

# Data Structures and Algorithms

*Mohammad GANJTABESH*

*Department of Computer Science,  
School of Mathematics, Statistics and Computer Science,  
University of Tehran,  
Tehran, Iran.*

*mgtabesh@ut.ac.ir*



*Dept. of Computer Science  
University of Tehran*

Heap



→ min      → - Access to the min value is  $O(1)$

→ max      - Adjusting the heap is  $O(\log n)$

## Double Ended Priority Queue (Min-Max-Heap)

Data Structures and Algorithms  
Undergraduate course



Mohammad GANJTABESH  
[mgtabesh@ut.ac.ir](mailto:mgtabesh@ut.ac.ir)

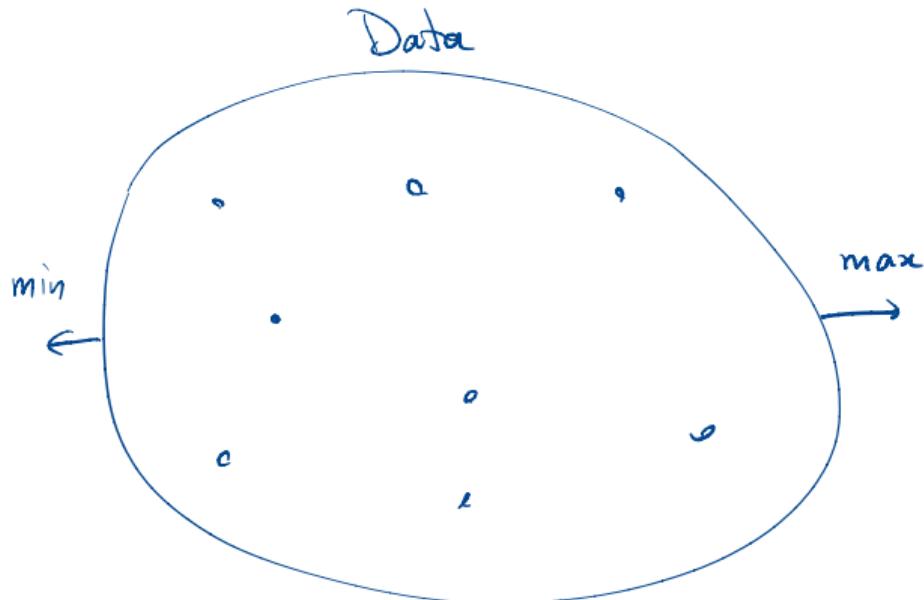


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## Motivations

- Access to the min and max in constant time.

- Adjusting the structure would be of  $O(\log_2 n)$ .

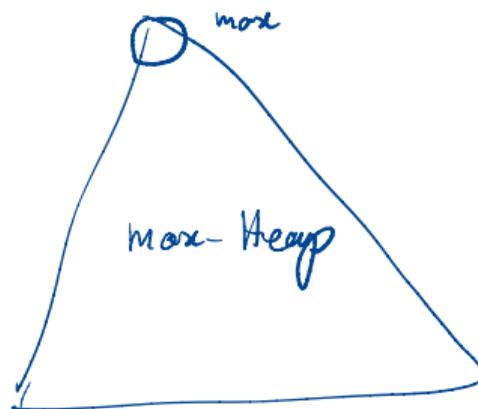
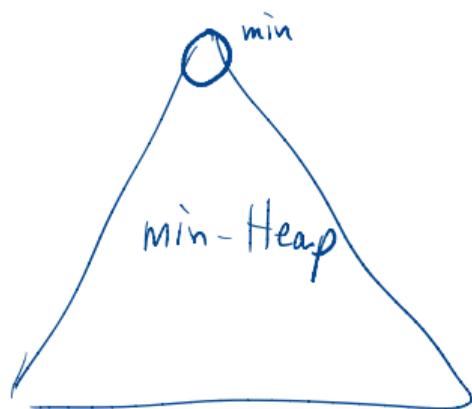


## Operations and Naive Implementations

↳ Min, Max, Add, Remove Min, Remove Max,  
and other adjusting methods

Structure	Min/Max	Add	Remove Min / Remove Max	Adjusting
Array	$O(n)$	$O(1)$	$O(n)$	X
Sorted Array	$O(1)$	$O(n)$	$O(n) / O(1)$	X
Linked List	$O(n)$	$O(1)$	$O(n)$	X
Sorted Linked List	$O(1)$	$O(n)$	$O(1) / O(n)$	X

## Operations and Naive Implementations



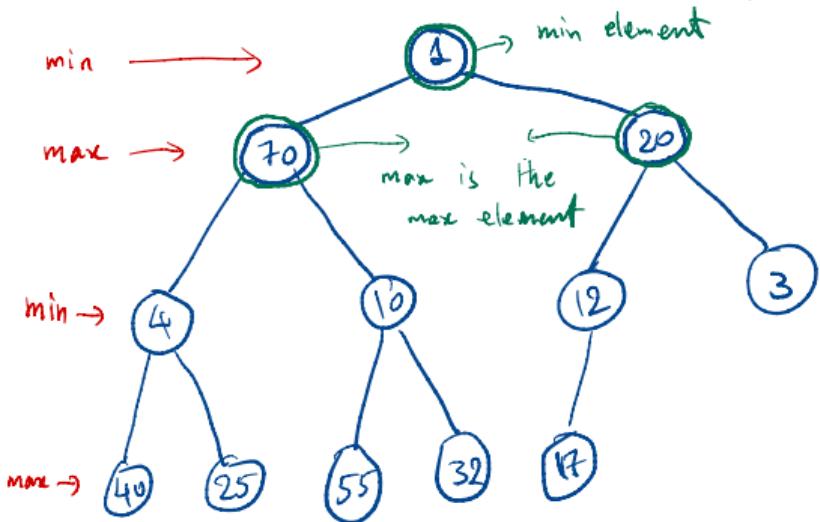
- Duplication in data.
- Add/Remove is not efficient.

## Tree Implementation: Properties

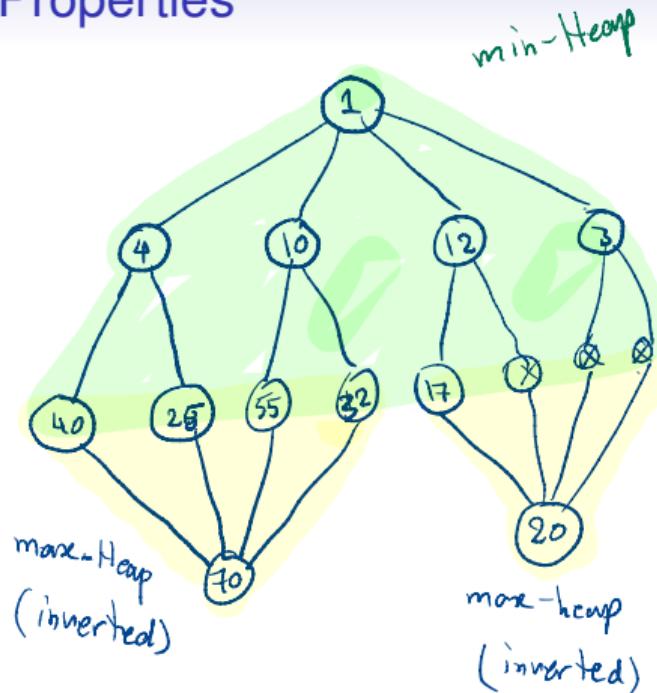
- Min-Max-Heap } - has a heap structure (complete binary tree)  
                } - the levels are alternately min and max  
                } - Access to the min and max could be done in constant time.  
                } - Data in min(max) level is smaller (larger) than its children.

# Tree Implementation: Properties

Ex. of Min-Max-Heap :



H	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	1	70	20	4	10	12	3	40	25	55	32	17			



capacity = 15  
size = 12

## Tree Implementation

```
class Min-Max-Heap {
```

```
    int *H;  
    int capacity;  
    int size;
```

```
    Min-Max-Heap (int n)
```

```
        H = new int[n];  
        size = 0;  
        capacity = n;
```

```
}
```

```
// methods.
```

```
}
```

// Array is started by index 1

```
    Min () {
```

```
        if (size == 0) // Heap is empty.  
            return (H[1]);
```

```
}
```

```
    Max () {
```

```
        if (size == 0) // Heap is empty.  
            if (size == 1) return (H[1]);  
            if (size == 2) return (H[2]);  
            return (max (H[2], H[3]));
```

```
}
```

```
    Level (i) {
```

```
        if ( $\lfloor \log(i) \rfloor \% 2 = 0$ )  
            return (min)  
        return (max)
```

```
}
```

## Tree Implementation

```
Add(x) {
```

```
    if (size == capacity) // Heap is full.
```

```
        H[size] ← x;
```

```
        size ++;
```

```
        if (size == 1) return ;
```

```
        p ← size / 2;
```

```
        if (level(size) = min) {
```

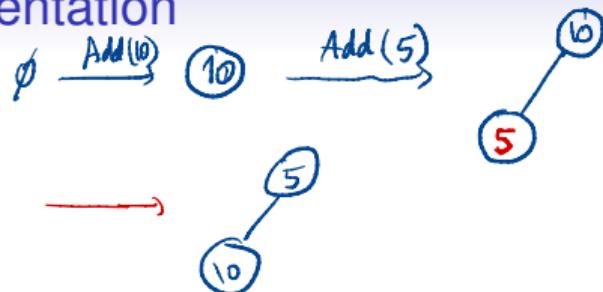
```
            if (H[size] > H[p]) {
```

```
                swap(H[size], H[p]);
```

```
            } FixUP(p);
```

```
        } else {
```

```
            } FixUP(size)
```



```
    } else { // level(size) = max
```

```
        if (H[size] < H[p]) {
```

```
            swap(H[size], H[p));
```

```
        } FixUP(p);
```

```
    } else {
```

```
        } FixUP(size);
```

# Tree Implementation

FixUp( $i$ ) {

    if (Level( $i$ ) = min)

        FixUpMin( $i$ );

    else

        FixUpMax( $i$ );

}

FixUpMin( $i$ ) {

    gp  $\leftarrow i/4$ ; // index of grandparent.

    if ( $gp > 0$ ) {

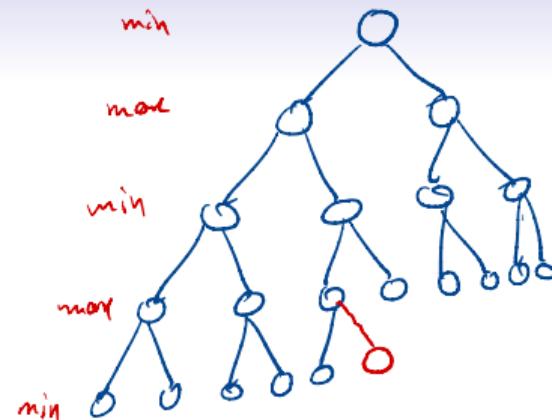
        if ( $H[i] < H[gp]$ ) {

            swap ( $H[i]$ ,  $H[gp]$ );

            FixUpMin( $gp$ );

}

}



FixUpMax( $i$ ) {

    gp  $\leftarrow i/4$ ;

    if ( $gp > 0$ ) {

        if ( $H[i] > H[gp]$ ) {

            swap ( $H[i]$ ,  $H[gp]$ );

            FixUpMax( $gp$ );

}

}

# Tree Implementation

```
RemoveMin() {
```

```
    if (size == 0) // Heap is empty.
```

```
    min ← H[1];
```

```
    H[1] ← H[size];
```

```
    size --;
```

```
    FixDown(1);
```

```
    return (min);
```

```
}
```

```
FixDown(i) {
```

```
    if (Level(i) = min)
```

```
        FixDownMin(i);
```

```
    else
```

```
        FixDownMax(i);
```

```
}
```

*FixDownMax() is similar to*

```
FixDownMin(i) {
```

```
    if (i has children) {
```

*m ← index of smallest child or grandchild of i*

```
        if (m is grandchild) {
```

*if (H[m] < H[i]) { swap(H[m], H[i]);*

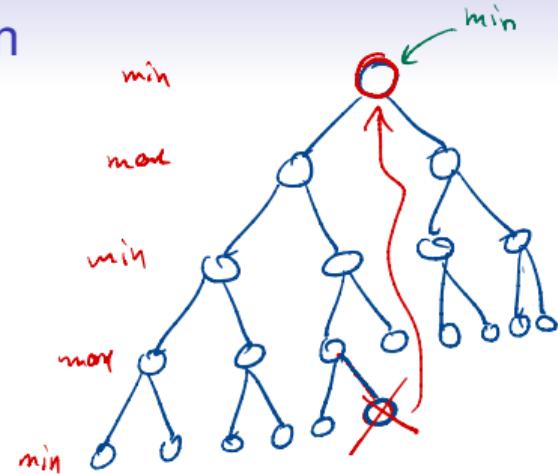
*if (H[m] > H[Parent(m)])*

*swap(H[m], H[Parent(m)]);*

```
        } FixDownMin(m);
```

```
    } else if (H[m] < H[i]) { // m is child
```

*swap(H[m], H[i]);*



## Tree Implementation

```
Remove Max(){
```

```
    if(size == 0) // Heap is empty.
```

```
    if(size == 1){ // root is the max.
```

```
        max ← H[1];
```

```
        size --;
```

```
        return (max);
```

```
}
```

```
if(size == 2){
```

```
    max ← H[2];
```

```
    size --;
```

```
    return (max);
```

```
}
```

```
    if(H[2] > H[3]) // H[2] is
```

```
    max ← H[2];
```

```
    H[2] ← H[size];
```

```
    size --;
```

```
    FixDown(2);
```

```
    return (max);
```

```
}
```

```
else // H[3] is max
```

```
    max ← H[3];
```

```
    H[3] ← H[size];
```

```
    size --;
```

```
    FixDown(3);
```

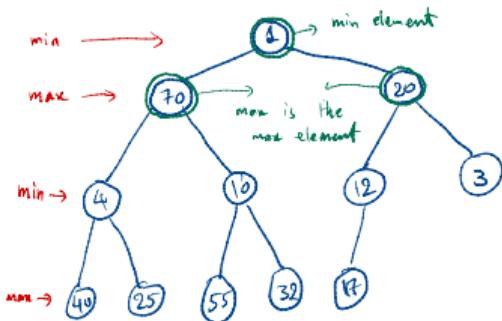
```
    return (max);
```

```
}
```

```
}
```

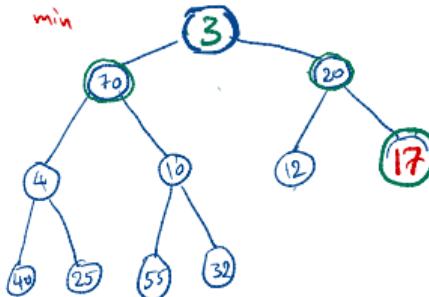
Example :

## Tree Implementation



min  
MAX  
min  
max  
min  
max

RemoveMin()  
1



Add (100)

