MFU — MF Utilities India Pvt. Ltd.

103-105, Orion Business Park, Ghodbunder Road, Kapurbawdi
Thane (West) - 400 610
CIN : U74120MH2013PTC242939

CAN Transaction Form (CTF) - PURCHASE

APPLICATION NO:
AA

TIME-STAMP NUMBER:

GORN	Distributor / MFU user to write the system generated reference number here

Please read all the instructions carefully before filling the form
Please fill in ENGLISH and in BLOCK LETTERS with black ink.
Investors transacting, using CAN opened prior to November 1, 2015, should attach the Additional KYC, FATCA & CRS form, if not submitted earlier.
Fields marked with (*) are mandatory and if not filled, the form is liable for rejection

A. * UNITHOLDER INFORMATION (If you have a CAN, please fill in the details):-

If you have submitted a CAN Regn Form (CRF), please mention the primary holder PAN/PEKRN or the CRF No below:

Common Account Number (CAN)   **OR**   PAN / PEKRN _please specify_   CRF No. _please specify_

Name of the First/Sole Holder

B. Key Partner / Agent information (Investors applying under DIRECT plan/s must mention "DIRECT" in ARN Column):-

ARN Code	ARN Name	Sub-Agent ARN Code	Internal code for Sub-Agent/RM/Branch	EUIN^
ARN-		ARN-		

^ EUIN Declaration (only where EUIN box is left blank) (Refer Instruction C2)
I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

sign here	sign here	sign here
Sole/First Applicant / Guardian / POA Holder	Second Applicant	Third Applicant

Transaction charges for Applications through Distributors only (Refer Instruction D and please tick (✓) any one)

☐ I confirm that I am a **First Time** investor across Mutual Funds. (Charges as prescribed by SEBI, if any, deductible as Transaction Charge and payable to the Distributor)

☐ I confirm that I am an **Existing** investor in Mutual Funds. (Charges as prescribed by SEBI, if any, deductible as Transaction Charge and payable to the Distributor)

In case the purchase/ subscription amount is Rs. 10,000 or more and your Distributor has opted in to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.
Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

C. * Payment Details:- (Please refer the instructions "E4" for "PAYEE NAME")

Payment Mode (please tick (✓)): ☐ Cheque ☐ Demand Draft ☐ Pay Order ☐ Bankers Cheque ☐ NEFT ☐ RTGS ☐ Transfer Letter ☐ PayEezz ☐ IMPS

Please tick (✓) and enclose any one of the relevant documents as indicated as per the Mode of Payment
☐ Instruction to the Bank from Unitholder to debit the Account for NEFT/RTGS/Transfer Instruction
☐ Declaration/Acknowledgement from Bank/Copy of Passbook/Bank Statement for DD/PO/BC

Payment Type (please tick (✓)): ☐ Non-Third Party Payment   ☐ Third Party Payment (please attach 'Third Party Declaration Form')

Payment Reference Number (Instrument # for Cheque/DD/PO/BC; UTR # for RTGS/NEFT; Ref # for Trf Instruction; PRN for PayEezz)

Please ensure that the investment cheque or supporting proof submitted contains the Sole/First/Primary holder's name.

Payment Date (Instrument Date for Cheque, Demand Draft, Payorder, Banker's Cheque; Payment execution date for RTGS, NEFT, Transfer Instruction, PayEezz, IMPS)   D D / M M M / Y Y Y Y

Payment Amount in figures (Amount of Cheque/DD/PO/BC/NEFT/RTGS/Transfer Instruction/PayEezz/IMPS) (i) ₹

Bank Charges in figures (only in case of DD / PO / BC) (ii) ₹

Total Amount (i) + (ii)   In figures (iii) ₹

In words _____

Payment from Bank Account No. _____   MICR Code _____   IFSC _____

A/c Type please (✓): ☐ Savings ☐ Current ☐ Cash Credit ☐ O/D ☐ NRE ☐ NRO ☐ FCNR ☐ NRSR ☐ others, please specify

Drawn on Bank _specify Bank name_   Branch _specify branch name_

D. * Scheme Details:-

Scheme 1

AMC / Mutual Fund	_specify AMC / Mutual Fund name_	Folio Number#	_if available_
Scheme / Plan%	_specify scheme / plan_		

Option (please tick (✓)): ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Others _please specify_

Investment Amount ₹ _____   In words : Rupees _____

- if not mentioned, will be processed under last transacted folio; % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection

Scheme 2

AMC / Mutual Fund	_specify AMC / Mutual Fund name_	Folio Number#	_if available_
Scheme / Plan%	_specify scheme / plan_		

Option (please tick (✓)): ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Others _please specify_

Investment Amount ₹ _____   In words : Rupees _____

- if not mentioned, will be processed under last transacted folio; % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection

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ACKNOWLEDGEMENT SLIP (to be filled in by the investor). For any queries please contact the nearest MFU "Point of Service" or call us at 1800-266-1415 (Toll Free) or +91 22 3952 6363.
AA
MF UTILITIES INDIA PVT. LTD., Address: 103-105, 1st Floor, Orion Business Park, Ghodbunder Road, Kapurbawdi, Thane (West) - 400 610, India

Received from Mr. / Ms. M/s. _____ an application for purchase as per details mentioned below:-

POINT OF SERVICE STAMP & SIGNATURE

AMC / Fund	Scheme	Plan	Option	Amount (Rs.)

Scheme 3	AMC / Mutual Fund	specify AMC / Mutual Fund name	Folio Number#	if available
	Scheme / Plan%	specify scheme / plan		
	Option (please tick (✓))	Growth ☐　Dividend Payout ☐　Dividend Reinvestment ☐　Others ☐	please specify	
	Investment Amount	₹ ☐☐☐☐☐☐☐☐☐	In words : Rupees_____	

- if not mentioned, will be processed under last transacted folio; % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection

Scheme 4	AMC / Mutual Fund	specify AMC / Mutual Fund name	Folio Number#	if available
	Scheme / Plan%	specify scheme / plan		
	Option (please tick (✓))	Growth ☐　Dividend Payout ☐　Dividend Reinvestment ☐　Others ☐	please specify	
	Investment Amount	₹ ☐☐☐☐☐☐☐☐☐	In words : Rupees_____	

- if not mentioned, will be processed under last transacted folio; % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection

Scheme 5	AMC / Mutual Fund	specify AMC / Mutual Fund name	Folio Number#	if available
	Scheme / Plan%	specify scheme / plan		
	Option (please tick (✓))	Growth ☐　Dividend Payout ☐　Dividend Reinvestment ☐　Others ☐	please specify	
	Investment Amount	₹ ☐☐☐☐☐☐☐☐☐	In words : Rupees_____	

- if not mentioned, will be processed under last transacted folio; % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection

E. Depository A/c Details:-

Please credit the units into below mentioned Depository Account already registered under the above CAN (please tick (✓))

National Securities Depository Limited (NSDL) ☐	Central Depository Services Limited (CDSL) ☐
specify Depository Participant name	specify Depository Participant name

Depository Participant Name

DP ID	I	N	☐☐☐☐☐	Bene. A/c	☐☐☐☐☐☐☐☐	Bene. A/c	☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

F. * Declaration and Signature(s):-

I / We hereby confirm and declare as under:-
(1) I/We have read and understood the contents of the respective Scheme Information Document(s), Statement of Additional Information(s), Key Information Memorandum(s), Instructions and Addenda issued by the respective Mutual Funds, including level of risk depicted by colour code boxes and descriptions thereof (Product Labelling), the sections on "Prevention of Money Laundering and Know Your Customers" for all the schemes chosen for investments (both Continuous and Initial Offering) through this Transaction Form, and hereby apply to the Trustee/s of the respective Mutual Funds for Units of the relevant Scheme/s and agree to abide by the terms and conditions, rules and regulations of the Scheme.
(2) I/We am/are eligible Investor(s) as per the respective Scheme related documents and am/are authorised to make this investment as per the Constitutive documents / authorization(s). The amount invested in the respective Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.
(3) I/We will indemnify MFU, Mutual Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.
(4) I/We hereby declare that I/We have not received or been induced by any rebate or gifts, directly or indirectly, in making this investment.
(5) The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme/s is/are being recommended to me/us.
(6) I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/COMMUNICATED ANY INDICATIVE PORTFOLIO AND/OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.
(7) Where the EUIN box is left blank being an execution only transaction, I/We confirm that the transaction is not withstanding the advice of in-appropriateness, if any, provided by the distributor's employee/relationship manager/sales person and the distributor has not charged any fees on this transaction.

Date : ☐D ☐D / ☐M ☐M ☐M / ☐Y ☐Y ☐Y ☐Y　　　Place : _____

Sign Here	Sign Here	Sign Here
Sole/First Applicant / Guardian / POA Holder	**Second Applicant**	**Third Applicant**

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Common Transaction Form (CTF)		
O	Form for multiple transactions	No need to fill and submit separate transaction forms for transactions in different schemes/Mutual Funds. Burden of handling multiple documents reduced
N	Time-stamp for multiple transactions	One time-stamp applied uniformly for all transactions in the form. Burden of submitting transactions at different offices / locations reduced
E	Payment Instrument for multiple investments	No need to issue separate payment instruments for different schemes/Mutual Funds. Burden of handling multiple payment instruments reduced