## TTIC 31230, Fundamentals of Deep Learning

David McAllester, Winter 2019

Connectionist Temporal Classification (CTC)

# Connectionist Temporal Classification (CTC) A Successful Deep Latent Variable Model

Connectionist Temporal Classification: Labelling Unsegmented Sequence Data with Recurrent Neural Networks

Alex Graves, Santiago Fernandez, Faustino Gomez, Jurgen Schmidhuber, ICML 2006

#### CTC

A speech signal x[T, J] is labeled with a phone sequence y[N] with  $N \ll T$ .

x[t, J] is a speech signal vector.

 $y[n] \in \mathcal{P}$  for a set of phonemes  $\mathcal{P}$ .

The length N of y[N] is not determined by T and the correspondence between n and t is not given.

$$\Phi^* = \underset{\Phi}{\operatorname{argmin}} E_{\langle x, y \rangle \sim \operatorname{Train}} - \ln P_{\Phi}(y[N] \mid x[T, J]) \quad N << T$$

#### The CTC Model

We define a model

$$P_{\Phi}(z[T] \mid x[T,J])$$

$$z[t] \in \mathcal{P} \cup \{\bot\}$$

y[N] is the result of removing  $\perp$  from z[T].

$$z[T] \Rightarrow y[N]$$

$$\perp$$
,  $a_1$ ,  $\perp$ ,  $\perp$ ,  $\perp$ ,  $a_2$ ,  $\perp$ ,  $\perp$ ,  $a_3$ ,  $\perp \Rightarrow a_1, a_2, a_3$ 

#### The CTC Model

For  $p \in \mathcal{P} \cup \{\bot\}$  we have an embedding vector e[p, I]. The embedding is a parameter of the model.

We take the phonemes z[t] to be independently distributed.

$$p_{\Phi}(Z[T] \mid x[T,J]) = \prod_{t} P_{\Phi}(z[t] \mid x[T,J])$$

$$h[T, \tilde{J}] = \text{RNN}_{\Phi}(x[T, J])$$

$$P_{\Phi}(z[t] \mid x[T,J]) = \operatorname{softmax} \ e[z[t],I] \ W[I,\tilde{J}] \ h[t,\tilde{J}]$$

### **Dynamic Programming**

Let  $\vec{y}[t]$  to be the prefix of y[N] emitted by the first t elements of z.

$$\vec{y}[t] = z[1:t] - \bot$$
  
 $\vec{F}[n,t] = P(\vec{y}[t] = y[1:n])$ 

$$F[0,0] = 1$$
  
For  $n = 1, ..., N$   $F[n,0] = 0$   
For  $t = 1, ..., T$   
 $F[0,t] = P(z[t] = \bot)F[0,t-1]$   
For  $n = 1, ..., N$   
 $F[n,t] = P(z[t] = \bot)F[n,t-1] + P(z[t] = y[n])F[n-1,t-1]$ 

## **Back-Propagation**

$$\mathcal{L} = -\ln F[N, T]$$

We can now back-propagate through this computation.

## $\mathbf{END}$