

Well ordering

Lesson 11

“The only way to learn mathematics is to do mathematics.”

Paul Halmos

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Definition

Let A be a subset of \mathbb{R} . We say that A is *bounded above* provided

$$(\exists M \in \mathbb{R}) (\forall x \in A) (x \leq M).$$

The number M is an *upper bound* for the set A . We say that the set A is *bounded below* provided

$$(\exists M \in \mathbb{R}) (\forall x \in A) (M \leq x).$$

The number M is a *lower bound* for the set A . If A is bounded below and bounded above, we say A is *bounded*.

- ① If M is an upper bound for a set A , and $M \leq M'$, then M' is an upper bound for A .
- ② So we need to say a *(not the) upper bound*.
- ③ Similarly, lower bounds are not unique.

Bounded details

- ① Notice that we do *not* require that an upper bound for a set A to be a member of A .
- ② Same for a lower bound.
- ③ Since we require that an upper bound be a *real number*, we disallow infinity from being an upper bound. If we did, every set would be bounded.
- ④ Although infinity is a number, it isn't a *real* number.

Bounded and unbounded examples

Example

- ① The empty set is bounded above by 0.
- ② Actually every real number is an upper bound for the empty set.
- ③ Every real number is a lower bound for the empty set.
- ④ The interval $[0, 1]$ is bounded above by 1.
- ⑤ The interval $[0, 1]$ is bounded above by 107.
- ⑥ The interval $[0, \infty)$ is bounded below by 0.
- ⑦ The interval $[0, \infty)$ is not bounded above.

Being least

Definition

Let A be a subset of R . The set A has a *least member* provided

$$(\exists a^* \in A) (\forall a \in A) (a^* \leq a).$$

We say that a^* is a least member. The set A has a *greatest member* provided

$$(\exists a^* \in A) (\forall a \in A) (a \leq a^*).$$

- ① Unlike a lower bound, we require that a least member of a set A be a member of the set.
- ② The same for a greatest member.

Uniqueness of being least

Theorem

If a subset of the reals has a least member, it is unique.

Proof

Let $A \subset \mathbb{R}$. Suppose x and x' are least members of A . Since x is a least member of A we have $x \in A$. But x' is a least member, so $x' \leq x$. Interchanging the roles of x and x' , we have $x \leq x'$; therefore $x = x'$.

- ① Equality is hard, inequality is easier.
- ② We proved equality by proving two inequalities.

Well ordering principle

Axiom

Let A be a nonempty subset of \mathbb{Z} that is *bounded below*. Then A has a least member.

- ① This is an axiom—we'll take it on faith.
- ② Again, a least member of a set A *must* be a member of A .
- ③ Thus the empty set does *not* have a least member.
- ④ The qualification that the set be nonempty for it to have a least member is crucial.

Theorem

Let $A \subset \mathbb{Z}$ be (i) *nonempty* and (ii) *bounded above*. Then A has a greatest member.

Well ordering principle for the reals?

Question Are the real numbers well ordered? That is, does every nonempty subset of \mathbb{R} that is bounded below have a least member?

Answer No. The interval $(0, 1)$ is nonempty and bounded below, but it doesn't have a least member. Although zero is less than every member of $(0, 1)$, since zero isn't a member of $(0, 1)$, it is not a least member.

Existence of the floor

Let $x \in \mathbb{R}_{\geq 0}$. Define the set M by $M = \{k \in \mathbb{R} \mid k \leq x\}$.

- ① Since $x \geq 0$, it follows that $0 \in M$.
- ② So, the set M is nonempty.
- ③ Further the set M is bounded above by x .
- ④ The well ordering principle tells us that M has a least member.
- ⑤ Actually, the least member is unique.
- ⑥ Of course the least member depends on x .
- ⑦ Something that (i) depends on x and (ii) is unique defines a function!
- ⑧ We've used the well ordering principle to define the *floor function* for nonnegative inputs.