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Selection levels on vocal individuality: strategic use or byproduct



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In animals, large variation for vocal individuality between and within call types exist, yet we know little on what level selection is taking place. Identifying the selection pressures causing this variation in individuality will provide insight into the evolutionary relationships between cognitive and behavioral processes and communication systems, particularly in group-living species where repeated interactions are common. Analyzing a species' full, large vocal repertoire on individual signatures, its biological function, and the respective selection pressures is challenging. Here, we emphasize that comparing the acoustic individual distinctiveness between life-history stages and different subjects within a call type will allow the identification of selection pressures and enhance the understanding of variation in individuality and its potential strategic use by senders.

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Introduction

The expression and recognition of individuality in vocalizations play a central role in social interactions, particularly in group-living species where the social dynamics are based on repeated interactions. In recent years, much research has been done on vocal individuality and its function (recent review [1]), but less is known on the strategic use of individual signatures and the fitness consequences in regard to advertising individuality. However, knowledge on the flexibility of signalers in the production of such signatures is crucial to understanding its strategic use or evolution as an anatomical byproduct. Here, we review the last 5 years of research on vocal individuality including fundamental findings from previous years to emphasize the need for investigating the selection level on acoustic identity cues found across taxa.

To determine the level of strategic use, including the according selection for or against individuality in vocalizations, and to understand whether such cues are simply a byproduct, for example, of growth or maturation, requires not only the identification of the maximal range of individuality within the acoustics of a call type, but also factors related to traits of the involved animals on an individual as well as species scale. Additionally, the respective environment impacts call variation. Considering that selection can operate on the sender, receiver, and signal itself makes it necessary to disentangle these different parts into their specific components which then can be related to the context in which a call is produced. Thereby, the call context is defined by the social and ecological environment as well as the indexical traits (morphometric, motivational, and emotional state) of the sender and receiver. These context components vary along life stages differently, thereby enabling predictions on how individual signatures will change in relation to the impact of the social or ecological environment and the indexical traits.

Life history stages, clearly defined ontological and social periods in the life of an individual, are often associated with specific selective pressures that can impact variation in the production and recognition of individual signatures. This variation is predicted to correlate with the benefits and costs of caller discrimination for the caller and/or receivers [2] and indicates a large range of individual flexibility in a species' call repertoire and within its call types. Ultimately, considering individual variation at different life-history stages for different types of subjects allows us to identify the various responsible selective pressures on vocal individuality, and contribute to our understanding of the social dynamics within species.

Individual signatures

Individual signatures have been documented in the acoustic parameters of many species across taxa (Supplemental Table 1) and are thought to be shaped by a species-specific mix of genetic, environmental (physical and social), and developmental factors [3–5]. They can be present in a range of acoustic signals,

from tonal to noisy calls, simple [6,7] to complex structured calls, notes, or songs [8-11], and from strongly stereotyped to highly variable calls [12]. Both innate [6,13] and learned calls [3,14] can contain individual signatures, also within the same species [15,16]. Cues for caller identity can be found within a few or across several spectral, temporal, and amplitude features [6,17–21], and in the timing, sequence, combinations, or repertoires of calls [9.16,22–24].

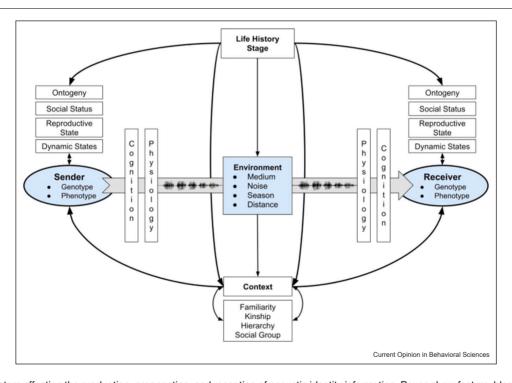
Individual signatures may vary across a species' full vocal repertoire. Levels of individual distinctiveness may be similar [25] or vary across call types [12,15,24,26–28]. Moreover, the acoustic features which most strongly encode identity can be similar or different [12,25,27] across call types, indicating that some species may need to memorize different acoustic features for recognition depending on the call type. Call function can also strongly impact individual signatures. The few studies examining individuality across call contexts within species have produced varied, and sometimes conflicting

results. In some species, the strongest signatures are found in affiliative calls used to facilitate social interactions [12,28–32] likely due to the importance of identity in maintaining social relationships, while in others they may be in high arousal or territorial calls where recognition strongly benefits the caller [26,30,33], although see [28], or in longer distance calls [34] where other sensory cues are absent.

The recognition process

Individual recognition is a specific form of categorybased recognition where identity cues or signals are produced by a sender, propagated through the environment, and perceived and recognized by a receiver(s), potentially resulting in a behavioral or physiological response (Figure 1) [2,35]. Individual recognition can be selected for if fitness benefits (e.g. conflict avoidance, ignoring unreliable signalers) outweigh the costs (e.g. energetic costs, time) for the sender and/or receiver [1,2,36–38]. Adaptations favoring this process can occur at any stage within the recognition process.

Figure 1



Interactions of factors affecting the production, propagation, and reception of acoustic identity information. Boxes show factors; black arrows indicate the direction of influence. The acoustic individual signature is represented by the bold gray arrow from sender to receiver. Identity information included in an acoustic signal is shaped by the sender's physiology and potential cognitive control before being filtered by the environment during transmission and propagation. The receiver physiologically perceives the signal and needs to cognitively process it. The motivation to encode or decode identity information is not only affected by the genotype as well as phenotype of an individual but is also influenced by the current ontogenetic and reproductive stage, social status, and dynamic state (e.g. arousal level) of the respective sender or receiver. Additionally, the context and familiarity between individuals based on their social and genetic relationship affect the usage of identity information. Overall, these factors can vary depending on an individual's life history stage (e.g. changes in physical and social environment across life stages as offspring move from more restricted birth locations such as nests or underground burrows to more open locations with potentially more distant intended receivers) and ultimately have an influence on the costs and benefits of encoding and decoding identity information in an acoustic signal.

Production

Acoustic output is produced through a combination of processes involving neural activity, physiology and morphology, muscle activation, and physical mechanisms of sound production [39,40]. Voiced sound is typically produced when air from the lungs passes through a sound source (larynx in mammals, anuras, reptiles; syrinx in birds) to generate vocal fold oscillations [41,42] that form the glottal waveform (the 'source') containing a fundamental frequency (F0, related to vocal fold mass, tension, and length) and harmonics [43]. This 'source' signal passes through the remaining vocal tract (the 'filter') and is altered by resonance frequencies to form spectral peaks (formants, related to vocal tract size and shape) [43,44]. Natural variation, adaptations, and exaptations [45–48] to this system contribute significantly to the wide acoustic diversity in animals [45,49–53].

Identity signatures are often related to 'static' indexical traits of the caller that reflect characteristics of the vocal production anatomy [19,54]. For instance, spectral and temporal identity features are correlated with morphological characteristics in burrowing petrels (Pterodroma axillaris) [13]. In king penguins (Aptenodytes patagonicus), differing lengths of left-side and right-side vibratory tissues within the syrinx produce distinctive dual-source vocal output with unique signatures [55]. Formants in particular are thought to be reliable identity cues due to causal relationships between differing vocal tract morphology between callers, and resultant formant structures [19,54]. This relationship has been demonstrated across many species [28,56–58] though not universally [57,59].

Acoustic variation within call types can also provide a variety of information on 'dynamic' states of the caller (e.g. emotion or motivation [18], short-term endocrine states [45]), via modulations to source-related and filterrelated anatomy that impact features such as F0, duration, and amplitude [43,60], formants [43,49,54,60-62], or timing. However, identity signatures can persist despite such acoustic alterations. Individual distinctiveness persists in zebra finch (*Taeniopygia guttata*) calls despite stress-induced flexibility in acoustic features [63]. Furthermore, identity signatures can also be encoded in consistent modulation patterns of acoustic features (e.g. modulation of F0 [9,64-69], amplitude [20], or formants [57]).

Recognition by receivers

Recognition is typically tested via playback experiments, although care must be taken in the interpretation of the observed responses [1]. The presence of acoustic individual distinctiveness does not necessarily mean that receivers are able to discriminate between callers or even perceive a difference [70] and the most individually distinct calls may not elicit the strongest recognition responses [15]. Mechanisms of recognition may involve template formation and matching [36] through processes such as innate or learned acquisition via habituation and/ or associated learning and often depend upon context [36,37]. Recognition direction (unidirectional versus bidirectional) and levels (singular versus multiple individuals recognized) can vary [1,37] and the level of specificity (individuals in a set) and multiplicity (number of sets differentiated) can vary according to selection pressures but is predicted to remain as minimal as possible if increased recognition complexity leads to increased cognitive demands and costs [37]. Difficulties in recognition research is often based on the fact that we mainly rely on behavioral responses to playbacks to test individual recognition, while some test contexts might not require an immediate behavioral response or be of advantage [71], and the recognition of individuality may only induce unobserved physiological or neurobiological changes [72-77].

Propagation

Acoustic signatures and perception abilities are predicted to be adapted to the local propagation environment depending on call context, function, and intended receivers with the salient distances that identity information travels ('active space') varying depending on these factors [78–86]. For example, the marine environment restricts visual communication and affects acoustic features in a way that promotes the use of frequency modulation patterns as individual signatures in dolphins [87]. In mammals, signatures travel shorter distances for calls utilized in close-distance contexts and noisy/closed habitats (e.g. mother-offspring interactions [88,89] or close-distance sexual calls [90]), and further in open-habitat species in long-distance contexts (e.g. territorial [69] and long-distance contact calls [91]). In the complex pant hoots of male chimpanzees (Pan troglodytes schweinfurthii), identity signatures are strongest in the early low-amplitude phases and high-amplitude climax phase but not the later let-down phase, potentially indicating the importance of advertising identity to receivers at both close and far distances [11]. Identity cues in distance calls are salient over longer distances in male zebra finches than females, due to increased F0 modulation in males, indicating different sex-based selection pressures for identity signaling [66]. Furthermore, the acoustic features that best encode identity may differ across distances [66].

Evolutionary drivers of individual recognition

The benefits of establishing and maintaining relationships with specific individuals in social species can act as a selective force for individual recognition [2,36–38]. Selection is thought to be especially strong in species with large social group sizes [92–94] although see [95], highly temporally and/or spatially dynamic [3,5,92,96] or colonial social systems [5,97,98]. For example, individual recognition of learned contact calls in many parrot species [3,99] and signature whistles in dolphins [92] are likely selected due to recognition benefits within their fission–fusion societies. In non-nesting colonial penguins, the benefits of identifying mates and offspring in noisy, dense groups likely drove vocal anatomy adaptations [55] resulting in unique dual voice signatures [10,100]. By comparison, the identity signatures observed within fixed-site nesting penguins are relatively simple [7,101,102]. Additionally, individual recognition may be stronger in species with high levels of cooperative behaviors [37,103,104] or social complexity [24], although see [95].

Flexibility in vocal production and response: impacts on individual recognition

Flexibility in vocal production and recognition

While the range of producible acoustic features varies between individuals due to differences in the sender's current physiology, morphology, and internal states [18,19,52,54], the current environment or social context can add acoustic variation via vocal modulation [45,105,106]. Modifications to acoustic output in response to changes in ambient noise or microhabitat propagation properties [107–117], and changes induced by social conditions or contexts [45,106,118–122] including the presence or characteristics of the intended receivers [120,123] can have significant impacts on individual recognition. The current social environment ('audience effect') can also impact the receiver's response: male zebra finches respond more strongly to their mate's distance calls versus a familiar female if they are in the presence of a mated pair but show no response difference when in the presence of an unmated pair or males [124], although see [15]. Furthermore, some species can exert varying degrees of volitional control or flexibility (beyond simple arousal-based triggers) over their vocal output [125-130] which can strongly influence vocal identity [131]. Such control can form the basis of vocal learning processes [132], including vocal accommodation [133], which can also impact identity coding [134], for example, parrots contact calls [3], dolphin signature whistles [92], and birdsong [135,136]. Despite these gradual or rapid changes to acoustic features, identity information within a call can still be maintained by retaining certain fine-scale structural features [134,137–139]. Diverging from previously encountered auditory models can even enhance individual distinctiveness if recognition is beneficial [132]. Ravignani and Garcia [140] suggest that vocal production learning resulting in frequency shifts to deviate from existing call ranges could lead to enhanced individual recognition. All of these factors involved in vocal modification are strongly impacted by changes in life-history stage and social status (Figure 1) [132].

Life stage impacts on individual recognition

Vocal distinctiveness and recognition abilities may vary across ontological stages [3,116,135,136,141–144], due to differences in recognition costs and benefits depending on age and/or call contexts [30,145,146] or morphological diversification of vocal anatomy with age. Subadult males show lower vocal distinctiveness than adults in Cape fur seals (Arctocephalus pusillus) [30] and northern elephant seals (Mirounga angustirostris) [147], likely reflecting lower selective pressures for individual recognition in younger age classes. In contrast, mother-offspring attraction calls in Cape fur seals [30] and other colonial pinnipeds [97] have high levels of distinctiveness in both adults and pups due to selection pressure in noisy, dense colonies. Zebra finch fledglings of both sexes respond similarly to parental calls, but male responses diminished faster with age than female responses, potentially linked to the continued importance of parental recognition during mate choice in females [148]. Changes in signature production and recognition can also be influenced by social and auditory experience through vocal learning-related modifications [149-153]. Male zebra finches initially produce innate individualized distance calls with high heritability and relatively low interindividual variation (as do females), but these are overwritten over time through social experienced-based vocal learning resulting in lower heritability and higher interindividual variation, while 'indexical' cues to body morphology remain [16,154]. Through tutors, males also learn to produce complex songs that have even higher individuality [16]. The production and recognition to specific call types can vary by sex and reproductive state [118,155]: during breeding, female zebra finches (but not males) show differences in their auditory perception and responses to contact calls emitted from familiar but not unfamiliar senders, suggesting a potential functional benefit recognizing and responding to familiar individuals during critical life stages such as breeding [118]. Male winter wrens (Troglodytes hiemalis) show stronger responses to neighbors than strangers but only during territorial settlement [156]. Life-stage related trade-offs may also occur between signaling identity and other aspects such as quality [157,158], although see [159] or social closeness following vocal accommodation [134].

Stability of vocal recognition

While individual recognition requires some degree of signature stability over time, the timing and duration of such stability may be strongly influenced by the costs and benefits of individual recognition within the call context in particular life stages (e.g. mate recognition, territorial defense, social affiliation). For instance, species that derive fitness benefits from long-term social bonds are likely to exhibit higher vocal identity stability over time, as seen in two socially important call types of African penguins (*Spheniscus demersus*), a long-term

monogamous seabird with strong territorial site-fidelity [160], also see [161–164]. However, stability can change even within life stages: in Magellanic penguins (Spheniscus magellanicus), females responded less to their mate's call when mated for more years and male responses to mutual display calls increased over the breeding season [165]. Signatures that have high individuality, but only over short time periods may reflect changes in the costs and benefits of recognition across life history or social status stages, changes to local physical or social environments, or experience-based modifications to vocal output, see [166–172].

Conclusion

Studies within the last five years examine acoustic signatures of individuality across a range of subjects, aspects of interest, call types, social contexts, and analysis methods (Supplemental Table 1). In their review of the receiver side of the recognition process, Carlson et al. [1] highlight research gaps, such as taxonomic biases towards birds and mammals, and make suggestions for future research, for example, temporal stability and levels of recognition. Here we wish to emphasize, while most research on individual recognition has been done at the mechanistic level, that is, how individual information is encoded and recognized, we need to evaluate the plasticity of individuality at the functional level, that is, why individual information is encoded and recognized. Investigating the selection level on vocal individuality will provide a vital part of the evolutionary relationships between specific cognitive and behavioral processes and communication systems.

In order to gain a clearer understanding of the drivers and mechanisms of individual recognition, it is important to consider a species' entire vocal repertoire. While assessing vocal recognition in multiple species across their vocal repertoires in comparison with ecological and social influences on call function and content would be optimal [27], it can be challenging. Especially in species with large vocal repertoires, given it requires extensive knowledge and testing, as receiver discrimination abilities need to be considered, which may not be highly correlated with measured distinctiveness [173]. To aid such comparisons, future studies should utilize individuality measures which are more comparable both within and across species [174,175], based on the understanding on which of the different context components selection happens. Furthermore, playback presentations paired with physiological (e.g. heart rate [176–178], hormone levels [179,180], body temperature [181,182]) or neural [72,183] response measures in addition to behavioral responses could help address challenges in assessing receiver recognition of specific individuals. For instance, little blue penguin chicks (Eudyptula minor) respond with higher heart rates to playbacks of familiar individuals than strangers despite showing no outward behavioral response differences [176]. However, we acknowledge the potential difficulty in incorporating such methodologies across species.

Focused approaches can elucidate where selection acts on individuality versus being a byproduct that can be utilized by the receivers. To understand the selection processes on the flexible use on the production and recognition side, the comparison of individual signatures in different call types related to different life stages enables us to discriminate innate and ontogenetic effects versus voluntary control. Such investigations could be performed using species that have both innate and volitional or learned calls [184], as seen in some species of bats [185,186], for example, Greater sac-winged bats, Saccopteryx bilineata [139,187], and songbirds [136], for example, zebra finches [16,154]. Intraindividual flexibility of acoustic features in animals is seldom examined [45,188]. Therefore, studying how identity is expressed within the same call type across multiple behavioral contexts can decode the selection pressures and mechanisms driving individual recognition. While this approach is not universally possible in all species, it could be applied in certain cases, such as some Rallidae [6,189] or mongoose [190] species where structurally similar calls are produced across multiple contexts. Lastly, testing for changes of individual signatures along different life stages within the same call type will bring conclusions on the flexibility of acoustic individuality including connected proximate mechanisms (e.g. anatomical, physiological change) and to what extent it is under volitional control allowing for strategic use in social interactions.

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Conflict of interest statement

Nothing declared.

Supporting information

Supplementary data associated with this article can be found in the online version at doi:10.1016/j.cobeha.2022. 101140.

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