

# Dynamic programming-2

## 0-1 Knapsack problem

How to pack the bag to maximize total amount without overloading your luggage ?



# Reminder :Elements of Dynamic Programming

For implementing dynamic programming we should be able to break the original problem to smaller subproblems that have the same structure:

- **Optimal Substructure**

- An optimal solution to a problem can be constructed efficiently from optimal solutions of its subproblems.

- **Overlapping Subproblems**

- A problem has overlapping subproblems if finding its solution involves solving the same subproblem multiple times.

→ DP :Solves problems recursively by combining the solutions to **similar smaller overlapping subproblems**

# Reminder : Memoization

- Memoization is another way to deal with **overlapping subproblems** in dynamic programming
- After computing the solution to a subproblem, **store it in a table** and in subsequent calls just do a **table lookup** .
- With memoization, we implement the algorithm recursively:
  - If we encounter a subproblem we have already seen, we look up the answer
  - If not, we compute the solution and add it to the list of subproblems we have seen.
- Most useful when the algorithm is easiest to implement recursively, especially if we do not need solutions to all subproblems.

# Knapsack Problem

- We are given a set of  $n$  items, where each item  $i$  is specified by a weight  $w_i$  (or size  $s_i$ ) and a value  $v_i$  (*benefit*  $i$ ).
- We are also given a limit  $W$ , the capacity of our knapsack.

Problem: How to pack the knapsack to achieve maximum total value of packed items?

Example1:

$n = 4$  (# of elements)

$W = 5$  (maximum capacity)

Elements (weight, value) = { (1, 100), (3, 240), (2, 140), (5, 150) }

Solution : (2,3) ,Benefit =240+140

Example2:

$n=4$ ,  $W=4$  weights={5, 7, 5, 6}

no solution

# Knapsack Problem Types

There are two versions of the problem:

1. “0-1 knapsack problem”

Items are **indivisible**; you either take an item or not. Some special instances can be solved with dynamic programming

2. “Fractional knapsack problem”

Items are **divisible** : you can take any fraction of an item.

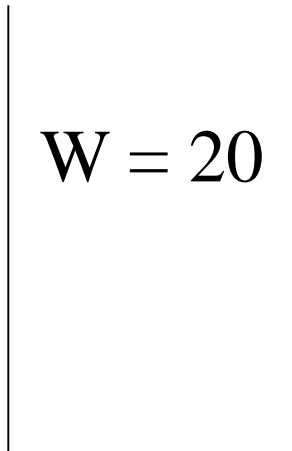
→ 0-1 means take item or leave it (no fractions). We are going to consider this problem.





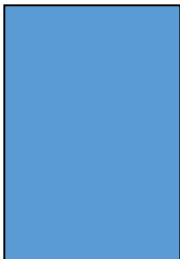
# The 0-1 Knapsack Problem

- How to apply Dynamic Programming ? We have difficulties.
  - Difficulty is in characterizing subsets :
    - Find best solution **for first k units and use it later** – no good, as optimal solution doesn't necessarily build on earlier solutions. Why ?
      - Find best solution for first k units, within quantity limit
      - Either use previous best at this limit, or new item plus **previous best at reduced limit**
- Previous solution for k has to be changed.
- This is contrary to standard Dynamic Programming !
- We need a different formulation of subsets.

# 0-1 Knapsack Problem: Example

This is a knapsack  
Max weight:  $W = 20$



	Weights	Benefit values
Items	$w_i$	$b_i$
	2	3
	3	4
	4	5
	5	8
	9	10

# 0-1 Knapsack problem

Problem: Find

$$\max \sum_{i \in T} b_i \text{ subject to } \sum_{i \in T} w_i \leq W$$

- The problem is a “0-1” knapsack problem, because each item must be entirely accepted or rejected



# Reminder : Solving 0-1 Knapsack Problem by Brute-force Approach

Let's first try to solve this problem with a straightforward Brute Force algorithm:

- Since there are  $n$  items, there are  $2^n$  possible combinations of items.
- We go through all combinations and find the one with highest total value and with total size less than or equal to  $W$
- Complexity will be  $O(2^n)$

Example:  $n=3$ , items A,B,C

Possible knapsack contents :

$S = \{\text{Empty, A, B, C, AB, AC, BC, ABC}\}$

$|S| = 8 = 2^3$

# Defining a Subproblem

- We can do better with an algorithm based on dynamic programming
- We need to identify the subproblems carefully.

DP solution should be composed of solutions to subproblems for subsets of  $k$  items  $(1, 2, \dots, k)$ .

→ If items are labeled  $1..n$ , then a **subproblem** would be to **find an optimal solution** for

$$S_k = \{\text{items labeled } 1, 2, \dots, k\}$$

# Defining a Subproblem

- This is a valid subproblem definition.
- But here is dynamic programming question : can we describe the final solution ( $S_n$ ) in terms of subproblems ( $S_k$ ) ?
- Unfortunately **the answer is no**, we can not do that.  
Why ?

Explanation follows....

# Defining a Subproblem

Example:

- Assume that the best set of items for  $k=3$ :  
 $S_k = \{I_0, I_1, I_2\}$  is  $\{I_0, I_1, I_2\}$ .
- But, the best set of items from  $S_{k+1} = \{I_0, I_1, I_2, I_3\}$  may be  $\{I_0, I_2, I_3\} \neq \{I_0, I_1, I_2\}$ .
- In this example, the optimal solution,  $\{I_0, I_2, I_3\}$ , **does NOT build** upon the previous optimal solution.
- Instead it builds upon the solution  $\{I_0, I_2\}$ , which is really the optimal subset of  $\{I_0, I_1, I_2\}$

# Defining a Subproblem : Example1

Consider the previous example :

$n = 4$  (# of elements)

$W = 5$  (maximum capacity)

Elements (weight, value) =  $\{(1, 100), (3, 240), (2, 140), (5, 250)\}$

$k=1$ , Solution : Item 4

$k=k+1 = 2$  ,Solution :Items 2 and 3

→Item 4 is not part of  $k=2$  solution.

# Defining a Subproblem : Example2

$w_1=2$	$w_2=4$	$w_3=5$	$w_4=3$	
$b_1=3$	$b_2=5$	$b_3=8$	$b_4=4$	

Max weight:  $W = 20$

Items in  $S_4$ : 1,2,3,4

Total weight=14

Maximum benefit=20

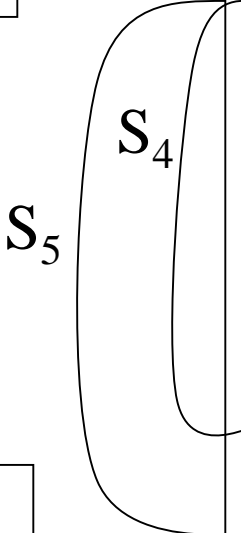
$w_1=2$	$w_2=4$	$w_3=5$	$w_5=9$
$b_1=3$	$b_2=5$	$b_3=8$	$b_5=10$

Items in  $S_5$  : 1,2,3,5

Total weight: 20

Maximum benefit: 26

Item #	Weight $w_i$	Benefit $b_i$
1	2	3
2	4	5
3	5	8
4	3	4
5	9	10



Solution for  $S_4$  is not part of the solution for  $S_5$ !!!

# Adding another Parameter to Subproblems

- As we have seen, the solution for  $S_4$  is not part of the solution for  $S_5$
- So our definition of a subproblem is not valid and we need another one!
- Let's add another parameter:  $w$ , which will represent the exact total weight for each subset of items.

The problem is:

How to find an optimal solution for the combination  $(k, w)$ ?

**Warning** :  $W$  and  $w$  are different!  $W$  represents the total capacity,  $w$  represents a quantity that must be optimized for each choice of  $k$ .

# Recursive Formulation for Subproblems

- The subproblems will then be to compute the elements of a matrix  $V[k, w]$ .

→ *We have to optimize:*

$S_k = \{\text{items labeled } 1, 2, \dots k\}$  in a knapsack of size  $w$

Assuming we know  $V[i, j]$ , where  $i=0, 1, 2, \dots k-1$ ,  $j=0, 1, 2, \dots w$ , how to derive  $V[k, w]$ ?

$V[k, w]$  = Matrix (Table) value for choices  $k$  and  $w$ .

→ Find these values **using previous entries** in the table.

→ This is **Memoization!**



# Recursive Formulation for Subproblems

$$V[k, w] = \begin{cases} V[k-1, w] & \text{if } w_k > w \\ \max\{V[k-1, w], V[k-1, w - w_k] + b_k\} & \text{else} \end{cases}$$

The best subset of  $S_k$  that has the total weight  $\leq w$ , either contains item  $k$  or not. Two cases :

- First case:  $w_k > w$ . Item  $k$  can't be part of the solution, since if it was, the total weight would be  $> w$ .
- Second case:  $w_k \leq w$ . Then the item  $k$  can be in the solution, and we choose *the case with greater (max) value*.

# Recursive Formulation of Subproblems:Explanation

The formulation means that, the best subset of  $S_k$  that has total weight  $w$  is one of the two:

- 1) the **best subset** of  $S_{k-1}$  that has total weight  $w$ , **or**
- 2) the **best subset** of  $S_{k-1}$  that has total weight  $w-w_k$  **plus the value of item  $k$**

→The best subset of  $S_k$  that has the total weight  $w$ , either contains item  $k$  or not(Notice the similarity with shortest paths solution).

- First case:  $w_k > w$ . **Item  $k$  can't be part of the solution**, since if it was, the total weight would be  $> w$ , which is unacceptable
- Second case:  $w_k \leq w$ . Then **item  $k$  can be in the solution**, and we choose the case with greater value.

# 0-1 Knapsack Algorithm

Input: Sets of items  $S$  and  $w$  weights. Weights and values are stored in separate arrays. Output: Total value of best set items in a valid knapsack.

## Algorithm Knapsack01

for  $w = 0$  to  $W$

$V[0, w] = 0$

for  $i = 1$  to  $n$

$V[i, 0] = 0$

for  $i = 1$  to  $n$

for  $w = 0$  to  $W$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Explanation of 0-1 Knapsack Algorithm

- Initializations for empty knapsack:  
**First for-loop:** We go through all the possible sizes of our knapsack until  $W$  and if item  $i$  is equal to 0, which is " $V[0, w]$ ", maximum value is 0. Because when  $i = 0$ , this means that we are not taking any item.
- **Second for-loop:**  $i = 1$  to  $n$ . We go through all the items from 1 to  $n$  and if the knapsack's size is equal to 0, which is " $V[i, 0]$ ". Corresponding values is again 0. Because when  $w = 0$ , this means that we can't put anything in the knapsack
- The outer if and else conditions inside the third for loop ( $w_i \leq w$ ) check **if the knapsack can hold the current item or not.**
- The inner if and else conditions check **if the current value is bigger than the previous value** so as to maximize the values the knapsack can hold.

# Knapsack 0-1 Problem – Run Time

for  $w = 0$  to  $W$

$B[0,w] = 0$

$O(W)$

for  $i = 1$  to  $n$

$B[i,0] = 0$

$O(n)$

for  $i = 1$  to  $n$

for  $w = 0$  to  $W$

Repeat  $n$  times

$O(W)$

< the rest of the code >

What is the running time of this algorithm?

$$T(n) = O(W) + O(n) + O(n*W)$$

$$= O(n*W)$$

# Run Time Comparison

- Remember that the brute-force algorithm takes  $O(2^n)$
- When comparing complexities, we find that depending on  $W$ , either the dynamic programming algorithm is more efficient or the brute force algorithm could be more efficient.
- For example, for  $n=5$ ,  $W=100000$ , brute force is preferable, but for  $n=30$  and  $W=1000$ , the dynamic programming solution is preferable:

Case1:  $n=5$  :  $2^n = 32$  ,  $n*W = 500000$

Case2:  $n=30$  :  $2^n = 1073741824$  ,  $n*W = 30000$

# Tracing 0-1 Knapsack Algorithm : Example(1)

Consider the following sample data:

**Input :**

$n = 4$  (# of elements)

$W = 5$  (max weight, capacity)

Elements : (weight, benefit)

(2,3), (3,4), (4,5), (5,6)

**Output :**

The table ( matrix)  $V$

## Example (2)

Initialize first row and column to 0.

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1						
2						
3						
4						

for  $w = 0$  to  $W$   
     $V[0, w] = 0$



## Example (3)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0					
2	0					
3	0					
4	0					

for  $i = 1$  to  $n$   
     $V[i,0] = 0$

Recursive computations: use line 0 to calculate line 1, use line 1 to calculate line 2, etc. ... until all lines are calculated.

# Example (4)

Note: All matrix computations can be simplified as :

$$V(i, j) = \max \{ V(i-1, j), b_i + V(i-1, j - w_i) \}$$

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0				
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=1$

$w - w_i = -1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w - w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$ , so accept this.

## Example (5)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3			
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=2$

$w-w_i=0$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$  // Yes

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

Note: that  $V(i, j) = \max \{ V(i-1, j), b_i + V(i-1, j-w_i) \}$

## Example (6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	<b>3</b>		
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=3$

$w-w_i=1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$  // yes

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (7)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	<b>3</b>	
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=4$

$w-w_i=2$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$  // Yes

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (8)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	<b>3</b>
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=5$

$w-w_i=3$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$  // Yes

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (9)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	<b>0</b>				
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=1$

$w-w_i=-2$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (10)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	<b>3</b>			
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=2$

$w-w_i = -1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$



# Example (11)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4		
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=3$

$w-w_i=0$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (12)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=4$

$w-w_i=1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (13)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	<b>7</b>
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=5$

$w-w_i=2$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (14)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4		
4	0					

$i=3$  4: (5,6)

$b_i=5$

$w_i=4$

$w=1..3$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (15)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	
4	0					

$i=3$

$b_i=5$

$w_i=4$

$w=4$

$w - w_i=0$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w - w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (16)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=3$

$b_i=5$

$w_i=4$

$w=5$

$w - w_i=1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w - w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (17)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	

$i=4$

$b_i=6$

$w_i=5$

$w=1..4$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (18)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	$\downarrow$ 7

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=4$

$b_i=6$

$w_i=5$

$w=5$

$w - w_i = 0$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w - w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$



# Comments

- The **maximum value of benefit** that can be obtained for optimal packing is 7.
- This algorithm only finds the max possible value (Total benefit) that can be carried in the knapsack
- To know **the items that make this maximum** value, an addition to this algorithm is necessary
- All we need is to trace back the computations for the maximum value in the table

# How to find actual Knapsack Items

- All of the information we need is in the table.
- $V[n, W]$  is the maximal value of items that can be placed in the Knapsack.
- Let  $i=n$  and  $k=W$

if  $V[i, k] \neq V[i-1, k]$  then

mark the  $i^{\text{th}}$  item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$  // Assume the  $i^{\text{th}}$  item is not in the knapsack

// Could it be in the optimally packed knapsack?

# Finding the Items

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=4$

$k=5$

$b_i=6$

$w_i=5$

$V[i,k] = 7$

$V[i-1,k] = 7$

$i=n, k=W$

while  $i, k > 0$

if  $V[i,k] \neq V[i-1,k]$  then

mark the  $i^{\text{th}}$  item as in the knapsack

$i = i-1, k = k-w_i$

else  $i = i-1$

## Finding the Items (2)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=4$

$k=5$

$b_i=6$

$w_i=5$

$V[i,k] = 7$

$V[i-1,k] = 7$

while  $i, k > 0$

if  $V[i,k] \neq V[i-1,k]$  then

mark the  $i^{\text{th}}$  item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

## Finding the Items (3)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=3$

$k=5$

$b_i=5$

$w_i=4$

$V[i,k] = 7$

$V[i-1,k] = 7$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

while  $i, k > 0$

if  $V[i,k] \neq V[i-1,k]$  then

mark the  $i^{\text{th}}$  item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

## Finding the Items (4)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=2$

$k=5$

$b_i=4$

$w_i=3$

$V[i,k] = 7$

$V[i-1,k] = 3$

$k - w_i = 2$

while  $i, k > 0$

if  $V[i,k] \neq V[i-1,k]$  then

mark the  $i^{\text{th}}$  item as in the knapsack

$i = i-1, k = k - w_i$

else

$i = i-1$

## Finding the Items (5)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=1$

$k=2$

$b_i=3$

$w_i=2$

$V[i,k] = 3$

$V[i-1,k] = 0$

$k - w_i = 0$

while  $i, k > 0$

if  $V[i,k] \neq V[i-1,k]$  then

mark the  $i^{\text{th}}$  item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

## Finding the Items (6)

Items:

- 1: (2,3)
- 2: (3,4)
- 3: (4,5)
- 4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=0$   
 $k=0$

The optimal  
knapsack  
should contain  
{1, 2}

while  $i, k > 0$

if  $V[i, k] \neq V[i-1, k]$  then

mark the  $n^{\text{th}}$  item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$



## Finding the Items (7)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash w$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

The optimal knapsack should contain items (1, 2)

```

while  $i, k > 0$  // No, stop
    if  $V[i, k] \neq V[i-1, k]$  then
        mark the  $n^{\text{th}}$  item as in the knapsack
         $i = i-1, k = k-w_i$ 
    else
         $i = i-1$ 
    
```

# Knapsack Problem : Applications

- Applicable in most resource allocation problems where there are **financial or other constraints** :
  - Resource allocation with financial constraints
  - Construction and scoring of heterogeneous test sets (If each question has a different value , how to maximize the mark?)
  - Selection of capital investments
- Finding the **least wasteful way to cut** raw materials.
- Computer games : Pick the most valuable set of items from a treasure to carry.

```

// A Dynamic Programming based c++ solution for 0-1 Knapsack
#include<iostream>
using namespace std;
int max(int a, int b) { return (a > b)? a : b; }
// Returns the maximum value that can be put in a knapsack of capacity W
int knapSack(int W, int wt[], int val[], int n)
{
    int i, w;
    int K[n+1][W+1];
    // Build table K[][] in bottom up manner
    for (i = 0; i <= n; i++)
    {
        for (w = 0; w <= W; w++)
        {
            if (i==0 || w==0)
                K[i][w] = 0;
            else if (wt[i-1] <= w)
                K[i][w] = max(val[i-1] + K[i-1][w-wt[i-1]], K[i-1][w]);
            else
                K[i][w] = K[i-1][w];
        }
    }
    return K[n][W];
}

```

```
// Driver
```

```
int main()
```

```
{
```

```
    int val[] = {2,3,4,5};
```

```
    int wt[] = {3,4,5,6};
```

```
    int W = 5;
```

```
    int n = sizeof(val)/sizeof(val[0]);
```

```
    //cout<<n<<endl;
```

```
    cout<<"Max. Knapsac Value = "<<knapSack(W, wt, val, n);
```

```
    return 0;
```

```
}
```

```
//Max. Knapsac Value = 7
```

# Conclusion

- Dynamic programming is a technique for making a sequence of **interrelated decisions**.
- “Programming”: A tabular method (not writing computer code)
- It may be used to solve dynamic optimization problems, where the problem can be split into **overlapping subproblems**
- It requires formulating an appropriate recursive relationship for each individual subproblem
- When the solution can be recursively described in terms of optimal partial solutions, we can **store these partial solutions and re-use** them as necessary (Memoization).
- Running times are usually better than Brute Force and other naïve algorithms . Example:  
0-1 Knapsack problem:  $O(W \cdot n)$  vs.  $O(2^n)$