

### Warm-up

**Problem 1.** The product of two  $n \times n$  matrices  $X$  and  $Y$  is a third  $n \times n$  matrix  $Z = XY$ , where the  $(i, j)$  entry of  $Z$  is  $Z_{ij} = \sum_{k=1}^n X_{ik}Y_{kj}$ . Suppose that  $X$  and  $Y$  are divided into four  $n/2 \times n/2$  blocks each:

$$X = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \text{ and } Y = \begin{bmatrix} E & F \\ G & H \end{bmatrix}.$$

Using this block notation we can express the product of  $X$  and  $Y$  as follows

$$XY = \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{bmatrix}.$$

In this way, one multiplication of  $n \times n$  matrices can be expressed in terms of 8 multiplications and 4 additions that involve  $n/2 \times n/2$  matrices. Let  $T(n)$  be the time complexity of multiplying two  $n \times n$  matrices using this recursive algorithm.

- a) Derive the recurrence for  $T(n)$ . (Assume adding two  $k \times k$  matrices takes  $O(k^2)$  time.)
- b) Solve the recurrence by unrolling it.

**Problem 2.** Similar to the integer multiplication algorithm, there are algebraic identities that allow us to express the product of two  $n \times n$  matrices in terms of 7 multiplications and  $O(1)$  additions involving  $n/2 \times n/2$  matrices. Let  $T(n)$  be the time complexity of multiplying two  $n \times n$  matrices using this recursive algorithm.

- a) Derive the recurrence for  $T(n)$ . (Assume adding two  $k \times k$  matrices takes  $O(k^2)$  time.)
- b) Solve the recurrence by unrolling it.

### Problem solving

**Problem 3.** Your friend Alex is very excited because they have discovered a novel algorithm for sorting an array of  $n$  numbers. The algorithm makes three recursive calls on arrays of size  $\frac{2n}{3}$  and spends only  $O(1)$  time per call.

```

1: function NEW-SORT( $A$ )
2:   if  $|A| < 3$  then
3:     Sort  $A$  directly
4:   else
5:     NEW-SORT( $A[0 : 2n/3]$ )
6:     NEW-SORT( $A[n/3 : n]$ )
7:     NEW-SORT( $A[0 : 2n/3]$ )

```

Alex thinks their breakthrough sorting algorithm is very fast but has no idea how to analyze its complexity or prove its correctness. Your task is to help Alex:

- a) Find the time complexity of NEW-SORT.
- b) Prove that the algorithm actually sorts the input array.

**Problem 4.** Suppose we are given an array  $A$  with  $n$  distinct numbers. We say an index  $i$  is locally optimal if  $A[i] < A[i-1]$  and  $A[i] < A[i+1]$  for  $0 < i < n-1$ , or  $A[i] < A[i+1]$  for if  $i = 0$ , or  $A[i] < A[i-1]$  for  $i = n-1$ .

Design an algorithm for finding a locally optimal index using divide and conquer. Your algorithm should run in  $O(\log n)$  time.

**Problem 5.** Given two sorted lists of size  $m$  and  $n$ . Give an  $O(\log(m+n))$  time algorithm for finding the  $k$ th smallest element in the union of the two lists.

**Problem 6.** Solve the following recurrences using the Master Theorem or unrolling (the solutions will use the Master Theorem to allow you to practice that). All are  $O(1)$  for  $n = 1$ .

a)  $T(n) = 4T(n/2) + O(n^2)$

b)  $T(n) = T(n/2) + O(2^n)$

c)  $T(n) = 16T(n/4) + O(n)$

d)  $T(n) = 2T(n/2) + O(n \log n)$

e)  $T(n) = \sqrt{2}T(n/2) + O(\log n)$

f)  $T(n) = 3T(n/2) + O(n)$

g)  $T(n) = 3T(n/3) + O(\sqrt{n})$

**Problem 1.** The product of two  $n \times n$  matrices  $X$  and  $Y$  is a third  $n \times n$  matrix  $Z = XY$ , where the  $(i, j)$  entry of  $Z$  is  $Z_{ij} = \sum_{k=1}^n X_{ik} Y_{kj}$ . Suppose that  $X$  and  $Y$  are divided into four  $n/2 \times n/2$  blocks each:

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- Derive the recurrence for  $T(n)$ . (Assume adding two  $k \times k$  matrices takes  $O(k^2)$  time.)
- Solve the recurrence by unrolling it.

$$(a) \quad T(n) = 8T\left(\frac{n}{2}\right) + 4O(n^2) \quad \text{i.e. } k = \frac{n}{2}$$

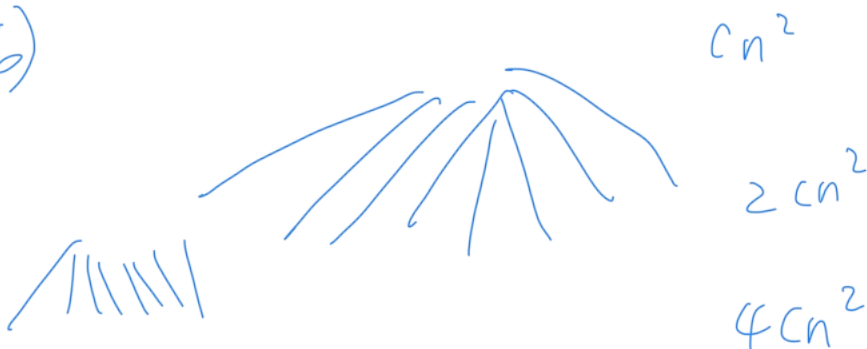
$$a = 8 \quad b = 2 \quad \log_b a = 3$$

$$f(n) = 4O(n^2) = n^2$$

So Case 1

$$\text{we have } O(n^3) = T(n)$$

(b)



**Problem 2.** Similar to the integer multiplication algorithm, there are algebraic identities that allow us to express the product of two  $n \times n$  matrices in terms of 7 multiplications and  $O(1)$  additions involving  $n/2 \times n/2$  matrices. Let  $T(n)$  be the time complexity of multiplying two  $n \times n$  matrices using this recursive algorithm.

- Derive the recurrence for  $T(n)$ . (Assume adding two  $k \times k$  matrices takes  $O(k^2)$  time.)
- Solve the recurrence by unrolling it.

$$(a) \quad T(n) = \begin{cases} 7T\left(\frac{n}{2}\right) + O(n^2) \\ O(1) \end{cases}$$

$a=7$        $b=2$

**Problem 3.** Your friend Alex is very excited because they have discovered a novel algorithm for sorting an array of  $n$  numbers. The algorithm makes three recursive calls on arrays of size  $\frac{2n}{3}$  and spends only  $O(1)$  time per call.

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Alex thinks their breakthrough sorting algorithm is very fast but has no idea how to analyze its complexity or prove its correctness. Your task is to help Alex:

- Find the time complexity of NEW-SORT.
- Prove that the algorithm actually sorts the input array.

$$(a) \quad T(n) = \begin{cases} 3 T(\frac{2}{3}n) + O(1) \\ O(1) \end{cases}$$

why?

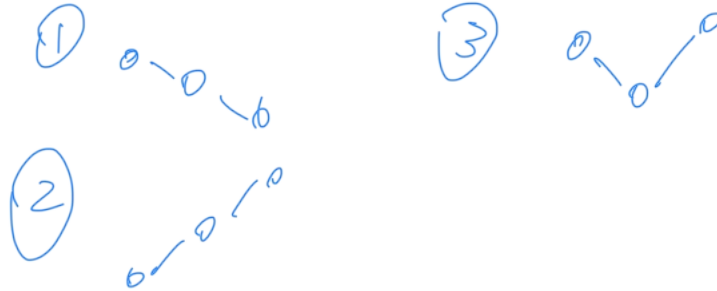
Because we just manipulate original array

**Problem 4.** Suppose we are given an array  $A$  with  $n$  distinct numbers. We say an index  $i$  is locally optimal if  $A[i] < A[i-1]$  and  $A[i] < A[i+1]$  for  $0 < i < n-1$ , or  $A[i] < A[i+1]$  for if  $i = 0$ , or  $A[i] < A[i-1]$  for  $i = n-1$ .

Design an algorithm for finding a locally optimal index using divide and conquer. Your algorithm should run in  $O(\log n)$  time.

找到一个坑洞, unsorted array

Base case: Array with 3 elements



**Problem 6.** Solve the following recurrences using the Master Theorem or unrolling (the solutions will use the Master Theorem to allow you to practice that). All are  $O(1)$  for  $n = 1$ .

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g)  $T(n) = 3T(n/3) + O(\sqrt{n})$

Depending on  $a$ ,  $b$  and  $f(n)$  the recurrence solves to:

1. if  $f(n) = O(n^{\log_b a - \epsilon})$  for  $\epsilon > 0$  then  $T(n) = \Theta(n^{\log_b a})$ , *Upper*
2. if  $f(n) = \Theta(n^{\log_b a} \log^k n)$  for  $k \geq 0$  then  $T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$ ,
3. if  $f(n) = \Omega(n^{\log_b a + \epsilon})$  and  $a f(n/b) \leq \delta f(n)$  for  $\epsilon > 0$  and  $\delta < 1$  then  $T(n) = \Theta(f(n))$ , *Lower*

$(\log n)^k$ ?

(a)  $a = 4$   $b = 2$

$\log_2 a = \boxed{2}$

$f(n) = \underline{\underline{n^2}}$

$\Rightarrow \underline{\underline{n^2}} = n^{\boxed{2}} (\log k)^0$  i.e.  $k=0$

so case 2  $\Leftarrow$

$O(n^2 \log n)$

~~★~~ (b)

$a = 1$   $b = 2$

$\log_2 1 = 0 \Rightarrow \underline{\underline{2^n}}$

$f(n) = \underline{\underline{2^n}}$

$T(n) = O(2^n)$

Case (3)

(c)

$a = 16$   $b = 4$

$\log_4 16 = 2 > 1$  Case (1)

$f(n) = n$

$T_n = O(n^2)$

$$(d) \quad a=2 \quad b=2 \quad \log_2 2 = 1$$

$$f(n) = n \log n$$

Case ②

$$T(n) = O(n \log^2 n)$$

$$(e) \quad a=\sqrt{2} \quad b=2$$

$$\log_2 \sqrt{2} = \frac{1}{2}$$

$$f(n) = \log n$$