

IC3

Invariant Verification Problems

Inductive invariants and relative inductive invariants are central notions to solve the invariant verification problem. F is an *inductive invariant* for S iff $I(X) \models F(X)$, and $F(X) \wedge T(X, X') \models F(X')$. A typical verification strategy is to look for an inductive invariant F such that $F \models P$ (thus, yielding that $S \models P$). F is *inductive relative* to the formula $\phi(X)$ iff $I(X) \models F(X)$, and $\phi(X) \wedge F(X) \wedge T(X, X') \models F(X')$. It is sometimes useful to first prove some lemma and then search for an invariant that is inductive relative to such lemma.

IC3 main idea

The IC3 algorithm tries to prove that $S \models P$ by finding a suitable inductive invariant $F(X)$ such that $F(X) \models P(X)$. In order to construct F , IC3 maintains a sequence of formulas (called *trace*) $F_0(X), \dots, F_k(X)$ such that: (i) $F_0 = I$; (ii) $F_i \models F_{i+1}$; (iii) $F_i(X) \wedge T(X, X') \models F_{i+1}(X')$; (iv) for all $i < k$, $F_i \models P$. Therefore, each element of the trace F_{i+1} , called *frame*, is inductive relative to the previous one, F_i . IC3 strengthens the frames by finding new relative inductive clauses. A clause c is inductive relative to the frame F , i.e. $F \wedge c \wedge T \models c'$, iff the formula

$$RelInd(F, T, c) \doteq F \wedge c \wedge T \wedge \neg c' \tag{1}$$

is unsatisfiable, so that a check of relative inductiveness can be directly tackled by a SAT (or SMT) solver.

implementation

- **while** $\text{is_sat}(F[k] \wedge \neg P)$:
 $c = \text{get_state}(F[k] \wedge \neg P)$ # $c \models F[k] \wedge \neg P$
 extract a cube c from the model of $F_k \wedge \neg P$
 if $F_k \wedge \neg P$ is satisfiable, then F_k is intersected with bad states($\neg P$)
 construct a cube c which lead to the bad states $F_k \wedge \neg P$
 c contains literals that if and(then) is satisfiable then $F_k \wedge \neg P$ is satisfiable

implementation

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while is_sat( $F[i - 1] \wedge \neg s \wedge T \wedge s'$ ):
     $c = \text{get\_predecessor}(i - 1, s')$  # see §III-C
    if not rec_block( $c, i - 1$ ): return False
  
```

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while RelInd( $F_{i-1}, T, \neg s$ )  $\not\models \perp$ :
    extract a cube  $c$  from the model of RelInd( $F_{i-1}, T, \neg s$ )
    • #  $c$  is a predecessor of  $s$ 
    if not RECBLOCK( $c, i - 1$ ): return FALSE
  
```

- finding new relative inductive clauses.
- If the formula RelInd is unsatisfiable, then $\neg s$ is inductive relative to $F(i-1)$, and the bad state s can be blocked at i , then generalize $\neg s$ to $\neg g$ and add $\neg g$ to F_i ($i < k$)
- if the formula RelInd is satisfiable, then the overapproximation $F(i-1)$ is not strong enough to show that s is unreachable, let c be a subset of the states in $F(i-1) \wedge \neg s$ such that all the states in c lead to a state in s in one transition step. Then, IC3 continues by trying to show that c is not reachable in one step from $F(i-2)$ (that is, it tries to block the pair($c, i-1$))

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bool rec_block( $s, i$ ):
  
```

1. **if** $i == 0$: **return** False # reached initial states
2. **while** is_sat($F[i - 1] \wedge \neg s \wedge T \wedge s'$):
3. $c = \text{get_predecessor}(i - 1, s')$ # see §III-C
4. **if not** rec_block($c, i - 1$): **return** False
5. $g = \text{generalize}(\neg s, i)$ # see §III-B
6. add g to $F[1] \dots F[i]$
7. **return** True

implementation

- 因为在blocking phase中, $s \models F_k \wedge \neg P$ 可能在小于k的位置上被block掉
- 但不可能在0位置处被block, 所以从1开始($i=1 \dots k-1$)
- 对于 F_i 每个子句 c , 如果不满足(not is_sat)RelInd
- 则clause c is inductive relative to the frame F_i
- 即有 $F \wedge c \wedge T \models c'$
- 将 c 添加到 $F(i+1)$, 则之前用来block掉 s 的子句也能被传播到 F_k

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# propagation phase
 $k = k + 1, F[k] = \top$ 
for  $i = 1$  to  $k - 1$ :
    for each clause  $c \in F[i]$ :
        if not is_sat( $F[i] \wedge c \wedge T \wedge \neg c'$ ):
            add  $c$  to  $F[i + 1]$ 
    if  $F[i] == F[i + 1]$ : return True # property proved
```

$$RelInd(F, T, c) \doteq F \wedge c \wedge T \wedge \neg c'$$