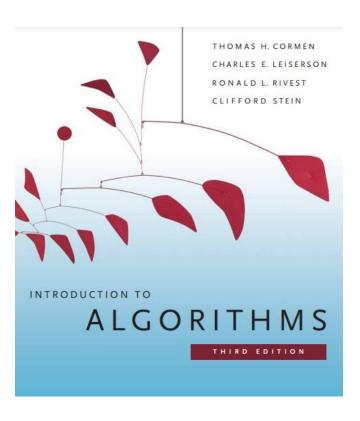
# ANALYSIS OF ALGORITHMS CPS 5440

# **UNIT 4: DIVIDE AND CONQUER**

# DIVIDE AND CONQUER

- ☐ Binary search
- ☐ Powering a number
- ☐ Fibonacci numbers
- ☐ Matrix multiplication
- Maximum subarray problem



# THE DIVIDE-AND-CONQUER DESIGN PARADIGM

- 1. Divide the problem (instance) into subproblems that are smaller instances of the same problem.
- 2. Conquer the subproblems by solving them recursively.
- 3. Combine subproblem solutions.

#### **RECURRENCES**

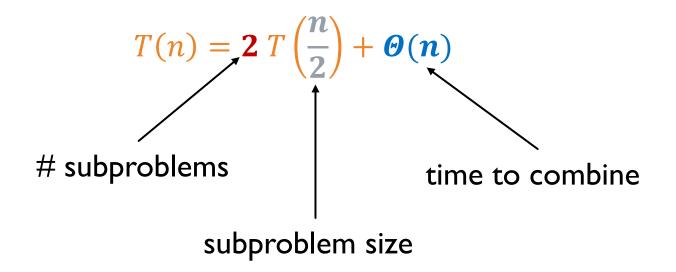
- Recurrences go hand in hand with the divide and conquer paradigm
- A recurrence describes a function in terms of its value on smaller inputs
- Recurrences can take many forms:
  - size of subproblems may differ. e.g.,  $T(n) = 1.T(2n/3) + 1.T(n/3) + \Theta(n)$
  - not necessarily constant fraction e.g.,  $T(n) = T(n-1) + \Theta(1)$
- A recurrence can be an equation or inequality
  - $T(n) \le T(n/2) + \Theta(n)$
  - such a recurrence states only an upper bound on T(n)
  - Its solution is couched using 0 notation rather than  $\Theta notation$
  - similarly,  $T(n) \ge T(n/2) + \Theta(n)$  gives a lower bound and couched using  $\Omega$  notation

#### **RECURRENCES**

- In practice, we often neglect certain technical details
- lacktriangle, e.g., in merge sort, we neglect the case of n is odd
- The running time on a constant-sized input is a constant
- Hence, we usually omit statements of the boundary conditions of recurrences
- We often omit floors, ceilings, and boundary conditions

# MERGE SORT

- 1. Divide: Trivial.
- 2. Conquer: Recursively sort 2 subarrays.
- 3. Combine: Linear-time merge.



# MASTER THEOREM (RECAP)

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

• Case 1: 
$$f(n) = O(n^{\log_b a - \varepsilon}), \varepsilon > 0 \Rightarrow T(n) = \Theta(n^{\log_b a})$$

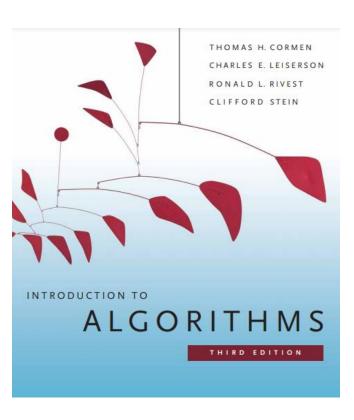
- Case 2:  $f(n) = \Theta(n^{\log_b a}), \Longrightarrow T(n) = \Theta(n^{\log_b a} \lg n)$
- Case 3:  $f(n) = \Omega(n^{\log_b a + \varepsilon}), \varepsilon > 0 \land \text{regularity condition} \Rightarrow T(n) = \Theta(f(n))$

# Merge Sort

- a = 2; b = 2; f(n) = n;
- $\Rightarrow n^{\log_b a} = n^{\log_2 2} = n$
- $\Rightarrow$  Case  $2 \Rightarrow T(n) = \Theta(n \lg n)$

# DIVIDE AND CONQUER

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# **BINARY SEARCH**

- Problem: Find an element in a sorted array
- 1. Divide: Check middle element.
- 2. Conquer: Recursively search 1 subarray.
- 3. Combine: Trivial.

# **BINARY SEARCH**

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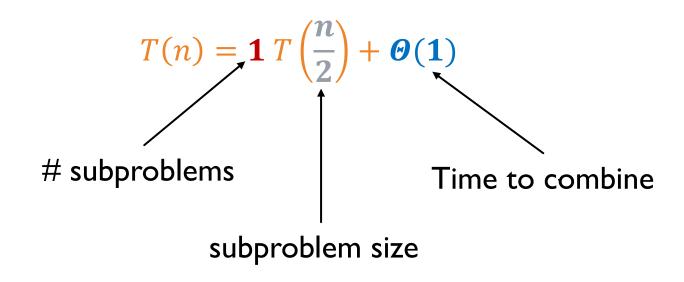
Example: Find 9

3	5	7	8	9	12	15
3	5	7	8	9	12	15
3	5	7			12	15
3	5	7	8	9	12	15
3	5	7	8	9	12	15

#### **BINARY SEARCH**

```
binarySearch(arr, x, low, high)
     if low > high
       return False
     else
       mid = (low + high) / 2
       if x == arr[mid]
          return mid
       else if x > arr[mid] // x is on the right side
          return binarySearch(arr, x, mid + 1, high)
        else
                                 // x is on the right side
          return binarySearch(arr, x, low, mid - 1)
```

#### RECURRENCE BINARY SEARCH



$$a = 1$$
;  $b = 2$ ;  $f(n) = n^0$ 

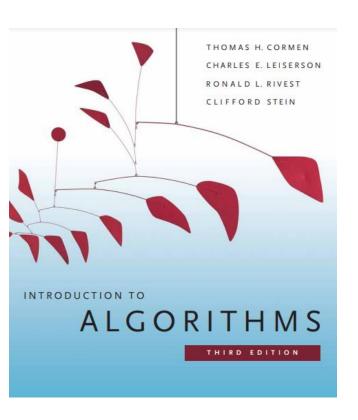
$$\implies n^{\log_b a} = n^{\log_2 1} = n^0$$

• 
$$f(n) = n^{\log_b a} \implies \text{case 2} [f(n) = \Theta(n^{\log_b a})]$$

$$ightharpoonup T(n) = \Theta(n^{\log_b a} \lg n) = T(n) = \Theta(\lg n)$$

# DIVIDE AND CONQUER

- ☐ Binary search
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#### POWERING A NUMBER

- Problem: Compute  $a^n$ , where  $n \in \mathbb{N}$
- 1. Naive algorithm:  $\Theta(n)$ .
- 2. Divide and conquer algorithm:

$$a^{n} = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if n is even;} \\ a^{(n-1)/2} \cdot a^{(n-1)/2} \cdot a & \text{if n is odd.} \end{cases}$$

$$T(n) = \mathbf{1} \cdot T(n/2) + \mathbf{\Theta}(\mathbf{1}) \Longrightarrow T(n) = \Theta(\lg n).$$

#### **POWERING A NUMBER**

```
power(int a, int n){
    prod = 1;

for(i=0; i<n; i++)
    prod = prod * a;

return prod;
}</pre>
```

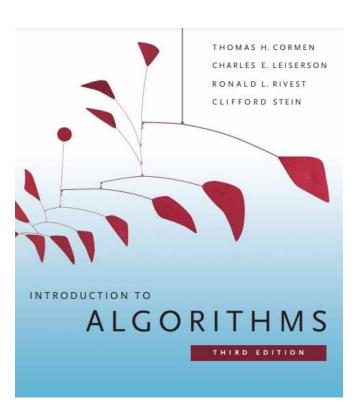
A naive iterative approach O(n) time.

```
power(int x, int y){
  int temp;
  if (y == 0)
    return 1;
  temp = power(x, y / 2);
  if (y % 2 == 0)
    return temp * temp;
  else
    return x * temp * temp;
```

An Optimized Divide and Conquer Solution:  $\Theta(\lg n)$  time.

# DIVIDE AND CONQUER

- ☐ Binary search
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#### FIBONACCI NUMBERS

Recursive Definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \ge 2. \end{cases}$$

0 1 1 2 3 5 8 13 21 34 ...

#### 1. Naive recursive algorithm:

- $\Omega(\phi^n)$ . (exponential time),
- where  $\phi = \frac{1+\sqrt{5}}{2} \approx 1.61803398875$
- = the golden ratio.

```
fib(int n) {
    if (n <= 1) return n;
    return fib(n - 1) + fib(n - 2);
}</pre>
```

#### COMPUTING FIBONACCI NUMBERS

#### 2. Bottom-up:

- Compute  $F_0, F_1, F_2, \dots, F_n$  in order,
- forming each number by summing the two previous
- Running Time:  $\Theta(n)$

```
fib(int n) {
    int a = 0, b = 1, c;
    if (n == 0) return a;
    for (int i = 2; i \le n; i++) {
      c = a + b:
      a = b;
      b = c:
    return b;
```

# COMPUTING FIBONACCI NUMBERS

# 3. Naïve Recursive Squaring:

- $F_n = \phi^n / \sqrt{5}$  rounded to the nearest integer.
- Running Time:  $\Theta(\lg n)$  time.
- This method is unreliable,
- since floating-point arithmetic is prone to round-off errors.

# RECURSIVE SQUARING

- 4. Algorithm: Recursive Squaring.
- $Time = \Theta(\lg n) time$
- Theorem:  $\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} \mathbf{1} & \mathbf{1} \\ \mathbf{1} & \mathbf{0} \end{bmatrix}^n$ .
- Proof of theorem. (Induction on n)
- Base (n = 1):  $\begin{bmatrix} F_2 & F_1 \\ F_1 & F_0 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$
- 0 1 1 2 3 5 8 13 21 34 ···
- $\blacksquare F_0$   $F_1$   $F_2$   $F_3$   $F_4$   $F_5$   $F_6$   $F_7$   $F_8$   $F_9$   $\cdots$

# **RECURSIVE SQUARING**

■ Theorem: 
$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} \mathbf{1} & \mathbf{1} \\ \mathbf{1} & \mathbf{0} \end{bmatrix}^n$$
.

• Inductive step  $(n \ge 2)$ 

$$\begin{bmatrix}
F_{n+1} & F_n \\
F_n & F_{n-1}
\end{bmatrix} = \begin{bmatrix}
F_n & F_{n-1} \\
F_{n-1} & F_{n-2}
\end{bmatrix} \cdot \begin{bmatrix}
1 & 1 \\
1 & 0
\end{bmatrix}$$

$$= \begin{bmatrix}
F_n & F_{n-1} \\
F_{n-1} & F_{n-2}
\end{bmatrix} \cdot \begin{bmatrix}
1 & 1 \\
1 & 0
\end{bmatrix}$$

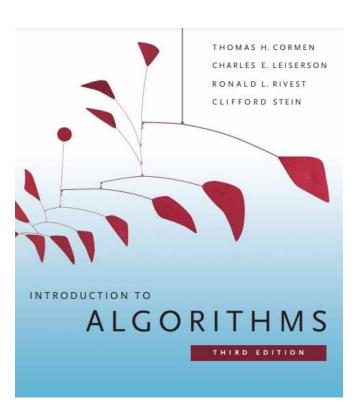
$$= \begin{bmatrix}
1 & 1 \\
1 & 0
\end{bmatrix}^{n-1} \cdot \begin{bmatrix}
1 & 1 \\
1 & 0
\end{bmatrix}$$

$$= \begin{bmatrix}
1 & 1 \\
1 & 0
\end{bmatrix}^n$$

$$\checkmark \begin{bmatrix} F_n & F_{n-1} \\ F_{n-1} & F_{n-2} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^{n-1}.$$

# DIVIDE AND CONQUER

- ☐ Binary search
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*Input*: 
$$A = [a_{ij}], B = [b_{ij}].$$
*Output*:  $C = [c_{ij}] = A.B.$   $i, j = 1, 2, ..., n.$ 

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{12} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{12} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{12} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

$$c_{ij} = \sum_{k=1}^{n} a_{ik} \cdot b_{kj}$$

# 1. Standard Algorithm:

• We must compute  $n^2$  matrix entries, and each is the sum of n values

# SQUARE-MATRIX-MULTIPLY (A,B)

```
1 n = A.rows
2 let C be a new n \times n matrix
3 for i = 1 to n
4 for j = 1 to n
      c_{ij} = 0
  for k = 1 to n
           c_{ij} = c_{ij} + a_{ik}. b_{kj}
8 return C
```

- You might at first think that any matrix multiplication algorithm must take  $\Omega(n^3)$  time, since the natural definition of matrix multiplication requires that many multiplications.
- You would be incorrect, however: we have a way to multiply matrices in  $o(n^3)$ .

Running Time:  $\Theta(n^3)$ 

# 2. A simple divide-and-conquer algorithm

- Assumen is an exact power of 2 in each of then  $\times$  n matrices
- Idea:  $n \times n$  matrix =  $2 \times 2$  matrix of  $(n/2) \times (n/2)$  submatrices:

$$\begin{bmatrix} r & s \\ t & u \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$

$$C = A \cdot B$$

$$r = ae + bg$$

$$s = af + bh$$

$$t = ce + dg$$

$$u = cf + dh$$

$$recursive$$

$$= 8 mults of (n/2) \times (n/2) submatrices$$

$$4 adds of (n/2) \times (n/2) submatrices$$

# 2. A simple divide-and-conquer algorithm

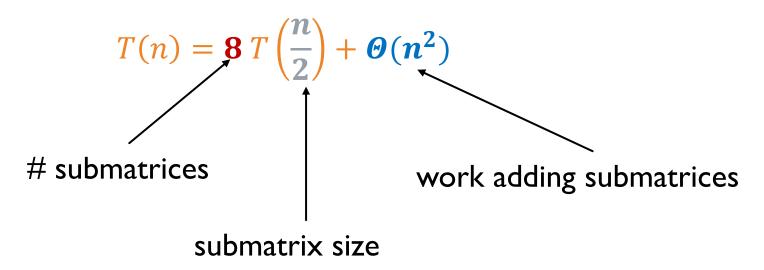
$$\begin{bmatrix} r & s \\ t & u \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$
$$C = A \cdot B$$

# Implementation:

- Partitioning does involve creating  $12 new(n/2) \times (n/2)$  matrices
- Otherwise, we would spend  $\Theta(n^2)$  copying entries
- Instead, we use index calculations
- We identify a matrix, by a range of row and column indices of the original matrix

#### SQUARE-MATRIX-MULTIPLY-RECURSIVE (A, B)

```
n = A.rows
2 let C be a new n \times n matrix
   if n == 1
        c_{11} = a_{11} \cdot b_{11}
   else partition A, B, and C as in equations (4.9)
        C_{11} = \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{11}, B_{11})
6
             + SQUARE-MATRIX-MULTIPLY-RECURSIVE (A_{12}, B_{21})
        C_{12} = \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{11}, B_{12})
             + SQUARE-MATRIX-MULTIPLY-RECURSIVE (A_{12}, B_{22})
        C_{21} = \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{21}, B_{11})
8
             + SQUARE-MATRIX-MULTIPLY-RECURSIVE (A_{22}, B_{21})
        C_{22} = \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{21}, B_{12})
9
             + SQUARE-MATRIX-MULTIPLY-RECURSIVE (A_{22}, B_{22})
   return C
```



$$a = 8; b = 2; f(n) = n^2$$

$$\Rightarrow n^{\log_b a} = n^{\log_2 8} = n^3$$

• Case 1: 
$$f(n) = O(n^{\log_b a - \varepsilon}), \varepsilon > 0 \Longrightarrow T(n) = \Theta(n^{\log_b a})$$

$$\blacksquare$$
  $\Longrightarrow$   $T(n) = \Theta(n^3)$ 

No better than the ordinary algorithm.

#### 3. Strassen's Idea

- Make the recursion tree slightly less bushy
- Multiply  $2 \times 2$  matrix with only 7 recursive mults instead of 8.
- The cost of eliminating one mult will be several new additions of  $(n/2) \times (n/2)$  matrices

#### Strassen's Algorithm (1969) -

- 1. Partition each of the matrices into four  $n/2 \times n/2$  submatrices
- 2. Create 10 matrices  $S_1, S_2, \ldots, S_{10}$ . Each is  $n/2 \times n/2$  and is the sum or difference of two matrices created in the previous step.
- 3. Recursively compute 7 matrix products  $P_1, P_2, \dots, P_7$ , each  $n/2 \times n/2$
- 4. Compute  $n/2 \times n/2$  submatrices of C by adding and subtracting various combinations of the  $P_i$ .

#### 3. Strassen's Idea

- Make the recursion tree slightly less bushy
- Multiply 2 × 2 matrix with only 7 recursive mults instead of 8.
- The cost of eliminating one mult will be several new additions of  $(n/2) \times (n/2)$  matrices

• 
$$P_1 = a.(f - h)$$

$$P_2 = (a + b).h$$

$$P_3 = (c + d).e$$

$$P_4 = d.(g - e)$$

$$P_5 = (a+d).(e+h)$$

$$P_6 = (b - d) \cdot (g + h)$$

• 
$$P_7 = (a - c).(e + f)$$

$$r = P_5 + P_4 - P_2 + P_6$$

$$s = P_1 + P_2$$

$$t = P_3 + P_4$$

$$u = P_5 + P_1 - P_3 - P_7$$

$$\begin{bmatrix} r & s \\ t & u \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$
$$C = A \cdot B$$

#### 3. Strassen's Idea

$$P_1 = a.(f - h)$$

$$P_2 = (a + b).h$$

$$P_3 = (c + d).e$$

$$P_4 = d.(g - e)$$

$$P_5 = (a+d).(e+h)$$

$$P_6 = (b - d).(g + h)$$

$$P_7 = (a - c).(e + f)$$

$$r = P_5 + P_4 - P_2 + P_6$$

$$= [(a+d).(e+h)] + [d.(g-e)] - [(a+b).h] + [(b-d).(g+h)]$$

$$= ae + ah + de + dh + dg - de - ah - bh + bg + bh - dg - dh$$

$$= ae + bg$$

$$r = ae + bg$$

$$s = af + bh$$

$$t = ce + dg$$

$$u = cf + dh$$

#### 1. Divide:

- Partition A and B into  $(n/2) \times (n/2)$  submatrices.
- Form term to be multiplied using + and -.
- 2. Conquer: Perform 7 multiplications of  $(n/2) \times (n/2)$  submatrices recursively.
- 3. Combine: Form C using + and on  $(n/2) \times (n/2)$  submatrices.

$$T(n) = 7 T\left(\frac{n}{2}\right) + \Theta(n^2)$$

$$T(n) = 7 T\left(\frac{n}{2}\right) + \Theta(n^2)$$

- a = 7; b = 2;  $f(n) = n^2$
- $\implies n^{\log_b a} = n^{\log_2 7} = n^{2.81}$
- Case 1:  $f(n) = O(n^{\log_b a \varepsilon}), \varepsilon > 0 \Rightarrow T(n) = \Theta(n^{\log_b a})$
- $\blacksquare$   $\Longrightarrow$   $T(n) = \Theta(n^{2.81})$
- The number 2.81 may not seem much smaller than 3, but because the difference is in the exponent, the impact on running time is significant.
- ► In fact, Strassen's algorithm beats the ordinary algorithm (i.e,  $\Theta(n^3)$ ) on today's machines for  $n \ge 32$  or so.
- $\triangleright$  Best to date (of theoretical interest only):  $\Theta(n^{2.376...})$

#### **CURRENT STATE-OF-THE-ART**

#### Asymptotic Complexities:

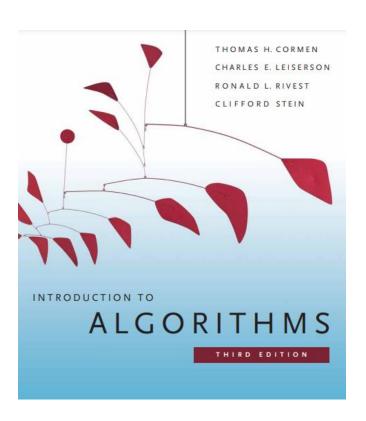
- $O(n^3)$ , naive approach
- $O(n^{2.808})$ , Strassen (1969)
- $O(n^{2.796})$ , Pan (1978)
- $O(n^{2.522})$ , Schönhage (1981)
- $O(n^{2.517})$ , Romani (1982)
- $O(n^{2.496})$ , Coppersmith and Winograd (1982)
- $O(n^{2.479})$ , Strassen (1986)
- $O(n^{2.376})$ , Coppersmith and Winograd (1989)
- $O(n^{2.374})$ , Stothers (2010)
- $O(n^{2.3728642})$ , V. Williams (2011)
- $O(n^{2.3728639})$ , Le Gall (2014)

• . . .

# Strassen's Matrix Multiplication

# DIVIDE AND CONQUER

- ☐ Binary search
- ☐ Powering a number
- ☐ Fibonacci numbers
- ☐ Matrix multiplication
  - ☐ Maximum subarray problem



#### Problem statement:

- Input: an array  $A[1 \cdots n]$  of (positive /negative) numbers
- Output:
  - (1) indices i and j such that the subarray  $A[i \cdots j]$  has the greatest sum of any nonempty contiguous subarray of A, and
  - (2) the sum of the values in  $A[i \cdots j]$

- Note: maximum subarray might not be unique, though its value is, so we speak of a maximum rather than the maximum subarray problem
- If all the array entries were nonnegative, the entire array would give the greatest sum!

Example 1:

$$A[1\cdots 4] = \begin{array}{|c|c|c|c|c|}\hline 1 & -4 & 3 & -4 \\ \hline \end{array}$$

Maximum-subarray:  $A[3 \cdots 3](i = j = 3)$ , and sum = 3

Example 2:

Maximum-subarray:  $A[3 \cdots 6](i = 3, j = 6)$ , and sum = 11

Example 2:

Maximum-subarray:  $A[8 \cdots 11](i = 8, j = 11)$ , and sum = 43

# Algoritm1: brute - force

Idea: check all subarrays

Total number of subarrays  $A[i \cdots j]$ :

$$\binom{n}{2} = \frac{n!}{2!(n-2)!} = \frac{1}{2}n(n-1) = \Theta(n^2)$$

• plus the arrays of length = 1

• Cost  $T(n) = \Theta(n^2)$ 

# Algoritm2: Divide and Conquer

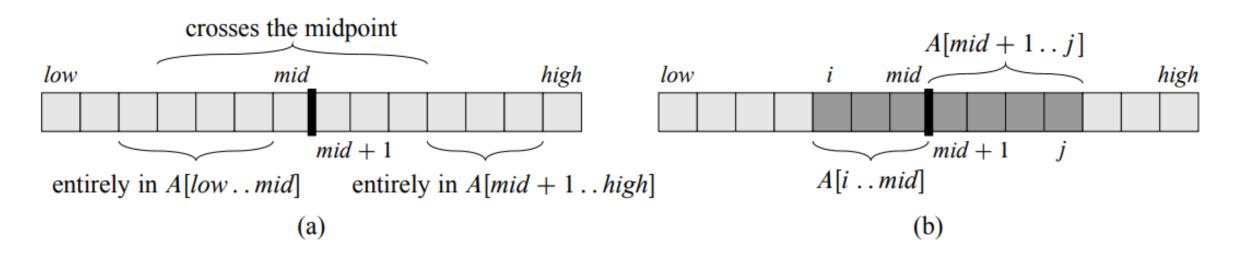
• Generic problem: Find a maximum subarray of  $A[low \cdots high]$  with initial call: low = 1, and high = n

# DC strategy:

- $Divide: A[low \cdots high]$  into two subarrays of as equal size as possible by finding the midpoint mid
- Conquer:
  - (a) finding maximum subarrays of  $A[low \cdots mid]$  and  $A[mid + 1 \cdots high]$
  - (b) finding a max-subarray that crosses the midpoint
- Combine: returning the max of the three
- Correctness: this strategy works because any subarray must either lie entirely in one side of midpoint or cross the midpoint.

# Algoritm2: Divide and Conquer

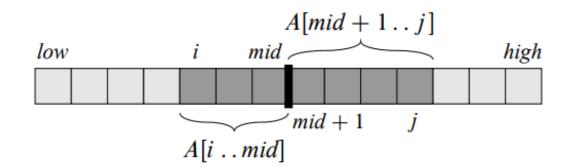
- $A[low \cdots high]$
- Divide in the middle:  $A[low \cdots mid]$ ,  $A[mid + 1 \cdots high]$
- Any subarray  $A[i \cdots j]$  is
  - (1) entirely in  $A[low \cdots mid]$  so that  $low \leq i \leq j \leq mid$ ,
  - (2) entirely in  $A[mid + 1 \cdots high]$  so that  $mid < i \le j \le high$
  - (3) in both so that  $low \le i \le mid < j \le high$
- (1) and (2) can be found recursively
- (3) need to find max-subarray of  $A[i \cdots mid]$ ,  $A[mid + 1 \cdots j]$
- Take subarray with largest sum of (1), (2), and (3)



**Figure 4.4** (a) Possible locations of subarrays of A[low..high]: entirely in A[low..mid], entirely in A[mid + 1..high], or crossing the midpoint mid. (b) Any subarray of A[low..high] crossing the midpoint comprises two subarrays A[i..mid] and A[mid + 1..j], where  $low \le i \le mid$  and  $mid < j \le high$ .

#### FindMaxCrossSubarray(A, low, mid, high)

```
left-sum = -\infty
   sum = 0
   for i = mid downto low
       sum = sum + A[i]
       if sum > left-sum then
            left-sum = sum
            \max-left = i
   right-sum = -\infty
   sum = 0
   for j = mid + 1 to high
       sum = sum + A[j]
       if sum > right-sum then
            right-sum = sum
            \max - right = j
return (max-left, max-right, left-sum + right-sum)
```



```
FIND-MAXIMUM-SUBARRAY (A, low, high)
    if high == low
         return (low, high, A[low])
                                              // base case: only one element
    else mid = \lfloor (low + high)/2 \rfloor
 4
         (left-low, left-high, left-sum) =
             FIND-MAXIMUM-SUBARRAY (A, low, mid)
 5
         (right-low, right-high, right-sum) =
             FIND-MAXIMUM-SUBARRAY (A, mid + 1, high)
 6
         (cross-low, cross-high, cross-sum) =
             FIND-MAX-CROSSING-SUBARRAY (A, low, mid, high)
         if left-sum \geq right-sum and left-sum \geq cross-sum
 8
             return (left-low, left-high, left-sum)
 9
         elseif right-sum \ge left-sum and right-sum \ge cross-sum
             return (right-low, right-high, right-sum)
10
         else return (cross-low, cross-high, cross-sum)
11
```

#### Remarks

- *Initial call:* MaxSubarray(A, 1, n)
- Base case is when the subarray has only 1 element.
- Divide by computing mid
- Conquer by the two recursive calls to MaxSubarray and a call to FindMaxCrossSubarray
- Combine by determining which of the three results gives the maximum sum.
- Complexity:
  - $T(n) = 2.T\left(\frac{n}{2}\right) + \Theta(n) + \Theta(1)$
  - $= \Theta(n \log n)$

# Algoritm2: Divide and Conquer

- Find-Max-Cross-Subarray: O(n) time
- Two recursive calls on input size n/2
- Thus:

$$T(n) = 2T(n/2) + O(n)$$
  
$$T(n) = O(n \log n)$$

# Maximum Subarray Sum

# Divide & Conquer strikes back: maximumsubarray

# DIVIDE AND CONQUER

# **Conclusions**

- Divide and conquer is just one of several powerful techniques for algorithm design
- Divide-and-conquer algorithms can be analyzed using recurrences and the master method (so practice this math.)
- The divide-and-conquer strategy often leads to efficient algorithms

# QUESTIONS/ANSWERS

