

# Revision Notes

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Well formed formulae:

- i.)  $\perp$  &  $T$  are well formed formulae (wff)
- ii.) Every propositional variable is a wff
- iii.) If  $\phi$  &  $\psi$  are wff then so are:  
 $\neg\psi, \phi \wedge \psi, \phi \vee \psi, \phi \rightarrow \psi, \phi \leftrightarrow \psi$
- iv.) Nothing else is a wff

An interpretation,  $\tilde{I}$ , is a function or mapping which assigns an atom a truth value

$$\tilde{I}(P) \in \{T, F\}$$

- if  $\tilde{I}(P) = T$ ,  $P$  is true under the interpretation,  $\tilde{I}$
- if  $\tilde{I}(P) = F$  ...

A Model is defined as follows:

Let  $P$  be an atom, let  $\tilde{I}$  be an interpretation for  $P$

$\tilde{I}$  is a Model for  $P$  iff  $\tilde{I}(P) = T$

in such a case we write:

$$\tilde{I} \models^{\text{"model for P"}} P$$

We can define our semantics of connectives as:

Let  $\tilde{I}$  be an interpretation for a set of atoms  $F$ ,

let  $\phi, \psi \in WFF(F)$

- $\tilde{I}(\perp) = F, \tilde{I}(T) = T$
- $\tilde{I} \models \neg\phi$  iff  $\tilde{I} \not\models \phi$
- $\tilde{I} \models \phi \wedge \psi$  iff  $\tilde{I} \models \phi$  and  $\tilde{I} \models \psi$
- $\tilde{I} \models \phi \vee \psi$  iff  $\tilde{I} \models \phi$  or  $\tilde{I} \models \psi$
- $\tilde{I} \models \phi \rightarrow \psi$  iff  $\tilde{I} \not\models \phi$  or  $\tilde{I} \models \psi$
- $\tilde{I} \models \phi \leftrightarrow \psi$  iff  $(\tilde{I} \not\models \phi \text{ and } \tilde{I} \not\models \psi) \text{ or } (\tilde{I} \models \phi \text{ and } \tilde{I} \models \psi)$

Important Notions

## Important / Notes

Satisfiability - A sentence,  $s$ , is satisfiable iff  $\exists I \models s$

Falsifiability - A sentence,  $s$ , is falsifiable iff  $\exists I \models \neg s$

Unsatisfiability - A sentence,  $s$ , is unsatisfiable if it has no models,  $\forall I \not\models s$

Validity - A sentence,  $s$ , is valid iff every interpretation is a model

$\forall I \models s$

Tautology - if a sentence is valid, it is a tautology

Contradiction - if it is unsatisfiable

Contingent - if it is both satisfiable & falsifiable

## Semantic Consequence

- Let  $\Delta$  be a finite set of sentences. Let  $\phi$  be a sentence

- We say  $\Delta$  semantically entails  $\phi$  if for every interpretation,  $I$ , where  $I \models \Delta$ , we also have  $I \models \phi$

We write this as

$\Delta \models \phi$

If  $I \models \Delta$ , and  $\Delta = \{\phi_1, \phi_2, \dots, \phi_n\}$   
 $I \models \phi_1, I \models \phi_2, \dots, I \models \phi_n$

## Equivalence

We say two formulas  $\phi$  &  $\psi$  are equivalent if

$\{\phi\} \models \psi$  and  $\{\psi\} \models \phi$

semantic entailment

We write  $\phi \equiv \psi$

## Syntactic Consequence

Let  $\Delta$  be a finite set of sentences. Let  $\phi$  be a sentence

Let  $S$  be an inference system

eg natural deduction  
or Prop Res

We say  $\Delta$  syntactically entails  $\phi$  if there is a valid sequence of rules from  $S$  that allows us to derive  $\phi$  from  $\Delta$

We will write

$\Delta \vdash \phi$

$$\Delta \vdash \phi$$

## Normal forms

- There are several finitely complete sets of connectives
- Minimal sets, however, often produce unattractive structures with lots of nesting
- If we relax our minimality, we can create normal forms of simple, uniform structure.
- A **Literal** is either an atom or the negation of an atom.

**Disjunctive Normal Form** - A formula,  $\phi$ , is in DNF if it is of the form  $\psi_1 \vee \psi_2 \dots \vee \psi_n$  ( $n \geq 1$ ) where each  $\psi_i$  is a conjunction of literals

$$\bigvee_{i=1}^n \bigwedge_{j=1}^{m_i} l_{i,j}$$

**Conjunctive Normal Form** - We say a formula,  $\phi$ , is in CNF if it is of the form  $\psi_1 \wedge \psi_2 \wedge \dots \wedge \psi_n$  where each  $\psi$  is a disjunction of literals

$$\bigwedge_{i=1}^n \bigvee_{j=1}^{m_i} l_{i,j}$$

**Clausal Normal Form** - A formula is in Clausal Normal Form if it is in Conjunctive Normal Form & represented as a set of clauses

We will use this form for the rest of the module.

$$(P \vee \neg Q \vee R) \wedge (\neg P \vee Q)$$

$$\{P, \neg Q, R\}, \{\neg P, Q\}$$

## Converting Normal forms

- 1) Eliminate  $\leftrightarrow$  and  $\rightarrow$  connectives
- 2) Use laws of double negation, De Morgan's 1 & 2 repeatedly until all negations are immediately in front of atoms
- 3) Use law of distribution for Coni & Disj. until

3) Use law of Distributivity for Conj & Disj. until desired normal form is reached.

A Theorem in a logical Calculus is a formula or sentence that has a proof in this Calculus.

Using this we can now express Soundness & Completeness as a relation between the **Syntactic** & **Semantic** notion

**Soundness** - Let  $S$  be an inference system

$S$  is sound if every sentence in  $S$  is also  $\vdash$  valid Tautology.

$$\vdash_S \phi \longrightarrow \vdash \phi$$

Essentially, every formula that has a proof in  $S$  is valid under all interpretation.

**Completeness** - Let  $S$  be an inference system.  $S$  is complete if every tautology is also a theorem of  $S$

shown as:

$$\vdash \phi \longrightarrow \vdash_S \phi$$

One wants an inference system to be sound & complete although we can gain completeness in order for a system to be more organized

## Resolution

- Efficient logical calculus

- uses the principle of modus ponens

Using Clausal normal form

$$\frac{P \quad P \rightarrow Q}{Q} \quad \boxed{\quad} \quad \frac{P \quad \neg P \vee Q}{Q}$$

## The Resolution Rule

- Expresses the fact that for any interpretation,  $\models$  to satisfy both  $P$  and  $\neg P \vee Q$  we have to have  $I(P) = T$ . i.e. the satisfiability of the second formula depends on if  $I \models Q$

We can express this as

$$\frac{\{l\} \cup C_1 \quad \{\neg l\} \cup C_2}{C_1 \cup C_2}$$

Resolvent

Where  $C_1, C_2$  are clauses and  $l \in \text{literal}$

- The conclusion of Resolution is Satisfiable iff the premises are Satisfiable.

The Resolution rule is Sound

Denote  $\{\}$  as  $\square$

Resolution Procedure

- Exhaustive application of the Resolution rule.
- Either we have derived  $\square$  or no new clause can be derived.

1. Let  $\Phi_i$  be the initial set of clauses

2. for  $\Phi_i, i \geq 0$ , choose 2 clauses  $C_1, C_2 \in \Phi_i$  that have not yet been resolved & contain one complementary literal, let  $C$  be the resultant clause

3. Set  $\Phi_{i+1} = \Phi_i \cup \{C\}$

4. if  $C = \square$ , terminate with  $\Phi$  unsatisfiable

5. if  $\Phi_{i+1} = \Phi_i$ , then terminate with  $\Phi$  satisfiable

6. Else

The Resolution Procedure is Not Complete

Resolution

To Make Resolution Complete we use it as a refutation procedure rather than as a proof procedure

- We instead try to derive  $\square$  from the negation of the Conclusion and original clauses.

- Exploits the fact that a sentence is Valid iff its negation is Satisfiable

Proofs can be written using derivation trees, or linearly

Props can be written using derivation trees, or linearly

$$\frac{\frac{B, \gamma A \quad \gamma B}{\gamma A} \text{ Res}}{D} \quad \frac{\frac{B, A \quad \gamma B}{A} \text{ Res}}{D} \quad \frac{\begin{array}{l} 1. B, \gamma A \\ 2. B, A - \\ 3. \gamma B - \\ 4. \gamma A \text{ Res } 1, 3 \\ 5. A \text{ Res } 2, 3 \\ 6. D \text{ Res } 4, 5 \end{array}}{D}$$

### Soundness of Resolution Refutation

follows from soundness of Resolution. However, instead of a resolution tree showing **Satisfiability**, we use a refutation tree to show **Unsatisfiability**.

$$\frac{\frac{\frac{\vdash_{\text{res}} \phi \text{ then } \models \phi}{\vdash_{\text{res}} \phi}}{\text{Symbolic consequence}} \text{ (i.e. can derive a refutation tree for } \phi)}{\text{Semantic consequence. } \phi \text{ is a tautology}}$$

if  $\exists$  a refutation tree for a formula  $\phi$ ,  $\phi$  is **unsatisfiable**

### Completeness of Refutation

if a formula  $\phi$  is **unsatisfiable** then  $\phi$  has a refutation tree

if  $\models \phi$  then  $\vdash_{\text{res}} \phi$

Let  $\phi$  be **unsat**. Then there is a refutation tree for  $\phi$

Proof:

Let  $\Phi$  be the CNF of  $\phi$

Base Case:

There exists only one Prop. Variable,  $P$ , in  $\Phi$

Since  $\Phi$  is **unsat** both  $\{P\}, \{\neg P\} \in \Phi \xrightarrow{\text{res}} \perp$

Inductive Hypothesis:

if  $\Phi$  is unsat and contains  $n$  variables, then there exists a refutation tree

Step Case:

Let  $\Phi$  be **unsat**, containing  $n+1$  variables

Let  $P$  be a prop. var.  $\in \Phi$

Construct  $\Phi'$  as follows:

All every  $C \in \Phi$  that neither contains  $P$  or  $\neg P$  to  $\Phi'$

to  $\Phi'$

- 2) Let  $C, D \in \Phi$  be clauses such that  
 $P \in C, \neg P \in D$ , then add the resultant of  
 $C, D$  on  $P$  to  $\Phi'$

Since  $\Phi$  is **UNSAT**, so is  $\Phi'$

$\Phi'$  contains at most  $n$  variables

Using the Inductive hypothesis we can:  
decide Completeness.

## The DPLL Procedure

1.) Input is a set of clauses  $\Phi$ , Output is a Model,  $I$ , or **UNSAT**

2.) If  $I$  models  $\Phi$  then return  $I$

3.) If  $\Phi$  contains the empty clause,  $\emptyset$ , backtrack

4.) Unit Propagation - If  $\{l\} \in \Phi$  then extend  $I$  with  $I(l) = T$ .  
Delete all clauses in  $\Phi$  containing  $l$ . Delete all occurrences  
of  $\neg l$  in all clauses in  $\Phi$

5.) Pure Literal - If  $l$  is a literal that only occurs in one polarity in  
 $\Phi$ , extend  $I(l) = T$ , delete all clauses in  $\Phi$  containing  $l$

6.) Splitting - Choose a Prop var.  $P \in \Phi$  not yet fixed in  $I$

a) - Reduce  $\Phi$  and  $I$  extended by  $I(P) = T$  → Remove any clause containing  $P$ ,  
remove all occurrences of  $\neg P$

b) - Reduce  $\Phi$  and  $I$  extended by  $I(P) = F$  → Remove any clause containing  $\neg P$ ,  
remove all occurrences of  $P$ .

## First Order Logic

- Propositional logic is not rich enough to express everything  
we want to  
- e.g. Intelligent Discovery or Reasoning

- Example of a sentence we cannot express in Prop. logic:

"Socrates is a Man, all Men are Mortal,  
therefore, Socrates is Mortal"

## Syntax

- Main purpose of 1<sup>st</sup> order logic = make statements about  
distinct entities in a given domain / universe

arbitrary entities in a given domain / universe

### - Constants

- Represent concrete individuals in our universe  
discuss

### - Functions

- Maps Individuals in the Universe onto other individuals
  - thereby, relating them to each other
- Functions have different arities (how many arguments they take)

### - Variables

- Symbolic representations of an entity that is not (yet) determined

## Terms

- Let  $V, C, F$  be disjoint sets of symbols, representing Variables, constants and functions, respectively

We can i. define terms as follows:

1. Any Variable  $\in V$  is a term
2. Any Constant  $\in C$  is a term
3. if  $t_1, \dots, t_n$  are terms and  $f \in F$  has arity  $n$  then  
 $f(t_1, \dots, t_n)$  is a term
4. Nothing else is a term

## Predicates

Predicates in 1<sup>st</sup> order logic replace Propositional Variables in Propositional logic.

Predicates are similar to functions

- Have an arity
- View propositional variables as predicates with an arity of 0.

## Atomic Formulas

Let  $P$  be a set of symbols representing Predicates

- Let  $P \in P$  be a predicate taking  $n$  arguments and  $t_1, \dots, t_n$  are terms. Then  $P(t_1, \dots, t_n)$  is an atomic formula or atom

atomic formula or atom

i.) Nothing else is an atomic formula →

## Ground Terms & Atoms

A term is ground if it does not contain any variables

An atom is ground if it contains only ground terms

## Free & bound Variables

We call a variable bound if it is either substituted by a specific value or restricted to a set of values

## Quantifiers

- A way to bind variables
- We will focus on Universal,  $\forall$  and existential,  $\exists$
- The Scope of a quantifier: → how far the influence of a quantifier reaches in our formulae

## Well formed formulae in First Order Predicate Logic

We define the set  $\mathcal{V}$  of WFF as follows:

- i) T and L are well-formed formulae
- ii) Every atomic formula is a WFF
- iii) If  $\phi$  and  $\psi$  are wff then so are:  
 $\neg\phi, \phi \wedge \psi, \phi \vee \psi, \phi \rightarrow \psi, \phi \leftrightarrow \psi$

- iv) If  $\phi$  is a wff and  $x \in V$  is a variable then

$\exists x. \phi$  and  $\forall x. \phi$  are wff

- v) Nothing else is a well-formed formula

## Semantics

In Prop. logic we assigned meaning to our Prop. vars by interpretations to map them onto truth values.

In 1<sup>st</sup> order predicate calculus we do something similar but we must first interpret our terms. We do this by mapping our terms into a universe

a non-empty set,  $U$

Interpretation - 1. Lits have meanings in  $U$

Interpretation - Let  $U$  be a non-empty set called Universe. An interpretation,  $\mathcal{I}$ , over  $U$  is a function that:

i) Maps each  $c \in C$  onto an element of  $U$

ii) Maps each  $f \in F$  with  $n$  arguments to a concrete function  $f^{\mathcal{I}}: U^n \rightarrow U$

iii) Maps each  $P \in P$  with  $U^n \times U$   
 $n$  arguments to a concrete function  $P^{\mathcal{I}}: U^n \rightarrow \{T, F\}$

A predicate maps an element of  $U$  to a bool.  
A predicate is just a subset of the universe

## Variables

- Need special treatment

- Cannot be simply interpreted

- Have to account  $\forall x, \exists x$  in  $U$

- We use a function called variable assignment

Variable Assignment - Given a universe,  $U$ , and a set of variables,  $V$

We call the function  $\theta: V \rightarrow U$  a variable assignment

For a concrete variable  $x \in V$  we will denote an assignment under  $\theta$  by  $x_{\theta} \in U$ , i.e.  $\theta(x) = x_{\theta}$

## Semantics over terms

Let  $U$  be a non-empty universe,  $\mathcal{I}$  interpretation and  $\theta$  a variable assignment over  $U$ . One has:

i)  $x \in V$  evaluates to  $\mathcal{I}_{\theta}(x) = \theta(x) = x_{\theta} \in U$

ii)  $c \in C$  evaluates to  $\mathcal{I}_{\theta}(c) = \mathcal{I}(c) = c_{\theta} \in U$

iii) With terms  $t_1, \dots, t_n$  and  $f \in F$  we evaluate  $\mathcal{I}_{\theta}(f(t_1, \dots, t_n))$   
recursively as:

$$\mathcal{I}(f)(\mathcal{I}_{\theta}(t_1), \dots, \mathcal{I}_{\theta}(t_n)) = f_{\theta}(\mathcal{I}_{\theta}(t_1), \dots, \mathcal{I}_{\theta}(t_n)) \in U$$

## Models in 1<sup>st</sup> Order Predicate Calculus

Let  $P(t_1, \dots, t_n)$  be an atom, let  $\mathcal{I}$  be an interpretation over a universe  $U$  and  $\theta$  a variable assignment over  $U$ . Then  $\mathcal{I}_{\theta} \models P$  is called a Model for  $P(t_1, \dots, t_n)$  iff  $\mathcal{I}(P)(\mathcal{I}_{\theta}(t_1), \dots, \mathcal{I}_{\theta}(t_n)) = T$ .

We write:  $\mathcal{I}_{\theta} \models P \quad (\mathcal{I}_{\theta} \models P(t_1, \dots, t_n))$

## Semantics of formulae

## Semantics of formulas

Let  $\tilde{I}$  be an interpretation over the universe,  $\mathcal{U}$ .  
 Let  $\phi, \psi \in \text{ULPF}(\text{FOL})$ . Then we have:

- $\tilde{I}_\phi(\top) = \top$  and  $\tilde{I}_\phi(\perp) = \perp \quad \forall \phi$
- Formulas  $\neg\phi, \phi \wedge \psi, \phi \vee \psi, \phi \rightarrow \psi, \phi \leftrightarrow \psi$  are evaluated with respect to  $\tilde{I}_\phi$
- $\tilde{I}_\phi \models \exists x. \phi$  if  $\tilde{I}_\phi \models \phi$  for some  $\theta$  that maps  $x$  to some value in  $\mathcal{U}$
- $\tilde{I}_\phi \models \forall x. \phi$  if  $\tilde{I}_\phi \models \phi$  for assignments  $\theta$  that map  $x$  to all possible values in  $\mathcal{U}$

## Normal forms in FOL

- We still want to end up in Clausal Normal Form but we have to convert to intermediate forms to reach it.

### Prenex Normal Form

- Move all quantifiers to front of the formula
- Be careful of the scope of quantifiers when moving them
- Renaming of bound variables may be necessary

$\neg \forall x. \phi \cong \exists x. \neg \phi$	(All-Neg)	$\neg \exists x. \phi \cong \forall x. \neg \phi$	(Ex-Neg)
$(\forall x. \varphi) \vee \psi \cong \forall x. \varphi \vee \psi$	(All-Or-1)	$(\exists x. \varphi) \vee \psi \cong \exists x. \varphi \vee \psi$	(Ex-Or-1)
$(\forall x. \varphi) \wedge \psi \cong \forall x. \varphi \wedge \psi$	(All-And-1)	$(\exists x. \varphi) \wedge \psi \cong \exists x. \varphi \wedge \psi$	(Ex-And-1)
$(\forall x. \varphi) \rightarrow \psi \cong \exists x. \varphi \rightarrow \psi$	(All-Imp-Left)	$(\exists x. \varphi) \rightarrow \psi \cong \forall x. \varphi \rightarrow \psi$	(Ex-Imp-Left)
$\varphi \rightarrow (\forall x. \psi) \cong \forall x. \varphi \rightarrow \psi$	(All-Imp-Right)	$\psi \rightarrow (\exists x. \psi) \cong \exists x. \varphi \rightarrow \psi$	(Ex-Imp-Right)
$(\forall x. \varphi) \wedge (\forall x. \psi) \cong \forall x. \varphi \wedge \psi$	(All-And-2)	$(\exists x. \varphi) \vee (\exists x. \psi) \cong \exists x. \varphi \vee \psi$	(Ex-Or-2)
$(\forall x. \varphi) \vee (\forall x. \psi) \cong \forall x. \forall z. \varphi \vee \psi$	(All-Or-2)	$(\exists x. \varphi) \wedge (\exists x. \psi) \cong \exists x. \exists z. \varphi \wedge \psi$	(Ex-And-2)
$(\forall x. \varphi) \wedge (\exists x. \psi) \cong \forall x. \exists z. \varphi \wedge \psi$	(All-Ex-And)	$(\exists x. \varphi) \wedge (\forall x. \psi) \cong \exists x. \forall z. \varphi \wedge \psi$	(Ex-All-And)
$(\forall x. \varphi) \vee (\exists x. \psi) \cong \forall x. \exists z. \varphi \vee \psi$	(All-Ex-Or)	$(\exists x. \varphi) \vee (\forall x. \psi) \cong \exists x. \forall z. \varphi \vee \psi$	(Ex-All-Or)

Renaming

Renaming of variables is important - the last 6 rules above.

In order not to lose something when dealing with mixed quantification

### Skolem Normal Form

- Remove all quantifiers
- Done with a procedure called Skolemisation
- Replaced quantified variables with free variables
- Our Skolemised formula is not necessarily equivalent to our

- Our Skolemized formula is not necessarily equivalent to our original

- However, Satisfiable iff the original formula was

### Substitution

- Allows replacing of Variables with terms
- A Substitution is a mapping of variables in  $\mathcal{V}$  to terms over  $\mathcal{V}, \mathcal{C}, \mathcal{F}$   
We usually denote substitutions with small greek letters ( $\sigma, \tau, \nu$ )

A substitution is denoted as  $[x \mapsto t]$

A Substitution is **ground** if it maps variables to ground terms

### Variable Capture $\leftarrow$ Avoid this

- Results from careless substitution
- Make sure names don't overlap / interfere
- Avoided by using renaming

### Skolemisation

- Removing quantifiers from a formula in Prenex normal form
- Let  $\phi$  be a formula in prenex form over  $\mathcal{V}, \mathcal{F}, \mathcal{C}, \mathcal{P}$ . Let  $S = \{z\}$

1. if  $\phi$  has no more quantifiers, return  $\phi$
2. if  $\phi = \forall z. \phi'$ 
  - 2.1.  $S = S \cup \{x\}$
  - 2.2.  $\phi = \phi'$
  - 2.3.
3. if  $\phi = \exists z. \phi'$ 
  - 3.1. if  $S = \{z\}$  then  $\phi = \phi' [x \mapsto c]$  with new  $c$  in  $\mathcal{C}$
  - 3.2. else  $\phi = \phi' [x \mapsto f(x_1, \dots, x_n)]$  with new  $f \in \mathcal{F}$  and  $S = \{x_1, \dots, x_n\}$
  - 3.3.

### CNF

from Skolem Normal form obtaining the CNF  
is trivial & the same procedure as for Proplog.

↳ Review of our sound procedure as per Prolog.

## First Order Resolution

- Now we can obtain CNF for 1<sup>st</sup> order formulae we need a version of resolution for 1<sup>st</sup> order predicate calculus.

Socrates is a man, all men are mortal, therefore, Socrates is mortal

Ideally solving this with resolution would look like:

$$\frac{\text{Human}(\text{socrates}) \quad \neg \text{Human}(x) \vee \text{Mortal}(x)}{\text{Mortal}(\text{socrates})} \text{Res}$$

However, for this to work we need a means of substituting our variable  $x$  with our constant socrates during the reduction rule.

This is done using unification.

## Unification

- Makes literals equal

- Given two literals,  $\ell, \ell'$  we want to compute a substitution,  $\delta$ , for variables occurring in both literals that, when applied, makes them equal.

## Unifiers

Any type of substitution that makes two literals equal

e.g. for our Socrates example:  $\delta = \{[x \rightarrow \text{socrates}]\}$

- There is often more than one possible unifier to choose from for a pair of literals

- To avoid ambiguity, we define the concept of a **most general unifier** or **MGU**

Unifier that makes least commitments on the substitution of variables (smallest set of substitutions)

## Occurs Check

- Check for the occurrence of a variable in the term it is to be substituted with.

- We define the set of all variables in a term,  $t$ , to be  $\text{Var}(t)$

- The occurs check is then denoted by  $[x \rightarrow t] \models x \notin \text{Var}(t)$

## Unification Algorithm

## Unification Algorithm

Let  $\sigma$  be a substitution that is initially empty.  
 Consider terms  $s, t$ . We define the unification procedure  
 $\text{Unify}(s, t, \sigma)$  recursively:

- 1) if  $s = t$ , return  $\sigma$
- 2) if  $s = f(s_1, \dots, s_n)$  and  $t = f(t_1, \dots, t_m)$  then  
 $\sigma_1 = \text{Unify}(s_1, t_1, \sigma)$ ,  
 $\sigma_2 = \text{Unify}(s_2, t_2, \sigma_1)$ ,  
 $\vdots$   
 $\sigma_n = \text{Unify}(s_n, t_n, \sigma_{n-1})$

return  $\sigma_n$

- 3) if  $s = f(s_1, \dots, s_n)$  and  $t = g(t_1, \dots, t_m)$  with  $f \neq g$  or  $n \neq m$  then  
**FAIL**

- 4) if  $s = x$ , and
  - 4.1)  $x \in \text{Var}(t)$  then return  $\sigma \cup \{x \mapsto t\}$
  - 4.2) else **FAIL**

- 5) if  $t = x$  then  $\text{Unify}(x, t, \sigma)$

## The Resolution Rule

The general form of the resolution rule is therefore,

$$\frac{\{l\} \cup C_1 \quad \{\neg l'\} \cup C_2}{C_1 \sigma \cup C_2 \sigma} \text{Res } (\lambda \sigma = \lambda' \sigma)$$

↑  
unify

## Factoring

- Allows us to unify 2 literals of the same clause

$$\frac{\{l, l'\} \cup C}{\{l \sigma\} \cup C} \text{ Factor } (\lambda \sigma = \lambda' \sigma)$$

## Subsumption

- Used to make the resolution procedure more efficient.
- Used to remove clauses that are logically subsumed by another.

$$\underline{C \ D} \text{ subsume } (C \sigma \sqsubseteq D)$$

$$\frac{C \sqsubseteq D}{C} \text{ subspace } (C \sqsubseteq D)$$

Example

$$C = \{P(x), Q(y)\} \quad D = \{R(x), P(a), Q(b)\}$$

$C$  subspace  $D$  with substitution  $\delta = \{[x \mapsto a], [y \mapsto b]\}$

However,

$C = \{P(a), Q(y)\}$  does not subspace  $D = \{R(x), P(x), Q(b)\}$   
due to the constraint  $a \neq x$

### Tautologies

- Clauses that contain a literal of its negation.
- Since these clauses are trivially true, we can remove them from the clause set.

Note:

$\{P(x), \neg P(c)\} \not\models$  not a tautology

### Theoretical Properties

Completeness - let  $\Phi$  be a set of 1<sup>st</sup> order clauses.

- If  $\Phi \models \text{Unsat}$ , then  $\perp$  can be derived by the resolution procedure

Soundness - let  $\Phi$  be a set of 1<sup>st</sup> order clauses.

- If the resolution procedure derives  $\perp$  from  $\Phi$   
then  $\Phi \models \text{Unsat}$

Complexity - 1<sup>st</sup> order logic is semi-decidable

- If we view a problem as a decision of whether or not a particular word is part of our language,  $L$ , then we can give an effective algorithm to decide if a word is an element of  $L$ ; however, our algorithm may or may not give a negative answer if a given word is not in  $L$ .

Reducing 1<sup>st</sup> order logic to this:

We can have calculi that decide if a 1<sup>st</sup> order sentence,  $\phi$ , follows from a set of sentences,  $\Delta$ .  
 $(\Delta \vdash \phi)$  but we have no definite way of deciding  
if  $\Delta \nvdash \phi$

$(\Delta \vdash \phi)$  but we have no definite way of deciding  
 $\vdash \Delta \nvdash \phi$