

## 4-4 <sup>凹性</sup> Concavity and Curve Sketching

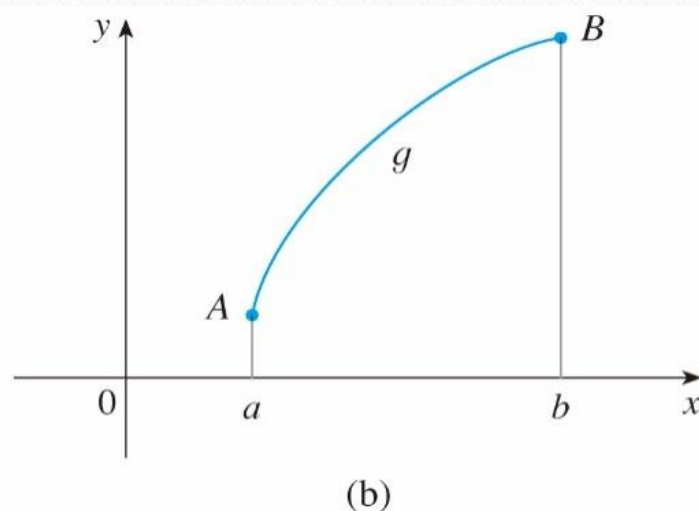
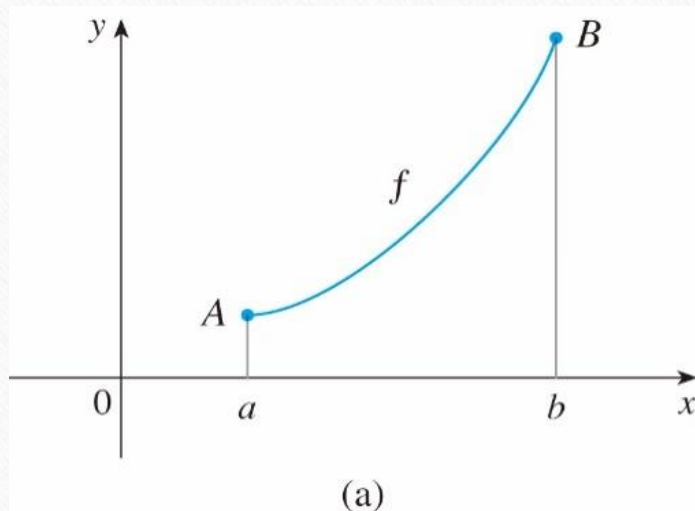
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師大工教一

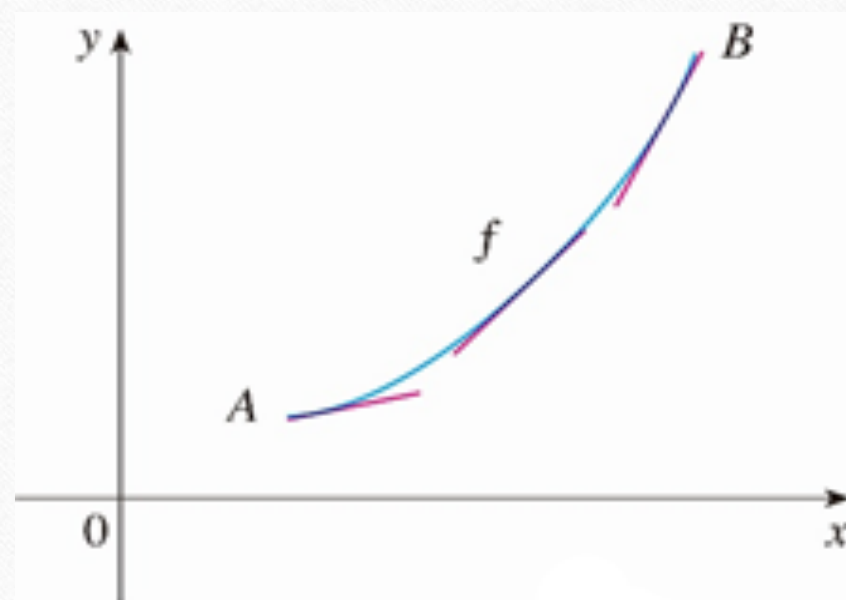
## Concavity

Definition The graph of a differentiable function  $y = f(x)$  is

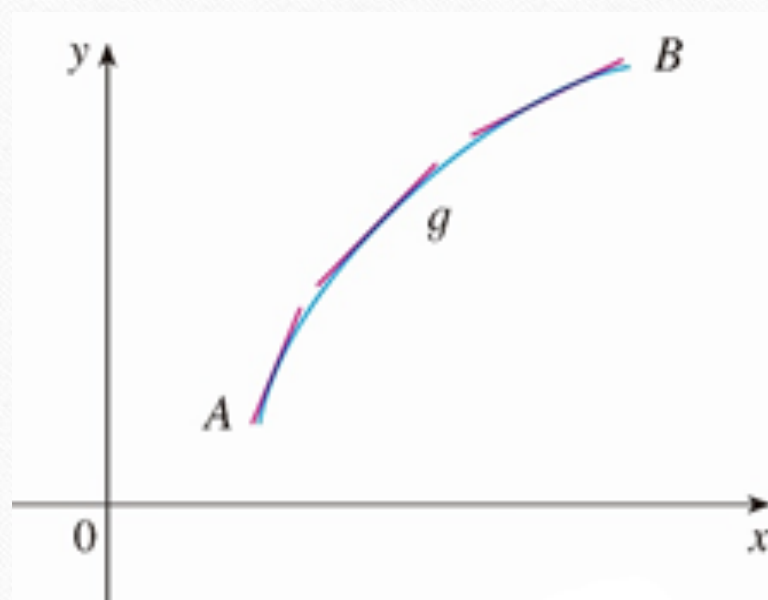
- (a) **concave up** on an interval  $I$  if  $f'$  is increasing on  $I$ .  
(b) **concave down** on an interval  $I$  if  $f'$  is decreasing on  $I$ .







(a) Concave up



(b) Concave down

## The Second Derivative Test for Concavity

Let  $y = f(x)$  be twice differentiable on an interval  $I$ .

1. If  $f'' > 0$  on  $I$ , the graph of  $f$  over  $I$  is concave up.
2. If  $f'' < 0$  on  $I$ , the graph of  $f$  over  $I$  is concave down.

Ex1(p252) Discuss the concavity of the functions (a)  $y = x^3$  (b)  $y = x^2$

(a)  $y' = 3x^2$

$y'' = 6x \stackrel{?}{=} 0$

$x = 0$

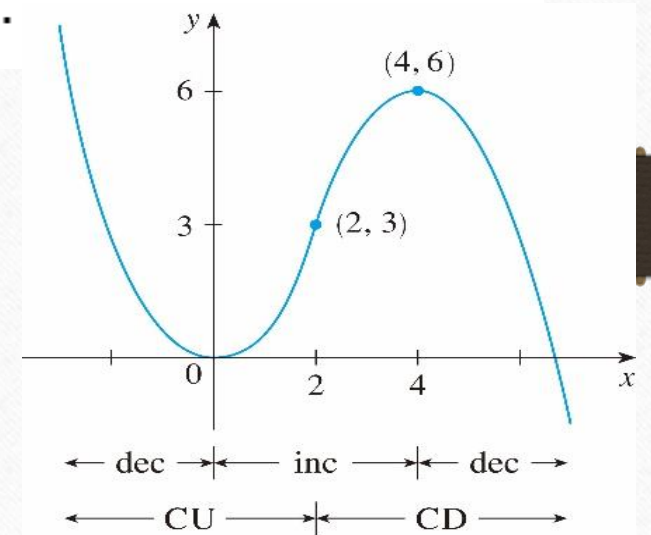
$x$  |  $0$   
|  $-$   $0$   $+$   
|  $f''(x)$   
concave up:  $(0, \infty)$   
concave down:  $(-\infty, 0)$

(b)  $y' = 2x$   
 $y'' = 2$

concave up:  $(-\infty, \infty)$   
concave down: None

## Point of Inflection 反曲點 Inflection point

Definition A point  $(c, f(c))$  where the graph of a function has a tangent line and where the concavity changes is a **point of inflection**.



At a point of inflection  $(c, f(c))$ , either  $f''(c) = 0$  or  $f''(c)$  fails to exist.



Ex3 Determine the concavity and find the inflection points of the function

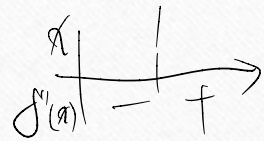
$$f(x) = x^3 - 3x^2 + 2.$$

$$f'(x) = 3x^2 - 6x$$

$(1, 0)$  is an inflection point.

$$f''(x) = 6x - 6 \stackrel{\text{let}}{=} 0$$

$$x = 1$$



concave up:  $(1, \infty)$

concave down:  $(-\infty, 1)$

### Theorem 5—**Second Derivative Test for Local Extrema**

Suppose  $f''$  is continuous on an open interval that contains  $x = c$ .

1. If  $f'(c) = 0$  and  $f''(c) < 0$ , then  $f$  has a local maximum at  $x = c$ .
2. If  $f'(c) = 0$  and  $f''(c) > 0$ , then  $f$  has a local minimum at  $x = c$ .
3. If  $f'(c) = 0$  and  $f''(c) = 0$ , then the test fails. The function  $f$  may have a local maximum, a local minimum, or neither.

# HW4-4

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- HW: 11,17,35,50,52,58,61



(110) 8. Given a function  $f(x) = x^4 - 2x^2$  on the interval  $[-2, 3]$ .

- (a) Find open intervals where  $f$  is increasing or decreasing. (6 pts)
- (b) Find the relative extrema of  $f$ . (10 pts)
- (c) Determine open intervals where  $f$  is concave upward or downward. (6 pts)

$$(a) f'(x) = 4x^3 - 4x = 4x(x^2 - 1) = 4x(x+1)(x-1) \stackrel{\text{let}}{=} 0$$

$$x = 0, -1, 1 \text{ (critical point)}$$

$x$	-1	0	1
$f'(x)$	-	+	-

 $\nearrow: (1, \infty), (-1, 0)$   
 $\searrow: (-\infty, -1), (0, 1)$

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(b)  $f(-1) = f(1) = -1$  is a local minimum value.  
 $f(0) = 0$  is a local maximum value.

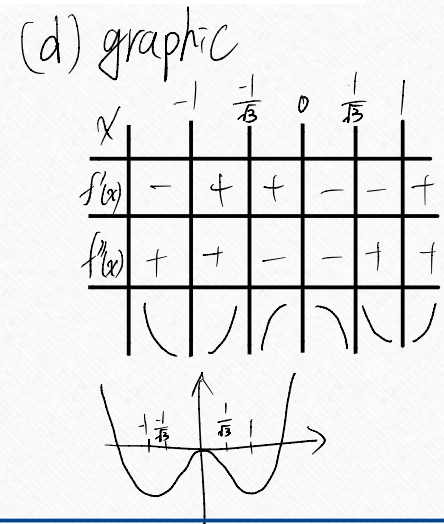
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(c)  $f''(x) = 12x^2 - 4 \stackrel{\text{let}}{=} 0$   
 $4(3x^2 - 1) = 0$   
 $4(\sqrt{3}x + 1)(\sqrt{3}x - 1) = 0$

$$x = \frac{1}{\sqrt{3}}, -\frac{1}{\sqrt{3}}$$

$x$	$-\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{3}}$
$f''(x)$	+	-

concave up:  $(-\infty, -\frac{1}{\sqrt{3}}), (\frac{1}{\sqrt{3}}, \infty)$   
 concave down:  $(-\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}})$



Ex(108 年考古題#5) Consider the function  $f(x) = x^{\frac{2}{3}}(x^2 - 1) = x^{\frac{8}{3}} - x^{\frac{2}{3}}$

(a) Find the open intervals on which  $f$  is increasing(遞增) or decreasing(遞減).

(b) Find the open intervals on which the graph of  $f$  is concave upward(凹口向上) or concave downward(凹口向下).

(c) Locate the point of inflection(反曲點) if it exists.

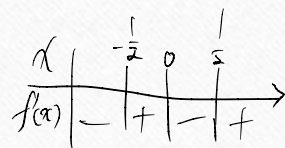
$$(a) f'(x) = \frac{8}{3}x^{\frac{5}{3}} - \frac{2}{3}x^{-\frac{1}{3}} \stackrel{!}{=} 0$$

$$\frac{8}{3}x^{\frac{5}{3}} - \frac{2}{3}x^{-\frac{1}{3}} = 0$$

$$\frac{8\sqrt[3]{x^5}}{3} - \frac{2}{3\sqrt[3]{x}} = 0$$

$$\frac{8\sqrt[3]{x^5} - 2}{3\sqrt[3]{x}} = \frac{8x^{\frac{5}{3}} - 2}{3\sqrt[3]{x}} = \frac{2(4x^{\frac{5}{3}} - 1)}{3\sqrt[3]{x}} = \frac{2(2x+1)(2x-1)}{3\sqrt[3]{x}} = 0$$

$$x = -\frac{1}{2}, \frac{1}{2}, 0$$



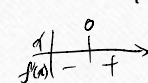
$$\nearrow: (-\frac{1}{2}, \infty), (-\frac{1}{2}, 0)$$

$$\searrow: (-\infty, -\frac{1}{2}), (0, \frac{1}{2})$$

$$(b) f''(x) = \frac{40}{9}x^{\frac{2}{3}} + \frac{2}{9}x^{-\frac{4}{3}}$$

$$= \frac{40\sqrt[3]{x^2}}{9} + \frac{2}{9} \cdot \frac{1}{\sqrt[3]{x^4}}$$

$$= \frac{40\sqrt[3]{x^2} \cdot \sqrt[3]{x^4} + 2}{9\sqrt[3]{x^4}} = \frac{40x^2 + 2}{9\sqrt[3]{x^4}}$$



concave up:  $(-\infty, 0), (0, \infty)$   
concave down: None

(c) No inflection pt.

