

# MATH 566: Lecture 19 (10/22/2024)

Today: \* Shortest augmenting path algorithm

Recall Distance labels  $d(\cdot)$  are valid if  $d(i) \leq d(j) + 1 \forall (i, j) \in G(\bar{x}), d(t) = 0$ .

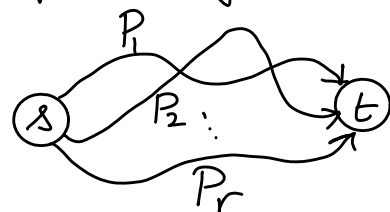
We now look for candidate arcs in  $G(\bar{x})$  that could be part of augmenting path(s). Recall the notion of admissible arcs in search (BFS/DFS) — we redefine admissibility here.

Def An arc  $(i, j) \in G(\bar{x})$  is **admissible** if  $d(i) = d(j) + 1$ .  
A path from  $s$  to  $t$  consisting of only admissible arcs is an **admissible path**.

We formalize the notion that admissible paths are precisely augmenting paths.

Property 7.3 An admissible path  $P$  is a shortest augmenting path (from  $s$  to  $t$ ).

Proof Add admissibility conditions over all arcs in  $P$ . We get  $d(s) = k$ , where  $P$  has  $k$  arcs. Since  $d(s)$  provides a lower bound on the length of arcs (in terms of # arcs) by Property 7.1, equality here implies optimality.  $\square$

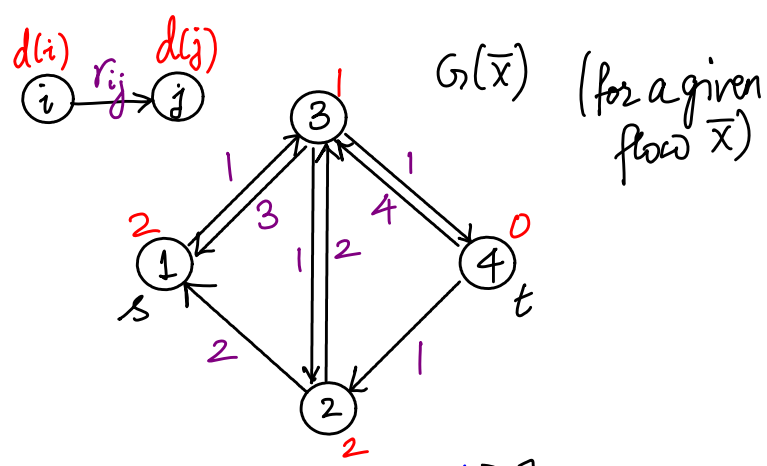
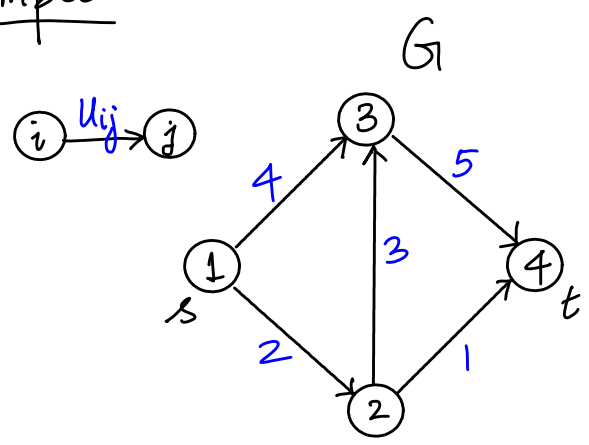


We need one more definition before looking at some examples.

$$\left. \begin{array}{l} d(s) \leq k_1 \\ d(s) \leq k_2 \\ \vdots \end{array} \right\} \Rightarrow d(s) \leq k = \min_{i=1 \dots r} \{k_i\}$$

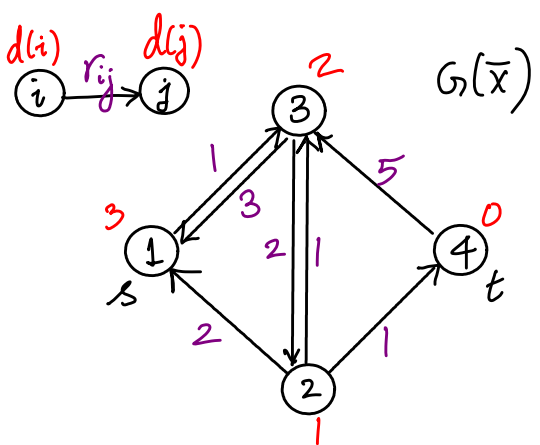
**Def** A set of distance labels  $d(i)$  is **exact** if  $d(i)$  is the length of an SP from  $i$  to  $t$  (in terms of # arcs)  $\forall i \in N$ .

Examples

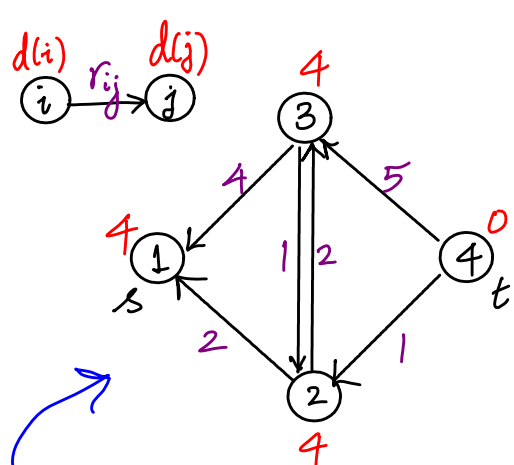


Note that  $\bar{d} = \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$  is valid, but is not exact, while  $\bar{d} = \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \\ 1 \\ 0 \end{bmatrix}$  is exact.

Let's consider a couple more flows (on the same network):



$\bar{d} = \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix} \begin{bmatrix} 3 \\ 1 \\ 2 \\ 0 \end{bmatrix}$  is exact.



This is  $G(\bar{x})$  for the max flow.

Here, there is no directed path from any  $i \neq t$  to  $t$  in  $G(\bar{x})$ . Setting  $d(i) = n$  is sufficient (rather than setting  $d(i) = \infty$ ), as we know the largest meaningful value for  $d(i)$  is  $n-1$ .

If  $d(i)$  goes above  $n$  at any point of the SAP algorithm, we can ignore node  $i$  from further consideration.

Recall! Intuition for  $d(i)$ : Think of  $d(i)$  as the height above ground level that node  $i$  has to be raised for flow to happen "freely". Node  $t$  is at ground level ( $d(t)=0$ ) and  $s$  need not be raised above level  $n-1$  from the ground.

## Shortest Augmenting Path Algorithm

**algorithm** *shortest augmenting path*;

**begin**

$x := 0; \Rightarrow G(\bar{x}) = G$  at start → reverse BFS to  $t$

obtain the exact distance labels  $d(i)$ ;

$i := s$ ;

**while**  $d(s) < n$  **do**

**begin**

if  $i$  has an admissible arc **then**

**begin**

advance( $i$ );

if  $i = t$  **then** augment and set  $i = s$

**end**

**else** retreat( $i$ )

**end**;

**end**;

Figure 7.5 Shortest augmenting path algorithm.

**procedure** advance( $i$ );

**begin**

let  $(i, j)$  be an admissible arc in  $A(i)$ ; → look at  $A(i)$  in  $G(\bar{x})$

pred( $j$ ) :=  $i$  and  $i := j$ ;

**end**;

**procedure** retreat( $i$ );

**begin**

[  $d(i) := \min\{d(j) + 1 : (i, j) \in A(i) \text{ and } r_{ij} > 0\}$ ;

if  $i \neq s$  **then**  $i := \text{pred}(i)$ ;

**end**;

**procedure** augment;

**begin**

using the predecessor indices identify an augmenting path  $P$  from the source to the sink;

$\delta := \min\{r_{ij} : (i, j) \in P\}$ ;

augment  $\delta$  units of flow along path  $P$ ; → also update  $G(\bar{x})$

**end**;

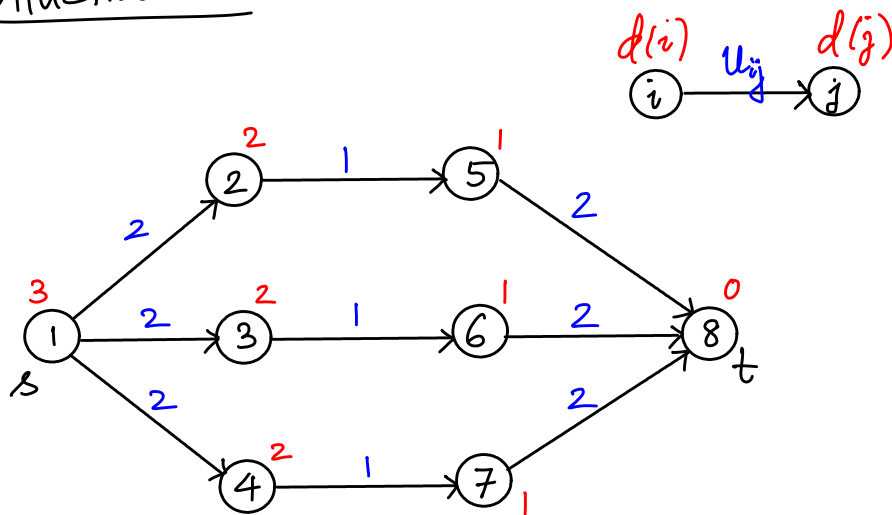
$d(i) < d(j) + 1 \nexists (i, j) \in G(\bar{x})$   
(as  $d(i) \leq d(j) + 1$  by validity, and  
no admissible arc  $\Rightarrow d(i) = d(j) + 1$   
does not hold)

relabel operation

This is the one step where we update  $d(i)$ . The  $d(i)$  value strictly increases by a relabel.

# Illustration

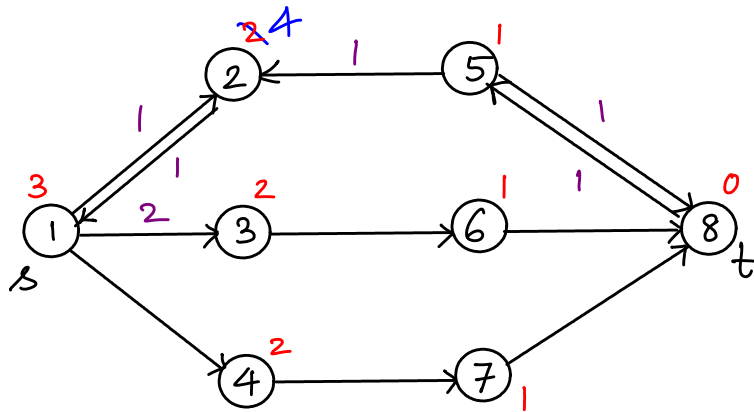
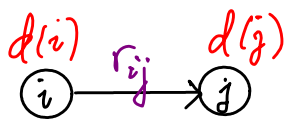
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We examine outarcs  $(A_i)$  in  $G(\bar{x})$  in lexicographic order, by default.

## Iteration 1

$P_1 = 1-2-5-8$ , augment  $\delta(P_1) = 1$  unit. In detail, we advance from  $s=1$  to 2 to 5 to  $8=t$ , identifying the augmenting path  $P_1$ .



## Iteration 2

Advance from  $s=1$  to 2. But there are no admissible arcs out of 2. So we relabel  $d(2)$  to  $d(1)+1=4$ , and retreat back to  $s=1$ .

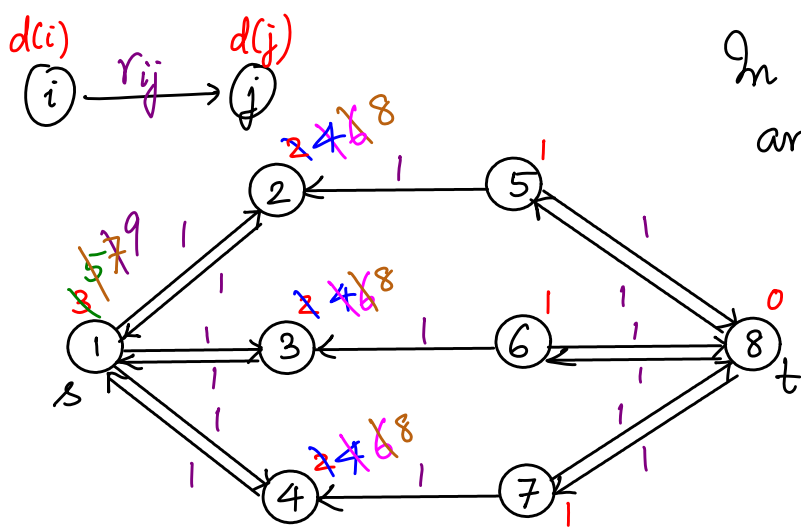
Iteration 3  $(1,2)$  is no longer admissible, but  $(1,3)$  is.

We advance to  $t$  via  $P_2 = 1-3-6-8$ , augment  $\delta(P_2) = 1$ , as we did for  $P_1$ .

In Iteration 4, we advance along  $(1,3)$ , and then relabel 3 before retreating back to  $s=1$ .

In Iteration 5, we repeat steps similar to Iteration 3 to augment along  $P_3 = 1-4-7-8$  ( $\delta(P_3) = 1$ ).

In Iteration 6, we advance on  $(1,4)$ , relabel 4, and retreat to  $s=1$ .



In Iteration 7 there are no admissible arcs out of  $s=1$ . So, relabel node  $s=1$ :

$$d(1) = \min_{j=2,3,4} \{d(j)+1\} = 5.$$

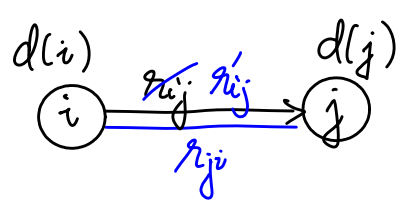
We could have stopped after Iteration 6, but that's just because of the special structure of the network. The algorithm relabels  $s=1$  and 2,3,4 nodes using a series of retreat operations until  $d(s)=9 > n=8$ , when it terminates.

## Proof of Correctness

We show that distance labels remain valid after each augmentation and each relabeling.

Augmentation Bottleneck arc(s) disappear from  $G(\bar{x})$ , we decrease some  $r_{ij}$ , and we add  $(j,i)$  to  $G(\bar{x})$  (with  $r_{ji} > 0$ ).

Consider the more general situation where we push some flow forward along arc  $(i,j)$ , but not saturate it. Thus,  $r_{ij}$  is decreased to  $r'_{ij} < r_{ij}$ , and  $(j,i)$  is added to  $G(\bar{x})$ .



$d(i) = d(j) + 1$ , as  $(i,j) \in G(\bar{x})$  is an admissible arc.

For  $(i,j)$ , validity is maintained ( $r'_{ij} < r_{ij}$ ).

For  $(j,i)$ , we need  $d(j) \leq d(i) + 1$ . But this condition holds, as  $d(j) = d(i) - 1$ , and hence satisfies the validity condition.