
[23-2] UST Seminar

Exploring Uncertainty-aware Class-wise Thresholds for Recognition Model's Uncertainty Detection

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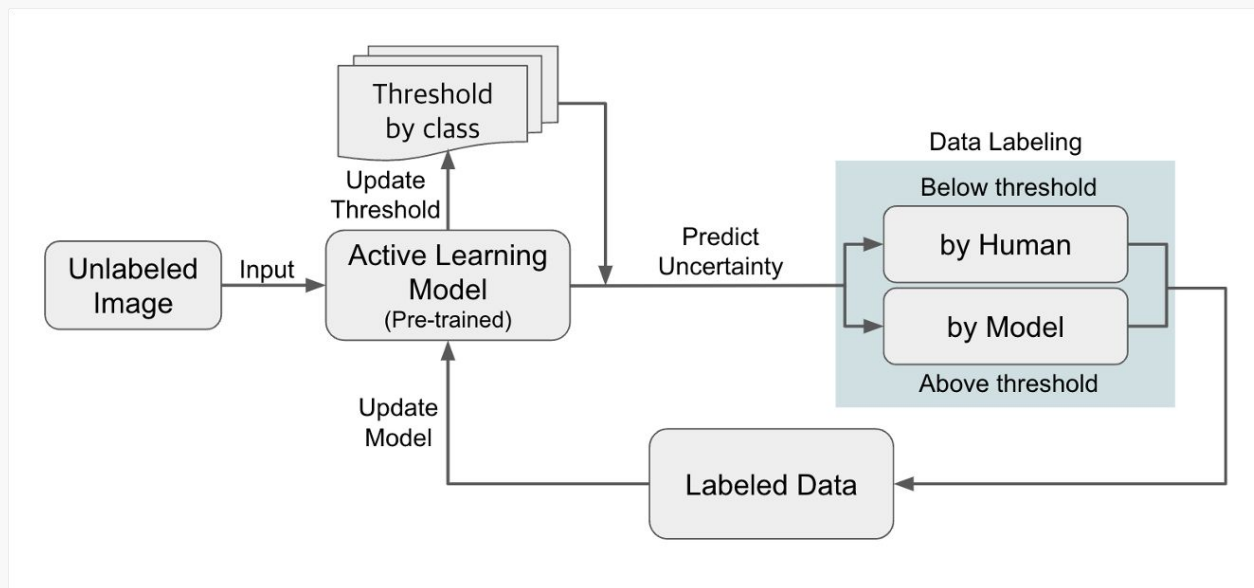
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Recap of previous study

Recap of previous study

Setting different thresholds per class for uncertainty measurements

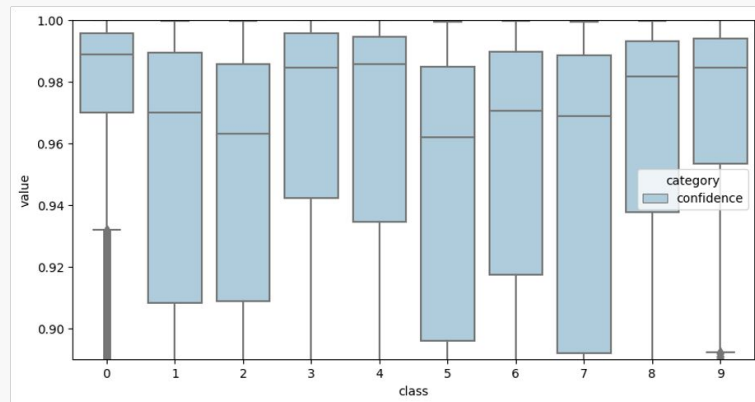
Proposed Architecture:



Recap of previous study

Results:

- Verify that different classes have different confidence distributions
- Different thresholds show improved classification performance



Comparison of confidence distributions by class

threshold	recall(avg)	precision(avg)
0.90	0.175	1
0.80	0.550	0.969
0.70	0.675	0.888
Q1	0.875	0.571
mean	0.700	0.671
median	0.700	0.675

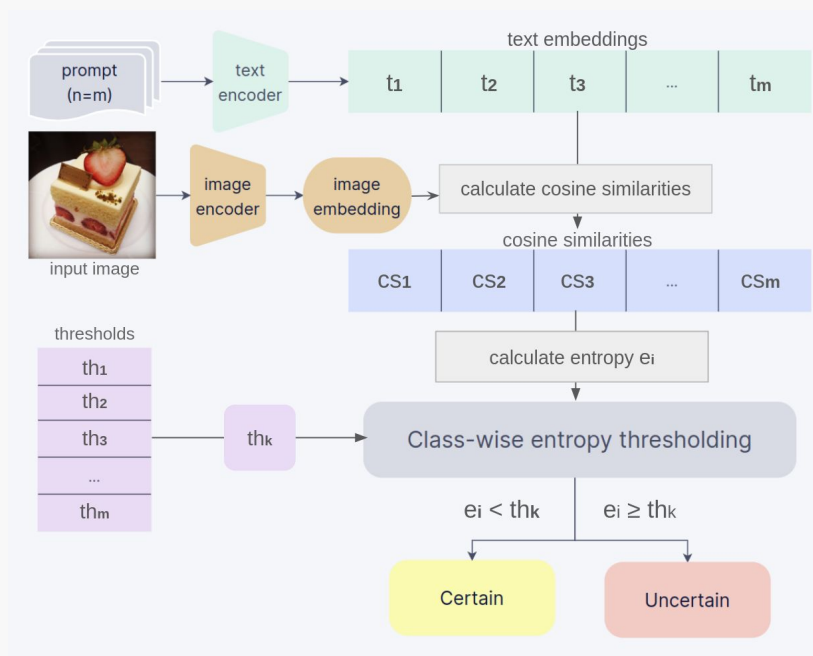
Misclassification Classification Test Results

Introduction

Introduction

Estimating uncertainty in zero-shot image classification using the vision-language model, **CLIP**.

Proposed Architecture:



Introduction



[Open AI's CLIP]

Versatility:

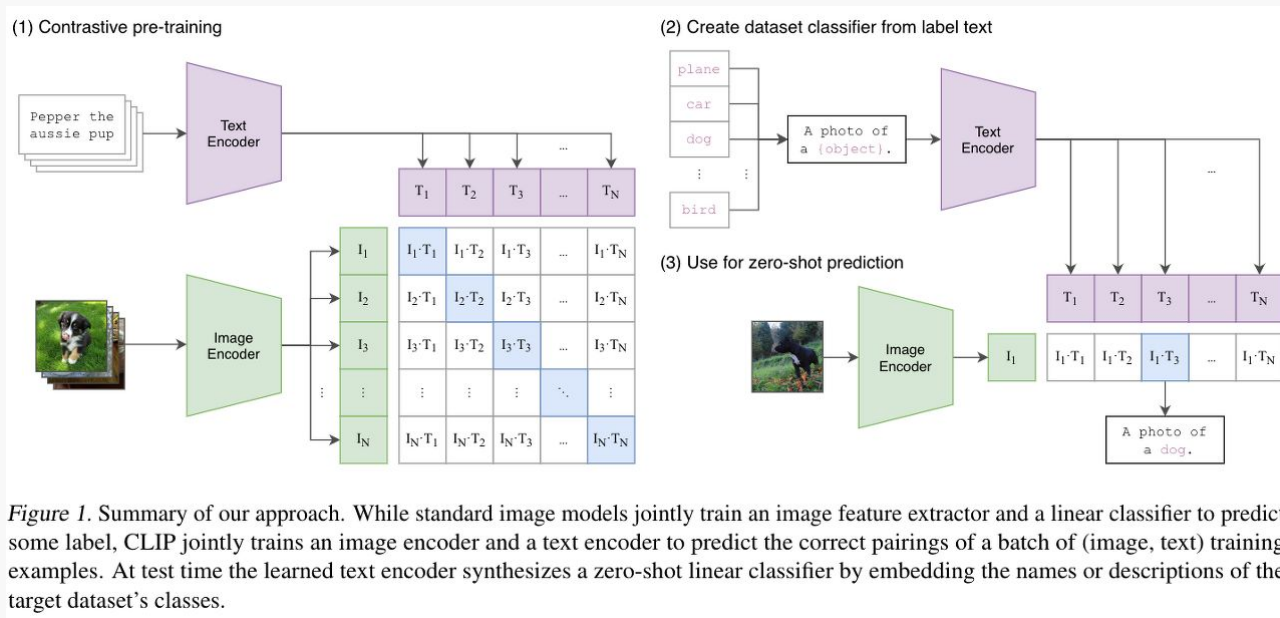
- CLIP is a model for understanding the relationship between images and text, which can be used for a variety of tasks. This model processes **images and text together**
- CLIP can **perform multiple tasks in a single model**, including image classification, text classification, image search, text search, and image creation.

Zero-shot learning:

- CLIP was not explicitly learned for all class labels during training. Instead, it was learned using many image-text pairs with text descriptions.
- This makes CLIP robust to **make predictions about new classes**, and is effective in transfer learning about new tasks or datasets.

Introduction

[Open AI's CLIP]



Methodology



[Calculate Thresholds using cosine similarity]

Calculate image and text embedding:

- Calculate E_{img} , the image embedding for the input image img , and $E_{text}(j)$, the text embedding for each prompt j .

Calculate and Normalize Cosine Similarity:

- Calculate cosine similarity s_j between image embedding E_{img} and each text embedding $E_{text}(j)$
- The calculated cosine similarity s_j is normalized by dividing by 2 to obtain the final similarity score sim_j

$$s_j = \frac{E_{img} \cdot E_{text}(j)}{\|E_{img}\| \times \|E_{text}(j)\|}$$
$$sim_j = \frac{s_j + 1}{2} \quad for \ j = 1, 2, \dots, K$$



[Calculate Thresholds using cosine similarity]

Select and classify prompts with the highest similarity:

- Compare the normalized similarity sim_j for each prompt to select the prompt with the highest similarity
- Classifies the image into the class corresponding to the selected prompt

Estimating the uncertainty of classification:

- Calculate the entropy(H) of the similarity distribution between the input image and the prompt
- As entropy increases, so does the uncertainty of classification

$$H(img) = - \sum_{j=1}^K sim_j \log sim_j$$



[Find thresholds by using grid-search method]

Grid search method:

- One of the many classical methods for optimization
- Select the best parameter combination by systematically analyzing all possible parameter combinations

(a) Entropy List Preparation

1: class_number = n

2: entropy_list = $[e_1, e_2, e_3, \dots, e_k]$

(b) Sampling of true positive and false positive

3: $TP = \{e_i | class(e_i) = n \wedge predict(e_i) = n\}$

4: $FP = \{e_i | class(e_i) \neq n \wedge predict(e_i) = n\}$

(c) Find the optimal threshold value.

5: min_count = ∞

6: threshold = None

7: for e in entropy_list:

8: $TP' = \{e_i \in TP | e_i > e\}$

9: $FP' = \{e_i \in FP | e_i < e\}$

10: count = card(TP') + card(FP')

11: if count < min_count:

12: min_count = count

13: threshold = e



[Set three threshold criteria]

Class-Wise Thresholds:

- Use grid search to find the optimal threshold by considering the uncertainty of each class's sample

Average of Class-Wise Thresholds (Mean of Class-Wise Thresholds):

- The average of thresholds obtained for each class

Single Threshold by Grid Search on Entire Dataset (Grid Search Single Threshold):

- A single threshold obtained by applying grid search to the predictive results of the CLIP model for the entire dataset.

Results

Results

[Misclassification detection results]

- Class-wise entropy thresholding method shows higher performance as a result of synthesizing the entire set of results.

		Dataset	
		CIFAR10	Food101
1	# of images	6000	20200
2	# of correct predictions	7926	12434
3	# of incorrect predictions	2074	7766
4	Accuracy(%)	79.206	61.554

CLIP model accuracy

Results

[Misclassification detection results]

- Class-wise entropy thresholding method shows higher performance as a result of synthesizing the entire set of results.

		Dataset	
		CIFAR10	Food101
1	Class-wise Thresholds	0.779	0.845
2	Mean of class-wise thresholds single threshold	0.456	0.367
3	Grid search single threshold	0.529	0.576

Uncertainty detection performance

Results



[Misclassification detection results]

- Out-of-Distribution (OOD): The model represents an untrained data area and is used to assess predictive uncertainty for a given model.
- The results of OOD also confirmed that class-wise entropy thresholds showed the highest performance

		Dataset	
		CIFAR10	Food101
1	Class-wise Thresholds	0.933	0.894
2	Mean of class-wise thresholds single threshold	0.689	0.475
3	Grid search single threshold	0.779	0.751

Uncertainty detection performance In OOD dataset



image class: cat
prediction: cat
entropy: 0.1193235
threshold: 0.8461579



image class: bird
prediction: ship
entropy: 0.8560749
threshold: 0.2974607

Uncertainty detection image sample

Conclusion

Conclusion



Transfer Learning:

- Easy to measure classification performance without additional learning

Necessity for fine tuning:

- Performance was not good on the Fine-Grained classification dataset
- No matter what model we use, we will need to learn about the domain data want to use

Thank you