




## Baranitharan J




Risk & Compliance Professional | MBA Finance and Marketing

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### About Me

Hi I'm Baranitharan a Risk & Compliance professional with 8+ years of experience in compliance testing, operational risk, and internal controls management at leading global banks. I combine technical expertise with people-centred strengths:

-  Adaptation to stay effective under pressure
-  Innovation to design smarter control solutions
-  Teamwork to build collaborative governance cultures

Motivated professional with hands-on experience via job simulation programs and industry certifications. Recently completed multiple training programs to build advanced skills in Risk Management. Eager to apply these skills and continuous learning mindset to a new opportunity in Risk Management.

### Professional Experience

#### Job Simulation Program Virtual May 2025 – Sep 2025

- ✓ Streamlined the transaction flow process by accurately sequencing steps, reducing the risk of material misstatement by 30%, and pinpointing significant accounts at risk, thereby enhancing audit efficiency and reliability.
- ✓ Skilfully authored targeted communications to Senior Accountants, successfully identifying and addressing design flaws in revenue controls which led to a 20% improvement in audit engagement processes.
- ✓ Leveraged Power BI to conduct comprehensive trend analyses, uncovering revenue anomalies that informed strategic decisions and improved financial forecasting accuracy by 15%.
- ✓ Developed and recommended control improvements and compliance strategies, demonstrating the practical application of auditing principles.

#### Wells Fargo – Finance Associate | Chennai | Jan 2024 – May 2025

- ✓ Spearheaded the execution of the internal control testing lifecycle, achieving a 98% accuracy rate and reducing the completion time by 20%.
- ✓ Led data validation and QA reviews, enhancing control effectiveness testing, which resulted in compliance improvement by 15%.
- ✓ Effectively communicated testing outcomes and compliance documentation in collaboration with audit teams and regulators, facilitating successful audits with zero findings.

### **Standard Chartered GBS – Senior Officer | Bangalore | Feb 2021 – Jan 2024**

- ✓ Conducted Risk and Control Self-Assessments to assess operational risk and improve control design.
- ✓ Ensured the integrity of ITGC audits by validating sensitive data controls and access management, contributing to a 100% compliance success rate.
- ✓ Developed dynamic Power BI dashboards that improved control performance tracking by 40% and increased transparency in compliance reporting, enabling more effective governance and oversight

### **L&T Electrical & Automation – Associate | Coimbatore | Nov 2016 – Jul 2017**

- ✓ Oversaw procurement and financial control testing, ensuring alignment with audit policies.

### **TVS Motor Company – Procurement & Controls | Hosur | Oct 2014 – Jul 2016**

- ✓ Executed procurement and inventory compliance testing, ensuring adherence to policies.
- ✓ Supported audit walkthroughs and risk monitoring activities.

### **ITC Limited – QA & Compliance | Chennai | Sep 2013 – Sep 2014**

- ✓ Conducted quality assurance and compliance testing, validating adherence to regulatory and internal standards.
- ✓ Boosted testing efficiency by 25% through the introduction of targeted process improvements, leading to faster resolution times and higher team productivity.

### **Education Details**

- **MBA Finance & Marketing**, Bharathiar University, Coimbatore **May 2019**
- **B. Com (Computer Applications)**, Alagappa University, Karaikudi **May 2015**
- **Diploma In Modern Office Practice**, Arulmigu Palaniandavar Polytechnic College, Palani **May 2013**
- **SSLC**, Madanisa Muslim High School, Palani **May 2010**

### **Certifications**


- **ISO 9001 & 27001**, Great Learning Online **Jul 2025**
- **Power BI Workshop**, Office Master Online **Jun 2025**
- **Market Research and Analysis**, IIT Roorkee Online **Oct 2018**
- **Computer On Office Automation**, Department of Technical Education **Dec 2012**


### **Skills**

- ✓ **Risk & Compliance:** Internal Control Testing, Compliance Testing, Risk Control Self-Assessment, IT General Controls, Audit Readiness, Risk Assessment, COSO Framework, Control Design & Effectiveness, Gap Identification, Remediation Planning, Peer Reviews
- ✓ **Technical Tools:** SAP, Power BI, Excel (dashboards, analytics).

- ✓ Core Competencies: Analytical Thinking, Stakeholder Management, Control Design Process Improvement, Problem-Solving & Testing.

## **Awards**

 **Team Spotlight Award** – Audit readiness excellence **Dec 2024**

 **Gem Award Business Champ** – Compliance & Testing **Feb 2022**

## **Projects**

**Industry Project** - “SAP Inventory Enhancement Project” – TVS Motor Company (**Aug 2015 – Jan 2016**)

- Pinpointed and resolved 3 critical system errors in SAP inventory updates (ODC Creation Code ZMMT008), ensuring the integrity of materials received and processed.
- Collaborated with the SAP functional team to propose and implement system improvements.

**Academic Project** – “Investor Behaviour in Mutual Fund Investment” – Bharathiar University (**Jul 2018 – Nov 2018**)

- Conducted an in-depth study on mutual fund investment behaviour in Coimbatore.
- Analysed factors influencing investor decisions, including risk appetite, return expectations, and demographics.
- Provided actionable insights on key trends affecting investment choices

## **Languages**

- Tamil (Native),
- English (Fluent),
- Hindi (Intermediate)