

### 36.3 The Rational Canonical Form, Second Version

Let us now translate the Elementary Divisors Decomposition Theorem, Theorem 35.38, in terms of  $E_f$ . We obtain the following result.

**Theorem 36.14.** (*Cyclic Decomposition Theorem, Second Version*) Let  $f: E \rightarrow E$  be an endomorphism on a  $K$ -vector space of dimension  $n$ . Then,  $E$  is the direct sum of cyclic subspaces  $E_j = Z(u_j; f)$  for  $f$ , such that the minimal polynomial of  $E_j$  is of the form  $p_i^{n_{i,j}}$ , for some irreducible monic polynomials  $p_1, \dots, p_t \in K[X]$  and some positive integers  $n_{i,j}$ , such that for each  $i = 1, \dots, t$ , there is a sequence of integers

$$1 \leq \underbrace{n_{i,1}, \dots, n_{i,1}}_{m_{i,1}} < \underbrace{n_{i,2}, \dots, n_{i,2}}_{m_{i,2}} < \dots < \underbrace{n_{i,s_i}, \dots, n_{i,s_i}}_{m_{i,s_i}},$$

with  $s_i \geq 1$ , and where  $n_{i,j}$  occurs  $m_{i,j} \geq 1$  times, for  $j = 1, \dots, s_i$ . Furthermore, the monic polynomials  $p_i$  and the integers  $r, t, n_{i,j}, s_i, m_{i,j}$  are uniquely determined.

Note that there are  $\mu = \sum m_{i,j}$  cyclic subspaces  $Z(u_j; f)$ . Using bases for the cyclic subspaces  $Z(u_j; f)$  as in Theorem 36.6, we get the following theorem.

**Theorem 36.15.** (*Rational Canonical Form, Second Version*) Let  $f: E \rightarrow E$  be an endomorphism on a  $K$ -vector space of dimension  $n$ . There exist  $t$  distinct irreducible monic polynomials  $p_1, \dots, p_t \in K[X]$  and some positive integers  $n_{i,j}$ , such that for each  $i = 1, \dots, t$ , there is a sequence of integers

$$1 \leq \underbrace{n_{i,1}, \dots, n_{i,1}}_{m_{i,1}} < \underbrace{n_{i,2}, \dots, n_{i,2}}_{m_{i,2}} < \dots < \underbrace{n_{i,s_i}, \dots, n_{i,s_i}}_{m_{i,s_i}},$$

with  $s_i \geq 1$ , and where  $n_{i,j}$  occurs  $m_{i,j} \geq 1$  times, for  $j = 1, \dots, s_i$ , and there is a basis of  $E$  such that the matrix  $M$  of  $f$  is a block matrix of the form

$$M = \begin{pmatrix} M_1 & 0 & \cdots & 0 & 0 \\ 0 & M_2 & \cdots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & M_{\mu-1} & 0 \\ 0 & 0 & \cdots & 0 & M_\mu \end{pmatrix},$$

where each  $M_j$  is the companion matrix of some  $p_i^{n_{i,j}}$ , and  $\mu = \sum m_{i,j}$ . The monic polynomials  $p_1, \dots, p_t$  and the integers  $r, t, n_{i,j}, s_i, m_{i,j}$  are uniquely determined.

The polynomials  $p_i^{n_{i,j}}$  are called the *elementary divisors* of  $f$  (and  $M$ ). These polynomials are factors of the minimal polynomial.

**Example 1 continued:** Recall that  $f(x, y, z, w) = (x + w, y + z, y + z, x + w)$  has two nontrivial invariant factors  $q_1 = x(x - 2) = q_2$ . Thus the elementary factors of  $f$  are  $p_1 = x = p_2$  and  $p_3 = x - 2 = p_4$ . Theorem 36.14 implies that

$$\mathbb{R}^4 = E_1 \oplus E_2 \oplus E_3 \oplus E_4,$$