## **Constraint Satisfaction**

Chen-Yu Wei

### **Constraint Satisfaction Problems (CSP)**

- Variables:  $X_1, X_2, ..., X_N$
- Domains: Domain<sub>1</sub>, ..., Domain<sub>N</sub>
  - *X<sub>i</sub>* takes values in Domain<sub>i</sub>
- Constraints: specifying the relations between the variables
- Solution: An assignment  $\{X_1: v_1, X_2: v_2, ..., X_N: v_N\}$  that satisfies all constraints

#### **Example: Map Coloring**

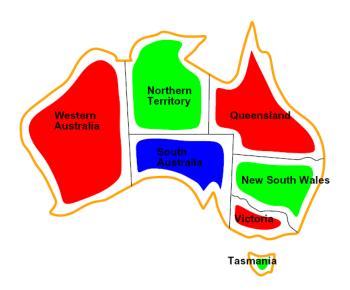
- Variables: WA, NT, Q, NSW, V, SA, T
- Domains: D = {red, green, blue}
- Constraints: adjacent regions must have different colors

```
Implicit: WA \neq NT
```

Explicit:  $(WA, NT) \in \{(red, green), (red, blue), \ldots\}$ 

 Solutions are assignments satisfying all constraints, e.g.:

```
{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green}
```



### **Example: Cryptarithmetic**

• Variables:

$$F T U W R O X_1 X_2 X_3$$

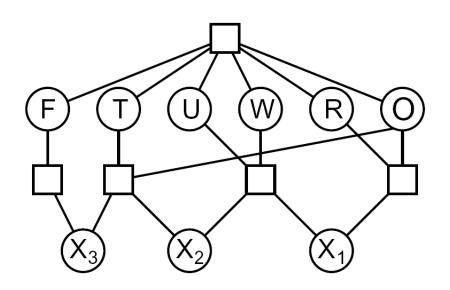
• Domains:

$$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

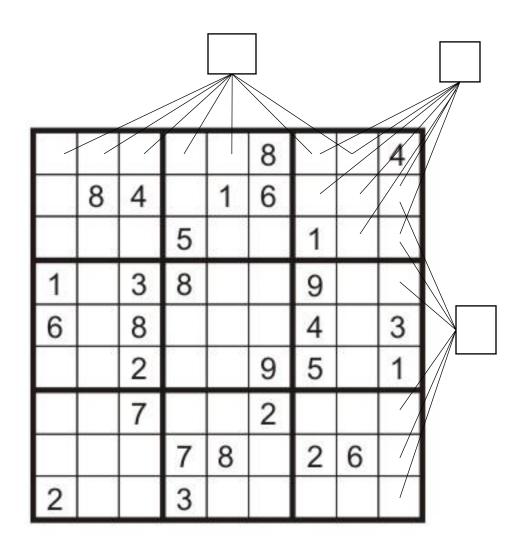
• Constraints:

$$O + O = R + 10 \cdot X_1$$

• • •



#### **Example: Sudoku**



Variables: Each (open) square

• Domains: {1,2,...,9}

• Constraints:

9-way alldiff for each column

9-way alldiff for each row

9-way alldiff for each region

(or can have a bunch of pairwise inequality constraints)

#### Real-World CSPs

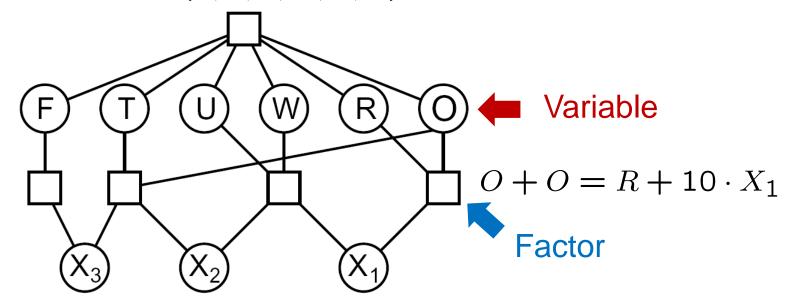
- Assignment problems: e.g., who teaches what class
- Timetabling problems: e.g., which class is offered when and where?
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Circuit layout

• ...

Many real-world problems involve real-valued variables

#### **Factor Graph**

T W O + T W O F O U R

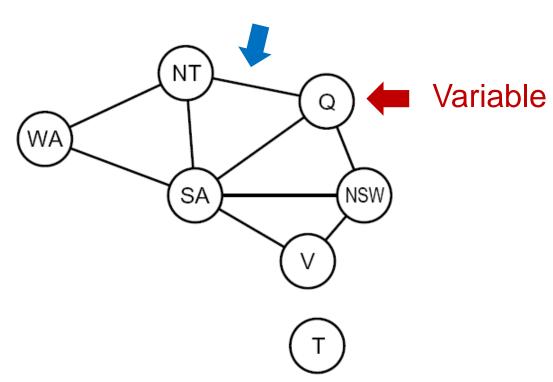


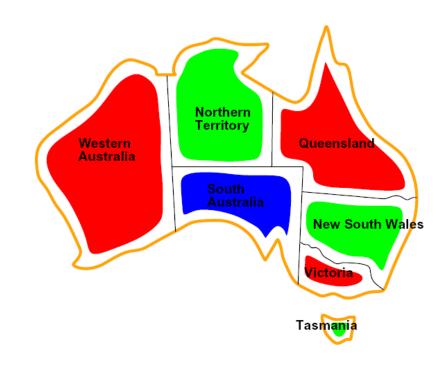
$$f_1(O, R, X_1) = \begin{cases} 1, & \text{if } O + O = R + 10X_1 \\ 0, & \text{otherwise} \end{cases}$$

Weight = 
$$\prod_{i=1}^{4} f_i(F, T, U, W, R, O, X_1, X_2, X_3)$$

#### **Constraint Graph**

#### constraint



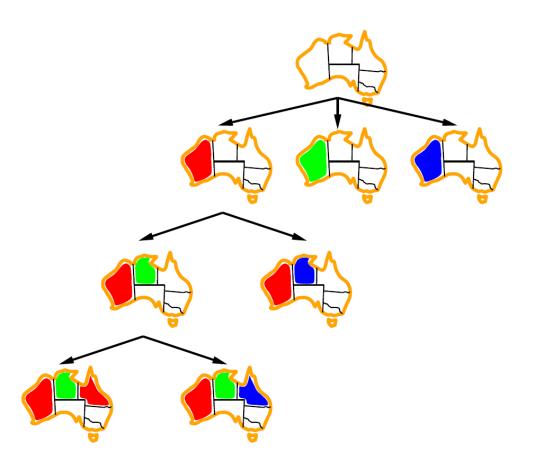


(more convenient for **binary constraint** CSPs)

Every constraint involves at most 2 variables

#### **How to Solve CSP?**

- Treat it as a search problem
  - Assign one variable at a time
  - State: A partial assignment
  - Action: Assign value to an unassigned variable
  - Goal test: check whether all constraint are satisfied
- But there's more structure to leverage
  - Variable ordering doesn't matter
  - Variables are interdependent in a local way
- We will start from known search algorithms, and try to speed it up



# **Backtracking Search**

#### **Backtracking Search**

Backtracking search = DFS + failure-on-violation

BacktrackingSearch({ }, Domain) returns an assignment or reports failure.

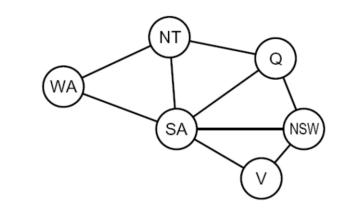
```
BacktrackingSearch(x, Domain):
   If x is a complete assignment: return x.
   Let X_i be the next unassigned variable.
   For each value v \in Domain_i:
      x' \leftarrow x \cup \{X_i : v\}
       If x' violates constraints: continue
      return BacktrackingSearch(x', Domain)
   return failure
```

### **Improving Backtracking Search**

- Forward checking
- Maintaining arc consistency (more powerful than forward checking)
- Dynamic ordering

#### Vanilla Backtracking Search

Suppose we assign the variables in the order of WA, Q, V, NT, NSW, SA



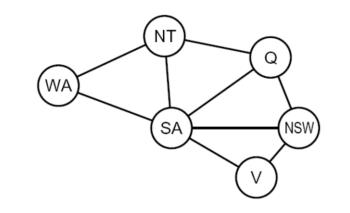


No valid assignment for **SA**.

Then the algorithm backtracks to try other assignments...

#### **Forward Checking**

Cross off values that violate a constraint when added to the existing assignment





Inconsistency found for **SA** (even though we haven't reached the layer of SA).

#### **Forward Checking**

```
BacktrackingSearch(x, Domain):
   If x is a complete assignment: return x.
   Let X_i be the next unassigned variable.
   For each value v \in Domain_i:
       x' \leftarrow x \cup \{X_i : v\}
       If x' violates constraints: continue
       return BacktrackingSearch(x', Domain')
   return failure
```

### **Forward Checking**

```
BacktrackingSearch(x, Domain):
   If x is a complete assignment: return x.
   Let X_i be the next unassigned variable.
   For each value v \in Domain_i:
       x' \leftarrow x \cup \{X_i : v\}
       Domain', Consistent = ForwardChecking(x', X_i, v, Domain)
       If not Consistent: continue
       return BacktrackingSearch(x', Domain')
   return failure
```

```
ForwardChecking (x', X_i, v, Domain):

Domain' \leftarrow Domain

For all X_j that is unassigned in x' and connected to X_i:

Delete values in Domain' that are inconsistent with \{X_i : v\}

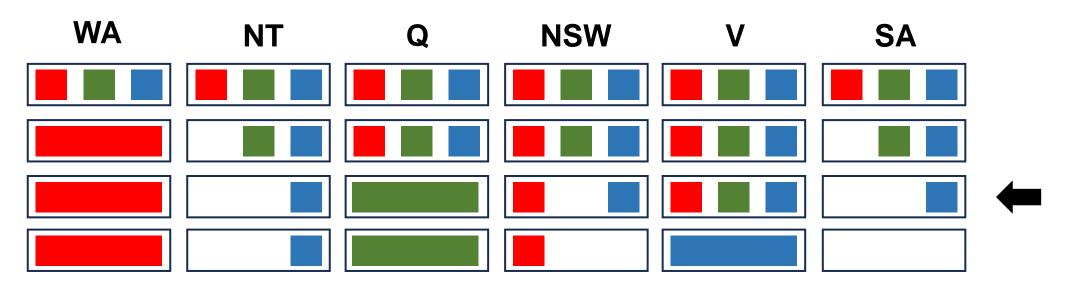
If Domain' is empty: return Domain', False

return Domain', True
```

#### Can We Prune Even More?

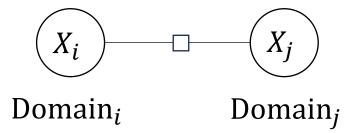
WA SA NSW

With forward checking:



After assigning **Q** with green, **NT** and **SA**'s domains are left with only blue. But **NT** and **SA** are neighbors, so there is no consistent assignment from here. How can we detect such inconsistency at this step?

#### **Arc Consistency**



**Fact.** Let  $v \in Domain_i$  be such that for all  $w \in Domain_j$ ,  $\{X_i : v, X_j : w\}$  violates the constraint on  $(X_i, X_j)$ . Then we can remove v from  $Domain_i$ .

**Definition (Arc Consistency on**  $X_i \to X_j$ **).** For all  $v \in \text{Domain}_i$ , there is some  $w \in \text{Domain}_j$  such that  $\{X_i : v, X_j : w\}$  satisfies the constraint on  $(X_i, X_j)$ .

**Idea to prune more:** keep checking whether we can remove elements from any Domain using the fact above. (i.e., always maintaining arc consistency)

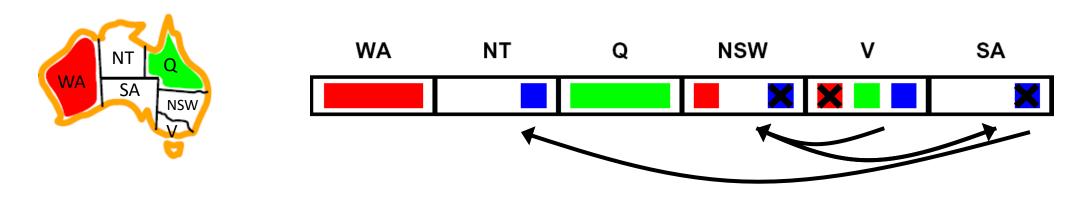
Forward checking: maintaining arc consistency from unassigned variables to newly assigned variables.





We can prune more if we ensure arc consistency for all arcs.

Remember: Delete from the tail!

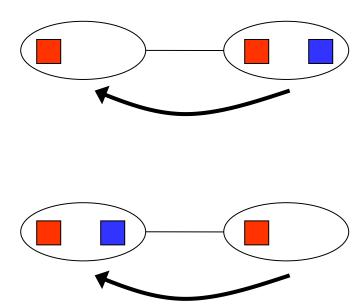


If X's domain changes, neighbors of X need to be rechecked!

```
AC3
    queue ← initial queue
    while queue not empty:
        (X_i, X_i) \leftarrow \mathsf{POP}(\mathsf{queue})
        if arc X_i \rightarrow X_i is not consistent:
           Revise Domain, to make it consistent
            if Domain<sub>i</sub> is empty: return False
            for each X_k connected to X_i:
                add (X_k, X_i) to queue
    return True
```

#### **Arc Consistency in Map Coloring Problems**

- Useful when there is only one color left at the arc head
- Actually, this is also the only useful case in the map coloring problem

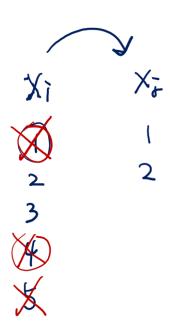


#### **Arc Consistency in Other Problems**

 $X_i \in Domain_i = \{1,2,3,4,5\}$ 

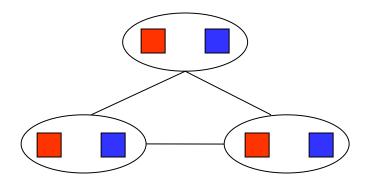
 $X_j \in Domain_j = \{1,2\}$ 

Constraint:  $X_i + X_j = 4$ 



#### **Limitations of Arc Consistency**

- Some failure modes cannot be detected by arc consistency.
- Therefore, we still need "backtracking".



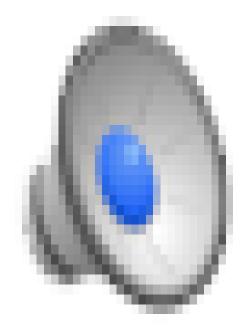
Combining AC3 with backtracking search:

```
BacktrackingSearch(x, Domain):
   If x is a complete assignment: return x.
   Let X_i be the next unassigned variable.
   For each value v \in Domain_i:
       x' \leftarrow x \cup \{X_i : v\}
       Domain', Consistent = AC3(x', X_i, v, Domain)
       If not Consistent: continue
       return BacktrackingSearch(x', Domain')
   return failure
```

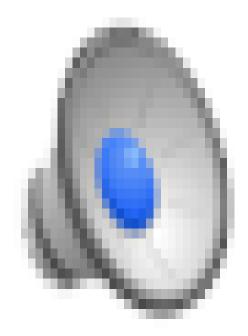
```
AC3(x', X_i, v, Domain):
    Domain' ← Domain
    queue \leftarrow \{(X_i, X_i) \text{ for all } X_i \text{ that is unassigned in } x' \text{ and connected to } X_i \}
    while queue not empty:
        (X_k, X_\ell) \leftarrow \mathsf{POP}(\mathsf{queue})
        if arc X_k \to X_\ell is not consistent:
            Revise Domain_k' to make it consistent
            if Domain' is empty: return Domain', False
            for each X_m that is unassigned in x' and connected to X_i:
                 add (X_m, X_k) to queue
    return Domain', True
```

Drawbacks of backtracking search with arc-consistency check?

## **Video: Forward Checking**



## **Video: Maintaining Arc Consistency**



#### **K-Consistency**

#### 2-Consistency (= Arc-Consistency)

• For any assignment  $X_i = v_i$ , there exists an assignment of  $X_j = v_j$  such that  $\{X_i = v_i, X_j = v_j\}$  satisfies the constraint on  $\{X_i, X_j\}$ .

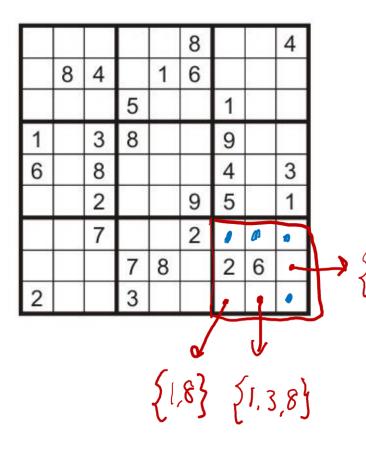
#### 3-Consistency

• For any consistent assignment  $\{X_i = v_i, X_j = v_j\}$ , there exists an assignment  $X_k = v_k$  such that  $\{X_i = v_i, X_j = v_j, X_k = v_k\}$  satisfies constraints on  $(X_i, X_k), (X_j, X_k), (X_i, X_j, X_k)$ .

#### K-Consistency

• For any consistent assignment  $\{X^{(1)}=v^{(1)}, ..., X^{(k-1)}=v^{(k-1)}\}$ , there exists an assignment  $X^{(k)}=v^{(k)}$  such that  $\{X^{(1)}=v^{(1)}, ..., X^{(k)}=v^{(k)}\}$  satisfies all constraints among  $X^{(1)}, ..., X^{(k)}$ .

#### "Naked Triples" Strategy in Sudoku



- In any units (i.e., row, column, or box), find three squares each have a domain that contains the same three numbers or subset of these three numbers e.g., the three domains might be {1,8}, {3,8}, {1,3,8}
- Eliminate these three numbers from the domains of all other squares in the same unit.
- The idea is similar to checking K-consistency: For any assignment that assigns 1 or 3 or 8 to blue squares (see left figure), there is no feasible solution for red squares. Therefore, 1, 3, 8 can be eliminated from blue squares.

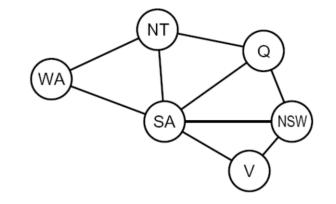
### **Improving Backtracking Search**

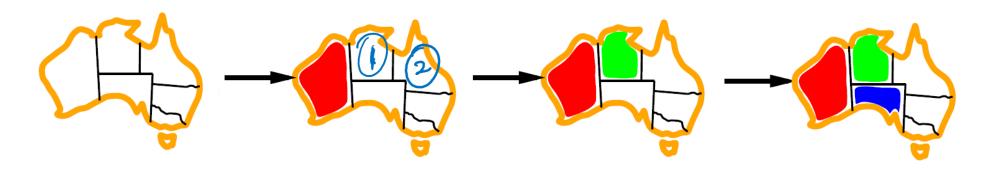
- Forward checking
- Maintaining arc consistency (more powerful than forward checking)
- Dynamic ordering

#### **Ordering**

```
BacktrackingSearch(x, Domain):
   If x is a complete assignment: return x.
   Let X_i be the next unassigned variable.
                                                  Which variable should we pick first?
   For each value v \in Domain_i:
                                                   Which value should we try first?
       x' \leftarrow x \cup \{X_i : v\}
       Domain', Consistent = AC3(x', X_i, v, Domain)
       If not Consistent: continue
       return BacktrackingSearch(x', Domain')
   return failure
```

## **Variable Ordering**





#### **Variable Ordering**

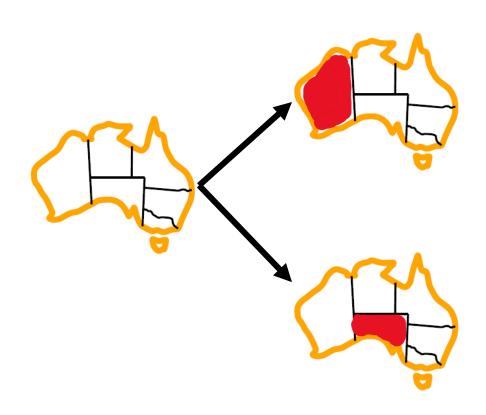
#### Minimum Remaining Value (MRV) heuristic

Choose variable that has the fewest left values in its domain.

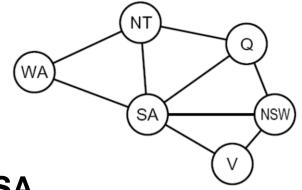
#### Why?

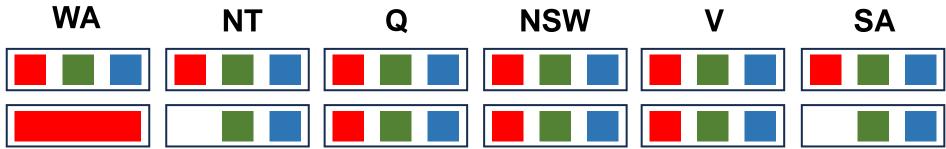
- Must assign every variable
- If going to fail, fail early → more pruning

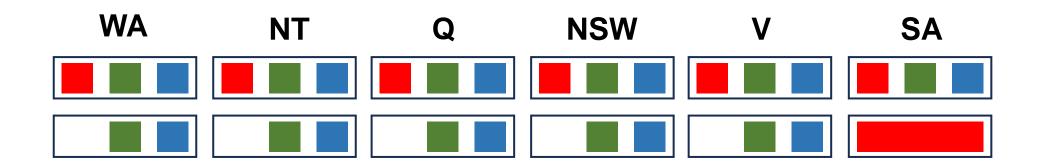
## **Variable Ordering**



## **Variable Ordering**







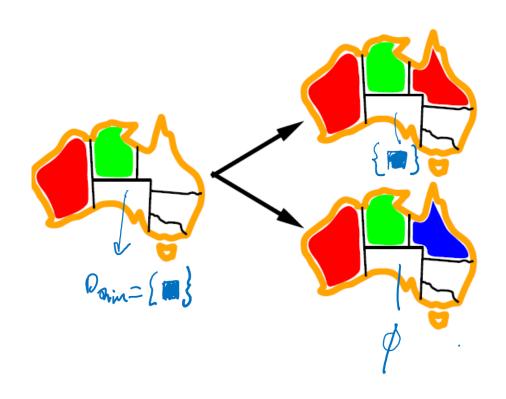
### **Variable Ordering**

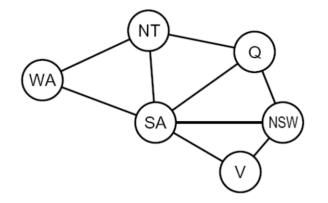
### **Degree heuristic**

Choose variable that is involved in the largest number of constraint.

Could be a good tie-breaking strategy along with MRV.

## **Value Ordering**





## **Value Ordering**

#### **Least Constrained Value (LCV) heuristic**

Choose the value that rules out the fewest values in the remaining variables.

#### Why?

- Needs to choose some value
- Choosing value most likely to lead to solution
- Unlike variable ordering, there is no need to consider all values

Can be estimated by forward checking or arc-consistency checking

## **Ordering**

#### Minimum Remaining Value (MRV) heuristic

Choose variable that has the fewest left values in its domain.

#### **Degree heuristic**

Choose variable that is involved in the largest number of constraint.

#### **Least Constrained Value (LCV) heuristic**

Choose the value that rules out the fewest values in the remaining variables.

## **Local Search**

### **Iterative Improvement**

- Start from some complete assignment that may not satisfy all constraints
- Modify the assignment, trying to resolve violations



### **Iterative Improvement**

```
MinConflict (MaxSteps):

x \leftarrow \text{an initial complete assignment}

for iter = 1 to MaxStep:

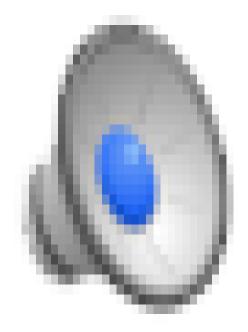
if x is a solution then return x

Let X_i be a randomly chosen conflicted variable

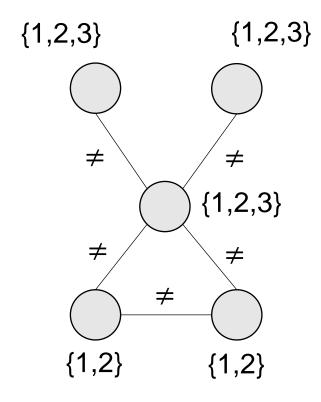
Let v be the value for v that minimizes conflicts

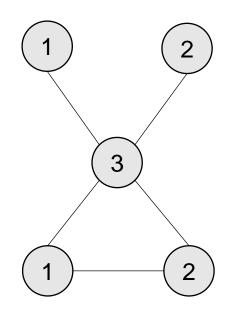
Reassign v in v
```

# **Iterative Improvement**

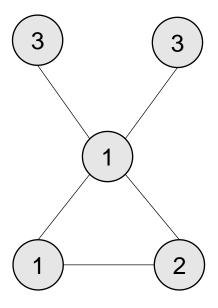


### **Failure of MinConflict**









An unfortunate initialization for MinConflict

#### Rescue

For local search algorithms like MinConflict, we will randomly generate multiple initial assignments. For each initial assignment, we will only run it for MaxStep iterations before giving up.

## Homework 2

Xuhui Kang, Matthew Landers

Deadline: 11:59PM, September 30

### Homework 2

- Choice Questions (10 points)
  - a. 10 questions.
  - b. Answer directly on Gradescope
  - c. The same requirements as the last time.
  - d. 1 feedback box for the course

Program Questions (25 points)

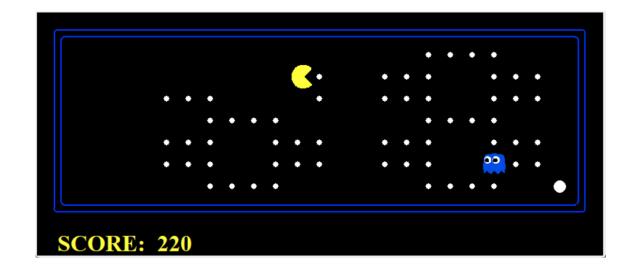
## Question 1 (4 points): Reflex Agent

Your agent should easily and reliably clear the layout:

Run 10 times for evaluation:

TO receive full credits:

- Wins all the 10 times
- Average score is greater than 1k.

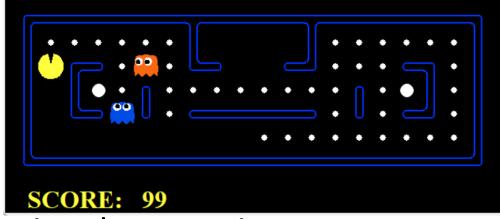


## Question 2 (5 points): Minimax

You will write an adversarial search agent.

Work with any number of ghosts:

- Check the number of ghosts first.
- Index 0 is always the Pacman.



Correct implementation will lead to Pacman losing the game in some tests.

In large boards, Pacman will be good at not dying but bad at winning. It is not a problem.

When the Pacman believes that his death is unavoidable. Hw will try to end the game as soon as possible.

# Question 3 (5 points): Alpha-Beta Pruning

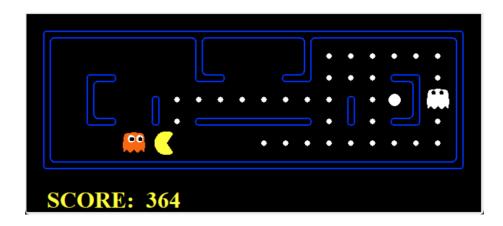
Uses alpha-beta pruning to more efficiently explore the minimax tree.



α: MAX's best option on path to root β: MIN's best option on path to root

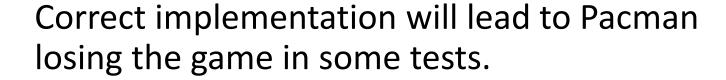
```
\begin{aligned} &\text{def max-value(state, } \alpha, \beta): \\ &\text{initialize } v = -\infty \\ &\text{for each successor of state:} \\ &v = \text{max}(v, \text{value(successor, } \alpha, \beta)) \\ &\text{if } v > \beta \text{ return } v \\ &\alpha = \text{max}(\alpha, v) \\ &\text{return } v \end{aligned}
```

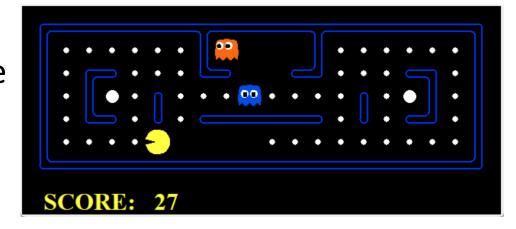
```
\begin{aligned} &\text{def min-value(state }, \, \alpha, \, \beta): \\ &\text{initialize } v = +\infty \\ &\text{for each successor of state:} \\ &v = \min(v, \, \text{value(successor,} \, \alpha, \, \beta)) \\ &\text{if } v < \alpha \text{ return } v \\ &\beta = \min(\beta, \, v) \\ &\text{return } v \end{aligned}
```



## Question 4 (5 points): Expectimax

Implement Expectimax Agent to modeling the probabilistic behavior of agents who may make suboptimal choices.





## Question 5 (6 points): Evaluation Function

The evaluation function should evaluate states, rather than actions like your reflex agent evaluation function did.

You can use your search code in last project for evaluation.

