Leveraging Large Language Models for Organizational Decision-Making

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Relevant Figures

Figure 1 - A word cloud of the `text` column from empathy_train.csv.

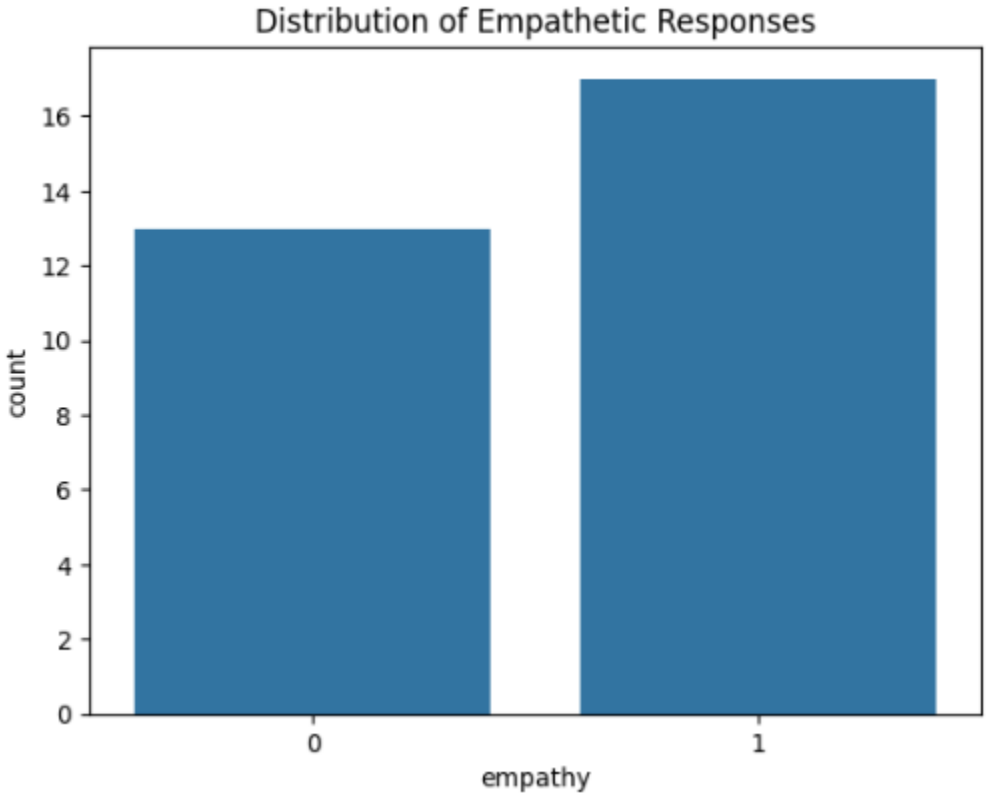

Figure 2 - The distribution of empathetic responses in empathy_train.csv.

Figure 3 - A word cloud of the ` questions_answers` column in interview_train.csv.

Figure 4 - A word cloud of the ` last_response` column in interview_train.csv

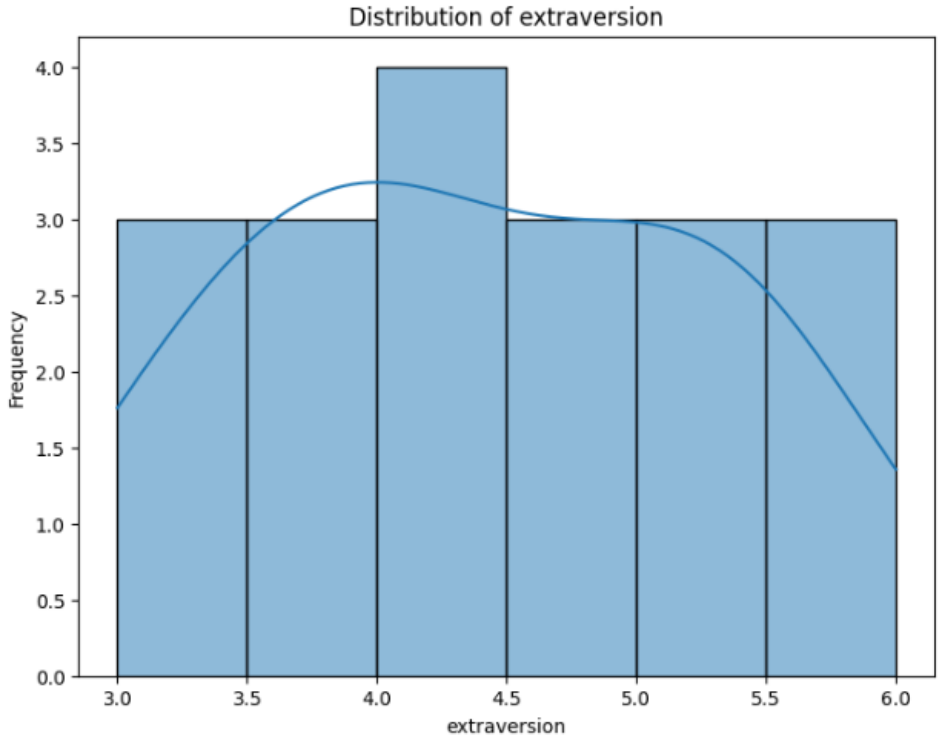

Figure 5 - The distribution of extraversion in personality_train.csv.

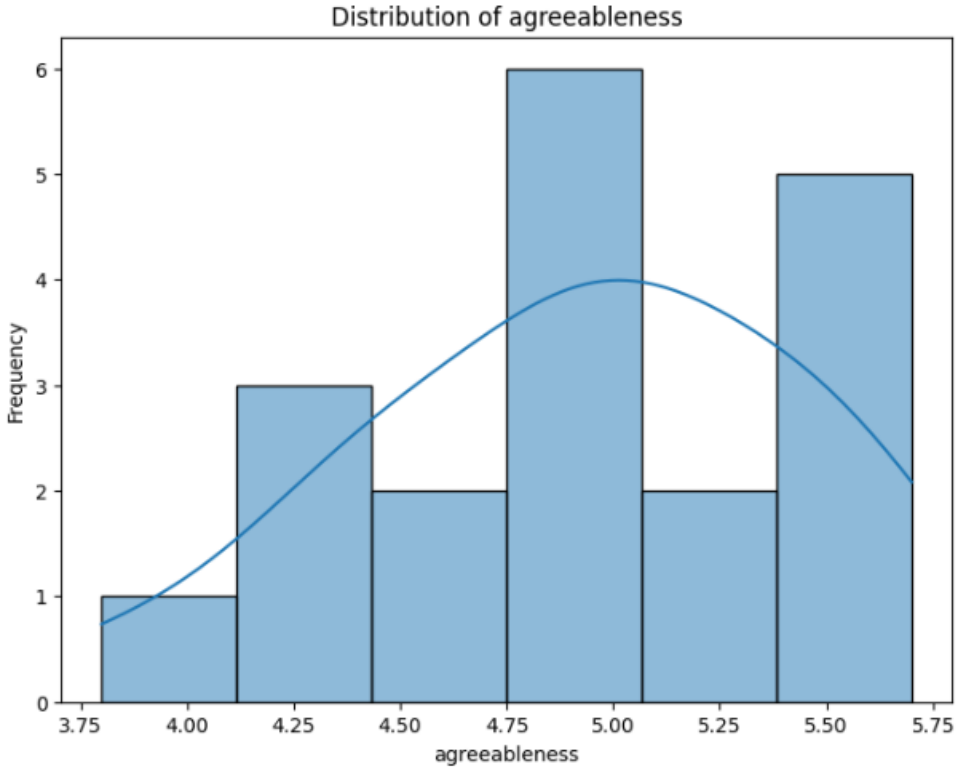

Figure 6 - The distribution of agreeableness in personality_train.csv.

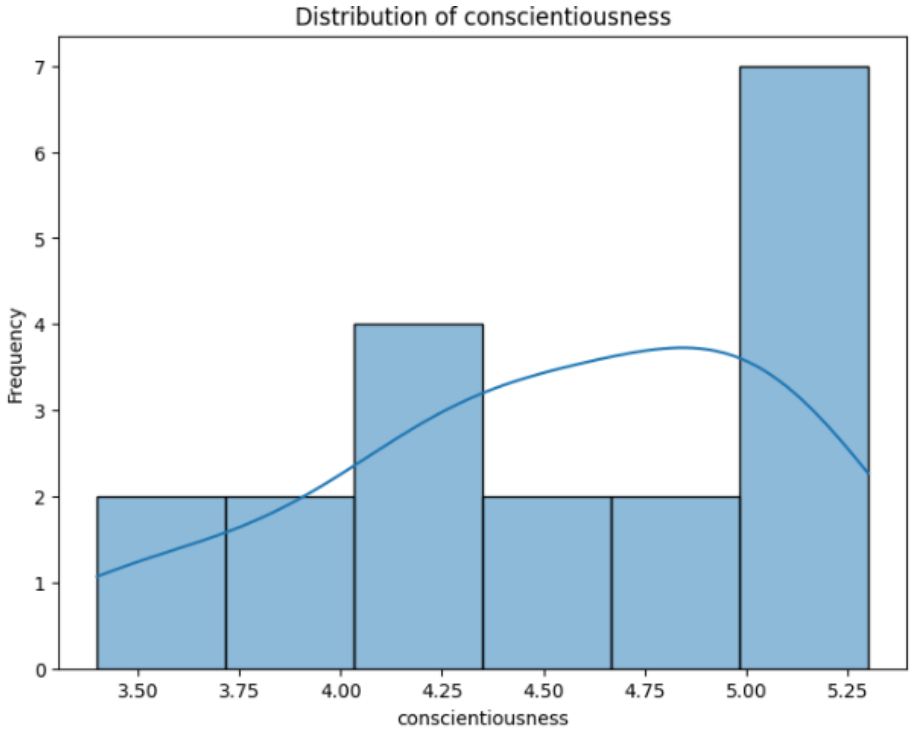

Figure 7 - The distribution of conscientiousness in personality_train.csv.

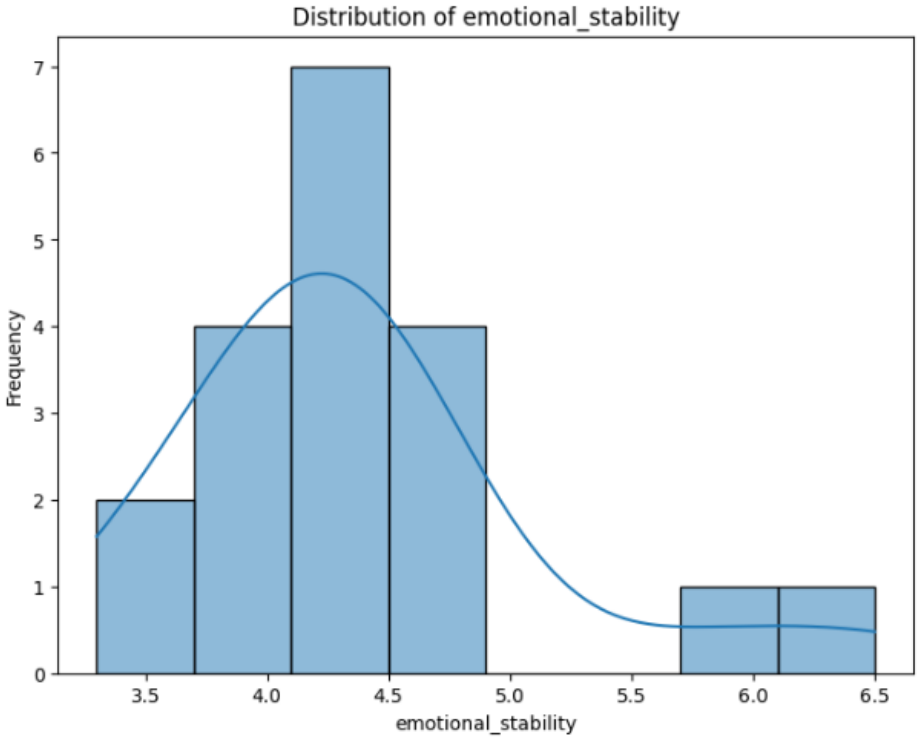

Figure 8 - The distribution of emotional_stability in personality_train.csv.

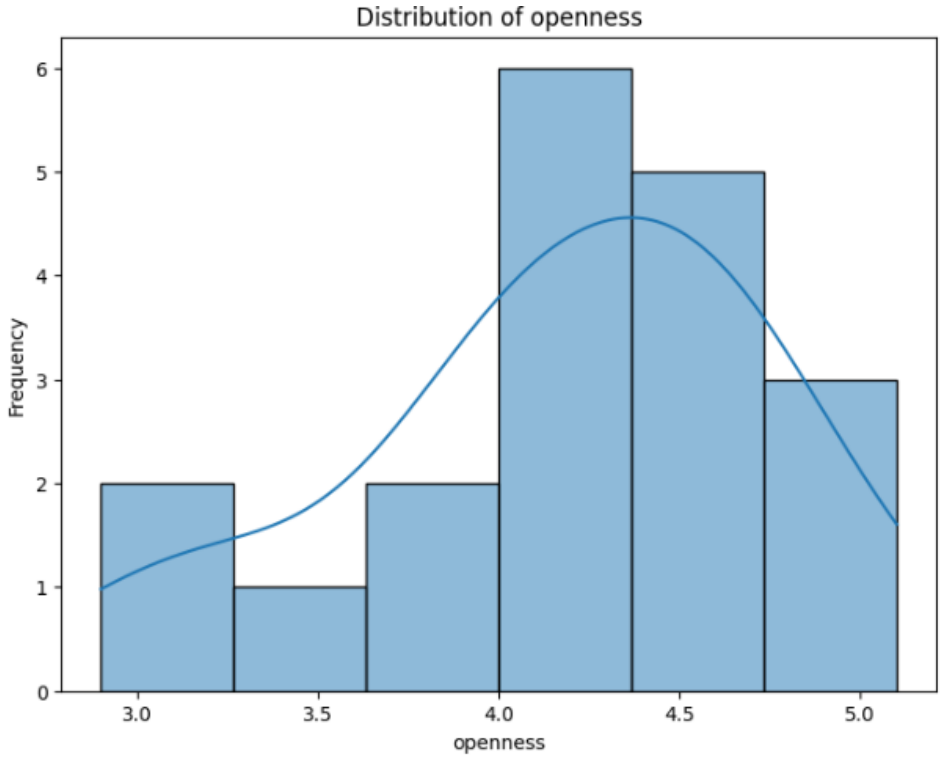

Figure 9 - The distribution of openness in personaltiy_train.csv.

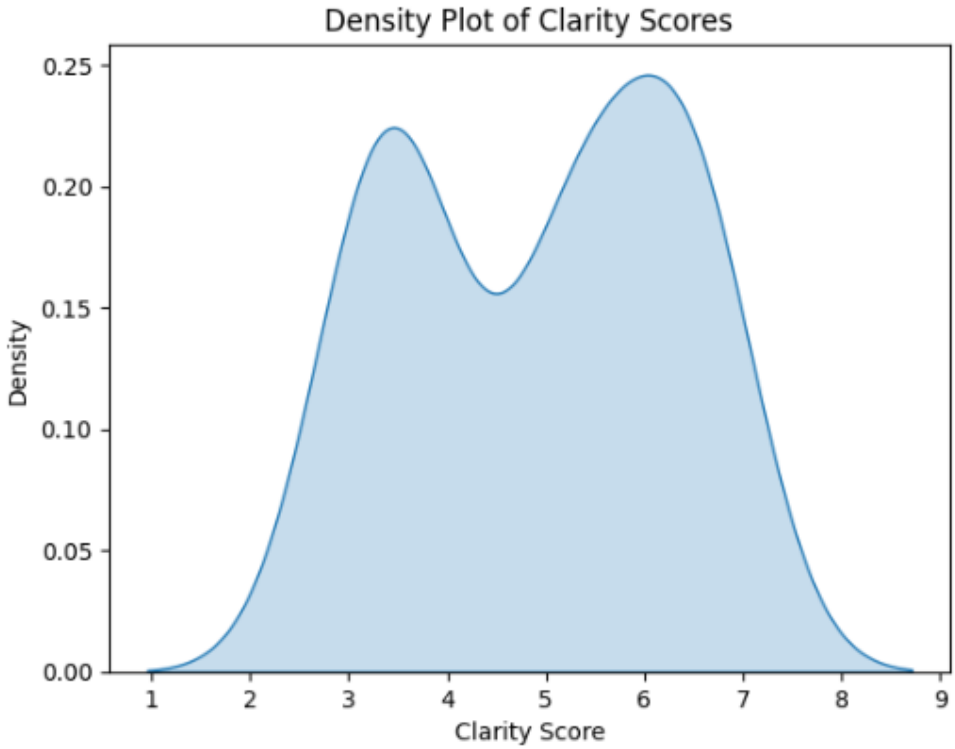

Figure 10 - The distribution of clarity scores in clarity_train.csv.

Abstract

In the field of industrial/organizational psychology, the integration of advanced machine learning methods has the potential to enhance workplace dynamics. This study incorporates a large language model (LLM) to tackle four critical challenges: detecting empathy in emails, evaluating the clarity of personality inventory items, determining perceived fairness between two workplace policies, and generating plausible interview questions based on previous question-answer pairs. By combining the analytical power of generative AI with an understanding of human psychology, this project aims to improve the decision-making process for organizations.

Background/Business Understanding

In 2018, the Society for Industrial and Organizational Psychology began hosting an annual machine learning competition to determine how these techniques can be applied to industrial/organizational psychology. This year (2024), the competition focused on, "prompting LLMs to effectively complete a set of diverse tasks presented in benchmark datasets" [1]. Five datasets were provided in both the training phase and development phase, and a test set was released two weeks before the competition ended. The training data was used to train models, the development data was used to refine the models, and the test data was used to score each team. The competition concluded on April 4th, 2024.

The rules for the competition:

> "*You are allowed to use any LLM technique(s) during the entirety of the competition (e.g., base models, fine-tuned models, chaining). However, top-scoring teams will need to submit a reproducible solution that incorporates LLMs to the organizing committee for review at the end of the competition. If your solution cannot be reproduced or does not incorporate LLMs, then you will be disqualified*" [2].

The four assigned tasks according to the competition rules [2]:

1) Predicting Empathy: Job candidates were asked to provide empathetic responses to a difficult workplace situation. Your task is to classify whether empathy was demonstrated or not in each simulated response.

2) Generating Interview Responses: Job candidates responded to 5 common interview questions. You will be given the text of 4 question and response pairs. Your task is to generate a likely text response for the 5th question based on the previous responses.

3) Rating Item Clarity: Respondents rated the clarity of personality test items using a 7-point scale from 1 = extremely unclear to 7 = extremely clear. Your task is to predict the average clarity rating for each item based on the responses.

4) Identifying Fairness Perceptions: Respondents compared two organizational policies and voted on which was fairest. Your task is to identify which policy received the majority vote as the fairer option.

Methodology

1) Exploratory Data Analysis

There were 5 datasets provided to accomplish the 4 tasks—all containing text data, some with numerical data. Each of these datasets were small in size, ranging from 19-30 records in total, which proved to a be challenge. One idiosyncrasy was that the interview dataset was intended to be used in conjunction with the personality dataset for generating interview responses. A brief exploratory analysis was conducted on each of the datasets to determine what preprocessing steps were required. Due to the nature of this problem, a manual text analysis was also conducted. Instead of relying on explicit features in the data, the text was analyzed by a human, and higher-level heuristics were found. These heuristics became useful later for determining good system prompts to use with the LLM.

Empathy_train.csv

This dataset consisted of 30 records and 3 columns (_id, text, empathy). The `text` column contained emails in text format from a senior employee to a junior employee. The `empathy` column was binary—with `1` indicating that the email was empathetic. This data was slightly imbalanced, with 17 emails classified as empathetic, 13 classified as non-empathetic (shown in fig. 2.).

The manual analysis for this data with the higher-level heuristics can be found in [4]. The goal of the manual analysis was to determine what aspects of the text responses were characteristically empathetic or not. A few of the key upshots were:

Unempathetic responses were characterized by lack of personalized feedback, a focus on urgent deadlines and additional oversight, and conditional support for career growth mixed with veiled critiques.

Empathetic responses were characterized by acknowledging the recipient's efforts, circumstance, and contributions, inviting and open dialogue with personalized feedback, and emphasizing the importance of the recipient's role, contributions, and the positive impact of their work on the team.

The analysis of this particular dataset is ongoing due to having significant overlap between empathetic and non-empathetic responses. This overlap could be due to mislabeling of the data, or inconsistencies in the data collection process. One issue in particular is the "complement sandwich", where criticisms are bookended by complements.

Interview_train.csv

This dataset consisted of 19 records and 3 columns (_id, questions_answers, last_answer). The `questions_answers` column consisted of 3 question-response pairs for a particular applicant, and a 4th question without a response. The `last_answer` column contained the response to the 4th unanswered question. The goal for this data was to generate a plausible response based on the previous question-answer pairs. One potential approach for working with this data was to analyze the questions to determine what kind of experiences are asked about in interviews. A common question is, "Tell me about a time where you had multiple projects and had to manage your time well." This question gets at the lived human experience of the applicant, which a machine does not

have. The responses to these questions could be used to build a profile of the applicant, allowing the LLM to adopt the persona of the applicant to better answer the questions. The manual analysis of this data can be found in [5]. Some of the upshots from this analysis about what types of experiences the persona needed to have were:

- Experience with continuous learning.
- Experience with decision making and problem solving.
- Experience with communication, team management, and handling adversity.
- Experience with leadership and strategic planning.
- Experiences where empathy and inclusivity played a key role in workplace interactions.

Personality_train.csv

This data consisted of 19 records and 6 columns (_id, extraversion, agreeableness, conscientiousness, emotional_stability, and openness). Each record in this dataset contains the values of a particular applicant's "Big 5 Traits", and the `_id` column corresponds to the `_id` column in the interview dataset. The distributions for each of the big 5 traits are shown in figures 5-9. This data was not used due to the difficult nature of using continuous numerical values to generate text responses.

Fairness_train.csv

This dataset consisted of 24 records and 4 columns (_id, first_option, second_option, majority_vote). The option columns contained two different workplace policies and the majority vote column contained the majority vote by employees as to which policy they perceived as being fairer. The manual analysis of this dataset in [6] led to these heuristics:

Fairer workplace policies tended to require minimal effort on behalf of employees, prioritized in-person interactions over digital or anonymous methods, emphasized employee well-being and proactive/preventative measures.

Unfair workplace policies tended to require more time and effort from employees (especially outside of working hours), focused on impersonal interactions and an overreliance on technology, emphasized punitive measures and increased monitoring, and in some cases mandating role-playing exercises or workshops.

Clarity_train.csv

This dataset consisted of 30 records and 3 columns (_id, personality_item, clarity). The `personality_item` column consisted of prospective items from a psychological inventory. A group of I/O psychologists voted on the clarity of the item which produced a continuous clarity score between 1 and 7. The distribution of the clarity column was bimodal, having two peaks around 3 and 6.5 (shown in fig. 10). The manual analysis of this data in [7] led to the following heuristics about the clarity of the items:

Items deemed less clear exhibited the following qualities:

- Passive voice ("I am considered…" vs. "I consider myself….")
- Past tense ("I did not feel like eating… vs. "I do not feel like eating…")

- Normative statements ("...even though I should have been...", "...needs to...")
- Metaphorical language ("I look for something to hold onto" vs. "I seek stability")
- Negations ("...not too high and not too low")
- Conditional statements ("I am able to work hard to achieve results that I will only get at a time far in the future.")
    - The "results" are contingent on "work[ing] hard"

There are a few psychological explanations for why these items could be deemed as less clear. Passive voice is often considered less clear than active voice. Metaphorical and idiomatic language, negative statements, and conditional statements all take more mental effort to parse than simple direct language. Additionally, the use of vague or ambiguous words or phrases serves to make items less clear such as "very few", "tend to", "financially well-off", and "regular basis". The length of the sentence is also a factor, as longer sentences have more complex structure and require more mental effort to parse.

2) Data Preparation

The analyses from the previous step led to the creation of system prompts for the LLMs. Two main techniques were used to prepare the text data for traditional machine learning methods: Term frequency-inverse document frequency (TF-IDF) and BERT word embeddings. Simple preprocessing steps were implemented such as consolidating the options columns in the fairness dataset into a single column and lowercasing all the text in each dataset. Little cleaning effort was required as the datasets were relatively clean at the outset.

3) Machine Learning Methods

A mixture of traditional machine learning methods was used in tandem with modern LLMs. The system prompts were derived from the exploratory analysis and consultation with subject matter experts in the field of industrial-organizational psychology. In most cases, the LLMs outperformed the traditional methods.

The first attempts utilized GPT-4 for each task with the OpenAI API. The fairness and empathy system prompts are listed below as examples.

Fairness System Prompt:

> *'You are a psychological researcher in the field of industrial-organizational psychology. You will be given two workplace policies. Your goal is to determine which one employees voted as being more fair. When evaluating the fairness of the two policies, consider the following heuristics:*
>
> *Characteristics of less fair policies are:*
>
> *Requiring more time or effort from employees (especially outside of working hours). Creating unnecessary work for employees. Formality over flexibility. Excessive use of technology instead of personal interactions. Less personal solutions. Lack of personal support. Bringing in 3rd parties. Monitoring and surveillance. Punitive Measures. Focus on criticism. Unstructured conflict resolution. Mandatory role-playing or workshops. Limited opportunities for direct input. Restrictive communication channels.*

Characteristics of more fair policies are:

Requiring minimal effort from employees. In-person interactions over digital or anonymous methods. Focus on individual growth and personalization. Empowering autonomy and speaking up about issues. Professional/career growth opportunities. Emphasis on employee well-being. Providing emotional and peer-based support. Preventative measures and proactive approaches. Training managers in conflict resolution. Holding managers accountable. Clear conflict resolution policies. Recognition of employee contributions. Offering opportunities for dialogue. Focus on building relationships. Involving people over technology. Working out issues without 3rd party intervention.

Instructions:

Read the two policies carefully. Consider the heuristics provided for less fair and more fair policies. Make a determination as to which policy is considered more fair and specifically respond with 'Choice: First' or 'Choice: Second'. Justify your answer briefly, highlighting which aspects of the policies influenced your decision.

Fairness Example Output:

_id: 24 response: Choice: Second

The second policy appears to be more fair according to the heuristics provided. This policy exemplifies a proactive approach with a focus on training managers in conflict resolution, holding them accountable, and a clear resolution policy. On the contrary, the first policy promotes 3rd-party interventions, which according to the heuristics, is a characteristic of a less fair policy.

Empathy System Prompt:

You are an HR manager in charge of determining whether emails exhibit empathy or not. When evaluating the empathy of an email, consider the following heuristics:

Characteristics of less empathetic emails:

- Lack of personalized, positive feedback and explicit guidance.
- Direct and implicit criticism without constructive direction
- Pressure, both implicit and explicit, without offering support.
- Prioritizing organizational needs over individuality and stakeholder feedback.
- Emphasizing efficiency and compliance over personal development and dialogue.
- Failure to recognize or invite collaborative communication and dialogue.
- Making unclarified assumptions and neglecting the emotional impact of messages.
- Using directive language, imposing solutions, and mandating training without consensus.
- Vagueness in feedback, goals, and the highlighting of public consequences of errors.
- Formal or informal communication that mismatches the seriousness of feedback.
- Neglecting to acknowledge efforts, emphasizing mistakes, and undermining achievements.
- Imposing urgent deadlines, additional oversight, and repetitive tasks without clear support.

- Conditional support for career growth and veiled critiques that imply inadequacy.
- Communication errors such as mixed messages or compliment sandwiches.

Instructions for Evaluation:

Read each email message attentively. Balance your evaluation based on the heuristics for both empathetic and less empathetic characteristics. If an email does not definitively show several empathetic traits or displays numerous characteristics of less empathetic communication, classify it as lacking empathy. Your determination should be made with consideration to the nuanced balance between these traits. Respond with 'Choice: 0' for emails that do not exhibit empathy and 'Choice: 1' for emails that do. Provide a brief justification for your choice, focusing on the key aspects of the message that influenced your decision.

Empathy Example Output:

_id: 198 response: Choice: 1

Justification: Although the email does include language related to task or requirements like "submitting," "reports," and "meetings," it does so in an empathetic manner. Words like "feedback," "enthusiasm," "eagerness," and "assistance" indicate empathy towards Jonathan's feelings. The line "Let me know if you need any assistance on this" directly implies a direct, personal engagement, indicating empathy. The criticism in the email is also very constructive, focusing on Jonathan's development and future improvement.

Further attempts utilized an open-source LLM, Mixtral-7B with the same system prompts. Interestingly, even though Mixtral-7B had far fewer parameters compared to GPT-4, it outperformed it in many cases on the development data. Additionally, the OpenAI Playground was used to fine-tune GPT-3.5 Turbo, the best model available for fine-tuning at the time. All that was required was that the training data be converted to a JSONL format. The model was connected to in a similar way to GPT-4, using an API key and specifying the fine-tuned model in Google Colab [9].

Each LLM performed better on different tasks, but it was generally found that Mixtral-7B outperformed GPT-4, while the fine-tuned GPT-3.5 Turbo could outperform Mixtral-7B on one or two tasks.

Development Results

1st Attempt (GPT-4 Only)

With this first approach (using GPT-4 for all tasks), we were able to get an overall accuracy of 0.47354. The top scoring team had a reported overall accuracy of 0.668 at the time. The results for each task are shown in the table below. The obvious area for improvement here was on the clarity task. The interview task score was low due to the inherent difficulty of that task. The empathy task score was deceptive, as the LLM ended up acting as a dummy classifier, predicting every response as empathetic. The fairness score was surprisingly high.

Task (GPT-4)	Score
Empathy	0.13571

Interview	0.10774
Clarity	0.05107
Fairness	0.17901
Final Score	0.47354

2nd Attempt (Traditional ML + GPT-4)

Multiple iterations of this method were used to determine where traditional ML methods could outperform the LLM, and vice versa. A linear regression was used on the clarity data, a quadratic discriminant analysis was used on the fairness data, and a variety of classification models were used on the empathy data along with sentiment analysis. However, the interview data still necessitated the use of an LLM for text generation.

The regression on the clarity data led to a significant improvement in accuracy over the LLM. The QDA on the fairness data did not lead to an improvement in the accuracy, so the LLM was favored in this case. None of the classification models created for the empathy data were able to achieve accuracy better than a dummy classifier. The results from the best iteration are shown in the table below:

Task	**Score**
Empathy (Dummy)	0.13571
Interview (GPT-4)	0.10774
Clarity (Linear Regression)	0.16371
Fairness (GPT-4)	0.17901
Final Score	0.58618

The overall score increased from the previous attempt from 0.47354 to 0.58618.

Subsequent Attempts

Over 20 iterations later, our team was able to improve the final score from 0.58618 to 0.62056 on the development data. Small increases were seen on the empathy, interview, and fairness tasks, while the Random Forest Regression with TF-IDF consistently outperformed all other methods on the clarity task. Mixtral-7B performed best on the interview and fairness tasks using the same system prompts as GPT-4. The fine-tuned GPT-3.5 Turbo outperformed Mixtral-7B and GPT-4 on the empathy task. A table showing the top 10 model combinations for the development data is shown below.

Dev Run #	Empathy	Interview	Clarity	Fairness	Total Score
18	(Fine-Tuned GPT-3.5 Turbo) 0.15357	(Mixtral-7B) 0.12118	(Random Forest Regression TF-IDF) 0.16371	(Mixtral-7B) 0.1821	0.62056
17	(Fine-Tuned GPT-3.5 Turbo) 0.15357	(Mixtral-7B) 0.12118	(Random Forest Regression TF-IDF) 0.16371	(GPT-4) 0.17901	0.61748
22	(Mixtral-7B) 0.14643	(Mixtral-7B) 0.12118	(Random Forest Regression TF-IDF) 0.16371	(Mixtral-7B) 0.1821	0.61342
13	(Fine-Tuned GPT-3.5 Turbo) 0.15357	(GPT-4) 0.10774	(Random Forest Regression TF-IDF) 0.16371	(GPT-4) 0.17901	0.60404
5	(Regression w/ Threshold, BERT Embeddings) 0.14286	(GPT-4) 0.10774	(Random Forest Regression TF-IDF) 0.16371	(GPT-4) 0.17901	0.59333
15	(Fine-Tuned GPT-3.5 Turbo) 0.15357	(GPT-4) 0.10774	(Random Forest Regression TF-IDF) 0.16371	(Fine-Tuned GPT-3.5 Turbo) 0.16667	0.59169
10	Regression w/ Threshold, BERT Embeddings, K-means Clustering) 0.13929	(GPT-4) 0.10774	(Random Forest Regression TF-IDF) 0.16371	(GPT-4) 0.17901	0.58975
12	(Regression w/ Threshold, BERT Embeddings) 0.14286	(Fine-Tuned GPT-3.5 Turbo) 0.10412	(Random Forest Regression TF-IDF) 0.16371	(GPT-4) 0.17901	0.5897
11	(Regression w/ Threshold, BERT Embeddings) 0.14286	(GPT-4) 0.10123	(Random Forest Regression TF-IDF) 0.16371	(GPT-4) 0.17901	0.58681
2	(Dummy Classifier) 0.13571	(GPT-4) 0.10774	(Random Forest Regression TF-IDF) 0.16371	(GPT-4) 0.17901	0.58618

Final Results

The best combination of models for the development data was not necessarily the best combination for the test data, however. The empathy task was the downfall here, as we saw a significant decrease in accuracy from the development data. It turned out that a regression model with a threshold using BERT word embeddings was the best performing model for the empathy task—significantly outperforming a logistic regression using the same embeddings and slightly beating out the fine-tuned GPT-3.5 Turbo. Mixtral-7B had the best performance on the interview task. The random forest regression with TF-IDF continued to be the best model for the clarity task. GPT-4 outperformed Mixtral-7B on the fairness task on the test data, which it failed to do on the development data. The final score for the best run on the test data was 0.58376. This yielded our team 8th place out of 19.

Below is a table of the 3 best runs on the test data.

Test Run #	Empathy	Interview	Clarity	Fairness	Total Score
2	(Regression w/Threshold, BERT Embeddings) 0.1225	(Mixtral-7B) 0.12126	(Random Forest Regression, TF-IDF) 0.16328	(GPT-4) 0.17672	0.58376
3	(Regression w/Threshold, BERT Embeddings) 0.1225	(GPT-4) 0.11281	(Random Forest Regression, TF-IDF) 0.16328	(GPT-4) 0.17672	0.57531
1	(Fine-Tuned GPT-3.5 Turbo) 0.115	(Mixtral-7B) 0.12126	(Random Forest Regression, TF-IDF) 0.16328	(Mixtral-7B) 0.13793	0.53747

The Google Colab file containing all the Python code for this project can be found in [9].

4) Opportunities for Improvement – Sources of Error

Areas where our team could have improved were:

- Empathy
    - Our team was unanimous in the idea that this was poorly labelled data. This is an unfortunate truth about the real-world that data is not always representative of reality. Multiple other teams shared the same sentiment.
- Interview + Personality
    - The personality data could have been used to help generate the responses.
    - However, it's not clear exactly how that would work, as the personality data consists of continuous numerical data and the desired output is text. Previous attempts at doing this have failed hilariously, because the LLM would refer to its big 5 traits in the following way:
        - "Because of my high openness score of 6, I have found it easy to include others in my work."

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