

#### **Business Statement**

This Kaggle competition challenges data scientists to show how publicly funded data are used to serve science and society.

- 1. Can NLP find the hidden-in-plain-sight data citations?
- 2. Can ML find the link between the words used in research articles and the data referenced in the article?

## **Evidence-Based Policymaking (EBP)**

- All federal agencies are now mandated to show how their data are being used per Foundations of Evidence-based Policymaking Act (2016)
- Evidence through data is critical if government is to address the many threats facing society, including; pandemics, climate change, Alzheimer's disease, child hunger, increasing food production, maintaining biodiversity, and addressing many other challenges.
- Automated NLP tool will enable government agencies and researchers to quickly find the information they need:
  - What datasets are being used to solve problems
  - What measures are being generated
  - Which researchers are the experts

## **Sequence Labeling**

- Sequence Labeling (SL) is a type of pattern recognition task in NLP
- Sequence Labeling takes a sequence of input instance and learn to predict an optimal sequence of labels.



This project uses data from the Kaggle competition Coleridge Initiative where scientific publications from numerous research areas gathered from CHORUS publisher members and other sources

- There are 19,661 publications
- 130 labels





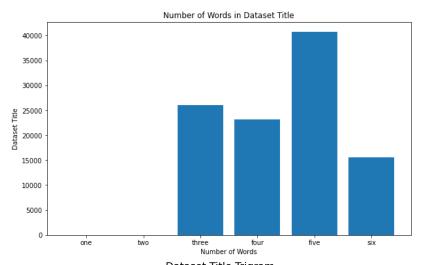
### **Data Annotation**

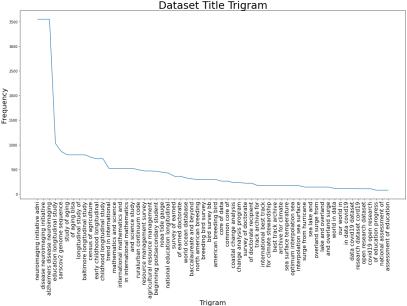
	Sentence	Label
64502	the international community came together to b	rsna international covid open radiology database
64503	then several 3cl pro ligand complexes are used	cas covid 19 antiviral candidate compounds dat
64504	mccs was then applied to carry out the virtual	cas covid 19 antiviral candidate compounds dat
64505	section title repurposing cas covid 19 antivi	cas covid 19 antiviral candidate compounds dat
64506	using this data we applied a variety of machin	cas covid 19 antiviral candidate compounds dat
64507	nearly 50 000 substances from the cas covid 19	cas covid 19 antiviral candidate compounds dat
64508	the model was then also applied to the cas cov	cas covid 19 antiviral candidate compounds dat
64509	using suitable binary classifiers we were able	cas covid 19 antiviral candidate compounds dat
64510	after data cleaning and chemical structure sta	cas covid 19 antiviral candidate compounds dat
64511	after data cleaning and chemical structure sta	cas covid 19 antiviral candidate compounds data

	Sentence	Entities
114805	nearly 50 000 substances from the cas covid 19	{'entities': [(34, 84, 'DATASET')]}
114806	some predicted molecules of these models were	{'entities': []}
114807	the model was then also applied to the cas cov	{'entities': [(39, 89, 'DATASET')]}
114808	the model predicted that 970 of these chemical	{'entities': []}
114809	using suitable binary classifiers we were able	{'entities': [(117, 167, 'DATASET')]}
114810	through these screenings we identified many po	{'entities': []}
114811	after data cleaning and chemical structure sta	{'entities': [(243, 294, 'DATASET')]}
114812	these searches led to the identification of st	{'entities': []}
114813	after data cleaning and chemical structure sta	{'entities': [(243, 290, 'DATASET')]}
114814	these searches led to the identification of st	{'entities': []}

- Collect a set of representative training sentences
- 2. Label each sentence
- 3. Train a classifier to predict the labels of each annotated training sentences
- 4. Test to see if the classifier appropriately output the recognized label

#### **N-Grams**



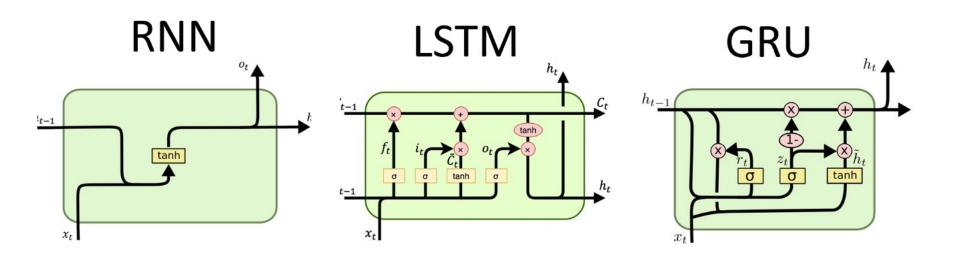


An n-gram model is the simplest model that assigns probabilities to sentences and sequences of words. i.e. tri-gram = how often three particular words occurs together

N-gram models process the tokens independently i.e. not considering word order. This is called a bag-of-words approach.

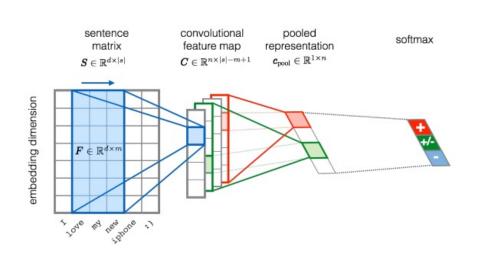
Few common classifiers: random forest, support vector machine (SVM) and naive Bayes.

## Recurrent Neural Networks (RNNs)



- Input gate regulates how much of the new cell state to keep.
- Forget gate regulates how much of the existing memory to forget.
- Output gate regulates how much of the cell state should be exposed to the next layers of the network.

## Convolutional Neural Network (CNNs)



When think about Convolutional Neural Network (CNNs), we typically think of Computer Vision. However, CNNs can also be applied to NLP. Instead of image pixels, sentences or documents represented as a matrix are the input.

CNNs can identify special pattern of an ngram in the sentence regardless of their position.

While the RNN computes a weighted combination of all words in the sentence, the CNN extracts the most informative n-grams.

CNNs is much faster than RNNs and much less computationally expensive than n-grams

## spaCy Named Entity Recognition

This study used data from the National Education Longitudinal Study DATASET

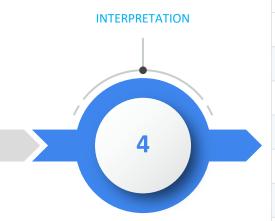
(NELS:88) to examine the effects of dual enrollment programs for high school students on college degree attainment.

the international community came together to build the rsna international covid open radiology database DATASET ricord 1 which will be accessible to all investigator to further scientific knowledge and facilitate the development of rapid quantitative assessment tool

spaCy is an open-source library for advanced NLP in Python.

For this particular task, we will use NER, or Named Entity Recognition, to Identify token spans of entity DATASET mentions in sentences, and classify them into predefined labels.

# **Summary of Key Findings**



#	Model	Accuracy	CV	Precision	Recall	F1
0	CLF RandomForestClassifier	0.75	0.78	0.44	0.45	0.44
1	CLF Linear Support Vector Machine	0.62	0.62	0.21	0.09	0.11
2	CLF MultinomialNB	0.64	0.62	0.25	0.10	0.12
3	DL GRU	0.83	-	0.29	0.33	0.3
4	DL Bidirectional LSTM	0.83	-	0.28	0.31	0.28
5	DL sep-CNN	0.48	-	0.0	0.01	0.01
6	spaCy NER	0.81	-	-	-	-

- Highly imbalanced dataset
- Number of training samples is not enough
- Missing focus on tweaking the hyper-parameters

#### **Future Work**

1<sup>st</sup> Place Winning Notebook (0.576):

https://www.kaggle.com/dathudeptrai/biomed-roberta-scibert-base https://www.kaggle.com/suicaokhoailang/submit-gpt-spacy?scriptVersionId=66488765

- Context Similarity via Deep Metric Learning
  - A shared Bert model for extract Context Embedding and Sequence Tokens Embedding
  - An ArcFace Loss for training Mask/NoMask Embedding
  - A BCE loss for training NER model to detect dataset citation in the input string
- Text extraction model with CLM backbone and beamsearch GPT

2nd Place Winning Notebook (0.575):

https://www.kaggle.com/c/coleridgeinitiative-show-us-the-data/discussion/248296

- Search for named entities using the Schwartz-Hearst algorithm
  - Filter candidates using a fine-tuned Roberta-base binary classifier
  - Threshold and propagate candidates

### Recommendations

- 1. With supervised learning algorithms, large annotated data for training is required which are expensive and often take a lot of time. Future efforts could be dedicated on providing more effective deep transfer learning models and exploring appropriate data augmentation techniques (He et al., 2020).
- 2. Most neural models for sequence labeling do not scale well because when the size of data grows, the parameters of models increase exponentially, leading to the high complexity of back propagation. There exists need for developing approaches to balance model complexity and scalability (He et al., 2020).
- 3. Increasing access to confidential data presumed significantly increasing privacy risks. However, the country's laws and practices are not currently optimized to support the use of data for evidence building, nor in a manner that best protects privacy. We need to improve data security and privacy protections beyond what exists today (US CEP, 2017).

# THANK YOU

# APPENDIX

## Reference

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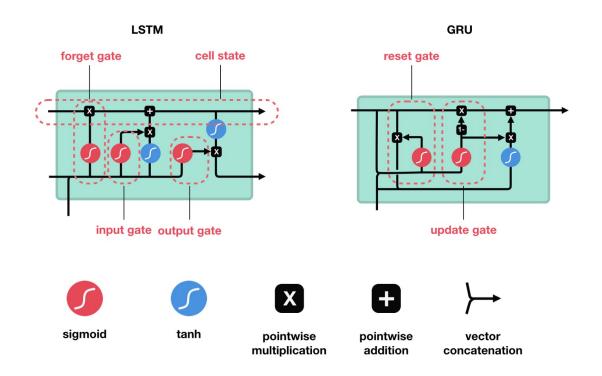
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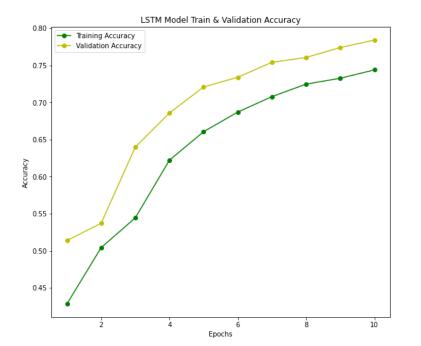
United States Commission on Evidence-Based Policymaking. (2017). The Promise of Evidence-Based Policymaking: Report of the Commission on Evidence-Based Policymaking.

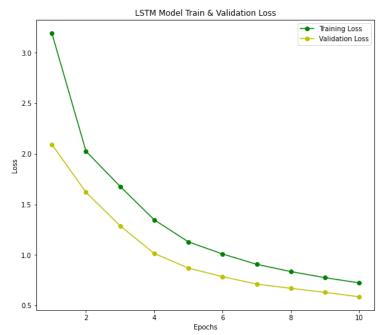
Top 100 Most Common Words in cleaned\_label international ocean' best' doctorate baccalaureate core es industrial development sedneuc beginni codes' usda' archive' high' \_management 'analysis' dataset esource north coastal model' peyond . stewardship optimum engineering track progress blsa'

## Bidirectional LSTM vs. GRU



- GRU has two gates (reset and update gates) whereas an LSTM has three gates (input, output and forget gates)
- LSTM remember longer sequences than GRU
- GRU is simpler and trains faster than LSTM

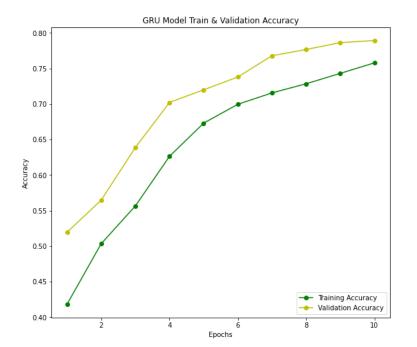


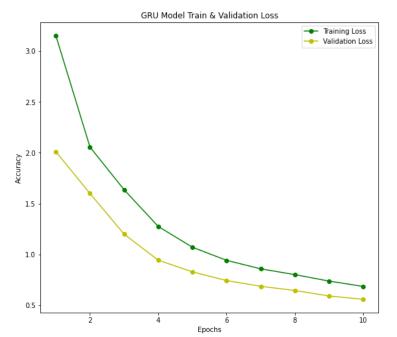


Train loss & accuracy: [0.34700754284858704, 0.8368695378303528]

0.3859 - acc: 0.8283

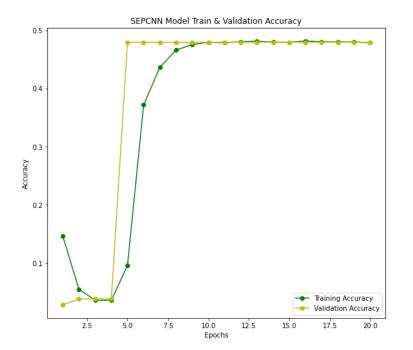
Test loss & accuracy: [0.3859459459781647, 0.8282570242881775]

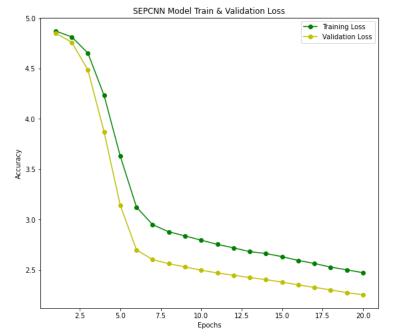




Train loss & accuracy: [0.5257872343063354, 0.7959076762199402]

Test loss & accuracy: [0.5597025156021118, 0.7893512845039368]





2.3333 - acc: 0.4805

Train loss & accuracy: [2.333292007446289, 0.4804975986480713]

404/404 [============= ] - 6s 15ms/step - loss:

2.3373 - acc: 0.4797

Test loss & accuracy: [2.3373019695281982, 0.4796558916568756]

```
cleaned label: {'adni', 'alzheimer s disease neuroimaging initiative
adni '}
RandomForestClassifier label: {'adni'}
MultinomialNB_label: {'adni'}
SGDClassifier label: {'adni'}
lstm label: {'adni'}
gru label: {'adni'}
sepcnn label: {'adni'}
spacy label: adni
cleaned label: {'common core of data', 'trends in international
mathematics and science study', 'nces common core of data'}
RandomForestClassifier_label: {'adni', 'common core of data',
'census of agriculture', 'trends in international mathematics and
science study', 'ibtracs', 'program for the international assessment
of adult competencies', 'baccalaureate and beyond'}
MultinomialNB label: {'beginning postsecondary student', 'adni',
'trends in international mathematics and science study', 'early
childhood longitudinal study'}
SGDClassifier label: {'common core of data', 'adni', 'trends in
international mathematics and science study'}
lstm label: {'adni'}
gru label: {'our world in data'}
sepcnn label: {'adni'}
spacy label: trends in international mathematics and science study
cleaned label: {'slosh model', 'noaa storm surge inundation', 'sea
lake and overland surges from hurricanes'}
RandomForestClassifier_label: {'noaa tide gauge', 'slosh model',
'adni', 'ibtracs', 'noaa storm surge inundation'}
MultinomialNB label: {'slosh model', 'adni'}
SGDClassifier label: {'adni'}
lstm label: {'ibtracs'}
gru label: {'ibtracs'}
sepcnn label: {'adni'}
spacy label: slosh model
cleaned label: {'rural urban continuum codes'}
RandomForestClassifier label: {'adni', 'census of agriculture',
'rural urban continuum codes', 'ibtracs'}
MultinomialNB_label: {'adni', 'rural urban continuum codes'}
SGDClassifier_label: {'adni', 'rural urban continuum codes'}
lstm label: {'adni'}
gru label: {'adni'}
sepcnn label: {'adni'}
spacy label: rural urban continuum codes
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