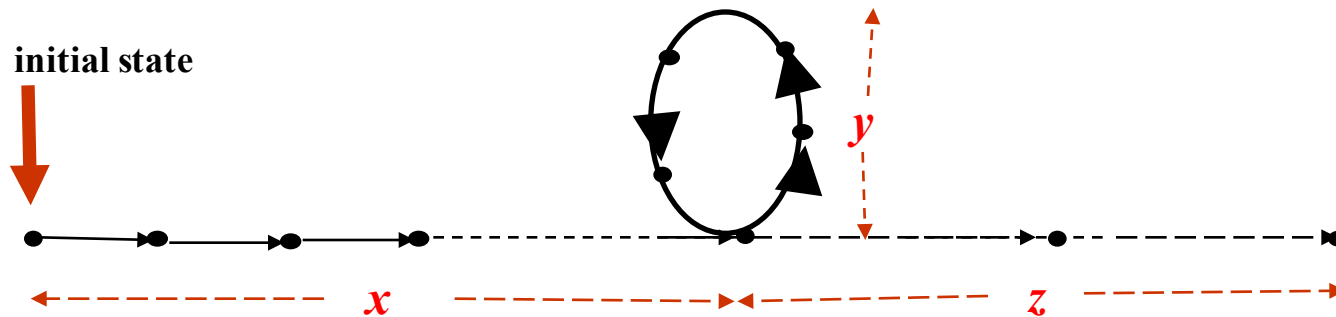
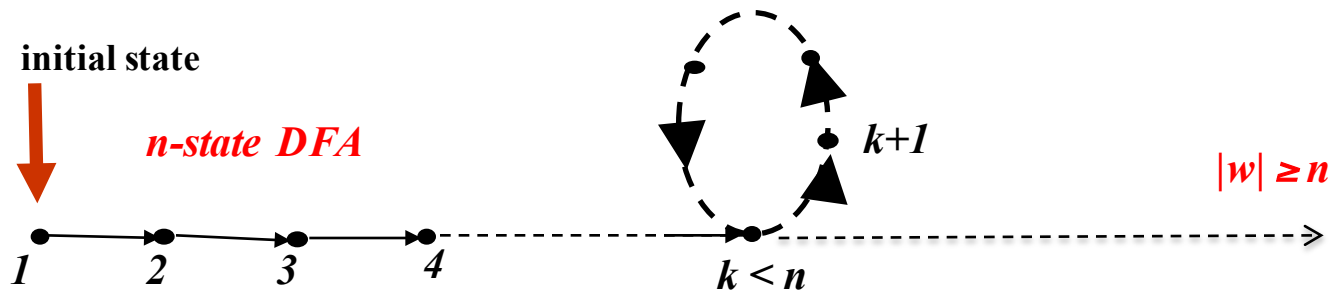


Pumping Lemma



Let L be regular language then there exists an integer n (some n -state DFA must accept L !) such that for **any** string w with $|w| \geq n$ there are strings x , y , and z where $w = x.y.z$ with :

$$(1) |xy| \leq n, \quad (2) |y| \geq 1, \quad (3) xy^iz \in L \quad \forall i \geq 0$$

Proving languages non-regular !

Example 1

$L = \{s \in \{0,1\}^* \mid s = 0^k.1^k, k \geq 0\}$ is non-regular!

Assume the contrary (L is regular !) and apply the pumping lemma (**PL**)

Let n (#states of a DFA accepting L) be as **given** in **PL** and

choose $w = 0^n 1^n \in L$ then $|w| = 2n \geq n$ as demanded by the **PL**.

Then by the **PL** $w = 0^n 1^n = x.y.z$, where $|x.y| \leq n$ and $|y| > 0$

Hence $x.y = 0^p$ and $y = 0^q$, $x = 0^{p-q}$ with $p \leq n$, $q > 0$ and $z = 0^{n-p}. 1^n$

But according to **PL** $x.y^i.z \in L$ for all $i=0,1, \dots$; and for $i=0$ this implies $x.z \in L$.

Yet this cannot be true since for $q > 0$, $x.z = 0^{p-q}. (0^{n-p}. 1^n) = 0^{n-q}. 1^n \notin L$

Example 2

$L = (s \in \{0,1\}^* \mid s = u.u, u \in \{0,1\}^*)$ is non-regular!

As before given n choose $w = 0^n.1.0^n.1$ then $w \in L$ and $|w| = 2n + 2 \geq n$.

Then by the **PL** $w = 0^n.1.0^n.1 = x.y.z$, where $|x.y| \leq n$ and $|y| > 0$

Hence $x.y = 0^p, y = 0^q$ with $p \leq n, q > 0$ and $x = 0^{p-q}$ and $z = 0^{n-p}.1.0^n.1$

Therefore $x.z = 0^{p-q}.0^{n-p}.1.0^n.1 = 0^{n-q}.1.0^n.1$

But according to **PL** $x.z \in L$ ($i=0$ case); but there are two cases for obtaining $xz = u.u$ corresponding to cutting the dividing point among the first or second group of zeros, and both cases lead to the **impossibility** of such a division to yield $xz = 0^{n-q}.1.0^n.1 = u.u$

Hence $x.z \notin L$, a contradiction! and thus L cannot be a regular language.

Example 3

$L = (s \in 1^* \mid s = 1^k, k \text{ a prime number})$ is non-regular!

As before given n choose $w = 1^m$ where m is a prime number with $m > n+1$.

then by **PL** $w = 1^m = x.y.z$, where $|x.y| = |x|+|y| \leq n$ and $|y| > 0$

Or setting $r:=|x|$, $p:=|y|$ and $q:=|z|$ it follows that $m=|x.y.z| = r+p+q$, $r+p \leq n$, $p > 0$

But according to **PL** $x.y^i.z \in L$; or $r+p.i+q$ is a prime number for all integers i

Choosing $i:=r+q$ it follows that $r+p.i+q = (r+q).(p+1)$ must be a prime number !

But $r+p \leq n$ implies $m \leq n+q$ and since by choice $m > n+1$ we have

$n+1 < m \leq n+q$ and therefore $q > 1$ and thus $r+q \geq 2$ and since $p+1 \geq 2$ it follows that

$r+p.i+q = (r+q).(p+1)$ cannot be a prime number for $i=r+q$, a contradiction !

Closure Properties of Regular Languages

(1) L, M regular implies (i) $L \cup M$, (ii) $L \cdot M$ and (iii) L^ are regular – follows from the definition of **REs***

*(2) L regular implies L^c (complement of L) is regular – **replace the final state set F of a DFA that accepts L by $Q - F$; the resulting automaton accepts L^c***

*(3) L, M regular implies $L \cap M$ is regular – **short path :***

$L \cap M = (L^c \cup M^c)^c$, which by (1) and (2) proves the result

*- long path : **If A and B are DFA that accept L and M then $A \times B$ is a DFA that accepts $L \cap M$***

The Product Automaton $A \times B$

$$A = (Q, \Sigma, \delta_A, s, F_A) ; B = (R, \Sigma, \delta_B, t, F_B)$$

$$A \times B := (Q \times R, \Sigma, \delta, (s,t), F_A \times F_B) \text{ where}$$

$$\delta((q,r), \sigma) := (\delta_A(q, \sigma), \delta_B(r, \sigma))$$

Fact to be proved by induction on the length of u :

$$\delta E((q,r), u) = (\delta_A E(q, u), \delta_B E(r, u))$$

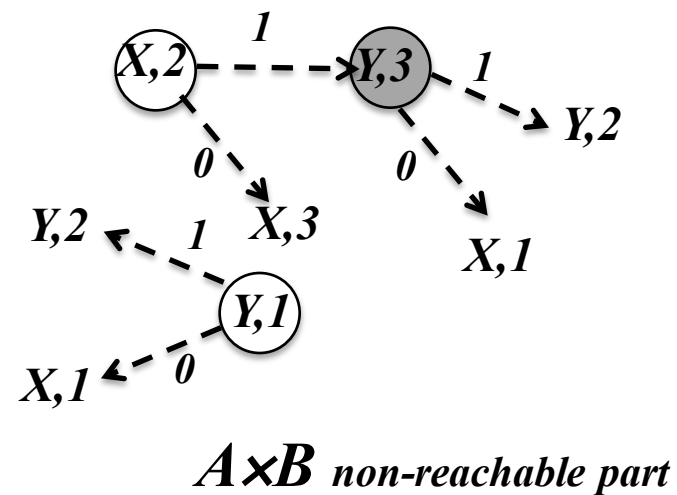
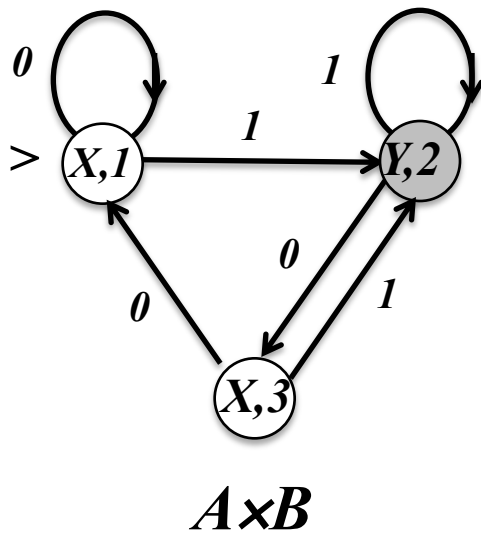
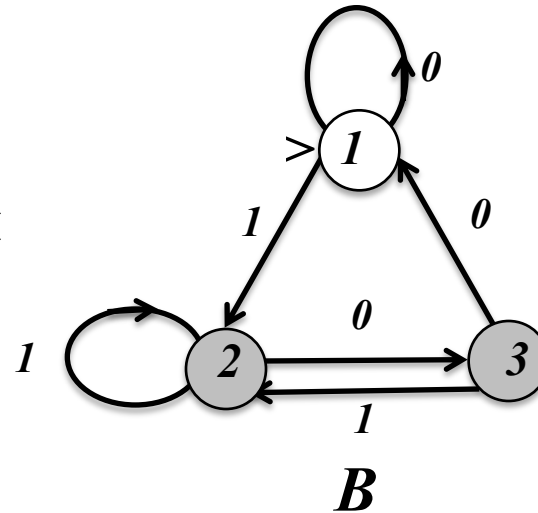
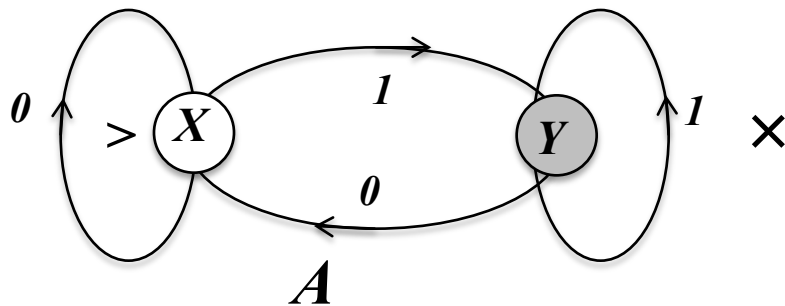
Proof of $L(A \times B) = L(A) \cap L(B)$

$$u \in L(A \times B) \Leftrightarrow \delta E((s,t), u) \in F_A \times F_B \Leftrightarrow (\delta_A E(s, u), \delta_B E(t, u)) \in F_A \times F_B$$

$$\Leftrightarrow \delta_A E(s, u) \in F_A \wedge \delta_B E(t, u) \in F_B$$

$$\Leftrightarrow u \in L(A) \wedge u \in L(B) \Leftrightarrow u \in L(A) \cap L(B)$$

Example for the Product Automaton $A \times B$



(4) L, M regular then $L - M$ is regular ; $L - M = L \cap M^c$ hence

by previous results regularity follows

(5) L regular then $L^R := (u \in \Sigma^* \mid u^R \in L)$ where R denotes

reversal of strings –

replace the DFA that accepts L by the

NFA obtained from the former by (i) interchanging initial and final

state sets ; and (ii) changing the direction of all transition arcs

Decision Problems for Regular Languages

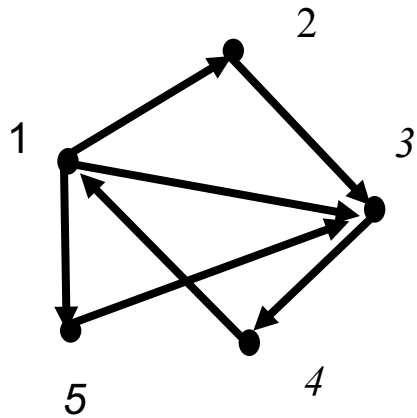
Adjacency $n \times n$ matrix A for a directed graph with n nodes

$a_{ij} = 1$ if there is an arc from node i to node j
 $= 0$ otherwise

The i -to- j reachability problem : is there a path from node i to node j ?

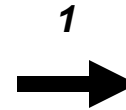
Let $w^k_{ij} = 1$, if there is a path from i to j using intermediate nodes $1, \dots, k$ and $= 0$ otherwise. Answer to the reachability problem is **YES** iff $w^n_{ij} = 1$. Note that $[w^0_{ij}] = [a_{ij}]$

Warshall's Algorithm : $w^k_{ij} = w^{k-1}_{ij} \vee (w^{k-1}_{ik} \wedge w^{k-1}_{kj})$, $k = 1, \dots, n$

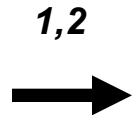


Warshall at Work !

1	1	1	0	1
0	1	1	0	0
0	0	1	1	0
1	0	0	1	0
0	0	1	0	1

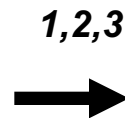


1	1	1	0	1
0	1	1	0	0
0	0	1	1	0
1	1	1	1	1
0	0	1	0	1

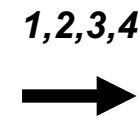


If new entry node is i then add to row j \neq i for which jth entry is 1 , row i

1	1	1	0	1
0	1	1	0	0
0	0	1	1	0
1	1	1	1	1
0	0	1	0	1



1	1	1	1	1
0	1	1	1	0
0	0	1	1	0
1	1	1	1	1
0	0	1	1	1



1	1	1	1	1
1	1	1	1	1
1	1	1	1	1
1	1	1	1	1
1	1	1	1	1

1,2,3,4,5



1	1	1	1	1
1	1	1	1	1
1	1	1	1	1
1	1	1	1	1
1	1	1	1	1

Big O functions

Let $f(n)$ be a positive increasing function of the positive integer n .

*A function $g(n)$ is called a **big O** function of $f(n)$ shown by $g(n) = O(f(n))$ **iff**:*

there are constants $K_1, K_2 > 0$ such that :

$|g(n)| < K_1 f(n)$, for all $n > K_2$ ($g(n)$ increases no faster than $f(n)$ asymptotically !)

Example

The function $g(n) = n^3 + 3n^2 + 7n + 5 \log(n)$ is an $O(n^3)$ function

$$|g(n)| = n^3 (1 + 1/n + 7/n^2 + 5 \log(n)/n^3) < n^3 (1 + 1 + 7 + 5) = 14 n^3$$

Since for $n > 1$ we have $1 + 1/n + 7/n^2 + 5 \log(n)/n^3 < (1 + 1 + 7 + 5)$

using $\log(n) < n^3$ for $n > 1$; and therefore result follows with $K_1 = 14$ and $K_2 = 1$

Complexity of Warshall's Algorithm

Two basic binary operations ('v' and '∧') for each i, j and for $k = 1, \dots, n$;
hence total $2 * n^2 * n = O(n^3)$ operations

Notation : for a Finite State Automaton (**DFA** or **NFA**) let A_σ denote the adjacency matrix corresponding to the directed graph after removing all transition arcs that are NOT labeled by σ

Solution of the EPSILON-closure algorithm

- (1) Apply **Warshall's Algorithm** to A_ϵ to compute $W_\epsilon = [w_{ij}] \rightarrow O(n^3)$
 - (2) Epsilon closure of state (node) i are the states (nodes) with value 1 in row i of W_ϵ
 - (3) Epsilon closure of a set S of nodes are the nodes with value 1 of the row obtained from the union ('v') of the rows corresponding to the nodes in $S \rightarrow O(n^2)$
- hence total complexity is $O(n^3) + O(n^2) = O(n^3)$***

Reachability from a given set of source nodes !

Reachability Algorithm

*(1) Initialization : Let the initial **LIST** consist of the row indices corresponding to the source nodes.*

*(2) Process the current (unused) index in the **LIST** and mark the column no.s with a 1 at the row corresponding to the current index and add those column indices that are not already in the **LIST** to the **LIST**.*

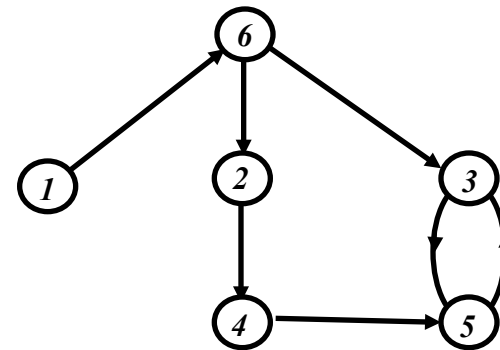
*(3) Delete the row used and the marked columns and repeat (2) for the **next** (row) index in the **LIST** ; stop when all the indices in the **LIST** are used at step (2) !*

The vertices reached are members of the final LIST !

At step 2 the maximum no. of columns marked is n ; max. total no. of iterations is n

Hence complexity = $O(n^2)$

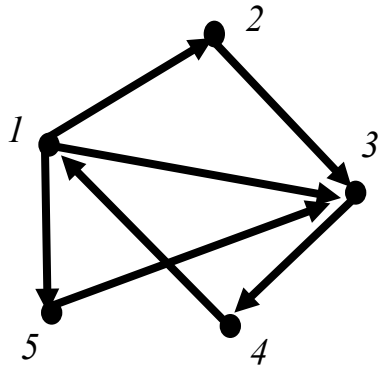
	1	2	3	4	5	6
1	1	0	0	0	0	1
2	0	1	0	1	0	0
3	0	0	1	0	1	0
4	0	0	0	1	1	0
5	0	0	1	0	1	0
6	0	1	1	0	0	1



Reachability from vertices 2 and 6

2,6 → 2,6,4 → 2,6,4,3 → 2,6,4,3,5 → 2,6,4,3,5 → 2,6,4,3,5

Example : Reachability from vertices 2 and 5



Initial **LIST** = (2,5)

1 2 3 4 5

1	1	1	1	0	1
2	0	1	1	0	0
3	0	0	1	1	0
4	1	0	0	1	0
5	0	0	1	0	1

LIST = (2,5,3)

1 4 5

1	1	0	1
3	0	1	0
4	1	1	0
5	0	0	1

LIST = (2,5,3)

1 4

1	1	0
3	0	1
4	1	1

LIST = (2,5,3,4)

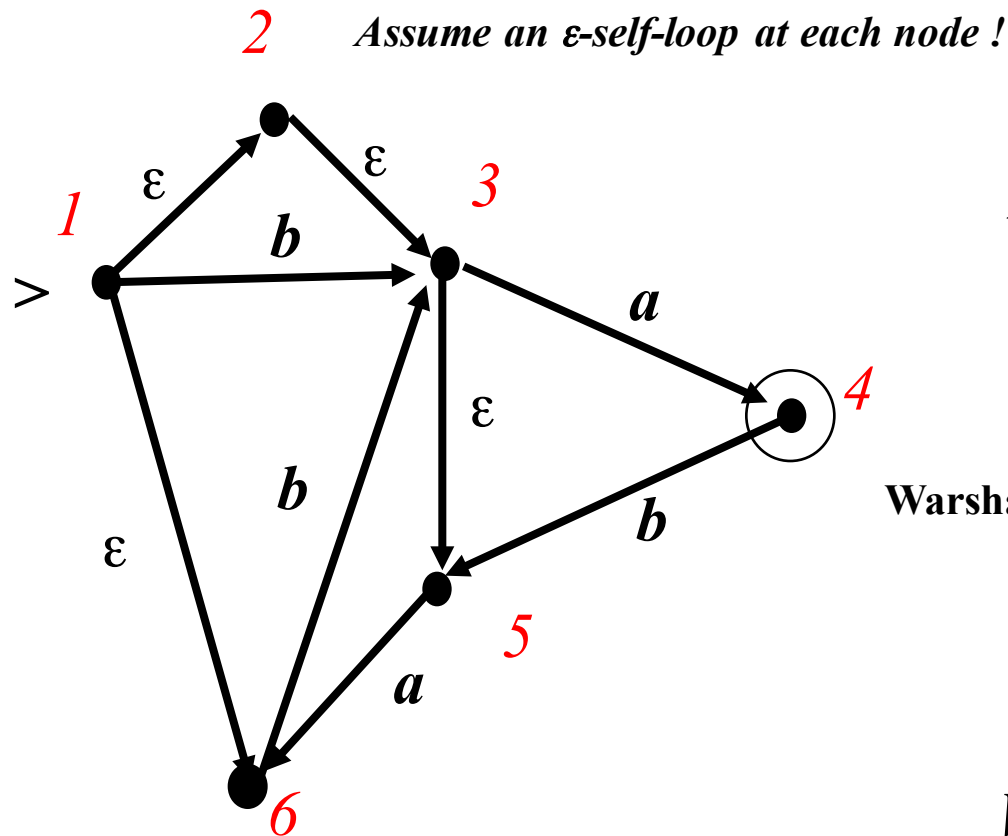
1

1	1
4	1

LIST = (2,5,3,4,1)

→ empty matrix

reachability from vertices 2,5 = **all the vertices**



$$A_{\epsilon} =$$

	1	2	3	4	5	6
1	1	1	0	0	0	1
2	0	1	1	0	0	0
3	0	0	1	0	1	0
4	0	0	0	1	0	0
5	0	0	0	0	1	0
6	0	0	0	0	0	1

Warshall

$$W_{\epsilon} =$$

	1	2	3	4	5	6
1	1	1	1	0	1	1
2	0	1	1	0	1	0
3	0	0	1	0	1	0
4	0	0	0	1	0	0
5	0	0	0	0	1	0
6	0	0	0	0	0	1

Example: From ϵ -NFA to NFA,
i.e. eliminate all ϵ -transitions

$A_a =$

0	0	0	0	0	0
0	0	0	0	0	0
0	0	0	1	0	0
0	0	0	0	0	0
0	0	0	0	0	1
0	0	0	0	0	0

 $A_b =$

0	0	1	0	0	0
0	0	0	0	0	0
0	0	0	0	0	0
0	0	0	0	1	0
0	0	0	0	0	0
0	0	1	0	0	0

Non ϵ -NFA


 $B_b =$

0	0	1	0	1	0
0	0	0	0	0	0
0	0	0	0	0	0
0	0	0	0	1	0
0	0	0	0	0	0
0	0	1	0	1	0

row 1 $\leftarrow W_\epsilon \longrightarrow (1,2,3,5,6)$
 $A_b \longrightarrow (3)$
 $W_\epsilon \longrightarrow (3,5)$

Complexity of Regular Language Conversions

(1) ϵ - NFA to NFA $\rightarrow O(n^3) |\Sigma| + O(n^3) = O(n^3) |\Sigma|$

(2) NFA to DFA $\rightarrow O(n^2) \cdot |\Sigma| \cdot 2^n = 2^{(n + \log(O(n^2) \cdot |\Sigma|))} = 2^{O(n)}$

(Exponential!)

(3) RE to ϵ - NFA $\rightarrow O(n)$ ($n = \# \text{ basic operations in RE}$)

(4) DFA to RE $\rightarrow O(|\Sigma| \cdot n^2 \cdot 4^n) = 2^{O(n)}$

(Exponential!)

Testing emptiness of (and membership in) a language L

(1) Emptiness Problem : Is the language accepted by a DFA or an NFA empty ?

*Apply **Reachability Algorithm** to NFA (or DFA) where all edges are included disregarding the label. If a final state is reached from any initial state, L is non-empty. Complexity is $O(n^2)$ both for NFA and DFA*

For RE complexity is $O(n)$

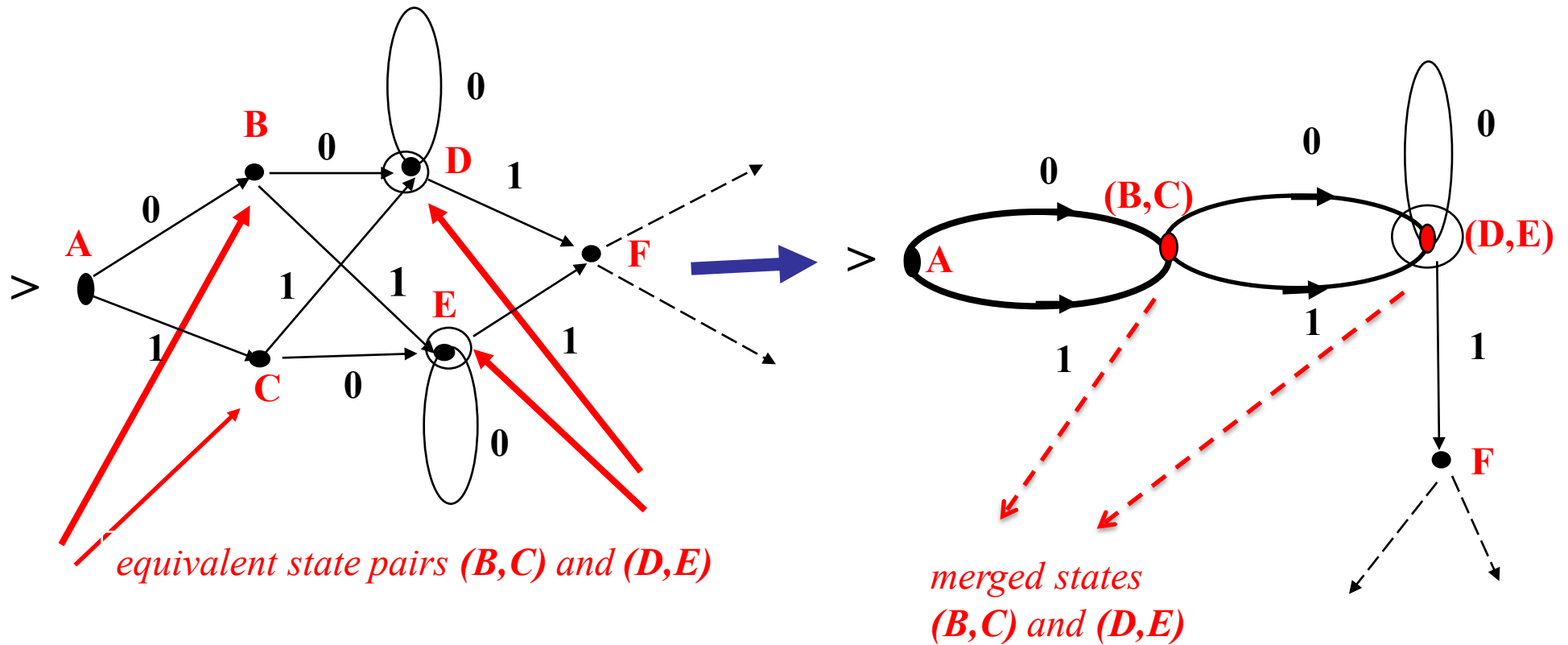
(2) Membership Problem : does a DFA or an NFA accept the string w ?

$O(|w|+n)$ for DFA representation.

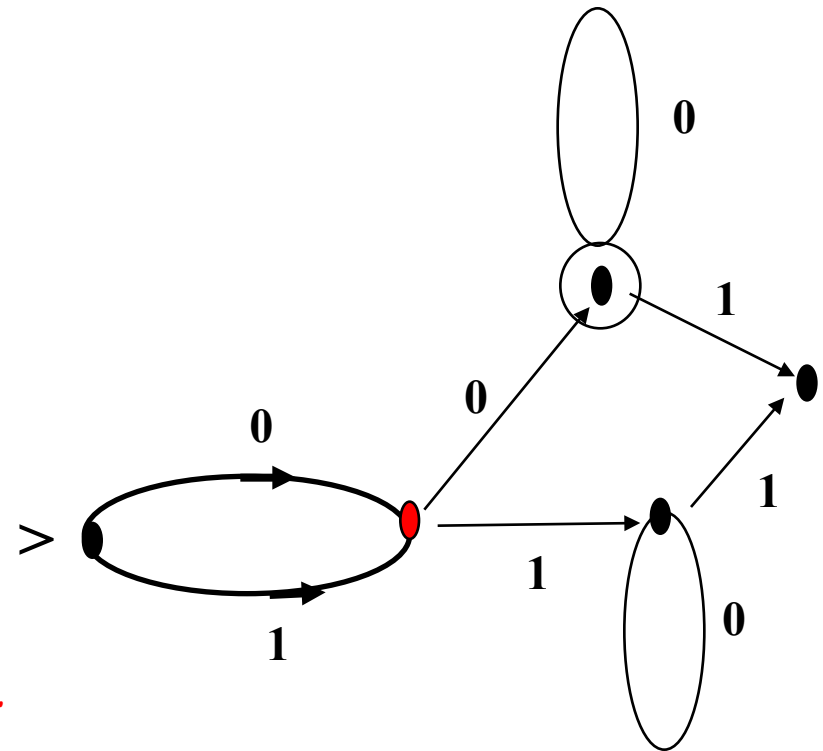
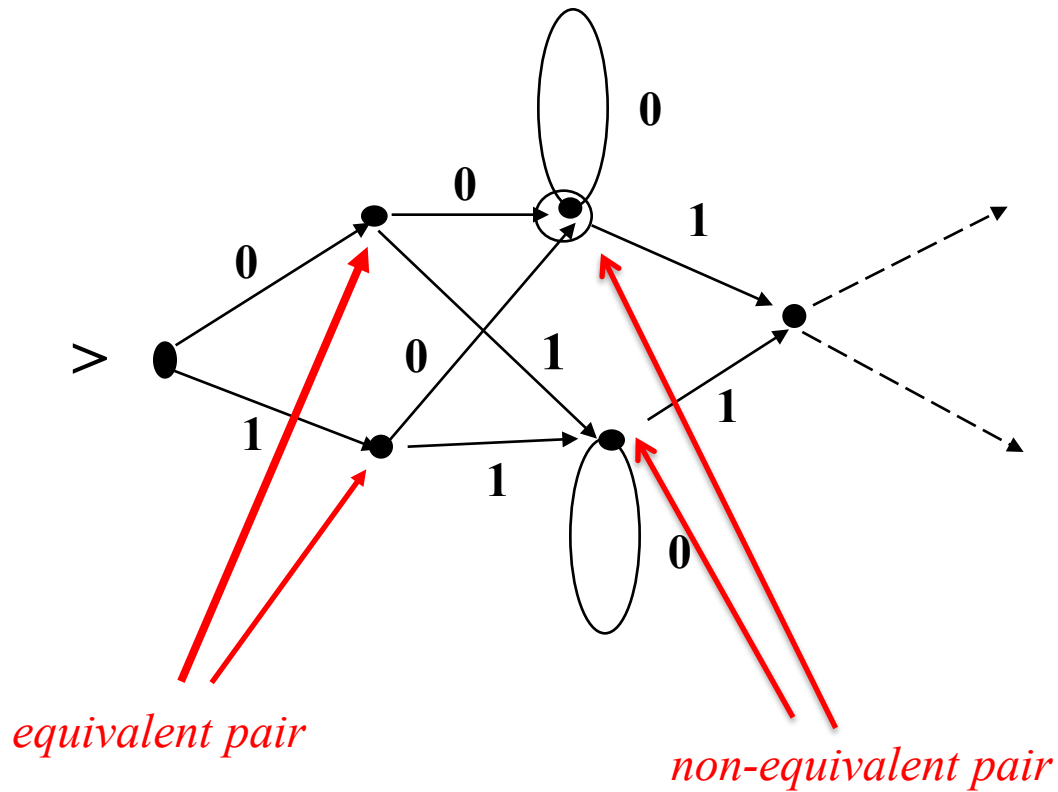
$O(|w|.n^2+n^2)$ and $O(n^3+|w|.n^2)$ for NFA and ϵ -NFA representations respectively.

$O(n^3+|w|.n^2)$ for RE representations.

An informal description of State Equivalence



The role of final states



State Equivalence for DFA

$A = (Q, \Sigma, \delta, s, F)$ be a DFA accepting the language $L(A)$

Definition $q, r \in Q$ are said to be *equivalent* states of A if
for all $w \in \Sigma^*$: $\delta E(q, w) \in F$ **if and only if** $\delta E(r, w) \in F$

States that are not **equivalent** are called **distinguishable**

Note that (and prove !) if q and r are equivalent and if
 $q' = \delta E(q, w)$ and $r' = \delta E(r, w)$ then q' and r' are equivalent

Hint : Use and prove the fact that $\delta E(r, u.v) = \delta E(\delta E(r, u), v)$
for all $u, v \in \Sigma^*$ and $r \in Q$ (use induction on the length of v)

Recursive computation of distinguishable state pairs

(Table Filling Algorithm)

Basis : A pair (r, q) is ***distinguishable*** if one is ***accepting***

(final) and the other is a ***non-accepting*** (non-final) state.

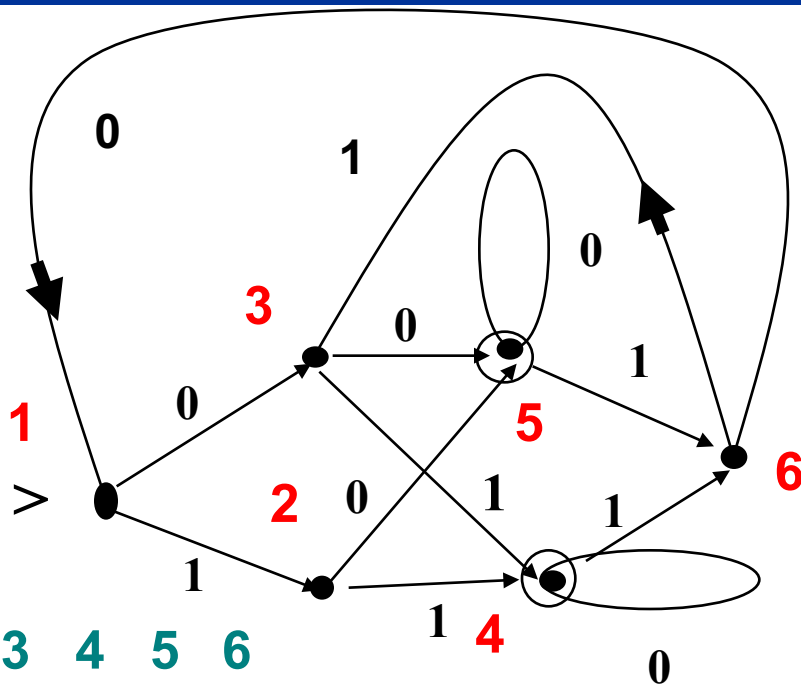
Induction : If for some $a \in \Sigma$, $r' = \delta(r, a)$ and $q' = \delta(q, a)$ and r' and q' are ***distinguishable*** then r and q are also ***distinguishable***

Proof : Given that r' and q' are distinguishable (i.e. ***NOT*** equivalent), there exists an input string u such that $\delta E(r', u) \in F$ and $\delta E(q', u) \notin F$ (or vice-versa) ;

But since $r' = \delta(r, a)$ and $q' = \delta(q, a)$ we have

$\delta E(r', u) = \delta E(\delta(r, a), u) = \delta E(r, a.u)$ and $\delta E(q', u) = \delta E(\delta(q, a), u) = \delta E(q, a.u)$

$\delta E(r, a.u) \in F$ and $\delta E(q, a.u) \notin F$ and therefore r and q are ***distinguished***
by the input string $a.u$



	1	2	3	4	5	6
1				x	x	
2				x	x	
3				x	x	
4						x
5						x
6						

	1	2	3	4	5	6
1		x	x	x	x	
2				x	x	x
3				x	x	x
4						x
5						x
6						



	1	2	3	4	5	6
1		x	x	x	x	x
2				x	x	x
3				x	x	x
4						x
5						x
6						



Table Filling Algorithm

No more improvement

Complexity of Table Filling Algorithm

Number of entries of the table = $n(n-1)/2 = O(n^2)$

*For every entry of the table inputs are checked whether the next state pair is already marked. Call this **one** unit of computation.*

*Since the table has $O(n^2)$ number of entries the complexity involved is $O(n^2)$ for each run. But because each run guarantees minimum **one** improvement the total number of runs is $O(n^2)$; hence complexity is $O(n^4)$.*

*(the explanation on page 160 of the main textbook which claims that the complexity of the **table filling algorithm** is $O(n^2)$ is **unconvincing** as reformulated in the next 2 slides!)*

Table Filling (Economical ?) Algorithm

Step 1 : reverse the direction of all the edges in A call the result A^R

Step 2 : Form the product automaton $B = A^R \times A^R$ where the states (x,y) and (y,x) are merged and the unreachable states (x,x) are omitted.

Step 3 : Define the initial state set of B as all pairs (f,i) where f is a final state and i is a non-final state.

*Step 4 : Compute all the reachable states of B which are **all** the distinguishable pairs of A ! Complexity = $O((n^2)^2) = O(n^4)$*

Why A^R ?? \rightarrow If for some $a \in \Sigma$, $r' = \delta(r, a)$ and $q' = \delta(q, a)$ and r' and q' are distinguishable then r and q are also distinguishable

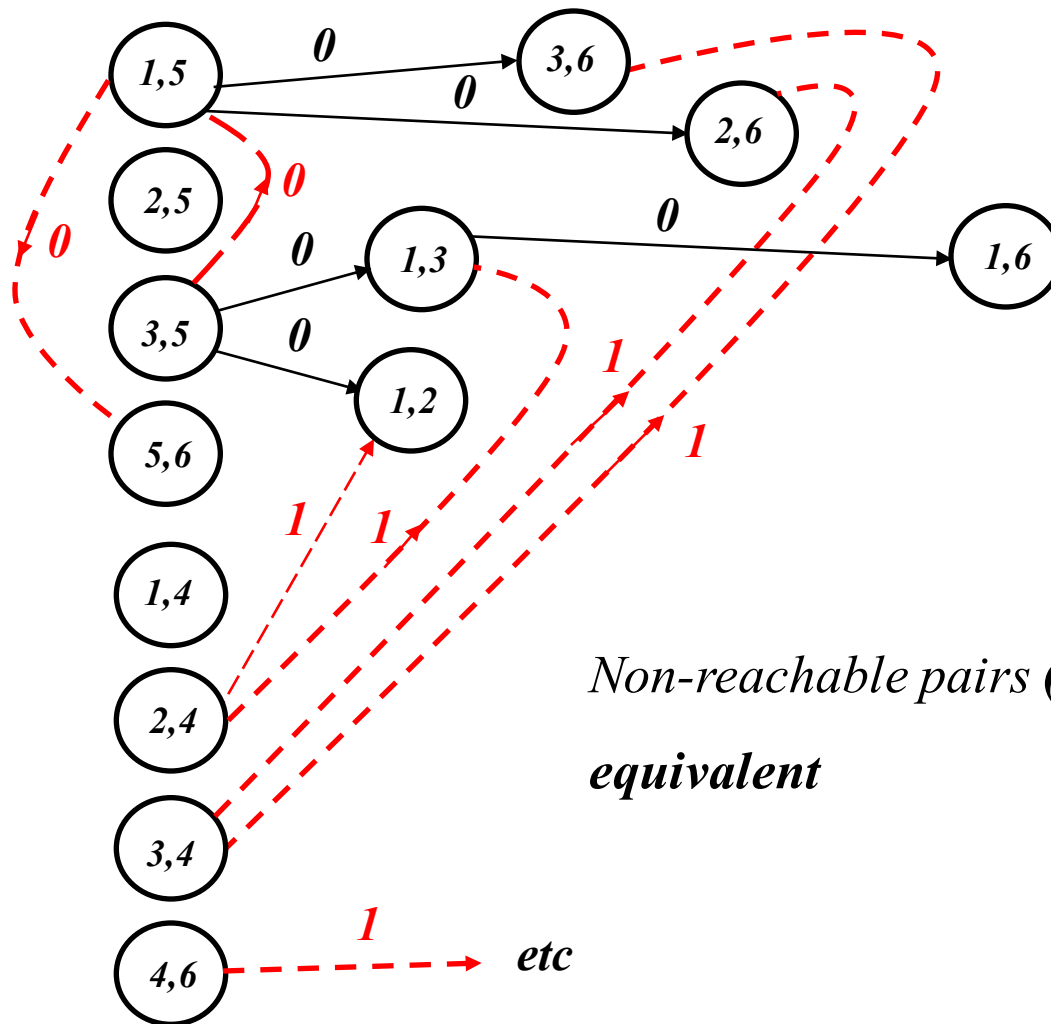
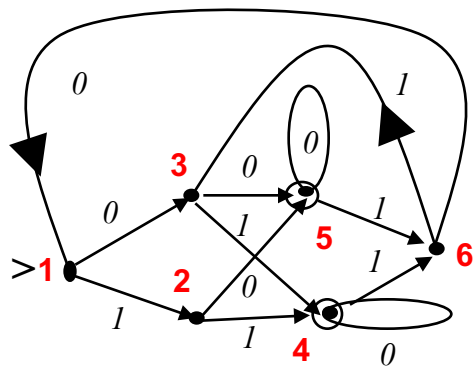
Note :

1 - # of states of $B = (n^2 - n)/2 = O(n^2)$

2 - In the product automaton B there are no transitions to states (x,x) from any (w,z) with $w \neq z$, which justifies their removal in B (this is because A is a DFA)

Example

B has $(36-6)/2 = 15$ states



Non-reachable pairs (2,3) and (4,5) are equivalent

Definition

Let $A=(P,\Sigma, \delta_A, s ,F_A)$ and $B=(Q,\Sigma,\delta_B, t ,F_B)$ be two given DFAs .

Two states $p \in P$ and $q \in Q$ are said to be **equivalent** (shown $p \equiv q$) iff

$\delta_A E(p , u) \in F_A$ if and only if $(\Leftrightarrow) \delta_B E(q , u) \in F_B \quad \forall u \in \Sigma^*$.

A is said to be **equivalent** to B (shown $A \equiv B$) iff $s \equiv t$.

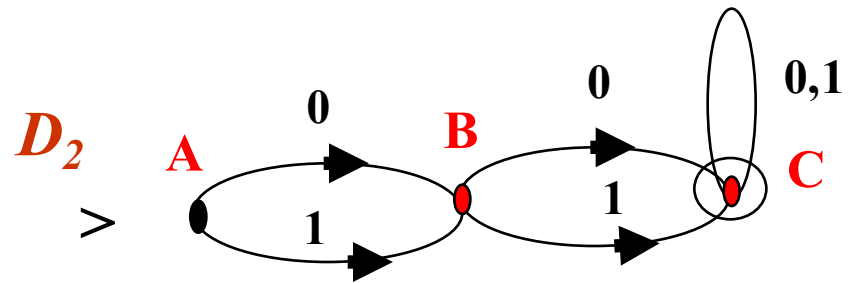
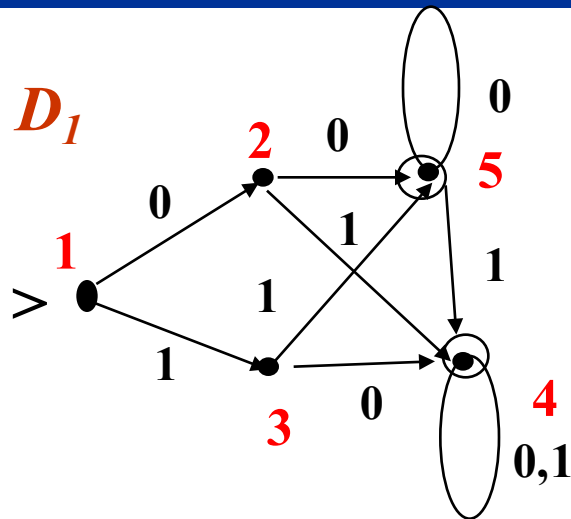
Corollary to the Definition

$A \equiv B$ if and only if $L(A) = L(B)$

Proof of Corollary

$A \equiv B \Leftrightarrow s \equiv t \Leftrightarrow \delta_A E(s , u) \in F_A (\Leftrightarrow) \delta_B E(t , u) \in F_B$

$\Leftrightarrow u \in L(A) (\Leftrightarrow) u \in L(B)$



	2	3	4	5	A	B	C
1	X	X	X	X		X	X
2			X	X	X		X
3			X	X	X		X
4					X	X	
5					X	X	
A						X	X
B							X

$$1 \equiv A$$

$$D_1 \equiv D_2$$

$$L(D_1) = L(D_2)$$

Theorem

*Any pair of states that are not eventually filled by the **Table Filling Algorithm** are **equivalent** states (either within a **DFA** or in two distinct **DFAs**)*

Proof: Call a pair (p,q) unfilled by Algo a **bad** pair. Then (p,q) is an unreachable state of the automaton $B := A^R \times A^R$ in the previous slide.

Suppose now that p and q are distinguishable states. Then there is an input string s such that $p' := \delta_A E(p,s) \in F_A$ and $q' := \delta_A E(q,s) \notin F_A$. But this implies that $(p,q) \in \delta_B E((p',q'), s^R)$ where s^R is s reversed which further contradicts that (p,q) is unreachable in B in which (p',q') is an initial state.

*A **minimum state machine** is obtained after all equivalent states are merged into common states thereby reducing the total number of states. Merging of equivalent states is justified by the proved fact that all the states on a common path from two equivalent states remain equivalent*

*Can you beat a minimum state machine **M** that accepts **L** ?*

*Suppose you can ! then there is an **L**-accepting **DFA** , say **W**, with less states than that of **M** . Let u_1, \dots, u_n be strings that drive the initial state to the n distinct states of **M** . Apply these input strings to **W** and by pigeon hole at least 2 such strings will end up in an identical state : a contradiction ! Why ?*

(The auxiliary text : Lewis & Papadimitrou approach p (92-111))

The definition of the state equivalence ' \equiv ' is an equivalence relation

Definition $q \equiv_k p$ if $\delta E(q, u) \in F \Leftrightarrow \delta E(p, u) \in F, \forall u$ with $|u| \leq k$

Proposition $q \equiv_k p \Leftrightarrow q \equiv_{k-1} p \wedge \delta(q, \sigma) \equiv_{k-1} \delta(p, \sigma) \forall \sigma \in \Sigma$

Proof of Proposition

Assume $q \equiv_k p$ then $q \equiv_{k-1} p$ since $|u| \leq k-1 < k$

Also for any $\sigma.u$ with $|\sigma.u| \leq k$, $\delta E(q, \sigma.u) \in F \Leftrightarrow \delta E(p, \sigma.u) \in F$ since $q \equiv_k p$

But this implies $\delta(q, \sigma) \equiv_{k-1} \delta(p, \sigma)$ since $\delta E(\delta(q, \sigma), u) \in F \Leftrightarrow \delta E(\delta(p, \sigma), u) \in F$

Conversely assume $\delta(q, \sigma) \equiv_{k-1} \delta(p, \sigma) \forall \sigma \in \Sigma$ then for any $|w| \leq k$ decompose

$w = \sigma.u$ and by assumption $\delta E(\delta(q, \sigma), u) \in F \Leftrightarrow \delta E(\delta(p, \sigma), u) \in F$

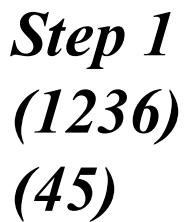
Hence $\delta E(q, w) \in F \Leftrightarrow \delta E(p, w) \in F$ and $q \equiv_k p$

Algorithm (P&L)

Suppose the state set Q is already partitioned according to ' \equiv_k ' with partition $P_k = (Q(1), \dots, Q(m_k))$

then each $Q(i)$ is split further by ' \equiv_{k+1} ' where q and p are in the same component if and only for all $\sigma \in \Sigma$ both $\delta(q, \sigma)$ and $\delta(p, \sigma)$ are in the same $Q(j)$, for some j .

Algorithm halts if no further splitting occurs



Step 2
(16)
(23)
(45)

Step 3

(1)

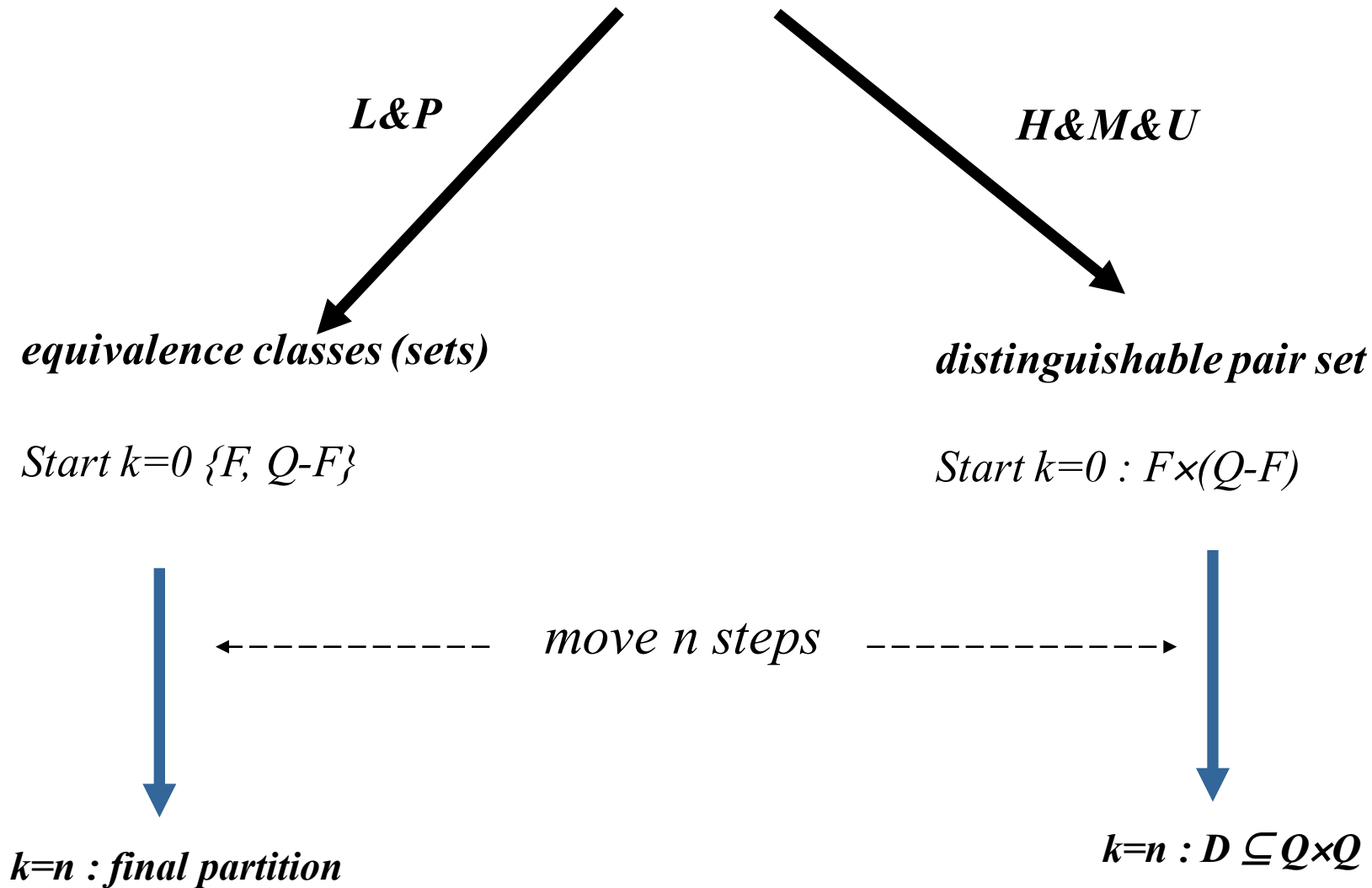
(6)

(23)

(45)

Step 4
No Change!

Two ways of representing equivalence



Note : if $p \equiv q$ then $\delta(p, a) \equiv \delta(q, a)$ for all $a \in \Sigma$

AND...  (notation for NOT in logic)

*if $\delta(p, a) (\neg \equiv) \delta(q, a)$ (distinguishable) for some $a \in \Sigma$ then
 $p (\neg \equiv) q$*

(Logic : $A \Rightarrow B$ is true iff $\neg B \Rightarrow \neg A$)

The Abstract Theory

Let Σ be an alphabet and $L \subseteq \Sigma^$ be a language*

For $u, v \in \Sigma^$: define $u \equiv v$ if $(u.s \in L \Leftrightarrow v.s \in L) \forall s \in \Sigma^*$*

*L is called a **regular** iff there are a finite number of equivalence classes.*

*Define (abstractly !) a **DFA** M as follows :*

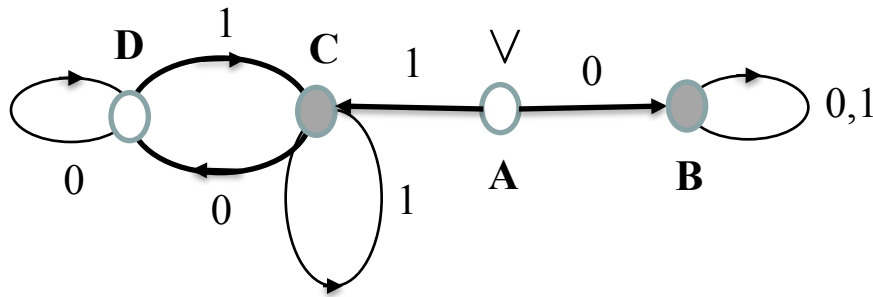
Q = set of equivalence classes ; $\delta([u], a) := [u.a]$; $s = [e]$

$F = ([u] \in Q \mid u \in L)$

*Every **minimum** state **DFA** that accepts L is **isomorphic** to M*

(Myhill-Nerode theory)

Example



States as language equivalence classes

$[e] = e$ for A

$[0] = 0.(0+1)^*$ for B

$[1] = 1.1^*(0.0^*.1.1^*)^* = 1^+(0^+.1^+)^*$ for C

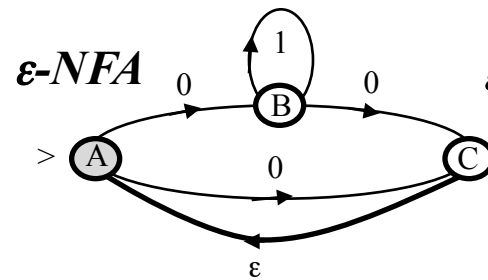
$[10] = 1^+(0^+.1^+)^*.0^+$ for D

$L = L_B + L_C = [0] + [1]$ where L_B and L_C are disjoint sets

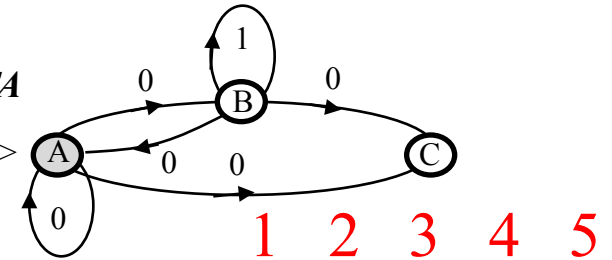
Example

$$E = (0.1*.0+0)^*$$

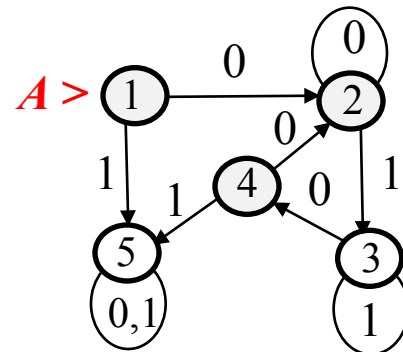
$A(1)$	0	ABC
A	1	$\emptyset(5)$
$ABC(2)$	0	ABC
ABC	1	B
$B(3)$	0	AC
B	1	B
$AC(4)$	0	ABC
AC	1	\emptyset



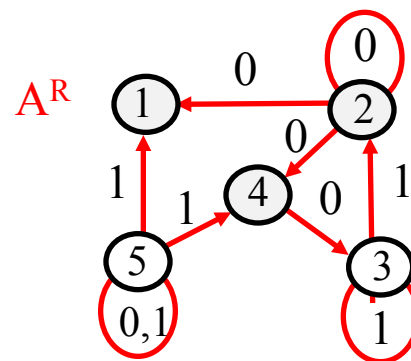
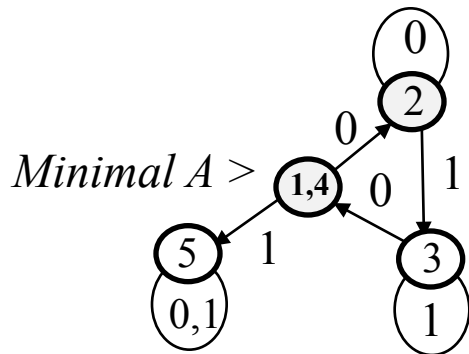
ϵ -NFA to NFA



NFA to the DFA A



	1	2	3	4	5
1		3	1	E	1
2			1	3	1
3				1	2
4					1
5					



$A^R \times A^R$

