

## Advanced Algorithms (CS 5512)

### Project #4

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- I. **Explain the time and space complexity of your algorithm by showing and summing up the complexity of each subsection of your code.**
- A. **Your analysis should show that your unrestricted algorithm is at most  $O(nm)$  time and space.**

In the unrestricted algorithm, the largest time complexity occurs at the third nested for loop. The two loop iterates for altogether for  $n*m$  times where  $n$  is the length of first subsequence and  $m$  is the length of second subsequence. Hence the time complexity is  $O(nm)$

However, the space complexity for this algorithm is not exactly  $O(nm)$ . Two arrays: one storing the cost and another storing the backpointers are created. This makes the space complexity  $2O(nm)$ . The equivalent space complexity still becomes  $O(nm)$ .

- B. **Your analysis should show that your banded algorithm is at most  $O(kn)$  time and space.**

In the banded algorithm, the nested loop runs the whole length of sequence 1. However, the second loop only runs at most 7 times when  $i = 3$ . Hence, the time complexity of the banded algorithm is  $O(kn)$ .

The costmatrix and backpointer matrix have dimensions  $7 \times n$ . Hence, the equivalent space complexity still becomes  $O(kn)$ .

- II. **Write a paragraph that explains how your alignment extraction algorithm works, including the backtrace**

The alignment cost evaluation algorithm starts by creating a costmatrix that is 1 row and 1 column size bigger than the required text size for the strings A and B to allow the insertions and deletions at the left side of the string. This loop iterates through the row and column element to compare the similarity of the two texts by evaluating a costmatrix. The final element of this cost matrix is the cost of alignment of the particular sequences of texts in comparison. If the two characters are the same, they will be matched with the cost of -3, if they are not the same, then they need to be substituted with the cost of 1. Also if the texts need to be inserted or deleted they will be done at a cost of 5 plus the cost till the particular block. Now, for a current step, the algorithm looks at the left upward

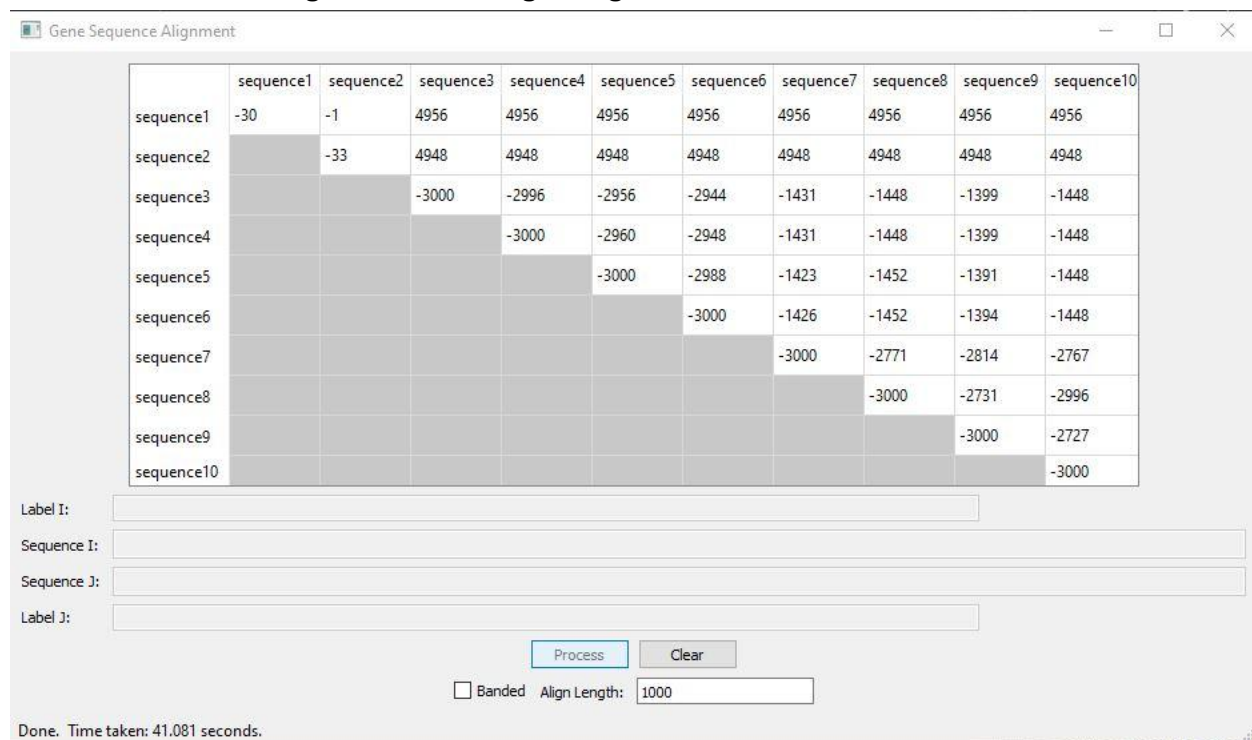
diagonal, left and up block and evaluates the characters corresponding into these elements in the respective string and iteratively evaluates the cost.

The text alignment algorithm uses the backpointer matrix previously computed during the evaluation of the alignment cost. It starts at the final element of the backpointer array matrix. If the backpointer matrix consists of the string “match”, then it traverses upward diagonally and copies the corresponding elements for the strings A and B to new array newA and newB. Similarly, if the element has the string “insert”, then the pointer traverses upward and the newA is appended a character ‘-’ whereas the newB is fed the current element of B. Finally, if the element has string “delete”, the newA is appended the current value of A and B is appended a character ‘-’ representing a deletion of the element.

- III. Include a “results” section showing both a screen-shot of your 10x10 score matrix for the unrestricted algorithm with align length  $k = 1000$  and a screen-shot of your 10x10 score matrix for the banded algorithm with align length  $k = 3000$ .

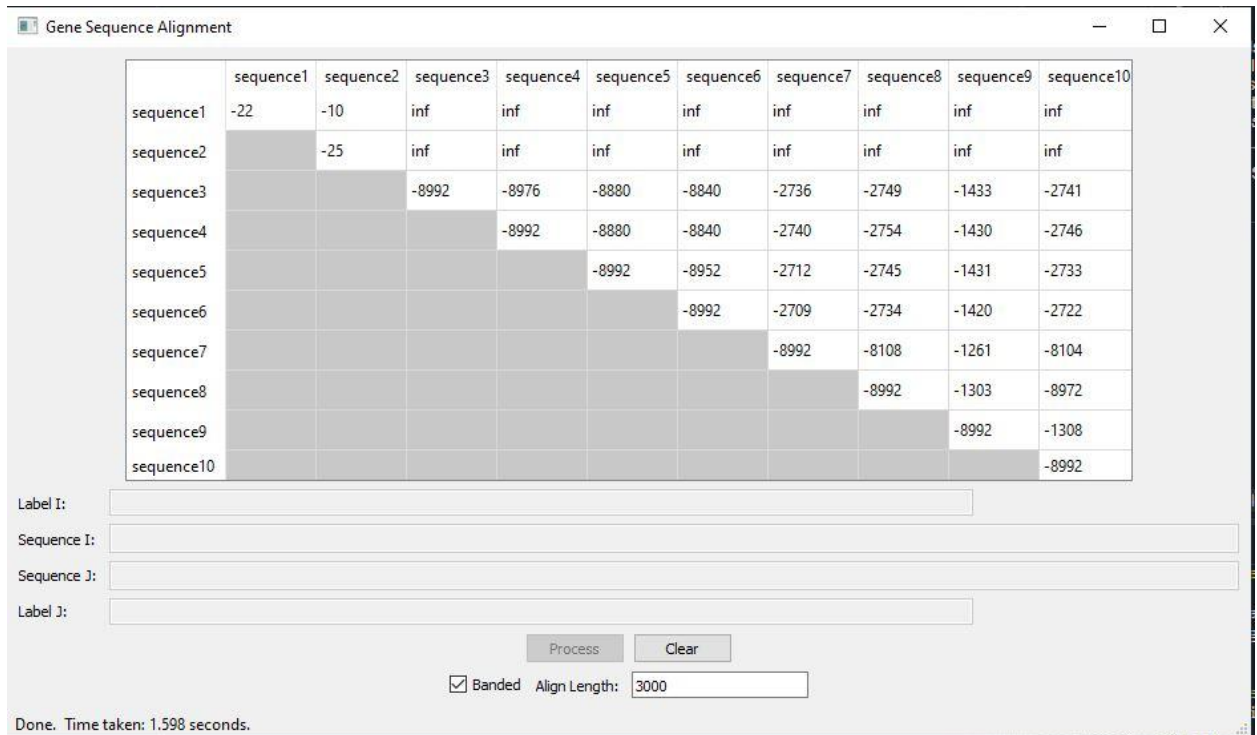
### Results:

#### a. unrestricted algorithm with align length $k = 1000$



Alignment does not display in the text bars (reason unknown)

#### b. banded algorithm with align length $k = 3000$



*Alignment does not display in the text bars. This is due to a bug in text alignment algorithm due to incorrect indexing.*

- IV. [10 points] Include in the “results” section the extracted alignment for the first 100 characters of sequences #3 and #10 (counting from 1), computed using the unrestricted algorithm with  $k = 1000$ . Display the sequences in a side-by-side fashion in such a way that matches, substitutions, and insertions/deletions are clearly discernible as shown above in the To Do section. Also include the extracted alignment for the same pair of sequences when computed using the banded algorithm and  $k = 3000$ .

For sequence 3 and 10, the expected result would be:

```

----gat-g-ga--ggcgatttgcgtgcgtgcatcccgcttctactgatctctttagatctttcataatctaaactttataaaaacatccactccctgtagt
ataagagtgattggcgccgtacgtaccctttctactctcaaactcttgtagtttaaataatctaaactttataaacggcacttcctgtgtgtccat

```

- V. [30 points] Attach your commented source code for both your unrestricted and banded algorithms.

```

40 #computes the cost of the unrestricted algorithm
41 def computecost_unrestricted(self,A, B):
42     costmatrix = [[0 for i in range(len(B)+1)] for j in range(len(A)+1)]
43     backpointer = [[0 for i in range(len(B)+1)] for j in range(len(A)+1)]
44
45     for i in range(1,len(A)+1):
46         costmatrix[i][0] = INDEL * i
47
48     for j in range(1,len(B)+1):
49         costmatrix[0][j] = INDEL * j
50
51     for i in range(1, len(A)+1):
52         for j in range(1, len(B)+1):
53             match = costmatrix[i-1][j-1] + self.diagonalscore(A[i-1], B[j-1])
54             delete = costmatrix[i-1][j] + INDEL
55             insert = costmatrix[i][j-1] + INDEL
56             if min(match, insert, delete) == match:
57                 backpointer[i][j] = "match"
58             elif min(match, insert, delete) == delete:
59                 backpointer[i][j] = "delete"
60             elif min(match, insert, delete) == insert:
61                 backpointer[i][j] = "insert"
62             costmatrix[i][j] = min(match, insert, delete)
63     return costmatrix[len(A)][len(B)], backpointer
64

```

```

64
65 #evaluates the indices of the matrices in the banded coordinates
66 def evaluateindices(self, costmatrix, i, j):
67     #transform in the band range
68     jband = j - i + MAXINDELS
69     if not 0 <= jband < 2 * MAXINDELS + 1:
70         return math.inf
71     return costmatrix[i][jband]
72
73 #computes the cost of the banded algorithm
74 def computecost_banded(self, A, B):
75     costmatrix = [[0 for i in range(2 * MAXINDELS + 1)] for j in range(len(A) + 1)]
76     backpointer = [[0 for i in range(2 * MAXINDELS + 1)] for j in range(len(A) + 1)]
77     # fill the first row and column
78     for i in range(1, MAXINDELS + 1):
79         costmatrix[i][0] = INDEL * i
80         backpointer[i][0] = "delete"
81     for j in range(1, MAXINDELS + 1):
82         costmatrix[0][j] = INDEL * j
83         backpointer[0][j] = "insert"
84
85     for i in range(1, len(A) + 1):
86         #loop over the particular band range
87         for j in range(max(1, i - MAXINDELS), min(len(B), i + MAXINDELS) + 1):
88             match = self.evaluateindices(costmatrix,i - 1, j - 1) + self.diagonalscore(A[i-1], B[j-1])
89             delete = self.evaluateindices(costmatrix,i - 1, j) + INDEL
90             insert = self.evaluateindices(costmatrix,i , j - 1) + INDEL
91             #fill the backpointer array
92             if min(match, insert, delete) == match:
93                 backpointer[i][j - i + MAXINDELS] = "match"
94             elif min(match, insert, delete) == delete:
95                 backpointer[i][j - i + MAXINDELS] = "delete"
96             elif min(match, insert, delete) == insert:
97                 backpointer[i][j - i + MAXINDELS] = "insert"
98             costmatrix[i][j - i + MAXINDELS] = min(match, delete, insert)
99     return self.evaluateindices(costmatrix, len(A), len(B)),backpointer

```



```

101         #compute the text alignment
102     def alignment(self, backpointer, i,j, A,B):
103         i = len(A)
104         j = len(B)
105         newA = []
106         newB = []
107         while i > 0 or j > 0:
108             if backpointer[i][j] == "match":
109                 newA.append(A[i-1])
110                 newB.append(B[j-1])
111                 i = i-1
112                 j = j-1
113             elif backpointer[i][j] == "insert":
114                 newA.append("-")
115                 newB.append(B[j-1])
116                 j = j-1
117             elif backpointer[i][j] == "delete":
118                 newA.append(A[i-1])
119                 newB.append("-")
120                 i = i-1
121         return newA, newB
122

```

```

123     def align( self, sequences, table, banded, align_length):
124         self.banded = banded
125         self.MaxCharactersToAlign = align_length
126         sequencei = [0 for i in range(self.MaxCharactersToAlign)]
127         sequencej = [0 for j in range(self.MaxCharactersToAlign)]
128         results = []
129         backpointer = []
130         for i in range(len(sequences)):
131             jresults = []
132             for j in range(len(sequences)):
133                 if(j < i):
134                     s = {}
135                 else:
136                     if self.MaxCharactersToAlign > len(sequences[i]):
137                         sequencei = sequences[i]
138                     if self.MaxCharactersToAlign > len(sequences[j]):
139                         sequencej = sequences[j]
140                     else:
141                         sequencei = sequences[i][:self.MaxCharactersToAlign]
142                         sequencej = sequences[j][:self.MaxCharactersToAlign]
143                     if banded:
144                         minscore, backpointer = self.computecost_banded(sequencei, sequencej)
145                         score = minscore
146                         alignment1, alignment2 = self.alignment(backpointer,MAXINDELS,MAXINDELS, sequencei, sequencej)
147                     else:
148                         minscore, backpointer = self.computecost_unrestricted(sequencei, sequencej)
149                         score = minscore
150                         alignment1, alignment2 = self.alignment(backpointer, len(sequencei),len(sequencej),sequencei, sequencej)
151                     #####
152                     # your code should replace these three statements and populate the three variables: score, alignment1 and alignment2
153                     # alignment1 = 'abc-easy  DEBUG:(seq{}, {}) chars,align_len+{}{}'.format(i+1,
154                     # len(sequences[i]), align_length, ',BANDED' if banded else '')
155                     # alignment2 = 'as-123--  DEBUG:(seq{}, {}) chars,align_len+{}{}'.format(j+1,
156                     # len(sequences[j]), align_length, ',BANDED' if banded else '')
157                     #####
158                     s = {'align_cost':score, 'seqi_first100':alignment1, 'seqj_first100':alignment2}
159                     table.item(i,j).setText('{}'.format(int(score) if score != math.inf else score))
160                     table.update()
161                     jresults.append(s)
162                 results.append(jresults)
163         return results
164
165

```