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# **[23-2] UST Seminar**

## **Detecting OOD with Fine-tuned CLIP's Class-Specific Threshold Adjustments**

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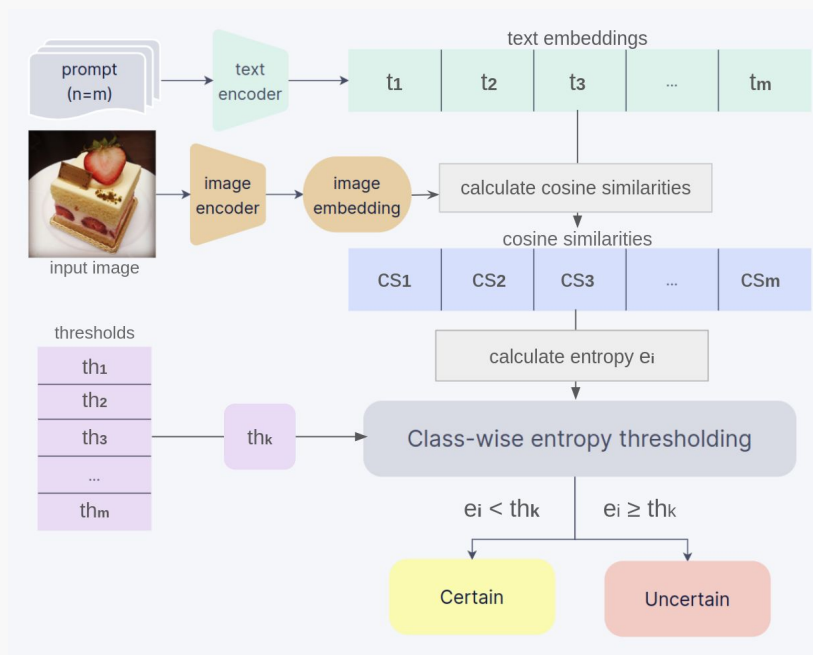
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# Recap of previous study

# Introduction

Estimating uncertainty in zero-shot image classification using the vision-language model, **CLIP**.

## Proposed Architecture:



# Results

[ Misclassification detection results ]

- Class-wise entropy thresholding method shows higher performance as a result of synthesizing the entire set of results.

|   |  | Dataset |         |
|---|--|---------|---------|
|   |  | CIFAR10 | Food101 |
| 1 | Class-wise Thresholds                          | 0.779   | 0.845   |
| 2 | Mean of class-wise thresholds single threshold | 0.456   | 0.367   |
| 3 | Grid search single threshold                   | 0.529   | 0.576   |

Uncertainty detection performance

# Results



[ Misclassification detection results ]

- Out-of-Distribution (OOD): The model represents an untrained data area and is used to assess predictive uncertainty for a given model.
- The results of OOD also confirmed that class-wise entropy thresholds showed the highest performance

|   |  | Dataset |         |
|---|--|---------|---------|
|   |  | CIFAR10 | Food101 |
| 1 | Class-wise Thresholds                          | 0.933   | 0.894   |
| 2 | Mean of class-wise thresholds single threshold | 0.689   | 0.475   |
| 3 | Grid search single threshold                   | 0.779   | 0.751   |

Uncertainty detection performance In OOD dataset

# Results



image class: cat  
prediction: cat  
entropy: 0.1193235  
threshold: 0.8461579



image class: bird  
prediction: ship  
entropy: 0.8560749  
threshold: 0.2974607

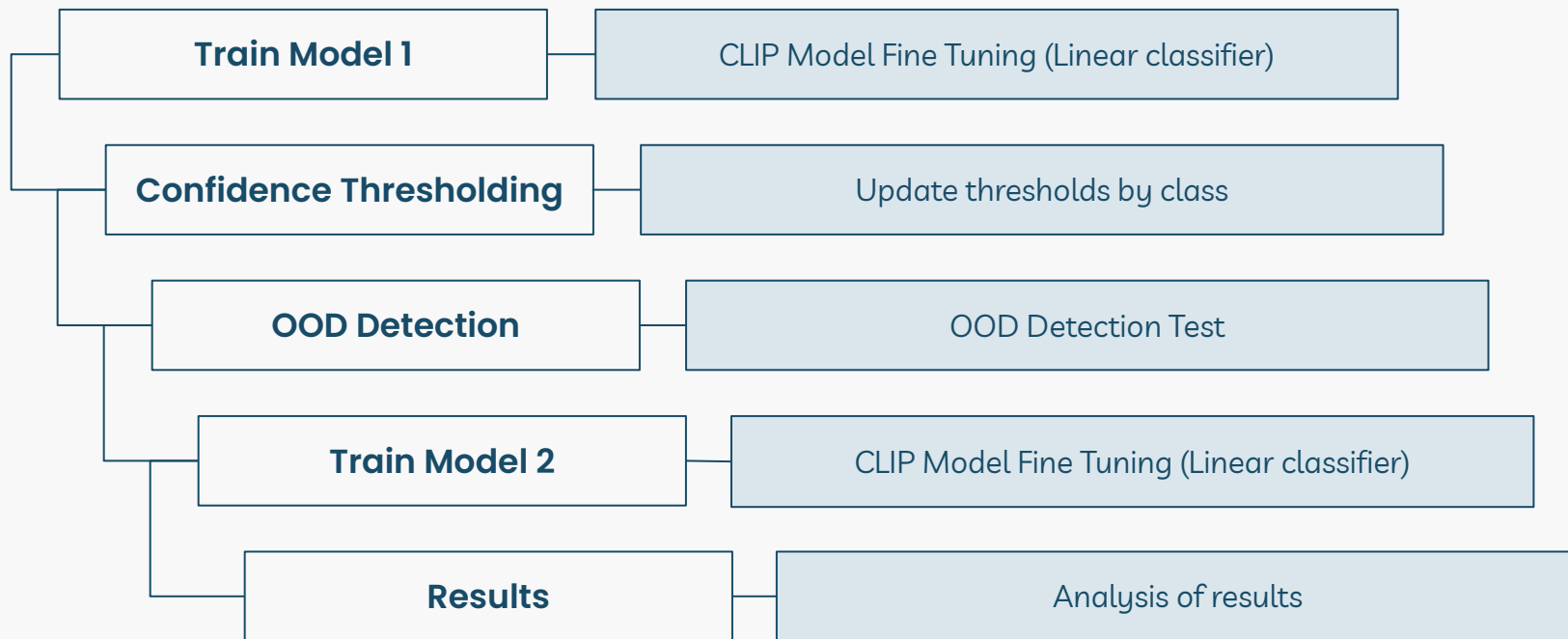
Uncertainty detection image sample

# Introduction



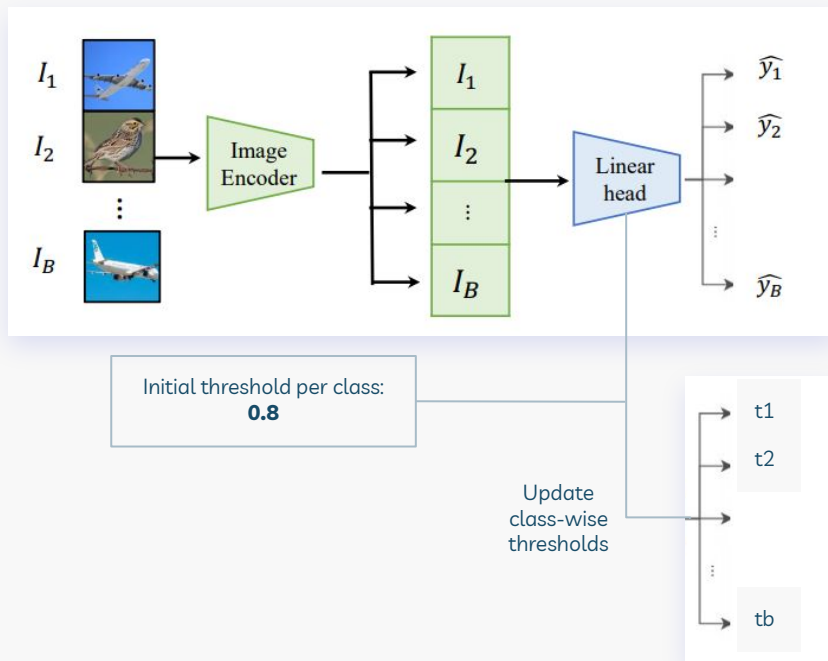
# Introduction

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# Overview

[ Fine-tuning Open AI's CLIP ]



## Freeze CLIP's Image Encoder:

- Freeze clip image encoder trained with large amounts of data as encoder

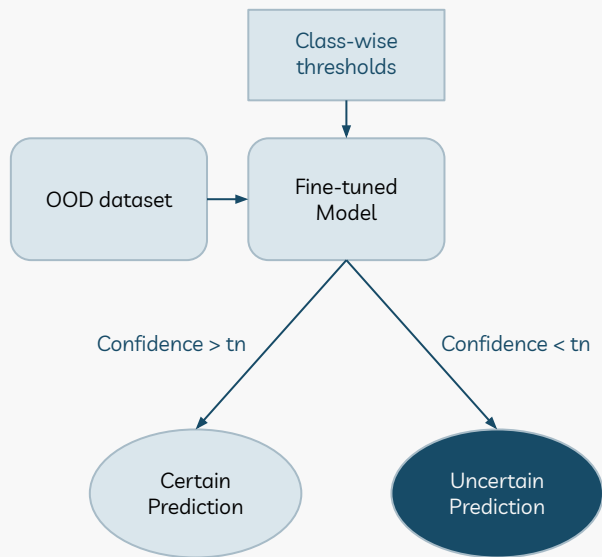
## Train Linear head:

- Train the model using the linear classifier as the head (nn.linear)

## Class-wise confidence thresholding:

- Update class-wise thresholds with validation data at the end of each epoch
- Set the initial threshold for each class to 0.8

## [ OOD detection Using Class-wise Confidence Thresholding ]



### Classify OOD dataset:

- Classify the OOD dataset as input into the fine-tuned clip model

### Applying class-wise thresholding:

- Apply class-wise thresholding and classify them as uncertain results if they are lower than the classified class's threshold

### Evaluation of OOD detection performance:

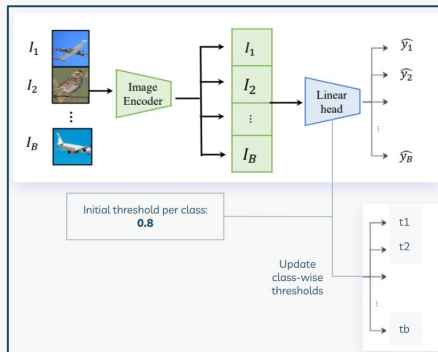
- Evaluate how much OOD data is detected by results deemed uncertain based on class-wise thresholds

# Overview

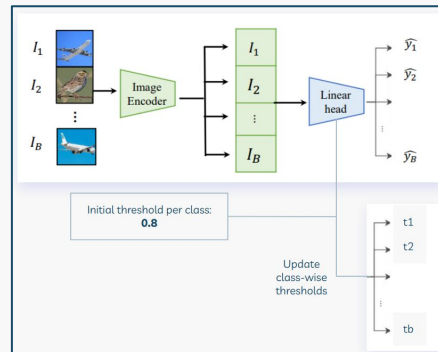
[ Applying Ensemble Method ]

## Voting:

- Vote by gathering predictions from different models, and determine the final prediction with the most voted class or value
- In this study, **adopting the result with a higher confidence value** among the two classified results as the final result



Train **Model 1** with Dataset 1



Train **Model 2** with Dataset 2



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# Experiment & Result

# Fine-tune CLIP model



[ Dataset Used ]

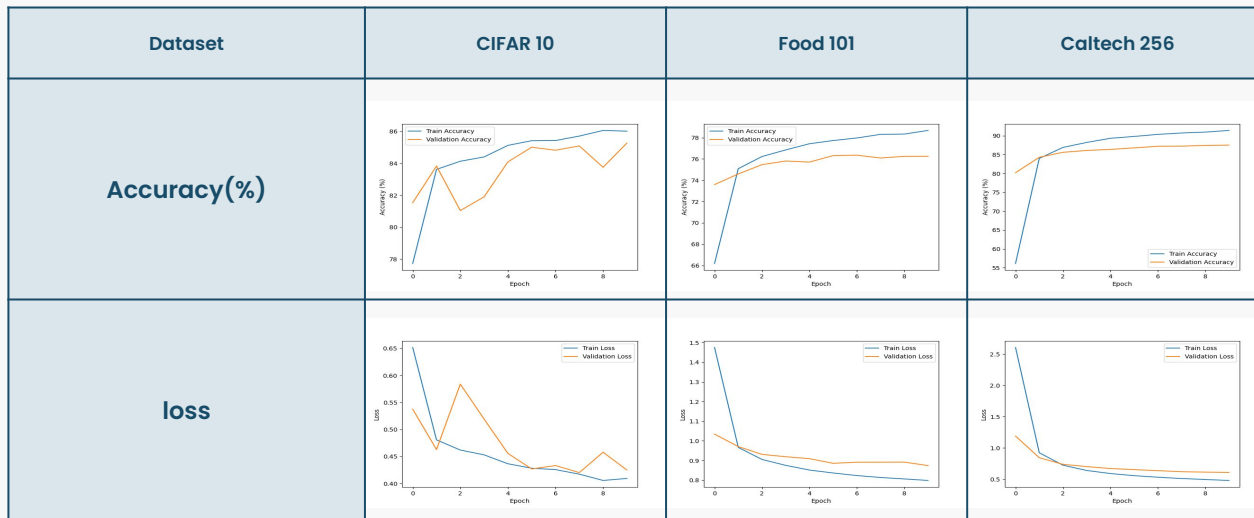
| Dataset      | CIFAR-10 |        | Food 101 |        | Caltech 256 |       |
|--------------|----------|--------|----------|--------|-------------|-------|
| Data split   | Train    | test   | Train    | test   | Train       | test  |
| # of classes | 10       |        | 101      |        | 256         |       |
| # of images  | 50,000   | 10,000 | 80,800   | 20,200 | 25,000      | 5,000 |

[ Hyperparameters ]

| Epoch | Batch size | Optimizer | Learning rate | Momentum |
|-------|------------|-----------|---------------|----------|
| 10    | 16         | SGD       | 0.001         | 0.99     |

# Train result

[ Fine-tuning accuracy & loss ]



# Train result



[ Comparing the accuracy of **CLIP base model** and **Fine-tuned model** ]

|             | Train dataset |              |              | Test dataset |              |              |
|-------------|---------------|--------------|--------------|--------------|--------------|--------------|
| Dataset     | CIFAR 10      | Food 101     | Caltech 256  | CIFAR 10     | Food 101     | Caltech 256  |
| CLIP (base) | <b>92.21</b>  | 68.75        | 61.55        | <b>93.10</b> | 66.72        | 62.08        |
| Fine-tuned  | 86.02         | <b>91.41</b> | <b>78.68</b> | 84.74        | <b>88.34</b> | <b>73.09</b> |

## Consideration of performance reduction in CIFAR 10 dataset:

- CLFAR-10 dataset that the clip model classifies well in most cases did not see an increase in performance.
- Need to experiment with more diverse datasets and hyperparameter tuning



# OOD detection



[ Applying Class-wise confidence Thresholds ]

- Verify that the 88~94% of OOD dataset is detected by class-wise confidence thresholding

| In-dist (model) | OOD         | # of OOD images | # of Uncertain predictions | Detection rate(%) |
|-----------------|-------------|-----------------|----------------------------|-------------------|
| CIFAR 10        | Food 101    | 20,200          | 19,132                     | 94.71             |
|                 | Caltech 256 | 5,000           | 4,486                      | 89.72             |
| Food 101        | CIFAR 10    | 10,000          | 9,205                      | 92.05             |
|                 | Caltech 256 | 5,000           | 4,113                      | 82.26             |
| Caltech256      | CIFAR 10    | 10,000          | 7,899                      | 78.99             |
|                 | Food 101    | 20,200          | 18,722                     | 92.68             |

# OOD detection Using Ensemble method



[ With Ensemble Method ]

- Two models are used for classification, and the other dataset that is not used for model training is OOD
- Similar or significantly increased performance compared to using only one model

| In-dist (model) | OOD         | # of OOD images | # of Uncertain predictions | Detection rate(%) |
|-----------------|-------------|-----------------|----------------------------|-------------------|
| Food 101        | CIFAR 10    | 1,000           | 8,838                      | 88.38             |
| Caltech 256     |             |                 |                            |                   |
| CIFAR 10        | Food 101    | 20,200          | 19,006                     | 94.09             |
| Caltech 256     |             |                 |                            |                   |
| CIFAR 10        | Caltech 256 | 5,000           | 4,514                      | 90.28             |
| Food 101        |             |                 |                            |                   |

# What's next

# What's next (ing~)

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## **Train a new model by classifying classified uncertain samples:**

- Sampling data detected as uncertain and using it as new model learning data
- Apply data clustering approach (DBSCAN)

## **Evaluate the model using performance indicators for OOD detection:**

- Previous studies have measured performance with indicators such as AUROC, TNR of TPR 95%
- It is also important to detect OOD data, but objective indicators are needed

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# Thank you