

# Empirical Software Engineering

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Most slides are taken from **Tao Xie and Miryung Kim**

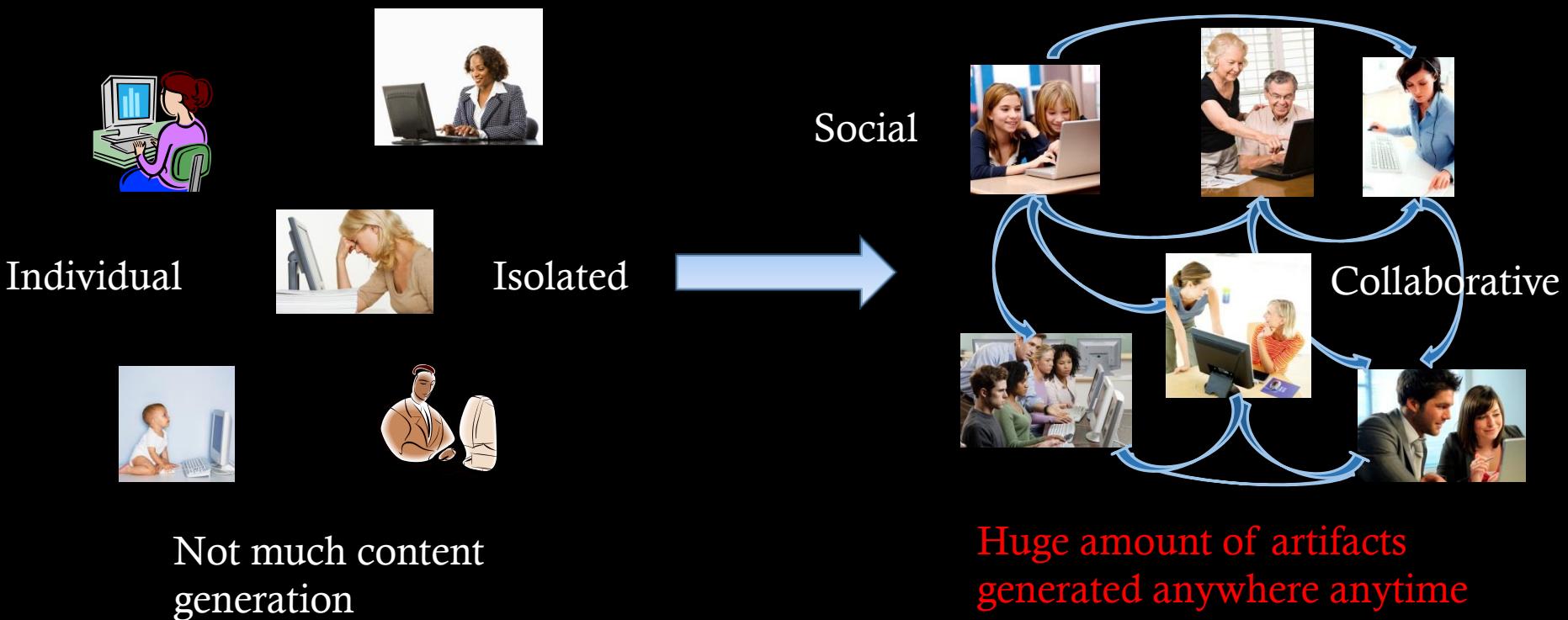
# New Era...Software itself is changing...



Software

Services

# How people use software is changing...



# How software is built & operated is changing...

Code centric

In-lab testing

Experience & gut-feeling

Centralized development

Long product cycle

...

Data pervasive

Debugging in the large

Informed decision making

Distributed development

Continuous release

...

# The Secret for Software Decision Making

- Which software or its property to use?
- How to improve your software?
- How to write better code?
- How to efficiently debug your code?
- Which code we should test?
- Which project to join?
- Whom to recruit?
- ...



‘Big’ Software Data!!  
Use Data Science to find the answers

# Data Science in Software Engineering

Manager  
Project  
Architect



Developer  
Tester  
User

Record all project related activities and archive it

**Software Archive**



Code

Bug

E-Mail/Chat

User Reviews

Others

# Data Science in Software Engineering

Manager  
Project  
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User

Record all project related activities and archive it



# Data Science in Software Engineering



Analyze software data

**Make informed  
data-driven decisions**

Supporting decision making using facts instead of fortune tellers!

## Software Archive



Code



Bug



E-Mail/Chat



User Reviews



Others

# Data sources



Runtime traces  
Program logs  
System events  
Performance counters

...



Usage log  
User surveys  
Online forum posts  
Blog & Twitter

...



Source code  
Bug history  
Check-in history  
Test cases

...

# Target audience – software practitioners

Program Manager



Developer



Management personnel



Designer



Tester



Support engineer



Operation engineer



Usability engineer



# Output – insightful information

- ❖ Conveys *meaningful* and *useful* understanding or knowledge towards completing the target task
- ❖ Not easily attainable via directly investigating raw data without aid of *analytics technologies*
- ❖ Example
  - ❖ It is easy to count the number of re-opened bugs, but how to find out the primary reasons for these re-opened bugs?

# Output – actionable information

- ❖ Enables software practitioners to come up with *concrete solutions* towards completing the target task
- ❖ Examples
  - ❖ Why bugs were re-opened?
    - ❖ A list of bug groups each with the same reason of re-opening
  - ❖ Which part of my code should be refactored?
    - ❖ A list of cloned code snippets easily explored from different perspectives

# Few Examples!!

## Leveraging Software Data to Improve Software Quality

# PL/SE research effort to reduce bugs



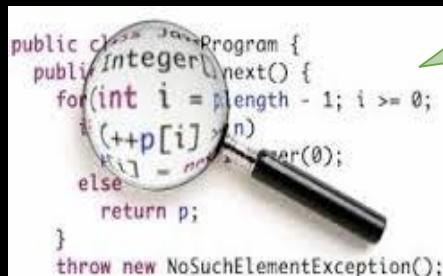
Languages

Type System,  
Memory Management



Best Coding  
Practices

Assertions (invariant  
checking), Code reuse



Automatic Bug  
Finding Tools

Program Analysis,  
Testing



Code Reviews  
Development  
Processes

Team Process

# Do we know the answers ?

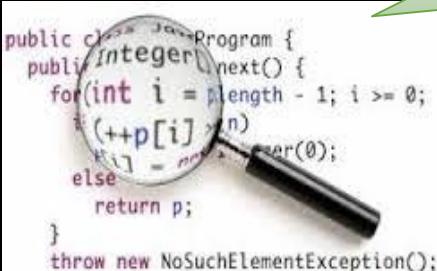


Languages

Does a choice of language affect code quality?



Best Coding Practices



Automatic Bug Finding Tools

Do automatically generated unit tests find real faults?



How does API evolution affect code quality?

Team Process

# 'Big' Software Data



Languages

Use data science to  
find the answers



Best Coding  
Practices

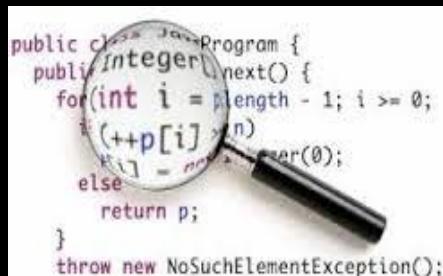


Get Insights for  
future directions



Automatic Bug  
Finding Tools

Team Process



# Empirical Findings

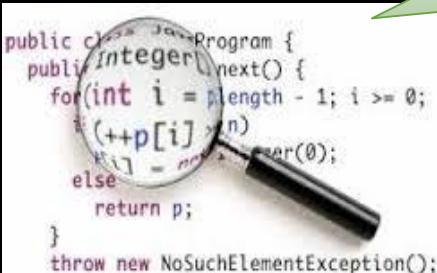


Languages

A choice of language matters more for specific error categories than it does for overall defects [FSE'14]



Best Coding Practices



Automatic Bug Finding Tools

Automatically generated tests effectively find real faults [ASE'15]



Team Process

Aggressive API update leads to bugs and delayed adoption in client code [ICSM'2013]

# Develop new techniques based on Empirical Findings

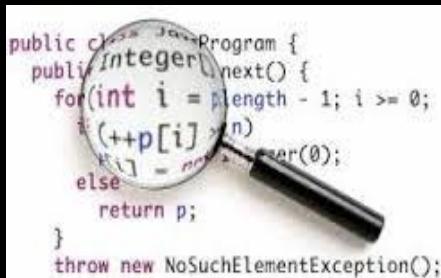


Languages

Design new algorithms and build tools (e.g. Static analysis tools, bug prediction tools, testing strategies) that can address the empirically found problems.



Best Coding Practices



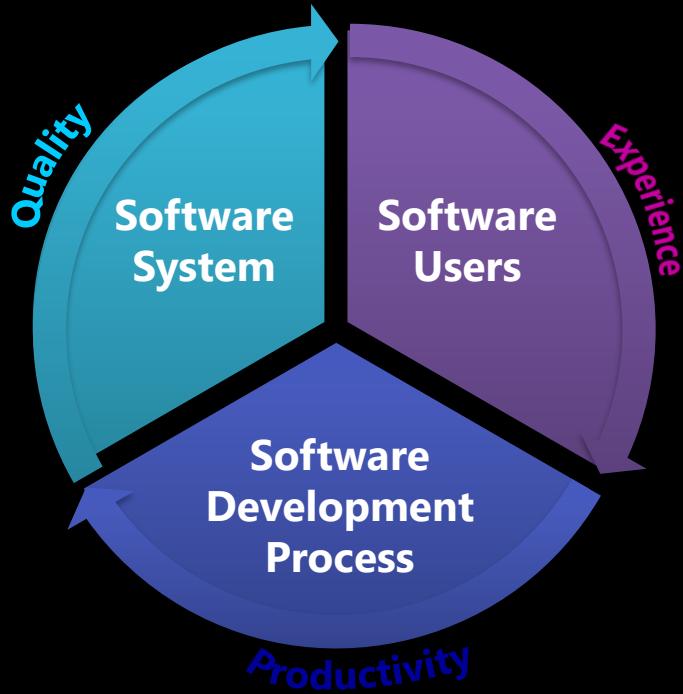
Automatic Bug Finding Tools



Team Process

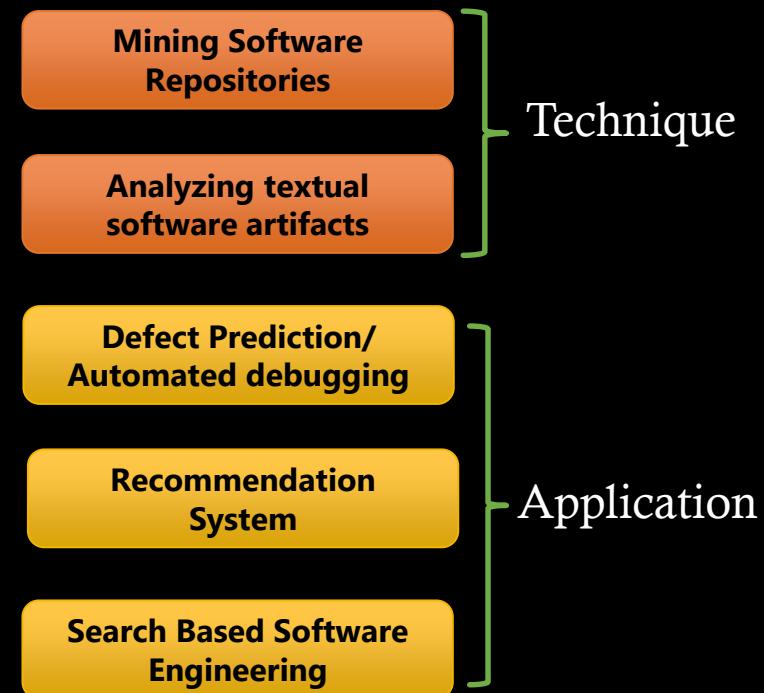
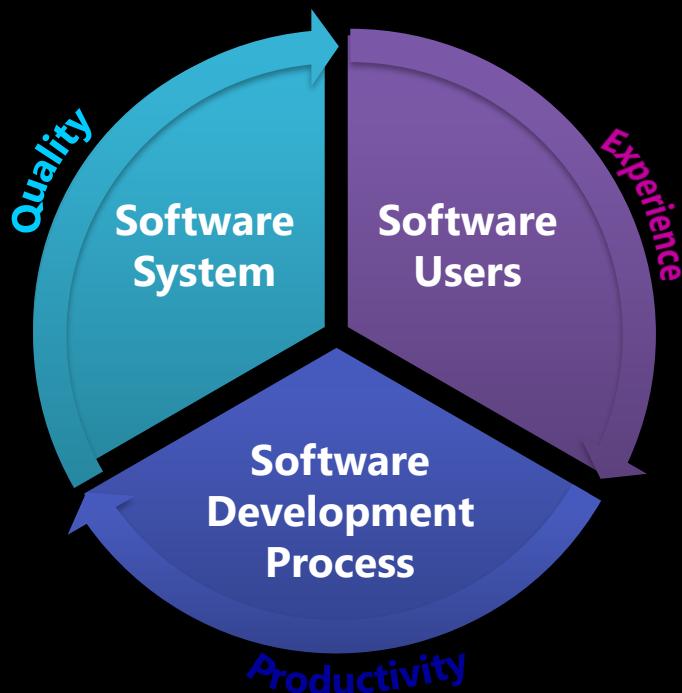
# Research Topics

# Research topics

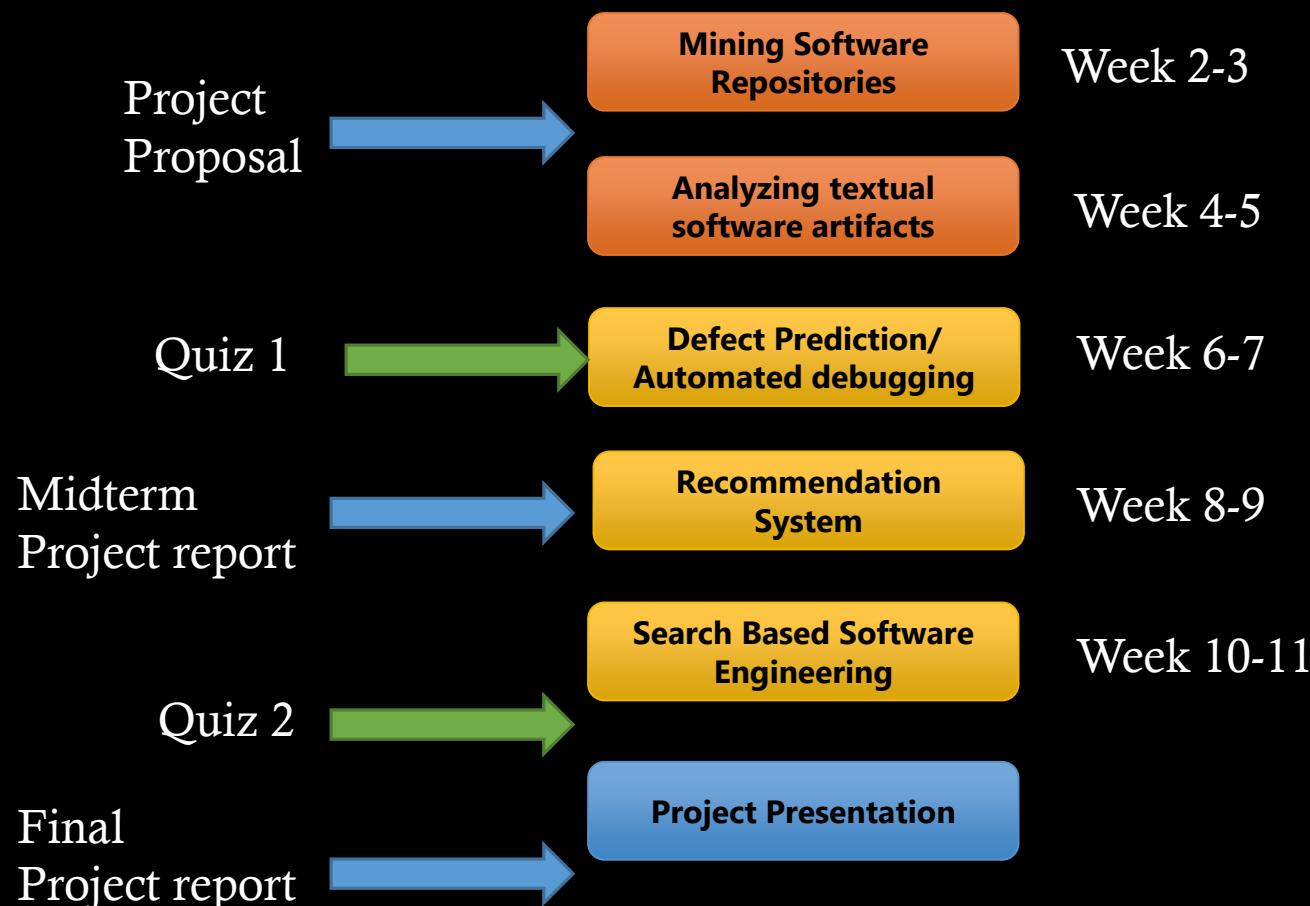


- Covering different areas of software domain
- Throughout entire development cycle
- Enabling practitioners to obtain insights

# Research topics



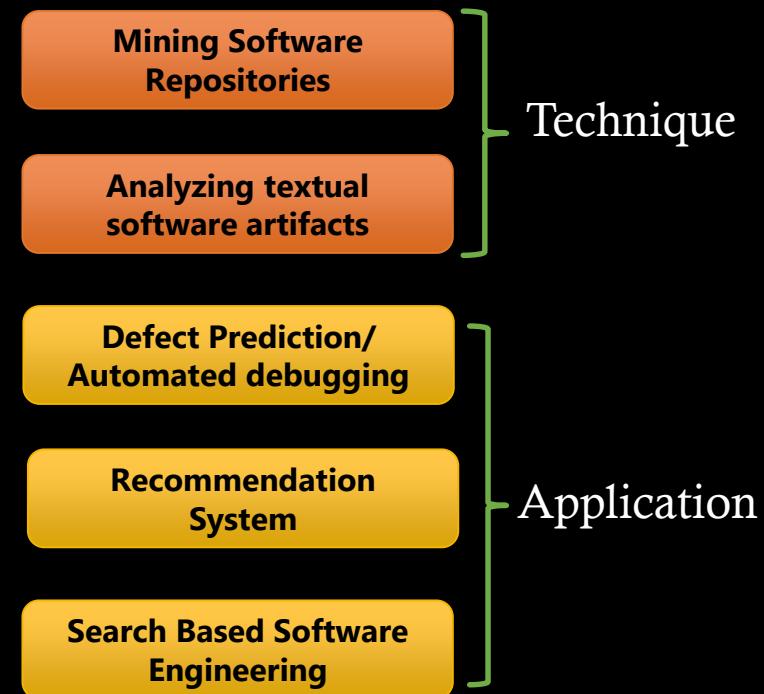
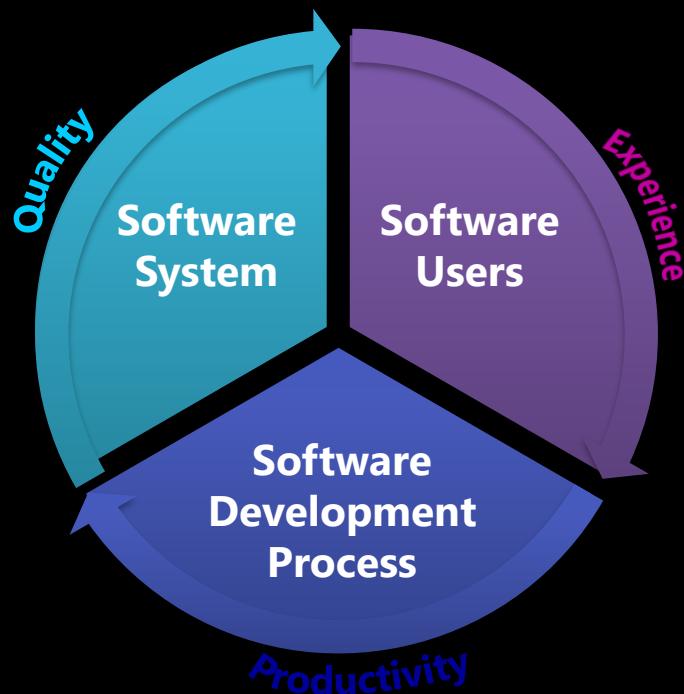
# Tentative Course Layout



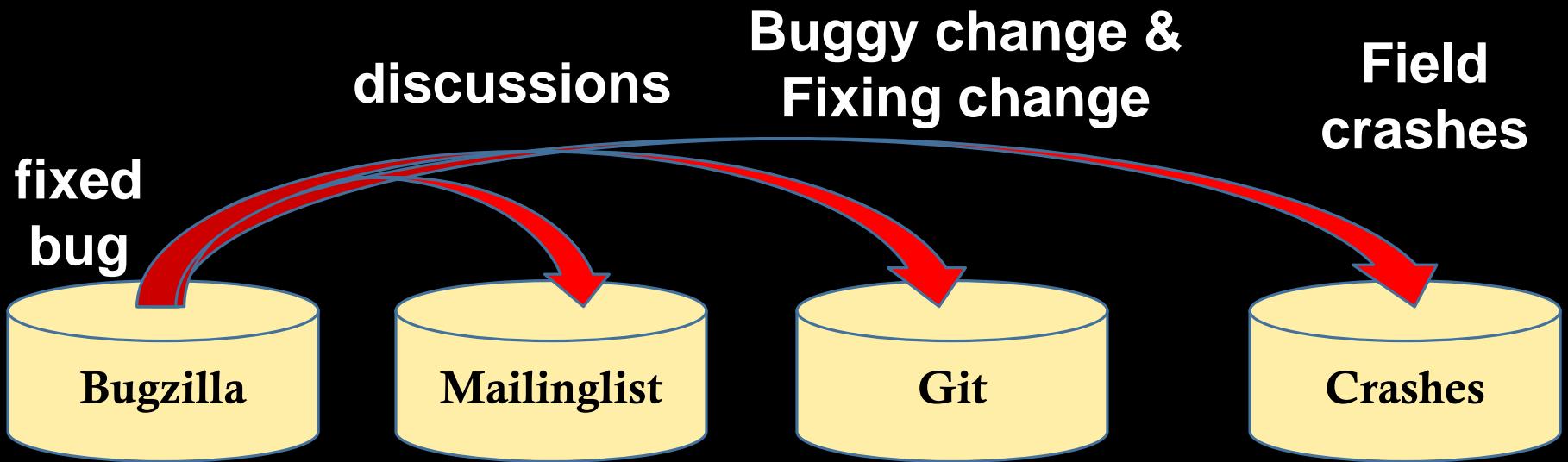
# Grading Policy

- ❖ Group Project (2-3 students) – 60%
  - ❖ Project Proposal – 5%
  - ❖ Mid-term report/presentation : 15%
  - ❖ End of semester presentation : 20%
  - ❖ End of semester project report – 20%
- ❖ Quiz – 25%
- ❖ Class Participation – 15%
  - ❖ Paper presentation – 8%
  - ❖ Reviews/questions – 7%

# Research topics



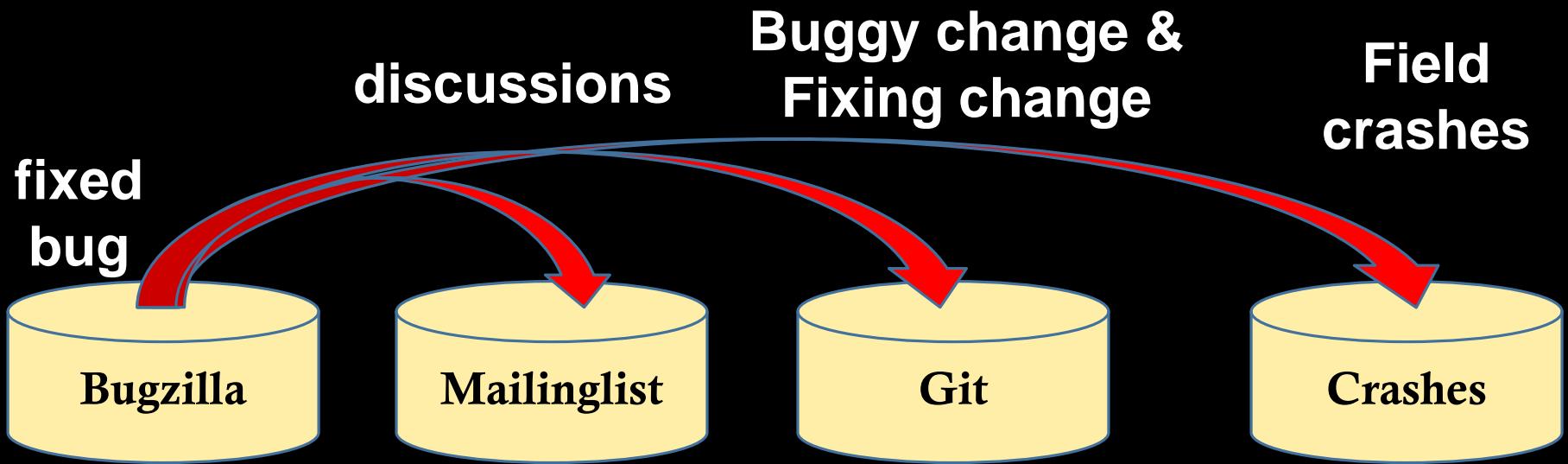
# Example: Mining Software Repositories



**When a new bug is reported**

- Estimate fix effort
- Mark duplicates
- Suggest experts and fix!

# Example: Mining Software Repositories



**When code is changed**

- Suggest APIs
- Warn about risky code or bugs
- Suggest locations to co-change

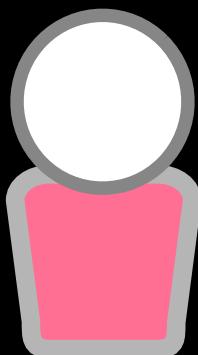
# Example: Analyzing Textual Software Artifacts

# Types of Textual Software Artifacts

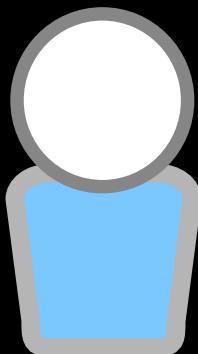
- ◊ requirement documents
- ◊ code comments
- ◊ identifier names
- ◊ commit logs
- ◊ release notes
- ◊ bug reports
- ◊ ...
- ◊ emails discussing bugs, designs, etc.
- ◊ mailing list discussions
- ◊ test plans
- ◊ project websites & wikis
- ◊ Question answer cites (hybrid)

**Text data contains useful information,  
much of which is not in structured data.**

# Example: code comment contains *Specification*



```
linux/drivers/scsi/in2000.c:  
/* Caller must hold instance  
lock! */  
static int reset_hw(...)  
{ ... }
```



```
linux/drivers/scsi/in2000.c:  
static int in2000_bus_reset(...){ ...  
    No lock acquisition ⇒ A bug!  
    reset_hw(...);  
    ...  
}
```

# Example: contains *semantics of identifiers*

```
noFirewall = new JRadioButton("No firewall or proxy");  
socksFirewall = new JRadioButton("SOCKS 4/5 Firewall");  
webProxy = new JRadioButton("HTTP Web Proxy");
```

Create RadioButtons

```
allButtons = new ButtonGroup();  
allButtons.add(socksFirewall);  
allButtons.add(webProxy);  
allButtons.add(noFirewall);
```

Add RadioButtons to allButtons

```
socksFirewall.addActionListener(rad);  
webProxy.addActionListener(rad);  
noFirewall.addActionListener(rad);
```

Add radioActionListener  
to RadioButtons

# Challenges in Analyzing Textual Data

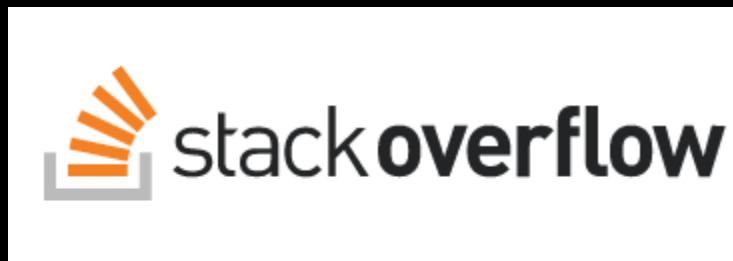
- ❖ Unstructured
  - ❖ Hard to parse, sometimes wrong grammar
- ❖ Ambiguous: often has no defined or precise semantics (as opposed to source code)
  - ❖ Hard to understand
- ❖ Many ways to represent similar concepts
  - ❖ Hard to extract information from

```
/* We need to acquire the write IRQ lock before calling ep_unlink(). */  
/* Lock must be acquired on entry to this function. */  
/* Caller must hold instance lock! */
```

# Why Analyzing Textual Data is Easy(?)

- ❖ Redundant data
- ❖ Many techniques to borrow from text analytics: NLP, Machine Learning (ML), Information Retrieval (IR), etc.

# Stackoverflow Question



## Pex ignores default parameter assignment

Unlimited free private repos  
Visual Studio Online



Get started now →

Microsoft



I am using Pex to analyse function executions. However, I noticed that default parameters are not looked at.

0



Here's an example of what I mean:



```
public int bla(int x = 2)
{
    return x * 2;
}
```

When I run Pex, it generates the test case for `int result = bla(0); .(x = 0)`

Is there a way to tell Pex that it should also try to call `bla()` without parameter (i.e. `int result = bla()`)?

visual-studio

pex

pex-and-moles

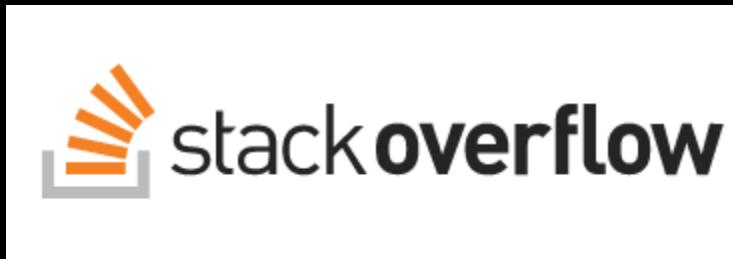
share improve this question

asked Sep 16 at 9:47

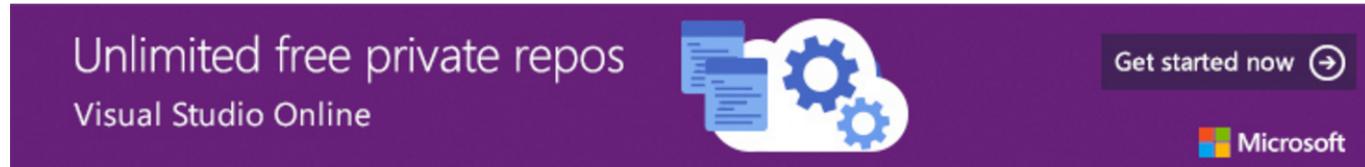


S.K.

# Challenge: Detect Duplicate Post



Pex ignores default parameter assignment



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Microsoft

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▲ I am using Pex to analyse function executions. However, I noticed that default parameters are not looked at.  
0

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★  

```
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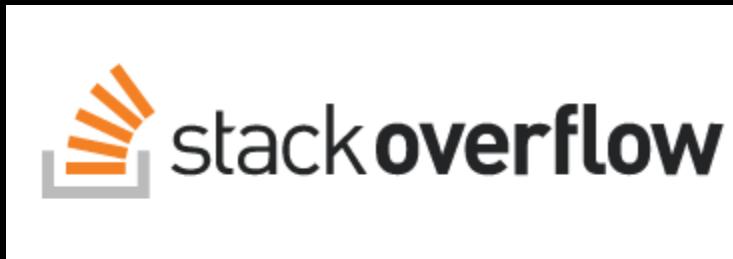
visual-studio pex pex-and-moles

share improve this question

asked Sep 16 at 9:47

 S.K.

# Challenge: Assign Post to Whom?



Pex ignores default parameter assignment

Unlimited free private repos

Visual Studio Online



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0



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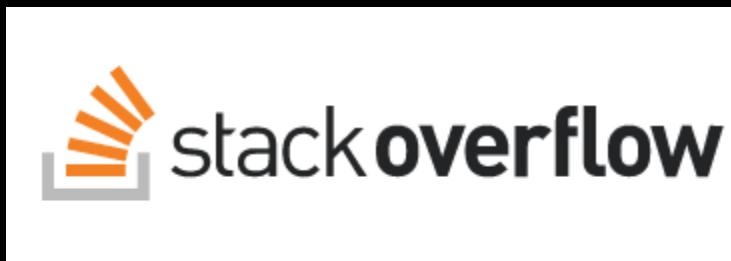
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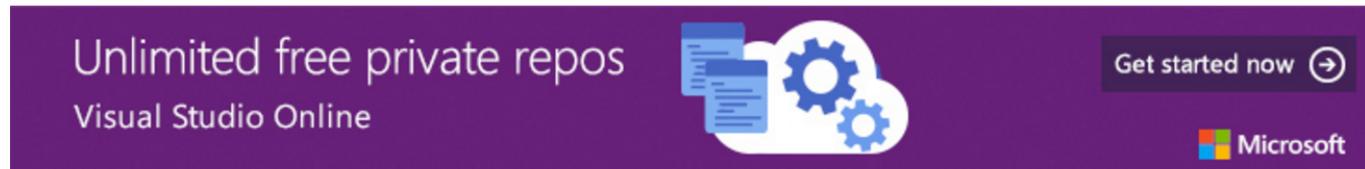


S.K.

# Challenge: Identify High Severity Post



Pex ignores default parameter assignment



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visual-studio

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S.K.

# Example Bugzilla Bug Report

Bugzilla Bug 338009      Browser Crashes at cbs.com      Last modified: 2006-05-15 09:27:44 PDT

Bug List: (15 of 37) First Last Prev Next Show last search results Search page Enter new bug

**Bug#:** 338009 alias: **Hardware:** Macintosh **Reporter:** Mark <mozilla@mark-miller.com>

**Product:** Firefox **OS:** Mac OS X 10.4 **Add CC:**

**Component:** General **Version:** unspecified **CC:**

**Status:** UNCONFIRMED **Priority:** — **Severity:** normal

**Resolution:** Nobody's working on this, feel free to take it **Target:** — **Milestone:** —

**Assigned To:** ?

**Description:** [reply] **Opened:** 2006-05-15 09:21 PDT

Each time I visit <http://www.cbs.com/>, Firefox crashes before the page is loaded. I can tell what element of the page is crashing the browser though.

Duplicate?

**Reproducible:** Always

**Steps to Reproduce:**

1. Open Browser
2. Enter <http://www.cbs.com/>
3. Press return

**Actual Results:**  
Page starts to load, and then crashes.

**Expected Results:**  
The browser doesn't crash.

No other sites so far have displayed this behavior.

Anvik, Hiew, Murphy. Who should fix this bug? ICSE 2006.

Wang, Zhang, Xie, Anvik, Sun. An Approach to Detecting Duplicate Bug Reports using Natural Language and Execution Information. ICSE 2008.

# From Requirement Text to Formal Security Policy

## Linguistic Analysis

A HCP should not change patient's account.



An [subject: HCP] should not [action: change] [resource: patient's account].

## Model-Instance Construction



```
<Policy PolicyId="ACP2" RuleCombAlgId="deny-overrides">
<Target/>
<Rule Effect="Deny" RuleId="rule-1">
<Target>
  <Subjects><Subject><SubjectMatch MatchId="string-equal">
    <AttrValue>HCP</AttrValue>
    <SubjectAttrDesignator.../></SubjectMatch></Subject>
  </Subjects>
  <Resources><Resource><ResourceMatch MatchId="string-equal">
    <AttrValue>patient.account</AttrValue>
    <ResourceAttrDesignator.../></ResourceMatch></Resource>
  </Resources>
  <Actions><Action><ActionMatch MatchId="string-equal">
    <AttrValue DataType="string">UPDATE</AttrValue>
    <ActionAttrDesignator.../></ActionMatch></Action>
  </Actions>
</Target></Rule></Policy>
```

ACP Rule

Subject

Action

Resource

Effect

HCP

UPDATE  
- change

patient's  
account

deny

## Transformation

**You might be surprised!!**

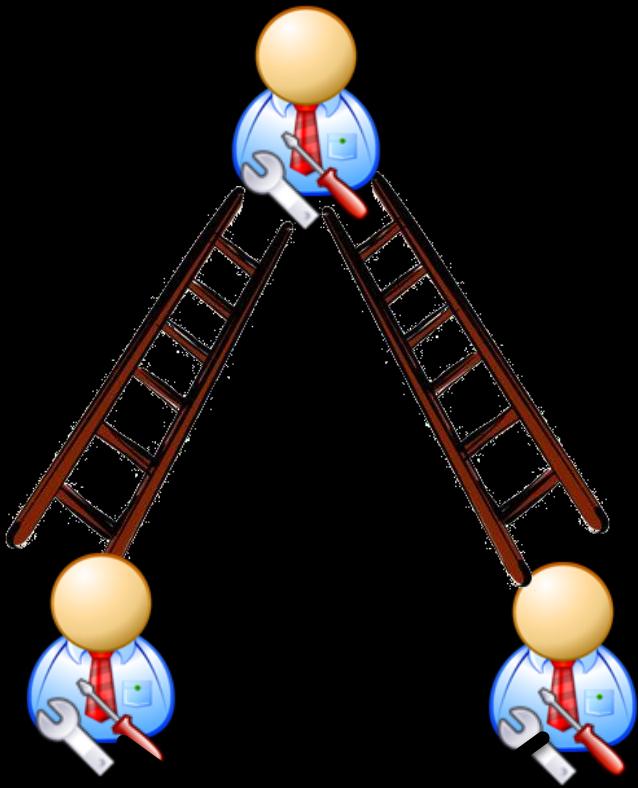
Should I test\review my?

A. Ten *most-complex* functions

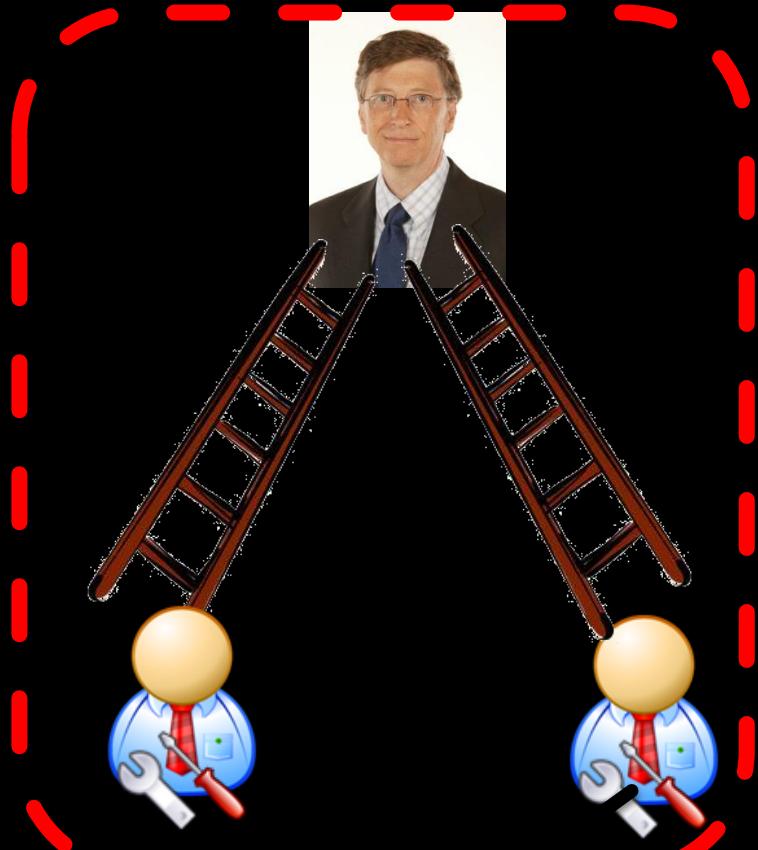
B. Ten *largest* functions

C. Ten *most-fixed* functions

# Distance in corporate ladder has a much larger impact



```
usage (char *name)
{
    printf ("Usage:\n");
    printf ("%s -a [-c file",
    name);
    #ifdef LOFI
    printf ("[-g] [-o] ");
    #endif
    printf ("[-p what] [-r]
    [-u file [type]]");
    #ifndef LOFI
    printf ("[-w lsize] [-w
    ewid] [-z size] ");
    #endif
}
```

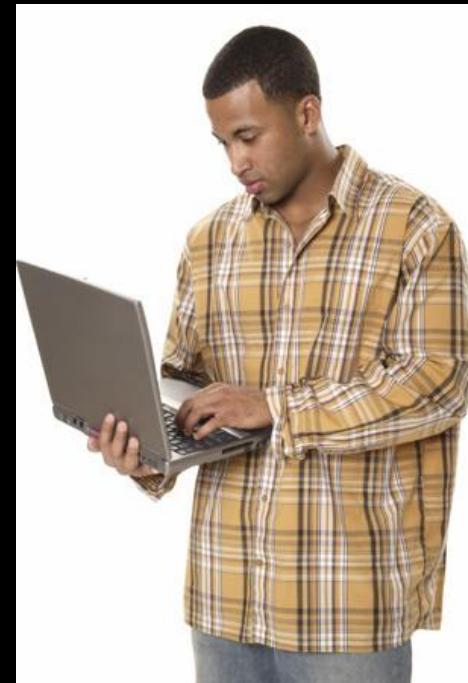


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    printf ("[-p what] [-r]
    [-u file [type]]");
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    printf ("[-w lsize] [-w
    ewid] [-z size] ");
    #endif
}
```

# Who produces more buggy code?

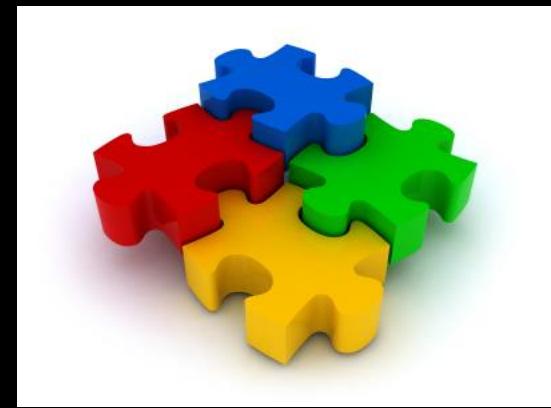
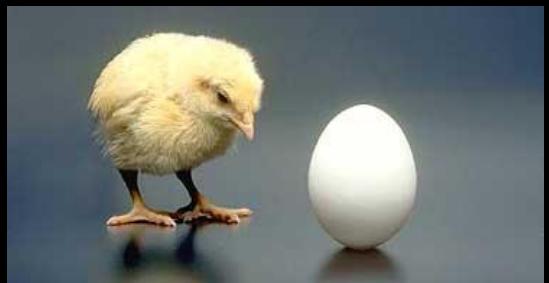


A. Junior Developer



B. Senior Developer

# Adoption Challenges for Software Mining



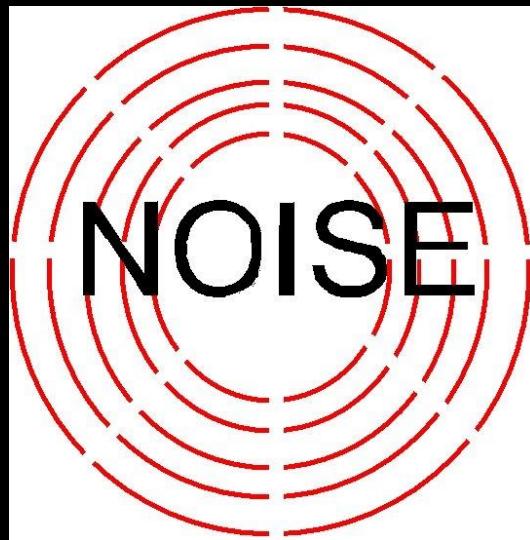
**Must show value before  
data quality improves**

**Correlation vs.  
Causation**

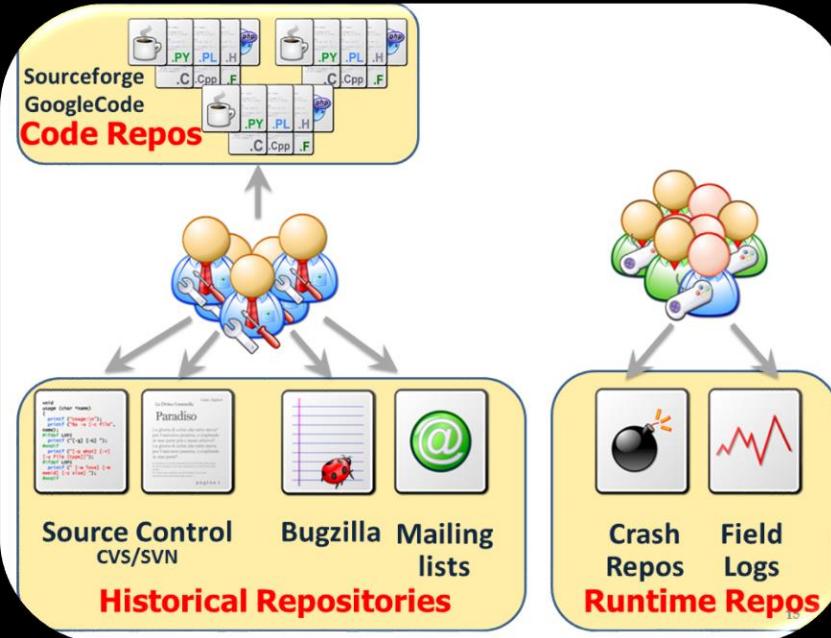
**Integration into  
daily practice**

# Domain Knowledge + Close(r) Inspection

- ❖ Make sure you manually examine the repositories. Do not fully automate the process!



## The Secret for Software Decision Making



## Adoption Challenges for Software Intelligence



Must show value before data quality improves



Correlation vs. Causation



Integration into daily practice