

#### PaLM: Scaling Language Modeling with Pathways

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# **Background**



• Trying to mitigate the challenge of finetuning

Model	# of Parameters (in billions)	Accelerator chips	Model FLOPS utilization
GPT-3	175B	V100	21.3%
Gopher	280B	4096 TPU v3	32.5%
Megatron-Turing NLG	530B	2240 A100	30.2%
PaLM	540B	6144 TPU v4	46.2%

- Model improvement happened in Auto-Regressive models due to:
  - scaling the size of the models in both depth and width
  - increasing the number of tokens that the model was trained on
  - training on cleaner datasets from more diverse sources
  - increasing model capacity without increasing the computational cost through sparsely activated module

#### **Model - Architecture**



- Transformer model architecture with decoder-only setup
- <u>SwiGLU</u> Activations
- Parallel Layers
  - y = x + MLP(LayerNorm(x)) + Attention(LayerNorm(x))
  - 15% faster-training speed at large scales
- Multi Query Attention
- <u>Rotary Position Embedding</u> is a type of position embedding which encodes absolute positional information with a rotation matrix and naturally incorporates explicit relative position dependency in the self-attention formulation
- Shared Input-Output Embedding matrices
- No Biases
- <u>SentencePiece</u> vocabulary with 256k tokens

# **Model - Hyperparameters**



Model	Layers	# of Heads	$d_{ m model}$	# of Parameters (in billions)	Batch Size
PaLM 8B	32	16	4096	8.63	$256 \rightarrow 512$
PaLM 62B	64	32	8192	62.50	$512 \rightarrow 1024$
PaLM 540B	118	48	18432	540.35	$512 \rightarrow 1024 \rightarrow 2048$

Table 1: Model architecture details. We list the number of layers,  $d_{\text{model}}$ , the number of attention heads and attention head size. The feed-forward size  $d_{\text{ff}}$  is always  $4 \times d_{\text{model}}$  and attention head size is always 256.

# **Training - Dataset**

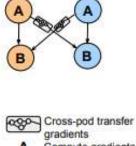


Data source	Proportion of data
Social media conversations (multilingual)	50%
Filtered webpages (multilingual)	27%
Books (English)	13%
GitHub (code)	5%
Wikipedia (multilingual)	4%
News (English)	1%

# **Training - Infrastructure**



- Two TPU v4 Pods
- 3072 TPU v4 chips in each Pod



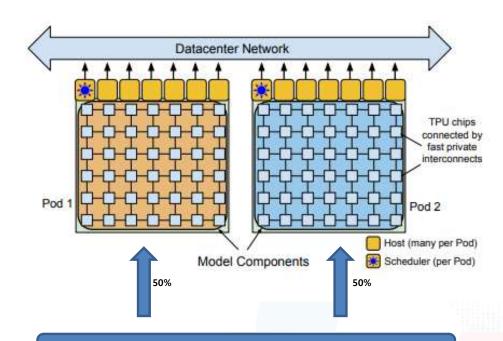
A Compute gradients
(Forward+backward pass)

B Apply gradients

Pod 1

Pod

Pod 2



Input Data

## **Training - Setup**



- Weight initialization: Used "fan-in variance scaling" i.e., W ~ N  $(0, \frac{1}{\sqrt{n_{in}}})$
- Optimizer: Adafactor without factorization
- Optimization hyperparameters: Adafactor learning rate of  $10^{-2}$  for the first 10,000 steps, then  $1/\sqrt{k}$ , where k is the step number

$$\beta 1 = 0.9$$

$$\beta 2 = 1 - k^{-0.8}$$

- Loss function: standard language modeling loss function, which is the average log probability of all tokens without label smoothing, additionally use an auxiliary loss of z loss =  $10^{-4} \cdot \log^2 Z$  to encourage the softma x normalizer log(Z) to be close to 0
- Sequence length: 2048 with Input examples concatenation and eod tokens
- Bitwise determinism: The model is fully bitwise reproducible from any checkpoint
- **Dropout:** The model was trained without dropout, although dropout of 0.1 is used for finetuning in most cases.

## **Training - Instability**



- Observed spikes in the loss roughly 20 times during training
- Spikes occurred at highly irregular intervals
- To mitigate these spikes, re-started training from a checkpoint roughly 100 steps before the spike started, and skipped roughly 200–500 data batches

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# **Evaluation – English NLP tasks**



	0-s	hot	1-s	hot	Few	-shot		0-s	hot	1-s	hot	Few-sl	hot
Task	Prior SOTA	PaLM 540B	Prior SOTA	PaLM 540B	Prior SOTA	PaLM 540B	Task	Prior SOTA	PaLM 540B	Prior SOTA	PaLM 540B	Prior SOTA	PaLM 540B
TriviaQA (EM) Natural Questions (EM) Web Questions (EM)	$71.3^a$ <b>24.7</b> $^a$ <b>19.0</b> $^a$	<b>76.9</b> 21.2 10.6	$75.8^{a}$ $26.3^{a}$ $25.3^{b}$	81.4 29.3 22.6	$75.8^{a}_{(1)} \\ 32.5^{a}_{(1)} \\ 41.1^{b}_{(64)}$	81.4 (1) 39.6 (64) 43.5 (64)	PIQA ARC-e ARC-c OpenbookQA	$82.0^{c}$ $76.4^{e}$ $51.4^{b}$ <b>57.6</b> $^{b}$	82.3 76.6 53.0 53.4	$81.4^a$ $76.6^a$ $53.2^b$ $55.8^b$	83.9 85.0 60.1 53.6	$83.2^{c}$ (5) $80.9^{e}$ (10) $52.0^{a}$ (3) $65.4^{b}$ (100)	85.2 (5) 88.4 (5) 65.9 (5) 68.0 (32)
Lambada (EM) HellaSwag StoryCloze	$77.7^{f}$ $80.8^{f}$ $83.2^{b}$	77.9 83.4 84.6	$80.9^{a}$ $80.2^{c}$ $84.7^{b}$	81.8 83.6 86.1	$87.2^{c}$ (15) $82.4^{c}$ (20) $87.7^{b}$ (70)	89.7 (8) 83.8 (5) 89.0 (5)	BoolQ Copa	$83.7^{f}$ $91.0^{b}$	88.0 93.0	$82.8^{a}$ $92.0^{a}$	88.7 91.0	$84.8^{c}$ (32) $93.0^{a}$ (16)	89.1 (8) 95.0 (5)
Winograd Winogrande	$88.3^{b}$ $74.9^{f}$	90.1 81.1	<b>89.7</b> <sup>b</sup> 73.7 <sup>c</sup>	87.5 83.7	$88.6^{a}$ (2) $79.2^{a}$ (16)	89.4 (5) 85.1 (5)	RTE WiC Multirc (F1a)	$73.3^e$ $50.3^a$ $73.7^a$	72.9 <b>59.1</b> <b>83.5</b>	$71.5^{a}$ $52.7^{a}$ $74.7^{a}$	78.7 63.2 84.9	$76.8_{(5)}$ $58.5^{c}_{(32)}$ $77.5^{a}_{(4)}$	81.2 (5) 64.6 (5) 86.3 (5)
Drop (F1) CoQA (F1) QuAC (F1)	$57.3^a$ $81.5^b$ $41.5^b$	<b>69.4</b> 77.6 <b>45.2</b>	$57.8^a$ $84.0^b$ $43.4^b$	70.8 79.9 47.7	$58.6^{a}$ (2) $85.0^{b}$ (5) $44.3^{b}$ (5)	<b>70.8</b> (1) 81.5 (5) <b>47.7</b> (1)	WSC ReCoRD CB	$85.3^{a}$ $90.3^{a}$ $48.2^{a}$	89.1 92.9 51.8	$83.9^{a}$ $90.3^{a}$ $73.2^{a}$	86.3 92.8 83.9	$85.6^{a}$ (2) 90.6 (2) $84.8^{a}$ (8)	89.5 (5) 92.9 (2) 89.3 (5)
SQuADv2 (F1) SQuADv2 (EM) RACE-m RACE-h	$71.1^{a}$ $64.7^{a}$ $64.0^{a}$ $47.9^{c}$	80.8 75.5 68.1 49.1	$71.8^{a}$ $66.5^{a}$ $65.6^{a}$ $48.7^{a}$	82.9 78.7 69.3 52.1	$71.8^{a}$ (10) $67.0^{a}$ (10) $66.9^{a\dagger}$ (8) $49.3^{a\dagger}$ (2)	83.3 (5) 79.6 (5) 72.1 (8) 54.6 (5)	ANLI R1 ANLI R2 ANLI R3	$39.2^{a}$ $39.9^{e}$ $41.3^{a}$	48.4 44.2 45.7	$42.4^{a}$ $40.0^{a}$ $40.8^{a}$	52.6 58.7 52.3	$44.3^{a}$ (2) $41.2^{a}$ (10) $44.7^{a}$ (4)	56.9 (5) 56.1 (5) 51.2 (5)

Model	Avg NLG	Avg NLU
GPT-3 175B	52.9	65.4
GLaM 64B/64E	58.4	68.7
PaLM 8B	41.5	59.2
PaLM 62B	57.7	67.3
PaLM 540B	63.9	74.7

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# **Evaluation - Finetuning**



#### Results on the SuperGLUE dev set

	Model	Avg	BoolQ	CB	CoPA	MultiRC	Record	RTE	WiC	WSC
Encoder- Decoder	T5-11B	89.9	90.8	94.9/96.4	98.0	87.4/66.1	93.8/93.2	93.9	77.3	96.2
Model	ST-MoE-32B	93.2	93.1	100/100	100	90.4/69.9	95.0/95.6	95.7	81.0	100
	PaLM 540B (finetuned)	92.6	92.2	100/100	100	90.1/69.2	94.0/94.6	95.7	78.8	100

#### Results on SuperGLUE dev set comparing PaLM-540B few-shot and finetuned

Model	BoolQ	CB	CoPA	MultiRC	Record	RTE	WiC	WSC
Few-shot	89.1	89.3	95	86.3/-	92.9/-	81.2	64.6	89.5
Finetuned	92.2	100/100	100	90.1/69.2	94.0/94.6	95.7	78.8	100



• **goal step wikihow** - The goal is to reason about the goal-step relationship between events.

**Input:** In order to "clean silver," which step should be done first?

(a) dry the silver (b) handwash the silver

**Answer:** (b) handwash the silver

logical args – The goal is to predict the correct logical inference from a passage.
 Input: Students told the substitute teacher they were learning trigonometry. The substitute told them that instead of teaching them useless facts about triangles, he would instead teach them how to work with probabilities. What is he implying?

- (a) He believes that mathematics does not need to be useful to be interesting.
- (b) He thinks understanding probabilities is more useful than trigonometry.
- (c) He believes that probability theory is a useless subject.

**Answer: (b)** He thinks understanding probabilities is more useful than trigonometry.



- english proverbs The goal is to guess which proverb best describes a text passage.
   Input: Vanessa spent lots of years helping out on weekends at the local center for homeless aid. Recently, when she lost her job, the center was ready to offer her a new job right away. Which of the following proverbs best apply to this situation?
  - (a) Curses, like chickens, come home to roost.
  - (b) Where there is smoke there is fire
  - (c) As you sow, so you shall reap

**Answer:** (c) As you sow, so you shall reap

- **logical sequence** The goal is to order a set of "things" (months, actions, numbers, letters, etc.) into their logical ordering
  - **Input:** Which of the following lists is correctly ordered chronologically?
    - (a) drink water, feel thirsty, seal water bottle, open water bottle
    - (b) feel thirsty, open water bottle, drink water, seal water bottle
    - (c) seal water bottle, open water bottle, drink water, feel thirsty

Answer: (b) feel thirsty, open water bottle, drink water, seal water bottle



• **navigate** – The goal is to follow a set of simple navigational instructions, and figure out where you would end up.

**Input:** If you follow these instructions, do you return to the starting point? Always face forward. Take 6 steps left. Take 7 steps forward. Take 8 steps left. Take 7 steps left. Take 6 steps forward. Take 1 step forward. Take 4 steps forward.

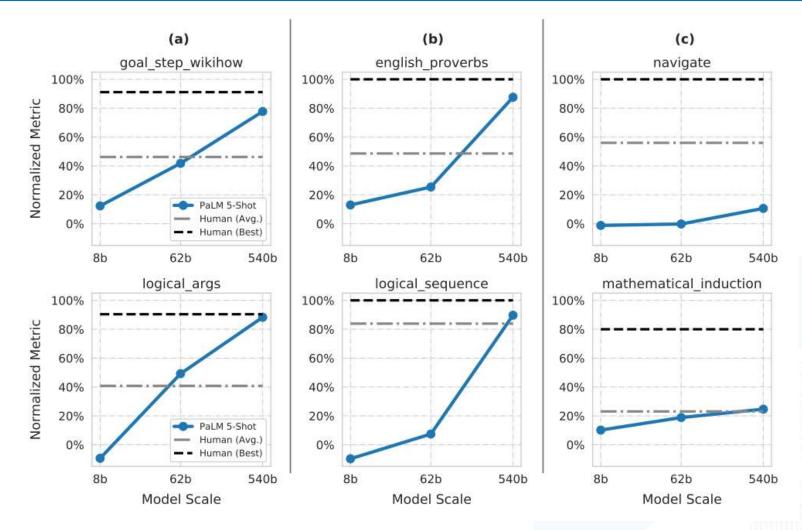
**Answer:** No

• mathematical induction – The goal is to perform logical inference mathematical induction rules, even if they contradict real-world math.

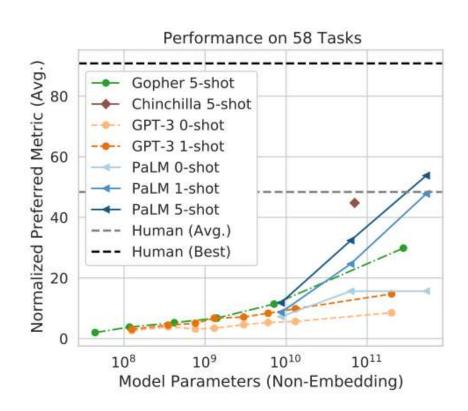
**Input:** It is known that adding 2 to any odd integer creates another odd integer. 2 is an odd integer. The refore, 6 is an odd integer. Is this a correct induction argument (even though some of the assumptions may be incorrect)?

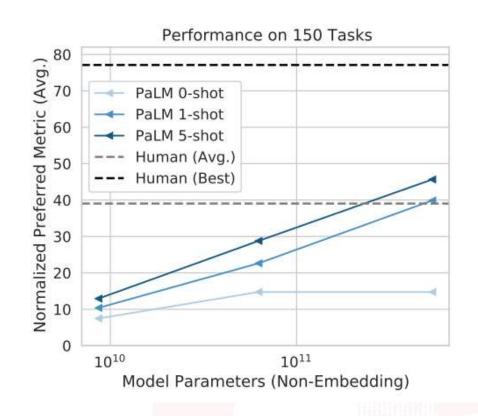
**Answer:** Yes



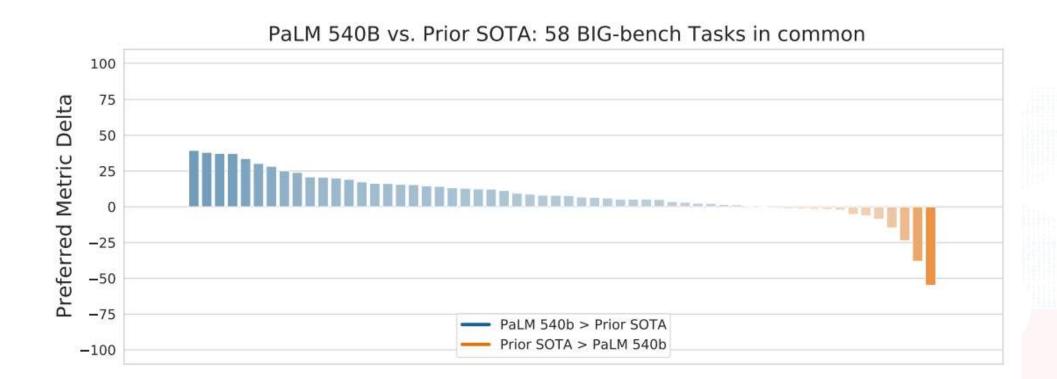






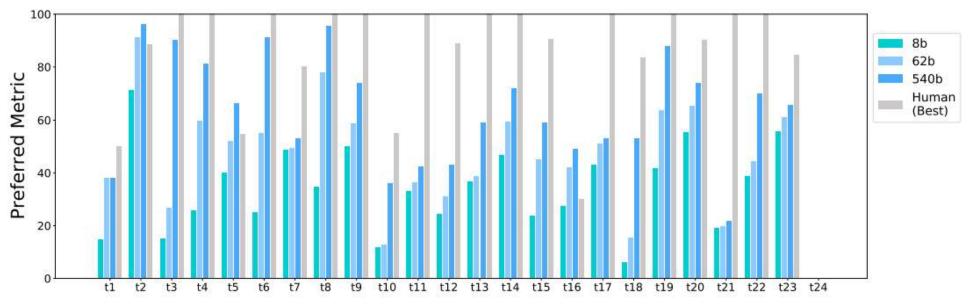






# **Evaluation – cause and effect**





t1	auto debugging	t2	bbq lite json	t3	code line description	t4	conceptual combina tions
t5	conlang translation	t6	emoji movie	t7	formal fallacies syllo gisms negation	t8	hindu knowledge
t9	known unknowns	t10	language identificati on	t11	logic grid puzzle	t12	logical deduction
t13	misconceptions russi an	t14	novel concepts	t15	operators	t16	parsinlu reading co mprehension
t17	play dialog same or different	t18	repeat copy logic	t19	strange stories	t20	strategyqa
t21	symbol interpretatio n	t22	vitaminc fact verifica tion	t23	winowhy	t24	linguistics puzzles.

## **Evaluation – Reasoning**



#### Standard prompting

Input:

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

...

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

A:

Model output:

The answer is 50.

## Chain of thought prompting

Input:

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.

..

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

Model output:

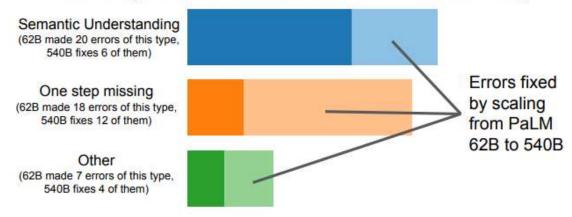
The cafeteria had 23 apples originally. They used 20 to make lunch. So they had 23 - 20 = 3. They bought 6 more apples, so they have 3 + 6 = 9. The answer is 9.

# **Evaluation – Reasoning**



Model+Technique	Accuracy
PaLM 540B+chain-of-thought+calculator	58%
PaLM 540B+chain-of-thought	54%
PaLM 540B w/o chain-of-thought	17%
PaLM 62B+chain-of-thought	33%
GPT-3+finetuning+chain-of-thought+calculator	34%
GPT-3+finetuning+chain-of-thought+calculator+verifier	55%

#### Error analysis of PaLM 64B vs. 540B on 150 GSM8K Examples

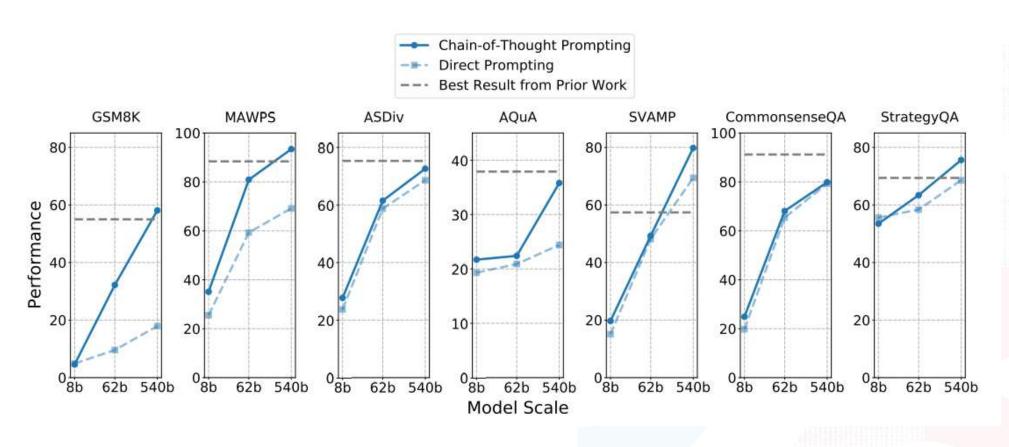




# **Evaluation – Reasoning**



8-shot prediction with PaLM 540B+chain-of-thought



#### **Evaluation – Code tasks**



- Text to Code
- Code to Code

	Code to	kens	Code web docs
	Total code	Python	
LaMDA 137B			18B
Codex 12B	100B	100B	-
PaLM 540B	39B	2.7B	-
PaLM-Coder 540B	46.8B	8.7B	100

#### def exercise5():

"""Marie ordered one chicken meal that costs \$12, 5 packs of milk that costs \$3 each, 4 apples that cost \$1.50 each, and some boxes of pizza. Marie paid a total of \$50. How many boxes of pizza did Marie order if each box costs \$8.50?"""

```
total_paid = 50

cost_of_pizza_per_box = 8.50

cost_of_meal = 12

cost_of_milk_per_pack = 3

cost_of_apples_per_apple = 1.50

packages_of_milk = 5

return float(total_paid - cost_of_meal - cost_of_milk_per_pack * packages_of_milk - cost_of_apples_per_apple *

4) / cost_of_pizza_per_box
```

```
// Translate from C to Python:
long long int sum_pairwise_products ( int n ) {
long long int sum = 0;
for ( int i = 1; i <= n; i ++) {
    for ( int j = i; j <= n; j ++) {
        sum = sum + i * j;
    }
}
return sum;}
```

```
def sum_pairwise_products(n):
    sm = 0
    for i in range(1, (n + 1)):
        for j in range(i, (n + 1)):
        sm = (sm + (i * j))
    return sm
```

```
# Given a string representing musical notes in a special ASCII format,
# parse this string and compute a total length of the music in beats.
# Legend;
# 'o' - whole note, lasts four beats
# 'o|' - half note, lasts two beats
# '.|' - quarter note, lasts one beat

notes = 'o o| .| o| o| .| .| .| .| o o'
```

```
# 1. Split the string into a list of notes.
# 2. Iterate over the list and compute the total
length of the music.
# 3. Print the result.
# 1.
notes = notes.split()
# 2.
total length = 0
for note in notes:
     if note == 'o':
           total_length += 4
     if note == 'o|':
           total length += 2
     if note == '.|':
           total length += 1
# 3.
print(total_length)
```

# **Evaluation – Code tasks**



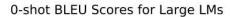
		Pretraining only					
		LaMDA 137B	PaLM 540B	$\begin{array}{ c c }\hline \text{Codex} \\ 12\text{B}^a \end{array}$	Davinci Codex*	PaLM Coder 540B	Other Work
HumanEval (0)	pass@100	47.3	76.2	72.3	81.7	88.4	-
MBPP (3)	pass@80	$62.4^{b}$	75.0	653	84.4	80.8	
TransCoder (3)	pass@25	=	79.8	<u>=</u>	71.7	82.5	$67.2^{c}$
HumanEval (0)	pass@1	14.0	26.2	28.8	36.0	36.0	
MBPP (3)	pass@1	$14.8^{b}$	36.8	=	50.4	47.0	8-8
GSM8K-Python (4)	pass@1	7.6	51.3	-	32.1	50.9	-
TransCoder (3)	pass@1	30.2	51.8	=	54.4	55.1	$44.5^{c}$
DeepFix (2)	pass@1	4.3	73.7	<u>60</u>	81.1	82.1	$71.7^{d}$

# **Evaluation – Translation**

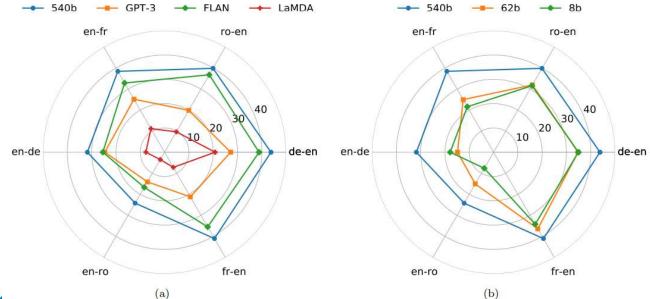


#### **BLEU Scores**

		0-s	0-shot		1-shot		Few-shot		
$\operatorname{Src}$	Tgt	Prior SOTA	PaLM 540B	Prior SOTA	PaLM 540B	Prior SOTA	PaLM 540B	Finetuned SOTA	
en	fr	$32.9^{a}$	38.5	$28.3^{b}$	37.5	33.9 <sup>a</sup> (9)	44.0	$45.6^{c}$	
en	de	$25.4^{a}$	31.8	$26.2^{b}$	31.8	$26.8^a$ (11)	37.4	$41.2^{d}$	
en	ro	$16.7^{a}$	24.2	$20.6^{b}$	28.2	$20.5^{a}$ (9)	28.7	$33.4^{e}$	
$\mathbf{fr}$	en	$35.5^{a}$	41.1	$33.7^{b}$	37.4	$38.0^a$ (9)	42.8	$45.4^{f}$	
de	en	$38.9^{a}$	43.8	$30.4^{b}$	43.9	$40.6^a$ (11)	47.5	$41.2^{g}$	
ro	en	$36.8^{a}$	39.9	$38.6^{b}$	42.1	$37.3^a$ (9)	43.8	$39.1^{h}$	









# **Evaluation – Multilingual QA**

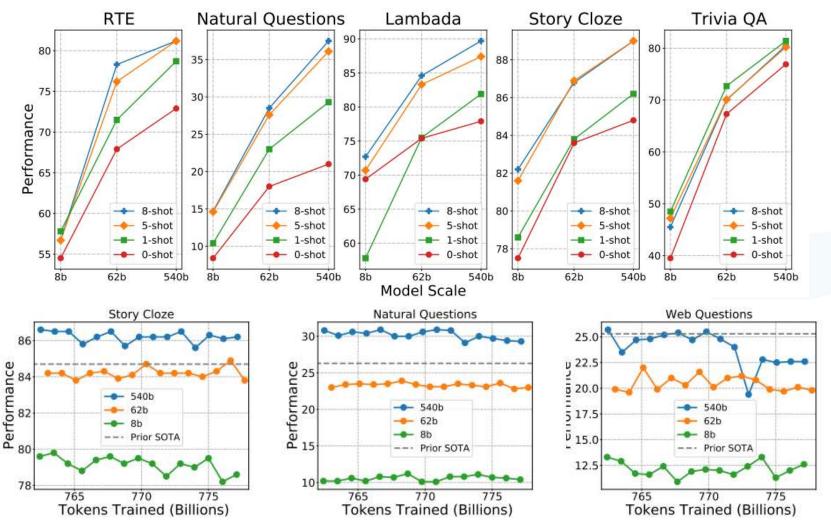


Model	Ar	Bn	En	Fi	Id	Ko	Ru	Sw	Te	Avg
mT5 XXL ByT5 XXL	76.9 <b>80.0</b>	80.5 <b>85.0</b>	75.5 <b>77.7</b>	76.3 78.8	81.8 <b>85.7</b>	75.7 <b>78.3</b>	76.8 <b>78.2</b>	84.4 84.0	83.9 <b>85.5</b>	79.1 <b>81.</b> 4
PaLM 540B (finetuned)	75.0	83.2	75.5	78.9	84.1	75.7	77.1	85.2	84.9	80.0
PaLM 540B (few-shot)	56.4 (5)	54.0 (1)	65.5 (10)	66.4 (5)	69.2 (5)	63.8 (5)	46.8 (5)	75.6 (10)	46.9 (1)	60.5

Table 16: Comparison against SOTA on TyDiQA-GoldP validation set (exact match metric).

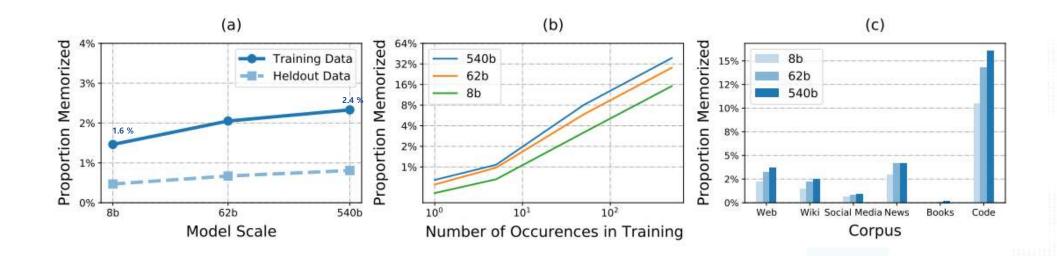
# **Evaluation – Model Analysis**





# Memorization





# **Dataset Contamination**

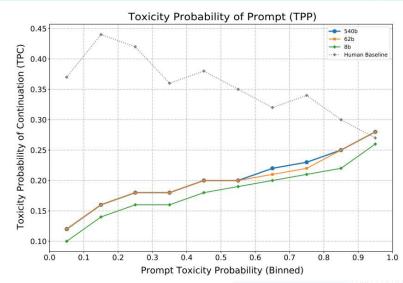


		PaLM	8B 1-Shot	PaLM 540B 1-Shot		
Dataset	Clean Proportion	Full Set Accuracy	Clean Subset Delta	Full Set Accuracy	Clean Subset Delta	
TriviaQA (Wiki)	80.1%	48.5	+0.5	81.4	+0.1	
WebQuestions	73.3%	12.6	+1.1	22.6	+0.3	
Lambada	70.7%	57.8	+0.6	81.8	+0.0	
Winograd	61.5%	82.4	-4.4	87.5	-1.8	
SQuADv2 (F1)	14.8%	50.1	-2.5	82.9	+1.1	
ARC-e	69.6%	71.3	-0.3	85.0	-0.4	
ARC-c	75.3%	42.3	+0.4	60.1	-1.1	
WSC	63.2%	81.4	-1.4	86.3	-3.5	
ReCoRD	56.6%	87.8	-2.0	92.8	-1.6	
CB	51.8%	41.1	-3.1	83.9	+5.8	

# **Challenges and Limitations**



- Gender and occupation bias
- Toxicity and bias
- Toxicity in open-ended generation
- Training data has the English language in the majority
- Ethical considerations



# **Conclusion**



- Efficient scaling Used Pathways to create a big ML system
- Continued improvements from scaling
- Breakthrough capabilities especially in reasoning tasks
- Discontinuous improvements
- Multilingual understanding
- Bias and toxicity

