

# Data Structures and Algorithms

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# AVL Tree

(strictly balanced tree)

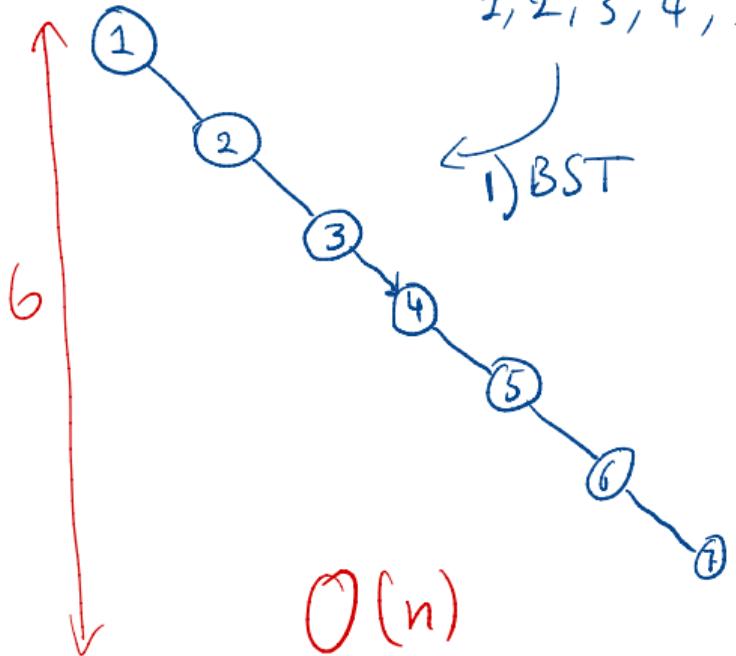
Data Structures and Algorithms  
Undergraduate course



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Motivations

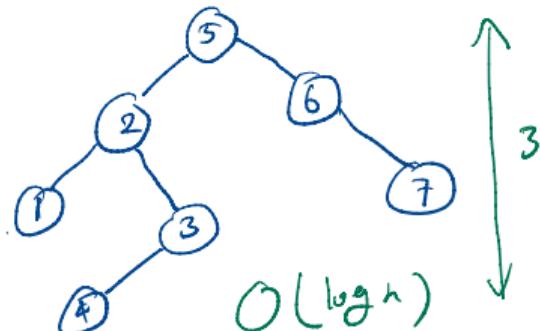
1, 2, 3, 4, 5, 6, 7

1) BST

2) Random ordering

5, 2, 3, 6, 7, 4, 1

BST



Optimal Binary Search Tree

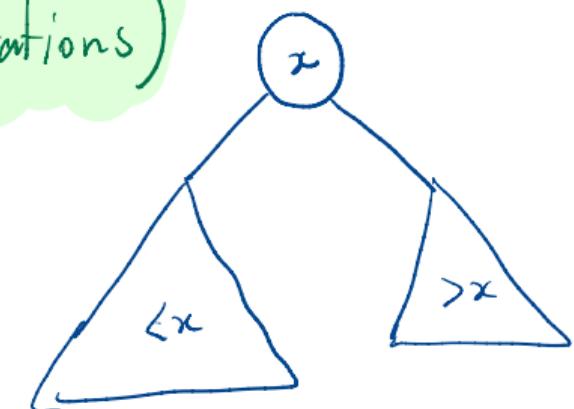
3) Rebalancing the tree

(AVL-Tree  
Red-Black tree)

## Basic Definitions

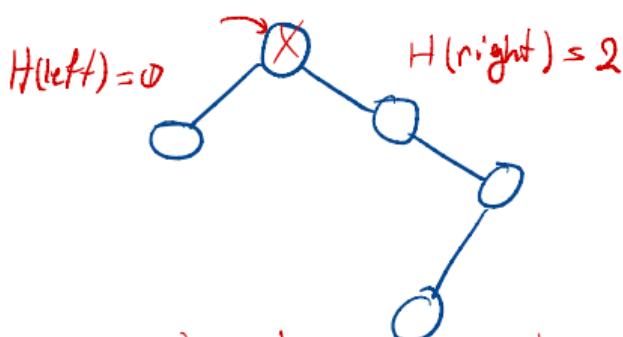
AVL-Tree : is a BST in which the difference in height between left and right must always be in  $\{-1, 0, +1\}$

(Rotations)



Balance Factor

$$BF(\text{node}) = \text{Height}(\text{node.left}) - \text{Height}(\text{node.right})$$

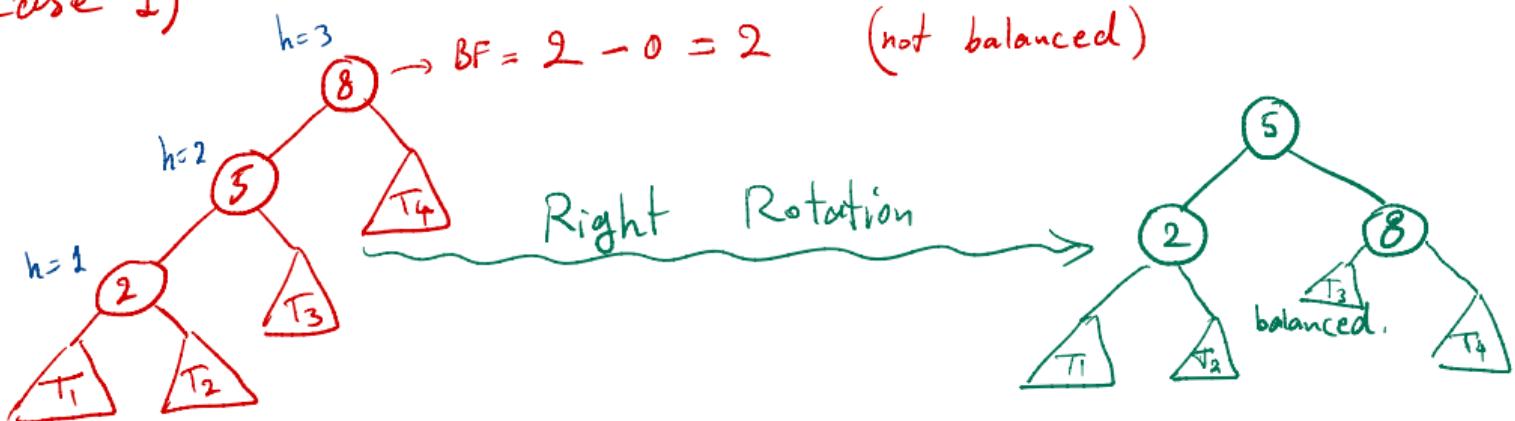


is not an AVL-tree

## Different Types of Rotations

Rotations : try to keep the height of the tree as small as possible (balance).

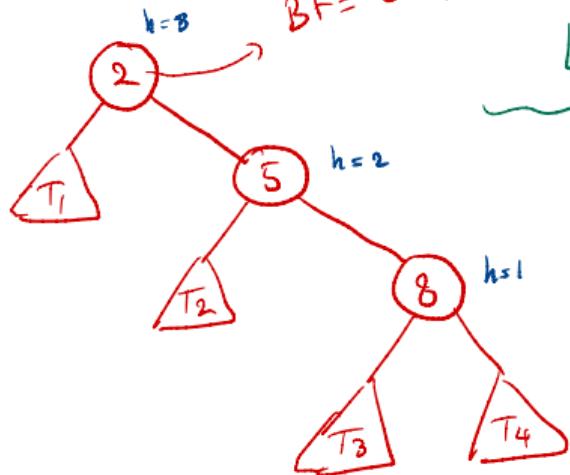
case 1)



$$H(\text{node}) = \begin{cases} 0 & \text{if } \text{node} = \text{null} \\ \max \{ H(\text{node.left}), H(\text{node.right}) \} + 1 & \text{o.w.} \end{cases}$$

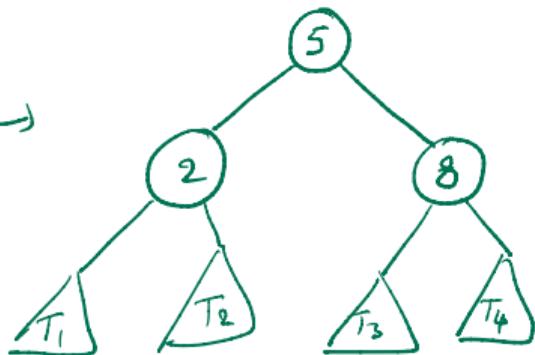
## Different Types of Rotations

case 2)



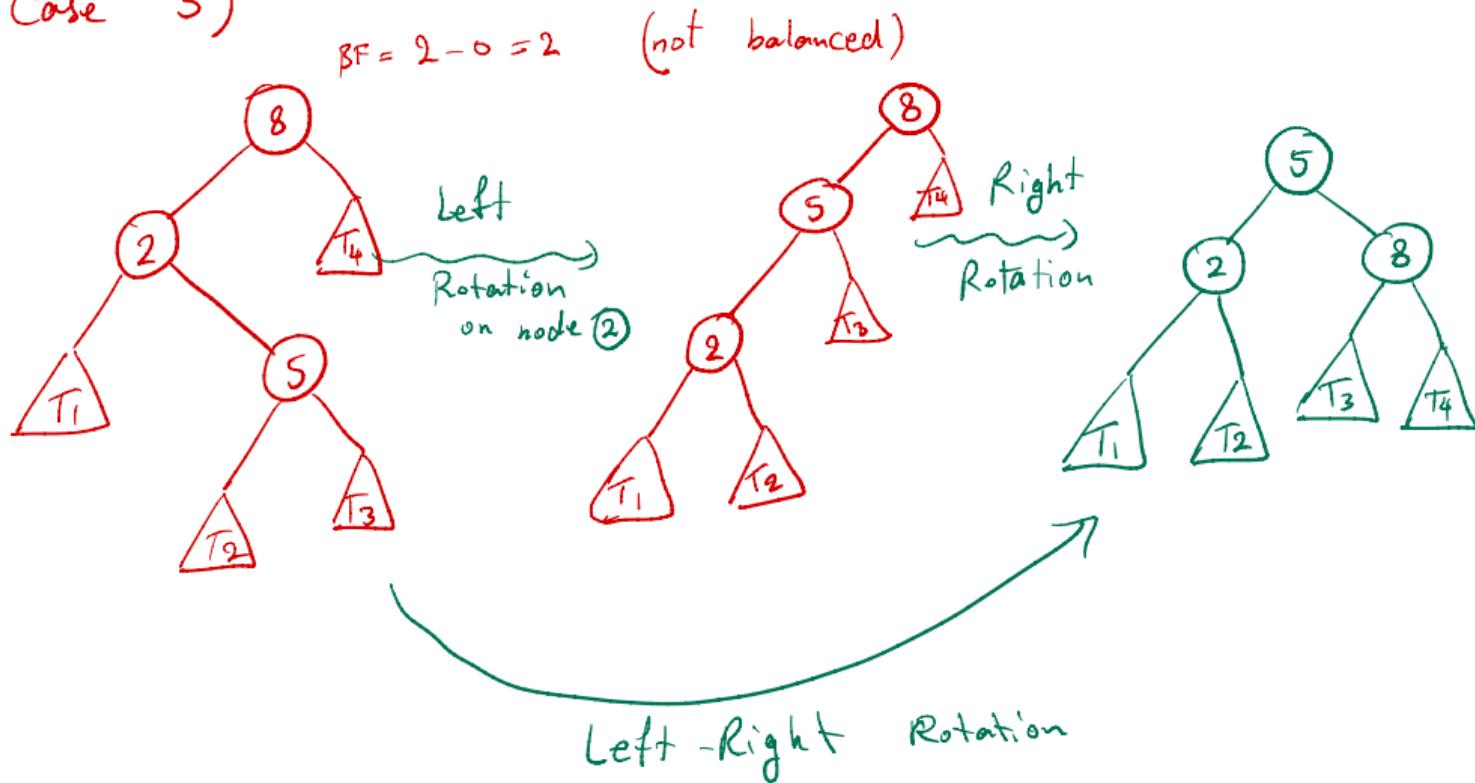
$$BF = 0 - 2 = -2 \notin \{-1, 0, +1\} \quad (\text{not balanced})$$

Left Rotation



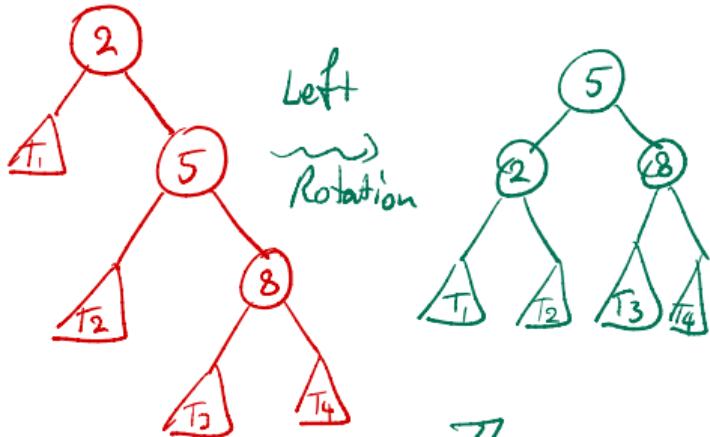
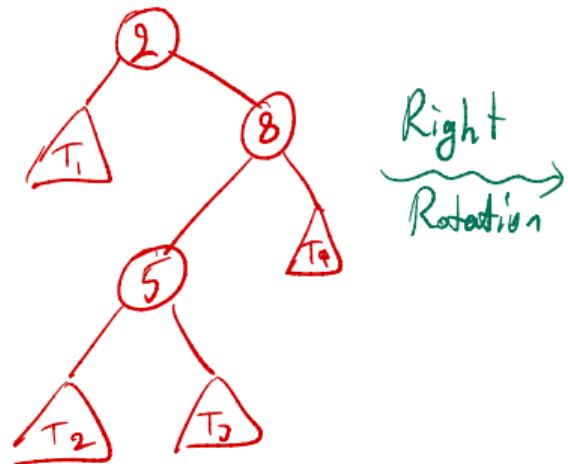
## Different Types of Rotations

Case 3)



## Different Types of Rotations

Case 4)



Right - Left Rotation

# AVL Tree Implementation

```
struct Node {  
    int key;  
    Node *left;  
    Node *right;  
    int height;  
}
```



```
Node (int k){  
    key ← k;  
    left ← right ← null;  
    height ← 0  
}
```

---

```
class AVLTree {  
    Node *root;  
    AVLTree () {  
        root ← null;  
    }  
    // methods  
}
```

```
int getHeight (Node *node){  
    if (node = null)  
        return (0);  
    return (node.height);  
}
```

---

```
void updateHeight (Node *node){  
    if (node = null) return;  
    node.height ← max (getHeight (node.left),  
                      getHeight (node.right)) + 1  
}
```

## AVL Tree Implementation

```
Node* rotateRight ( Node *node ) {
```

```
    if ( node == null ) return ( node );
```

```
    Node *temp = node . left ;
```

```
    node . left = temp . right ;
```

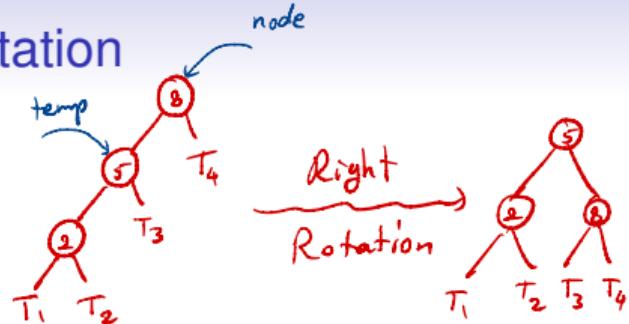
```
    temp . right = node ;
```

```
    updateHeight ( node );
```

```
    updateHeight ( temp );
```

```
    return ( temp );
```

```
}
```



## AVL Tree Implementation

```
Node * rotateLeft ( Node *node ) {
```

```
    if( node == null ) return ( node );
```

```
    Node *temp ← node . right ;
```

```
    node . right ← temp . left ;
```

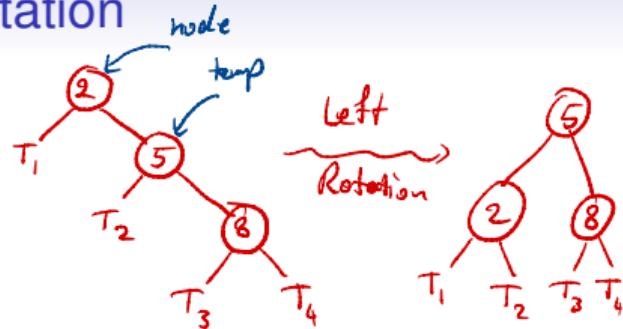
```
    temp . left ← node ;
```

```
    updateHeight ( node );
```

```
    updateHeight ( temp );
```

```
    return ( temp );
```

```
}
```



# AVL Tree Implementation

```

public    insert ( int k){
    root ← insert ( root , k );
}

} Node *
private insert ( Node *node, int k ){
    if( node = null) return ( new Node ( k ) );
    if ( k < node . key )
        node . left ← insert ( node . left , k );
    else if ( k > node . key )
        node . right ← insert ( node . right , k );
    else
        return ( node );
}

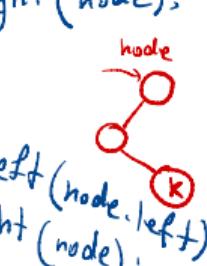
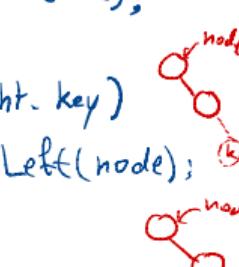
updateHeight ( node );
b ← getBalance ( node );

```

```

if ( b > 1 ) {
    if ( k < node . left . key )
        node ← rotateRight ( node );
    else {
        node . left ← rotateLeft ( node . left );
        node ← rotateRight ( node );
    }
} else if ( b < -1 ) {
    if ( k > node . right . key )
        node ← rotateLeft ( node );
    else {
        node . right ← rotateRight ( node . right );
        node ← rotateLeft ( node );
    }
}
return ( node );

```

# AVL Tree Implementation

```

public delete (int k)
    root ← delete (root, k);
}

private Node* delete (Node* node, int k)
    if (node = null) return (null);
    if (k < node.key)
        node.left ← delete (node.left, k);
    else if (k > node.key)
        node.right ← delete (node.right, k);
    else {
        if (node.left = null)
            node ← node.right;
        else if (node.right = null)
            node ← node.left;
        else {
            succ ← Find Min (node.right);
            node.key ← succ;
            node.right ← delete (node.right, succ);
        }
    }
}

```

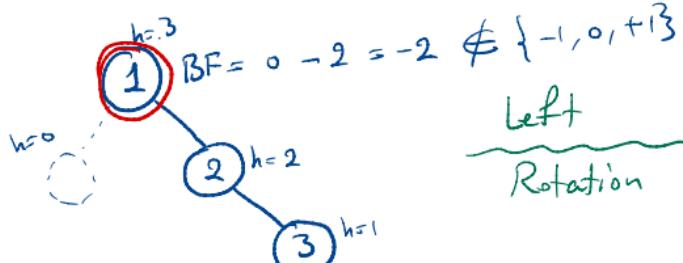
```

if (node = null)
    return (null);
updateHeight (node);
b ← getBalance (node);
if (b > 1) {
    if (getBalance (node.left) = 0)
        node ← rotateRight (node);
    else {
        node.left ← rotateLeft (node.left);
        node ← rotateRight (node);
    }
} else if (b < -1) {
    if (getBalance (node.right) <= 0)
        node ← rotateLeft (node);
    else {
        node.right ← rotateRight (node.right);
        node ← rotateLeft (node);
    }
}
return (node);

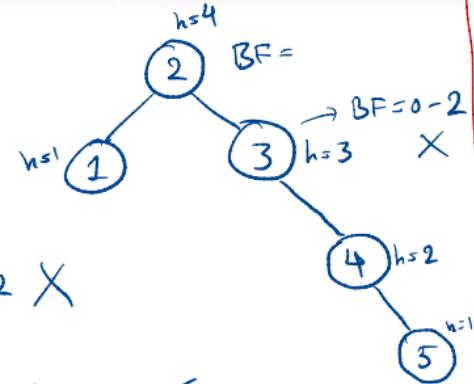
```



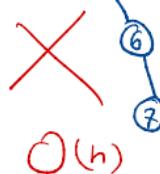
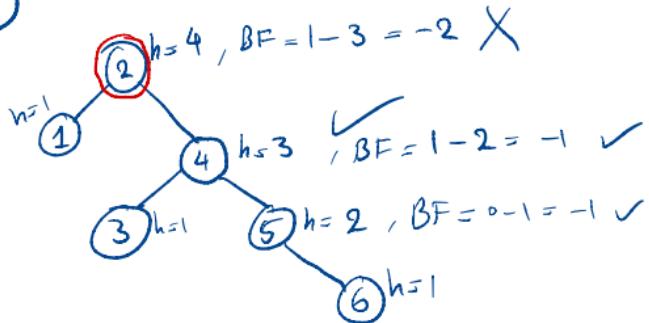
Example : 1, 2, 3, 4, 5, 6, 7, ...



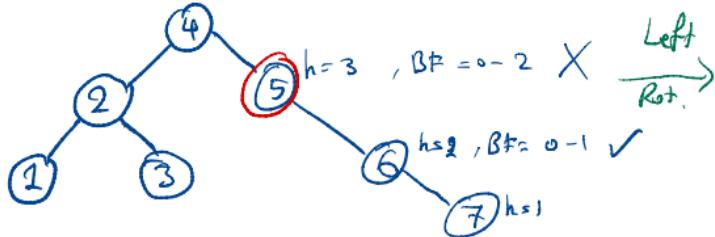
Left Rotation



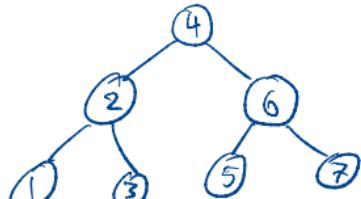
Left Rotation

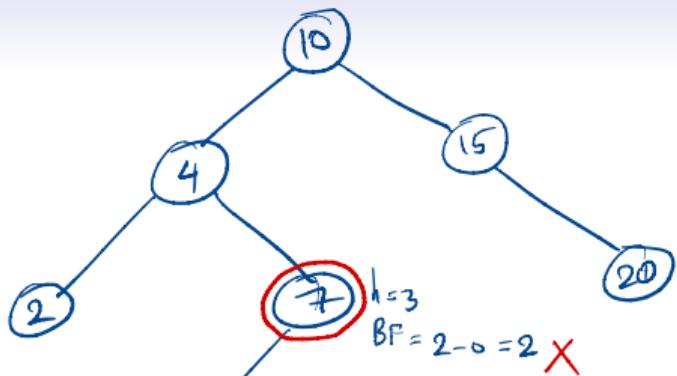


Left Rotation



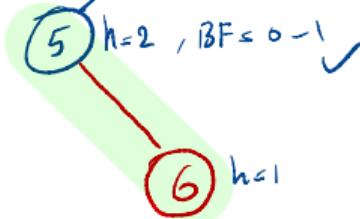
Left Rot.



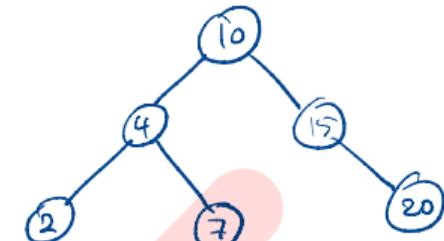
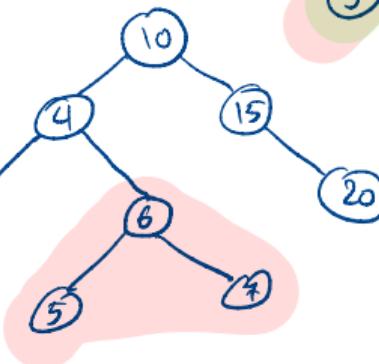


insert (6)

left  
Rotation



$O(\log n)$



Right Rot.