Fluent, Composable Error Handling

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1 Introduction

Consider a program composed of *serially dependent computations*, any of which produces either a value to feed to the next computation in-line, or an error. If any computation in the sequence produces an error, no downstream computations should be attempted and that error should be the result of the entire sequence.

We show a sequence of solutions of increasing elegance in Java and Clojure for this program. The solutions are in fluent style, which minimizes the number of temporary variables that must be invented and named. This style directly mimics the abstract data flow of the solution. We further show techniques for fluent error handling, both with exceptions and with returned error codes.

2 Motivating Example Problem

As a concrete example, suppose we must get an authorization token, do a database lookup, do a web-service call, filter the results of that call, do another web-service call, and then combine the results. The data flow of our program resembles that in figure 1.

2.1 Fluent Solution in Java, No Error Handling

In the C++ – like languages, including JavaScript and Java, we might keep intermediate results in instance variables and model the flow as methods that produce instances from instances, that is, as **transforms**. This style is called **fluent style** because the text of the program resembles the flow in the diagram.

Imagine a *main* program like the following, in which transforms are on their own lines, indented from their *sources* by one 4-space tab stop. The **source** of a transform is an expression that produces an object to feed downstream.

```
public static void main(String[] args) {
    Computation databaseResults = new Computation()
        .authorize()
        .readDatabase();
    String result = databaseResults
        .callWebService()
        .filterResults()
        .combineResults(databaseResults
        .callOtherWebService());
    System.out.println(result); }
```

Notice that we save the *Computation* produced by reading the database in its own local variable, namely *databaseResults*. We do so because the dataflow branches from that result and we need to use it twice, once as the source for calling the first web service and once as the source for calling the second web service. If not for this branching and recombining of the dataflow, we might have written the entire program as one, fluent expression with no intermediate variables.

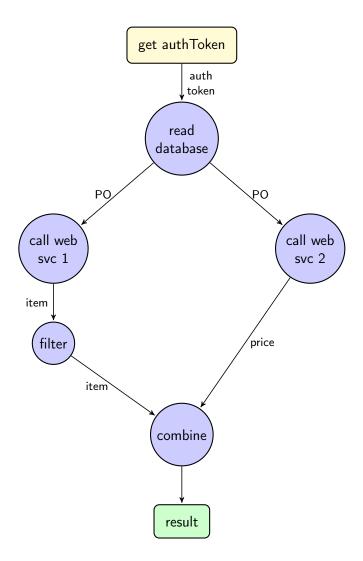


Figure 1: Serially dependent computations

Also note the compressed style, minimizing blank lines and lines with just one closing brace. We adopt this style to save space in this

The following is a complete program that mocks out the database and web-service calls as static JSON objects encoded in strings, and can be compiled and executed, even online in some sandbox like http://www.compileonline.com/compile_java_online.php.

```
public class Computation {
    private String authToken;
    private String databaseResults;
    private String webServiceCallResults;
    private String filteredWebServiceCallResults;
    private String otherWebServiceCallResults;
    public Computation () {}
    public Computation authorize() {
        authToken = "John's credentials";
        return this; }
    public Computation readDatabase() {
        databaseResults = "{\"name\":\"John\", \"P0\":\"421357\"}";
        return this; }
    public Computation callWebService() {
        webServiceCallResults =
            "[{\"item\":\"camera\"}, {\"item\":\"shoes\"}]";
        return this; }
    public Computation filterResults() {
        filteredWebServiceCallResults =
            "[{\"item\":\"camera\"}]";
        return this; }
    public Computation callOtherWebService() {
        otherWebServiceCallResults = "{\"price\":\"420.00\"}";
        return this; }
    public String combineResults(Computation other) {
        return "{[" + filteredWebServiceCallResults +
            "," + otherWebServiceCallResults + "]}"; }
    public static void main(String[] args) {
        Computation databaseResults = new Computation()
            .authorize()
            .readDatabase();
```

2.2 Fluent Solution in Java, with Exceptions

The program above has *no* error handling. At this point, let us agree that we *must* have error handling in all but academic toys.

One of the better techniques for error handling in fluent style is with exceptions. If each sub-computation is responsible for throwing its own exception with error details, then a single try-catch suffices to get error details out of the overall sequence, leaving the essential dataflow expression unchanged. Our main routine has minimal changes, and becomes simply

noting, in passing, that we ignore resource freeing (database connections, sockets, file handles, etc.) in this paper. 1

Let's give each mocked sub-computation a 10% chance of erroring, and our entire sample becomes just the following:

¹Idiomatically, resource management can be handled in a *finally* clause or with Java 7's automatic resource management. See http://bit.ly/15GYkMh

```
import java.util.Random;
public class Computation {
    private String authToken;
    private String databaseResults;
    private String webServiceCallResults;
    private String filteredWebServiceCallResults;
    private String otherWebServiceCallResults;
    private static Random random = new java.util.Random();
    private static Boolean randomlyError() {
        return random.nextDouble() < 0.10; }</pre>
    public Computation () {}
    public Computation authorize() throws Exception {
        if (randomlyError()) { throw new Exception("auth errored"); }
        authToken = "John's credentials";
        return this; }
    public Computation readDatabase() throws Exception {
        if (randomlyError()) { throw new Exception("database errored"); }
        databaseResults = "{\"name\":\"John\", \"PO\":\"421357\"}";
        return this; }
    public Computation callWebService() throws Exception {
        if (randomlyError()) { throw new Exception("ws1 errored"); }
        webServiceCallResults =
            "[{\"item\":\"camera\"}, {\"item\":\"shoes\"}]";
        return this; }
    public Computation filterResults() throws Exception {
        if (randomlyError()) { throw new Exception("filter errored"); }
        filteredWebServiceCallResults =
            "[{\"item\":\"camera\"}]";
        return this; }
    public Computation callOtherWebService() throws Exception {
        if (randomlyError()) { throw new Exception("ws2 errored"); }
        otherWebServiceCallResults = "{\"price\":\"420.00\"}";
        return this; }
    public String combineResults(Computation other) throws Exception {
        if (randomlyError()) { throw new Exception("combine errored"); }
        return "{[" + filteredWebServiceCallResults +
            "," + otherWebServiceCallResults + "]}"; }
    public static void main(String[] args) {
```

2.3 Fluency Lost Without Exceptions

Error handling with exceptions is debatable,² especially in Java, where runtime exceptions need not be declared,³ but the alternative of checked exceptions can be considered harmful.⁴

Rather than join the debate, just imagine that we have decided against exceptions for whatever reason and see if we can write reasonable code.

Add a private *String* field, *errorResult*, and let every method set the error result if and only if it errors. We must change *combineResults*; it can no longer return just a *String*, but rather a *Computation*, because it may, itself, produce an error. Furthermore, we lose the fluent style because every call must be individually checked.

A particularly nasty way to do this is as follows:

²http://www.joelonsoftware.com/items/2003/10/13.html

³http://bit.ly/1e5P6Cg

⁴http://bit.ly/9NyrdD

This is so intolerable as to barely deserve criticism, despite the fact that its working set is optimized for the positive path!⁵ We've lost any correspondence between the program text and the program specification, and all options for nesting and placement of curly braces are ludicrous. Changing the computation graph would entail a sickening amount of work – code like this is best left to automatic code generators, if we tolerate it at all.

The prevailing style, nowadays, is to reverse error branches and to return as early as possible from the main routine. I have seen many instances of this style in shipped code from pre-eminent shops. Despite the fact that multiple returns were condemned in the dogma of structured programming and are lethal in code that manages resources, 6 the justification for this is three-fold:

- it results in linear code that can be read from top to bottom
- edits to the computation graph entail just adding or subtracting a localized block of a few lines of code and adjusting a few temporary variables
- modern compilers can reverse the branches in the generated code automatically after a post-compilation profiling step⁷

⁵The error branches are all at addresses far from the common-case, non-error branches, which are clustered together for maximum locality.

⁶http://bit.ly/sAvDmY

⁷http://en.wikipedia.org/wiki/Profile-guided_optimization

This alternative⁸ is the following:

```
public static String computation() {
    Computation c1 = new Computation();
    Computation c2 = c1.authorize();
    if (! c2.errorResult.isEmpty()) {return c2.errorResult;}
    Computation c3 = c2.readDatabase();
    if (! c3.errorResult.isEmpty()) {return c3.errorResult;}
    Computation c4 = c3.callWebService();
    if (! c4.errorResult.isEmpty()) {return c4.errorResult;}
    Computation c5 = c4.filterResults();
    if (! c5.errorResult.isEmpty()) {return c5.errorResult;}
    Computation c6 = c3.callOtherWebService();
    if (! c6.errorResult.isEmpty()) {return c6.errorResult;}
    Computation c7 = c5.combineResults(c6);
    if (! c7.errorResult.isEmpty()) {return c7.errorResult;}
    return c7.getResult(); }
public static void main(String[] args) {
    System.out.println(computation()); }
```

This, at least, gets rid of the ludicrous nesting, but exposes another deep weakness: we have a proliferation of temporary variables just to hold the *Computations* returned by the intermediate stages. Why bother with this when we have no hope of fluent style? Let's go to

```
public static String computation() {
    Computation c1 = new Computation();
    c1.authorize();
    if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
    c1.readDatabase();
    if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
    c1.callWebService();
    if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
    c1.filterResults();
    if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
    c1.callOtherWebService();
    if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
    c1.combineResults(c1);
    if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
    c1.combineResults(c1);
    if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
```

⁸ favored in the previously cited Joel-on-Software blog

```
return c1.getResult(); }
public static void main(String[] args) {
    System.out.println(computation()); }
   Edits to the graph entail even easier edits to the source. The whole
program, now, is the following
import java.util.Random;
public class Computation {
    private String errorResult;
    private String result;
    private String authToken;
    private String databaseResults;
    private String webServiceCallResults;
    private String filteredWebServiceCallResults;
    private String otherWebServiceCallResults;
    private static Random random = new java.util.Random();
    private static Boolean randomlyError() {
        return random.nextDouble() < 0.10; }</pre>
    public Computation () {errorResult=""; result="no result";}
    public Computation authorize() {
        if (randomlyError()) { errorResult = "auth errored"; }
        authToken = "John's credentials";
        return this; }
    public Computation readDatabase() {
        if (randomlyError()) { errorResult = "database errored"; }
        databaseResults = "{\"name\":\"John\", \"PO\":\"421357\"}";
        return this; }
    public Computation callWebService() {
        if (randomlyError()) { errorResult = "ws1 errored"; }
        webServiceCallResults =
            "[{\"item\":\"camera\"}, {\"item\":\"shoes\"}]";
        return this; }
    public Computation filterResults() {
        if (randomlyError()) { errorResult = "filter errored"; }
        filteredWebServiceCallResults =
            "[{\"item\":\"camera\"}]";
        return this; }
    public Computation callOtherWebService() {
```

```
if (randomlyError()) { errorResult = "ws2 errored"; }
        otherWebServiceCallResults = "{\"price\":\"420.00\"}";
        return this; }
    public Computation combineResults(Computation other) {
        if (randomlyError()) { errorResult = "combine errored"; }
        result = "{[" + filteredWebServiceCallResults +
            "," + otherWebServiceCallResults + "]}";
        return this;}
    public String getResult() {return result;}
    public static String computation() {
        Computation c1 = new Computation();
        c1.authorize();
        if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
        c1.readDatabase();
        if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
        c1.callWebService();
        if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
        c1.filterResults();
        if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
        c1.callOtherWebService();
        if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
        c1.combineResults(c1);
        if (! c1.errorResult.isEmpty()) {return c1.errorResult;}
        return c1.getResult(); }
    public static void main(String[] args) {
        System.out.println(computation());
}
    }
```

3 Let's Do Better

At this point, we have a nice, fluent solution, but only if we use exceptions. We also have a just-barely-acceptable solution without exceptions. It's possible to do much better in both cases by going *functional*.

In Java, our fundamental modeling tools are *mutable*, *stateful objects*. Stateful object programming has many disadvantages:

- making it thread-safe entails locks, which are complex
- making it thread-safe and compositional is very difficult, if not impossible, because exceptions and locks do not interleave

composing stateful objects, even without concurrency, is difficult: the
operational semantics of even a sequential program requires temporal
reasoning, well outside the capabilities of compilers and programming
tools

At this point, it's worthwhile to emphasize a point we left unstated. Why did we use a new instance variable in the object for each intermediate state? Why not use just a single variable for every non-error result? After all, we used a single variable for the error result?

The reason is that we wanted the individual methods that update non-error state to be as independent as possible. Though our mocks don't do so, in a real program, each intermediate computation would use the result of its predecessors: readDatabase would use the authToken, the web-service calls would use databaseResults and so on. By using a separate, named variable for each intermediate result, the correctness of our individual sub-computations would be easier to verify by inspection. If we had re-used a single String variable, the temporal flow forced by the dependencies would be even more obscured, and our program would be even more difficult to understand and maintain. It's definitely worth a few more named variables to make our program easier for the next poor slob tasked with reading our code. Because the only tool we have is mutable state, it's hard to do better than a sequence of mutable state variables mirroring our sequence of subcomputations.

The essence of the problem is that we are modeling a *flow* of data through transforms as a flow of data through mutable variables. If, instead, we invert the paradigm to make the transforms the objects of focus, we sidestep this problem. Doing so requires a language with first-class transforms, that is, functions. Mutable state variables become immutable function parameters. Thread-safety becomes automatic and locks do not arise. Fluency is free with exceptions, as before, and is available for errors-as-return-values through a monadic technique. Only the name monad is exotic; the technique is as plain as water and is, in fact, fundamental for manageable concurrent and distributed programming, even if we stick with mutable, stateful objects. But our scenario is better without them, as we show.

C# has first-class functions, a.k.a. *lambda expressions*, as does C++ 11 and as will Java 8. In the mean time, we can use *Clojure*, a Java-compatible functional language.

3.1 Functional Solution With Exceptions

We may write the program with only one intermediate variable for holding the results of the database read, which we must use for each of the two webservice calls. Even this variable can be eliminated via memoization, common sub-expression elimination, lambda lifting, or parallel composition, but let's go one step at a time and write the flow directly as a sequential composition of function calls via Clojure's ->, using its let syntax for the one remaining state variable, as follows:

```
\#+NAME functional-attempt-1
(ns temp-1.core)
(defn computation [] {})
(defn randomly-error [] (< (rand) 0.10))
(defn authorize [computation]
  (if (randomly-error) (throw (Exception. "auth errored"))
                       {:auth-token "John's credentials"}))
(defn read-database [auth-token]
  (if (randomly-error) (throw (Exception. "database errored"))
                       {:name "John", :PO 421357}))
(defn call-web-service [database-results]
  (if (randomly-error) (throw (Exception. "ws1 errored"))
                       [{:item "camera"}, {:item "shoes"}]))
(defn filter-ws [web-service-call-results]
  (if (randomly-error) (throw (Exception. "filter errored"))
                       [{:item "camera"}]))
(defn call-other-web-service [database-results]
  (if (randomly-error) (throw (Exception. "ws2 errored"))
                       [{:price 420.00M}]))
(defn combine [filtered-web-service-results
               other-web-service-call-results]
  (if (randomly-error) (throw (Exception. "combine errored"))
      (concat filtered-web-service-results
              other-web-service-call-results)))
(println
  (try
    (let [db-results
          (-> (computation)
              authorize
              read-database
              )]
```

Several improvements are already noticeable in this first attempt:

- first, as stated, with but one exception, state variables have become function parameters, purely local to each transform
- the one remaining state variable is itself immutable, removing any need for temporal reasoning
- the values of each mock can be modeled directly in the language as hash-maps, arrays, integers, and decimal numbers like 420.00M, as opposed to JSON objects encoded in strings
 - such direct modeling removes the implied need, which we had unstated in our Java solution, for JSON serialization and parsing
 - such direct modeling also means that we do not need direct java interop; our computation "constructor" just returns an empty hash-map
 - if we needed to interface with an exising Computation java class, we would only need to import the class and change our constructor call from (computation) to (Computation.), which is shorthand for (new Computation)

The desired behavior is similar to that of the Maybe monad,⁹ the difference being that *Maybe* just produce *Nothing* if anything goes wrong. The consumer of the computation doesn't know what stage of the pipeline failed nor any details at all about the error. *Maybe* suppresses all that. Such a situation is not tolerable in the real world. Consider the example of a database retrieval followed by a few web-service calls followed by a filter and transformation followed by a logging call followed by output to UI components. If something goes wrong in this sequence of computations, we need to know exactly where and as much detail as we can get about the failure. But we certainly don't want any computations downstream of the failure to be attempted.

 $^{^{9}}$ http://en.wikipedia.org/wiki/Monad_(functional_programming)# $The_{Maybe monad}$

4 Code

```
(defproject ex1 "0.1.0-SNAPSHOT"
  :description "Project Fortune's Excel Processor"
  :url "http://example.com/TODO"
  :license {:name "TODO"
            :url "TODO"}
  :dependencies [[org.clojure/clojure
                                           "1.5.1"]
                 [org.clojure/algo.monads "0.1.4"]
                 [org.clojure/data.zip
                                           "0.1.1"]
                                           "1.6.0"]
                 [dk.ative/docjure
  :repl-options {:init-ns ex1.core})
(ns ex1.core
  (:use clojure.algo.monads))
(defmonad if-not-error-m
  [m-result (fn [value] value)
  m-bind
           (fn [value f]
              (if-not (:error value)
                (f value)
                value))
            {:error "unspecified error"}
  m-zero
  m-plus
            (fn [& mvs]
              (first (drop-while :error mvs)))
  ])
(ns ex1.core-test
  (:require [clojure.test
                                 :refer :all]
                                 :refer :all]
            [ex1.core
            [clojure.algo.monads :refer :all]))
```

```
(deftest exception-throwing-test
  (testing "exceptions are thrown"
    (is (thrown? ArithmeticException (/ 1 0)))
    (is (thrown-with-msg? ArithmeticException #"Divide by zero" (/ 1 0)))
   ))
(deftest comprehension-test
  (testing "sequence monad and comprehension"
    (is (= (domonad sequence-m
                    [a (range 5)
                     b (range a)]
                    (* a b))
           (for [a (range 5)
                 b (range a)]
             (* a b)))
        "Monadic sequence equals for comprehension")))
(defn- divisible? [n k]
  (= 0 (rem n k)))
(def ^:private not-divisible?
  (complement divisible?))
(defn- divide-out [n k]
  (if (divisible? n k)
    (recur (quot n k) k)
   n))
(defn- error-returning-check-divisibility-by [k n]
  (let [q (divide-out n k)]
    (if (= q n)
      {:error (str n ": not divisible by " k)}
     q)))
(defn- exception-throwing-check-divisibility-by [k n]
  (let [q (divide-out n k)]
    (if (= q n)
      (throw (Exception.
              (str {:error (str n ": not divisible by " k)})))
      q)))
(defn- best-small-divisor-sample [a2]
  (try
    (->> a2
        (exception-throwing-check-divisibility-by 2)
        (exception-throwing-check-divisibility-by 3)
        (exception-throwing-check-divisibility-by 5)
        (exception-throwing-check-divisibility-by 7))
    (catch Exception e (.getMessage e)))
```

- 5 References
- 6 Conclusion