# **Attention Mechanism Sunkyung Park**

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- Neural Machine Translation by Jointly Learning to Align and Translate
  - Existing researches
    - Encoder-decoders in NMT
      - Encoder reads and encodes a source sentence into a fixed-length vector
      - **Decoder** outputs a translation from the encoded vector.
  - Limitation of existing research
    - It requires a neural network to be able to **compress** all the information of a source sentence into a fixed-length vector.
    - It makes it **difficult** for NN to cope with **long sentences**.
  - Proposed model
    - Introduce an extension to the encoder-decoder model which learns to align and translate jointly to overcome the limitation.

• RNN Encoder-Decoder Framework

Encoder

• It reads the input sequence  $(X = (x_1, x_2, ..., x_{T_x}))$  into a vector c.

• Expression

• non-linear function (e.g. LSTM)

$$h_t = f\left(x_t, h_{t-1}\right)$$

- $h_t \subseteq R^n$
- Hidden state at time t

- non-linear function
- $q(\lbrace h_1, \dots, h_T \rbrace) = h_T$

$$c = q\left(\{h_1, \cdots, h_{T_x}\}\right)$$

• c: context vector generated from hidden states

#### RNN Encoder-Decoder Framework

- Decoder
  - It is trained to predict the next word  $y_{t'}$  given the context vector c and all the previously predicted words  $\{y_1, \dots, y_{t'-1}\}$ .
  - It defines a prob over the translation y by decomposing the joint prob into the ordered conditionals.

#### **Decomposing**

$$p(\mathbf{y}) = \prod_{t=1}^{T} p(y_t \mid \{y_1, \cdots, y_{t-1}\}, c)$$

$$p(y_1, c) p(y_2 \mid \{y_1\}, c) p(y_3 \mid \{y_1, y_2\}, c) \cdots p(y_t \mid \{y_1, y_2, \dots, y_{t-1}\}, c)$$

$$p(y_t \mid \{y_1, \cdots, y_{t-1}\}, c) = g(y_{t-1}, s_t, c)$$

- Each conditional prob modeled
- c: context vector
- g: non-linear, multi-layered, function that outputs the prob of  $y_t$
- $s_t$ : hidden state of the RNN.

- Proposed Model
  - Decoder

$$s_i = f(s_{i-1}, y_{i-1}, c_i)$$

An RNN hidden state for time i

$$p(y_i|y_1,\ldots,y_{i-1},\mathbf{x}) = g(y_{i-1},s_i,\frac{c_i}{c_i})$$

- Conditioned on a distinct context vector  $c_i$  for each target word  $y_i$
- $c_i$  depends on a sequence of  $(h_1, ..., h_{T_x})$  to which an encoder maps the input sentence.
- $h_i$ 
  - Contains information about the **whole** input sequence
  - Be with focus on the parts surrounding the  $i^{th}$  word of the input sequence.

# • Proposed Model

- Decoder
  - How to compute context vector  $c_i$ ?

$$c_i = \sum_{j=1}^{T_x} \alpha_{ij} h_j.$$

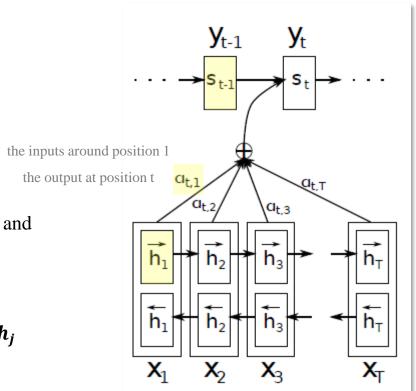
• Weighted sum of  $h_1$ , ...,  $h_{T_x}$ 

$$\alpha_{ij} = \frac{\exp\left(e_{ij}\right)}{\sum_{k=1}^{T_x} \exp\left(e_{ik}\right)},$$

$$e_{ij} = a(s_{i-1}, h_j)$$

Alignment model

- Scores how well the inputs around position j and the output at position i match.
- Score is based on the  $s_{i-1}$  (hidden state) and  $h_j$  of the input sequence.
- *a* : parametrized feedforward neural network



- Proposed Model
  - Decoder
    - How to compute context vector  $c_i$  (intuitively)?

$$c_i = \sum_{j=1}^{T_x} \alpha_{ij} h_j.$$
  $\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_x} \exp(e_{ik})},$   $e_{ij} = a(s_{i-1}, h_j)$ 

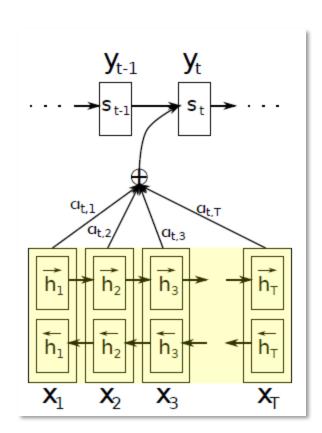
• Prob $(a_{ij})$ , Energy $(e_{ij})$ : importance of  $h_i$  w.r.t previous hidden state  $s_{i-1}$  in deciding the next state  $s_i$  and generating  $y_i$ 



#### **Attention Mechanism!**

- Relieve encoder from encoding all information in the source sentence into a fixed-length vector.
- Information can be spread out the sequence and it can be selectively retrieved by decoder.

- Proposed Model
  - Encoder
    - BiRNN(bidirectional RNN)
      - Summarize not only the preceding words but also the following words.
      - Expression
        - $\vec{f}$  ( forward RNN ): calculates a sequence of forward hidden states  $(\vec{h}_1, ..., \vec{h}_{T_x})$ .
        - $\overleftarrow{f}$  ( backward RNN ): result in a sequence of backward hidden states  $(\overleftarrow{h}_1, ..., \overleftarrow{h}_{T_\chi})$ .
      - For each word  $x_j$  by concatenating  $\vec{h}_j$  and  $\overleftarrow{h}_j$ 
        - $h_j = [\overrightarrow{h}_j^T; \overleftarrow{h}_j^T]$  focuses on the words **around**  $x_j$ .



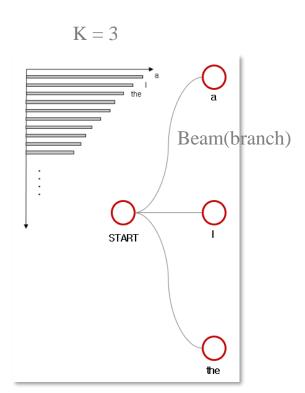
• Experimental Settings				
	Task	English to French translation		
	Dataset	(Train) Bilingual, parallel corpora by ACL Association for computational linguistics WMT Workshop on Statistical Machine Translation '14  • European Parliament( 61M words )  • News commentary( 5.5 M )  • UN ( 421M )  • two crawled corpora( 90M, 272.5M )  • 850M words >>> Select 348M  (Validation) news-test-2012 + news-test-2013  (Test) news-test-2014 from WMT '14 with 3003 sentences not present in the training data.		
	Preprocessing	<ul> <li>Use a shortlist of 30,000 most frequent words in each language(en, fr) to train models.</li> <li>Any word not included in the shortlist is mapped to [UNK].</li> </ul>		
	Baseline	RNN Encoder-Decoder		

# **Experimental Settings** Train 1 Train 2 Hyperparameters ( w/ sentences of length up to 30 words) (w/ sentences of length up to 50 words) (enc, dec) 1000 hidden units **RNN Encoder-Decoder** RNNencdec-30 RNNencdec-50 A single Maxout Goodfellow et al., 2013 hidden layer to compute the conditional prob of each target word. Mini-batch(80 sentences) Stochastic gradient descent(SGD) + Adadelta Train for approximately 5 days **Beam search** to find a translation that maximizes the RNNsearch(Proposed) RNNsearch-30 RNNsearch-50

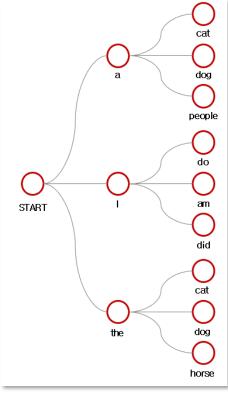
conditional prob.

#### • Beam Search

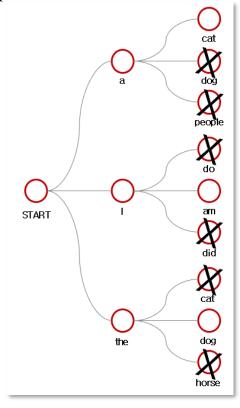
- (Background) What if only 1<sup>st</sup> survives where there is little difference between 1<sup>st</sup> and 2<sup>nd</sup>?
- Method of selecting the num of promised beams ( $\leq K$ ) at a given point in time.



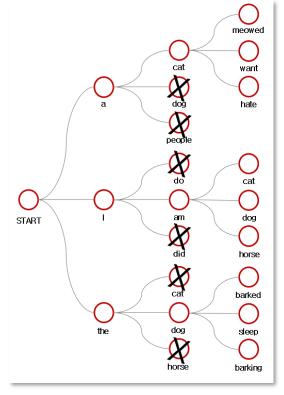
1. Select **K** highest prob among prob distribution of the predicted values.



2. Select **the K highest** of the next prediction value in **each of the K beams.** 



3. Select **top K** in the order of **cumulative prob** among  $K^2$  childe nodes.



4. **Set** the top K child nodes as **new beams** and **Create** the top K child nodes until the num of beams w/ <eos> becomes **K**.

# • Results : Quantitative Results

		> Scores on
Model	All	No UNK°
RNNencdec-30	13.93	24.19
RNNsearch-30	21.50	31.44
RNNencdec-50	17.82	26.71
RNNsearch-50	26.75	34.16
RNNsearch-50*	28.45	36.15
Moses	33.30	35.63
*		Ÿ

Trained much longer **until**the performance on
validation set stopped
improving

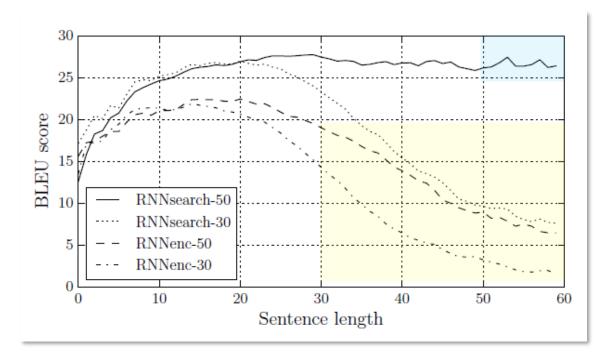
Scores on the sentences without any unknown word

all sentences

- BLEU Bilingual Evaluation Understudy Score on test set
- Proposed RNNsearch > RNNencdec
- ( ) Better performance w/ fewer resources!
  - RNNsearch > Moses
  - Moses uses monolingual corpus(418M) + parallel corpora in RNNsearch.

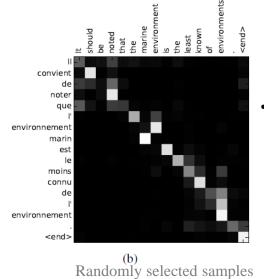
#### • Results : Quantitative Results

- Proposed RNNsearch > RNNencdec
  - RNNsearch does not require encoding a long sentence into a fixed-length vector perfectly.
  - RNNsearch encodes the parts of the input sequence surrounding a particular word.



- (•) Enc-dec **drops dramatically** as the length of sentences increases.
- RNNsearch-30, RNNsearch-50 are **more robust** on value of x-axis.
- ( $\bullet$ ) RNNsearch-50 no performance deterioration w/ length  $\geq$  50

# **Results : Qualitative Results** Words in source sentence (English) Generated Translation européenne (French) signé août 1992 Än arbitrary sentence Weight $a_{ij}$ of the $j^{th}$ source word for $i^{th}$ target word. équipement (0: black, 1: white) signifie Syrie peut plus produire Same as (b)



without any unknown words

without any unknown words

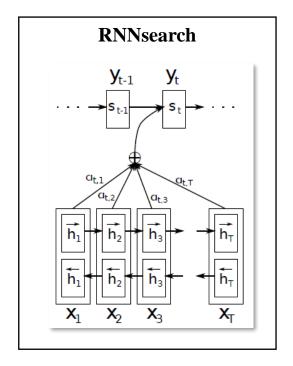
light with the family with the series of the s

(d) Same as (b)

- Soft-alignment ( $\neq$  hard-alignment(1:1))
  - (Fig) specific positions in the source sentence considered more important in generating the target word.
  - (Fig) [ the man ] : [ l'homme ]

    Following word → le, la, les, l'
- Understanding of alignment between English and French
  - Monotonic
    - (Fig) Strong weights along the diagonal of matrix.
  - Non-monotonic: Adjectives and nouns differently ordered
    - (Fig) RNNsearch correctly aligns [zone] with [Area]

# • Hypothesis Verification Structure



**Quantitative result** 

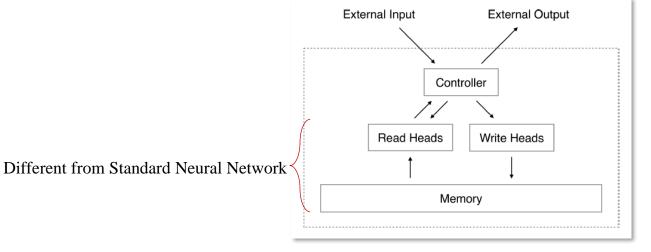
**Qualitative result** 

# Hypothesis

The proposed model, the RNNsearch enables far more reliable translation of long sentences than the RNNencdec.

#### • Augmented RNNs : Neural Turing Machines

- What is Turing Machine?
  - Virtual Machine, the foundation of modern computer architecture.
  - Functions of numerical operation, memory reading, and memory writing.
  - Modern computer architecture consists two parts:
    - **CPU** that performs the operation
    - **Memory** that stores the values
- Neural Turing Machine
  - Standard Neural networks have no memory.
  - Architecture
    - Neural Network
    - Memory Matrix
      - External Memory( explicit memory structure ) outside of neural networks.

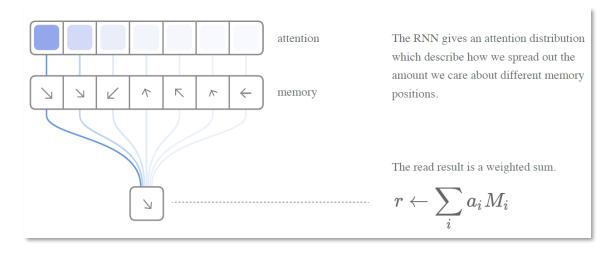


**Architecture of Neural Turing Machine** 

#### • Neural Turing Machines

- How Does Reading and Writing Work?
  - Attention Distribution
    - How we spread out the amount we care about **different memory positions.**
    - The result of the read operation is a **weighted sum**.

#### Reading



#### At time step t...

•  $a_i$ : Normalized weight vector indicating the importance of each location.

(Attention)

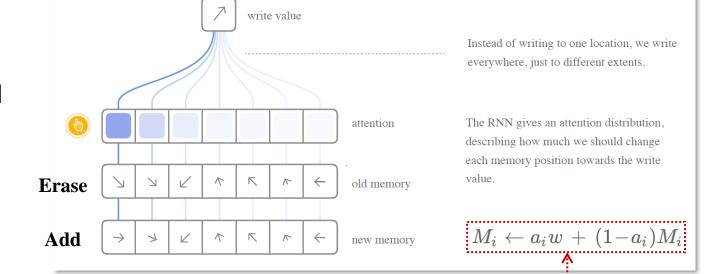
- $M_i$ : The value of **memory matrix** corresponding to each location.
- r: The actual value we read from memory ( **read vector** ).

#### • Neural Turing Machines

- How Does Reading and Writing Work?
  - Writing
    - Erase Operation

• 
$$\widetilde{M}_t(i) \leftarrow M_{t-1}(i)[1-w_t(i)e_t]$$

- $e_t$ : Erase vector
- $w_t$ : How much to erase



Add Operation

• 
$$M_t(i) \leftarrow \widetilde{M}_t(i) + w_t(i)a_t$$

•  $a_t$ : Add vector

•  $w_t$ : How much to add

**Simplified** 

#### • Neural Turing Machines

 How do NTMs decide which positions in memory to focus their attention on?

(= How to compute weight vector  $w_t$ ?)

- A combination of two different methods
  - Content-based attention
    - Search through their memory
    - Focus on places that match they're looking for.
  - Location-based attention
    - Relative movement in memory, enabling the NTM to loop.
    - Weight obtained using content similarity is now modified based on location.

First, the controller gives a query vector and each memory entry is scored for similarity with the query.

The scores are then converted into a distribution using softmax

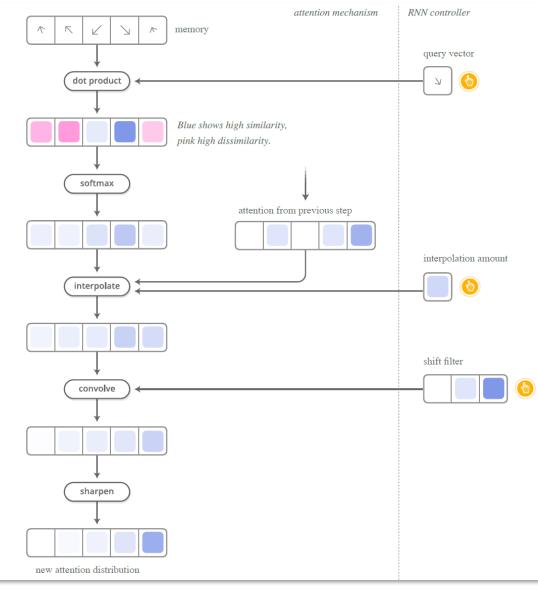
#### **Content-based**

Next, we interpolate the attention from the previous time step.

#### **Location-based**

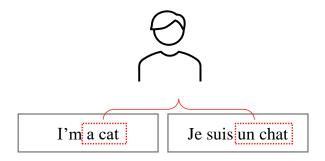
We convolve the attention with a shift filter—this allows the controller to move its focus.

Finally, we sharpen the attention distribution. This final attention distribution is fed to the read or write operation.



• Augmented RNNs : Attentional Interfaces

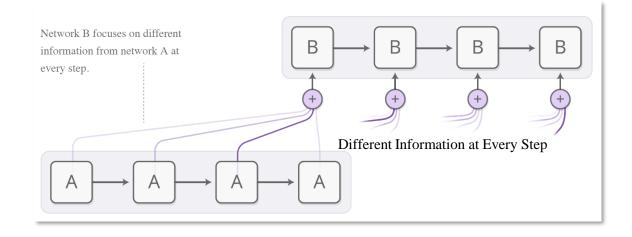
# Human



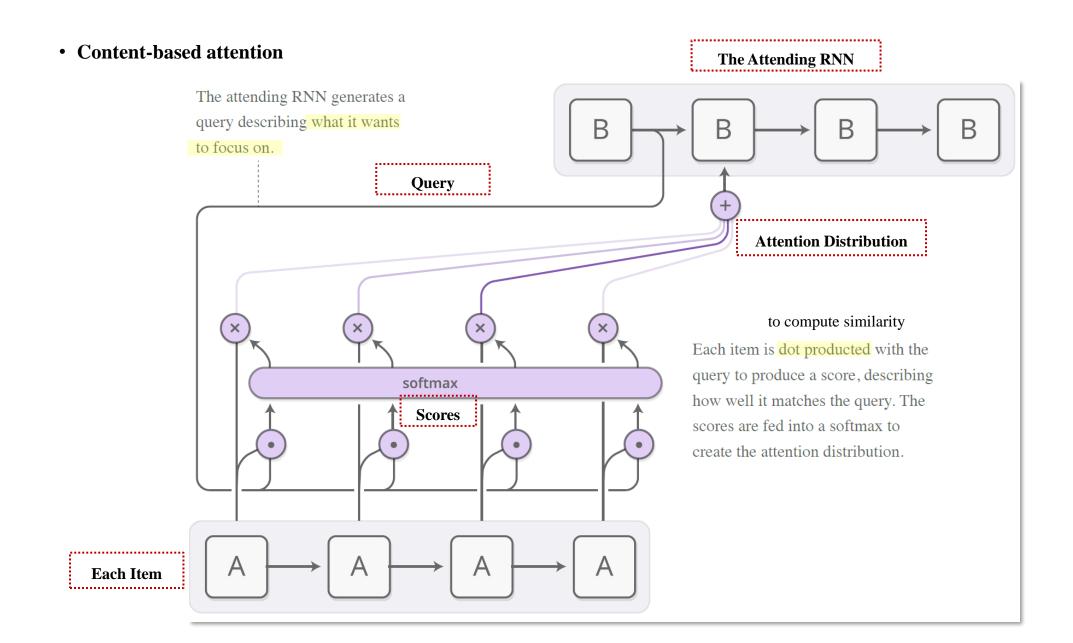
Pay attention to the word we are presently translating

# **Attention in Neural Net**

- Make attention **differentiable** → **Learn** where to focus
- Focus everywhere, just to different extents.
- Attention distribution is generated with content-based attention.

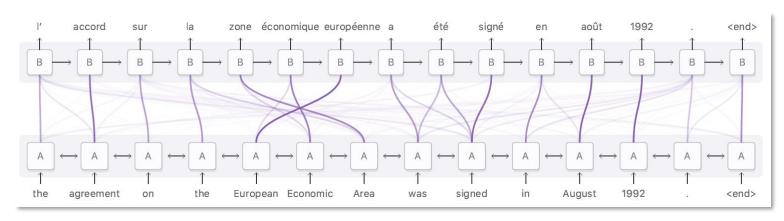


#### • Attentional Interfaces



• Use of Attentional Interfaces: Translation

- Seq-to-Seq Models
  - Boil **the entire input** down into a single vector
  - Expand it back out
    - → As for long sentences, all necessary information **cannot be included** in the vector.
- **Attention** ( same as 5 page )
  - Avoids seq2seq's unreasonable mechanism



**Decoder** focuses on words relevant

**Encoder** passes along information about each word

• Use of Attentional Interfaces : Image Captioning

• Attention can be used on the interface between a CNN and an RNN.

- CNN processes the image, **extracting** high-level features
- RNN focuses on the CNN's interpretation of relevant parts and generalizes a description of the image.



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A <u>stop</u> sign is on a road with a mountain in the background.

CNN captures noticeable features (frisbee in red etc.)

RNN focuses on that interpretation

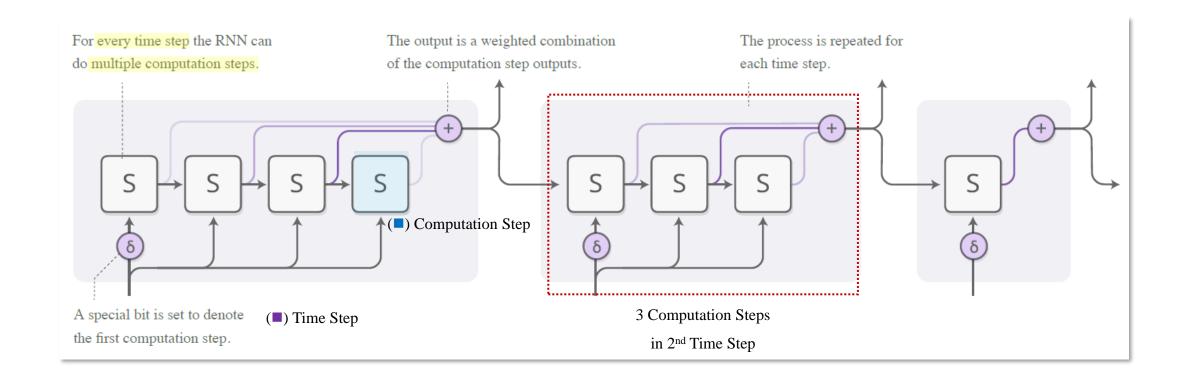
Augmented RNNs : Adaptive Computation Time			
	Standard RNNs		
	• Do the same amount of computation for each time step		
	• $O(n)$ operations for $n$ length list.		
	Adantive Computation Time:		

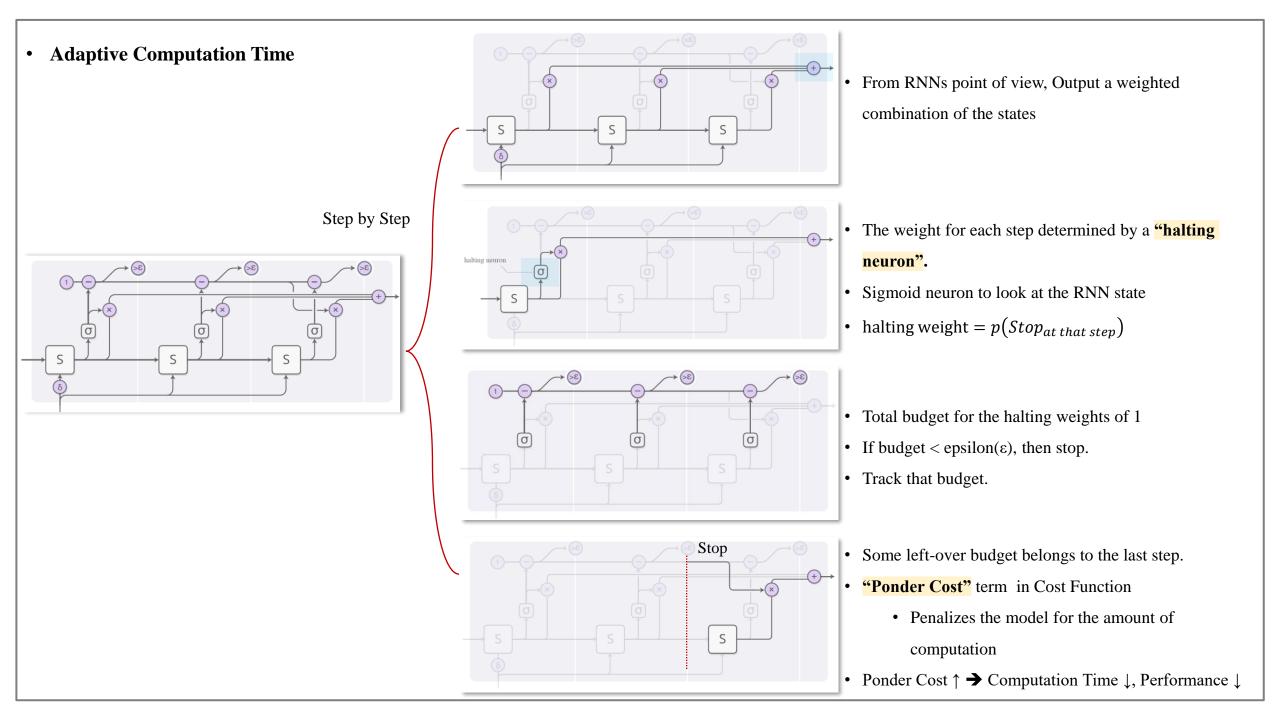
- Adaptive Computation Time.
  - (Goal) A way for RNNs to do different amounts of computation each step
  - (How) Allow the RNN to do multiple steps of computation for each time step

Num of Steps to be **Differentiable Attention Distribution** over Num of Steps **Learn** How many steps to do

# • Adaptive Computation Time

• Different amounts of computation each step





• Augmented RNNs : Neural Programmer

#### Neural Net

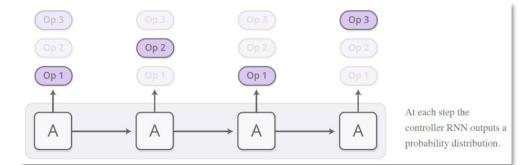
- Struggle to do some basic things like 'arithmetic'.
- Neural net + normal programming **→** Get the best of both worlds

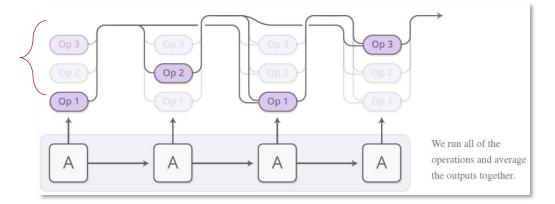
#### • Neural Programmer:

- Learns to create programs in order to solve a task.
- No need for examples of correct programs.
- Discover how to produce programs to accomplish some task.
- Like Unix-Pipe → Why?
  - A mechanism for inter-process communication using message passing.

#### • Neural Programmer







- The generated program is a sequence of operations.
- Operation:
  - $Output_{op\ 2\ steps\ ago} + Output_{op\ 1\ step\ ago} \rightarrow Unix-pipe$
- The program is generated one op at a time by a controller RNN.
- Prob distribution for what the next operation should be.

- Run **all of ops** and **Average** the outputs together.
- Outputs **weighted by prob** of running that op.
- The Program's output is **differentiable** w.r.t. the prob.
- Define a Loss.
- **Train** a neural net to produce programs to give the correct answer.

• Neural Programmer

### • A Few Additional Things

- Multiple Types
  - Some operations outputs selections of table columns, selection of cells.
  - Note that only outputs of **the same type** get merged.

### • Referencing Inputs

- Given a table of cities with a population column, Answer...
  - "How many cities have a population greater than 1,000,000?"
- Some op allow the network to reference constants or the names of columns by Attention

# References

- Lecture Material
  - Neural Machine Translation by Jointly Learning to Align and Translate
  - Blog Post Overview ( Augmented RNNs )
- Neural Turing Machines
  - http://solarisailab.com/archives/2162
- Beam Search
  - https://blog.naver.com/sooftware/221809101199