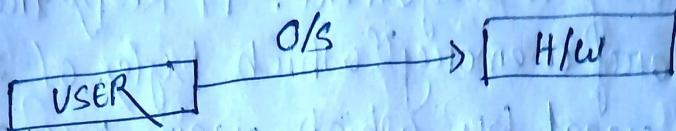


# Operating System

## Chapter-1

### Introduction to O/S

- It is a program that acts as a medium between user of the computer & computer hardware.



- It is a program that controls the execution of application program.
- Also acts as an interface between application & H/W.

### Main Objective of O/S :-

- ① Convenience → It makes the computer more efficient convenient to use.
- ② Efficiency → It allows the computer system resources to be used in efficient manner.
- ③ Ability to Evolve → An os should be constructed in such a way that to permit the effective development, testing and intro of new system function at the same time without interfering the service.

### Functions of O/S

- ① Security
- ② Control over system performance
- ③ Job accounting : Keeps track of time and resource by various users.

- ④ Error detecting aids  $\rightarrow$  detect and avoid errors & other manufacturing fault.
- ⑤ Co-ordination between other s/w and user.
- ⑥ Memory management: It keeps track of primary memory in which bytes of memory are used by which user program.
- ⑦ Processor Management: the os decide the order in which processes have access to the processor, and how much processing time each process has.
  - $\Rightarrow$  called Process scheduling.
- ⑧ Device Management: - keeps track of all devices connected to the system.
- ⑨ File Management: - keeps track of where information is stored, user access setting and status of every file, and more.

### Characteristics of an operating System.

- ① Multi-User  $\Rightarrow$  Two or more users have individual accounts that allow them to work with programs and peripheral devices at the same time.
- ② Multi-Tasking  $\Rightarrow$  The computer is capable of operating multiple applications at the same time.

⑤ Multiprocessing  $\Rightarrow$  The O/S can support two or more CPUs.

⑥ Multi-threading  $\Rightarrow$  A Program can be broken into smaller parts that are loaded as needed by the O/S.  
 $\Rightarrow$  multithreading allows individual programs to be multitasked.

## Structures

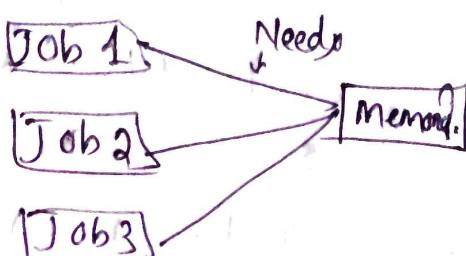
- ① Simple Batch
- ② Multiprogrammed
- ③ Time shared
- ④ Personal Computer
- ⑤ Parallel
- ⑥ Distributed
- ⑦ Real time

(\*) Serial Processing System

Serial Processing  $\Rightarrow$  Earliest Computing  
 $\Rightarrow$  No O/S present then:  
 $\Rightarrow$  The programmer directly interacted with the computer SW.  
 $\Rightarrow$  Computer ran from a console with display light, toggle, switches, some I/O devices & a printer.  
 $\Rightarrow$  User had access to the computer in series.  
(One process after one)

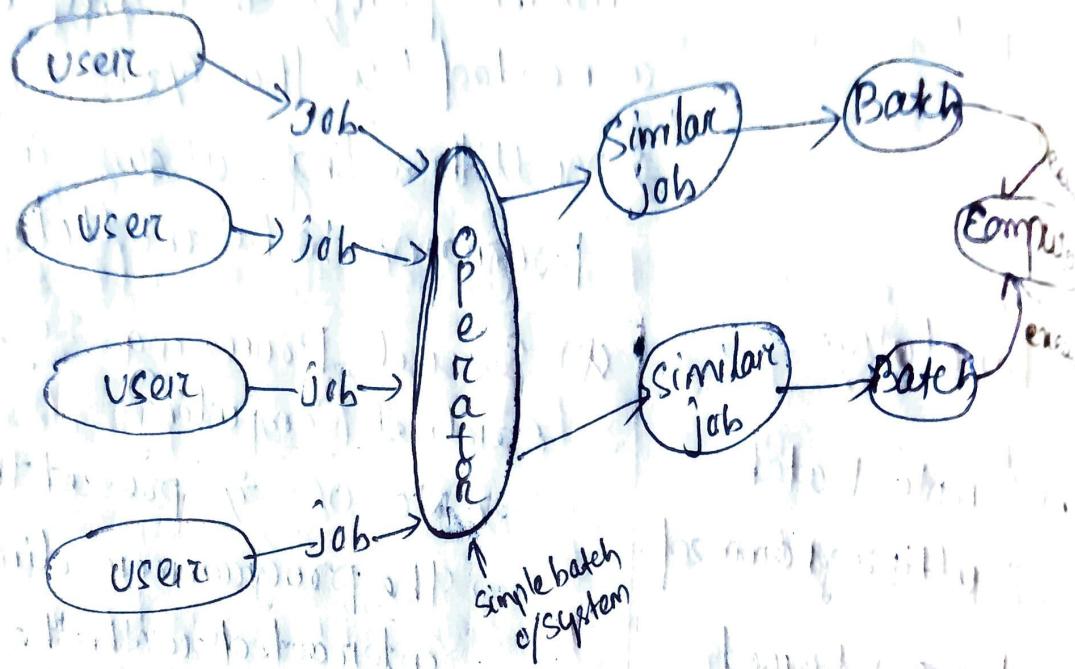
## ① Simple Batch System

Batch  $\Rightarrow$  Set of jobs with similar needs.



→ In 'Batch' processing same type of job is batched together & execute at a time.

fig.



→ There is a special program called monitor that manages the program on each program that runs in the batch.

→ There is no direct interaction b/w user & computer.

Adv

disadvantages

→ Pay roll run of company → No interaction user + comp

→ Gas & electricity bill produce. → No mechanism to prioritise the process.

→ by batching.

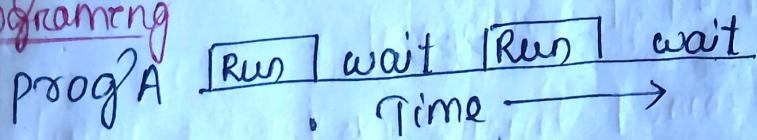
→ CPU is often idle,  
bcz the speed of I/O devices is slower than CPU.

## ② Multi-Programmed System

→ In this OS, picks & begins to execute one job from memory.

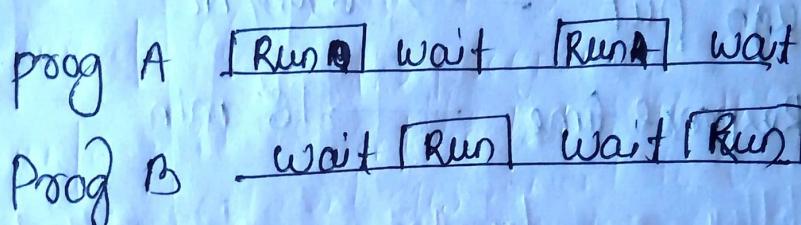
⇒ In this system, CPU will never be idle & keeps on processing.

### Uniprogramming

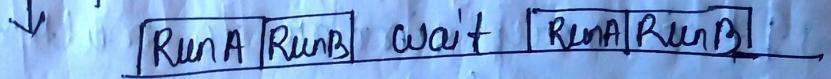


### multi-Programming

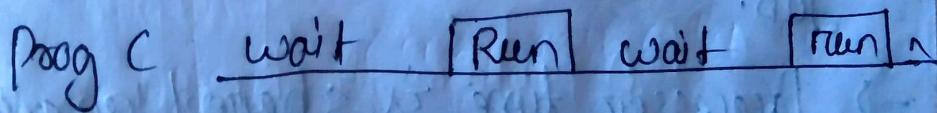
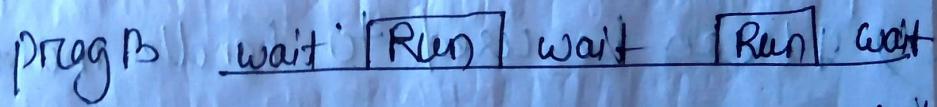
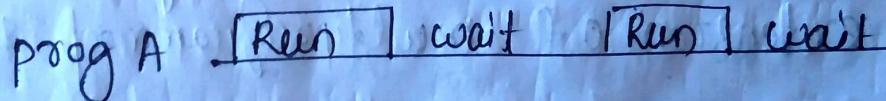
2 programs



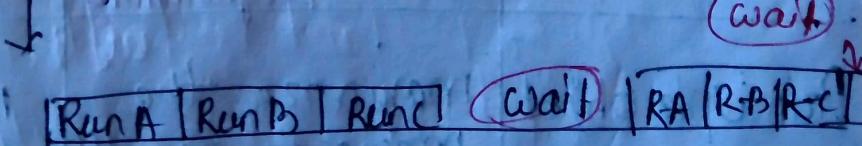
combined



### 3 programs



combined



⇒ It is also known as multi-tasking?

# Effect of multiprogramming on Resource utilization

## Uni-Programming

## Multiprogram

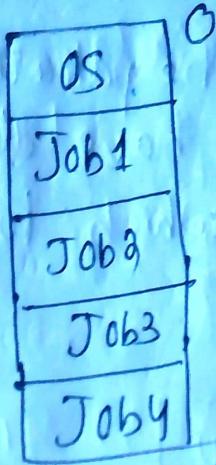
Processor use	→ 20%	→ 40%
Memory use	→ 33%	→ 67%
Disk use	→ 33%	→ 67%
Printer use	→ 33%	→ 67%
Elapsed time	→ 30 min	→ 15 min
throughput	→ 6 jobs/hr	→ 12 jobs/hr
mean Response time	→ 18 min	→ 10 min

## Time sharing system

- It is very similar to multiprogramming batch system. In fact time sharing system are an extension of multiprogrammed system.
- It can be used to handle multiple interactive job.
- Processor time is shared among multiple user.

	<u>multi-Programming</u>	<u>time sharing</u>
<u>Principle object</u>	maximize the processor use	minimize response time
<u>Source of directive to OS</u>	Job control language Commands provided with the job.	Commands entered at the terminal

## Time Sharing System



- ⇒ The user can receive an immediate response.  
(bcz the job are executed so frequently)

### Adv

- ① Provide quick response
- ② Avoids duplication of S/W.
- ③ Reduces the CPU idle time

- ① Problem of reliability
- ② Question of security
- ③ Problem of data communication.

### ④ Personal Computing System

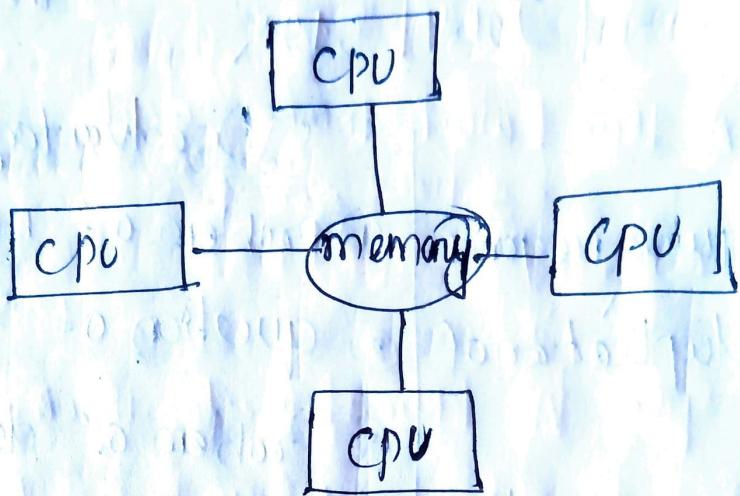
- ⇒ Single user system & it's portable.
- ⇒ I/O devices → Keyboard, mouse, display (monitor), small printers etc.
- ⇒ Types → Laptops, smart phones, tablets etc.
- ⇒ Single user system may not need advanced CPU utilization or protection features.

### adv

- User convenience
- Responsiveness

## ⑤ Parallel System

→ A system is said to be parallel system in which multiple process have direct access to shared memory which form a common address space.



(\*) Tightly coupled system. (also called)

→ It is designed to speed up the execution of program.

→ It is simultaneous use of multiple compute resources to solve the computational problems.

→ To be run using multiple CPU's.

→ A problem is broken into discrete parts that can be solved concurrently.

→ Each part is further broken down to series of instruction.

→ Instruction from each part executed simultaneously on different CPU's.

## Application

- ① Parallel computing should be two servers that share the workload of receiving mail, solving mathematical problem.
- ② Super Computers are usually placed in parallel system architecture.
- ③ Terminals are connected to single server.

## Advantages

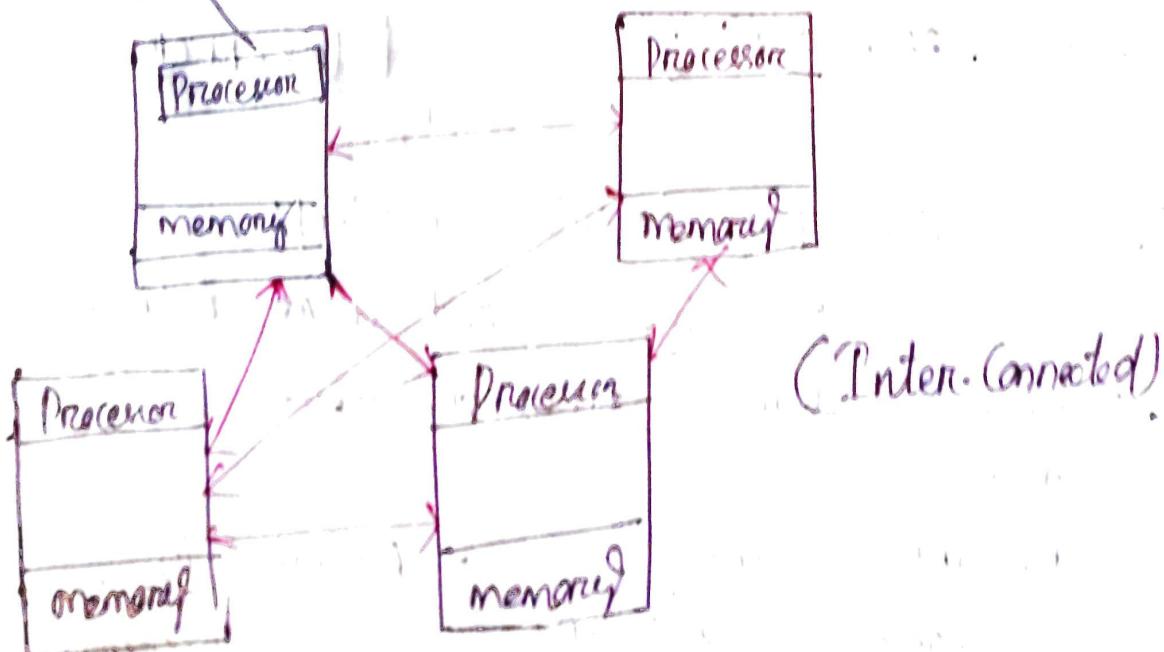
- Do multiple things at same time.
- Cost saving, time saving.
- over coming memory constraints.

## Dis-advantage

- Lack of scalability bet' memory & CPU
- Programmer / user responsibility for correct access of global memory.

## ⑥ Distributed System

- It is collection of independent computer interconnected via network, capable of collaborating on a task.

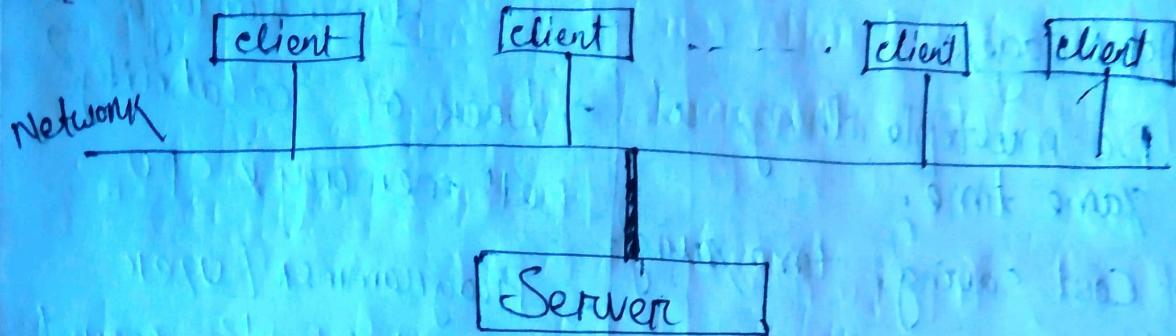


## Example

- Telephone network & cellular network.
- Computer networks such as Internet.
- ATM machine.
- Mobile computing etc.

→ They all follow the client-server system.

## Client-Server System



→ Servers broadly categorized

### ① Computer Server

↓  
It provides an interface  
to which client can send  
request to perform an action  
& server send back result  
to ~~client~~ click.

### ② File Server

→ It provides a file  
system interface  
where client create,  
update, read & delete  
file present in the  
filer server.

→ Distributed is loosely coupled:-

Bcz there is not shared memory,  
various communication lines are there.

### Adv

- Resource sharing → Reliability
- Computation Speed up → Communication → email

# Difference between Parallel & Distributed System

Parallel	Distributed
memory: → tightly coupled system. (shared memory)	loosely coupled system. distributed memory (own)
control: → global clock control	No global clock control. (due to independent system)
processor → order of Tbps	order of Gbps
interconnection:	
Performance main focus: scientific computing.	Performance (cost, scalability) Reliability, resource sharing

## ① Real-time O/S (RTOS)

- A Real time system is defined as a data processing system in which the time interval required to process & response to input/output is so small that it controls the environment.
- The time taken from I/p to O/p tasks is Response time.
- Real time O/S responds to I/p immediately.
- Here the task is completed within a specified time delay.
- In real life situation like controlling traffic signals or a nuclear reaction (on)

on aircraft.

- This OS has respond quickly
- A system is said to be Realtime if it is required to complete its work & deliver its service on time.

Ex: Flight Control System.

### Types of Real Time OS

(1) Hard Real-Time System:- Hard Real time system is purely deterministic and time constraint system.

Ex:-> missile launching system.

→ Satellite System.

→ AirBag Control in Car.

(2) Soft-Real Time System:- In soft realtime time system, the meeting of deadline is not compulsory every time for every task but process should get processed and give the result.

Ex:- Personal Computer.

Audio & video System etc.

### characteristic of Real Time OS

- Consistency
- Reliability
- Predictability
- Performance
- Scalability

### Function of RTOS

- Task management
- Scheduling
- Resource Allocation
- Interrupt Handling.

## Operating System Services

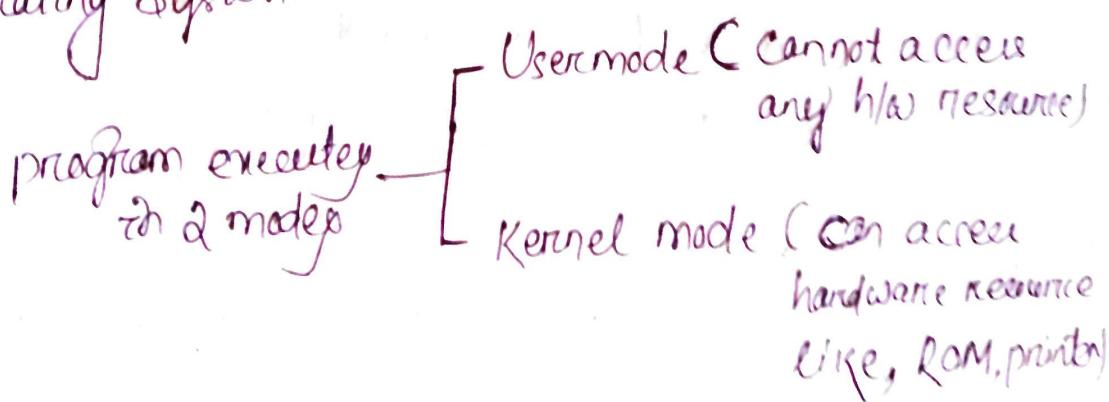
- Operating System provided services to both program as well as user.
- ⇒ OS provide an environment to program to execute.
- OS provide services to user to execute program in convenient manner.

### OS Common Services

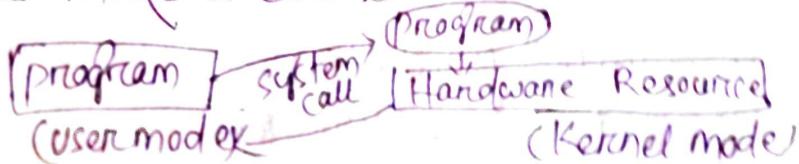
- 1 Program execution
- 2 I/O operation
- 3 File system manipulation
- 4 Communication
- 5 Error detection
- 6 Resource Allocation
- 7 Protection

### System Call

- It's a programmatic way in which a computer program request a service from the Kernel of the operating system.



- ⇒ When program needs any hardware resource, it needs to make a call to the Kernel.



- ⇒ Due to security reasons, user applications are not given access to hardware resources.
- ⇒ When they need to do any I/O or require some memory, it requests OS to use all these.
- ⇒ This request is made through system calls.

### Types of System Calls

- ① Process Control
- ② File Management
- ③ Device management.
- ④ Information management
- ⑤ Communication.

#### ① Process Control

- ⇒ Those system calls deals with process.

- Ex
- 1 end, abort
  - 2 load, execute, (fork system call) ~~mp~~
  - 3 create process, terminate process
  - 4 get process attributes, set process attributes
  - 5 wait for time
  - 6 wait event, signal event
  - 7 allocate & free memory

#### ② File Management

- ⇒ Those system calls are responsible for file manipulation such as:

- (i) create file, delete file
- (ii) open, close file
- (iii) read, write, reposition
- (iv) get & set file attributes.

### ③ Device Management

- A process may use several resources to execute main memory, disk drives, acoustics, files, I/O device & so on.
- If these resources are free, they will be allocated to process otherwise the process have to wait until resource available.
- These system calls are responsible for device manipulation such as
  - (i) request device, release device
  - (ii) read, write
  - (iii) get device attributes, set device attributes
  - (iv) logically attach or detach device.

### ④ Information Management -

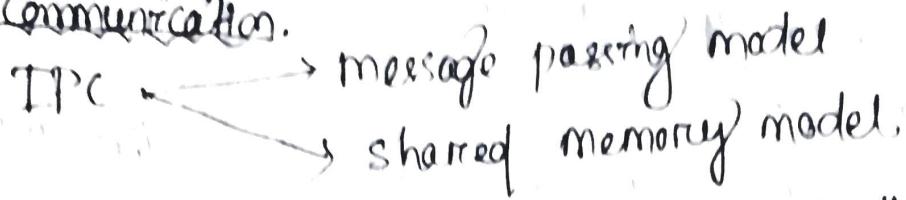
- These system calls handle information & file transfer between the O/S and the user program.
- Ex 1 Most system have a system call to returns the current date and time.
  - 2 the number of current users, the version of OS.
  - 3 the amount of free memory on disk space & so on.

### → Example

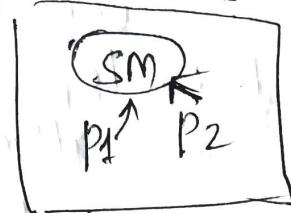
- (i) to get time or date, set time or date
- (ii) get system date, set system date.
- (iii) get & set process, file or device attributes.

## ⑤ Communication

These system calls are useful for inter-process communication.



- In message passing model, the communication processes exchange message with one another to transfer information.  
 $P_1 \rightarrow P_2$   
 $\rightarrow \text{send}(x, P_2)$
- In shared memory model, processes use shared memory to exchange information by reading/writing on it.



### Example:

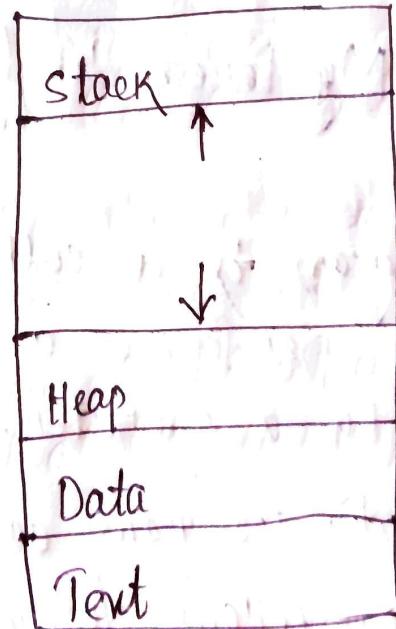
- 1 create, delete communication connection.
- 2 Send, receive messages.
- 3 transfer status information
- 4 attach & detach remote devices

# Process Management

## Process Concept

- A process is basically a program in execution. The execution of a process must ~~process~~ progress in a sequential fashion.
- We can say, we write our computer programs in a text file and when we execute this program, it becomes a process which performs all the tasks mentioned in the program.
- When a program is loaded into the memory and it becomes a process, it can be divided into four sections - stack, heap, text and data.

diagram



- 1 Stack: → The process stack contains the temporary data such as method/function parameters, return addresses and local variables.
- 2 Heap: → This is dynamically allocated memory to a process during its run time.

3 Text : → This includes the current activity represented by the value of program counter and the contents of the processor registers.

4 Data : → This section contains the global and static variables.

### Program

→ A Program is a piece of code which may be a single line or millions of lines. A computer program is usually written by a computer programmer in a programming language.

Ex : 

```
#include < stdio.h >
void main()
{
    printf("My Name is Parash Singh");
}
```

→ A computer program is a collection of instruction that performs a specific task when executed by a computer.

→ A part of computer program on we can say the step we follow to write a program and the logic behind that is known as algorithm.

→ A collection of computer programs, libraries and related data are referred to as a software.

# Processes and Threads

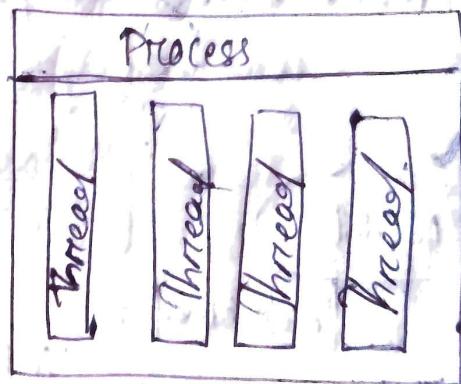
## Process

- ① System calls involved in process.
- ② As threads different process differently.
- ③ Different process have different copies of data, file, code.
- ④ Context switching is slower.
- ⑤ Blocking a process will not block another.
- ⑥ Independent.

## Threads

- ① There are no system call involved.
- ② All user level threads treated as single task for OS.
- ③ Thread share same copy of file code and data.
- ④ Context switching is faster.
- ⑤ Blocking a thread will block another.
- ⑥ Inter-dependent.

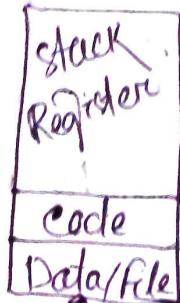
We can show like this:



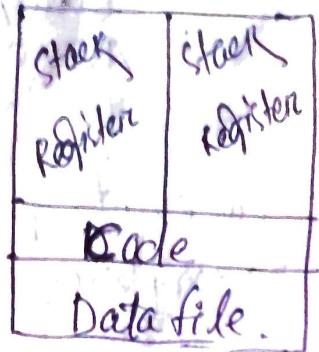
## Process



## child process / copy/clone



## Thread

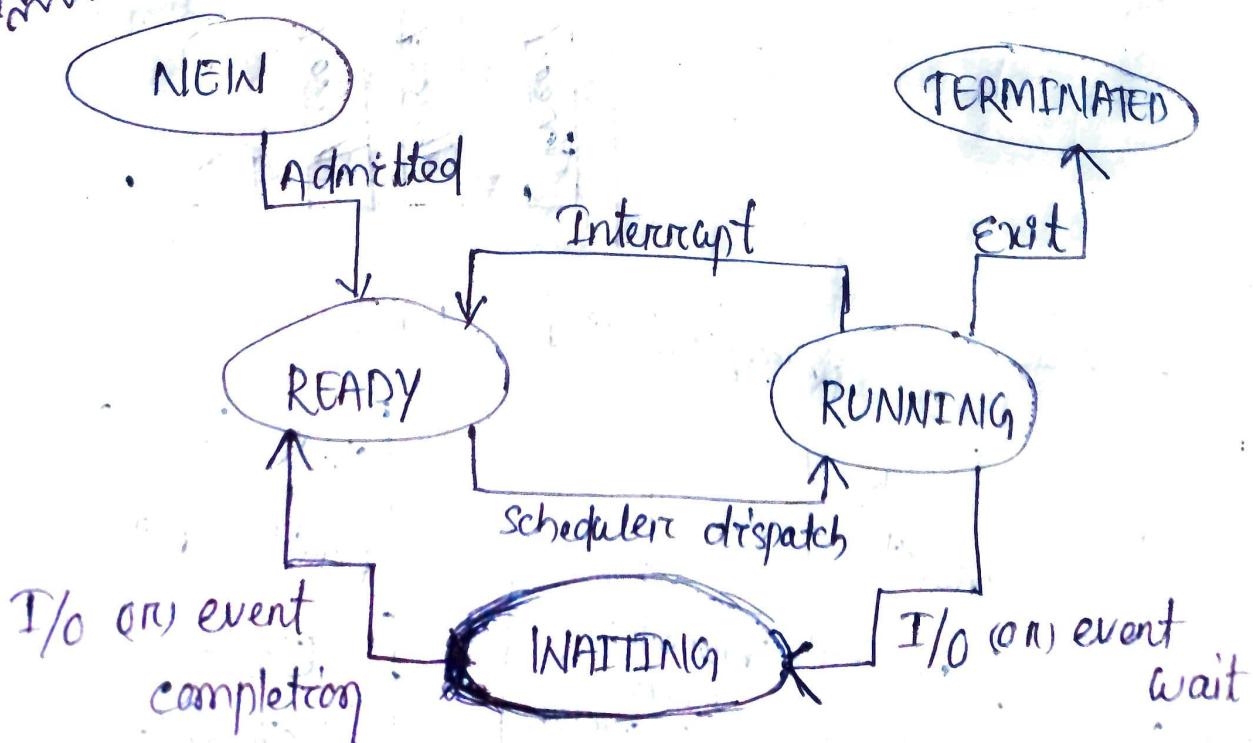


Through this  
child process  
created.  
for ( ) {  
System call }

## Process state

- As a process executes, it changes state.
- The state of a process is defined in part by the current activity of that process.
- ① →
  - Each process may be in one of the following states.
- NEW** → The process is being created.
- RUNNING** → Instructions are being executed.
- WAITING** → The process is waiting for some event to occur.  
(such as I/O completion or reception of a signal).
- READY** → The process is waiting to be assigned to a processor.
- TERMINATING** → The process has finished execution.

## Diagram



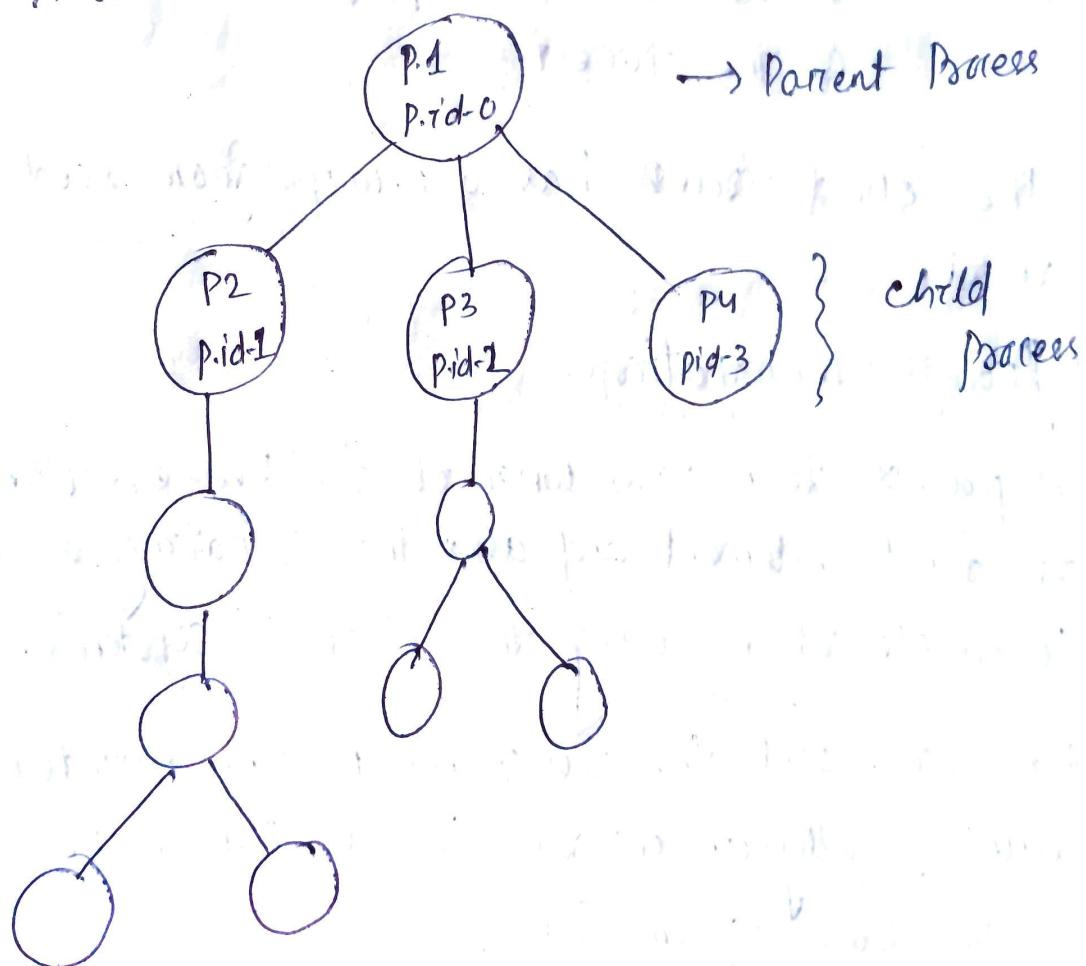
## Operation on Process

→ Process creation  
→ Process termination.

### ① Process Creation

- A process may create several new processes, via a create-Process system call, during the course of execution.
- The creating process is called a parent process, and the new processes are called the children process of that process.
- Each of these new processes may in turn create other processes, forming a tree of processes.

Figure: A tree of processes on typical solaris system.



\* When a process creates a new process, two possibilities exist in terms of execution:

1. The parent continues to execute concurrently with the children.
2. The parent waits until both all of the children have terminated.

\* There are also two possibilities in terms of the address space of the new process:

1. The child process is a duplicate of the parent process. (It has the same program and data as the parent.)
2. The child process has a new program loaded in it.

## ② Process Termination

- A process terminates when it finishes executing its final statement and asks the operating system to delete it by using the exit() system call.
- At that point, the process may return a status value (typically an integer) to its parent process (via the wait() system call).
- All the resources of the process - including physical and virtual memory, open files, and I/O buffers - are deallocated by the operating system.

Termination can occur in other circumstances as well:

- ① A process can cause the termination of another process via an appropriate system call.
  - ② Usually, such a system call can be invoked only by the parent of the process that is to be terminated.
  - ③ Otherwise, users could arbitrarily kill each other's jobs.
- (★) A parent may terminate the execution of one of its children for a variety of reasons, such as these:
- ① The child has exceeded its usage of some of the resources that it has been allocated. (To determine whether this has occurred, the parent must have a mechanism to inspect the state of its children).
  - ② The task assigned to the child is no longer required.
  - ③ The parent is exiting, and the operating system does not allow the child to continue if the parent terminates.

## Process Control Block

- A Process control block is a data structure maintained by the operating system for every process.
- The PCB is identified by an integer process ID (PID).
- A PCB keeps all the information needed to keep track of a process as listed below in the table.
- The architecture of a PCB is completely dependent on operating system and may contain different information in different operating system.
- Here is a simplified diagram of a PCB -

Process ID
state
Pointers
Priority
Program Counter
CPU registers
I/O information
Accounting Information
etc...

- The PCB is maintained for a process throughout its lifetime, and is deleted once the process

- ~~Information~~
- ① Process state :- The current state of a process i.e. whether it is ready, running, waiting or whatever.
  - ② Process Privileges :- This is required to allow / disallow access to system resources.
  - ③ Process ID :- Unique identification for each of the processes in the operating system.
  - ④ Pointer :- A pointer to parent process.
  - ⑤ Program Counter :- A Program Counter (PC) is a pointer to the address of the next instruction to be executed for this process.
  - ⑥ CPU register :- Various CPU registers where process need to be stored for execution for running state.
  - ⑦ CPU scheduling information :- Process priority and other scheduling information which is required to schedule the process.
  - ⑧ Memory Management Information :- This includes the information of page-table, memory limits, segment table, depending on memory used by the operating system.
  - ⑨ Accounting Information :- This includes the information about amount of CPU used for process execution, time limits, execution ID etc.