

# MATH 220 - Lecture 21 (10/29/2013)

21.1

## Basis for a subspace $H$

A linearly independent set in  $H$  that spans  $H$  is a basis of  $H$ .

Set of all unit vectors,  $\{\bar{e}_1, \dots, \bar{e}_n\}$  is the standard basis for  $\mathbb{R}^n$ .

(bases is the plural of basis).

Bases for  $\text{Nul } A$  can be found from the parametric vector form of the solutions to  $A\bar{x} = \bar{0}$ .

The collection of vectors that are scaled by each parameter (or free variable) gives a basis for  $\text{Nul } A$ .

Basis for  $\text{Col } A$  : Pivot columns in  $A$  (in the original matrix, and **not** in the echelon form).

It is important to remember that the columns in any basis for  $A$  should be chosen from the original matrix  $A$ .

Dimension of a subspace  $H$  : The number of vectors in any basis of  $H$ . (denoted by  $\dim H$ )

Any basis for  $H$  has the same number of vectors. This number is its dimension.

Problem

row equivalent

$$A = \begin{bmatrix} -3 & 9 & -2 & -7 \\ 2 & -6 & 4 & 8 \\ 3 & -9 & -2 & 2 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 6 & 9 \\ 0 & 0 & 4 & 5 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

↑                      ↑  
pivot columns

Find bases for  $\text{Col} A$  and  $\text{Nul} A$ , and their dimensions.

$$\left\{ \begin{bmatrix} -3 \\ 2 \\ 3 \end{bmatrix}, \begin{bmatrix} -2 \\ 4 \\ -2 \end{bmatrix} \right\} \text{ is a basis of } \text{Col} A.$$

Notice that the vectors in the basis for  $\text{Col} A$  come from  $A$ , and not from its echelon form.

$\dim \text{Col} A = 2$ , as there are 2 pivot columns.

$$\begin{bmatrix} 1 & -3 & 6 & 9 \\ 0 & 0 & 4 & 5 \\ 0 & 0 & 0 & 0 \end{bmatrix} \xrightarrow{R_2 \times \frac{1}{4}} \begin{bmatrix} 1 & -3 & 6 & 9 \\ 0 & 0 & 1 & 5/4 \\ 0 & 0 & 0 & 0 \end{bmatrix} \xrightarrow{R_1 - 6R_2} \begin{bmatrix} 1 & -3 & 0 & 3/2 \\ 0 & 0 & 1 & 5/4 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$x_2$                        $x_4$  are free

$$\bar{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} 3 \\ 1 \\ 0 \\ 0 \end{bmatrix} s + \begin{bmatrix} -3/2 \\ 0 \\ -5/4 \\ 1 \end{bmatrix} t, \quad s, t \in \mathbb{R}. \quad \text{A basis for } \text{Nul} A \text{ is } \left\{ \begin{bmatrix} 3 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} -3/2 \\ 0 \\ -5/4 \\ 1 \end{bmatrix} \right\}.$$

$\dim \text{Nul} A$  is 2, as there are 2 free variables.

$\dim(\{\bar{0}\}) = ?$  By following the definition, we get  $\dim(\{\bar{0}\}) = 0$ .

Since  $\dim H$  is the number of vectors in any basis of  $H$ , and  $\{\bar{0}\}$  has no basis. Notice that  $\{\bar{0}\}$  is LD, and hence has no basis.

# Another Problem

$$A = \begin{bmatrix} 1 & -2 & 9 & 5 & 4 \\ 1 & -1 & 6 & 5 & -3 \\ -2 & 0 & -6 & 1 & -2 \\ 4 & 1 & 9 & 1 & -9 \end{bmatrix} \xrightarrow{\text{row equivalent}} \begin{bmatrix} 1 & -2 & 9 & 5 & 4 \\ 0 & 1 & -3 & 0 & -7 \\ 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

pivot columns

find bases and dimensions of  $\text{Col } A$  and  $\text{Nul } A$ .

Columns 1, 2, and 4 are pivot columns. So, a basis for  $\text{Col } A$  is  $\left\{ \begin{bmatrix} 1 \\ 1 \\ -2 \\ 4 \end{bmatrix}, \begin{bmatrix} -2 \\ -1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 5 \\ 5 \\ 1 \\ 1 \end{bmatrix} \right\}$ .

$\dim(\text{Col } A) = 3$ , as there are 3 pivot columns.

$\dim(\text{Nul } A) = 2$ , as there are two free variables.

$$\begin{bmatrix} 1 & -2 & 9 & 5 & 4 \\ 0 & 1 & -3 & 0 & -7 \\ 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} \xrightarrow{R_1 + 2R_2} \begin{bmatrix} 1 & 0 & 3 & 5 & -10 \\ 0 & 1 & -3 & 0 & -7 \\ 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} \xrightarrow{R_1 - 5R_3} \begin{bmatrix} 1 & 0 & 3 & 0 & -20 \\ 0 & 1 & -3 & 0 & -7 \\ 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 & 3 & 0 & 0 \\ 0 & 1 & -3 & 0 & -7 \\ 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$x_3, x_5$  are free

$$\bar{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix} = \begin{bmatrix} -3 \\ 3 \\ 1 \\ 0 \\ 0 \end{bmatrix} x_3 + \begin{bmatrix} 0 \\ 7 \\ 0 \\ 2 \\ 1 \end{bmatrix} x_5, \quad x_3, x_5 \text{ are real numbers.}$$

A basis for  $\text{Nul } A$  is  $\left\{ \begin{bmatrix} -3 \\ 3 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 7 \\ 0 \\ 2 \\ 1 \end{bmatrix} \right\}$ .

Problem

$A_{3 \times 5}$  has 3 pivot columns.

Is  $\text{Col } A = \mathbb{R}^3$ ? **Yes!** As there are 3 pivots, there is a pivot in every row. So columns of  $A$  span  $\mathbb{R}^3$ .

Is  $\text{Nul } A = \mathbb{R}^2$ ? **No!** Every solution to  $A\bar{x} = \bar{0}$  sits in  $\mathbb{R}^5$ . But,  $\dim(\text{Nul } A) = 2$  here.

### Rank of a matrix $A$ ( $A$ is $m \times n$ )

**Def** The rank of an  $m \times n$  matrix  $A$ ,  $\text{rank}(A)$  or  $\text{rank } A$ , is the dimension of  $\text{Col } A$ . So

$\text{rank}(A) = \#$  pivot columns in  $A$ .

Rank Theorem:

$$\boxed{\text{rank}(A) + \dim(\text{Nul } A) = n}$$

$\nearrow$  # pivot columns     
  $\nearrow$  # free variables (or, nonpivot columns)     
  $\nearrow$   $n = \#$  columns in  $A$ .     
  $\nearrow$  total # columns

Problem

What is  $\text{rank}(A)$  when  $A$  is  $4 \times 5$  and  $\text{Nul } A$  is 3-dimensional?

$$\text{rank}(A) + \dim(\text{Nul } A) = 5. \quad \text{So} \quad \text{rank}(A) = 5 - 3 = 2.$$

## Problem

Create a  $3 \times 4$  matrix  $A$  with  $\dim(\text{Nul } A) = 2$  and  $\dim \text{Col } A = 2$ .

So,  $A$  has 2 pivot columns and 2 free variables

$$A = \begin{bmatrix} 1 & 0 & 3 & 0 \\ 0 & 1 & 2 & 5 \\ 0 & 0 & 0 & 0 \end{bmatrix} \text{ will work.}$$

Basis Theorem: Let  $H$  be a  $p$ -dimensional subspace of  $\mathbb{R}^n$ .

Then any LI set of exactly  $p$  elements (vectors) in  $H$  is a basis for  $H$ . Also, any set of  $p$  elements in  $H$  that spans  $H$  is a basis.

e.g., any 3 LI vectors in  $\mathbb{R}^3$  will be a basis for  $\mathbb{R}^3$ .

We have 3 qualifications, or properties, that a (potential) basis  $B$  of  $H$  has to satisfy.

1.  $B$  spans  $H$ .
2.  $B$  is LI.
3.  $\# \text{ vectors in } B = \dim H$ .

If  $B$  satisfies any two of these three properties, it is automatically a basis, i.e., the third property is satisfied in this case.

# Invertible Matrix Theorem (IMT) $A_{n \times n}$

Recall, (a)  $A$  is invertible.

We add equivalent statements related to  $\text{Col } A$ ,  $\text{Nul } A$ , and their dimensions now.

- (m) Columns of  $A$  form a basis for  $\mathbb{R}^n$ .
- (n)  $\text{Col } A = \mathbb{R}^n$ .
- (o)  $\dim \text{Col } A = n$ .
- (p)  $\text{rank } A = n$ .
- (q)  $\text{Nul } A = \{\vec{0}\}$ .
- (r)  $\dim \text{Nul } A = 0$ .