



Account Title:

Baudelio Baez

Account Number

11067101

We request the following information, representations and acknowledgements from all customers who intend to effect transactions in options. Please be aware that TradeStation offers no advice or recommendations for options trades or any other trades, and you acknowledge that all options trades you make or instruct TradeStation to make on your behalf are based solely on your own ideas, resources, knowledge and experience. TradeStation may, on the basis of the information provided, decline to accept any account for option activity or may limit such account to specific activities.

## I. Complete for Individual/Joint Accounts:

Primary Account Holder Information <b>Baudelio Baez</b>		Additional Account Holder Information, if applicable	
Name <b>Baudelio Ramon Baez Romero</b>		Name	
Annual Net Income <b>40.000 USD</b>	If Married, Spouse's Annual Net Income <b>500 USD</b>	Annual Net Income	If Married, Spouse's Annual Net Income
Total Net Assets <b>10.000 USD</b>	Liquid Net Assets <b>5.000 USD</b>	Total Net Assets	Liquid Net Assets

## II. Complete for Trust/Entity Accounts:

Financial Profile of Entity/Trust

\$ \_\_\_\_\_ \$ \_\_\_\_\_  
Approximate Annual Net Income in USD Approximate Liquid Net Assets in USD

## III. Complete for all Account Types:

PLEASE NOTE: Options level approval is determined based on investment objectives and investment experience, as well as other criteria.

### Trading Experience

#### EQUITIES

No. of years trading

- ☐ None  
☒ If under 1, specify months \_\_\_\_\_  
☐ 1-5  
☐ Over 5

#### OPTIONS

No. of years trading

- ☐ None  
☒ If under 1, specify months \_\_\_\_\_  
☐ 1-5  
☐ Over 5

#### FUTURES

No. of years trading

- ☐ None  
☒ If under 1, specify months \_\_\_\_\_  
☐ 1-5  
☐ Over 5

#### Third Party Trading Authorization (If applicable)

Name of Advisor/Investment Professional/Other with Trading Authorization

Investment Experience Profile:

Stocks/Bonds Options Commodities  
 # Years \_\_\_\_\_

#### Options Investment Objectives:

(Check all that apply, at least one must be checked)

- ☒ Income  
☒ Growth  
☒ Speculation

Options Strategies Requested:

Please check one or more of the option strategies you may wish to employ:

- ☐ 1. Covered call writing, Protective puts  
☒ 2. Put/call buys, Collars, Covered puts (speculative)  
☒ 3. Put/call spreads (speculative)  
☐ 4. Put writing (speculative), Cash-covered puts (cash accounts only)  
☐ 5. Uncovered call writing (This is a highly speculative activity)

**INVESTORS SHOULD NOT PURCHASE PUT OR CALL OPTIONS UNLESS THEY ARE ABLE TO SUSTAIN A TOTAL LOSS OF THE PREMIUM AND TRANSACTION COSTS, OR WRITE UNCOVERED OPTIONS UNLESS THEY ARE ABLE TO SUSTAIN SUBSTANTIAL FINANCIAL LOSS.**

Options trading is not suitable for all investors. If you would like the ability to trade options through a TradeStation account, you should first read the disclosure document titled [Characteristics and Risks of Standardized Options](#).

I (we) understand and agree that any equity or index options trading I (we) do in any of my (our) TradeStation Securities, Inc. Equities accounts are governed by the following agreements and disclosures and assumption of risk documents, in their current form as accessible by link from the TradeStation website, and/or as to which I (we) have been notified by email or other means of communication: (1) [TradeStation Securities, Inc. Customer Account Agreement for Equities](#); (2) [Master Securities Lending Agreement](#); (3) [Investment and Trading Disclosures Booklet – Equities & Options](#); (4) [TradeStation Technologies, Inc. Subscription Agreement](#); (5) [User Agreement \(Websites, Electronic Services, Social Media and Education\)](#); and (6), if I am a United Kingdom resident, [Terms of Business of TradeStation International Ltd](#).

I (we) represent and warrant that all personal information in my (our) account records are current and accurate in all respects.

**NOTE: FOR JOINT ACCOUNTS, TWO (2) AUTHORIZED SIGNATURES ARE REQUIRED. FOR ENTITY OR TRUST ACCOUNTS, ALL AUTHORIZED OFFICERS OR TRUSTEES ARE REQUIRED TO SIGN.**

Account Signature		Date 07/10/2020
Print Authorized Signor's Name Baudelio Ramon Baez Romero		
Account Signature		Date
Print Authorized Signor's Name		
Account Title (Entity Accounts Only)		

FOR INTERNAL USE ONLY		
Date approved	R.R. Signature	Approved option levels: <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Date approved	ROP Signature	
CID#	Account #	