

Algorithm Design : Greedy Algorithms

The seven deadly sins in Christianity (Also in other religions) :
Envy, gluttony, **greed** , lust, pride, sloth, wrath



Optimization problems

- An optimization problem is one in which you want to find, not just *a* solution, but the *best solution*
- A “greedy algorithm” sometimes *works well* for most optimization problems
- A greedy algorithm works in phases. At each phase:
 - You *take the best you can get* right now, without regard for future consequences
 - You hope that by choosing a *local optimum* at each step, you will end up at *global* optimum

In order to get what you want, just grab what looks best!

Greedy Properties

1. "greedy-choice property" It says that a globally optimal solution can be arrived at by making a series of **locally optimal choices**.
 2. "optimal substructure" A problem exhibits optimal substructure if an optimal solution to the problem contains **optimal solutions to the sub-problems**.
- In order for greedy heuristic to solve the problem, the optimal solution to the big problem should contain **optimal solutions to sub problems**

The Structure of Greedy Algorithms

Algorithm Greedy (a , n)

//a[1:n]contains the n inputs.

solution = 0 //initialize the solution.

for i =1 to n do

{

 x =SelectFrom(a)

 if Feasible(solution, x) then

 solution = Union(solution , x) //Accept partial sol.

}

return solution

The Structure of Greedy Algorithms

- *Select()* selects an input from $a[]$ and removes it.
the selected input value is assigned to x .
- *Feasible()* is a boolean-valued function that determines whether x can be included into the solution vector (no constraints are violated?).
- *Union()* combines x with the solution so far and updates the objective function.

Example : Coin Changing Problem

- Greedy Algorithm works by making the decision that seems most promising at any moment; it never reconsiders this decision, whatever situation may arise later.

- As an example consider the Change Making problem:

"What is the minimum number of coins that add up to a given amount of money ?"

Assume available coins are:

- 100 cents, 25 cents, 10 cents ,5 cents,1 cent
- A Greedy Algorithm : Use **fewest possible coins** always.
→ At each step, take the largest possible bill or coin that does not make the **sum > required**

Coin changing problem

//Goal: Make change for **n** units using the least possible number of coins.

MAKE-CHANGE (**n**)

```
C ← {100, 25, 10, 5, 1} // constant.  
S ← {}; // Initially empty set that will hold the solutions  
Sum ← 0 sum of items in solution set  
WHILE sum != n  
    x = largest item in set C such that (sum + x) ≤ n //Greedy choice  
    IF no such item THEN  
        RETURN "No Solution" //Not feasible  
    S ← S Union (value of x) //Include x to solution set  
    sum ← sum + x  
RETURN S
```

How the Algorithm Works

- **Example :** Make a change for 2.89 (289 cents) here $n = 2.89$ and the solution contains 2 dollars, 3 quarters, 1 dime and 4 pennies.
- The algorithm is greedy because at every stage it **chooses the largest coin** without worrying about the consequences.
- Moreover, **it never changes its choice** : once a coin has been included in the solution set, it remains there.

Note: The greedy solution may not work for some currency systems.

Some Greedy Algorithms

- **Dijkstra's algorithm** for finding single source shortest paths in a graph
- **Kruskal's algorithm** for finding a minimum-cost spanning tree
- **Prim's algorithm** for finding a minimum-cost spanning tree
- **Huffman algorithm** for finding minimal length codes for data compression
- **Knapsack algorithm** for filling optimally a space with different items

Single Source Weighted Shortest Paths

- The problem: Given a weighted graph $G=(V, E, W)$ for which there is **no negative weight** and one of the vertices is specified to be the **source vertex**.
- Determine the cost of the **shortest paths** from the source to every other vertex in V .

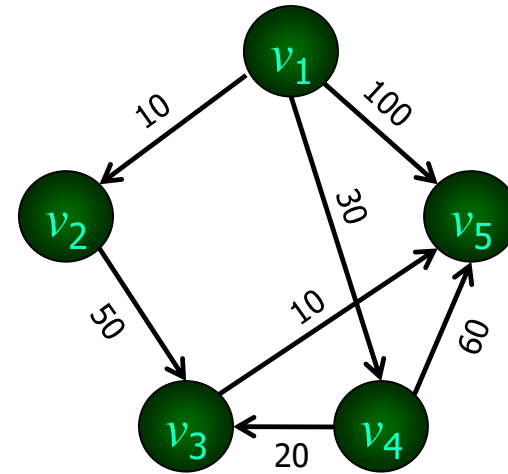
Dijkstra's Algorithm can be used to solve this problem.

(Developed by E.W.Dijkstra, 1959)

An Application of Weighted Shortest Paths

The graph G represents an airline map.

- Vertices in G represent cities
- Each directed edge in G represents a route from one city to another city.
- Each weight represents the time required for flying from one city to another city.
- When we solve the single source shortest path problem, we will be able to determine the minimum flight time from **a given city (v_1)** to **every other city** on the map.



Dijkstra's Algorithm

- Dijkstra's algorithm – A greedy solution to the **single-source shortest paths problem** in graph theory.
- Works on both **directed and undirected graphs**. However, all edges must have nonnegative weights.
Input: **Weighted graph** $G=\{E,V,W\}$ and source vertex $s \in V$.
Output: **Lengths of shortest paths** (or the shortest paths themselves) from a given source vertex $s \in V$ to all other vertices

Dijkstra's Algorithm : Idea

- Problem: We have a weighted graph $G = (V, E, W)$.
Find **shortest paths from a given node to all other nodes**.
 - Start with source vertex **s** and iteratively construct a tree rooted at **s**
 - Each vertex keeps track of current cheapest path **from s**
 - At each iteration, include the vertex whose **cheapest path from s** is the overall cheapest :
- The choice is greedy !

Dijkstra's Algorithm - Pseudocode

//Weighted graph G and source vertex s are available

Dijkstra(G,s)

dist[s] \leftarrow 0 //distance to source vertex is zero

for all $v \in V - \{s\}$

do dist[v] $\leftarrow \infty$ //set all other distances to infinity

S $\leftarrow \emptyset$ //S, visited vertices set. Initially empty

Q $\leftarrow V$ //Q, the queue initially contains all vertices)

while Q $\neq \emptyset$ //while the queue is not empty

do $u \leftarrow \text{mindistance}(Q, \text{dist}[v])$ //select the el. of Q with the min. dist. from s

S $\leftarrow S \cup \{u\}$ //add u to list of visited vertices

for all $v \in \text{neighbors}[u]$

do if dist[u] + w(u, v) < dist[v] // if new shortest path found

then d[v] \leftarrow d[u] + w(u, v) //Update shortest path

// (if desired, add code here to display the path

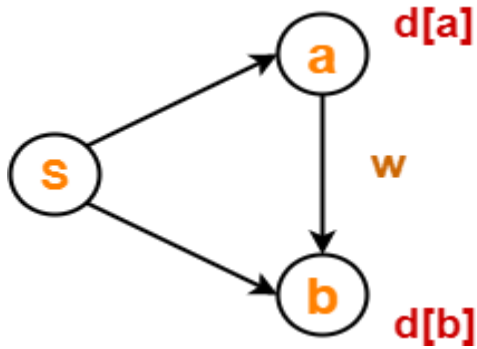
return dist //dist now includes shortest distances from s

end //Dijkstra

How Distances are Updated ?

Let $d[a]$ and $d[b]$ denote the previous shortest paths for vertices a and b respectively from the source vertex S .

Can we find a shorter path to b if we go over a ?



Yes if $d[a] + w < d[b]$ then we update: $d[b] = d[a] + w$
We repeat this for all neighbors of a .

Example : Initialization

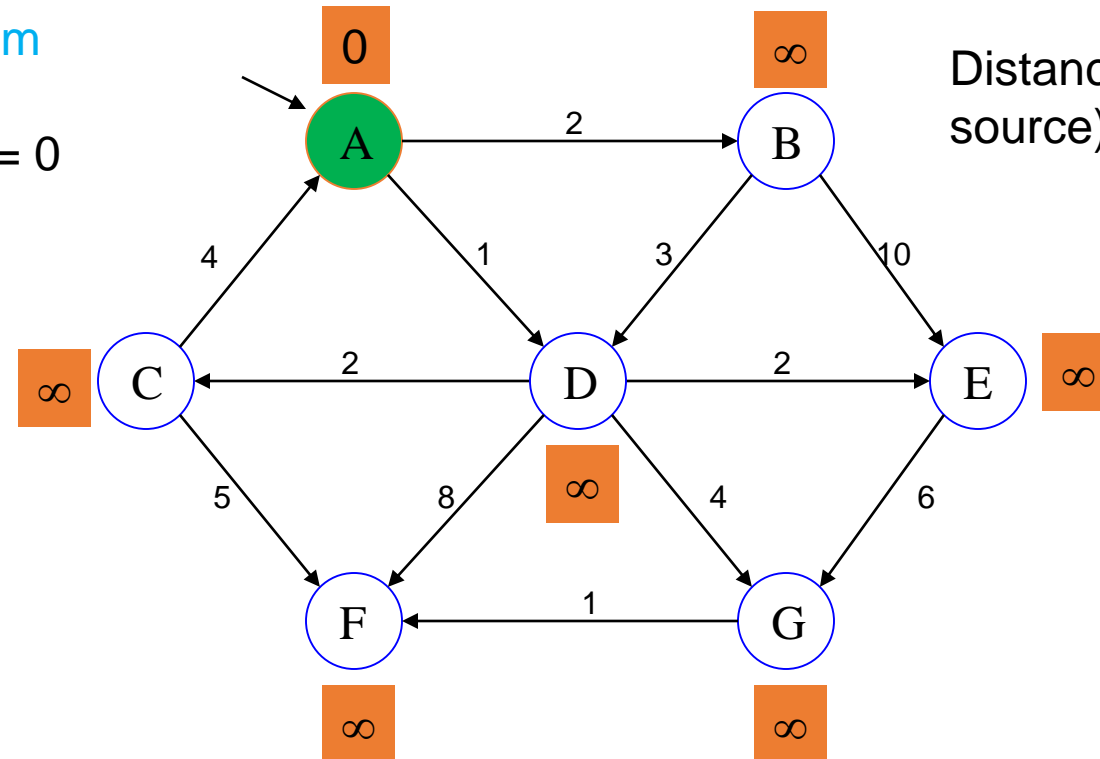
Initialize : $Q = \{A, B, C, D, E, F, G\}$, source A, $S = \{A\}$, A is known(visited)

Distances of neighbors from source:

Distance(source) = 0

Distance(D) = 1

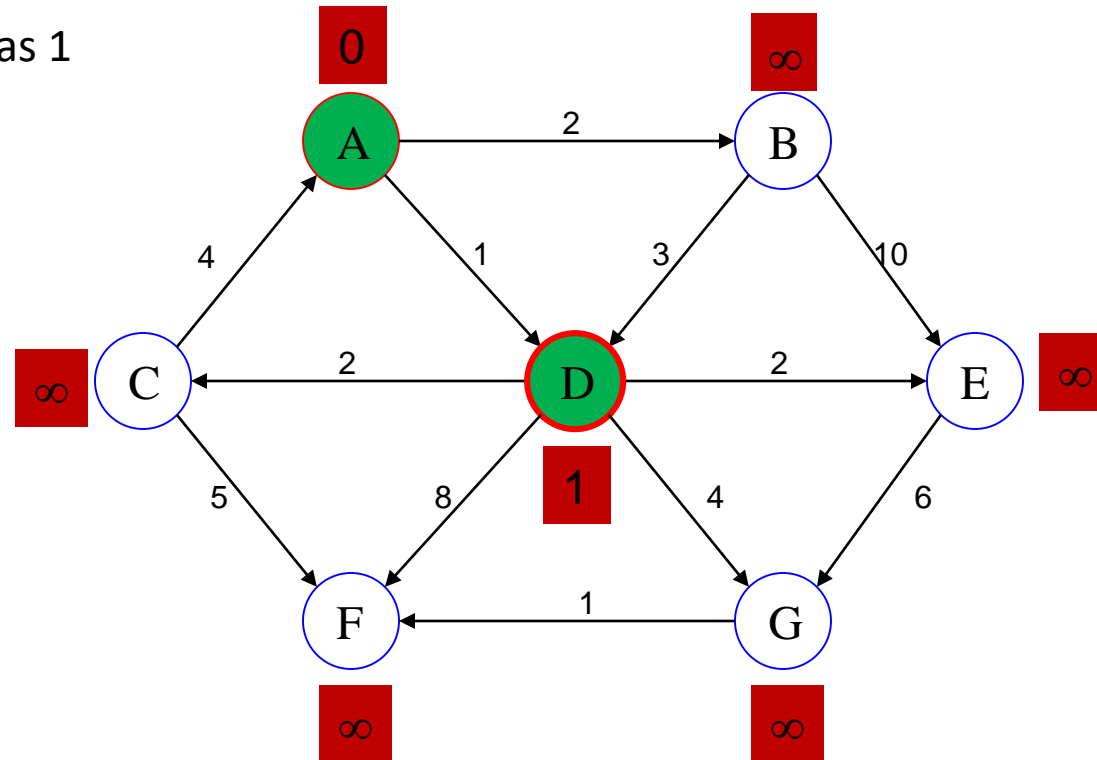
Distance(B) = 2



Pick vertex in List with minimum distance: **D**. (**u**) in the algorithm)

Remove the Vertex With Minimum Distance

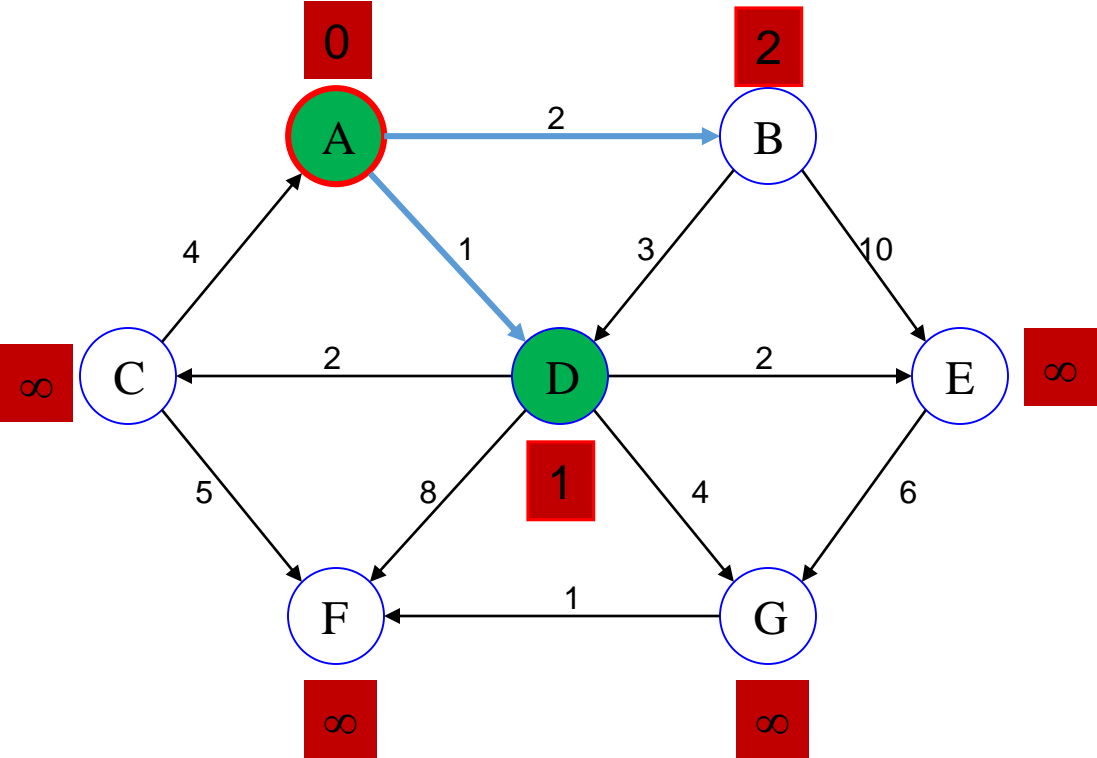
Update D's distance as 1



Mark D as **known**. **Add D:** **S= { A,D }**

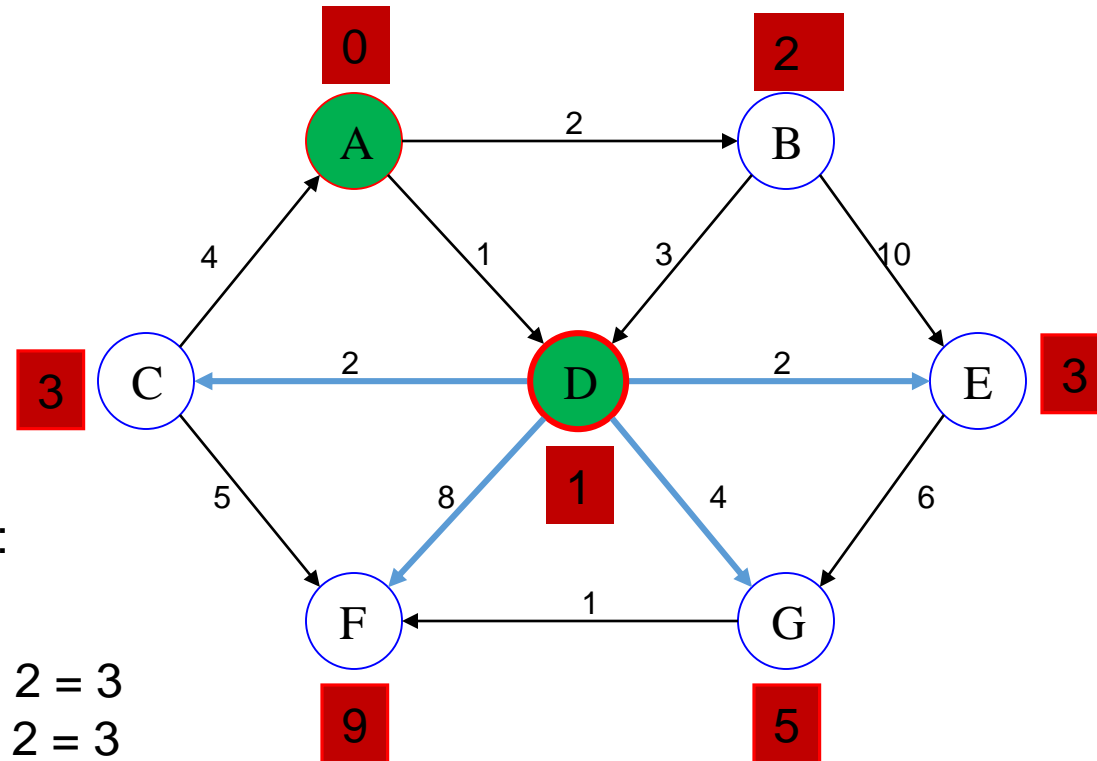
Red arrows indicate paths from destination to source.

Update Neighbor Distances of A and D: B,C,E,F,G



Update neighbor distances:

Find all distances from A to others (Check one edge paths and all paths over D)



Update distances:

Distance(B) = 2

Distance(C) = 1 + 2 = 3

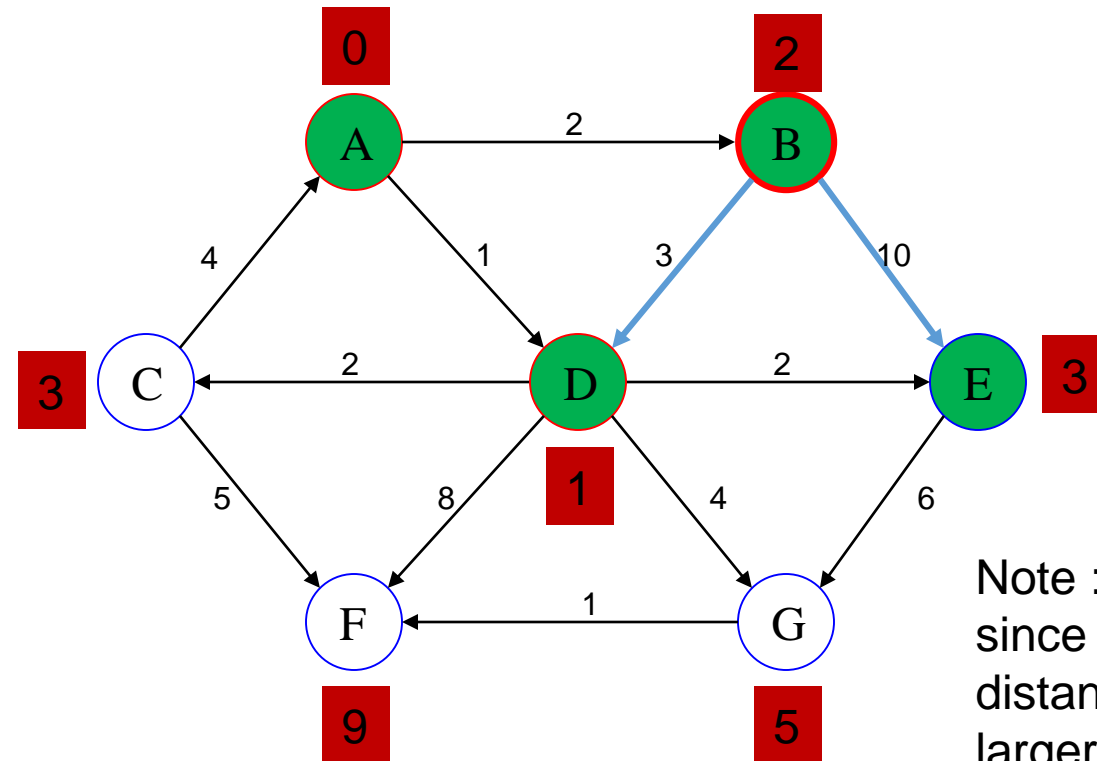
Distance(E) = 1 + 2 = 3

Distance(F) = 1 + 8 = 9

Distance(G) = 1 + 4 = 5

Update Neighbors

Pick vertex in the list with minimum distance: (B) and update its neighbors



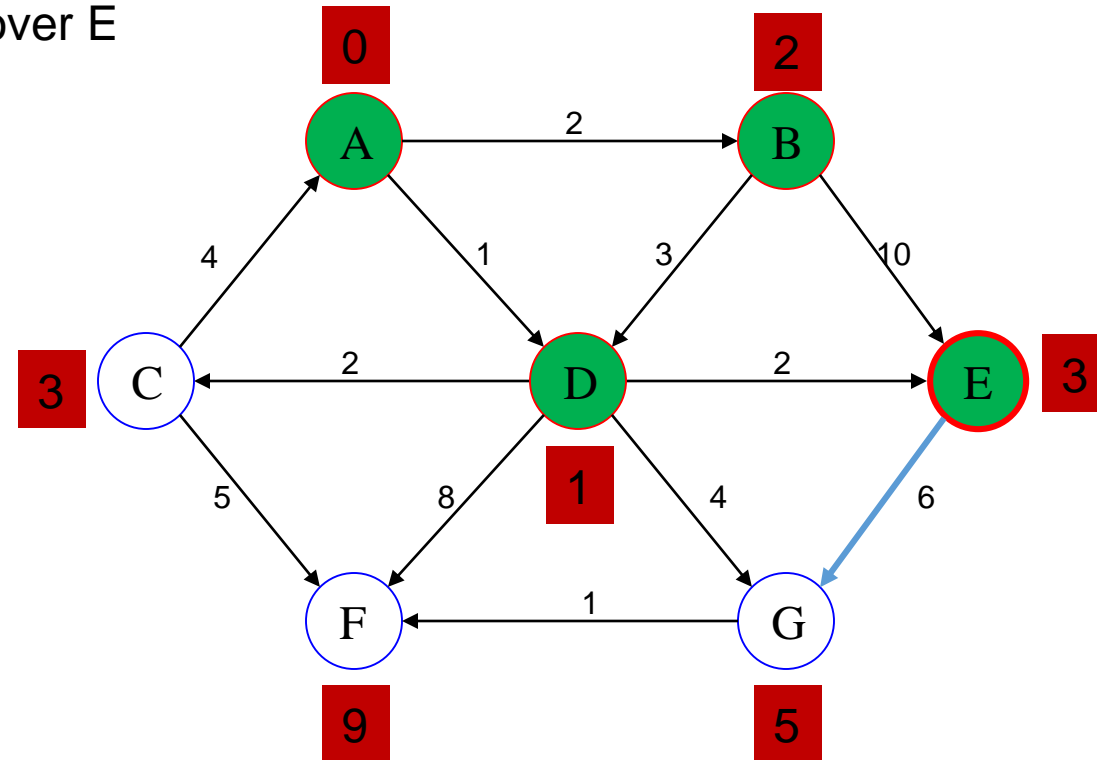
Mark B as known, $S = \{A, B, D\}$

Mark E as known, $S = \{A, B, D, E\}$

Update Neighbors

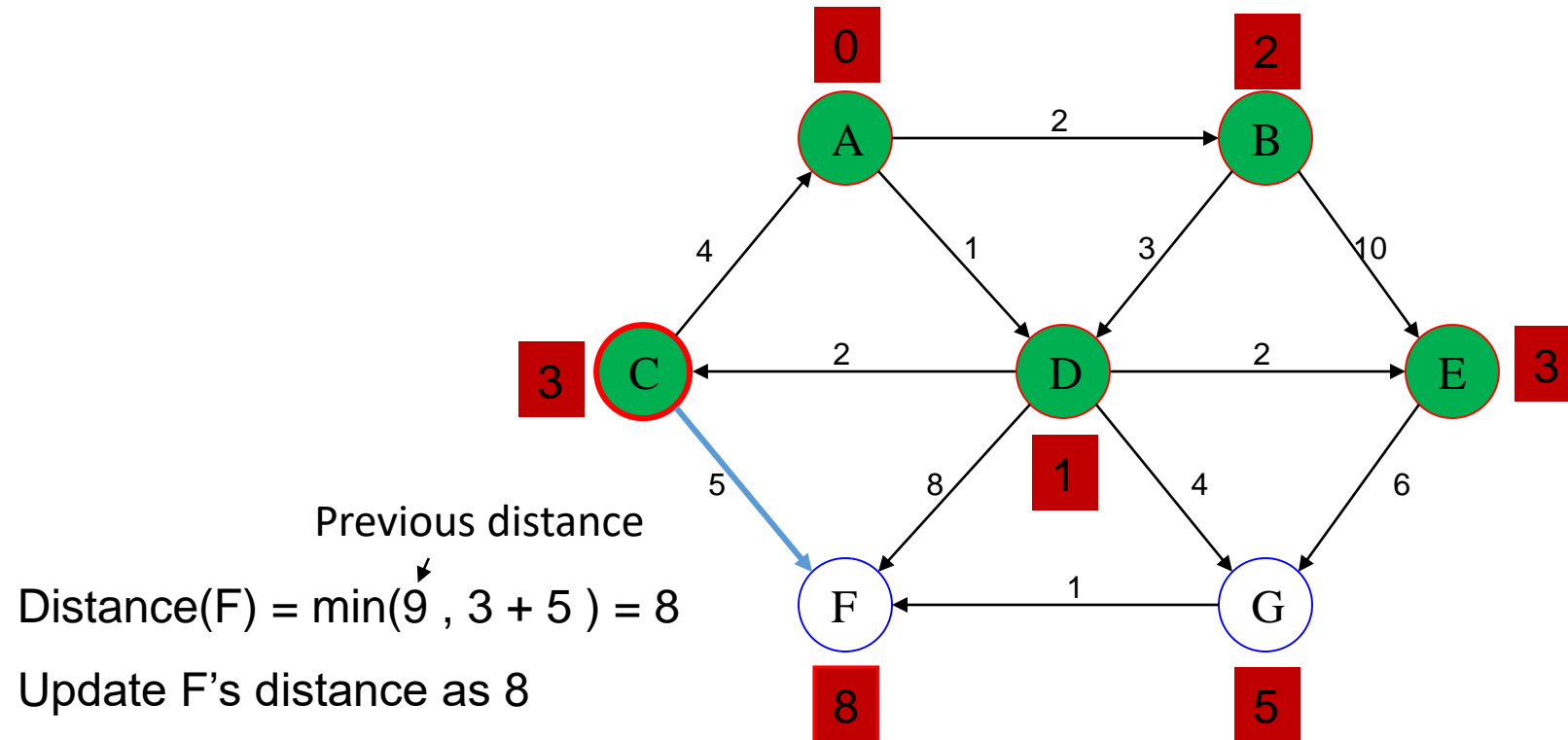
Pick List vertex over E with minimum distance: Update neighbors

No updating possible over E



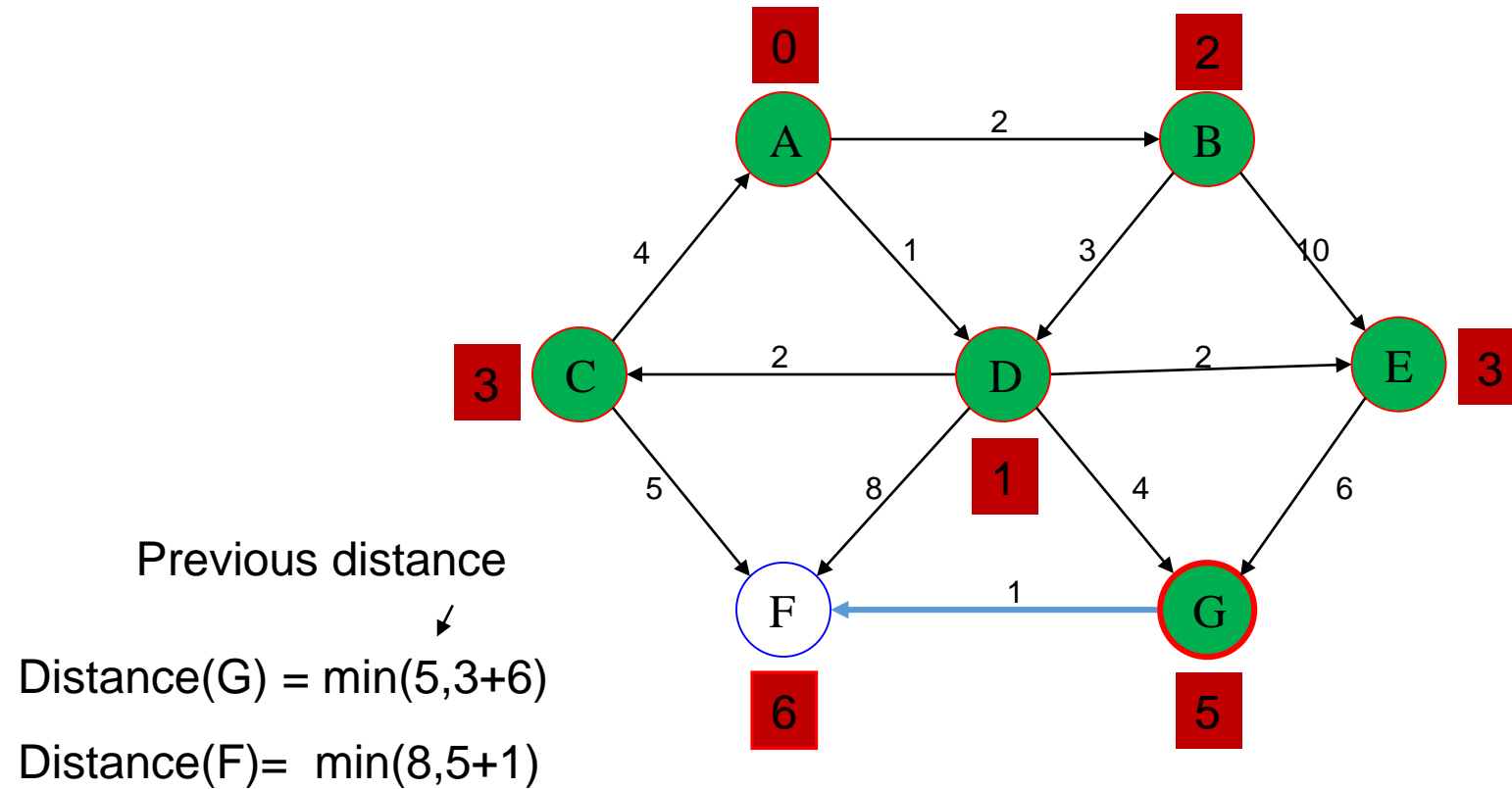
Update Neighbors

Pick next list vertex with minimum distance: C. Update neighbors



Update Neighbors

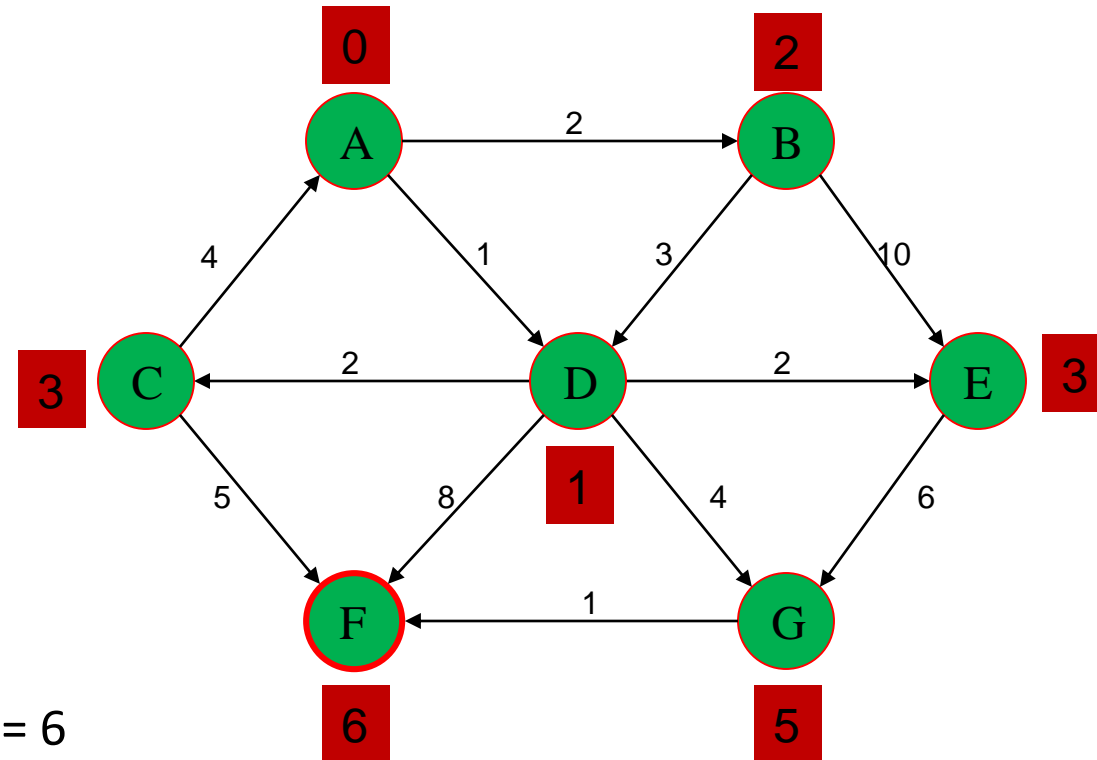
Pick vertex List with minimum distance : G. Update neighbors



Mark G as known : S = {A, B, C, D, E, G}

Example Finished

Pick vertex not in S with lowest cost: F .Update neighbors. Last step.



Distance F = 6

Mark F as known. $S = \{ A, B, C, D, E, F, G \}$

Minimal distances from A to all others have been determined (Numbers in squares)
Red arrows indicate paths from destination to source.

Correctness of Dijkstra's Algorithm

- Dijkstra is a **greedy algorithm**
 - makes choices that currently seem the best
 - However, locally optimal does not always mean globally optimal
- The algorithm is correct because it maintains following two properties:
 - for every **known** vertex , recorded distance is **shortest distance** from source vertex to that vertex
 - for every **unknown vertex v** , its recorded distance is shortest path distance to v from source vertex, as it considers only currently known **shortest distance vertices so far** and v .

Time Complexity of Dijkstra

Assume a simple list implementation of graph G.

- We have $|V|$ vertices and $|E|$ edges. Costs in the algorithm:
 - Initialization: $O(|V|)$
 - While loop : $O(|V|)$
 - Nested for loop : Find and remove min distance vertices $O(|V|)$
This must be repeated for every vertex : $O(|V| * |V|)$
 - Potentially $|E|$ updates, one for each edge , each update cost: $O(1)$
- $O(|E|)$

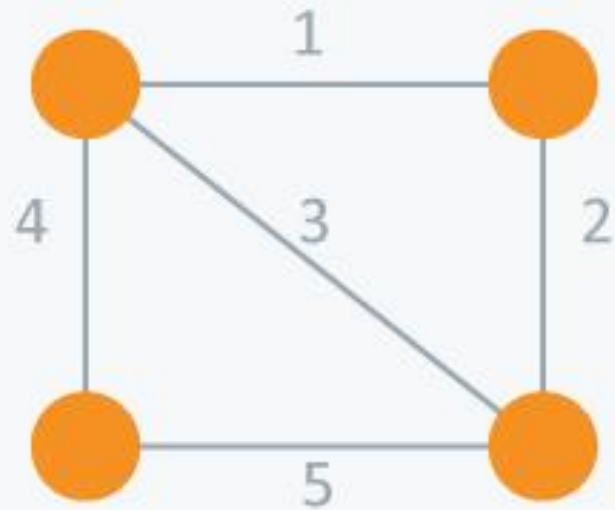
Total time $T(n) = O(|V|^2 + |E|) = O(|V|^2)$

Minimum Spanning Trees

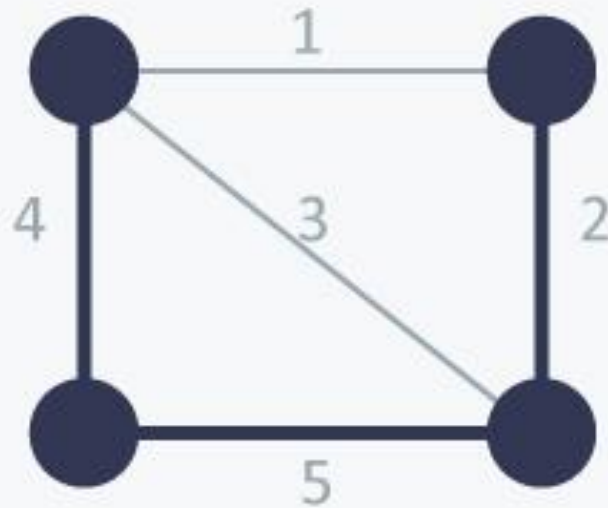
Tree → a connected, directed acyclic graph

- **Spanning tree**: A subgraph of a graph, which meets the following constraints :
 - connected
 - acyclic
 - connects every vertex
- **Minimum spanning tree(MST)**: A spanning tree with weight less than or equal to any other spanning tree for the given graph
- Two well-known algorithms for finding MST are Kruskal and Prim algorithms.

Minimum Spanning Tree : Example-1

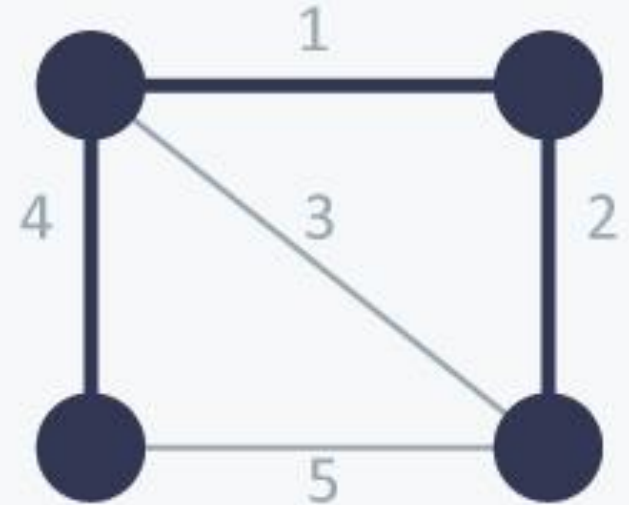


Undirected
Graph



Spanning
Tree

Cost = 11(=4+5+2)

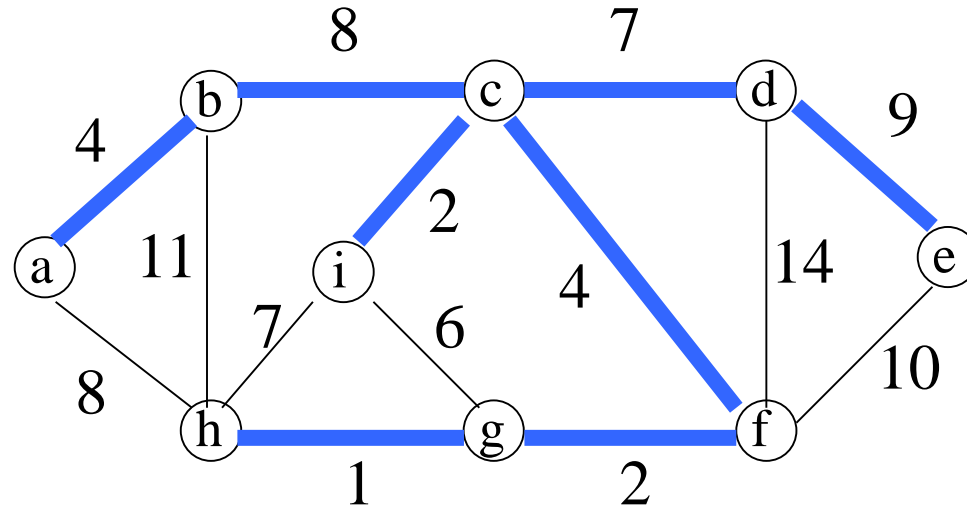


Minimum Spanning
Tree

Cost = 7(=4+1+2)

Minimum Spanning Tree: Example-2

A connected graph and its MST:



Notice that the tree is **not unique**: replacing (b,c) with (a,h) yields another spanning tree with the same minimum weight.

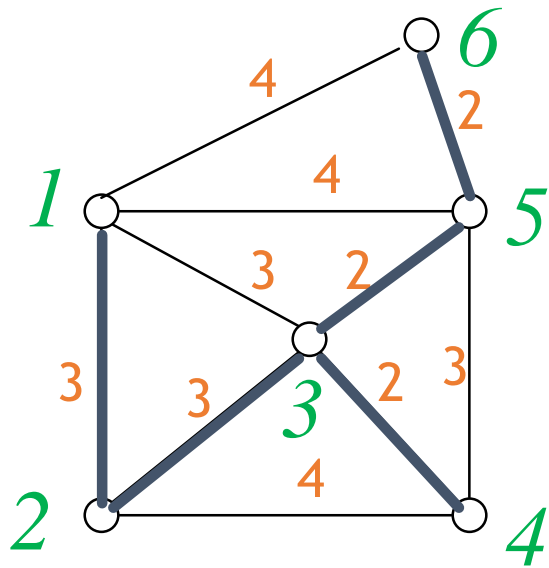
How to find? Given a **connected weighted undirected graph**, find subset of edges that spans all the nodes, creates no cycle, and minimizes the sum of weights.

Finding Minimum Spanning Tree: Greedy Solution

- Find a **least-cost subset of the edges** of a graph that **connects all the nodes**:
 - Start by picking any node and adding it to the tree
 - Repeatedly: Pick any **least-cost edge** from a node in the tree to a node not in the tree, and add the edge and new node to the tree.
 - Cycle is not permitted at any stage.
 - Stop when all nodes have been added to the tree.
 - This is the Kruskal algorithm.

Finding Minimum spanning tree: Greedy Solution

Example: Start from node 1



- Minimum spanning tree: 1-2-3-4-5-6
The cost : $3+3+2+2+2=12$
- Some other edge with a higher cost cannot be included in the spanning tree.

Generic MST Algorithm

Input: weighted undirected graph

$G = (V, E, w)$

$T = \emptyset$ (Initially empty ,it will include all the edges in the end)

while T is not yet a spanning tree of G

 find **an edge** e in E such that $T \cup \{e\}$ is a
 subgraph of some MST of G

 add e to T // Transfer one edge from E to T

return T //as MST of G

Kruskal's MST Algorithm

// $G = (V, E, w)$

Algorithm Kruskal (G)

$T \leftarrow \phi$ //The edges in MST , initially empty

Sort the m edges in G in increasing weight order

While $|T| < |V| - 1$ and $E \neq \phi$ do

 Choose an edge (v, x) from E of lowest cost

 Delete (v, x) from E

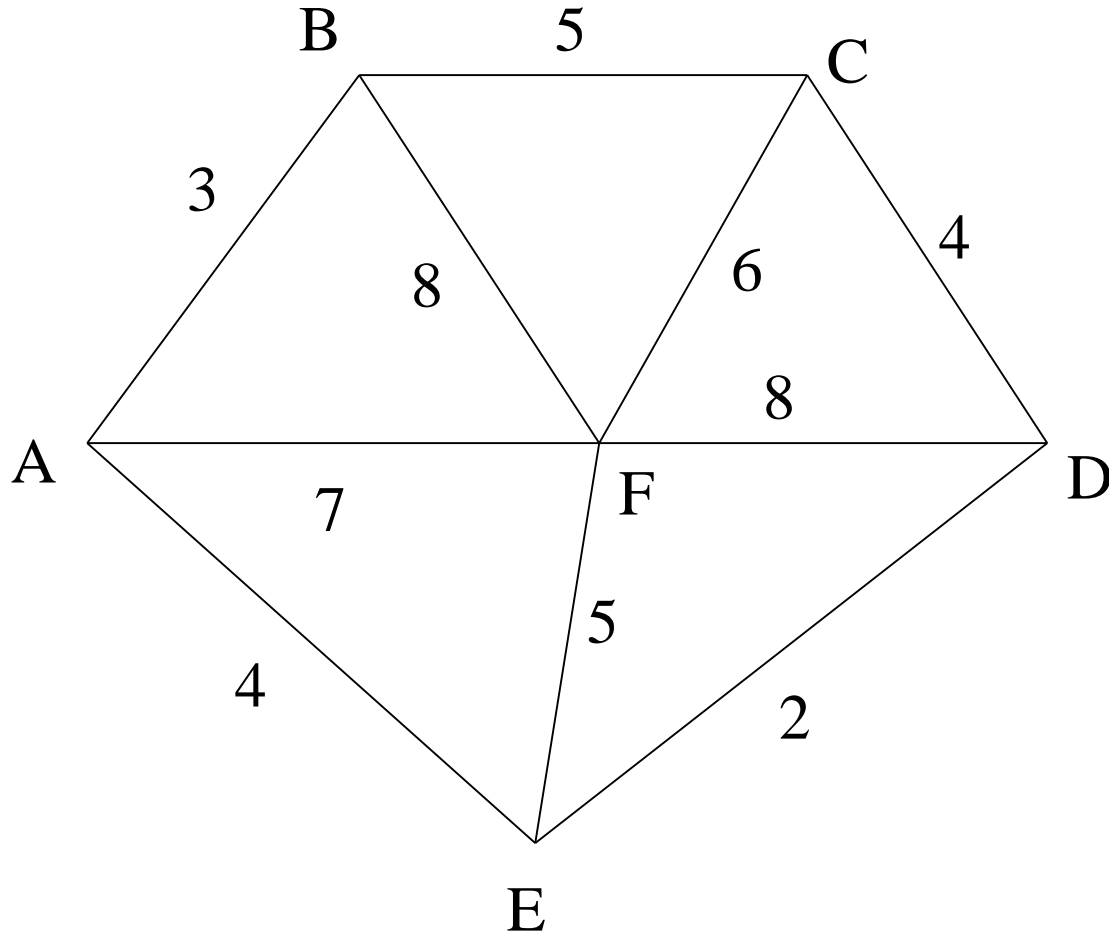
 If (v, x) does not create a cycle in T

 then add (v, x) to T

 else discard (v, x) //Unfeasible

Return T

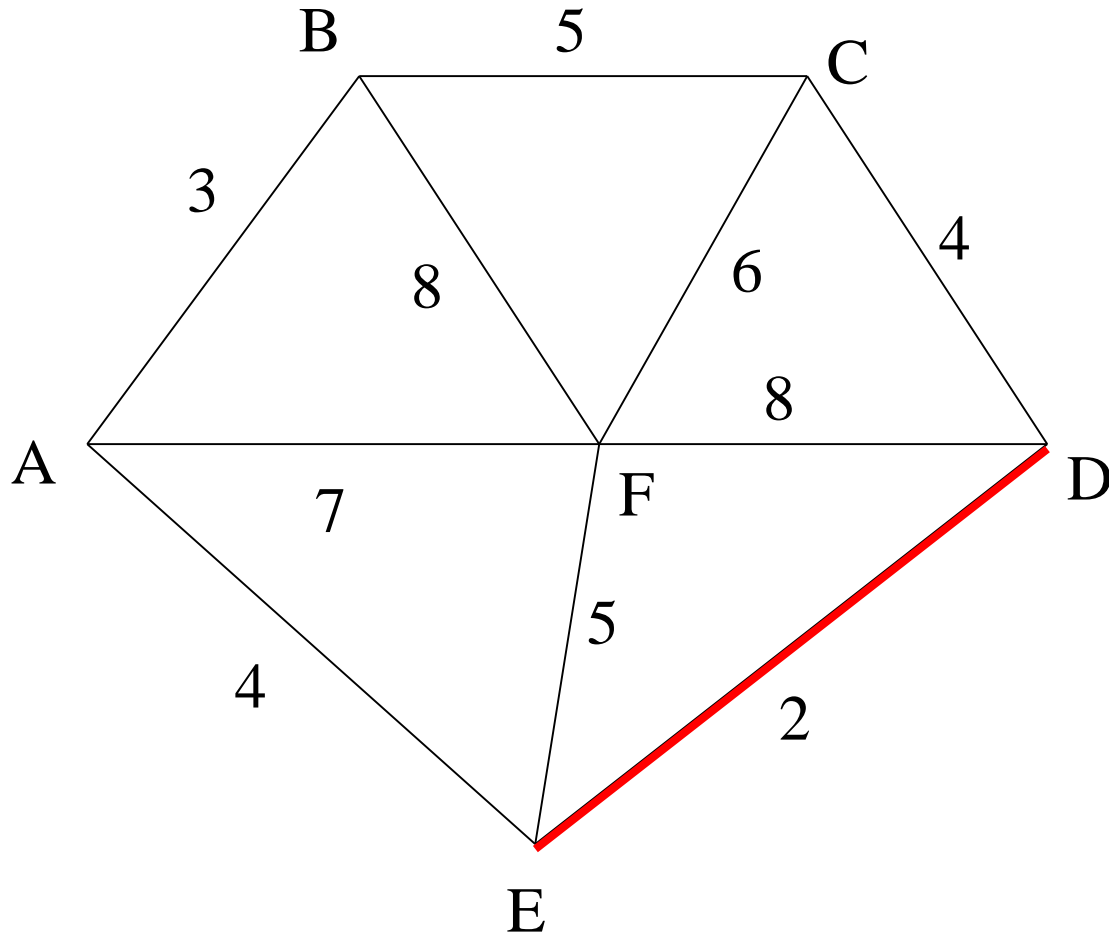
Trace of Kruskal's Algorithm



List the edges in
order of size(Sort):

ED 2
AB 3
AE 4
CD 4
BC 5
EF 5
CF 6
AF 7
BF 8
CF 8

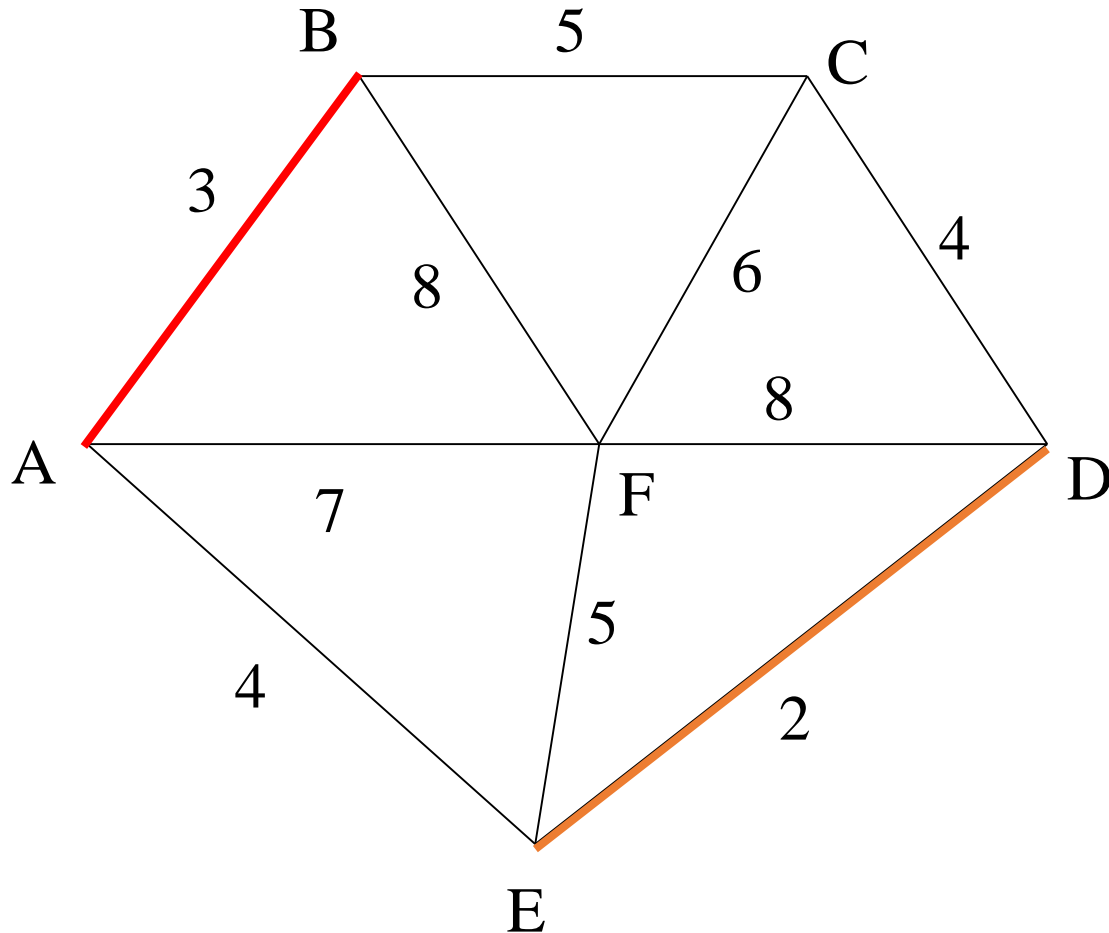
Kruskal's Algorithm



Select the shortest
edge in the network :ED
No cycle, add to T:
ED 2

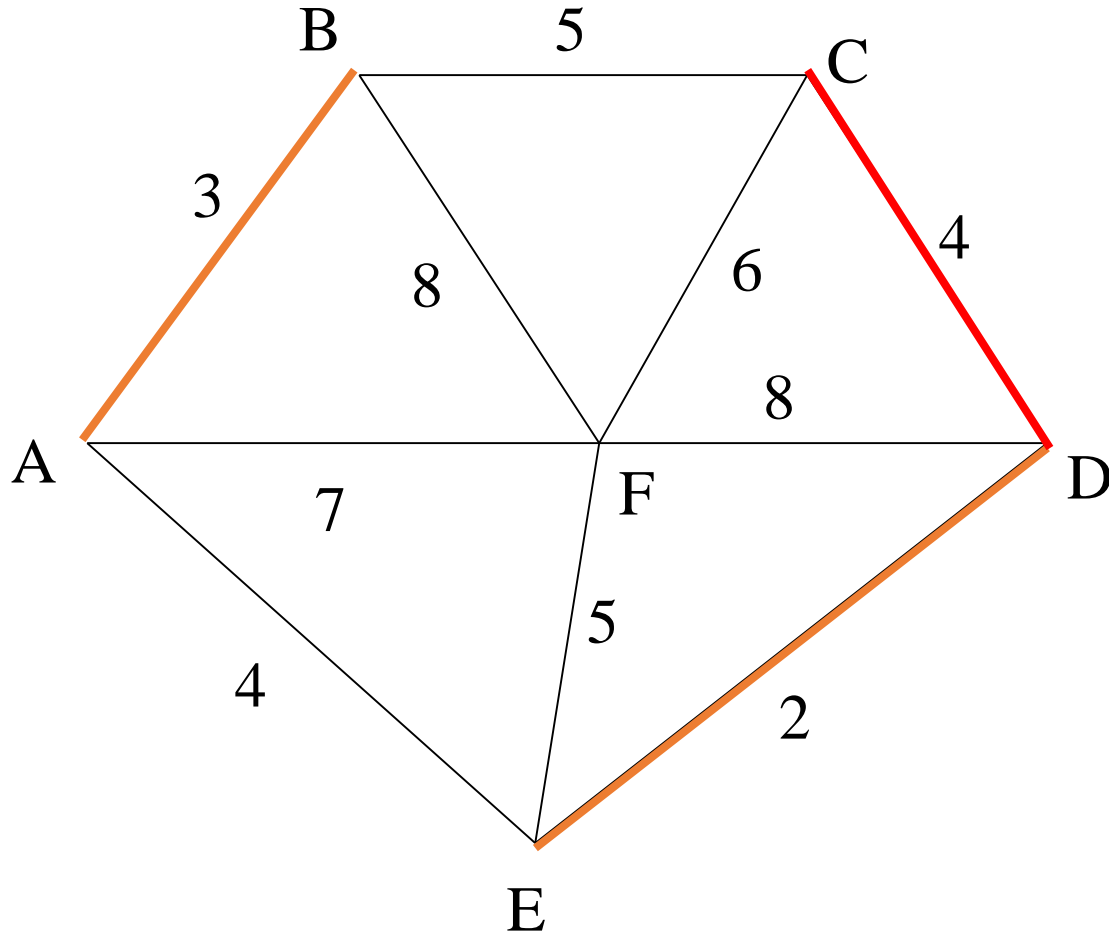
Kruskal's Algorithm

Select the next shortest edge which does not create a cycle: AB



ED 2
AB 3

Kruskal's Algorithm



Select the next shortest edge which does not create a cycle :CD,AE

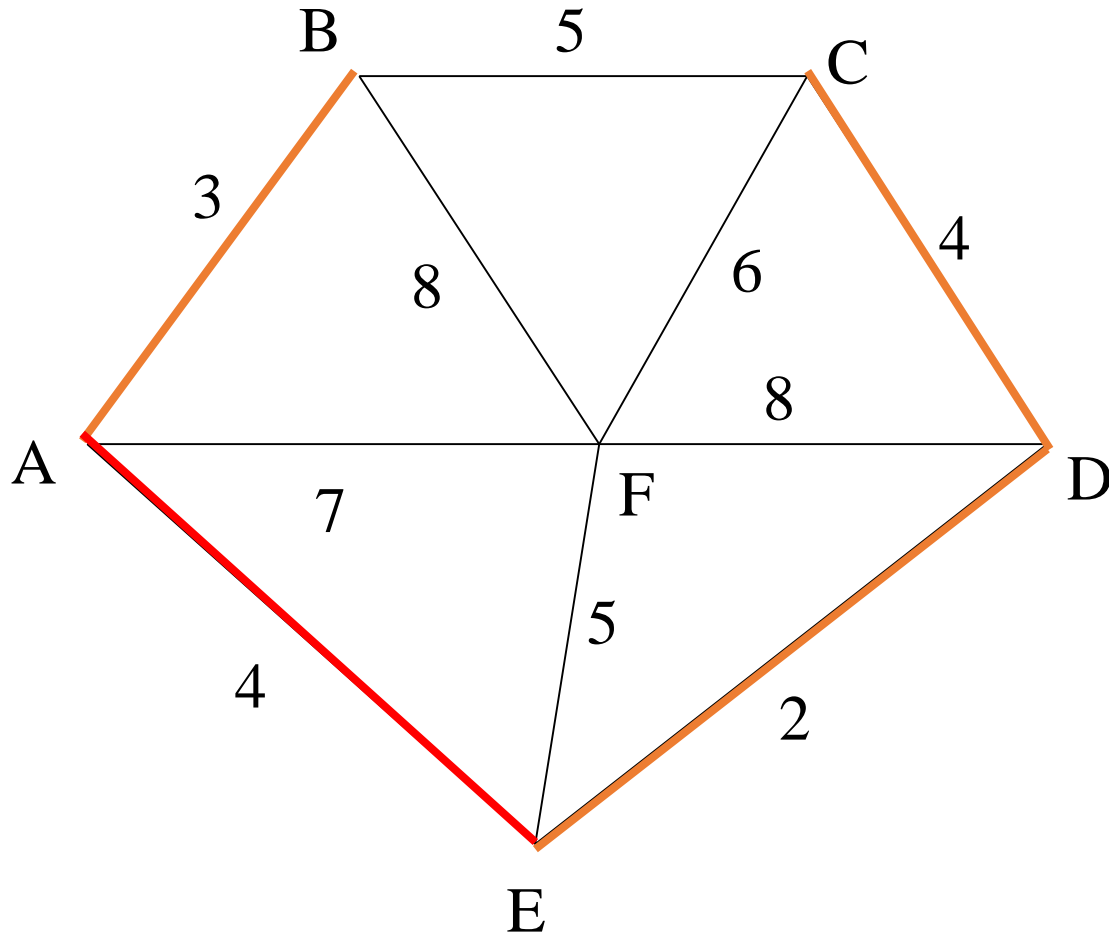
ED 2

AB 3

CD 4 (or AE 4)

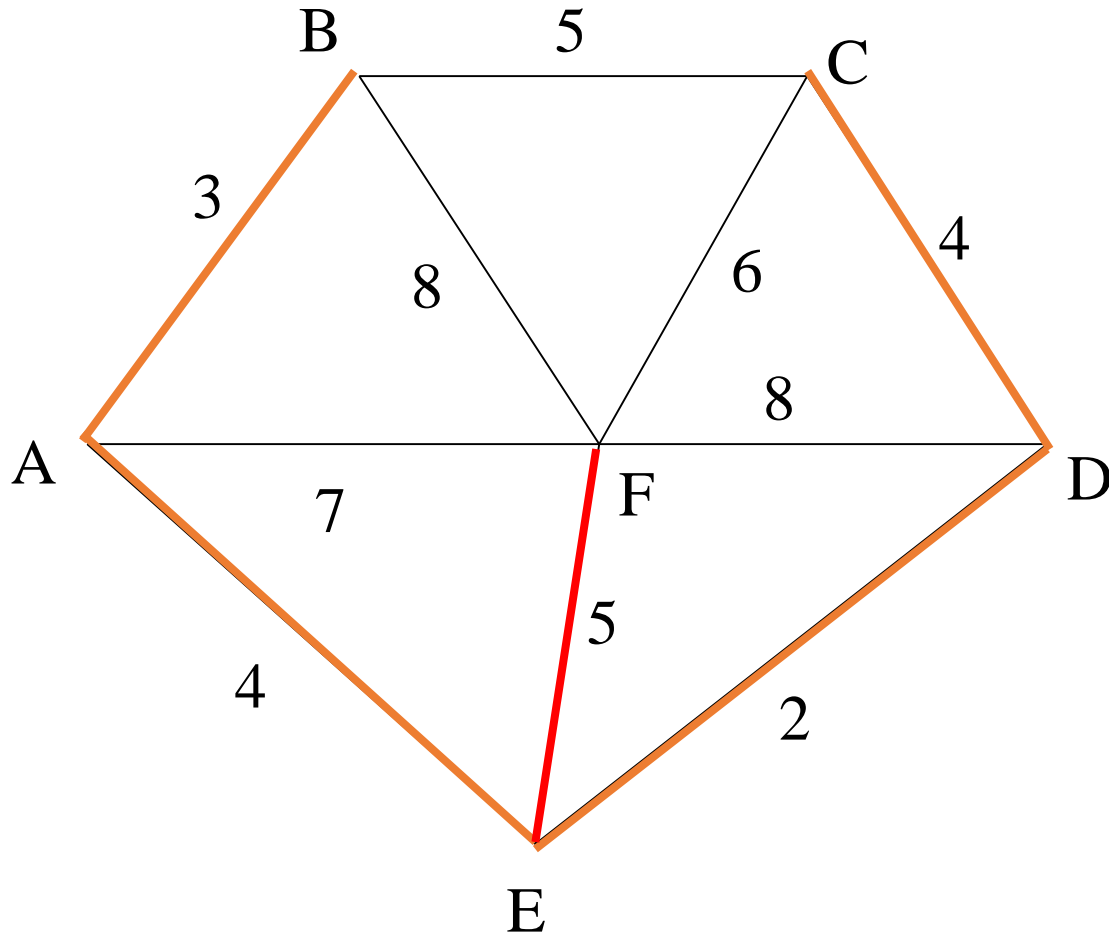
Kruskal's Algorithm

Select the next shortest edge which does not create a cycle



ED 2
AB 3
CD 4
AE 4

Kruskal's Algorithm



Select the next shortest edge which does not create a cycle BC,EF

ED 2

AB 3

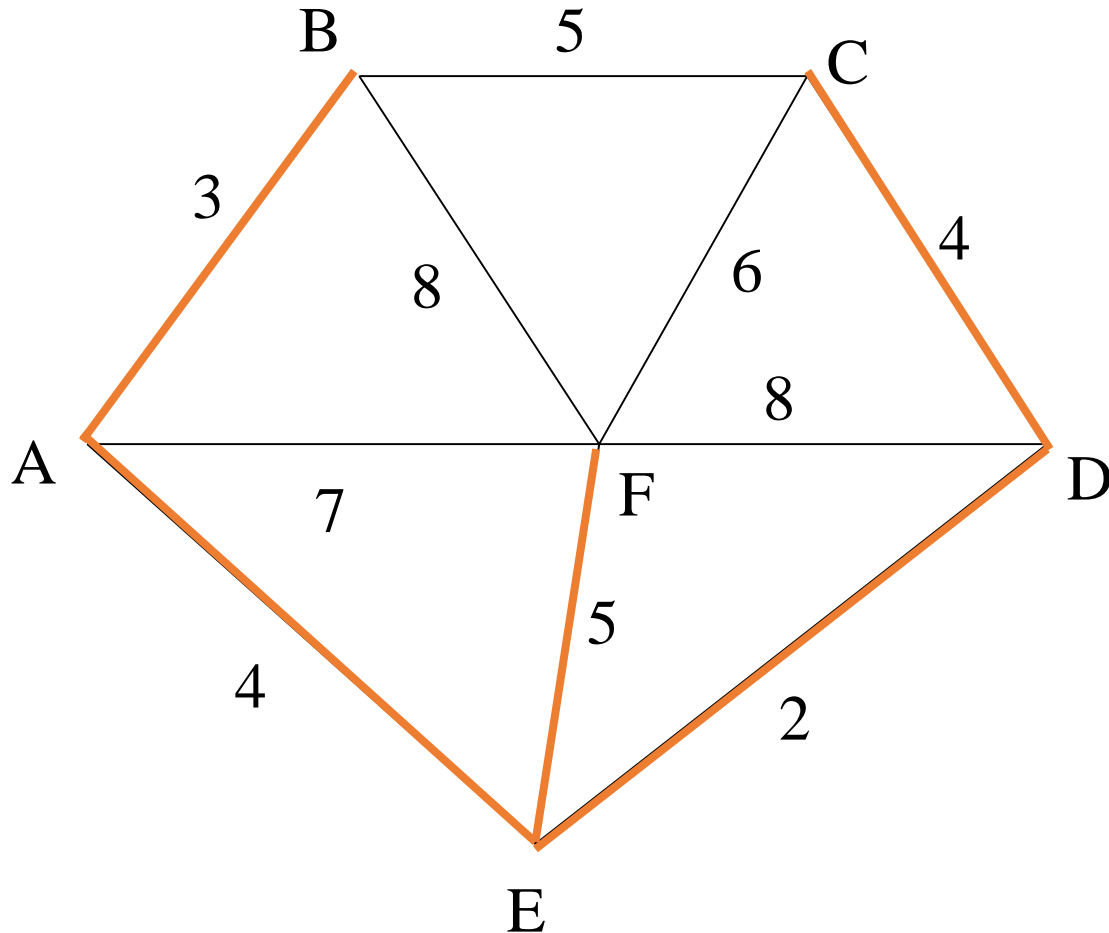
CD 4

AE 4

BC 5 – forms a cycle

EF 5

Kruskal's Algorithm



All vertices have been connected.

The solution is

ED 2
AB 3
CD 4
AE 4
EF 5

Total weight of MST = 18

Why is Kruskal's Algorithm Greedy?

- Algorithm manages a set of edges such that
 - these edges are a subset of some MST
- At each iteration:
 - choose an edge so that the MST-subset property remains true
 - Sub problem has to do the same with the remaining edges
- **Always try to add cheapest available edge** that will not violate the tree property
 - locally optimal choice

Time Complexity of Kruskal

- Sorting of edges takes $O(|E| \log |E|)$ time.
- After sorting, we iterate through all edges.
- The find and union operations can take at most $O(\log |V|)$ time **for each tree edge** [This result is based on a priority queue implementation which will be considered later]

→ So overall complexity is $O(|E| \log |E| + |E| \log |V|)$ time.

- However, if we assume $|E| \sim |V|$, we can write

$$\log(|E|) \leq \log(|V|^2)$$

$$O(\log(|E|)) = O(2 \log(|V|)) = O(\log(|V|))$$

so $O(\log |V|)$ and $O(\log |E|)$ are the same .

- Therefore, overall time complexity is

$$O(|E| \log |E|) + O(|E| \log |E|) = O(|E| \log |E|)$$

Another Greedy MST Algorithm: Prim

- Kruskal's algorithm maintains a **forest** that grows until it forms a spanning tree
- Alternative idea is keep just **one tree and grow it** until it spans all the nodes:

Prim's algorithm

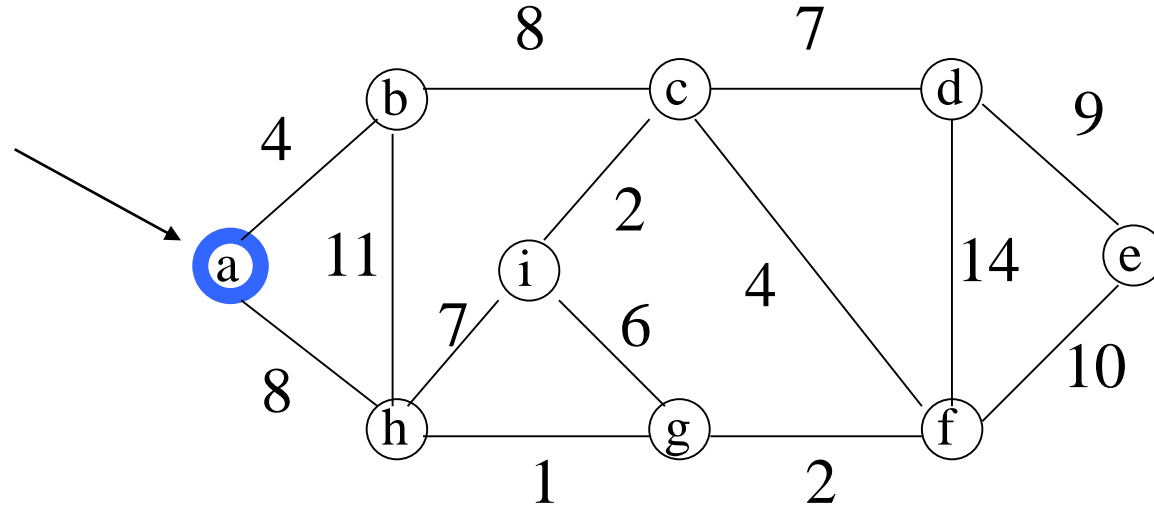
- At each iteration, choose the **minimum weight** outgoing edge : → Greedy!
- Problem: given a connected, undirected, weighted graph, find a ***spanning tree*** using edges that minimize the total weight.

Prim's Algorithm

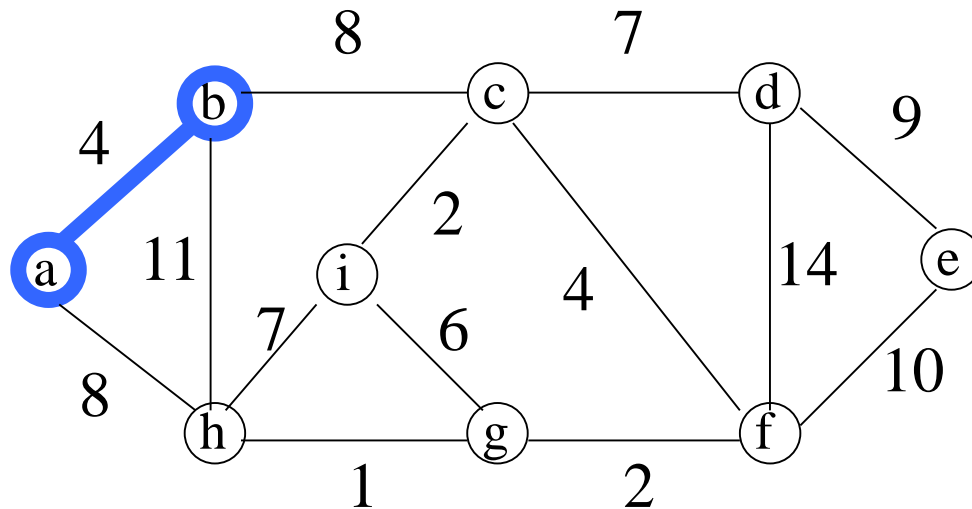
```
// input: weighted undirected graph  $G = (V, E, w)$ 
// r : Start node
MST_PRIM( $G, r$ )
   $T \leftarrow \phi$       //Edges in MST
   $S \leftarrow \{r\}$    //The nodes in current tree
   $Q \leftarrow V - \{r\}$  // Q: Remaning(outside) nodes
  while  $|T| < |V| - 1$  do
    If  $(u, v)$  is a min wt. outgoing edge such that
      ( $u$  in  $S$  and  $v$  not in  $S$ )
      add  $(u, v)$  to  $T$ 
      add  $v$  to  $S$ 
      delete  $v$  from  $Q$ 
  return  $T$ 
```

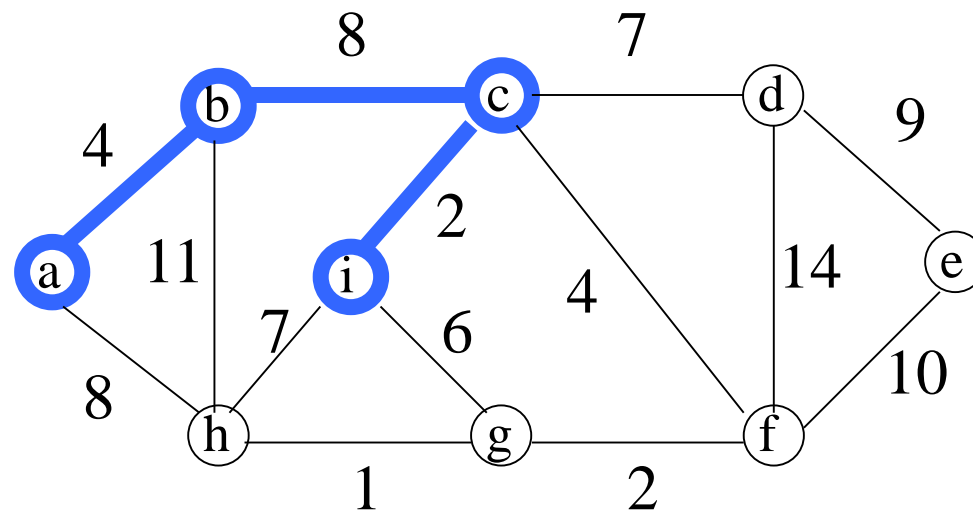
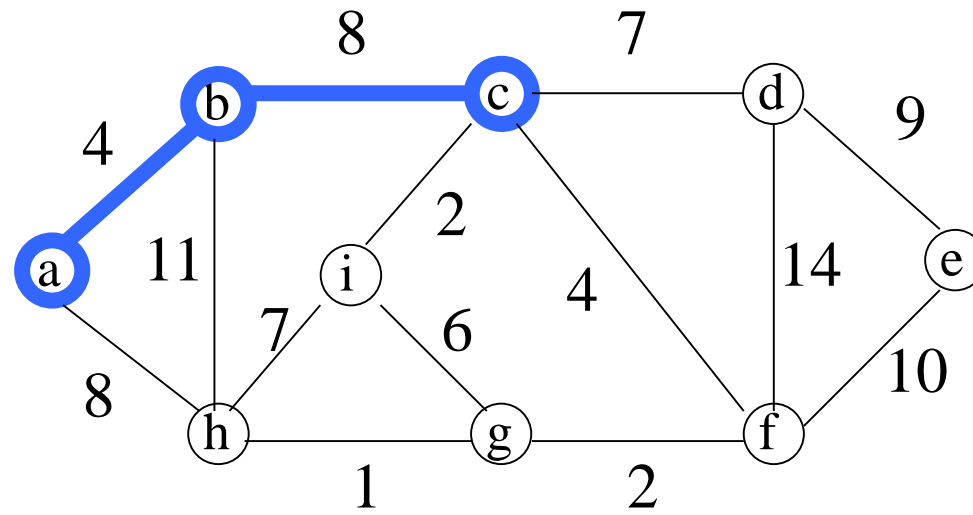
The execution of Prim's algorithm : Example

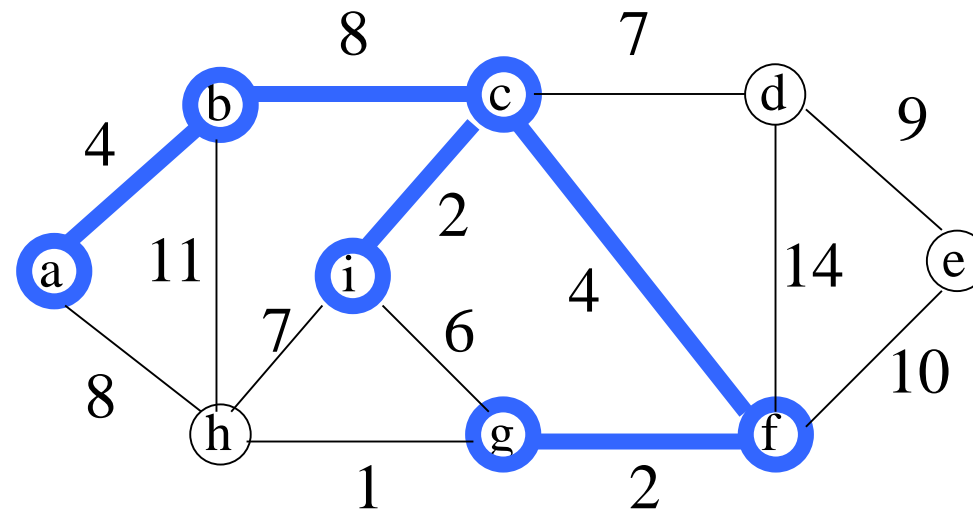
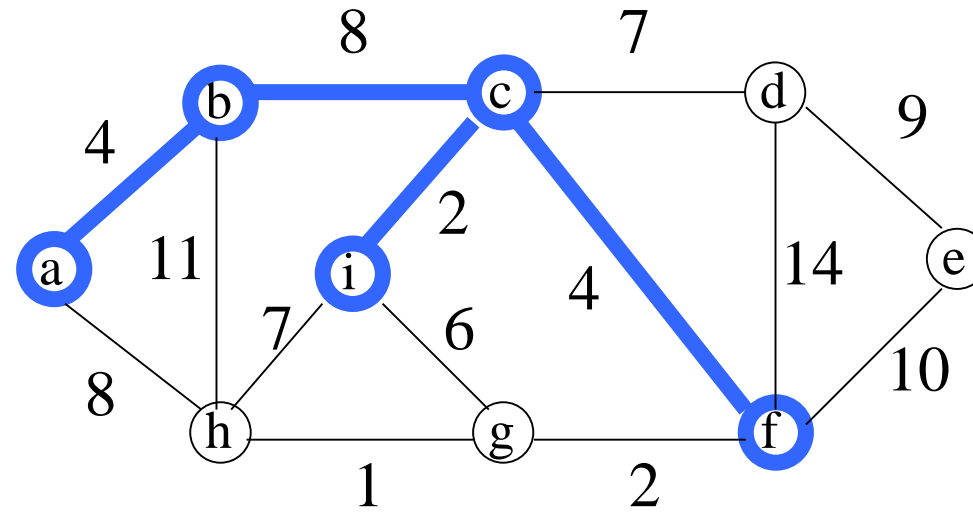
the root
vertex: a

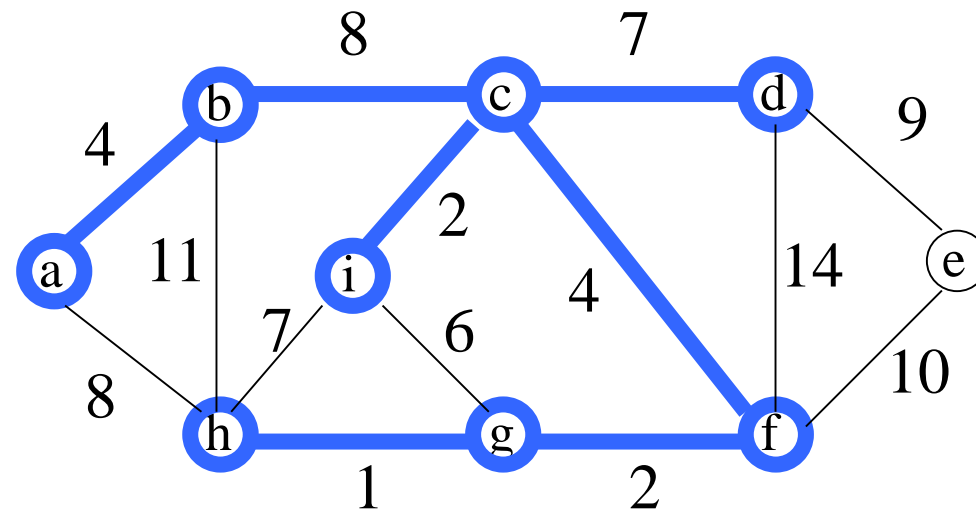
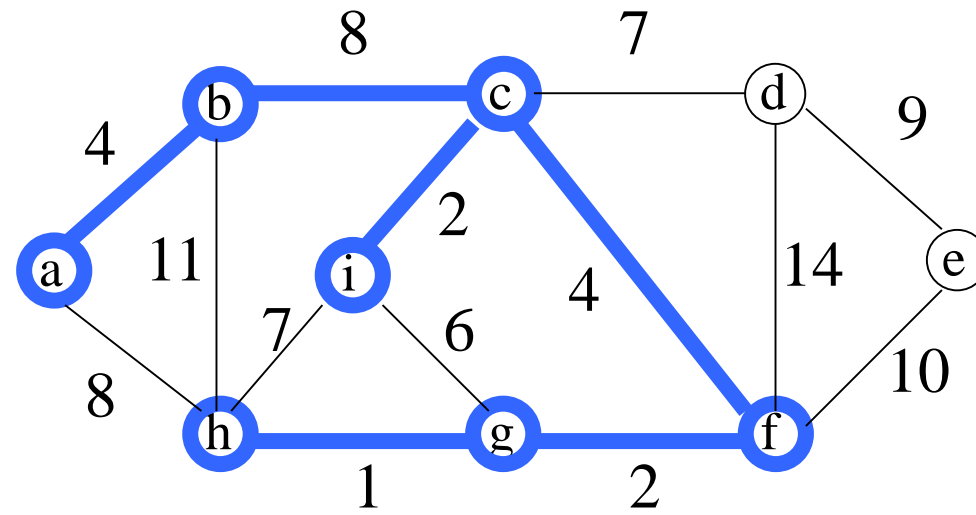


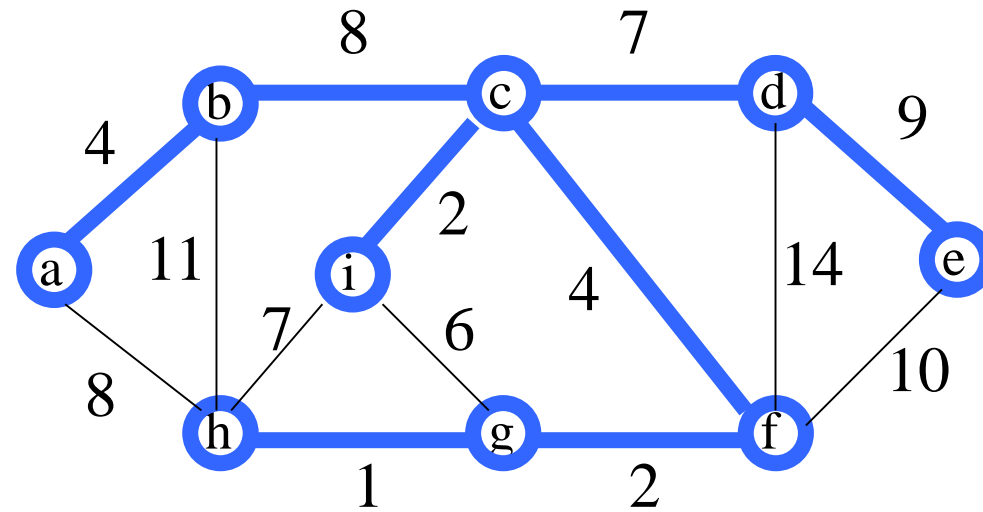
Min edge: (a,b)











Total MST length = 37

Complexity of Prim's Algorithm

- Complexity depends on implementation. In our case:
- Initializations : $O(|V|)$
- While loop iteration: $|V|$ times
- Each time we find a vertex, we must check all of its neighbors : $O(|V|)$
- Edge operations will be performed at most $|E|$ times

With an adjacency list, complexity is:

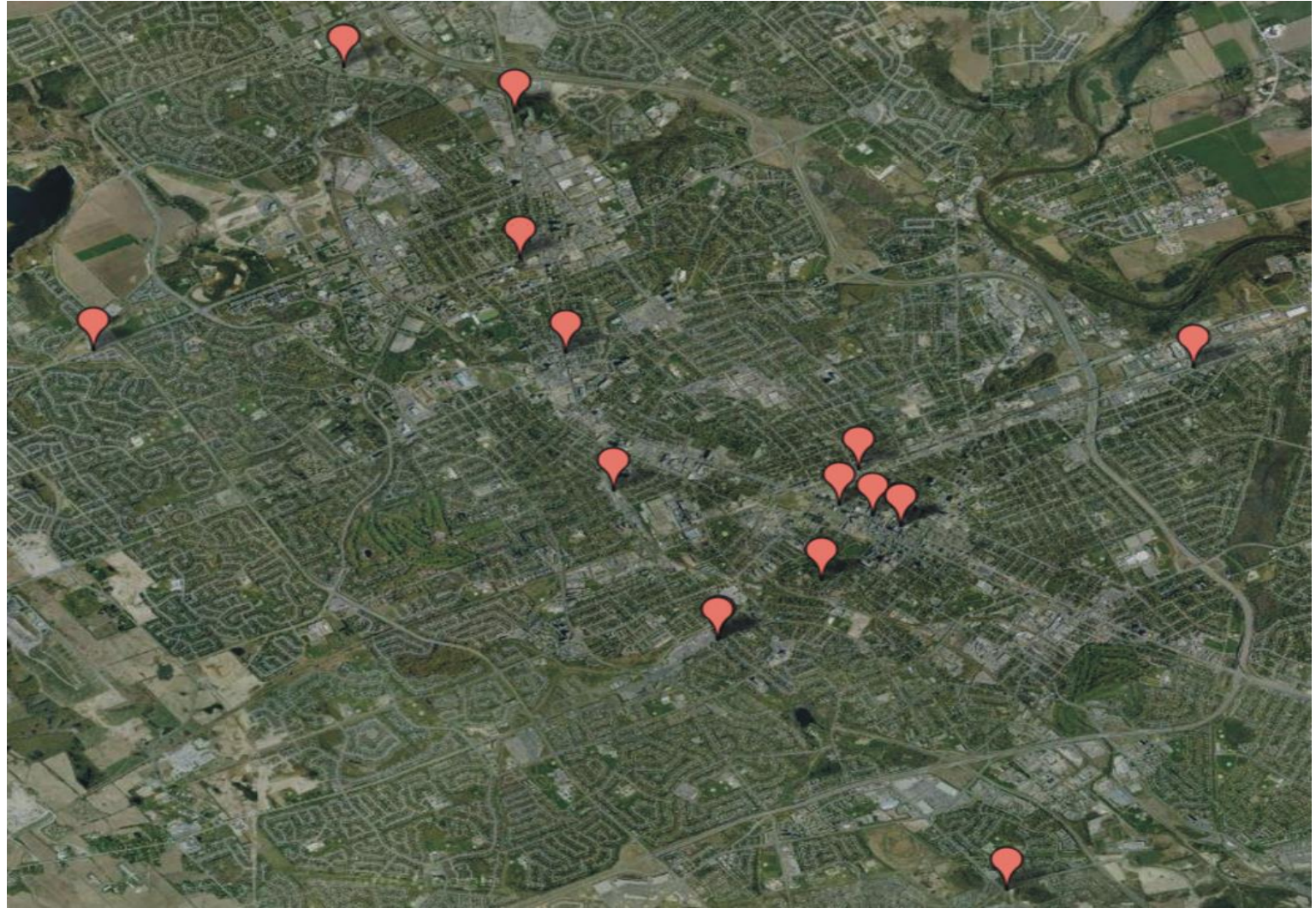
$$\begin{aligned} O(|V|^2 + |E|) &= O(2|V|^2) \quad (\text{Using } |E| = O(|V|^2)) \\ &= O(|V|^2) \end{aligned}$$

This complexity can be reduced using more efficient implementations involving priority queues.

Applications of MST-1

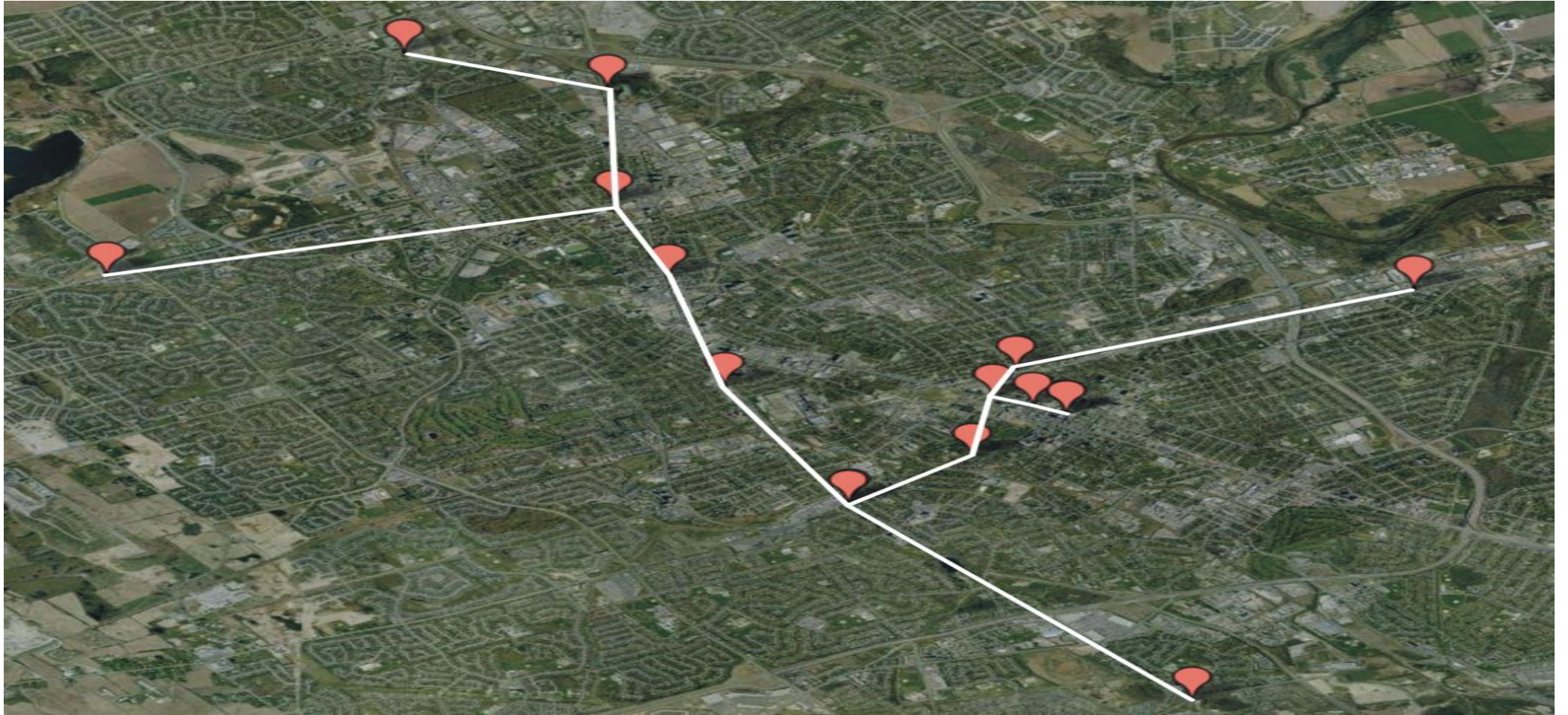
Consider attempting to find the best means of connecting a number of LANs

- Minimize the number of bridges
- Costs not strictly dependant on distances



Applications of MST-1

A minimum spanning tree will provide the optimal solution:



Applications of MST-2

- In the design of electronic circuitry, it is often necessary to make a set of pins electrically equivalent by wiring them together.
- To interconnect n pins, we can use $n-1$ wires, each connecting two pins.
- We want to **minimize the total length** of the wires.
- Minimum Spanning Trees can be used to model this problem.

Applications of MST-3

- Consider a cable TV company laying cable to a new neighborhood...
 - If it is constrained to bury the cable only along certain paths, then there would be a graph representing which points are connected by those paths.
 - Some of those paths might be more expensive, because they are longer, or require the cable to be buried deeper.
 - These paths would be represented by edges with larger weights.
 - A spanning tree for that graph would be a **subset of those paths that has no cycles but still connects to every house.**
 - There might be several spanning trees possible. A minimum spanning tree would be one with the lowest total cost.

Prim's vs. Dijkstra's

- Both Prim's and Kruskal's Algorithms work with **undirected graphs**
- Both work with weighted and unweighted graphs but are more interesting when edges are weighted
- Both are greedy algorithms that produce optimal solutions.

Greedy Algorithms :Selecting Breakpoints

- **Input:** a planned route with $n + 1$ gas stations b_0, \dots, b_n ; the car can go at most C after refueling at a breakpoint
- **Output:** a refueling schedule ($b_0 \rightarrow b_n$) that minimizes the number of stops.

Greedy choice: go as far as you can before refueling (select b_j)

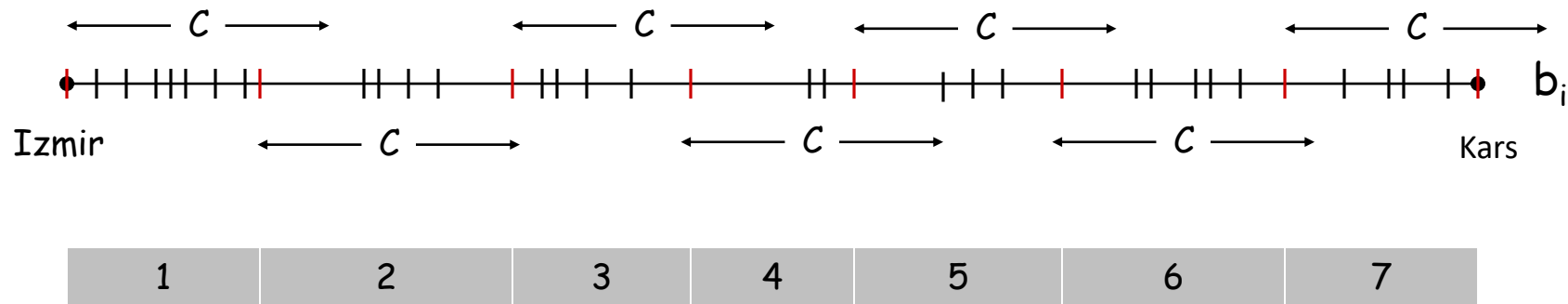
Selecting Breakpoints

Selecting breakpoints:

- Example : Road trip from Izmir to Kars along a fixed route.
- Refueling stations at certain points along the way.
- Fuel capacity = C (C is distance !)
- Goal: make as few refueling stops as possible.

Greedy algorithm. Go as far as you can before refueling.

→ Truck Driver's Algorithm



Selecting Breakpoints: Greedy Algorithm

“Truck Driver’s” algorithm.

```
BP_Select(C,b)
Sort breakpoints:  $b_0 < b_1 < b_2 < \dots < b_n$ 
 $S \leftarrow \{0\}$             $\leftarrow$  breakpoints selected
 $x \leftarrow 0$             $\leftarrow$  current location
while ( $x \neq b_n$ )
    let p be largest integer such that  $b_p \leq (x + C)$ 
    if ( $b_p = x$ )
        return "no solution"
     $x \leftarrow b_p$ 
     $S \leftarrow S \cup \{p\}$ 
return S
```

Complexity : $(n \log n) + n = O(n \log n)$

Unfeasible Greedy Solutions

For some problems, it may be possible that not even a feasible greedy solution can be found.

Example1:Solving Sudoku

- Consider the following greedy algorithm for solving Sudoku:
 - For each empty square, starting at the top-left corner and going across:
 - Fill that square with the **smallest number** which does not violate any of our conditions
 - All feasible solutions have equal weight.

Unfeasible Greedy Example

Let's try this algorithm on the previously seen Sudoku square:

8			6					2
	4			5			1	
			7					3
	9				4			6
2								8
7				1			5	
3					9			
	1			8			9	
4					2			5

Unfeasible Greedy Example

Neither 1 nor 2 fits into the first empty square, so fill it with 3

8	3		6					2
	4			5			1	
			7					3
	9				4			6
2								8
7				1			5	
3					9			
	1			8			9	
4					2			5

Unfeasible Greedy Example

The second empty square may be filled with 1

8	3	1	6					2
	4			5			1	
			7					3
	9				4			6
2								8
7				1			5	
3					9			
	1			8			9	
4					2			5

Unfeasible Greedy Example

And the 3rd empty square may be filled with 4

8	3	1	6	4				2
	4			5			1	
			7					3
	9				4			6
2								8
7				1			5	
3					9			
	1			8			9	
4					2			5

Unfeasible Greedy Example

At this point, we try to fill in the 4th empty square

8	3	1	6	4	?			2
	4			5			1	
			7					3
	9				4			6
2								8
7				1			5	
3					9			
	1			8			9	
4					2			5

Unfeasible Greedy Example

Unfortunately, all nine numbers 1 – 9 already appear in such a way to block it from appearing in that square !

→ There is no known greedy algorithm which finds the one feasible solution

8	3	1	6	4	?			2
	4			5			1	
			7					3
	9				4			6
2								8
7				1			5	
3					9			
	1			8			9	
4					2			5

Sub-Optimal Greedy Solution :TSP

The Traveling Salesman Problem(TSP): You want to **cycle through n cities** without visiting the same city twice.

- Assumption : It is possible to go from any one city to another.

Use **The Nearest Neighbor Algorithm** which is greedy:

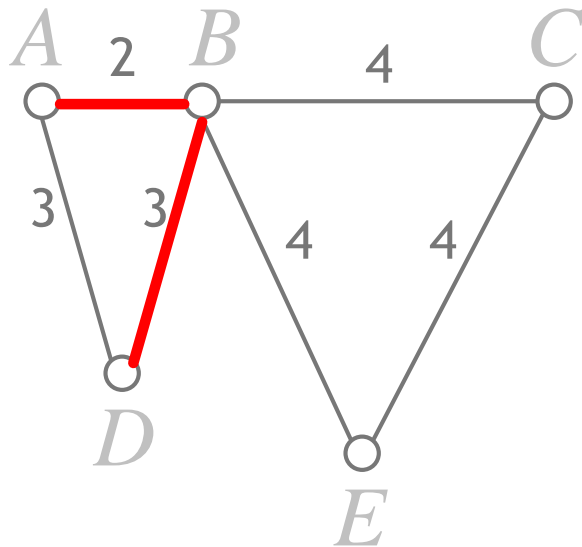
→ Always go to the closest city which has not yet been visited.

Is this solution feasible ? YES !

Is it optimal ? NO, it is unlikely to be optimal!

Traveling salesman: Suboptimal Greedy Solution

Greedy algorithm: He goes to the next nearest city from wherever he is



From **A** he goes to **B**
From **B** he goes to **D**

.....

This is **not** going to result in a shortest path!

The best result he can get now will be **ABDBCE**, at a cost of 16

An actual least-cost path from **A** is **ADBCE**, at a cost of 14

Greedy Algorithms : Summary

- Choose the best possible option at each step
- This decision usually (but not always) leads to the best overall solution.
- **Greedy Choice** :A globally optimal solution is derived from a locally optimal (greedy) choice.
 - When choices are considered, the choice that looks best in the current problem is chosen, without considering results from subproblems
- **Optimal Substructure** :A problem has optimal substructure if an optimal solution to the problem is composed of optimal solutions to subproblems.