

# Multi-Task Learning for Recognizing Customer Characteristics

DongHwan Lee

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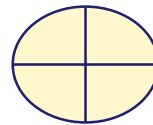
# Research Objective

# Customer Characteristics Analysis

- My final goal is to build an integrated system for analyzing customer characteristics.



People Counting



Age/Gender/Expression ... Recognition

# System Utilization Examples

- Sales can be improved by providing a shopping experience that can increase satisfaction.
- Store can be managed efficiently.



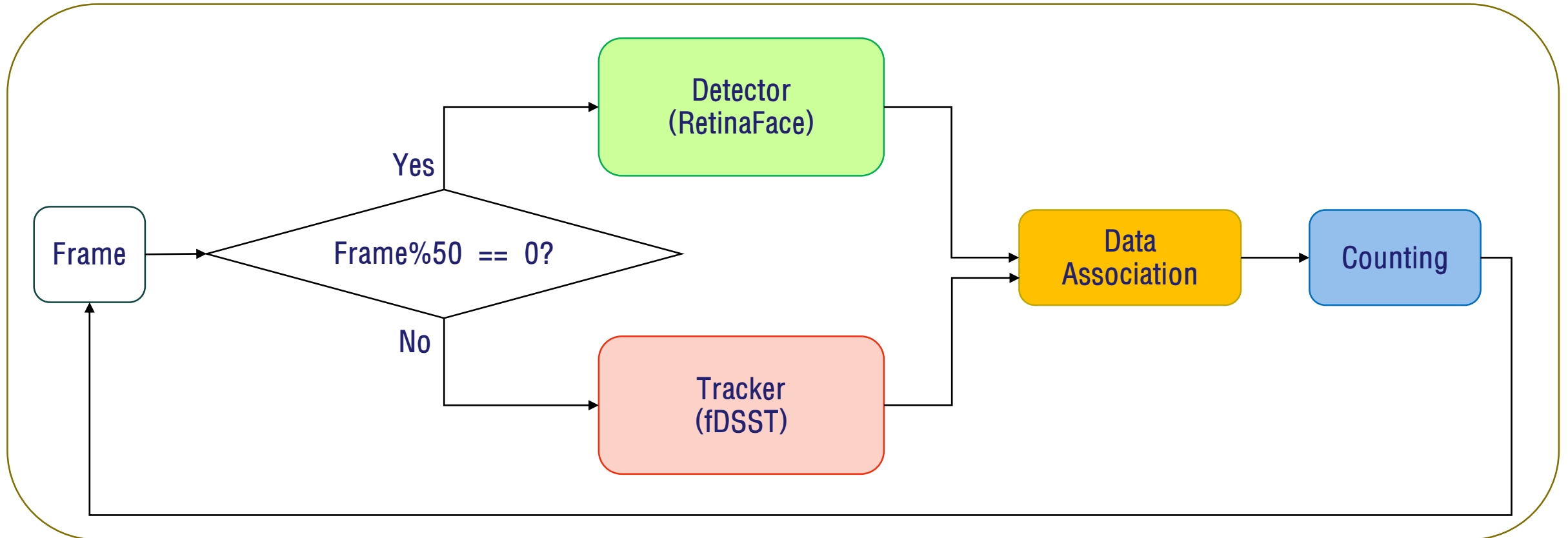
Section B (visited 3) & C (visited 15)  
→ Move popular products from section B to section C

20, Female, Section A,  
→ Discount coupons for the most purchased products  
by women in their 20s

**25%  
OFF**

# People Counting System Overview

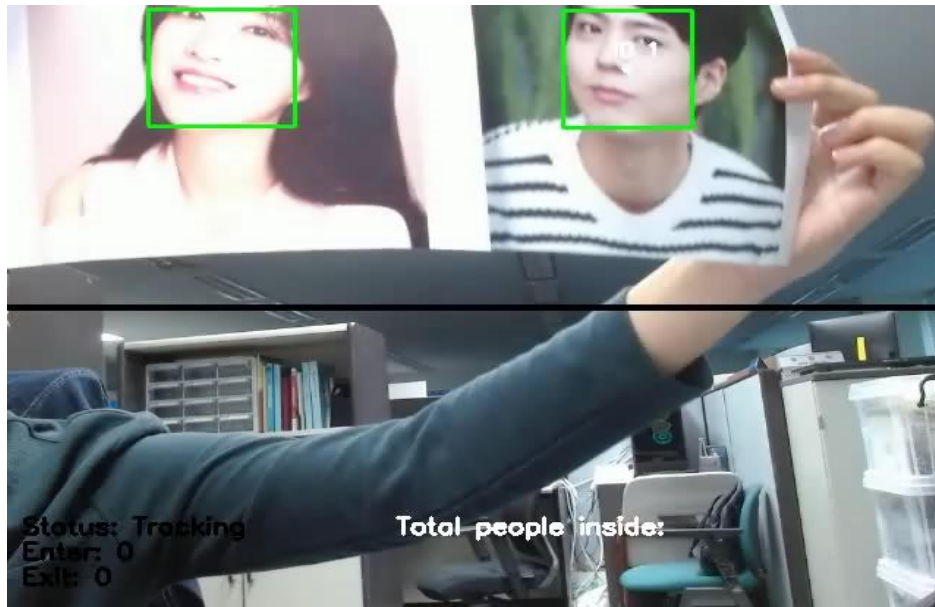
- Detector is used to find specific objects in an image.
- Tracker is used for object tracking in a series of images.



# Demonstration

- It needs to be modified. (Not yet published)

Enter ↓



Exit ↑



# Method – Facial Expression Recognition

D. -H. Lee and J. -H. Yoo, "CNN Learning Strategy for Recognizing Facial Expressions,"  
*in IEEE Access*, vol. 11, pp. 70865-70872, Jul. 2023, doi: 10.1109/ACCESS.2023.3294099.



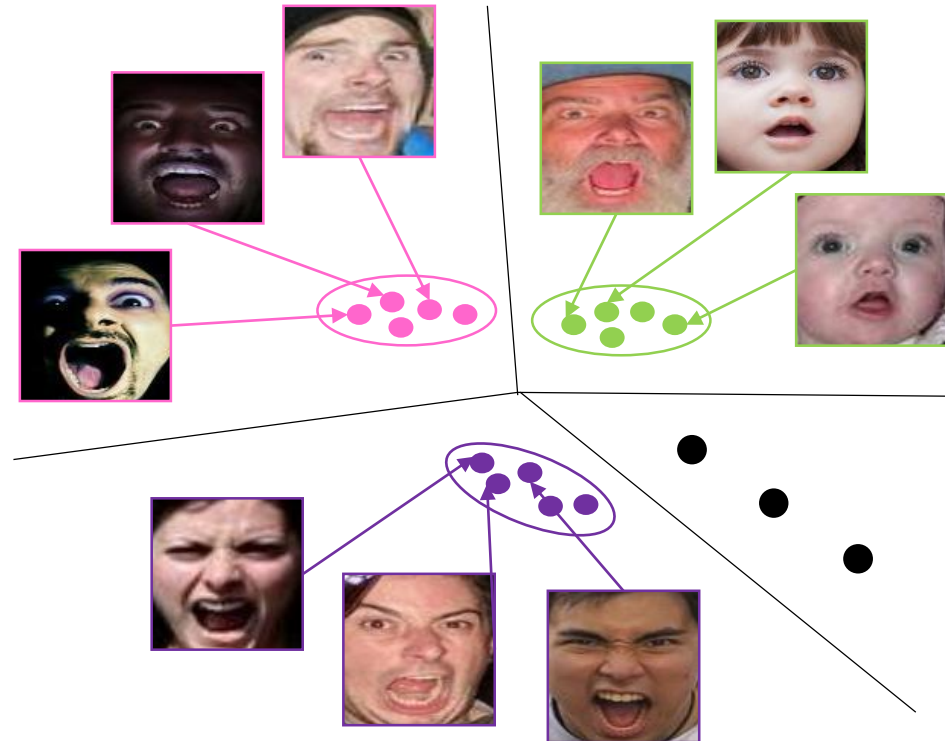
- Solving the performance degradation problem caused by an increase in the number of similar facial expressions to classify at a time.

[Causes of reduced performance]

⊙ Intra-class difference



⊙ Inter-class similarity





- ⊙ Face Detection (Normalization)
  - ✓ Use RetinaFace to remove unnecessary areas
  - ✓ Resolution:  $130 \times 160$
- ⊙ Data Augmentation
  - ✓ Horizontal Flip, Randomly Rotation (  $-10^\circ \sim +10^\circ$  )

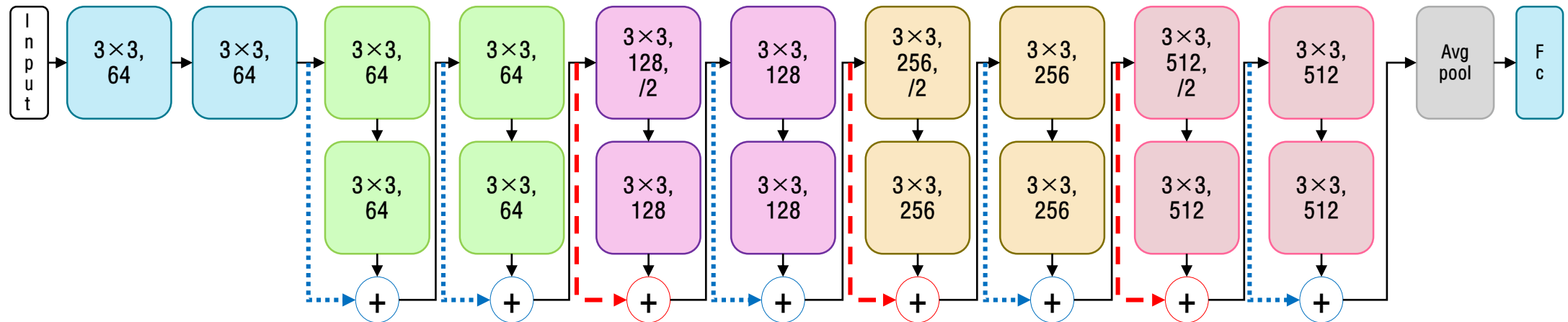
# CNN Model Optimization

Face Detection and  
Data Augmentation

CNN Model  
Optimization

CNN Learning Strategy based  
on Divide and Conquer

⊙ Based on the pre-trained ResNet-18

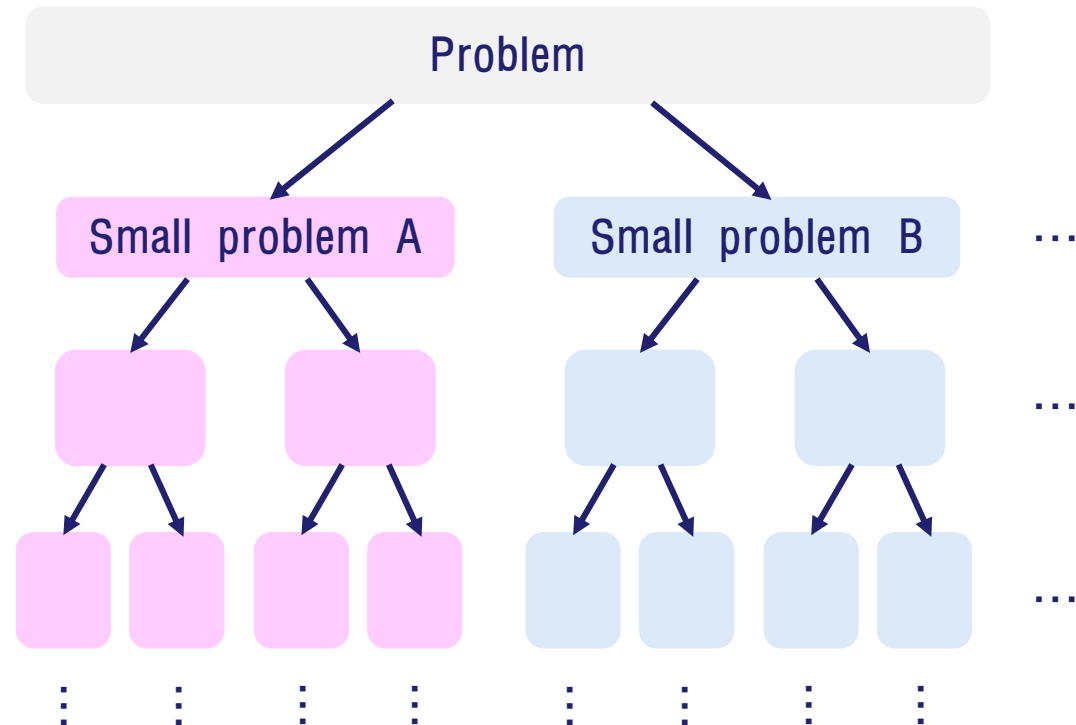


Proposed Model

# Learning Strategy (1/3)



⦿ Divide and conquer is a computer algorithm for solving one problem by dividing it into several smaller problems.



# Learning Strategy (2/3)

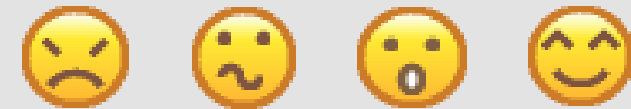
Face Detection and  
Data Augmentation

CNN Model  
Optimization

CNN Learning Strategy based  
on Divide and Conquer

- Utilize the confusion matrix obtained from all facial expression learning results.

Problem (Example: 4class FER)



	Angry	Fear	Surprise	Happy
Angry				
Fear				
Surprise				
Happy				

Q. How to find the most similar expressions?

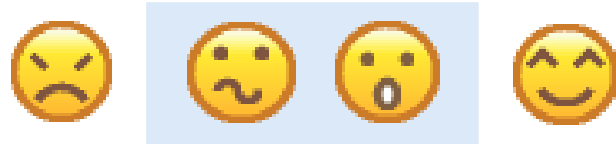
# Learning Strategy (3/3)

Face Detection and  
Data Augmentation

CNN Model  
Optimization

CNN Learning Strategy based  
on Divide and Conquer

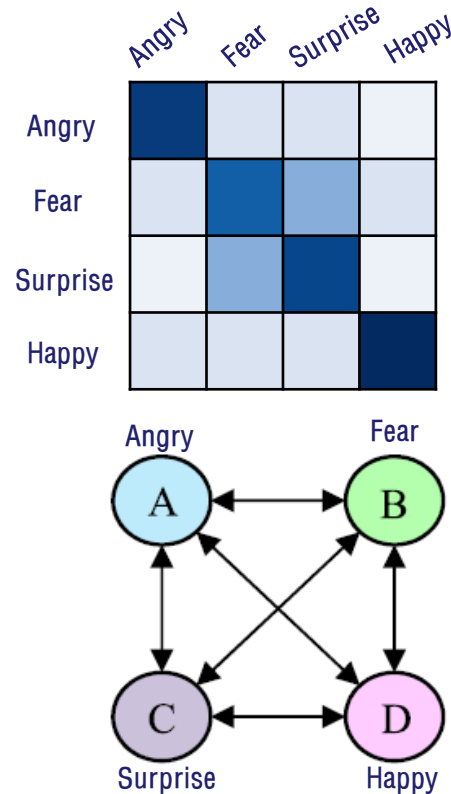
- ① Convert confusion matrix to weighted directed graph
- ② Applying the shortest path search algorithm and grouping expressions
- ③ CNN model learning by group.



⊙ Group1: 3Class



⊙ Group2: 2Class



## Algorithm 1 Divide and Conquer based CNN Learning Strategy

**Input:** a facial expression dataset  $E$

$E \leftarrow [C_1, C_2, \dots, C_n]$ ,  $n$  = the label of facial expression

### Phase 1 - Grouping similar facial expressions

Train dataset  $E$  using the optimized CNN for creating the confusion matrix  
Analyze the confusion matrix for grouping similar facial expressions

$S \leftarrow [S_1, S_2, \dots, S_m]$ ,  $m$  = the label of similar facial expression group

### Phase 2 - Classifying similar facial expression groups

Train generated set  $S$  using the optimized CNN

$S_m \leftarrow [R_1, F_1, R_2, F_2, \dots, R_m, F_m]$

$F_m$  = the number of final facial expressions in  $S_m$

**for all** attribute  $R_m, F_m \in S_m$  **do**

**while**  $F_m > 1$  **do**

**if**  $F_m > 2$  **then**

**if**  $F_m$  is even **then**

        Generate new facial expression group  $S'_m$

$S'_m \leftarrow [R_1, F_m/2, R_2, F_m/2]$

$R_m, F_m \leftarrow S'_m$

$F_m \leftarrow F_m/2$

**else**

        Train final facial expression in  $R_m, F_m$  using the optimized CNN

$F_m \leftarrow F_m/2$

**else**

        Train final facial expression in  $R_m, F_m$  using the optimized CNN

$F_m \leftarrow F_m/2$

**end**

**end**

# Experiment & Result

- GPU: NVIDIA RTX A6000
- Hyperparameter : Epoch – 10,000, learning rate – 0.001, optimizer – Adam, loss function – L1

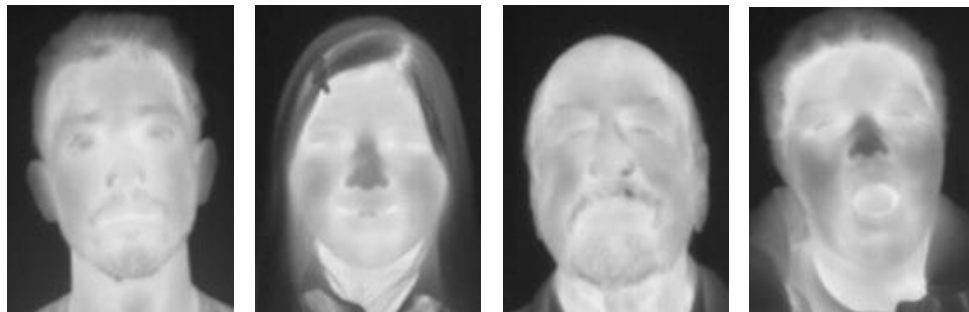
## ⊙ Grouping Expressions $k = \left\lceil \frac{N}{2} \right\rceil$

- N: Total number of labels in the dataset
  - Ex) N = 4, k = 2
  - Ex) N = 7, k = 4, k = 2
  - Ex) N = 8, K = 4, k = 2



- Small scale, collected from the laboratory.

Dataset	Samples	Subject	Resolution	Expressions
Tufts	565	113	$336 \times 256$	4
RWTH	1,782	90	$1024 \times 768$	8



Neutral

Smile

Sleepy

Shock



Afraid

Angry

Contempt

Disgust

Happy

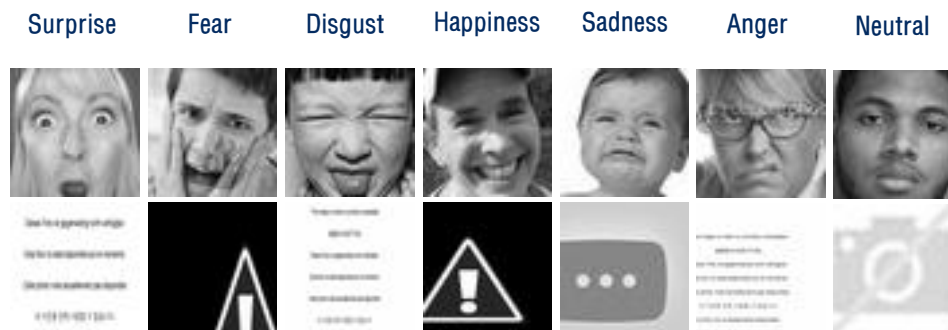
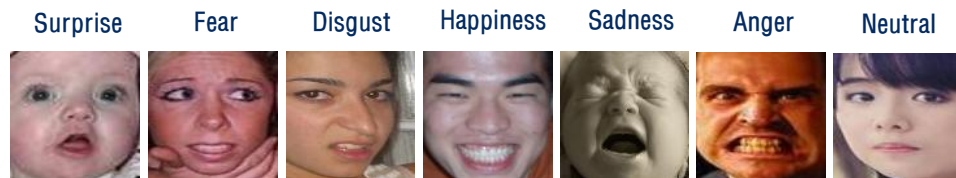
Neutral

Sad

Surprise

- Large scale, collected from the web.

Dataset	Samples	Subject	Resolution	Expressions
RAF	15,339	-	$100 \times 100$	7
FER2013	35,887	-	$48 \times 48$	7



Datasets	Expressions	Methods	Group	Accuracy(%)
Tufts	4	Kamath <i>et al.</i>	-	96.20
		Optimized model	-	96.07
		Proposed method	ⒶⒷ, Ⓒ, Ⓓ	<b>97.75</b>
RWTH	8	Kopaczka <i>et al.</i>	-	46.70
		Optimized model	-	54.72
		Proposed method	ⒶⒺⒻⒼ, Ⓗ, Ⓘ, ⓵, Ⓚ	<b>62.50</b>
RAF	7	Zhang <i>et al.</i>	-	90.35
		Optimized model	-	85.10
		Proposed method	ⒶⒼⒽ⓵, Ⓔ, Ⓘ, Ⓚ	<b>90.81</b>
FER2013	7	Pham <i>et al.</i>	-	76.82
		Optimized model	-	69.04
		Proposed method	ⒶⒺⒼⓀ, Ⓗ, Ⓘ, ⓵	<b>77.83</b>

Ⓐ: Neutral, Ⓑ: Smile, Ⓒ: Sleepy, Ⓓ: Shock, Ⓔ: Fear, Ⓕ: Contempt, Ⓖ: Sadness,  
 Ⓗ: Disgust, Ⓘ: Surprise, ⓵: Happiness, Ⓚ: Anger

# Conclusion

## [Summary]

- My goal is to create an all-in-one system for analyzing customer characteristics.
- This semester, I plan to implement each module.
  1. People Counting Module
  2. Age/Gender/Expression ... Recognition Module

## [Future Works]

- Extend from facial expression to age, gender.
- Combine two modules.



Q & A

Thank you