Verification of Dijkstra algorithm in Coq

(Weryfikacja algorytmu Dijkstry w Coqu)

Bartłomiej Królikowski

Praca magisterska

Promotor: dr Małgorzata Biernacka

Uniwersytet Wrocławski Wydział Matematyki i Informatyki Instytut Informatyki

29 sierpnia 2024

Abstract

. . .

Contents

1	Intr	oduct	ion	7
2	Sys	tem ov	verview	9
	2.1	Model	l language	9
		2.1.1	Syntax	9
		2.1.2	Heap	10
		2.1.3	Reduction rules	10
		2.1.4	Notations	11
		2.1.5	Properties	11
	2.2	Separa	ation logic	11
		2.2.1	State assertions	11
		2.2.2	Triples	11
3	Dijl	kstra a	$_{ m ligorithm}$	13
4	Ma	thema	tical analysis	15
5	Ver	ificatio	on of the code	17
6	Fin	al rem	arks	19

Introduction

. . .

System overview

We created a system for verification of programs in separation logic, based on [Charguéraud, 2024] and [Charguéraud and Pottier, 2015].

2.1 Model language

The system is built upon a formalization of lambda calculus with references, based on the ones in [Polesiuk, 2023], where the syntax of expressions is parametrized by the set of free variables for better handling of substitution. We use this language to formalize the algorithm we verify.

2.1.1 Syntax

The language features:

- a unit value used as a dummy return value in state manipulating operations
- integer and boolean values
- integer operations (negation, addition, subtraction, multiplication and division) and comparisons
- boolean operations (negation, conjunction, alternative)
- labels memory addresses, allowing for state-mutating operations
- records tuples with arbitrarily large list of fields
- lambda expressions where bound variables are represented as de Bruijn indices [de Bruijn, 1972] which range is limited by the number of increments of the set parametrizing the grammar (see [Polesiuk, 2023])
- memory allocations single cell and array allocations

- memory reading and writing
- memory deallocation
- shift label operation shifting a label by a nonnegative integer to access array cells
- control flow statements sequencing, if and while

Just like in [Polesiuk, 2023] we make a syntactic distinction between irreducible values and other expressions. The set of values consists of:

- the unit value
- variables from the set the grammar is parametrized by
- integer and boolean values
- labels memory addresses, allowing for state-mutating operations
- record values tuples with arbitrarily large list of fields
- lambdas where bound variables are represented as de Bruijn indices (see [de Bruijn, 1972]) which range is limited by the number of increments of the set parametrizing the grammar (see [Polesiuk, 2023])

2.1.2 Heap

We model the memory as a list of (possibly empty) cells. Thus we obtain a finite map from labels to values with the ability to easily measure the size of the used memory and to distinguish between non-allocated cells and cells allocated but not yet assigned.

2.1.3 Reduction rules

After the syntax, a reduction relation is introduced in SOS style. The relation is deterministic, binding every input expression-heap pair with at most one expression-heap output pair.

It is worth to mention that we do not distinguish between state-mutating commands and other expressions. In result mutations of the state may happen in every expression evaluation and we had to account for that writing separation logic rules.

Based on one-step reduction we define a many-step reduction relation that tracks the number of required steps. We interpret this number of steps as the time cost of computation of the expression. Like its one-step equivalent it is also deterministic, where every input expression-heap pair is related to at most one output expression-heap-time pair.

2.1.4 Notations

To improve readability of Coq verification scripts we introduce notations for each language construct and we define functions allowing to write lambdas with bound variables of type string and computing their proper form with de Bruijn indices.

2.1.5 Properties

We define and prove for each language construct the following properties:

- closeness of expressions and values (through an auxiliary predicate is_limited)
- a big step reduction relation

Proofs of those with some auxiliary properties are located in LamRefFacts.v.

2.2 Separation logic

We created a formalization of separation logic (see [Charguéraud, 2024]) to reason about programs in a syntax directed manner.

2.2.1 State assertions

The state assertions are predicates describing the properties of initial and final states. In our formalization, a state consists of two resources:

- time credits (see [Charguéraud and Pottier, 2015]), being the upper limit for the computation time
- the heap, being the partial map from labels to values

2.2.2 Triples

We express total correctness specifications of programs as separation logic triples $triple\ t\ P\ Q$, where t is the term (expression or value), P is a precondition (state assertion) and Q is the postcondition (a function from values to state assertions). The frame rule is baked in as described in [Charguéraud, 2024]. For each language construct we state and prove a corresponding separation logic rule as a theorem. We automated the process of proving most of these theorems. Some auxiliary tactics we defined were also useful during the actual program verification.

We also define three auxiliary types of triples:

- triple_fun that serves a purpose of stating properties of a unary function
- triple_fun_n_ary that is a generalization of triple_fun to n-ary functions
- triple_list that allows for describing a result of computing a sequence of expressions

and we prove lemmas allowing for using specifications expressed with those functions in places where the regular triple is needed.

The file $LamRefLogicFactsTotal_credits_perm.v$ contains all proved facts about state assertions and separation logic triples.

Dijkstra algorithm

We formalize in our modeling language and verify Dijkstra algorithm as it is described in [Ahuja et al., 1993]. We parametrize the implementation with the heap operating functions and specify its behaviour using auxiliary heap predicates.

Implementation:

Listing 3.1: Formalization of Dijkstra algorithm

```
Definition generic_dijkstra (get_size get_max_label
   get_neighbours mkheap h_insert h_empty h_extract_min
   h_decrease_key h_free l_is_nil l_head l_tail : Value string)
    : Value string :=
  [-\] "g", [-\] "src",
    [let "n"] get_size <* Var "g" [in]</pre>
    [let "C"] get_max_label <* Var "g" [in]</pre>
    [let "h"] mkheap <* Var "n" <* Var "C" [in]</pre>
    [let "dist"] NewArray (Var "n") [in]
    [let "pred"] NewArray (Var "n") [in]
      init_array <* (Var "dist") <* (Var "n") <* (Int (-1));;
      init_array <* (Var "pred") <* (Var "n") <* (Int (-1));;
      assign_array_at <* Var "dist" <* Var "src" <* Int 0;;</pre>
      h_insert <* Var "h" <* Var "src" <* Int 0;;
      [while] [~] (h_empty <* Var "h") [do]
        [let "rec_current"] h_extract_min <* (Var "h") [in]</pre>
        [let "current"] Get 0 (Var "rec_current") [in]
        [let "dist_current"] Get 1 (Var "rec_current") [in]
        [let "neighs"] Ref (get_neighbours <* Var "g" <* Var "
           current") [in]
        (* neighs : a reference to a list *)
          [while] [~] (l_is_nil <* Var "neighs") [do]</pre>
            [let "rec_neigh"] l_head <* Var "neighs" [in]</pre>
            [let "neigh"] Get 0 (Var "rec_neigh") [in]
            [let "length"] Get 1 (Var "rec_neigh") [in]
            [let "dist_neigh"] ! (Var "dist" >> Var "neigh") [
            [let "new_dist"] Var "dist_current" [+] Var "length
```

```
" [in]
          [if] (Var "dist_neigh" [<] Int 0) [then]</pre>
            assign_array_at <* Var "dist" <* Var "neigh" <*
                 Var "new_dist";;
            assign_array_at <*Var "pred" <* Var "neigh" <*
                Var "current";;
            h_insert <* Var "h" <* Var "neigh" <* Var "
                new_dist"
          [else] [if] (Var "new_dist" [<] Var "dist_neigh")</pre>
               [then]
            assign_array_at <* Var "dist" <* Var "neigh" <*
                 Var "new_dist";;
            assign_array_at <* Var "pred" <* Var "neigh" <*
                 Var "current";;
            h_decrease_key <* Var "h" <* Var "neigh" <* Var
                 "new_dist"
          [else]
            U_val (* Nothing happens. *)
          [end]
          [end]
        [end]
        [end]
        [end]
        [end]
        [end];;
        Var "neighs" <- l_tail <* Var "neighs"</pre>
      Free (Var "neighs")
    [end]
    [end]
    [end]
    [end]
  [end];;
 h_free <* (Var "h");;
 RecV [Var "dist"; Var "pred"]
  [let "x"] ! (Var "dist" >> Var "dst") [in]
    free_array <* (Var "dist");;</pre>
    Var "x"
  [end]
 *)
[end]
[end]
[end]
[end]
[end]%string.
```

Mathematical analysis

In *Graphs.v* we formalize a part of graph theory required to prove key properties of the Dijkstra algorithm, i. e. Dijkstra_initial, Dijkstra_invariant and Dijkstra_final, describing respectively the initial state when computing algorithm's main loop, its invariant and the final state, being also the final state of the algorithm. In this formalization we follow the proof of correctness of Dijkstra algorithm from [Ahuja et al., 1993].

Verification of the code

We prove the following specification:

Listing 5.1: Verification of Dijkstra algorithm

```
Theorem triple_fun_generic_dijkstra
  (get_size get_max_label get_neighbours mkheap h_insert
    h_extract_min h_decrease_key h_free l_is_nil l_head l_tail
       : Value string) :
  is_closed_value get_size ->
  is_closed_value get_max_label ->
  is_closed_value get_neighbours ->
  is_closed_value mkheap ->
  is_closed_value h_insert ->
  is_closed_value h_empty ->
  is_closed_value h_extract_min ->
  is_closed_value h_decrease_key ->
  is_closed_value h_free ->
  is_closed_value l_is_nil ->
  is_closed_value l_head ->
  is_closed_value l_tail ->
  get_size_spec
                      get_size ->
  get_max_label_spec get_max_label ->
  get_neighbours_spec get_neighbours ->
 mkheap_spec
                      mkheap ->
                      h_insert ->
 h_insert_spec
 h_empty_spec
                      h_empty ->
 h_extract_min_spec h_extract_min ->
 h_decrease_key_spec h_decrease_key ->
                     h_free ->
 h_free_spec
 l_is_nil_spec
                      l_is_nil ->
  l_head_spec
                      l_head ->
                      l_tail ->
 l_tail_spec
  exists c0 cn cm, forall (g : wgraph nat) vg src n m C t,
 n >= 1 ->
  is_init_range (V g) ->
```

```
is_set_size (V g) n ->
is_set_size (uncurry (E g)) m ->
is_max_label g C ->
heap\_time\_bound n C t ->
triple_fun_n_ary 1
  (generic_dijkstra
    {\tt get\_size} \ \ {\tt get\_max\_label} \ \ {\tt get\_neighbours} \ \ {\tt mkheap} \ \ {\tt h\_insert}
        h_empty
    h_extract_min h_decrease_key h_free l_is_nil l_head
        l_tail)
  (fun v1 v2 => $ (c0 + cm*m + cn*n*t) <*>
    <[v1 = vg]> <*> <[v2 = Int (Z.of_nat src)]> <*>
    is_weighted_graph g vg <*> <[V g src]> <*> <[~ E g src
        src]>)
  (fun v1 v2 res \Rightarrow (<exists> c, $c) <*> <exists> 1D lpred D
     pred,
    <[res = RecV [Lab 1D; Lab lpred]]> <*>
    is_weighted_graph g vg <*> is_nat_function D lD <*>
    is_nat_function pred lpred <*> <[Dijkstra_final D pred
        src g]>).
```

Final remarks

. . .

Bibliography

- Ravindra K. Ahuja, Thomas L. Magnanti, and James B. Orlin. *Network Flows: Theory, Algorithms, and Applications*. PRENTICE HALL, Upper Saddle River, New Jersey 07458, 1993.
- Arthur Charguéraud. Separation Logic Foundations, volume 6 of Software Foundations. Electronic textbook, 2024. URL http://softwarefoundations.cis.upenn.edu. Version 2.0.
- Arthur Charguéraud and François Pottier. Machine-checked verification of the correctness and amortized complexity of an efficient union-find implementation. In 6th International Conference on Interactive Theorem Proving (ITP), Aug 2015, Nanjing, China, 2015. URL https://hal.science/hal-01245872/.
- Nicolaas G. de Bruijn. Lambda calculus notation with nameless dummies, a tool for automatic formula manipulation, with application to the Church-Rosser theorem. Indagationes Mathematicae (Proceedings), 75(5):381–392, 1972. ISSN 1385-7258. URL https://doi.org/10.1016/1385-7258(72)90034-0.
- Piotr Polesiuk. Lecture notes on type systems, 2023. URL https://github.com/ppolesiuk/type-systems-notes. Last accessed 27 August 2024.