

# CSCI 532 – Algorithm Design

## Assignment 9

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**Question 1.** For what values of  $t$  is the tree of Figure 18.1 a legal B-tree?

**Solution:**

We know that according to property 5 of B-tree, every node other than the root must have at least  $t-1$  keys and at most  $2t-1$  keys. In Figure 18.1, the number of keys of each node except the root node is either 2 or 3. So to make it a legal B-tree, we need to guarantee that

$t-1 \leq 2$  and  $2t-1 \geq 3$ , which yields  $2 \leq t \leq 3$ . So,  $t$  can be 2 or 3.

**Question 2.** As a function of the minimum degree  $t$ , what is the maximum number of keys that can be stored in a B-tree of height  $h$ ?

**Solution:**

Let us consider we have 1 node at level 0,  $2t$  nodes at level 1,  $(2t)^2$  nodes at level 2 and so on. By this we know that each node contains  $2t-1$  keys, and at depth  $k$  the tree will have utmost  $(2t)^k$  nodes. The total nodes is the sum of  $(2t)^0, (2t)^1, (2t)^2, \dots, (2t)^h$ .  $\text{MaxKeyNum}(t, h)$  function returns the maximum number of keys in a B-tree of height  $h$  and the minimum degree  $t$ .

$$\begin{aligned}\text{MaxKeyNum}(t, h) &= (2t-1)[(2t)^0, (2t)^1, (2t)^2, \dots, (2t)^h] \\ &= (2t-1) \sum_{i=0}^h (2t)^i \\ &= (2t-1) * (2t)^{h+1} - 1 / 2t - 1 \\ &= (2t)^{h+1} - 1\end{aligned}$$

**Question 3.** Describe the data structure that would result if each black node in a red-black tree were to absorb its red children, incorporating their children with its own.

**Solution:**

After absorbing each red node into its black parent, each black node may contain 1, 2 (1 red child), or 3 (2 red children) keys, and all leaves of the resulting tree have the same depth, according to property 5 of red-black tree (For each node, all paths from the node to descendant leaves contain the same number of black nodes). Therefore, a red-black tree will become a B tree with minimum degree  $t = 2$ , i.e., a 2-3-4 tree.

**Question 4.** Show the  $d$  and  $PI$  values that result from running breadth-first search on the directed graph of Figure 22.2(a), using vertex 3 as the source.

**Solution:**

Vertex	1	2	3	4	5	6
D	$\infty$	3	0	2	1	1
PI	NIL	4	NIL	5	3	3

**Question 5.** Show that using a single bit to store each vertex color suffices by arguing that the BFS procedure would produce the same result if lines 5 and 14 were removed.

**Solution:**

The BFS procedure cares only whether a vertex is white or not. A vertex  $v$  must become non-white at the same time that  $v.d$  is assigned a finite value so that we do not attempt to assign to  $v.d$  again, and so we need to change vertex colors in lines 5 and 14. Once we have changed a vertex's color to non-white, we do not need to change it again.

**Question 6.** As a function of the minimum degree  $t$ , what is the maximum number of keys that can be stored in a B-tree of height  $h$ ?

**Solution:**

Let us consider we have 1 node at level 0,  $2t$  nodes at level 1,  $(2t)^2$  nodes at level 2 and so on. By this we know that each node contains  $2t-1$  keys, and at depth  $k$  the tree will have utmost  $(2t)^k$  nodes. The total nodes is the sum of  $(2t)^0, (2t)^1, (2t)^2, \dots, (2t)^h$ . MaxKeyNum ( $t, h$ ) function returns the maximum number of keys in a B-tree of height  $h$  and the minimum degree  $t$ .

$$\begin{aligned}\text{MaxKeyNum}(t, h) &= (2t-1)[(2t)^0, (2t)^1, (2t)^2, \dots, (2t)^h] \\ &= (2t-1) \sum_{i=0}^h (2t)^i \\ &= (2t-1) * (2t)^{h+1} - 1 / (2t-1) \\ &= (2t)^{h+1} - 1\end{aligned}$$