

Soft X-ray beamline design and optics consideration

Rafael Celestre

rafael.celestre@synchrotron-soleil.fr

Groupe Optique, Division Expériences, Synchrotron SOLEIL

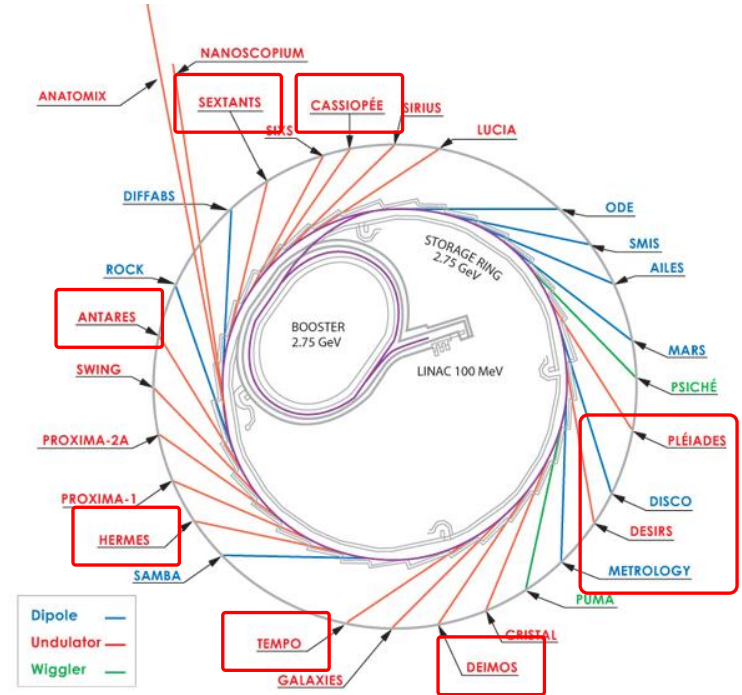
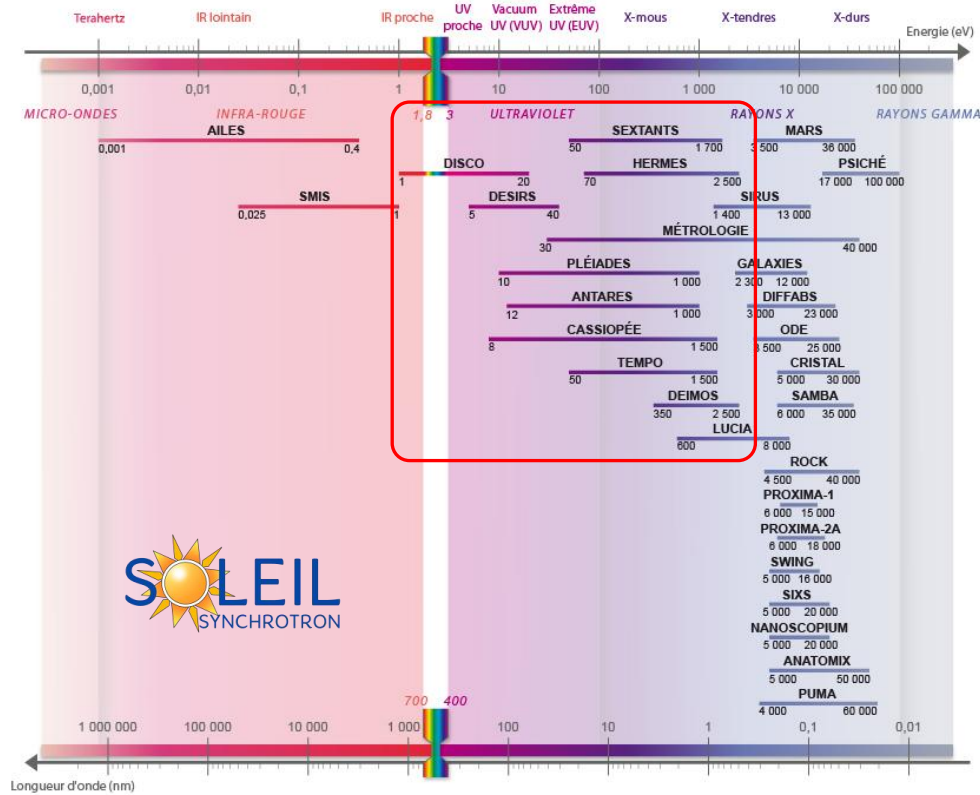


Soft X-ray beamline design and optics consideration

Outline:

- Similar patterns everywhere
- Soft x-ray beamline topology
 - Source
 - Chicane
 - Monochromator
 - Refocusing
 - Experimental station
- Where to learn more?

Soft x-ray beamlines: a “heliocentric” view of optical design



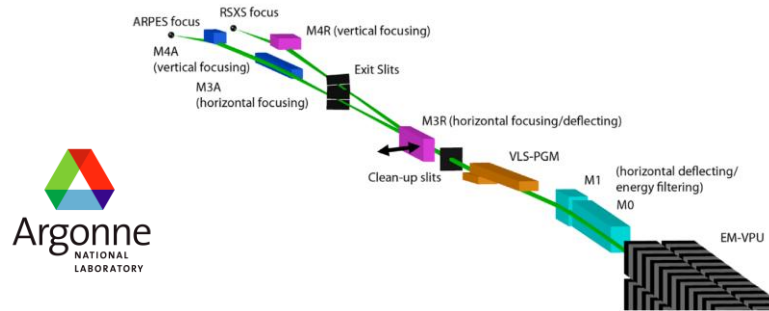
SOLEIL beamlines, their energy ranges together with their position along the storage ring. This talk is based on gathered experience from SOLEIL and SOLEIL-II optical design.

Soft x-ray beamlines: similar patterns everywhere

Same patterns are found within **low-** and **mid- energy storage rings** (the usual suspects: $E < 3$ GeV).

Soft x-ray beamlines: similar patterns everywhere

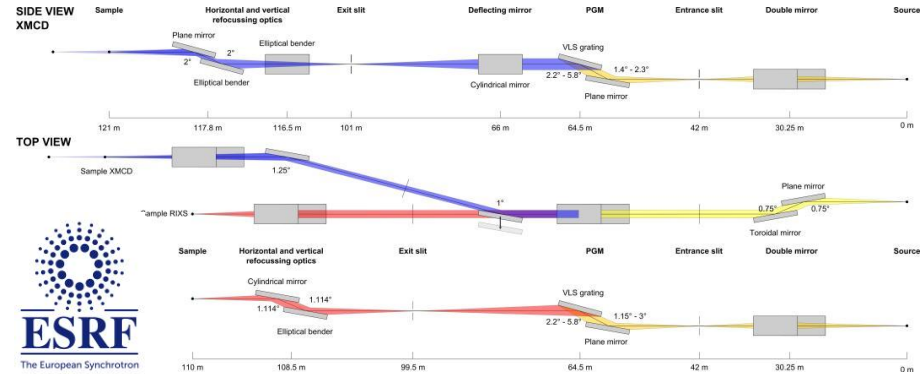
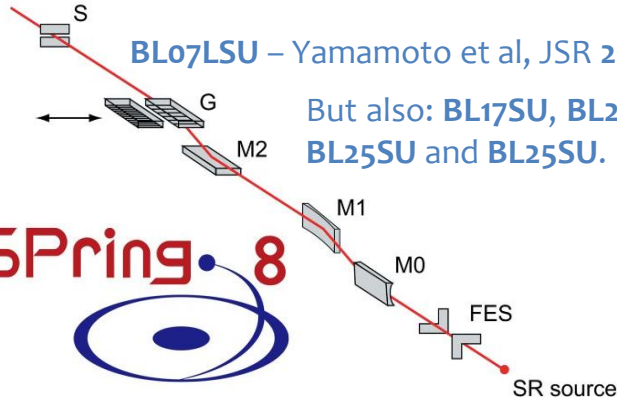
But also at high energy facilities ($E > 3$ GeV):



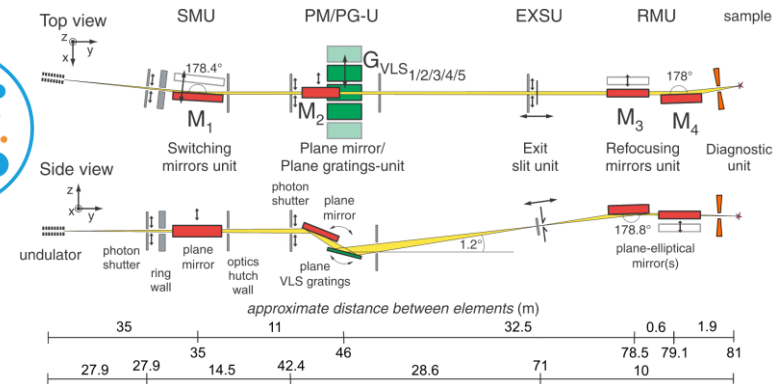
29ID – McChesney et al, NIMA 746, 98–105 (2014)

BL07LSU – Yamamoto et al, JSR 21, 352–365 (2014)

But also: BL17SU, BL25SU,
BL25SU and BL25SU.

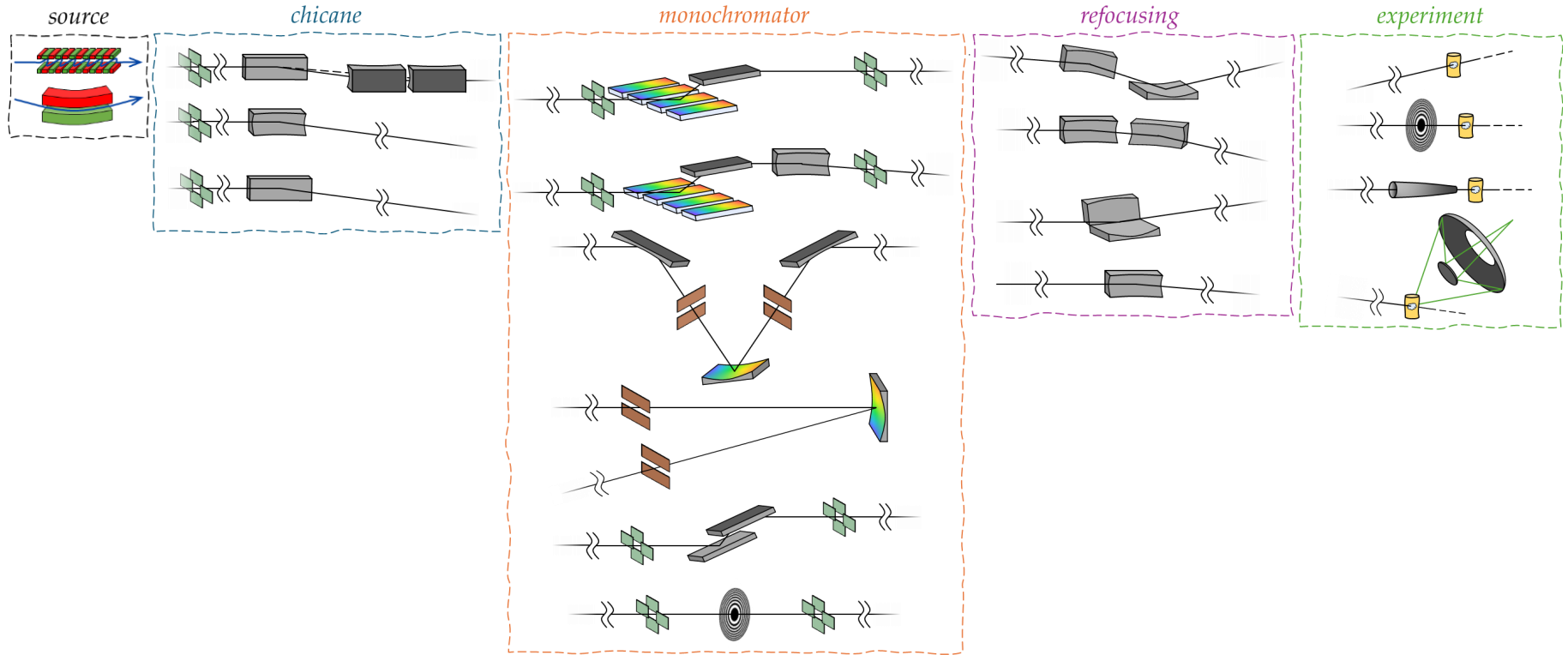


ID32 – Brookes et al, NIMA 903, 175–192 (2018)



Po4 – Viehhaus et al, NIMA 710, 151–154 (2013)

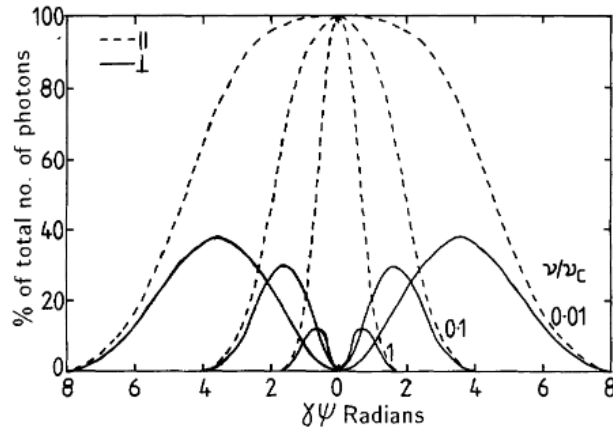
Soft x-ray beamline topology



topology of a generic soft x-ray beamline. The beamline is responsible for **photon transport** from **source** to the **experimental station**. It **shapes** (collimates, focuses...) the **beam** and **selects** an **energy** (band) for the experiment.

Soft x-ray beamline: the source

Often associated with **SXR sources** is the necessity of polarisation **tunability/control** and **purity** over a **wide energy range**.



reproduction from Fig. 1.7 from “Optical systems for soft x-rays” by A. Michette (1986) representing the angular distribution of the polarised components of BM emission.

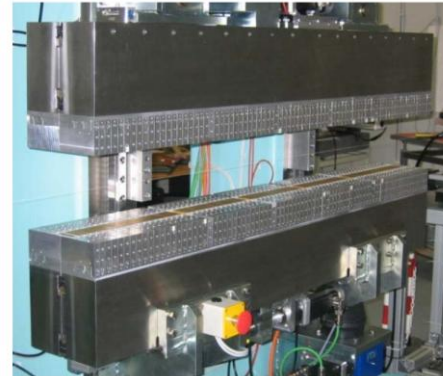


Figure 2: The assembled HU80 undulator

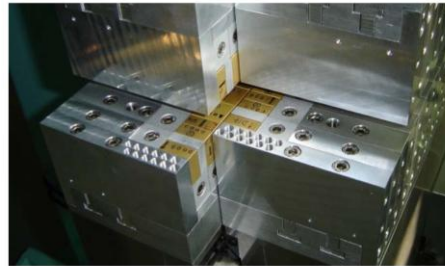


Figure 5: Undulator end-section showing the holder for the small correcting permanent magnet pieces.

Diviacco et al, Proc. PAC (2005)

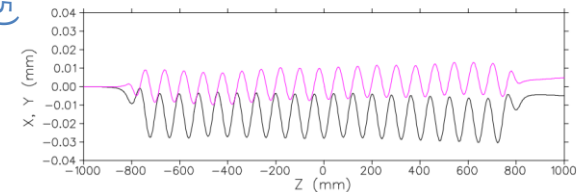
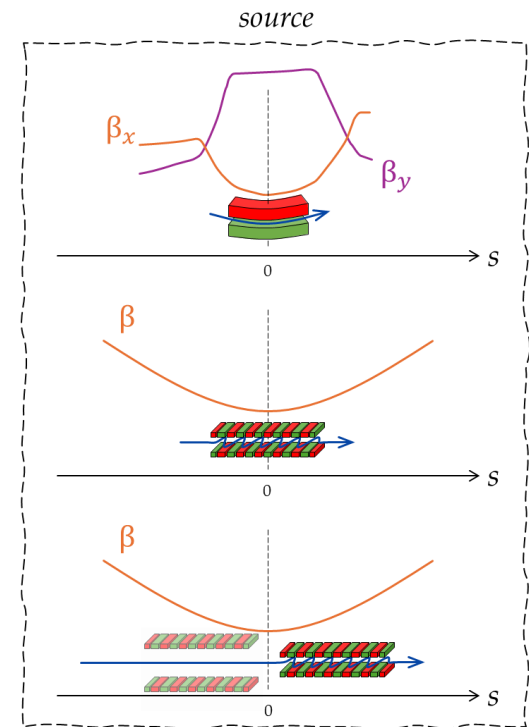
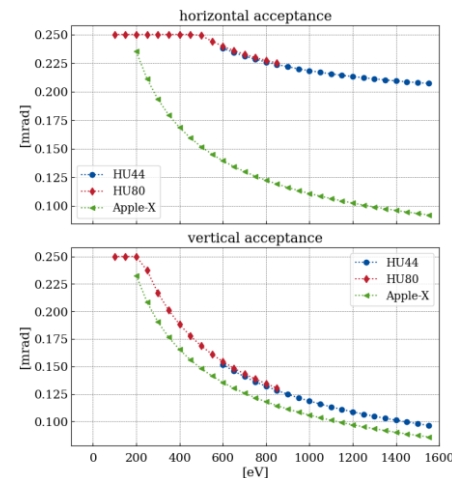
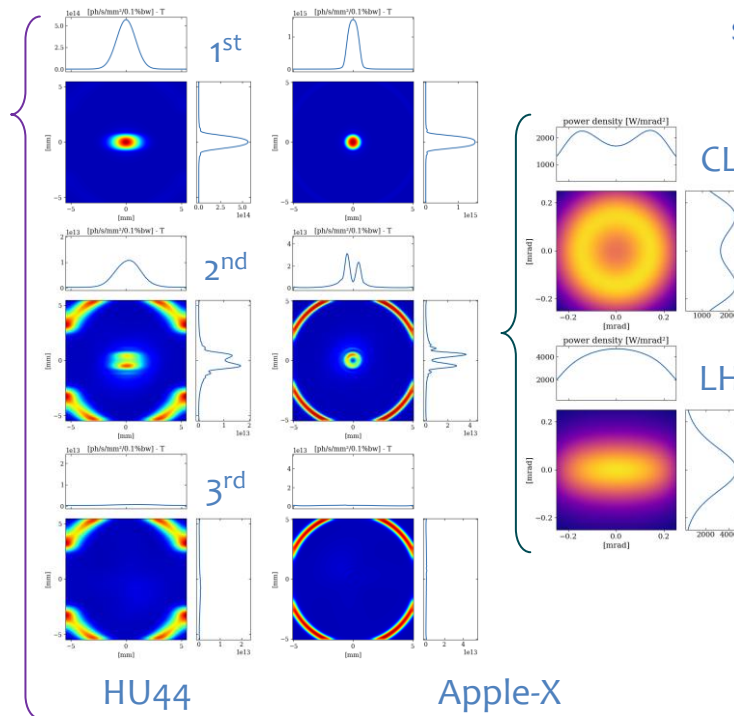
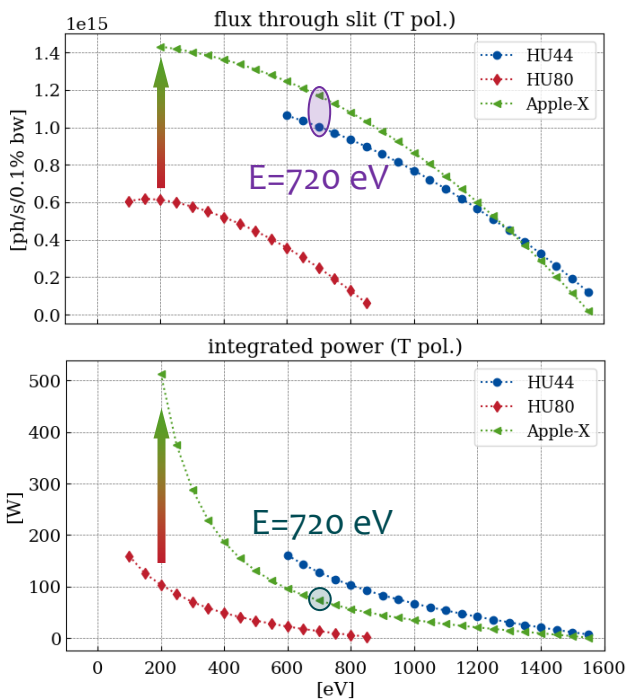
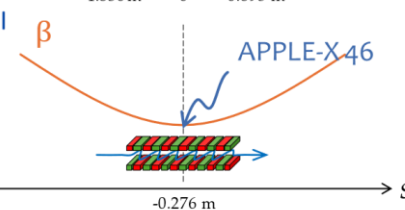
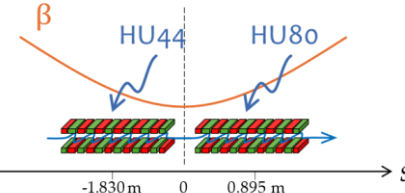


Figure 8: Horizontal (black) and vertical (magenta) trajectory in elliptical polarization mode.

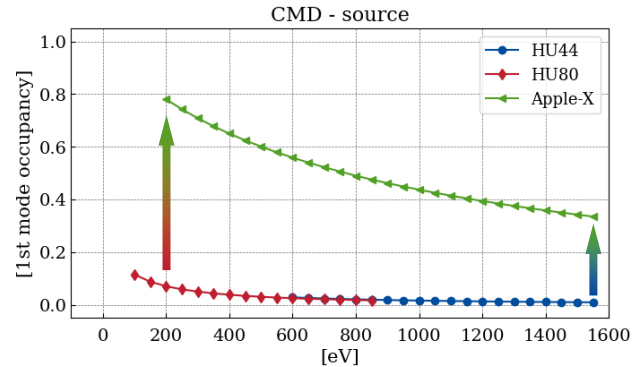
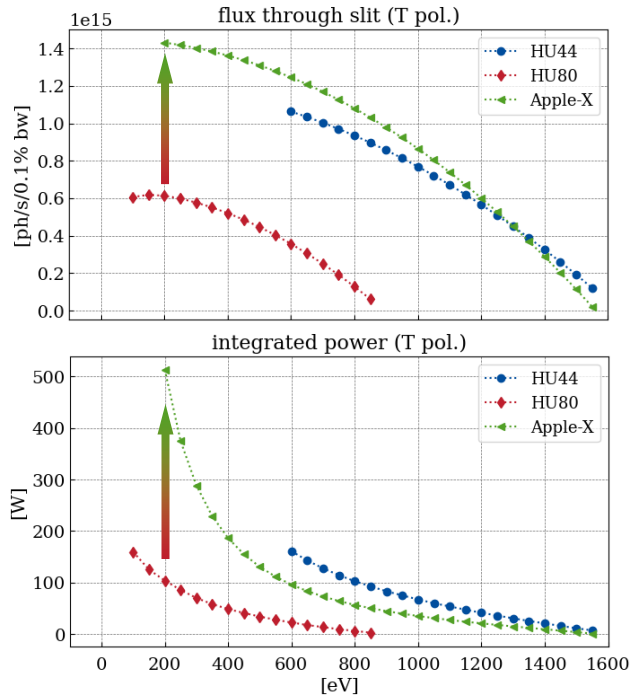
Soft x-ray beamline: the source

Accurate source modelling: **flux** and **power** and **coherent fraction**.



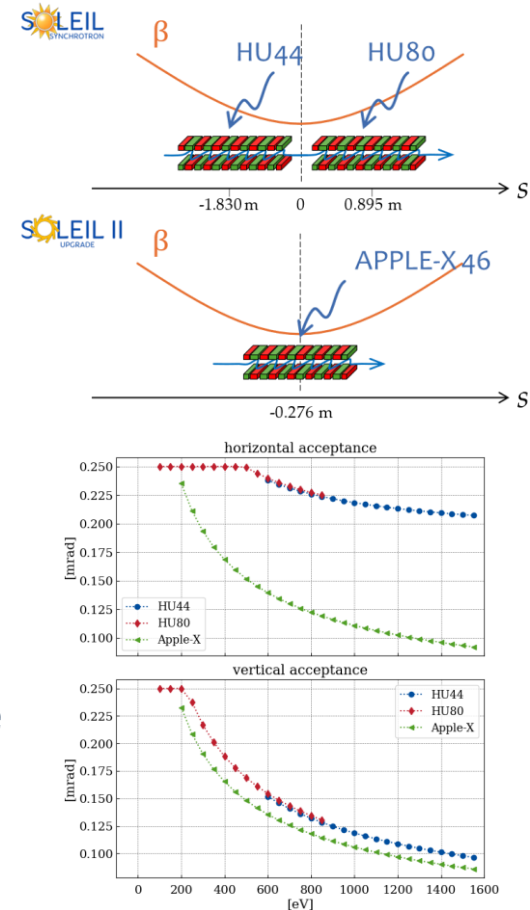
Soft x-ray beamline: the source

Accurate source modelling: **flux** and **power** and **coherent fraction**.



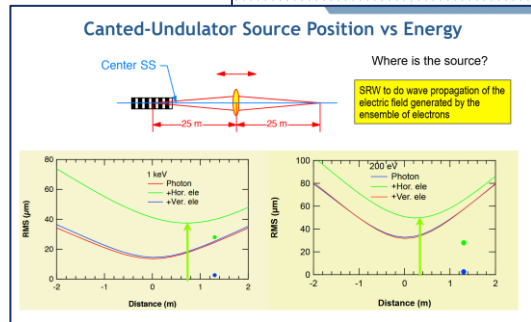
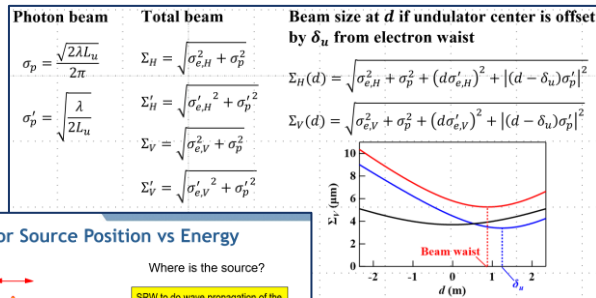
Increased coherent fraction means that **diffraction effects** may not be neglected! Choosing an **appropriate optical theory** is important.

*Talk: Methods for beamline simulations
(Ray tracing/Wave optics/HYBRID)
by Rebuffi and Shi*



Soft x-ray beamline: the source

Accurate source modelling: **position**, **shape**, **size** and **divergence**.



(top): reproduction from Shi et al, Proc SPIE 10388C (2017).

(bottom): slide taken from Reininger “X-Ray optics simulations and current developments on software packages – II” SyncLight 2015 (2015).

Modelling undulators in ray tracing simulations

Manuel Sanchez del Rio* and Juan Reyes-Herrera

ESRF – The European Synchrotron, 71 Avenue des Martyrs, 38000 Grenoble, France. *Correspondence e-mail: srio@esrf.eu

J. Synchrotron Rad. (2025). **32**, 340–354

Undulator radiation brightness calculations in the Sirepo GUI for SRW

B. Nash, O. Chubar, D. L. Bruhwiler, M. Raktin, P. Moeller, R. Nagler, N. Goldring

Author Affiliations:

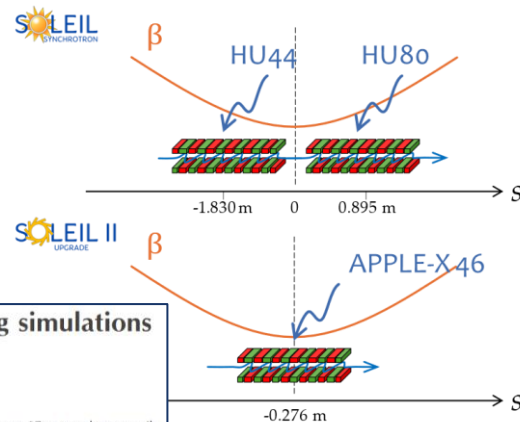
B. Nash,¹ O. Chubar,² D. L. Bruhwiler,¹ M. Raktin,¹ P. Moeller,¹ R. Nagler,¹ N. Goldring¹

¹RadiaSoft LLC (United States)

²Brookhaven National Lab. (United States)

Proceedings Volume 11110, *Advances in Laboratory-based X-Ray Sources, Optics, and Applications VII*; 111100K (2019) <https://doi.org/10.1117/12.2530663>

Event: SPIE Optical Engineering + Applications, 2019, San Diego, California, United States

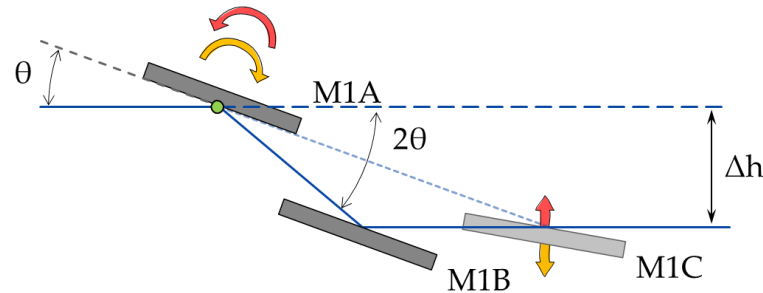
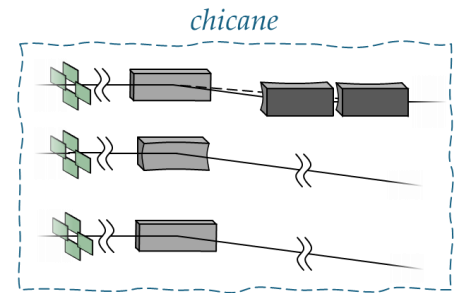


selected publications (and references therein) dealing with accurate photon beam shape, size and divergence from a finite-emittance electron beam considering energy spread effects.

Soft x-ray beamline: the chicane

The functions of the chicane are manifold:

- **Radioprotection:** the first mirror **cuts** the **line of sight** between the **accelerator** and the rest of the **beamline**. Associated with it, is a high Z material for blocking (very high) energy photons;
 - a second mirror (pair) can be used for making the outgoing beam parallel to the incoming beam.

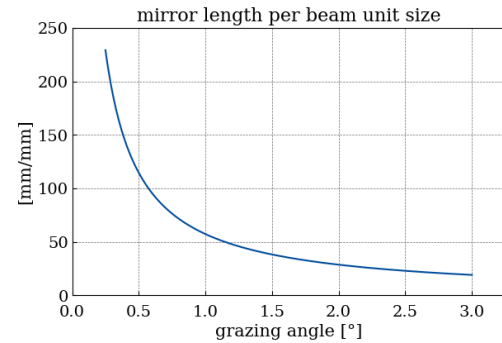
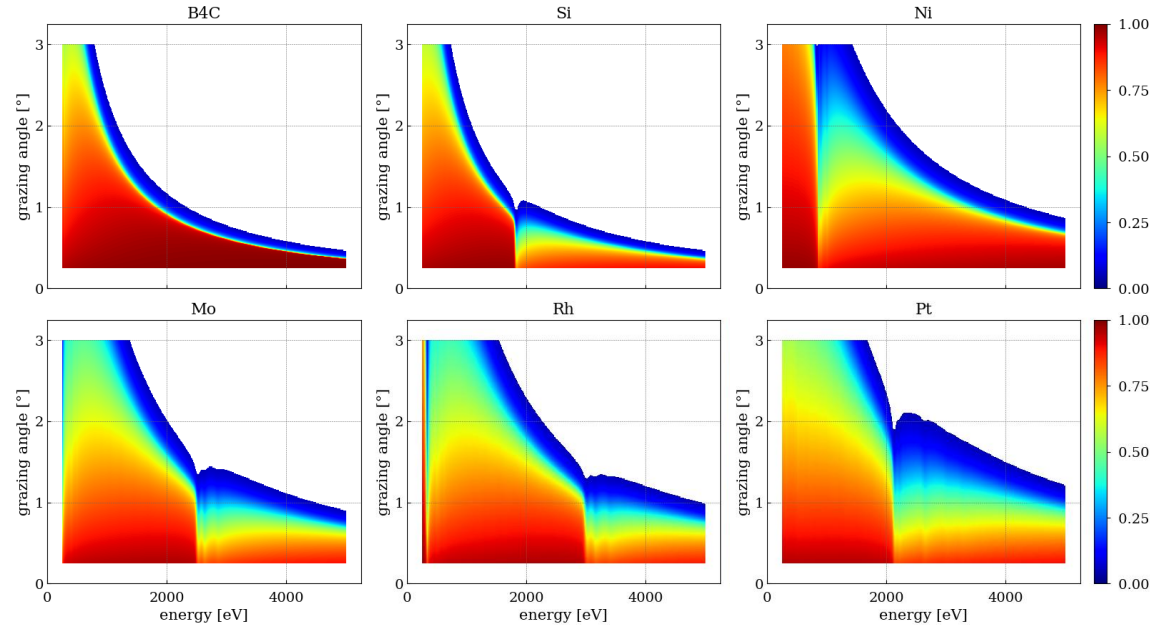
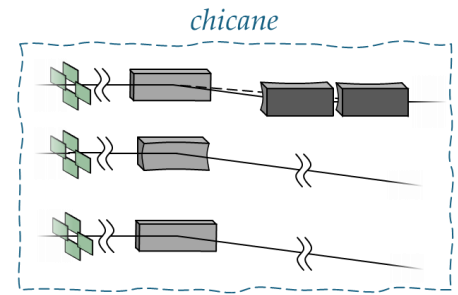


sketch of a typical M1 chicane used at SOLEIL

Soft x-ray beamline: the chicane

The functions of the chicane are manifold:

- **Energy filtering:** mirrors (and their coatings) act as a low pass filter (true for all BL mirrors).

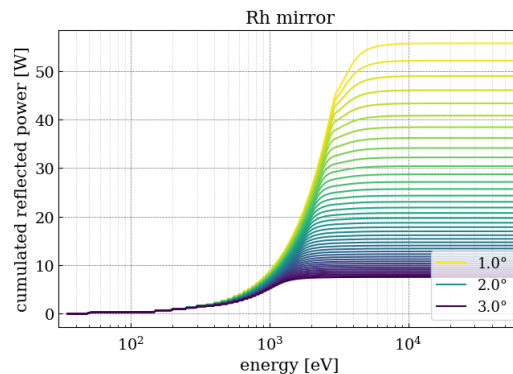
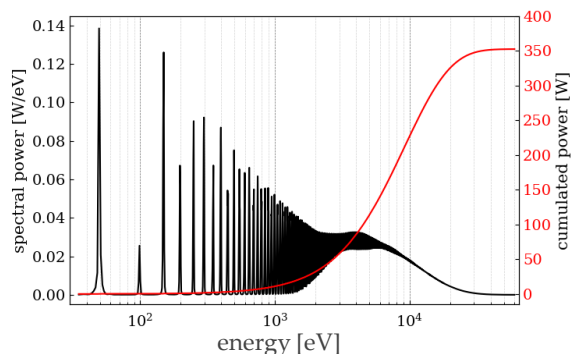
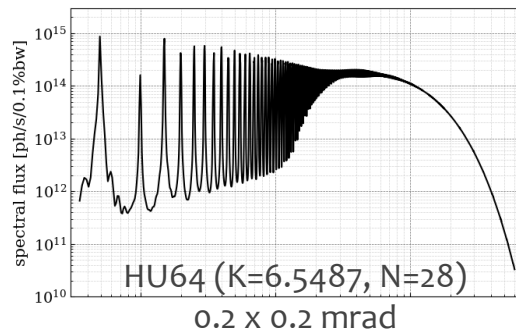
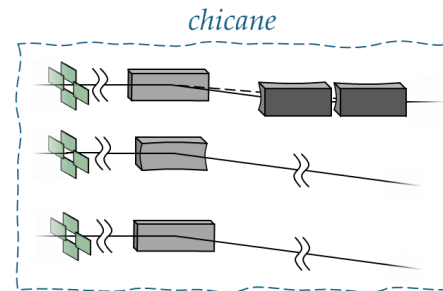


reflectivity for commonly used materials in x-ray mirrors. The M1 system is compromise between grazing angle/total mirror length and overall transmission for the specified energy range.

Soft x-ray beamline: the chicane

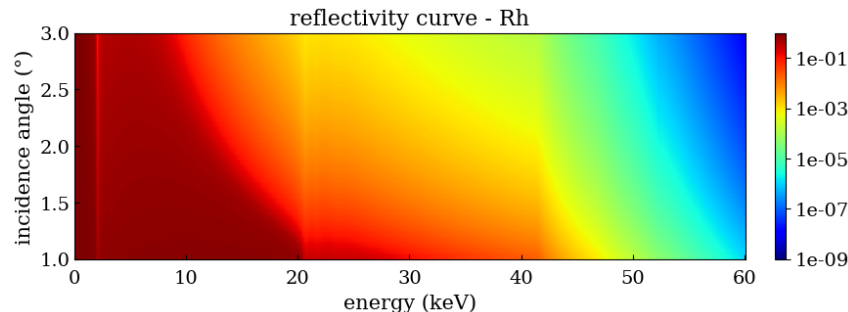
The functions of the chicane are manifold:

- **Power absorption:** the high energy content filtered out by the M1 is absorbed by it.



Talk: Power transport calculation, SRCalc, XOPPY by Sanchez del Rio

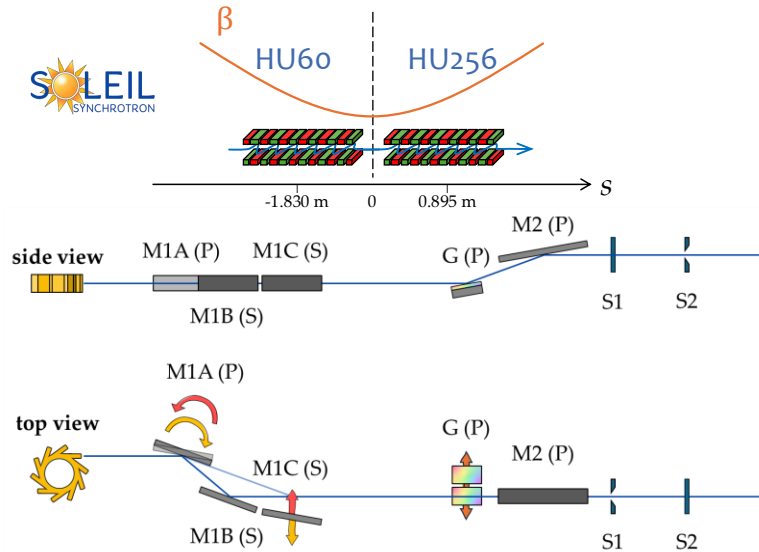
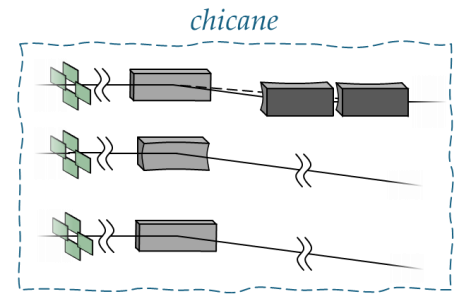
Talk: Thermal load calculation through beamline by Rebuffi



Soft x-ray beamline: the chicane

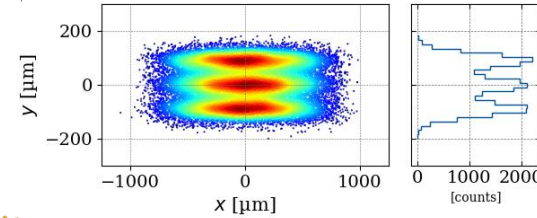
The functions of the chicane are manifold:

- **Condensation or collimation:** if curved mirrors used to condition the beam prior to the monochromator, they **impact** the beamline **energy resolution**.



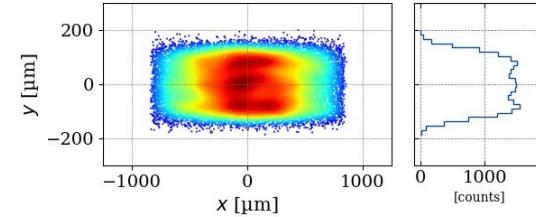
source and truncated beamline (Antares)

SOLEIL
SYNCHROTRON



$E_0 = 101\text{eV}$
($E/\Delta E = 20k$)

SOLEIL II
UPGRADE



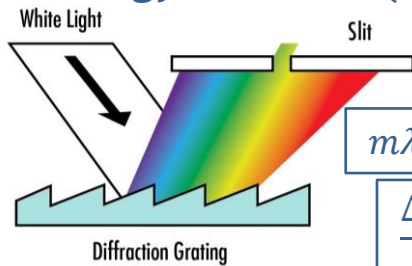
effect moving 0.895 m upstream the HU256 on the energy resolution of the G600l/mm grating monochromator.

Soft x-ray beamline: the monochromator

Monochromators in SXR beamlines are usually built with **gratings** (in normal or grazing incidence) for the lower energies, **multilayer gratings** or **high-d spacing crystals** for the higher energies.

Grating-based systems are more preponderant for SXR beamlines:

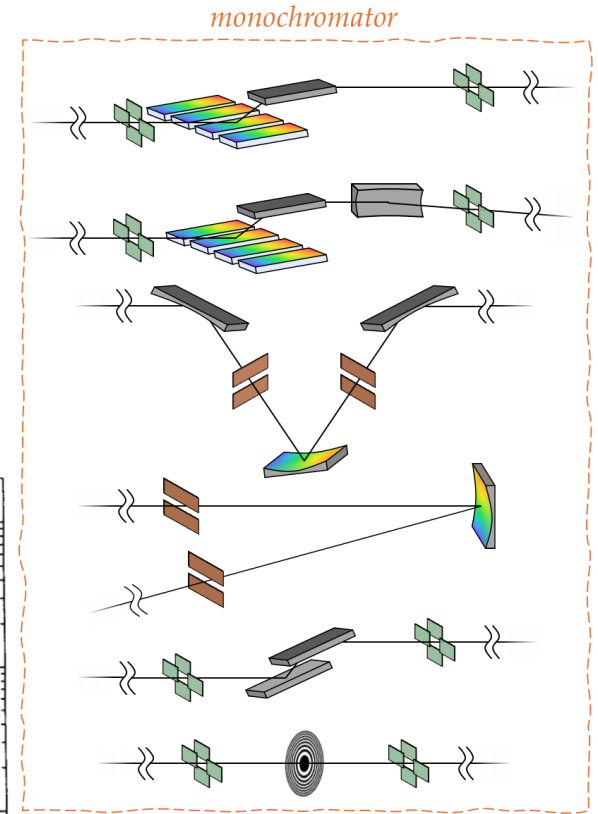
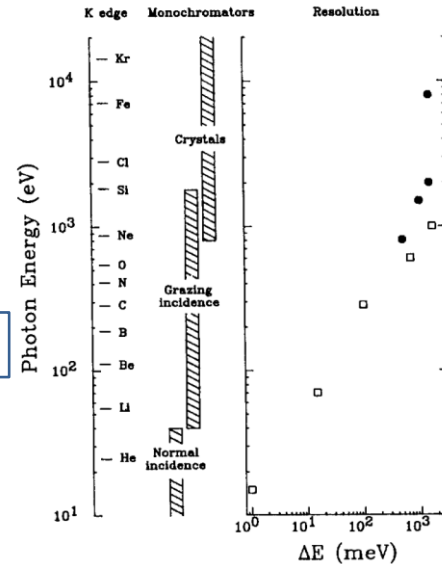
- energy selection is done by **spatially filtering** polychromatic SR with an **exit slit** where the beam is focused onto
- always **compromise** between **flux** and **energy resolution** (slit size)



$$m\lambda = D_0(\sin \alpha + \sin \beta)$$

$$\frac{\Delta\lambda}{\lambda} = \frac{\Delta E}{E} = \frac{s \cos \beta}{\lambda m D_0 r'}$$

Grating cartoon taken from
Edmund Optics website



Reininger, "Monochromators and associated optical equipment", CAS: SR and FELs 1990.

Soft x-ray beamline: the monochromator

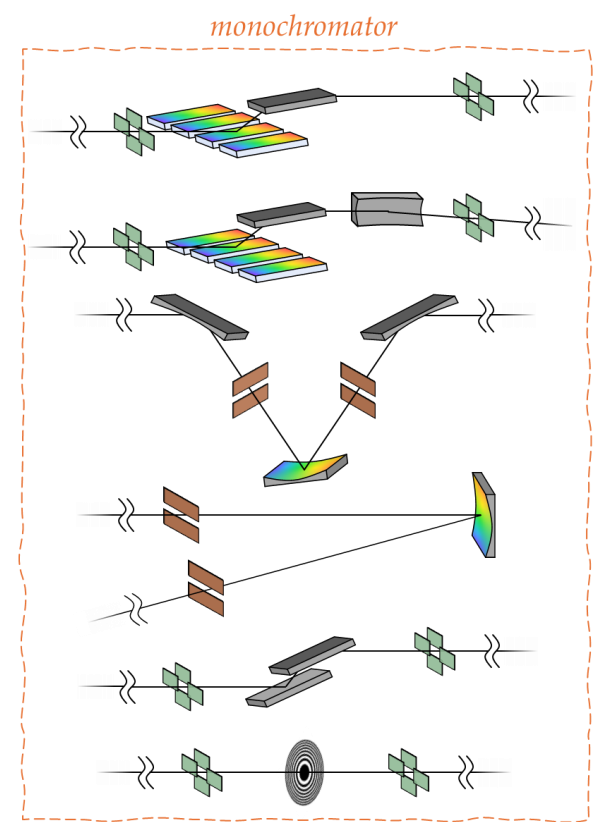
Special topic: Grating monochromators

Talk: *Grating design* by Sanchez del Rio

Talk: *Grating simulations* by Sanchez del Rio

You can **learn more** about grating & monochromators here:

- Reininger, "Monochromators and associated optical equipment," CERN Accelerator School : Synchrotron Radiation and Free-electron Lasers, pp.401-426 (1990).
- Hulbert, "Grating-based monochromators," International Tables for Crystallography 302–314 (2022).
- Koike, "1. Normal-Incidence Monochromators and Spectrometers," Experimental Methods in the Physical Sciences 1–20 (1998).
- Padmore, Howells, and McKinney, "2. Grazing-Incidence Monochromators for Third-Generation Synchrotron Radiation Sources," Experimental Methods in the Physical Sciences 21–54 (1998).
- Underwood, "3. Spectrographs and Monochromators Using Varied Line Spacing Gratings," Experimental Methods in the Physical Sciences 55–72 (1998).
- Thorne and Howells, "4. Interferometric Spectrometers," Experimental Methods in the Physical Sciences 73–106 (1998).



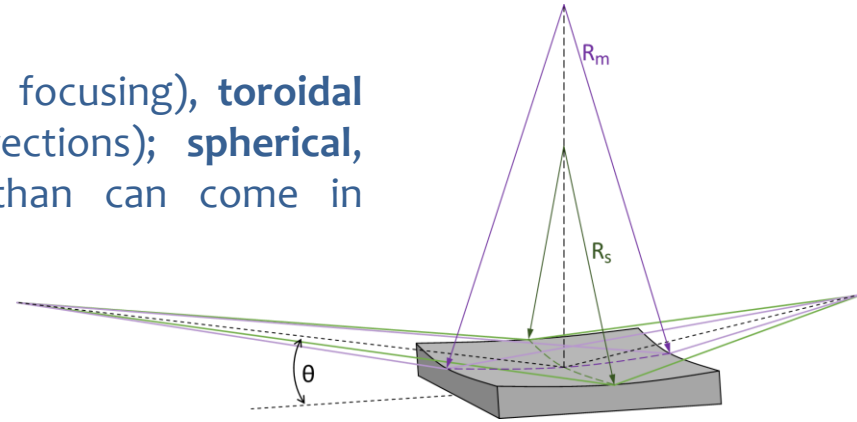
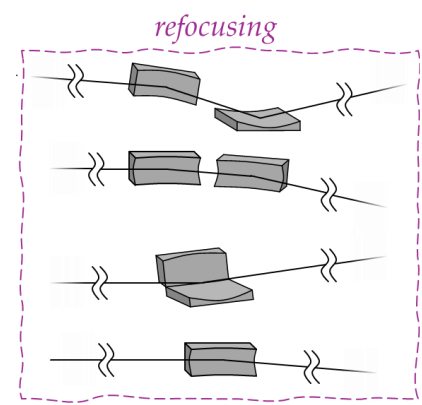
Soft x-ray beamline: refocusing

This part of the beamline is responsible for **focusing** the **beam** leaving the monochromator **into the sample** or to a **secondary source** for further demagnification downstream in the experimental station.

- mirrors **reflect** efficiently for **grazing angles** smaller than $\theta_c < \sqrt{2\delta}$
- paraxial design** is done using the **Coddington equations**

$$f_m = \frac{R_m \cdot \sin(\theta)}{2} \quad f_s = \frac{R_s}{2\sin(\theta)}$$

- typical shapes used for x-ray mirrors: **plane** (no focusing), **toroidal** (focusing in both sagittal and meridional directions); **spherical**, **parabolic**, **ellipsoidal** and **hyperbolic** shape than can come in **cylindrical** variations.
- spherical** and **coma aberrations** are present!



Soft x-ray beamline: refocusing

Commonly used **crossed two mirror** systems:

- Kirkpatrick-Baez (KB)
- Hildenbrand-Montel (or confocal KB)

These systems **do not obey the Abbe sine condition**, and the focused beam will present coma aberration for large angular collection.

Commonly used **coaxial two mirror** systems

- Wolter (types I, II, III)
- Wolter-Schwarzschild
- Namioka-Conjugate Spheres

These systems **obey the Abbe sine condition**!

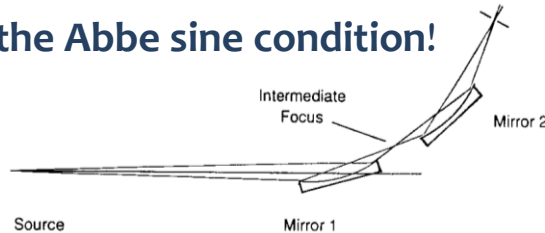


Figure 5.3.2: The Namioka Conjugate Sphere System [5.3]

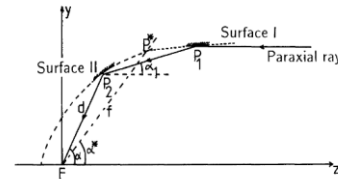


Figure 2.20. Wolter-Schwarzschild type I optical system.

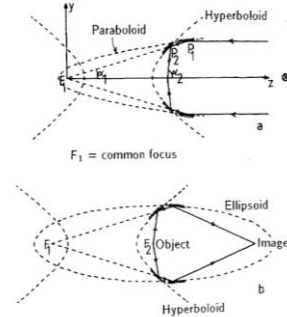


Figure 2.17. Wolter type I optics: (a) telescope; (b) microscope.

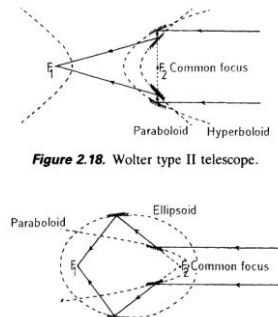
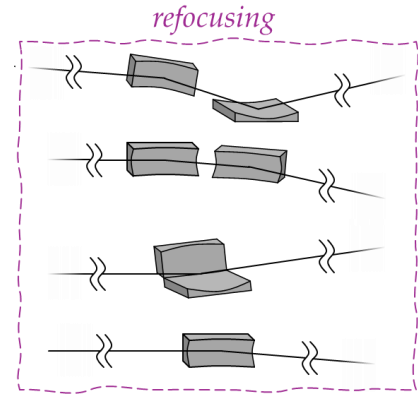


Figure 2.18. Wolter type II telescope.

Figure 2.19. Wolter type III telescope.



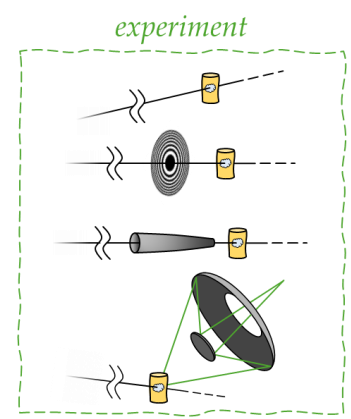
reproduction from “Optical systems for soft x-rays” by A. Michette (1986)

reproduction from “Gratings, mirrors and slits” by W. Peatman (1997)

Soft x-ray beamline: optics in the experimental station

The optics in the experimental hutch are **not** often **included** in the **beamline design** and are **subjected** to a **separate calculation**. Their **choice** is directly **related** to the experimental **technique**:

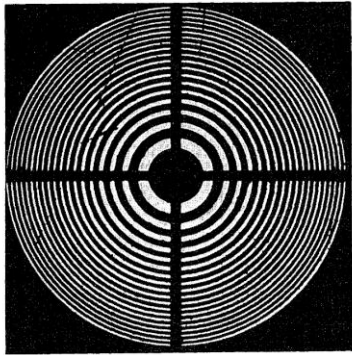
- x-ray focusing (or condensing) into the sample;
- collection of x-rays from the sample;
- as both a condenser and objective lenses.



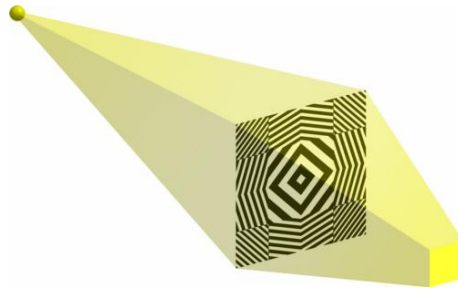
Soft x-ray beamline: optics in the experimental station

The optics in the experimental hutch are **not** often **included** in the **beamline design** and are **subjected** to a **separate calculation**. Their **choice** is directly **related** to the experimental **technique**:

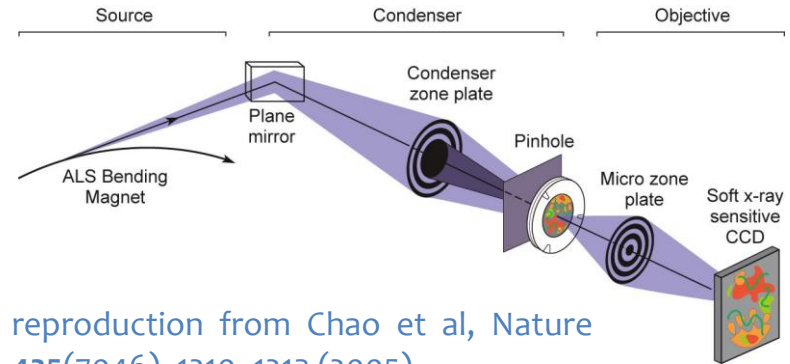
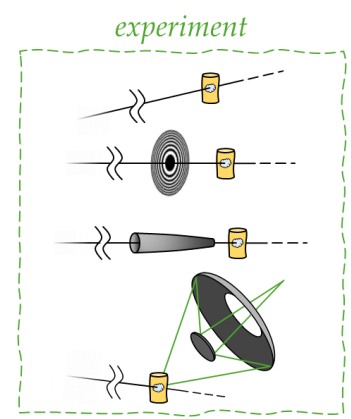
- Fresnel **zone plates** (diffractive)



Baez, J. Opt. Soc. Am. 51(4), 405 (1961)



reproduction from “x-ray-optics.de” by Last (2025) based on Jefimovs et al, J Synchrotron Rad 15(1), 106–108 (2007).

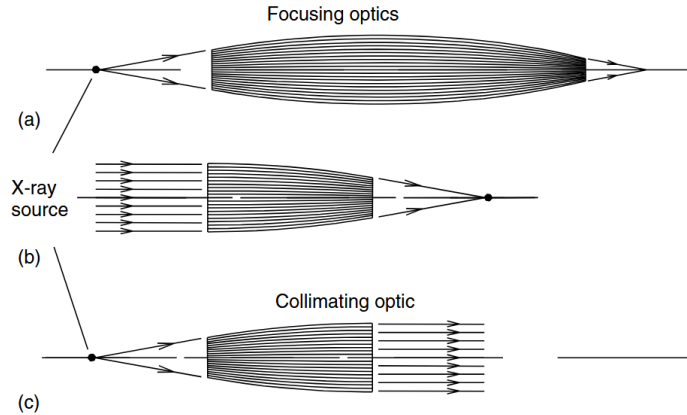
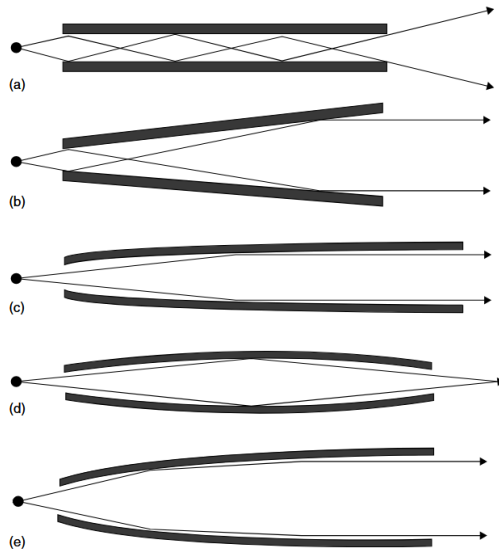


reproduction from Chao et al, Nature 435(7046), 1210–1213 (2005).

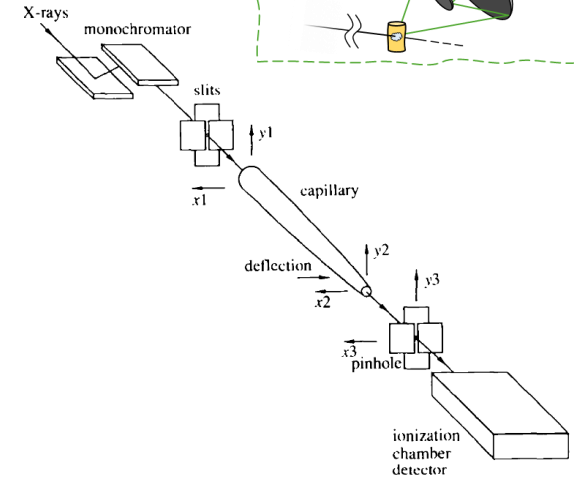
Soft x-ray beamline: optics in the experimental station

The optics in the experimental hutch are **not** often **included** in the **beamline design** and are **subjected** to a **separate calculation**. Their **choice** is directly **related** to the experimental **technique**:

- (mono/poli) **capillary** optics (reflective)



Yanagihara et al, "X-Ray Optics," X-Ray Spectrometry: Recent Technological Advances 63–131 (2004).

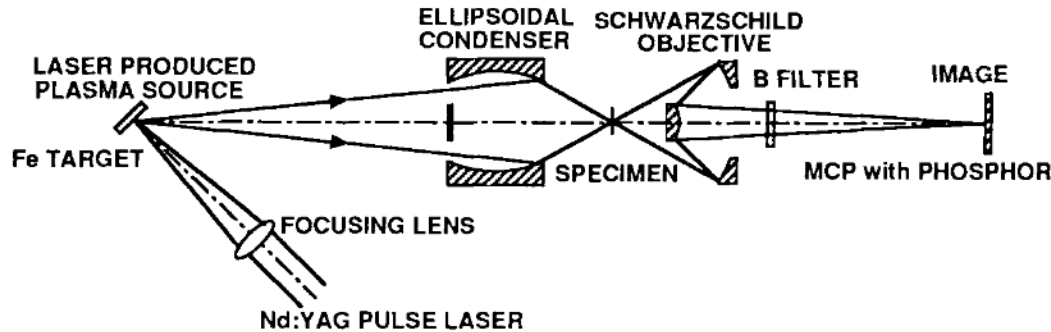
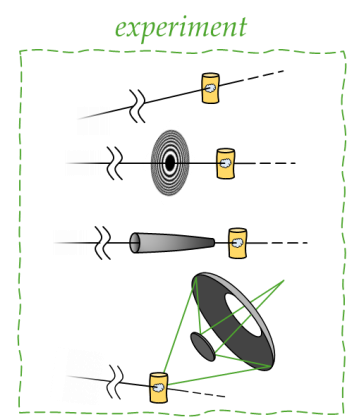


Balaic et al, J Synchrotron Rad 2(6), 296–299 (1995).

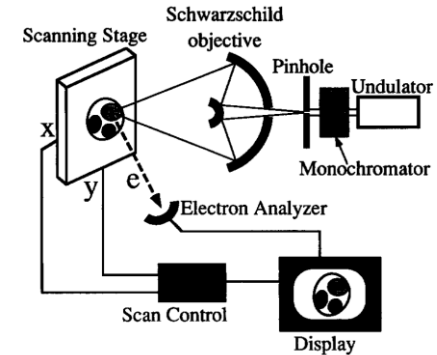
Soft x-ray beamline: optics in the experimental station

The optics in the experimental hutch are **not** often **included** in the **beamline design** and are **subjected** to a **separate calculation**. Their **choice** is directly **related** to the experimental **technique**:

- Schwarzschild/Cassegrain (reflective)

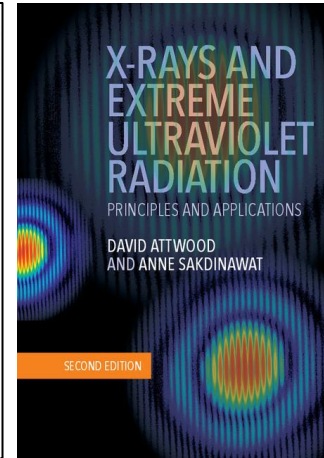
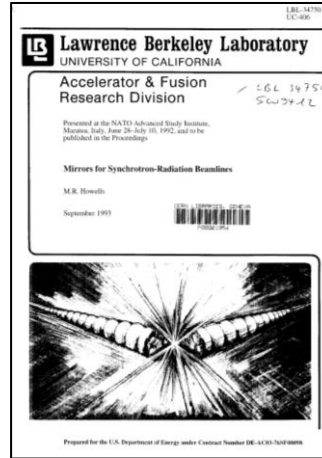
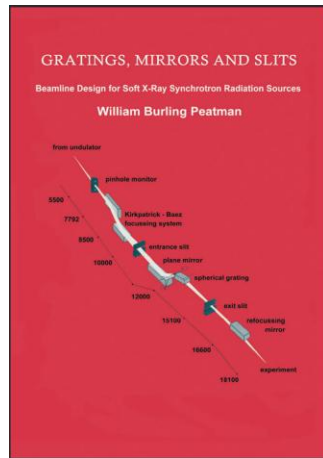


Horikawa et al, Journal of Microscopy 172(3), 189–194 (1993).



Capasso et al, Surface Science 287–288, 1046–1050 (1993).

Where to learn more?



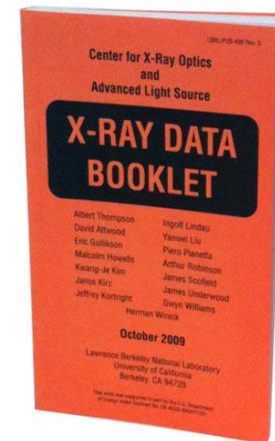
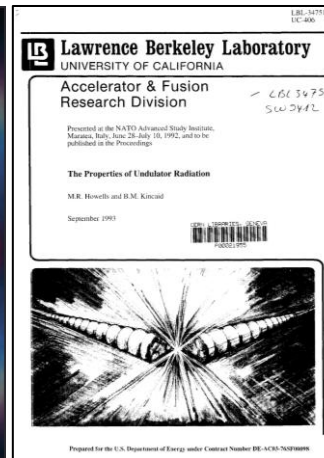
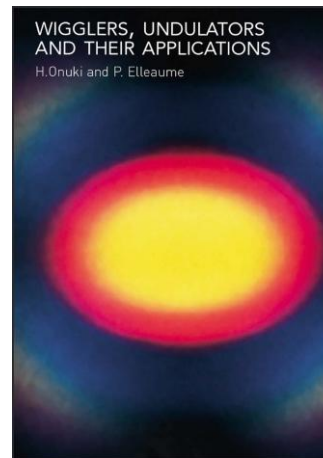
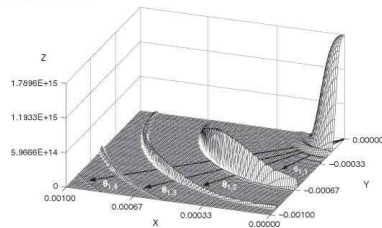
RESEARCH ARTICLE | APRIL 01 1989

Characteristics of synchrotron radiation ✓

Kwang-Je Kim

AIP Conf. Proc. 184, 565–632 (1989)

<https://doi.org/10.1063/1.38046>



<http://www.x-ray-optics.de>

Thank you!