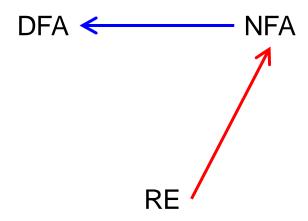
CMSC 330: Organization of Programming Languages

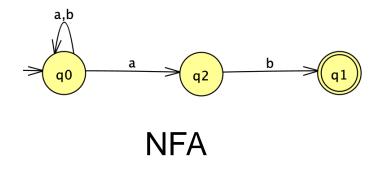
Reducing NFA to DFA and DFAs Minimization

Reducing NFA to DFA



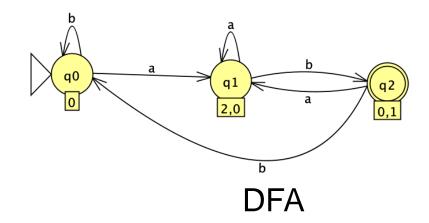
Why NFA → DFA

DFA is generally more efficient than NFA



Language: (a|b)*ab

How to accept bab?



Why NFA → DFA

- DFA has the same expressive power as NFAs.
 - Let language L ⊆ Σ*, and suppose L is accepted by NFA N = (Σ, Q, q₀, F, δ). There exists a DFA D= (Σ, Q', q'₀, F', δ') that also accepts L. (L(N) = L(D))
- NFAs are more flexible and easier to build. But it is not more powerful than DFAs

NFA ↔ DFA

How to Convert NFA to DFA

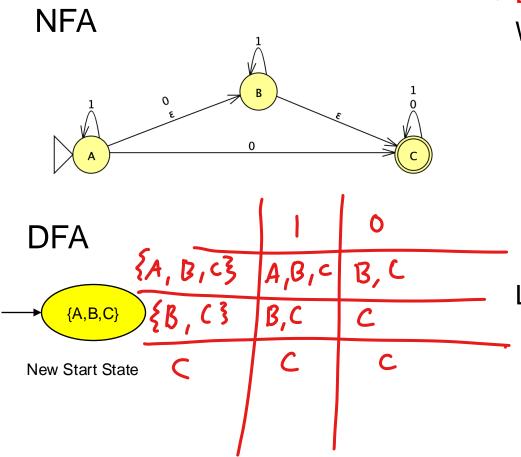
Subset Construction Algorithm

Input NFA (Σ , Q, q₀, F_n, δ)

Output DFA (Σ , R, r₀, F_d, δ ')

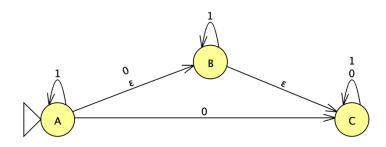
Subset Construction Algorithm

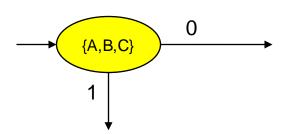
```
Input NFA (\Sigma, Q, q_0, F_n, \delta)
                                                Output DFA (\Sigma, R, r<sub>0</sub>, F<sub>d</sub>, \delta')
                     Let r_0 = \varepsilon-closure(\delta, q_0), add it to R
                      While \exists an unmarked state r \in R
                            Mark r
                            For each \sigma \in \Sigma
                            Let E = move(\delta, r, \sigma)
                                  Let e = \varepsilon-closure(\delta, E)
                                  If e ∉ R
                                        Let R = R \cup \{e\}
                                  Let \delta' = \delta' \cup \{r, \sigma, e\}
                     Let F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}
```



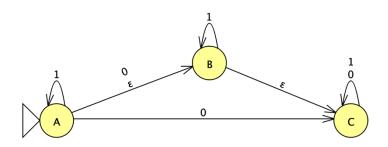
While \exists an unmarked state $r \in R$

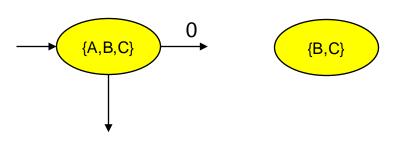
$$\label{eq:mark-r} \begin{split} &\text{Mark } r \\ &\text{For each } \sigma \in \Sigma \\ &\text{Let E = move}(\delta,r,\sigma) \\ &\text{Let e = ϵ-closure}(\delta,E) \\ &\text{If e } \not\in R \\ &\text{Let R = R } \cup \{e\} \\ &\text{Let } \delta' = \delta' \cup \{r,\sigma,e\} \\ \\ &\text{Let F}_d = \{r \mid \exists \ s \in r \ \text{with } s \in F_n\} \end{split}$$





For each
$$\sigma \in \Sigma$$
 //0
Let E = move(δ, r, σ)
Let e = ϵ -closure(δ, E)
If e $\notin R$
Let R = R \cup {e}
Let $\delta' = \delta' \cup \{r, \sigma, e\}$
Let $F_d = \{r \mid \exists \ s \in r \ with \ s \in F_n\}$





	0	1
{A,B,C}	{B,C}	

While \exists an unmarked state $r \in R$

Mark r

For each $\sigma \in \Sigma$

Let $E = move(\delta, r, \sigma)$

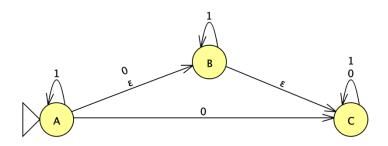
Let
$$e = ε$$
-closure(δ,E)

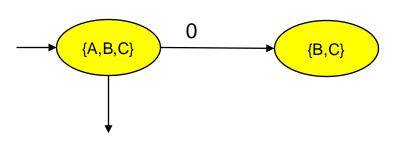
If e ∉ R

Let $R = R \cup \{e\}$

Let $\delta' = \delta' \cup \{r, \sigma, e\}$

Let $F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$





	0	1
{A,B,C}	{B,C}	
{B,C}		

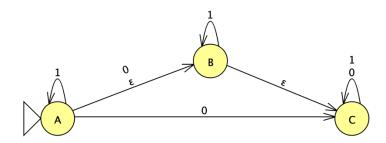
While \exists an unmarked state $r \in R$

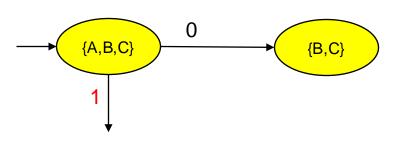
Mark r

For each $\sigma \in \Sigma$ Let $E = move(\delta, r, \sigma)$ Let $e = \varepsilon$ -closure(δ, E)

If $e \notin R$ Let $R = R \cup \{e\}$ Let $\delta' = \delta' \cup \{r, \sigma, e\}$

Let $F_d = \{r \mid \exists \ s \in r \text{ with } s \in F_n\}$





	0	1
{A,B,C}	{B,C}	
{B,C}		

For each
$$\sigma \in \Sigma$$
 //1

Let E = move(δ, r, σ)

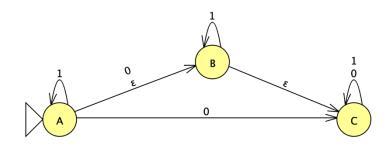
Let e = ϵ -closure(δ, E)

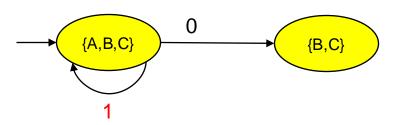
If e $\notin R$

Let R = R \cup {e}

Let $\delta' = \delta' \cup \{r, \sigma, e\}$

Let $F_d = \{r \mid \exists \ s \in r \ with \ s \in F_n\}$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}		

For each
$$\sigma \in \Sigma$$
 //1

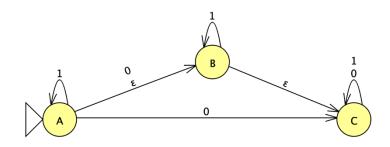
Let
$$E = move(\delta, r, \sigma)$$

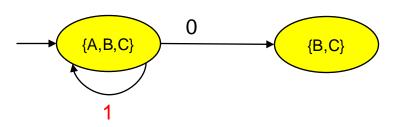
Let
$$e = ε$$
-closure(δ,E)

Let
$$R = R \cup \{e\}$$

Let
$$\delta' = \delta' \cup \{r, \sigma, e\}$$

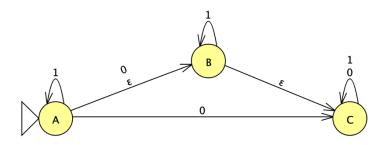
Let
$$F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$$

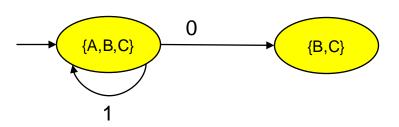




	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}		

```
Let r_0 = \varepsilon-closure(\delta, q_0), add it to R
While \exists an unmarked state r \in R
      Mark r
      For each \sigma \in \Sigma
      Let E = move(\delta, r, \sigma)
            Let e = \varepsilon-closure(\delta,E)
            If e ∉ R
               Let R = R \cup \{e\}
      \longrightarrow Let \delta' = \delta' \cup \{r, \sigma, e\}
Let F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}
```





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}		

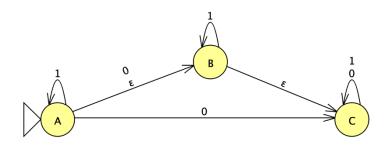
While \exists an unmarked state $r \in R$ Mark rFor each $\sigma \in \Sigma$ //1

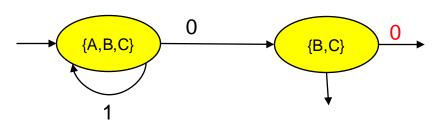
Let $E = move(\delta, r, \sigma)$ Let $e = \varepsilon$ -closure(δ, E)

If $e \notin R$

Let $\delta' = \delta' \cup \{r, \sigma, e\}$ Let $F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$

Let $R = R \cup \{e\}$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}		

For each
$$\sigma \in \Sigma$$
 //0

Let $E = move(\delta, r, \sigma)$

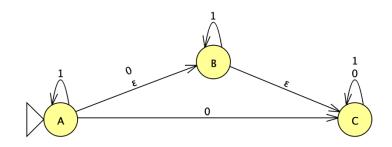
Let $e = \varepsilon$ -closure(δ, E)

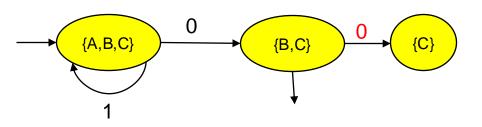
If $e \notin R$

Let $R = R \cup \{e\}$

Let $\delta' = \delta' \cup \{r, \sigma, e\}$

Let $F_d = \{r \mid \exists \ s \in r \ with \ s \in F_n\}$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	

While \exists an unmarked state $r \in R$

Mark r

For each $\sigma \in \Sigma$ //0

Let $E = move(\delta, r, \sigma)$

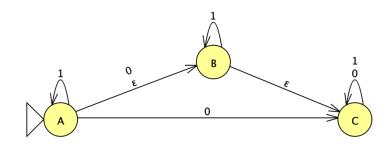
Let e = ε-closure(
$$\delta$$
,E)

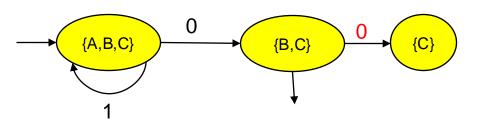
If e ∉ R

Let $R = R \cup \{e\}$

Let $\delta' = \delta' \cup \{r, \sigma, e\}$

Let $F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	
{C}		

While \exists an unmarked state $r \in R$

Mark r

For each $\sigma \in \Sigma$ //0

Let $E = move(\delta, r, \sigma)$

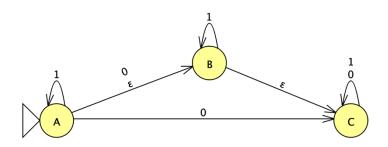
Let $e = \varepsilon$ -closure(δ ,E)

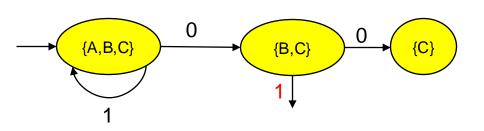
If e ∉ R

Let $R = R \cup \{e\}$

 $\longrightarrow \text{Let } \delta' = \delta' \cup \{\mathsf{r}, \, \mathsf{\sigma}, \, \mathsf{e}\}$

Let $F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	?
{C}		

For each
$$\sigma \in \Sigma$$
 //1

Let $E = move(\delta, r, \sigma)$

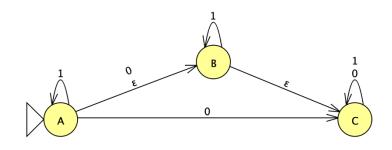
Let $e = \varepsilon$ -closure(δ, E)

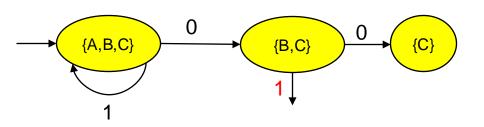
If $e \notin R$

Let $R = R \cup \{e\}$

Let $\delta' = \delta' \cup \{r, \sigma, e\}$

Let $F_d = \{r \mid \exists \ s \in r \ with \ s \in F_n\}$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}		

For each
$$\sigma \in \Sigma$$
 //1

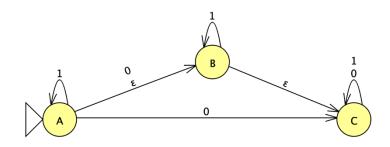
Let
$$E = move(\delta, r, \sigma)$$

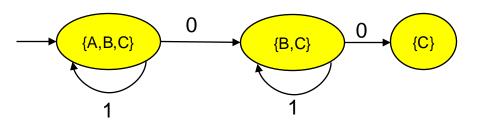
Let e = ε-closure(
$$\delta$$
,E)

Let
$$R = R \cup \{e\}$$

Let
$$\delta' = \delta' \cup \{r, \sigma, e\}$$

Let
$$F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$$





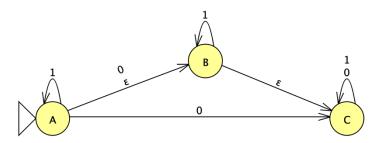
	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}		

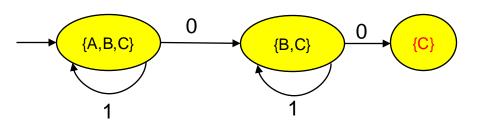
While \exists an unmarked state $r \in R$

Mark r For each $\sigma \in \Sigma$ //1 Let E = move(δ ,r, σ) Let e = ϵ -closure(δ ,E) If e \notin R Let R = R \cup {e}

—— Let
$$\delta' = \delta' \cup \{r, \sigma, e\}$$

Let $F_d = \{r \mid \exists \ s \in r \text{ with } s \in F_n\}$

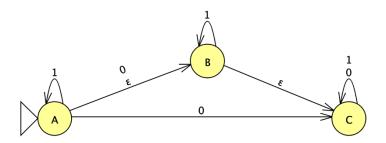


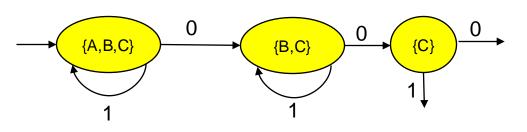


	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}		

While \exists an unmarked state $r \in R$

$$\label{eq:mark-r} \begin{split} &\text{Mark } r \\ &\text{For each } \sigma \in \Sigma \qquad /\!/1 \\ &\text{Let } E = \text{move}(\delta,r,\sigma) \\ &\text{Let } e = \epsilon\text{-closure}(\delta,E) \\ &\text{If } e \not\in R \\ &\text{Let } R = R \cup \{e\} \\ &\text{Let } \delta' = \delta' \cup \{r,\sigma,e\} \\ \\ &\text{Let } F_d = \{r \mid \exists \ s \in r \ \text{with } s \in F_n\} \end{split}$$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}		

$$\longrightarrow$$
 For each $\sigma \in \Sigma$

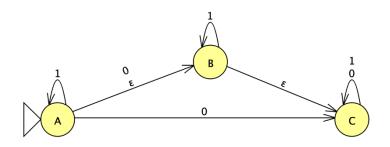
Let
$$E = move(\delta, r, \sigma)$$

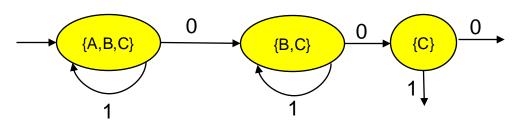
Let
$$e = \varepsilon$$
-closure(δ ,E)

Let
$$R = R \cup \{e\}$$

Let
$$\delta' = \delta' \cup \{r, \sigma, e\}$$

Let
$$F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}	{C}	

While \exists an unmarked state $r \in R$

Mark r

For each $\sigma \in \Sigma$ //0

Let $E = move(\delta, r, \sigma)$

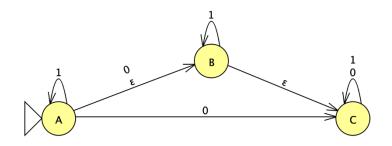
Let
$$e = ε$$
-closure($δ$,E)

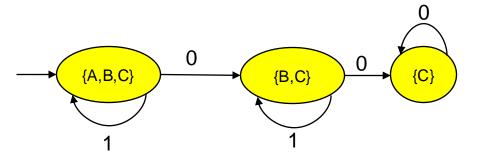
If e ∉ R

Let $R = R \cup \{e\}$

Let $\delta' = \delta' \cup \{r, \sigma, e\}$

Let $F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}	{C}	

Mark r For each
$$\sigma \in \Sigma$$
 //0

Let
$$E = move(\delta, r, \sigma)$$

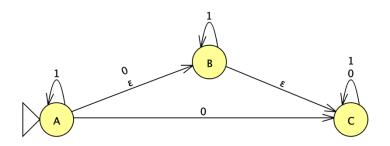
Let
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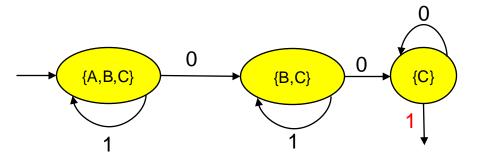
If
$$e \notin R$$

Let
$$R = R \cup \{e\}$$

Let
$$\delta' = \delta' \cup \{r, \sigma, e\}$$

Let
$$F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}	{C}	

For each
$$\sigma \in \Sigma$$
 //1

Let $E = move(\delta, r, \sigma)$

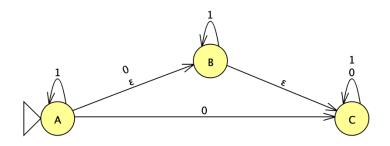
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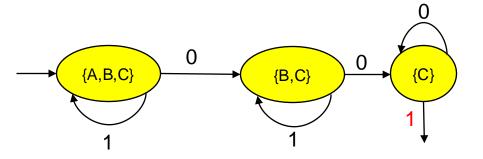
If $e \notin R$

Let $R = R \cup \{e\}$

Let $\delta' = \delta' \cup \{r, \sigma, e\}$

Let $F_d = \{r \mid \exists \ s \in r \ with \ s \in F_n\}$





	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}	{C}	{C}

While \exists an unmarked state $r \in R$

Mark r

For each $\sigma \in \Sigma$ //

Let $E = move(\delta, r, \sigma)$

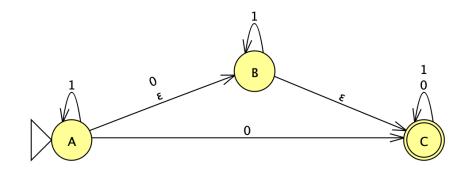
Let
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-closure(δ,E)

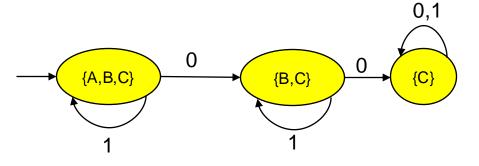
If e ∉ R

Let
$$R = R \cup \{e\}$$

Let
$$\delta' = \delta' \cup \{r, \sigma, e\}$$

Let $F_d = \{r \mid \exists \ s \in r \text{ with } s \in F_n\}$



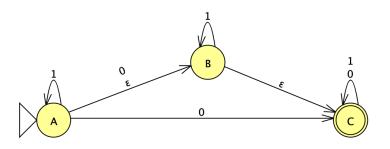


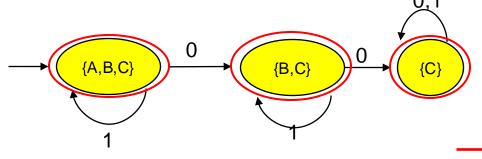
	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}	{C}	{C}

Mark r
For each
$$\sigma \in \Sigma$$
 //1
Let E = move(δ ,r, σ)
Let e = ϵ -closure(δ ,E)
If e \notin R
Let R = R \cup {e}

Let δ ' = δ ' \cup {r, σ , e}

Let
$$F_d = \{r \mid \exists \ s \in r \text{ with } s \in F_n\}$$





0 1 {A,B,C} {B,C} {A,B,C} {B,C} {C} {C}

Let $r_0 = \varepsilon$ -closure(δ, q_0), add it to R

While \exists an unmarked state $r \in R$

Mark r

For each $\sigma \in \Sigma$ //1

Let $E = move(\delta, r, \sigma)$

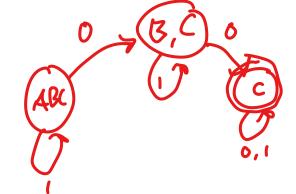
Let $e = \varepsilon$ -closure(δ ,E)

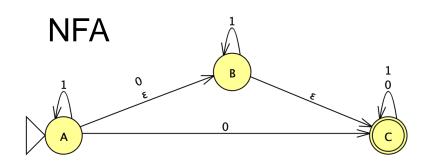
If e ∉ R

Let $R = R \cup \{e\}$

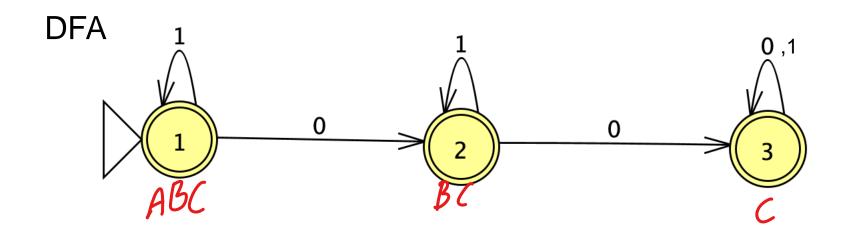
Let $\delta' = \delta' \cup \{r, \sigma, e\}$

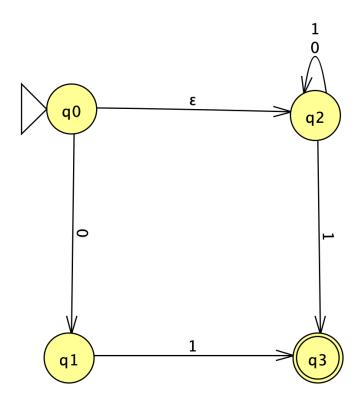
Let $F_d = \{r \mid \exists \ s \in r \text{ with } s \in F_n\}$

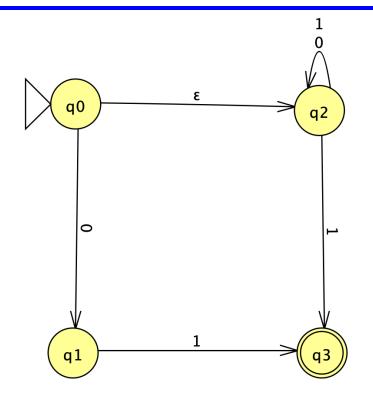


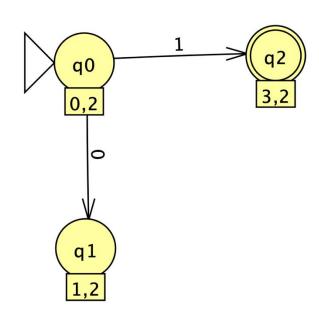


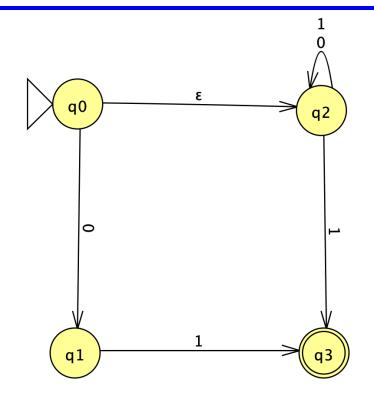
	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}	{C}	{C}

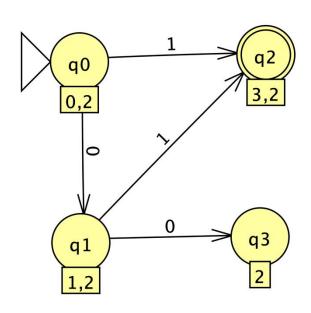


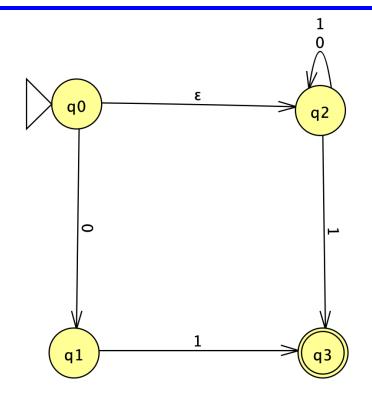


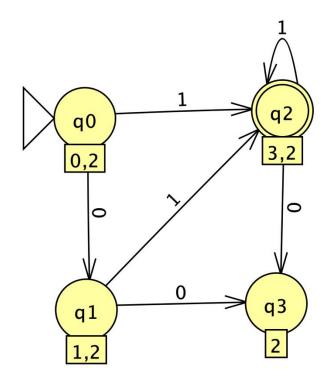


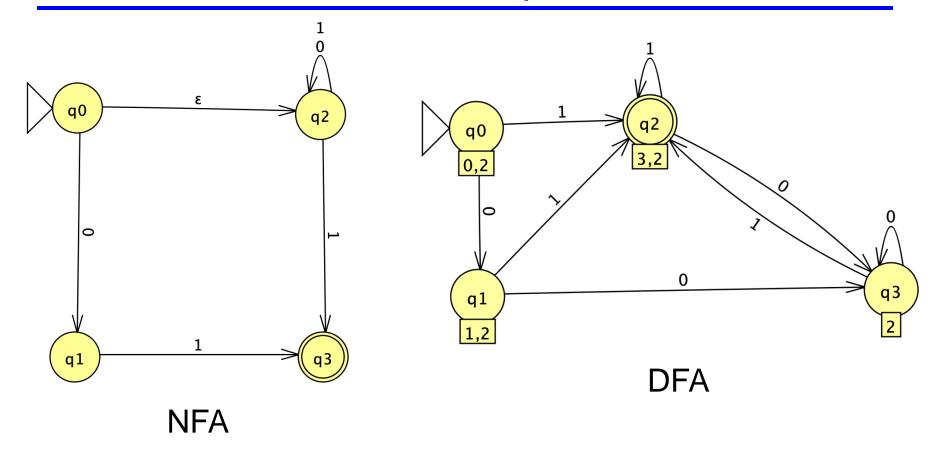




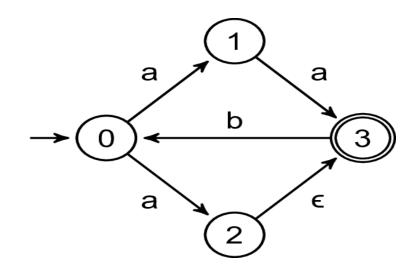




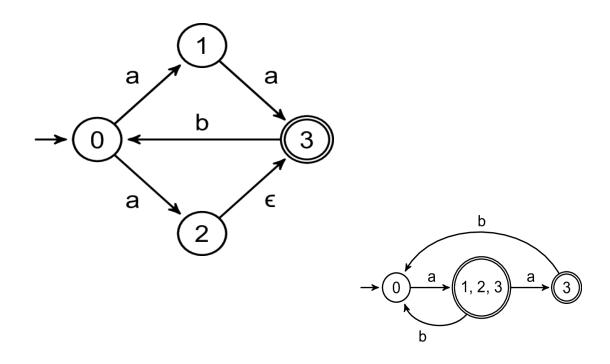




NFA → DFA Practice

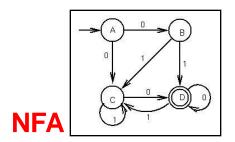


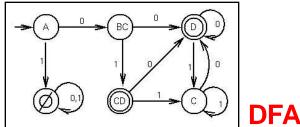
NFA → DFA Practice



Analyzing the Reduction

- Can reduce any NFA to a DFA using subset alg.
- How many states in the DFA?
 - Each DFA state is a subset of the set of NFA states.
 - Given NFA with n states, DFA may have 2ⁿ states
 - Since a set with n items may have 2ⁿ subsets
 - Corollary
 - Reducing a NFA with n states may be O(2ⁿ)



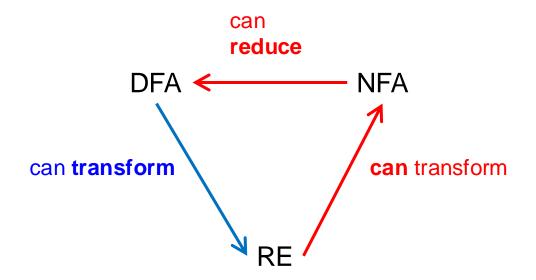


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Recap: Matching a Regexp R

- Given R, construct NFA. Takes time O(R)
- ▶ Convert NFA to DFA. Takes time $O(2^{|R|})$
 - But usually not the worst case in practice
- Use DFA to accept/reject string s
 - Assume we can compute $\delta(q,\sigma)$ in constant time
 - Then time to process s is O(|s|)
 - Can't get much faster!
- Constructing the DFA is a one-time cost
 - But then processing strings is fast

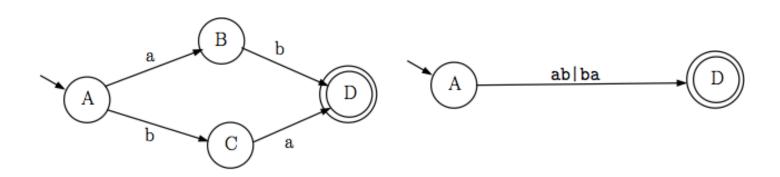
Closing the Loop: Reducing DFA to RE



Reducing DFAs to REs

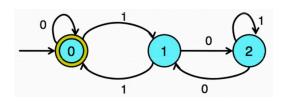
General idea

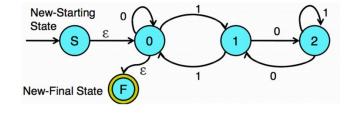
- Remove states one by one, labeling transitions with regular expressions
- When two states are left (start and final), the transition label is the regular expression for the DFA

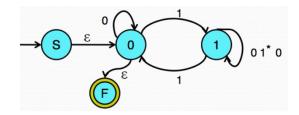


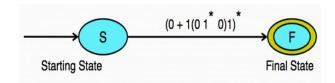
DFA to RE example

Language over $\Sigma = \{0,1\}$ such that every string is a multiple of 3 in binary



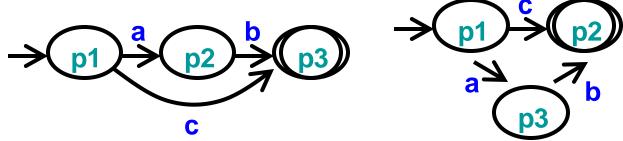






Minimizing DFAs

- Every regular language is recognizable by a unique minimum-state DFA
 - Ignoring the particular names of states
- In other words
 - For every DFA, there is a unique DFA with minimum number of states that accepts the same language



Minimizing DFA: Hopcroft Reduction

Intuition

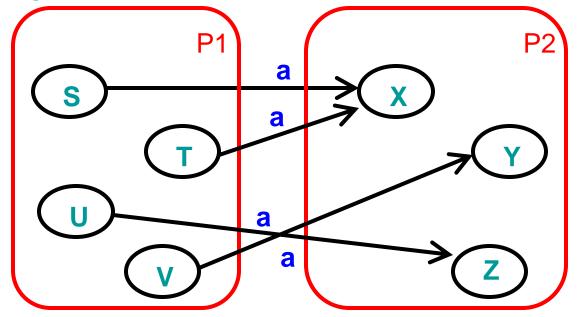
- Look to distinguish states from each other
 - > End up in different accept / non-accept state with identical input

Algorithm

- Construct initial partition
 - Accepting & non-accepting states
- Iteratively split partitions (until partitions remain fixed)
 - Split a partition if members in partition have transitions to different partitions for same input
 - Two states x, y belong in same partition if and only if for all symbols in Σ they transition to the same partition
- Update transitions & remove dead states

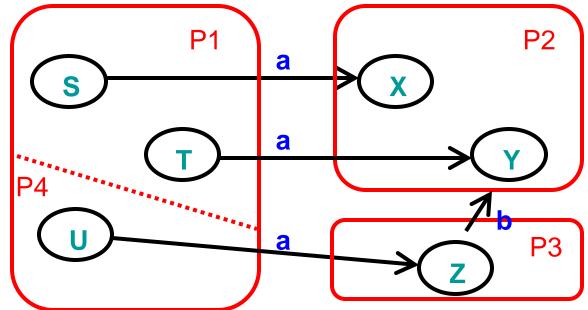
Splitting Partitions

- No need to split partition {S,T,U,V}
 - All transitions on a lead to identical partition P2
 - Even though transitions on a lead to different states



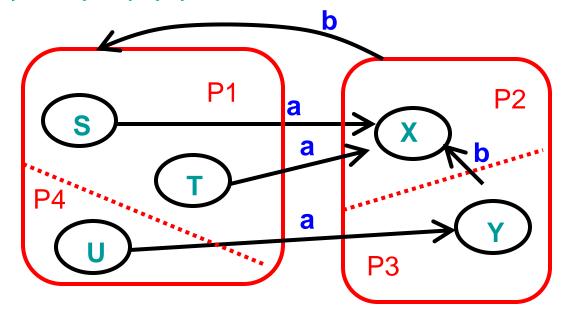
Splitting Partitions (cont.)

- Need to split partition {S,T,U} into {S,T}, {U}
 - Transitions on a from S,T lead to partition P2
 - Transition on a from U lead to partition P3



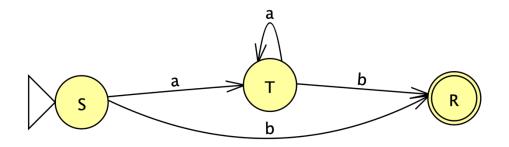
Resplitting Partitions

- Need to reexamine partitions after splits
 - Initially no need to split partition {S,T,U}
 - After splitting partition {X,Y} into {X}, {Y} we need to split partition {S,T,U} into {S,T}, {U}



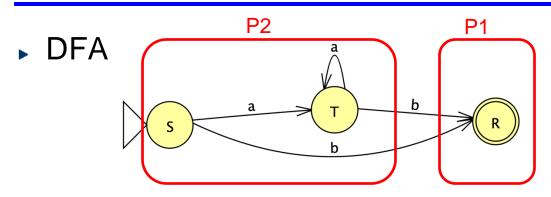
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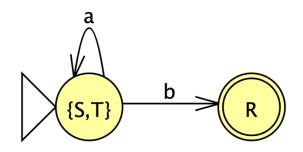
DFA



Initial partitions

Split partition





- Initial partitions
 - Accept

 $\{R\} = P1$

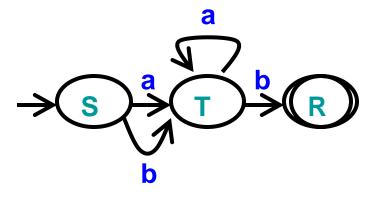
Reject

- $\{S,T\} = P2$
- Split partition?

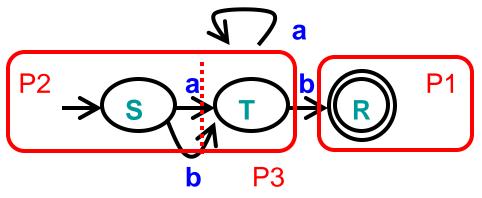
- → Not required, minimization done
- move(S,a) = T ∈ P2

 $- \text{move}(S,b) = R \in P1$

- $move(T,a) = T \in P2$ $move(T,b) = R \in P1$



DFA



- Initial partitions
 - Accept { R }= P1
 - Reject $\{S,T\} = P2$
- ▶ Split partition? → Yes, different partitions for B
 - $move(S,a) = T \in P2$ $move(S,b) = T \in P2$
 - $move(T,a) = T \in P2$ $-move(T,b) = R \in P1$

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DFA

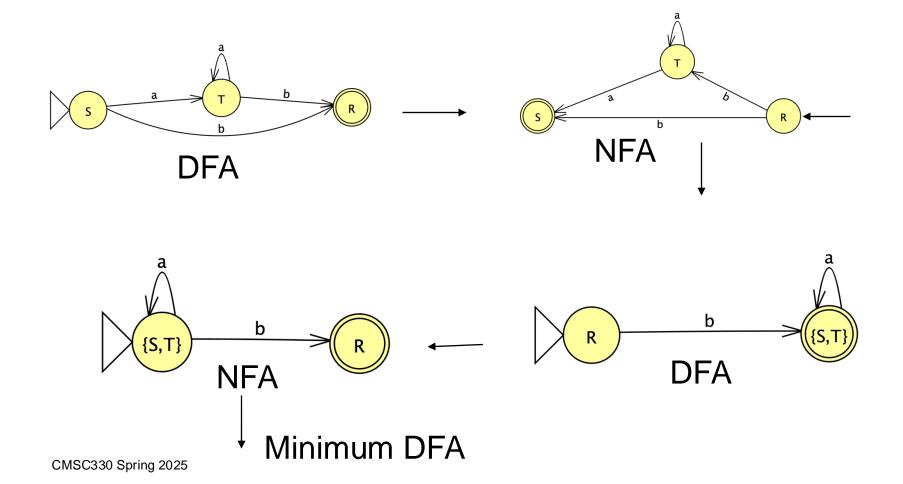
already

minimal

Brzozowski's algorithm

- Given a DFA, reverse all the edges, make the initial state an accept state, and the accept states initial, to get an NFA
- 2. NFA-> DFA
- 3. For the new DFA, reverse the edges (and initial-accept swap) get an NFA
- 4. NFA -> DFA

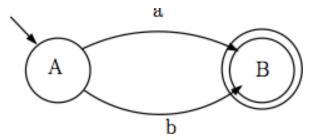
Brzozowski's algorithm



Complement of DFA

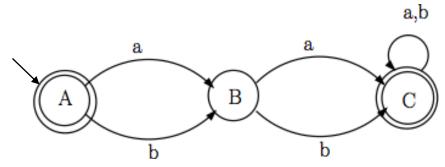
- Given a DFA accepting language L
 - How can we create a DFA accepting its complement?
 - Example DFA

$$\succ \Sigma = \{a,b\}$$



Complement of DFA

- Algorithm
 - Add explicit transitions to a dead state
 - Change every accepting state to a non-accepting state & every non-accepting state to an accepting state
- Note this only works with DFAs
 - Why not with NFAs?



Summary of Regular Expression Theory

- Finite automata
 - DFA, NFA
- Equivalence of RE, NFA, DFA
 - RE → NFA
 - > Concatenation, union, closure
 - NFA → DFA
 - > ε-closure & subset algorithm
- DFA
 - Minimization, complementation