ECECS Department

DSCI 6004 Natural Language Processing Fall 2024

Meeting Time:

Section 1: Tuesday/Thursday 11:00 AM – 12:15 PM Section 2: Tuesday/Thursday 12:30 PM – 01:45 PM Section 3: Tuesday 05:00 PM – 71:45 PM

Location: Kaplan Hall 107

Credit Hours: 3

Khaled Sayed, PhD – Assistant Professor

Faculty Contact Information:

Office Location: Maxcy 201

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Office Hours: Thursday 1:00 PM - 2:00 PM or by email request

Department Chair: Dr. Ali Golbazi Email: agolbazi@newhaven.edu

COURSE SYLLABUS

This syllabus is informational in nature and is not an express or implied contract. It is subject to change due to unforeseen circumstances, as a result of any circumstance outside the University's control, or as other needs arise. If, in the University's sole discretion, public health conditions or any other matter affecting the health, safety, upkeep or wellbeing of our campus community or operations requires the University to make any syllabus or course changes or move to remote teaching, alternative assignments may be provided so that the learning objectives for the course, as determined by the University, can still be met. The University does not guarantee that this syllabus will not change, nor does it guarantee specific in-person, on-campus classes, activities, opportunities, or services or any other particular format, timing, or location of education, classes, activities, or services.

Course Description:

Prerequisite: DSCI 6003. Essential data science skills involved in working with unstructured data: transforming it into structured data types able to be analyzed, processed, and used for machine learning and information retrieval algorithms. Material focuses on natural language processing and classification techniques used in text mining. 3 credits

Extended Course Description:

Natural language processing (NLP) or computational linguistics is one of the most important technologies of the information age. Applications of NLP are everywhere because people communicate almost everything in language: web search, advertising, emails, customer service, language translation, virtual agents, medical reports, etc. In the last decade, deep learning (or neural network) approaches have obtained very high performance across many different NLP

tasks, using single end-to-end neural models that do not require traditional, task-specific feature engineering. In this course, students will gain a thorough introduction to cutting-edge research in Deep Learning for NLP. Through lectures, assignments and a final project, students will learn the necessary skills to design, implement, and understand their own neural network models, using the PyTorch framework.

Required Text(s):

Speech and Language Processing by Dan Jurafsky and Jim Martin. 3rd Edition, (available online at https://web.stanford.edu/~jurafsky/slp3/)

Other:

- Sowmya Vajjala et al., Practical Natural Language Processing: A Comprehensive Guide to Building Real-World NLP Systems
- Jacob Eisenstein Natural Language Processing
- Yoav Goldberg A Primer on Neural Network Models for Natural Language Processing
- Ian Goodfellow, Yoshua Bengio, and Aaron Courville Deep Learning

Other Materials/Supplies:

Additional reading materials, code samples, and datasets will be posted on Canvas.

Course Structure/Course Format/Course Objectives:

This class is offered as on-ground course, with in-person lectures, tutorial, and discussion sessions, as well as written and online assignments, and programming projects. Active learning will constitute as much as 50% of the class. Participation will be recorded based on engagement in discussions, as well as submitted assignments.

Course Objectives:

To explore computational approaches for processing and analyzing textual data for various language processing and understanding tasks.

Student Learning Outcomes:

Upon successful completion of this course, the student should be able to:

- Parse texts into semantic vectors for subsequent analysis and modeling.
- Perform syntactic and semantic analysis of the textual data.
- Build neural network models to process sequential data.
- Apply machine learning techniques for information extraction and sentiment analysis.

Course Requirements & Assessment:

Please see official University of New Haven Academic Policies located in the links below: Graduate Grading System

Assignments/Projects: There will be multiple homework assignments, each consisting of written and coding problems in Python, as well as a final project. The homework must be completed individually without any collaboration or assistance. All submissions are online via Canvas.

<u>The final project</u> will be done in a group of three students and will have several deliverables including a proposal, progress update, and final report. The topic can be of one of the following

types:

- (i) Implementation of an algorithm/architecture that has recently been published as full papers in high quality journal/conferences and does not have its code publicly available. The topic should be challenging enough to qualify as a group final project and cannot be on topics like any of your class assignments, e.g., language modeling.
- (ii) An extension of existing methods or a novel idea aimed to solve a particular problem. It can be something from your research project.

Use of any external source must be cited properly (acceptance is at instructor's discretion). Any violation of this policy may result in penalty from zero in assignment to failing in the course. Students may also be subject to disciplinary action by the University of New Haven (see University Policies).

Examinations: This course will have two exams: midterm and final. Both exams are closed-book and closed-notes and must be completed individually during the designated exam sessions. The exams will include questions taken directly from the class discussions and exercises. Exams may also require handwritten code. Everything you are told or shown in class is fair game, not just the content of slides. No makeup exam will be given except for extraordinary situations that must be communicated in written in advance.

Participation: Active learning will constitute as much as 50% of the class. Participation will be recorded based on attendance, in-class quizzes, engagement in discussions, as well as submitted assignments.

Grading:

Grades earned are based on your performance on homework, guizzes, exams, and the final exam.

In-class Quizzes	10%
Assignments	25%
Final Project	25%
Midterm Exam	20%
Final Exam	20%
Total**	100%

^{**}Final Grades are assigned with the following scale:

Typical Graduate Scale

93 to 100	A
90 to Less than 93	A-
87 to Less than 90	B+
83 to Less than 87	В
80 to Less than 83	B-
77 to Less than 80	C+
73 to Less than 77	C
70 to Less than 73	C-
Less than 70	F

The calculation of final grades is determined by the faculty member. The calculated grade in the total column in Canvas may or may not be reflective of your final grade.

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Expectations:

Students should expect to spend at least 3 hours on academic studies outside, and in addition to, each hour of class time. There will be readings, homework questions/problems, and programming projects.

Attendance: Missing more than <u>five lectures</u> will result in an automatic "F" in the course (if you miss more than five lectures, then your course letter grade will be F). This policy may appear to be harsh, but please know that the aim of our attendance policy is by no means to add to your stress. The goal is to ensure that everyone is keeping up with the course. Many of us have the habit of procrastination. It has been repeatedly proven to me that it is less likely for my students to fall behind if they attend the lectures. Your education is of paramount importance, and I care about you and your education.

- **Note:** If for reasons of illness, injury, or emergency health issues, you will not be able to regularly attend the lectures, you must email me by the end of Week 1. I will help you in any way I can. I promise together we will find an alternative method for recording your attendance.
- Note: If you know that you will not benefit from our strict attendance policy, please come talk with me during office hours by the end of Week 2. I will help you in any way I can. I can adjust the grading scale and create alternative midterm exams and a special comprehensive final exam for you if you do not want to regularly attend the lectures. But, if that is what you want, you must contact me by the end of Week 2.

Late Work: Assignments turned in late may be accepted with a grade penalty if the solutions are not distributed yet. This is completely at the discretion of the instructor, as the goal is to balance learning and fairness.

Missed Work: Exams may be made up in only the most unavoidable situations (at the discretion of the instructor). A formal excused absence (such as a note from Health Services or a healthcare provider) will be required before you can make up a missed exam.

Individual Work: Students must work individually on assignments and projects unless specifically allowed to work in groups by the instructor. Any work taken from the internet must be cited properly (acceptance of code taken from elsewhere is at the discretion of the instructor) or will be considered plagiarism. Failure to adhere to this policy will result in penalties ranging from a zero on the assignment to a zero in the final grade. Students may also be subject to disciplinary action by the University of New Haven (see University Policies).

TCoE Academic Lab reservation form

As a TCoE student, you have access to reserve academic lab spaces for academic purposes where you need access to specific equipment. Example approved uses might include time for a team meeting to finish a team project or a study-session with a TA. For more information or to submit your reservation, please visit: https://forms.office.com/r/EUeJT36ZFr

Course Outline/Schedule:

Week 1 (08/26)	Introduction & NLP Pipeline
Week 2 (09/02)	Text Representation – add/drop deadline is 09/04
Week 3 (09/09)	Neural Networks & Backpropagation
Week 4 (09/16)	Recurrent Networks & Language Modeling
Week 5 (09/23)	Vanishing Gradient & LSTM
Week 6 (09/30)	Machine Translation & Attention
Week 7 (10/07)	Midterm Exam
Week 8 (10/14)	Self-Attention & Transformers – Final Project Announcement
Week 9 (10/21)	Fall Break - No Classes
Week 10 (10/28)	Pretraining
Week 11 (11/04)	Reinforcement Learning from Human Feedback
Week 12 (11/11)	Question Answering
Week 13 (11/18)	Code Generation
Week 14 (11/25)	NLP Model Analysis & Explanation
Week 15 (12/02)	Revision
Week 16 (12/09)	Final Exam Week (Exact Date: TBD)

Diversity Statement

The University of New Haven embraces diversity and recognizes our responsibility to foster a diverse, inclusive, and welcoming environment in which all members of the Charger community of all backgrounds and identities can learn, work, and live together. We benefit from the academic, social, and cultural developments that arise from a diverse campus that is committed to equity, inclusion, belonging, and accountability.

We have a responsibility as a community and as individuals to address and remove barriers, achieve success, and sustain a culture of inclusivity, empathy, kindness, and compassion. We encourage, welcome, and embrace participation in ongoing dialogue, engagement, and education to critically examine and thoughtfully respond to the changing realities of our community. Diversity, equity, inclusion, acceptance, and belonging enrich the Charger community and are instrumental to institutional success and fulfillment of the University mission.

Reporting Bias Incidents

At the University of New Haven, there is an expectation that all community members are committed to creating and supporting a climate which promotes civility, mutual respect, and open-mindedness. There also exists an understanding that with the freedom of expression comes the responsibility to support community members' right to live and work in an environment free from harassment and fear. It is expected that all members of the University community will engage in anti-bias behavior and refrain from actions that intimidate, humiliate, or demean persons or groups or that undermine their security or self-esteem.

If you have an immediate safety concern for yourself or others, and/or believe someone poses an immediate threat to themselves or others, please contact University Police at 203-932-7070 or call 911. Community members can report bias-motivated incidents by completing the form at www.newhaven.edu/biasreporting. Community members are encouraged to complete this form if they are the target of bias or harassing behaviors, witness such behaviors, or gain

knowledge of these behaviors occurring within the University community. All matters concerning bias and harassment will be handled by the Dean of Students Office and Human Resources Office.

University-wide Academic Policies

A continually updated list of University-wide academic policies and descriptions of key university student resources, can be found on Canvas. You can access them by simply clicking on the (?) help button.

The University-wide academic policies include (but are not limited to) the University's attendance policy, procedures for both adding / dropping a course and course withdrawals, an explanation for the sorts of circumstances where incomplete (INC) grades could be considered by the faculty, and the academic integrity policy (among others).

The list of key university student resources to enable learning include (but are not limited to) the University's Center for Student Success, Writing Center, Center for Learning Resources, and the Accessibility Resource Center.



UNIVERSITY STUDENT SUPPORT SERVICES

The University recognizes that students can often use some help outside of class and offers academic assistance through several offices.

Accessibility Resources Center

The University of New Haven seeks to maintain a supportive academic environment for all students inclusive of those with disabilities including chronic health-related conditions and military service-connected disorders. If you feel that you may need reasonable accommodations to enable your full participation in this course, please provide me with your Verification of Reasonable Accommodations letter through AIM found in MyCharger or contact the Accessibility Resources Center to begin the process to ensure that accommodations can be made available to you. Reasonable accommodations are not required to be provided retroactively and may not be made without written verification from the Accessibility Resources Center. The Accessibility Resources Center is located in Sheffield Hall on the ground floor in the rear of the building, and can be reached by email at ARC@newhaven.edu or by phone at (203) 932-7332.

Center for Learning Resources (CLR)

The Center for Learning Resources (CLR), located in the Peterson Library, provides academic content support to the students of the University of New Haven using metacognitive strategies that help students become aware of and learn to apply optimal learning processes in the pursuit of creating independent learners. CLR tutors focus sessions on discussions of concepts and processes and typically use external examples to help students grasp and apply the material. We offer both in-person and online tutoring. To make an appointment, call us at 203-932-7215, write to us at clr@newhaven.edu, or download the Navigate app.

Center for Student Success (CSS)

The Center for Student Success can help you refine your study skills and develop new academic strategies. CSS staff assists with enhancing your time management and organizational skills. They provide understanding of your GPA, degree audit, and transcripts, and can answer general questions about academic policies. They also can connect you to campus resources and assist you with resolving issues as they arise. During registration periods, CSS advisors work in conjunction your faculty advisor to provide assistance with the advising and registration process. Finally, at various points throughout the semester, CSS works to provide students with progress reports from their instructors. Students can make an appointment to see a CSS staff member through Navigate; the Center for Student Success can be reached via email at css@newhaven.edu.

Counseling & Psychological Services (CAPS)

CAPS offers confidential, free services in order to support student mental health and wellbeing. The services include individual and group therapy, support groups, consultations, and 24/7 crisis support. We are available in person at Charger Plaza and remotely, and are in the office M-F, 8:30-4:30. Please call us to schedule an appointment or with any questions at 203-932-7333; you can also schedule online. If you experience a mental health crisis after hours, you can call our main number for support.

Myatt Center

The Myatt Center for Diversity and Inclusion is committed to creating a multicultural environment through intentional education, campus community engagement, and valuing the unique identities of each member of the Charger Community. Our commitment to diversity is driven by the core values of connection, belonging, inclusivity, equity, acceptance, and accountability. The Myatt Center's focus is to create a respectful and inclusive environment based our awareness and ability to engage with others who are different on many levels including ethnicity, race, sexual orientation, gender, military, religious belief, and life experiences.

Marvin K. Peterson Library

The Library provides access to online databases, e-books, e-journals, electronic U.S. Government Documents, print books, educational games, and audiovisual materials. A search can be conducted through many of these resources at once by using the search box "Quicksearch."

The Library provides three floors with individual quiet study space, collaborative group study space, study rooms with technology, whiteboards, Dell desktops, iMacs, scanners, and printers. The entire library is a wireless zone.

Librarians assist in locating relevant sources of information for research papers, thesis, honors thesis, and other projects. Librarians answer general reference questions and help with effectively evaluating sources of information. Help is available through a Chat Service, 24/7 Ask a Librarian Service, a Zoom Reference Service, and by E-Mail. Complete the Research Consultation Form to arrange a time convenient for you. Appointments can also be made by using the Navigate app.

<u>LibGuides</u> are created to assist students with research. They contain an overview of resources available through the library, as well as tutorials, subject guides, and course specific guides.

University Writing Center

The mission of the Writing Center is to provide high-quality tutoring to undergraduate and graduate students as they write for a wide range of purposes and audiences. Tutors are undergraduate and graduate students who are majoring in a variety of fields across the University. We are here to work with you at any stage in the writing process; bring in your assignment, your ideas, and any writing you've done so far. You can make an appointment in Navigate or visit us in person in the lower level of the library. We offer appointments in person and via Zoom.

Military & Veteran Services

The Military & Veteran Affairs team is here to answer any questions Student Veterans (both current and prospective), active duty/reserve/national guard members, and military family members have regarding transitioning to higher education, VA educational benefits, formal advising, or to listen to issues pertaining to class. The University of New Haven's Military & Veterans Affairs team consists of full-time staff, part time student employees, and VA Work Study students whose aim is to assist and support the student veteran population both on and off campus. These individuals have a dedication to the development, success, and well-being of the student veteran population on campus which includes veterans, active-duty military, service members in the reserves or national guard, and dependents using a veterans GI Bill. The office advises, guides, and supports this student population and is available to assist at a moment's notice to address the needs and concerns of this population.