# **BRAIN COMMUNICATIONS**

# Infections among individuals with multiple sclerosis, Alzheimer's disease and Parkinson's disease

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A link between neurodegenerative diseases and infections has been previously reported. However, it is not clear to what extent such link is caused by confounding factors or to what extent it is intimately connected with the underlying conditions. Further, studies on the impact of infections on mortality risk following neurodegenerative diseases are rare. We analysed two data sets with different characteristics: (i) a community-based cohort from the UK Biobank with 2023 patients with multiple sclerosis, 2200 patients with Alzheimer's disease, 3050 patients with Parkinson's disease diagnosed before 1 March 2020 and 5 controls per case who were randomly selected and individually matched to the case; (ii) a Swedish Twin Registry cohort with 230 patients with multiple sclerosis, 885 patients with Alzheimer's disease and 626 patients with Parkinson's disease diagnosed before 31 December 2016 and their disease-free co-twins. The relative risk of infections after a diagnosis of neurodegenerative disease was estimated using stratified Cox models, with adjustment for differences in baseline characteristics. Causal mediation analyses of survival outcomes based on Cox models were performed to assess the impact of infections on mortality. Compared with matched controls or unaffected co-twins, we observed an elevated infection risk after diagnosis of neurodegenerative diseases, with a fully adjusted hazard ratio (95% confidence interval) of 2.45 (2.24–2.69) for multiple sclerosis, 5.06 (4.58–5.59) for Alzheimer's disease and 3.72 (3.44–4.01) for Parkinson's disease in the UK Biobank cohort, and 1.78 (1.21-2.62) for multiple sclerosis, 1.50 (1.19-1.88) for Alzheimer's disease and 2.30 (1.79-2.95) for Parkinson's disease in the twin cohort. Similar risk increases were observed when we analysed infections during the 5 years before diagnosis of the respective disease. Occurrence of infections after diagnosis had, however, relatively little impact on mortality, as mediation of infections on mortality (95% confidence interval) was estimated as 31.89% (26.83–37.11%) for multiple sclerosis, 13.38% (11.49-15.29%) for Alzheimer's disease and 18.85% (16.95-20.97%) for Parkinson's disease in the UK Biobank cohort, whereas it was 6.56% (-3.59 to 16.88%) for multiple sclerosis, -2.21% (-0.21 to 4.65%) for Parkinson's disease and -3.89% (-7.27 to -0.51%) for Alzheimer's disease in the twin cohort. Individuals with studied neurodegenerative diseases display an increased risk of infections independently of genetic and familial environment factors. A similar magnitude of risk increase is present prior to confirmed diagnosis, which may indicate a modulating effect of the studied neurological conditions on immune defences.

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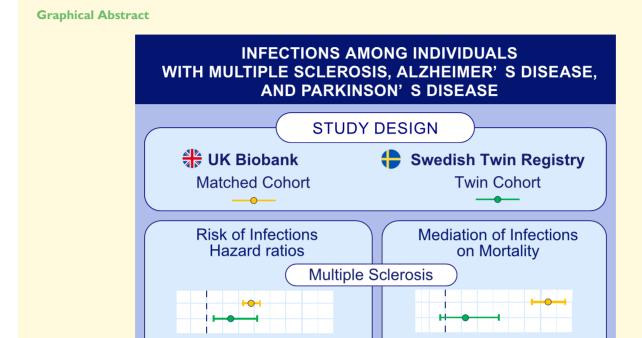
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**Abbreviations:** AD = Alzheimer's disease; CCI = Charlson comorbidity index; CI = confidence interval; DMT = disease-modifying therapy; HR = hazard ratio; ICD = International Classification of Diseases; MS = multiple sclerosis; PD = Parkinson's disease; STR = Swedish Twin Registry

Alzheimer's Disease

Parkinson's Disease



#### Introduction

Neurodegenerative diseases are a group of disorders characterized by a progressive loss of neuronal structure or function. An estimated 50 million people worldwide suffer from dementia or Alzheimer's disease (AD). The corresponding numbers are 7–10 million for Parkinson's disease (PD) and more than 2 million for multiple sclerosis (MS). AD is the most common neurodegenerative disease and is characterized by memory impairment and problems in daily living tasks. With the early death of dopaminergic neurons in substantia nigra, patients with PD experience symptoms like rest tremor, rigidity, bradykinesia, postural and gait impairment. Although not conventionally considered a neurodegenerative disease, earlier inflammatory phases of MS are characterized by progressive damage to the central

nervous system, eventually leading to considerable disability among those affected.<sup>7,8</sup>

40 %

10

A link between infections and neurodegenerative diseases has been previously reported, with the triggering of neuroin-flammatory processes as a potential causative mechanism. 9,10 Accordingly, previous studies have mainly considered infections as a risk factor for neurodegenerative diseases. 11-16 For instance, Sipilä *et al.* 16 reported an association between infections and a higher risk of dementia, especially AD and vascular dementia. The risk of infections among patients with an established neurodegenerative disease has, however, been less systematically explored. Several challenges exist for disentangling the relation between neurodegenerative diseases and infections, as they share common risk factors, most importantly ageing, 17 which contribute to altered immunity both systemically and in organ-specific locations, such as the central nervous system and respiratory organs. 18,19 Additionally,

behavioural changes, such as a more sedentary lifestyle, may also contribute to a higher risk of infections among those affected by neurodegenerative diseases.<sup>20,21</sup> It, therefore, remains unclear to what extent a higher-than-expected comorbidity between neurodegenerative diseases and infections is caused by confounding factors.

Patients with neurodegenerative diseases display higherthan-expected mortality, 22-24 which is not surprising given the progressive nature of these conditions. At the same time, infections have been proposed to play a role in the progression of neurodegenerative diseases. For example, Buljevac et al.<sup>25</sup> reported that infections can trigger relapses and worsening of disability among patients with MS. Systematic infections were found to amplify brain cytokine and accelerate the disease progression of AD.<sup>26,27</sup> Recently, coronavirus disease 2019 (COVID-19) was observed to worsen PD progression.<sup>28</sup> However, these findings are often based on relatively smallsized materials and are not suitable for determining to what extent infections explain the increased mortality observed in neurodegenerative diseases.

In order to address these questions, we conducted a comprehensive analysis to examine whether three neurodegenerative diseases (i.e. MS, AD and PD) are associated with the risk of infections. We used two complementary cohorts, namely, a community-based matched cohort within the UK Biobank and a twin cohort within the Swedish Twin Registry (STR). The latter was used to control for potential confounding due to shared genetic and non-genetic factors within a twin pair, which has been rarely done in previous studies. To limit the impact of delay in the ascertainment of neurodegenerative diseases, we also calculated the risk of infections during the 5-year period before the respective neurodegenerative disease was clinically confirmed. To explore the potential role of infections on neurodegenerative disease progression, we further assessed the role of infections on the risk of mortality among patients diagnosed with MS, AD and PD. We hypothesized that patients with MS, AD and PD are at a higher risk of infections and that infections partly mediate the risk of mortality among these patients.

### Materials and methods

#### **Data sources**

The UK Biobank is a large-scale prospective cohort study comprising >500 000 participants aged 40-69 years who were recruited between 2006 and 2010.<sup>29</sup> Participants were assigned to 1 of 22 assessment centres across England, Scotland and Wales, and provided self-reported information on sociodemographic characteristics, lifestyle, etc. at enrolment. Hospital inpatient and mortality data from multiple national data sets are periodically linked to the data base. Detailed study design and specified data collection procedures of the UK Biobank have been described previously.<sup>29</sup> UK Biobank ethical approval was received from the NHS Research Ethics Committee (REC reference: 16/NW/

0274). The present study was approved under the UKB application 76517 and by the Swedish Ethical Review Authority (DNR: 2022-01516-01).

The STR was started in the late 1950s and contains information on twins born in Sweden since 1886.<sup>30</sup> Questionnaire data were additionally derived from substudies of STR, including Q61, Q63, Q67, Q70 (twins born in 1886–1925), Q73 (twins born in 1926–58), SALT (twins born in 1944–58), STAGE (twins born in 1959–85), YATSS (twins born in 1985-92) and CATSS (twins born 1992 onward). Data from STR were additionally cross-linked to the Swedish National Patient and Cause of Death Registers using the Swedish unique personal identification numbers. The Patient Register includes nationwide data on inpatient care since 1987 (>80% during 1981-85) and outpatient hospital visits since 2001.<sup>31</sup> Different Swedish revisions of the International Classification of Diseases (ICD) codes were used to identify different diagnoses in the register (ICD-8 in 1969-86, ICD-9 in 1987-96 and ICD-10 since 1997). Informed consent was provided by all participants of the STR. The present study was approved by the Swedish Ethical Review Authority (DNR: 2021-02994).

#### Study design

Initially, we conducted a matched cohort study using the UK Biobank data set. In the exposed group, we included 2023 patients with MS, 2200 patients with AD and 3050 patients with PD with a diagnosis of the respective disease before 1 March 2020 on the basis of UK Biobank inpatient hospital data, with date of first hospital visit concerning the disease (either primary or secondary diagnosis) used as index date. In the unexposed group, we randomly selected five individuals among the participants of UK Biobank, who were individually matched to the respective patient with MS/AD/PD by age, sex and Charlson comorbidity index (CCI), using the method of incidence density sampling.<sup>32</sup> To be eligible, matched controls had to be alive and free of the respective MS/AD/PD diagnosis of the matched patient on the date of selection. As a proxy for general health status,<sup>33</sup> we calculated CCI (0, 1 or  $\geq$ 2) for each participant at the date of diagnosis or selection, using diagnoses in the inpatient hospital data (Supplementary Table 1).34 Dementia was excluded from this calculation. Individuals with more than one MS/ AD/PD diagnosis could independently be included in more than one analysis (n = 182 individuals).

We subsequently performed a twin cohort study using the STR, in order to control for potential unknown and unmeasured confounding due to shared genetic and non-genetic factors within a twin pair. In this analysis, we compared the risk of infections among patients with MS/AD/PD to their unaffected co-twins. We selected all complete twin pairs in the STR, for which one twin had been diagnosed with MS/ AD/PD before 31 December 2016, and the un-affected co-twin was still alive at the index date. We identified in this analysis 230 twin pairs of MS, 885 twin pairs of AD and 626 twin pairs of PD.

In both cohorts, MS was ascertained by ICD-9 code 340 and ICD-10 code G35. AD was ascertained by ICD-9 code 331.0 (also 290.0 and 290.1 for the primary diagnosis) and ICD-10 codes F00 and G30. PD was ascertained by ICD-9 code 332.0 and ICD-10 code G20.

#### **Infections**

In the UK Biobank, we identified all inpatient care episodes for infections using inpatient hospital data, whereas in STR, we identified all inpatient care episodes for infections before 2001, and both in- and outpatient care infections since 2001 through the Swedish National Patient Register. Both primary and secondary diagnoses were used as ascertainment of infections. We further categorized episodes of infections by site (i.e. genitourinary, respiratory and other/ unspecified) and type (i.e. bacterial, viral and other), and additionally studied pneumonia as a separate entity. ICD codes used to identify infections are listed in Supplementary Table 2. If an individual had more than one infection diagnosis during an episode of hospital admission, we used the date of the first infection in the analysis of subtypes of infections.

#### Follow-up of the cohorts

In both the UK Biobank and STR cohorts, we defined date of diagnosis as the index date for the patient and their matched unexposed individuals and unaffected co-twin, respectively. We then followed exposed and un-exposed individuals from the index date until the first diagnosis of infection, death, diagnosis of MS/AD/PD (unexposed groups only) or end of follow-up (1 March 2020 and 31 December 2016 in the UK Biobank and STR cohorts, respectively), whichever came first. Accordingly, we identified mortality of participants before 1 March 2020 in the UK Biobank, and mortality of participants before 31 December 2016 in STR, according to the Swedish Causes of Death Register.

#### Statistical analysis

In main analysis, we used hazard ratios (HRs) with 95% confidence intervals (CIs), derived from Cox models, to estimate the risk of infections in relation to MS, AD or PD. Time since index date was used as the underlying timescale. In the UK Biobank cohort, we stratified all analyses by matching variables (i.e. age, sex and CCI) and additionally adjusted for Townsend deprivation score (as continuous variable), educational attainment (college degree, A-level, O-level, Certificate of Secondary Education or equivalent, National Vocation Qualifications or equivalent, other professional qualifications or unknown) and annual household income (£18000, £18000–30999, £31000–51999, £52000–100000, £100000 or unknown).

In the STR cohort, we stratified the analyses by twin pair and adjusted for sex and educational attainment (compulsory school or elementary school, upper secondary education, university, others or unknown). In both cohorts, we performed analyses first for any infection and then for different sites and types of infections. Only first occurrence of any or a specific site/type of infection was considered in the analyses. For example, with an individual being hospitalized for a bacterial pneumonia on 28 February 2000 and influenza on 20 January 2002, the first date was considered for any, bacterial and respiratory tract infection, whereas the latter date was considered for viral infection.

Assuming the effect of infections on mortality is causal, we performed causal mediation analyses of survival outcomes based on Cox models. Details of this method have been described previously. In brief, the mediation proportion by infections was calculated by comparing indirect effect through infections (whether infected during follow-up) and total effect of MS/AD/PD on mortality. Assumptions used in this method have also been described previously. Briefly, apart from measured confounders, we assumed that there was no confounding in: (i) the association of MS/AD/PD with risk of mortality; (ii) the association of infections with risk of mortality, conditioned on MS/AD/PD; (iii) the association of MS/AD/PD with the risk of infections; and (iv) the association of infections with risk of mortality caused by MS/AD/PD.

The mediation analyses in the UK Biobank cohort were conditioned on demographic characteristics and baseline comorbidities, with further adjustment for socioeconomic status. In the STR cohort, with the advantages of the twin design, the mediation analyses were inherently controlled for the genetic background and other familial factors shared between twins. We used the Wald test to test the statistical significance of the different estimates between groups and used *Z*-test to compare the HRs between the UK Biobank cohort and the STR cohort.<sup>36</sup>

Finally, given the ascertainment methods used in the UK Biobank and STR, it is likely that there was a detection delay between the index date of the studied neurodegenerative diseases and actual date of diagnosis, especially if the index date was ascertained through the inpatient care setting. We therefore also compared the odds of experiencing at least one infection during the 5 years before the index date of the patients with MS/AD/PD and their matched controls or unaffected co-twins, to allow a 5-year delay of detection. For this purpose, we used odds ratios with 95% CIs derived from conditional logistic regression to compare the prevalence of infections during the 5 years before index date between patients with MS/AD/PD and their matched controls as well as unaffected co-twins.

All analyses were conducted by Python software (version 3.8) and R software (version 4.1). A two-sided P < 0.05 was considered statistically significant.

#### **Results**

Characteristics of participants in the UK Biobank cohort and the STR cohort are shown in Table 1 and

Table | Characteristics of individuals included in the cohort studies of MS, AD and PD, based on the UK biobank and the STR

MS         Matched AD patients         AD patients           teristics         (n = 2023)         (n = 10 115)         (n = 2200)           of controls         19 (0.2)         19 (0.2)           eveloped MS/         D during         19 (0.1)         73.2 (5.1)           an (SD)         54.7 (10.1)         54.7 (10.1)         73.2 (5.1)				UK B	UK Biobank					Swedish Twin Registry	in Registry		
f controls 19 (0.2)  eloped MS/ during p. n (%) (SD) 54.7 (10.1) 54.7 (10.1) 73.2 (5.1)	haracteristics	MS patients $(n = 2023)$	Matched controls $(n = 10115)$	AD patients $(n = 2200)$	Matched controls $(n = 10999)$	PD patients $(n=3050)$	Matched controls $(n = 15249)$	MS patients $(n = 230)$	Co-twin controls $(n = 230)$	AD patients (n = 885)	Co-twin controls $(n = 899)$	MS Co-twin AD Co-twin PD patients controls patients controls $(n=230)$ $(n=885)$ $(n=899)$ $(n=626)$	Co-twin controls $(n = 626)$
eloped MS/ during p, n (%) (SD) 54.7 (10.1) 54.7 (10.1) 73.2 (5.1)	lumber of controls		19 (0.2)		75 (0.7)		83 (0.5)		8 (3.4)		112 (12.5)		13 (2.1)
b, n (%) (SD) 54.7 (10.1) 54.7 (10.1) 73.2 (5.1)	that developed MS/												
(SD) 54.7 (10.1) 54.7 (10.1) 73.2 (5.1)	AD/PD during												
(SD) 54.7 (10.1) 54.7 (10.1) 73.2 (5.1)	follow-up, $n$ (%)												
(194) 1/91	ge, mean (SD)	54.7 (10.1)	54.7 (10.1)	73.2 (5.1)	73.2 (5.1)	69.1 (7.2)	69.1 (7.2)	47.9 (15.0)	47.9 (15.0) 77.2 (7.2)	77.2 (7.2)	77.2 (7.2)	71.5 (10.0)	71.5 (10.0)
567 (28.0) 2835 (28.0) 1067 (48.5)	Cx, ''' ('%) Male	567 (28.0)	2835 (28.0)	1067 (48.5)	5335 (48.5)	1877 (61.5)	9385 (61.5)	61 (26.5)		306 (34.6)	336 (37.4)	321 (51.3)	314 (50.2)
1133 (51.5)	Female	1456 (72.0)	7280 (72.0)	1133 (51.5)	5664 (51.5)	1173 (38.5)	5864 (38.5)	169 (73.5)		579 (65.4)	563 (62.6)	142 (61.7) 579 (65.4) 563 (62.6) 305 (48.7) 312 (49.8)	312 (49.8)

Supplementary Table 3. In the UK Biobank cohort, we included 2023 MS patients with 10 115 matched controls (mean age 54.7 years, 28.0% men), 2200 patients with AD with 10 999 matched controls (mean age 73.2 years, 48.5% men) and 3050 patients with PD with 15 249 matched controls (mean age 69.1 years, 61.5% men). In the STR cohort, we included 230 patients with MS (mean age 47.9 years, 26.5% men) and 230 unaffected co-twins (38.3% men), 885 patients with AD (mean age 77.2 years, 34.6% men) and 899 unaffected co-twins (34.6% men, including 14 triplets), and 626 patients with PD (mean age 71.5 years, 51.3% men) and 626 unaffected co-twins (50.2% men). Patients with neurodegenerative diseases were older and more likely to be men in the UK Biobank, compared with the STR cohort.

As expected, more unaffected co-twins of the STR cohort developed the corresponding neurodegenerative disease of the affected twin during follow-up, compared with the matched controls of the UK Biobank cohort (3.4 versus 0.2% in MS, 12.5 versus 0.7% in AD and 2.1 versus 0.5% in PD). In the UK Biobank cohort, we noted little difference in Townsend deprivation index and educational attainment between exposed and unexposed groups of MS and PD, while patients with AD were more likely to have higher Townsend deprivation index and lower educational attainment than their matched controls. We also observed lower annual household income among patients with MS, AD or PD (<£18 000: 25.5 versus 19.8% in MS, 33.9 versus 29.1% in AD and 29.1 versus 25.9% in PD) compared with the matched controls.

In the UK Biobank cohort, the crude incidence rate of any infection was 40.84 and 16.69 per 1000 person-years for patients with MS and their matched controls, 222.69 and 45.70 per 1000 person-years for patients with AD and their matched controls, and 110.94 and 32.69 per 1000 person-years for patients with PD and their matched controls (Supplementary Table 4). We observed an association between neurodegenerative disease and risk of infections, with a fully adjusted HR of 2.45 (95% CI 2.24–2.69) for MS, 5.06 (95% CI 4.58–5.59) for AD and 3.72 (95% CI 3.44–4.01) for PD (Fig. 1).

In the STR cohort, we observed 107 and 73 cases of infections among twins with MS and their unaffected co-twins, respectively, while the corresponding numbers were 240 and 286 for AD and 266 and 208 for PD, respectively (Supplementary Table 5). The fully adjusted HR was 1.78 (95% CI 1.21-2.62) for MS, 1.50 (95% CI 1.19-1.88) for AD and 2.30 (95% CI 1.79–2.95) for PD, respectively (Fig. 1). In the UK Biobank cohort, we observed a positive association between neurodegenerative disease and different sites of infections, except for genitourinary infections in AD (HR 0.66; 95% CI 0.24-1.77; Fig. 1). In the analyses by site or type of infections, we found close estimates between subgroups. In the STR cohort, we also found a positive association for most types of infections, except for AD and viral infections (HR 1.14; 95% CI 0.76-1.70; Fig. 1).

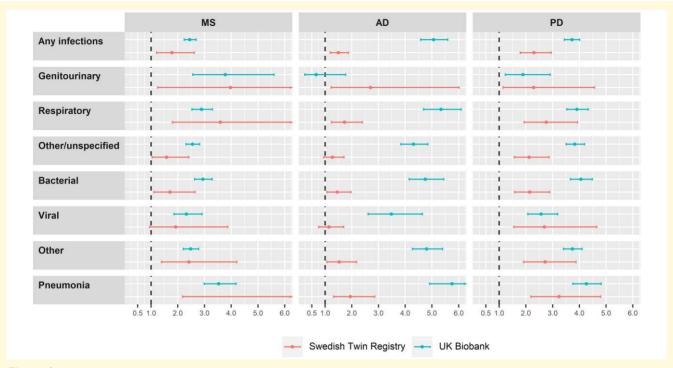


Figure I Associations of MS, AD and PD with the risk of infections. HRs and 95% Cls are derived from Cox models. Models in the UK Biobank cohort are conditioned on matching factors (age, sex and CCI) and additionally adjusted for annual household income, educational attainment and the Townsend deprivation index (MS patients n = 2023, matched controls n = 10115; AD patients n = 2200, matched controls n = 10999; PD patients n = 3050, matched controls n = 15249). Models in the STR cohort are conditioned on twin pair and additionally adjusted for sex and educational attainment (MS patients n = 230, co-twin controls n = 230; AD patients n = 885, co-twin controls n = 889; PD patients n = 626, co-twin controls n = 626).

Patients with neurodegenerative diseases displayed increased mortality compared with their matched controls or unaffected co-twins (Table 2). In the UK Biobank cohort, HR was 2.67 (95% CI 2.27–3.14) for MS, 8.74 (95% CI 7.75–9.86) for AD and 5.20 (95% CI 4.72–5.72) for PD. In the STR cohort, HR was 4.25 (95% CI 2.05–8.83) for MS, 2.89 (95% CI 2.40–3.49) for AD and 3.88 (95% CI 3.05–4.94) for PD. We observed greater risk increase of mortality with AD and PD in the STR cohort compared with the UK Biobank cohort (P for difference < 0.001 for AD and P for difference = 0.03 for PD).

Adjusting for infections during follow-up did not change the HRs greatly. In the UK Biobank cohort, the mediation proportion by infections was estimated at 31.89% (95% CI 26.83–37.11%) in MS, 13.38% (95% CI 11.49–15.29%) in AD and 18.85% (95% CI 16.75–20.97%) in PD. In the STR, no mediation proportion by infections was discerned in MS (6.56%; 95% CI –3.59 to 16.88%), AD (2.21%; 95% CI –0.21 to 4.65%) and PD (–3.89%; 95% CI –7.27 to –0.51%; P = 0.05).

In the analyses of infections within 5 years before index date, we also found a higher prevalence of any infection and most types and sites of infection among patients with MS/AD/PD, compared with their matched controls or unaffected co-twins, although there was only a trend for MS in the STR cohort (Fig. 2, Supplementary Tables 5 and 7).

#### **Discussion**

In the present study, based on the analyses of a matched community-based cohort and a twin cohort, we found that individuals with MS, AD or PD displayed an elevated risk of infections. The risk increase remained robust after adjusting for potential confounders, including familial confounders due to shared genetic and non-genetic factors within a twin pair. In the subgroup analyses, we found positive associations for most of types and sites of infections, suggesting that the risk increase of infections was likely generic rather than specific to certain pathogens or sites. Notably, we observed a similar magnitude of risk increase and pattern of infections within 5 years before index date, indicating that this effect is present earlier in the disease course. We also found that patients with MS, AD or PD had an increased risk of mortality compared with unrelated population controls and their unaffected co-twins. In the community-based cohort, we found that infections mediated some of the increased mortality, while no mediating effect was evident in the twin cohort.

An increased risk of infections among patients with MS with different disease-modifying therapies (DMTs) affecting the normal physiological functions of the immune defence has been discussed in previous studies.<sup>37,38</sup> It is, however, less clear if MS itself contributes to an increased risk of

Table 2 Associations of multiple sclerosis (MS), Alzheimer's disease (AD) and Parkinson's disease with the risk of mortality and mediation proportion of infections in these associations

				HR (9	HR (95% CI)	
	All-cause mortality	Patients with MS/AD/PD (cases/person-years, IR per 1000 person-years)	Matched references/co-twin references (cases/person-years, IR per 1000 person-years)	Total effect	Direct effect	Mediation Proportion (95% CI)
UK Biobank <sup>a</sup>	MS	243/23 304 (10.43)	489/119 595 (4.09)	2.67 (2.27–3.14)	1.94 (1.63–2.31)	31.89% (26.83–37.11%)
	AD	785/5004 (156.87)	621/33 383 (18.60)	8.74 (7.75–9.86)	7.03 (6.20–7.98)	13.38% (11.49–15.29%)
	<b>Q</b>	892/14 190 (62.86)	1049/80 887 (12.97)	5.20 (4.72–5.72)	3.87 (3.49-4.30)	18.85% (16.75–20.97%)
Swedish Twin Registry <sup>b</sup>	MS	58/2684 (21.61)	27/3013 (8.96)	4.25 (2.05–8.83)	4.48 (2.08–9.65)	6.56% (-3.59 to 16.88%)
	AD	610/3820 (159.69)	388/5511 (70.40)	2.89 (2.40–3.49)	2.83 (2.35–3.42)	2.21% (-0.21-4.65%)
	D	398/3813 (104.38)	271/5472 (49.52)	3.88 (3.05-4.94)	4.29 (3.30–5.57)	-3.89% ( $-7.27$ to $-0.51%$ )

Conditioned on pair matched with age, sex and Charlson comorbidity index, and additionally adjusted for annual household income, educational attainment and Townsend deprivation index. <sup>b</sup>Conditioned on twin pair and additionally adjusted for sex and education attainment. infections.<sup>39</sup> To our best knowledge, the present study is the first to assess the risk of infections longitudinally among patients with MS, including the 5 years preceding ascertainment of the diagnosis, although a previous Swedish study observed increased incidence of infections in the year prior to diagnosis as well as in the following years after diagnosis of MS. 40 The consistently increased risk of infections in the pre- and post-diagnostic period of MS can therefore not be explained by DMTs alone, also taking into account that the penetration of more potently acting DMTs was limited in Sweden for much of the study period. 41 A stronger association was noted between MS and infections in the UK Biobank cohort, compared with the STR cohort. A potential explanation for the difference is shared risk factors of MS and infections, which could be better adjusted for in the STR cohort. Another potential explanation is the fact that we ascertained MS cases from only inpatient care data in the UK Biobank, whereas both inpatient and outpatient care data was available in the STR. The UK Biobank patients with MS might therefore have more severe forms of MS compared with the patients with STR MS, thus amplifying differences. In addition, the age at inclusion was higher in the UK Biobank cohort, potentially due to greater ascertainment delay. Interestingly, however, high point estimates for an association with MS were noted for pneumonia and respiratory tract infections in the 5 years before diagnosis in both data sets. Increasing experimental evidence has indeed pointed towards a link between lung microbiota and triggering of neuroinflammation, 42,43 where a positive association between pneumonia and subsequent diagnosis of MS has been observed also in clinical setting.<sup>44</sup>

Positive associations of AD and PD with risk of infections have also been noted previously. For instance, aspiration pneumonia is reported to be a common cause of death in patients with AD, 45-48 while patients with PD are at a higher risk of genitourinary and bacterial infections. 49,50 An increased risk of severe COVID-19 has also been reported in both AD and PD. 34,51 It is however less clear whether patients with AD or PD, especially in earlier disease phases, are more prone to infections in general or to a specific type or site of infection. The fact that patients with both PD and AD displayed increased risks of infections in general, including bacterial and viral infections, and at different sites, even in the years before the disease was clinically ascertained therefore represents a novel finding. As in MS, a mechanistic link has mostly focused on the potential role of infections as a triggering or facilitating factor for neurodegenerative conditions. 52-55 However, it cannot be excluded that a proportion of a potential causality has the opposite direction. For instance, experimental evidence shows that ischaemic brain processes also affect systemic immunity, 56-58 which is also supported by clinical observations.<sup>59</sup> Since vagal reflex circuits have been shown to potently affect T-cell responses in inflammation and infection, 60 it therefore remains a possibility that such effects may encompass a wider range of neurological conditions, including neurodegenerative diseases.

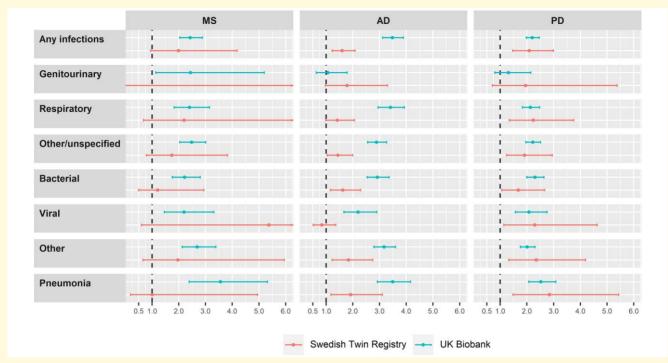


Figure 2 Associations of MS, AD and PD with the risk of infections during the 5 years before diagnosis. ORs and 95% Cls are derived from conditional logistic regression models. Models in the UK Biobank cohort are conditioned on matching factors (age, sex and CCI), and additionally adjusted for annual household income, educational attainment and Townsend deprivation index (MS patients n = 2023, matched controls n = 10 115; AD patients n = 2200, matched controls n = 10 999; PD patients n = 3050, matched controls n = 15 249). Models in the STR cohort are conditioned on twin pair and additionally adjusted for sex and educational attainment (MS patients n = 230, co-twin controls n = 230; AD patients n = 885, co-twin controls n = 889; PD patients n = 626, co-twin controls n = 626).

Patients with MS, AD or PD display increased mortality, 22-24 and infections have been suggested to play a role in disease exacerbation. 18,61 However, to what extent increased risk of mortality can be attributed to infections remains less clear. In the two data sets used here, we found significantly increased risk of mortality across all three diseases. These observations corroborate results of previous studies, 24,62-64 but add an additional level of support by the replication in the twin setting. In the UK Biobank cohort, we found that infections explained part of the increased risk of mortality, especially among patients with MS. In contrast, in the twin data set, no mediating effect was observed, indicating confounding by genetic or familial environment factors in the mediation effects. Future studies are however needed to validate these findings, and if verified, examine the potential factors explaining the recorded discrepancies.

A strength with the present study is the combined use of the UK Biobank and the STR to perform both a communitybased matched cohort study and a twin study. The rich information in the UK Biobank enabled us to adjust for a wide range of potential confounders, while the within-twin pair analysis provided a unique opportunity to assess the role of familial confounding. This study, however, also suffers from certain limitations. The first concern is the lack of

data on the clinical characteristics and treatments of MS/ AD/PD, precluding the possibility of studying the roles of potential modifying factors, such as disability level or immunemodulating treatments, for determining the association of these diseases with infections. We also lacked information on potentially important confounders such as smoking and body mass index. A second concern is that we could identify only a diagnosis of neurodegenerative diseases through inpatient care data in the UK Biobank cohort, and that, while also outpatient specialized care data were available for the STR cohort, they were not for the entire study period. In order to limit the impact of delayed ascertainment of these diagnoses, we also analysed the 5 years before ascertainment of MS/AD/PD, finding associations of similar magnitude. Still, it cannot be excluded that disease was diagnosed prior, at least in a proportion of patients. Finally, our definition included infections recorded only from hospital visits, therefore restricting the interpretation to more severe forms of infections. It is also possible that patients in advanced stages of neurodegenerative diseases are cared for in nursing homes, where treatments for infections might be administered locally by a general practitioner and therefore not captured. In particular, this may affect the association between infections and mortality in patients with late-stage disease.

#### **Conclusion**

Using both a large community-based cohort with matched controls and a twin cohort, we identified an increased risk of both infections and mortality among patients with MS, AD and PD independent of genetic and familial environmental factors. An increased risk of infections was also evident in the 5 years preceding the index date of the neurodegenerative diseases, which may depend on the fact that infections can act as triggers for neurodegenerative diseases or that such diseases affect systemic immunity, or both. However, although infections mediated some of the increased mortality seen among patients with MS/PD/AD in the community-based cohort, the association largely disappeared in the within-twin pair analysis.

# Supplementary material

Supplementary material is available at Brain Communications online.

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## **Competing interests**

The authors report no competing interests.

# **Data availability**

Data from the UK Biobank (http://www.ukbiobank.ac.uk/) are available to all researchers upon application. Part of this research was conducted using the UK Biobank Resource under Application 76517. Data from STR are available with permission from the STR.

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