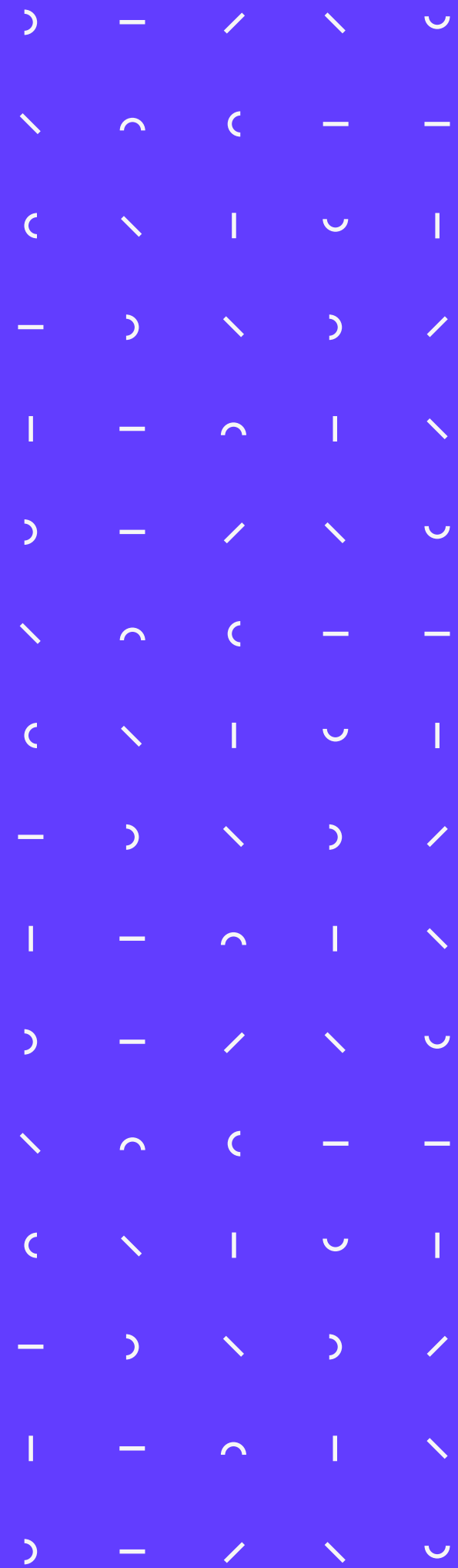


CSE 6240: Web Search & Text Mining

# TransRecG: Transformer-Based Recommendation System using Graph Embeddings

Sai Prasath Suresh, Ishwarya Sivakumar, Jeongjin Park, Balaram Behera

# Introduction



## INTRODUCTION

# Sequential Recommendation Task

## PROBLEM DESCRIPTION

Given a user  $U$ , and the user's **item history**, predict the rating the user will give to the next item. We consider the movie-rating prediction task for this project.



# Improving Deep Learning-based Recommendation Systems (DLRS)

## PROBLEM WITH EXISTING DLRS

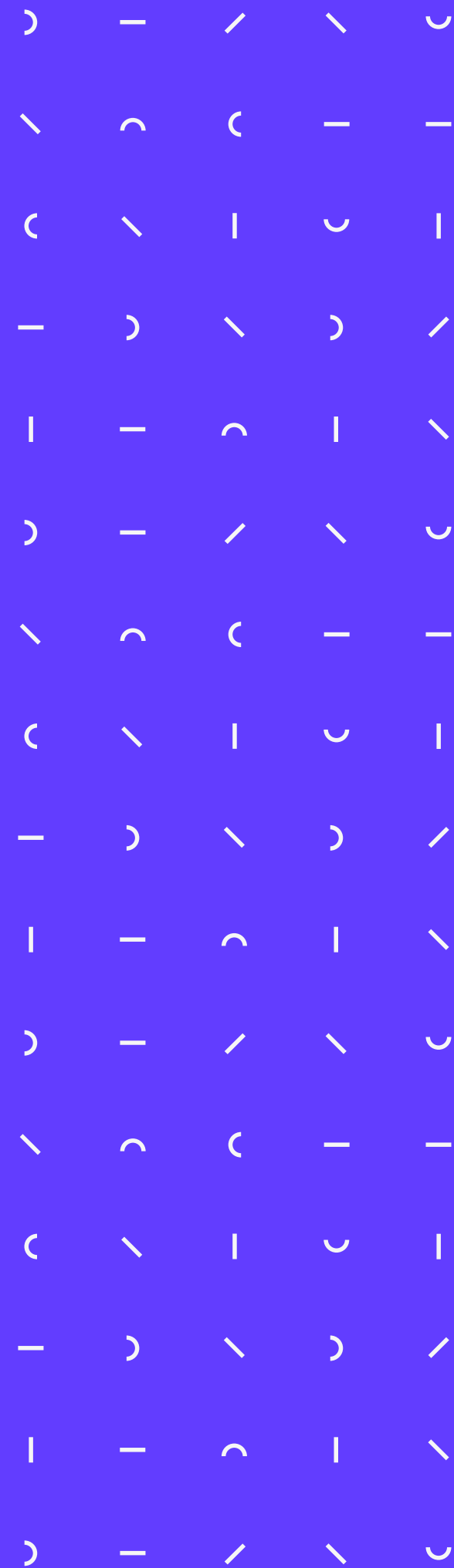
- Ignore the **temporal information**
- Fail to take advantage of the **higher order connectivity features** like **user-user and item-item relations**.

## IMPORTANCE OF PROBLEM

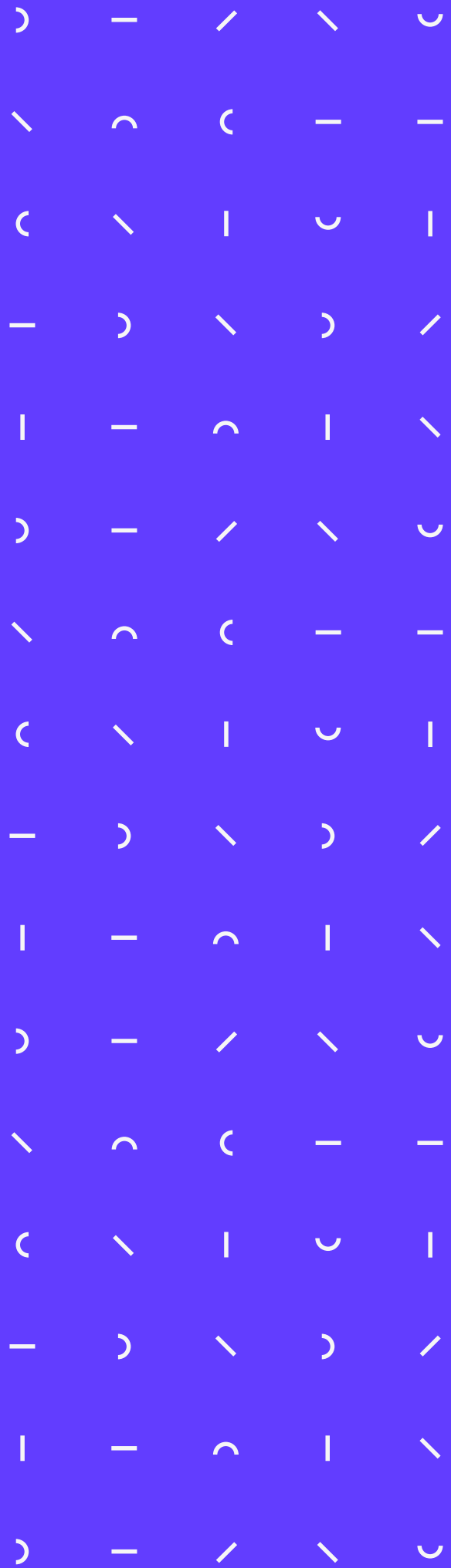
- Recommendation System that is **static** and **does not utilize higher-order connectivity** won't be able to perform well when user's preference changes dynamically, which is often the case in real life.
- Using higher-order connectivity information, relationships between movies, and users can be learnt to provide **customized and accurate** recommendations.
- Can impact many industry-based recommendation systems like Netflix (movies), Amazon (products), and Spotify (songs).

# Our Approach

Creating a Novel Model!



# Architecture of Baselines

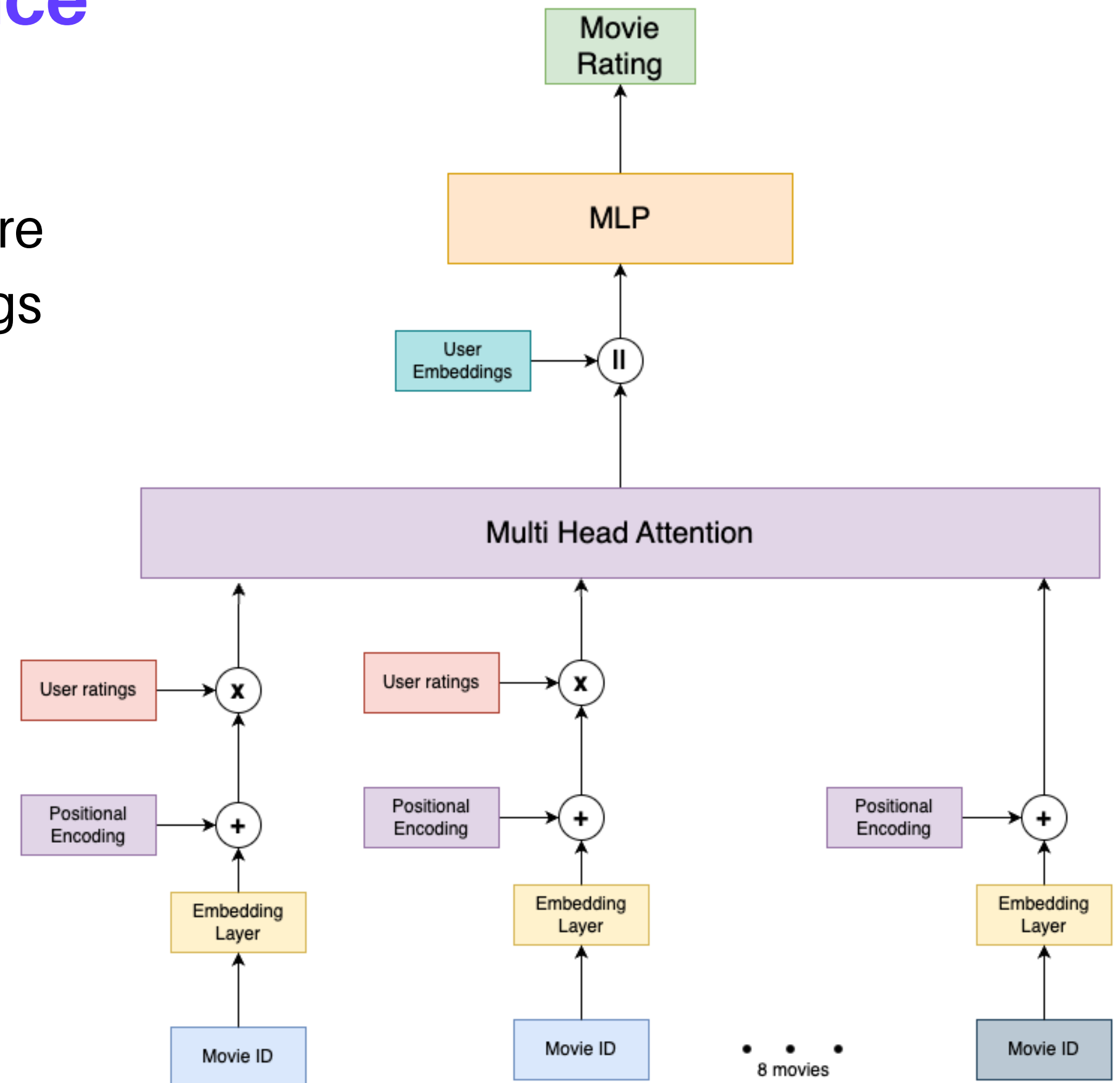


# Baseline 1: Behaviour Sequence Transformers (BST)

- Transformer Encoder-based architecture
- No pre-trained embedding. Embeddings are generated using user and movie metadata.
- Captures user embeddings, and sequential ordering of movies.

## Model Description:

- Uses Multi-Head Attention = 9 Heads
- Embeddings Size = 63
- 4 Layer MLP (589, 1024, 512, 256, 1)

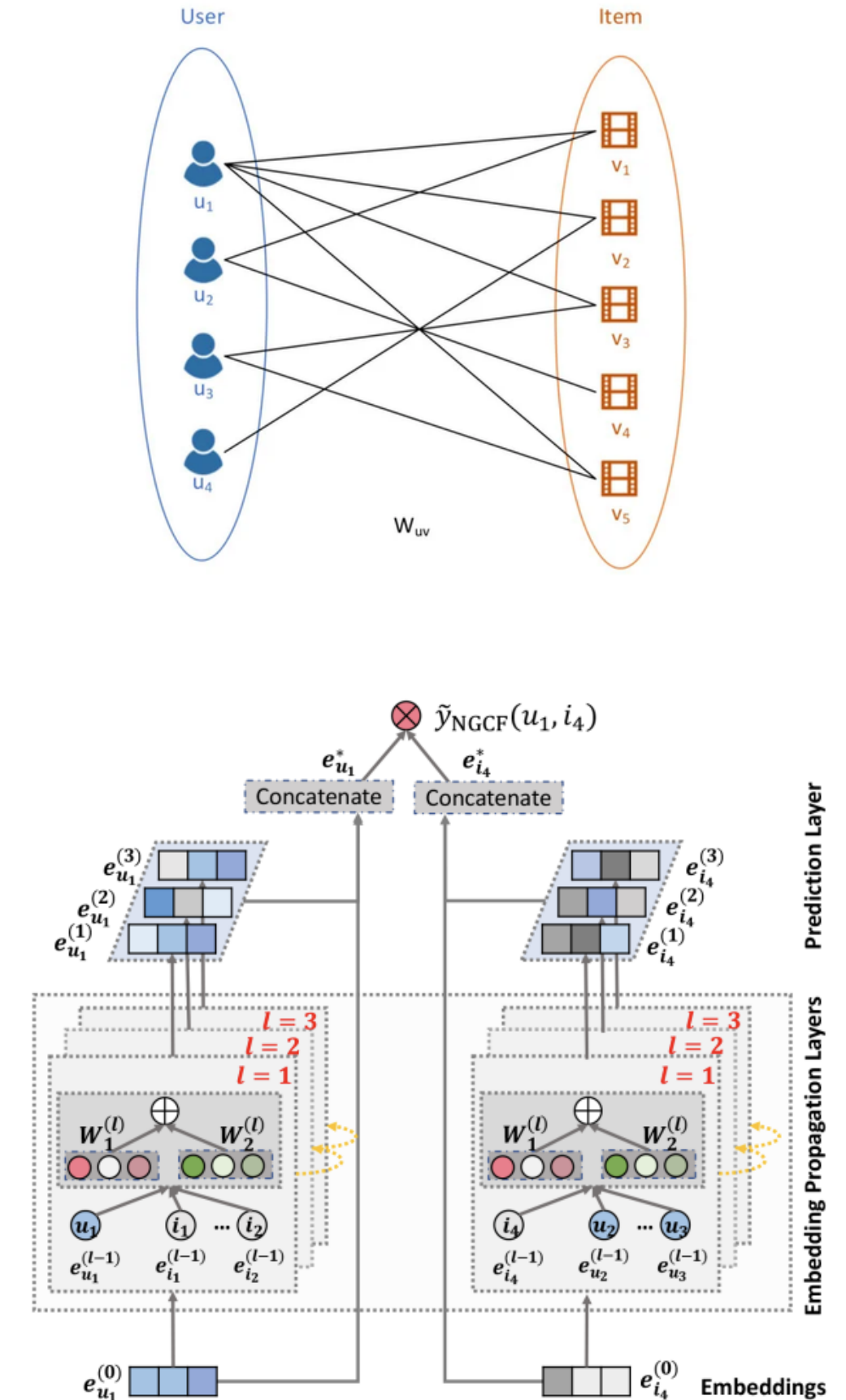


# Baseline 2: Neural Graph Collaborative Filtering (NGCF)

- User-Movie Bipartite graph. Edge exist if the user has rated the movie. (Rating is ignored)
- Nodes initialized with adj matrix representation.
- GCNConv used for generating node embeddings on the rating prediction task.

## Model Description:

- Number of Nodes = 9993
- Number of Edges = 800167 (train) + 100020 (val) + 100022 (test) = 1000209
- 2 Layer Message Passing (9993, 18, 18)





# Limitations of Existing Baselines

## BEHAVIORAL SEQUENCE TRANSFORMER (BST)

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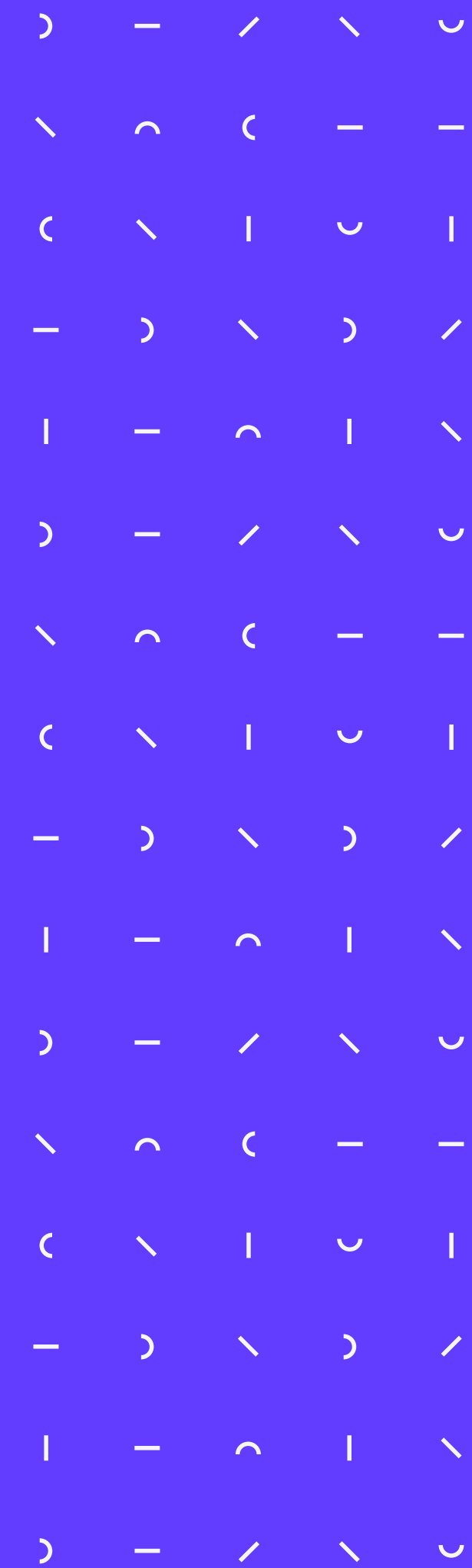
- Uses Transformers to capture the sequential ordering of movies
- The recommendations are made personalized using user embeddings
- **Cons:**
  - Does not capture higher order user-user or item-item relations as it considers every user as an independent entity and learns their embeddings individually.

## NEURAL GRAPH COLLABORATIVE FILTERING (NGCF)

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- Exploit the user-item graph structure by propagating the embeddings on the graph and performing the edge prediction on the generated embeddings.
- Expressive modeling of the higher order connectivity features in the user-item graph.
- **Cons:**
  - Does not consider the temporal behavior of the user to make recommendations.
  - Doesn't model the underlying user-user or item-item relationships.

# Architecture of TransRecG

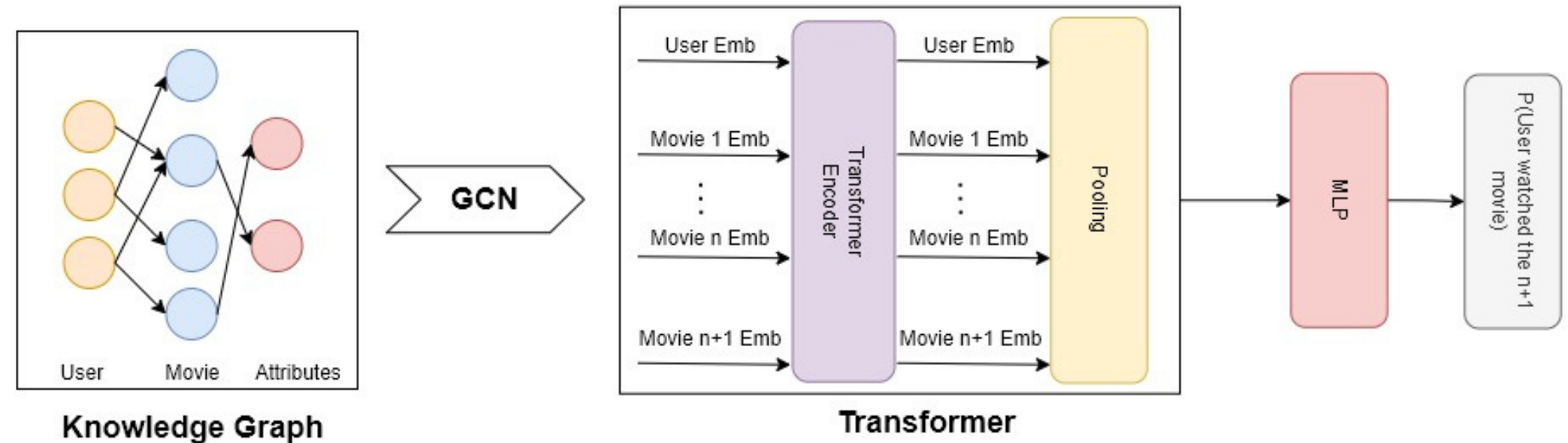


# Our Model: TransRecG

## GOAL

Build a recommendation system (RecSys) that uses BST and NGCF on a User-Movie Knowledge Graph (KG)

## HOW DOES IT WORK?



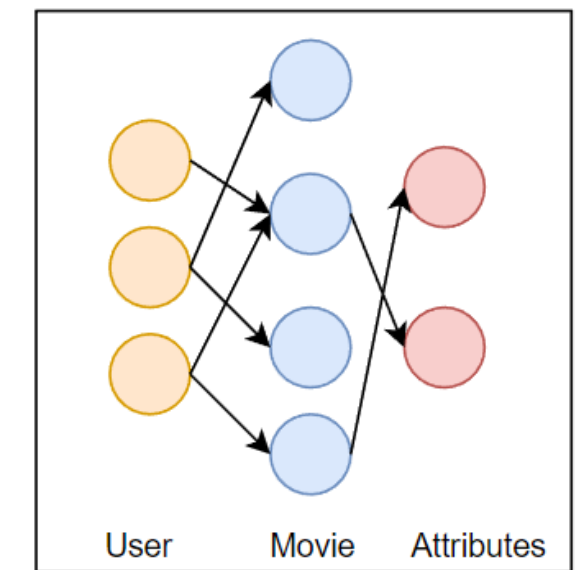
- BST captures the sequential information to understand the temporal behavior of the user.
- NGCF model on the Movie-User KG captures the user-user, movie-movie and user-movie relations.
- Augmenting the Transformer with the RGCN embeddings from the KG helps to leverage temporal and spatial information to make better and personalized recommendations

## NOVELTY

- Using a BST with a NGCF model to build a RecSys that captures both temporal information and also exploits the underlying relations like user-user, user-movie and movie-movie.

# Part 1: NGCF on Knowledge Graphs (KG)

- User-Movie-Attribute Knowledge graph.
- Attribute = {Genre}
- Movie and Attribute initialized with GloVe embeddings.
- User node embeddings are randomly initialized.
- User-Movie edges are defined by the ratings - 5 types (1, 2, 3, 4, 5)
- Movie-Attribute edges all belong to the same type - (6)
- **RGCN** is used for generating the node embeddings, trained on the rating prediction task.

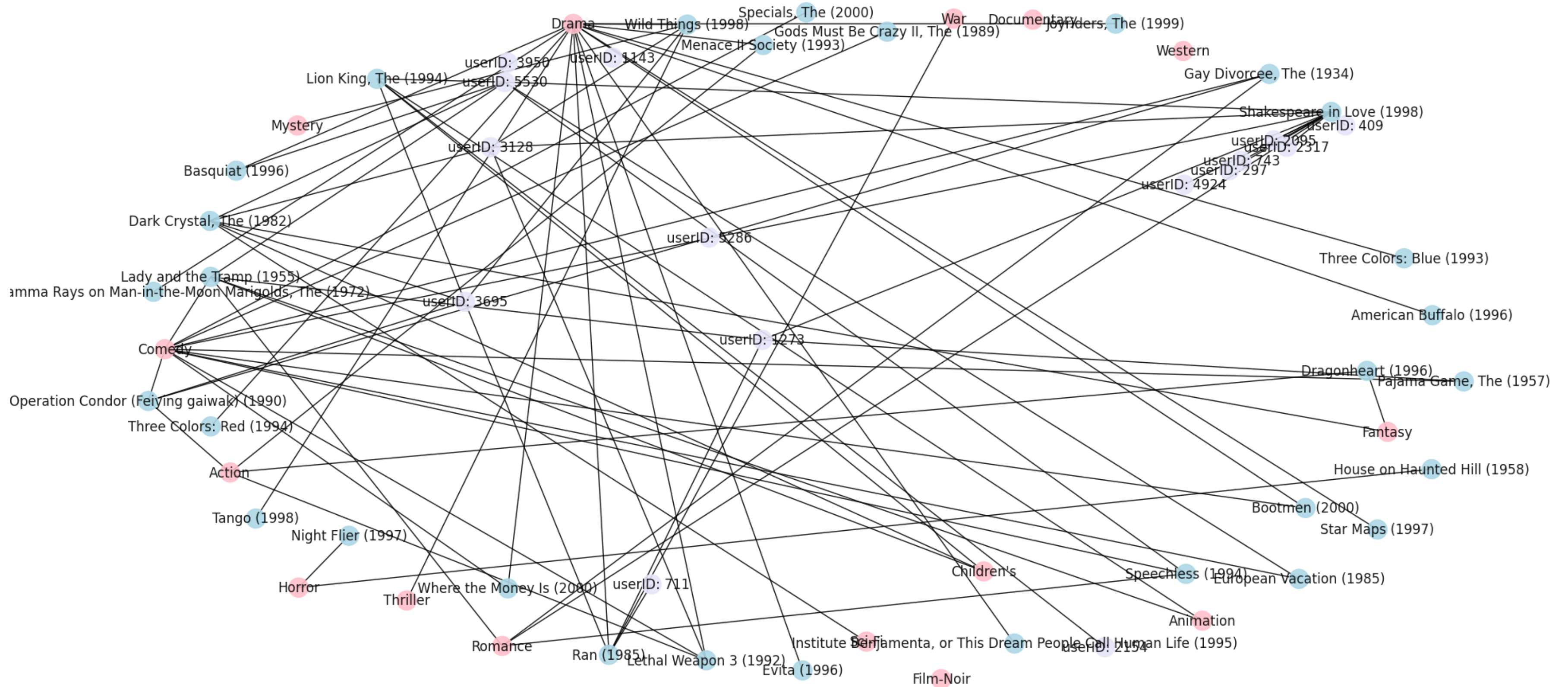


Knowledge Graph

## Model Description:

- Number of Nodes = 100010
- Number of Edges = 1000209 (User-Movie) + 6408 (Movie - Attribute) = 1006617
- 2 Layer Message Passing (50, 50, 50)

# User - Movie - Attribute KG



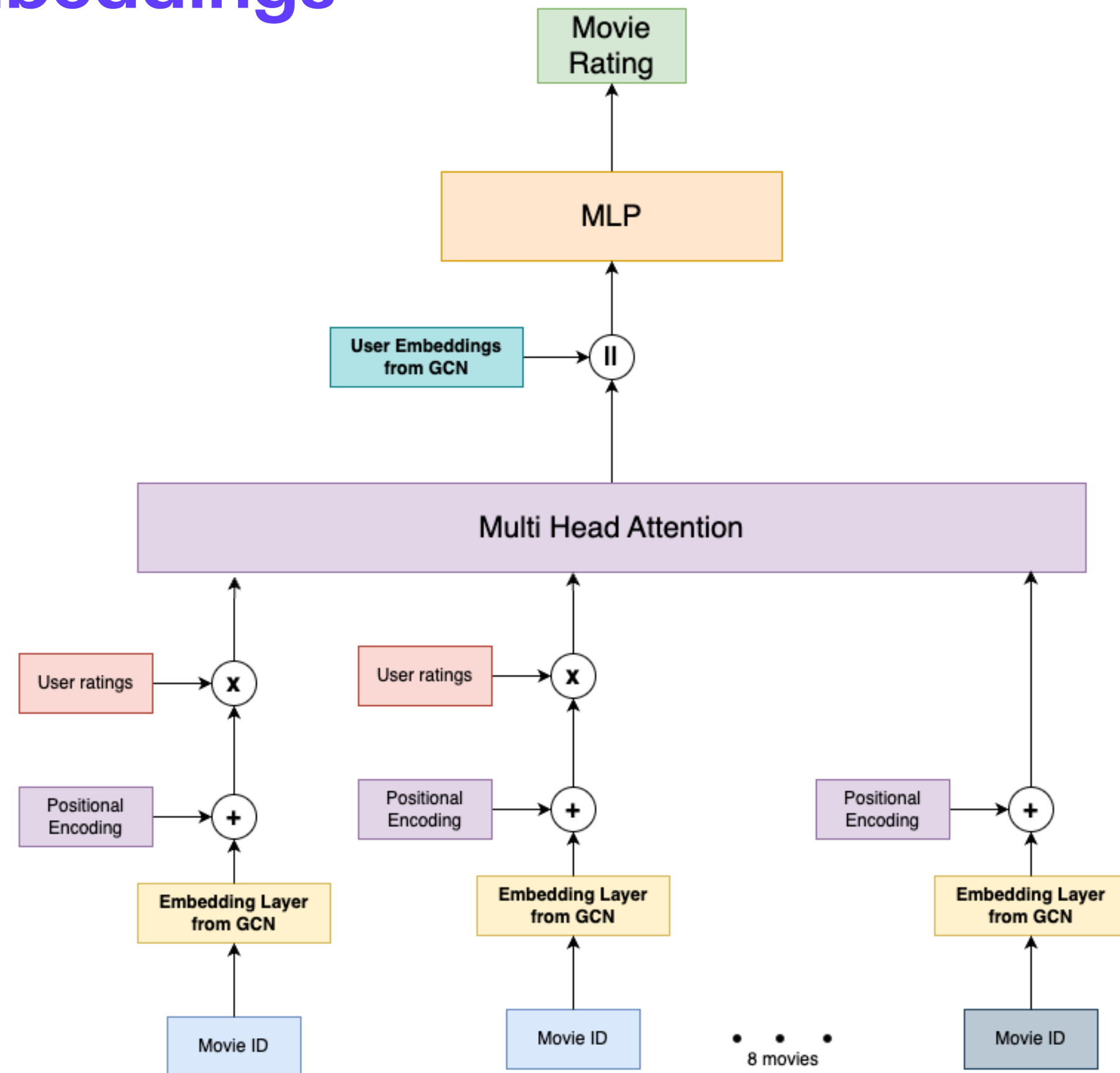


## Part 2: BST with NGCF (+KG) Embeddings

- Augmented BST with graph embeddings for movies and users.
  - User/Movie embeddings from User-Movie bipartite graph (BP).
  - User/Movie embeddings from User-Movie-Attribute KG.
- Concatenate the graph embeddings with User/Movie embeddings before passing to the Transformer.

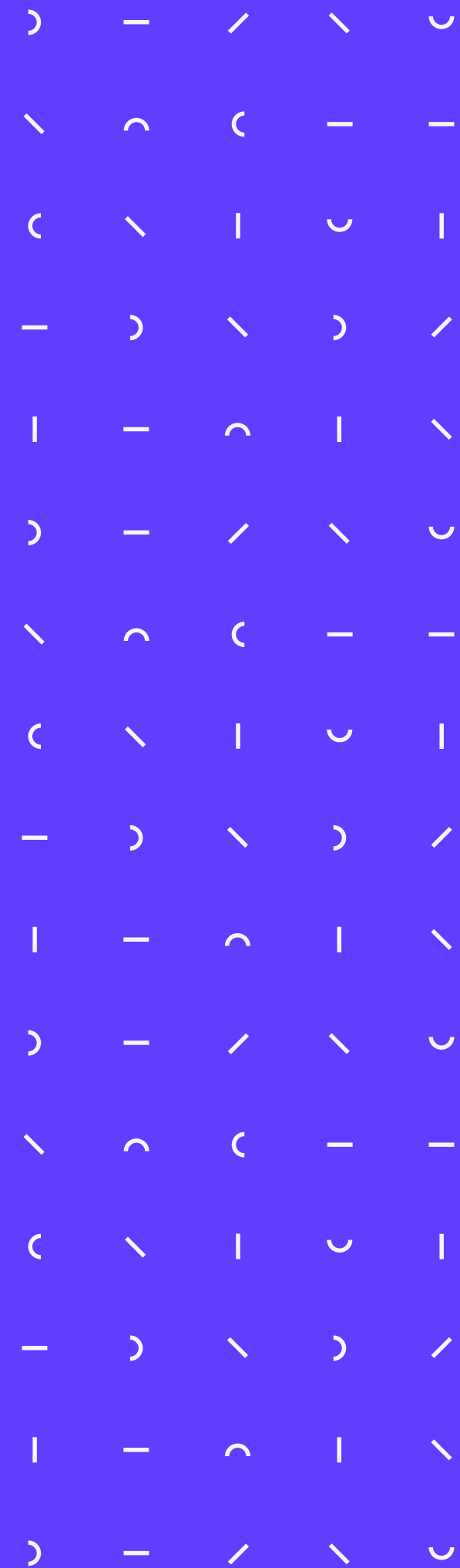
### Model Description:

- Movie/User Embedding: Representation at the end of 2nd Message Passing Layer
- BP Embedding = 18, Attention Heads = 9
- KG Embedding = 50, Attention Heads = 15
- 4 Layer MLP



# Experiment Setup

Data, Experiments and Evaluations.



# Data

## HOW WAS DATA OBTAINED ?

- Used the publicly available **MovieLens-1M dataset**.
- It has 3 files
  - users.dat: metadata about the user.
  - movies.dat: metadata about the movies.
  - ratings.data: (user, movie, rating, timestamp)

### MovieLens 1M Dataset

MovieLens 1M movie ratings. Stable benchmark dataset. 1 million ratings from 6000 users on 4000 movies. Released 2/2003.

- [README.txt](#)
- [ml-1m.zip](#) (size: 6 MB, [checksum](#))

Permalink: <https://grouplens.org/datasets/movielens/1m/>

## DATA PREPARATION

- Split into train, test and validation test based on the **timestamp**. The split was 80-10-10.
- Sequence size of 8 was chosen ----> For a user, given 7 movies and their corresponding ratings the model will predict the rating given by the user for the 8th movie.
- Since we consider only the ratings given by a user when making a recommendation for a user, normalization of ratings was not done.

<https://grouplens.org/datasets/movielens/1m/>



# Data

## PROPERTIES OF DATA

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- 6,040 users and 3,883 movies.
- **Each user has given reviews for at least 20 movies**
- Average number of ratings per user is 164.7
- Average rating is 3.58
- **611 users in the validation set and 200 users in the test set do not having data in the training set**
- With a sequence size of 8, there are
  - 757077 ratings in the training set
  - 92113 ratings in the validation set
  - 91185 ratings in the test set
- Drama is the most popular genre

### MovieLens 1M Dataset

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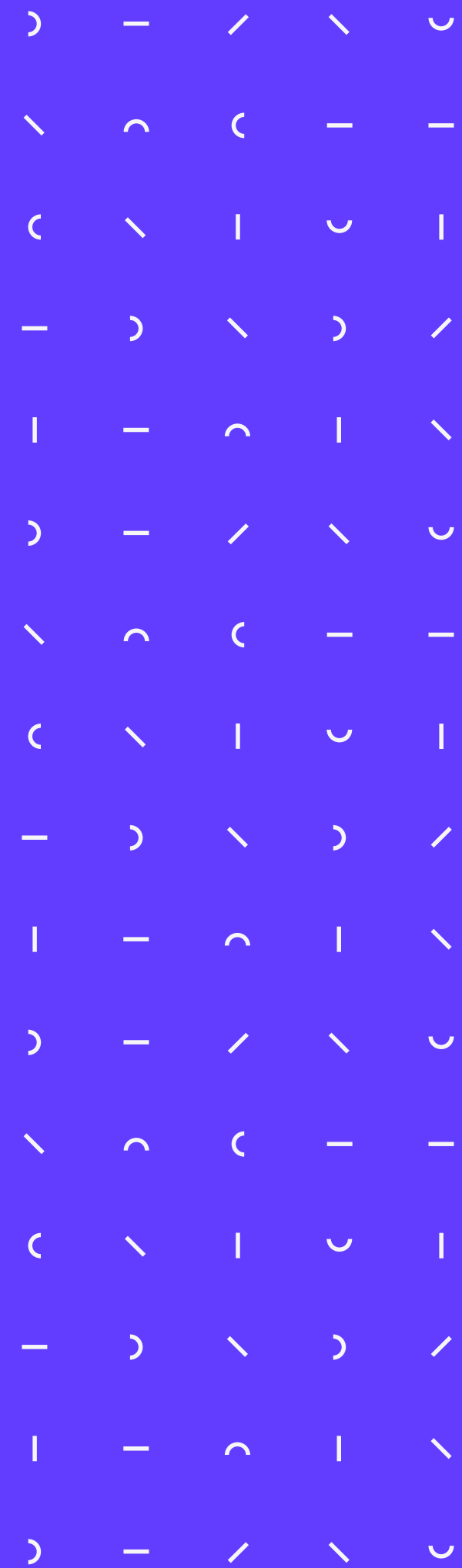
<https://grouplens.org/datasets/movielens/1m/>

# Experimental Setup

- **Loss Function:** Root Mean Squared Error (RMSE) Loss
  - `torch.nn.MSELoss()`
- **Optimized:** Adam Optimizer
  - `torch.optim.AdamW()`
- **Batch Size, Learning Rate, Number of Epochs:** Optimized separately for each model

# Results

Comparing our results with baselines.



# Evaluation Criteria

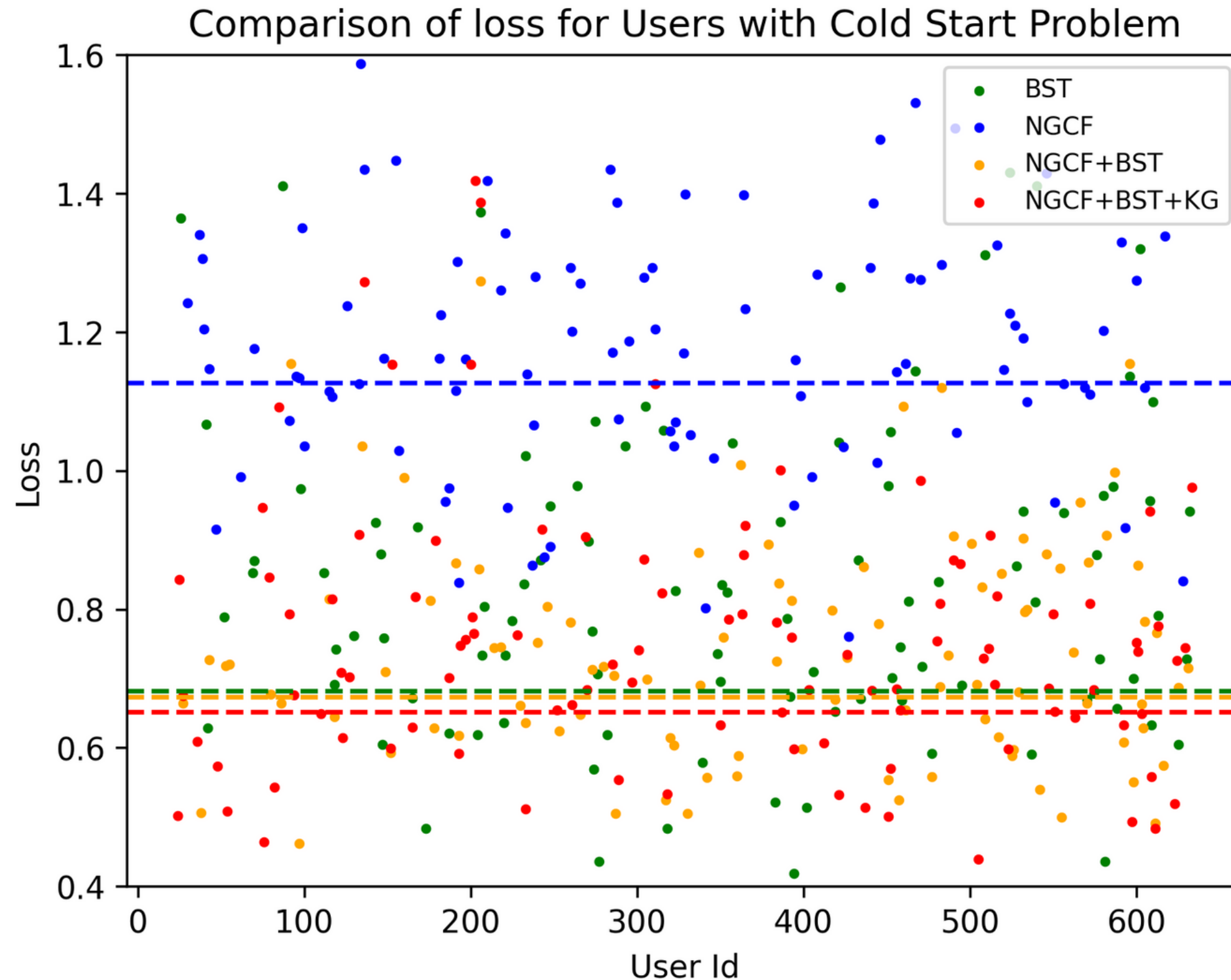
- The **Mean Absolute Error (MAE) Loss** for the rating prediction task was computed across various models.
- Performance of different models were compared and analysed, to understand their strengths and weaknesses.
- Explored the ability of the model to handle the **user cold start problem**.
- Analyzed the tradeoff between number of training samples and loss.

Model	Model Description	Loss
GRU	No pre-trained embedding. Sequence size 8.	0.792
BST - 2	No embeddings from GCN. Sequence Size 2	0.786
BST - 4	No embeddings from GCN. Sequence Size 4	0.767
BST - 8	No embeddings from GCN. Sequence Size 8	0.760
BST - 15	No embeddings from GCN. Sequence Size 15	0.754
NGCF - BP	GCN on User-Movie bipartite graph	1.148
NGCF - KG	RGCN on User-Movie KG	1.095
BST - 8 + NGCF - BP	Embeddings from the NGCF on Bipartite Graph	0.746
BST - 8+ NGCF - KG	Embeddings from the NGCF on User-Movie KG	0.741

## Results

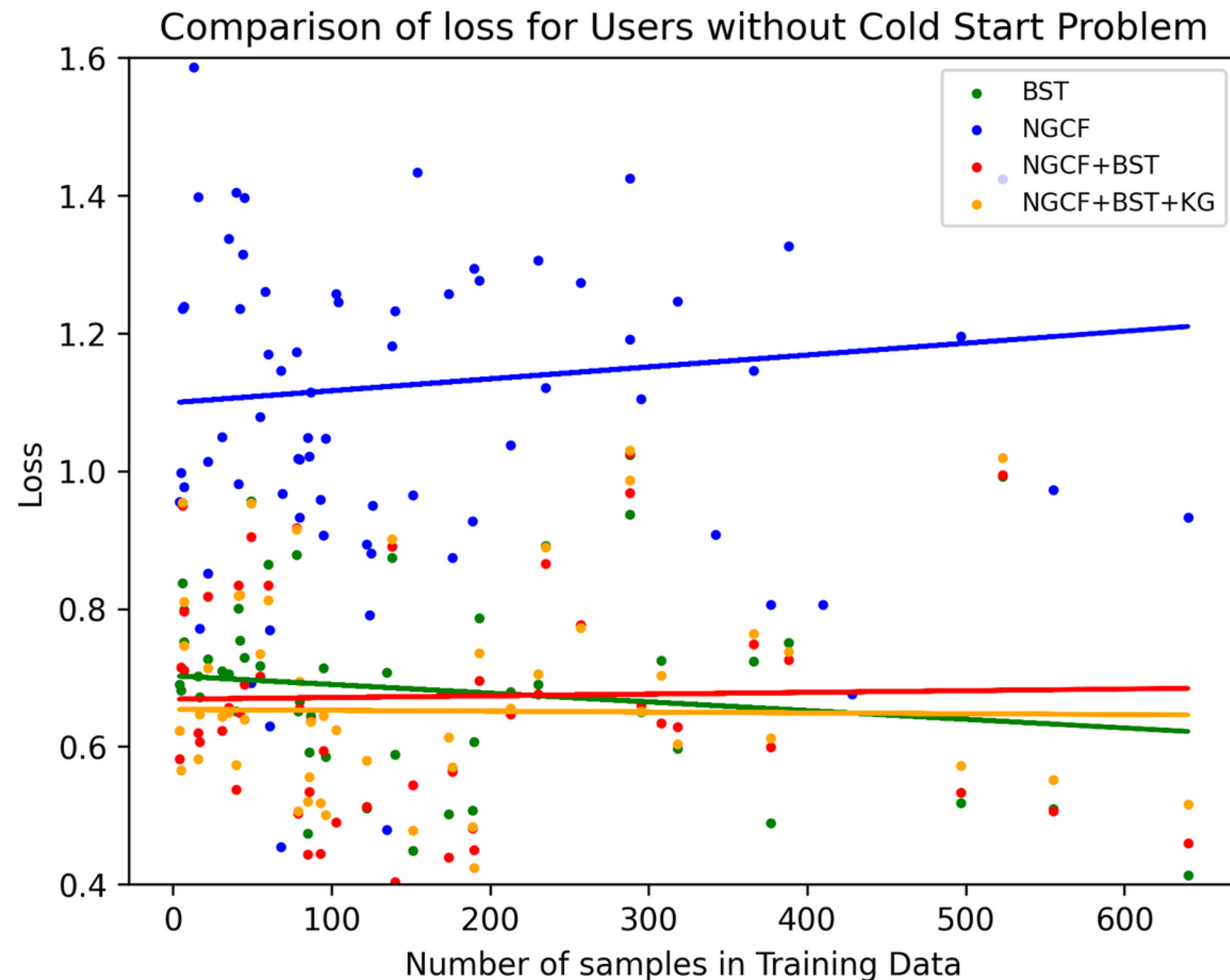
- Sequence based models (GRU and BST) perform better than non-sequence based models (NGCF).
- Longer Sequences lead to better performance.
- Augmenting BST models with graph embeddings improves the performance of the model.
- Graph embeddings from RGCN + KG performs better than GCN + BP models, as initial embeddings are more meaningful (GloVE).

# Cold Start Problem



- NGCF performs the worst, as no information about the user is available.
- The sequence based models are able to overcome this problem by analysing the sequence of previous movies watched by the user (given as input), and recommending relevant movies.
- Adding graph embeddings to BST reduces the loss, as movie-movie relations are learnt through 2-layer message passing.
- However, KG achieves the least loss as good movie embeddings (through attribute edges) are generated by the KG.

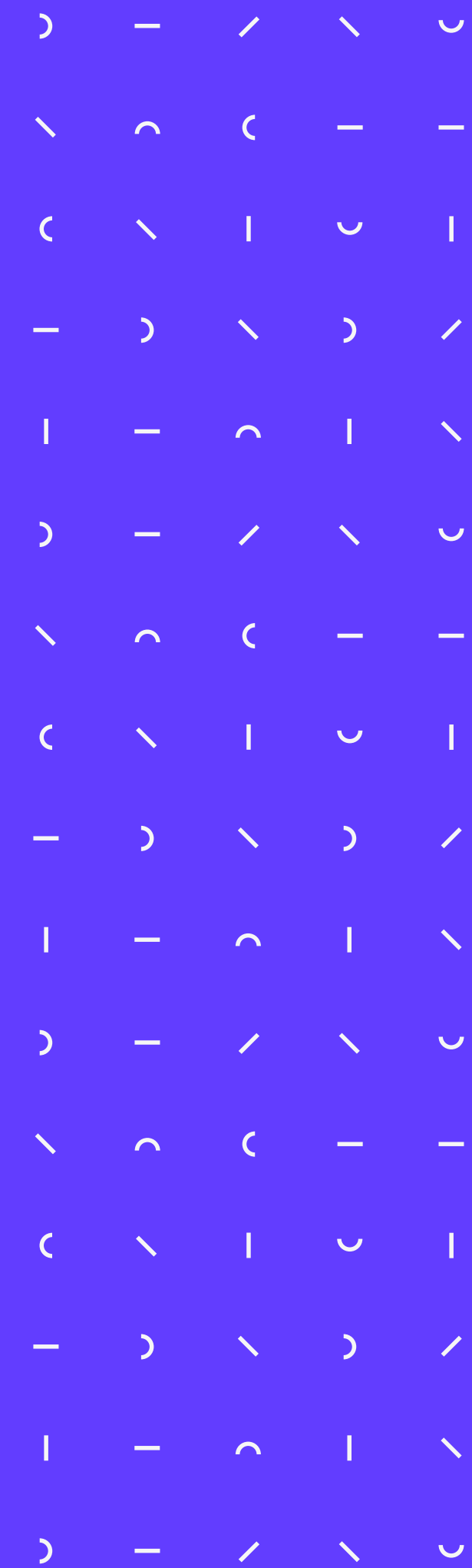
# Number of Samples vs Loss



- The loss for NGCF increases, with more samples per user. If the user's preferences are varied and dynamic, NGCF does not perform well.
- Comparatively, the loss in BST reduces with more samples as its able to capture the dynamic preferences of the user.
- However, the performance of BST models augmented with graph embeddings almost remain unchanged.
  - We believe that adding an attention based weights to balance between graph and meta data embeddings, can improve the performance of these models

# Future Works

How to improve the TransRecG Model?





## Future Work

- The BST model uses a sequence length = 8 (due to limited compute). The effect of sequence length on the model performance should be further analysed.
- We implement the Knowledge Graph (KG) as a heterogeneous graph only in terms of the edges. Creating the KG as a fully heterogeneous graph with both different types of nodes and edges, is a relevant future work as user-movie-attribute graphs are composed of different types of entities in nature.
  - Better user embeddings can be generated by using user meta data instead of random initialization.

Thank You!

