

and if we let

$$\begin{aligned}\rho^- &= \frac{1 - \sqrt{1 - 2\lambda\mu\nu}}{\mu\nu} \\ \rho^+ &= \frac{1 + \sqrt{1 - 2\lambda\mu\nu}}{\mu\nu} \\ B &= \{x \in X \mid \|x - x_0\| < \rho^-\} \\ \Omega^+ &= \{x \in \Omega \mid \|x - x_0\| < \rho^+\},\end{aligned}$$

then  $\overline{B} \subseteq \Omega$ ,  $f'(x_0)$  is an isomorphism of  $\mathcal{L}(X; Y)$ , and

$$\begin{aligned}\|(f'(x_0))^{-1}\| &\leq \mu, \\ \|(f'(x_0))^{-1}f(x_0)\| &\leq \lambda, \\ \sup_{x, y \in \Omega^+} \|f'(x) - f'(y)\| &\leq \nu \|x - y\|.\end{aligned}$$

Then  $f'(x)$  is isomorphism of  $\mathcal{L}(X; Y)$  for all  $x \in B$ , and the sequence defined by

$$x_{k+1} = x_k - (f'(x_k))^{-1}(f(x_k)), \quad k \geq 0$$

is entirely contained within the ball  $B$  and converges to a zero  $a$  of  $f$  which is the only zero of  $f$  in  $\Omega^+$ . Finally, if we write  $\theta = \rho^-/\rho^+$ , then we have the following bounds:

$$\begin{aligned}\|x_k - a\| &\leq \frac{2\sqrt{1 - 2\lambda\mu\nu}}{\lambda\mu\nu} \frac{\theta^{2k}}{1 - \theta^{2k}} \|x_1 - x_0\| && \text{if } \lambda\mu\nu < \frac{1}{2} \\ \|x_k - a\| &\leq \frac{\|x_1 - x_0\|}{2^{k-1}} && \text{if } \lambda\mu\nu = \frac{1}{2},\end{aligned}$$

and

$$\frac{2\|x_{k+1} - x_k\|}{1 + \sqrt{(1 + 4\theta^{2k}(1 + \theta^{2k})^{-2})}} \leq \|x_k - a\| \leq \theta^{2k-1} \|x_k - x_{k-1}\|.$$

We can now specialize Theorems 41.1 and 41.2 to the search of zeros of the derivative  $J': \Omega \rightarrow E'$ , of a function  $J: \Omega \rightarrow \mathbb{R}$ , with  $\Omega \subseteq E$ . The second derivative  $J''$  of  $J$  is a continuous bilinear form  $J'': E \times E \rightarrow \mathbb{R}$ , but it is convenient to view it as a linear map in  $\mathcal{L}(E, E')$ ; the continuous linear form  $J''(u)$  is given by  $J''(u)(v) = J''(u, v)$ . In our next theorem, which follows immediately from Theorem 41.1, we assume that the  $A_k(x)$  are isomorphisms in  $\mathcal{L}(E, E')$ .

**Theorem 41.4.** *Let  $E$  be a Banach space, let  $J: \Omega \rightarrow \mathbb{R}$  be twice differentiable on the open subset  $\Omega \subseteq E$ , and assume that there are constants  $r, M, \beta > 0$  such that if we let*

$$B = \{x \in E \mid \|x - x_0\| \leq r\} \subseteq \Omega,$$

then