

Microbiome Analysis

31328 Moderne und molekulare Hochdurchsatztechnologien in der medizinischen Grundlagenforschung

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Session 1: Command Line for Bioinformatics

Overview

- ► Setup and introduction to the command line
- ► Background on bioinformatics tools
- Sequence data formats
- Quality control
- Sequence assembly
- ► Taxonomy assignment



Some Basic Linux Commands

- ▶ Is Lists files and directories in the current location; used to see what's in a folder
- ▶ cd Changes the current directory; used to navigate between folders (e.g., cd Documents)
- ▶ head Displays the first few lines of a file; used to preview file contents without opening the entire file
- ▶ wc Counts words, lines, and characters in a file; used to get file statistics (e.g., wc -1 counts lines)
- ▶ grep Searches for text patterns within files; used to find specific sequences or keywords (e.g., grep "ATCG" sequences.fasta)
- cat Concatenates and displays file contents; used to view entire files or combine multiple files
- bash The command-line shell/interpreter; used to execute commands and run shell scripts



Why Do We Need the Command Line in Bioinformatics?

- ▶ **Reproducibility:** Command-line tools and programming enable the creation of reproducible workflows. By writing scripts or workflows, researchers can document and automate their analyses, ensuring that others can replicate their results
- ▶ **Pipelines:** Programs talking to each other (pipes)
- ▶ **Redirection:** Programs write and read to files
- ► **Text Streams:** Allow us to both couple programs together and process data without storing huge amounts of data in our computers' memory
- ► Modularity:
 - Modular workflows allow us to experiment with alternate methods and approaches
 - ▶ Makes it easier to inspect intermediate results and isolate problematic steps
 - ▶ Allows us to choose tools and languages appropriate for specific tasks
 - Modular programs are reusable and applicable to many types of data

Reference: Buffalo, V. (2015) Bioinformatics Data Skills. O'Reilly Media



Reproducibility

- Literate programming: Chunks of programming (analytical code) with human-readable text (comments)
- ▶ **Version control:** Tracking changes made to sets of files (of a project), typically program source code, scripts and documentation
- ► Environment control: Versions of all programs (plus libraries, packages, OS) used; archival copies for future reference. Example: sessionInfo()
- ▶ Persistent data sharing: Collaborative, transparent, accessible science
- ▶ **Documentation:** e.g. README file
- ► Project (data + code + ...) validation
- Command-line tools enable creation of reproducible workflows (aka pipelines)
- ► **Modularity** of the command-line: Possibility of running long pipelines of programs, one after another + piping

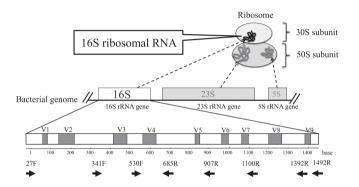
Reference: Ziemann et al. Brief Bioinform. 2023 Sep 22;24(6):bbad375



The 16S rRNA Gene for Community Profiling

- Operational concepts of classification: OTUs and ASVs
 - ▶ Operational taxonomic units (OTUs): Consensus sequences from clustering
 - ► Amplicon Sequence Variants (ASVs): Exact sequences
- ► Community profiling: Identifying OTUs and/or ASVs in samples
- ▶ 16S rRNA part of the 30S small subunit (SSU) of the prokaryotic ribosome
- All prokaryotes have one (or more) copy of this gene
- Different parts of the gene exhibit different levels of conservation
- \blacktriangleright Gene \sim 1540 nt Not too short to be uninformative; not too long to be unmanageable
- ▶ Most 16S rRNA gene is highly conserved between different species
- ► **Hypervariable regions:** Nine much less conserved (V1–V9)





Reference: Fukuda et al. 2016. J UOEH., 38(3):223-32. doi: 10.7888/juoeh.38.223

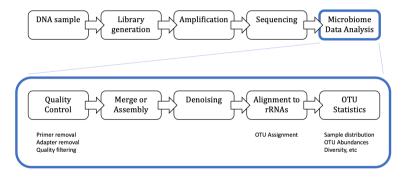


rRNA Reference Databases

Feature	SILVA	Greengenes	Greengenes2	RDP
Size	\sim 2M seq.	\sim 1.2M seq.	\sim 2.1M seq.	\sim 3M seq.
Last Update	Rel. 138.1 (2023)	13_8 (May 2013)	2022.10 (Oct 2022)	Rel. 11.5 (2016)
Coverage	Bacteria, archaea, euk.	Bacteria, archaea	Bacteria, archaea	Bacteria, archaea
rRNA genes	SSU + LSU	SSU only (16S)	SSU only (16S)	SSU only
Taxonomy	SILVA tax.	Greengenes tax.	GTDB taxonomy	Bergey's Manual
Best For	General use, euk.	Old studies	General use	Old studies



A Typical Microbiome Analysis Experiment





Sequence Data Formats

FASTA

head -10 egfr_flank.fasta
≽ENSHUSG08080928122 [ENSHUST08000138518
CCCTCCTTATATCHCTGTCAGTGTATCTCTAAATAGCACTCTCAACCCCCGTGAACTTGGT
TATTAAAAACATGCCCAAAGTCTGGGGCCCAGGGCTGCAGGGAAATACCACAGCCTCAGT
TCATCAAAACAGTTCATTGCCCAAAATGTTCTCAGCTGCAGCTTTCATGAGGTAACTCCA
GGCCCACCTGTTCTTGGT

>ENSMISGOBBOB0220122 | ENSMISTBB0B00125984 GGGTGAGGTTGAGCTGCCTGAGACTACAGAGAGAGAGAGGCCTTGGTGTCCTGTTGTC TCCAGAACCCCAATATGTCTTGTGAAGGGCACACAACCCCTCAAGGGGTGCACTTCTT CTGATCACTTTTGTTACTGTTTACTGACTGCTCTGTGATCACTGTGTCTTCTCAGAGG CGCTGAACCAGGTTGCAAT

>id, description sequence....

FASTQ

@DJB775P1:248:D0MDGACXX:7:1202:12362:49613
TGCTTACTCTGCGTTGATACCACTGCTTAGATCGGAAGAGCACACGTCTGAA

JJJJJIIJJJJJHHHHGHFFFFFFCEEEEEDBD?DDDDDBDDDABDDCA
@DJB775P1:248:D0MDGACXX:7:1202:12782:49716
CTCTGCGTTGATACCACTGCTTACTCTGCGTTGATACCACTGCTTAGATCGG

@id, descriptionsequence+indicates end of sequencebase quality (Phred format)



Hands-on: Working with FASTQ Files

Viewing the first 10 lines of a FASTQ file:

```
! head Platz1_R1.head.fastq
```

Counting total number of lines:

```
! wc -l Platz1_R1.head.fastq
```

Hint: Each read in FASTQ format consists of four lines

Finding specific nucleotide combinations:

```
! grep "AATATT" Platz1_R1.head.fastq | head
```

Counting occurrences:

```
! grep -c "AATATT" Platz1_R1.head.fastq
```



FASTQ Quality

A quality value Q is an integer mapping of p (i.e., the probability that the corresponding base call is incorrect). $Q_{\rm sanger} = -10 \, \log_{10} p$

$$Q_{sanger} = -10 \log_{10} p$$
$$30 = -10 \log_{10} p$$
$$\frac{30}{-10} = \log_{10} p$$
$$p = 10^{-3}$$



Hands-on: Quality Control with FASTQC

Running FASTQC:

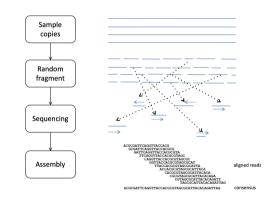
```
! fastqc --quiet Platz1_R1.head.fastq
```

To display the output, download the HTML output from the Files view on the side menu to your local computer (Folder: /course/data2025), and open it in a web browser.



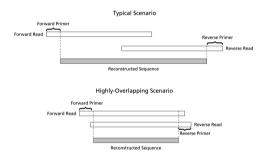
Sequence Assembly

- Reconstructing complete DNA sequences by aligning and merging smaller overlapping DNA fragments
- ► NGS produces short fragments (e.g. 50-300 bp for Illumina)
- Uses computational algorithms to find overlaps and combine them into longer sequences
- Analog to solving a puzzle with overlapping pieces





Using PANDAseq for Amplicon Assembly



Reference: Masella et al. BMC Bioinformatics 13, 31 (2012). doi:10.1186/1471-2105-13-31

- ► PANDAseq merges forward and reverse reads by finding their overlapping region
- When reads share identical sequences, it creates a single, longer consensus sequence
- Uses quality scores to decide which base to keep when there are mismatches
- Discards reads that do not overlap sufficiently or have too many errors



Hands-on: Amplicon Assembly with PANDAseq

Running PANDAseq:

```
! pandaseq -f Platz10_R1.head.fastq -r Platz10_R2.head.fastq -w Platz10.fa -g
log.txt
```

Viewing the output:

```
! head Platz10.fa
```

Counting sequences in FASTA output:

```
! grep -c ">" Platz10.fa
```

Question

What is the rate of FASTA sequences vs FASTQ reads? And what does this tell about our sequencing and assembly quality and efficiency?



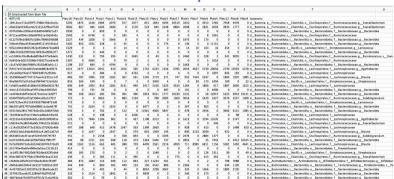
Taxonomy Assignment

- ► **Community profile:** Represents the taxonomic composition of a microbial community obtained from DNA sequencing data. Typically OTU/ASV tables
- ► **Taxonomy Assignment:** Identifying which organism each DNA sequence belongs to by comparing it against reference databases (SILVA, Greengenes, RDP)
- ▶ OTUs (Operational Taxonomic Units): Groups of sequences clustered together based on similarity (typically \geq 97% identity)
- ▶ ASVs (Amplicon Sequence Variants): Exact unique sequences identified after error correction, providing single-nucleotide resolution. ASVs are now preferred over OTUs for higher precision and reproducibility



Example OTU Table

samples



taxa

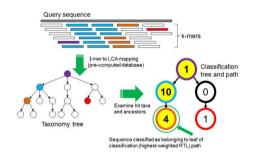


Taxonomy Assignment with Kraken2

Kraken2 uses the k-mer method:

- ► Reference database with sequences cut into k-mers (e.g., 30 bases)
- ► Break new sequence into k-mers
- Check each k-mer in database
- Assign to lowest common ancestor (LCA) if found in multiple organisms
- Decide organism based on where most k-mers point

Reference: Wood & Salzberg, 2014. Genome Biol 15: R46. doi:10.1186/gb-2014-15-3-r46





Hands-on: Taxonomy Classification with Kraken2

Running Kraken2 against Greengenes database:

```
! kraken2 --db 16S_Greengenes_k2db --use-names --output output.txt --report report.txt --paired Platz10_R1.head.fastq Platz10_R2.head.fastq
```

Inspecting results:

```
! cat report.txt
```

Question

What are the most predominant genera in your personal Illumina runs?



Kraken2 Report Output Format

The output report from Kraken2 consists of the following fields:

- Percentage of fragments covered by the clade rooted at this taxon
- ▶ Number of fragments covered by the clade rooted at this taxon
- Number of fragments assigned directly to this taxon
- A rank code: (U)nclassified, (R)oot, (D)omain, (K)ingdom, (P)hylum, (C)lass, (O)rder, (F)amily, (G)enus, or (S)pecies
- NCBI taxonomic ID number
- Indented scientific name



Generating the OTU Table

Execute Kraken2 with all Illumina sequencing pairs:

```
! bash getkrakenreports.sh
```

Merge all reports with kraken-biom:

```
! kraken-biom *.report --fmt tsv -o mbtmicrobiome20251022.tsv
```

View the OTU table:

```
! cat mbtmicrobiome20251022.tsv
```

OTU taxonomic information can be retrieved from the NCBI Taxonomy database



Session 2: Microbiome Data Analysis in R

Aims

- ▶ How do the samples group with each other? Principal Components
- ► How much diversity is there in a sample? Alpha diversity
- ► How does the diversity differ between samples? Beta diversity
- What is the taxonomic content of the sample?
- Rarefaction: Adjusting for differences in library sizes across samples to aid comparisons

Reference: Willis A. 2019. Front. Microbiol. 10: 2407, doi:10.3389/fmicb.2019.02407



Why R for Bioinformatics?

- Freely available
- ► User-friendly resources to learn R
- R editors and integrated development environments (IDEs)
- Active user community
- Large code repositories: CRAN, Bioconductor, github, gitlab
- Ready-made packages and functions for Bioinformatics
- ▶ Reproducibility: Code, library versions, Rmarkdown, Jupyter notebooks



Loading Libraries and Microbiome Data

Install Bioconductor and phyloseq:

```
install.packages("BiocManager")
BiocManager::install("phyloseq")
```

Load required libraries:

```
# load ggplot2 library (graphics)
library(ggplot2)

# loading phyloseq library (microbiome analysis)
library(phyloseq)
```



Loading Input Files

View metadata:

```
head(SampleData)
```



Creating a Phyloseq Object

Merge OTU and sample data:

```
# create phyloseq object by merging OTU and sample data
ExperimentPhyloseqObject <- merge_phyloseq(BiomData, SampleData)</pre>
```

Check object properties:

```
# checking the features of our original microbiome data
ExperimentPhyloseqObject
```



Subsetting and Filtering Data

```
# create a temporary phyloseq object for working
psTemp <- ExperimentPhyloseqObject

# Prune OTUs with low abundances from all samples
psTemp <- prune_taxa(taxa_sums(psTemp) > 100, psTemp)

# Prune samples with no metadata
psTemp <- subset_samples(psTemp, Gender != "U")

# checking the features of our microbiome data
psTemp</pre>
```

Question

What are the differences between the ExperimentPhyloseqObject and psTemp objects?



Sample Ordination

- Ordination is a visualization technique that places samples in 2D or 3D space based on their microbial community similarity
- Samples with similar microbiomes appear close together; different ones are far apart
- Ordination shows quickly if samples cluster by treatment, disease state, or other factors—revealing biological patterns in your data at a glance
- ► PCoA (Principal Coordinates Analysis): Works with ecological distances like Bray-Curtis dissimilarity, which better captures compositional differences between communities

References: Bray & Curtis (1957). Ecol Monogr, doi:10.2307/1942268 Ramette (2007). FEMS Microbiol Ecol, doi:10.1111/j.1574-6941.2007.00375.x



Hands-on: Sample Ordination

```
# Calculate distance and ordination
iDist <- distance(psTemp, method="bray")
iMDS <- ordinate(psTemp, distance=iDist)

# plot sample ordination, e.g. by Gender
plot_ordination(psTemp, iMDS, color="Gender")</pre>
```

Question

Are there any clear separations between the gender groups? Why/Why not?

Ordination by other metadata (e.g. "Pet"):

```
plot_ordination(psTemp, iMDS, color="Pet") +
  geom_text(aes(label=SampleID), vjust = -1)
```



Microbial Communities - Theory

Phylum and Genus Barplots

- ► Microbial communities are usually represented by bar plots showing the relative abundance (percentage) of different bacterial groups
- ► Each color represents a different taxon, and bar height shows how much of the community it comprises
- ► After taxonomy assignment, sequences are grouped by taxonomic level and counted. Counts are converted to percentages so all bars add up to 100%
- Provides an intuitive visual summary of community composition
- ► Typically only the top 10-20 most abundant taxa are shown individually; rare taxa are grouped as "Other"

References: Caporaso et al. (2010). Nature Methods, doi:10.1038/nmeth.f.303 McMurdie & Holmes (2013). PLoS One, doi:10.1371/journal.pone.0061217



- Normalization technique that randomly subsamples all samples to the same sequencing depth (number of reads) to enable fair comparison
- ▶ Different samples often have different total read counts due to technical variation in sequencing
- ▶ All samples are randomly downsampled to match the sample with the fewest reads
- ▶ However: Some argue rarefaction discards valuable data and recommend alternative normalization methods (e.g., CSS, DESeq2), particularly for differential abundance testing

References: Hughes et al. (2001). Appl Environ Microbiol, doi:10.1128/AEM.67.10.4399-4406.2001 McMurdie & Holmes (2014). PLoS Comput Biol, doi:10.1371/journal.pcbi.1003531



Hands-on: Abundances Per Sample

Absolute abundances at the Phylum and Genus levels:

```
### Phylum
plot_bar(psTemp, "SampleID", fill="Phylum")
### Genus
plot_bar(psTemp, "SampleID", fill="Genus")
```

Note

These plots show that the samples are not directly comparable. Hence rarefaction is needed to adjust for differences in library sizes.



Hands-on: Abundances After Rarefaction

```
# initializes the random number generator to ensure reproducibility
set.seed(1212)

# Rarefaction to an even depth
ps.rarefied <- rarefy_even_depth(psTemp)

# Agglomerate the data to a single taxonomic level, e.g. Phylum
ps.rarefied.glom <- tax_glom(ps.rarefied, "Phylum")

# Plot abundances
plot_bar(ps.rarefied.glom, "SampleID", fill="Phylum")</pre>
```

Question

What are the differences between the abundance plots before and after rarefaction?



Using Metadata

```
### Abundances per category, e.g. "Gender"
# Merge samples by the selected category
mergedGP <- merge_samples(psTemp, "Gender")

# Rarefaction to an even depth
ps.rarefied <- rarefy_even_depth(mergedGP)

# Agglomerate to Phylum level
ps.rarefied.glom <- tax_glom(ps.rarefied, "Phylum")

# Plot abundances for the example category "Gender"
plot_bar(ps.rarefied.glom, fill="Phylum")</pre>
```



(a) versitäte medizin Moodi

- Diversity: How many different species are present and how evenly distributed they are in microbial communities
- ▶ Alpha diversity: Diversity within a single sample
 - ► Common metrics: species richness, Shannon index, observed ASVs/OTUs
 - ▶ Shannon index combines richness and evenness: higher values = more diverse communities
- ▶ Beta diversity: Diversity between samples
 - ▶ Measured using distance metrics like Bray-Curtis dissimilarity or UniFrac distances
 - Used to create ordination plots (PCoA, PCA)
- ► Low alpha diversity may indicate dysbiosis; high beta diversity between groups suggests different conditions are shaping distinct communities

References: Magurran (2004). Measuring Biological Diversity, doi:10.1002/9780470999738 Lozupone & Knight (2005). Appl Environ Microbiol, doi:10.1128/AEM.71.12.8228-8235.2005



Hands-on: Alpha Diversity by Sample

Shannon diversity on individual samples:

```
plot_richness(psTemp, x = "SampleID", measures = c("Shannon"))
```

Shannon diversity by Gender:

```
plot_richness(psTemp, x = "Gender", color = "Gender", measures = c("Shannon")
)
```



Enhanced Diversity Visualization

```
# Assign our plot to a variable
Our Richness plot <- plot richness(psTemp, x = "Gender",
                                    color = "Gender".
                                    measures = c("Shannon"))
# Improving our plot by adding features
Our_Richness_plot +
  geom boxplot(data = Our Richness plot$data,
               aes(x = Gender, y = value, color = Gender),
               alpha = 0.1) + # boxplot
 labs(title = "Richness of the gut microbiome (Shannon alpha diversity)",
       subtitle = "MBT Class 2024") + # title and subtitle
  theme(axis.text.x = element text(angle = 0, hjust = 0.5)) # x-axis labels
```



Quiz: Steps for the Analysis of Microbiome Data

Statement		F
Alignment to rRNA sequences from standard databases		F
microRNA identification	Т	F
RNA structure prediction		F
Quality control	Т	F
Denoising or quality filtering		F



Quiz: Assembly in Microbiome Analysis Means?

Statement		F
Discard sequences with poor FASTQ quality		F
Comparison (alignment) of the amplicon sequences versus known		F
rRNAs from ribosomal rRNA databases		
Preparing OTU tables by counting the number of rRNA matches		F
present for each taxa		
Merging fragments (in FASTQ format) into whole sequences (in	Т	F
FASTA format)		
Building a small database of ribosomal RNAs	Т	F



Quiz: Abundance (Composition) Plots Show:

Statement		F
The phyla and genera compositions simultaneously for all samples		F
in the same plot		
The genera (genus) and species composition simultaneously of a	Т	F
microbial community		
The abundance of all taxonomic levels at the same time for every	Т	F
individual sample		
The abundance of all taxonomic levels at the same time for every	Т	F
sample group		
The composition of a given single taxonomic level for a microbial	Т	F
community		



Quiz: R for Bioinformatics

Statement		F
Requires a commercial licence for use		F
Large code repositories	Т	F
Jupyter Notebooks are limited to Python and hence cannot be used		F
with the R programming language		
Ready-made packages and functions for bioinformatics		F
Reproducibility depends on R library versions		F



Quiz: Microbial Communities

Statement	Т	F
Rarefaction is a method that adjusts for differences in library sizes		F
across samples to aid comparisons		
The principal components method is used to visualize how samples	Т	F
group together		
Genera composition tells the abundance of species in a sample or	Т	F
group of samples		
The taxonomic content of a sample (or group) can only be studied	Т	F
using known taxa		
Alpha diversity measures can be seen as a summary statistic of a	Т	F
single population (within-sample diversity)		