

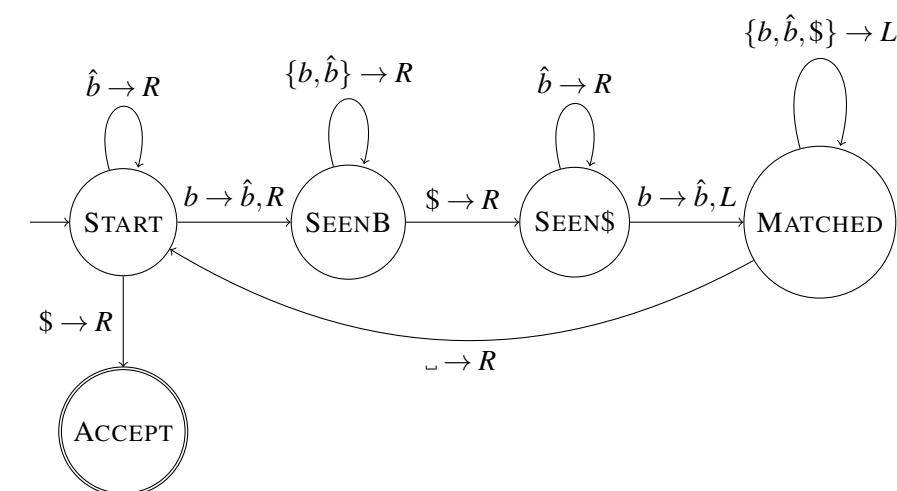
(3 questions, 280 points total)

1. (100 pts.) Working with a TM

Consider the Turing machine  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$  where:

- $Q = \{\text{START}, \text{SEENB}, \text{SEEN\$}, \text{MATCHED}, \text{ACCEPT}, \text{REJECT}\}$
- $\Sigma = \{b, \$\}$
- $\Gamma = \{b, \hat{b}, \$, \sqcup\}$
- $\delta(q, s) = \begin{cases} (\text{ACCEPT}, s, R) & q = \text{START} \text{ and } s = \$ \\ (\text{SEENB}, \hat{b}, R) & q = \text{START} \text{ and } s = b \\ (\text{SEEN\$}, s, R) & q = \text{SEENB} \text{ and } s = \$ \\ (q, s, R) & q = \text{SEENB} \text{ and } s = b \\ (\text{MATCHED}, \hat{b}, L) & q = \text{SEEN\$} \text{ and } s = b \\ (\text{START}, s, R) & q = \text{MATCHED} \text{ and } s = \sqcup \\ (q, s, L) & q = \text{MATCHED} \text{ and } s \in \{b, \hat{b}, \$\} \\ (q, s, R) & s = \hat{b} \\ (\text{REJECT}, s, R) & \text{otherwise} \end{cases}$
- $q_0 = \text{START}$
- $q_{\text{accept}} = \text{ACCEPT}$
- $q_{\text{reject}} = \text{REJECT}$

- (a) (45 pts.) Draw  $M$  as a graph, omitting the reject state and all transitions leading to it (following the convention used in class, we will say that all missing transitions lead to the reject state).



(Note that labels like  $\{b, \hat{b}\} \rightarrow R$  are just shorthand for the two transitions  $b \rightarrow R$  and  $\hat{b} \rightarrow R$ , which in turn are shorthand for  $b \rightarrow b, R$  and  $\hat{b} \rightarrow \hat{b}, R$ ; any of these notations is fine.)

- (b) (15 pts.) List the sequence of configurations of  $M$  (the computation history) when run on input  $b\$bb$ . Please put each configuration on a separate line.

**Solution:**

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START  b  $  b  b
  b̂  SEENB  $  b  b
    b̂  $  SEEN$  b  b
  b̂  MATCHED  $  b̂  b
MATCHED  b̂  $  b̂  b
MATCHED  ␣  b̂  $  b̂  b
      START  b̂  $  b̂  b
        b̂  START  $  b̂  b
          b̂  $  ACCEPT  b̂  b

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- (c) (20 pts.) For each of the following input strings, state whether  $M$  accepts, rejects, or does not halt.

- $\varepsilon$

**Solution:** This is rejected, since the symbol under the head is a blank, and  $\delta(\text{START}, \sqcup) = (\text{REJECT}, \sqcup, R)$ , so we transition to the reject state and halt.

- $bb\$b$

**Solution:** This is rejected: following a similar pattern to the computation history above,  $M$  will mark the first  $b$  on either side of the  $\$$ , reaching the configuration  $\text{START } \hat{b} b \$ \hat{b}$ . It will then proceed to mark the remaining  $b$  and move past the  $\$$  and the  $\hat{b}$ , reaching the configuration  $\hat{b} \hat{b} \$ \hat{b} \text{ SEEN\$}$ . But now the symbol under the head is a blank, and in state  $\text{SEEN\$}$  this causes a transition to the reject state.

- $bb\$bbb$

**Solution:** This is accepted, along the same lines as  $b\$bb$  above. Each pass through the four states in the top row of the graph shown in part (a) marks the first  $b$  on each side of the  $\$$ , so that after two passes we will be in the configuration  $\text{START } \hat{b} \hat{b} \$ \hat{b} \hat{b} b$ . Then  $M$  reads past the  $\hat{b}$ s to get to the configuration  $\hat{b} \hat{b} \text{ START } \$ \hat{b} \hat{b} b$ , whereupon  $M$  reads the  $\$$  and transitions to the accept state.

- $\$b$

**Solution:** This is accepted: the symbol under the head is a  $\$$ , so we transition immediately to the accept state.

- (d) (20 pts.) What is the language of  $M$ ?

**Solution:** As the examples above suggest, the behavior of the four main states is to find a  $b$  to the left of the  $\$$ , mark it, then proceed past the  $\$$  and find another  $b$  to mark (rejecting if there is no  $\$$  at all, or we find a second  $\$$  before finding a  $b$ ). If there is no other  $b$  to mark, we will reach the end of the tape in state  $\text{SEEN\$}$  and reject. Otherwise, we mark the  $b$ , and the  $\text{MATCHED}$  state brings us back to the start of the input and we repeat. Whenever one of these passes succeeds (i.e. does not reject partway through), we mark one  $b$  on each side of the  $\$$ . Once there are no unmarked  $b$ s to the left of the  $\$$ , the  $\text{START}$  state will move past all  $\hat{b}$ s and reach the  $\$$ , then moving to the accept state. So  $M$  accepts if and only if the input string starts with a prefix of the form  $b^n \$ b^m$  where  $m \geq n$ . Formally, we have  $L(M) = \{b^n \$ b^m x \mid m \geq n \geq 0 \text{ and } x \in \Sigma^*\}$ .

## 2. (80 pts.) Fleshing out a TM description

Consider the following language over  $\Sigma = \{0, 1, \#, \$\}$ , which represents a simple form of array lookup, namely checking if the  $k$ th element of the array  $(e_0, e_1, \dots, e_n)$  is equal to a given binary string  $v$ :

$$L = \{1^k \# v \$ e_0 \$ e_1 \$ \dots \$ e_n \$ \mid 0 \leq k \leq n, v, e_0, \dots, e_n \in \{0, 1\}^*, \text{ and } e_k = v\}.$$

(So for example  $11\#011\$0\$100\$011\$11\$ \in L$  but  $11\#011\$0\$100\$010\$11\$ \notin L$ .)

Consider the following medium-level description (what the textbook calls an “implementation description”) of a TM deciding  $L$ , which uses the tape alphabet  $\Gamma = \{0, 1, \#, \$, X, \sqcup\}$  where  $X$  is a new symbol representing a “crossed-off” part of the tape:

1. Go through each of the 1s at the start of the input; for each one, cross it off and scan right until you find a \$, and cross that off as well, rejecting if there are no \$s left. (After this step, the leftmost remaining \$ will be the one just before  $e_k$ .)
2. Go through each of the symbols of  $v$ ; for each one, remember whether it is a 0 or a 1, then scan right until you find a \$. Scan right until you find a 0 or 1, and reject if it doesn’t agree with the symbol of  $v$  we saw earlier.
3. Go back to the #, then move right to the first \$. Continue moving right, and if you see another \$ without encountering any 0s or 1s along the way, then accept.

Let’s flesh out this description into a more detailed one listing all the states and what should be done at each one. Use English, like the low-level description given in the 11/8 lecture (on page 2 of the notes): do not write out the TM as a graph.

- (a) (20 pts.) Describe how to implement step (1) above. You should only need 3 states, but don’t worry about having exactly this number.

### Solution:

We’ll use three states,  $A$ ,  $B$ , and  $C$ , which will handle finding the next 1, finding the corresponding \$, and returning to the start of the input respectively.

**A:** Move right past all  $X$ s (so that we ignore all 1s we’ve previously handled and crossed out). If we find a #, then we’ve finished going through the start of the input and we’ll move on to part (b) of this question. If we find a 1, then replace it with an  $X$  and go to state  $B$ , which will handle finding the corresponding \$. Otherwise, we find a 0, \$, or blank, none of which are allowed in this part of the input, so we’ll reject.

**B:** Move right past all symbols except \$ and blanks. If we reach a blank, then we read through the whole input without finding a \$, so we reject. Otherwise we replace the \$ with an  $X$  and go to state  $C$ , which will handle returning to the first part of the input so we can continue going through the 1s.

**C:** Move left past all symbols except blanks. Once we reach a blank, we have moved all the way past the input, so we move right (leaving the head at the beginning of the input) and go to state  $A$  to proceed.

- (b) (40 pts.) Describe how to implement step (2) above, assuming the head of the TM is already at the first symbol of  $v$ . It’s possible to do this with 6 states.

(Hint: If you’re having trouble figuring this out, Example 3.9 in the textbook may be helpful.)

### Solution:

We’ll start with a state  $A$  which handles finding the next symbol of  $v$ , similarly to state  $A$  above. There is one important difference, however: we can’t use the symbol  $X$  to cross off symbols of  $v$  we’ve

already read, since we may have used  $X$  to cross out the  $\$$  after  $v$  and so we'd end up reading into  $e_0$  by mistake. So we'll use the blank symbol  $\_$  instead.

Next, we'll have a state  $B$  to find the  $\$$  and a state  $C$  to find the next 0 or 1; however, since we need to remember whether the symbol we saw in state  $A$  was a 0 or a 1, we'll actually have two copies  $B_0, C_0$  and  $B_1, C_1$  of these states. Finally, we'll have a state  $D$  to handle returning to the start of  $v$  so we can continue iterating through its symbols.

**A:** Read past all blanks to skip the bits of  $v$  we've already handled. If we find a  $\$$  or an  $X$ , then we've finished going through  $v$  and we'll move on to part (c) of this question. If we find a  $\#$ , the string is not of the right form (there should only be a  $\#$  before  $v$ ), so we reject. Otherwise we find a 0 or a 1; we replace it with a blank and go to state  $B_0$  or  $B_1$  accordingly.

**$B_0$ :** Move right until we find a  $\$$ . If we encounter a blank, then we've made it past the entire input without finding a  $\$$ , so we reject; we also reject if we find a  $\#$ , since the string then has multiple  $\#$ s. Otherwise we find a  $\$$ , so we move right and go to state  $C_0$ .

**$C_0$ :** Move right past all  $X$ s (to ignore bits of  $e_k$  that we've already handled). If we find a 0, then this matches the 0 we saw earlier in  $v$ , so we replace it with  $X$ , move left, and go to state  $D$ . If we find a 1, then  $v \neq e_k$ , so we reject. If we find a  $\$$  or a blank, then  $e_k$  is shorter than  $v$ , so we also reject. If we find a  $\#$ , then the string has multiple  $\#$ s, so we reject.

**$B_1$ :** The same as  $B_0$ , except we go to state  $C_1$  if we find a  $\$$ .

**$C_1$ :** The same as  $C_0$ , except with 0 and 1 exchanged: if we find a 1, it matches the 1 we saw earlier, so we blank it out, move left, and go to state  $D$ ; if we find a 0, we reject.

**$D$ :** Move left until we find a  $\#$ , then move right and go to state  $A$ .

(c) (20 pts.) Describe how to implement step (3) above. It's possible to do this with 4 states.

**Solution:** This is similar to part (a). We'll use a state  $A$  to go back to the  $\#$ ,  $B$  to search for the first  $\$$ , and  $C$  to scan for any additional  $\$$ s.

**A:** Move left until we find the  $\#$  (which the earlier parts of the problem have confirmed to be unique), then move right and go to state  $B$ .

**B:** Move right until we find a  $\$$  (which we're guaranteed to do if we've gotten this far); then move right and go to state  $C$ .

**C:** Move right past all  $X$ s. If we find a 0 or a 1, then  $e_k$  is longer than  $v$ , so we reject. If we find a  $\#$ , the string has multiple  $\#$ s, so we reject. If we find a blank, then the string is not terminated by a  $\$$  as required, so we reject. Finally, if we find a  $\$$ , then we accept.

### 3. (100 pts.) Designing a TM

Design a TM that can compare two integers represented in binary; more precisely, that recognizes the language  $L$  of strings  $x\$y$  where  $x, y \in \{0, 1\}^*$ ,  $|x| = |y|$  (for simplicity), and  $x \leq y$  when interpreted as integers. For example,  $010\$100$  and  $001\$010$  are in  $L$  but  $1\$0$  and  $0\$00$  are not. Give a medium-level description of your machine, like the 3-step description in the statement of Problem 2 above.

**Solution:** First we will check that the input has the right form, namely  $x\$y$  with  $x, y \in \{0, 1\}^*$  and  $|x| = |y|$ . We can do this using a similar procedure to that of Problem 1, making several passes through the input, marking one unmarked symbol of both  $x$  and  $y$  at a time. To determine whether  $x \leq y$  as integers, we'll again iterate through their bits: if the MSB of  $x$  is less/greater than the MSB of  $y$ , then  $x$  is less/greater than  $y$ , so we can accept/reject immediately. If instead the MSBs are the same, then we'll continue on to the second bit, since we can't yet tell which of  $x$  or  $y$  is larger (or if they're the same). If we get through all the bits of  $x$  and  $y$  without ever finding one bit to be different from its counterpart, then  $x = y$  and we'll accept. More precisely:

1. For each symbol before the \$, mark it and move past the \$, marking the next unmarked symbol. We reject if we do not encounter \$ at all, if there are no unmarked symbols left beyond the \$ (which would mean  $|y| < |x|$ ), or if we encounter a second \$.
2. Once all the symbols before the \$ are marked, scan the symbols after the \$ and reject if any are unmarked (since this would mean  $|x| < |y|$ ) or are a \$ (since this would mean the input contains multiple \$s). Otherwise, steps (1) and (2) ensure that the string has the form  $x\$y$  with  $x, y \in \{0, 1\}^*$  and  $|x| = |y|$ .
3. Go through each bit before the \$, i.e., each bit of  $x$ . For each one, remember whether it is a 0 or a 1, then move to the corresponding bit after the \$, i.e., the corresponding bit of  $y$  (we can mark or cross off symbols as we process them to identify the next bit to go to). If the bit of  $x$  was 0 and the bit of  $y$  is 1, accept. If the bit of  $x$  was 1 and the bit of  $y$  is 0, reject. Otherwise the bits are equal, so we can't yet tell which of  $x$  or  $y$  is greater, so we continue.
4. If we get through all bits of  $x$  without rejecting, then  $x = y$ , so we accept.