\}$ 
apply vcg
apply simp-all
apply arith
done

```

```

primrec fac:: nat  $\Rightarrow$  nat
where
  fac 0 = 1 |
  fac (Suc n) = (Suc n) * fac n

```

```

lemma fac-simp [simp]: 0 < i  $\implies$  fac i = i * fac (i - 1)
  by (cases i) simp-all

```

```

procedures
  Fac (N | R) = IF 'N = 0 THEN 'R ::= 1
                ELSE CALL Fac(N - 1, 'R);;

```

$'R ::= 'N * 'R$
FI

lemma (*in Fac-impl*) *Fac-spec*:
shows $\forall n. \Gamma \vdash_t \llbracket 'N=n \rrbracket 'R ::= PROC\ Fac('N) \llbracket 'R = fac\ n \rrbracket$
apply (*hoare-rule HoareTotal.ProcRec1* [**where** $r=measure\ (\lambda(s,p). {}^sN)$])
apply *vcg*
apply *simp*
done

procedures
 $p91(R, N \mid R) = IF\ 100 < 'N\ THEN\ 'R ::= 'N - 10$
 $\quad\quad\quad ELSE\ 'R ::= CALL\ p91('R, 'N+11);;$
 $\quad\quad\quad 'R ::= CALL\ p91('R, 'R)\ FI$

p91-spec: $\forall n. \Gamma \vdash_t \llbracket 'N=n \rrbracket 'R ::= PROC\ p91('R, 'N)$
 $\quad\quad\quad \llbracket if\ 100 < n\ then\ 'R = n - 10\ else\ 'R = 91 \rrbracket, \{\}$

lemma (*in p91-impl*) *p91-spec*:
shows $\forall \sigma. \Gamma \vdash_t \{\sigma\} 'R ::= PROC\ p91('R, 'N)$
 $\quad\quad\quad \llbracket if\ 100 < {}^\sigma N\ then\ 'R = {}^\sigma N - 10\ else\ 'R = 91 \rrbracket, \{\}$
apply (*hoare-rule HoareTotal.ProcRec1* [**where** $r=measure\ (\lambda(s,p). 101 - {}^sN)$])
apply *vcg*
apply *clarsimp*
apply *arith*
done

record *globals-list* =
 $next-' :: ref \Rightarrow ref$
 $cont-' :: ref \Rightarrow nat$

record $'g\ list-vars = 'g\ state +$
 $p-' :: ref$
 $q-' :: ref$
 $r-' :: ref$
 $root-' :: ref$
 $tmp-' :: ref$

procedures
 $append(p, q \mid p) =$
 $\quad IF\ 'p=Null\ THEN\ 'p ::= 'q\ ELSE\ 'p \rightarrow 'next ::= CALL\ append('p \rightarrow 'next,$
 $\quad q)\ FI$

lemma (*in append-impl*)
shows
 $\forall \sigma\ Ps\ Qs. \Gamma \vdash_t$

```

    { $\sigma$ . List 'p 'next Ps  $\wedge$  List 'q 'next Qs  $\wedge$  set Ps  $\cap$  set Qs = {} }
    'p ::= PROC append('p, 'q)
    {List 'p 'next (Ps@Qs)  $\wedge$  ( $\forall x$ .  $x \notin$  set Ps  $\longrightarrow$  'next x =  $\sigma_{next}$  x)}
  apply (hoare-rule HoareTotal.ProcRec1
    [where r=measure ( $\lambda(s,p)$ . length (list $p $next))])
  apply vcg
  apply (fastforce simp add: List-list)
done

```

```

lemma (in append-impl)
shows
 $\forall \sigma$  Ps Qs.  $\Gamma \vdash_t$ 
  { $\sigma$ . List 'p 'next Ps  $\wedge$  List 'q 'next Qs  $\wedge$  set Ps  $\cap$  set Qs = {} }
  'p ::= PROC append('p, 'q)
  {List 'p 'next (Ps@Qs)  $\wedge$  ( $\forall x$ .  $x \notin$  set Ps  $\longrightarrow$  'next x =  $\sigma_{next}$  x)}
  apply (hoare-rule HoareTotal.ProcRec1
    [where r=measure ( $\lambda(s,p)$ . length (list $p $next))])
  apply vcg
  apply (fastforce simp add: List-list)
done

```

```

lemma (in append-impl)
shows
  append-spec:
 $\forall \sigma$ .  $\Gamma \vdash_t$  ({ $\sigma$ }  $\cap$  {islist 'p 'next}) 'p ::= PROC append('p, 'q)
  { $\forall$  Ps Qs. List  $\sigma_p$   $\sigma_{next}$  Ps  $\wedge$  List  $\sigma_q$   $\sigma_{next}$  Qs  $\wedge$  set Ps  $\cap$  set Qs = {}
   $\longrightarrow$ 
  List 'p 'next (Ps@Qs)  $\wedge$  ( $\forall x$ .  $x \notin$  set Ps  $\longrightarrow$  'next x =  $\sigma_{next}$  x)}
  apply (hoare-rule HoareTotal.ProcRec1
    [where r=measure ( $\lambda(s,p)$ . length (list $p $next))])
  apply vcg
  apply fastforce
done

```

```

lemma  $\Gamma \vdash$  {List 'p 'next Ps}
  'q ::= Null;;
  WHILE 'p  $\neq$  Null INV { $\exists$  Ps' Qs'. List 'p 'next Ps'  $\wedge$  List 'q 'next Qs'  $\wedge$ 
    set Ps'  $\cap$  set Qs' = {}  $\wedge$ 
    rev Ps' @ Qs' = rev Ps}

  DO
    'r ::= 'p;; 'p ::= 'p  $\rightarrow$  'next;;
    'r  $\rightarrow$  'next ::= 'q;; 'q ::= 'r
  OD;;
  'p ::= 'q
  {List 'p 'next (rev Ps)}
  apply vcg
  apply clarsimp
  apply clarsimp

```

apply *force*
apply *simp*
done

lemma *conjI2*: $\llbracket Q; Q \implies P \rrbracket \implies P \wedge Q$
by *blast*

procedures *Rev*($p|p$) =
 $\quad 'q ::= \text{Null};$
 $\quad \text{WHILE } 'p \neq \text{Null}$
 $\quad \text{DO}$
 $\quad \quad 'r ::= 'p;; \llbracket 'p \neq \text{Null} \rrbracket \longrightarrow 'p ::= 'p \rightarrow 'next;;$
 $\quad \quad \llbracket 'r \neq \text{Null} \rrbracket \longrightarrow 'r \rightarrow 'next ::= 'q;; 'q ::= 'r$
 $\quad \text{OD};$
 $\quad 'p ::= 'q$
Rev-spec:
 $\forall Ps. \Gamma \vdash_t \llbracket \text{List } 'p \ 'next \ Ps \rrbracket \ 'p ::= \text{PROC } \text{Rev}('p) \llbracket \text{List } 'p \ 'next \ (\text{rev } Ps) \rrbracket$
Rev-modifies:
 $\forall \sigma. \Gamma \vdash_{/UNIV} \{\sigma\} \ 'p ::= \text{PROC } \text{Rev}('p) \ \{t. \ t \text{ may-only-modify-globals } \sigma \text{ in } [next]\}$

We only need partial correctness of modifies clause!

lemma *upd-hd-next*:
 $\quad \text{assumes } p\text{-ps}: \text{List } p \ next \ (p \# ps)$
 $\quad \text{shows } \text{List } (next \ p) \ (next(p := q)) \ ps$
proof –
 $\quad \text{from } p\text{-ps}$
 $\quad \text{have } p \notin \text{set } ps$
 $\quad \text{by } auto$
 $\quad \text{with } p\text{-ps} \text{ show } ?thesis$
 $\quad \text{by } simp$
qed

lemma (in *Rev-impl*) **shows**

Rev-spec:
 $\forall Ps. \Gamma \vdash_t \llbracket \text{List } 'p \ 'next \ Ps \rrbracket \ 'p ::= \text{PROC } \text{Rev}('p) \llbracket \text{List } 'p \ 'next \ (\text{rev } Ps) \rrbracket$
apply (*hoare-rule HoareTotal.ProcNoRec1*)
apply (*hoare-rule anno* =
 $\quad 'q ::= \text{Null};$
 $\quad \text{WHILE } 'p \neq \text{Null} \text{ INV } \llbracket \exists Ps' \ Qs'. \text{List } 'p \ 'next \ Ps' \wedge \text{List } 'q \ 'next \ Qs' \wedge$
 $\quad \quad \text{set } Ps' \cap \text{set } Qs' = \{\} \wedge$
 $\quad \quad \text{rev } Ps' @ Qs' = \text{rev } Ps \rrbracket$
 $\quad \text{VAR MEASURE } (\text{length } (\text{list } 'p \ 'next))$
 $\quad \text{DO}$
 $\quad \quad 'r ::= 'p;; \llbracket 'p \neq \text{Null} \rrbracket \longrightarrow 'p ::= 'p \rightarrow 'next;;$
 $\quad \quad \llbracket 'r \neq \text{Null} \rrbracket \longrightarrow 'r \rightarrow 'next ::= 'q;; 'q ::= 'r$
 $\quad \text{OD};$
 $\quad 'p ::= 'q \text{ in } \text{HoareTotal.annotateI})$
apply *vcg*

```

apply  clarsimp
apply  clarsimp
apply  (rule conjI2)
apply  force
apply  clarsimp
apply  (subgoal-tac List p next (p#ps))
prefer 2 apply simp
apply  (frule-tac q=q in upd-hd-next)
apply  (simp only: List-list)
apply  simp
apply  simp
done

```

lemma (**in** *Rev-impl*) **shows**

```

  Rev-modifies:
   $\forall \sigma. \Gamma \vdash_{UNIV} \{\sigma\} \text{ 'p} ::= PROC \text{ Rev('p)} \{t. t \text{ may-only-modify-globals } \sigma \text{ in}$ 
  [next]\}
apply (hoare-rule HoarePartial.ProcNoRec1)
apply (vcg spec=modifies)
done

```

lemma $\Gamma \vdash_t \{List \text{ 'p 'next Ps}\}$

```

  'q ::= Null;;
  WHILE 'p  $\neq$  Null INV  $\{ \exists Ps' Qs'. List \text{ 'p 'next Ps}' \wedge List \text{ 'q 'next Qs}' \wedge$ 
     $set Ps' \cap set Qs' = \{\} \wedge$ 
     $rev Ps' @ Qs' = rev Ps \}$ 
  VAR MEASURE (length (list 'p 'next) )
  DO
    'r ::= 'p;; 'p ::= 'p  $\rightarrow$  'next;;
    'r  $\rightarrow$  'next ::= 'q;; 'q ::= 'r
  OD;;
  'p ::= 'q
   $\{List \text{ 'p 'next (rev Ps)}\}$ 
apply vcg
apply  clarsimp
apply  clarsimp
apply  (rule conjI2)
apply  force
apply  clarsimp
apply  (subgoal-tac List p next (p#ps))
prefer 2 apply simp
apply  (frule-tac q=q in upd-hd-next)
apply  (simp only: List-list)
apply  simp
apply  simp
done

```

procedures

```

pedal(N,M) = IF 0 < 'N THEN
                IF 0 < 'M THEN CALL coast('N- 1,'M- 1) FI;;
                CALL pedal('N- 1,'M)
            FI

```

and

```

coast(N,M) = CALL pedal('N,'M);;
            IF 0 < 'M THEN CALL coast('N,'M- 1) FI

```

ML $\langle ML\text{-}Thms.\text{bind}\text{-}thm \ (HoareTotal\text{-}ProcRec2, \ Hoare.\text{gen}\text{-}proc\text{-}rec \ @\{\text{context}\} \ Hoare.Total \ 2) \rangle$

lemma (in *pedal-coast-clique*)

```

shows (Γ ⊢t {True} PROC pedal('N,'M) {True}) ∧
      (Γ ⊢t {True} PROC coast('N,'M) {True})
apply (hoare-rule HoareTotal-ProcRec2
      [where ?r= inv-image (measure (λm. m) <*>lex*>
                                measure (λp. if p = coast-'proc then 1 else 0))
        (λ(s,p). (sN + sM,p))])
apply simp-all
apply vcg
apply simp
apply vcg
apply simp
done

```

lemma (in *pedal-coast-clique*)

```

shows (Γ ⊢t {True} PROC pedal('N,'M) {True}) ∧
      (Γ ⊢t {True} PROC coast('N,'M) {True})
apply (hoare-rule HoareTotal-ProcRec2
      [where ?r= inv-image (measure (λm. m) <*>lex*>
                                measure (λp. if p = coast-'proc then 1 else 0))
        (λ(s,p). (sN + sM,p))])
apply simp-all
apply vcg
apply simp
apply vcg
apply simp
done

```

```

lemma (in pedal-coast-clique)
  shows  $(\Gamma \vdash_t \{\!\! \{ True \}\!\! \} PROC\ pedal('N, 'M) \{\!\! \{ True \}\!\! \}) \wedge$ 
          $(\Gamma \vdash_t \{\!\! \{ True \}\!\! \} PROC\ coast('N, 'M) \{\!\! \{ True \}\!\! \})$ 
  apply (hoare-rule HoareTotal-ProcRec2
    [where ?r = measure  $(\lambda(s,p). {}^sN + {}^sM + (if\ p = coast-'proc\ then\ 1\ else\ 0))$ ])
  apply simp-all
  apply vcg
  apply simp
  apply arith
  apply vcg
  apply simp
done

```

```

lemma (in pedal-coast-clique)
  shows  $(\Gamma \vdash_t \{\!\! \{ True \}\!\! \} PROC\ pedal('N, 'M) \{\!\! \{ True \}\!\! \}) \wedge$ 
          $(\Gamma \vdash_t \{\!\! \{ True \}\!\! \} PROC\ coast('N, 'M) \{\!\! \{ True \}\!\! \})$ 
  apply (hoare-rule HoareTotal-ProcRec2
    [where ?r =  $(\lambda(s,p). {}^sN) < *mlex* > (\lambda(s,p). {}^sM) < *mlex* >$ 
      measure  $(\lambda(s,p). if\ p = coast-'proc\ then\ 1\ else\ 0)$ ])
  apply simp-all
  apply vcg
  apply simp
  apply vcg
  apply simp
done

```

```

lemma (in pedal-coast-clique)
  shows  $(\Gamma \vdash_t \{\!\! \{ True \}\!\! \} PROC\ pedal('N, 'M) \{\!\! \{ True \}\!\! \}) \wedge$ 
          $(\Gamma \vdash_t \{\!\! \{ True \}\!\! \} PROC\ coast('N, 'M) \{\!\! \{ True \}\!\! \})$ 
  apply (hoare-rule HoareTotal-ProcRec2
    [where ?r = measure  $(\lambda s. {}^sN + {}^sM) < *lex* >$ 
      measure  $(\lambda p. if\ p = coast-'proc\ then\ 1\ else\ 0)$ ])
  apply simp-all
  apply vcg
  apply simp
  apply vcg
  apply simp
done

```

end

20 Example: Quicksort on Heap Lists

```

theory Quicksort
imports ../Vcg ../HeapList HOL-Library.Permutation
begin

```

record *globals-heap* =

next-' :: *ref* \Rightarrow *ref*

cont-' :: *ref* \Rightarrow *nat*

record 'g *vars* = 'g *state* +

p-' :: *ref*

q-' :: *ref*

le-' :: *ref*

gt-' :: *ref*

hd-' :: *ref*

tl-' :: *ref*

procedures

append(*p*,*q*|*p*) =

IF 'p=Null THEN 'p ::= 'q ELSE 'p→'next ::= CALL *append*('p→'next,'
q) FI

append-spec:

$\forall \sigma \ Ps \ Qs.$

$\Gamma \vdash \{ \sigma. List \ 'p \ 'next \ Ps \wedge List \ 'q \ 'next \ Qs \wedge set \ Ps \cap set \ Qs = \{ \} \}$

$\ 'p ::= PROC \ append('p, 'q)$

$\{ List \ 'p \ 'next \ (Ps @ Qs) \wedge (\forall x. x \notin set \ Ps \longrightarrow 'next \ x = \sigma_{next} \ x) \}$

append-modifies:

$\forall \sigma. \Gamma \vdash \{ \sigma \} \ 'p ::= PROC \ append('p, 'q) \{ t. t \text{ may-only-modify-globals } \sigma \text{ in } [next] \}$

lemma (in *append-impl*) *append-modifies*:

shows

$\forall \sigma. \Gamma \vdash \{ \sigma \} \ 'p ::= PROC \ append('p, 'q) \{ t. t \text{ may-only-modify-globals } \sigma \text{ in } [next] \}$

apply (*hoare-rule* *HoarePartial.ProcRec1*)

apply (*vcg spec=modifies*)

done

lemma (in *append-impl*) *append-spec*:

shows $\forall \sigma \ Ps \ Qs. \Gamma \vdash$

$\{ \sigma. List \ 'p \ 'next \ Ps \wedge List \ 'q \ 'next \ Qs \wedge set \ Ps \cap set \ Qs = \{ \} \}$

$\ 'p ::= PROC \ append('p, 'q)$

$\{ List \ 'p \ 'next \ (Ps @ Qs) \wedge (\forall x. x \notin set \ Ps \longrightarrow 'next \ x = \sigma_{next} \ x) \}$

apply (*hoare-rule* *HoarePartial.ProcRec1*)

apply *vcg*

apply *fastforce*

done

primrec *sorted*:: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list \Rightarrow bool

where

sorted le [] = *True* |

sorted le (*x*#*xs*) = ($(\forall y \in \text{set } xs. \text{le } x \ y) \wedge \text{sorted le } xs$)

lemma *perm-set-eq*:

assumes *perm*: *xs* <~~> *ys*

shows *set xs* = *set ys*

using *perm*

by *induct auto*

lemma *perm-Cons-eq* [*iff*]: *x*#*xs* <~~> *x*#*ys* = (*xs* <~~> *ys*)

by *auto*

lemma *perm-app-Cons-eq1* : *xs*@*y*#*ys* <~~> *zs* = (*y*#*xs*@*ys* <~~> *zs*)

proof –

have *app-Cons*: *xs*@*y*#*ys* <~~> *y*#*xs*@*ys*

by (*rule perm-sym*, *rule perm-append-Cons*)

show ?*thesis*

proof

assume *xs*@*y*#*ys* <~~> *zs*

with *app-Cons* [*THEN perm-sym*]

show *y*#*xs*@*ys* <~~> *zs*

by (*rule perm.trans*)

next

assume *y*#*xs*@*ys* <~~> *zs*

with *app-Cons*

show *xs*@*y*#*ys* <~~> *zs*

by (*rule perm.trans*)

qed

qed

lemma *perm-app-Cons-eq2* : *zs* <~~> *xs*@*y*#*ys* = (*zs* <~~> *y*#*xs*@*ys*)

proof –

have *xs*@*y*#*ys* <~~> *zs* = (*y*#*xs*@*ys* <~~> *zs*)

by (*rule perm-app-Cons-eq1*)

thus ?*thesis*

by (*iprover intro*: *perm-sym*)

qed

lemmas *perm-app-Cons-simps* = *perm-app-Cons-eq1* [*THEN sym*]

perm-app-Cons-eq2 [*THEN sym*]

lemma *sorted-append[simp]*:

sorted le (*xs*@*ys*) = (*sorted le* *xs* \wedge *sorted le* *ys* \wedge

$(\forall x \in \text{set } xs. \forall y \in \text{set } ys. \text{le } x \ y)$)

by (*induct xs*, *auto*)

lemma *perm-append-blocks*:

assumes *ws-ys*: *ws* <~~> *ys*

```

assumes  $xs\text{-}zs: xs <\sim\sim> zs$ 
shows  $ws@xs <\sim\sim> ys@zs$ 
using  $ws\text{-}ys$ 
proof (induct)
  case (swap  $l\ x\ y$ )
  from  $xs\text{-}zs$ 
  show  $(l \# x \# y) @ xs <\sim\sim> (x \# l \# y) @ zs$ 
  by (induct) auto
qed (insert  $xs\text{-}zs$  , auto)

```

```

procedures quickSort( $p|p$ ) =
  IF  $'p = \text{Null}$  THEN SKIP
  ELSE  $'tl := 'p \rightarrow 'next;;$ 
     $'le := \text{Null};;$ 
     $'gt := \text{Null};;$ 
    WHILE  $'tl \neq \text{Null}$  DO
       $'hd := 'tl;;$ 
       $'tl := 'tl \rightarrow 'next;;$ 
      IF  $'hd \rightarrow 'cont \leq 'p \rightarrow 'cont$ 
      THEN  $'hd \rightarrow 'next := 'le;;$ 
         $'le := 'hd$ 
      ELSE  $'hd \rightarrow 'next := 'gt;;$ 
         $'gt := 'hd$ 
    FI
  OD;;
   $'le := \text{CALL } \textit{quickSort}('le);;$ 
   $'gt := \text{CALL } \textit{quickSort}('gt);;$ 
   $'p \rightarrow 'next := 'gt;;$ 
   $'le := \text{CALL } \textit{append}('le, 'p);;$ 
   $'p := 'le$ 
FI

```

quickSort-spec:

$$\forall \sigma. \Gamma \vdash \{\sigma. \text{List } 'p \ 'next\ Ps\} \ 'p := \text{PROC } \textit{quickSort}('p) \\ \{\{(\exists \text{sortedPs}. \text{List } 'p \ 'next \text{sortedPs} \wedge \\ \text{sorted } (\leq) (\text{map } \sigma_{cont} \text{sortedPs}) \wedge \\ Ps <\sim\sim> \text{sortedPs}) \wedge \\ (\forall x. x \notin \text{set } Ps \longrightarrow 'next\ x = \sigma_{next} x)\}\}$$

quickSort-modifies:

$$\forall \sigma. \Gamma \vdash \{\sigma\} \ 'p := \text{PROC } \textit{quickSort}('p) \{t. t \text{ may-only-modify-globals } \sigma \text{ in } [next]\}$$

lemma (*in quickSort-impl*) *quickSort-modifies:*

shows

$$\forall \sigma. \Gamma \vdash \{\sigma\} \ 'p := \text{PROC } \textit{quickSort}('p) \{t. t \text{ may-only-modify-globals } \sigma \text{ in } [next]\}$$

apply (*hoare-rule HoarePartial.ProcRec1*)

apply (*vcg spec=modifies*)

done

lemma (in *quickSort-impl*) *quickSort-spec*:
shows
 $\forall \sigma \ Ps. \Gamma \vdash \llbracket \sigma. \text{List } 'p \ 'next \ Ps \rrbracket$
 $\quad 'p ::= PROC \ quickSort('p)$
 $\quad \llbracket (\exists \ sortedPs. \text{List } 'p \ 'next \ sortedPs \wedge$
 $\quad \quad sorted \ (\leq) \ (map \ \sigma_{cont} \ sortedPs) \wedge$
 $\quad \quad Ps <\sim\sim> sortedPs) \wedge$
 $\quad \quad (\forall x. x \notin set \ Ps \longrightarrow 'next \ x = \sigma_{next} \ x) \rrbracket$
apply (hoare-rule *HoarePartial.ProcRec1*)
apply (hoare-rule *anno* =
IF $'p = Null$ *THEN SKIP*
ELSE $'tl ::= 'p \rightarrow 'next;;$
 $'le ::= Null;;$
 $'gt ::= Null;;$
WHILE $'tl \neq Null$
INV $\llbracket (\exists \ les \ grs \ tls. \text{List } 'le \ 'next \ les \wedge \text{List } 'gt \ 'next \ grs \wedge$
 $\quad \text{List } 'tl \ 'next \ tls \wedge$
 $\quad Ps <\sim\sim> 'p \# tls @ les @ grs \wedge$
 $\quad distinct('p \# tls @ les @ grs) \wedge$
 $\quad (\forall x \in set \ les. x \rightarrow 'cont \leq 'p \rightarrow 'cont) \wedge$
 $\quad (\forall x \in set \ grs. 'p \rightarrow 'cont < x \rightarrow 'cont)) \wedge$
 $\quad 'p =^{\sigma} p \wedge$
 $\quad 'cont =^{\sigma} cont \wedge$
 $\quad \text{List } \sigma_p \ \sigma_{next} \ Ps \wedge$
 $\quad (\forall x. x \notin set \ Ps \longrightarrow 'next \ x = \sigma_{next} \ x) \rrbracket$
DO
 $'hd ::= 'tl;;$
 $'tl ::= 'tl \rightarrow 'next;;$
IF $'hd \rightarrow 'cont \leq 'p \rightarrow 'cont$
THEN $'hd \rightarrow 'next ::= 'le;;$
 $'le ::= 'hd$
ELSE $'hd \rightarrow 'next ::= 'gt;;$
 $'gt ::= 'hd$
FI
OD;;
 $'le ::= CALL \ quickSort('le);;$
 $'gt ::= CALL \ quickSort('gt);;$
 $'p \rightarrow 'next ::= 'gt;;$
 $'le ::= CALL \ append('le, 'p);;$
 $'p ::= 'le$
FI in *HoarePartial.annotateI*)
apply *vcg*
apply *fastforce*
apply *clarsimp*
apply (rule *conjI*)
apply *clarify*
apply (rule *conjI*)
apply (rule-tac $x = tl \# les$ in *exI*)

```

apply simp
apply (rule-tac x=grs in exI)
apply simp
apply (rule-tac x=ps in exI)
apply simp
apply (erule perm.trans)
apply simp
apply (simp add: perm-app-Cons-simps)
apply (simp add: perm-set-eq)
apply clarify
apply (rule conjI)
apply (rule-tac x=les in exI)
apply simp
apply (rule-tac x=tl#grs in exI)
apply simp
apply (rule-tac x=ps in exI)
apply simp
apply (erule perm.trans)
apply simp
apply (simp add: perm-app-Cons-simps)
apply (simp add: perm-set-eq)
apply clarsimp
apply (rule-tac ?x=grs in exI)
apply (rule conjI)
apply (erule heap-eq-ListI1)
apply clarify
apply (erule-tac x=x in allE)back
apply blast
apply clarsimp
apply (rule-tac x=sortedPs in exI)
apply (rule conjI)
apply (erule heap-eq-ListI1)
apply (clarsimp)
apply (erule-tac x=x in allE) back back
apply (fastforce dest!: perm-set-eq)
apply (rule-tac x=p#sortedPsa in exI)
apply (rule conjI)
apply (fastforce dest!: perm-set-eq)
apply (rule conjI)
apply (force dest!: perm-set-eq)
apply clarsimp
apply (rule conjI)
apply (fastforce dest!: perm-set-eq)
apply (rule conjI)
apply (fastforce dest!: perm-set-eq)
apply (rule conjI)
apply (erule perm.trans)
apply (simp add: perm-app-Cons-simps list-all-iff)
apply (fastforce intro!: perm-append-blocks)

```

```

apply clarsimp
apply (erule-tac  $x=x$  in allE)+
apply (force dest!: perm-set-eq)
done

end

```

```

theory XVcg
imports Vcg

```

```

begin

```

We introduce a syntactic variant of the let-expression so that we can safely unfold it during verification condition generation. With the new theorem attribute *vcg-simp* we can declare equalities to be used by the verification condition generator, while simplifying assertions.

```

syntax

```

```

 $-Let' :: [letbinds, basicblock] \Rightarrow basicblock \ ((LET \ (-) / IN \ (-)) \ 23)$ 

```

```

translations

```

```

 $-Let' \ (-binds \ b \ bs) \ e \ == \ -Let' \ b \ (-Let' \ bs \ e)$ 
 $-Let' \ (-bind \ x \ a) \ e \ == \ CONST \ Let' \ a \ (\%x. \ e)$ 

```

```

lemma Let'-unfold [vcg-simp]:  $Let' \ x \ f = f \ x$ 
by (simp add: Let'-def Let-def)

```

```

lemma Let'-split-conv [vcg-simp]:
  ( $Let' \ x \ (\lambda p. \ (case-prod \ (f \ p) \ (g \ p)))) =$ 
  ( $Let' \ x \ (\lambda p. \ (f \ p) \ (fst \ (g \ p)) \ (snd \ (g \ p))))$ )
by (simp add: split-def)

```

```

end

```

21 Examples for Parallel Assignments

```

theory XVcgEx
imports ../XVcg

```

```

begin

```

```

record globals =
  G-'::nat
  H-'::nat

```

```

record 'g vars = 'g state +
  A-' :: nat
  B-' :: nat
  C-' :: nat
  I-' :: nat
  M-' :: nat
  N-' :: nat
  R-' :: nat
  S-' :: nat
  Arr-' :: nat list
  Abr-' :: string

```

```

term BASIC
  'A ::= x,
  'B ::= y
  END

```

```

term BASIC
  'G ::= 'H,
  'H ::= 'G
  END

```

```

term BASIC
  LET (x,y) = ('A,b);
  z = 'B
  IN 'A ::= x,
  'G ::= 'A + y + z
  END

```

```

lemma  $\Gamma \vdash \{\{ 'A = 0 \}\}$ 
 $\{\{ 'A < 0 \}\} \mapsto BASIC$ 
  LET (a,b,c) = foo 'A
  IN
    'A ::= a,
    'B ::= b,
    'C ::= c
  END
 $\{\{ 'A = x \wedge 'B = y \wedge 'C = c \}\}$ 

```

```

apply vcg
oops

```

```

lemma  $\Gamma \vdash \{\{ 'A = 0 \}\}$ 
 $\{\{ 'A < 0 \}\} \mapsto BASIC$ 
  LET (a,b,c) = foo 'A
  IN
    'A ::= a,
    'G ::= b + 'B,
    'H ::= c

```

```

      END
      { 'A = x ∧ 'G = y ∧ 'H = c }
    apply vcg
  oops

definition foo:: nat ⇒ (nat × nat × nat)
  where foo n = (n, n+1, n+2)

lemma Γ ⊢ { 'A = 0 }
  { 'A < 0 } ⟶ BASIC
  LET (a,b,c) = foo 'A
  IN
    'A ::= a,
    'G ::= b + 'B,
    'H ::= c
  END
  { 'A = x ∧ 'G = y ∧ 'H = c }
  apply (vcg add: foo-def snd-conv fst-conv)
  oops

end

```

22 Examples for Procedures as Parameters

theory ProcParEx **imports** ../Vcg **begin**

```

lemma DynProcProcPar':
  assumes adapt: P ⊆ {s. p s = q ∧
    (∃ Z. init s ∈ P' Z ∧
      (∀ t ∈ Q' Z. return s t ∈ R s t) ∧
      (∀ t ∈ A' Z. return s t ∈ A))}
  assumes result: ∀ s t. Γ, Θ ⊢F (R s t) result s t Q, A
  assumes q: ∀ Z. Γ, Θ ⊢F (P' Z) Call q (Q' Z), (A' Z)
  shows Γ, Θ ⊢F P dynCall init p return result Q, A
  apply (rule HoarePartial.DynProcProcPar [OF - result q])
  apply (insert adapt)
  apply fast
  done

```

```

lemma conseq-exploit-pre':
  ⟦ ∀ s ∈ S. Γ, Θ ⊢ ({s} ∩ P) c Q, A ⟧
  ⟹
  Γ, Θ ⊢ (P ∩ S) c Q, A
  apply (rule HoarePartialDef.Conseq)
  apply clarify
  by (metis IntI insertI1 subset-refl)

```

```

lemma conseq-exploit-pre'':
  
$$\llbracket \forall Z. \forall s \in S\ Z. \ \Gamma, \Theta \vdash (\{s\} \cap P\ Z) \ c\ (Q\ Z), (A\ Z) \rrbracket$$

  
$$\implies$$

  
$$\forall Z. \Gamma, \Theta \vdash (P\ Z \cap S\ Z) \ c\ (Q\ Z), (A\ Z)$$

apply (rule allI)
apply (rule conseq-exploit-pre')
apply blast
done

```

```

lemma conseq-exploit-pre''':
  
$$\llbracket \forall s \in S. \forall Z. \Gamma, \Theta \vdash (\{s\} \cap P\ Z) \ c\ (Q\ Z), (A\ Z) \rrbracket$$

  
$$\implies$$

  
$$\forall Z. \Gamma, \Theta \vdash (P\ Z \cap S) \ c\ (Q\ Z), (A\ Z)$$

apply (rule allI)
apply (rule conseq-exploit-pre')
apply blast
done

```

```

record 'g vars = 'g state +
  compare-' :: string
  n-' :: nat
  m-' :: nat
  b-' :: bool
  k-' :: nat

```

```

procedures compare(n,m|b) = NoBody
print-locale! compare-signature

```

```

context compare-signature
begin
declare  $[[hoare-use-call-tr' = false]]$ 
term 'b ::= CALL compare('n,'m)
term 'b ::= DYNCALL 'compare('n,'m)
declare  $[[hoare-use-call-tr' = true]]$ 
term 'b ::= DYNCALL 'compare('n,'m)
end

```

```

procedures
  LEQ (n,m | b) = 'b ::= 'n ≤ 'm
  LEQ-spec:  $\forall \sigma. \Gamma \vdash \{\sigma\} \text{ PROC } LEQ('n, 'm, 'b) \ \S \ 'b = (\sigma_n \leq \sigma_m) \}$ 
  LEQ-modifies:  $\forall \sigma. \Gamma \vdash \{\sigma\} \text{ PROC } LEQ('n, 'm, 'b) \ \{t. \ t \text{ may-only-modify-globals} \}$ 

```


σ in $\square\}$

definition $mx:: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a$
where $mx \text{ leq } a \ b = (if \text{ leq } a \ b \text{ then } a \text{ else } b)$

procedures

$Max \ (compare, n, m \mid k) =$
 $\ 'b ::= DYNCALL \ 'compare('n, 'm);;$
 $IF \ 'b \ THEN \ 'k ::= 'n \ ELSE \ 'k ::= 'm \ FI$

$Max\text{-}spec: \bigwedge leq. \forall \sigma. \Gamma \vdash$
 $(\{\sigma\} \cap \{s. (\forall \tau. \Gamma \vdash \{\tau\} \ 'b ::= PROC \ ^scompare('n, 'm) \ \{\!| \ 'b = (leq \ ^\tau n \ ^\tau m) \!\}) \wedge$
 $(\forall \tau. \Gamma \vdash \{\tau\} \ 'b ::= PROC \ ^scompare('n, 'm) \ \{t. t \text{ may-only-modify-globals } \tau$
 $\tau \text{ in } \square\}\}))$
 $PROC \ Max('compare, 'n, 'm, 'k)$
 $\{\!| \ 'k = mx \text{ leq } \sigma_n \ \sigma_m \!\}$

lemma (in $Max\text{-}impl$) $Max\text{-}spec1$:

shows

$\forall \sigma \text{ leq}. \Gamma \vdash$
 $(\{\sigma\} \cap \{\!| (\forall \tau. \Gamma \vdash \{\tau\} \ 'b ::= PROC \ 'compare('n, 'm) \ \{\!| \ 'b = (leq \ ^\tau n \ ^\tau m) \!\}) \wedge$
 $(\forall \tau. \Gamma \vdash \{\tau\} \ 'b ::= PROC \ 'compare('n, 'm) \ \{t. t \text{ may-only-modify-globals } \tau$
 $\text{in } \square\}\}\!\})$
 $\ 'k ::= PROC \ Max('compare, 'n, 'm)$
 $\{\!| \ 'k = mx \text{ leq } \sigma_n \ \sigma_m \!\}$

apply (hoare-rule HoarePartial.ProcNoRec1)

apply (intro allI)

apply (rule conseq-exploit-pre')

apply (rule)

apply clarify

proof –

fix $\sigma:: ('a, 'b) \text{ vars-scheme}$ **and** $s:: ('a, 'b) \text{ vars-scheme}$ **and** leq

assume $compare\text{-}spec$:

$\forall \tau. \Gamma \vdash \{\tau\} \ 'b ::= PROC \ ^scompare('n, 'm) \ \{\!| \ 'b = leq \ ^\tau n \ ^\tau m \!\}$

assume $compare\text{-}modifies$:

$\forall \tau. \Gamma \vdash \{\tau\} \ 'b ::= PROC \ ^scompare('n, 'm)$
 $\ \{t. t \text{ may-only-modify-globals } \tau \text{ in } \square\}$

show $\Gamma \vdash (\{s\} \cap \{\sigma\})$

$\ 'b ::= DYNCALL \ 'compare \ ('n, 'm);;$

$IF \ 'b \ THEN \ 'k ::= 'n \ ELSE \ 'k ::= 'm \ FI$

$\{\!| \ 'k = mx \text{ leq } \sigma_n \ \sigma_m \!\}$

apply vcg

apply (clarsimp simp add: $mx\text{-}def$)

done

qed

lemma (in *Max-impl*) *Max-spec2*:

shows

$\forall \sigma \text{ leq. } \Gamma \vdash$

$(\{\sigma\} \cap \{\!(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'b' } ::= \text{PROC 'compare'}(n, m) \{\!b = (\text{leq } \tau n \ \tau m)\}\!\} \wedge$
 $(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'b' } ::= \text{PROC 'compare'}(n, m) \{t. t \text{ may-only-modify-globals } \tau$
 $\text{in } []\}\!\})$

$k ::= \text{PROC Max('compare', n, m)}$

$\{\!k = \text{mx leq } \sigma n \ \sigma m\!\}$

apply (*hoare-rule HoarePartial.ProcNoRec1*)

apply (*intro allI*)

apply (*rule conseq-exploit-pre'*)

apply (*rule*)

apply *clarify*

apply *vcg*

apply (*clarsimp simp add: mx-def*)

done

lemma (in *Max-impl*) *Max-spec3*:

shows

$\forall n \ m \text{ leq. } \Gamma \vdash$

$(\{\!n=n \wedge m=m\!\} \cap$
 $\{\!(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'b' } ::= \text{PROC 'compare'}(n, m) \{\!b = (\text{leq } \tau n \ \tau m)\}\!\} \wedge$
 $(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'b' } ::= \text{PROC 'compare'}(n, m) \{t. t \text{ may-only-modify-globals } \tau$
 $\text{in } []\}\!\})$

$k ::= \text{PROC Max('compare', n, m)}$

$\{\!k = \text{mx leq } n \ m\!\}$

apply (*hoare-rule HoarePartial.ProcNoRec1*)

apply (*intro allI*)

apply (*rule conseq-exploit-pre'*)

apply (*rule*)

apply *clarify*

apply *vcg*

apply (*clarsimp simp add: mx-def*)

done

lemma (in *Max-impl*) *Max-spec4*:

shows

$\forall n \ m \text{ leq. } \Gamma \vdash$

$(\{\!n=n \wedge m=m\!\} \cap \{\!\forall \tau. \Gamma \vdash \{\tau\} \text{ 'b' } ::= \text{PROC 'compare'}(n, m) \{\!b = (\text{leq } \tau n$
 $\tau m)\!\}\!\})$

$k ::= \text{PROC Max('compare', n, m)}$

$\{\!k = \text{mx leq } n \ m\!\}$

apply (*hoare-rule HoarePartial.ProcNoRec1*)

apply (*intro allI*)

apply (*rule conseq-exploit-pre'*)

apply (*rule*)

```

apply clarify
apply vcg
apply (clarsimp simp add: mx-def)
done

locale Max-test = Max-spec + LEQ-spec + LEQ-modifies
lemma (in Max-test)

  shows
     $\Gamma \vdash \{\sigma\} \text{ 'k := CALL Max(LEQ-'proc, 'n, 'm) } \{\text{ 'k = mx } (\leq) \sigma_n \sigma_m\}$ 
  proof -
    note Max-spec = Max-spec [where leq=( $\leq$ )]
    show ?thesis
    apply vcg
    apply (clarsimp)
    apply (rule conjI)
    apply (rule LEQ-spec [simplified])
    apply (rule LEQ-modifies [simplified])
    done
qed

lemma (in Max-impl) Max-spec5:
shows
 $\forall n \ m \ leq. \Gamma \vdash$ 
  ( $\{\text{ 'n=n } \wedge \text{ 'm=m}\} \cap \{\forall n' \ m'. \Gamma \vdash \{\text{ 'n=n' } \wedge \text{ 'm=m'}\} \text{ 'b := PROC 'compare('}$ 
 $n, 'm) \{\text{ 'b = (leq n' m')}\}\}$ )
   $\text{ 'k := PROC Max('compare, 'n, 'm)}$ 
   $\{\text{ 'k = mx leq n m}\}$ 
term  $\{\{s. s_n = n' \wedge s_m = m'\} = X\}$ 
apply (hoare-rule HoarePartial.ProcNoRec1)
apply (intro allI)
apply (rule conseq-exploit-pre')
apply (rule)
apply clarify
apply vcg
apply clarsimp
apply (clarsimp simp add: mx-def)
done

lemma (in LEQ-impl)
  LEQ-spec:  $\forall n \ m. \Gamma \vdash \{\text{ 'n=n } \wedge \text{ 'm=m}\} \text{ PROC LEQ('n, 'm, 'b) } \{\text{ 'b = (n } \leq m)\}$ 
  apply vcg
  done

locale Max-test' = Max-impl + LEQ-impl
lemma (in Max-test')
  shows

```

```

   $\forall n\ m. \Gamma \vdash \llbracket 'n=n \wedge 'm=m \rrbracket \ 'k := CALL\ Max(LEQ-'proc, 'n, 'm) \llbracket 'k = mx (\leq) \\ n\ m \rrbracket$ 
proof –
  note  $Max-spec = Max-spec5$ 
  show ?thesis
    apply vcg
    apply (rule-tac  $x=(\leq)$  in exI)
    apply clarsimp
    apply (rule LEQ-spec [rule-format])
    done
qed

end

```

23 Examples for Procedures as Parameters using Statespaces

theory *ProcParExSP* **imports** *../Vcg* **begin**

lemma *DynProcProcPar'*:

```

assumes adapt:  $P \subseteq \{s. p\ s = q \wedge$ 
   $(\exists Z. \text{init}\ s \in P'\ Z \wedge$ 
     $(\forall t \in Q'\ Z. \text{return}\ s\ t \in R\ s\ t) \wedge$ 
     $(\forall t \in A'\ Z. \text{return}\ s\ t \in A))\}$ 
assumes result:  $\forall s\ t. \Gamma, \Theta \vdash_F (R\ s\ t)\ \text{result}\ s\ t\ Q, A$ 
assumes q:  $\forall Z. \Gamma, \Theta \vdash_F (P'\ Z)\ \text{Call}\ q\ (Q'\ Z), (A'\ Z)$ 
shows  $\Gamma, \Theta \vdash_F P\ \text{dynCall}\ \text{init}\ p\ \text{return}\ \text{result}\ Q, A$ 
apply (rule HoarePartial.DynProcProcPar [OF - result q])
apply (insert adapt)
apply fast
done

```

lemma *conseq-exploit-pre'*:

```

 $\llbracket \forall s \in S. \Gamma, \Theta \vdash (\{s\} \cap P)\ c\ Q, A \rrbracket$ 
 $\implies$ 
 $\Gamma, \Theta \vdash (P \cap S)\ c\ Q, A$ 
apply (rule HoarePartialDef.Conseq)
apply clarify
by (metis IntI insertI1 subset-refl)

```

lemma *conseq-exploit-pre''*:

```

 $\llbracket \forall Z. \forall s \in S\ Z. \Gamma, \Theta \vdash (\{s\} \cap P\ Z)\ c\ (Q\ Z), (A\ Z) \rrbracket$ 
 $\implies$ 
 $\forall Z. \Gamma, \Theta \vdash (P\ Z \cap S\ Z)\ c\ (Q\ Z), (A\ Z)$ 

```

```

apply (rule allI)
apply (rule conseq-exploit-pre')
apply blast
done

lemma conseq-exploit-pre''':
  
$$\llbracket \forall s \in S. \forall Z. \Gamma, \Theta \vdash (\{s\} \cap P Z) \text{ c } (Q Z), (A Z) \rrbracket$$


$$\implies$$


$$\forall Z. \Gamma, \Theta \vdash (P Z \cap S) \text{ c } (Q Z), (A Z)$$

apply (rule allI)
apply (rule conseq-exploit-pre')
apply blast
done

procedures compare(i::nat, j::nat | r::bool) NoBody

print-locale! compare-signature

context compare-impl
begin
declare [[hoare-use-call-tr' = false]]
term 'r ::= CALL compare('i, 'j)
declare [[hoare-use-call-tr' = true]]
end

procedures
  LEQ (i::nat, j::nat | r::bool) 'r ::= 'i ≤ 'j
  LEQ-spec:  $\forall \sigma. \Gamma \vdash \{\sigma\} \text{ PROC } LEQ('i, 'j, 'r) \llbracket 'r = (\sigma_i \leq \sigma_j) \rrbracket$ 

  LEQ-modifies:  $\forall \sigma. \Gamma \vdash \{\sigma\} \text{ PROC } LEQ('i, 'j, 'r) \{t. t \text{ may-only-modify-globals } \sigma \text{ in } []\}$ 

definition mx:: ('a ⇒ 'a ⇒ bool) ⇒ 'a ⇒ 'a ⇒ 'a
  where mx leq a b = (if leq a b then a else b)

procedures (imports compare-signature)
  Max (compare::string, n::nat, m::nat | k::nat)
  where b::bool
  in
  'b ::= DYNCALL 'compare('n, 'm);;
  IF 'b THEN 'k ::= 'n ELSE 'k ::= 'm FI

```

$Max\text{-}spec: \bigwedge leq. \forall \sigma. \Gamma \vdash$
 $(\{\sigma\} \cap \{s. (\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r } := PROC \text{ }^scompare('i, 'j) \{ \text{ 'r } = (leq \text{ }^{\tau_i} \text{ }^{\tau_j}) \} \}) \wedge$
 $(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r } := PROC \text{ }^scompare('i, 'j) \{t. t \text{ may-only-modify-globals } \tau \text{ in } []\}))$
 $PROC Max('compare, 'n, 'm, 'k)$
 $\{ \text{ 'k } = mx \text{ leq } \sigma_n \sigma_m \}$

context *Max-spec*
begin
thm *Max-spec*
end
context *Max-impl*
begin
term 'b $:= DYNCALL \text{ 'compare}('n, 'm)$
declare $[[hoare\text{-}use\text{-}call\text{-}tr' = false]]$
term 'b $:= DYNCALL \text{ 'compare}('n, 'm)$
declare $[[hoare\text{-}use\text{-}call\text{-}tr' = true]]$
end

lemma (in *Max-impl*) *Max-spec1*:

shows

$\forall \sigma \text{ leq}. \Gamma \vdash$

$(\{\sigma\} \cap \{ (\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r } := PROC \text{ 'compare}('i, 'j) \{ \text{ 'r } = (leq \text{ }^{\tau_i} \text{ }^{\tau_j}) \}) \wedge$
 $(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r } := PROC \text{ 'compare}('i, 'j) \{t. t \text{ may-only-modify-globals } \tau \text{ in } []\}) \}$

$\text{ 'k } := PROC Max('compare, 'n, 'm)$

$\{ \text{ 'k } = mx \text{ leq } \sigma_n \sigma_m \}$

apply (*hoare-rule HoarePartial.ProcNoRec1*)

apply (*intro allI*)

apply (*rule conseq-exploit-pre'*)

apply (*rule*)

apply *clarify*

proof –

fix $\sigma :: ('a, 'b, 'c, 'd) \text{ stateSP-scheme}$ **and** $s :: ('a, 'b, 'c, 'd) \text{ stateSP-scheme}$ **and**
 leq

assume *compare-spec*:

$\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r } := PROC \text{ }^scompare('i, 'j) \{ \text{ 'r } = leq \text{ }^{\tau_i} \text{ }^{\tau_j} \}$

assume *compare-modifies*:

$\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r } := PROC \text{ }^scompare('i, 'j)$
 $\{t. t \text{ may-only-modify-globals } \tau \text{ in } []\}$

show $\Gamma \vdash (\{s\} \cap \{\sigma\})$

$\text{ 'b } := DYNCALL \text{ 'compare}('n, 'm);;$

IF 'b *THEN* 'k $:= 'n$ *ELSE* 'k $:= 'm$ *FI*

$\{ \text{ 'k } = mx \text{ leq } \sigma_n \sigma_m \}$

apply *vcg*

apply (*clarsimp simp add: mx-def*)
done
qed

lemma (*in Max-impl*) *Max-spec2*:

shows

$\forall \sigma \text{ leq. } \Gamma \vdash$

$(\{\sigma\} \cap \{\!(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r} ::= \text{PROC 'compare}('i, 'j) \{\!r = (\text{leq } \tau_i \tau_j)\}\!) \wedge$
 $(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r} ::= \text{PROC 'compare}('i, 'j) \{t. t \text{ may-only-modify-globals } \tau \text{ in}$
 $\{\!\}\}\!\})$

$k ::= \text{PROC Max}(\text{'compare}, 'n, 'm)$

$\{\!k = \text{mx leq } \sigma_n \sigma_m\!\}$

apply (*hoare-rule HoarePartial.ProcNoRec1*)

apply (*intro allI*)

apply (*rule conseq-exploit-pre'*)

apply (*rule*)

apply *clarify*

apply *vcg*

apply (*clarsimp simp add: mx-def*)

done

lemma (*in Max-impl*) *Max-spec3*:

shows

$\forall n \text{ m leq. } \Gamma \vdash$

$(\{\!n=n \wedge 'm=m\!\} \cap$
 $\{\!(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r} ::= \text{PROC 'compare}('i, 'j) \{\!r = (\text{leq } \tau_i \tau_j)\}\!) \wedge$
 $(\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r} ::= \text{PROC 'compare}('i, 'j) \{t. t \text{ may-only-modify-globals } \tau \text{ in}$
 $\{\!\}\}\!\})$

$k ::= \text{PROC Max}(\text{'compare}, 'n, 'm)$

$\{\!k = \text{mx leq } n \text{ m}\!\}$

apply (*hoare-rule HoarePartial.ProcNoRec1*)

apply (*intro allI*)

apply (*rule conseq-exploit-pre'*)

apply (*rule*)

apply *clarify*

apply *vcg*

apply (*clarsimp simp add: mx-def*)

done

lemma (*in Max-impl*) *Max-spec4*:

shows

$\forall n \text{ m leq. } \Gamma \vdash$

$(\{\!n=n \wedge 'm=m\!\} \cap \{\!\forall \tau. \Gamma \vdash \{\tau\} \text{ 'r} ::= \text{PROC 'compare}('i, 'j) \{\!r = (\text{leq } \tau_i \tau$
 $j)\}\!\})$

$k ::= \text{PROC Max}(\text{'compare}, 'n, 'm)$

$\{\!k = \text{mx leq } n \text{ m}\!\}$

apply (*hoare-rule HoarePartial.ProcNoRec1*)

apply (*intro allI*)

```

apply (rule conseq-exploit-pre')
apply (rule)
apply clarify
apply vcg
apply (clarsimp simp add: mx-def)
done

```

```

print-locale Max-spec

```

```

locale Max-test = Max-spec where
  i-'compare-' = i-'LEQ-' and
  j-'compare-' = j-'LEQ-' and
  r-'compare-' = r-'LEQ-'
  + LEQ-spec + LEQ-modifies

```

```

lemma (in Max-test)
shows
   $\Gamma \vdash \{\sigma\} \ k := CALL\ Max(LEQ-'proc, 'n, 'm) \ \{k = mx (\leq) \sigma_n \sigma_m\}$ 
proof –
  note Max-spec = Max-spec [where leq=( $\leq$ )]
  show ?thesis
    apply vcg
    apply (clarsimp)
    apply (rule conjI)
    apply (rule LEQ-spec)
    apply (rule LEQ-modifies)
    done
qed

```

```

lemma (in Max-impl) Max-spec5:
shows
 $\forall n\ m\ leq. \Gamma \vdash$ 
  ( $\{n=n \wedge m=m\} \cap \{\forall n'\ m'. \Gamma \vdash \{i=n' \wedge j=m'\} \ r := PROC\ 'compare('$ 
 $i, j) \ \{r = (leq\ n'\ m')\}\}$ )
   $k := PROC\ Max('compare, 'n, 'm)$ 
   $\{k = mx\ leq\ n\ m\}$ 
apply (hoare-rule HoarePartial.ProcNoRec1)
apply (intro allI)
apply (rule conseq-exploit-pre')
apply (rule)
apply clarify
apply vcg
apply clarsimp

```



```

apply (clarsimp simp add: mx-def)
done

```

```

lemma (in LEQ-impl)
  LEQ-spec:  $\forall n\ m. \Gamma \vdash \{\!| i=n \wedge j=m \!\!| \} \text{ PROC } LEQ(i, j, r) \{\!| r = (n \leq m) \!\!| \}$ 
  apply vcg
  apply simp
done

```

```

print-locale Max-impl
locale Max-test' = Max-impl where
  i-'compare-' = i-'LEQ-' and
  j-'compare-' = j-'LEQ-' and
  r-'compare-' = r-'LEQ-'
  + LEQ-impl
lemma (in Max-test')
  shows
     $\forall n\ m. \Gamma \vdash \{\!| n=n \wedge m=m \!\!| \} \text{ 'k} ::= \text{CALL Max(LEQ-'proc, 'n, 'm)} \{\!| k = mx (\leq) n\ m \!\!| \}$ 
  proof –
    note Max-spec = Max-spec5
    show ?thesis
    apply vcg
    apply (rule-tac x=( $\leq$ ) in exI)
    apply clarsimp
    apply (rule LEQ-spec [rule-format])
    done
qed

end

```

24 Experiments with Closures

```

theory Closure
imports ../Hoare
begin

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definition
  callClosure upd cl = Seq (Basic (upd (fst cl))) (Call (snd cl))

```

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definition
  dynCallClosure init upd cl return c =
    DynCom ( $\lambda s. \text{call } (\text{upd } (\text{fst } (cl\ s))) \circ \text{init}) (\text{snd } (cl\ s)) \text{ return } c$ )

```

lemma *dynCallClosure-sound*:

assumes *adapt*:

$$P \subseteq \{s. \exists P' Q' A'. \forall n. \Gamma, \Theta \models n: /_F P' (callClosure\ upd\ (cl\ s))\ Q', A' \wedge$$

$$init\ s \in P' \wedge$$

$$(\forall t \in Q'. return\ s\ t \in R\ s\ t) \wedge$$

$$(\forall t \in A'. return\ s\ t \in A)\}$$

assumes *res*: $\forall s\ t\ n. \Gamma, \Theta \models n: /_F (R\ s\ t)\ (c\ s\ t)\ Q, A$

shows

$\Gamma, \Theta \models n: /_F P\ (dynCallClosure\ init\ upd\ cl\ return\ c)\ Q, A$

proof (*rule cinvalidI*)

fix *s t*

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P\ Call\ p\ Q, A$

assume *exec*: $\Gamma \vdash \langle dynCallClosure\ init\ upd\ cl\ return\ c, Normal\ s \rangle = n \Rightarrow t$

from *execn.Basic* [**where** $f = (upd\ (fst\ (cl\ s)))$ **and** $s = (init\ s)$]

have *exec-upd*: $\Gamma \vdash \langle Basic\ (upd\ (fst\ (cl\ s))), Normal\ (init\ s) \rangle = n \Rightarrow$
 $Normal\ (((upd\ (fst\ (cl\ s))) \circ init)\ s)$

by *auto*

assume *P*: $s \in P$

from *P adapt* **obtain** $P' Q' A'$

where

valid: $\forall n. \Gamma, \Theta \models n: /_F P' (callClosure\ upd\ (cl\ s))\ Q', A'$ **and**

init-P': $init\ s \in P'$ **and**

R: $(\forall t \in Q'. return\ s\ t \in R\ s\ t)$ **and**

A: $(\forall t \in A'. return\ s\ t \in A)$

by *auto*

assume *t-notin-F*: $t \notin Fault\ 'F$

from *exec* [*simplified dynCallClosure-def*]

have *exec-call*:

$\Gamma \vdash \langle call\ (upd\ (fst\ (cl\ s)) \circ init)\ (snd\ (cl\ s))\ return\ c, Normal\ s \rangle = n \Rightarrow t$

by *cases*

then

show $t \in Normal\ 'Q \cup Abrupt\ 'A$

proof (*cases rule: execn-call-Normal-elim*)

fix *bdy m t'*

assume *bdy*: $\Gamma (snd\ (cl\ s)) = Some\ bdy$

assume *exec-body*: $\Gamma \vdash \langle bdy, Normal\ (((upd\ (fst\ (cl\ s))) \circ init)\ s) \rangle = m \Rightarrow Normal$

t'

assume *exec-c*: $\Gamma \vdash \langle c\ s\ t', Normal\ (return\ s\ t') \rangle = Suc\ m \Rightarrow t$

assume *n*: $n = Suc\ m$

have $\Gamma \vdash \langle Basic\ init, Normal\ s \rangle = m \Rightarrow Normal\ (init\ s)$

by (*rule execn.Basic*)

from *bdy exec-body*

have *exec-callC*:

$\Gamma \vdash \langle Call\ (snd\ (cl\ s)), Normal\ (((upd\ (fst\ (cl\ s))) \circ init)\ s) \rangle = Suc\ m \Rightarrow Normal$

t'

by (*rule execn.Call*)

```

from execn.Seq [OF exec-upd [simplified n] exec-callC]
have exec-closure:  $\Gamma \vdash \langle \text{callClosure } \text{upd } (cl\ s), \text{Normal } (init\ s) \rangle =_n \Rightarrow \text{Normal } t'$ 
  by (simp add: callClosure-def n)
from cnvalidD [OF valid [rule-format] ctxt exec-closure init-P]
have  $t' \in Q'$ 
  by auto
with R have  $\text{return } s\ t' \in R\ s\ t'$ 
  by auto
from cnvalidD [OF res [rule-format] ctxt exec-c [simplified n[symmetric]] this
  t-notin-F]
show ?thesis
  by auto
next
  fix bdy m t'
  assume bdy:  $\Gamma (snd\ (cl\ s)) = \text{Some } bdy$ 
  assume exec-body:  $\Gamma \vdash \langle bdy, \text{Normal } ((\text{upd } (fst\ (cl\ s)) \circ init)\ s) \rangle =_m \Rightarrow \text{Abrupt } t'$ 
  assume t:  $t = \text{Abrupt } (\text{return } s\ t')$ 
  assume n:  $n = \text{Suc } m$ 
  from bdy exec-body
  have exec-callC:
     $\Gamma \vdash \langle \text{Call } (snd\ (cl\ s)), \text{Normal } ((\text{upd } (fst\ (cl\ s)) \circ init)\ s) \rangle =_{\text{Suc } m} \Rightarrow \text{Abrupt } t'$ 
    by (rule execn.Call)
  from execn.Seq [OF exec-upd [simplified n] exec-callC]
  have exec-closure:  $\Gamma \vdash \langle \text{callClosure } \text{upd } (cl\ s), \text{Normal } (init\ s) \rangle =_n \Rightarrow \text{Abrupt } t'$ 
    by (simp add: callClosure-def n)

  from cnvalidD [OF valid [rule-format] ctxt exec-closure init-P]
  have  $t' \in A'$ 
    by auto
  with A have  $\text{return } s\ t' \in A$ 
    by auto
  with t show ?thesis
    by auto
next
  fix bdy m f
  assume bdy:  $\Gamma (snd\ (cl\ s)) = \text{Some } bdy$ 
  assume exec-body:  $\Gamma \vdash \langle bdy, \text{Normal } ((\text{upd } (fst\ (cl\ s)) \circ init)\ s) \rangle =_m \Rightarrow \text{Fault } f$ 
  assume t:  $t = \text{Fault } f$ 
  assume n:  $n = \text{Suc } m$ 
  from bdy exec-body
  have exec-callC:
     $\Gamma \vdash \langle \text{Call } (snd\ (cl\ s)), \text{Normal } ((\text{upd } (fst\ (cl\ s)) \circ init)\ s) \rangle =_{\text{Suc } m} \Rightarrow \text{Fault } f$ 
    by (rule execn.Call)
  from execn.Seq [OF exec-upd [simplified n] exec-callC]
  have exec-closure:  $\Gamma \vdash \langle \text{callClosure } \text{upd } (cl\ s), \text{Normal } (init\ s) \rangle =_n \Rightarrow \text{Fault } f$ 
    by (simp add: callClosure-def n)
  from cnvalidD [OF valid [rule-format] ctxt exec-closure init-P] t-notin-F t
  have False

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```

    by auto
    thus ?thesis ..
next
  fix bdy m
  assume bdy:  $\Gamma (snd (cl s)) = Some\ bdy$ 
  assume exec-body:  $\Gamma \vdash \langle bdy, Normal ((upd (fst (cl s)) \circ init) s) \rangle = m \Rightarrow Stuck$ 
  assume t:  $t = Stuck$ 
  assume n:  $n = Suc\ m$ 
  from execn.Basic [where  $f = (upd (fst (cl s)))$  and  $s = (init\ s)$ ]
  have exec-upd:  $\Gamma \vdash \langle Basic (upd (fst (cl s))), Normal (init\ s) \rangle = Suc\ m \Rightarrow$ 
     $Normal (((upd (fst (cl s))) \circ init) s)$ 
    by auto
  from bdy exec-body
  have exec-callC:
     $\Gamma \vdash \langle Call (snd (cl s)), Normal ((upd (fst (cl s)) \circ init) s) \rangle = Suc\ m \Rightarrow Stuck$ 
    by (rule execn.Call)
  from execn.Seq [OF exec-upd [simplified n] exec-callC]
  have exec-closure:  $\Gamma \vdash \langle callClosure\ upd (cl\ s), Normal (init\ s) \rangle = n \Rightarrow Stuck$ 
    by (simp add: callClosure-def n)
  from cvalidD [OF valid [rule-format] ctxt exec-closure init-P†] t-notin-F t
  have False
    by auto
  thus ?thesis ..
next
  fix m
  assume no-bdy:  $\Gamma (snd (cl s)) = None$ 
  assume t:  $t = Stuck$ 
  assume n:  $n = Suc\ m$ 
  from no-bdy
  have exec-callC:
     $\Gamma \vdash \langle Call (snd (cl s)), Normal ((upd (fst (cl s)) \circ init) s) \rangle = Suc\ m \Rightarrow Stuck$ 
    by (rule execn.CallUndefined)
  from execn.Seq [OF exec-upd [simplified n] exec-callC]
  have exec-closure:  $\Gamma \vdash \langle callClosure\ upd (cl\ s), Normal (init\ s) \rangle = n \Rightarrow Stuck$ 
    by (simp add: callClosure-def n)
  from cvalidD [OF valid [rule-format] ctxt exec-closure init-P†] t-notin-F t
  have False
    by auto
  thus ?thesis ..
qed
qed

```

lemma *dynCallClosure*:

assumes *adapt*: $P \subseteq \{s. \exists P' Q' A'. \Gamma, \Theta \vdash_F P' (callClosure\ upd (cl\ s))\ Q', A' \wedge$
 $init\ s \in P' \wedge$
 $(\forall t \in Q'. return\ s\ t \in R\ s\ t) \wedge$
 $(\forall t \in A'. return\ s\ t \in A)\}$
assumes *res*: $\forall s\ t. \Gamma, \Theta \vdash_F (R\ s\ t) (c\ s\ t)\ Q, A$

shows
 $\Gamma, \Theta \vdash_F P \text{ (dynCallClosure init upd cl return c) } Q, A$
apply (rule hoare-complete')
apply (rule allI)
apply (rule dynCallClosure-sound [where $R=R$])
using adapt
apply (blast intro: hoare-cnvalid)
using res
apply (blast intro: hoare-cnvalid)
done

lemma in-subsetD: $\llbracket P \subseteq P'; x \in P \rrbracket \implies x \in P'$
by blast

lemma dynCallClosureFix:
assumes adapt: $P \subseteq \{s. \exists Z. cl'=cl \ s \wedge$
 $\quad \text{init } s \in P' \ Z \wedge$
 $\quad (\forall t \in Q' \ Z. \text{return } s \ t \in R \ s \ t) \wedge$
 $\quad (\forall t \in A' \ Z. \text{return } s \ t \in A)\}$
assumes res: $\forall s \ t. \Gamma, \Theta \vdash_F (R \ s \ t) \ (c \ s \ t) \ Q, A$
assumes spec: $\forall Z. \Gamma, \Theta \vdash_F (P' \ Z) \ (\text{callClosure upd cl}') \ (Q' \ Z), (A' \ Z)$
shows
 $\Gamma, \Theta \vdash_F P \text{ (dynCallClosure init upd cl return c) } Q, A$
apply (rule dynCallClosure [OF - res])
using adapt spec
apply clarsimp
apply (drule (1) in-subsetD)
apply clarsimp
apply (rule-tac $x=P' \ Z$ in exI)
apply (rule-tac $x=Q' \ Z$ in exI)
apply (rule-tac $x=A' \ Z$ in exI)
apply blast
done

lemma conseq-extract-pre:
 $\llbracket \forall s \in P. \Gamma, \Theta \vdash_F (\{s\}) \ c \ Q, A \rrbracket$
 \implies
 $\Gamma, \Theta \vdash_F P \ c \ Q, A$
apply (rule hoarep.Conseq)
apply clarify
apply (rule-tac $x=\{s\}$ in exI)
apply (rule-tac $x=Q$ in exI)
apply (rule-tac $x=A$ in exI)
by simp

lemma *app-closure-sound*:

assumes *adapt*: $P \subseteq \{s. \exists P' Q' A'. \forall n. \Gamma, \Theta \models n: /_F P' (callClosure\ upd\ (e', p))\}$

$Q', A' \wedge$

$upd\ x\ s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A\}$

assumes *ap*: $upd\ e = upd\ e' \circ upd\ x$

shows $\Gamma, \Theta \models n: /_F P\ (callClosure\ upd\ (e, p))\ Q, A$

proof (*rule cinvalidI*)

fix $s\ t$

assume *ctxt*: $\forall (P, p, Q, A) \in \Theta. \Gamma \models n: /_F P\ Call\ p\ Q, A$

assume *exec-e*: $\Gamma \vdash \langle callClosure\ upd\ (e, p), Normal\ s \rangle = n \Rightarrow t$

assume *P*: $s \in P$

assume *t*: $t \notin Fault\ 'F$

from *P adapt* **obtain** $P' Q' A'$

where

valid: $\forall n. \Gamma, \Theta \models n: /_F P' (callClosure\ upd\ (e', p))\ Q', A'$ **and**

init-P': $upd\ x\ s \in P'$ **and**

Q: $Q' \subseteq Q$ **and**

A: $A' \subseteq A$

by *auto*

from *exec-e* [*simplified callClosure-def*] **obtain** s'

where

exec-e: $\Gamma \vdash \langle Basic\ (upd\ (fst\ (e, p))), Normal\ s \rangle = n \Rightarrow s'$ **and**

exec-p: $\Gamma \vdash \langle Call\ (snd\ (e, p)), s' \rangle = n \Rightarrow t$

by *cases*

from *exec-e* [*simplified*]

have $s': s' = Normal\ (upd\ e\ s)$

by *cases simp*

from *ap* **obtain** s'' **where**

$s'': upd\ x\ s = s''$ **and** $upd\ e': upd\ e'\ s'' = upd\ e\ s$

by *auto*

from *ap s' execn.Basic* [**where** $f = (upd\ (fst\ (e', p)))$ **and** $s = upd\ x\ s$ **and** $\Gamma = \Gamma$]

have *exec-e'*: $\Gamma \vdash \langle Basic\ (upd\ (fst\ (e', p))), Normal\ (upd\ x\ s) \rangle = n \Rightarrow s'$

by *simp*

with *exec-p*

have $\Gamma \vdash \langle callClosure\ upd\ (e', p), Normal\ (upd\ x\ s) \rangle = n \Rightarrow t$

by (*auto simp add: callClosure-def intro: execn.Seq*)

from *cinvalidD* [*OF valid* [*rule-format*] *ctxt this init-P'*] $t\ Q\ A$

show $t \in Normal\ 'Q \cup Abrupt\ 'A$

by *auto*

qed

lemma *app-closure*:

assumes *adapt*: $P \subseteq \{s. \exists P' Q' A'. \Gamma, \Theta \vdash /_F P' (callClosure\ upd\ (e', p))\}\ Q', A'$
 \wedge

$upd\ x\ s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A\}$

assumes *ap*: $upd\ e = upd\ e' \circ upd\ x$

shows $\Gamma, \Theta \vdash /_F P\ (callClosure\ upd\ (e, p))\ Q, A$

apply (*rule hoare-complete'*)

```

apply (rule allI)
apply (rule app-closure-sound [where  $x=x$  and  $e'=e'$ ,  $OF - ap$ ])
using adapt
apply (blast intro: hoare-cnvalid)
done

```

```

lemma app-closure-spec:
  assumes adapt:  $P \subseteq \{s. \exists Z. \text{upd } x \ s \in P' \ Z \wedge Q' \ Z \subseteq Q \wedge A' \ Z \subseteq A\}$ 
  assumes ap:  $\text{upd } e = \text{upd } e' \circ \text{upd } x$ 
  assumes spec:  $\forall Z. \Gamma, \Theta \vdash_F (P' \ Z) (\text{callClosure } \text{upd } (e', p)) (Q' \ Z), (A' \ Z)$ 
  shows  $\Gamma, \Theta \vdash_F P (\text{callClosure } \text{upd } (e, p)) \ Q, A$ 
  apply (rule app-closure [OF - ap])
  apply clarsimp
  using adapt spec
  apply –
  apply (drule (1) in-subsetD)
  apply clarsimp
  apply (rule-tac  $x=P' \ Z$  in exI)
  apply (rule-tac  $x=Q' \ Z$  in exI)
  apply (rule-tac  $x=A' \ Z$  in exI)
  apply blast
  done

```

Implementation of closures as association lists.

definition $\text{gen-upd } \text{var } es \ s = \text{foldl } (\lambda s \ (x, i). \text{the } (\text{var } x) \ i \ s) \ s \ es$

definition $\text{ap } es \ c \equiv (es @ \text{fst } c, \text{snd } c)$

lemma gen-upd-app : $\bigwedge es'. \text{gen-upd } \text{var } (es @ es') = \text{gen-upd } \text{var } es' \circ \text{gen-upd } \text{var } es$

```

  apply (induct es)
  apply (rule ext)
  apply (simp add: gen-upd-def)
  apply (rule ext)
  apply (simp add: gen-upd-def)
  done

```

lemma gen-upd-ap :
 $\text{gen-upd } \text{var } (\text{fst } (\text{ap } es \ (es', p))) = \text{gen-upd } \text{var } es' \circ \text{gen-upd } \text{var } es$
by (simp add: gen-upd-app ap-def)

lemma ap-closure :
assumes adapt: $P \subseteq \{s. \exists P' \ Q' \ A'. \Gamma, \Theta \vdash_F P' (\text{callClosure } (\text{gen-upd } \text{var}) \ c) \ Q', A' \wedge$

$$\text{gen-upd } \text{var } es \ s \in P' \wedge Q' \subseteq Q \wedge A' \subseteq A\}$$

shows $\Gamma, \Theta \vdash_F P (\text{callClosure } (\text{gen-upd } \text{var}) (\text{ap } es \ c)) \ Q, A$

proof –

obtain $es' \ p$ **where** $c: c=(es', p)$

by (cases c)

have $\text{gen-upd } \text{var } (\text{fst } (\text{ap } es \ (es', p))) = \text{gen-upd } \text{var } es' \circ \text{gen-upd } \text{var } es$

```

    by (simp add: gen-upd-ap)
  from app-closure [OF adapt [simplified c] this]
  show ?thesis
    by (simp add: c ap-def)
qed

```

lemma *ap-closure-spec*:

```

  assumes adapt:  $P \subseteq \{s. \exists Z. \text{gen-upd var es } s \in P' Z \wedge Q' Z \subseteq Q \wedge A' Z \subseteq A\}$ 
  assumes spec:  $\forall Z. \Gamma, \Theta \vdash_F (P' Z) (\text{callClosure } (\text{gen-upd var}) c) (Q' Z), (A' Z)$ 
  shows  $\Gamma, \Theta \vdash_F P (\text{callClosure } (\text{gen-upd var}) (\text{ap es } c)) Q, A$ 
proof -
  obtain  $es' p$  where  $c = (es', p)$ 
    by (cases c)
  have  $\text{gen-upd var } (\text{fst } (\text{ap es } (es', p))) = \text{gen-upd var } es' \circ \text{gen-upd var } es$ 
    by (simp add: gen-upd-ap)
  from app-closure-spec [OF adapt [simplified c] this spec [simplified c]]
  show ?thesis
    by (simp add: c ap-def)
qed
end

```

```

theory ClosureEx
imports ../Vcg ../Simpl-Heap Closure
begin

```

```

record globals =
  cnt-' :: ref  $\Rightarrow$  nat
  alloc-' :: ref list
  free-' :: nat
record 'g vars = 'g state +
  p-' :: ref
  r-' :: nat
  n-' :: nat
  m-' :: nat
  c-' :: (string  $\times$  ref) list  $\times$  string
  d-' :: (string  $\times$  ref) list  $\times$  string
  e-' :: (string  $\times$  nat) list  $\times$  string

```

```

definition  $var_n = [''n'' \mapsto (\lambda x. n\text{'-update } (\lambda \cdot. x)),$ 
   $''m'' \mapsto (\lambda x. m\text{'-update } (\lambda \cdot. x))]$ 

```

```

definition  $upd_n = \text{gen-upd } var_n$ 

```


lemma *upd_n-ap*: $\text{upd}_n (\text{fst } (\text{ap } es (es', p))) = \text{upd}_n es' \circ \text{upd}_n es$
by (*simp add: upd_n-def gen-upd-ap*)

lemma
 $\Gamma \vdash \{ \{ n = n_0 \wedge (\forall i j. \Gamma \vdash \{ n = i \wedge m = j \} \text{ callClosure } \text{upd}_n 'e \{ r = i + j \}) \} \}$
 $\quad 'e := (\text{ap } [('n'', 'n)] 'e)$
 $\quad \{ \forall j. \Gamma \vdash \{ m = j \} \text{ callClosure } \text{upd}_n 'e \{ r = n_0 + j \} \}$
apply *vcg-step*
apply *clarify*
apply (*rule ap-closure* [**where** *var = var_n, folded upd_n-def*])
apply *clarsimp*
apply (*rename-tac s s'*)
apply (*erule-tac x = n-' s in allE*)
apply (*erule-tac x = m-' s' in allE*)
apply (*rule exI*)
apply (*rule exI*)
apply (*rule conjI*)
apply (*assumption*)
apply (*simp add: upd_n-def gen-upd-def var_n-def*)
done

definition *var* = $['p'' \mapsto (\lambda x. p\text{'-update } (\lambda -. x))]$
definition *upd* = *gen-upd var*

procedures *Inc*(*p*|*r*) =
 $'p \rightarrow 'cnt ::= 'p \rightarrow 'cnt + 1;;$
 $'r ::= 'p \rightarrow 'cnt$

lemma (**in** *Inc-impl*)
 $\forall i p. \Gamma \vdash \{ p \rightarrow 'cnt = i \} 'r ::= \text{PROC } \text{Inc}('p) \{ r = i + 1 \wedge p \rightarrow 'cnt = i + 1 \}$
apply *vcg*
apply *simp*
done

procedures (**imports** *Inc-signature*) *NewCounter*(|*c*) =
 $'p ::= \text{NEW } 1 ['cnt ::= 0];;$
 $'c ::= ([('p'', 'p)], \text{Inc-'proc})$

locale *NewCounter-impl'* = *NewCounter-impl* + *Inc-impl*

lemma (**in** *NewCounter-impl'*)

shows

$\forall \text{alloc}. \Gamma \vdash \{ 1 \leq 'free \} 'c ::= \text{PROC } \text{NewCounter}()$
 $\quad \{ \exists p. p \rightarrow 'cnt = 0 \wedge$
 $\quad (\forall i. \Gamma \vdash \{ p \rightarrow 'cnt = i \} \text{ callClosure } \text{upd } 'c \{ r = i + 1 \wedge p \rightarrow 'cnt = i + 1 \}) \}$

apply *vcg*

```

apply simp
apply (rule-tac  $x = \text{new } (\text{set } \text{alloc})$  in  $exI$ )
apply simp
apply (simp add: callClosure-def)
apply vcg-step
apply vcg-step
apply vcg-step
apply vcg-step
apply (simp add: upd-def var-def gen-upd-def)
done

```

lemma (**in** *NewCounter-impl'*)

shows

$$\begin{aligned} & \forall \text{alloc}. \Gamma \vdash \llbracket 1 \leq 'free \rrbracket 'c ::= \text{PROC } \text{NewCounter}() \\ & \quad \llbracket \exists p. p \rightarrow 'cnt = 0 \wedge \\ & \quad (\forall i. \Gamma \vdash \llbracket p \rightarrow 'cnt = i \rrbracket \text{callClosure } \text{upd } 'c \llbracket 'r = i + 1 \wedge p \rightarrow 'cnt = i + 1 \rrbracket) \rrbracket \end{aligned}$$

```

apply vcg
apply simp
apply (rule-tac  $x = \text{new } (\text{set } \text{alloc})$  in  $exI$ )
apply simp
apply (simp add: callClosure-def)
apply vcg-step
apply vcg-step
apply vcg-step
apply vcg-step
apply (simp add: upd-def var-def gen-upd-def)
done

```

lemma (**in** *NewCounter-impl'*)

shows *NewCounter-spec*:

$$\begin{aligned} & \forall \text{alloc}. \Gamma \vdash \llbracket 1 \leq 'free \wedge 'alloc = \text{alloc} \rrbracket 'c ::= \text{PROC } \text{NewCounter}() \\ & \quad \llbracket \exists p. p \notin \text{set } \text{alloc} \wedge p \in \text{set } 'alloc \wedge p \neq \text{Null} \wedge p \rightarrow 'cnt = 0 \wedge \\ & \quad (\forall i. \Gamma \vdash \llbracket p \rightarrow 'cnt = i \rrbracket \text{callClosure } \text{upd } 'c \llbracket 'r = i + 1 \wedge p \rightarrow 'cnt = i + 1 \rrbracket) \rrbracket \end{aligned}$$

```

apply vcg
apply clarsimp
apply (rule-tac  $x = \text{new } (\text{set } \text{alloc})$  in  $exI$ )
apply simp
apply (simp add: callClosure-def)
apply vcg-step
apply vcg-step
apply vcg-step
apply vcg-step
apply (simp add: upd-def var-def gen-upd-def)
done

```

lemma $\Gamma \vdash \llbracket \exists p. p \neq \text{Null} \wedge p \rightarrow 'cnt = i \wedge$

```

      (∀ i. Γ ⊢ {p → 'cnt = i} callClosure upd 'c { 'r=i+1 ∧ p → 'cnt = i+1 })
      dynCallClosure (λs. s) upd c-' (λs t. s(globals := globals t))
      (λs t. Basic (λu. u(r-' := r-' t)))
    { 'r=i+1 }
  apply (rule conseq-extract-pre)
  apply clarify
  apply (rule dynCallClosureFix)
  apply (simp only: Ball-def)
  prefer 3
  apply (assumption)
  prefer 2
  apply vcg-step
  apply vcg-step
  apply (simp only: simp-thms)
  apply clarsimp
  done

```

```

lemma (in NewCounter-impl')
  shows Γ ⊢ {1 ≤ 'free}
    'c := CALL NewCounter ();
    dynCallClosure (λs. s) upd c-' (λs t. s(globals := globals t))
    (λs t. Basic (λu. u(r-' := r-' t)))
    { 'r=1 }
  apply vcg-step
  apply (rule dynCallClosure)
  prefer 2
  apply vcg-step
  apply vcg-step
  apply vcg-step
  apply clarsimp
  apply (erule-tac x=0 in allE)
  apply (rule exI)
  apply (rule exI)
  apply (rule conjI)
  apply (assumption)
  apply simp
  done

```

```

lemma (in NewCounter-impl')
  shows Γ ⊢ {1 ≤ 'free}
    'c := CALL NewCounter ();
    dynCallClosure (λs. s) upd c-' (λs t. s(globals := globals t))
    (λs t. Basic (λu. u(r-' := r-' t)));
    dynCallClosure (λs. s) upd c-' (λs t. s(globals := globals t))
    (λs t. Basic (λu. u(r-' := r-' t)))
    { 'r=2 }
  apply vcg-step
  apply (rule dynCallClosure)

```

```

prefer 2
apply vcg-step
apply vcg-step
apply vcg-step
apply (rule dynCallClosure)
apply vcg-step
apply vcg-step
apply vcg-step

apply clarsimp
apply (subgoal-tac  $\Gamma \vdash \{p \rightarrow 'cnt = 0\}$  callClosure upd (c-' t)  $\{ 'r = Suc\ 0 \wedge p \rightarrow 'cnt = Suc\ 0 \}$ )
apply (rule exI)
apply (rule exI)
apply (rule conjI)
apply assumption
apply clarsimp
apply (erule-tac x=1 in allE)
apply (rule exI)
apply (rule exI)
apply (rule conjI)
apply assumption
apply clarsimp
apply (erule allE)
apply assumption
done

lemma (in NewCounter-impl')
shows  $\Gamma \vdash \{1 \leq 'free\}$ 
   $'c ::= CALL\ NewCounter\ ();;$ 
   $'d ::= 'c;;$ 
   $dynCallClosure\ (\lambda s. s)\ upd\ c-' (\lambda s\ t. s(\{globals := globals\ t\}))$ 
     $(\lambda s\ t. Basic\ (\lambda u. u(\{n-' := r-' t\})));;$ 
   $dynCallClosure\ (\lambda s. s)\ upd\ d-' (\lambda s\ t. s(\{globals := globals\ t\}))$ 
     $(\lambda s\ t. Basic\ (\lambda u. u(\{m-' := r-' t\})));;$ 
   $'r ::= 'n + 'm$ 
   $\{ 'r=3 \}$ 

apply vcg-step
apply vcg-step
apply (rule dynCallClosure)
prefer 2
apply vcg-step
apply vcg-step
apply vcg-step
apply (rule dynCallClosure)
apply vcg-step
apply vcg-step

```

```

apply vcg-step
apply vcg-step
apply clarsimp
apply (subgoal-tac  $\Gamma \vdash \llbracket p \rightarrow 'cnt = 0 \rrbracket$  callClosure upd (c-' t)  $\llbracket 'r = Suc\ 0 \wedge p \rightarrow 'cnt = Suc\ 0 \rrbracket$ )
apply (rule exI)
apply (rule exI)
apply (rule conjI)
apply assumption
apply clarsimp
apply (erule-tac x=1 in allE)
apply (rule exI)
apply (rule exI)
apply (rule conjI)
apply assumption
apply clarsimp
apply (erule allE)
apply assumption
done

end

```

25 Experiments on State Composition

theory *Compose* **imports** *../HoareTotalProps* **begin**

We develop some theory to support state-space modular development of programs. These experiments aim at the representation of state-spaces with records. If we use *statespaces* instead we get this kind of compositionality for free.

25.1 Changing the State-Space

definition *lift_f*:: $('S \Rightarrow 's) \Rightarrow ('S \Rightarrow 's \Rightarrow 'S) \Rightarrow ('s \Rightarrow 's) \Rightarrow ('S \Rightarrow 'S)$
where *lift_f prj inject f* = $(\lambda S. \text{inject } S (f (\text{prj } S)))$

definition *lift_s*:: $('S \Rightarrow 's) \Rightarrow 's \text{ set} \Rightarrow 'S \text{ set}$
where *lift_s prj A* = $\{S. \text{prj } S \in A\}$

definition *lift_r*:: $('S \Rightarrow 's) \Rightarrow ('S \Rightarrow 's \Rightarrow 'S) \Rightarrow ('s \times 's) \text{ set} \Rightarrow ('S \times 'S) \text{ set}$

where

lift_r prj inject R = $\{(S, T). (\text{prj } S, \text{prj } T) \in R \wedge T = \text{inject } S (\text{prj } T)\}$

primrec *lift_c*:: $('S \Rightarrow 's) \Rightarrow ('S \Rightarrow 's \Rightarrow 'S) \Rightarrow ('s, 'p, 'f) \text{ com} \Rightarrow ('S, 'p, 'f) \text{ com}$
where
lift_c prj inject Skip = *Skip* |

$$\begin{aligned}
& \text{lift}_c \text{ prj inject } (\text{Basic } f) = \text{Basic } (\text{lift}_f \text{ prj inject } f) \mid \\
& \text{lift}_c \text{ prj inject } (\text{Spec } r) = \text{Spec } (\text{lift}_r \text{ prj inject } r) \mid \\
& \text{lift}_c \text{ prj inject } (\text{Seq } c_1 \ c_2) = \\
& \quad (\text{Seq } (\text{lift}_c \text{ prj inject } c_1) (\text{lift}_c \text{ prj inject } c_2)) \mid \\
& \text{lift}_c \text{ prj inject } (\text{Cond } b \ c_1 \ c_2) = \\
& \quad \text{Cond } (\text{lift}_s \text{ prj } b) (\text{lift}_c \text{ prj inject } c_1) (\text{lift}_c \text{ prj inject } c_2) \mid \\
& \text{lift}_c \text{ prj inject } (\text{While } b \ c) = \\
& \quad \text{While } (\text{lift}_s \text{ prj } b) (\text{lift}_c \text{ prj inject } c) \mid \\
& \text{lift}_c \text{ prj inject } (\text{Call } p) = \text{Call } p \mid \\
& \text{lift}_c \text{ prj inject } (\text{DynCom } c) = \text{DynCom } (\lambda s. \text{lift}_c \text{ prj inject } (c \ (\text{prj } s))) \mid \\
& \text{lift}_c \text{ prj inject } (\text{Guard } f \ g \ c) = \text{Guard } f \ (\text{lift}_s \text{ prj } g) (\text{lift}_c \text{ prj inject } c) \mid \\
& \text{lift}_c \text{ prj inject } \text{Throw} = \text{Throw} \mid \\
& \text{lift}_c \text{ prj inject } (\text{Catch } c_1 \ c_2) = \\
& \quad \text{Catch } (\text{lift}_c \text{ prj inject } c_1) (\text{lift}_c \text{ prj inject } c_2)
\end{aligned}$$

lemma *lift_c-Skip*: $(\text{lift}_c \text{ prj inject } c = \text{Skip}) = (c = \text{Skip})$
by (cases c) auto

lemma *lift_c-Basic*:
 $(\text{lift}_c \text{ prj inject } c = \text{Basic } lf) = (\exists f. c = \text{Basic } f \wedge lf = \text{lift}_f \text{ prj inject } f)$
by (cases c) auto

lemma *lift_c-Spec*:
 $(\text{lift}_c \text{ prj inject } c = \text{Spec } lr) = (\exists r. c = \text{Spec } r \wedge lr = \text{lift}_r \text{ prj inject } r)$
by (cases c) auto

lemma *lift_c-Seq*:
 $(\text{lift}_c \text{ prj inject } c = \text{Seq } lc_1 \ lc_2) =$
 $(\exists \ c_1 \ c_2. c = \text{Seq } c_1 \ c_2 \wedge$
 $\quad lc_1 = \text{lift}_c \text{ prj inject } c_1 \wedge lc_2 = \text{lift}_c \text{ prj inject } c_2)$
by (cases c) auto

lemma *lift_c-Cond*:
 $(\text{lift}_c \text{ prj inject } c = \text{Cond } lb \ lc_1 \ lc_2) =$
 $(\exists \ b \ c_1 \ c_2. c = \text{Cond } b \ c_1 \ c_2 \wedge lb = \text{lift}_s \text{ prj } b \wedge$
 $\quad lc_1 = \text{lift}_c \text{ prj inject } c_1 \wedge lc_2 = \text{lift}_c \text{ prj inject } c_2)$
by (cases c) auto

lemma *lift_c-While*:
 $(\text{lift}_c \text{ prj inject } c = \text{While } lb \ lc') =$
 $(\exists \ b \ c'. c = \text{While } b \ c' \wedge lb = \text{lift}_s \text{ prj } b \wedge$
 $\quad lc' = \text{lift}_c \text{ prj inject } c')$
by (cases c) auto

lemma *lift_c-Call*:
 $(\text{lift}_c \text{ prj inject } c = \text{Call } p) = (c = \text{Call } p)$
by (cases c) auto

lemma *lift_c-DynCom*:
 $(\text{lift}_c \text{ prj inject } c = \text{DynCom } lc) =$
 $(\exists C. c = \text{DynCom } C \wedge lc = (\lambda s. \text{lift}_c \text{ prj inject } (C (\text{prj } s))))$
by (cases c) auto

lemma *lift_c-Guard*:
 $(\text{lift}_c \text{ prj inject } c = \text{Guard } f \text{ lg } lc') =$
 $(\exists g \ c'. c = \text{Guard } f \ g \ c' \wedge \text{lg} = \text{lift}_s \text{ prj } g \wedge$
 $lc' = \text{lift}_c \text{ prj inject } c')$
by (cases c) auto

lemma *lift_c-Throw*:
 $(\text{lift}_c \text{ prj inject } c = \text{Throw}) = (c = \text{Throw})$
by (cases c) auto

lemma *lift_c-Catch*:
 $(\text{lift}_c \text{ prj inject } c = \text{Catch } lc_1 \ lc_2) =$
 $(\exists c_1 \ c_2. c = \text{Catch } c_1 \ c_2 \wedge$
 $lc_1 = \text{lift}_c \text{ prj inject } c_1 \wedge lc_2 = \text{lift}_c \text{ prj inject } c_2)$
by (cases c) auto

definition *xstate-map*:: ('S \Rightarrow 's) \Rightarrow ('S,'f) *xstate* \Rightarrow ('s,'f) *xstate*
where

xstate-map g x = (case x of
 $\text{Normal } s \Rightarrow \text{Normal } (g \ s)$
 $\mid \text{Abrupt } s \Rightarrow \text{Abrupt } (g \ s)$
 $\mid \text{Fault } f \Rightarrow \text{Fault } f$
 $\mid \text{Stuck} \Rightarrow \text{Stuck})$

lemma *xstate-map-simps* [simp]:
 $\text{xstate-map } g (\text{Normal } s) = \text{Normal } (g \ s)$
 $\text{xstate-map } g (\text{Abrupt } s) = \text{Abrupt } (g \ s)$
 $\text{xstate-map } g (\text{Fault } f) = (\text{Fault } f)$
 $\text{xstate-map } g \text{ Stuck} = \text{Stuck}$
by (auto simp add: xstate-map-def)

lemma *xstate-map-Normal-conv*:
 $\text{xstate-map } g \ S = \text{Normal } s = (\exists s'. S = \text{Normal } s' \wedge s = g \ s')$
by (cases S) auto

lemma *xstate-map-Abrupt-conv*:
 $\text{xstate-map } g \ S = \text{Abrupt } s = (\exists s'. S = \text{Abrupt } s' \wedge s = g \ s')$
by (cases S) auto

lemma *xstate-map-Fault-conv*:
 $\text{xstate-map } g \ S = \text{Fault } f = (S = \text{Fault } f)$

```

by (cases S) auto

lemma xstate-map-Stuck-conv:
  xstate-map g S = Stuck = (S=Stuck)
by (cases S) auto

lemmas xstate-map-convs = xstate-map-Normal-conv xstate-map-Abrupt-conv
xstate-map-Fault-conv xstate-map-Stuck-conv

definition state:: ('s,'f) xstate  $\Rightarrow$  's
where
state x = (case x of
  Normal s  $\Rightarrow$  s
| Abrupt s  $\Rightarrow$  s
| Fault g  $\Rightarrow$  undefined
| Stuck  $\Rightarrow$  undefined)

lemma state-simps [simp]:
state (Normal s) = s
state (Abrupt s) = s
by (auto simp add: state-def )

locale lift-state-space =
  fixes project:: 'S  $\Rightarrow$  's
  fixes inject:: 'S  $\Rightarrow$  's  $\Rightarrow$  'S
  fixes projectx::('S,'f) xstate  $\Rightarrow$  ('s,'f) xstate
  fixes lifte::('s,'p,'f) body  $\Rightarrow$  ('S,'p,'f) body
  fixes liftc:: ('s,'p,'f) com  $\Rightarrow$  ('S,'p,'f) com
  fixes liftf:: ('s  $\Rightarrow$  's)  $\Rightarrow$  ('S  $\Rightarrow$  'S)
  fixes lifts:: 's set  $\Rightarrow$  'S set
  fixes liftr:: ('s  $\times$  's) set  $\Rightarrow$  ('S  $\times$  'S) set
  assumes proj-inj-commute:  $\bigwedge S s. \text{project } (\text{inject } S s) = s$ 
  defines liftc  $\equiv$  Compose.liftc project inject
  defines projectx  $\equiv$  xstate-map project
  defines lifte  $\equiv$  ( $\lambda \Gamma p. \text{map-option lift}_c (\Gamma p)$ )
  defines liftf  $\equiv$  Compose.liftf project inject
  defines lifts  $\equiv$  Compose.lifts project
  defines liftr  $\equiv$  Compose.liftr project inject

lemma (in lift-state-space) liftf-simp:
liftf f  $\equiv$   $\lambda S. \text{inject } S (f (\text{project } S))$ 
by (simp add: liftf-def Compose.liftf-def)

lemma (in lift-state-space) lifts-simp:
lifts A  $\equiv$  {S. project S  $\in$  A}
by (simp add: lifts-def Compose.lifts-def)

```


lemma (in *lift-state-space*) *lift_r-simp*:
lift_r *R* $\equiv \{(S, T). (\text{project } S, \text{project } T) \in R \wedge T = \text{inject } S (\text{project } T)\}$
by (*simp add: lift_r-def Compose.lift_r-def*)

lemma (in *lift-state-space*) *lift_c-Skip-simp* [*simp*]:
lift_c *Skip* = *Skip*
by (*simp add: lift_c-def*)

lemma (in *lift-state-space*) *lift_c-Basic-simp* [*simp*]:
lift_c (*Basic f*) = *Basic* (*lift_f* *f*)
by (*simp add: lift_c-def lift_f-def*)

lemma (in *lift-state-space*) *lift_c-Spec-simp* [*simp*]:
lift_c (*Spec r*) = *Spec* (*lift_r* *r*)
by (*simp add: lift_c-def lift_r-def*)

lemma (in *lift-state-space*) *lift_c-Seq-simp* [*simp*]:
lift_c (*Seq c₁ c₂*) =
Seq (*lift_c* *c₁*) (*lift_c* *c₂*)
by (*simp add: lift_c-def*)

lemma (in *lift-state-space*) *lift_c-Cond-simp* [*simp*]:
lift_c (*Cond b c₁ c₂*) =
Cond (*lift_s* *b*) (*lift_c* *c₁*) (*lift_c* *c₂*)
by (*simp add: lift_c-def lift_s-def*)

lemma (in *lift-state-space*) *lift_c-While-simp* [*simp*]:
lift_c (*While b c*) =
While (*lift_s* *b*) (*lift_c* *c*)
by (*simp add: lift_c-def lift_s-def*)

lemma (in *lift-state-space*) *lift_c-Call-simp* [*simp*]:
lift_c (*Call p*) = *Call p*
by (*simp add: lift_c-def*)

lemma (in *lift-state-space*) *lift_c-DynCom-simp* [*simp*]:
lift_c (*DynCom c*) = *DynCom* ($\lambda s. \text{lift}_c (c (\text{project } s))$)
by (*simp add: lift_c-def*)

lemma (in *lift-state-space*) *lift_c-Guard-simp* [*simp*]:
lift_c (*Guard f g c*) = *Guard* *f* (*lift_s* *g*) (*lift_c* *c*)
by (*simp add: lift_c-def lift_s-def*)

lemma (in *lift-state-space*) *lift_c-Throw-simp* [*simp*]:
lift_c *Throw* = *Throw*
by (*simp add: lift_c-def*)

lemma (in *lift-state-space*) *lift_c-Catch-simp* [*simp*]:
lift_c (*Catch c₁ c₂*) =
Catch (*lift_c* *c₁*) (*lift_c* *c₂*)
by (*simp add: lift_c-def*)

lemma (in *lift-state-space*) *project_x-def'*:
project_x *s* \equiv (case *s* of
 Normal s \Rightarrow *Normal* (*project s*)
 | *Abrupt s* \Rightarrow *Abrupt* (*project s*)
 | *Fault f* \Rightarrow *Fault f*
 | *Stuck* \Rightarrow *Stuck*)

by (*simp add: xstate-map-def project_x-def*)

lemma (*in lift-state-space*) *lift_e-def*:

lift_e Γ p ≡ (case Γ p of Some bdy ⇒ Some (lift_c bdy) | None ⇒ None)

by (*simp add: lift_e-def map-option-case*)

The problem is that *lift_c project inject* $\circ \Gamma$ is quite a strong premise. The problem is that Γ is a function here. A map would be better. We only have to lift those procedures in the domain of Γ : $\Gamma p = \text{Some bdy} \longrightarrow \Gamma' p = \text{Some lift}_c \text{ project inject bdy}$. We then can com up with theorems that allow us to extend the domains of Γ and preserve validity.

lemma (*in lift-state-space*)

$\{(S, T). \exists t. (\text{project } S, t) \in r \wedge T = \text{inject } S \ t\}$

$\subseteq \{(S, T). (\text{project } S, \text{project } T) \in r \wedge T = \text{inject } S \ (\text{project } T)\}$

apply *clarsimp*

apply (*rename-tac S t*)

apply (*simp add: proj-inj-commute*)

done

lemma (*in lift-state-space*)

$\{(S, T). (\text{project } S, \text{project } T) \in r \wedge T = \text{inject } S \ (\text{project } T)\}$

$\subseteq \{(S, T). \exists t. (\text{project } S, t) \in r \wedge T = \text{inject } S \ t\}$

apply *clarsimp*

apply (*rename-tac S T*)

apply (*rule-tac x=project T in exI*)

apply *simp*

done

lemma (*in lift-state-space*) *lift-exec*:

assumes *exec-lc*: (*lift_e Γ*) $\vdash \langle lc, s \rangle \Rightarrow t$

shows $\bigwedge c. \llbracket \text{lift}_c \ c = lc \rrbracket \Longrightarrow$
 $\Gamma \vdash \langle c, \text{project}_x \ s \rangle \Rightarrow \text{project}_x \ t$

using *exec-lc*

proof (*induct*)

case *Skip* **thus** *?case*

by (*auto simp add: project_x-def lift_c-Skip lift_c-def intro: exec.Skip*)

next

case *Guard* **thus** *?case*

by (*auto simp add: project_x-def lift_s-def Compose.lift_s-def lift_c-Guard lift_c-def*
intro: exec.Guard)

next

case *GuardFault* **thus** *?case*

by (*auto simp add: project_x-def lift_s-def Compose.lift_s-def lift_c-Guard lift_c-def*
intro: exec.GuardFault)

next

case *FaultProp* **thus** *?case*

by (*fastforce simp add: project_x-def*)

next

```

case Basic
thus ?case
  by (fastforce simp add: projectx-def liftc-Basic liftf-def Compose.liftf-def
    liftc-def
    proj-inj-commute
    intro: exec.Basic)
next
case Spec
thus ?case
  by (fastforce simp add: projectx-def liftc-Spec liftf-def Compose.liftf-def
    liftr-def Compose.liftr-def liftc-def
    proj-inj-commute
    intro: exec.Spec)
next
case (SpecStuck s r)
thus ?case
  apply (simp add: projectx-def)
  apply (clarsimp simp add: liftc-Spec liftc-def)
  apply (unfold liftr-def Compose.liftr-def)
  apply (rule exec.SpecStuck)
  apply (rule allI)
  apply (erule-tac x=inject s t in allE)
  apply clarsimp
  apply (simp add: proj-inj-commute)
  done
next
case Seq
thus ?case
  by (fastforce simp add: projectx-def liftc-Seq liftc-def intro: exec.intros)
next
case CondTrue
thus ?case
  by (auto simp add: projectx-def lifts-def Compose.lifts-def liftc-Cond liftc-def
    intro: exec.CondTrue)
next
case CondFalse
thus ?case
  by (auto simp add: projectx-def lifts-def Compose.lifts-def liftc-Cond liftc-def
    intro: exec.CondFalse)
next
case WhileTrue
thus ?case
  by (fastforce simp add: projectx-def lifts-def Compose.lifts-def
    liftc-While liftc-def
    intro: exec.WhileTrue)
next
case WhileFalse
thus ?case
  by (fastforce simp add: projectx-def lifts-def Compose.lifts-def

```

```

      liftc-While liftc-def
      intro: exec.WhileFalse)
next
  case Call
  thus ?case
  by (fastforce simp add:
      projectx-def liftc-Call liftf-def Compose.liftf-def liftc-def
      lifte-def
      intro: exec.Call)
next
  case CallUndefined
  thus ?case
  by (fastforce simp add:
      projectx-def liftc-Call liftf-def Compose.liftf-def liftc-def
      lifte-def
      intro: exec.CallUndefined)
next
  case StuckProp thus ?case
  by (fastforce simp add: projectx-def)
next
  case DynCom
  thus ?case
  by (fastforce simp add:
      projectx-def liftc-DynCom liftf-def Compose.liftf-def liftc-def
      intro: exec.DynCom)
next
  case Throw thus ?case
  by (fastforce simp add: projectx-def liftc-Throw liftc-def intro: exec.Throw)
next
  case AbruptProp thus ?case
  by (fastforce simp add: projectx-def)
next
  case CatchMatch
  thus ?case
  by (fastforce simp add: projectx-def liftc-Catch liftc-def intro: exec.CatchMatch)
next
  case (CatchMiss c1 s t c2 c)
  thus ?case
  by (cases t)
  (fastforce simp add: projectx-def liftc-Catch liftc-def intro: exec.CatchMiss)+
qed

lemma (in lift-state-space) lift-exec':
  assumes exec-lc: (lifte Γ) ⊢ ⟨liftc c, s⟩ ⇒ t
  shows Γ ⊢ ⟨c, projectx s⟩ ⇒ projectx t
  using lift-exec [OF exec-lc]
  by simp

```

```

lemma (in lift-state-space) lift-valid:
  assumes valid:  $\Gamma \models_F P \ c \ Q, A$ 
  shows
     $(\text{lift}_e \ \Gamma) \models_F (\text{lift}_s \ P) \ (\text{lift}_c \ c) \ (\text{lift}_s \ Q), (\text{lift}_s \ A)$ 
proof (rule validI)
  fix s t
  assume lexec:
     $(\text{lift}_e \ \Gamma) \vdash \langle \text{lift}_c \ c, \text{Normal } s \rangle \Rightarrow t$ 
  assume lP:  $s \in \text{lift}_s \ P$ 
  assume noFault:  $t \notin \text{Fault } F$ 
  show  $t \in \text{Normal } Q \cup \text{Abrupt } A$ 
  proof –
    from lexec
    have  $\Gamma \vdash \langle c, \text{project}_x (\text{Normal } s) \rangle \Rightarrow (\text{project}_x \ t)$ 
      by (rule lift-exec) (simp-all)
    moreover
    from lP have  $\text{project } s \in P$ 
      by (simp add: lifts-def Compose.lifts-def projectx-def)
    ultimately
    have  $\text{project}_x \ t \in \text{Normal } Q \cup \text{Abrupt } A$ 
      using valid noFault
    apply (clarsimp simp add: valid-def projectx-def)
    apply (cases t)
    apply auto
    done
  thus ?thesis
    apply (simp add: lifts-def Compose.lifts-def)
    apply (cases t)
    apply (auto simp add: projectx-def)
    done
  qed
qed

```

```

lemma (in lift-state-space) lift-hoarep:
  assumes deriv:  $\Gamma, \{\} \vdash_F P \ c \ Q, A$ 
  shows
     $(\text{lift}_e \ \Gamma), \{\} \vdash_F (\text{lift}_s \ P) \ (\text{lift}_c \ c) \ (\text{lift}_s \ Q), (\text{lift}_s \ A)$ 
apply (rule hoare-complete)
apply (insert hoare-sound [OF deriv])
apply (rule lift-valid)
apply (simp add: cvalid-def)
done

```

```

lemma (in lift-state-space) lift-hoarep':
   $\forall Z. \Gamma, \{\} \vdash_F (P \ Z) \ c \ (Q \ Z), (A \ Z) \Longrightarrow$ 
     $\forall Z. (\text{lift}_e \ \Gamma), \{\} \vdash_F (\text{lift}_s \ (P \ Z)) \ (\text{lift}_c \ c)$ 
       $(\text{lift}_s \ (Q \ Z)), (\text{lift}_s \ (A \ Z))$ 

```

```

apply (iprover intro: lift-hoarep)
done

```

```

lemma (in lift-state-space) lift-termination:
assumes termi:  $\Gamma \vdash c \downarrow s$ 
shows  $\bigwedge S. \text{project}_x S = s \implies$ 
   $\text{lift}_e \Gamma \vdash (\text{lift}_c c) \downarrow S$ 
using termi
proof (induct)
  case Skip thus ?case
    by (clarsimp simp add: terminates.Skip projectx-def xstate-map-convs)
  next
    case Basic thus ?case
      by (fastforce simp add: projectx-def xstate-map-convs intro: terminates.intros)
  next
    case Spec thus ?case
      by (fastforce simp add: projectx-def xstate-map-convs intro: terminates.intros)
  next
    case Guard thus ?case
      by (auto simp add: projectx-def xstate-map-convs intro: terminates.intros)
  next
    case GuardFault thus ?case
      by (auto simp add: projectx-def xstate-map-convs lifts-def Compose.lifts-def
        intro: terminates.intros)
  next
    case Fault thus ?case by (clarsimp simp add: projectx-def xstate-map-convs)
  next
    case (Seq c1 s c2)
      have projectx S = Normal s by fact
      then obtain s' where S: S = Normal s' and s: s = project s'
        by (auto simp add: projectx-def xstate-map-convs)
      from Seq have  $\text{lift}_e \Gamma \vdash \text{lift}_c c1 \downarrow S$ 
        by simp
      moreover
      {
        fix w
        assume exec-lc1:  $\text{lift}_e \Gamma \vdash \langle \text{lift}_c c1, \text{Normal } s' \rangle \Rightarrow w$ 
        have  $\text{lift}_e \Gamma \vdash \text{lift}_c c2 \downarrow w$ 
        proof (cases w)
          case (Normal w')
            with lift-exec [where c=c1, OF exec-lc1] s
            have  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \text{Normal } (\text{project } w')$ 
              by (simp add: projectx-def)
            from Seq.hyps (3) [rule-format, OF this] Normal
            show  $\text{lift}_e \Gamma \vdash \text{lift}_c c2 \downarrow w$ 
              by (auto simp add: projectx-def xstate-map-convs)
          qed (auto)
      }

```

```

}
ultimately show ?case
  using  $S$   $s$ 
  by (auto intro: terminates.intros)
next
case CondTrue thus ?case
  by (fastforce simp add: projectx-def lifts-def Compose.lifts-def xstate-map-convs
      intro: terminates.intros)
next
case CondFalse thus ?case
  by (fastforce simp add: projectx-def lifts-def Compose.lifts-def xstate-map-convs
      intro: terminates.intros)
next
case (WhileTrue  $s$   $b$   $c$ )
have projectx  $S$  = Normal  $s$  by fact
then obtain  $s'$  where  $S$ :  $S$ =Normal  $s'$  and  $s$ :  $s$  = project  $s'$ 
  by (auto simp add: projectx-def xstate-map-convs)
from WhileTrue have lifte  $\Gamma \vdash$  liftc  $c \downarrow S$ 
  by simp
moreover
{
  fix  $w$ 
  assume exec-lc: lifte  $\Gamma \vdash$   $\langle$ liftc  $c$ , Normal  $s'$  $\rangle \Rightarrow w$ 
  have lifte  $\Gamma \vdash$  liftc (While  $b$   $c$ )  $\downarrow w$ 
  proof (cases  $w$ )
    case (Normal  $w'$ )
    with lift-exec [where  $c=c$ , OF exec-lc]  $s$ 
    have  $\Gamma \vdash$   $\langle$  $c$ , Normal  $s$  $\rangle \Rightarrow$  Normal (project  $w'$ )
      by (simp add: projectx-def)
    from WhileTrue.hyps (4) [rule-format, OF this] Normal
    show lifte  $\Gamma \vdash$  liftc (While  $b$   $c$ )  $\downarrow w$ 
      by (auto simp add: projectx-def xstate-map-convs)
    qed (auto)
  }
ultimately show ?case
  using  $S$   $s$ 
  by (auto intro: terminates.intros)
next
case WhileFalse thus ?case
  by (fastforce simp add: projectx-def lifts-def Compose.lifts-def xstate-map-convs
      intro: terminates.intros)
next
case Call thus ?case
  by (fastforce simp add: projectx-def xstate-map-convs lifte-def
      intro: terminates.intros)
next
case CallUndefined thus ?case
  by (fastforce simp add: projectx-def xstate-map-convs lifte-def
      intro: terminates.intros)

```

```

next
  case Stuck thus ?case
    by (fastforce simp add: projectx-def xstate-map-convs)
next
  case DynCom thus ?case
    by (fastforce simp add: projectx-def xstate-map-convs
        intro: terminates.intros)
next
  case Throw thus ?case
    by (fastforce simp add: projectx-def xstate-map-convs
        intro: terminates.intros)
next
  case Abrupt thus ?case
    by (fastforce simp add: projectx-def xstate-map-convs
        intro: terminates.intros)
next
  case (Catch c1 s c2)
  have projectx S = Normal s by fact
  then obtain s' where S: S=Normal s' and s: s = project s'
    by (auto simp add: projectx-def xstate-map-convs)
  from Catch have lifte Γ ⊢ liftc c1 ↓ S
    by simp
  moreover
  {
    fix w
    assume exec-lc1: lifte Γ ⊢ ⟨liftc c1, Normal s'⟩ ⇒ Abrupt w
    have lifte Γ ⊢ liftc c2 ↓ Normal w
    proof -
      from lift-exec [where c=c1, OF exec-lc1] s
      have Γ ⊢ ⟨c1, Normal s⟩ ⇒ Abrupt (project w)
        by (simp add: projectx-def)
      from Catch.hyps (3) [rule-format, OF this]
      show lifte Γ ⊢ liftc c2 ↓ Normal w
        by (auto simp add: projectx-def xstate-map-convs)
    qed
  }
  ultimately show ?case
    using S s
    by (auto intro: terminates.intros)
qed

lemma (in lift-state-space) lift-termination':
  assumes termi: Γ ⊢ c ↓ projectx S
  shows lifte Γ ⊢ (liftc c) ↓ S
    using lift-termination [OF termi]
    by iprover

lemma (in lift-state-space) lift-validt:

```



```

assumes valid:  $\Gamma \models_{t/F} P \ c \ Q, A$ 
shows  $(\text{lift}_e \ \Gamma) \models_{t/F} (\text{lift}_s \ P) \ (\text{lift}_c \ c) \ (\text{lift}_s \ Q), (\text{lift}_s \ A)$ 
proof –
  from valid
  have  $(\text{lift}_e \ \Gamma) \models_{t/F} (\text{lift}_s \ P) \ (\text{lift}_c \ c) \ (\text{lift}_s \ Q), (\text{lift}_s \ A)$ 
    by (auto intro: lift-valid simp add: validt-def)
  moreover
  {
    fix S
    assume  $S \in \text{lift}_s \ P$ 
    hence project  $S \in P$ 
      by (simp add: lifts-def Compose.lifts-def)
    with valid have  $\Gamma \vdash c \downarrow \text{project}_x \ (\text{Normal } S)$ 
      by (simp add: validt-def projectx-def)
    hence  $\text{lift}_e \ \Gamma \vdash \text{lift}_c \ c \downarrow \text{Normal } S$ 
      by (rule lift-termination')
  }
  ultimately show ?thesis
    by (simp add: validt-def)
qed

```

```

lemma (in lift-state-space) lift-hoare:
  assumes deriv:  $\Gamma, \{\} \vdash_{t/F} P \ c \ Q, A$ 
  shows
     $(\text{lift}_e \ \Gamma), \{\} \vdash_{t/F} (\text{lift}_s \ P) \ (\text{lift}_c \ c) \ (\text{lift}_s \ Q), (\text{lift}_s \ A)$ 
apply (rule hoaret-complete)
apply (insert hoaret-sound [OF deriv])
apply (rule lift-validt)
apply (simp add: cvalidt-def)
done

```

```

locale lift-state-space-ext = lift-state-space +
  assumes inj-proj-commute:  $\bigwedge S. \text{inject } S \ (\text{project } S) = S$ 
  assumes inject-last:  $\bigwedge S \ s \ t. \text{inject } (\text{inject } S \ s) \ t = \text{inject } S \ t$ 

```

```

lemma (in lift-state-space-ext) lift-exec-inject-same:
assumes exec-lc:  $(\text{lift}_e \ \Gamma) \vdash \langle lc, s \rangle \Rightarrow t$ 
shows  $\bigwedge c. \llbracket \text{lift}_c \ c = lc; t \notin (\text{Fault} \cup \text{UNIV}) \cup \{\text{Stuck}\} \rrbracket \implies$ 
   $\text{state } t = \text{inject } (\text{state } s) \ (\text{project } (\text{state } t))$ 
using exec-lc
proof (induct)
  case Skip thus ?case
    by (clarsimp simp add: inj-proj-commute)
next
  case Guard thus ?case

```

```

    by (clarsimp simp add: liftc-Guard liftc-def)
next
  case GuardFault thus ?case
    by simp
next
  case FaultProp thus ?case by simp
next
  case Basic thus ?case
    by (clarsimp simp add: liftf-def Compose.liftf-def
      proj-inj-commute liftc-Basic liftc-def)
next
  case (Spec r) thus ?case
    by (clarsimp simp add: Compose.liftr-def liftc-Spec liftc-def)
next
  case SpecStuck
  thus ?case by simp
next
  case (Seq lc1 s s' lc2 t c)
  have t: t ∉ Fault ‘ UNIV ∪ {Stuck} by fact
  have liftc c = Seq lc1 lc2 by fact
  then obtain c1 c2 where
    c: c = Seq c1 c2 and
    lc1: lc1 = liftc c1 and
    lc2: lc2 = liftc c2
  by (auto simp add: liftc-Seq liftc-def)
show ?case
proof (cases s')
  case (Normal s'')
  from Seq.hyps (2) [OF lc1 [symmetric]] this
  have s'' = inject s (project s'')
    by auto
  moreover from Seq.hyps (4) [OF lc2 [symmetric]] Normal t
  have state t = inject s'' (project (state t))
    by auto
  ultimately have state t = inject (inject s (project s'')) (project (state t))
    by simp
  then show ?thesis
    by (simp add: inject-last)
next
  case (Abrupt s'')
  from Seq.hyps (2) [OF lc1 [symmetric]] this
  have s'' = inject s (project s'')
    by auto
  moreover from Seq.hyps (4) [OF lc2 [symmetric]] Abrupt t
  have state t = inject s'' (project (state t))
    by auto
  ultimately have state t = inject (inject s (project s'')) (project (state t))
    by simp
  then show ?thesis

```

```

      by (simp add: inject-last)
next
  case (Fault f)
  with Seq
  have t = Fault f
    by (auto dest: Fault-end)
  with t have False by simp
  thus ?thesis ..
next
  case Stuck
  with Seq
  have t = Stuck
    by (auto dest: Stuck-end)
  with t have False by simp
  thus ?thesis ..
qed
next
  case CondTrue thus ?case
    by (clarsimp simp add: liftc-Cond liftc-def)
next
  case CondFalse thus ?case
    by (clarsimp simp add: liftc-Cond liftc-def)
next
  case (WhileTrue s lb lc' s' t c)
  have t: t ∉ Fault ‘ UNIV ∪ {Stuck} by fact
  have lw: liftc c = While lb lc' by fact
  then obtain b c' where
    c: c = While b c' and
    lb: lb = lifts b and
    lc: lc' = liftc c'
    by (auto simp add: liftc-While lifts-def liftc-def)
  show ?case
  proof (cases s')
    case (Normal s'')
    from WhileTrue.hyps (3) [OF lc [symmetric]] this
    have s'' = inject s (project s'')
      by auto
    moreover from WhileTrue.hyps (5) [OF lw] Normal t
    have state t = inject s'' (project (state t))
      by auto
    ultimately have state t = inject (inject s (project s'')) (project (state t))
      by simp
    then show ?thesis
      by (simp add: inject-last)
  next
    case (Abrupt s'')
    from WhileTrue.hyps (3) [OF lc [symmetric]] this
    have s'' = inject s (project s'')
      by auto

```

```

moreover from WhileTrue.hyps (5) [OF lw] Abrupt t
have state t = inject s'' (project (state t))
  by auto
ultimately have state t = inject (inject s (project s'')) (project (state t))
  by simp
then show ?thesis
  by (simp add: inject-last)
next
  case (Fault f)
  with WhileTrue
  have t = Fault f
    by (auto dest: Fault-end)
  with t have False by simp
  thus ?thesis ..
next
  case Stuck
  with WhileTrue
  have t = Stuck
    by (auto dest: Stuck-end)
  with t have False by simp
  thus ?thesis ..
qed
next
  case WhileFalse thus ?case
    by (clarsimp simp add: liftc-While inj-proj-commute)
next
  case Call thus ?case
    by (clarsimp simp add: inject-last liftc-Call lifte-def liftc-def)
next
  case CallUndefined thus ?case by simp
next
  case StuckProp thus ?case by simp
next
  case DynCom
  thus ?case
    by (clarsimp simp add: liftc-DynCom liftc-def)
next
  case Throw thus ?case
    by (simp add: inj-proj-commute)
next
  case AbruptProp thus ?case by (simp add: inj-proj-commute)
next
  case (CatchMatch lc1 s s' lc2 t c)
  have t ∉ Fault ‘ UNIV ∪ {Stuck} by fact
  have liftc c = Catch lc1 lc2 by fact
  then obtain c1 c2 where
    c: c = Catch c1 c2 and
    lc1: lc1 = liftc c1 and
    lc2: lc2 = liftc c2

```

```

    by (auto simp add: liftc-Catch liftc-def)
  from CatchMatch.hyps (2) [OF lc1 [symmetric]] this
  have s' = inject s (project s')
    by auto
  moreover
  from CatchMatch.hyps (4) [OF lc2 [symmetric]] t
  have state t = inject s' (project (state t))
    by auto
  ultimately have state t = inject (inject s (project s')) (project (state t))
    by simp
  then show ?case
    by (simp add: inject-last)
next
case CatchMiss
thus ?case
  by (clarsimp simp add: liftc-Catch liftc-def)
qed

```

lemma (in lift-state-space-ext) valid-inject-project:
assumes noFaultStuck:
 $\Gamma \vdash \langle c, \text{Normal } (\text{project } \sigma) \rangle \Rightarrow \notin (\text{Fault} \text{ ' } \text{UNIV} \cup \{\text{Stuck}\})$
shows lift_e $\Gamma \models_F \{\sigma\}$ lift_c c
 $\{t. t = \text{inject } \sigma (\text{project } t)\}, \{t. t = \text{inject } \sigma (\text{project } t)\}$
proof (rule validI)
 fix s t
 assume exec: lift_e $\Gamma \vdash \langle \text{lift}_c c, \text{Normal } s \rangle \Rightarrow t$
 assume P: $s \in \{\sigma\}$
 assume noFault: $t \notin \text{Fault} \text{ ' } F$
 show $t \in \text{Normal} \text{ ' } \{t. t = \text{inject } \sigma (\text{project } t)\} \cup$
 $\text{Abrupt} \text{ ' } \{t. t = \text{inject } \sigma (\text{project } t)\}$
proof –
 from lift-exec [OF exec]
 have $\Gamma \vdash \langle c, \text{project}_x (\text{Normal } s) \rangle \Rightarrow \text{project}_x t$
 by simp
 with noFaultStuck P have t: $t \notin \text{Fault} \text{ ' } \text{UNIV} \cup \{\text{Stuck}\}$
 by (auto simp add: final-notin-def project_x-def)
 from lift-exec-inject-same [OF exec refl this] P
 have state t = inject σ (project (state t))
 by simp
 with t show ?thesis
 by (cases t) auto
qed
qed

lemma (in lift-state-space-ext) lift-exec-inject-same':
assumes exec-lc: (lift_e $\Gamma \vdash \langle \text{lift}_c c, S \rangle \Rightarrow T$
shows $\bigwedge c. \llbracket T \notin (\text{Fault} \text{ ' } \text{UNIV}) \cup \{\text{Stuck}\} \rrbracket \Longrightarrow$
 $\text{state } T = \text{inject } (\text{state } S) (\text{project } (\text{state } T))$
using lift-exec-inject-same [OF exec-lc]

by *simp*

lemma (in *lift-state-space-ext*) *valid-lift-modifies*:
assumes *valid*: $\forall s. \Gamma \models_F \{s\} \ c \ (Modif \ s), (ModifAbr \ s)$
shows $(lift_e \ \Gamma) \models_F \{S\} \ (lift_c \ c)$
 $\{T. T \in lift_s \ (Modif \ (project \ S)) \wedge T=inject \ S \ (project \ T)\},$
 $\{T. T \in lift_s \ (ModifAbr \ (project \ S)) \wedge T=inject \ S \ (project \ T)\}$

proof (rule *validI*)
fix *s t*
assume *exec*: $lift_e \ \Gamma \vdash \langle lift_c \ c, Normal \ s \rangle \Rightarrow t$
assume *P*: $s \in \{S\}$
assume *noFault*: $t \notin Fault \ 'F$
show $t \in Normal \ '$
 $\{t \in lift_s \ (Modif \ (project \ S)).$
 $t = inject \ S \ (project \ t)\} \cup$
 $Abrupt \ '$
 $\{t \in lift_s \ (ModifAbr \ (project \ S)).$
 $t = inject \ S \ (project \ t)\}$

proof –
from *lift-exec* [*OF exec*]
have $\Gamma \vdash \langle c, project_x \ (Normal \ s) \rangle \Rightarrow project_x \ t$
by *auto*
moreover
from *noFault* **have** $project_x \ t \notin Fault \ 'F$
by (cases *t*) (auto *simp add: project_x-def*)
ultimately
have $project_x \ t \in$
 $Normal \ ' (Modif \ (project \ s)) \cup Abrupt \ ' (ModifAbr \ (project \ s))$
using *valid* [*rule-format, of (project s)*]
by (auto *simp add: valid-def project_x-def*)
hence $t: t \in Normal \ ' lift_s \ (Modif \ (project \ s)) \cup$
 $Abrupt \ ' lift_s \ (ModifAbr \ (project \ s))$
by (cases *t*) (auto *simp add: project_x-def lift_s-def Compose.lift_s-def*)
then have $t \notin Fault \ ' UNIV \cup \{Stuck\}$
by (cases *t*) *auto*
from *lift-exec-inject-same* [*OF exec - this*]
have $state \ t = inject \ (state \ (Normal \ s)) \ (project \ (state \ t))$
by *simp*
with *t* **show** *?thesis*
using *P* **by** *auto*

qed
qed

lemma (in *lift-state-space-ext*) *hoare-lift-modifies*:
assumes *deriv*: $\forall \sigma. \Gamma, \{\} \vdash_F \{\sigma\} \ c \ (Modif \ \sigma), (ModifAbr \ \sigma)$
shows $\forall \sigma. (lift_e \ \Gamma), \{\} \vdash_F \{\sigma\} \ (lift_c \ c)$
 $\{T. T \in lift_s \ (Modif \ (project \ \sigma)) \wedge T=inject \ \sigma \ (project \ T)\},$
 $\{T. T \in lift_s \ (ModifAbr \ (project \ \sigma)) \wedge T=inject \ \sigma \ (project \ T)\}$

apply (rule *allI*)

```

apply (rule hoare-complete)
apply (rule valid-lift-modifies)
apply (rule allI)
apply (insert hoare-sound [OF deriv [rule-format]])
apply (simp add: cvalid-def)
done

lemma (in lift-state-space-ext) hoare-lift-modifies':
  assumes deriv:  $\forall \sigma. \Gamma, \{\} \vdash_F \{\sigma\} c \text{ (Modif } \sigma), (\text{ModifAbr } \sigma)$ 
  shows  $\forall \sigma. (\text{lift}_e \Gamma), \{\} \vdash_F \{\sigma\} (\text{lift}_c c)$ 
     $\{ T. T \in \text{lift}_s (\text{Modif } (\text{project } \sigma)) \wedge$ 
       $(\exists T'. T = \text{inject } \sigma T') \},$ 
     $\{ T. T \in \text{lift}_s (\text{ModifAbr } (\text{project } \sigma)) \wedge$ 
       $(\exists T'. T = \text{inject } \sigma T') \}$ 
apply (rule allI)
apply (rule HoarePartialDef.conseq [OF hoare-lift-modifies [OF deriv]])
apply blast
done

```

25.2 Renaming Procedures

```

primrec rename:: ('p  $\Rightarrow$  'q)  $\Rightarrow$  ('s,'p,'f) com  $\Rightarrow$  ('s,'q,'f) com
where
  rename N Skip = Skip |
  rename N (Basic f) = Basic f |
  rename N (Spec r) = Spec r |
  rename N (Seq c1 c2) = (Seq (rename N c1) (rename N c2)) |
  rename N (Cond b c1 c2) = Cond b (rename N c1) (rename N c2) |
  rename N (While b c) = While b (rename N c) |
  rename N (Call p) = Call (N p) |
  rename N (DynCom c) = DynCom ( $\lambda s. \text{rename } N \text{ (c s)}$ ) |
  rename N (Guard f g c) = Guard f g (rename N c) |
  rename N Throw = Throw |
  rename N (Catch c1 c2) = Catch (rename N c1) (rename N c2)

```

```

lemma rename-Skip: rename h c = Skip = (c=Skip)
by (cases c) auto

```

```

lemma rename-Basic:
  (rename h c = Basic f) = (c=Basic f)
by (cases c) auto

```

```

lemma rename-Spec:
  (rename h c = Spec r) = (c=Spec r)
by (cases c) auto

```

```

lemma rename-Seq:
  (rename h c = Seq rc1 rc2) =
    ( $\exists c_1 c_2. c = \text{Seq } c_1 c_2 \wedge$ 

```

```

      rc1 = rename h c1 ∧ rc2 = rename h c2 )
    by (cases c) auto

lemma rename-Cond:
  (rename h c = Cond b rc1 rc2) =
    (∃ c1 c2. c = Cond b c1 c2 ∧ rc1 = rename h c1 ∧ rc2 = rename h c2 )
  by (cases c) auto

lemma rename-While:
  (rename h c = While b rc') = (∃ c'. c = While b c' ∧ rc' = rename h c')
  by (cases c) auto

lemma rename-Call:
  (rename h c = Call q) = (∃ p. c = Call p ∧ q=h p)
  by (cases c) auto

lemma rename-DynCom:
  (rename h c = DynCom rc) = (∃ C. c=DynCom C ∧ rc = (λs. rename h (C
s)))
  by (cases c) auto

lemma rename-Guard:
  (rename h c = Guard f g rc') =
    (∃ c'. c = Guard f g c' ∧ rc' = rename h c')
  by (cases c) auto

lemma rename-Throw:
  (rename h c = Throw) = (c = Throw)
  by (cases c) auto

lemma rename-Catch:
  (rename h c = Catch rc1 rc2) =
    (∃ c1 c2. c = Catch c1 c2 ∧ rc1 = rename h c1 ∧ rc2 = rename h c2 )
  by (cases c) auto

lemma exec-rewrite-to-exec:
  assumes Γ: ∀ p bdy. Γ p = Some bdy ⟶ Γ' (h p) = Some (rename h bdy)
  assumes exec: Γ' ⊢ ⟨rc,s⟩ ⇒ t
  shows ⋀ c. rename h c = rc ⟹ ∃ t'. Γ' ⊢ ⟨c,s⟩ ⇒ t' ∧ (t'=Stuck ∨ t'=t)
using exec
proof (induct)
  case Skip thus ?case by (fastforce intro: exec.intros simp add: rename-Skip)
next
  case Guard thus ?case by (fastforce intro: exec.intros simp add: rename-Guard)
next
  case GuardFault thus ?case by (fastforce intro: exec.intros simp add: rename-Guard)
next
  case FaultProp thus ?case by (fastforce intro: exec.intros)
next

```



```

    case Basic thus ?case by (fastforce intro: exec.intros simp add: rename-Basic)
next
    case Spec thus ?case by (fastforce intro: exec.intros simp add: rename-Spec)
next
    case SpecStuck thus ?case by (fastforce intro: exec.intros simp add: rename-Spec)
next
    case Seq thus ?case by (fastforce intro: exec.intros simp add: rename-Seq)
next
    case CondTrue thus ?case by (fastforce intro: exec.intros simp add: rename-Cond)
next
    case CondFalse thus ?case by (fastforce intro: exec.intros simp add: rename-Cond)
next
    case WhileTrue thus ?case by (fastforce intro: exec.intros simp add: rename-While)
next
    case WhileFalse thus ?case by (fastforce intro: exec.intros simp add: rename-While)
next
    case (Call p rbdy s t)
    have rbdy:  $\Gamma' p = \text{Some } rbdy$  by fact
    have rename h c = Call p by fact
    then obtain q where c: c=Call q and p: p=h q
    by (auto simp add: rename-Call)
    show ?case
    proof (cases  $\Gamma q$ )
    case None
    with c show ?thesis by (auto intro: exec.CallUndefined)
    next
    case (Some bdy)
    from  $\Gamma$  [rule-format, OF this] p rbdy
    have rename h bdy = rbdy by simp
    with Call.hyps c Some
    show ?thesis
    by (fastforce intro: exec.intros)
    qed
next
    case (CallUndefined p s)
    have undef:  $\Gamma' p = \text{None}$  by fact
    have rename h c = Call p by fact
    then obtain q where c: c=Call q and p: p=h q
    by (auto simp add: rename-Call)
    from undef p  $\Gamma$  have  $\Gamma q = \text{None}$ 
    by (cases  $\Gamma q$ ) auto
    with p c show ?case
    by (auto intro: exec.intros)
next
    case StuckProp thus ?case by (fastforce intro: exec.intros)
next
    case DynCom thus ?case by (fastforce intro: exec.intros simp add: rename-DynCom)
next
    case Throw thus ?case by (fastforce intro: exec.intros simp add: rename-Throw)

```

```

next
  case AbruptProp thus ?case by (fastforce intro: exec.intros)
next
  case CatchMatch thus ?case by (fastforce intro: exec.intros simp add: rename-Catch)
next
  case CatchMiss thus ?case by (fastforce intro: exec.intros simp add: rename-Catch)
qed

```

```

lemma exec-rename-to-exec':
  assumes  $\Gamma: \forall p \text{ bdy}. \Gamma \ p = \text{Some bdy} \longrightarrow \Gamma' \ (N \ p) = \text{Some} \ (\text{rename } N \ \text{bdy})$ 
  assumes  $\text{exec}: \Gamma \vdash \langle \text{rename } N \ c, s \rangle \Rightarrow t$ 
  shows  $\exists t'. \Gamma \vdash \langle c, s \rangle \Rightarrow t' \wedge (t' = \text{Stuck} \vee t' = t)$ 
  using exec-rename-to-exec [OF  $\Gamma \ \text{exec}$ ]
  by auto

```

```

lemma valid-to-valid-rename:
  assumes  $\Gamma: \forall p \text{ bdy}. \Gamma \ p = \text{Some bdy} \longrightarrow \Gamma' \ (N \ p) = \text{Some} \ (\text{rename } N \ \text{bdy})$ 
  assumes valid:  $\Gamma \models_F P \ c \ Q, A$ 
  shows  $\Gamma' \models_F P \ (\text{rename } N \ c) \ Q, A$ 
proof (rule validI)
  fix s t
  assume execr:  $\Gamma \vdash \langle \text{rename } N \ c, \text{Normal } s \rangle \Rightarrow t$ 
  assume P:  $s \in P$ 
  assume noFault:  $t \notin \text{Fault} \ ' F$ 
  show  $t \in \text{Normal} \ ' Q \cup \text{Abrupt} \ ' A$ 
  proof -
    from exec-rename-to-exec [OF  $\Gamma \ \text{execr}$ ]
    obtain t' where
       $\text{exec}: \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t' \ \text{and} \ t': (t' = \text{Stuck} \vee t' = t)$ 
    by auto
    with valid noFault P show ?thesis
    by (auto simp add: valid-def)
  qed
qed

```

```

lemma hoare-to-hoare-rename:
  assumes  $\Gamma: \forall p \text{ bdy}. \Gamma \ p = \text{Some bdy} \longrightarrow \Gamma' \ (N \ p) = \text{Some} \ (\text{rename } N \ \text{bdy})$ 
  assumes deriv:  $\Gamma, \{\} \vdash_F P \ c \ Q, A$ 
  shows  $\Gamma', \{\} \vdash_F P \ (\text{rename } N \ c) \ Q, A$ 
apply (rule hoare-complete)
apply (insert hoare-sound [OF deriv])
apply (rule valid-to-valid-rename)
apply (rule  $\Gamma$ )
apply (simp add: cvalid-def)
done

```

```

lemma hoare-to-hoare-rename':
  assumes  $\Gamma: \forall p \text{ bdy}. \Gamma \vdash p = \text{Some bdy} \longrightarrow \Gamma' (N p) = \text{Some} (\text{rename } N \text{ bdy})$ 
  assumes deriv:  $\forall Z. \Gamma, \{\} \vdash_F (P Z) \ c \ (Q Z), (A Z)$ 
  shows  $\forall Z. \Gamma', \{\} \vdash_F (P Z) (\text{rename } N c) (Q Z), (A Z)$ 
apply rule
apply (rule hoare-to-hoare-rename [OF  $\Gamma$ ])
apply (rule deriv[rule-format])
done

lemma terminates-to-terminates-rename:
  assumes  $\Gamma: \forall p \text{ bdy}. \Gamma \vdash p = \text{Some bdy} \longrightarrow \Gamma' (N p) = \text{Some} (\text{rename } N \text{ bdy})$ 
  assumes termi:  $\Gamma \vdash c \downarrow s$ 
  assumes noStuck:  $\Gamma \vdash \langle c, s \rangle \Rightarrow \notin \{ \text{Stuck} \}$ 
  shows  $\Gamma \vdash \text{rename } N c \downarrow s$ 
using termi noStuck
proof (induct)
  case Skip thus ?case by (fastforce intro: terminates.intros)
next
  case Basic thus ?case by (fastforce intro: terminates.intros)
next
  case Spec thus ?case by (fastforce intro: terminates.intros)
next
  case Guard thus ?case by (fastforce intro: terminates.intros
    simp add: final-notin-def exec.intros)
next
  case GuardFault thus ?case by (fastforce intro: terminates.intros)
next
  case Fault thus ?case by (fastforce intro: terminates.intros)
next
  case Seq
  thus ?case
  by (force intro!: terminates.intros exec.intros dest: exec-rename-to-exec [OF  $\Gamma$ ]
    simp add: final-notin-def)
next
  case CondTrue thus ?case by (fastforce intro: terminates.intros
    simp add: final-notin-def exec.intros)
next
  case CondFalse thus ?case by (fastforce intro: terminates.intros
    simp add: final-notin-def exec.intros)
next
  case (WhileTrue s b c)
  have s-in-b:  $s \in b$  by fact
  have noStuck:  $\Gamma \vdash \langle \text{While } b \ c, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$  by fact
  with s-in-b have  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \{ \text{Stuck} \}$ 
  by (auto simp add: final-notin-def intro: exec.intros)
  with WhileTrue.hyps have  $\Gamma \vdash \text{rename } N c \downarrow \text{Normal } s$ 
  by simp
moreover

```

```

{
  fix t
  assume exec-rc:  $\Gamma \vdash \langle \text{rename } N \ c, \text{Normal } s \rangle \Rightarrow t$ 
  have  $\Gamma \vdash \text{While } b \ (\text{rename } N \ c) \downarrow t$ 
  proof -
    from exec-rename-to-exec [OF  $\Gamma$  exec-rc] obtain t'
      where exec-c:  $\Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow t'$  and t':  $(t' = \text{Stuck} \vee t' = t)$ 
    by auto
    with s-in-b noStuck obtain t'=t and  $\Gamma \vdash \langle \text{While } b \ c, t \rangle \Rightarrow \notin \{\text{Stuck}\}$ 
      by (auto simp add: final-notin-def intro: exec.intros)
    with exec-c WhileTrue.hyps
    show ?thesis
      by auto
  qed
}
ultimately show ?case
  using s-in-b
  by (auto intro: terminates.intros)
next
  case WhileFalse thus ?case by (fastforce intro: terminates.intros)
next
  case (Call p bdy s)
  have  $\Gamma \ p = \text{Some } \text{bdy}$  by fact
  from  $\Gamma$  [rule-format, OF this]
  have bdy':  $\Gamma' \ (N \ p) = \text{Some } (\text{rename } N \ \text{bdy})$ .
  from Call have  $\Gamma \vdash \text{rename } N \ \text{bdy} \downarrow \text{Normal } s$ 
    by (auto simp add: final-notin-def intro: exec.intros)
  with bdy' have  $\Gamma \vdash \text{Call } (N \ p) \downarrow \text{Normal } s$ 
    by (auto intro: terminates.intros)
  thus ?case by simp
next
  case (CallUndefined p s)
  have  $\Gamma \ p = \text{None}$   $\Gamma \vdash \langle \text{Call } p, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}$  by fact+
  hence False by (auto simp add: final-notin-def intro: exec.intros)
  thus ?case ..
next
  case Stuck thus ?case by (fastforce intro: terminates.intros)
next
  case DynCom thus ?case by (fastforce intro: terminates.intros
    simp add: final-notin-def exec.intros)
next
  case Throw thus ?case by (fastforce intro: terminates.intros)
next
  case Abrupt thus ?case by (fastforce intro: terminates.intros)
next
  case (Catch c1 s c2)
  have noStuck:  $\Gamma \vdash \langle \text{Catch } c1 \ c2, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}$  by fact
  hence  $\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}$ 
    by (fastforce simp add: final-notin-def intro: exec.intros)

```

```

with Catch.hyps have  $\Gamma \vdash \text{rename } N \ c1 \downarrow \text{Normal } s$ 
  by auto
moreover
{
  fix t
  assume  $\text{exec-rc1}:\Gamma \vdash \langle \text{rename } N \ c1, \text{Normal } s \rangle \Rightarrow \text{Abrupt } t$ 
  have  $\Gamma \vdash \text{rename } N \ c2 \downarrow \text{Normal } t$ 
  proof –
    from exec-rename-to-exec [OF  $\Gamma$  exec-rc1] obtain t'
      where  $\text{exec-c}:\Gamma \vdash \langle c1, \text{Normal } s \rangle \Rightarrow t'$  and  $(t' = \text{Stuck} \vee t' = \text{Abrupt } t)$ 
      by auto
    with noStuck have  $t': t' = \text{Abrupt } t$ 
      by (fastforce simp add: final-notin-def intro: exec.intros)
    with exec-c noStuck have  $\Gamma \vdash \langle c2, \text{Normal } t \rangle \Rightarrow \notin \{\text{Stuck}\}$ 
      by (auto simp add: final-notin-def intro: exec.intros)
    with exec-c t' Catch.hyps
    show ?thesis
      by auto
  qed
}
ultimately show ?case
  by (auto intro: terminates.intros)
qed

```

```

lemma validt-to-validt-rename:
  assumes  $\Gamma: \forall p \text{ bdy. } \Gamma \ p = \text{Some bdy} \longrightarrow \Gamma' (N \ p) = \text{Some } (\text{rename } N \ \text{bdy})$ 
  assumes valid:  $\Gamma \models_{t/F} P \ c \ Q, A$ 
  shows  $\Gamma' \models_{t/F} P \ (\text{rename } N \ c) \ Q, A$ 
proof –
  from valid
  have  $\Gamma' \models_{t/F} P \ (\text{rename } N \ c) \ Q, A$ 
    by (auto intro: valid-to-valid-rename [OF  $\Gamma$ ] simp add: validt-def)
  moreover
  {
    fix s
    assume  $s \in P$ 
    with valid obtain  $\Gamma \vdash c \downarrow (\text{Normal } s) \ \Gamma \vdash \langle c, \text{Normal } s \rangle \Rightarrow \notin \{\text{Stuck}\}$ 
      by (auto simp add: validt-def valid-def final-notin-def)
    from terminates-to-terminates-rename [OF  $\Gamma$  this]
    have  $\Gamma \vdash \text{rename } N \ c \downarrow \text{Normal } s$ 
    .
  }
  ultimately show ?thesis
    by (simp add: validt-def)
qed

```

```

lemma hoaret-to-hoaret-rename:
  assumes  $\Gamma: \forall p \text{ bdy. } \Gamma \ p = \text{Some bdy} \longrightarrow \Gamma' (N \ p) = \text{Some } (\text{rename } N \ \text{bdy})$ 
  assumes deriv:  $\Gamma, \{\} \vdash_{t/F} P \ c \ Q, A$ 

```

```

    shows  $\Gamma', \{\} \vdash_{t/F} P \text{ (rename } N \text{ c) } Q, A$ 
  apply (rule hoaret-complete)
  apply (insert hoaret-sound [OF deriv])
  apply (rule validt-to-validt-rename)
  apply (rule  $\Gamma$ )
  apply (simp add: cvalidt-def)
done

lemma hoaret-to-hoaret-rename':
  assumes  $\Gamma: \forall p \text{ bdy. } \Gamma \text{ p} = \text{Some bdy} \longrightarrow \Gamma' (N \text{ p}) = \text{Some (rename } N \text{ bdy)}$ 
  assumes deriv:  $\forall Z. \Gamma, \{\} \vdash_{t/F} (P \text{ Z}) \text{ c } (Q \text{ Z}), (A \text{ Z})$ 
  shows  $\forall Z. \Gamma', \{\} \vdash_{t/F} (P \text{ Z}) \text{ (rename } N \text{ c) } (Q \text{ Z}), (A \text{ Z})$ 
  apply rule
  apply (rule hoaret-to-hoaret-rename [OF  $\Gamma$ ])
  apply (rule deriv[rule-format])
done

lemma liftc-whileAnno [simp]: liftc prj inject (whileAnno b I V c) =
  whileAnno (lifts prj b)
    (lifts prj I) (liftr prj inject V) (liftc prj inject c)
  by (simp add: whileAnno-def)

lemma liftc-block [simp]: liftc prj inject (block init bdy return c) =
  block (liftf prj inject init) (liftc prj inject bdy)
    ( $\lambda s. (\text{lift}_f \text{ prj inject (return (prj s))})$ )
    ( $\lambda s \text{ t. lift}_c \text{ prj inject (c (prj s) (prj t))}$ )
  by (simp add: block-def)

lemma liftc-call [simp]: liftc prj inject (call init p return c) =
  call (liftf prj inject init) p
    ( $\lambda s. (\text{lift}_f \text{ prj inject (return (prj s))})$ )
    ( $\lambda s \text{ t. lift}_c \text{ prj inject (c (prj s) (prj t))}$ )
  by (simp add: call-def liftc-block)

lemma rename-whileAnno [simp]: rename h (whileAnno b I V c) =
  whileAnno b I V (rename h c)
  by (simp add: whileAnno-def)

lemma rename-block [simp]: rename h (block init bdy return c) =
  block init (rename h bdy) return ( $\lambda s \text{ t. rename h (c s t)$ )
  by (simp add: block-def)

lemma rename-call [simp]: rename h (call init p return c) =
  call init (h p) return ( $\lambda s \text{ t. rename h (c s t)$ )
  by (simp add: call-def)

end

```

theory *ComposeEx* **imports** *Compose ../Vcg ../HeapList* **begin**

record *globals-list* =
 next-' :: *ref* \Rightarrow *ref*

record *state-list* = *globals-list state* +
 p-' :: *ref*
 sl-q-' :: *ref*
 r-' :: *ref*

procedures *Rev*(*p|sl-q*) =
 'sl-q ::= *Null*;;
 WHILE *'p* \neq *Null*
 DO
 'r ::= *'p*;; $\{\!| \text{'p} \neq \text{Null} |\!\} \mapsto$ *'p* ::= *'p* \rightarrow *'next*;;
 $\{\!| \text{'r} \neq \text{Null} |\!\} \mapsto$ *'r* \rightarrow *'next* ::= *'sl-q*;; *'sl-q* ::= *'r*
 OD

print-theorems

lemma (**in** *Rev-impl*)

Rev-modifies:
 $\forall \sigma. \Gamma \vdash /UNIV \{\sigma\} \text{'sl-q} ::= PROC \text{Rev}(\text{'p}) \{t. t \text{ may-only-modify-globals } \sigma \text{ in } [next]\}$
apply (*hoare-rule HoarePartial.ProcNoRec1*)
apply (*vcg spec=modifies*)
done

lemma (**in** *Rev-impl*) **shows**

Rev-spec:
 $\forall Ps. \Gamma \vdash \{\!| List \text{'p} \text{'next} Ps |\!\} \text{'sl-q} ::= PROC \text{Rev}(\text{'p}) \{\!| List \text{'sl-q} \text{'next} (rev Ps) |\!\}$
apply (*hoare-rule HoarePartial.ProcNoRec1*)
apply (*hoare-rule anno* =
 'sl-q ::= *Null*;;
 WHILE *'p* \neq *Null* **INV** $\{\!| \exists Ps' Qs'. List \text{'p} \text{'next} Ps' \wedge List \text{'sl-q} \text{'next} Qs' \wedge$
 $set Ps' \cap set Qs' = \{\} \wedge$
 $rev Ps' @ Qs' = rev Ps |\!\}$
 DO
 'r ::= *'p*;; $\{\!| \text{'p} \neq \text{Null} |\!\} \mapsto$ *'p* ::= *'p* \rightarrow *'next*;;
 $\{\!| \text{'r} \neq \text{Null} |\!\} \mapsto$ *'r* \rightarrow *'next* ::= *'sl-q*;; *'sl-q* ::= *'r*
 OD **in** *HoarePartial.annotateI*)
apply *vcg*
apply *clarsimp*

```

apply fastforce
apply clarsimp
done

```

```

declare [[names-unique = false]]

```

```

record globals =
  strnext-' :: ref  $\Rightarrow$  ref
  chr-' :: ref  $\Rightarrow$  char

  qnext-' :: ref  $\Rightarrow$  ref
  cont-' :: ref  $\Rightarrow$  int
record state = globals state +
  str-' :: ref
  queue-': :: ref
  q-' :: ref
  r-' :: ref

```

```

definition project-globals-str:: globals  $\Rightarrow$  globals-list
  where project-globals-str g = ( $\lambda$ next-' = strnext-' g)

```

```

definition project-str:: state  $\Rightarrow$  state-list
where
  project-str s =
    ( $\lambda$ globals = project-globals-str (globals s),
     state-list.p-' = str-' s, sl-q-' = q-' s, state-list.r-' = r-' s)

```

```

definition inject-globals-str::
  globals  $\Rightarrow$  globals-list  $\Rightarrow$  globals
where

```

```

  inject-globals-str G g =
    G( $\lambda$ strnext-' := next-' g)

```

```

definition inject-str::state  $\Rightarrow$  state-list  $\Rightarrow$  state where
  inject-str S s = S( $\lambda$ globals := inject-globals-str (globals S) (globals s),
    str-' := state-list.p-' s, q-' := sl-q-' s,
    r-' := state-list.r-' s)

```

```

lemma globals-inject-project-str-commutes:
  inject-globals-str G (project-globals-str G) = G
by (simp add: inject-globals-str-def project-globals-str-def)

```

```

lemma inject-project-str-commutes: inject-str S (project-str S) = S
by (simp add: inject-str-def project-str-def globals-inject-project-str-commutes)

```

```

lemma globals-project-inject-str-commutes:
  project-globals-str (inject-globals-str G g) = g
by (simp add: inject-globals-str-def project-globals-str-def)

```


lemma *project-inject-str-commutes*: $\text{project-str } (\text{inject-str } S \ s) = s$
by (*simp add: inject-str-def project-str-def globals-project-inject-str-commutes*)

lemma *globals-inject-str-last*:
 $\text{inject-globals-str } (\text{inject-globals-str } G \ g) \ g' = \text{inject-globals-str } G \ g'$
by (*simp add: inject-globals-str-def*)

lemma *inject-str-last*:
 $\text{inject-str } (\text{inject-str } S \ s) \ s' = \text{inject-str } S \ s'$
by (*simp add: inject-str-def globals-inject-str-last*)

definition

$\text{lift}_e = (\lambda \Gamma \ p. \text{map-option } (\text{lift}_c \ \text{project-str } \text{inject-str}) \ (\Gamma \ p))$

print-locale *lift-state-space*

interpretation *ex: lift-state-space project-str inject-str*
 $\text{xstate-map project-str lift}_e \ \text{lift}_c \ \text{project-str inject-str}$
 $\text{lift}_f \ \text{project-str inject-str lift}_s \ \text{project-str}$
 $\text{lift}_r \ \text{project-str inject-str}$

apply –

apply (rule *lift-state-space.intro*)

apply (rule *project-inject-str-commutes*)

apply *simp*

apply *simp*

apply (*simp add: lift_e-def*)

apply *simp*

apply *simp*

apply *simp*

done

interpretation *ex: lift-state-space-ext project-str inject-str*
 $\text{xstate-map project-str lift}_e \ \text{lift}_c \ \text{project-str inject-str}$
 $\text{lift}_f \ \text{project-str inject-str lift}_s \ \text{project-str}$
 $\text{lift}_r \ \text{project-str inject-str}$

apply –

apply *intro-locales* [1]

apply (rule *lift-state-space-ext-axioms.intro*)

apply (rule *inject-project-str-commutes*)

apply (rule *inject-str-last*)

apply (*simp-all add: lift_e-def*)

done

lemmas *Rev-lift-spec* = *ex.lift-hoarep'* [*OF Rev-impl.Rev-spec,simplified lift_s-def project-str-def project-globals-str-def,simplified, of - "Rev"*]

print-theorems

definition $\mathcal{N} \ p' \ p = (\text{if } p = \text{"Rev"} \text{ then } p' \text{ else ""})$

procedures $\text{RevStr}(str|q) = \text{rename } (\mathcal{N} \ \text{RevStr-'}proc)$
 $(\text{lift}_c \ \text{project-str} \ \text{inject-str} \ (\text{Rev-body.Rev-body}))$

lemmas $\text{Rev-lift-spec}' =$
 $\text{Rev-lift-spec} \ [\text{of } [\text{"Rev"} \mapsto \text{Rev-body.Rev-body}] ,$
 $\text{simplified } \text{Rev-impl-def } \text{Rev-clique-def}, \text{simplified}]$
thm $\text{Rev-lift-spec}'$

lemma $\text{Rev-lift-spec}''$:
 $\forall Ps. \text{lift}_e [\text{"Rev"} \mapsto \text{Rev-body.Rev-body}]$
 $\vdash \{ \text{List } 'str \ 'strnext \ Ps \} \ \text{Call } \text{"Rev"} \ \{ \text{List } 'q \ 'strnext \ (\text{rev } Ps) \}$
by $(\text{rule } \text{Rev-lift-spec}')$

lemma $(\text{in } \text{RevStr-impl}) \ \mathcal{N}\text{-ok}$:
 $\forall p \ \text{bdy}. (\text{lift}_e [\text{"Rev"} \mapsto \text{Rev-body.Rev-body}]) \ p = \text{Some } \text{bdy} \longrightarrow$
 $\Gamma \ (\mathcal{N} \ \text{RevStr-'}proc \ p) = \text{Some } (\text{rename } (\mathcal{N} \ \text{RevStr-'}proc) \ \text{bdy})$
apply $(\text{insert } \text{RevStr-impl})$
apply $(\text{auto simp add: } \text{RevStr-body-def } \text{lift}_e\text{-def } \mathcal{N}\text{-def})$
done

context RevStr-impl
begin
thm $\text{hoare-to-hoare-rename}'[OF \ - \ \text{Rev-lift-spec}'', OF \ \mathcal{N}\text{-ok},$
 $\text{simplified } \mathcal{N}\text{-def}, \text{simplified}]$
end

lemmas $(\text{in } \text{RevStr-impl}) \ \text{RevStr-spec} =$
 $\text{hoare-to-hoare-rename}'[OF \ - \ \text{Rev-lift-spec}'', OF \ \mathcal{N}\text{-ok},$
 $\text{simplified } \mathcal{N}\text{-def}, \text{simplified}]$

lemma $(\text{in } \text{RevStr-impl}) \ \text{RevStr-spec}'$:
 $\forall Ps. \Gamma \vdash \{ \text{List } 'str \ 'strnext \ Ps \} \ 'q := \text{PROC } \text{RevStr}('str)$
 $\{ \text{List } 'q \ 'strnext \ (\text{rev } Ps) \}$
by $(\text{rule } \text{RevStr-spec})$

lemmas $\text{Rev-modifies}' =$
 $\text{Rev-impl.Rev-modifies} \ [\text{of } [\text{"Rev"} \mapsto \text{Rev-body.Rev-body}], \text{simplified } \text{Rev-impl-def},$
 $\text{simplified}]$
thm $\text{Rev-modifies}'$

```

context RevStr-impl
begin
lemmas RevStr-modifies' =
  hoare-to-hoare-rename' [OF - ex.hoare-lift-modifies' [OF Rev-modifies'],
    OF N-ok, of "Rev", simplified N-def Rev-clique-def,simplified]
end

lemma (in RevStr-impl) RevStr-modifies:
 $\forall \sigma. \Gamma \vdash_{UNIV} \{\sigma\} \text{ 'str } ::= PROC \text{ RevStr}(\text{'str})$ 
  {t. t may-only-modify-globals  $\sigma$  in [strnext]}
apply (rule allI)
apply (rule HoarePartialProps.ConseqMGT [OF RevStr-modifies'])
apply (clarsimp simp add:
  lifts-def mex-def meq-def
  project-str-def inject-str-def project-globals-str-def inject-globals-str-def)
apply blast
done

end

```

26 User Guide

We introduce the verification environment with a couple of examples that illustrate how to use the different bits and pieces to verify programs.

26.1 Basics

First of all we have to decide how to represent the state space. There are currently two implementations. One is based on records the other one on the concept called ‘statespace’ that was introduced with Isabelle 2007 (see *HOL/Statespace*) . In contrast to records a ‘statespace’ does not define a new type, but provides a notion of state, based on locales. Logically the state is modelled as a function from (abstract) names to (abstract) values and the statespace infrastructure organises distinctness of names an projection/injection of concrete values into the abstract one. Towards the user the interface of records and statespaces is quite similar. However, statespaces offer more flexibility, inherited from the locale infrastructure, in particular multiple inheritance and renaming of components.

In this user guide we prefer statespaces, but give some comments on the usage of records in Section 26.9.

```

hoarestate vars =
  A :: nat
  I :: nat
  M :: nat

```

$N :: nat$
 $R :: nat$
 $S :: nat$

The command **hoarestate** is a simple preprocessor for the command **statespaces** which decorates the state components with the suffix $-'$, to avoid cluttering the namespace. Also note that underscores are printed as hyphens in this documentation. So what you see as A' in this document is actually $A_'$. Every component name becomes a fixed variable in the locale *vars* and can no longer be used for logical variables.

Lookup of a component A' in a state s is written as $s \cdot A'$, and update with a value *term* v as $s \langle A' := v \rangle$.

To deal with local and global variables in the context of procedures the program state is organised as a record containing the two componets *locals* and *globals*. The variables defined in hoarestate *vars* reside in the *locals* part.

Here is a first example.

lemma (**in** *vars*) $\Gamma \vdash \{ N = 5 \} \ N := 2 * N \ \{ N = 10 \}$
apply *vcg*

1. $\bigwedge N. N = 5 \implies 2 * N = 10$

apply *simp*

No subgoals!

done

We enable the locale of statespace *vars* by the **in vars** directive. The verification condition generator is invoked via the *vcg* method and leaves us with the expected subgoal that can be proved by simplification.

If we refer to components (variables) of the state-space of the program we always mark these with $'$ (in assertions and also in the program itself). It is the acute-symbol and is present on most keyboards. The assertions of the Hoare tuple are ordinary Isabelle sets. As we usually want to refer to the state space in the assertions, we provide special brackets for them. They can be written as $\{ | \ | \}$ in ASCII or $\{ \} \}$ with symbols. Internally, marking variables has two effects. First of all we refer to the implicit state and secondary we get rid of the suffix $-'$. So the assertion $\{ N = 5 \}$ internally gets expanded to $\{ s. locals \ s \cdot N' = 5 \}$ written in ordinary set comprehension notation of Isabelle. It describes the set of states where the N' component is equal to 5. An empty context and an empty postcondition for abrupt termination can be omitted. The lemma above is a shorthand for $\Gamma, \{ \} \vdash \{ N = 5 \} \ N := 2 * N \ \{ N = 10 \}, \{ \}$.

We can step through verification condition generation by the method *vcg-step*.

lemma (in vars) $\Gamma, \{\} \vdash \llbracket 'N = 5 \rrbracket \ 'N ::= 2 * 'N \llbracket 'N = 10 \rrbracket$
apply *vcg-step*

1. $\llbracket 'N = 5 \rrbracket \subseteq \llbracket 2 * 'N = 10 \rrbracket$

The last step of verification condition generation, transforms the inclusion of state sets to the corresponding predicate on components of the state space.

apply *vcg-step*

1. $\bigwedge N. N = 5 \implies 2 * N = 10$

by *simp*

Although our assertions work semantically on the state space, stepping through verification condition generation “feels” like the expected syntactic substitutions of traditional Hoare logic. This is achieved by light simplification on the assertions calculated by the Hoare rules.

lemma (in vars) $\Gamma \vdash \llbracket 'N = 5 \rrbracket \ 'N ::= 2 * 'N \llbracket 'N = 10 \rrbracket$
apply (rule *HoarePartial.Basic*)

1. $\llbracket 'N = 5 \rrbracket \subseteq \{s \mid s(\llbracket locals := locals \ s \langle N' := 2 * (locals \ s \cdot N') \rrbracket \rangle) \in \llbracket 'N = 10 \rrbracket\}$

apply (simp only: *mem-Collect-eq*)

1. $\llbracket 'N = 5 \rrbracket \subseteq \{s \mid locals \ (s(\llbracket locals := locals \ s \langle N' := 2 * (locals \ s \cdot N') \rrbracket \rangle)) \cdot N' = 10\}$

apply (tactic
 $\langle Hoare.BasicSimpTac \ @\{context\} \ Hoare.Function \ false$
 $\ [] \ (K \ all-tac) \ 1 \rangle$)

1. $\llbracket 'N = 5 \rrbracket \subseteq \llbracket 2 * 'N = 10 \rrbracket$

by *simp*

The next example shows how we deal with the while loop. Note the invariant annotation.

lemma (in vars)
 $\Gamma, \{\} \vdash \llbracket 'M = 0 \wedge 'S = 0 \rrbracket$
 $\quad \text{WHILE } 'M \neq a$
 $\quad \text{INV } \llbracket 'S = 'M * b \rrbracket$
 $\quad \text{DO } 'S ::= 'S + b;; 'M ::= 'M + 1 \text{ OD}$
 $\quad \llbracket 'S = a * b \rrbracket$
apply *vcg*

1. $\bigwedge M \ S. \llbracket M = 0; S = 0 \rrbracket \implies S = M * b$
2. $\bigwedge M \ S. \llbracket S = M * b; M \neq a \rrbracket \implies S + b = (M + 1) * b$
3. $\bigwedge M \ S. \llbracket S = M * b; \neg M \neq a \rrbracket \implies S = a * b$

The verification condition generator gives us three proof obligations, stemming from the path from the precondition to the invariant, from the invariant together with

loop condition through the loop body to the invariant, and finally from the invariant together with the negated loop condition to the postcondition.

```

apply auto
done

```

26.2 Procedures

26.2.1 Declaration

Our first procedure is a simple square procedure. We provide the command **procedures**, to declare and define a procedure.

```

procedures
  Square ( $N::nat|R::nat$ )
    where  $I::nat$  in
       $R ::= N * N$ 

```

A procedure is given by the signature of the procedure followed by the procedure body. The signature consists of the name of the procedure and a list of parameters together with their types. The parameters in front of the pipe `|` are value parameters and behind the pipe are the result parameters. Value parameters model call by value semantics. The value of a result parameter at the end of the procedure is passed back to the caller. Local variables follow the *where*. If there are no local variables the *where ... in* can be omitted. The variable I is actually unused in the body, but is used in the examples below.

The **procedures** command provides convenient syntax for procedure calls (that creates the proper *init*, *return* and *result* functions on the fly) and creates locales and statespaces to reason about the procedure. The purpose of locales is to set up logical contexts to support modular reasoning. Locales can be seen as freeze-dried proof contexts that get alive as you setup a new lemma or theorem ([2]). The locale the user deals with is named *Square-impl*. It defines the procedure name (internally *Square-'proc*), the procedure body (named *Square-body*) and the statespaces for parameters and local and global variables. Moreover it contains the assumption Γ *Square-'proc* = *Some Square-body*, which states that the procedure is properly defined in the procedure context.

The purpose of the locale is to give us easy means to setup the context in which we prove programs correct. In this locale the procedure context Γ is fixed. So we always use this letter for the procedure specification. This is crucial, if we prove programs under the assumption of some procedure specifications.

The **procedures** command generates syntax, so that we can either write *CALL Square*(I, R) or $I ::= CALL Square(R)$ for the procedure call. The internal term is the following:

$call (\lambda s. s(\llbracket locals := locals \ s \langle N\text{-}'Square\text{'}\rangle := locals \ s \cdot I\text{'}'Square\text{'}\rangle \rrbracket))$
 $Square\text{'}'proc (\lambda s \ t. s(\llbracket globals := globals \ t \rrbracket))$
 $(\lambda i \ t. \text{'}R := locals \ t \cdot R\text{'}'Square\text{'})$

Note the additional decoration (with the procedure name) of the parameter and local variable names.

The abstract syntax for the procedure call is *call init p return result*. The *init* function copies the values of the actual parameters to the formal parameters, the *return* function copies the global variables back (in our case there are no global variables), and the *result* function additionally copies the values of the formal result parameters to the actual locations. Actual value parameters can be all kind of expressions, since we only need their value. But result parameters must be proper “lvalues”: variables (including dereferenced pointers) or array locations, since we have to assign values to them.

26.2.2 Verification

A procedure specification is an ordinary Hoare tuple. We use the parameterless call for the specification; $\text{'}R := PROC \ Square(\text{'}N)$ is syntactic sugar for *Call Square-'proc*. This emphasises that the specification describes the internal behaviour of the procedure, whereas parameter passing corresponds to the procedure call. The following precondition fixes the current value $\text{'}N$ to the logical variable n . Universal quantification of n enables us to adapt the specification to an actual parameter. The specification is used in the rule for procedure call when we come upon a call to *Square*. Thus n plays the role of the auxiliary variable Z .

To verify the procedure we need to verify the body. We use a derived variant of the general recursion rule, tailored for non recursive procedures: *HoarePartial.ProcNoRec1*:

$$\frac{\llbracket \forall Z. \Gamma, \Theta \vdash_F (P \ Z) \text{ the } (\Gamma \ p) \ (Q \ Z), (A \ Z); p \in dom \ \Gamma \rrbracket \implies \forall Z. \Gamma, \Theta \vdash_F (P \ Z) \ Call \ p \ (Q \ Z), (A \ Z)}{\quad}$$

The naming convention for the rule is the following: The *1* expresses that we look at one procedure, and *NoRec* that the procedure is non recursive.

lemma (*in Square-impl*)

shows $\forall n. \Gamma \vdash \llbracket \text{'}N = n \rrbracket \ \text{'}R := PROC \ Square(\text{'}N) \ \llbracket \text{'}R = n * n \rrbracket$

The directive *in* has the effect that the context of the locale *Square-impl* is included to the current lemma, and that the lemma is added as a fact to the locale, after it is proven. The next time locale *Square-impl* is invoked this lemma is immediately available as fact, which the verification condition generator can use.

apply (*hoare-rule HoarePartial.ProcNoRec1*)

$$1. \forall n. \Gamma \vdash \llbracket 'N = n \rrbracket \ 'R ::= 'N * 'N \ \llbracket 'R = n * n \rrbracket$$

The method *hoare-rule*, like *rule* applies a single rule, but additionally does some “obvious” steps: It solves the canonical side-conditions of various Hoare-rules and it automatically expands the procedure body: With *Square-impl*: $\Gamma \text{ Square-}proc = \text{Some Square-body}$ we get the procedure body out of the procedure context Γ ; with *Square-body-def*: $\text{Square-body} \equiv 'R ::= 'N * 'N$ we can unfold the definition of the body.

The proof is finished by the *vcg* and *simp*.

$$1. \forall n. \Gamma \vdash \llbracket 'N = n \rrbracket \ 'R ::= 'N * 'N \ \llbracket 'R = n * n \rrbracket$$

by *vcg simp*

If the procedure is non recursive and there is no specification given, the verification condition generator automatically expands the body.

lemma (in *Square-impl*) *Square-spec*:

shows $\forall n. \Gamma \vdash \llbracket 'N = n \rrbracket \ 'R ::= PROC \text{ Square}('N) \ \llbracket 'R = n * n \rrbracket$

by *vcg simp*

An important naming convention is to name the specification as *<procedure-name>-spec*.

The verification condition generator refers to this name in order to search for a specification in the theorem database.

26.2.3 Usage

Let us see how we can use procedure specifications.

lemma (in *Square-impl*)

shows $\Gamma \vdash \llbracket 'I = 2 \rrbracket \ 'R ::= CALL \text{ Square}('I) \ \llbracket 'R = 4 \rrbracket$

Remember that we have already proven *Square-spec* in the locale *Square-impl*. This is crucial for verification condition generation. When reaching a procedure call, it looks for the specification (by its name) and applies the rule *HoarePartial.ProcSpec* instantiated with the specification (as last premise). Before we apply the verification condition generator, let us take some time to think of what we can expect. Let's look at the specification *Square-spec* again:

$$\forall n. \Gamma \vdash \llbracket 'N = n \rrbracket \ 'R ::= PROC \text{ Square}('N) \ \llbracket 'R = n * n \rrbracket$$

The specification talks about the formal parameters *N* and *R*. The precondition $\llbracket 'N = n \rrbracket$ just fixes the initial value of *N*. The actual parameters are *I* and *R*. We have to adapt the specification to this calling context. $\forall n. \Gamma \vdash \llbracket 'I = n \rrbracket \ 'R ::= CALL \text{ Square}('I) \ \llbracket 'R = n * n \rrbracket$. From the postcondition $\llbracket 'R = n * n \rrbracket$ we have to derive the actual postcondition $\llbracket 'R = 4 \rrbracket$. So we gain something like: $\llbracket n * n = 4 \rrbracket$. The precondition is $\llbracket 'I = 2 \rrbracket$ and the specification tells us $\llbracket 'I = n \rrbracket$ for the pre-state. So the value of *n* is the value of *I* in the pre-state. So we arrive at $\llbracket 'I = 2 \rrbracket \subseteq \llbracket 'I * 'I = 4 \rrbracket$.

apply *vcg-step*

$$1. \llbracket I = 2 \rrbracket \subseteq \llbracket \forall t. {}^tR = I * I \longrightarrow I * I = 4 \rrbracket$$

The second set looks slightly more involved: $\llbracket \forall t. {}^tR = I * I \longrightarrow I * I = 4 \rrbracket$, this is an artefact from the procedure call rule. Originally $I * I = 4$ was ${}^tR = 4$. Where t denotes the final state of the procedure and the superscript notation allows to select a component from a particular state.

apply *vcg-step*

$$1. \bigwedge I. I = 2 \implies \forall R. R = I * I \longrightarrow I * I = 4$$

by *simp*

The adaption of the procedure specification to the actual calling context is done due to the *init*, *return* and *result* functions in the rule *HoarePartial.ProcSpec* (or in the variant *HoarePartial.ProcSpecNoAbrupt* which already incorporates the fact that the postcondition for abrupt termination is the empty set). For the readers interested in the internals, here a version without *vcg*.

lemma (in *Square-impl*)

shows $\Gamma \vdash \llbracket I = 2 \rrbracket \text{ 'R } := \text{CALL Square}(I) \llbracket R = 4 \rrbracket$

apply (rule *HoarePartial.ProcSpecNoAbrupt* [*OF* - - *Square-spec*])

$$\begin{aligned} 1. & \llbracket I = 2 \rrbracket \\ & \subseteq \{s \mid \exists Z. s \langle \text{locals} := \text{locals } s \langle N\text{'Square-'} := \text{locals } s \cdot I\text{'Square-'} \rangle \rangle \\ & \quad \in \llbracket N = Z \rrbracket \wedge \\ & \quad (\forall t. t \in \llbracket R = Z * Z \rrbracket \longrightarrow s \langle \text{globals} := \text{globals } t \rangle \in ?R \text{ } s \text{ } t) \} \\ 2. & \forall s \text{ } t. \Gamma \vdash (?R \text{ } s \text{ } t) \text{ 'R } := \text{locals } t \cdot R\text{'Square-'} \llbracket R = 4 \rrbracket \end{aligned}$$

This is the raw verification condition, It is interesting to see how the auxiliary variable Z is actually used. It is unified with n of the specification and fixes the state after parameter passing.

apply *simp*

$$\begin{aligned} 1. & \llbracket I = 2 \rrbracket \\ & \subseteq \{s \mid \forall t. \text{locals } t \cdot R\text{'Square-'} = \\ & \quad (\text{locals } s \cdot I\text{'Square-'}) * (\text{locals } s \cdot I\text{'Square-'}) \longrightarrow \\ & \quad s \langle \text{globals} := \text{globals } t \rangle \in ?R \text{ } s \text{ } t \} \\ 2. & \forall s \text{ } t. \Gamma \vdash (?R \text{ } s \text{ } t) \text{ 'R } := \text{locals } t \cdot R\text{'Square-'} \llbracket R = 4 \rrbracket \end{aligned}$$

prefer 2

apply *vcg-step*

1. $\{\!| I = 2 |\!\}$
 $\subseteq \{s \mid \forall t. \text{locals } t.R\text{'Square-'} =$
 $(\text{locals } s.I\text{'Square-'}) * (\text{locals } s.I\text{'Square-'}) \longrightarrow$
 $s(\text{globals} := \text{globals } t) \in \{\!| R = 4 |\!\}\}$

apply (*auto intro: ext*)
done

26.2.4 Recursion

We want to define a procedure for the factorial. We first define a HOL function that calculates it, to specify the procedure later on.

primrec *fac*:: *nat* \Rightarrow *nat*
where
fac 0 = 1 |
fac (Suc *n*) = (Suc *n*) * *fac* *n*

Now we define the procedure.

procedures
Fac (*N*::*nat* | *R*::*nat*)
 IF '*N* = 0 THEN '*R* ::= 1
 ELSE '*R* ::= CALL *Fac* ('*N* - 1);;
 '*R* ::= '*N* * '*R*
 FI

Now let us prove that our implementation of *Fac* meets its specification.

lemma (*in Fac-impl*)
shows $\forall n. \Gamma \vdash \{\!| N = n |\!\} \text{'R} ::= \text{PROC } \text{Fac}(\text{'N}) \{\!| R = \text{fac } n |\!\}$
apply (*hoare-rule HoarePartial.ProcRec1*)

1. $\forall n. \Gamma, (\bigcup_n \{(\{\!| N = n |\!\}, \text{Fac-'}proc, \{\!| R = \text{fac } n |\!\}, \emptyset)\})$
 $\vdash \{\!| N = n |\!\}$
 IF '*N* = 0 THEN '*R* ::= 1
 ELSE '*R* ::= CALL *Fac* ('*N* - 1);; '*R* ::= '*N* * '*R* FI
 $\{\!| R = \text{fac } n |\!\}$

apply *vcg*

1. $\bigwedge N. (N = 0 \longrightarrow 1 = \text{fac } N) \wedge$
 $(N \neq 0 \longrightarrow (\forall R. R = \text{fac } (N - 1) \longrightarrow N * \text{fac } (N - 1) = \text{fac } N))$

apply *simp*
done

Since the factorial is implemented recursively, the main ingredient of this proof is, to assume that the specification holds for the recursive call of *Fac*

and prove the body correct. The assumption for recursive calls is added to the context by the rule *HoarePartial.ProcRec1* (also derived from the general rule for mutually recursive procedures):

$$\llbracket \forall Z. \Gamma, \Theta \cup (\bigcup_Z \{(P\ Z, p, Q\ Z, A\ Z)\}) \vdash_F (P\ Z)\ \text{the } (\Gamma\ p)\ (Q\ Z), (A\ Z); p \in \text{dom } \Gamma \rrbracket \implies \forall Z. \Gamma, \Theta \vdash_F (P\ Z)\ \text{Call } p\ (Q\ Z), (A\ Z)$$

The verification condition generator infers the specification out of the context Θ when it encounters a recursive call of the factorial.

26.3 Global Variables and Heap

Now we define and verify some procedures on heap-lists. We consider list structures consisting of two fields, a content element *cont* and a reference to the next list element *next*. We model this by the following state space where every field has its own heap.

hoarestate *globals-heap* =
next :: *ref* \Rightarrow *ref*
cont :: *ref* \Rightarrow *nat*

It is mandatory to start the state name with ‘globals’. This is exploited by the syntax translations to store the components in the *globals* part of the state.

Updates to global components inside a procedure are always propagated to the caller. This is implicitly done by the parameter passing syntax translations.

We first define an append function on lists. It takes two references as parameters. It appends the list referred to by the first parameter with the list referred to by the second parameter. The statespace of the global variables has to be imported.

procedures (**imports** *globals-heap*)
append(*p* :: *ref*, *q*::*ref* | *p*::*ref*)
 IF *p*=Null THEN *p* ::= *q*
 ELSE *p*→*next* ::= CALL *append*(*p*→*next*, *q*) FI

The difference of a global and a local variable is that global variables are automatically copied back to the procedure caller. We can study this effect on the translation of *p* ::= CALL *append*(*p*, *q*):

call
 ($\lambda s. s(\llbracket \text{locals} := \text{locals } s \langle p\text{'append-'} := \text{locals } s \cdot p\text{'append-'}, q\text{'append-'} := \text{locals } s \cdot q\text{'append-'} \rangle \rrbracket$)
append-proc ($\lambda s\ t. s(\llbracket \text{globals} := \text{globals } t \rrbracket)$)
 ($\lambda i\ t. i\ p ::= \text{locals } t \cdot p\text{'append-}'$)

Below we give two specifications this time. One captures the functional behaviour and focuses on the entities that are potentially modified by the procedure, the second one is a pure frame condition.

The functional specification below introduces two logical variables besides the state space variable σ , namely Ps and Qs . They are universally quantified and range over both the pre-and the postcondition, so that we are able to properly instantiate the specification during the proofs. The syntax $\{\sigma. \dots\}$ is a shorthand to fix the current state: $\{s. \sigma = s \dots\}$. Moreover ${}^\sigma x$ abbreviates the lookup of variable x in the state σ .

The approach to specify procedures on lists basically follows [5]. From the pointer structure in the heap we (relationally) abstract to HOL lists of references. Then we can specify further properties on the level of HOL lists, rather than on the heap. The basic abstractions are:

$$\begin{aligned} \text{Path } x \ h \ y \ [] &= (x = y) \\ \text{Path } x \ h \ y \ (p \cdot ps) &= (x = p \wedge x \neq \text{Null} \wedge \text{Path } (h \ x) \ h \ y \ ps) \end{aligned}$$

$\text{Path } (x::\text{ref}) \ (h::\text{ref} \Rightarrow \text{ref}) \ (y::\text{ref}) \ (ps::\text{ref list})$: ps is a list of references that we can obtain out of the heap h by starting with the reference x , following the references in h up to the reference y .

$$\text{List } p \ h \ ps = \text{Path } p \ h \ \text{Null } ps$$

A list $\text{List } p \ h \ ps$ is a path starting in p and ending up in Null .

lemma (in *append-impl*) *append-spec1*:

shows $\forall \sigma \ Ps \ Qs.$

$$\begin{aligned} \Gamma \vdash \{ \sigma. \text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \} \\ 'p ::= \text{PROC append}('p, 'q) \\ \{ \text{List } 'p \ 'next \ (Ps @ Qs) \wedge (\forall x. x \notin \text{set } Ps \longrightarrow 'next \ x = {}^\sigma next \ x) \} \end{aligned}$$

apply (*hoare-rule HoarePartial.ProcRec1*)

1. $\forall \sigma \ Ps \ Qs.$

$$\begin{aligned} \Gamma, (\bigcup_{\sigma \ Ps \ Qs} \{ (\{ \sigma. \text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\}, \\ \text{append-}'proc, \\ \{ \text{List } 'p \ 'next \ (Ps @ Qs) \wedge \\ (\forall x. x \notin \text{set } Ps \longrightarrow x \rightarrow 'next = {}^\sigma next \ x) \}, \\ \emptyset \} \}) \\ \vdash \{ \sigma. \text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \\ \text{IF } 'p = \text{Null} \text{ THEN } 'p ::= 'q \\ \text{ELSE } 'p \rightarrow 'next ::= \text{CALL append}('p \rightarrow 'next, 'q) \text{ FI} \\ \{ \text{List } 'p \ 'next \ (Ps @ Qs) \wedge (\forall x. x \notin \text{set } Ps \longrightarrow x \rightarrow 'next = {}^\sigma next \ x) \} \} \end{aligned}$$

Note that *hoare-rule* takes care of multiple auxiliary variables! *HoarePartial.ProcRec1* has only one auxiliary variable, namely Z . But the type of Z can be instantiated

arbitrarily. So *hoare-rule* instantiates Z with the tuple (σ, Ps, Qs) and derives a proper variant of the rule. Therefore *hoare-rule* depends on the proper quantification of auxiliary variables!

apply *vsg*

$$\begin{aligned}
1. \bigwedge Ps \ Qs \ next \ p \ q. \\
& \llbracket List \ p \ next \ Ps; List \ q \ next \ Qs; set \ Ps \cap set \ Qs = \emptyset \rrbracket \\
& \implies (p = Null \longrightarrow \\
& \quad List \ q \ next \ (Ps @ Qs) \wedge (\forall x. x \notin set \ Ps \longrightarrow next \ x = next \ x)) \wedge \\
& \quad (p \neq Null \longrightarrow \\
& \quad \quad (\exists Ps a. List \ (next \ p) \ next \ Ps a \wedge \\
& \quad \quad \quad (\exists Qs a. List \ q \ next \ Qs a \wedge \\
& \quad \quad \quad \quad set \ Ps a \cap set \ Qs a = \emptyset \wedge \\
& \quad \quad \quad \quad (\forall next a \ pa. \\
& \quad \quad \quad \quad \quad List \ pa \ next a \ (Ps a @ Qs a) \wedge \\
& \quad \quad \quad \quad \quad (\forall x. x \notin set \ Ps a \longrightarrow next a \ x = next \ x) \longrightarrow \\
& \quad \quad \quad \quad \quad List \ p \ (next a (p := pa)) \ (Ps @ Qs) \wedge \\
& \quad \quad \quad \quad \quad (\forall x. x \notin set \ Ps \longrightarrow \\
& \quad \quad \quad \quad \quad \quad (next a (p := pa)) \ x = next \ x))))))
\end{aligned}$$

For each branch of the *IF* statement we have one conjunct to prove. The *THEN* branch starts with $p = Null \longrightarrow \dots$ and the *ELSE* branch with $p \neq Null \longrightarrow \dots$. Let us focus on the *ELSE* branch, where the recursive call to *append* occurs. First of all we have to prove that the precondition for the recursive call is fulfilled. That means we have to provide some witnesses for the lists $Ps a$ and $Qs a$ which are referenced by $p \rightarrow next$ (now written as $next \ p$) and q . Then we have to show that we can derive the overall postcondition from the postcondition of the recursive call. The state components that have changed by the recursive call are the ones with the suffix a , like $next a$ and pa .

apply *fastforce*
done

If the verification condition generator works on a procedure call it checks whether it can find a modifies clause in the context. If one is present the procedure call is simplified before the Hoare rule *HoarePartial.ProcSpec* is applied. Simplification of the procedure call means that the “copy back” of the global components is simplified. Only those components that occur in the modifies clause are actually copied back. This simplification is justified by the rule *HoarePartial.ProcModifyReturn*. So after this simplification all global components that do not appear in the modifies clause are treated as local variables.

We study the effect of the modifies clause on the following examples, where we want to prove that $(@)$ does not change the *cont* part of the heap.

lemma (in *append-impl*)

shows $\Gamma \vdash \{ 'cont=c \} \ 'p ::= CALL \ append(Null, Null) \ \{ 'cont=c \}$

proof –

```

note append-spec = append-spec1
show ?thesis
apply vcg

```

```

1.  $\bigwedge_{cont\ next}.$ 
    $\exists Ps. List\ Null\ next\ Ps \wedge$ 
    $(\exists Qs. List\ Null\ next\ Qs \wedge$ 
    $set\ Ps \cap set\ Qs = \emptyset \wedge$ 
    $(\forall conta\ nexta\ p.$ 
    $List\ p\ nexta\ (Ps\ @\ Qs) \wedge$ 
    $(\forall x. x \notin set\ Ps \longrightarrow nexta\ x = next\ x) \longrightarrow$ 
    $conta = cont))$ 

```

Only focus on the very last line: *conta* is the heap component after the procedure call, and *cont* the heap component before the procedure call. Since we have not added the modified clause we do not know that they have to be equal.

oops

We now add the frame condition. The list in the modifies clause names all global state components that may be changed by the procedure. Note that we know from the modifies clause that the *cont* parts are not changed. Also a small side note on the syntax. We use ordinary brackets in the postcondition of the modifies clause, and also the state components do not carry the acute, because we explicitly note the state *t* here.

```

lemma (in append-impl) append-modifies:
shows  $\forall \sigma. \Gamma \vdash_{UNIV} \{ \sigma \} \ 'p := PROC\ append(\ 'p, \ 'q)$ 
 $\{ t. t\ may-only-modify-globals\ \sigma\ in\ [next] \}$ 
apply (hoare-rule HoarePartial.ProcRec1)
apply (vcg spec=modifies)
done

```

We tell the verification condition generator to use only the modifies clauses and not to search for functional specifications by the parameter *spec=modifies*. It also tries to solve the verification conditions automatically. Again it is crucial to name the lemma with this naming scheme, since the verification condition generator searches for these names.

The modifies clause is equal to a state update specification of the following form.

```

lemma (in append-impl) shows  $\{ t. t\ may-only-modify-globals\ Z\ in\ [next] \}$ 
=
 $\{ t. \exists next. globals\ t=update\ id\ id\ next-' (K-statefun\ next)\ (globals\ Z) \}$ 
apply (unfold mex-def meq-def)
apply simp
done

```

Now that we have proven the frame-condition, it is available within the locale *append-impl* and the *vcg* exploits it.

```

lemma (in append-impl)
shows  $\Gamma \vdash \{\text{'cont}=c\} \text{'p} ::= \text{CALL append}(\text{Null}, \text{Null}) \{\text{'cont}=c\}$ 
proof –
  note append-spec = append-spec1
  show ?thesis
  apply vcg

```

```

1.  $\bigwedge \text{cont next.}$ 
    $\exists Ps. \text{List Null next Ps} \wedge$ 
    $(\exists Qs. \text{List Null next Qs} \wedge$ 
      $\text{set Ps} \cap \text{set Qs} = \emptyset \wedge$ 
      $(\forall \text{nexta } p.$ 
        $\text{List } p \text{ nexta } (Ps @ Qs) \wedge$ 
        $(\forall x. x \notin \text{set Ps} \longrightarrow \text{nexta } x = \text{next } x) \longrightarrow$ 
        $\text{cont} = \text{cont}))$ 

```

With a modifies clause present we know that no change to *cont* has occurred.

```

  by simp
qed

```

Of course we could add the modifies clause to the functional specification as well. But separating both has the advantage that we split up the verification work. We can make use of the modifies clause before we apply the functional specification in a fully automatic fashion.

To prove that a procedure respects the modifies clause, we only need the modifies clauses of the procedures called in the body. We do not need the functional specifications. So we can always prove the modifies clause without functional specifications, but we may need the modifies clause to prove the functional specifications. So usually the modifies clause is proved before the proof of the functional specification, so that it can already be used by the verification condition generator.

26.4 Total Correctness

When proving total correctness the additional proof burden to the user is to come up with a well-founded relation and to prove that certain states get smaller according to this relation. Proving that a relation is well-founded can be quite hard. But fortunately there are ways to construct and stick together relations so that they are well-founded by construction. This infrastructure is already present in Isabelle/HOL. For example, *measure f* is always well-founded; the lexicographic product of two well-founded relations is again well-founded and the inverse image construction *inv-image* of a well-founded

relation is again well-founded. The constructions are best explained by some equations:

$$\begin{aligned} ((x, y) \in \text{measure } f) &= (f x < f y) \\ (((a, b), x, y) \in r \text{ <*\textit{lex}\textit{*}>} s) &= ((a, x) \in r \vee a = x \wedge (b, y) \in s) \\ ((x, y) \in \text{inv-image } r f) &= ((f x, f y) \in r) \end{aligned}$$

Another useful construction is $\text{<*\textit{mlex}\textit{*}>}$ which is a combination of a measure and a lexicographic product:

$$((x, y) \in f \text{ <*\textit{mlex}\textit{*}>} r) = (f x < f y \vee f x = f y \wedge (x, y) \in r)$$

In contrast to the lexicographic product it does not construct a product type. The state may either decrease according to the measure function f or the measure stays the same and the state decreases because of the relation r .

Lets look at a loop:

lemma (in *vars*)
 $\Gamma \vdash_t \{ \text{'M} = 0 \wedge \text{'S} = 0 \}$
 $\text{WHILE } \text{'M} \neq a$
 $\text{INV } \{ \text{'S} = \text{'M} * b \wedge \text{'M} \leq a \}$
 $\text{VAR MEASURE } a - \text{'M}$
 $\text{DO } \text{'S} ::= \text{'S} + b;; \text{'M} ::= \text{'M} + 1 \text{ OD}$
 $\{ \text{'S} = a * b \}$

apply *vcg*

1. $\bigwedge M S. \llbracket M = 0; S = 0 \rrbracket \implies S = M * b \wedge M \leq a$
2. $\bigwedge M S. \llbracket S = M * b; M \leq a; M \neq a \rrbracket$
 $\implies a - (M + 1) < a - M \wedge S + b = (M + 1) * b \wedge M + 1 \leq a$
3. $\bigwedge M S. \llbracket S = M * b; M \leq a; \neg M \neq a \rrbracket \implies S = a * b$

The first conjunct of the second subgoal is the proof obligation that the variant decreases in the loop body.

by *auto*

The variant annotation is preceded by *VAR*. The capital *MEASURE* is a shorthand for *measure* ($\lambda s. a - {}^sM$). Analogous there is a capital $\text{<*\textit{MLEX}\textit{*}>}$.

lemma (in *Fac-impl*) *Fac-spec'*:

shows $\forall \sigma. \Gamma \vdash_t \{ \sigma \} \text{'R} ::= \text{PROC Fac}(\text{'N}) \{ \text{'R} = \text{fac } \sigma \text{'N} \}$

apply (*hoare-rule HoareTotal.ProcRec1* [**where** $r = \text{measure } (\lambda(s,p). {}^sN)$])

In case of the factorial the parameter N decreases in every call. This is easily expressed by the measure function. Note that the well-founded relation for recursive procedures is formally defined on tuples containing the state space and the procedure name.

1. $\forall \sigma \sigma'.$
 $\Gamma, (\bigcup_{\sigma'} \{ (\{ \sigma' \} \cap \{ \text{'N} < \sigma \text{'N} \}, \text{Fac-'}\textit{proc}, \{ \text{'R} = \text{fac } \sigma' \text{'N} \}, \emptyset) \})$
 $\vdash_t (\{ \sigma \} \cap \{ \sigma' \})$

$$\begin{aligned}
& \text{IF } 'N = 0 \text{ THEN } 'R ::= 1 \\
& \text{ELSE } 'R ::= \text{CALL Fac}('N - 1);; 'R ::= 'N * 'R \text{ FI} \\
& \{\{ 'R = \text{fac } \sigma'N \}\}
\end{aligned}$$

The initial call to the factorial is in state σ . Note that in the precondition $\{\sigma\} \cap \{\sigma'\}$, σ' stems from the lemma we want to prove and σ stems from the recursion rule for total correctness. Both are synonym for the initial state. To use the assumption in the Hoare context we have to show that the call to the factorial is invoked on a smaller N compared to the initial σN .

apply *vcg*

1. $\bigwedge N. (N = 0 \longrightarrow 1 = \text{fac } N) \wedge$
 $(N \neq 0 \longrightarrow$
 $N - 1 < N \wedge (\forall R. R = \text{fac } (N - 1) \longrightarrow N * \text{fac } (N - 1) = \text{fac } N))$

The tribute to termination is that we have to show $N - 1 < N$ in case of the recursive call.

by *simp*

lemma (in *append-impl*) *append-spec2*:

shows $\forall \sigma \ Ps \ Qs. \Gamma \vdash_t$

$\{\{\sigma. \text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\}\}$
 $\ 'p ::= \text{PROC } \text{append}('p, 'q)$

$\{\{\text{List } 'p \ 'next \ (Ps @ Qs) \wedge (\forall x. x \notin \text{set } Ps \longrightarrow 'next \ x = \sigma_{next} x)\}\}$

apply (*hoare-rule HoareTotal.ProcRec1*

$[\text{where } r = \text{measure } (\lambda(s, p). \text{length } (\text{list } s_p \ s_{next}))])$

In case of the append function the length of the list referenced by p decreases in every recursive call.

1. $\forall \sigma \ \sigma' \ Ps \ Qs.$

$\Gamma, (\bigcup_{\sigma'} Ps \ Qs$

$\{\{\{\sigma'. \text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \emptyset\} \cap$

$\{\{|\text{list } 'p \ 'next| < |\text{list } \sigma_p \ \sigma_{next}|\},$

append-'proc,

$\{\{\text{List } 'p \ 'next \ (Ps @ Qs) \wedge$

$(\forall x. x \notin \text{set } Ps \longrightarrow x \rightarrow 'next = \sigma'_{next} x)\}\},$

$\emptyset)\}\}$

$\vdash_t (\{\sigma\} \cap$

$\{\{\sigma'. \text{List } 'p \ 'next \ Ps \wedge \text{List } 'q \ 'next \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \emptyset\})$

IF $'p = \text{Null}$ *THEN* $'p ::= 'q$

ELSE $'p \rightarrow 'next ::= \text{CALL } \text{append}('p \rightarrow 'next, 'q)$ *FI*

$\{\{\text{List } 'p \ 'next \ (Ps @ Qs) \wedge (\forall x. x \notin \text{set } Ps \longrightarrow x \rightarrow 'next = \sigma'_{next} x)\}\}$

apply *vcg*

apply (*fastforce simp add: List-list*)

done

In case of the lists above, we have used a relational list abstraction *List* to construct the HOL lists *Ps* and *Qs* for the pre- and postcondition. To supply a proper measure function we use a functional abstraction *list*. The functional abstraction can be defined by means of the relational list abstraction, since the lists are already uniquely determined by the relational abstraction:

$islist\ p\ h = (\exists\ ps.\ List\ p\ h\ ps)$

$list\ p\ h = (THE\ ps.\ List\ p\ h\ ps)$

lemma $List\ p\ h\ ps = (islist\ p\ h \wedge ps = list\ p\ h)$

The next contrived example is taken from [3], to illustrate a more complex termination criterion for mutually recursive procedures. The procedures do not calculate anything useful.

procedures

```
pedal(N::nat,M::nat)
  IF 0 < 'N THEN
    IF 0 < 'M THEN
      CALL coast('N- 1,'M- 1) FI;;
      CALL pedal('N- 1,'M)
    FI
  and
```

```
coast(N::nat,M::nat)
  CALL pedal('N,'M);;
  IF 0 < 'M THEN CALL coast('N,'M- 1) FI
```

In the recursive calls in procedure *pedal* the first argument always decreases. In the body of *coast* in the recursive call of *coast* the second argument decreases, but in the call to *pedal* no argument decreases. Therefore an relation only on the state space is insufficient. We have to take the procedure names into account, too. We consider the procedure *coast* to be “bigger” than *pedal* when we construct a well-founded relation on the product of state space and procedure names.

ML $\langle ML-Thms.bind-thm\ (HoareTotal-ProcRec2,\ Hoare.gen-proc-rec\ @\{context\}\ Hoare.Total\ 2) \rangle$

We provide the ML function **gen_proc_rec** to automatically derive a convenient rule for recursion for a given number of mutually recursive procedures.

lemma (in *pedal-coast-clique*)

shows $(\forall\sigma.\ \Gamma\vdash_t\ \{\sigma\}\ PROC\ pedal('N,'M)\ UNIV) \wedge$
 $(\forall\sigma.\ \Gamma\vdash_t\ \{\sigma\}\ PROC\ coast('N,'M)\ UNIV)$

apply (*hoare-rule HoareTotal-ProcRec2*

[**where** $r = ((\lambda(s,p).\ ^sN) <^*mlex^*>$
 $(\lambda(s,p).\ ^sM) <^*mlex^*>$
 $measure\ (\lambda(s,p).\ if\ p = coast-'proc\ then\ 1\ else\ 0))]$])

We can directly express the termination condition described above with the $\langle *mlex* \rangle$ construction. Either state component N decreases, or it stays the same and M decreases or this also stays the same, but then the procedure name has to decrease.

$$\begin{aligned}
& 1. \forall \sigma \sigma'. \Gamma, (\bigcup_{\sigma'} \{(\{\sigma'\} \cap \\
& \quad \{\begin{array}{l} 'N < \sigma N \vee \\ 'N = \sigma N \wedge \\ ('M < \sigma M \vee \\ 'M = \sigma M \wedge \\ (if \textit{pedal-}'proc = \textit{coast-}'proc \textit{ then } 1 \textit{ else } 0) \\ < (if \textit{pedal-}'proc = \textit{coast-}'proc \textit{ then } 1 \textit{ else } 0) \end{array}\})\} \cup \\
& \quad \textit{pedal-}'proc, \textit{UNIV}, \emptyset)\}) \cup \\
& (\bigcup_{\sigma'} \{(\{\sigma'\} \cap \\
& \quad \{\begin{array}{l} 'N < \sigma N \vee \\ 'N = \sigma N \wedge \\ ('M < \sigma M \vee \\ 'M = \sigma M \wedge \\ (if \textit{coast-}'proc = \textit{coast-}'proc \textit{ then } 1 \textit{ else } 0) \\ < (if \textit{pedal-}'proc = \textit{coast-}'proc \textit{ then } 1 \textit{ else } 0) \end{array}\})\} \cup \\
& \quad \textit{coast-}'proc, \textit{UNIV}, \emptyset)\}) \\
& \vdash_t (\{\sigma\} \cap \{\sigma'\}) \\
& \quad \textit{IF } 0 < 'N \\
& \quad \textit{THEN IF } 0 < 'M \textit{ THEN CALL } \textit{coast}('N - 1, 'M - 1) \textit{ FI}; \\
& \quad \textit{CALL } \textit{pedal}('N - 1, 'M) \\
& \quad \textit{FI} \\
& \quad \textit{UNIV} \\
& 2. \forall \sigma \sigma'. \Gamma, (\bigcup_{\sigma'} \{(\{\sigma'\} \cap \\
& \quad \{\begin{array}{l} 'N < \sigma N \vee \\ 'N = \sigma N \wedge \\ ('M < \sigma M \vee \\ 'M = \sigma M \wedge \\ (if \textit{pedal-}'proc = \textit{coast-}'proc \textit{ then } 1 \textit{ else } 0) \\ < (if \textit{coast-}'proc = \textit{coast-}'proc \textit{ then } 1 \textit{ else } 0) \end{array}\})\} \cup \\
& \quad \textit{pedal-}'proc, \textit{UNIV}, \emptyset)\}) \cup \\
& (\bigcup_{\sigma'} \{(\{\sigma'\} \cap \\
& \quad \{\begin{array}{l} 'N < \sigma N \vee \\ 'N = \sigma N \wedge \\ ('M < \sigma M \vee \\ 'M = \sigma M \wedge \\ (if \textit{coast-}'proc = \textit{coast-}'proc \textit{ then } 1 \textit{ else } 0) \\ < (if \textit{coast-}'proc = \textit{coast-}'proc \textit{ then } 1 \textit{ else } 0) \end{array}\})\} \cup \\
& \quad \textit{coast-}'proc, \textit{UNIV}, \emptyset)\}) \\
& \vdash_t (\{\sigma\} \cap \{\sigma'\}) \\
& \quad \textit{CALL } \textit{pedal}('N, 'M); \textit{IF } 0 < 'M \textit{ THEN CALL } \textit{coast}('N, 'M - 1) \\
& \quad \textit{FI} \\
& \quad \textit{UNIV}
\end{aligned}$$

apply *simp-all*

1. $\forall \sigma \sigma'$.
 $\Gamma, (\bigcup_x \{(\{x\} \cap \llbracket 'N < \sigma_N \vee 'N = \sigma_N \wedge 'M < \sigma_M \rrbracket, \text{pedal-}'proc, UNIV, \emptyset)\} \cup$
 $(\bigcup_x \{(\{x\} \cap \llbracket 'N < \sigma_N \vee 'N = \sigma_N \wedge 'M < \sigma_M \rrbracket, \text{coast-}'proc, UNIV, \emptyset)\})$
 $\vdash_t (\{\sigma\} \cap \{\sigma'\})$
 $IF\ 0 < 'N$
 $THEN\ IF\ 0 < 'M\ THEN\ CALL\ coast('N - Suc\ 0, 'M - Suc\ 0)\ FI;;$
 $CALL\ pedal('N - Suc\ 0, 'M)$
 FI
 $UNIV$

2. $\forall \sigma \sigma'$.
 $\Gamma, (\bigcup_x \{(\{x\} \cap \llbracket 'N < \sigma_N \vee 'N = \sigma_N \wedge ('M < \sigma_M \vee 'M = \sigma_M) \rrbracket, \text{pedal-}'proc, UNIV, \emptyset)\} \cup$
 $(\bigcup_x \{(\{x\} \cap \llbracket 'N < \sigma_N \vee 'N = \sigma_N \wedge 'M < \sigma_M \rrbracket, \text{coast-}'proc, UNIV, \emptyset)\})$
 $\vdash_t (\{\sigma\} \cap \{\sigma'\})$
 $CALL\ pedal('N, 'M);;$
 $IF\ 0 < 'M\ THEN\ CALL\ coast('N, 'M - Suc\ 0)\ FI$
 $UNIV$

by (*vcg, simp*)⁺

We can achieve the same effect without $\langle *mlex* \rangle$ by using the ordinary lexicographic product $\langle *lex* \rangle$, *inv-image* and *measure*

lemma (*in pedal-coast-clique*)

shows $(\forall \sigma. \Gamma \vdash_t \{\sigma\} \text{PROC } pedal('N, 'M)\ UNIV) \wedge$
 $(\forall \sigma. \Gamma \vdash_t \{\sigma\} \text{PROC } coast('N, 'M)\ UNIV)$

apply (*hoare-rule HoareTotal-ProcRec2*

$[where\ r = inv\text{-}image\ (measure\ (\lambda m. m) \langle *lex* \rangle$
 $measure\ (\lambda m. m) \langle *lex* \rangle$
 $measure\ (\lambda p. if\ p = coast\text{-}'proc\ then\ 1\ else\ 0))$
 $(\lambda(s,p). (^sN, ^sM, p))])$

With the lexicographic product we construct a well-founded relation on triples of type $nat \times nat \times string$. With *inv-image* we project the components out of the state-space and the procedure names to this triple.

1. $\forall \sigma \sigma'$.
 $\Gamma, (\bigcup_{\sigma'} \{(\{\sigma'\} \cap$
 $\llbracket 'N < \sigma_N \vee$
 $'N = \sigma_N \wedge$
 $('M < \sigma_M \vee$
 $'M = \sigma_M \wedge$
 $(if\ pedal\text{-}'proc = coast\text{-}'proc\ then\ 1\ else\ 0)$
 $< (if\ pedal\text{-}'proc = coast\text{-}'proc\ then\ 1\ else\ 0)) \rrbracket,$
 $\text{pedal-}'proc, UNIV, \emptyset)\} \cup$
 $(\bigcup_{\sigma'} \{(\{\sigma'\} \cap$

$$\begin{aligned}
& \{ \{ 'N < {}^\sigma N \vee \\
& \quad 'N = {}^\sigma N \wedge \\
& \quad ('M < {}^\sigma M \vee \\
& \quad \quad 'M = {}^\sigma M \wedge \\
& \quad \quad (if\ coast-'proc = coast-'proc\ then\ 1\ else\ 0) \\
& \quad \quad < (if\ pedal-'proc = coast-'proc\ then\ 1\ else\ 0)) \} \}, \\
& \quad coast-'proc, UNIV, \emptyset \} \} \\
& \vdash_t (\{\sigma\} \cap \{\sigma'\}) \\
& \quad IF\ 0 < 'N \\
& \quad THEN\ IF\ 0 < 'M\ THEN\ CALL\ coast('N - 1, 'M - 1)\ FI;; \\
& \quad \quad CALL\ pedal('N - 1, 'M) \\
& \quad FI \\
& \quad UNIV \\
2. \forall \sigma \sigma'. \\
& \Gamma, (\bigcup_{\sigma'} \{ (\{\sigma'\} \cap \\
& \quad \{ \{ 'N < {}^\sigma N \vee \\
& \quad \quad 'N = {}^\sigma N \wedge \\
& \quad \quad ('M < {}^\sigma M \vee \\
& \quad \quad \quad 'M = {}^\sigma M \wedge \\
& \quad \quad \quad (if\ pedal-'proc = coast-'proc\ then\ 1\ else\ 0) \\
& \quad \quad \quad < (if\ coast-'proc = coast-'proc\ then\ 1\ else\ 0)) \} \}, \\
& \quad \quad pedal-'proc, UNIV, \emptyset \} \} \cup \\
& \quad (\bigcup_{\sigma'} \{ (\{\sigma'\} \cap \\
& \quad \quad \{ \{ 'N < {}^\sigma N \vee \\
& \quad \quad \quad 'N = {}^\sigma N \wedge \\
& \quad \quad \quad ('M < {}^\sigma M \vee \\
& \quad \quad \quad \quad 'M = {}^\sigma M \wedge \\
& \quad \quad \quad \quad (if\ coast-'proc = coast-'proc\ then\ 1\ else\ 0) \\
& \quad \quad \quad \quad < (if\ coast-'proc = coast-'proc\ then\ 1\ else\ 0)) \} \}, \\
& \quad \quad coast-'proc, UNIV, \emptyset \} \} \\
& \vdash_t (\{\sigma\} \cap \{\sigma'\}) \\
& \quad CALL\ pedal('N, 'M);; IF\ 0 < 'M\ THEN\ CALL\ coast('N, 'M - 1)\ FI \\
& \quad UNIV
\end{aligned}$$

apply *simp-all*
by (*vcg, simp*) +

By doing some arithmetic we can express the termination condition with a single measure function.

lemma (*in pedal-coast-clique*)

shows $(\forall \sigma. \Gamma \vdash_t \{\sigma\} \text{ PROC } pedal('N, 'M) \text{ UNIV}) \wedge$
 $(\forall \sigma. \Gamma \vdash_t \{\sigma\} \text{ PROC } coast('N, 'M) \text{ UNIV})$

apply (*hoare-rule HoareTotal-ProcRec2*)

[where $r = \text{measure } (\lambda(s, p). {}^s N + {}^s M + (if\ p = coast-'proc\ then\ 1\ else\ 0))]$

apply *simp-all*

1. $\forall \sigma \sigma'.$

$\Gamma, (\bigcup_x \{ (\{x\} \cap \{ 'N + 'M < {}^\sigma N + {}^\sigma M \}, pedal-'proc, UNIV, \emptyset) \} \cup$

$$\begin{array}{l}
(\bigcup_x \{(\{x\} \cap \llbracket \text{Suc } ('N + 'M) < {}^\sigma N + {}^\sigma M \rrbracket, \text{coast-}'proc, UNIV, \emptyset)\}) \\
\vdash_t (\{\sigma\} \cap \{\sigma'\}) \\
\text{IF } 0 < 'N \\
\text{THEN IF } 0 < 'M \text{ THEN CALL coast}('N - \text{Suc } 0, 'M - \text{Suc } 0) \text{ FI}; \\
\text{CALL pedal}('N - \text{Suc } 0, 'M) \\
\text{FI} \\
UNIV \\
2. \forall \sigma \sigma'. \\
\Gamma, (\bigcup_x \{(\{x\} \cap \llbracket 'N + 'M < \text{Suc } ({}^\sigma N + {}^\sigma M) \rrbracket, \text{pedal-}'proc, UNIV, \emptyset)\}) \cup \\
(\bigcup_x \{(\{x\} \cap \llbracket 'N + 'M < {}^\sigma N + {}^\sigma M \rrbracket, \text{coast-}'proc, UNIV, \emptyset)\}) \\
\vdash_t (\{\sigma\} \cap \{\sigma'\}) \\
\text{CALL pedal}('N, 'M); \\
\text{IF } 0 < 'M \text{ THEN CALL coast}('N, 'M - \text{Suc } 0) \text{ FI} \\
UNIV
\end{array}$$

by (*vcg, simp, arith?*) +

26.5 Guards

The purpose of a guard is to guard the **(sub-) expressions** of a statement against runtime faults. Typical runtime faults are array bound violations, dereferencing null pointers or arithmetical overflow. Guards make the potential runtime faults explicit, since the expressions themselves never “fail” because they are ordinary HOL expressions. To relieve the user from typing in lots of standard guards for every subexpression, we supply some input syntax for the common language constructs that automatically generate the guards. For example the guarded assignment $'M ::=_g ('M + 1) \text{ div } 'N$ gets expanded to guarded command $(\text{False}, \llbracket \text{in-range } ('M + 1) \wedge 'N \neq 0 \wedge \text{in-range } (('M + 1) \text{ div } 'N) \rrbracket) \mapsto 'M ::= ('M + 1) \text{ div } 'N$. Here *in-range* is uninterpreted by now.

lemma (in *vars*) $\Gamma \vdash \llbracket \text{True} \rrbracket 'M ::=_g ('M + 1) \text{ div } 'N \llbracket \text{True} \rrbracket$
apply *vcg*

1. $\bigwedge M N. \text{True} \implies \text{in-range } (M + 1) \wedge N \neq 0 \wedge \text{in-range } ((M + 1) \text{ div } N)$

oops

The user can supply on (overloaded) definition of *in-range* to fit to his needs. Currently guards are generated for:

- overflow and underflow of numbers (*in-range*). For subtraction of natural numbers $a - b$ the guard $b \leq a$ is generated instead of *in-range* to guard against underflows.
- division by 0
- dereferencing of *Null* pointers

- array bound violations

Following (input) variants of guarded statements are available:

- Assignment: $\dots :=_g \dots$
- If: $IF_g \dots$
- While: $WHILE_g \dots$
- Call: $CALL_g \dots$ or $\dots := CALL_g \dots$

26.6 Miscellaneous Techniques

26.6.1 Modifies Clause

We look at some issues regarding the modifies clause with the example of insertion sort for heap lists.

```

primrec sorted::('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  bool
where
  sorted le [] = True |
  sorted le (x#xs) = (( $\forall y \in \text{set } xs. \text{le } x y$ )  $\wedge$  sorted le xs)

procedures (imports globals-heap)
  insert(r::ref, p::ref | p::ref)
    IF 'r=NULL THEN SKIP
    ELSE IF 'p=NULL THEN 'p ::= 'r;; 'p  $\rightarrow$  'next ::= NULL
    ELSE IF 'r  $\rightarrow$  'cont  $\leq$  'p  $\rightarrow$  'cont
      THEN 'r  $\rightarrow$  'next ::= 'p;; 'p ::= 'r
    ELSE 'p  $\rightarrow$  'next ::= CALL insert('r, 'p  $\rightarrow$  'next)
    FI
  FI
  FI

```

lemma (**in** insert-impl) insert-modifies:
 $\forall \sigma. \Gamma \vdash_{UNIV} \{ \sigma \} \text{'p} ::= \text{PROC insert}(\text{'r}, \text{'p})$
 $\{ t. t \text{ may-only-modify-globals } \sigma \text{ in } [next] \}$
by (hoare-rule HoarePartial.ProcRec1) (vcg spec=modifies)

lemma (**in** insert-impl) insert-spec:
 $\forall \sigma \text{ Ps} . \Gamma \vdash$
 $\{ \sigma. \text{List 'p 'next Ps} \wedge \text{sorted } (\leq) (\text{map 'cont Ps}) \wedge$
 $\text{'r} \neq \text{NULL} \wedge \text{'r} \notin \text{set Ps} \}$
 $\text{'p} ::= \text{PROC insert}(\text{'r}, \text{'p})$
 $\{ \exists Qs. \text{List 'p 'next Qs} \wedge \text{sorted } (\leq) (\text{map } \sigma \text{cont } Qs) \wedge$
 $\text{set Qs} = \text{insert } \sigma r (\text{set Ps}) \wedge$
 $(\forall x. x \notin \text{set Qs} \longrightarrow \text{'next } x = \sigma \text{next } x) \}$

In the postcondition of the functional specification there is a small but important subtlety. Whenever we talk about the *cont* part we refer to the one of the pre-state. The reason is that we have separated out the information that *cont* is not modified by the procedure, to the modifies clause. So whenever we talk about unmodified parts in the postcondition we have to use the pre-state part, or explicitly state an equality in the postcondition. The reason is simple. If the postcondition would talk about $\sigma cont$ instead of $\sigma cont$, we get a new instance of *cont* during verification and the postcondition would only state something about this new instance. But as the verification condition generator uses the modifies clause the caller of *insert* instead still has the old *cont* after the call. That's the sense of the modifies clause. So the caller and the specification simply talk about two different things, without being able to relate them (unless an explicit equality is added to the specification).

26.6.2 Annotations

Annotations (like loop invariants) are mere syntactic sugar of statements that are used by the *vcg*. Logically a statement with an annotation is equal to the statement without it. Hence annotations can be introduced by the user while building a proof:

$$HoarePartial.annotateI: \frac{\Gamma, \Theta \vdash_F P \text{ anno } Q, A \quad c = \text{anno}}{\Gamma, \Theta \vdash_F P \ c \ Q, A}$$

When introducing annotations it can easily happen that these mess around with the nesting of sequential composition. Then after stripping the annotations the resulting statement is no longer syntactically identical to original one, only equivalent modulo associativity of sequential composition. The following rule also deals with this case:

$$HoarePartial.annotate-normI: \frac{\Gamma, \Theta \vdash_F P \text{ anno } Q, A \quad Language.normalize \ c = Language.normalize \ \text{anno}}{\Gamma, \Theta \vdash_F P \ c \ Q, A}$$

Loop Annotations

```

procedures (imports globals-heap)
  insertSort(p::ref | p::ref)
  where r::ref q::ref in
     $\sigma r := Null;$ 
    WHILE ( $\sigma p \neq Null$ ) DO
       $\sigma q := \sigma p;$ 
       $\sigma p := \sigma p \rightarrow \sigma next;$ 
       $\sigma r := CALL \ insert(\sigma q, \sigma r)$ 

```


$OD;;$
 $'p ::= 'r$

lemma (**in** *insertSort-impl*) *insertSort-modifies*:
shows
 $\forall \sigma. \Gamma \vdash /UNIV \{ \sigma \} \ 'p ::= PROC \ insertSort('p)$
 $\{ t. t \text{ may-only-modify-globals } \sigma \text{ in } [next] \}$
apply (*hoare-rule HoarePartial.ProcRec1*)
apply (*vcg spec=modifies*)
done

Insertion sort is not implemented recursively here, but with a loop. Note that the while loop is not annotated with an invariant in the procedure definition. The invariant only comes into play during verification. Therefore we annotate the loop first, before we run the *vcg*.

lemma (**in** *insertSort-impl*) *insertSort-spec*:
shows $\forall \sigma \ Ps.$
 $\Gamma \vdash \{ \sigma. List \ 'p \ 'next \ Ps \}$
 $\ 'p ::= PROC \ insertSort('p)$
 $\{ \exists Qs. List \ 'p \ 'next \ Qs \wedge sorted \ (\leq) \ (map \ \sigma_{cont} \ Qs) \wedge$
 $\ \ \ \ set \ Qs = set \ Ps \}$
apply (*hoare-rule HoarePartial.ProcRec1*)
apply (*hoare-rule anno=*
 $\ 'r ::= Null;;$
 $WHILE \ 'p \neq Null$
 $INV \{ \exists Qs \ Rs. List \ 'p \ 'next \ Qs \wedge List \ 'r \ 'next \ Rs \wedge$
 $\ \ \ \ set \ Qs \cap set \ Rs = \{ \} \wedge$
 $\ \ \ \ sorted \ (\leq) \ (map \ 'cont \ Rs) \wedge set \ Qs \cup set \ Rs = set \ Ps \wedge$
 $\ \ \ \ 'cont = \sigma_{cont} \}$
 $DO \ 'q ::= 'p;; 'p ::= 'p \rightarrow 'next;; 'r ::= CALL \ insert('q, 'r) \ OD;;$
 $\ 'p ::= 'r \text{ in } HoarePartial.annotateI)$
apply *vcg*

...

The method *hoare-rule* automatically solves the side-condition that the annotated program is the same as the original one after stripping the annotations.

Specification Annotations

When verifying a larger block of program text, it might be useful to split up the block and to prove the parts in isolation. This is especially useful to isolate loops. On the level of the Hoare calculus the parts can then be combined with the consequence rule. To automate this process we introduce the derived command *specAnno*, which allows to introduce a Hoare tuple (inclusive auxiliary variables) in the program text:

$\text{specAnno } P \ c \ Q \ A = c \text{ undefined}$

The whole annotation reduces to the body $c \text{ undefined}$. The type of the assertions P , Q and A is $'a \Rightarrow 's \text{ set}$ and the type of command c is $'a \Rightarrow ('s, 'p, 'f) \text{ com}$. All entities formally depend on an auxiliary (logical) variable of type $'a$. The body c formally also depends on this variable, since a nested annotation or loop invariant may also depend on this logical variable. But the raw body without annotations does not depend on the logical variable. The logical variable is only used by the verification condition generator. We express this by defining the whole specAnno to be equivalent with the body applied to an arbitrary variable.

The Hoare rule for specAnno is mainly an instance of the consequence rule:

$$\llbracket P \subseteq \{s \mid \exists Z. s \in P' Z \wedge Q' Z \subseteq Q \wedge A' Z \subseteq A\}; \forall Z. \Gamma, \Theta \vdash_{/F} (P' Z) \ c \ Z \ (Q' Z), (A' Z); \forall Z. c \ Z = c \text{ undefined} \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{/F} P \ \text{specAnno } P' \ c \ Q' \ A' \ Q, A$$

The side-condition $\forall Z. c \ Z = c \text{ undefined}$ expresses the intention of body c explained above: The raw body is independent of the auxiliary variable. This side-condition is solved automatically by the *vcg*. The concrete syntax for this specification annotation is shown in the following example:

lemma (in *vars*) $\Gamma \vdash \{\sigma\}$
 $\quad 'I ::= 'M;;$
 $\quad \text{ANNO } \tau. \llbracket \tau. 'I = {}^\sigma M \rrbracket$
 $\quad \quad 'M ::= 'N;; 'N ::= 'I$
 $\quad \quad \llbracket 'M = {}^\tau N \wedge 'N = {}^\tau I \rrbracket$
 $\quad \llbracket 'M = {}^\sigma N \wedge 'N = {}^\sigma M \rrbracket$

With the annotation we can name an intermediate state τ . Since the postcondition refers to σ we have to link the information about the equivalence of ${}^\tau I$ and ${}^\sigma M$ in the specification in order to be able to derive the postcondition.

apply *vcg-step*
apply *vcg-step*

1. $\bigwedge \tau. \Gamma \vdash \llbracket \tau. 'I = {}^\sigma M \rrbracket \ 'M ::= 'N;; 'N ::= 'I \llbracket 'M = {}^\tau N \wedge 'N = {}^\tau I \rrbracket$
2. $\Gamma \vdash \{\sigma\} \ 'I ::= 'M$
 $\quad \llbracket 'I = {}^\sigma M \wedge (\forall t. {}^t M = 'N \wedge {}^t N = 'I \longrightarrow 'N = {}^\sigma N \wedge 'I = {}^\sigma M) \rrbracket$

The first subgoal is the isolated Hoare tuple. The second one is the side-condition of the consequence rule that allows us to derive the outermost pre/post condition from our inserted specification. $'I = {}^\sigma M$ is the precondition of the specification, The second conjunct is a simplified version of $\forall t. {}^t M = 'N \wedge {}^t N = 'I \longrightarrow {}^t M = {}^\sigma N \wedge {}^t N = {}^\sigma M$ expressing that the postcondition of the specification implies the outermost postcondition.

apply *vcg*

1. $\bigwedge M I N. I = M \implies N = N \wedge I = I$
2. $\Gamma \vdash \{\sigma\} \text{ 'I := 'M}$
 $\{\text{ 'I = }^\sigma M \wedge (\forall t. {}^tM = \text{ 'N} \wedge {}^tN = \text{ 'I} \longrightarrow \text{ 'N} = {}^\sigma N \wedge \text{ 'I} = {}^\sigma M)\}$

apply *simp*

apply *vcg*

1. $\bigwedge M N. M = M \wedge (\forall Ma Na. Ma = N \wedge Na = M \longrightarrow N = N \wedge M = M)$

by *simp*

lemma (in *vars*)

$\Gamma \vdash \{\sigma\}$

 'I := 'M;;

$ANNO \tau. \{\tau. \text{ 'I} = {}^\sigma M\}$

$\text{ 'M := 'N;; 'N := 'I}$

$\{\text{ 'M} = {}^\tau N \wedge \text{ 'N} = {}^\tau I\}$

$\{\text{ 'M} = {}^\sigma N \wedge \text{ 'N} = {}^\sigma M\}$

apply *vcg*

1. $\bigwedge M N. M = M \wedge (\forall Ma Na. Ma = N \wedge Na = M \longrightarrow N = N \wedge M = M)$
2. $\bigwedge M I N. I = M \implies N = N \wedge I = I$

by *simp-all*

Note that *vcg-step* changes the order of sequential composition, to allow the user to decompose sequences by repeated calls to *vcg-step*, whereas *vcg* preserves the order.

The above example illustrates how we can introduce a new logical state variable τ . You can introduce multiple variables by using a tuple:

lemma (in *vars*)

$\Gamma \vdash \{\sigma\}$

 'I := 'M;;

$ANNO (n,i,m). \{\text{ 'I} = {}^\sigma M \wedge \text{ 'N} = n \wedge \text{ 'I} = i \wedge \text{ 'M} = m\}$

$\text{ 'M := 'N;; 'N := 'I}$

$\{\text{ 'M} = n \wedge \text{ 'N} = i\}$

$\{\text{ 'M} = {}^\sigma N \wedge \text{ 'N} = {}^\sigma M\}$

apply *vcg*

1. $\bigwedge M N. M = M \wedge (\forall Ma Na. Ma = N \wedge Na = M \longrightarrow N = N \wedge M = M)$
2. $\bigwedge M I N. I = M \implies N = N \wedge I = I$

by *simp-all*

Lemma Annotations

The specification annotations described before split the verification into several Hoare triples which result in several subgoals. If we instead want to proof the Hoare triples independently as separate lemmas we can use the *LEMMA* annotation to plug together the lemmas. It inserts the lemma in the same fashion as the specification annotation.

lemma (in vars) *foo-lemma*:

$\forall n\ m. \Gamma \vdash \{N = n \wedge M = m\} N ::= N + 1;; M ::= M + 1$
 $\{N = n + 1 \wedge M = m + 1\}$

apply *vcg*

apply *simp*

done

lemma (in vars)

$\Gamma \vdash \{N = n \wedge M = m\}$

LEMMA foo-lemma

$N ::= N + 1;; M ::= M + 1$

END;;

$N ::= N + 1$

$\{N = n + 2 \wedge M = m + 1\}$

apply *vcg*

apply *simp*

done

lemma (in vars)

$\Gamma \vdash \{N = n \wedge M = m\}$

LEMMA foo-lemma

$N ::= N + 1;; M ::= M + 1$

END;;

LEMMA foo-lemma

$N ::= N + 1;; M ::= M + 1$

END

$\{N = n + 2 \wedge M = m + 2\}$

apply *vcg*

apply *simp*

done

lemma (in vars)

$\Gamma \vdash \{N = n \wedge M = m\}$

$N ::= N + 1;; M ::= M + 1;;$

$N ::= N + 1;; M ::= M + 1$

$\{N = n + 2 \wedge M = m + 2\}$

apply (*hoare-rule anno=*

LEMMA foo-lemma

$N ::= N + 1;; M ::= M + 1$

END;;

```

    LEMMA foo-lemma
      'N ::= 'N + 1;; 'M ::= 'M + 1
    END
  in HoarePartial.annotate-normI)
apply vcg
apply simp
done

```

26.6.3 Total Correctness of Nested Loops

When proving termination of nested loops it is sometimes necessary to express that the loop variable of the outer loop is not modified in the inner loop. To express this one has to fix the value of the outer loop variable before the inner loop and use this value in the invariant of the inner loop. This can be achieved by surrounding the inner while loop with an *ANNO* specification as explained previously. However, this leads to repeating the invariant of the inner loop three times: in the invariant itself and in the the pre- and postcondition of the *ANNO* specification. Moreover one has to deal with the additional subgoal introduced by *ANNO* that expresses how the pre- and postcondition is connected to the invariant. To avoid this extra specification and verification work, we introduce an variant of the annotated while-loop, where one can introduce logical variables by *FIX*. As for the *ANNO* specification multiple logical variables can be introduced via a tuple (*FIX* (*a*,*b*,*c*)).

The Hoare logic rule for the augmented while-loop is a mixture of the invariant rule for loops and the consequence rule for *ANNO*:

$$\begin{aligned}
& \llbracket P \subseteq \{s \mid \exists Z. s \in I Z \wedge (\forall t. t \in I Z \cap \neg b \longrightarrow t \in Q)\}; \forall Z \sigma. \Gamma, \Theta \vdash_{t/F} \\
& \quad (\{\sigma\} \cap I Z \cap b) \ c \ Z \ (\{t \mid (t, \sigma) \in V Z\} \cap I Z), A; \forall Z. c \ Z = c \\
& \quad \text{undefined}; \forall Z. wf \ (V Z) \rrbracket \Longrightarrow \Gamma, \Theta \vdash_{t/F} P \ \text{whileAnnoFix } b \ I \ V \ c \ Q, A
\end{aligned}$$

The first premise expresses that the precondition implies the invariant and that the invariant together with the negated loop condition implies the postcondition. Since both implications may depend on the choice of the auxiliary variable *Z* these two implications are expressed in a single premise and not in two of them as for the usual while rule. The second premise is the preservation of the invariant by the loop body. And the third premise is the side-condition that the computational part of the body does not depend on the auxiliary variable. Finally the last premise is the well-foundedness of the variant. The last two premises are usually discharged automatically by the verification condition generator. Hence usually two subgoals remain for the user, stemming from the first two premises.

The following example illustrates the usage of this rule. The outer loop increments the loop variable *M* while the inner loop increments *N*. To discharge the proof obligation for the termination of the outer loop, we need to

know that the inner loop does not mess around with M . This is expressed by introducing the logical variable m and fixing the value of M to it.

lemma (in *vars*)

$$\begin{aligned} & \Gamma \vdash_t \{ \text{'M}=0 \wedge \text{'N}=0 \} \\ & \quad \text{WHILE } (\text{'M} < i) \\ & \quad \text{INV } \{ \text{'M} \leq i \wedge (\text{'M} \neq 0 \longrightarrow \text{'N} = j) \wedge \text{'N} \leq j \} \\ & \quad \text{VAR MEASURE } (i - \text{'M}) \\ & \quad \text{DO} \\ & \quad \quad \text{'N} ::= 0;; \\ & \quad \quad \text{WHILE } (\text{'N} < j) \\ & \quad \quad \text{FIX } m. \\ & \quad \quad \text{INV } \{ \text{'M}=m \wedge \text{'N} \leq j \} \\ & \quad \quad \text{VAR MEASURE } (j - \text{'N}) \\ & \quad \quad \text{DO} \\ & \quad \quad \quad \text{'N} ::= \text{'N} + 1 \\ & \quad \quad \text{OD};; \\ & \quad \text{'M} ::= \text{'M} + 1 \\ & \quad \text{OD} \\ & \quad \{ \text{'M}=i \wedge (\text{'M} \neq 0 \longrightarrow \text{'N}=j) \} \end{aligned}$$

apply *vcg*

1. $\bigwedge M N. \llbracket M = 0; N = 0 \rrbracket \implies M \leq i \wedge (M \neq 0 \longrightarrow N = j) \wedge N \leq j$
2. $\bigwedge M N. \llbracket M \leq i; M \neq 0 \longrightarrow N = j; N \leq j; M < i \rrbracket$
 $\implies 0 \leq j \wedge$
 $(\forall Ma N. \quad$
 $\quad Ma = M \wedge N \leq j \wedge \neg N < j \longrightarrow$
 $\quad i - (M + 1) < i - M \wedge M + 1 \leq i \wedge (M + 1 \neq 0 \longrightarrow N$
 $\quad = j))$
3. $\bigwedge M N. \llbracket N \leq j; N < j \rrbracket \implies j - (N + 1) < j - N \wedge M = M \wedge N + 1 \leq j$
4. $\bigwedge M N. \llbracket M \leq i; M \neq 0 \longrightarrow N = j; N \leq j; \neg M < i \rrbracket$
 $\implies M = i \wedge (M \neq 0 \longrightarrow N = j)$

The first subgoal is from the precondition to the invariant of the outer loop. The fourth subgoal is from the invariant together with the negated loop condition of the outer loop to the postcondition. The subgoals two and three are from the body of the outer while loop which is mainly the inner while loop. Because we introduce the logical variable m here, the while Rule described above is used instead of the ordinary while Rule. That is why we end up with two subgoals for the inner loop. Subgoal two is from the invariant and the loop condition of the outer loop to the invariant of the inner loop. And at the same time from the invariant of the inner loop to the invariant of the outer loop (together with the proof obligation that the measure of the outer loop decreases). The universal quantified variables Ma and N are the “fresh” state variables introduced for the final state of the inner loop. The equality $Ma = M$ is the result of the equality $\text{'M}=m$ in the inner invariant. Subgoal three is the preservation of the invariant by the inner loop body (together with the proof obligation that the measure of the inner loop decreases).

26.7 Functional Correctness, Termination and Runtime Faults

Total correctness of a program with guards conceptually leads to three verification tasks.

- functional (partial) correctness
- absence of runtime faults
- termination

In case of a modifies specification the functional correctness part can be solved automatically. But the absence of runtime faults and termination may be non trivial. Fortunately the modifies clause is usually just a helpful companion of another specification that expresses the “real” functional behaviour. Therefor the task to prove the absence of runtime faults and termination can be dealt with during the proof of this functional specification. In most cases the absence of runtime faults and termination heavily build on the functional specification parts. So after all there is no reason why we should again prove the absence of runtime faults and termination for the modifies clause. Therefor it suffices to have partial correctness of the modifies clause for a program were all guards are ignored. This leads to the following pattern:

```
procedures foo (N::nat|M::nat)
  'M ::= 'M
  — think of body with guards instead

foo-spec:  $\forall \sigma. \Gamma \vdash_t (P \ \sigma) \ 'M ::= PROC \ ifoo('N) \ (Q \ \sigma)$ 
foo-modifies:  $\forall \sigma. \Gamma \vdash_{UNIV} \{\sigma\} \ 'M ::= PROC \ ifoo('N)$ 
                $\{t. t \text{ may-only-modify-globals } \sigma \text{ in } []\}$ 
```

The verification condition generator can solve those modifies clauses automatically and can use them to simplify calls to *foo* even in the context of total correctness.

26.8 Procedures and Locales

Verification of a larger program is organised on the granularity of procedures. We proof the procedures in a bottom up fashion. Of course you can also always use Isabelle’s dummy proof *sorry* to prototype your formalisation. So you can write the theory in a bottom up fashion but actually prove the lemmas in any other order.

Here are some explanations of handling of locales. In the examples below, consider *proc*₁ and *proc*₂ to be “leaf” procedures, which do not call any other procedure. Procedure *proc* directly calls *proc*₁ and *proc*₂.

lemma (in *proc₁-impl*) *proc₁-modifies*:
shows ...

After the proof of *proc₁-modifies*, the **in** directive stores the lemma in the locale *proc₁-impl*. When we later on include *proc₁-impl* or prove another theorem in locale *proc₁-impl* the lemma *proc₁-modifies* will already be available as fact.

lemma (in *proc₁-impl*) *proc₁-spec*:
shows ...

lemma (in *proc₂-impl*) *proc₂-modifies*:
shows ...

lemma (in *proc₂-impl*) *proc₂-spec*:
shows ...

lemma (in *proc-impl*) *proc-modifies*:
shows ...

Note that we do not explicitly include anything about *proc₁* or *proc₂* here. This is handled automatically. When defining an *impl*-locale it imports all *impl*-locales of procedures that are called in the body. In case of *proc-impl* this means, that *proc₁-impl* and *proc₂-impl* are imported. This has the neat effect that all theorems that are proven in *proc₁-impl* and *proc₂-impl* are also present in *proc-impl*.

lemma (in *proc-impl*) *proc-spec*:
shows ...

As we have seen in this example you only have to prove a procedure in its own *impl* locale. You do not have to include any other locale.

26.9 Records

Before *statespaces* were introduced the state was represented as a *record*. This is still supported. Compared to the flexibility of *statespaces* there are some drawbacks in particular with respect to modularity. Even names of local variables and parameters are globally visible and records can only be extended in a linear fashion, whereas *statespaces* also allow multiple inheritance. The usage of records is quite similar to the usage of *statespaces*. We repeat the example of an append function for heap lists. First we define the global components. Again the appearance of the prefix ‘globals’ is mandatory. This is the way the syntax layer distinguishes local and global variables.

record *globals-list* =
 next-' :: *ref* \Rightarrow *ref*
 cont-' :: *ref* \Rightarrow *nat*

The local variables also have to be defined as a record before the actual definition of the procedure. The parent record *state* defines a generic *globals*

field as a place-holder for the record of global components. In contrast to the statespace approach there is no single *locals* slot. The local components are just added to the record.

```
record 'g list-vars = 'g state +
  p-' :: ref
  q-' :: ref
  r-' :: ref
  root-' :: ref
  tmp-' :: ref
```

Since the parameters and local variables are determined by the record, there are no type annotations or definitions of local variables while defining a procedure.

```
procedures
  append'(p,q|p) =
    IF 'p=NULL THEN 'p ::= 'q
    ELSE 'p → next ::= CALL append'('p → next, 'q) FI
```

As in the statespace approach, a locale called *append'-impl* is created. Note that we do not give any explicit information which global or local state-record to use. Since the records are already defined we rely on Isabelle's type inference. Dealing with the locale is analogous to the case with statespaces.

```
lemma (in append'-impl) append'-modifies:
shows
  ∀σ. Γ ⊢ {σ} 'p ::= PROC append'('p, 'q)
    {t. t may-only-modify-globals σ in [next]}
apply (hoare-rule HoarePartial.ProcRec1)
apply (vcg spec=modifies)
done

lemma (in append'-impl) append'-spec:
shows ∀σ Ps Qs. Γ ⊢
  {σ. List 'p 'next Ps ∧ List 'q 'next Qs ∧ set Ps ∩ set Qs = {}}
    'p ::= PROC append'('p, 'q)
  {List 'p 'next (Ps@Qs) ∧ (∀x. x ∉ set Ps ⟶ 'next x = σnext x)}
apply (hoare-rule HoarePartial.ProcRec1)
apply vcg
apply fastforce
done
```

However, in some corner cases the inferred state type in a procedure definition can be too general which raises problems when attempting to proof a suitable specifications in the locale. Consider for example the simple procedure body $'p ::= NULL$ for a procedure *init*.

```
procedures init (|p) =
  'p ::= Null
```

Here Isabelle can only infer the local variable record. Since no reference to any global variable is made the type fixed for the global variables (in the locale *init'-impl*) is a type variable say *'g* and not a *globals-list* record. Any specification mentioning *next* or *cont* restricts the state type and cannot be added to the locale *init-impl*. Hence we have to restrict the body *'p* ::= *NULL* in the first place by adding a typing annotation:

```
procedures init' (|p) =
  'p::= Null::('a globals-list-scheme, 'b list-vars-scheme, char list, 'c) com
```

26.9.1 Extending State Spaces

The records in Isabelle are extensible [7, 6]. In principle this can be exploited during verification. The state space can be extended while we add procedures. But there is one major drawback:

- records can only be extended in a linear fashion (there is no multiple inheritance)

You can extend both the main state record as well as the record for the global variables.

26.9.2 Mapping Variables to Record Fields

Generally the state space (global and local variables) is flat and all components are accessible from everywhere. Locality or globality of variables is achieved by the proper *init* and *return/result* functions in procedure calls. What is the best way to map programming language variables to the state records? One way is to disambiguate all names, by using the procedure names as prefix or the structure names for heap components. This leads to long names and lots of record components. But for local variables this is not necessary, since variable *i* of procedure *A* and variable *i* of procedure *B* can be mapped to the same record component, without any harm, provided they have the same logical type. Therefore for local variables it is preferable to map them per type. You only have to distinguish a variable with the same name if they have a different type. Note that all pointers just have logical type *ref*. So you even do not have to distinguish between a pointer *p* to an integer and a pointer *p* to a list. For global components (global variables and heap structures) you have to disambiguate the name. But hopefully the field names of structures have different names anyway. Also note that there is no notion of hiding of a global component by a local one in the logic. You have to disambiguate global and local names! As the names of the components show up in the specifications and the proof obligations, names are even more important as for programming. Try to find meaningful and short names, to avoid cluttering up your reasoning.

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